



*The Abdus Salam
International Centre for Theoretical Physics*



1866-15

School on Pulsed Neutrons: Characterization of Materials

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Superconductivity and flux lattice structures

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(High- T_c) Superconductivity and Vortex Lattice Structures

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1. Introduction
2. Low- T_c superconductors
3. High- T_c cuprate superconductors
4. Electronic and magnetic excitations
5. The Abrikosov phase



Many-Body Physics: Unfinished Revolution

Piers Coleman

Abstract. The study of many-body physics has provided a scientific playground of surprise and continuing revolution over the past half century. The serendipitous discovery of new states and properties of matter, phenomena such as superfluidity, the Meissner, the Kondo and the fractional quantum hall effects, have driven the development of new conceptual frameworks for our understanding about collective behavior, the ramifications of which have spread far beyond the confines of terrestrial condensed matter physics- to cosmology, nuclear and particle physics. Here I shall selectively review some of the developments in this field, from the cold-war period, until the present day. I describe how, with the discovery of new classes of collective order, the unfolding puzzles of high temperature superconductivity and quantum criticality, the prospects for major conceptual discoveries remain as bright today as they were more than half a century ago.



Measuring excitations: what for?

Macroscopic Measurements

Transport, Specific heat,
Magnetisation

...

$$M_\alpha = \frac{1}{k_B T} \frac{\partial nZ}{\partial H_\alpha} = g\mu_B \sum_i p_i \langle \Gamma_i | J_\alpha | \Gamma_i \rangle$$

$$C_v = \left(\frac{\partial U}{\partial T} \right)_v = k_B \left[\sum_i \left(\frac{E_i}{k_B T} \right)^2 p_i - \sum_i \left(\frac{E_i}{k_B T} p_i \right)^2 \right]$$

Z=partition function

$$U = F - T \left(\frac{\partial F}{\partial T} \right)_v$$

$$F = -k_B T \ln Z$$

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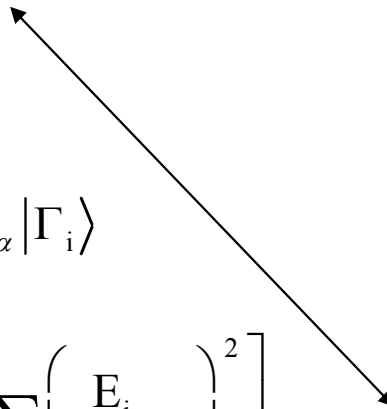


Theoretical Model

Hamiltonian

$$H = H_e + H_{\text{mag}} + H_{\text{ph}} + H_{\text{e-ph}} + \dots$$

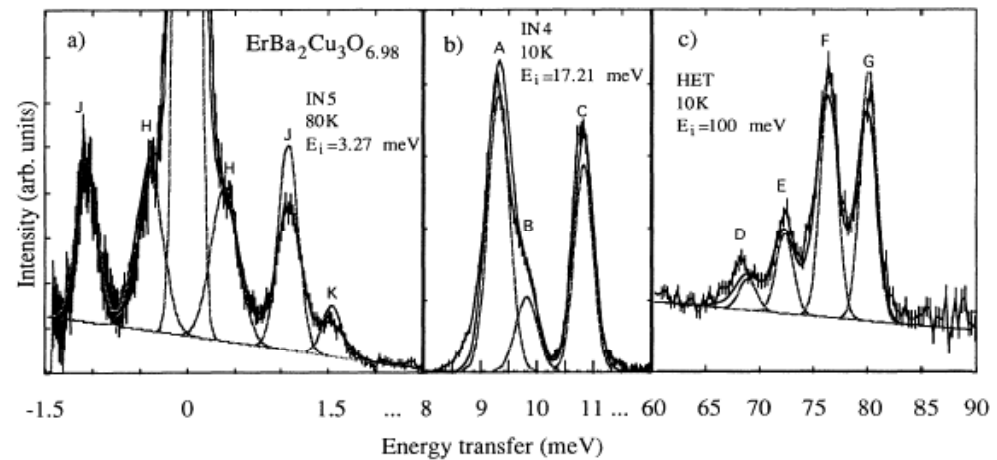
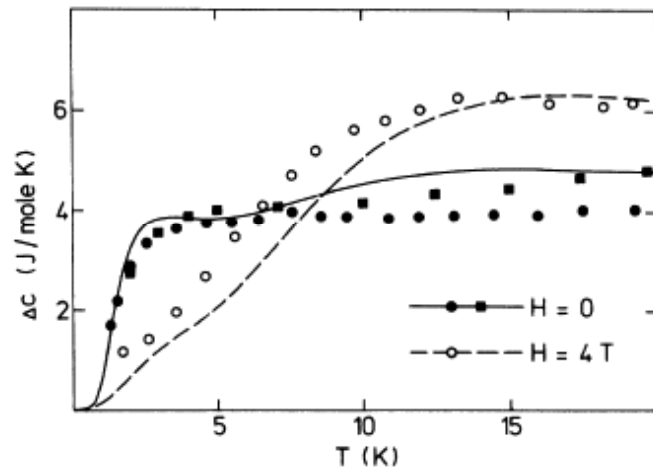
Eigenvalues E_i
Eigenstates $|\Gamma_i\rangle$

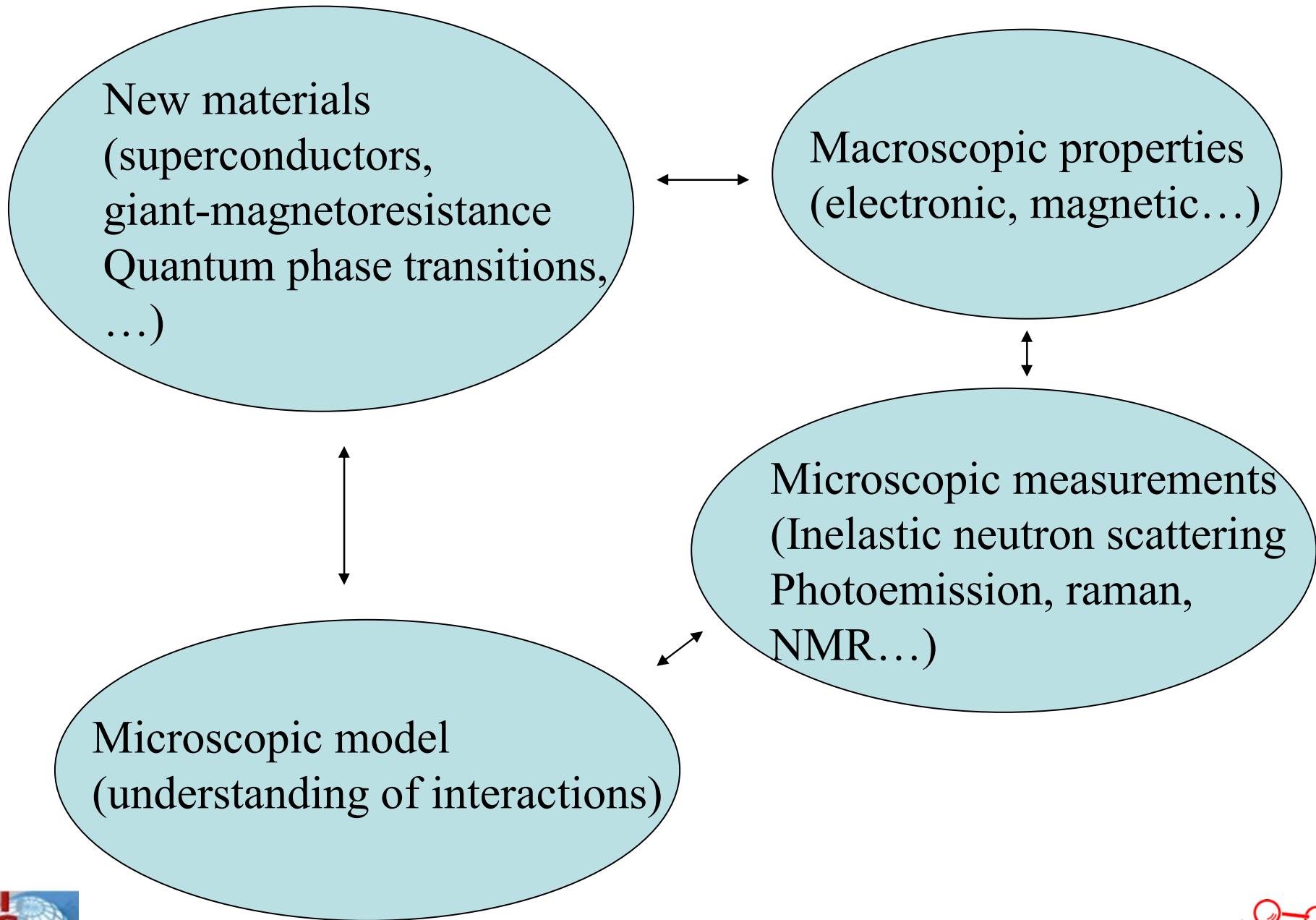


Neutron scattering, Photoemission

yield direct information about eigenvalues and wave functions !

