



*The Abdus Salam  
International Centre for Theoretical Physics*



**1866-14**

**School on Pulsed Neutrons: Characterization of Materials**

*15 - 26 October 2007*

**Single Crystal Neutron Spectroscopy**

Joel Mesot  
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ETH Zurich & Paul Scherrer Institute  
Villigen  
Switzerland*

# Single Crystal Spectroscopy

## to probe the Physics of Low Dimensional Systems

Joël Mesot

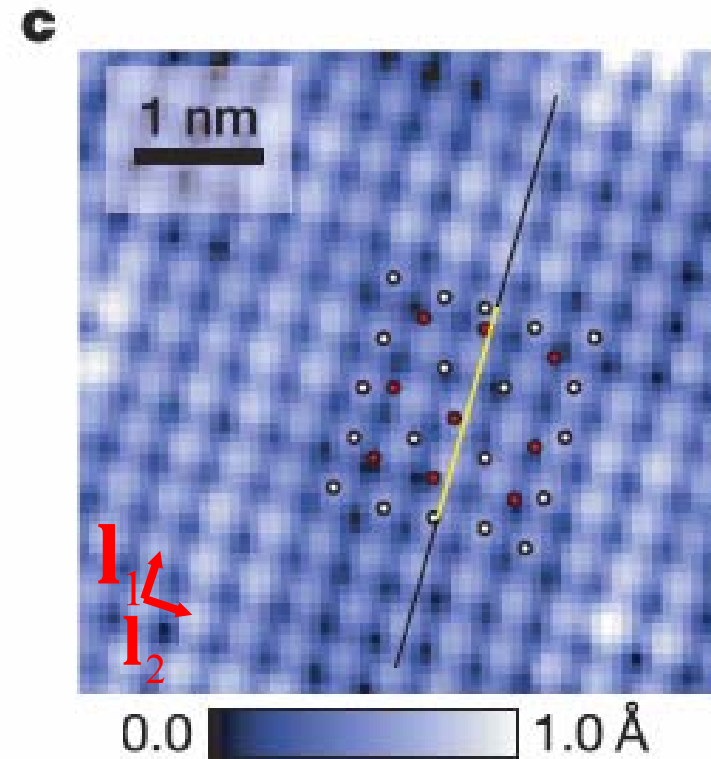
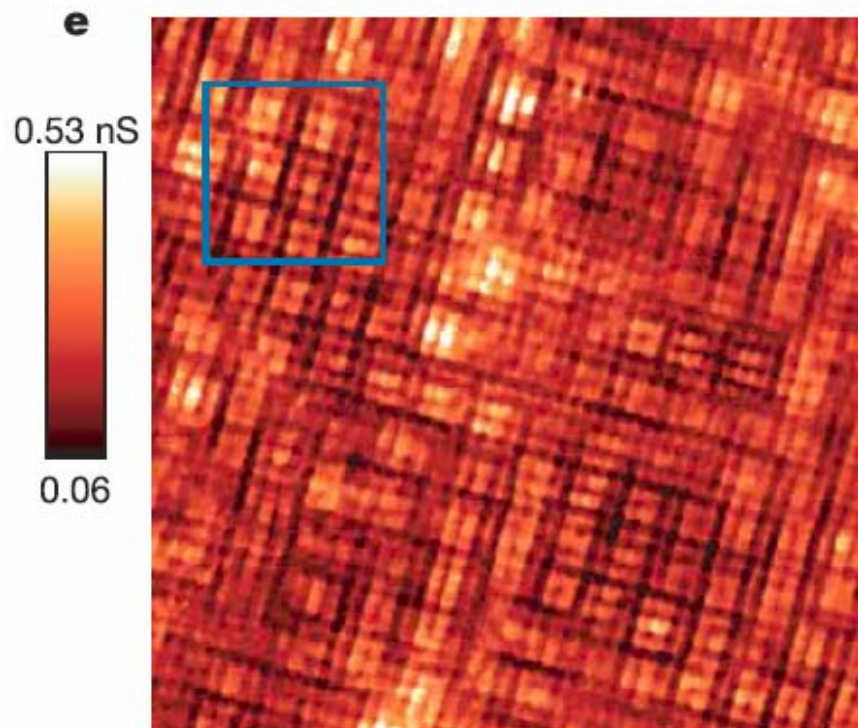
Laboratory for Neutron Scattering, ETH Zurich and PSI,  
Switzerland

### Layout:

- 1) Introduction to dynamics in crystals
- 2) Introduction to low-D systems
- 3) Neutron scattering on magnetic insulators
- 4) From zero to 2 dimensions



# Periodic arrangement of atoms in a solid (STM Davis Nature 2004)



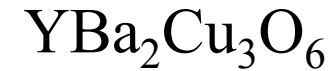
$$d = x_1 \mathbf{l}_1 + x_2 \mathbf{l}_2$$



# n-atoms per unit cell

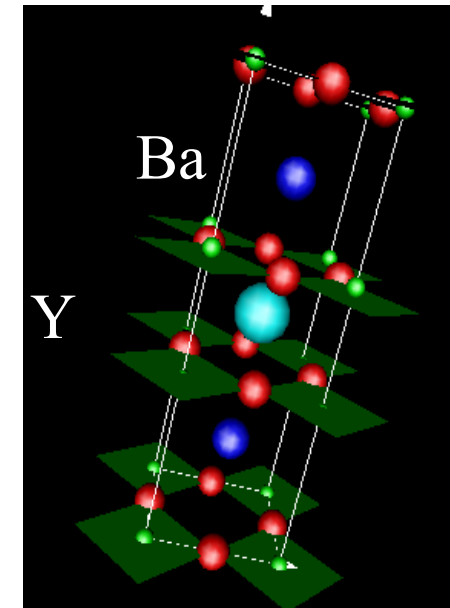
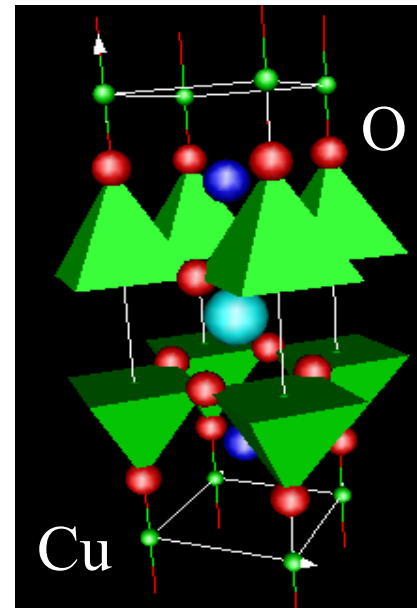
$$\mathbf{d}_i = x_1 \mathbf{l}_1 + x_2 \mathbf{l}_2 + x_3 \mathbf{l}_3$$

$$(0 \leq x_i \leq 1)$$



```

C 3.8920 3.8920 11.9909 90. 90. 90.
S GRUP P 4/m m m
A Ba1          0.50000 0.50000 0.19440
A Y1           0.50000 0.50000 0.50000
A Cu1          0.00000 0.00000 0.00000
A Cu2          0.00000 0.00000 0.36130
A O1           0.00000 0.00000 0.15010
A O2           0.00000 0.50000 0.37910
A O4          0.00000 0.50000 0.00000
    
```



Real lattice: Basis vectors:  $\mathbf{l}_1, \mathbf{l}_2, \mathbf{l}_3$

$$d = x_1 \mathbf{l}_1 + x_2 \mathbf{l}_2 + x_3 \mathbf{l}_3 \quad (d = x_1 \mathbf{a}_1 + x_2 \mathbf{a}_2 + x_3 \mathbf{a}_3)$$

Reciprocal lattice: Basis vectors:  $\tau_1, \tau_2, \tau_3$

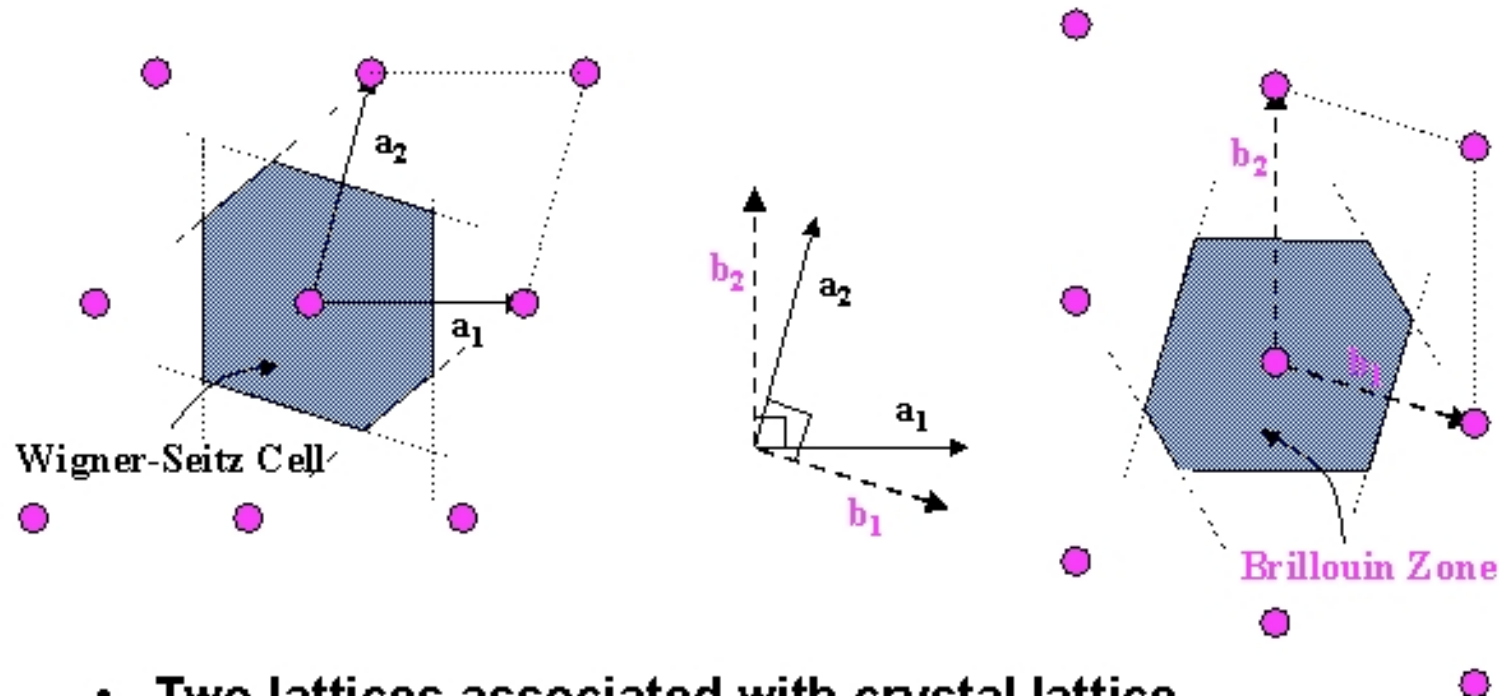
$$\tau_{hkl} = h\tau_1 + k\tau_2 + l\tau_3 \quad (\tau_{hkl} = h\mathbf{b}_1 + k\mathbf{b}_2 + l\mathbf{b}_3)$$

$$\tau_1 = \frac{2\pi \mathbf{l}_2 \times \mathbf{l}_3}{\mathbf{l}_1 (\mathbf{l}_2 \times \mathbf{l}_3)}; \dots \quad \mathbf{b}_1 = \frac{2\pi \mathbf{a}_2 \times \mathbf{a}_3}{\mathbf{a}_1 (\mathbf{a}_2 \times \mathbf{a}_3)}; \dots$$

Distance between planes:  $d_{hkl} = \frac{2\pi}{|\tau_{hkl}|}$



# Real & Reciprocal lattices in 2 D



- Two lattices associated with crystal lattice
- $b_1$  perpendicular to  $a_2$ ,  $b_2$  perpendicular to  $a_1$
- Wigner-Seitz Cell of Reciprocal lattice called the “First Brillouin Zone” or just “Brillouin Zone”

# Reciprocal Lattice in 3D

- The primitive vectors of the reciprocal lattice are defined by the vectors  $b_i$  that satisfy

$$b_i \cdot a_j = 2\pi \delta_{ij}, \text{ where } \delta_{ij} = 1, \delta_{ij} = 0, i \neq j$$

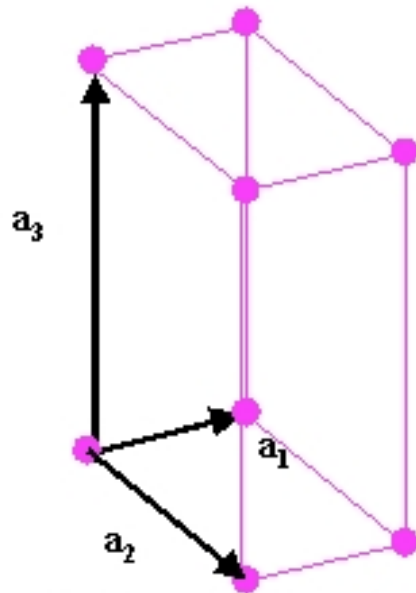
- **How to find the b's?**

- Note:  $b_1$  is orthogonal to  $a_2$  and  $a_3$ , etc.
- In 3D, this is found by noting that  $(a_2 \times a_3)$  is orthogonal to  $a_2$  and  $a_3$
- Also volume of primitive cell  $V = |a_1 \cdot (a_2 \times a_3)|$
- Then  $b_i = (2\pi / V) (a_j \times a_k)$ , where  $i \neq j \neq k$

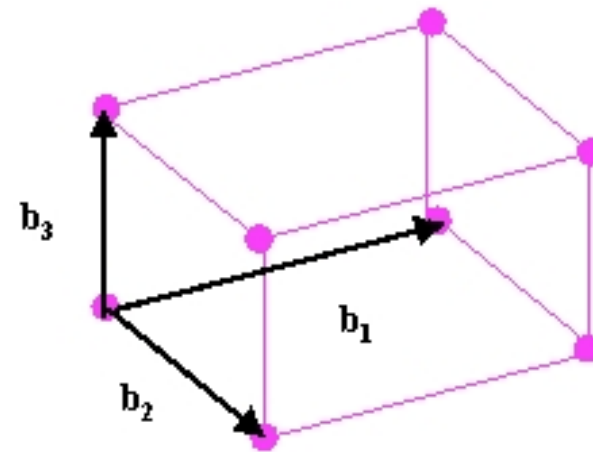


# Three Dimensional Lattices

## Simplest examples



Simple Orthorhombic Bravais Lattice  
with  $a_3 > a_2 > a_1$



Reciprocal Lattice  
Note:  $b_1 > b_2 > b_3$

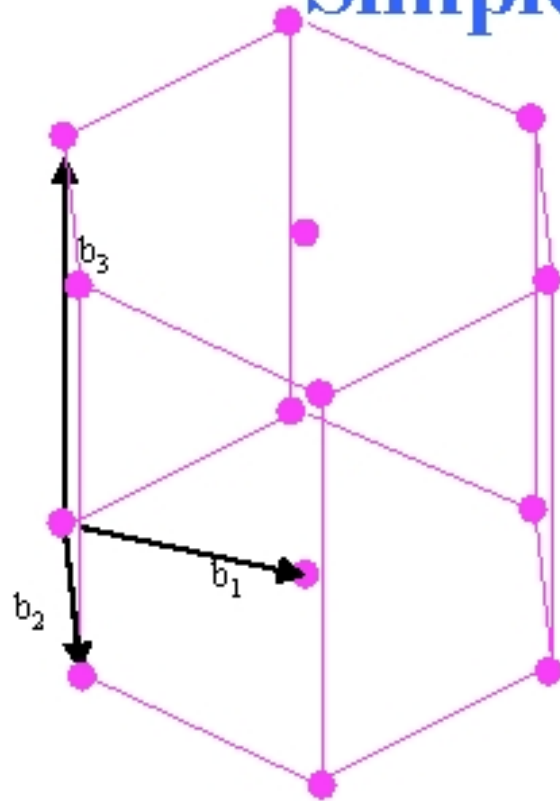
- Long lengths in real space imply short lengths in reciprocal space and vice versa



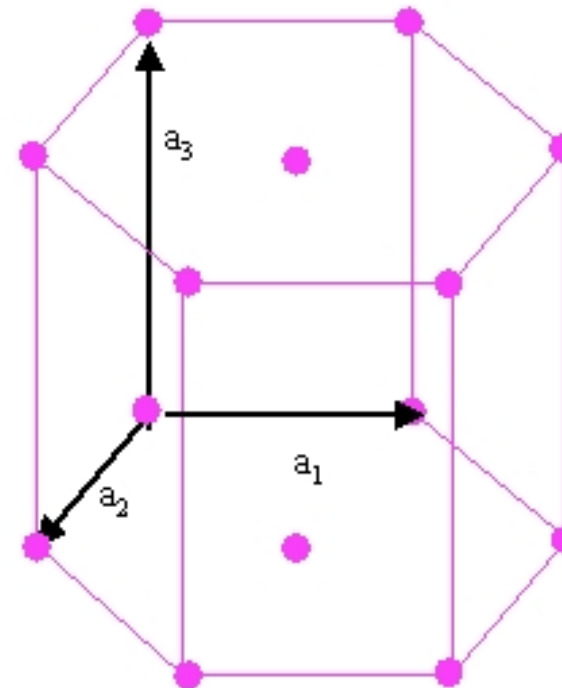


# Three Dimensional Lattices

## Simplest examples



Reciprocal Lattice

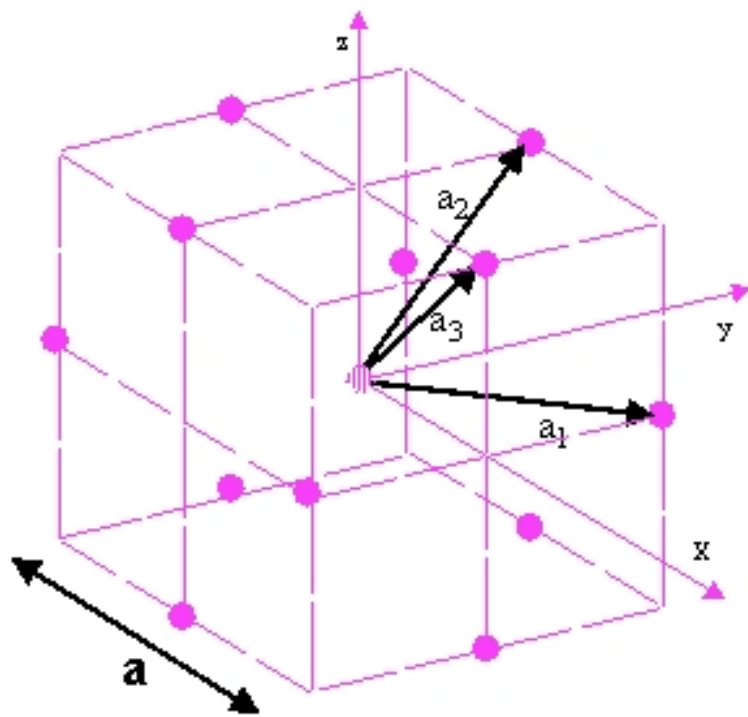


Hexagonal Bravais Lattice

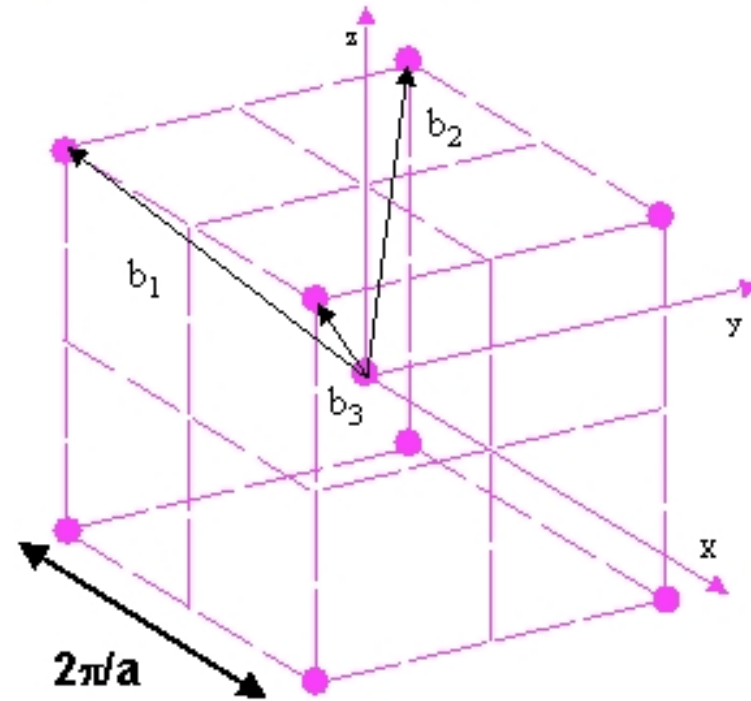
- **Reciprocal lattice is also hexagonal, but rotated**
- **See homework problem in Kittel**



# Face Centered - Body Centered Cubic Reciprocal to one another



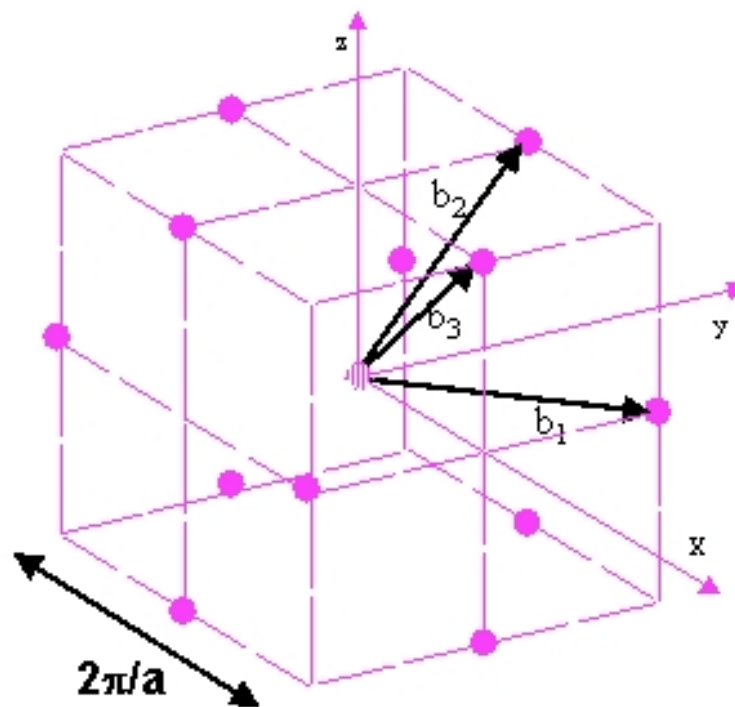
Primitive vectors and the  
conventional cell of fcc lattice



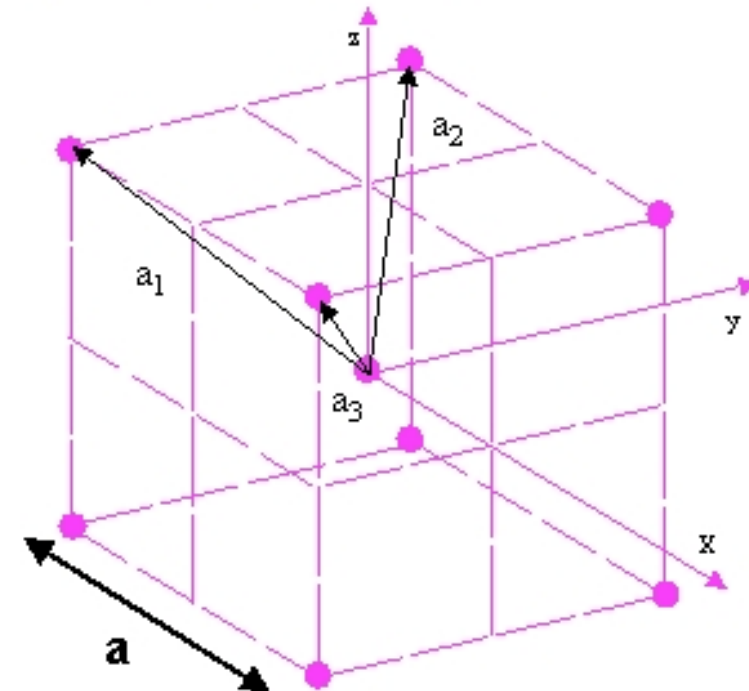
Reciprocal lattice is  
Body Centered Cubic



# Face Centered - Body Centered Cubic Reciprocal to one another



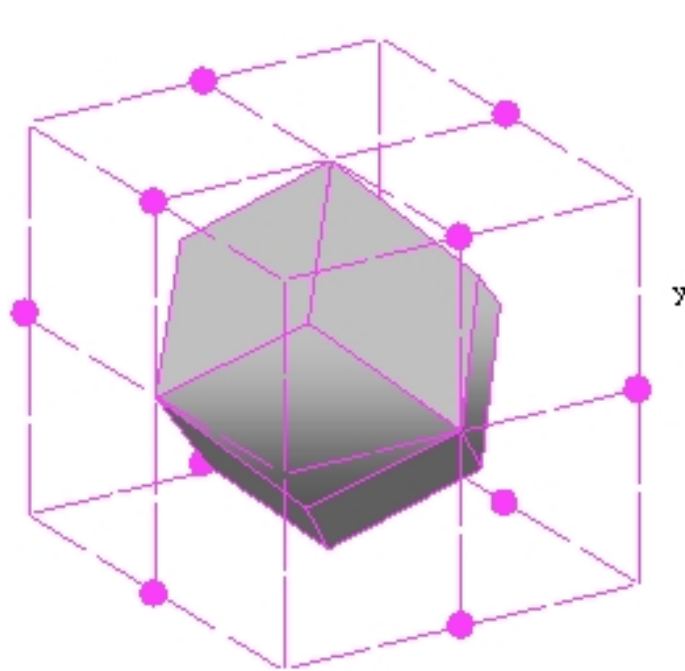
Reciprocal lattice is  
Face Centered Cubic



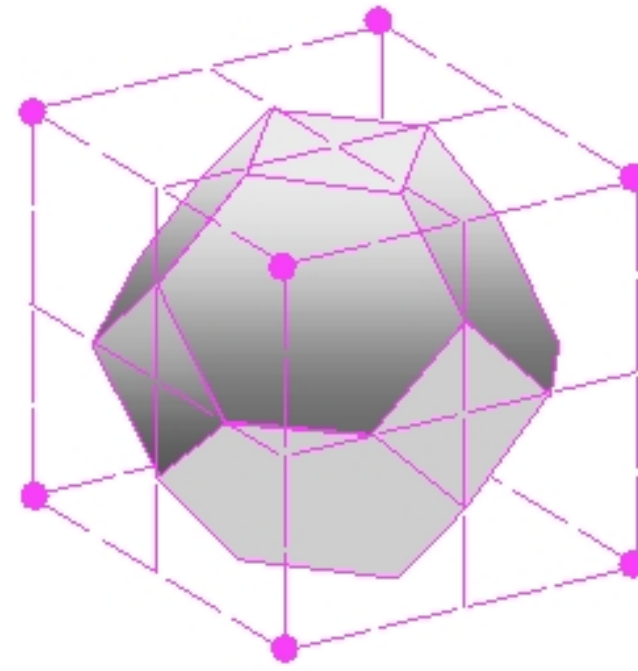
Primitive vectors and the  
conventional cell of bcc lattice



# Face Centered Cubic



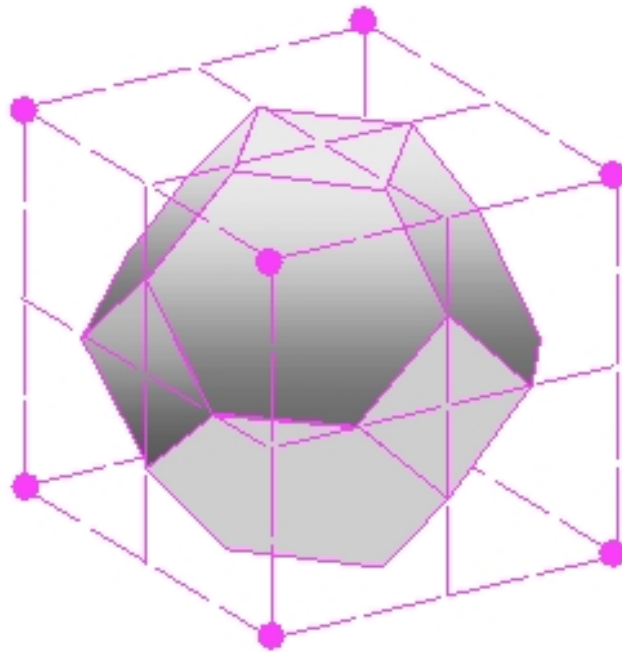
Wigner-Seitz Cell for  
Face Centered Cubic Lattice



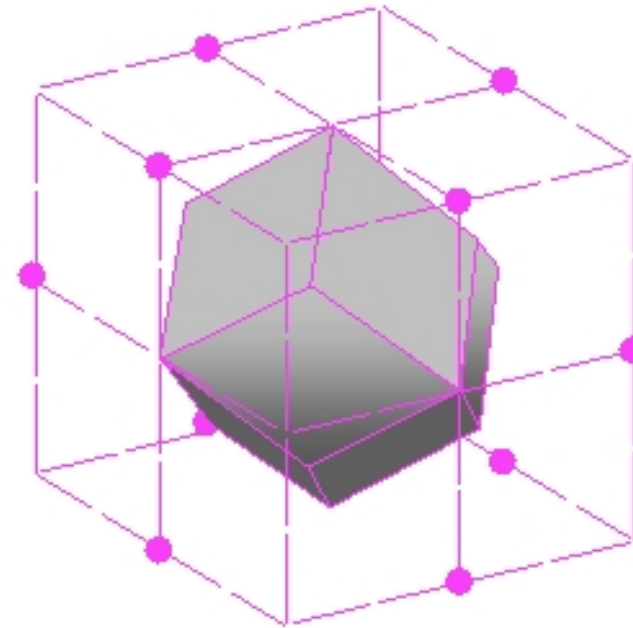
Brillouin Zone =  
Wigner-Seitz Cell for  
Reciprocal Lattice



# Body Centered Cubic



Wigner-Seitz Cell for  
Body Centered Cubic Lattice



Brillouin Zone =  
Wigner-Seitz Cell for  
Reciprocal Lattice



## Why do we need reciprocal space at all?

--> because of coherent scattering cross-section

$$\left(\frac{d\sigma}{d\Omega}\right)_{inc} = \left[\langle b^2 \rangle - \langle b \rangle^2\right] \sum_{j=j'} e^{-i\mathbf{Q}(\hat{\mathbf{R}}_{j'} - \hat{\mathbf{R}}_j)} = N \left[\langle b^2 \rangle - \langle b \rangle^2\right]$$

$$\left(\frac{d\sigma}{d\Omega}\right)_{coh} = N_0 \frac{(2\pi)^3}{v_0} \sum_{\boldsymbol{\tau}} |\mathbf{F}_{\boldsymbol{\tau}}|^2 \delta(\mathbf{Q} - \boldsymbol{\tau})$$

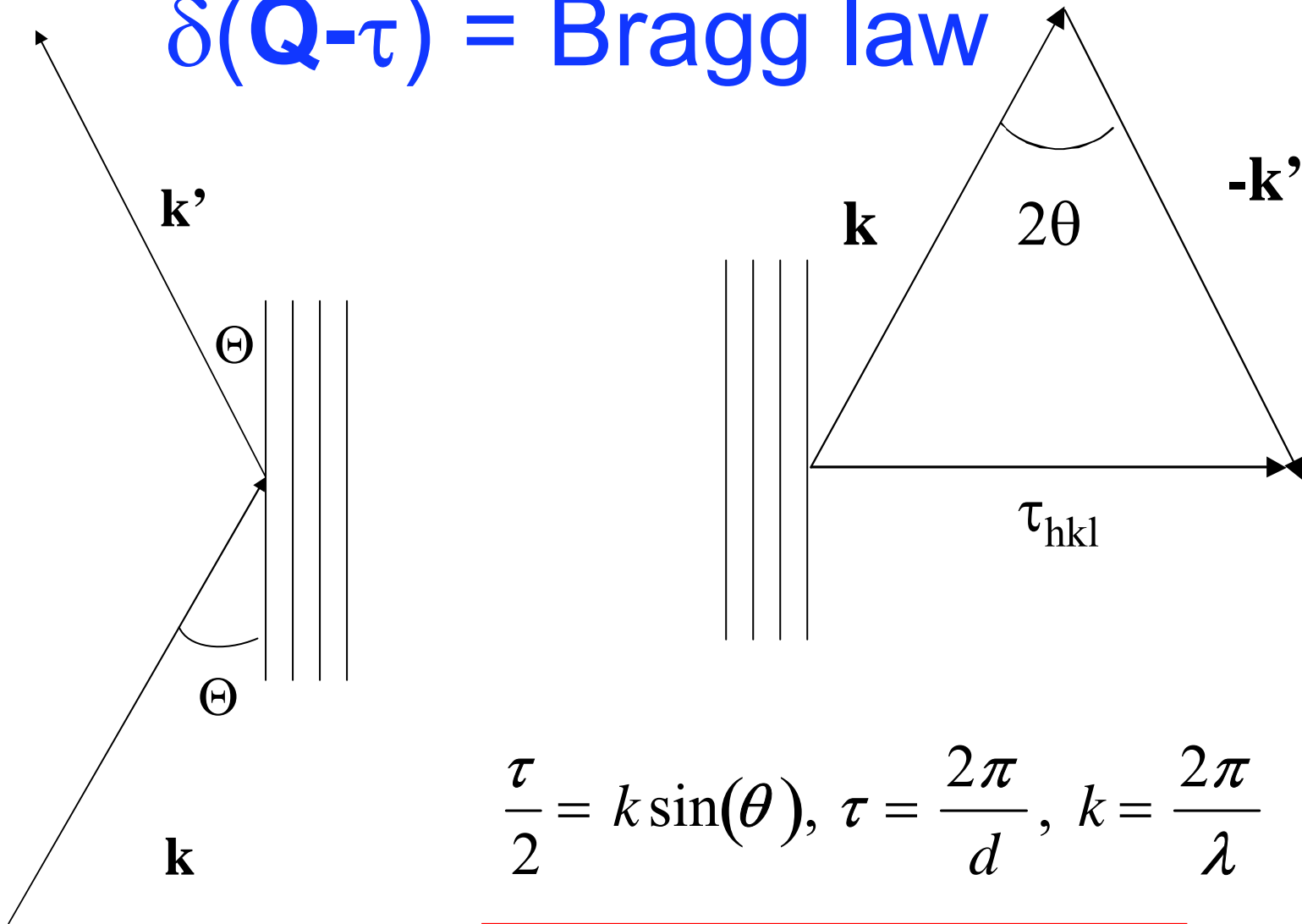
$$\text{Structure factor } \mathbf{F}_{\boldsymbol{\tau}} = \sum_d b_d e^{i\boldsymbol{\tau} \cdot \mathbf{d}}$$

$\boldsymbol{\tau}$ =reciprocal lattice vector

$\mathbf{d}$ = position of atom  $d$   
in unit cell



# $\delta(\mathbf{Q}-\boldsymbol{\tau}) = \text{Bragg law}$

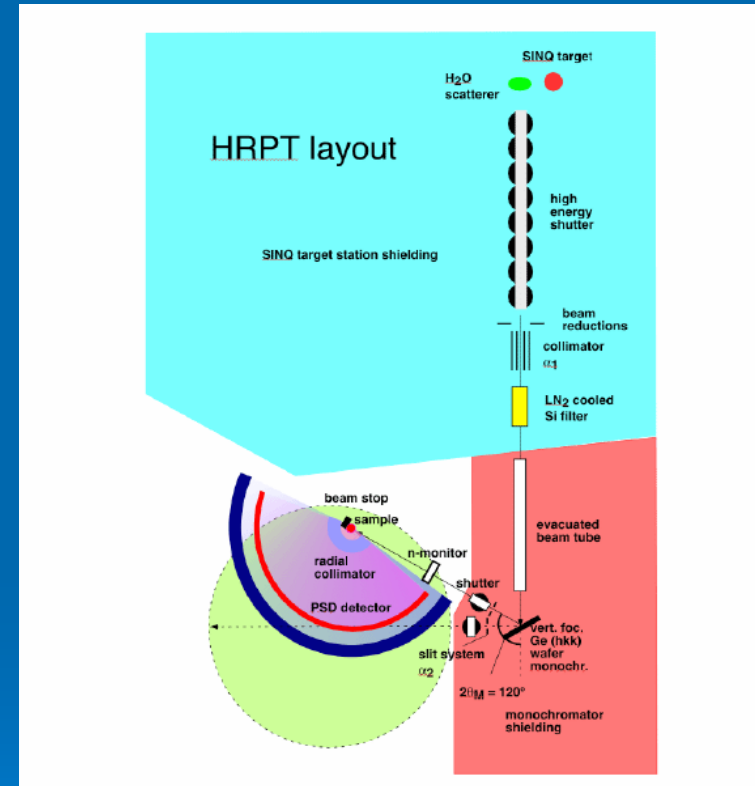


$$\frac{\tau}{2} = k \sin(\theta), \quad \tau = \frac{2\pi}{d}, \quad k = \frac{2\pi}{\lambda}$$

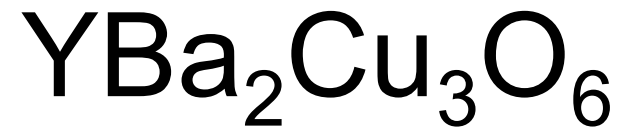
$$\lambda = 2d \sin \theta$$



# HRPT

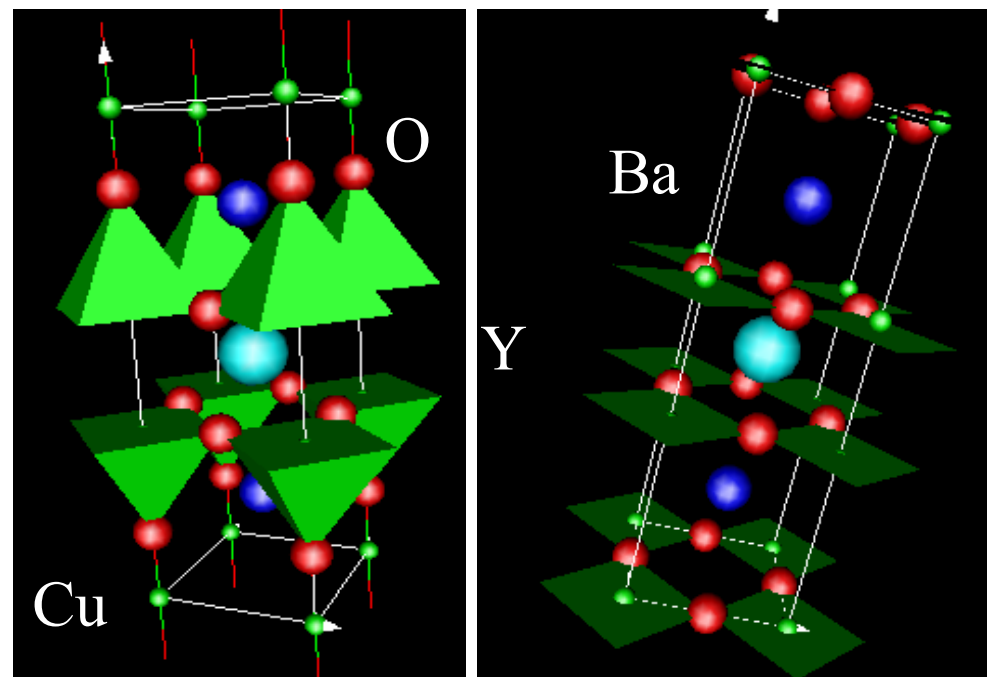






“Apex” model

“Plane” model

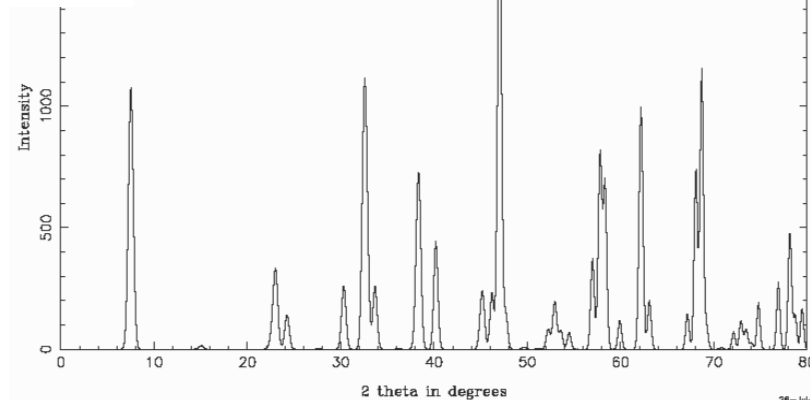
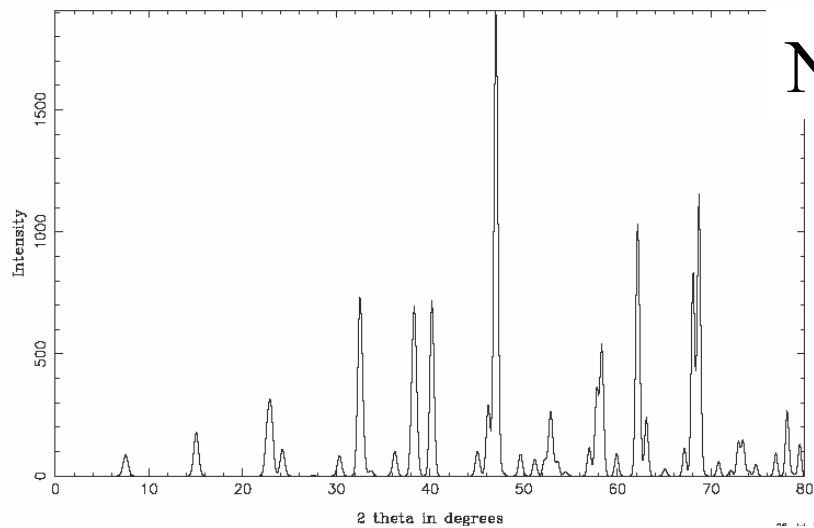




