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**Gauge-Higgs Unification
(Extra material)**

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Lecture 5: Gauge-Higgs Unification

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2007 ICTP Summer School

1. Basics of gauge-Higgs unification (GHU)

- Idea: A_5 4D scalar could be Higgs. How to find a setup where A_5 is a doublet of $SU(2) \times U(1)$ with correct hypercharge?
- Ideally, use flat space, and NO induced Scalars, just orbifold BCs

History: 1979 Manton, use 6D with monopole in sphere

1983 Hosotani, “Wilson line” breaking: “Hosotani mechanism”

1998 Hatanaka, Inami, Lim: revive idea, no concrete model

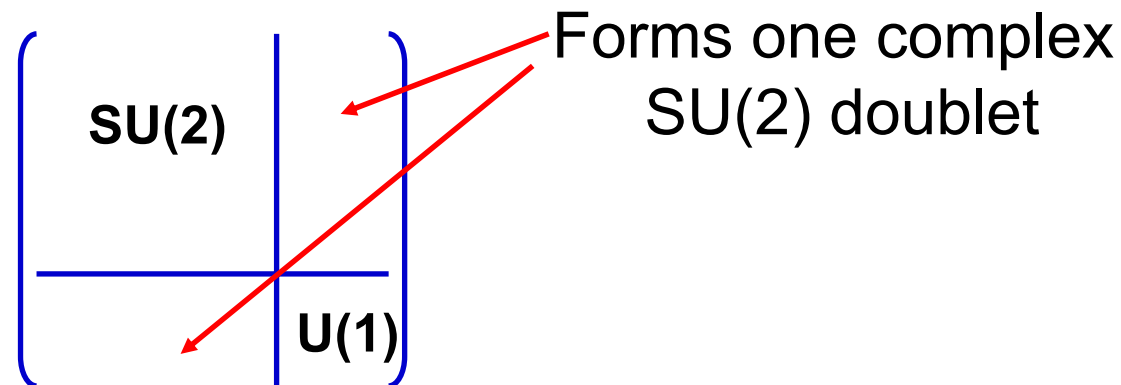
2001 Antoniadis, Benakli, Quiros: basic model, Higgs potential calculation

2002 C.C., Grojean, Murayama; von Gersdorff, Irges, Quiros: 6D problems, basics of flavor construction

2003 Scrucra, Serone, Silvestrini (+Wulzer): basic 5D model introduced and analyzed

2005 Cacciapaglia, C.C., Park; Panico, Serone, Wulzer: close to realistic model

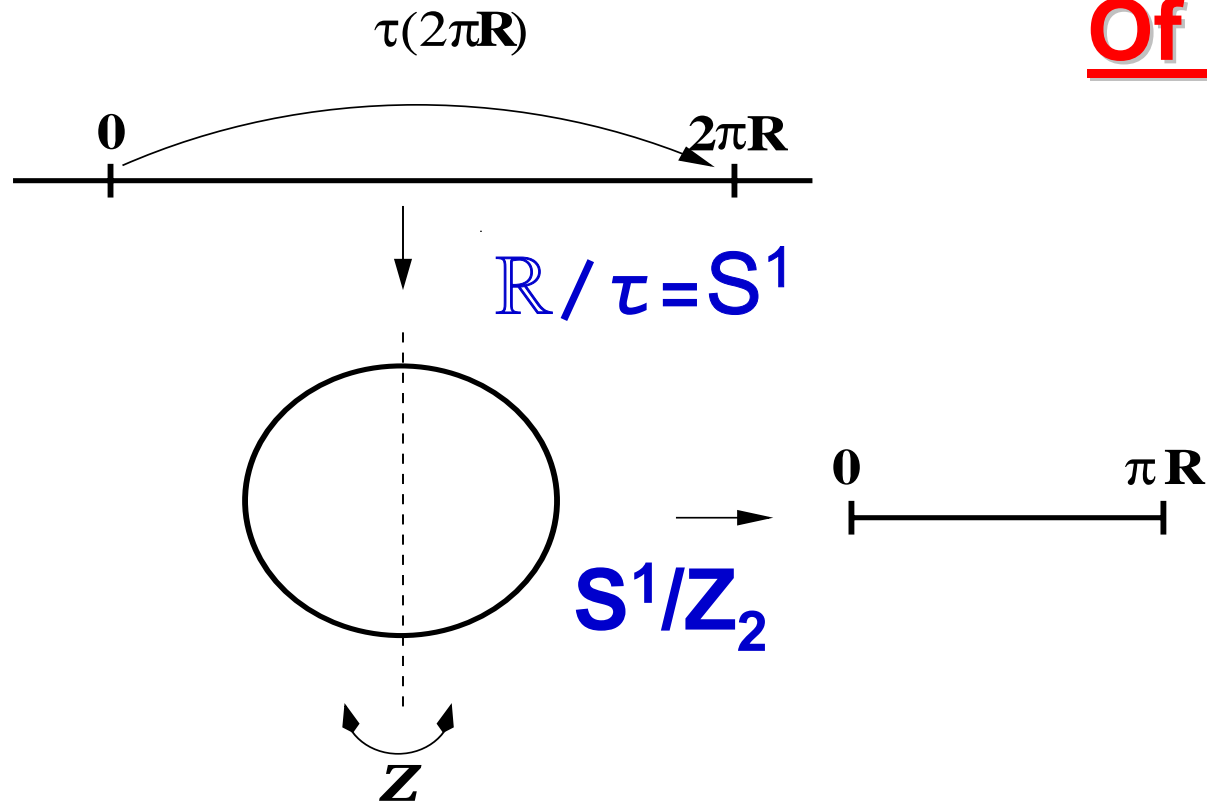
- A_5 is in adjoint of gauge group, but Higgs is doublet: need to enlarge gauge group.
- If we want to use simplest orbifold (does not reduce rank): extended gauge group would be rank 2
- Simplest rank 2 group $SU(3)$