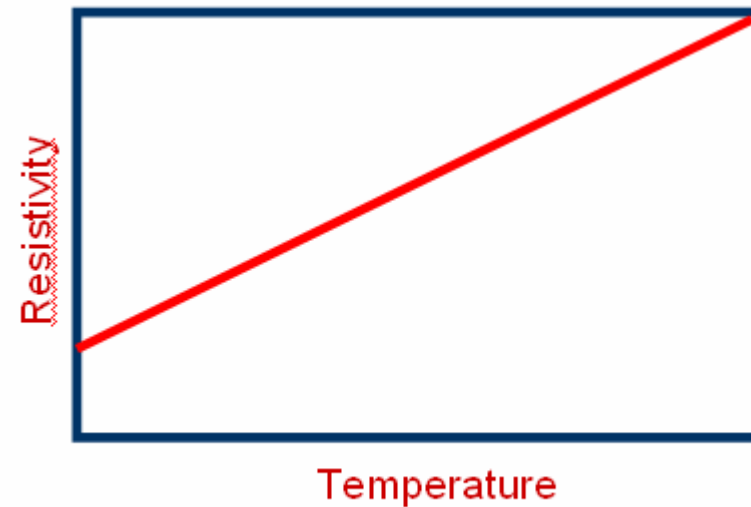
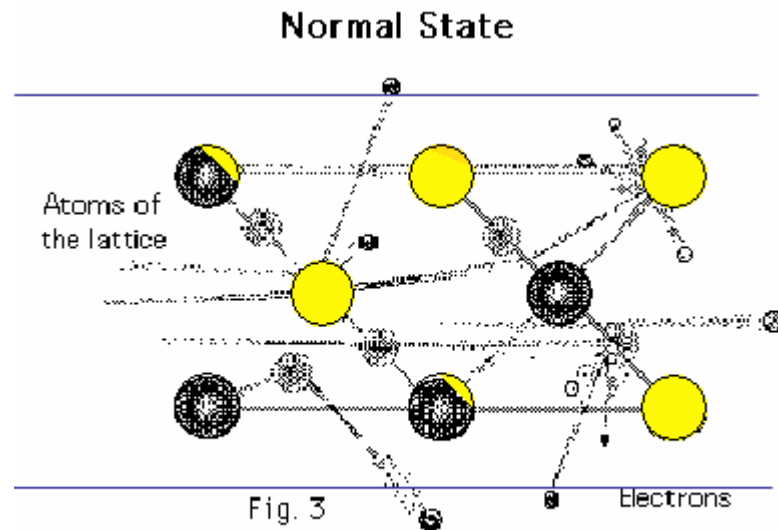
Neutrons $\frac{d^2\omega}{d\Omega d\omega} \approx \left| \left\langle \Gamma_m \left| \hat{\mathbf{J}}_{\perp} \right| \Gamma_n \right\rangle \right|^2 \delta(\hbar\omega + E_{\Gamma_n} - E_{\Gamma_m}) ,$



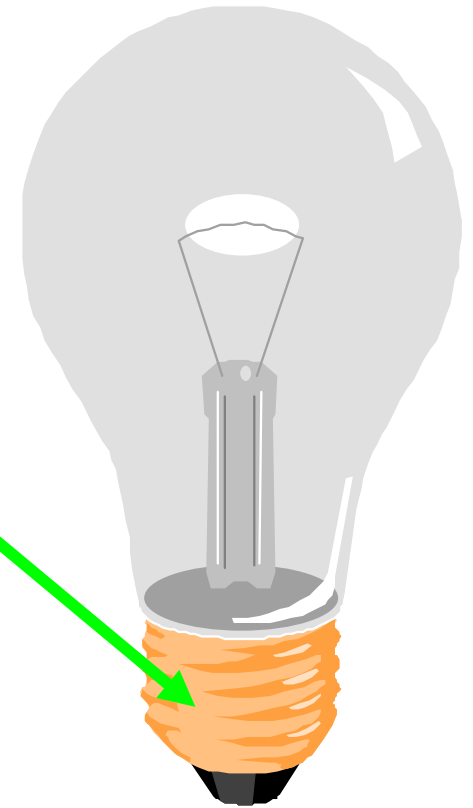
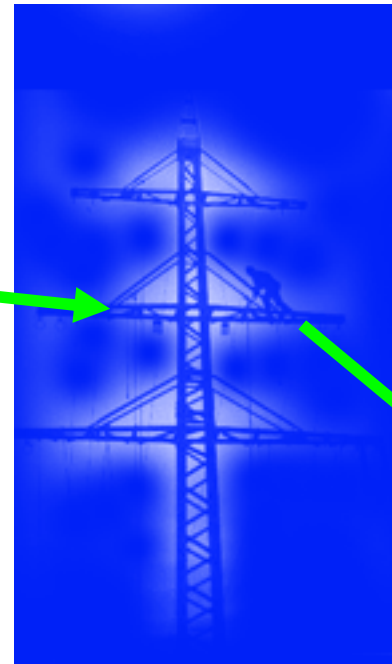
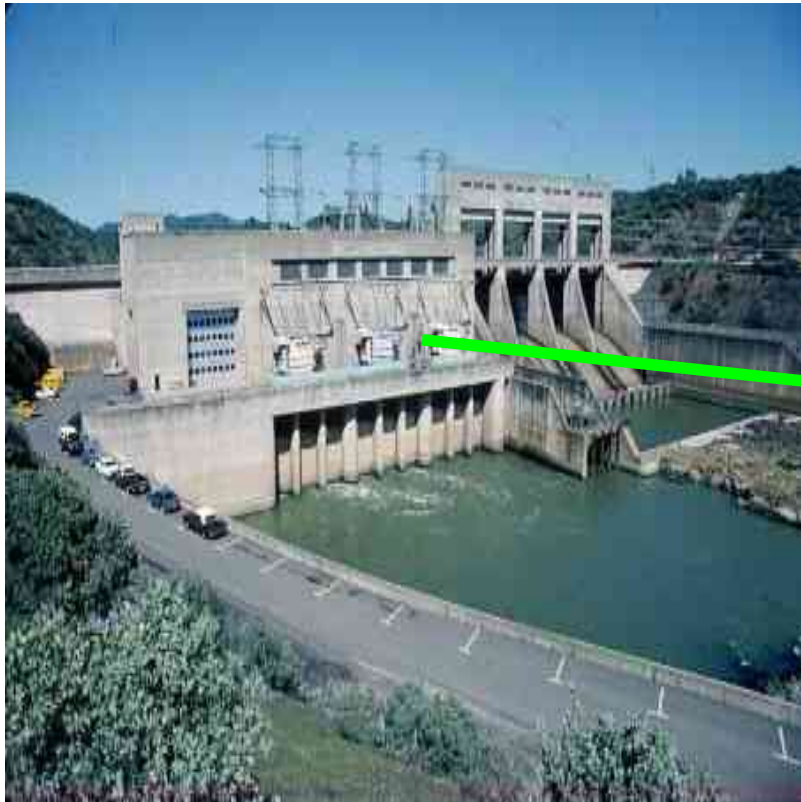