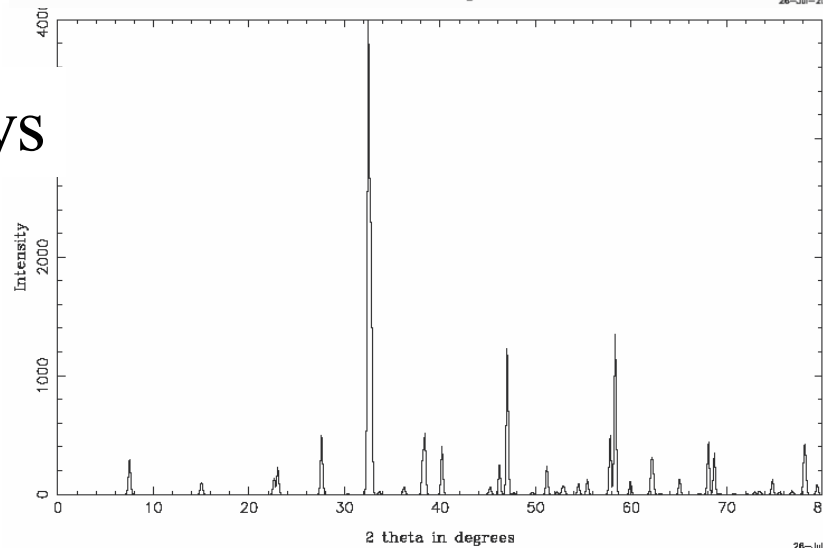
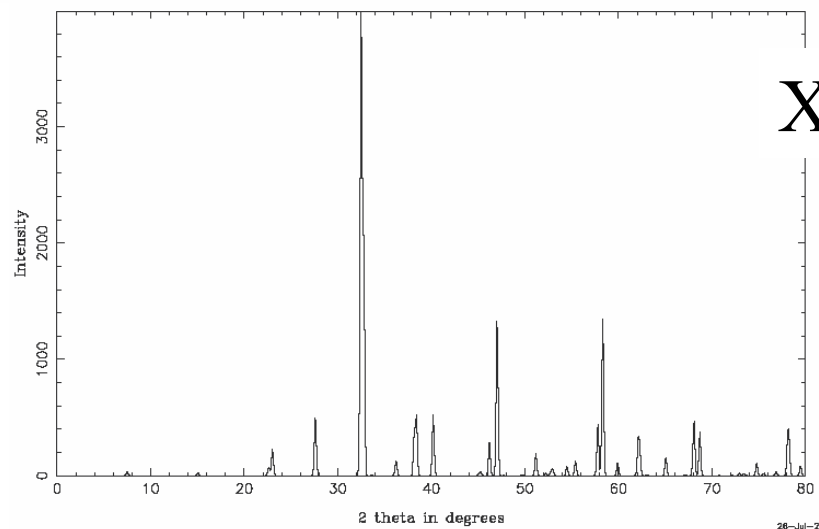
“Apex” model

“Plane” model

Neutrons



X-rays



**Copper:** crystallizes in a face cubic centered (fcc) structure.

$$\mathbf{d}_1 = a(0,0,0), \quad \mathbf{d}_2 = a(1/2,1/2,0), \quad \mathbf{d}_3 = a(1/2,0,1/2), \\ \mathbf{d}_4 = a(0,1/2,1/2) \quad \tau_{hkl} = 2\pi/a (h,k,l) \quad F_\tau = \sum_d b_d e^{i\tau d}$$

$$F_{100} = \exp\left\{\frac{2\pi}{a} i(1,0,0)a(0,0,0)\right\} + \exp\{2\pi i(1,0,0)(1/2,1/2,0)\} \\ + \exp\{2\pi i(1,0,0)(1/2,0,1/2)\} + \exp\{2\pi i(1,0,0)(0,1/2,1/2)\} \\ = 1 + \exp\{\pi i\} + \exp\{\pi i\} + 1 = \mathbf{0}$$

$$F_{200} = 1 + \exp\{2\pi i\} + \exp\{2\pi i\} + 1 = 4$$

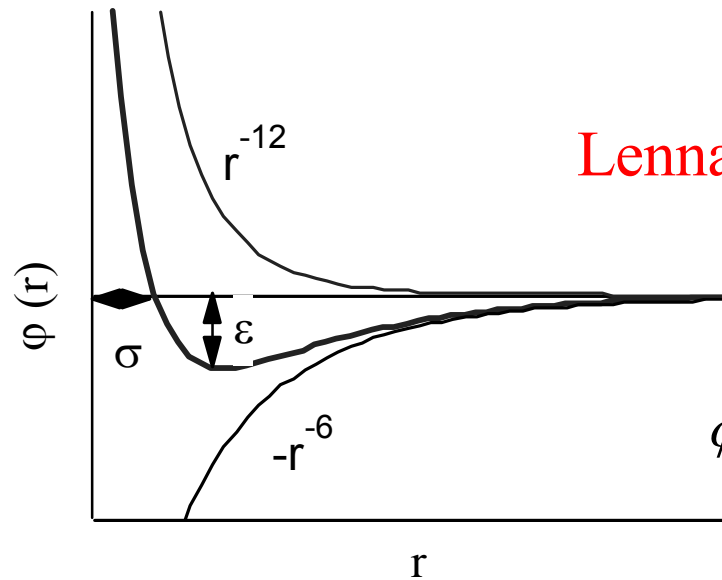
$$F_{111} = 1 + \exp\{2\pi i\} + \exp\{2\pi i\} + \exp\{2\pi i\} = 4$$



# Dynamics of periodic assembly of atoms



## Interactions between particles (atoms, molecules)



### Lennard-Jones 6-12 potential

$$\varphi(r) = 4\varepsilon \left[ \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^6 \right]$$

$$U = \frac{1}{2} \sum_{R,R'} \varphi(R - R') = \frac{1}{2} \sum_{R \neq 0} \varphi(R)$$

In a solid:

displacement from equilibrium position  $r = R + u(R)$

$$U = \frac{1}{2} \sum_{r,r'} \varphi(r - r') = \frac{1}{2} \sum_{R,R'} \varphi(R - R' + u(R) - u(R'))$$



## Serie expansion

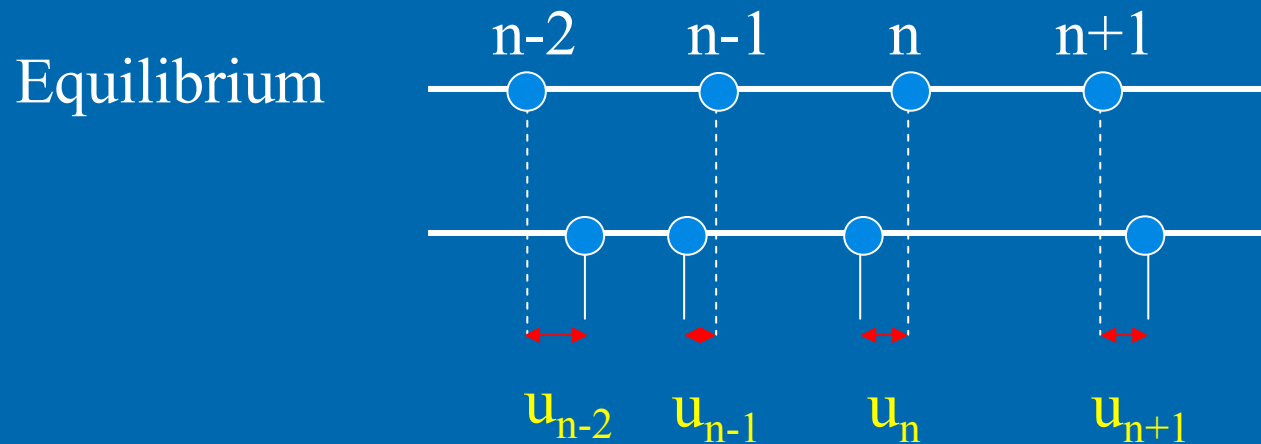
$$U = \frac{N}{2} \sum_R \varphi(R) + \frac{1}{2} \sum_{R,R'} (u(R) - u(R')) \nabla \varphi(R - R') \\ + \frac{1}{4} \sum_{R,R'} (u(R) - u(R'))^2 \nabla^2 \varphi(R - R') + \dots$$

↑  
Harmonic term !

↙  
Force constant !



# Linear chain of identical atoms



$$F_n = \beta(u_{n+1} - u_n) - \beta(u_n - u_{n-1})$$

$$M\ddot{u}_n = F_n = \beta(u_{n+1} + u_{n-1} - 2u_n)$$

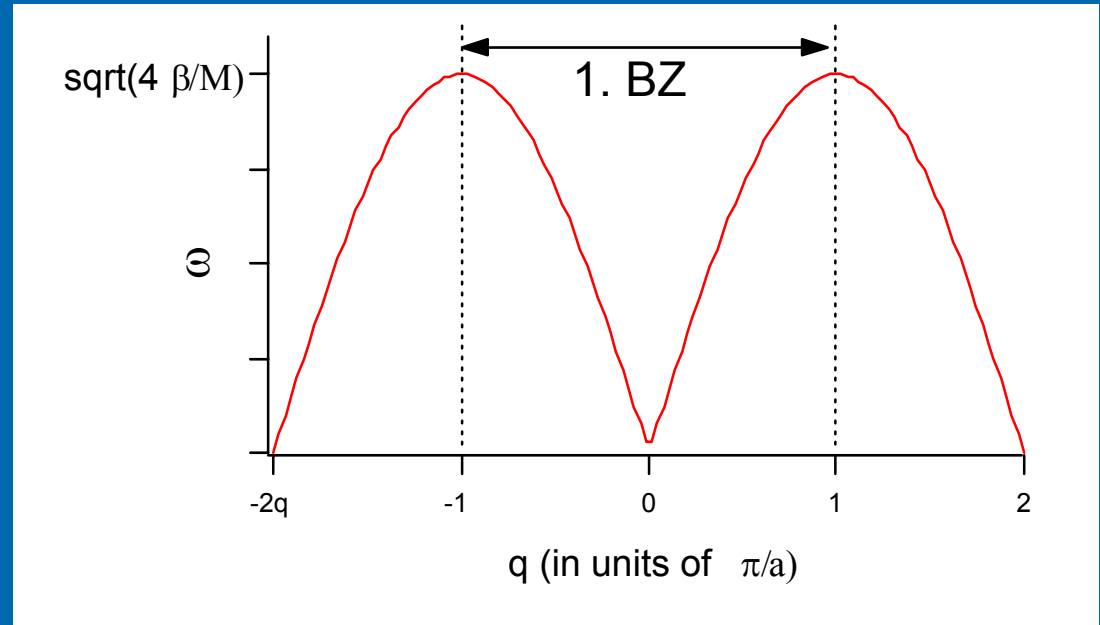
$$u_n = \xi e^{i(\omega t + qna)}$$

$$\omega = \pm \sqrt{\frac{4\beta}{M}} \sin\left(\frac{qa}{2}\right)$$



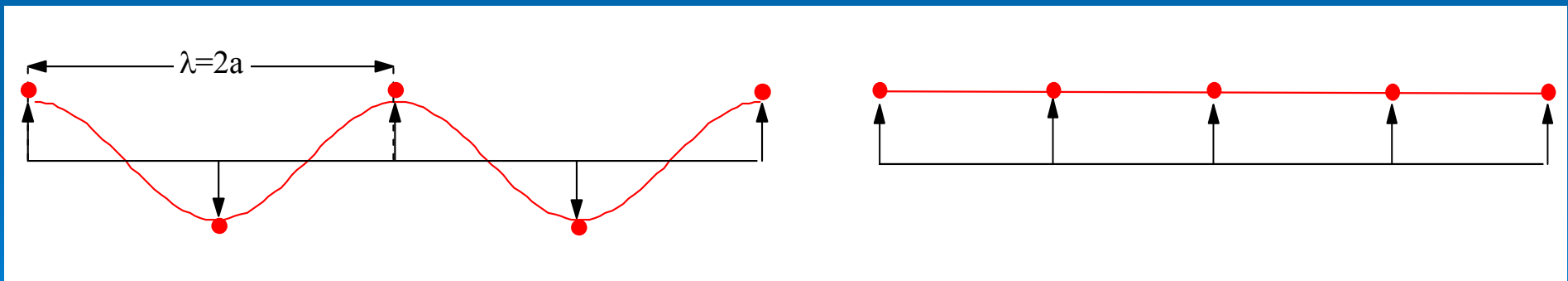
The coherent displacement of atoms can be visualized by the ratio:

$$u_n / u_{n+1} = e^{-iqa}$$



a) Zone-boundary:  $q=\pi/a$

b) Zone center:  $q=0$



$$u_n / u_{n+1} = -1$$

$$u_n / u_{n+1} = 1 \quad \lambda = \infty$$





# Connection to “real world”

Debye-Modell for small  $q$  ( $q \ll \pi/a$ )

$$\sin\left(\frac{qa}{2}\right) \approx \frac{qa}{2} \quad \omega \approx \sqrt{\frac{\beta}{M}} aq$$

“Density”  $\rho = M/a$ , elastic constant  $c = \beta a$

$$\omega \approx \sqrt{\frac{\beta}{M}} aq = \sqrt{\frac{c}{\rho}} aq = \mathbf{v}q$$

$\mathbf{v}$  = sound velocity

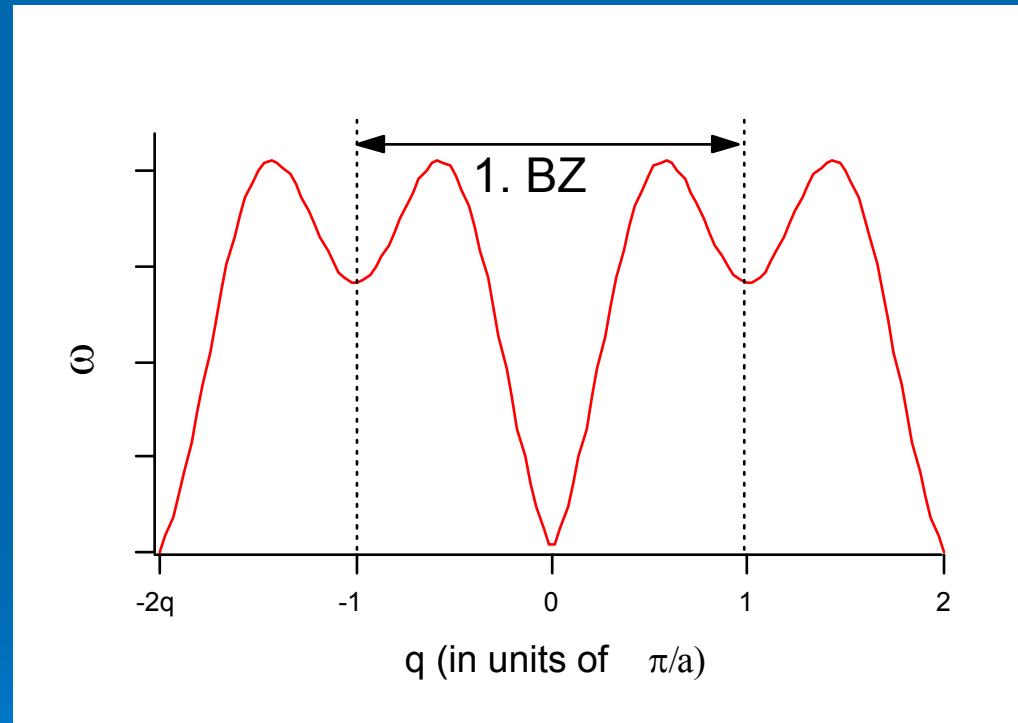


# NN+NNN...

$$M\ddot{u}_n = F_n = \sum_j \beta_j (u_{n+j} + u_{n-j}) + \beta_0 u_n$$

$$u_n = \xi e^{i(\omega t + qna)}$$

$$\omega^2 = \frac{4}{M} \sum_j \beta_j \sin^2\left(\frac{jqa}{2}\right)$$



$$\beta_1 = 2\beta_2$$

$$\beta_j = 0 \text{ if } j > 2$$



# Neutron Scattering

- Measures how particles scatter off of a sample
- Scattering depends on interaction between sample and particles
- Different scattering probes show different characteristics
  - Photons
  - Electrons
  - Helium atoms
  - Neutrons

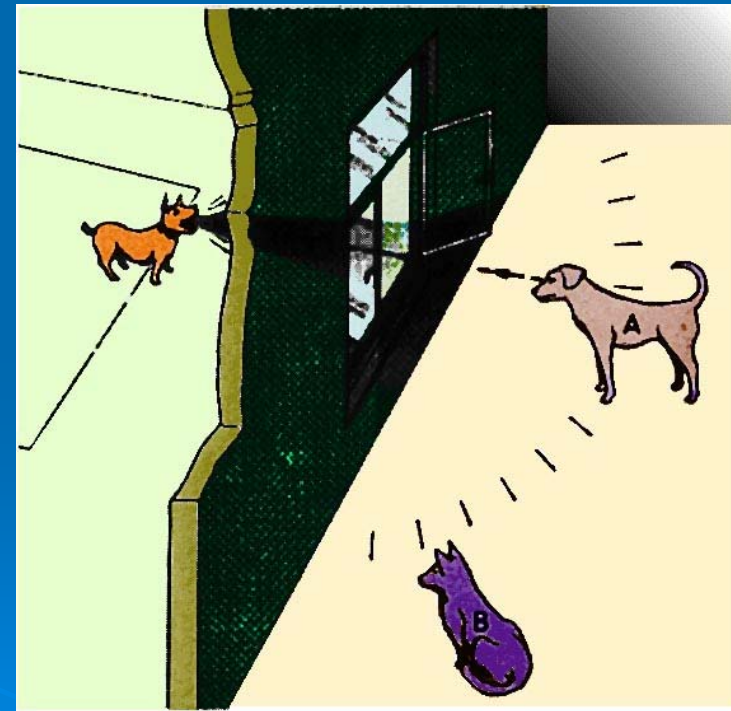


# Why Neutron Scattering ?

-Wavelength:  $\lambda(\text{\AA})=9.044/\sqrt{E \text{ (meV)}}$

-At 10 meV,  $\lambda=2.86 \text{ \AA}$

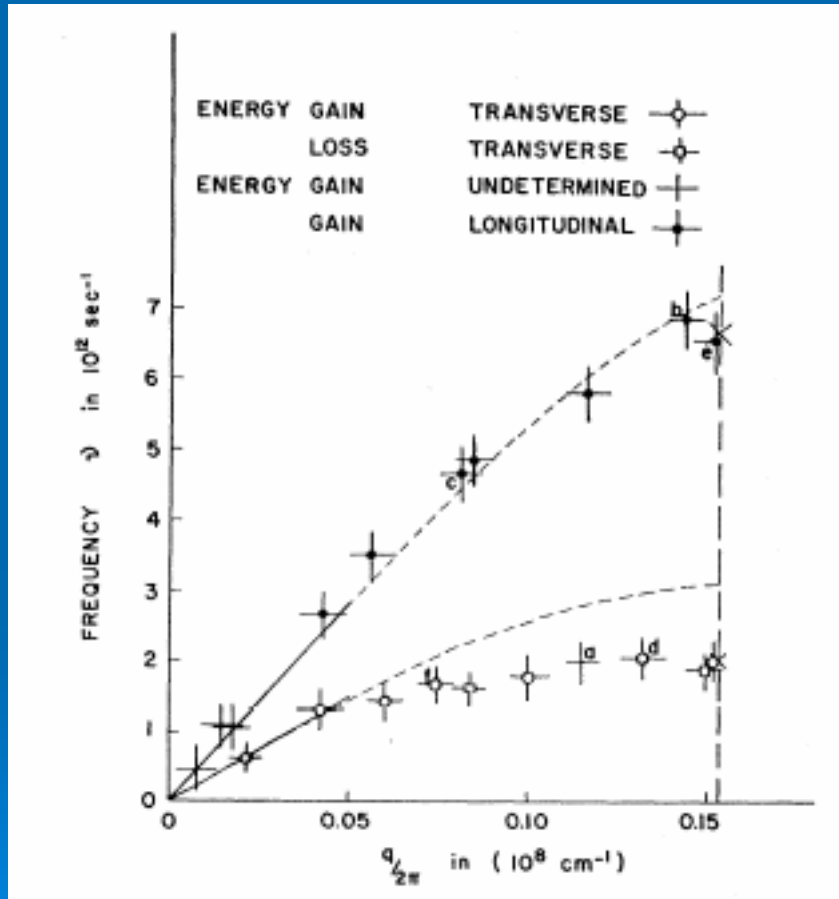
- 1) Neutron wavelength  
 $\approx$   
Structures of interest  
 $\Rightarrow$  interference effects



# Neutron energy

- Thermal sources  $\approx 5-100$  meV
- Cold sources  $\approx 1-10$  meV

$\Rightarrow$ Comparable to excitation energies in solids and liquids



Brockhouse  
(1957)

Germanium



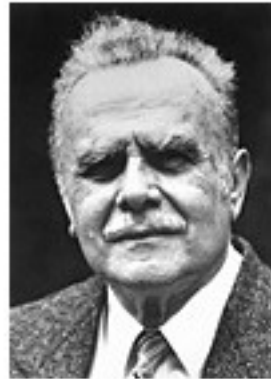


## The Nobel Prize in Physics 1994

"for pioneering contributions to the development of neutron scattering techniques for studies of condensed matter"

"for the development of neutron spectroscopy"

"for the development of the neutron diffraction technique"



**Bertram N. Brockhouse**

🕒 1/2 of the prize

Canada

McMaster University  
Hamilton, Ontario, Canada

b. 1918  
d. 2003



**Clifford G. Shull**

🕒 1/2 of the prize

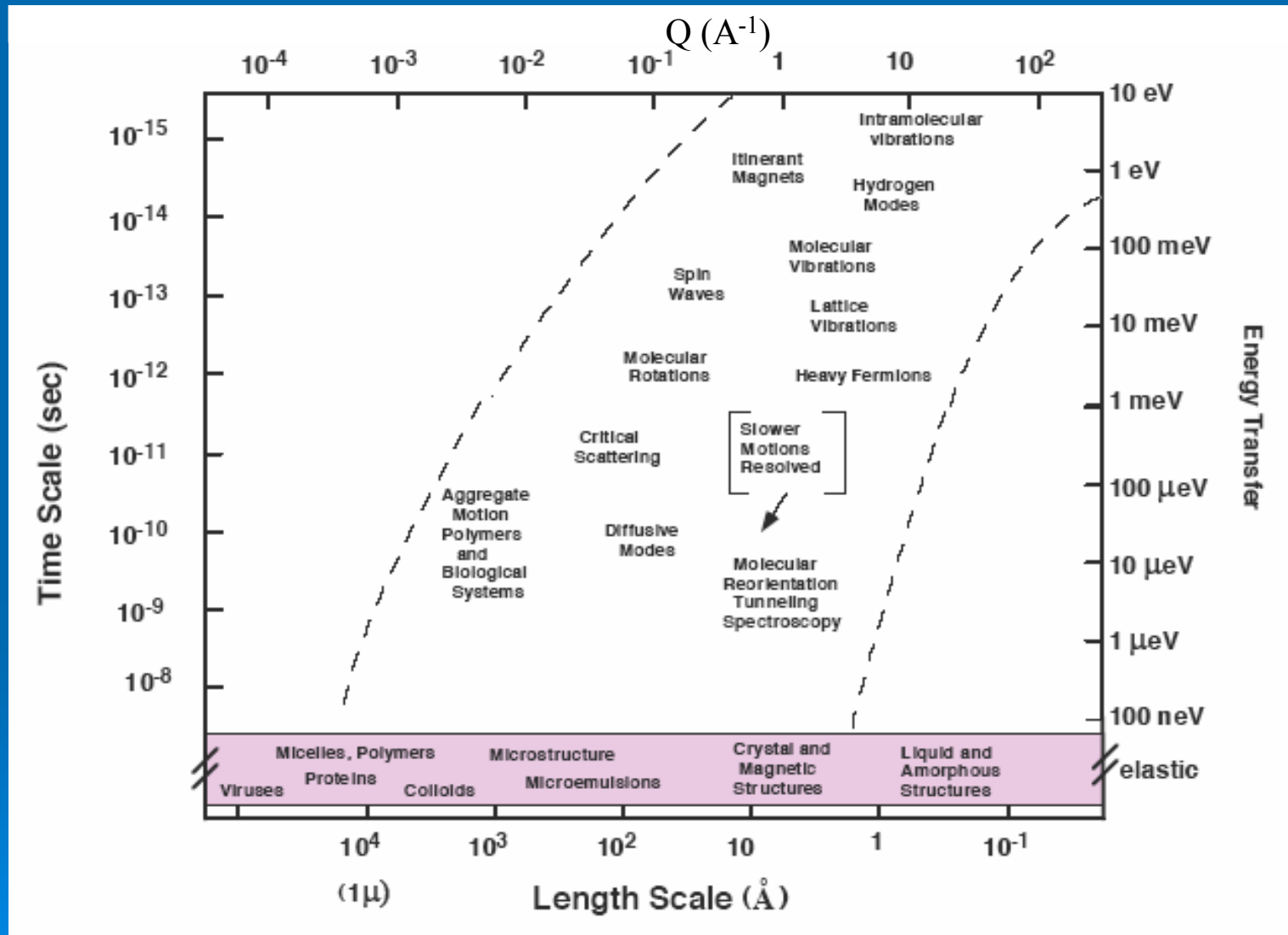
USA

Massachusetts Institute of  
Technology (MIT)  
Cambridge, MA, USA

b. 1915  
d. 2001



# Dynamics of Solids and Liquids



# Neutrons are ... neutral

+

⇒ large penetration, measure bulk properties

⇒ extreme sample environments

-

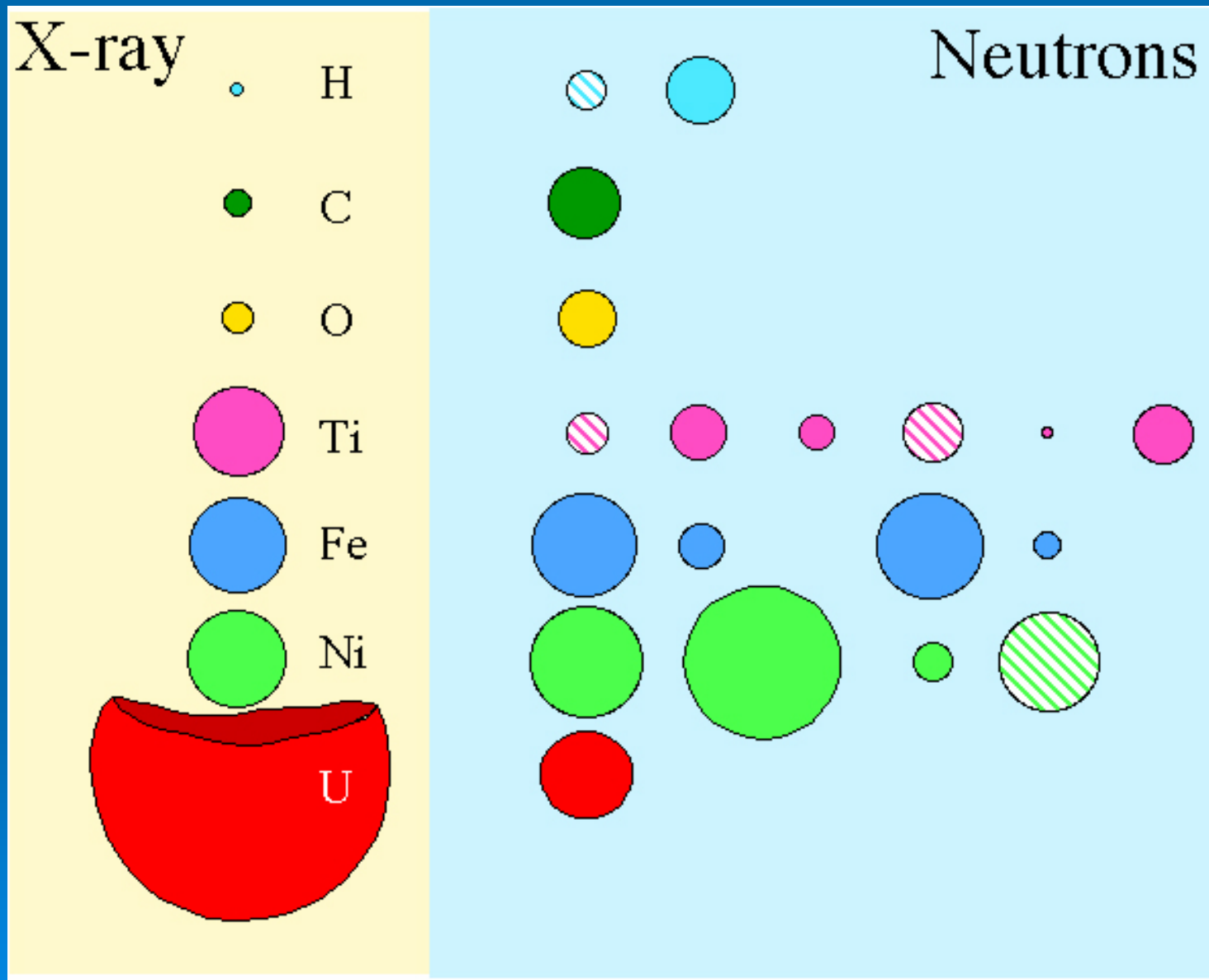
⇒ No interactions with charge densities (electrons)

⇒ Sample size is crucial





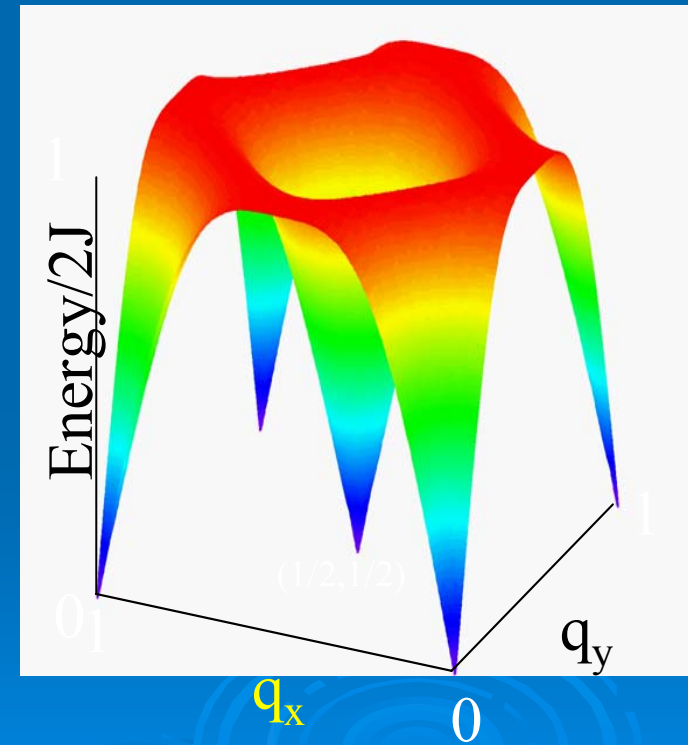
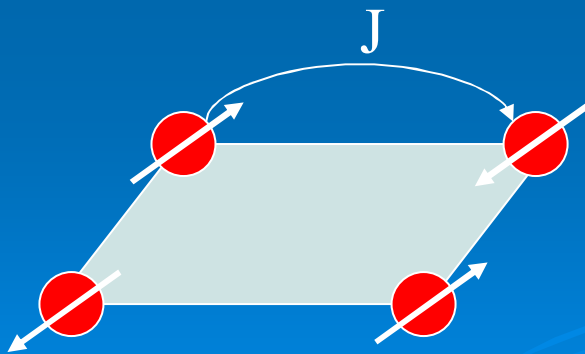
# Scattering power



# Neutrons possess a magnetic moment ( $\mu_N = 1.04 \times 10^{-3} \mu_B$ ) !

- ⇒ magnetic structures
- ⇒ magnetic excitations

$$H = \sum_{\langle ij \rangle} JS_i S_j$$



# Neutron Wave Properties

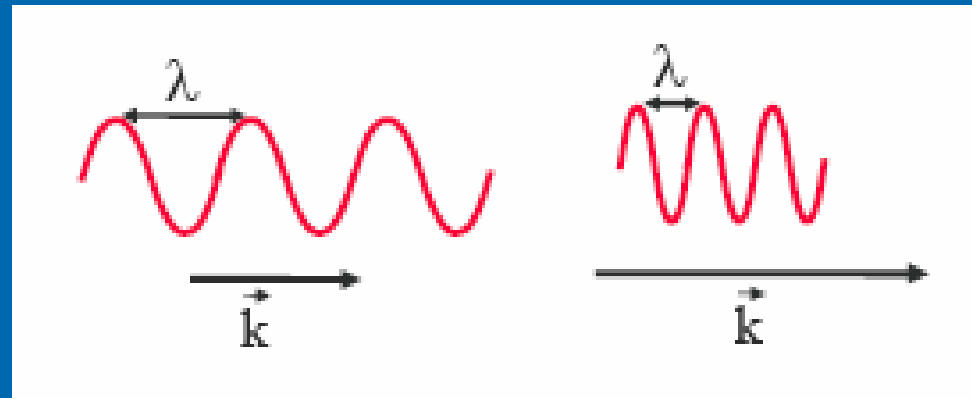
Quantum mechanics: particles show wave properties

Momentum:

$$mv = \mathbf{p} = \hbar \mathbf{k}, \quad |\mathbf{p}| = \hbar \frac{2\pi}{\lambda}$$

Energy:

$$E = \frac{1}{2}mv^2 = \frac{\hbar^2}{2m}k^2 = \hbar\omega$$

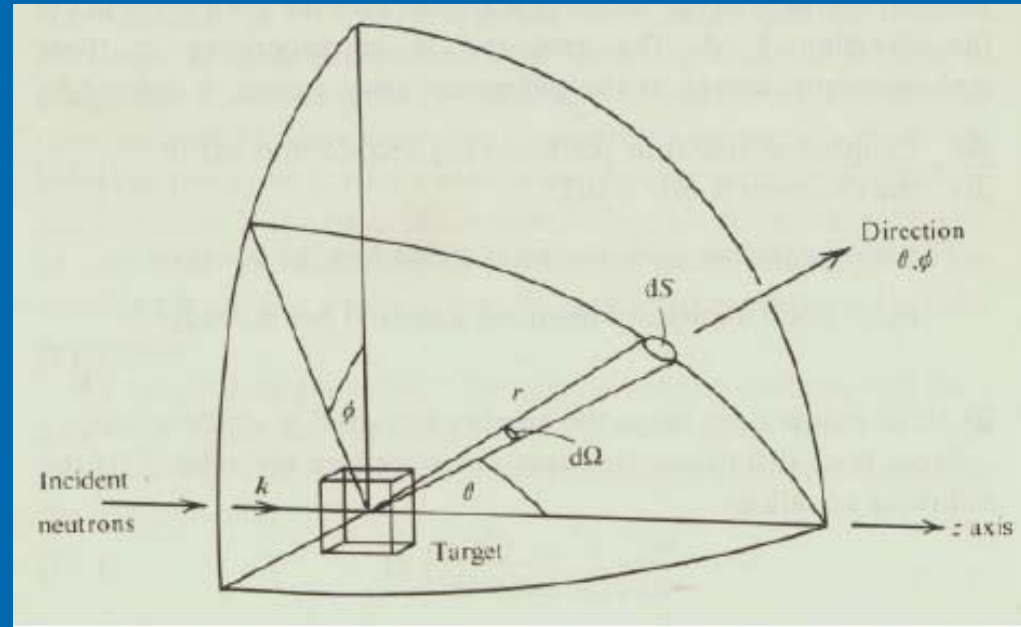


Energy unit conversion:

$$1 \text{ meV} \approx 8 \text{ cm}^{-1} \approx 240 \text{ GHz} \\ \approx 12 \text{ K} \approx 0.1 \text{ kJ/mol}$$



# Total or differential cross-section



$\phi$  = number of incident neutrons per  $\text{cm}^2$  per second

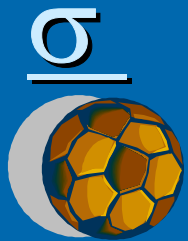
$\sigma$  = total number of neutrons scattered per second /  $\phi$

$$\frac{d\sigma}{d\Omega} = \frac{\text{number of neutrons scattered per second into } d\Omega}{\phi d\Omega}$$

$$\frac{d\sigma}{d\Omega d\omega} = \frac{\text{number of neutrons scattered per second into } d\Omega \text{ \& } d\omega}{\phi d\Omega d\omega}$$



$\sigma$  = Probability of hitting a target

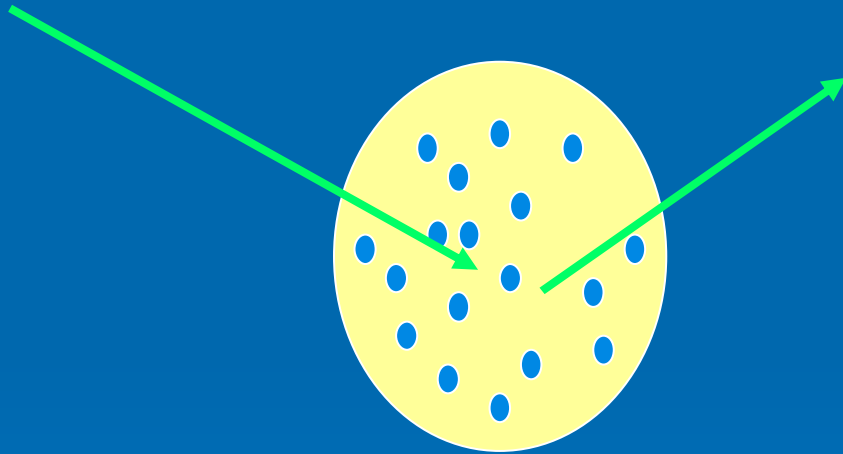


=0.99



# $\sigma = \text{Probability}$

... that a neutron scatters at an atom:



$$\sigma \approx 1 \text{ barn} = 10^{-24} \text{ cm}^2$$





Surface of France:

$$1000 \times 1000 \text{ km}^2$$

$$= 10^6 \text{ km}^2 = 10^{12} \text{ m}^2$$

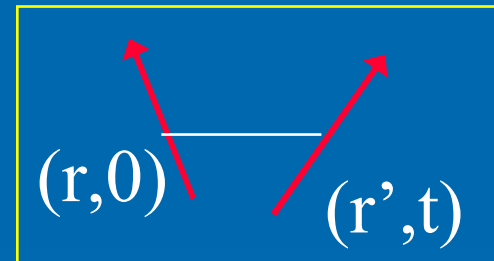
$$= 10^{18} \text{ mm}^2 = 10^{24} \mu\text{m}^2$$



# Fourier transform

$$\frac{d^2 \sigma}{d\Omega d\omega} \approx S(\mathbf{Q}, \omega) = \text{FT in space and time of}$$
$$\langle S_{\mathbf{r}}^{\alpha}(t) S_{\mathbf{r}'}^{\beta}(0) \rangle \text{ or } G(\mathbf{r}, t)$$

$S(\mathbf{Q}, \omega)$  is called the scattering function



$\langle S_{\mathbf{r}}^{\alpha}(t) S_{\mathbf{r}'}^{\beta}(0) \rangle$  } are called space-time pair correlation functions

$G(\mathbf{r}, t)$  } describe the Static and Dynamics of condensed matter at an atomic level.





# $S_{\text{coh}}(Q)$ and $G_p(r)$ for simple liquids

- The peaks in  $g(r)$  represent atoms in “coordination shells”
- $g(r)$  is expected to be zero for  $r <$  particle diameter
  - ripples are truncation errors from Fourier transform of  $S(Q)$

Fig. 5.1 The structure factor  $S(\kappa)$  for  $^{36}\text{Ar}$  at 85 K. The curve through the experimental points is obtained from a molecular dynamics calculation of Veriet based on a Lennard-Jones potential. (After Yarnell *et al.*, 1973.)