2. Orbifolds

- Next simplest possibility: instead of circle compactify on a line segment S^1/\mathbb{Z}_2 . Will look in two slightly different approaches (orbifold vs. interval).

Geometric construction Of S^1/\mathbb{Z}_2



Effects on the fields

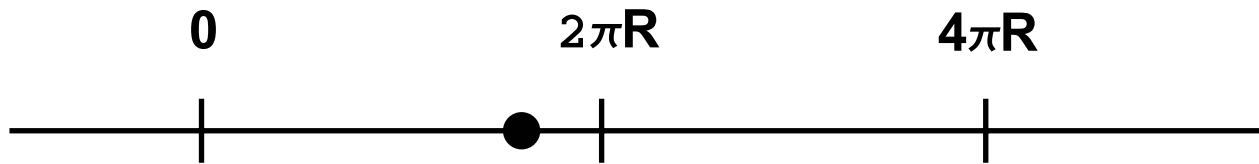
- τ, \mathbf{z} have to be symmetries of action
- Fields have to agree UP TO a symmetry transformation \mathbf{T}, \mathbf{Z} (\mathbf{T} is SS-twist)

$$\begin{aligned}\tau(2\pi R)\varphi(y) &= T^{-1}\varphi(y + 2\pi R) \\ \mathbf{Z}\varphi(y) &= \mathbf{Z}\varphi(-y)\end{aligned}$$

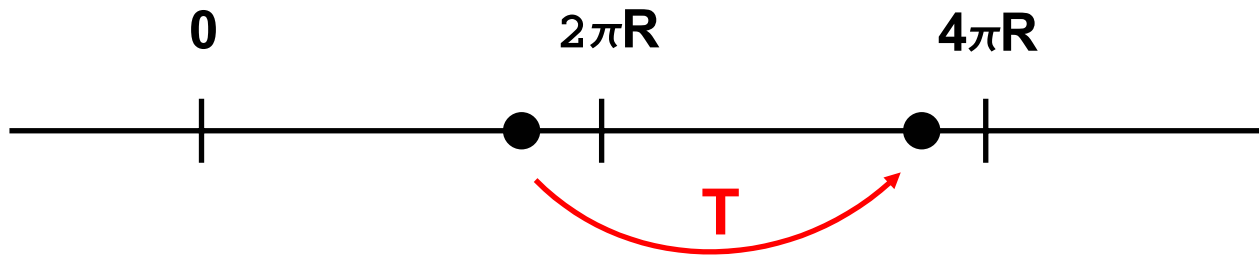
- Field identification will be

$$\begin{aligned}\varphi(y + 2\pi R) &= T\varphi(y) \\ \varphi(-y) &= \mathbf{Z}\varphi(y)\end{aligned}$$