Normal metals

resistance = losses = bad efficiency



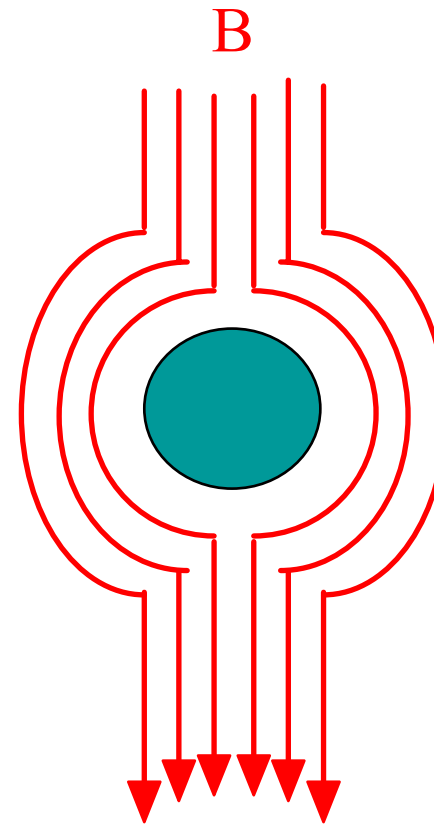
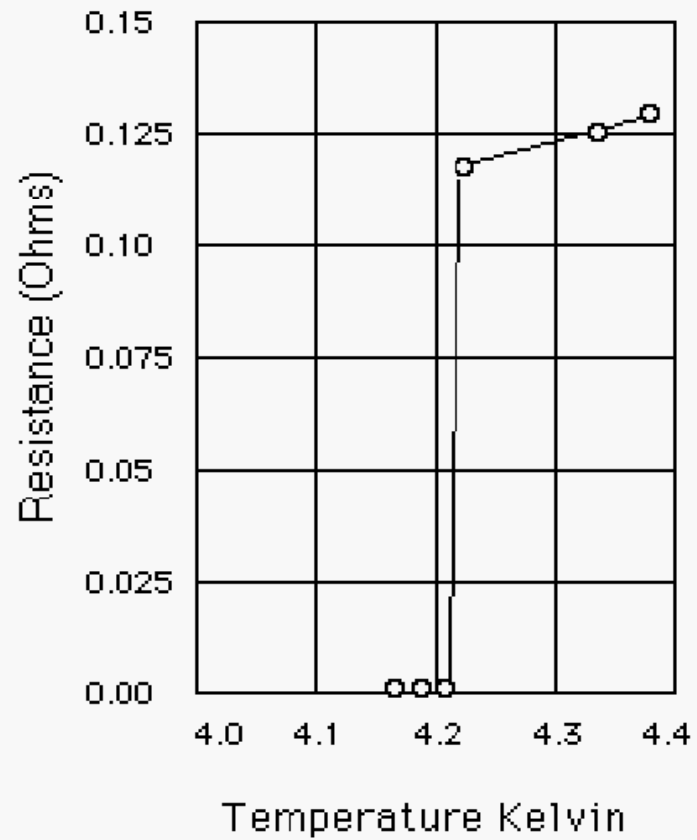
Normal conductors



Superconductivity

Kamerlingh-Onnes (1911)

Meissner effect (1933)



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Meissner Effect (Levitation)



Levitation: examples





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But what is the mechanism
producing superconductivity



Isotope effect

$T_c \approx M^{-\alpha} \longrightarrow$ phonons are involved ($\omega_{\text{ph}} \approx M^{-0.5}$)

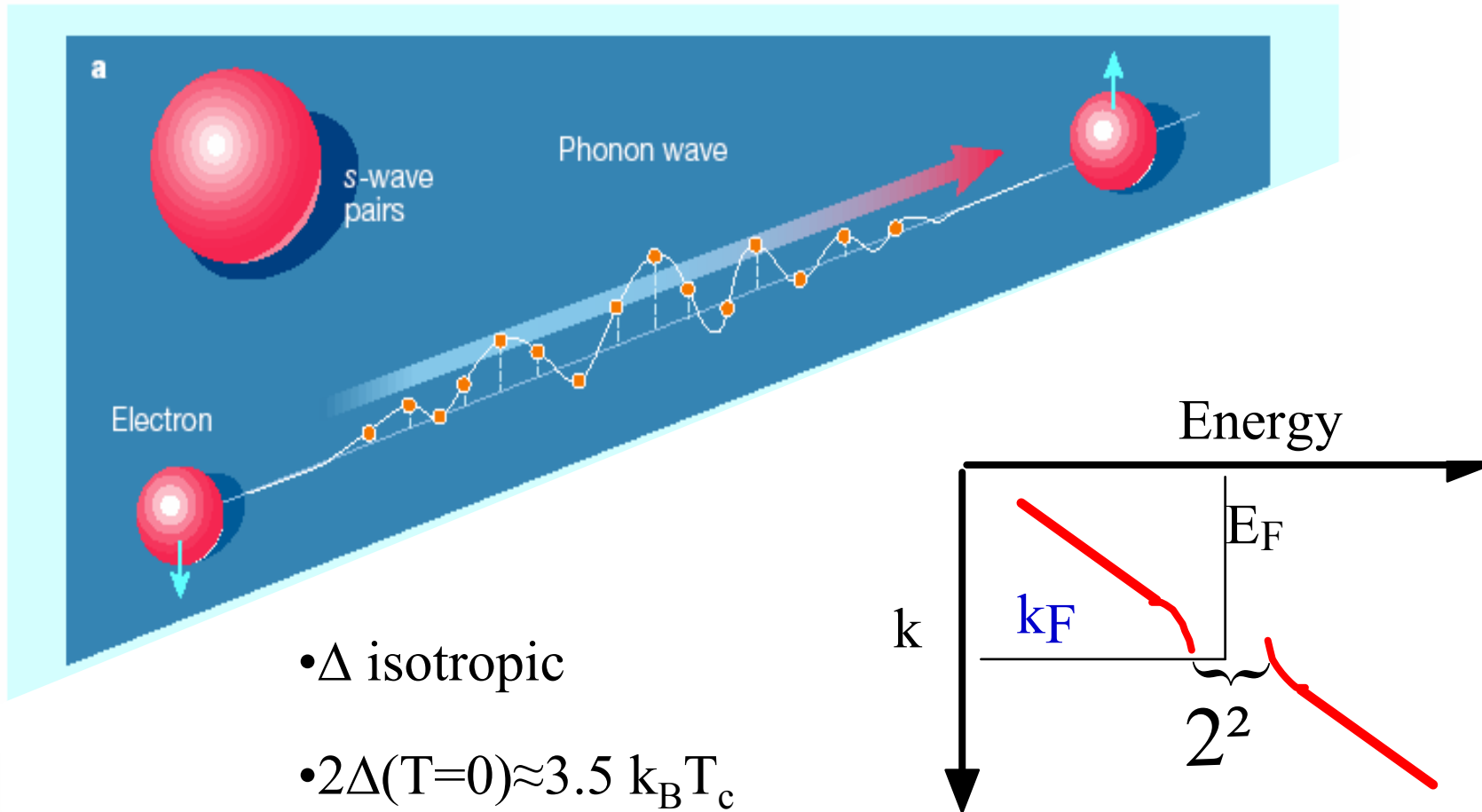
Table 1: measured coefficients α of the isotopic effect $T_c - M^{-\alpha}$

	α		α
Hg	0.50 ± 0.03	Cd	0.50 ± 0.10
Tl	0.50 ± 0.10	Mo	0.33 ± 0.05
Sn	0.47 ± 0.02	Ru	0.00 ± 0.10
Pb	0.48 ± 0.01	Os	0.20 ± 0.05

?



BCS Theory (1957)

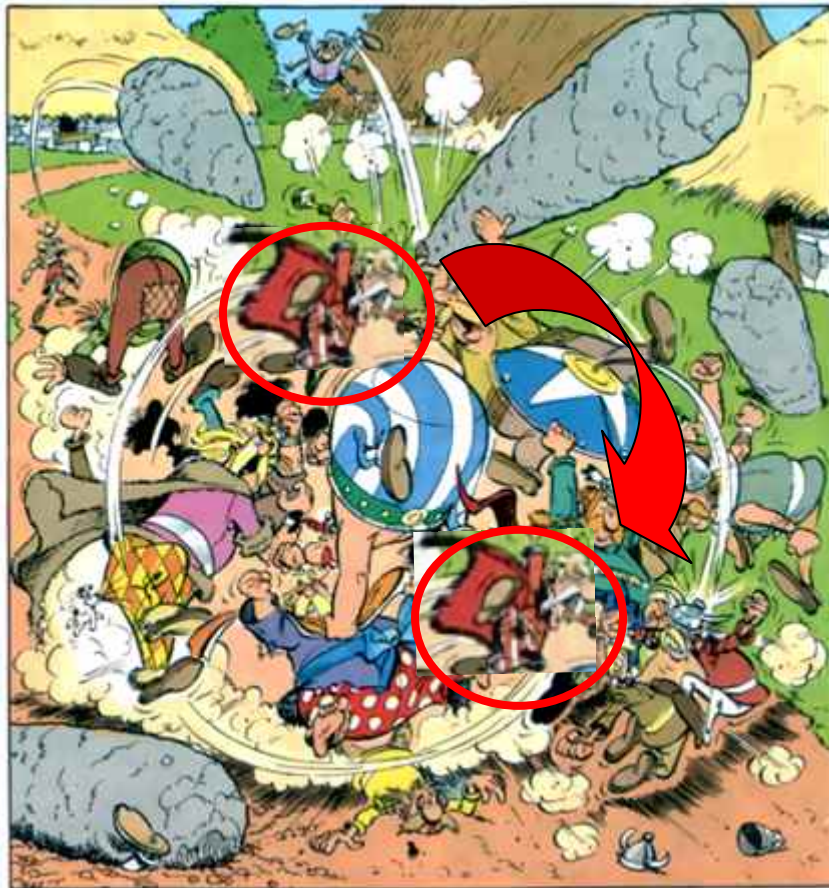


- Δ isotropic
- $2\Delta(T=0) \approx 3.5 k_B T_c$
- Cooper pairs (bosons) condense into a macroscopic coherent ground-state