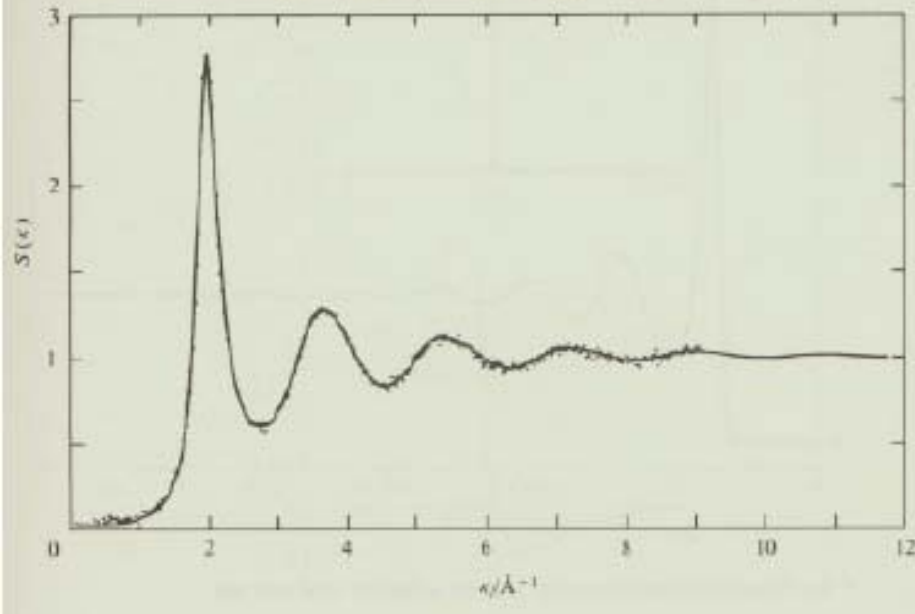
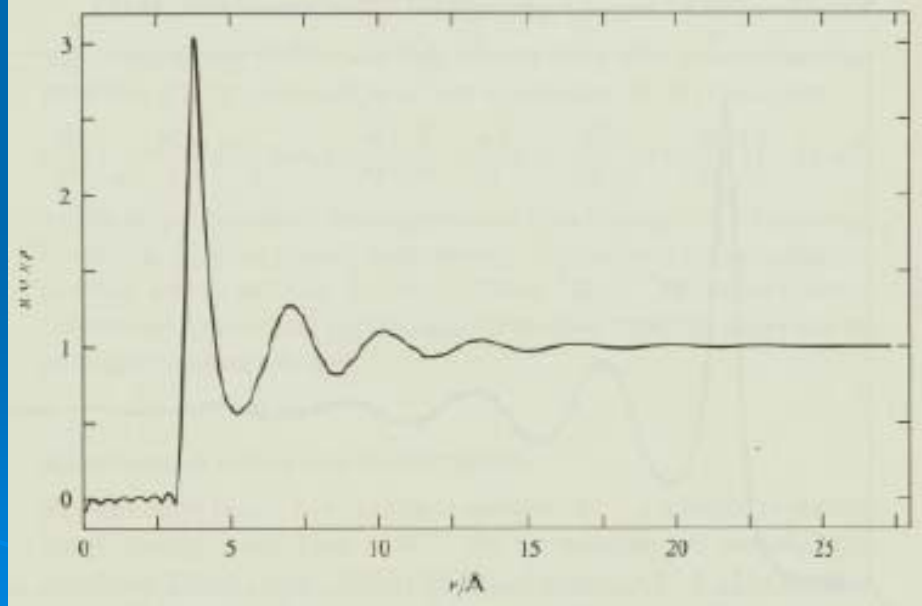


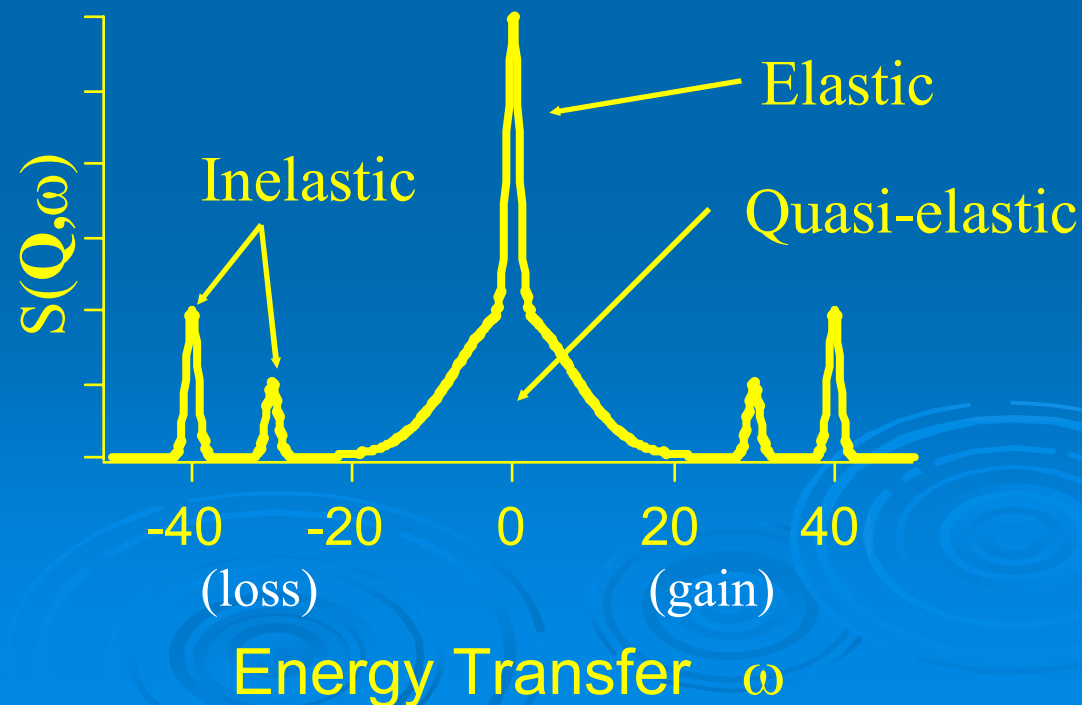
Fig. 5.2 The pair-distribution function  $g(r)$  obtained from the experimental results in Fig. 5.1. The mean number density is  $\rho = 2.13 \times 10^{28}$  atoms  $\text{m}^{-3}$ . (After Yarnell *et al.*, 1973.)



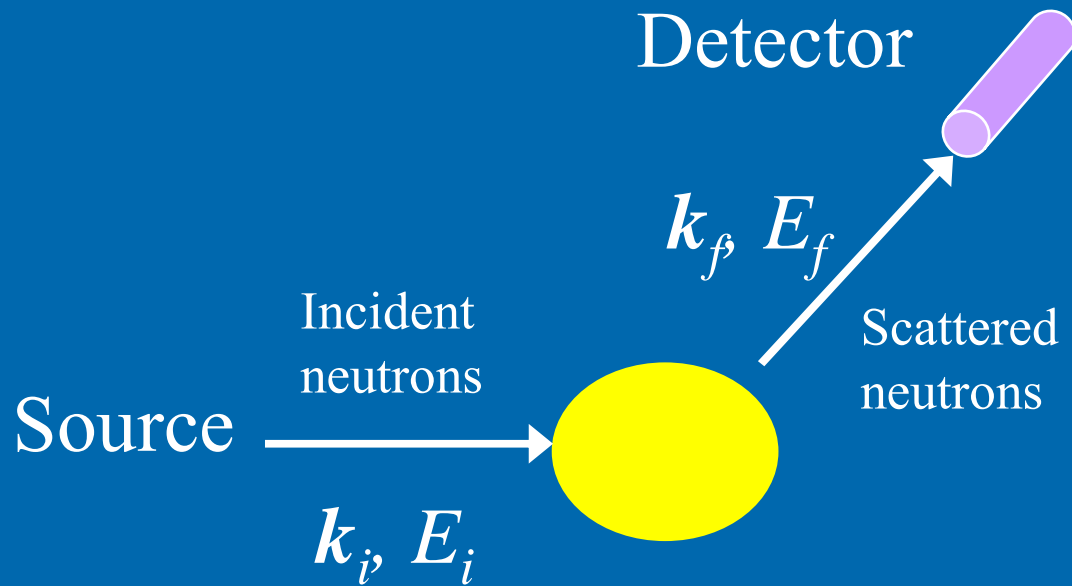
# Scattering function $S(Q, \omega)$

Intensity of scattered neutrons in detector is proportional to scattering function  $S(Q, \omega)$ :

- $S(Q, \omega)$  depends only on the sample, not on neutron instrument
- $S(Q, \omega)$  contains information about structure ( $Q$ ) and dynamics ( $\omega$ )



# Neutron Scattering Experiment



## Momentum Conservation

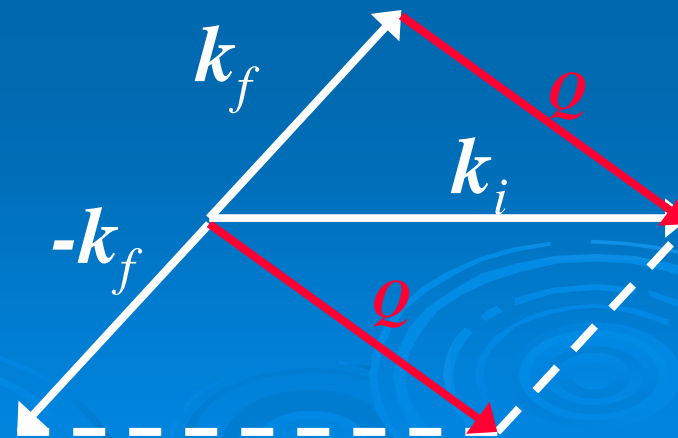
- $Q = k_i - k_f$   
 $Q$  represents the momentum transferred to the sample.

## Scattering triangle:

In scattering plane:

4 independent parameters

$k_x, k_y$  for initial and final neutrons  
( $E$  depends on  $k$ )

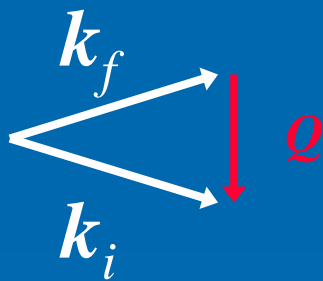


# Elastic vs Inelastic Scattering

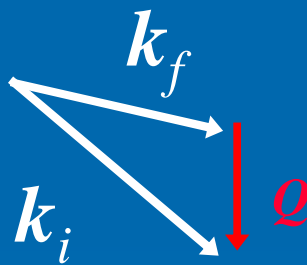
Energy conservation:

$$\Delta E_{neutron} = -\Delta E_{sample}$$

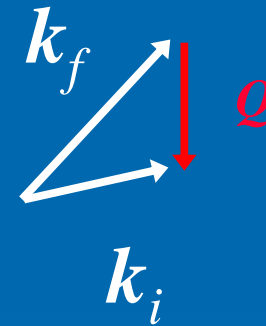
$$\Delta E_{sample} = E_i - E_f \equiv \hbar\omega = \frac{\hbar^2}{2m}(k_i^2 - k_f^2)$$



$$k_i = k_f$$
$$\omega = 0$$



$$k_i > k_f$$
$$\omega > 0$$



$$k_i < k_f$$
$$\omega < 0$$

Note:  $\omega$  can vary independently of  $Q$  (here  $Q = \text{cte}$ ,  $|k_f| = \text{cte}$ )



# Paul Scherrer Institute

Bâle

Zurich

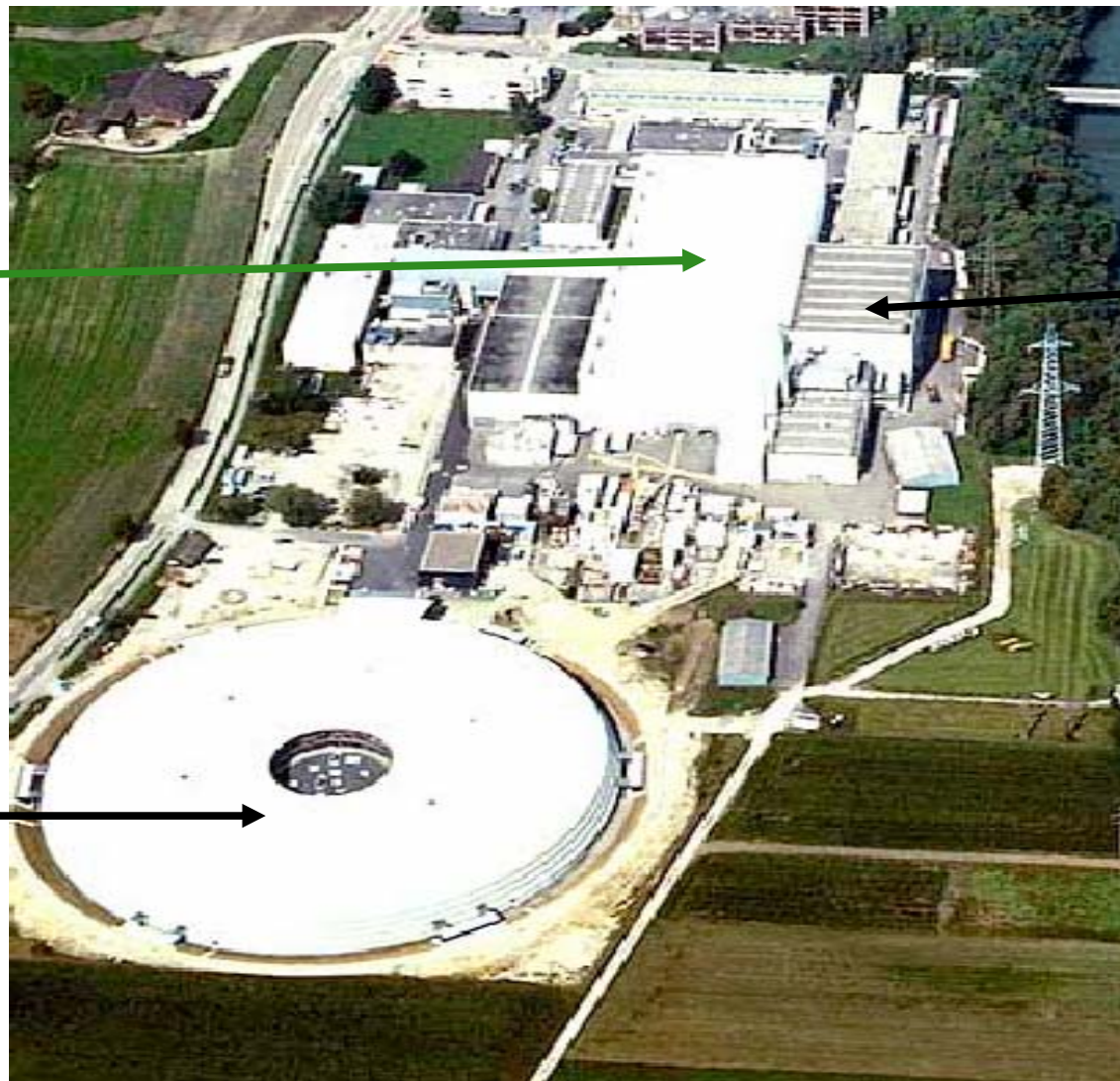
Muons



Neutrons



Photons



# Neutron Scattering / Paul Scherrer Institute

## Status: Neutron instrumentation

**TRICS**



**HRPT**



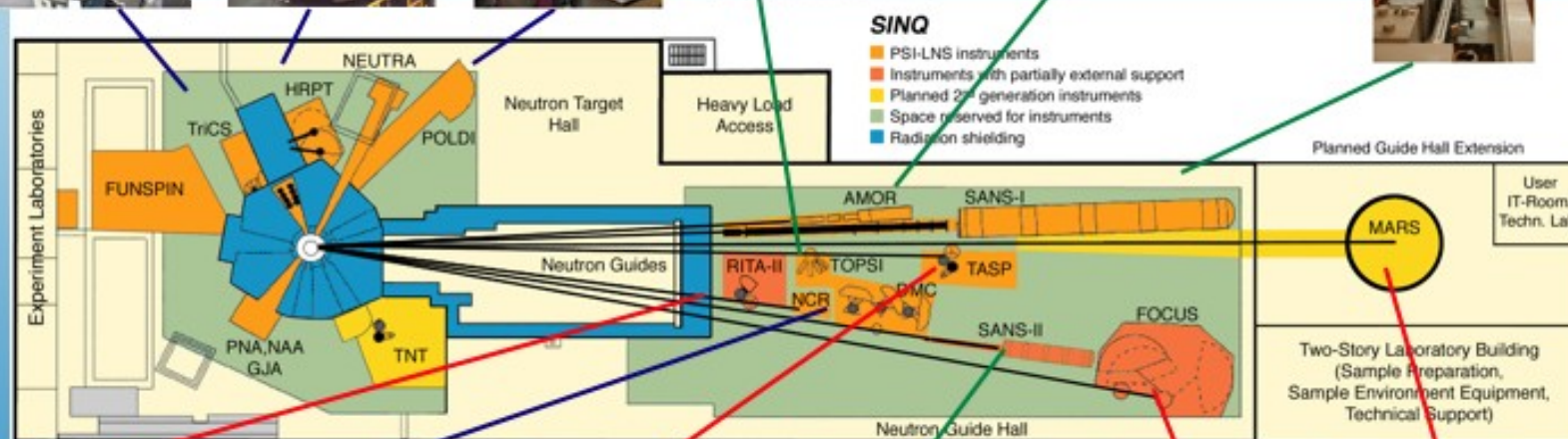
**POLDI**



**MORPHEUS AMOR**



**SANS-I**



**RITA-II**



**DMC**



**TASP**



**SANS-II**



**FOCUS**

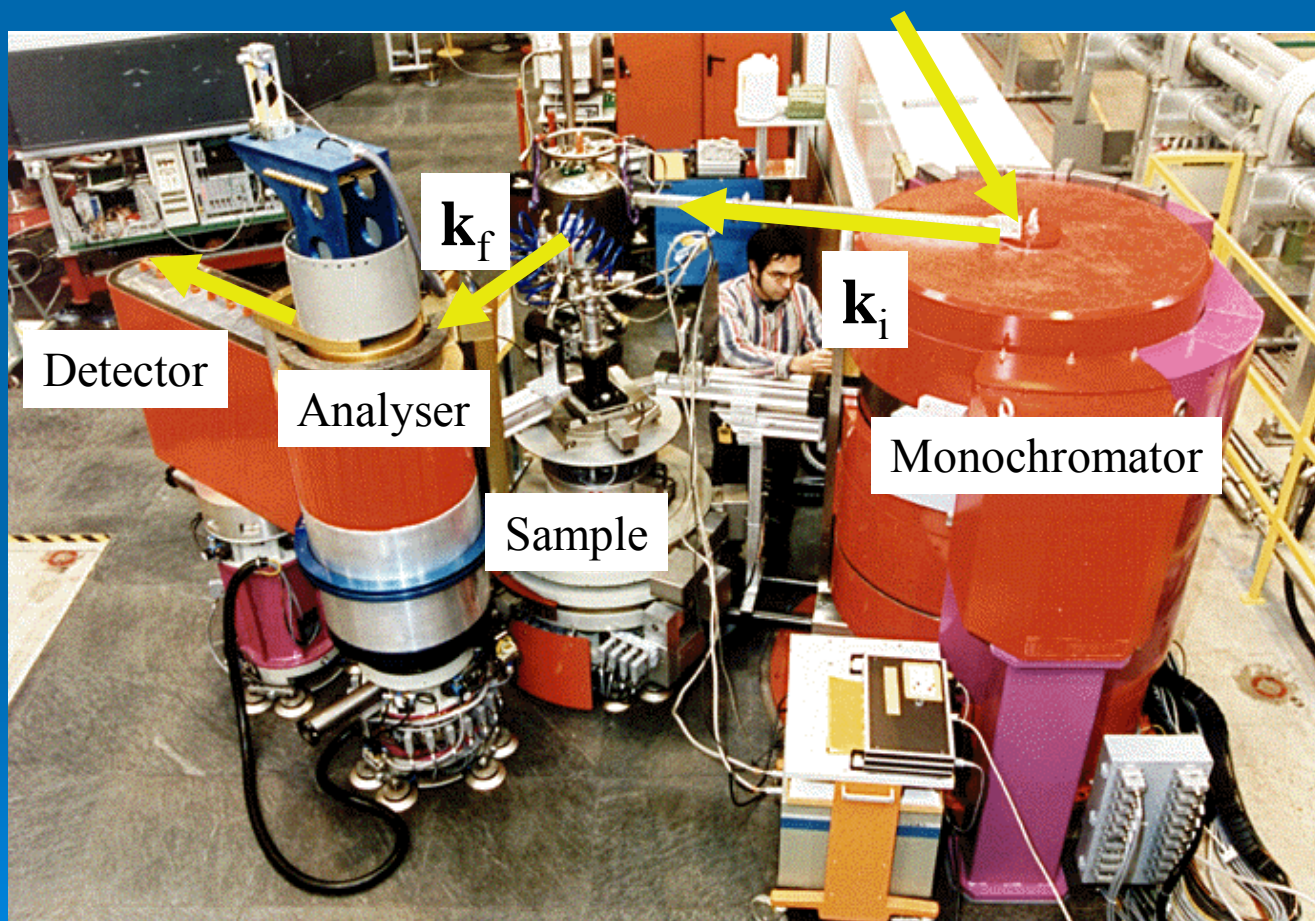


**MARS**



# Triple-Axis Spectrometer TASP @ PSI

Source



$$E(\text{meV}) = 2 \left[ \mathbf{k} (\text{\AA}^{-1}) \right]^2$$

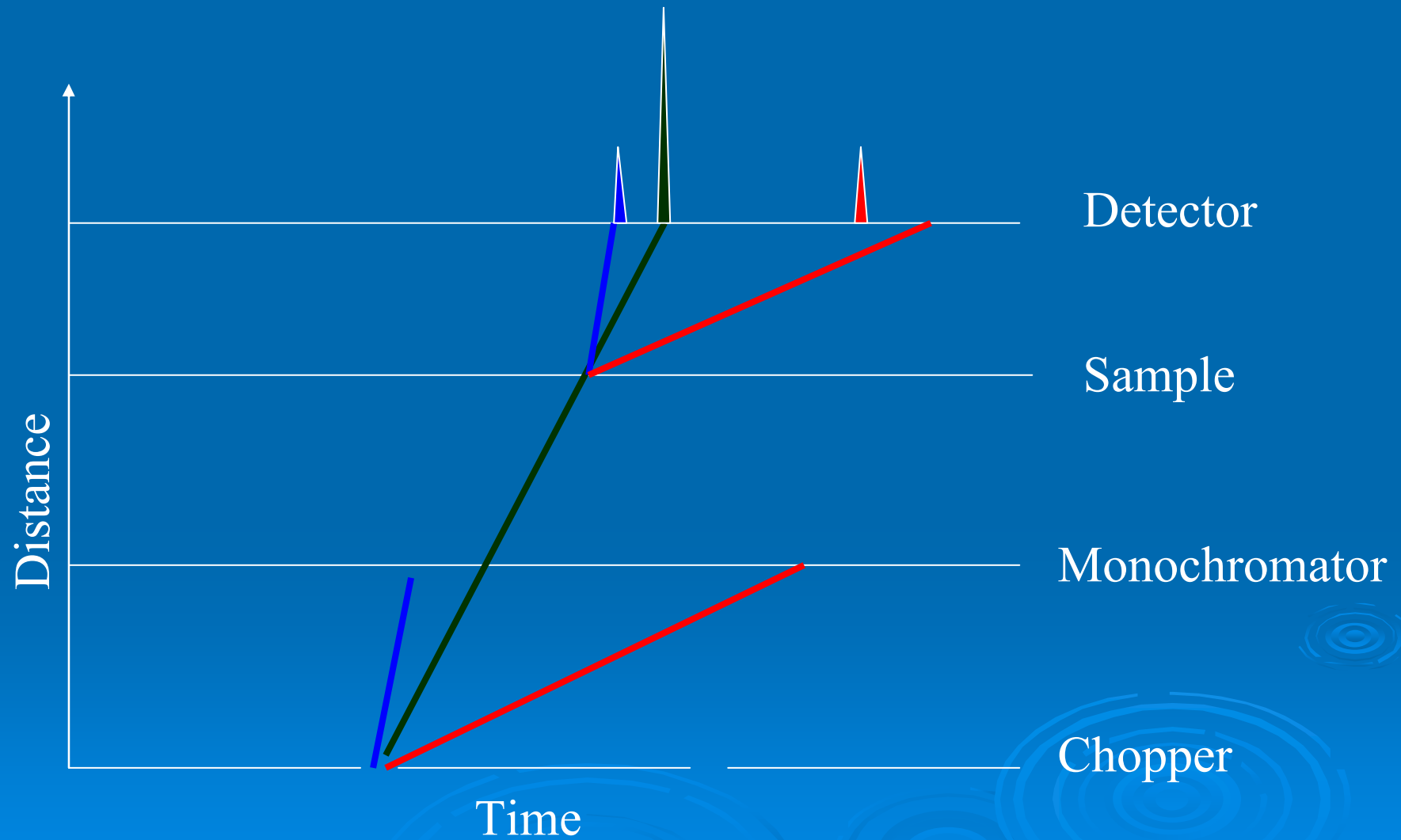
Sample

$$\mathbf{Q} = -(\mathbf{k}_f - \mathbf{k}_i)$$

$$\hbar\omega = -(E_f - E_i)$$

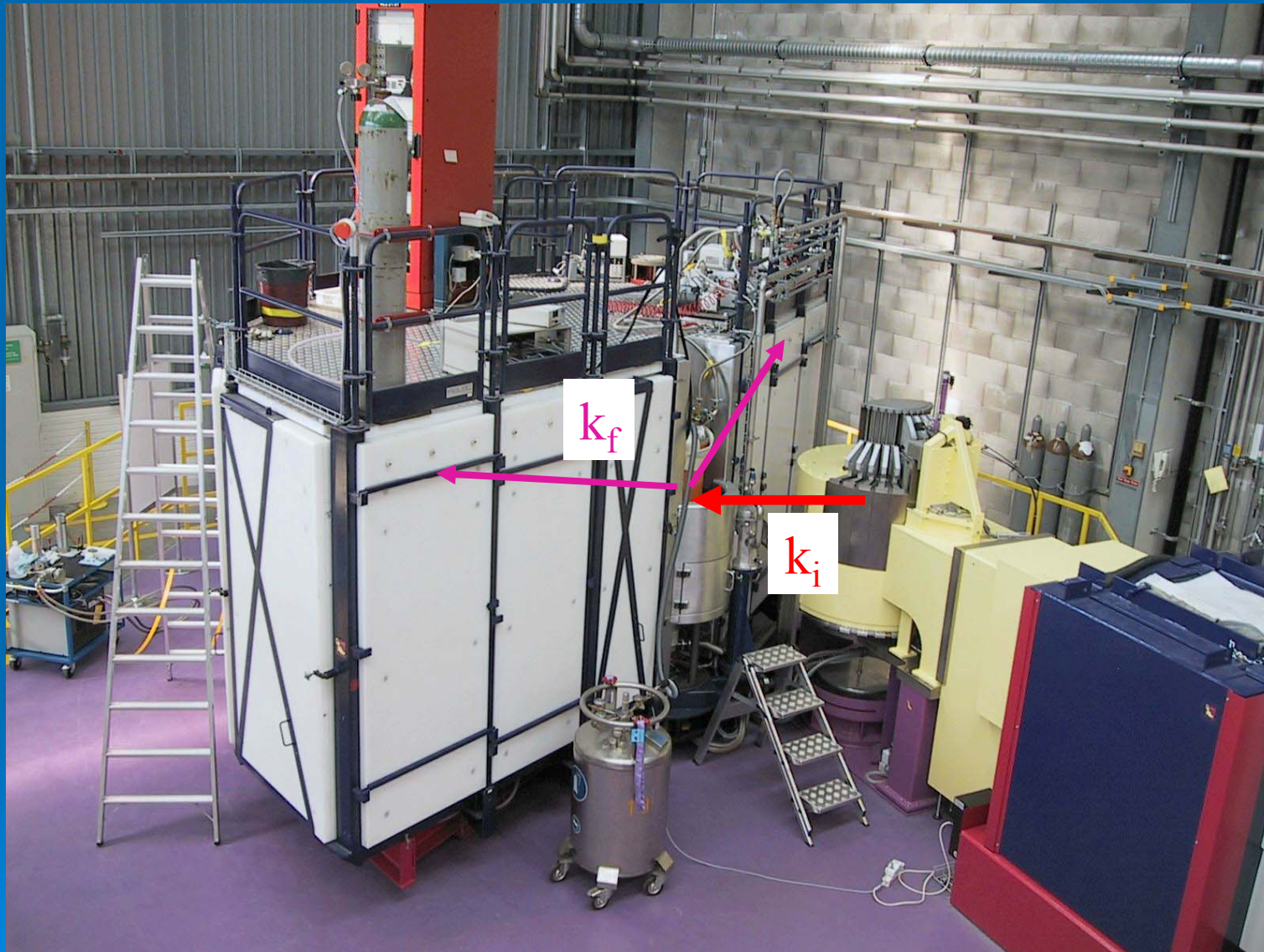


# Time-of-flight technique





# SINQ-FOCUS

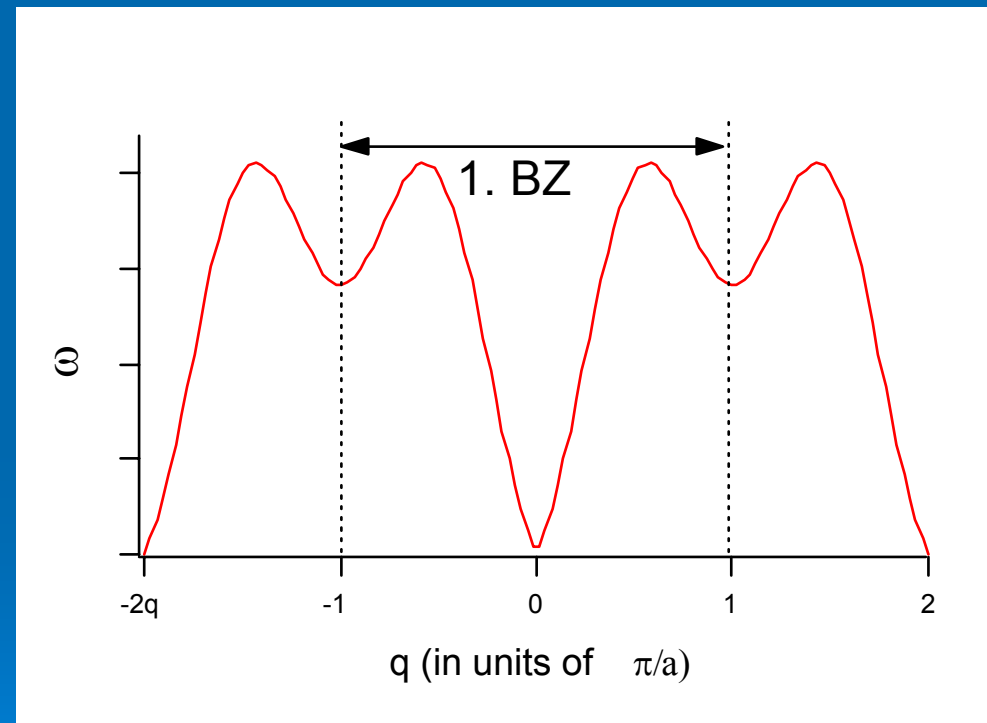


# NN+NNN...

$$M\ddot{u}_n = F_n = \sum_j \beta_j (u_{n+j} + u_{n-j}) + \beta_0 u_n$$

$$u_n = \xi e^{i(\omega t + qna)}$$

$$\omega^2 = \frac{4}{M} \sum_j \beta_j \sin^2\left(\frac{jqa}{2}\right)$$



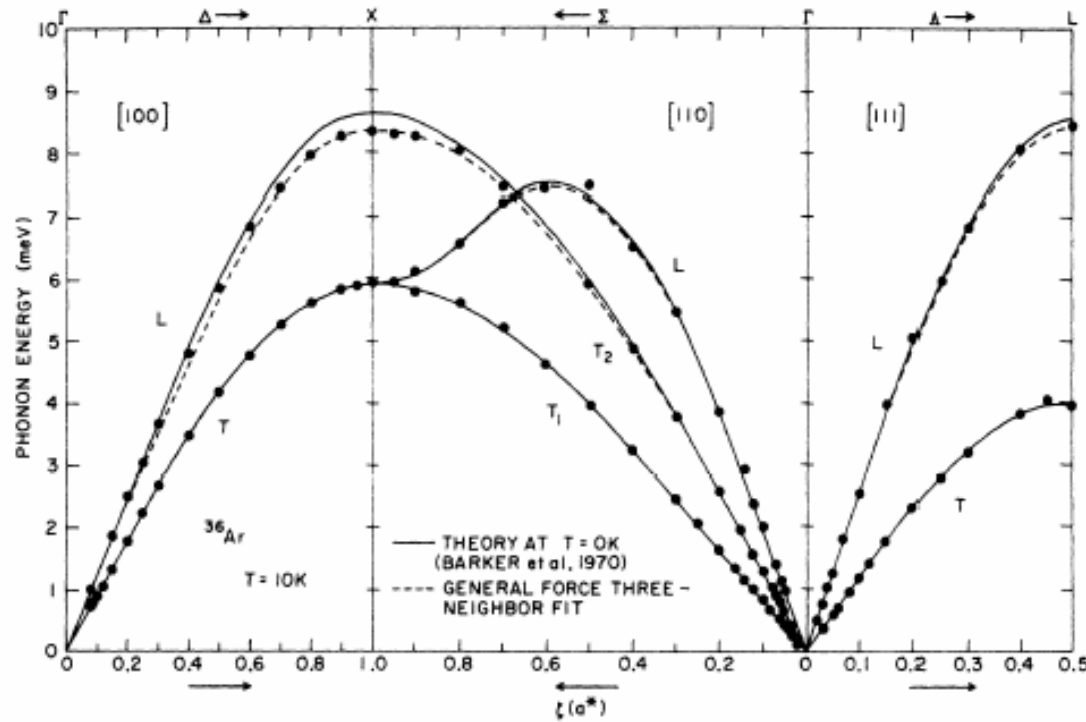
$$\beta_1 = 2\beta_2$$

$$\beta_j = 0 \text{ if } j > 2$$



# Phonons in Ar (FM-3M)

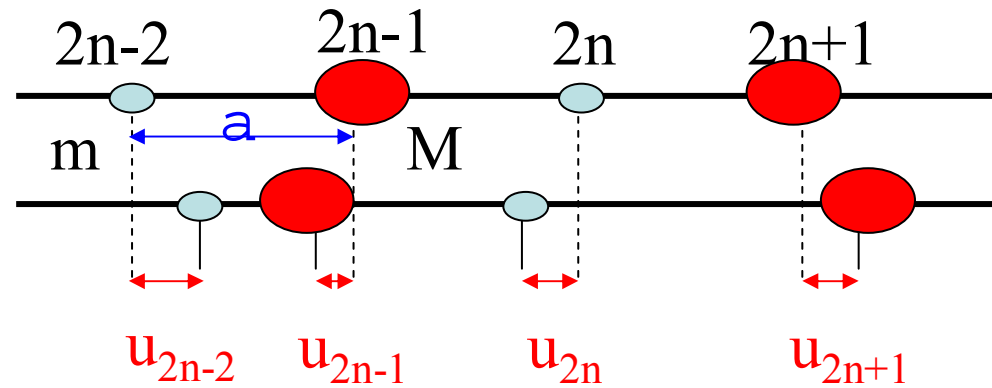
(Fujii PRB **10** (1974) 3647)



Force constant	Model 1	Model 2	Model 3	Batchelder <i>et al.</i> , ( $T=4$ K)
1XX	$608 \pm 4$	$605 \pm 5$	$605 \pm 5$	604
1ZZ	$-8 \pm 3$	$5 \pm 7$	$0 \pm 7$	-7
1XY	$617 \pm 5$	$633 \pm 9$	$633 \pm 9$	531
2XX	$-44 \pm 4$	$-24 \pm 11$	$-24 \pm 11$	-60
2YY	$-2 \pm 3$	$-3 \pm 4$	$-1 \pm 4$	-21
3XX	$0 \pm 2$	$-5 \pm 4$	$-2 \pm 4$	...
3YY	$0 \pm 1$	$0 \pm 1$	$0 \pm 1$	...
3YZ	$0 \pm 1$	$0 \pm 2$	$-1 \pm 2$	...
3ZX	$0 \pm 1$	$-2 \pm 2$	$-1 \pm 2$	...
$\alpha$	0	$-32 \pm 12$	$-28 \pm 12$	80
$\beta$	0	$-5 \pm 5$	$0 \pm 5$	...
$\gamma$	0	$-3 \pm 7$	$-1 \pm 7$	...