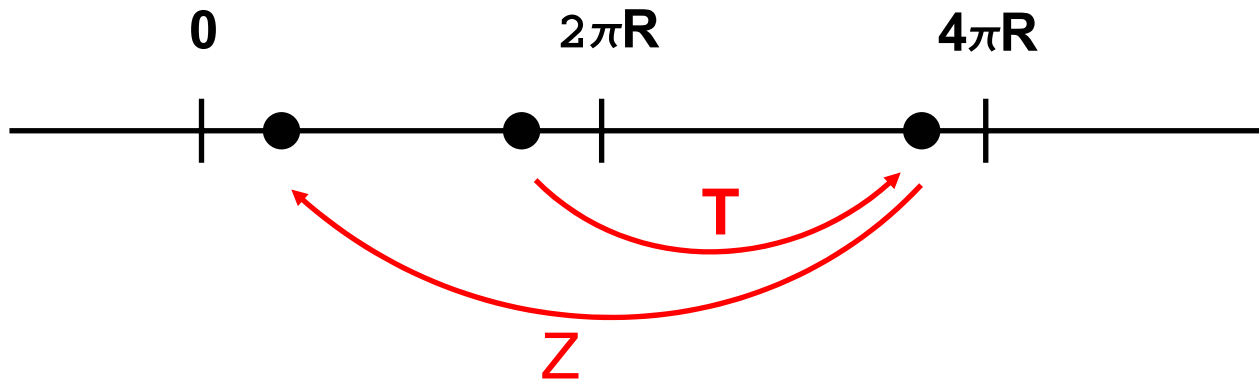
A consistency condition



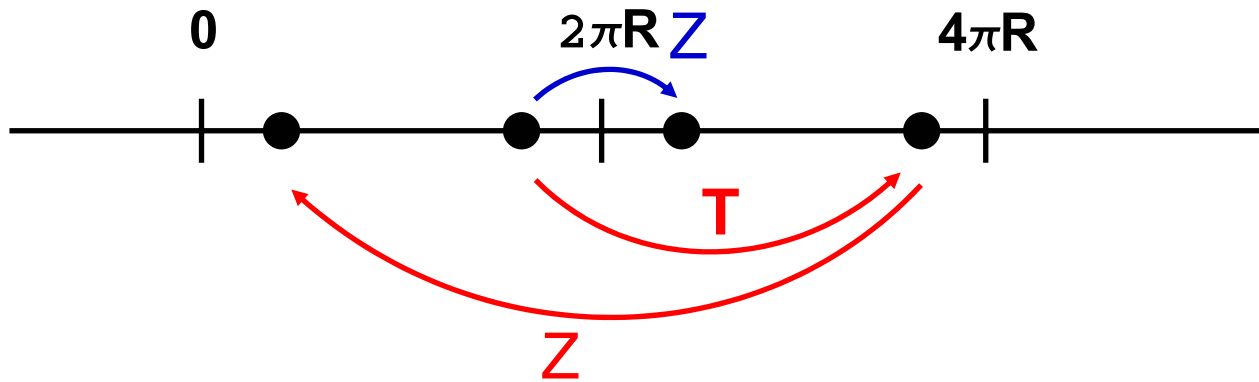
A consistency condition



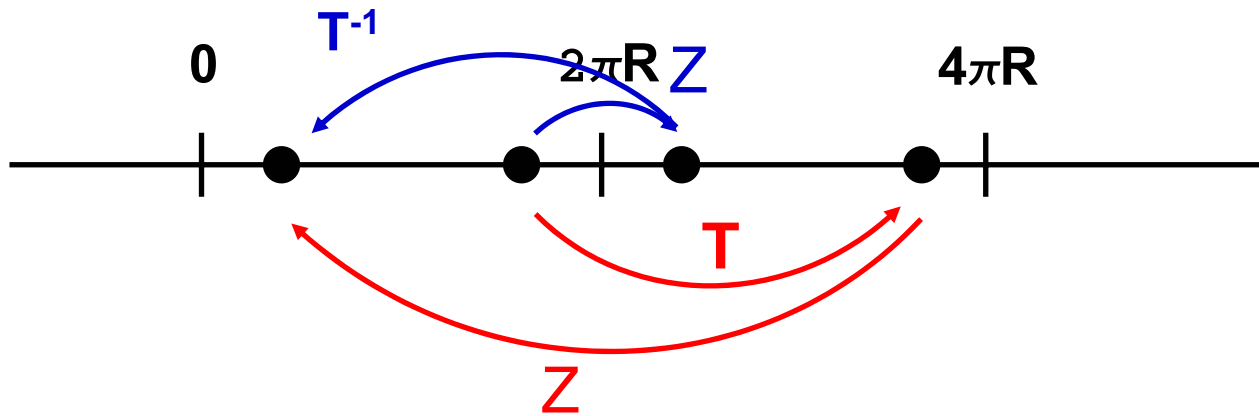
A consistency condition



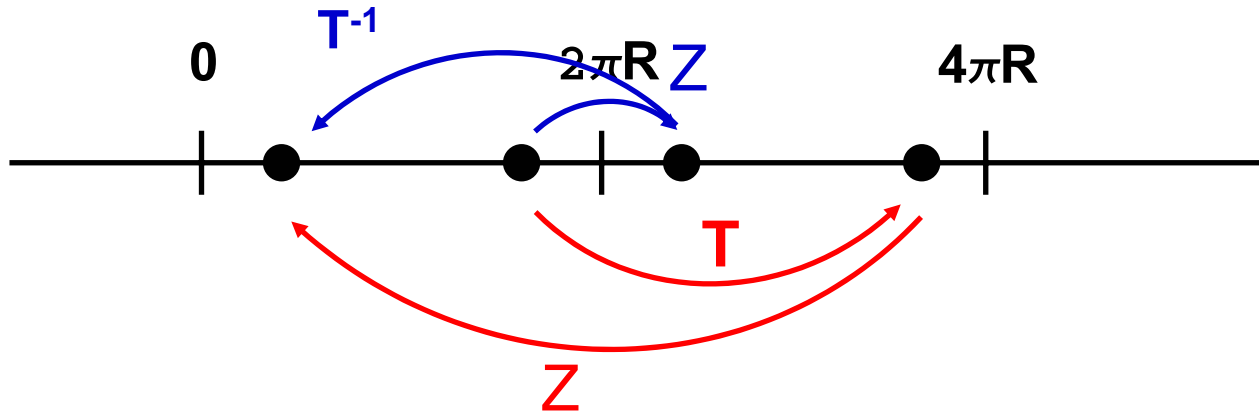
A consistency condition



A consistency condition



A consistency condition



$$Z T = T^{-1} Z$$

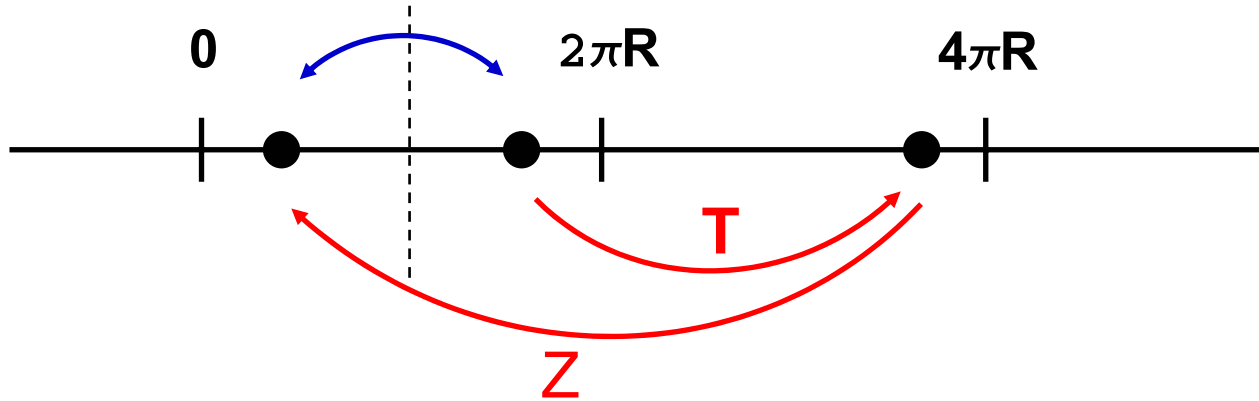
- Z is a projection $Z^2 = 1$

$$Z T Z = T^{-1}$$

- ZT is also a projection

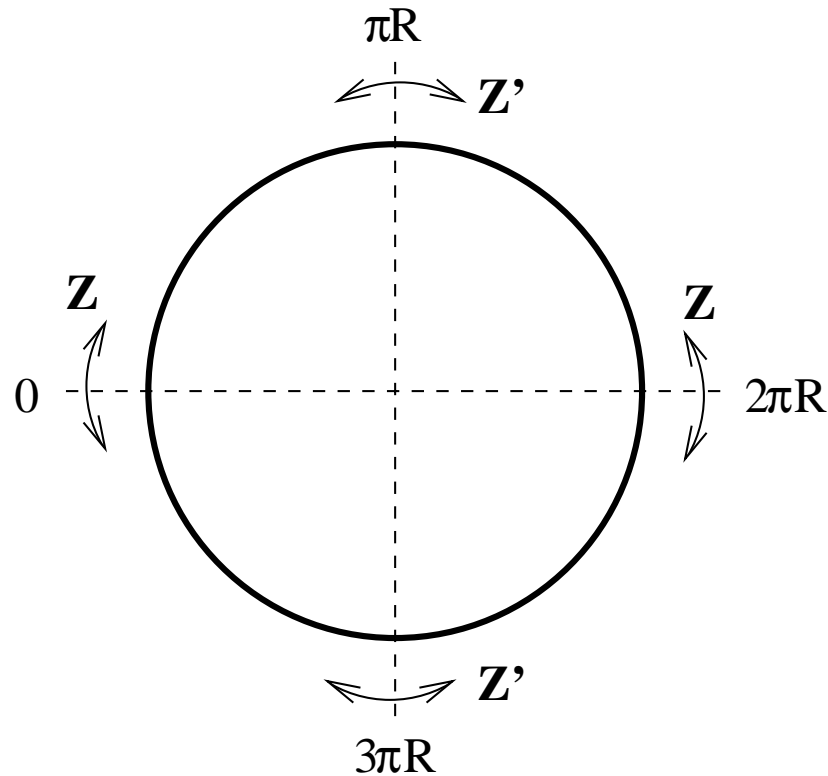
$$(ZT)^2 = ZT Z T = T^{-1} T = 1$$

The effect of ZT



- ZT is a reflection around πR
- A generic S^1/Z_2 is a combination of two (not necessarily commuting) parities Z and ZT

A simple way to picture the orbifold BC's



- Need to assign + or – parities to fields
- Parity assignments don't have to be in same basis (eg. there could be a Scherk-Schwarz twist if parities don't commute)