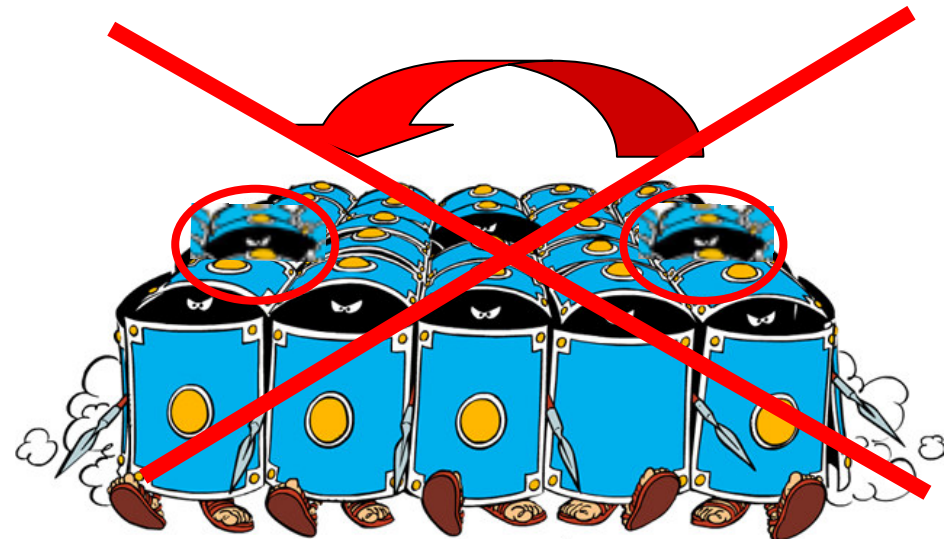


Normal vs Superconducting electrons

“normal state”



“Superconducting state”



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How can we experimentally prove the e-phonon interaction?

Study the dynamics of:

-electrons --> tunneling spectroscopy, photoemission

-lattice (phonons) ---> neutron scattering

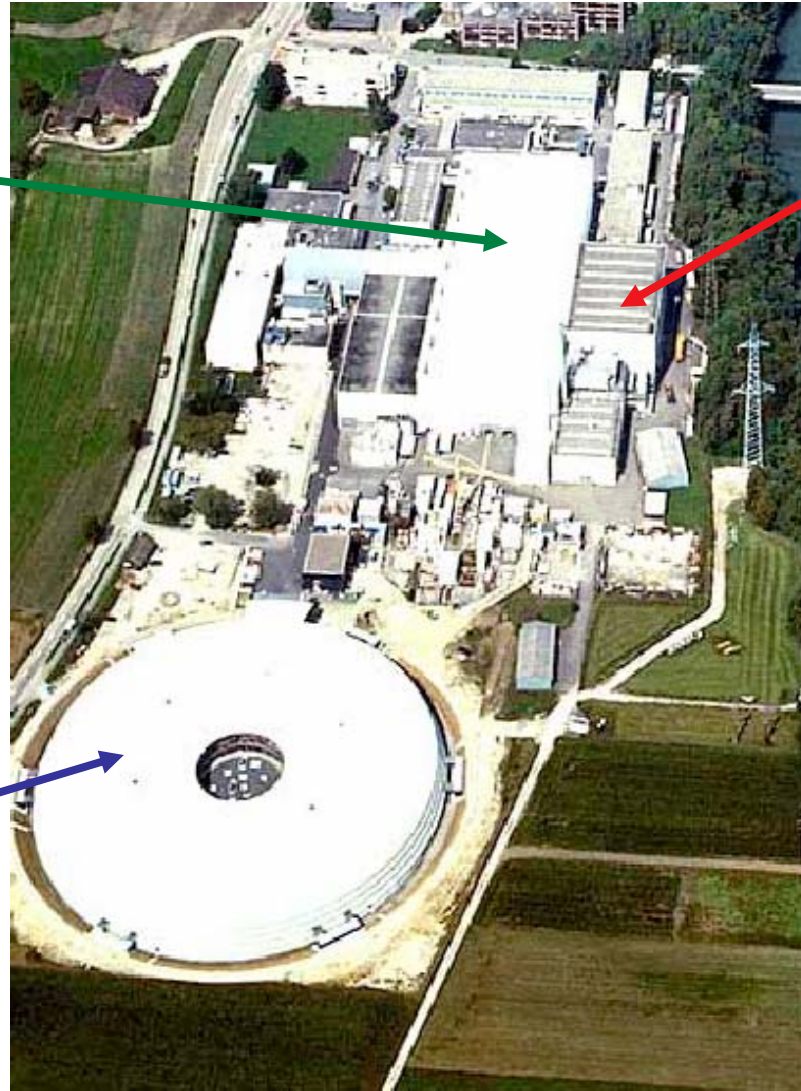


Neutrons+Photons+Muons @ PSI

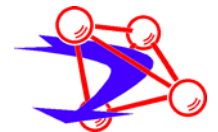
Muons
S μ S

Neutrons
SINQ

Photons
SLS



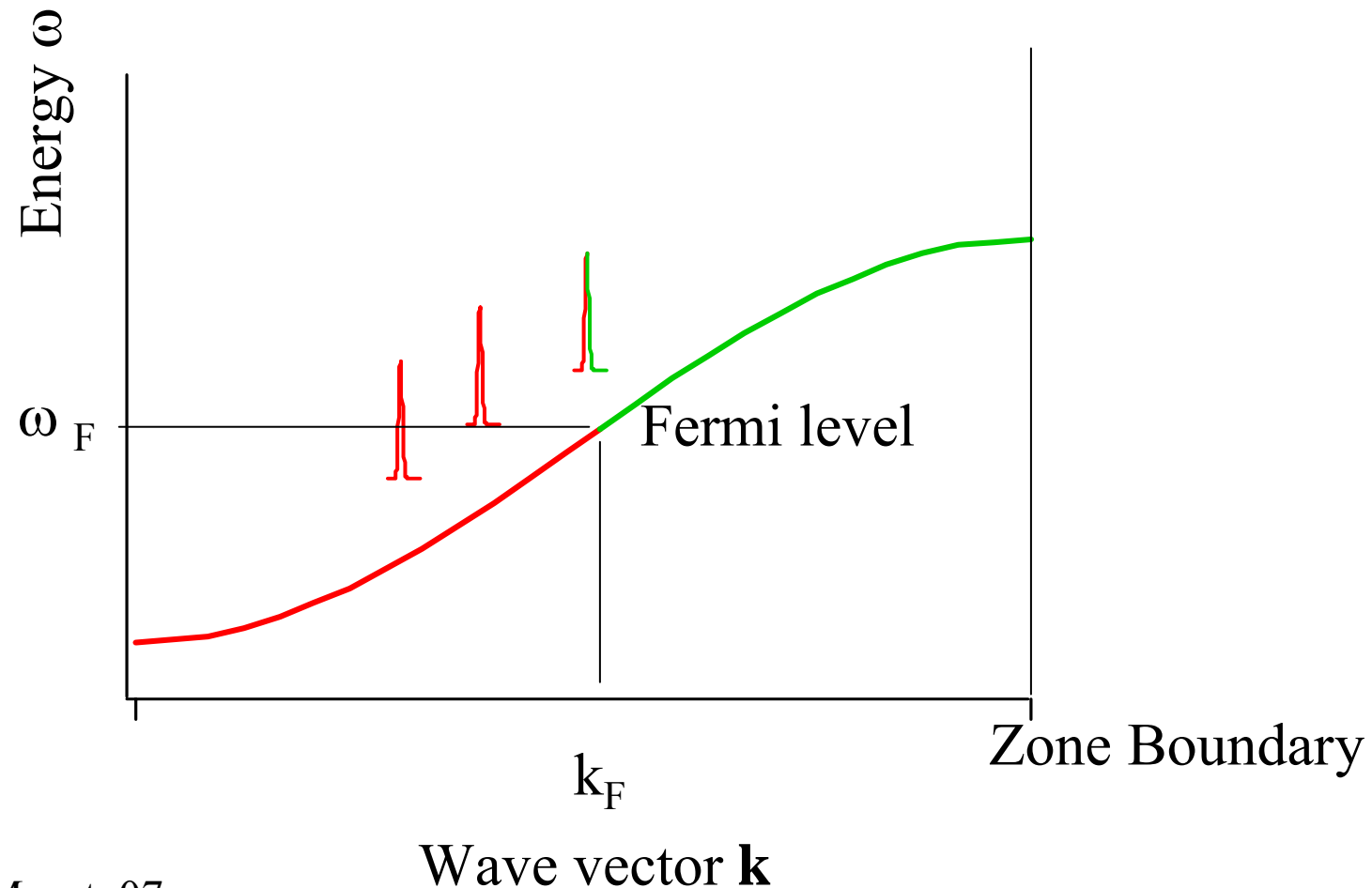
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Electrons in a periodic potential (metals)