## Linear chain with 2 atoms



$$m\ddot{u}_{2n} = \beta(u_{2n+1} + u_{2n-1} - 2u_{2n})$$

$$M\ddot{u}_{2n+1} = \beta(u_{2n+2} + u_{2n} - 2u_{2n+1})$$

**Solution:**

$$u_{2n} = \xi e^{i(\omega t + 2nqa)}$$

$$u_{2n+1} = \eta e^{i(\omega t + [2n+1]qa)}$$



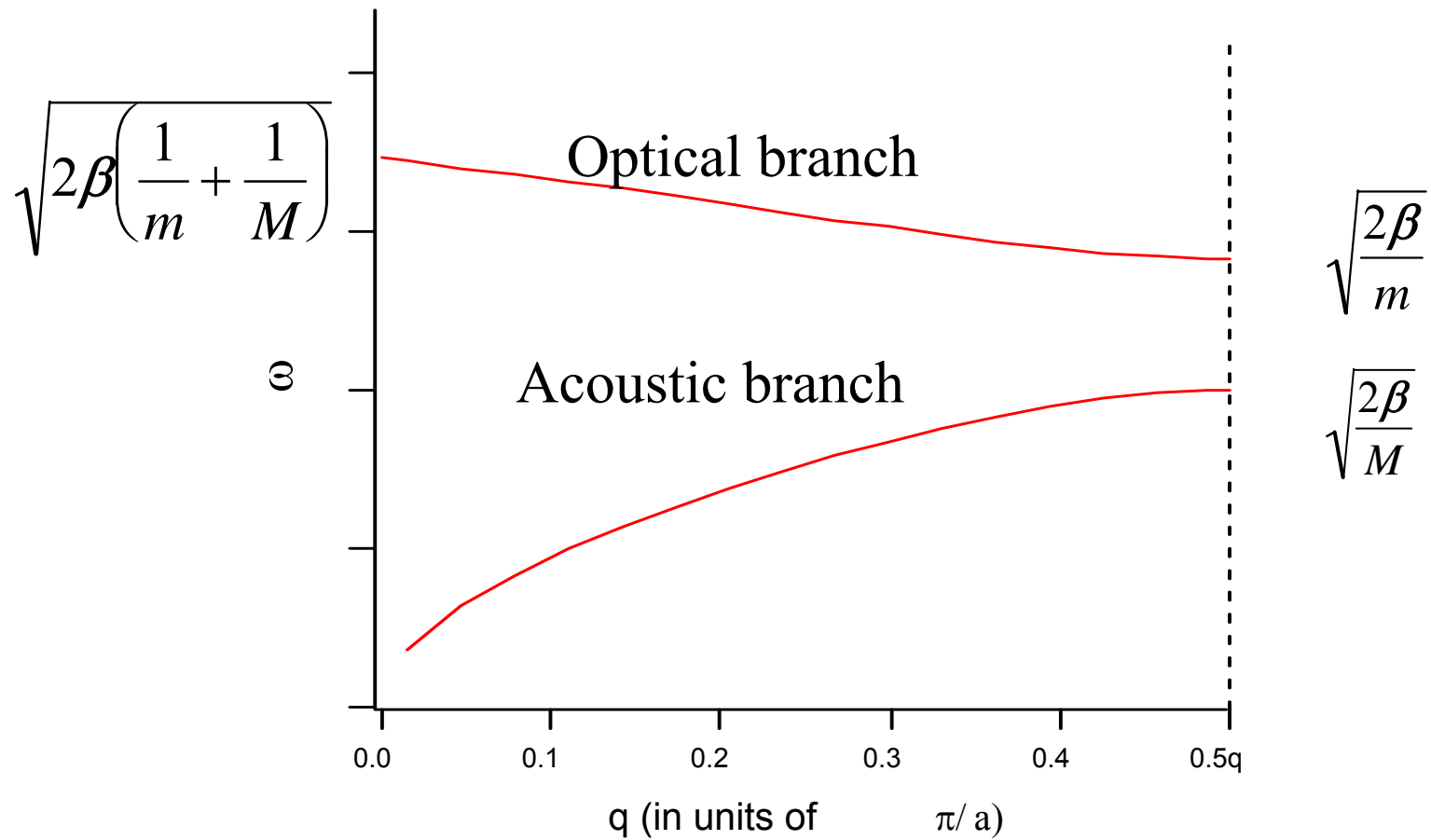
$$\begin{aligned}
 -\omega^2 m \xi &= \beta \eta (e^{iqa} - e^{-iqa}) - 2\beta \xi \\
 -\omega^2 M \eta &= \beta \xi (e^{iqa} - e^{-iqa}) - 2\beta \eta
 \end{aligned}$$

Non-trivial solution:

$$\begin{vmatrix}
 2\beta - \omega^2 m & -2\beta \cos(qa) \\
 -2\beta \cos(qa) & 2\beta - \omega^2 M
 \end{vmatrix} = 0$$

$$\omega^2 = \beta \left( \frac{1}{m} + \frac{1}{M} \right) \pm \beta \left[ \left( \frac{1}{m} + \frac{1}{M} \right)^2 - \frac{4 \sin^2(qa)}{m M} \right]^{\frac{1}{2}}$$



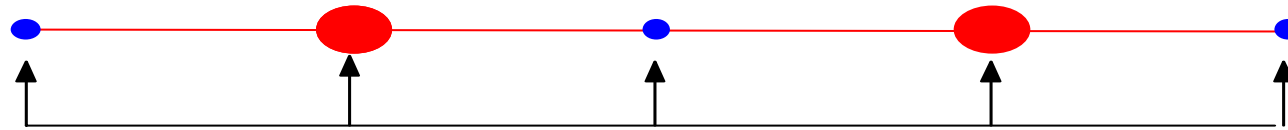


Visualization of atomic motions:

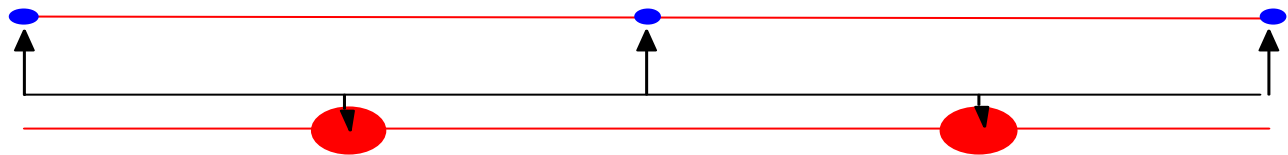
$$u_{2n} / u_{2n+1} = \frac{\xi}{\eta} e^{-iqa}$$



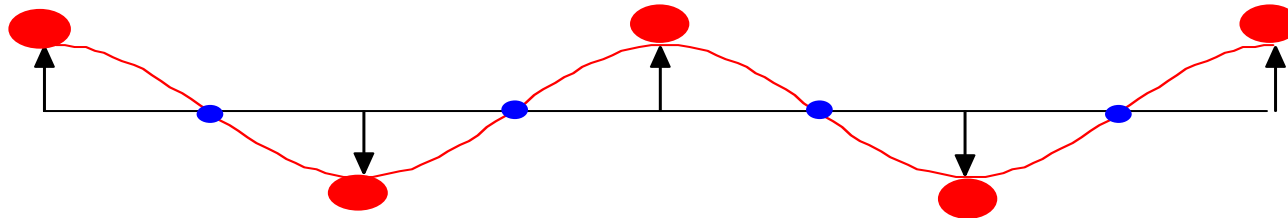
$q = 0$ , akustisch



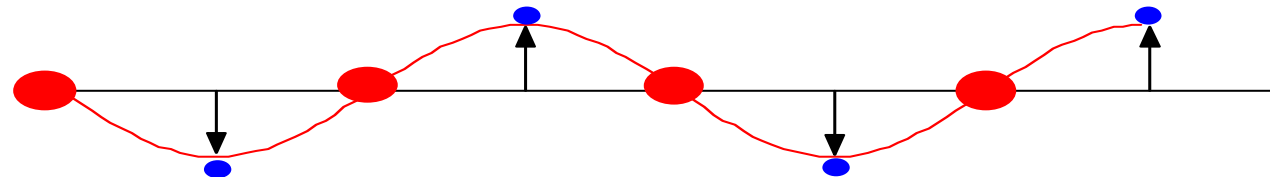
$q = 0$ , optisch



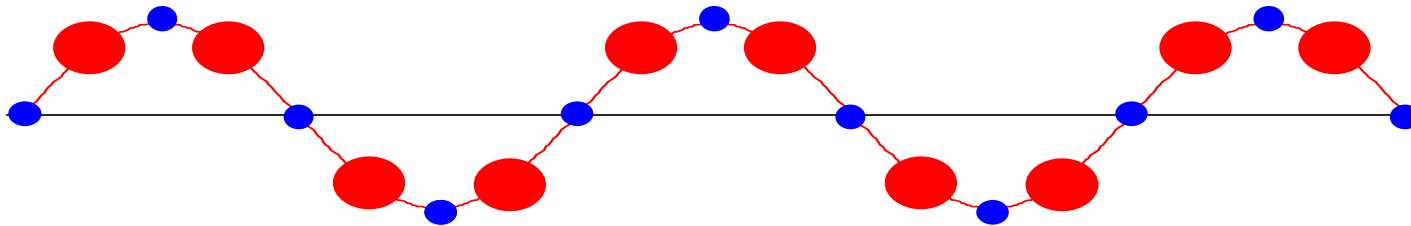
$q = \frac{\pi}{2a}$ , akustisch



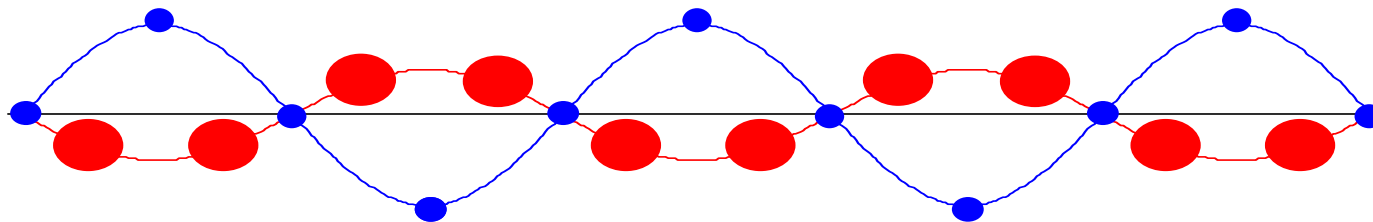
$q = \frac{\pi}{2a}$ , optisch



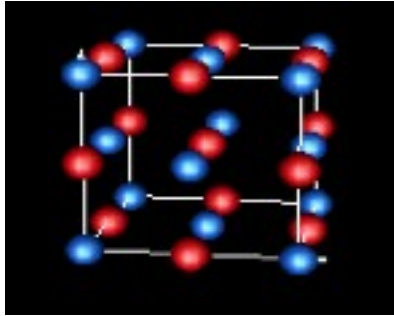
$$0 \leq q \leq \frac{\pi}{2a}, \text{ acoustic}$$



$$0 \leq q \leq \frac{\pi}{2a}, \text{ optical}$$

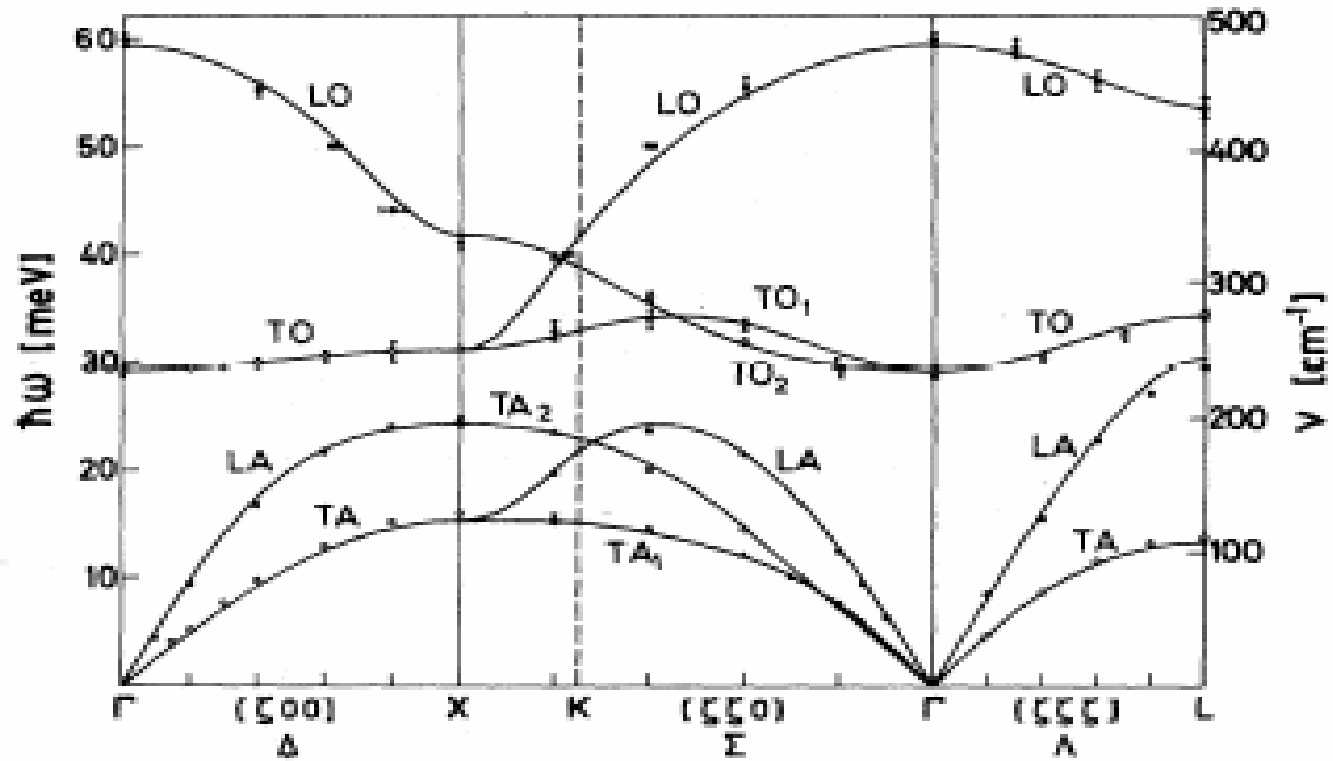






# SrO (NaCl Structure)

Rieder, PRB **12** (1975) 3374



# Low Dimension: what for?

- Quantum fluctuations become increasingly important as the dimension is reduced.

## ABSENCE OF FERROMAGNETISM OR ANTIFERROMAGNETISM IN ONE- OR TWO-DIMENSIONAL ISOTROPIC HEISENBERG MODELS\*

N. D. Mermin<sup>†</sup> and H. Wagner<sup>‡</sup>

Laboratory of Atomic and Solid State Physics, Cornell University, Ithaca, New York

(Received 17 October 1966)

It is rigorously proved that at any nonzero temperature, a one- or two-dimensional isotropic spin- $S$  Heisenberg model with finite-range exchange interaction can be neither ferromagnetic nor antiferromagnetic. The method of proof is capable of excluding a variety of types of ordering in one and two dimensions.



## ➤ A challenge on all length scales



Maybe the “Big Bang” was powered by “Vacuum Quantum Fluctuations” ?  
(Hawkins *et al.*)

De plus l'inflation possède, comme toute forme de matière, des fluctuations quantiques (résultat de l'inégalité de Heisenberg). Une des conséquences inattendues de l'inflation est que ces fluctuations initialement de **nature quantique** évoluent durant la phase d'expansion accélérée pour **devenir des variations classiques** ordinaires de densité. Par ailleurs le calcul du spectre de ces fluctuations effectué dans le cadre de la théorie des perturbations cosmologiques montre qu'il suit précisément les contraintes du spectre de Harrison-Zeldovitch.



# Neural networks $\Rightarrow$

PHYSICAL REVIEW A

VOLUME 34, NUMBER 4

OCTOBER 1986

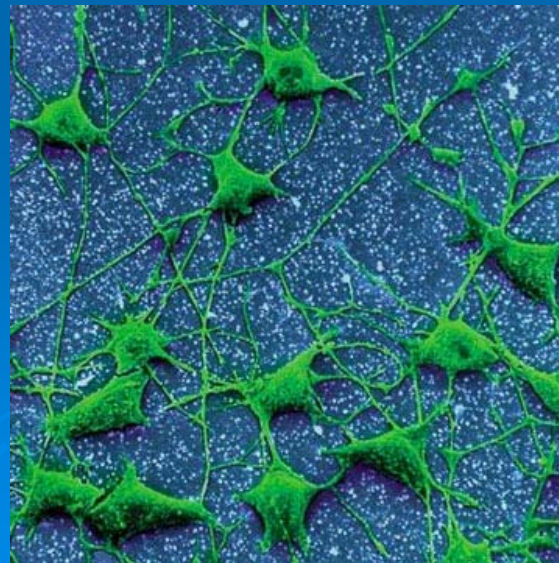
## Spin-glass models of a neural network

J. L. van Hemmen

*Sonderforschungsbereich 123 der Universität Heidelberg, D-6900 Heidelberg 1, Federal Republic of Germany*

(Received 1 November 1985)

A general theory of spin-glass-like neural networks with a Monte Carlo dynamics and finitely many attractors (stored patterns) is presented. The long-time behavior of these models is determined by the equilibrium statistical mechanics of certain infinite-range Ising spin glasses, whose thermodynamic stability is analyzed in detail. As special cases we consider the Hopfield and the Little model and show that the free energy of the latter is twice that of the former because of a *duplication* of spin variables which occurs in the Little model. It is also indicated how metastable states can be partly suppressed or even completely avoided.



# Quantum Matter

Classical Order

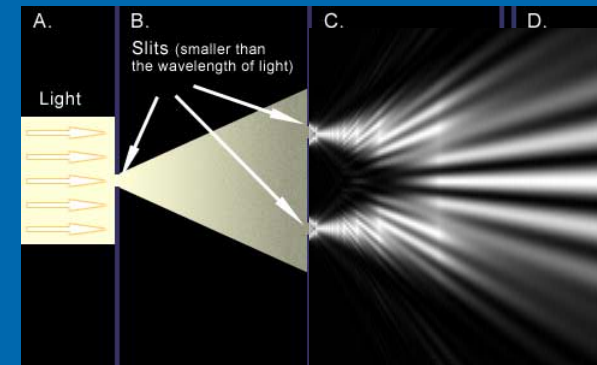


Classical Phase Transitions

Quantum Order

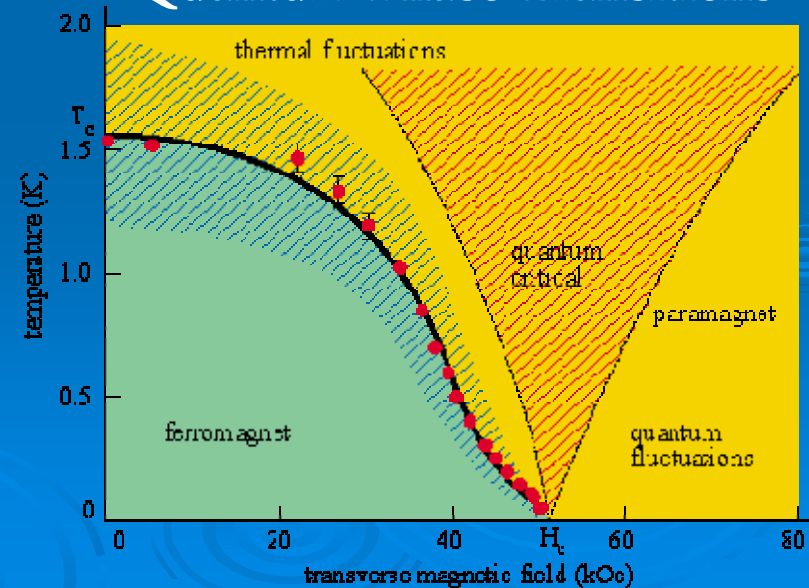


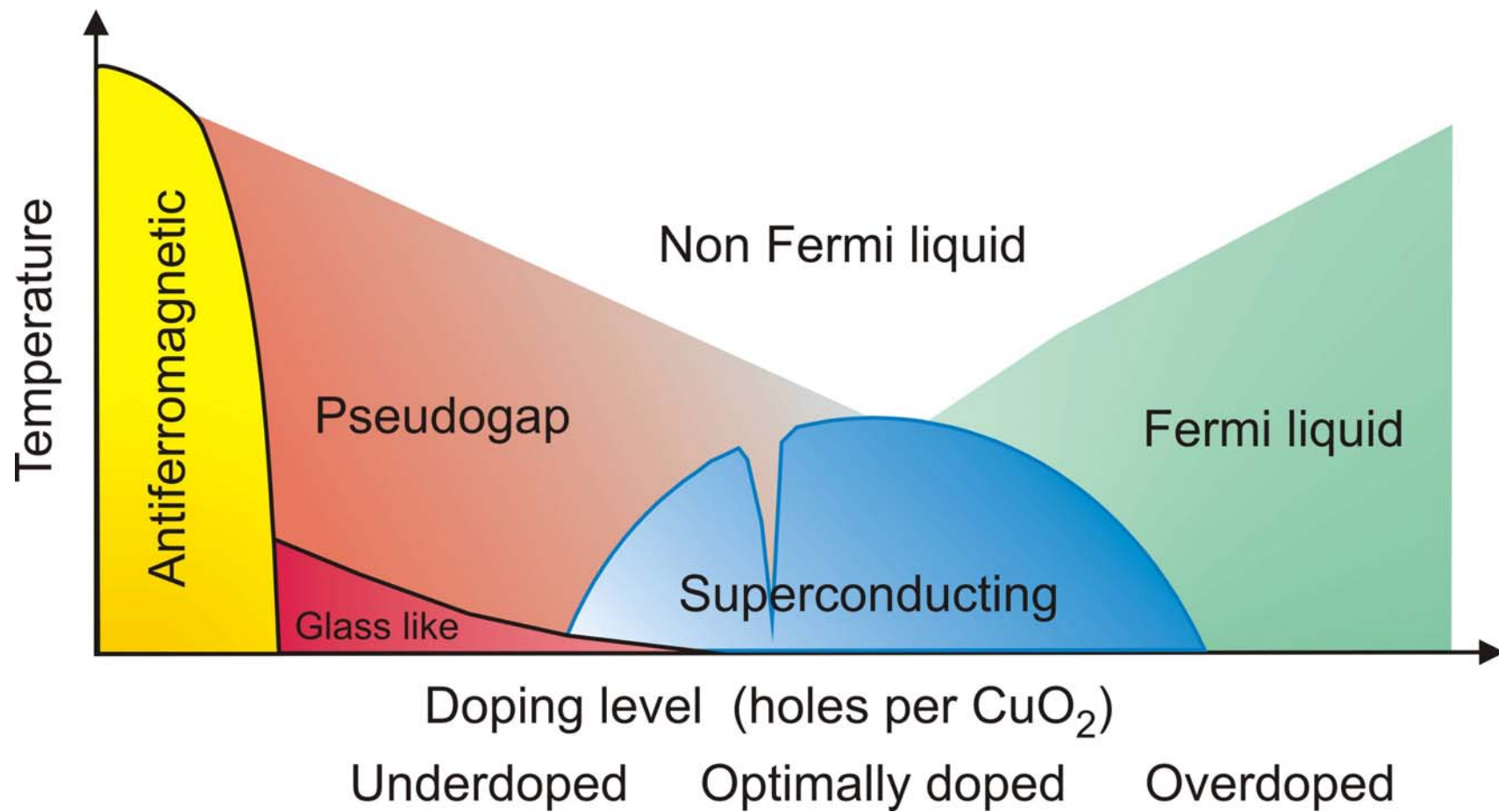
Entanglement



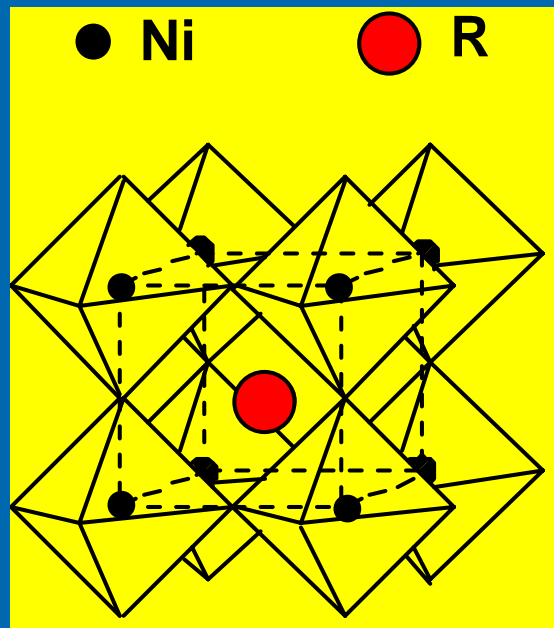
New Phases of Matter

Quantum Phase Transitions





# Low Dimensional Systems



3D (RNiO<sub>3</sub>)

Isotropic properties

$$(\rho_a \approx \rho_b, \rho_c)$$

2D

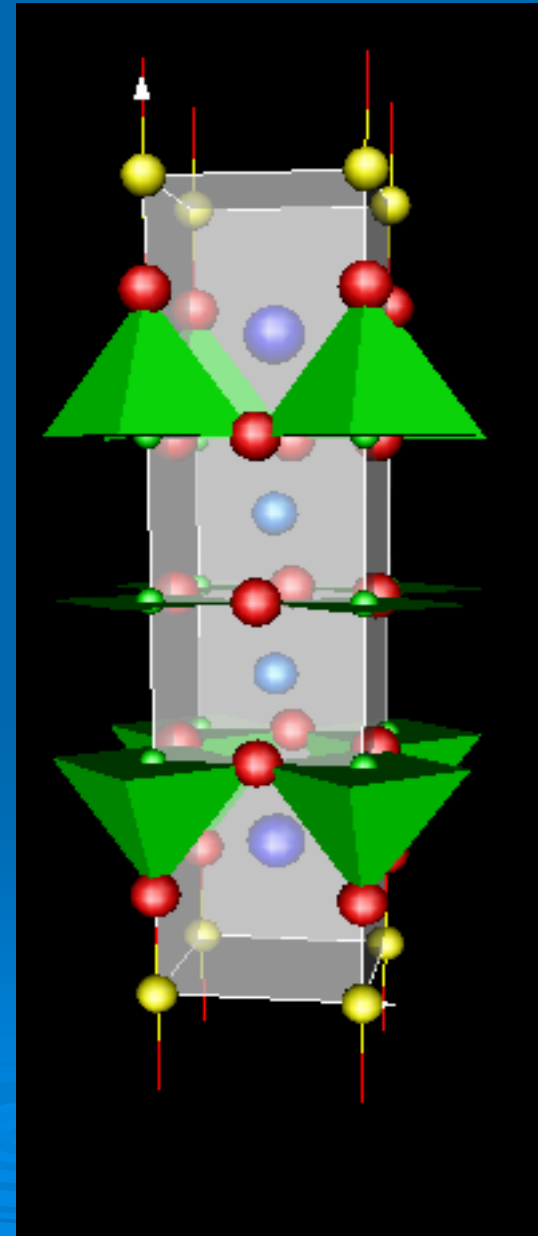
Manganites

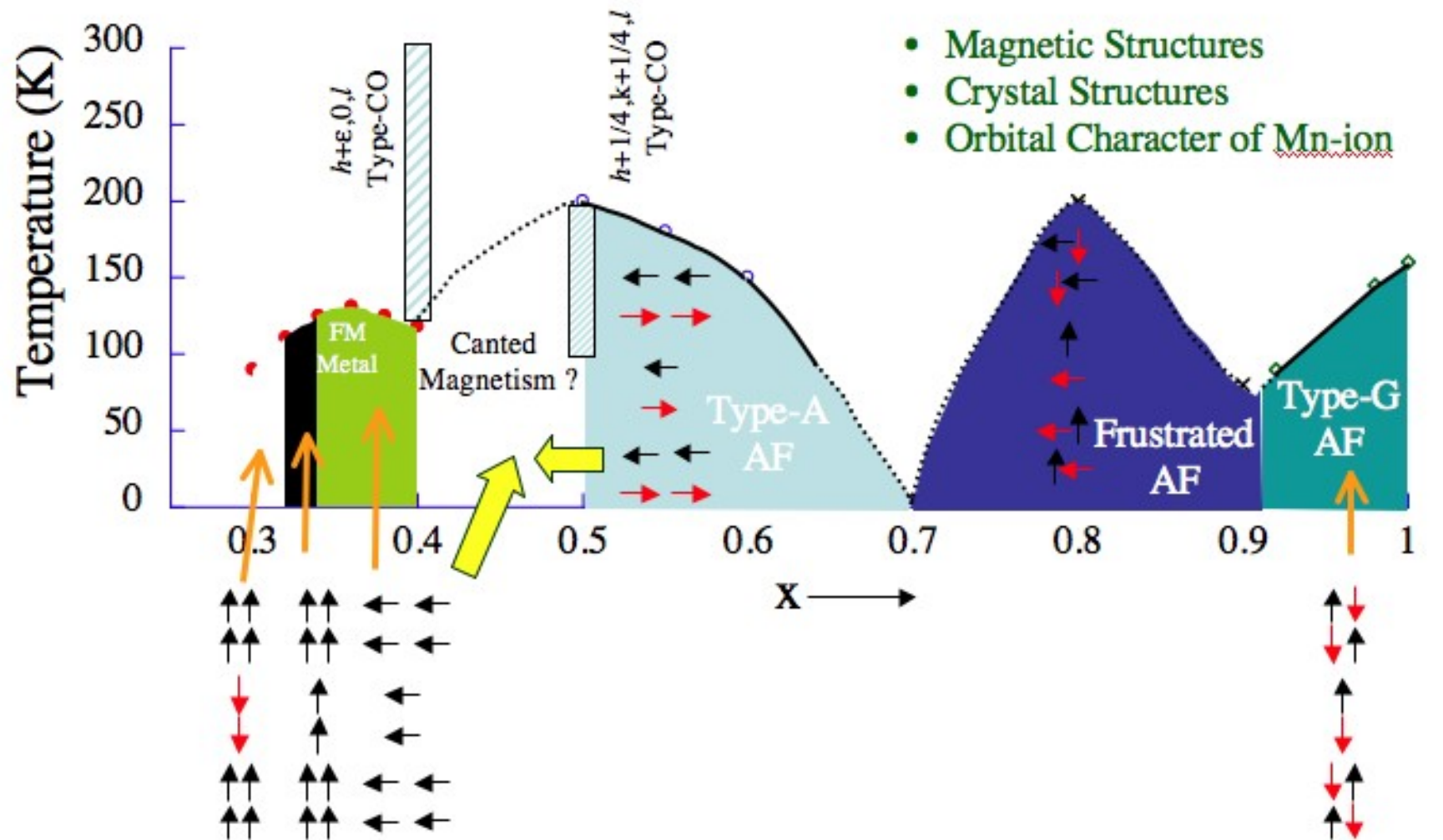
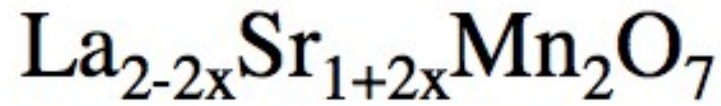
Cuprates

Anisotropic

properties

$$(\rho_a \approx \rho_b \ll \rho_c)$$

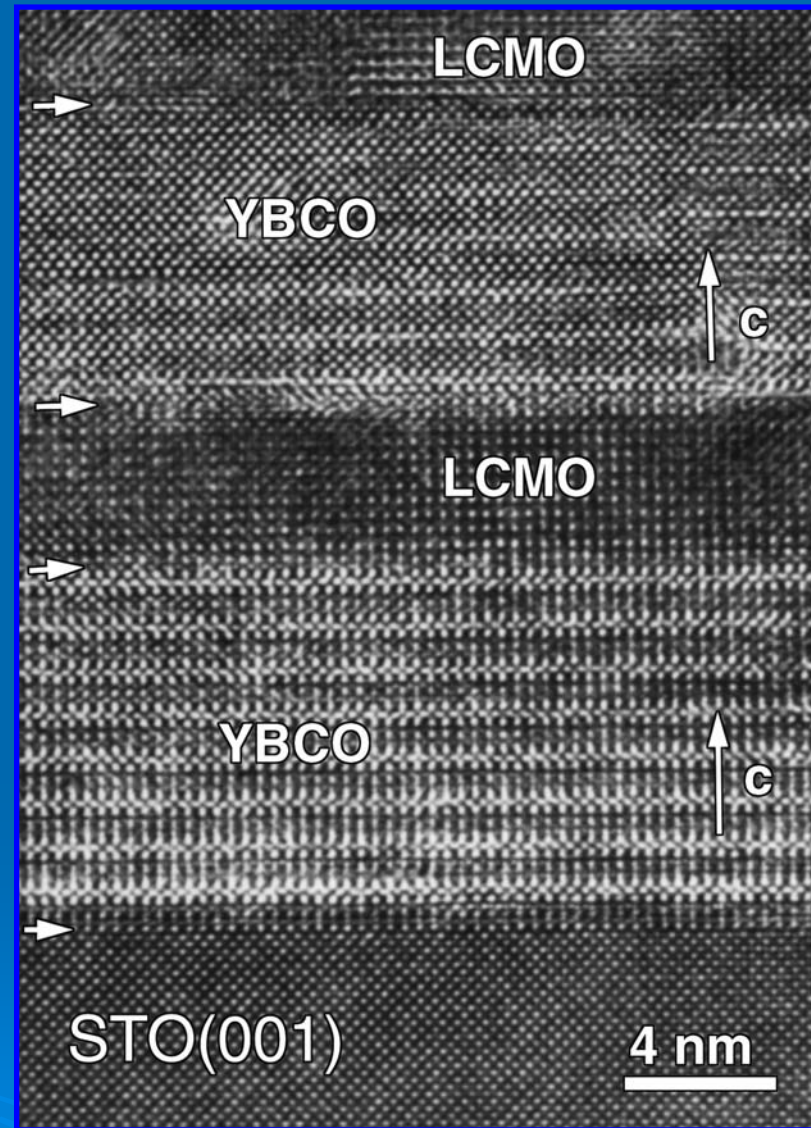






# Artificial multilayers

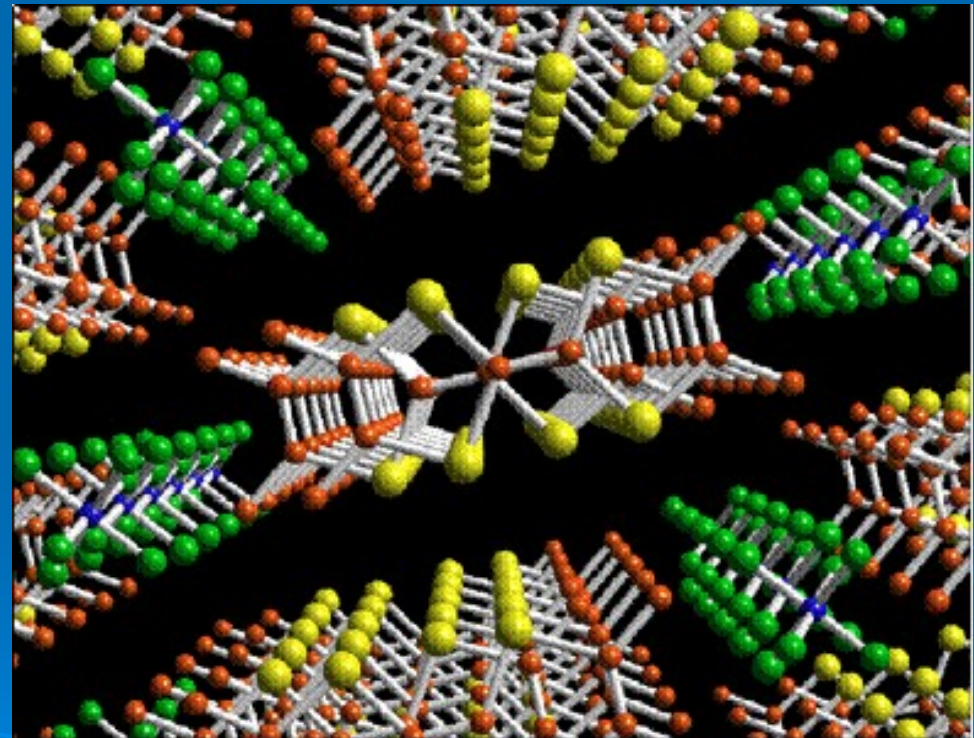
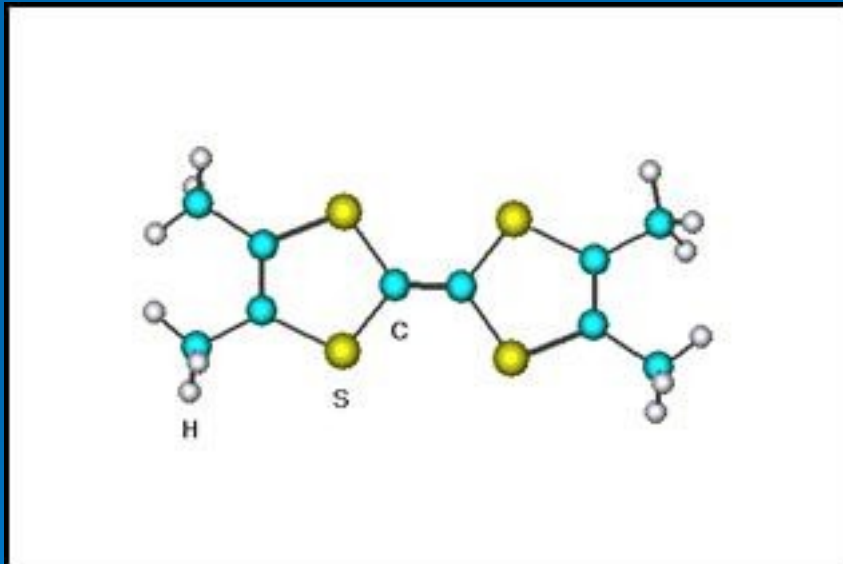
Habermeier  
MPI, Stuttgart



# What about 1 Dimension?

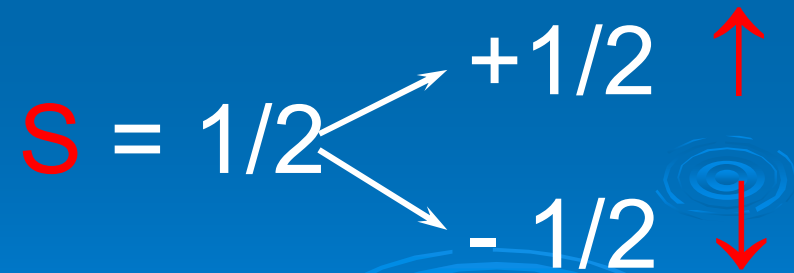
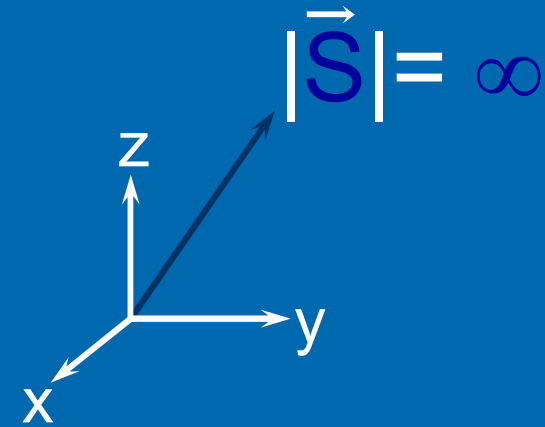
Organic conductors  $(\text{TMTSF})_2\text{PF}_6$

Bechgaard's salt  $(\text{TM})_2\text{X}$



# Alternative: Physics of Spins

- “Atomic scale bar-magnets”
- $S = n/2$ , the archetype of quantisation.
- **Classical** magnetic moments,  $|\mathbf{S}| = \infty$ , are vectors that point in some specified direction.
- **Quantum** spins,  $S = 1/2$ , only have two states, neither of which reveals the full moment  $\sqrt{S(S+1)}$

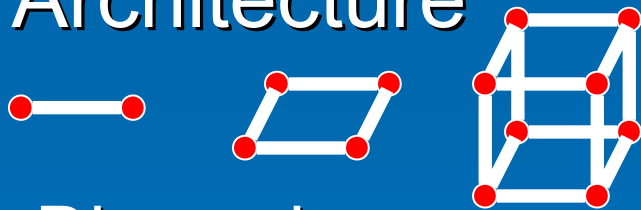


# Building models

## ➤ Spins

Length:  $|S|=1/2 \dots \infty$   
 Quantum / classical  
 Dimension: Ising, XY,  
 Heisenberg

## ➤ Architecture

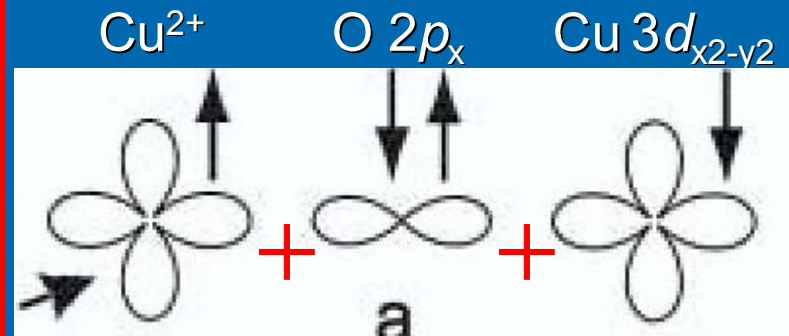


Dimension

Connectivity



## ➤ Interactions



$$\vec{\mu} = -2J \sum \mathbf{S}_i \cdot \mathbf{S}_j$$

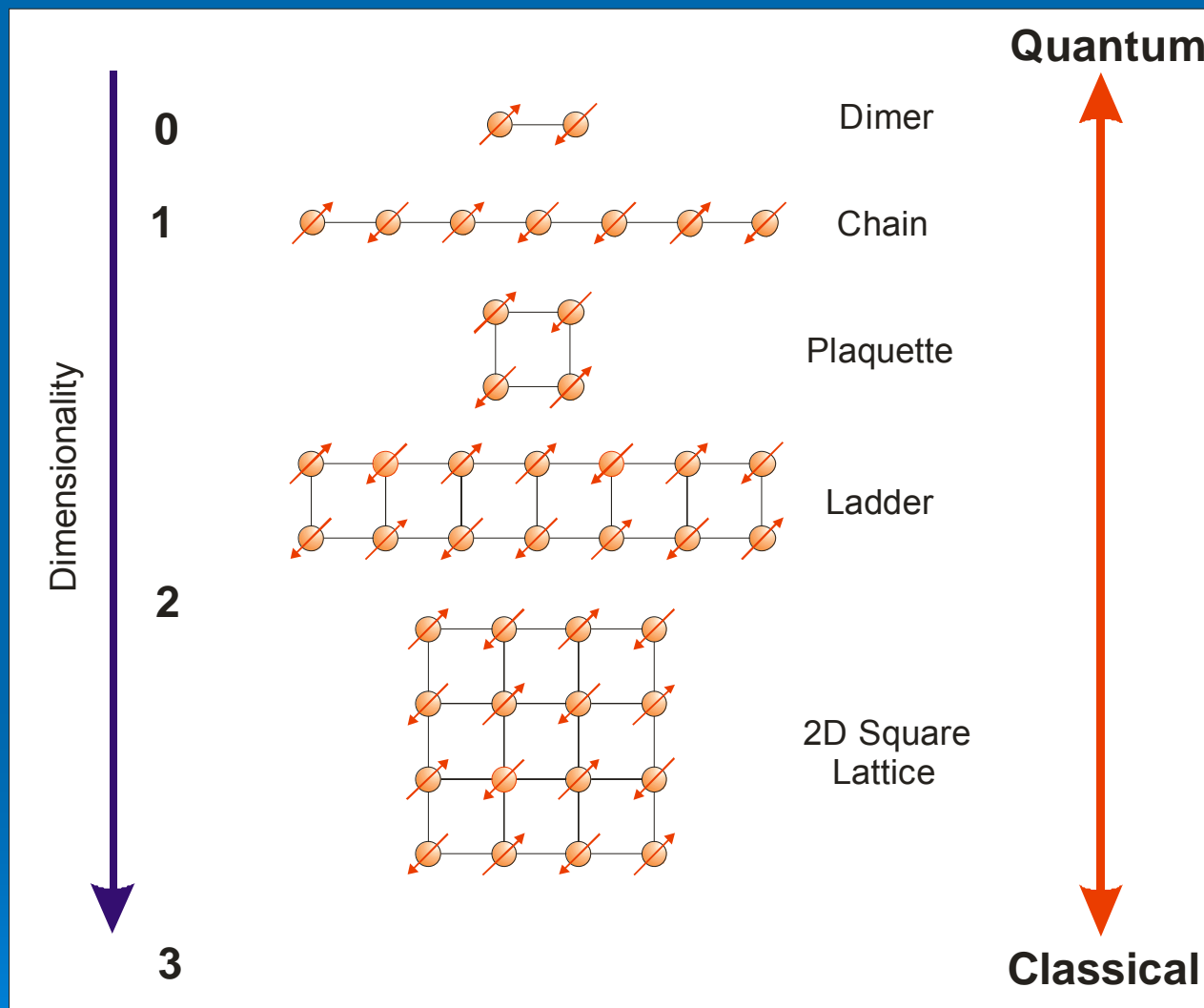
Anti-/Ferromagnetic

## ➤ Extensions

Randomness  
 Charge, orbit, lattice...