The orbifold BC's

- Assign parities under two Z_2 's:
 - Scalars: $\boldsymbol{\varphi}(-\mathbf{y}) = \mathbf{P}\boldsymbol{\varphi}(\mathbf{y})$. $P = \pm 1$
 - Gauge fields: $\mathbf{A}_\mu(-\mathbf{y}) = \mathbf{P}\mathbf{A}_\mu(\mathbf{y})\mathbf{P}^{-1}$
 $\mathbf{A}_5(-\mathbf{y}) = -\mathbf{P}\mathbf{A}_5(\mathbf{y})\mathbf{P}^{-1}$
 - Fermions: $\boldsymbol{\chi}(-\mathbf{y}) = \mathbf{P}\boldsymbol{\chi}(\mathbf{y})$
 $\boldsymbol{\psi}(-\mathbf{y}) = -\mathbf{P}\boldsymbol{\psi}(\mathbf{y})$
- Reason:
 - \mathbf{A}_5 opposite parity as \mathbf{A}_μ (vector)
 - Term in fermion action: $\boldsymbol{\psi}\partial_5\boldsymbol{\chi}$

• The KK spectrum

- Gauge bosons: If A_μ has zero mode, A_5 will NOT (and vice versa)
- LH (χ) and RH (ψ) fermions have opposite BC's: if one has zero mode, the other doesn't \rightarrow theory CHIRAL