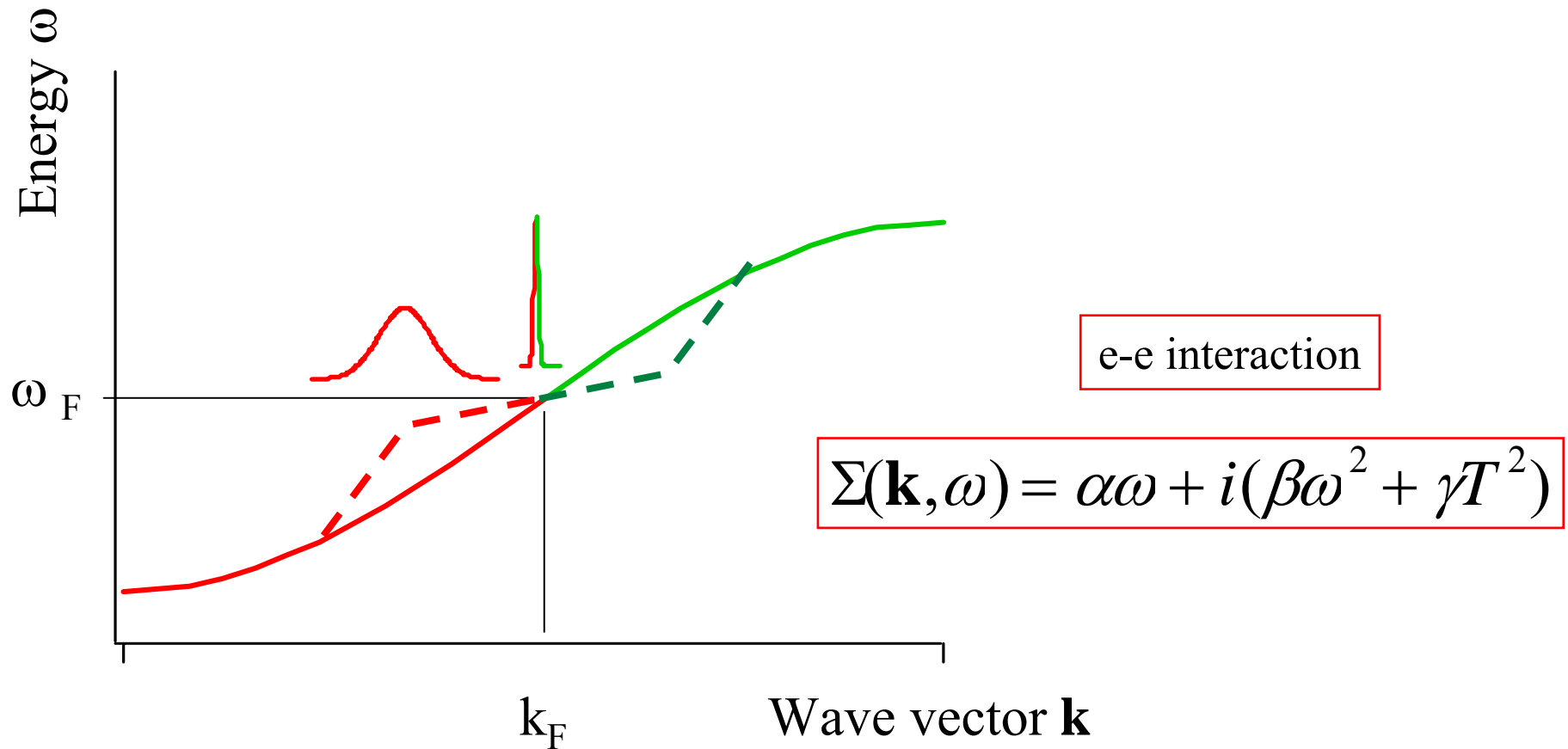
(Free electron: $E=1/2mv^2=k^2/2m$)

a) No interactions --> delta function

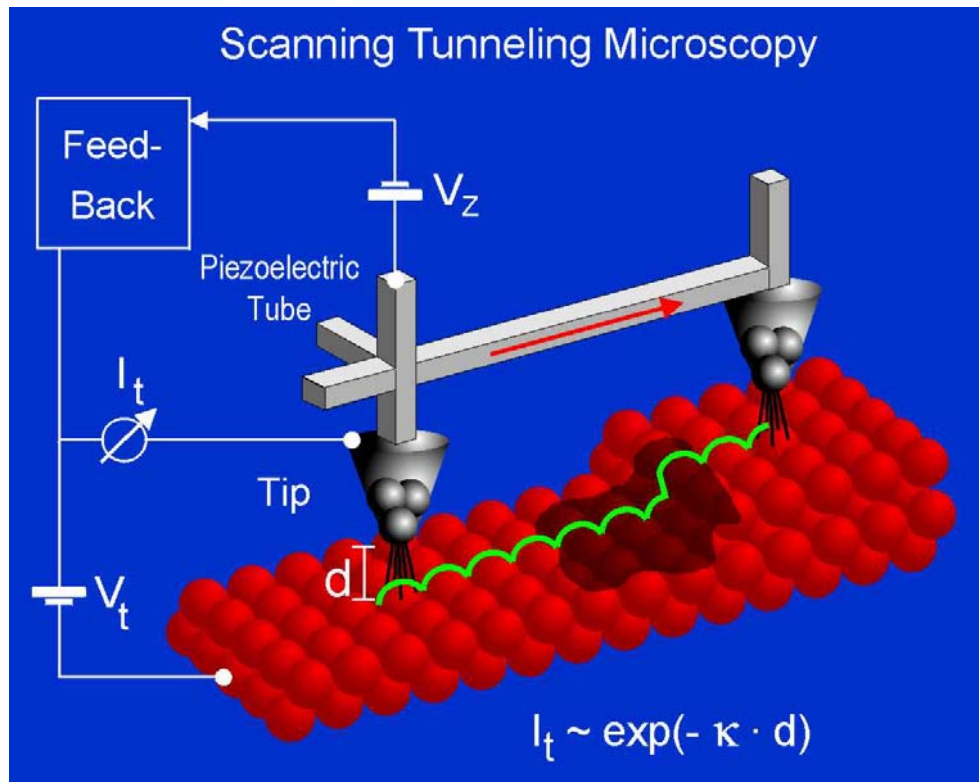


b) Weak interactions--> Renormalized quasiparticles

$$m^*, \omega(\mathbf{k}) = \omega_0(\mathbf{k}) + \Sigma'(\omega, \mathbf{k}) \quad \tau(\mathbf{k}, \omega) \sim \frac{1}{\Sigma''(\mathbf{k}, \omega)}$$