# Magnetic Architecture

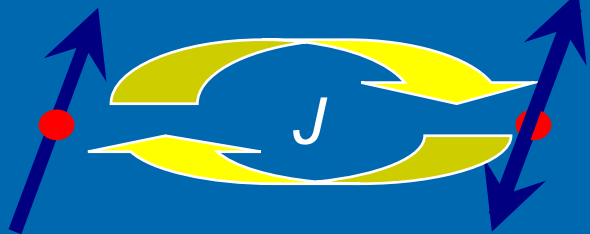


Two dimensions: border between classical and quantum world

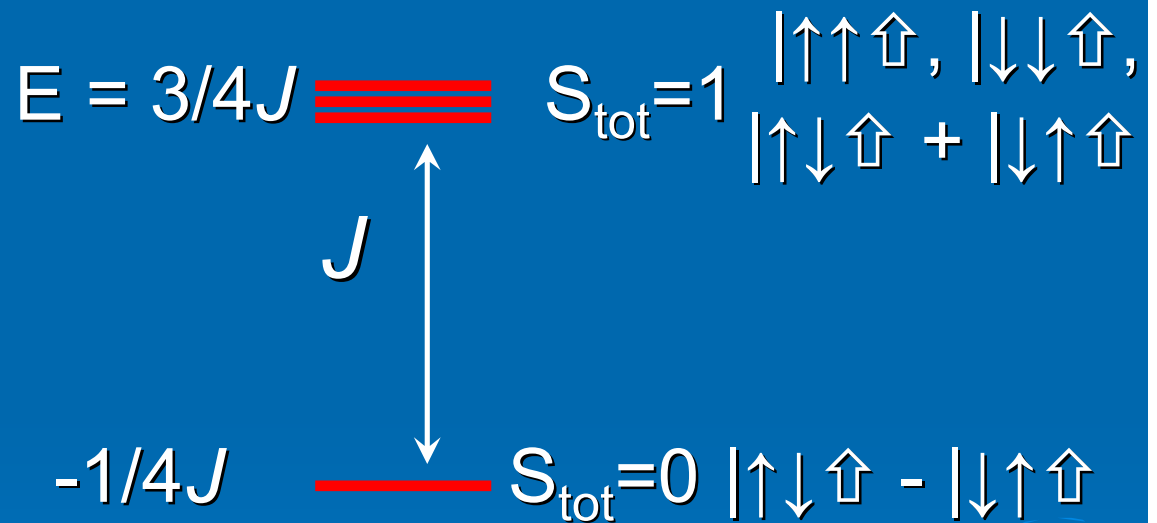


# Example: Spin=1/2 Dimer

$$\mathcal{H} = -2J \sum \mathbf{S}_i \cdot \mathbf{S}_j$$



Antiferromagnetic:  $J < 0$



Ferromagnet:  $J > 0$

|GS $\uparrow$  =  $|\uparrow\uparrow\uparrow\rangle$  or  $|\downarrow\downarrow\downarrow\rangle$

“Classical”

Singlet ground state: prototype of entanglement

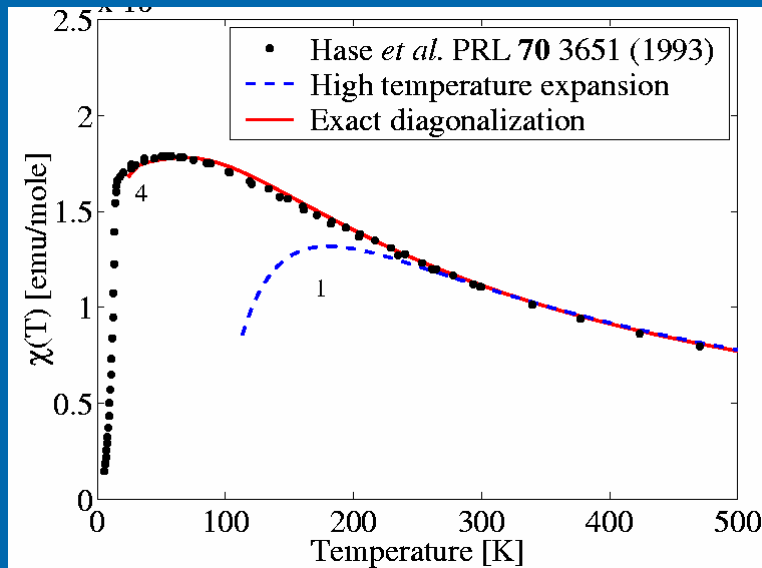
$$\langle S^z_1 \uparrow = \langle S^z_2 \uparrow = 0$$



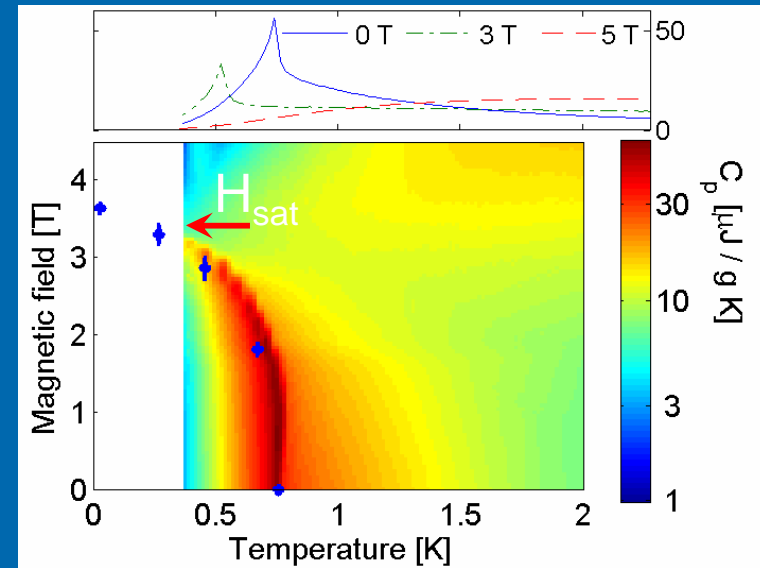
How to investigate such magnetic states?



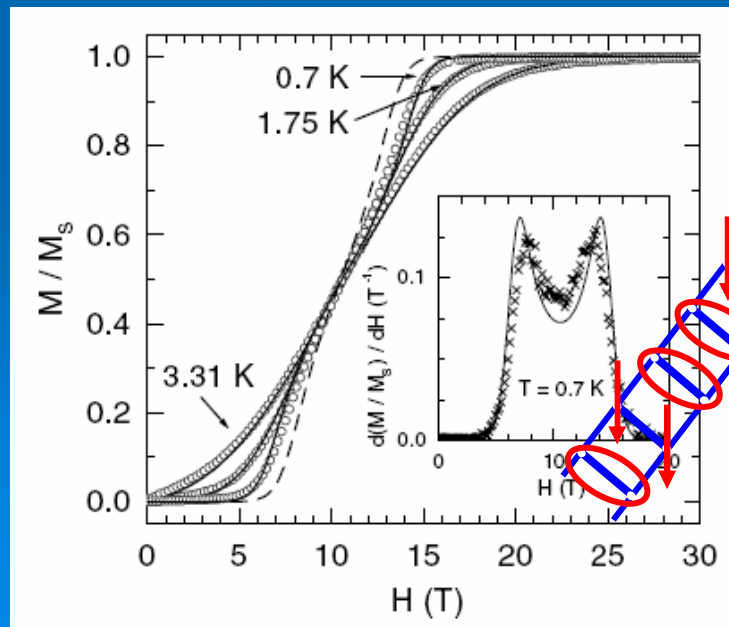
## Susceptibility



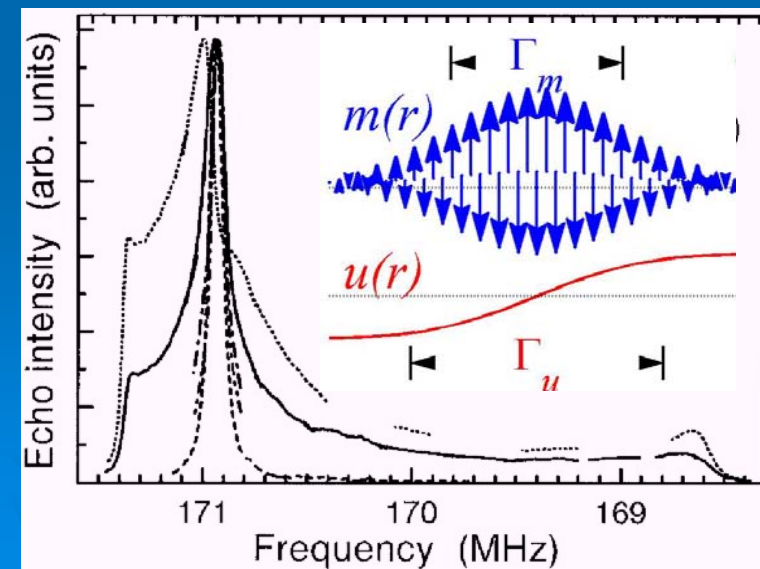
## Specific heat



## Magnetization

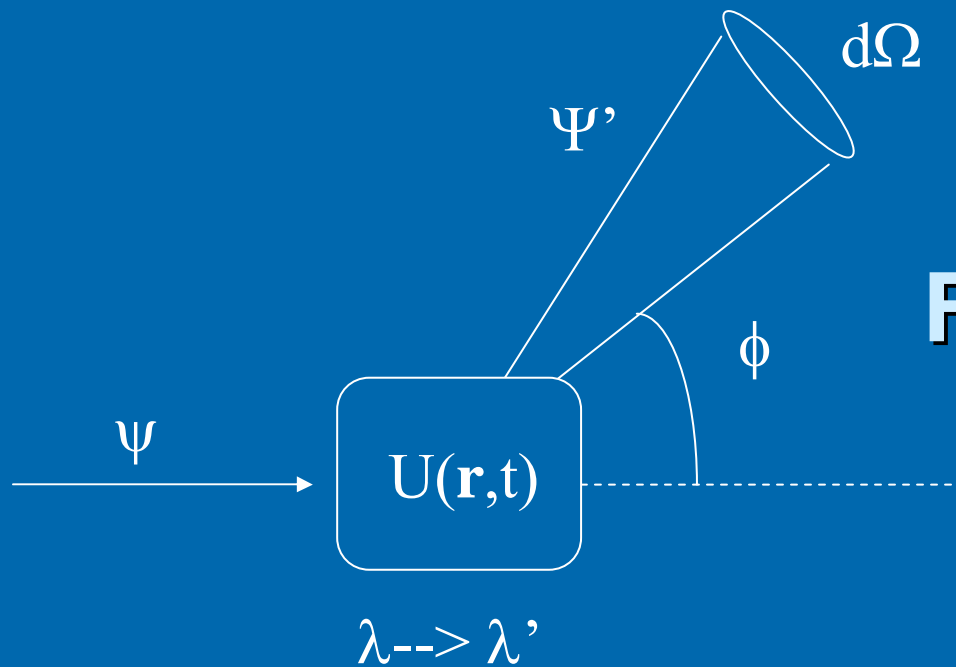


## NMR, ( $\mu$ SR,...)





# Fermi's Golden Rule



$$\frac{d^2 \sigma}{d\Omega d\omega} = \frac{k'}{k} \left( \frac{m}{2\pi\hbar^2} \right)^2 \sum_{\lambda} p_{\lambda} \sum_{\lambda'} |\langle \mathbf{k}' \lambda' | \hat{H} | \mathbf{k} \lambda \rangle|^2 \delta \{ \hbar\omega + E_{\lambda} - E_{\lambda'} \}$$



# Neutron-spin interaction

$$\hat{H} = -\hat{\boldsymbol{\mu}}\hat{\mathbf{H}} \quad \hat{\mathbf{H}} = \text{rot} \left\{ \frac{\hat{\boldsymbol{\mu}}_e \times \mathbf{R}}{|\mathbf{R}|^3} \right\} - \frac{e}{m_e c} \frac{\hat{\mathbf{p}}_e \times \mathbf{R}}{|\mathbf{R}|^3},$$

Dipolmoment

Orbital moment  
(Biot-Savart)

$$\hat{\boldsymbol{\mu}} = \gamma\mu_k\hat{\boldsymbol{\sigma}},$$

$$\gamma = -1.913; \quad \mu_k = \frac{eh}{2mc}$$

$$\hat{\boldsymbol{\mu}}_e = -2\mu_B\hat{\mathbf{S}},$$

$$\mu_B = \frac{eh}{2m_e c}$$



## Identical magnetic ions, Spin only

$$\frac{d^2\sigma}{d\Omega d\omega} = (\gamma r_o)^2 \frac{k'}{k} F^2(\mathbf{Q}) \exp\{-2W(\mathbf{Q})\} \sum_{\alpha,\beta} \left( \delta_{\alpha\beta} - \frac{Q_\alpha Q_\beta}{Q^2} \right) S^{\alpha\beta}(\mathbf{Q}, \omega)$$

## Magnetic Scattering Function

$$S^{\alpha\beta}(\mathbf{Q}, \omega) = \sum_{j,j'} e^{i\mathbf{Q}(\mathbf{R}_j - \mathbf{R}_{j'})}$$

- $\sum_{S,M,S',M'} p_{S,M} \langle SM | \hat{S}_j^\alpha | S'M' \rangle \langle S'M' | \hat{S}_{j'}^\beta | SM \rangle$
- $\delta(\hbar\omega + E_{SM} - E_{S'M'})$



# Looks complicated? Maybe not...

$$\frac{1}{2}(\hat{S}^+ + \hat{S}^-) = \hat{S}^x$$

$$\frac{1}{2i}(\hat{S}^+ - \hat{S}^-) = \hat{S}^y$$

$$\hat{S}^+ |M\rangle = \sqrt{(S - M)(S + M + 1)} |M + 1\rangle$$

$$\hat{S}^- |M\rangle = \sqrt{(S + M)(S - M + 1)} |M - 1\rangle$$



# Elastic Scattering

$$\frac{d\sigma}{d\Omega} \approx S^{zz}(\mathbf{Q}, \omega) = \sum_{j,j'} e^{i\mathbf{Q}(\mathbf{R}_j - \mathbf{R}_{j'})} \sum_{S,M} p_{S,M} \langle SM | \mathbf{S}_j^z | SM \rangle \langle SM | \mathbf{S}_{j'}^z | SM \rangle$$

$\langle \mathbf{S}^z \rangle \approx \text{Magnetization}$

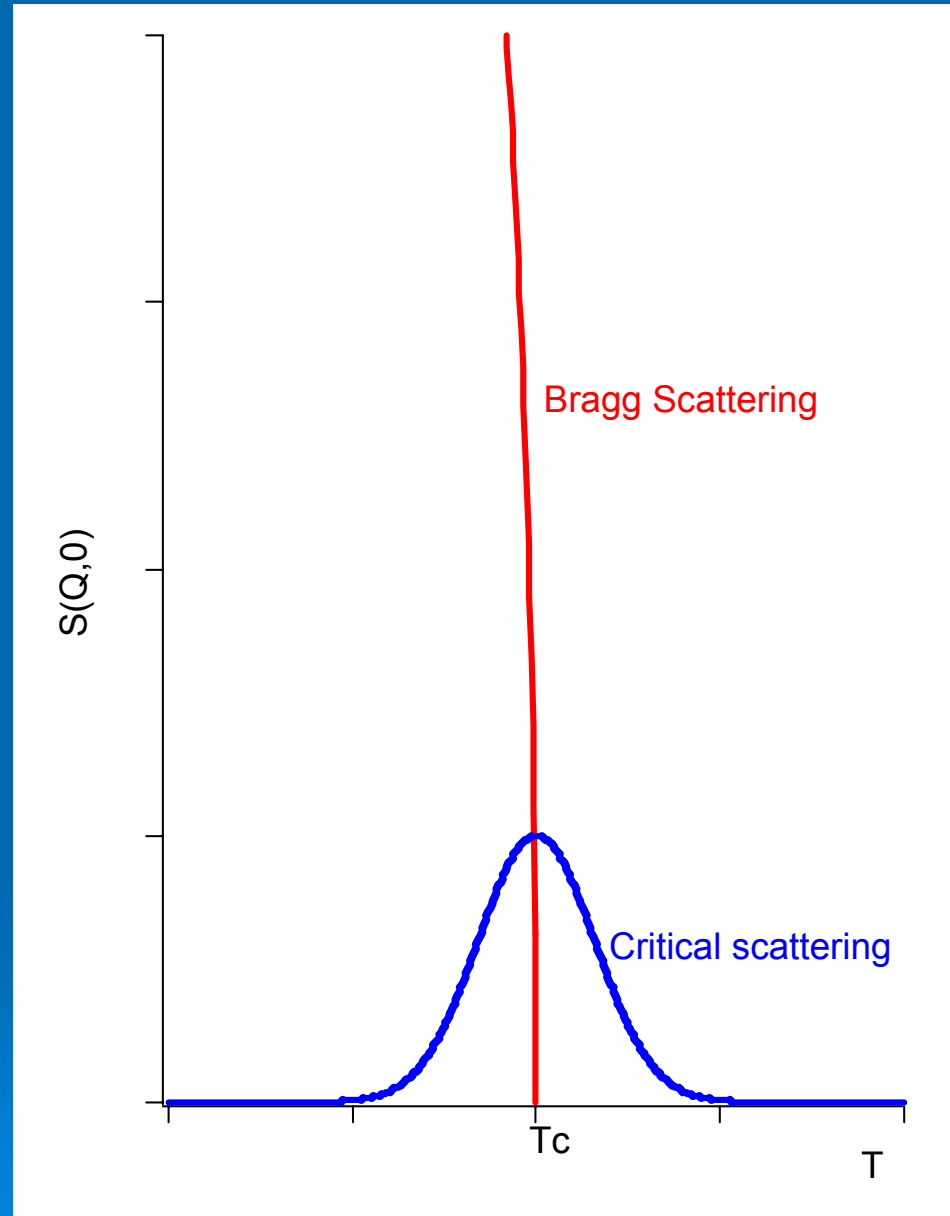
$$\mathbf{S}_j^z = \langle \mathbf{S}^z \rangle + (\mathbf{S}_j^z - \langle \mathbf{S}^z \rangle) = \langle \mathbf{S}^z \rangle + \Delta \mathbf{S}_j^z$$

→

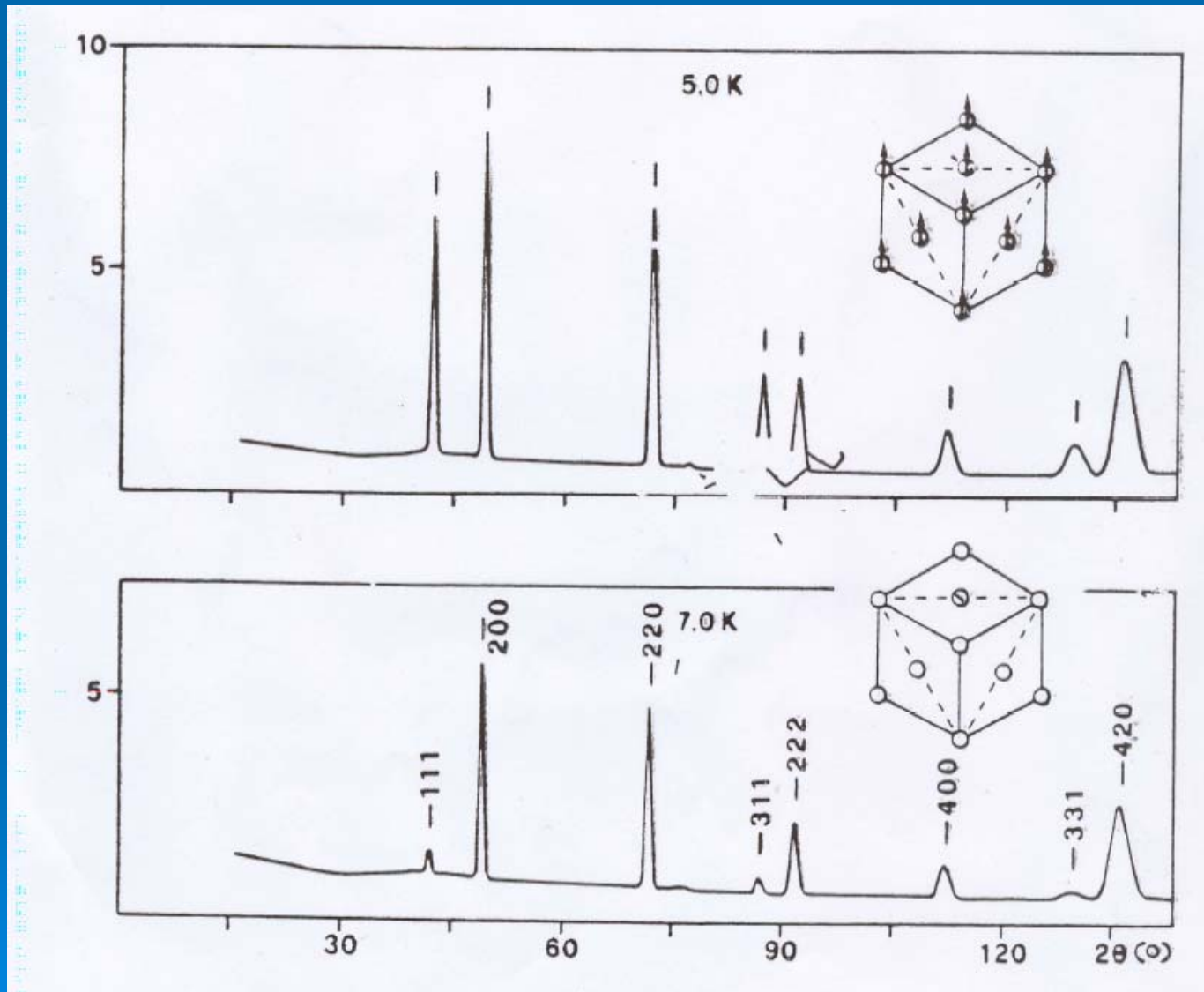
$$\frac{d\sigma}{d\Omega} \approx \delta(Q - \tau) \langle \mathbf{S}^z \rangle^2 + \langle \Delta \mathbf{S}_Q^z \Delta \mathbf{S}_{-Q}^z \rangle \approx M^2 + kT \chi(Q)$$

$$\frac{d\sigma}{d\Omega_{\text{Bragg}}} + \frac{d\sigma}{d\Omega_{\text{Critical}}}$$

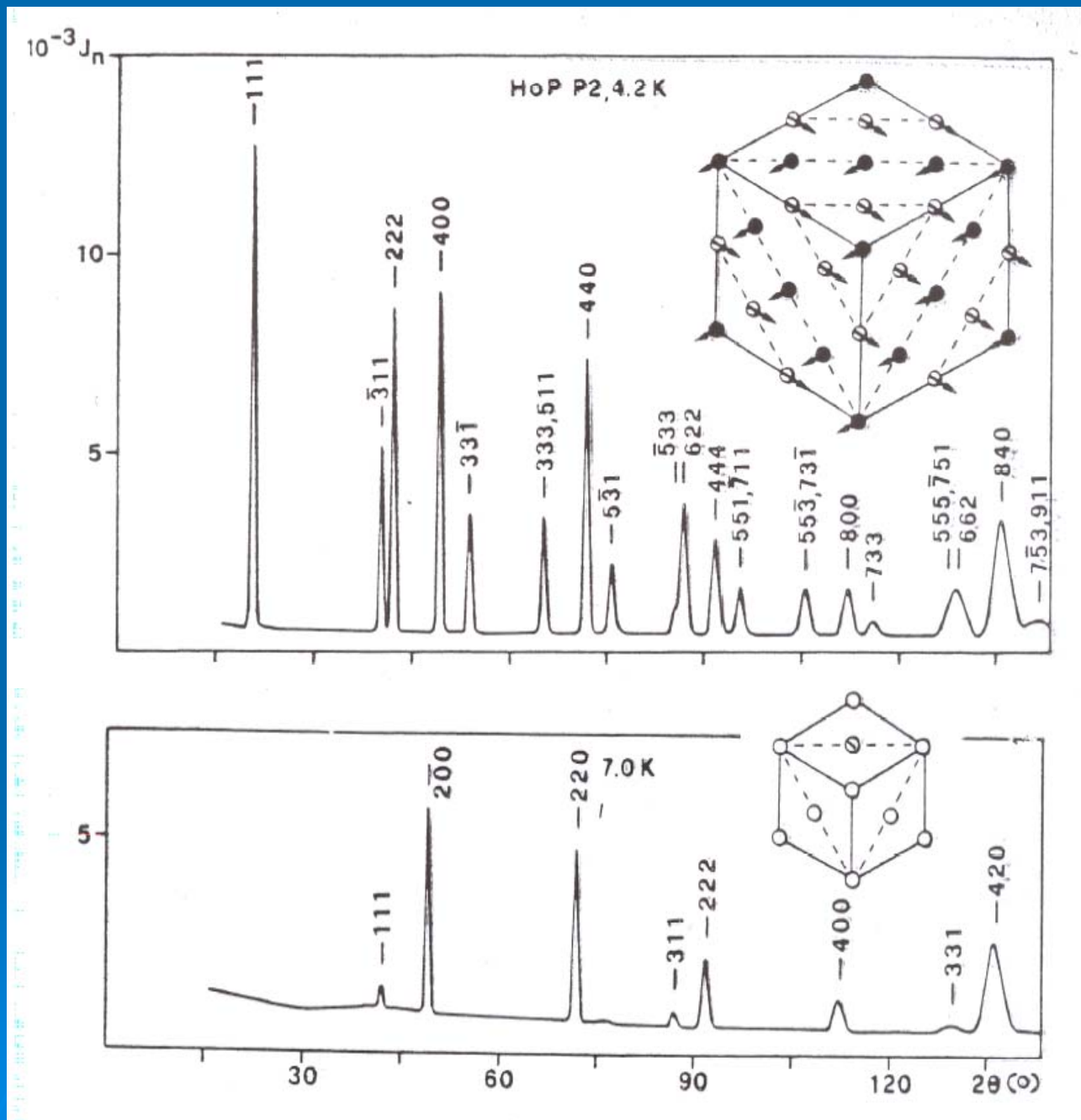




# Bragg Scattering: HoP

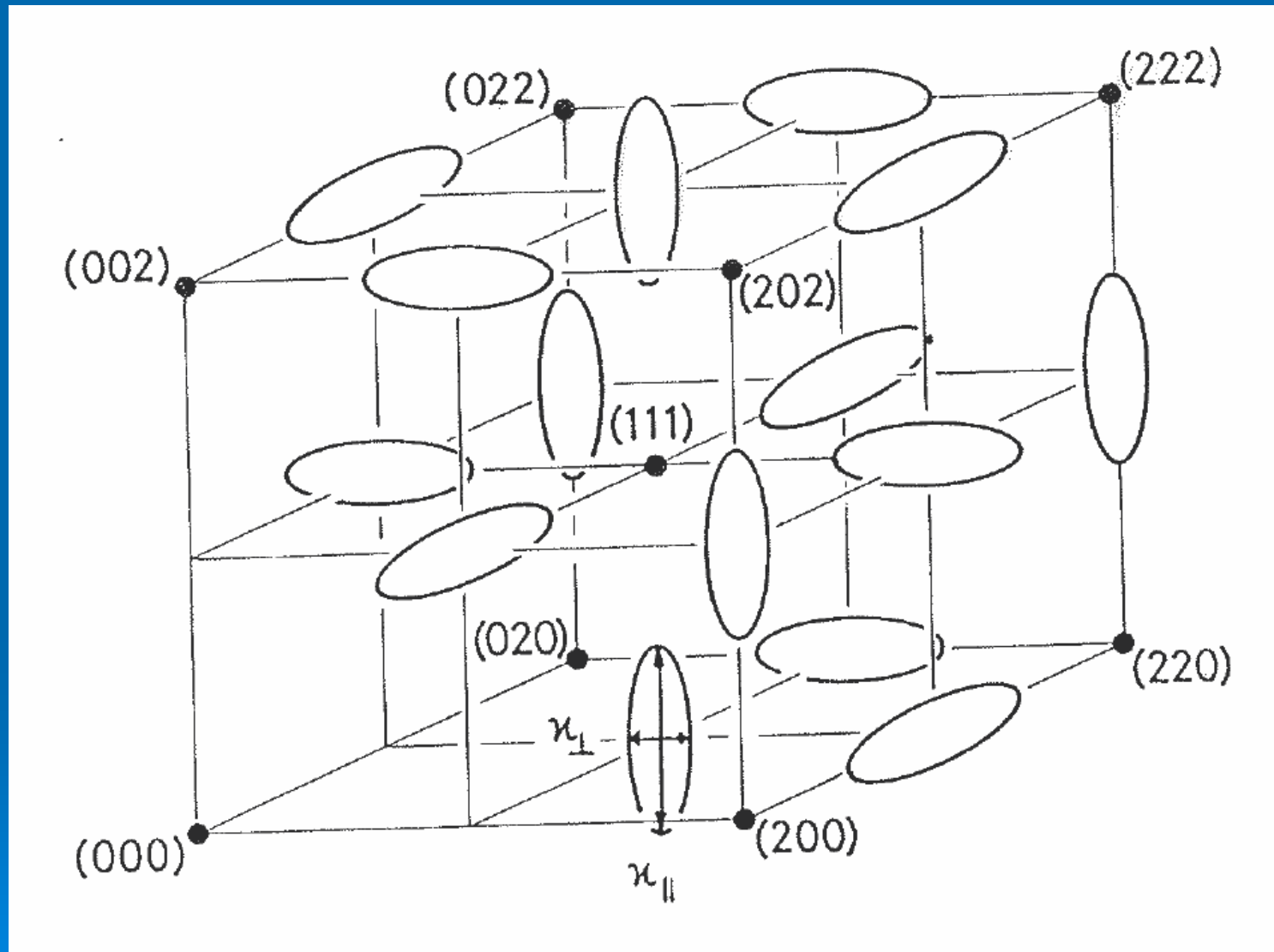


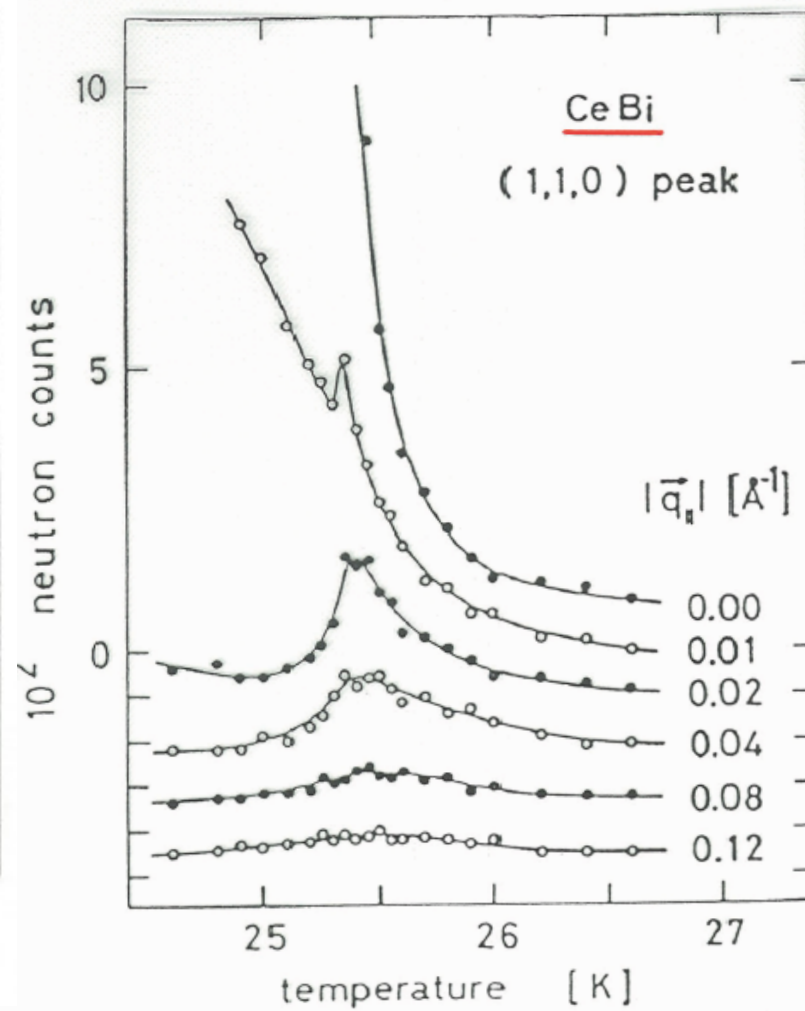
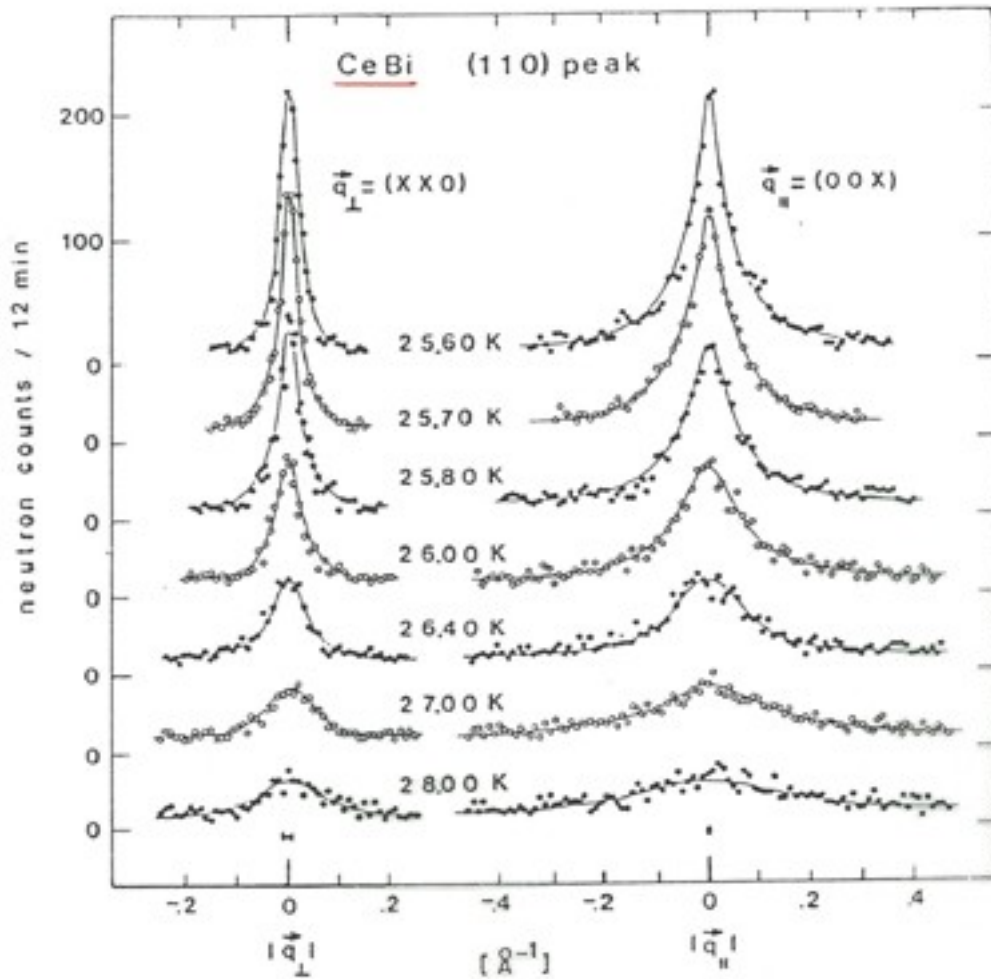
# HoP, T=4.2 K





# CeBi, $T_N=25.35$ K

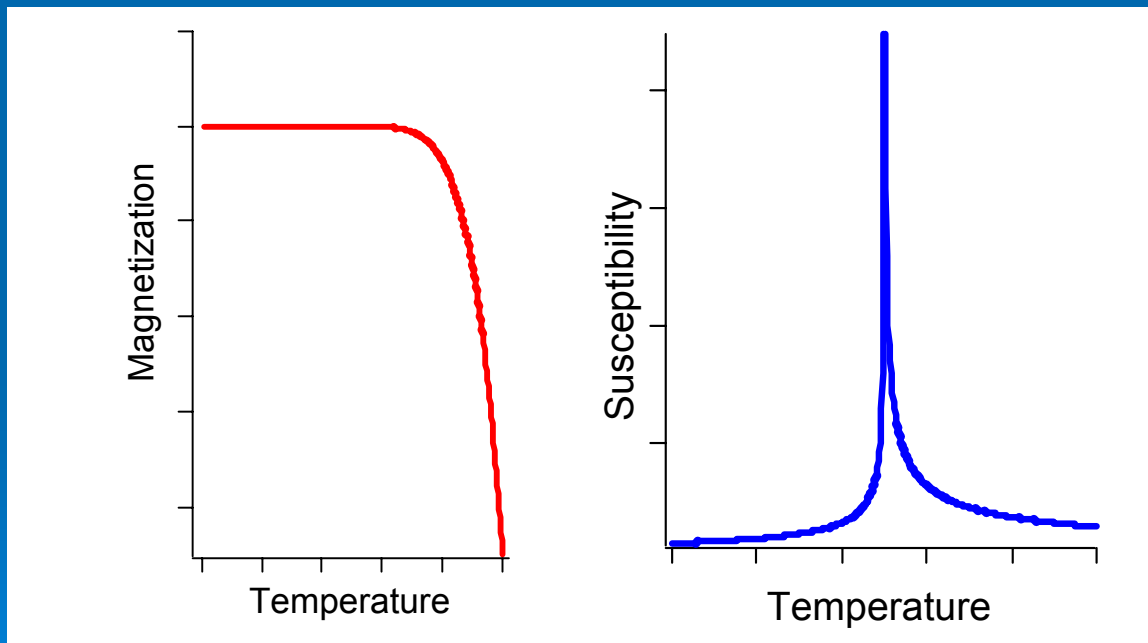




# Critical exponents: $x \propto \left( \pm \frac{T - T_c}{T_c} \right)^\lambda = (\pm t)^\lambda$

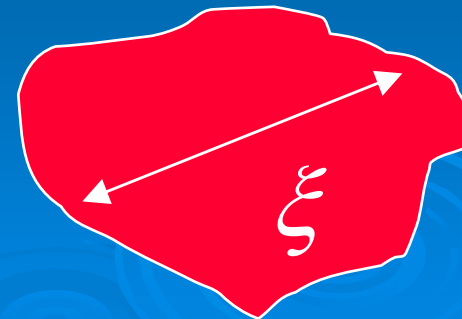
$$M \propto (-t)^\beta \quad \chi \propto (\pm t)^\gamma$$

$$\chi_Q \propto \frac{\chi}{K^2 + Q^2}, \quad K \approx t^\nu$$



$$\langle S_r^\alpha S_{r'}^\beta \rangle \approx \exp(-(\mathbf{r} - \mathbf{r}')\kappa)$$

$$\kappa = \frac{1}{\xi}$$



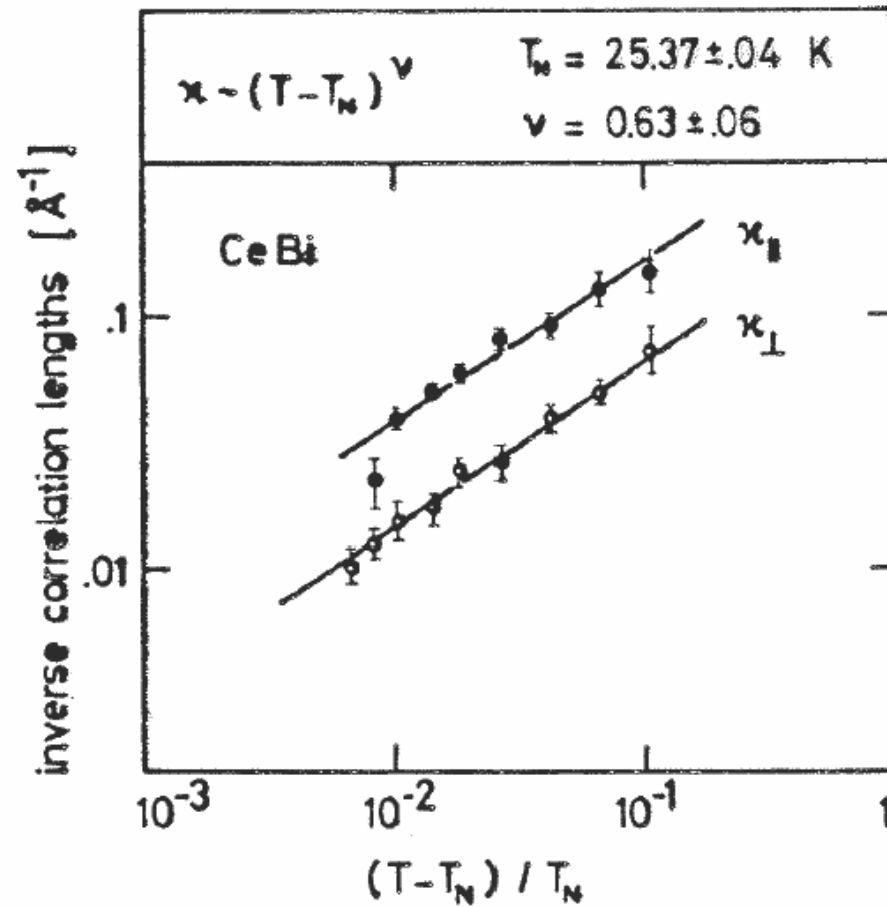
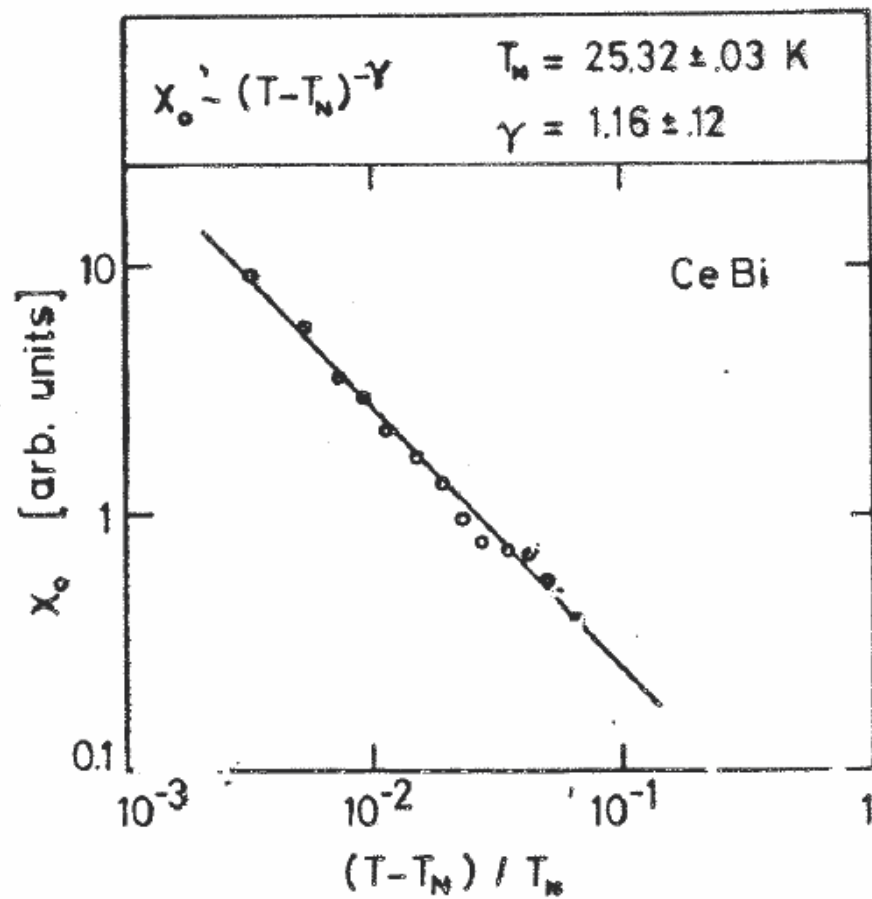
Exponents depend on

dim of order parameter n  
dim of space d

$$\hat{H} = -J_{ij} \left( (1-A) (S_i^x S_j^x + S_i^y S_j^y) + A S_i^z S_j^z \right)$$

			$\beta$	$-\gamma$	$\nu$
Mean field			0.5	1	0.5
Ising	n=1	d=2	1/4	7/4	1
A=1		d=3	0.313	5/4	0.638
XY (A=0)	n=2	d=3	1/3	1.32	0.675
Heisenberg (A=1/2)	n=3	d=3	0.345	1.4	0.7





$M \longrightarrow \beta = 0.317 (0.005)$



# Spin dynamics

$$S^{\alpha\beta}(\mathbf{Q}, \omega) = \frac{1}{2\pi\hbar} \int dt e^{-i\omega t} \frac{1}{N} \sum_{\mathbf{R}\mathbf{R}'} e^{i\mathbf{Q}\cdot(\mathbf{R}-\mathbf{R}')} \langle S_{\mathbf{R}}^{\alpha}(t) S_{\mathbf{R}'}^{\beta}(0) \rangle$$

Question: how will the spin dynamics be affected by dimensionality and quantum fluctuations?