3. Application to GH unification

- The necessary projection (at both endpoints):

$$P = \left(\begin{array}{c|c} 1 & \\ \hline & 1 \\ \hline & -1 \end{array} \right)$$

- Action on A_μ

$$\left(\begin{array}{c|c} + & - \\ \hline - & + \end{array} \right)$$

SU(2)xU(1) gauge
zero modes

$$PA_\mu(-y)P^{-1}$$

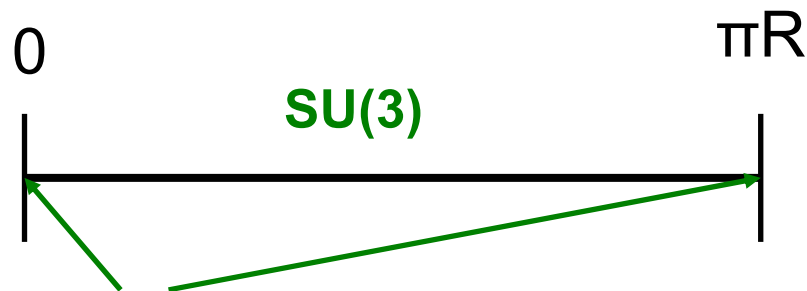
- Action on A_5 :

$$-PA_5(-y)P^{-1}$$

$$\begin{pmatrix} - & | & + \\ \hline + & | & - \end{pmatrix}$$

Scalar doublet
zero mode

- Picture:



$SU(2) \times U(1)$ orbifold fixed points

- For $(+, +)$ fields: $\cos(ny/R)$, $m_n^2 = n^2/R^2$
- For $(-, -)$ fields: $\sin(ny/R)$, $m_n^2 = n^2/R^2$

- Why is this interesting? 5D gauge invariance:

$$\begin{aligned} A_\mu &\rightarrow A_\mu + \partial_\mu \epsilon(x, y) + i[\epsilon(x, y), A_\mu] \\ A_5 &\rightarrow A_5 + \partial_5 \epsilon(x, y) + i[\epsilon(x, y), A_5] \end{aligned}$$

- ϵ : gauge transformation param., has its own KK expansion (same as A_μ). For broken dir. $\epsilon(0, \pi R) = 0$, BUT $\partial_5 \epsilon \neq 0$.

- Shift symmetry protects A_5 from mass even at fixed points where gauge symmetry broken
- Shift symmetry analog of broken global sym. in little Higgs models protecting Higgs.

- Shift symmetry forbids tree-level potential
Also **local** radiative potential for Higgs forbidden (formulation as SS theory)
- **Non-local** loop effects could still give a **finite** Higgs potential (loop has to stretch from one fixed point to other – does not shrink to zero – result must be finite...)
- Gauge-Higgs unification protects Higgs from divergences due to higher dim. gauge invar.
- Higgs potential only generated through finite loop effects

4. The calculation of the Higgs potential

- Need Coleman-Weinberg potential for Higgs
- Assume simplest SU(3) model for now
- Higgs VEV normalization:

$$A_5 = \frac{1}{\sqrt{2}} \begin{pmatrix} - & H_5 \\ H_5^\dagger & - \end{pmatrix}$$

$$\langle H_5 \rangle = \sqrt{2} \begin{pmatrix} 0 \\ \alpha/R \end{pmatrix}$$

- α : VEV in units of radius. For realistic model needs to be $\ll 1$ (to separate KK modes from) SM particles

- For Coleman-Weinberg need α -dependent Mass spectrum. For example gauge KK:

$$\begin{array}{c}
 \cos(ny/R) \quad \left(\begin{array}{cc|cc}
 \frac{1}{\sqrt{2}} A^3 + \frac{1}{\sqrt{6}} A^8 & W^+ & \tilde{W}^1 & \\
 W^- & -\frac{1}{\sqrt{2}} A^3 + \frac{1}{\sqrt{6}} A^8 & \tilde{W}^2 & \\
 \hline
 \tilde{W}^{1*} & \tilde{W}^{2*} & & -\frac{2}{\sqrt{6}} A^8
 \end{array} \right) \quad \sin(ny/R)
 \end{array}$$

- Mass terms come from: $-\int_0^\pi R \frac{1}{2} \text{Tr} F_{5\mu}^2$

$$-\frac{1}{2} \int_0^\pi R \text{Tr} (\partial_5 A_\mu - \partial_\mu A_5 + g_5 [\langle A_5 \rangle, A_\mu])^2$$

0 in unitary gauge

- The mass matrix mixes various components
In the 8x8 basis A_1 - A_8 the mixing matrix is:

- TeXForm on the Mathematica output:

$$\frac{1}{R^2} \begin{pmatrix} 2(\alpha^2 + n^2) & 0 & 0 & 0 & 4\alpha n & 0 & 0 & 0 \\ 0 & 2(\alpha^2 + n^2) & 0 & -4\alpha n & 0 & 0 & 0 & 0 \\ 0 & 0 & 2(\alpha^2 + n^2) & 0 & 0 & 0 & -4\alpha n & -2\sqrt{3}\alpha^2 \\ 0 & -4\alpha n & 0 & 2(\alpha^2 + n^2) & 0 & 0 & 0 & 0 \\ 4\alpha n & 0 & 0 & 0 & 2(\alpha^2 + n^2) & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2n^2 & 0 & 0 \\ 0 & 0 & -4\alpha n & 0 & 0 & 0 & 2(4\alpha^2 + n^2) & 4\sqrt{3}\alpha n \\ 0 & 0 & -2\sqrt{3}\alpha^2 & 0 & 0 & 0 & 4\sqrt{3}\alpha n & 2(3\alpha^2 + n^2) \end{pmatrix}$$