Evidence for e-Phonon Interaction: Tunneling Spectroscopy



$$\frac{dI}{dV} \propto N(\omega) \longrightarrow \alpha^2(\omega)g(\omega)$$

Electronic DOS

Phonon DOS



Nuclear, Inelastic, Coherent Neutron Scattering

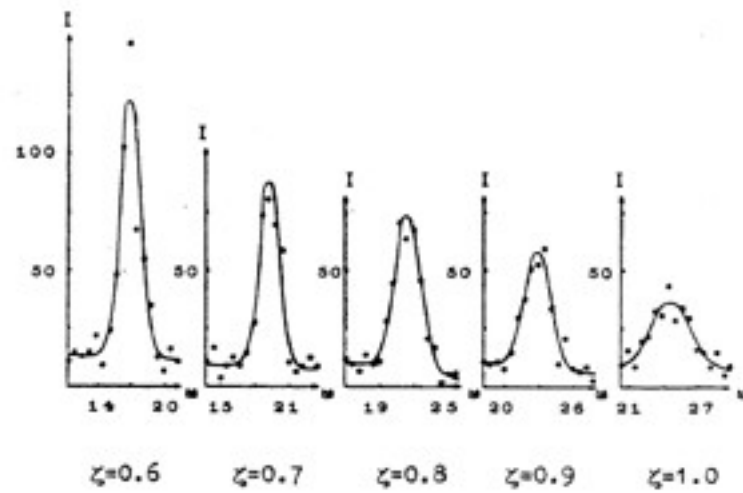
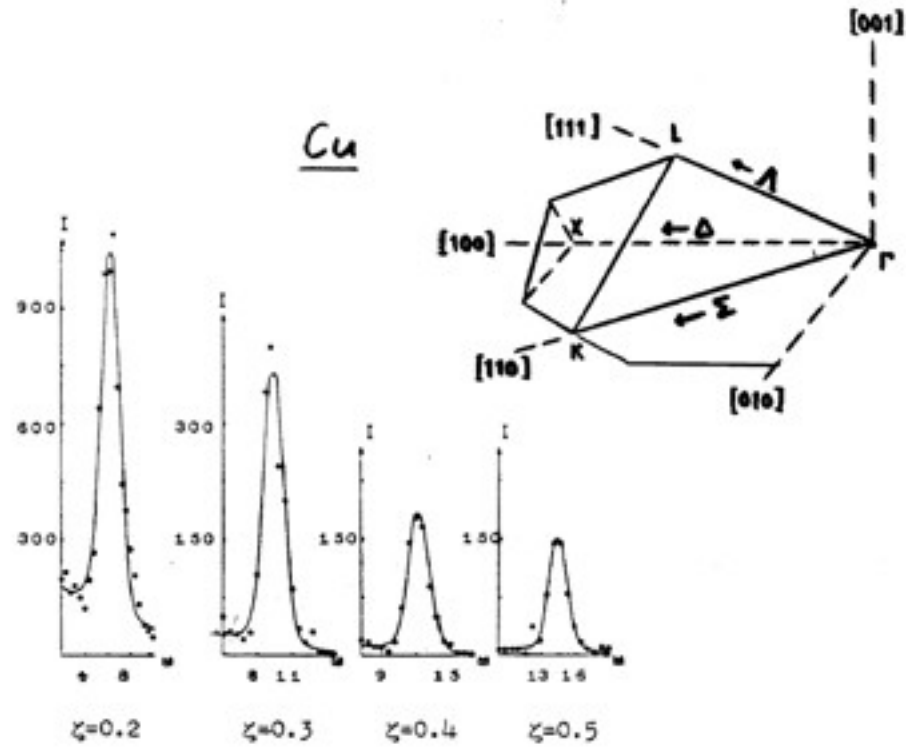
$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{4\pi^3}{v_o} \cdot \frac{k'}{k} \sum_{s,\mathbf{q}} \frac{1}{\omega_s(\mathbf{q})} \left| \sum_{\mathbf{d}} \frac{\langle b_{\mathbf{d}} \rangle}{\sqrt{M_{\mathbf{d}}}} e^{-W_{\mathbf{d}}(\mathbf{Q})} e^{i\mathbf{Q}\cdot\mathbf{d}} \left[\mathbf{Q} \cdot \mathbf{e}_{\mathbf{d},s}(\mathbf{q}) \right] \right|^2$$

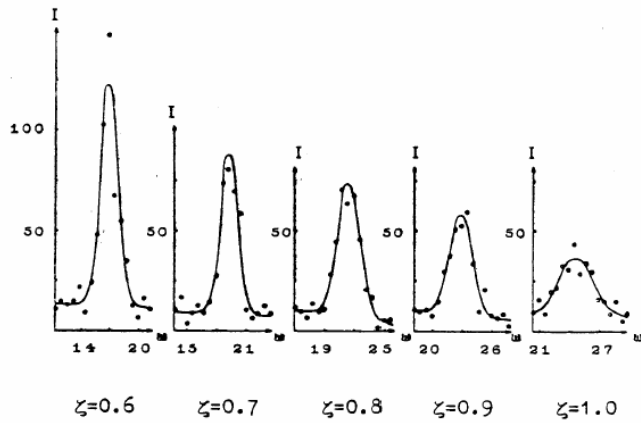
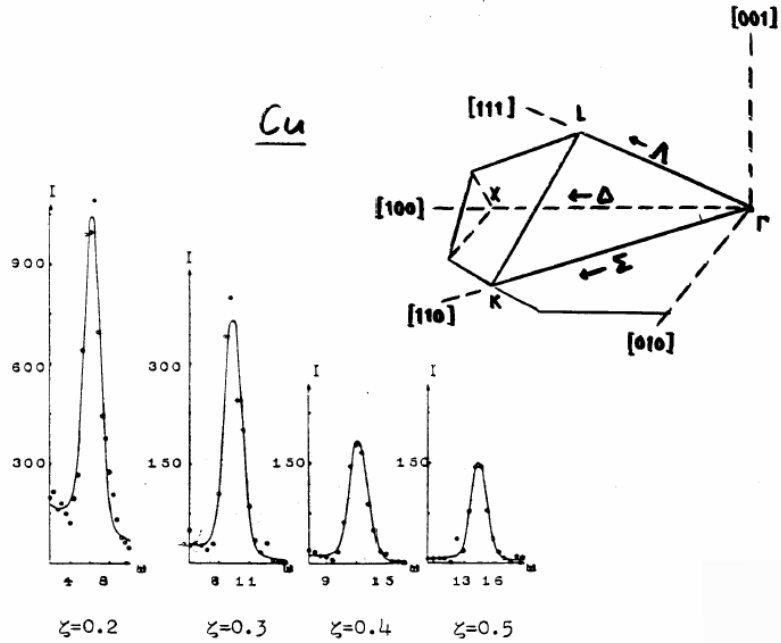
$$\left\{ [n_s(\mathbf{q}) + 1] \delta\{\omega - \omega_s(\mathbf{q})\} \sum_{\tau} \delta(\mathbf{Q} - \mathbf{q} - \tau) \right.$$

$$\left. + n_s(\mathbf{q}) \delta\{\omega + \omega_s(\mathbf{q})\} \sum_{\tau} \delta(\mathbf{Q} + \mathbf{q} - \tau) \right\}$$

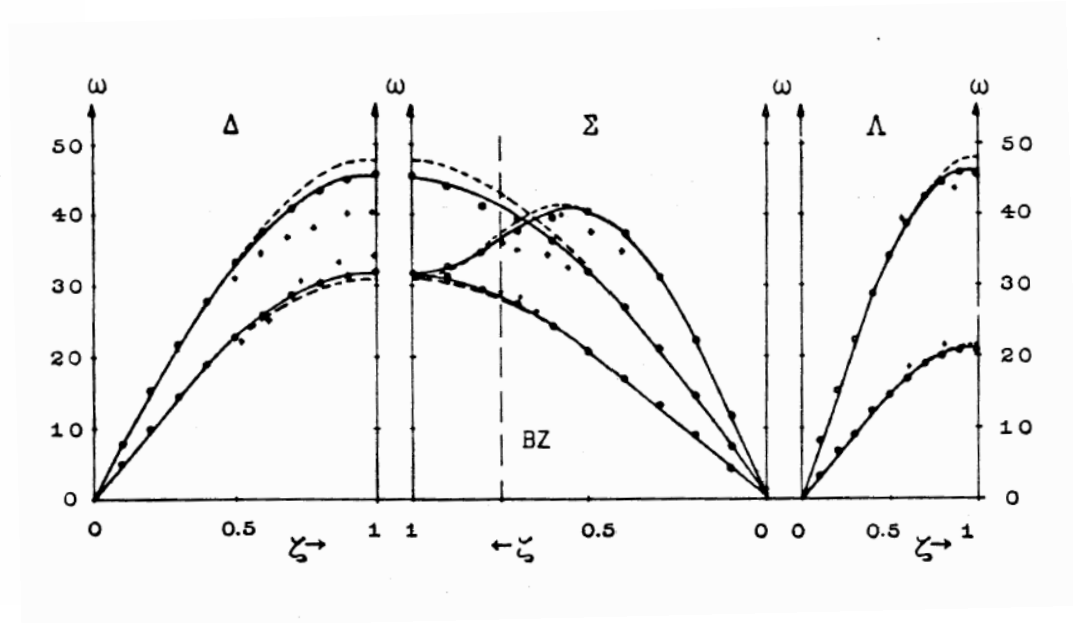
$$n_s(\mathbf{q}) = \left[\exp\left\{ \frac{\hbar\omega_s(\mathbf{q})}{k_B T} \right\} - 1 \right]^{-1} . \quad \text{Bose-Einstein statistics}$$