## Fluctuation-dissipation theorem

$$S^{\alpha\beta}(\mathbf{Q}, \omega) = \frac{N\hbar}{\pi} \left( 1 - e^{-\frac{\hbar\omega}{k_B T}} \right)^{-1} \text{Im} \chi^{\alpha\beta}(\mathbf{Q}, \omega)$$

## Generalized Magnetic Suscept.

$$M^\alpha(\mathbf{Q}, \omega) = \chi^{\alpha\beta}(\mathbf{Q}, \omega) H^\beta(\mathbf{Q}, \omega)$$



# Connection to microscopic models

Hamiltonian with eigenvalues  $E_i$  and eigenstates  $\Gamma_i$

$Z$ =partition function

$$M_\alpha = \frac{1}{k_B T} \frac{\partial \ln Z}{\partial H_\alpha} \quad \left( = g \mu_B \sum_i p_i \langle \Gamma_i | S_\alpha | \Gamma_i \rangle \right)$$

$$\chi_{\alpha\alpha} = \frac{\partial M_\alpha}{\partial H_\alpha} = \left[ g^2 \mu_B^2 \left[ \sum_i \frac{|\langle \Gamma_i | S_\alpha | \Gamma_i \rangle|^2}{k_B T} p_i + \sum_{i \neq j} \frac{|\langle \Gamma_j | S_\alpha | \Gamma_i \rangle|^2}{E_i - E_j} (p_j - p_i) \right] \right]$$

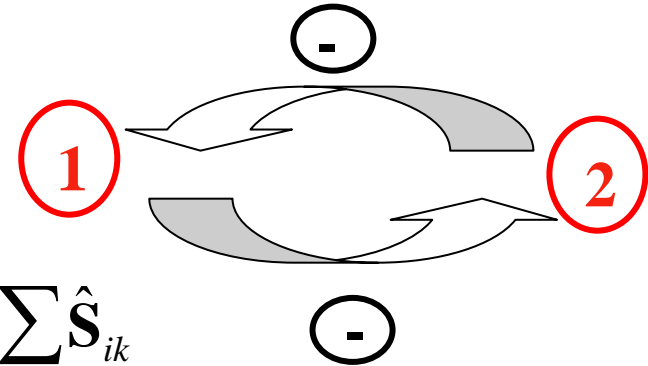
$$p_i = \frac{1}{Z} \exp \left( - \frac{E_i}{k_B T} \right)$$





## Type of Hamiltonians

Heisenberg & Dirac (1929)  $\hat{H} = -2J\hat{\mathbf{S}}_1\hat{\mathbf{S}}_2$



Van Vleck (1932)  $\hat{H} = -2\sum_{i>j} J_{ij}\hat{\mathbf{S}}_i\hat{\mathbf{S}}_j$ ,  $\hat{\mathbf{S}}_i = \sum_k \hat{\mathbf{S}}_{ik}$

Neel (1936)  $J < 0$  ; Antiferromagnetismus

## Further generalizations

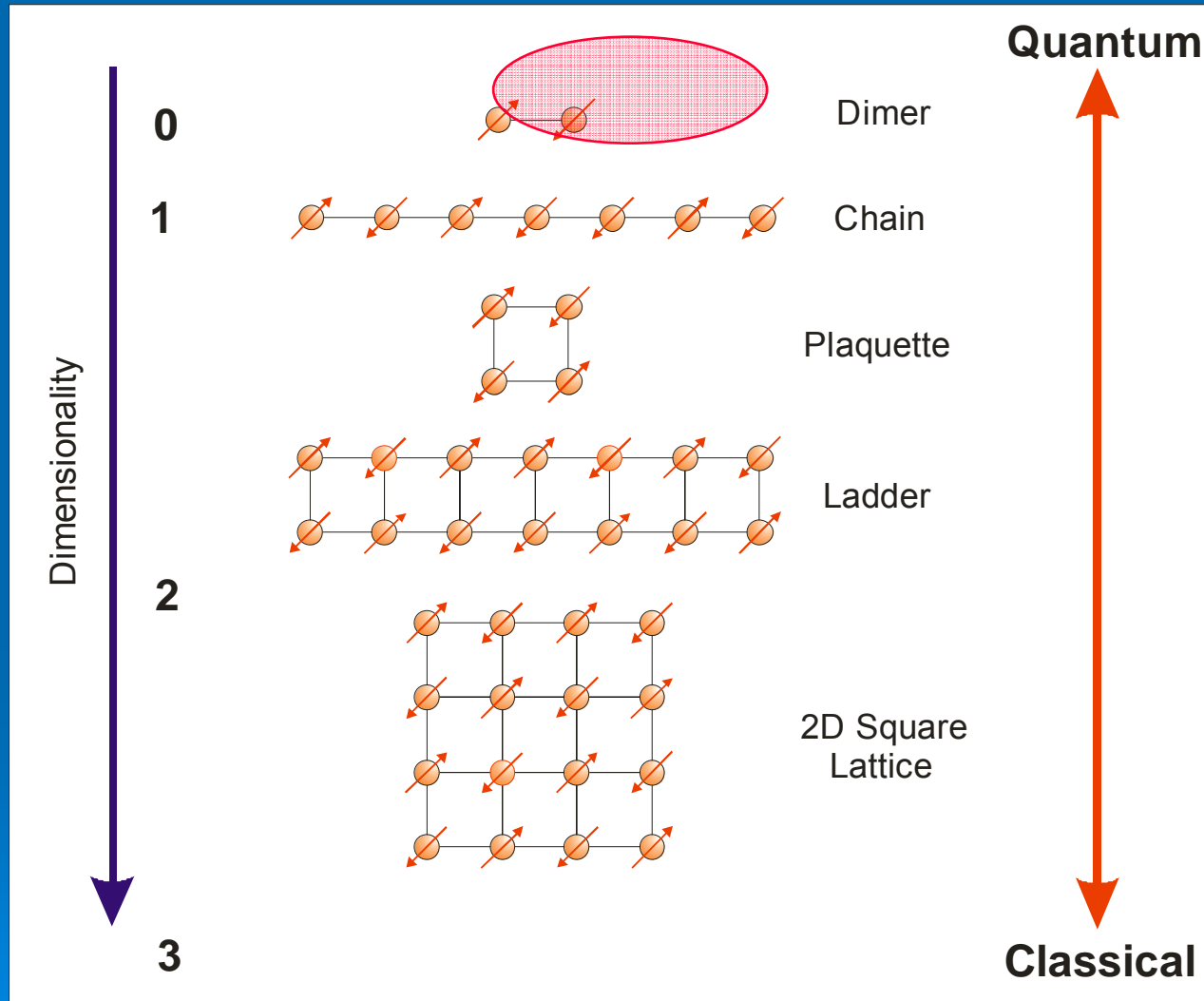
$$\hat{H} = -2\sum_{i>j} \sum_{\alpha,\beta} J_{ij}^{\alpha\beta} \hat{\mathbf{S}}_i^\alpha \hat{\mathbf{S}}_j^\beta, \text{ (anisotropy)}$$

$$-\sum_{i>j} K_{ij} (\hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j)^2, \text{ (higher - order exchange)}$$

$$-\sum_{i>j} L_{ijl} (\hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j)(\hat{\mathbf{S}}_j \cdot \hat{\mathbf{S}}_l) \text{ (three - body exchange)}$$



# Magnetic Architecture



Coupled system:  $\text{Cr}^{3+}-\text{Cr}^{3+}$ ,  $S_{1,2}=3/2$ , distance  $r$  apart.

$$\hat{S} = \hat{S}_1 + \hat{S}_2 \rightarrow \hat{S}_1 \hat{S}_2 = \frac{1}{2} [\hat{S}^2 - \hat{S}_1^2 - \hat{S}_2^2]$$

$$E(S) = -J[S(S+1) - 2S_1(S_1+1)], \quad 0 < S < 2S_1,$$

S		E(S)/ J
3	(7)	12
2	(5)	6
1	(3)	2
0	(1)	0

$$S^{\alpha\beta}(Q, \omega) = F^2(Q) \cdot \left[ 1 - \frac{\sin(Qr)}{Qr} \right] \cdot \delta(\omega - (E_j - E_i)) \cdot \delta(S_j - S_i \pm 1, 0) \cdot p(E_i)$$



N \*-((NH3)5CrOHCr(NH3)5)Cl5H2O-[P42/MNM]-

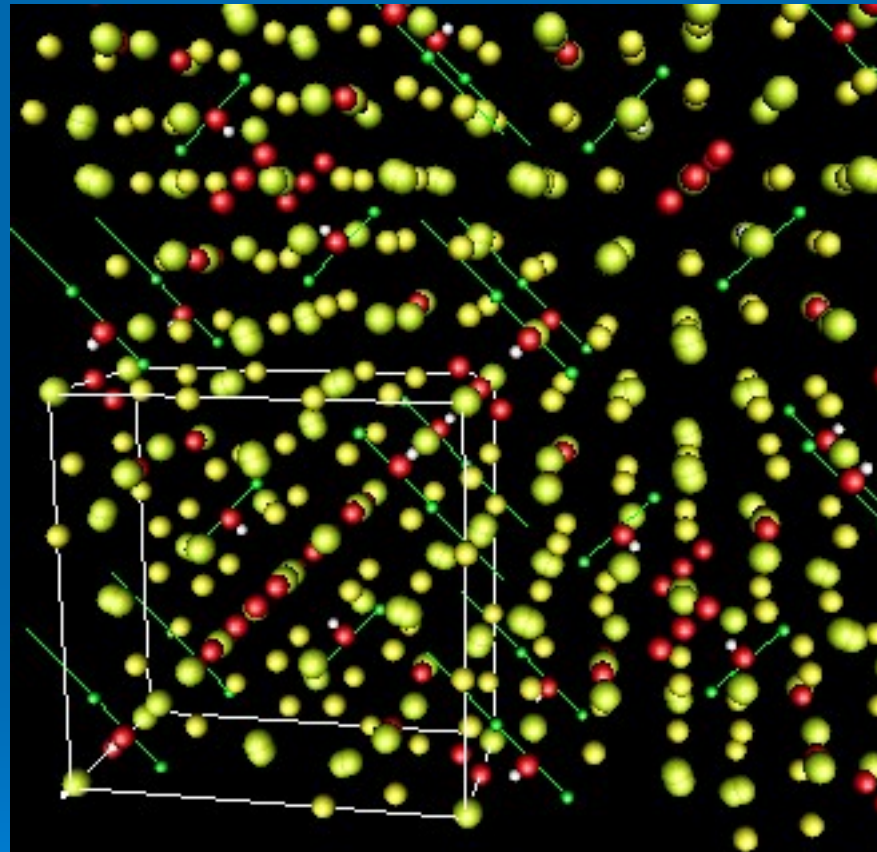
C 16.259 16.259 7.411 90. 90. 90.

S GRUP P 42/M N M

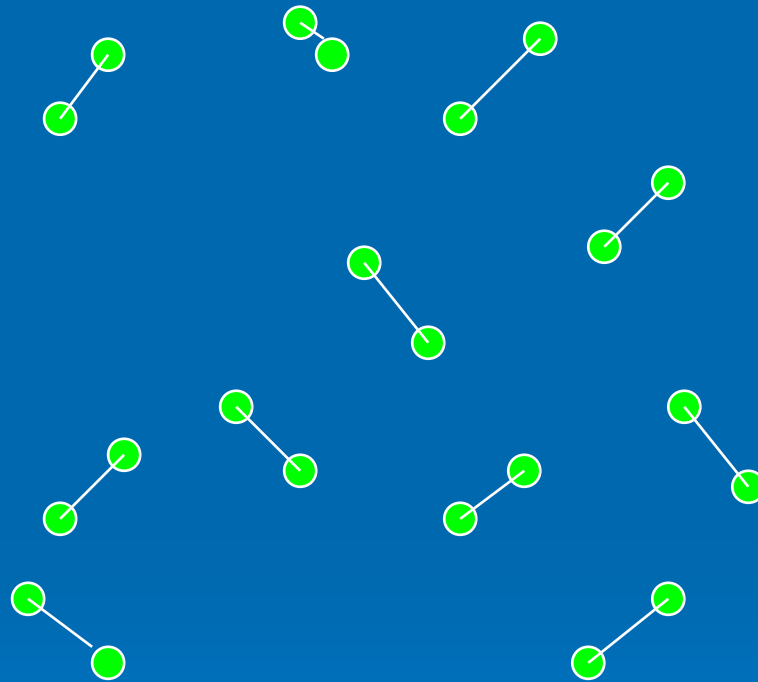
A Cr1	0.24210	0.07450	0.00000	0.00000	1.00000		
A N1	0.19090	0.99770	0.19690	0.00000	1.00000		
A N2	0.14420	0.29720	0.20080	0.00000	1.00000		
A N3	0.34850	0.99580	0.00000	0.00000	1.00000		
A O1	0.14780	0.14780	0.00000	3.85000	1.00000		
A O2	0.00000	0.00000	0.50000	12.3000	0.50000		
A O3	0.31020	0.31020	0.38420	13.8000	0.25000		
A O4	0.44880	0.44880	0.00000	6.50000	0.25000		
A C11	0.49950	0.13500	0.00000	2.70000	0.50000		
A C12	0.34720	0.99250	0.50000	4.70000	0.50000		
A C13	0.31060	0.31060	0.50000	6.10000	1.00000		
A C14	0.13480	0.13480	0.50000	4.80000	1.00000		
A C15	0.32740	0.32740	0.00000	2.80000	0.50000		
A C16	0.50000	0.50000	0.43430	3.60000	0.50000		
A H1	0.11900	0.11900	0.00000	6.50000	1.00000		
T Cr1	4	0.00160	0.00160	0.01480	0.00000	0.00000	0.00040
T N1	4	0.00550	0.00350	0.01960	0.00040	0.00450	-0.0012
T N2	4	0.00530	0.00450	0.02110	-0.0025	0.00060	-0.0023
T N3	4	0.00310	0.00310	0.12660	0.00000	0.00000	0.00230

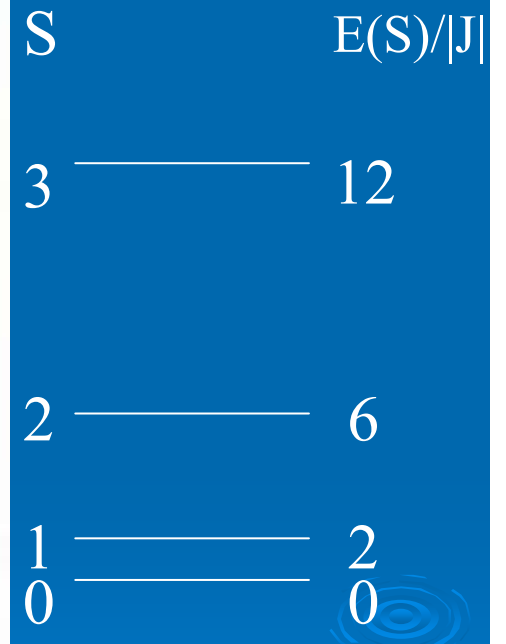
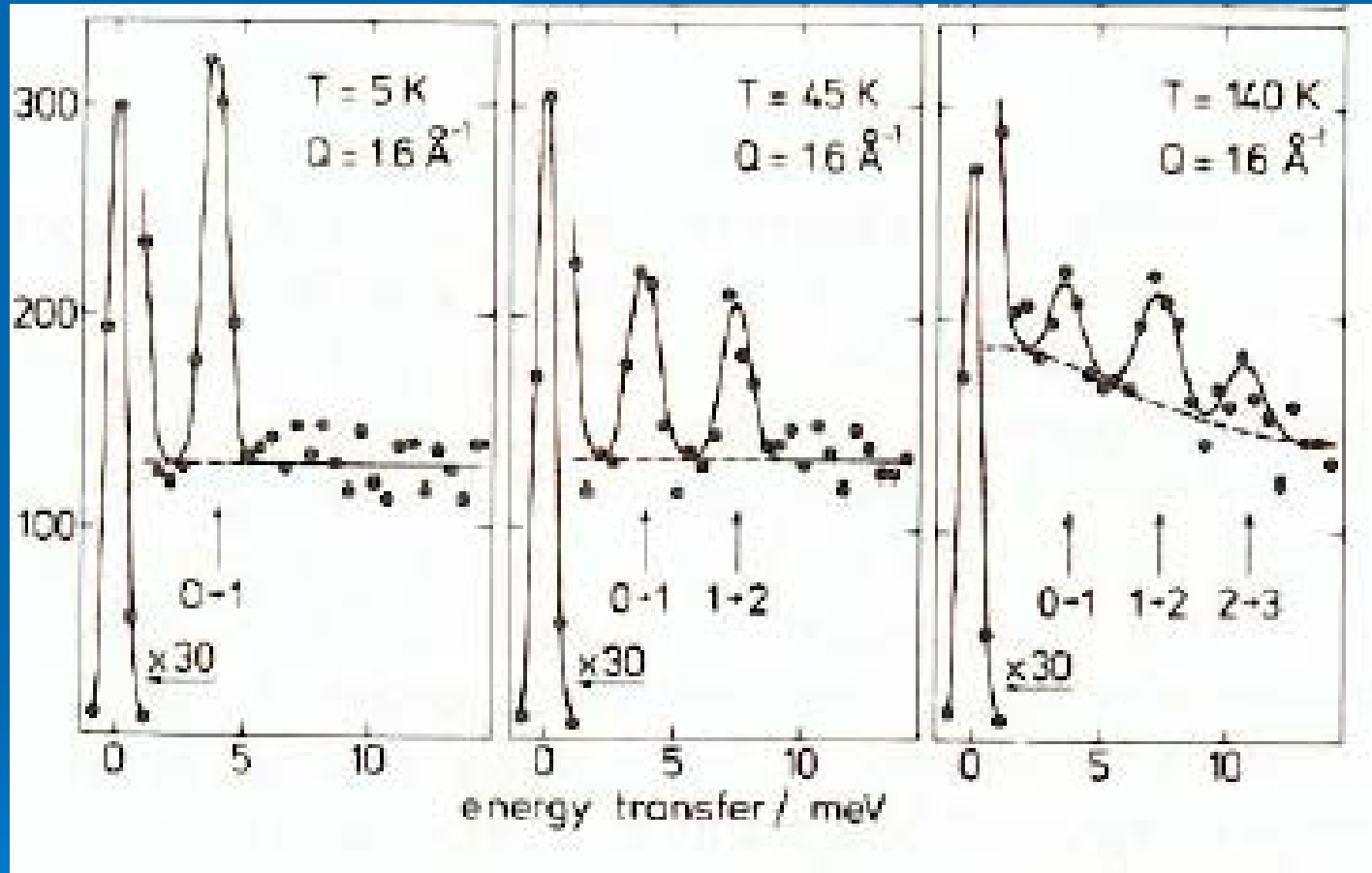


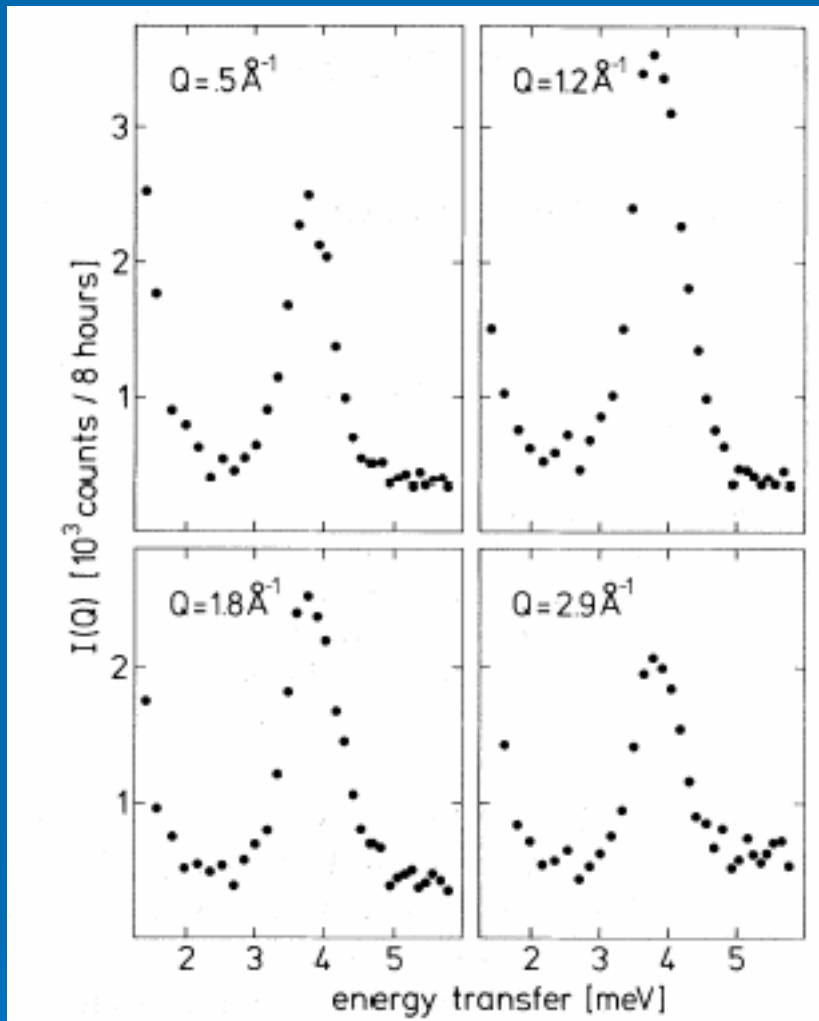
# Cr-dimer



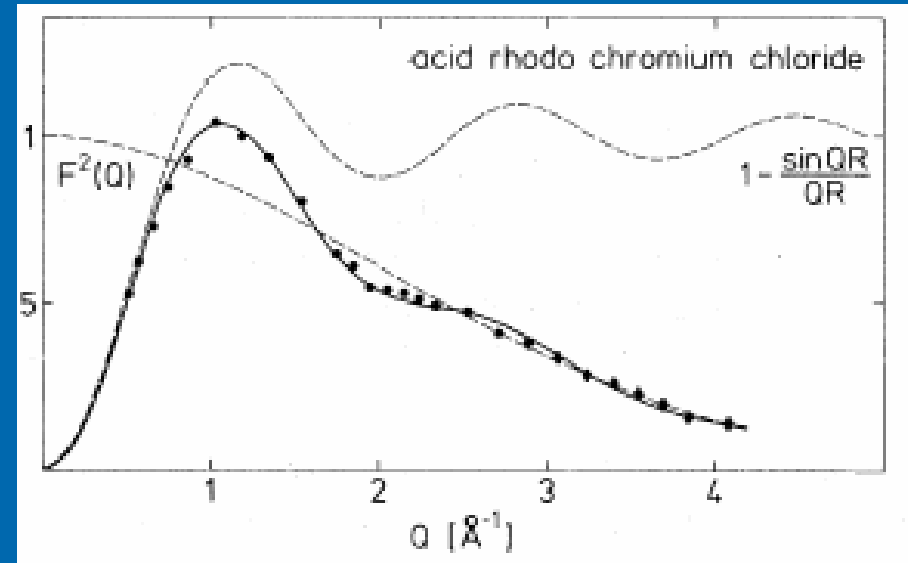
# Cr-dimer





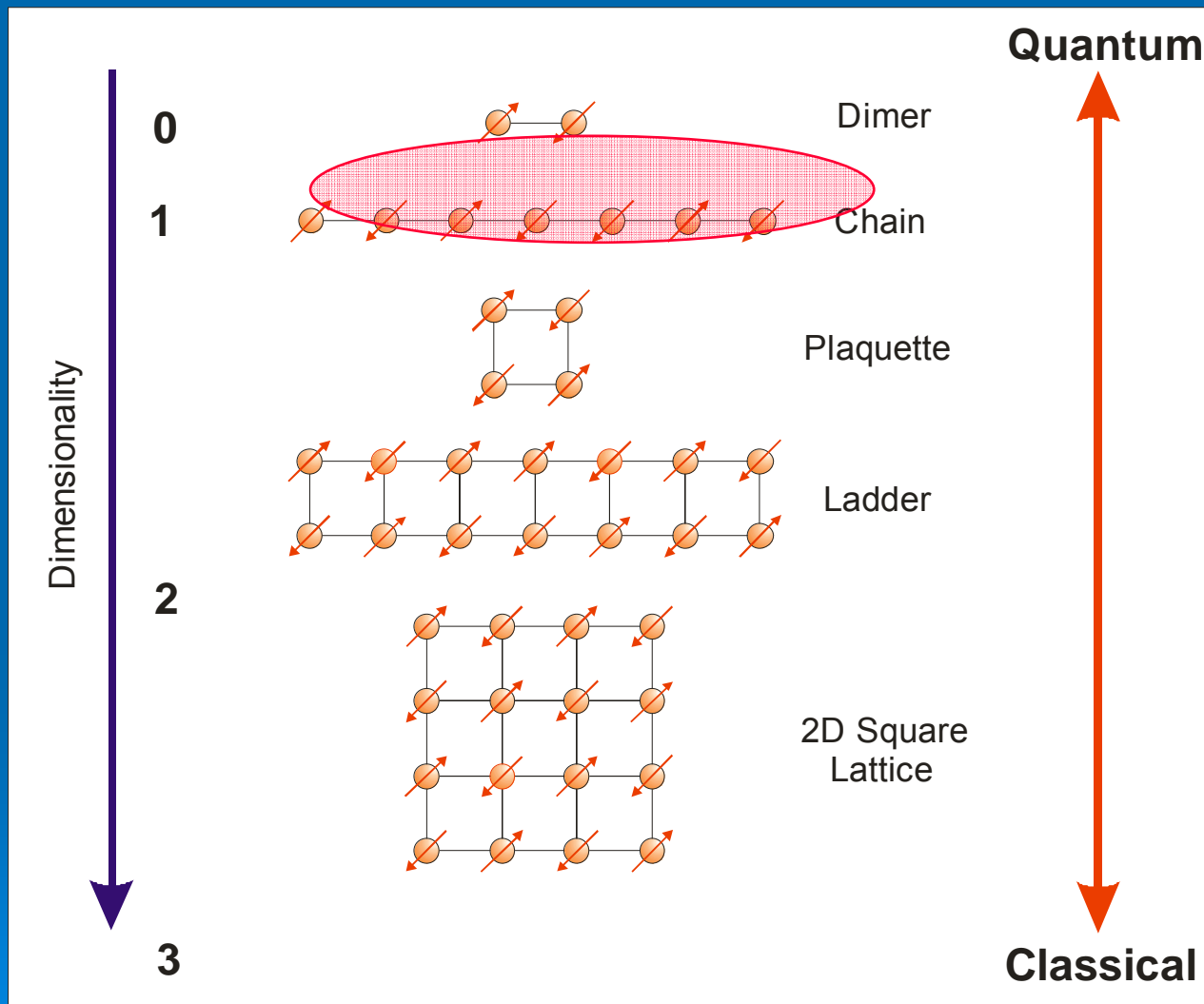


$I(Q)/\exp(-2W)$



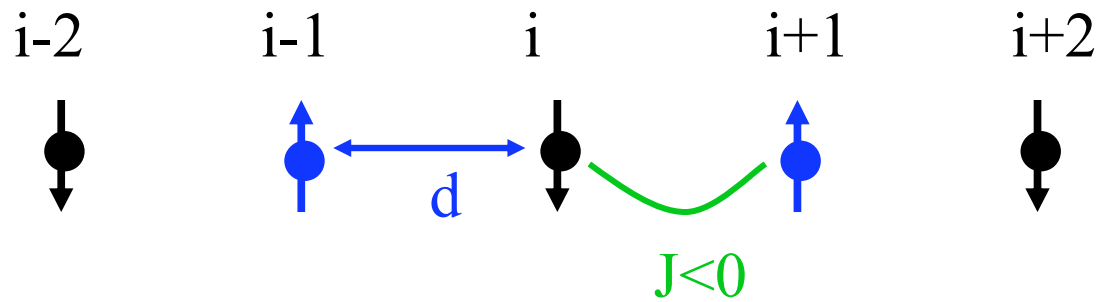
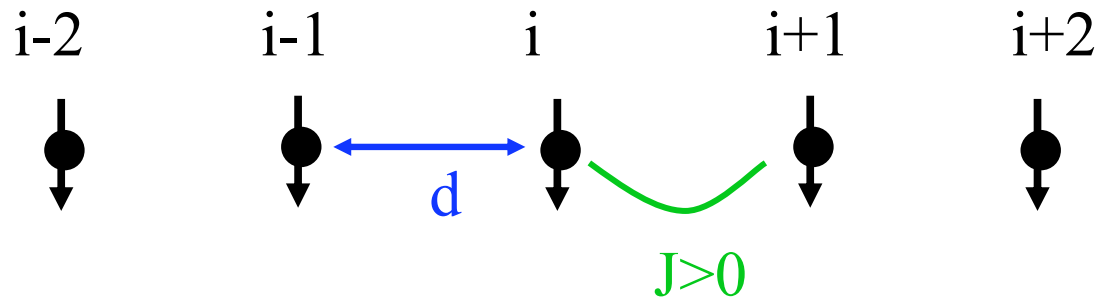


# Magnetic Architecture

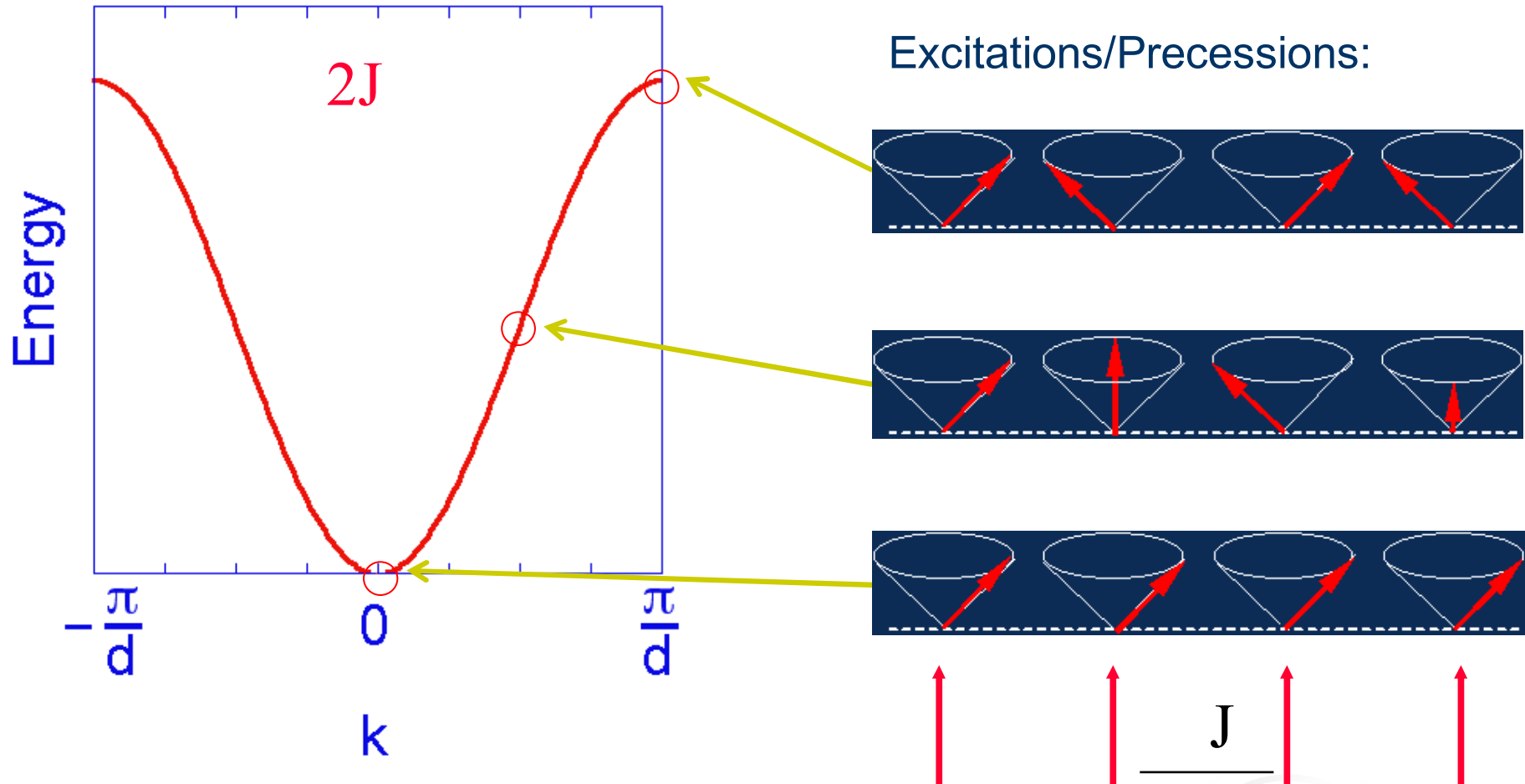


# 1-D Heisenberg chain

$$\hat{H} = -2J \sum_i \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_{i+1}$$



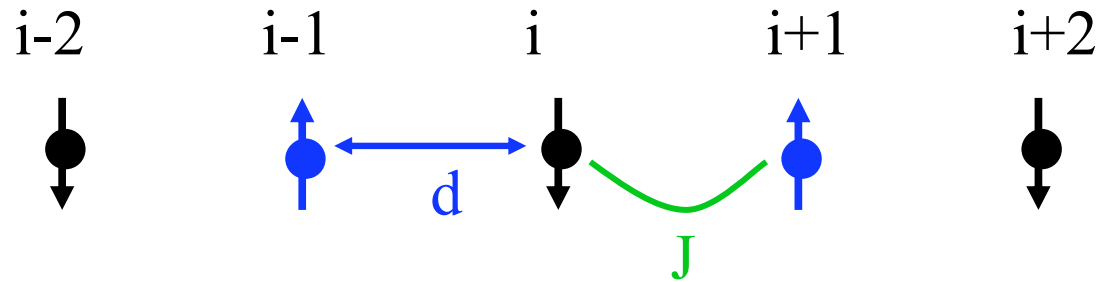
# Classical Spin Waves



Well-defined  $S=1$  excitations  $\Rightarrow$  sharp dispersion  
 Excitations are "transverse"

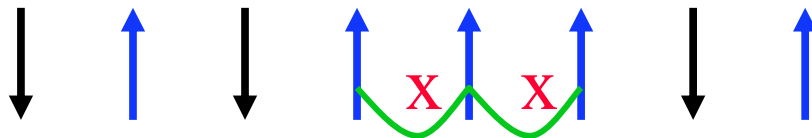
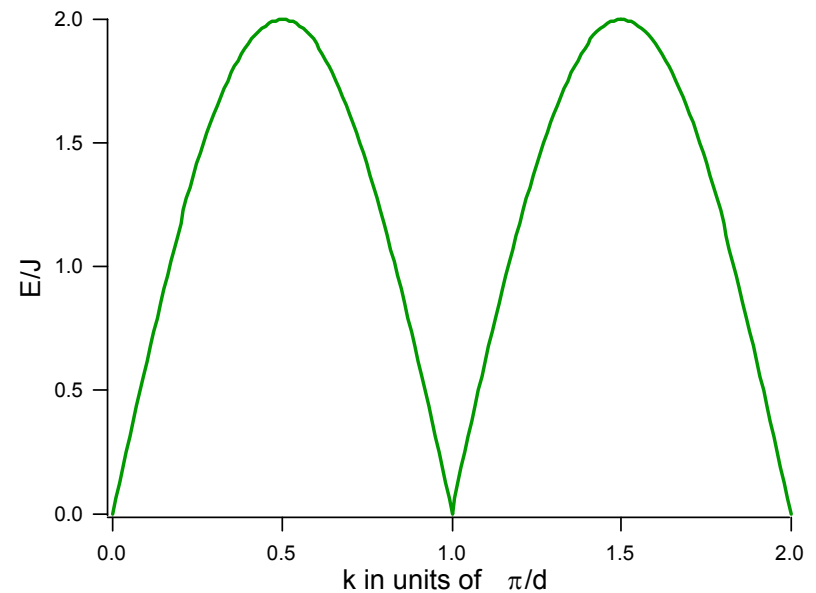


# “Classical” Antiferromagnet



$$\hat{H} = -2J \sum_i \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_{i+1}$$

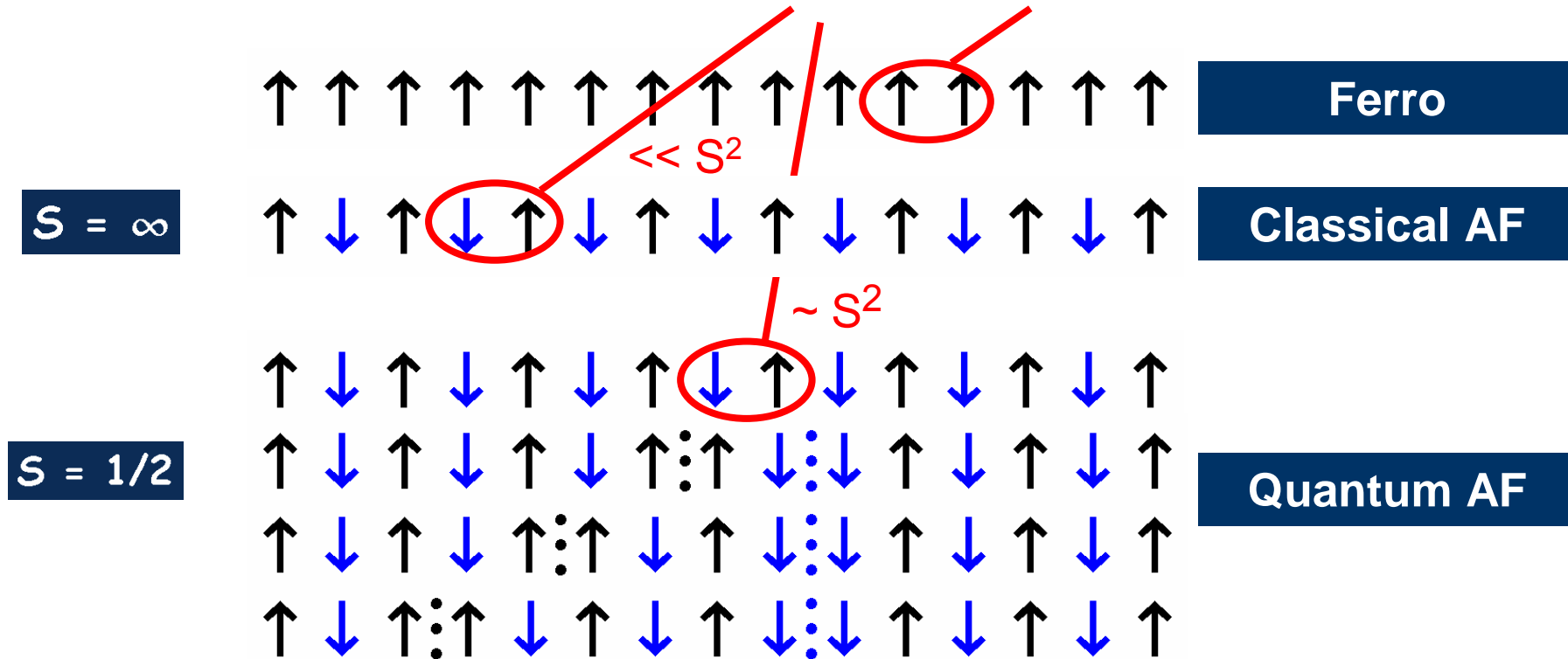
$$\omega = -2JS |\sin(kd)|$$



Well-defined  $S=1$  excitations  $\Rightarrow$  sharp dispersion



$$H = -J \sum S_n^z S_{n+1}^z + \frac{1}{2} (S_n^+ S_{n+1}^- + S_n^- S_{n+1}^+)$$