- Eigenvalues:
 - n^2/R^2 x2 $\leftarrow \gamma$
 - $(n \pm \alpha)^2/R^2$ x2 $\leftarrow W_{\pm}$
 - $(n \pm 2\alpha)^2/R^2$ x1 $\leftarrow Z$

- Implies most problematic part of model:

$$M_Z^2/M_W^2=2$$

- Obviously due to wrong U(1) quantum number of Higgs

- Unbroken U(1) after orbifolding: T_8
- Higgs quantum number:

Usual normalization:

- $g \rightarrow 1/2$ diag (1, -1), etc
- $g' \rightarrow$ Higgs quantum number 1/2

- Here: for $\text{Tr } T_a T_b = 1/2$: $T_8 = 1/(2\sqrt{3})$ diag(1, 1, -2)
- Higgs quantum number $\sqrt{3}/2$. Rescale U(1):
- **$\sqrt{3}/2 g = g'/2$**

$$\sin^2 \theta_W = g'^2 / (g^2 + g'^2) = 3 / (1 + 3) = 3/4$$

- Wrong U(1) normalization, need another U(1)

The Coleman-Weinberg potential

(Antoniadis, Benakli, Quiros)

$$V_{CW}(\phi) = \frac{1}{2} \sum_I (-1)^{F_I} \int \frac{d^4 p}{(2\pi)^4} \log(p^2 + M_I^2(\phi))$$

- General form of KK mass spectrum (ABQ)

$$M_{\vec{m}}^2 = \mu^2 + \sum_{i=1}^d \frac{(m_i + a_i(\phi))^2}{R_i^2}$$

- Expression for potential in general case in 5D:

$$V_{eff}(\beta) = \frac{\mp 1}{32\pi^2} \frac{1}{(\pi R)^4} \mathcal{F}(\beta) \quad \beta = k \alpha$$

- Where for no bulk mass term $m_n^2 = (n + \beta)^2 / R^2$

$$\mathcal{F}(\beta) = \frac{3}{2} \sum_{n=1}^{\infty} \frac{\cos(2\pi\beta n)}{n^5}$$

- With bulk mass term $m_n^2 = M^2 + (n + \beta)^2 / R^2$

$$\mathcal{F}_\kappa(\beta) = \frac{3}{2} \sum_{n=1}^{\infty} \frac{e^{-\kappa n} \cos(2\pi\beta n)}{n^3} \left(\frac{\kappa^2}{3} + \frac{\kappa}{n} + \frac{1}{n^2} \right)$$

- Where $\kappa = 2\pi MR$. For large κ exponentially suppressed.

Comments

- $n=1$ term most important in series $\pm \cos 2\pi\beta$
- For fermions min. for $\beta=1/2$
- For bosons min. for $\beta=0$
- For twisted fermions (will see later) spectrum

$$m_n^2 = M^2 + (n+1/2+\beta)^2/R^2$$

- Effect in potential $\beta \rightarrow \beta+1/2$

Summary:

Can calculate finite Higgs potential for arbitrary bulk fields. Need to know, what bulk fields...

6.The fermion fields & flavor structure

• Apparent problem: since Higgs= A_5 , Yukawa coupling=gauge coupling. How to get fermion mass hierarchy?

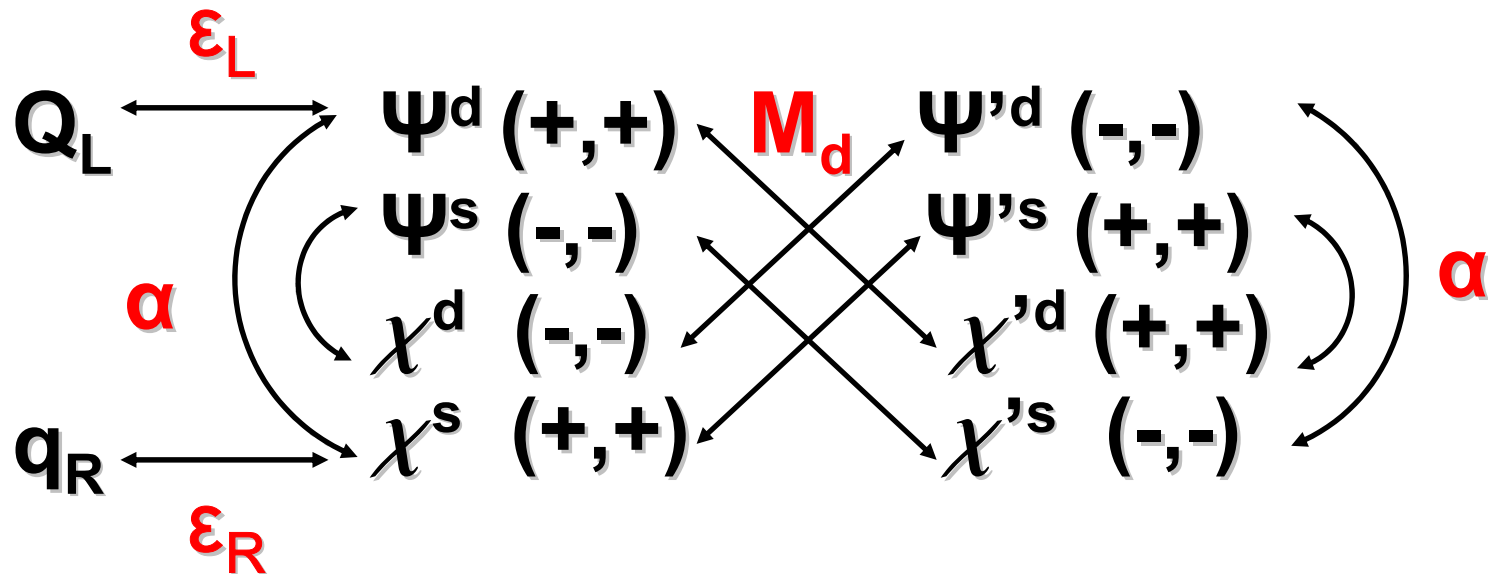
1. Use Arkani-Hamed **Schmaltz** idea of localizing fermions at different parts of 5D