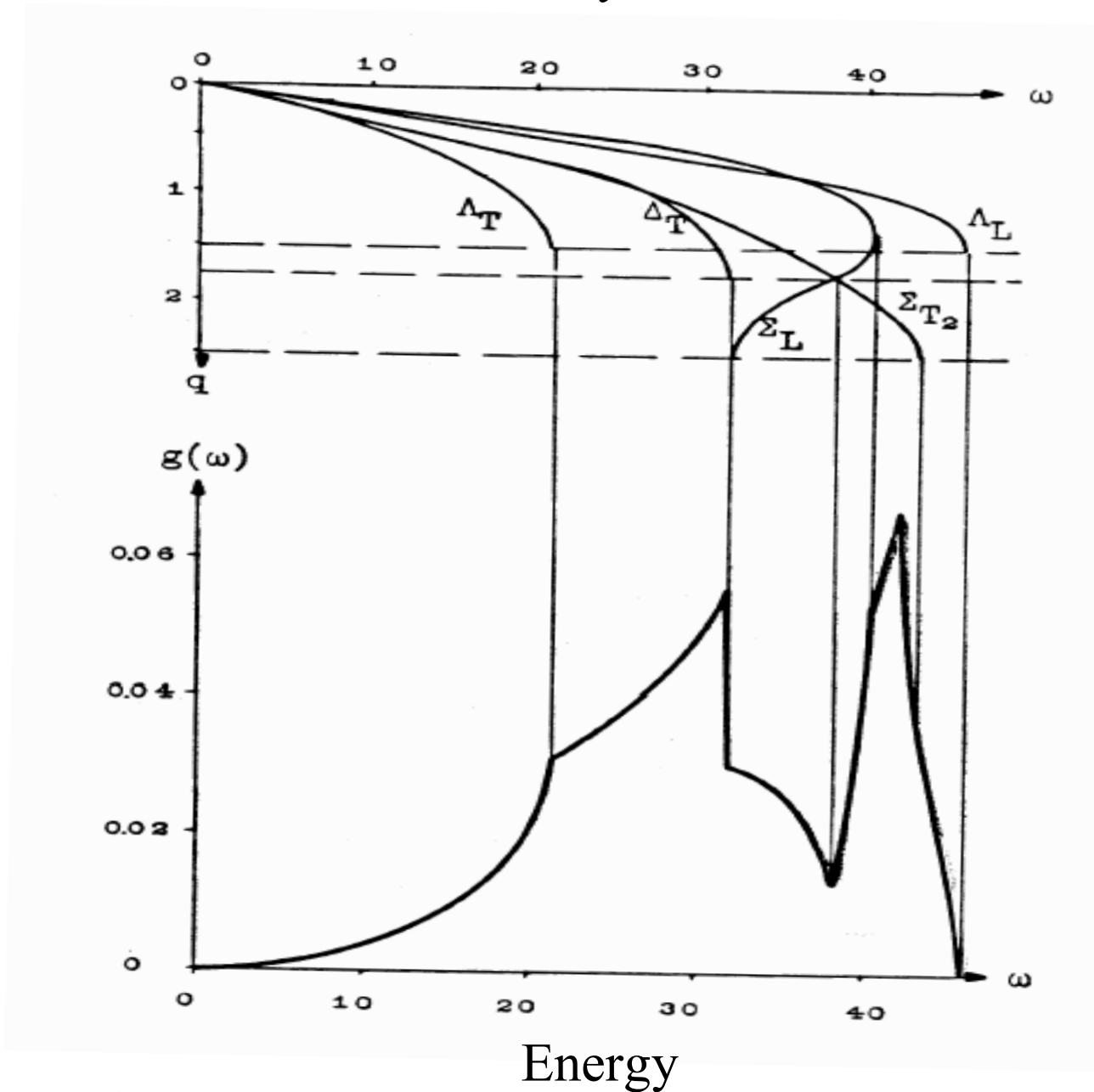




Phonons in Cu



Phonon density-of-state



Nuclear Incoherent Neutron Scattering

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{1}{4M} \cdot \frac{k_f}{k_i} \left[\langle b^2 \rangle - \langle b \rangle^2 \right] e^{-2W(\mathbf{Q})} \left\langle (\mathbf{Q} \cdot \mathbf{e}_s(\mathbf{q}))^2 \right\rangle \frac{g(\omega)}{\omega} \left\{ \coth\left(\frac{\hbar\omega}{2k_B T}\right) \pm 1 \right\}$$

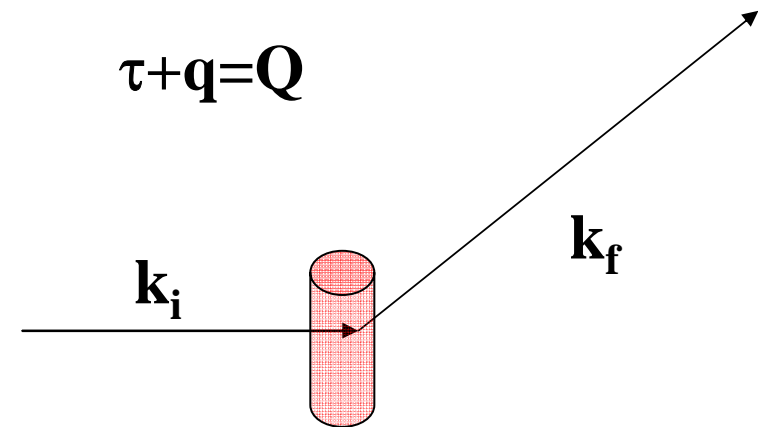
$\mathbf{Q} = \mathbf{k}_i - \mathbf{k}_f$ = momentum transfer