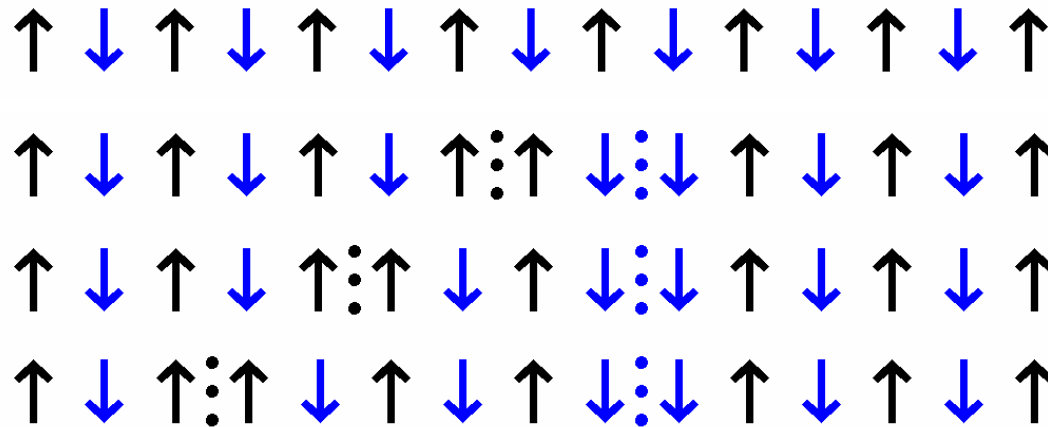
Ground state (Bethe 1931) disordered by quantum fluctuations



# S=1/2 AF chain

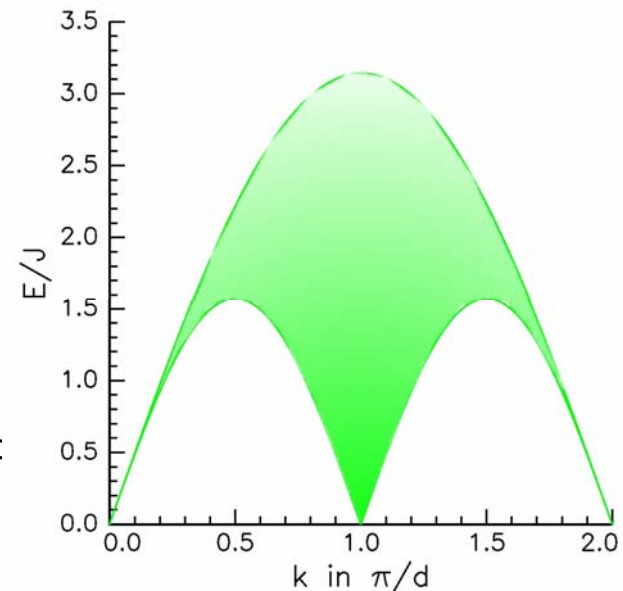
Elementary excitations:

- "Spinons": spin  $S = 1/2$  domain walls with respect to local AF 'order'
- Need 2 spinons to form  $S=1$  excitation we can see with neutrons



Energy:  $E(q) = E(k_1) + E(k_2)$   
 Momentum:  $q = k_1 + k_2$   
 Spin:  $S = 1/2 \pm 1/2$

Continuum of scattering =



# Switching off quantum mechanics !



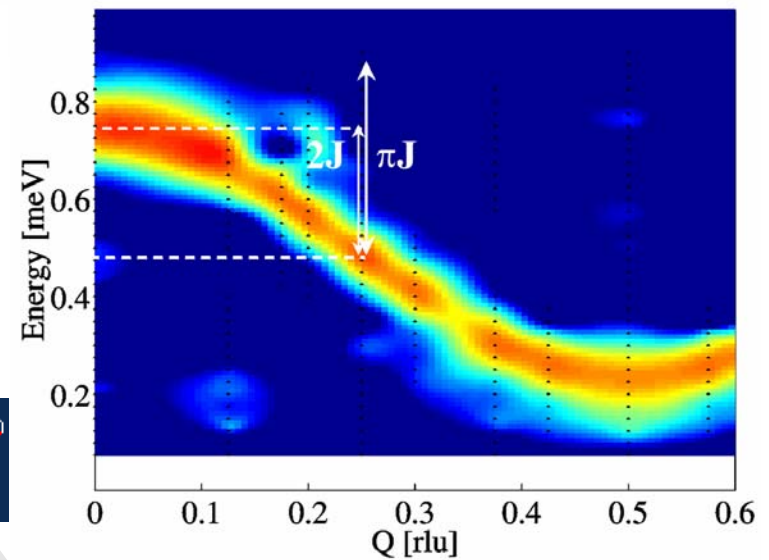
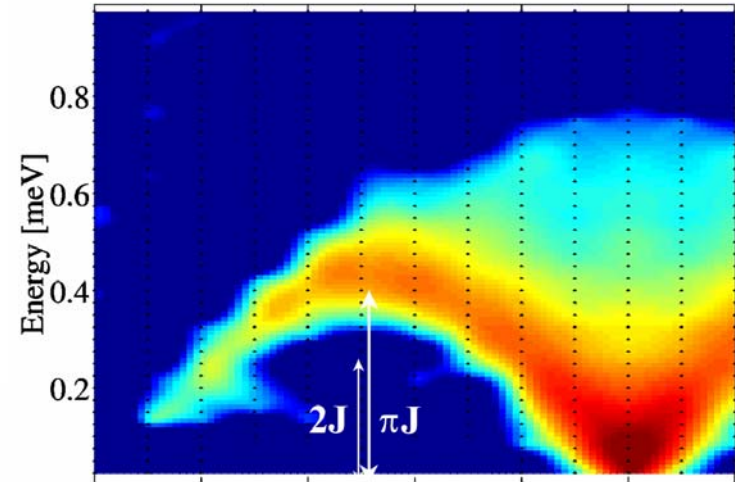
copper sulphate  
 $\text{CuSO}_4 \cdot 5\text{D}_2\text{O}$

Spinon pair continuum

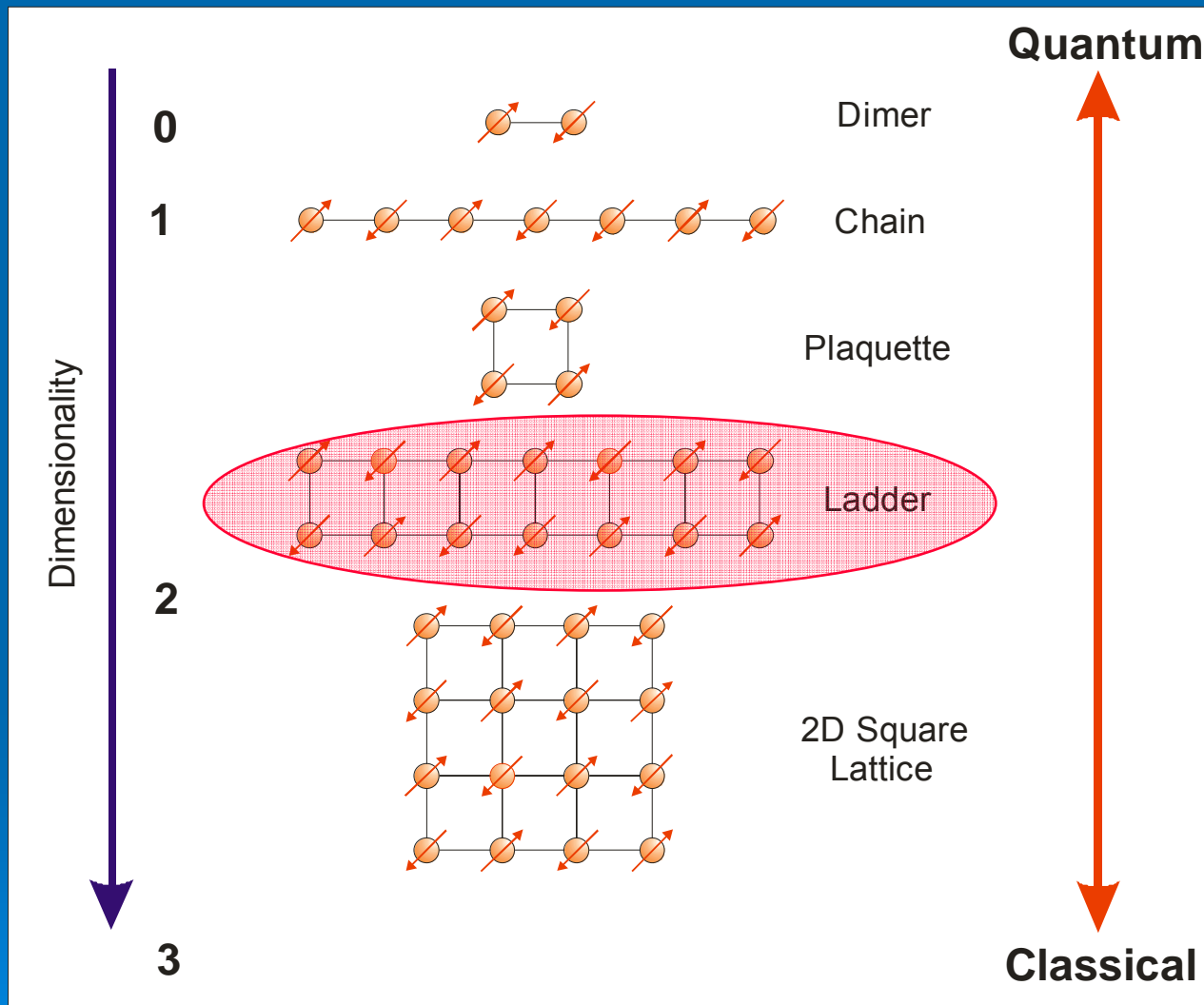


Strong magnetic field forces  
 antiferromagnet into  
 ferromagnet

spin wave dispersion  $\Rightarrow$

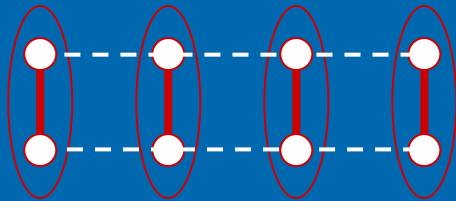


# Magnetic Architecture

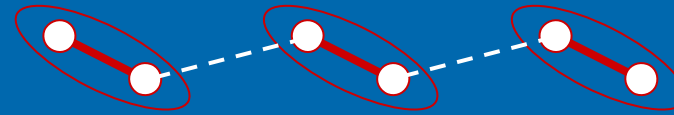




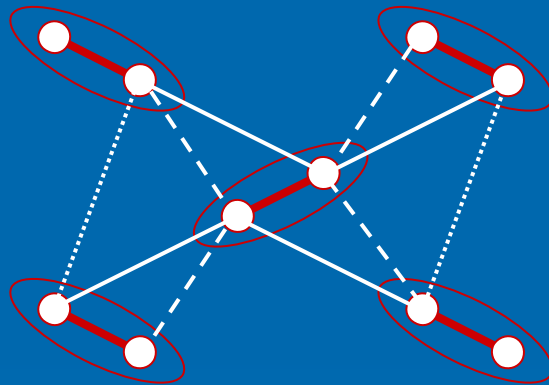
# Model Quantum Spin Systems



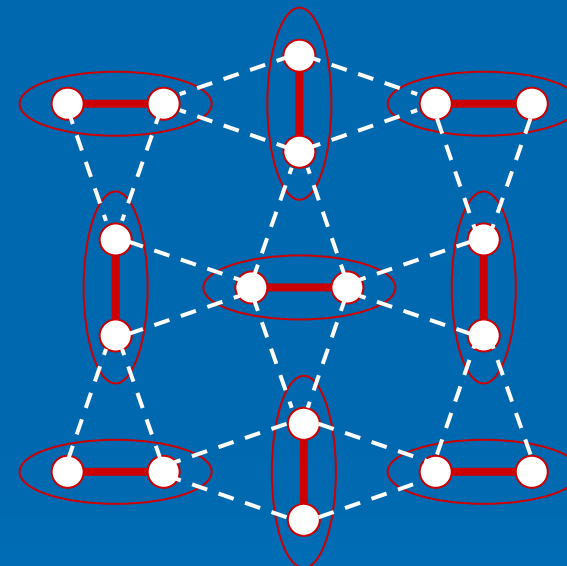
Spin ladder



Alternating chain



3D dimer model

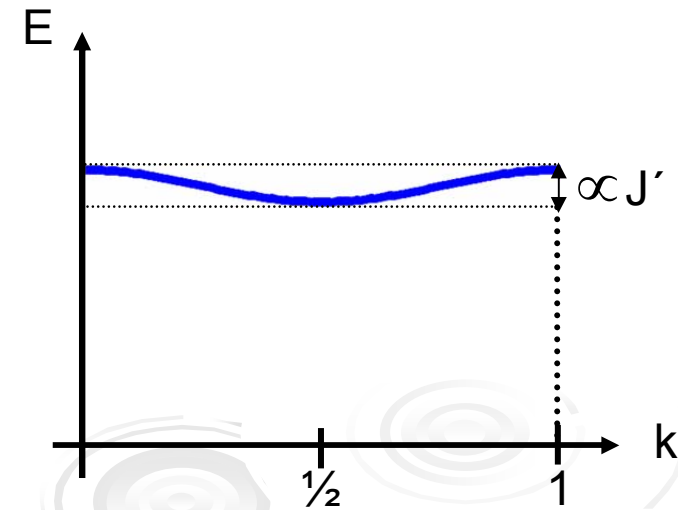
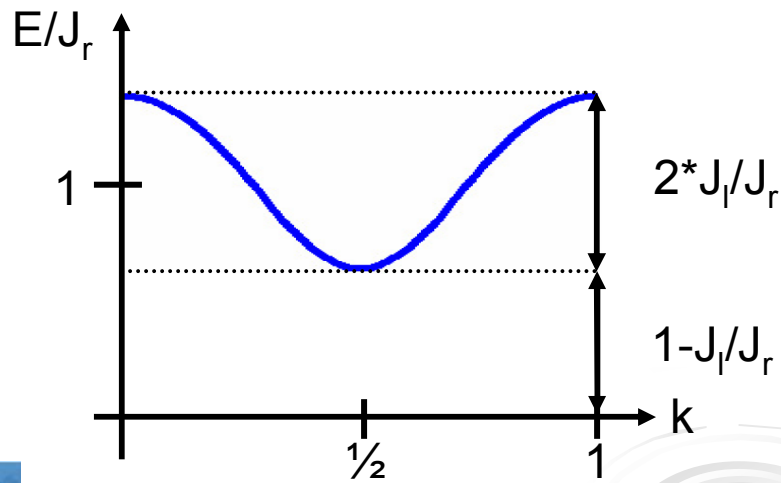
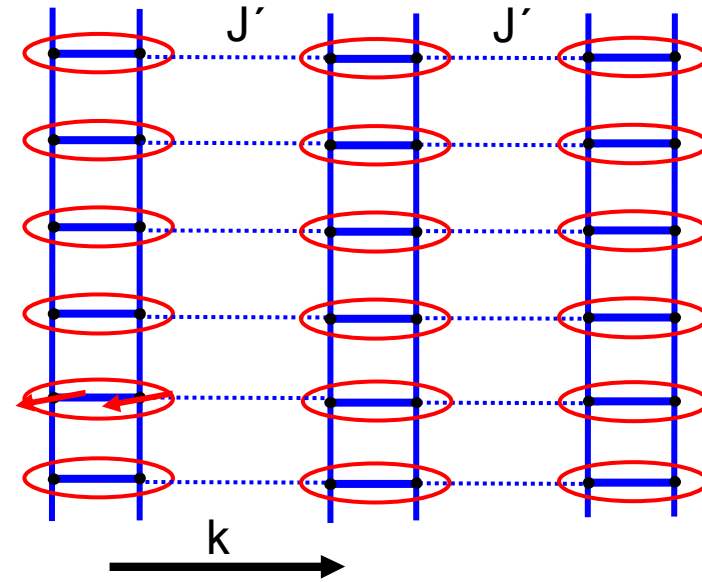
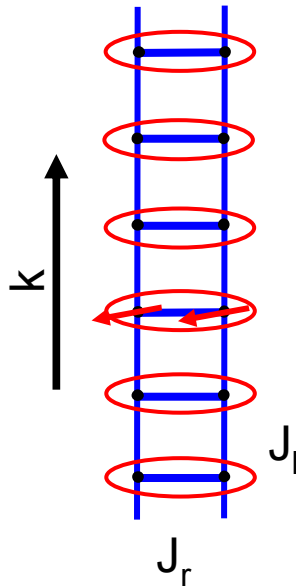


Shastry-Sutherland model

$$\text{[Red oval with two spins]} = \frac{1}{\sqrt{2}} \left( \begin{array}{c} \uparrow \\ \downarrow \end{array} \text{---} \begin{array}{c} \downarrow \\ \uparrow \end{array} - \begin{array}{c} \downarrow \\ \downarrow \end{array} \text{---} \begin{array}{c} \uparrow \\ \uparrow \end{array} \right)$$



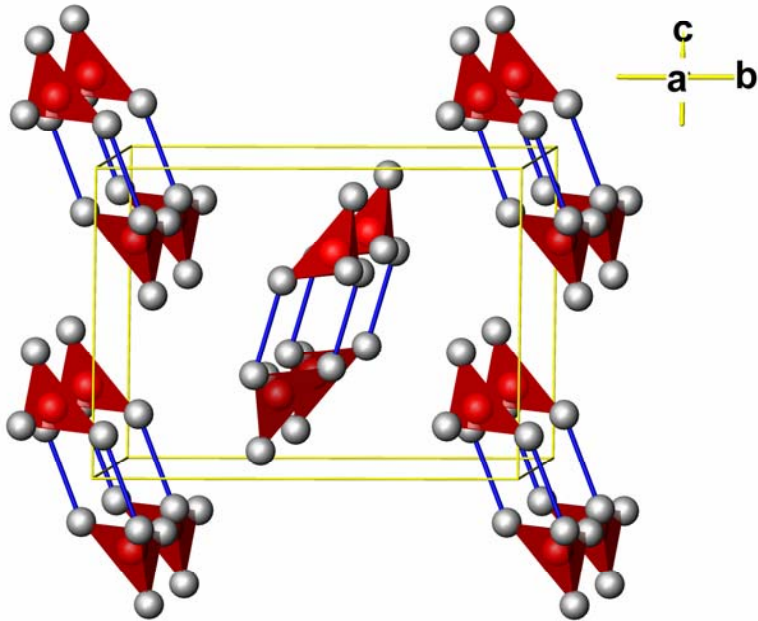
# Spin ladders



T. Barnes et al., PRB 47, 3196 (1993)

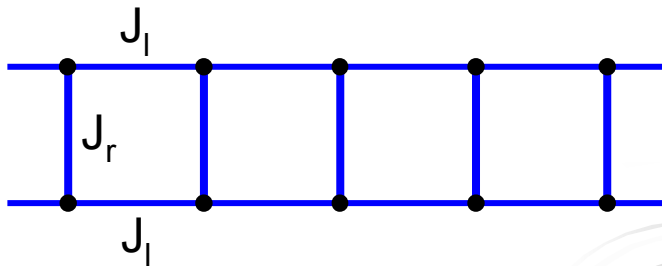
# $(C_5H_{12}N)_2CuBr_4$

(PhD B. Thielemann)

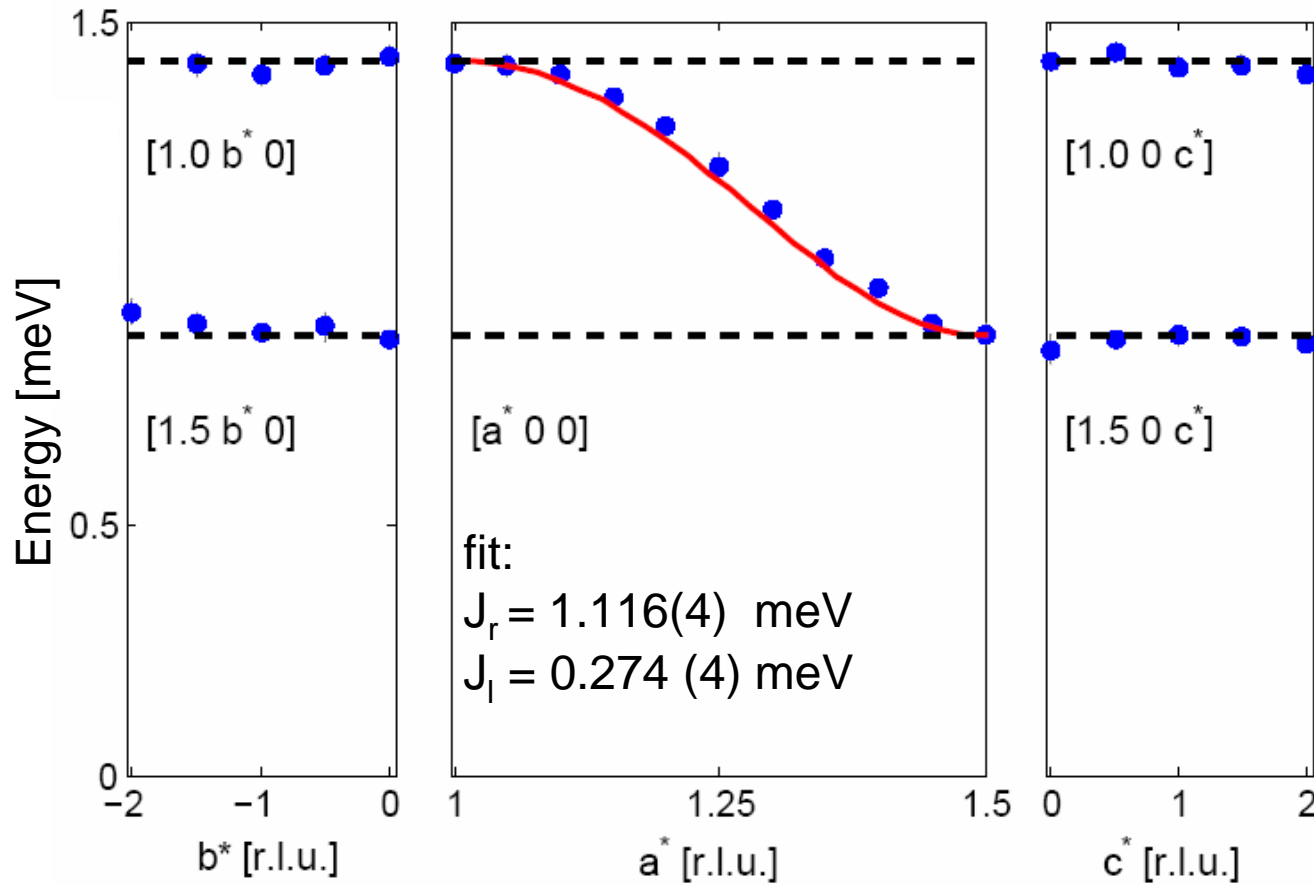


suggested spin model:

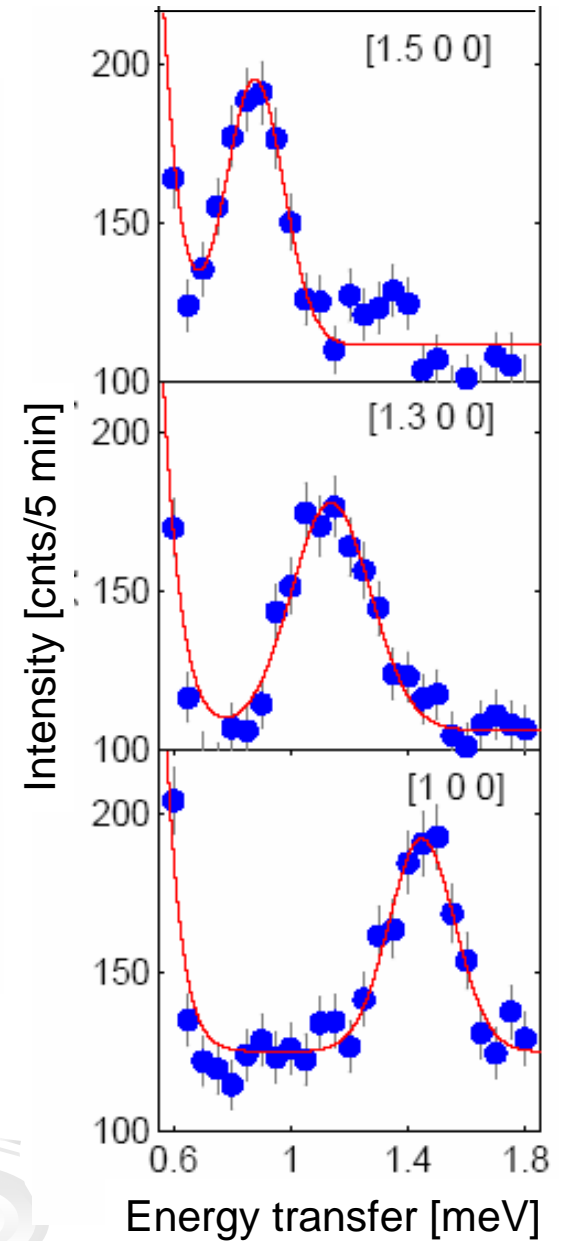
AFM ladder,  $J_r/J_l \gg 1$

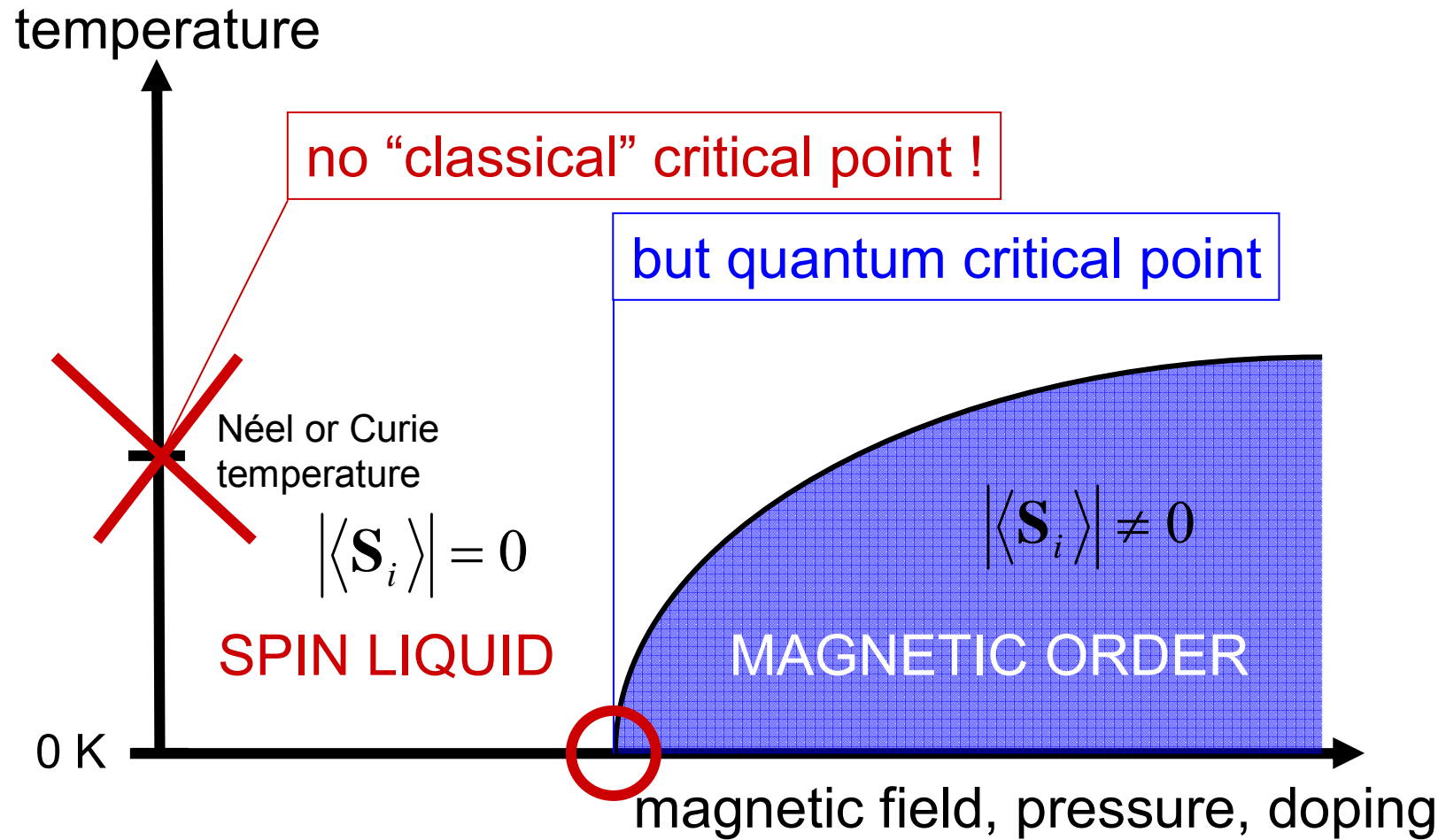


# T = 50 mK

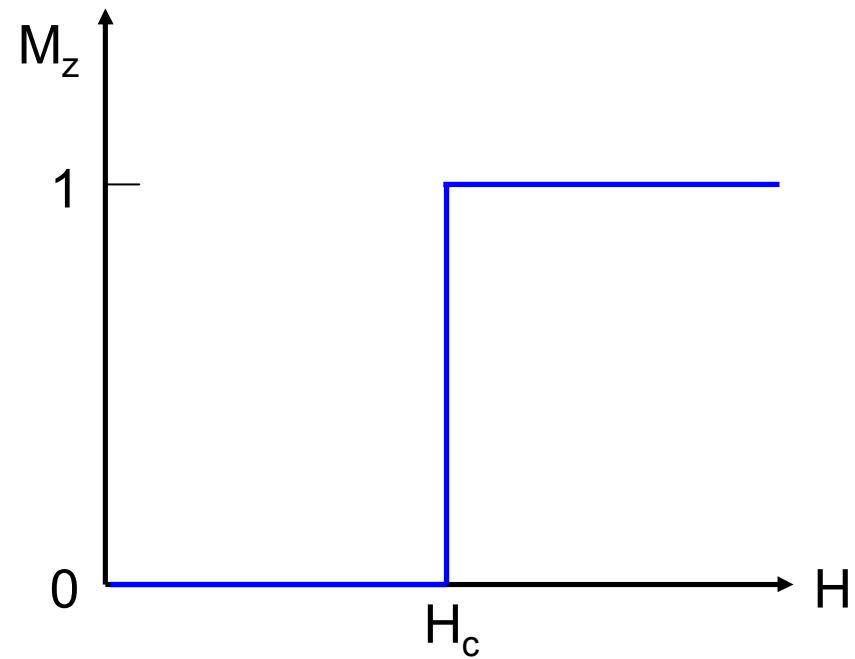
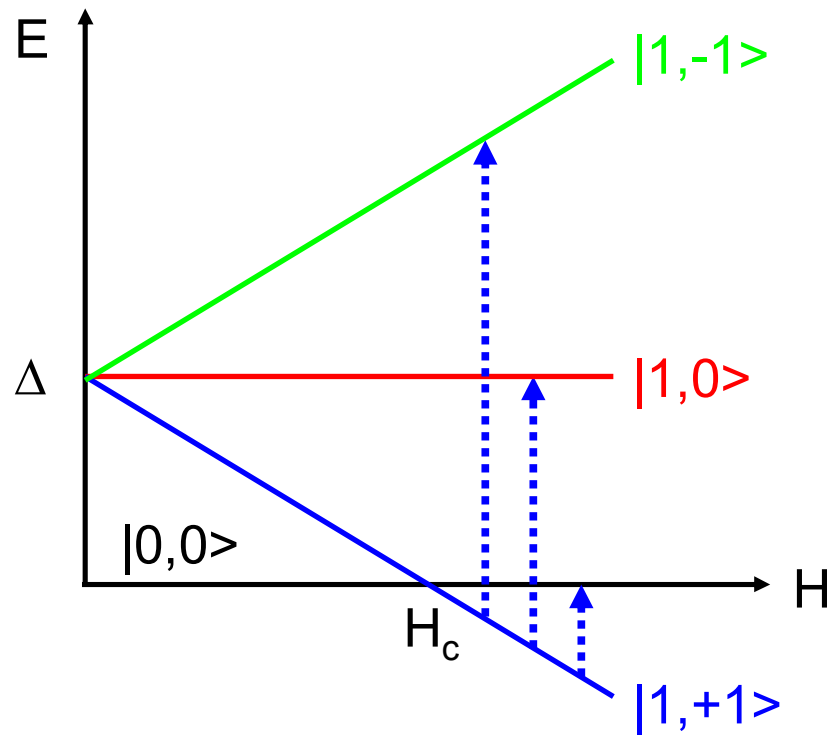


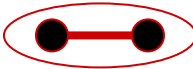
$J_r/J_l = 4.07(2) \gg 1 \Rightarrow$  strong coupling limit  
 $J' < 0.05$  meV  $\Rightarrow$  very 1D spin-ladder





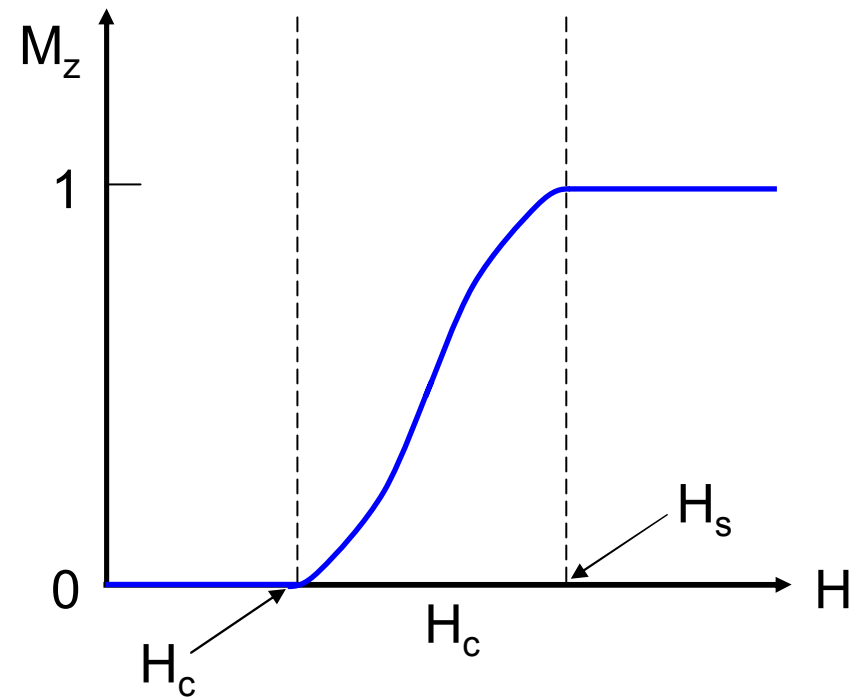
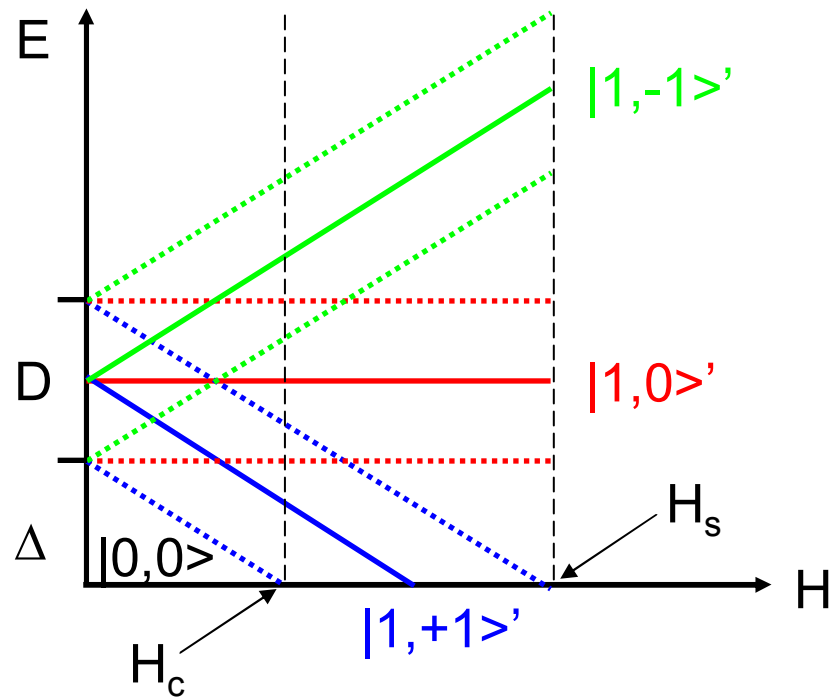
# Quantum Phase Transition for Isolated Dimers



Dimer  =  $1/\sqrt{2} ( \begin{matrix} \uparrow & \uparrow \\ \downarrow & \downarrow \end{matrix} - \begin{matrix} \uparrow & \downarrow \\ \downarrow & \uparrow \end{matrix} )$

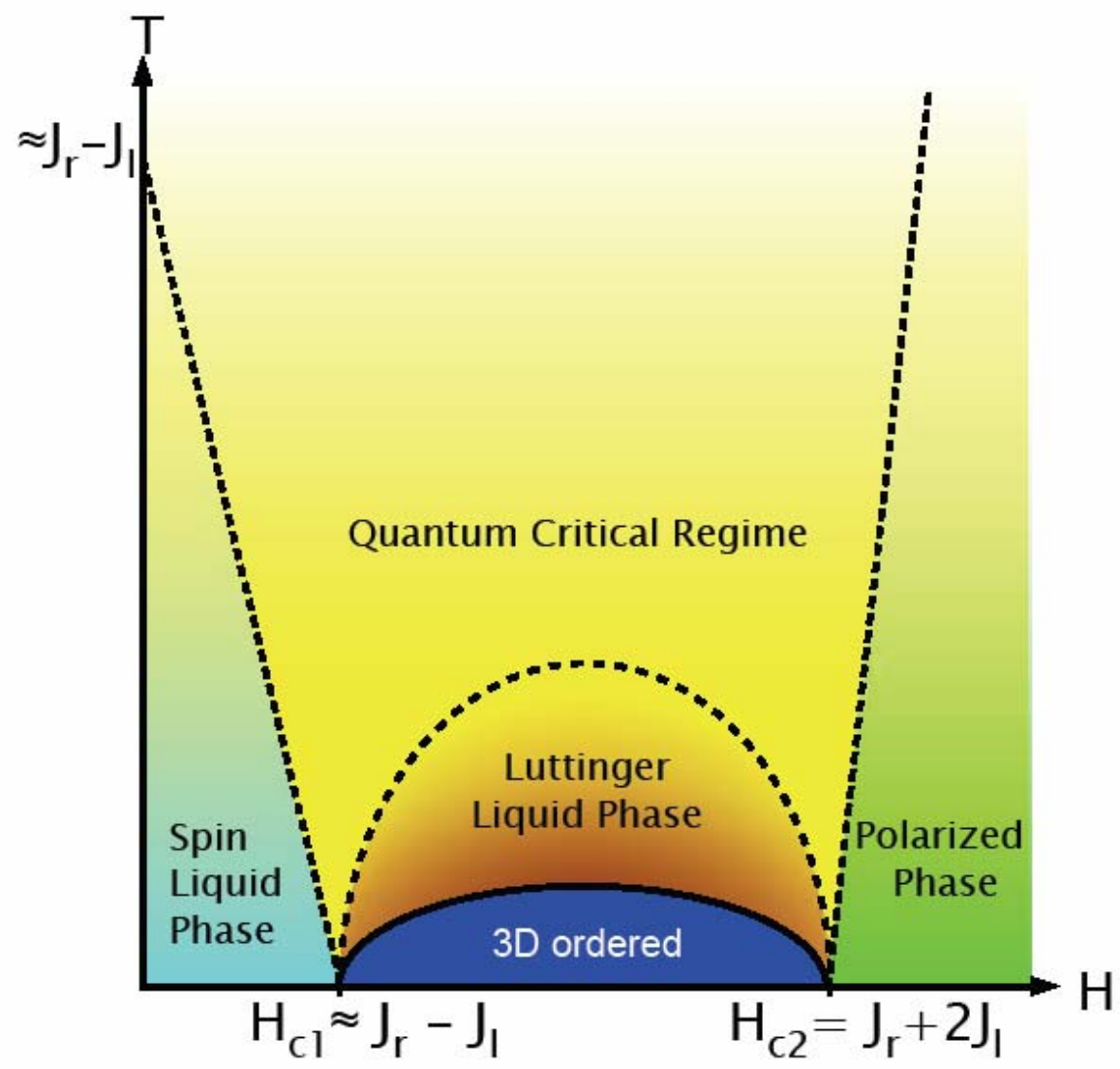


# Quantum Phase Transition for Interacting Dimers



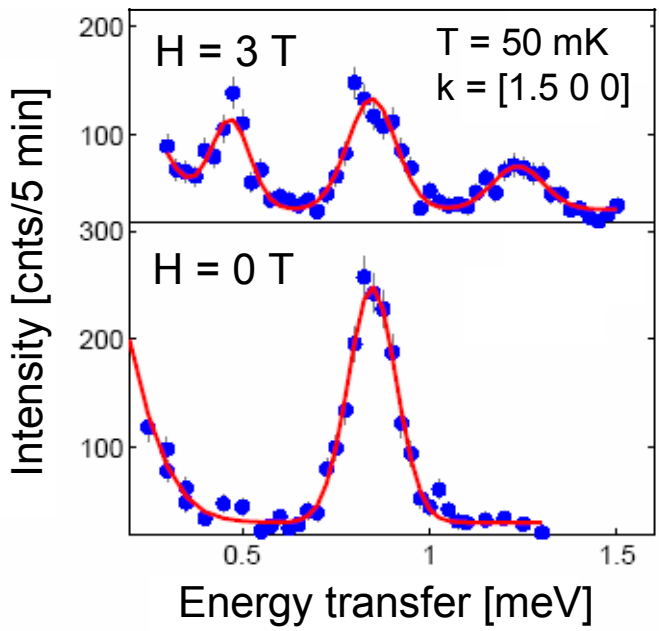
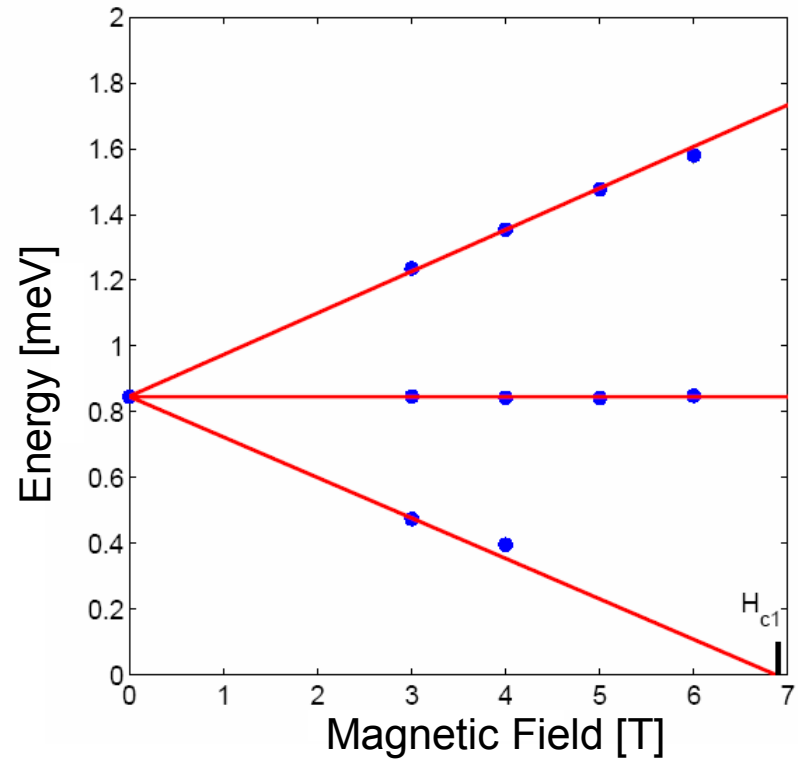
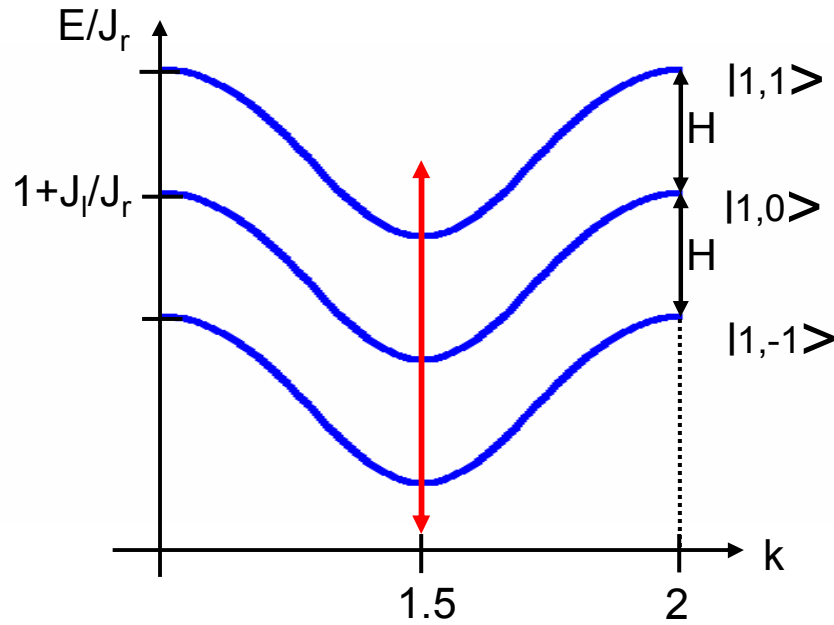
Dimer  $\text{---} \circ \text{---} \circ \text{---} = \frac{1}{\sqrt{2}} (\text{---} \uparrow \text{---} \downarrow \text{---} - \text{---} \downarrow \text{---} \uparrow \text{---})$