2. Use bulk fermions mixed with localized fermions at the fixed points (an X-D version of Frogatt-Nielsen)

• Will use second approach

Example: down quark

- Use bulk triplets **3** and no twisting



- Need to write down coupled bulk equations
- Can diagonalize bulk equations
- BC's will provide equation for KK masses

7.A semi-realistic model

- To fix $\sin^2\theta_w$ we add an additional $\mathbf{U}(1)_X$
- Gauge group $\mathbf{SU}(3)\times\mathbf{U}(1)_X$ broken by orbifold to $\mathbf{SU}(2)_L\times\mathbf{U}(1)_8\times\mathbf{U}(1)_X$, and $\mathbf{U}(1)_8\times\mathbf{U}(1)_X\rightarrow\mathbf{U}(1)_Y$ on the fixed point (localized Higgs or anomaly)
- This last breaking distorts wave functions, we'll have to pay the price for that...

Two main problems:

(Scrucca, Serone, Silvestrini)

- Higgs mass too small (& KK modes light)
- Top mass too small

- Reason: if assume (well motivated)
 - all mixings of same order
 - fermion hierarchy only from bulk masses
- Most bulk masses very large, contribution to CW very suppressed. Basically top dominates radiative potential, and minimum of top+gauge contribution gives

$$\alpha \sim 0.3, \quad m_h \sim 0.2 - 0.3 m_W$$
$$1/R \sim 3 - 5 m_W \sim 250 - 400 \text{ GeV}$$
$$m_t \leq m_W$$

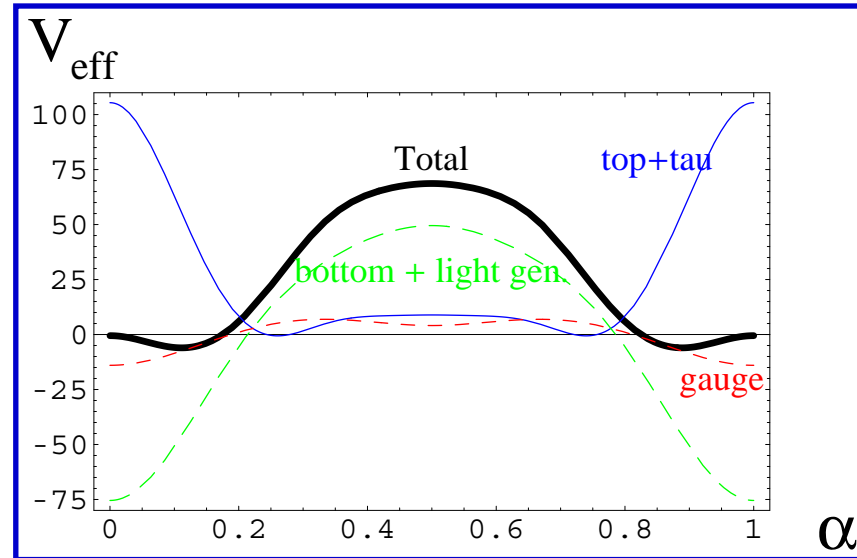
- This is obviously bad

- **Fix Higgs mass and VEV**: assume that some light fermions light **due to small mixing** rather than due to large bulk mass
- These bulk fermions will also contribute
- Take different representations and twist some of fermions → get a much more versatile Higgs potential