# Excitations of the spin-liquid at $H > 0T$



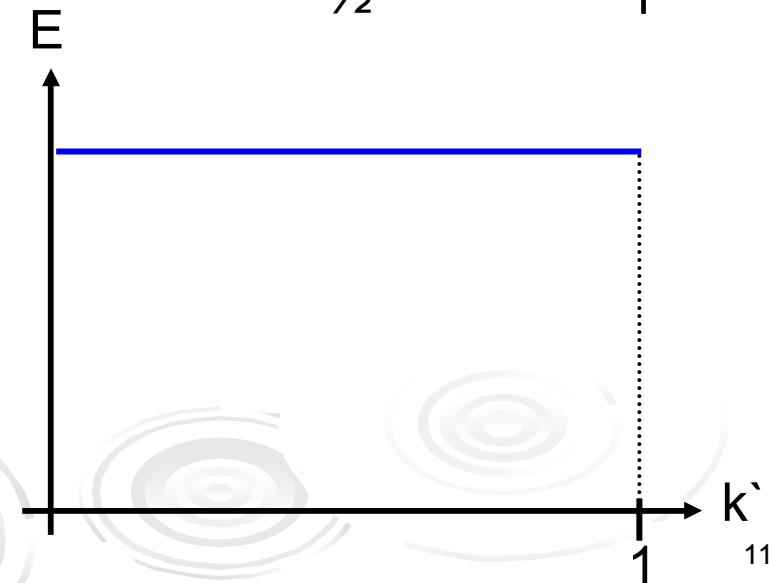
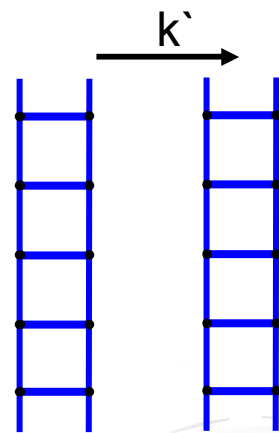
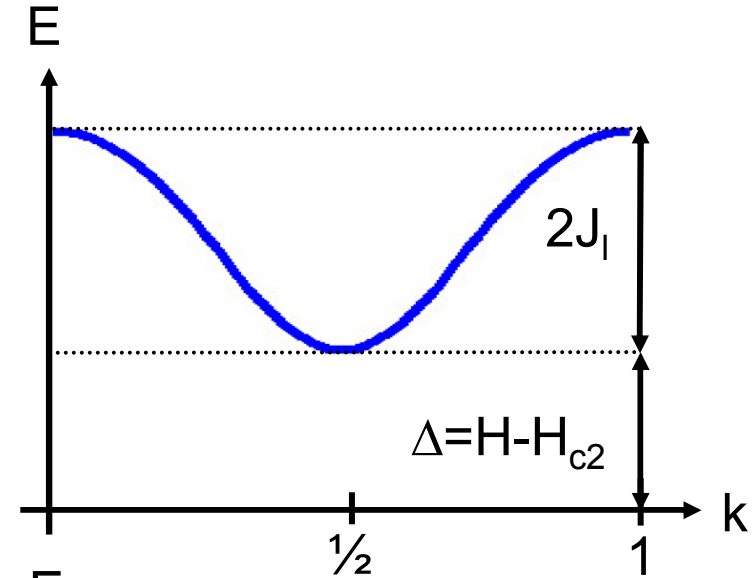
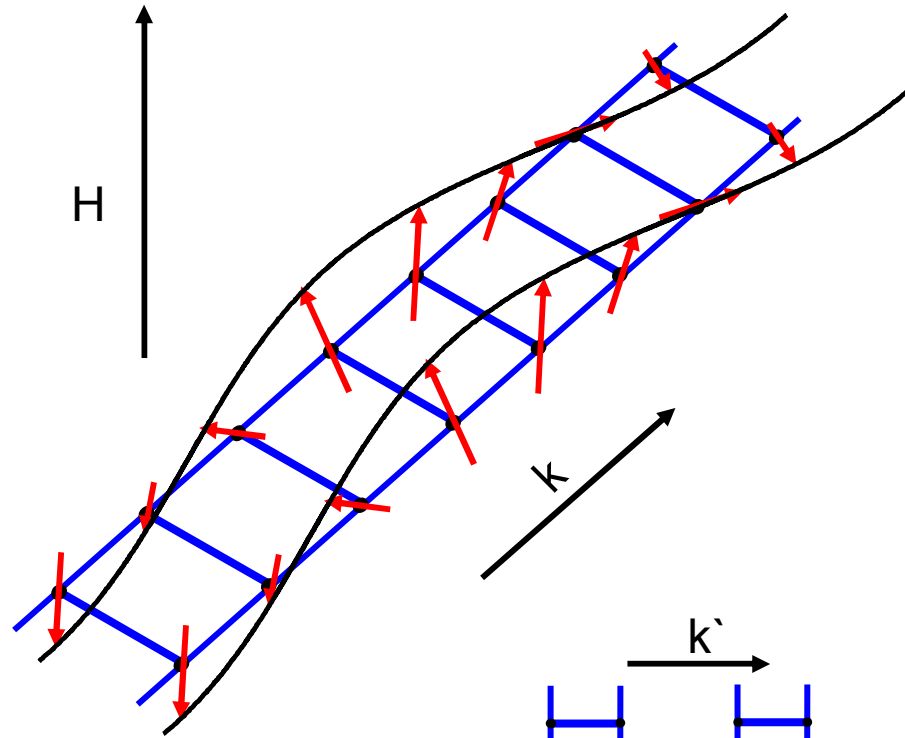
⇒ triplet excitation

INS dispersion:  
 INS Zeeman split:  
 magnetisation:

$H_{c1} = 6.74(6) T$   
 $H_{c1} = 6.79(7) T$   
 $H_{c1} = 6.6 T$



# Ferromagnetic spin waves in the saturated phase

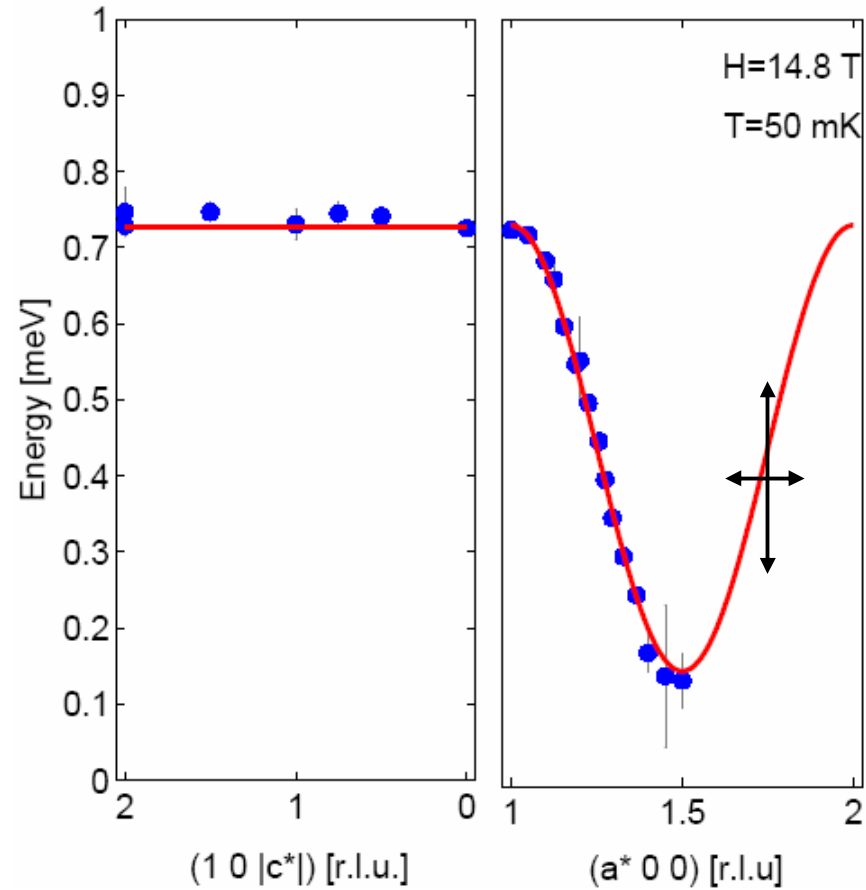
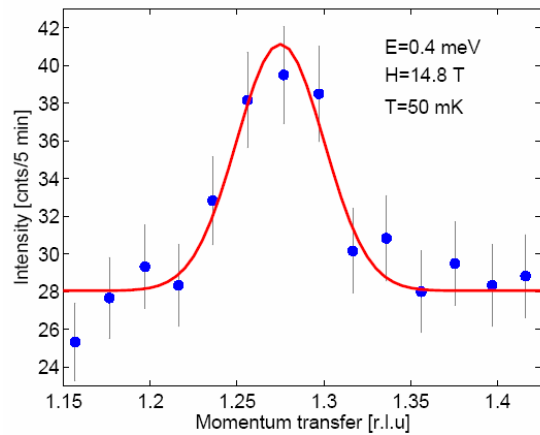
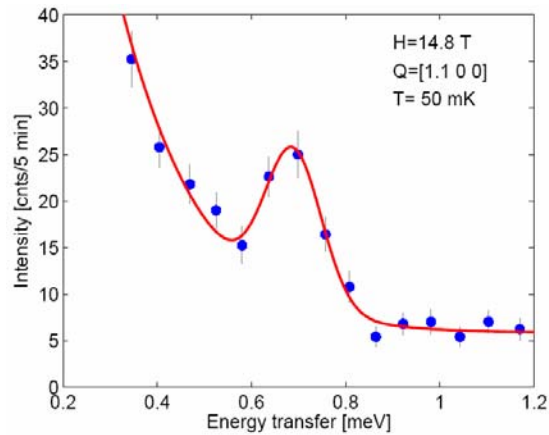


B. Normand,  
Acta Physica Polonica B 31, 3005



J. Mesot, 07

# INS data in the saturated phase



exchange parameters:

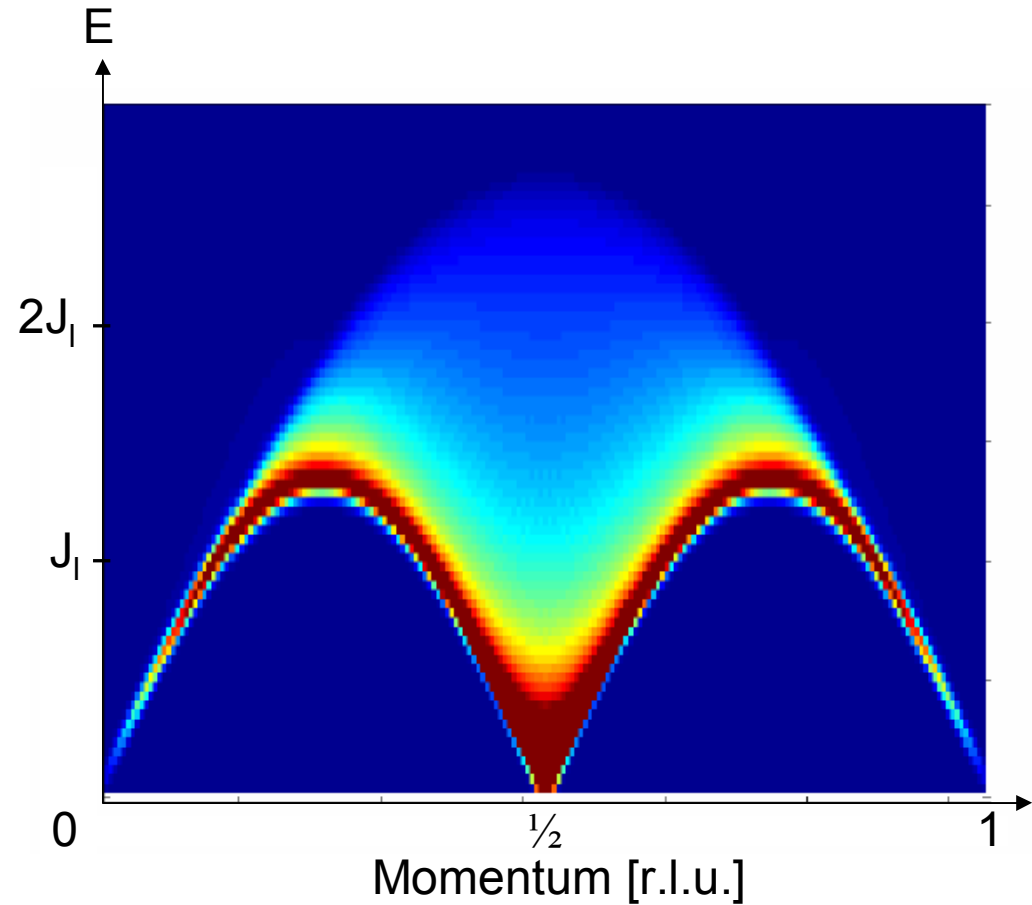
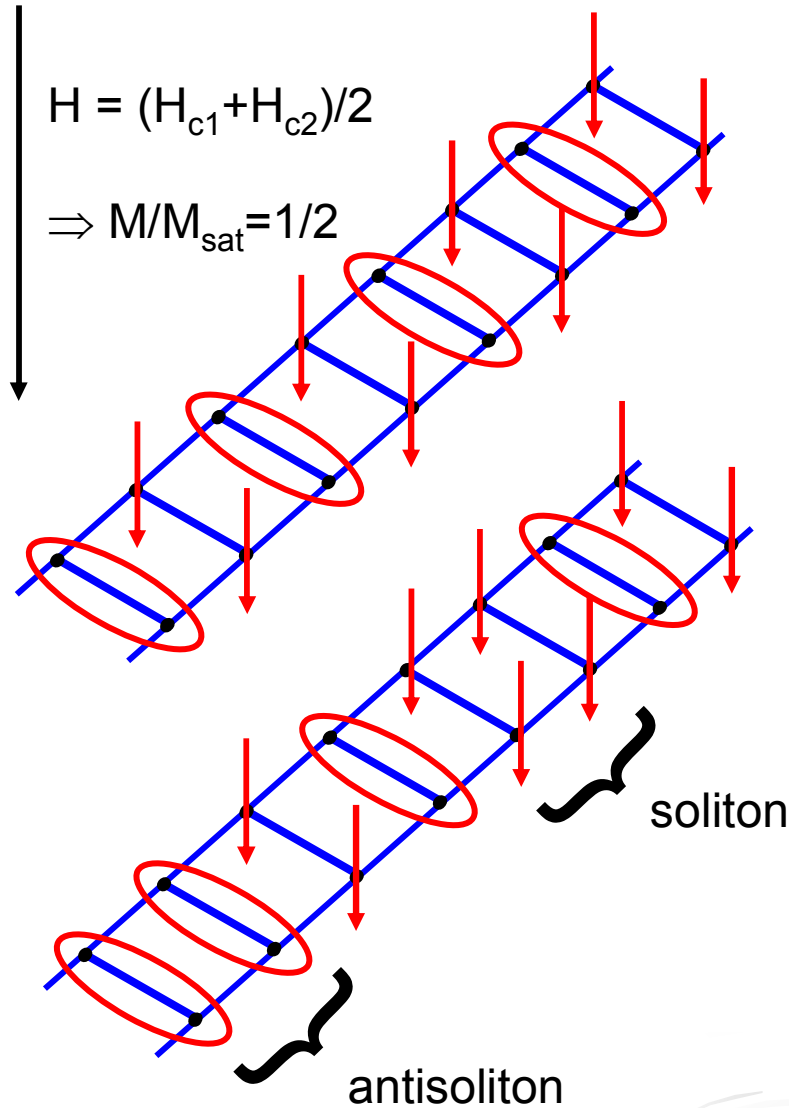
$$J_r = 1.116(3) \text{ meV}, J_l = 0.290(4) \text{ meV}$$

$$J' < 0.05 \text{ meV}$$

Independent determination of  $\mathcal{H} \Rightarrow$  excellent ladder behaviour



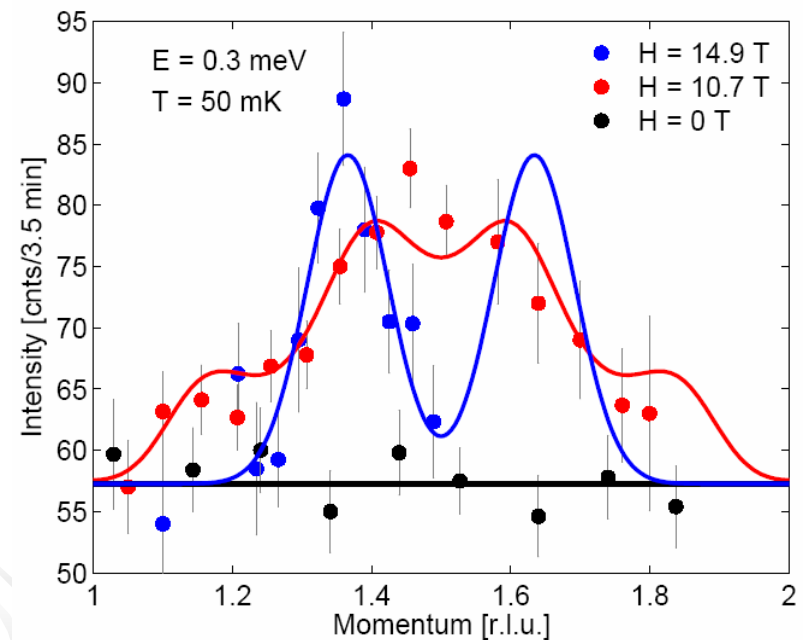
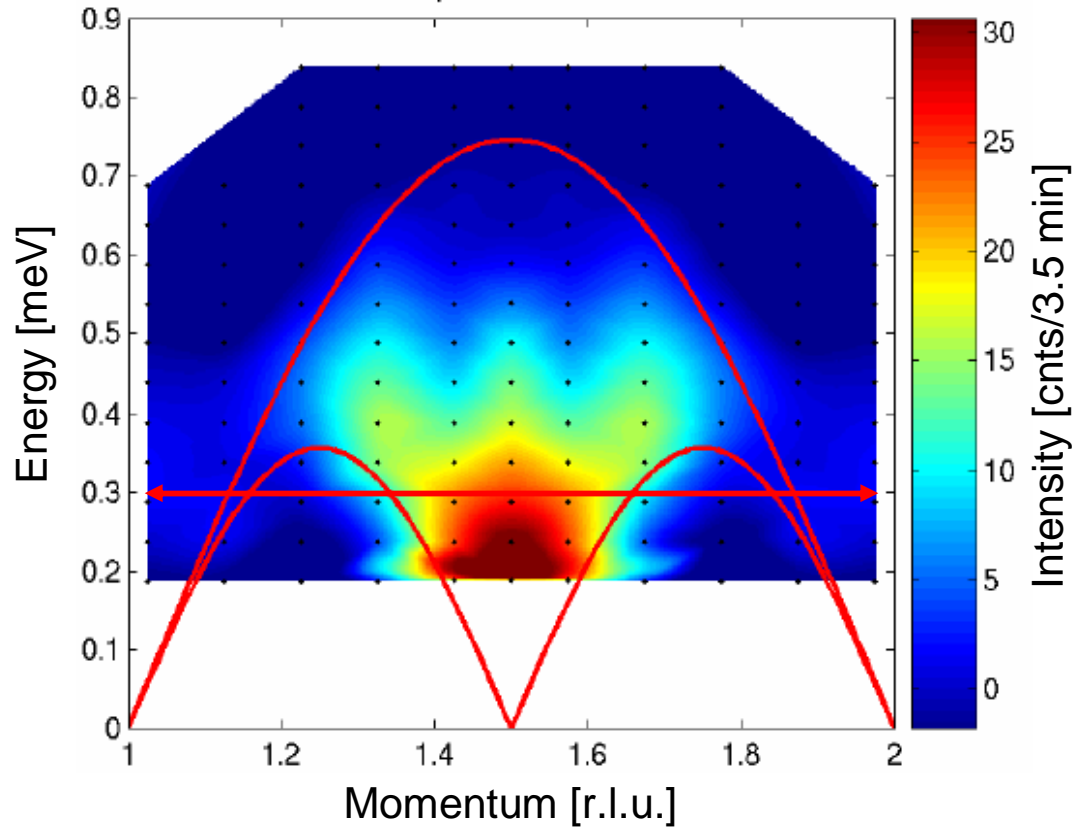
# Excitations in the Luttinger phase



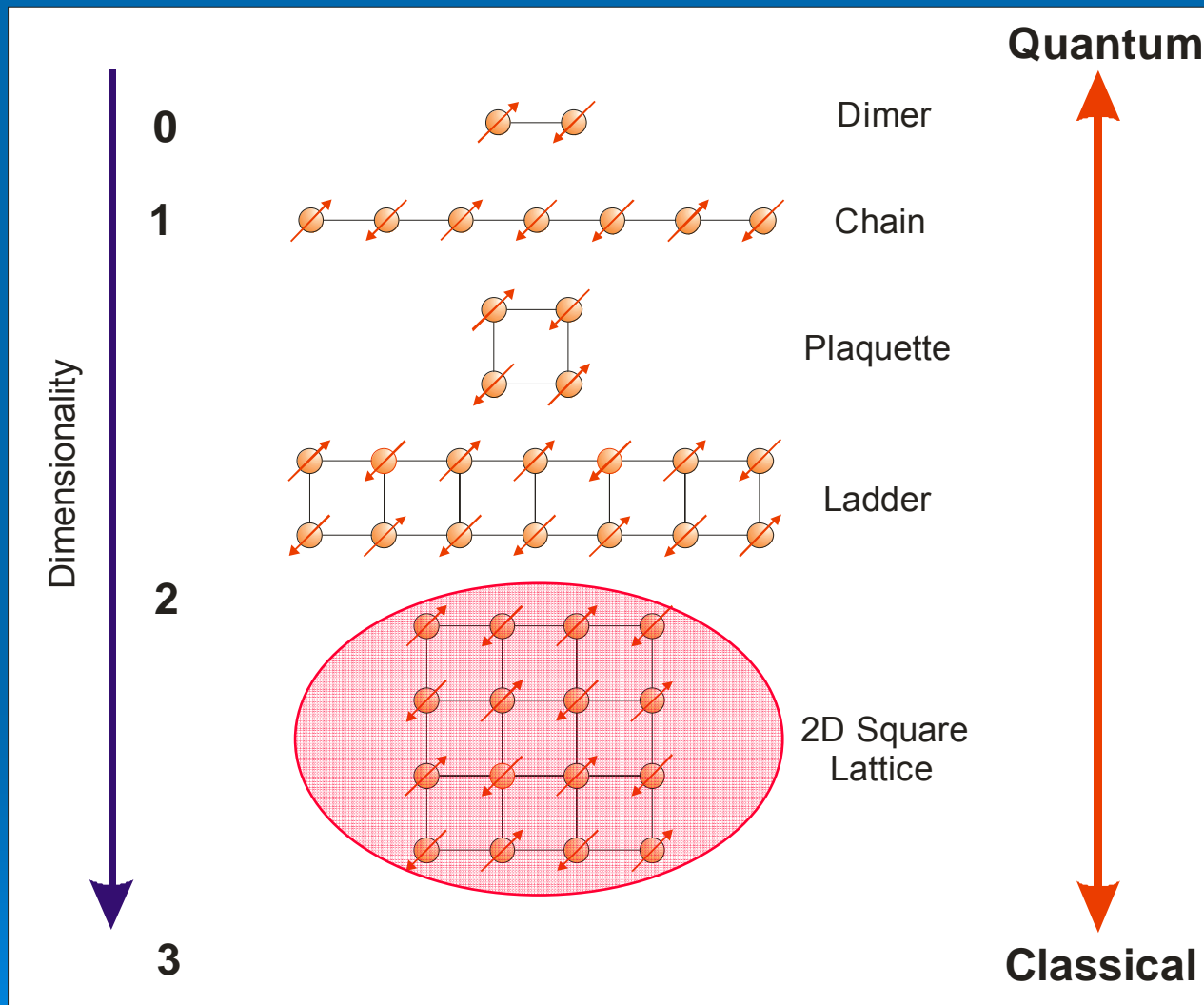
J. S. Caux, private communication



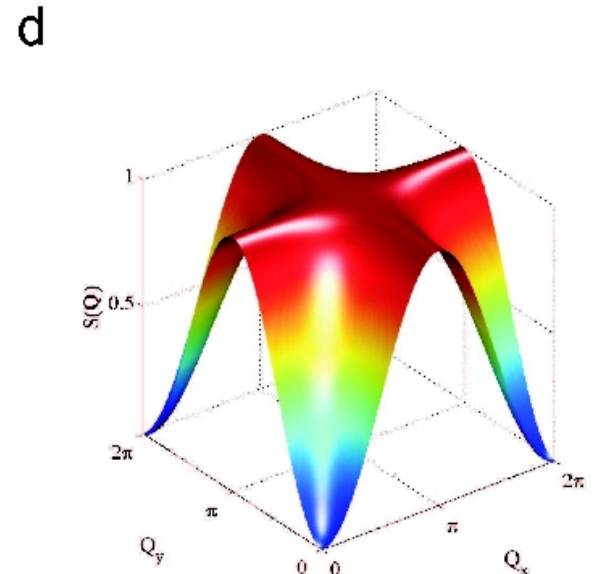
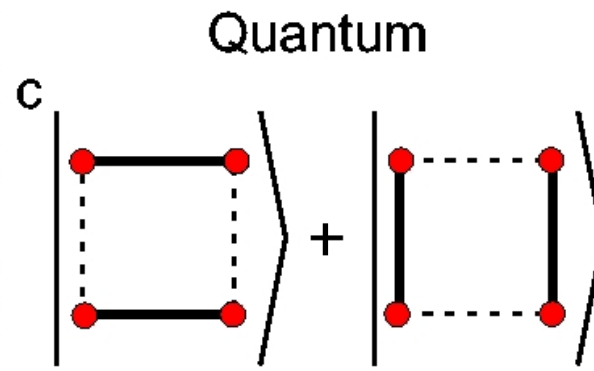
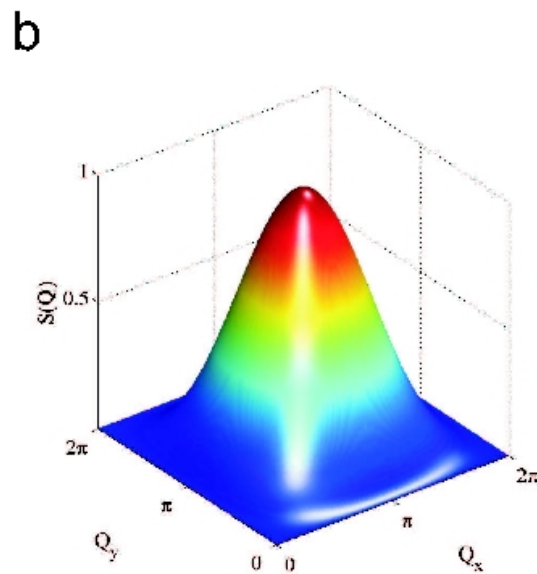
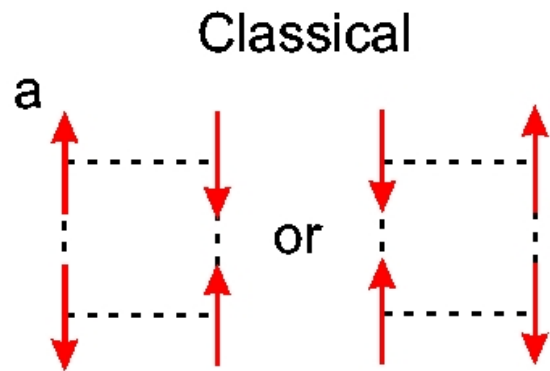
# INS data in the Luttinger phase



# Magnetic Architecture



# The Plaquette and the Valence Bond



*Singlet*

$$|d\rangle \equiv |\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle$$

*Plaquette*

$$|d_1\rangle + |d_2\rangle =$$

$$\left( |\uparrow\downarrow\rangle_1 - |\downarrow\uparrow\rangle_1 \right) \otimes \left( |\uparrow\downarrow\rangle_2 - |\downarrow\uparrow\rangle_2 \right)$$

$$+ \left( |\uparrow\downarrow\rangle_1 - |\downarrow\uparrow\rangle_1 \right) \otimes \left( |\uparrow\downarrow\rangle_2 - |\downarrow\uparrow\rangle_2 \right)$$

$$= -2 \left| \begin{array}{c} \uparrow\downarrow \\ \downarrow\uparrow \end{array} \right\rangle - 2 \left| \begin{array}{c} \downarrow\uparrow \\ \uparrow\downarrow \end{array} \right\rangle$$

$$+ \left| \begin{array}{c} \downarrow\uparrow \\ \downarrow\uparrow \end{array} \right\rangle + \left| \begin{array}{c} \uparrow\downarrow \\ \uparrow\downarrow \end{array} \right\rangle + \left| \begin{array}{c} \uparrow\uparrow \\ \downarrow\downarrow \end{array} \right\rangle + \left| \begin{array}{c} \downarrow\downarrow \\ \downarrow\downarrow \end{array} \right\rangle$$

$$\equiv |G\rangle$$

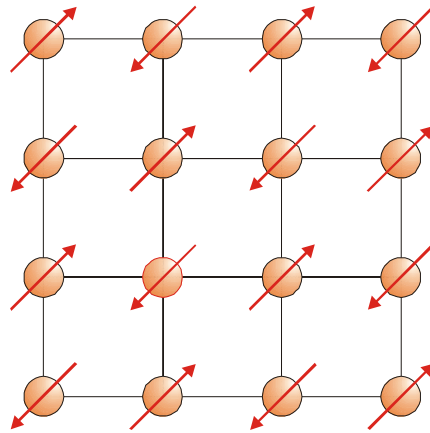


# 2D-Quantum Magnetism

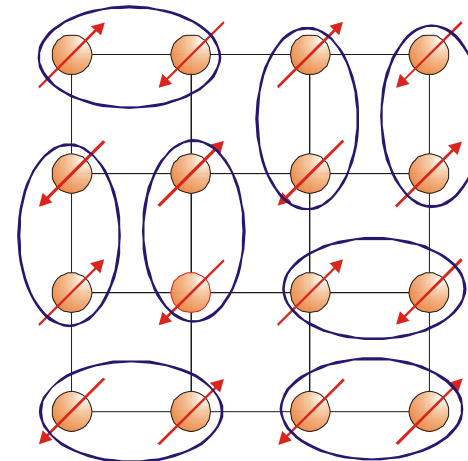
## 2D Heisenberg antiferromagnet on a square lattice

Long-range  
Néel Order

$$\langle S \rangle = 1/2$$



v. s.



Spin-liquid  
Resonating  
Valence Bond  
(RVB)

$$\langle S \rangle = 0$$

2D: **ordered**, but only **60%** of full moment, and only at  $T=0$



Spin-waves



Quantum fluctuations

➤ Are there other types of 'correlations' ?

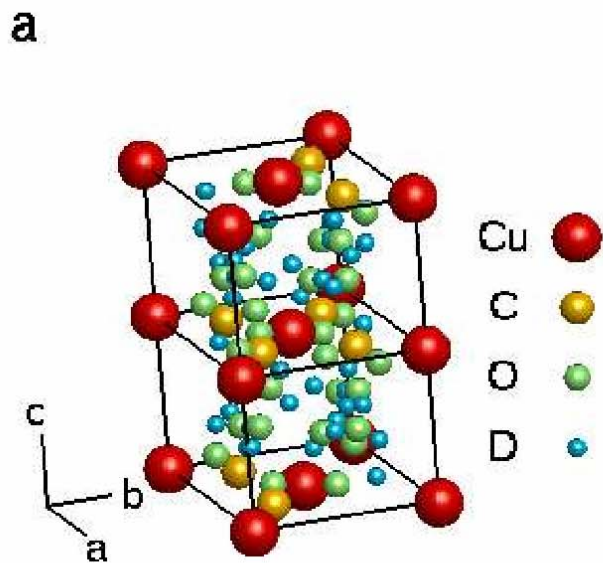
- Resonating valence bonds (RVB)
- Gutzwiller-projected BCS

} Investigate excitations  
with neutron scattering

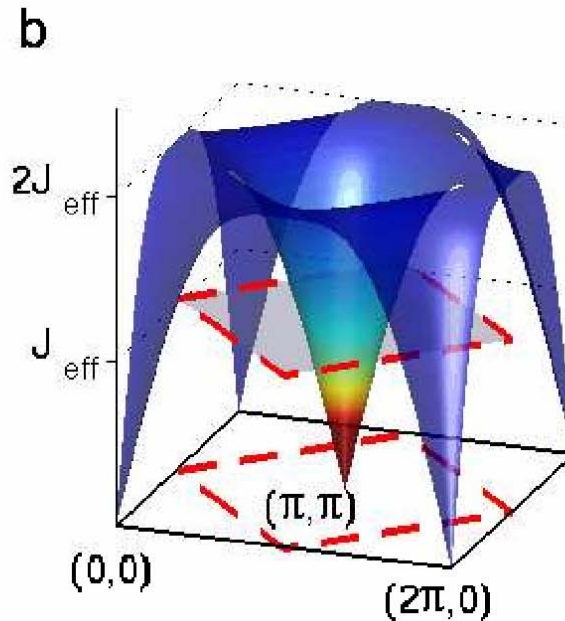


# A model 2D quantum magnet

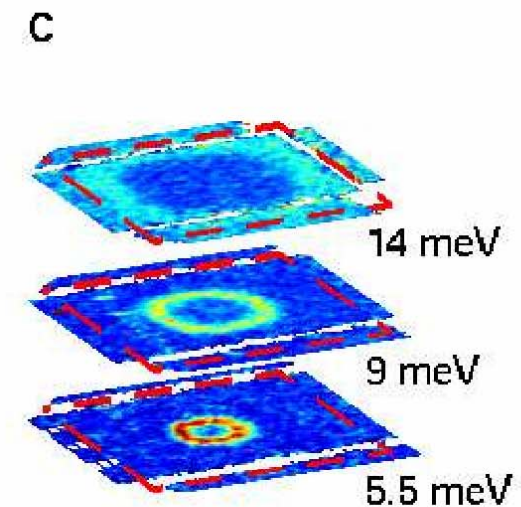
## Copper formate tetra-deuterate (CFTD)



Crystal structure  
in real-space



Spin-wave  
dispersion in  
reciprocal space

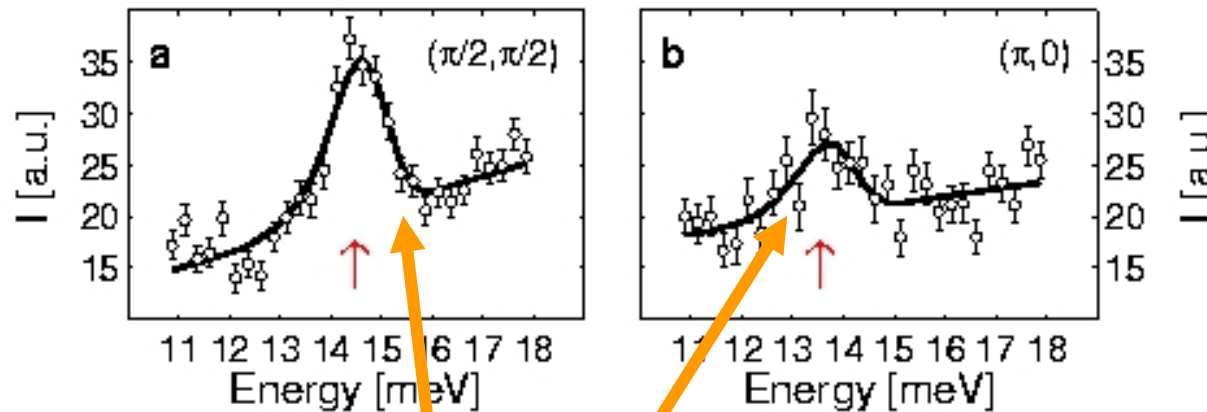


Slices of neutron  
scattering  
intensity



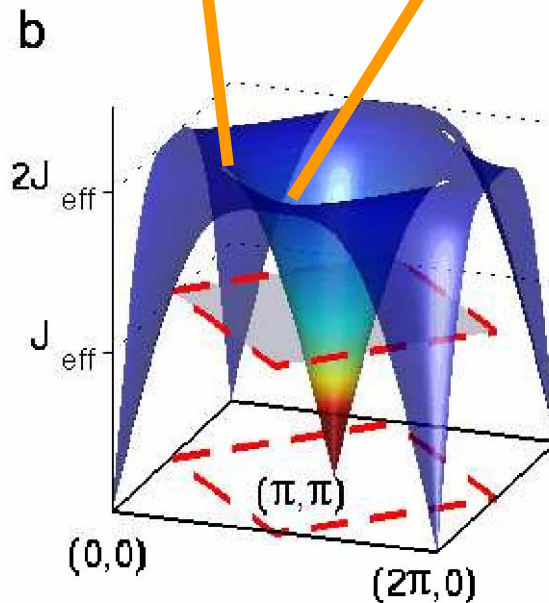
# Surprise !

## Anomalies at the Zone Boundary



Expected small uniform renormalisation of classical spin wave energies

Ronnow et al.



ZB dispersion confirmed by calculations:

- Ising limit expansion
- Exact diagonalisation
- Quantum Monte Carlo

True Quantum effect



# Magnon intensities

Giant 50% intensity effect at  $(\pi, 0)$

Much larger than 7% ZB dispersion

Where did intensity go ?