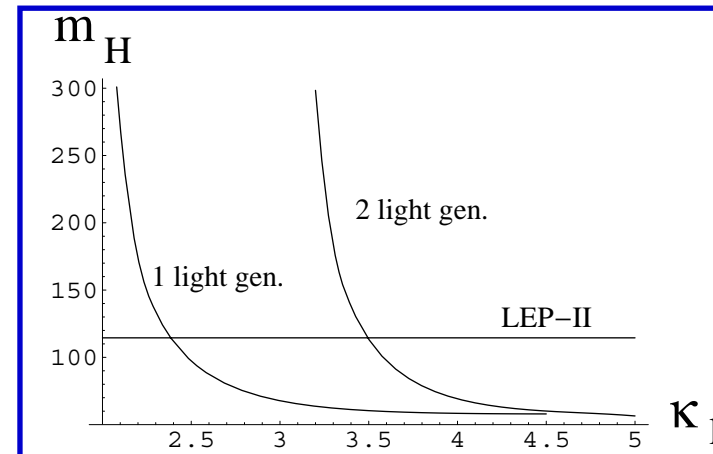
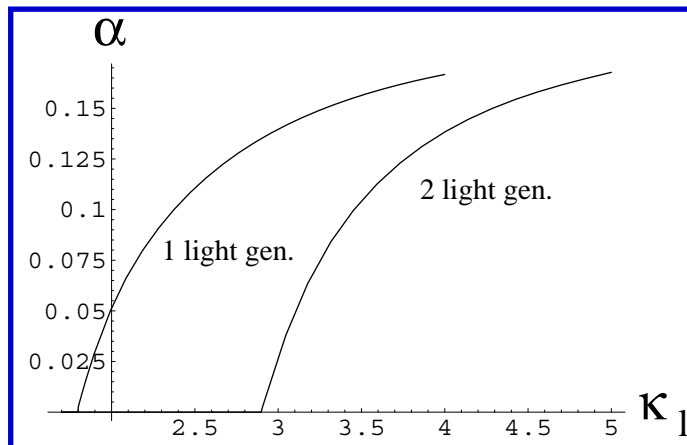
A successful example

- Top: rep. $\bar{\mathbf{6}}$, large mixing $\epsilon_{L,R} \sim \mathbf{3}$, $\kappa_t \sim \mathbf{1}$
- Bottom: twisted $\mathbf{3}$, $\kappa_b = \mathbf{0}$
- Tau: $\mathbf{10}$, $\kappa_\tau = \mathbf{1}$
- Light gens: twisted $\mathbf{3} + \bar{\mathbf{6}} + \mathbf{10}$, common κ_l

• The Higgs potential:



• VEV and Higgs mass



- **Fix top mass:** upper bound on fermion mass actually depends on representation

$$m_t \leq km_W$$

- **k^2 :** number of indices of rep. top is embedded
- For **$m_t = 2m_W$** need a 4-index irrep...
- Simplest possibility **$\overline{15}$** dim rep:

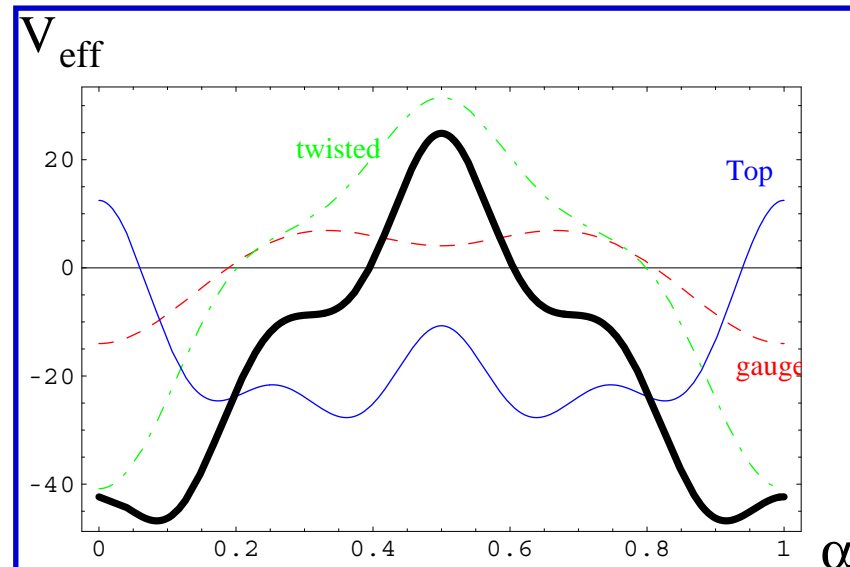
$$(\overline{15})_{-2/3} \rightarrow (1, 2/3) + (2, 1/6) + (3, -1/3) + (4, -5/6) + (5, -4/3)$$

- To get biggest top mass (**$2m_W$**) need top to be a bulk zero mode. So we only add a single **$\overline{15}$** with usual orbifold projections. Remove ad'l zero modes via mixing with localized fields

- For EWSB third generation enough (twisted fermions for \mathbf{b}, τ). Possible reps (choose them as small as possible to not lower cutoff further)

| | bottom | tau |
|---------|----------------------------------|-----------------------------------|
| model a | $(\mathbf{3}, \mathbf{3})_0$ | $(\mathbf{1}, \mathbf{10})_0$ |
| model b | $(\mathbf{3}, \mathbf{6})_{1/3}$ | $(\mathbf{1}, \mathbf{3})_{-2/3}$ |

- The Higgs potential



8. Summary

- In extra dim's a possible solution to hierarchy problem is via gauge-Higgs unification
- Need to extend gauge group and orbifold it to **SU(2)xU(1)**
- Simplest (and most realistic) example in 5D **SU(3)xU(1)_x**
- Generically hard to get a large separation of Higgs VEV and KK modes, and heavy Higgs, top
- Can use many bulk fermions to generate a sufficiently generic Higgs pot.
- Top mass fixed via large bulk representation
- Constraints from **Zbb**, $\Delta\rho$: little hierarchy ...