$\hbar\omega = E_i - E_f$ = energy transfer

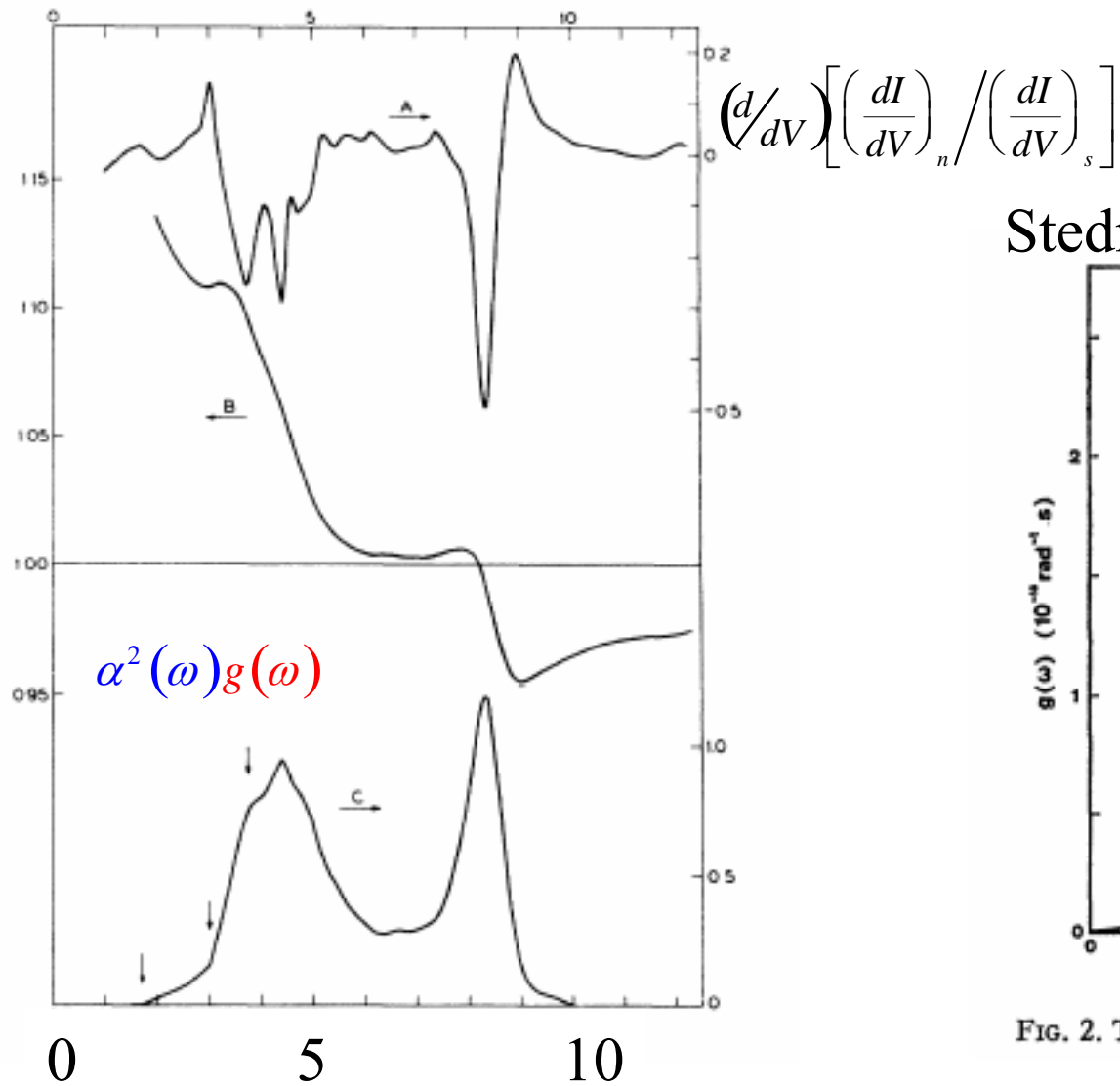
b = scattering length

$\mathbf{e}_s(\mathbf{q})$ = phonon eigenvector

$W(\mathbf{Q})$ = Debye-Waller factor



McMillan PRL 65- Pb-I-Pb tunneling



Stedman, PRB 67 (Neutrons)

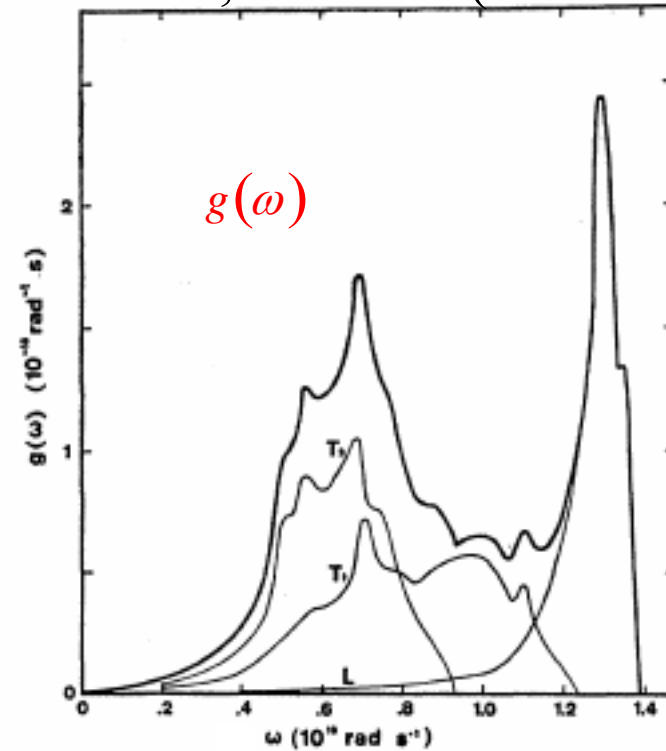


FIG. 2. The phonon-frequency distribution of lead.

$$10^{13} \text{ rad/s} = 6.6 \text{ meV}$$



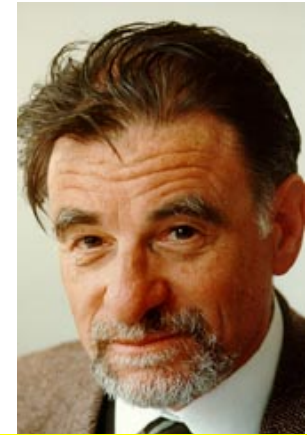
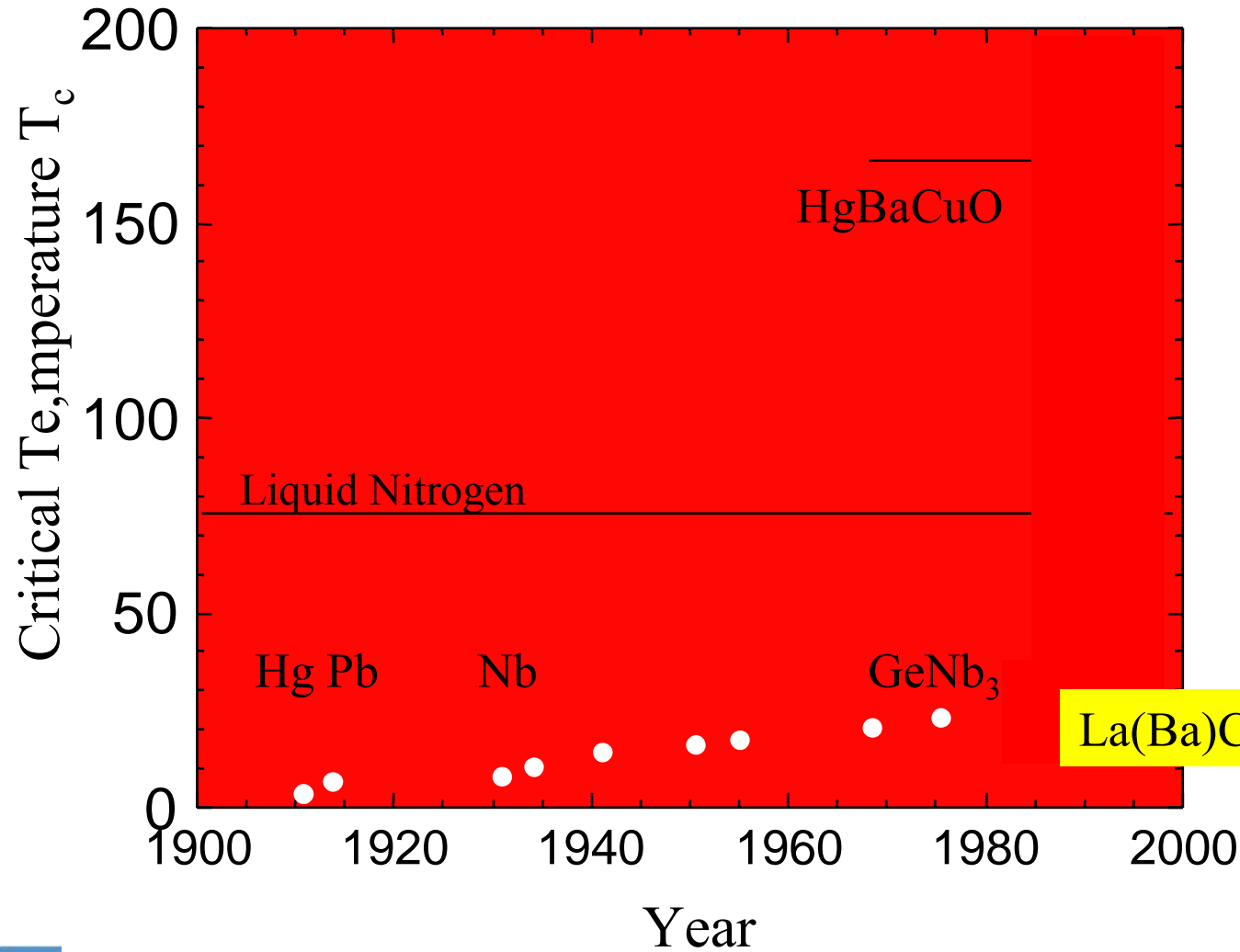
Full understanding of superconductivity (1986)?

J. M. Ziman (1972)

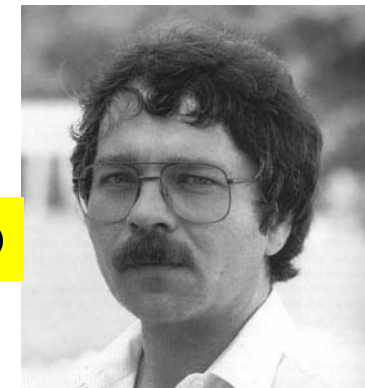
“SC was long considered the most extraordinary and mysterious of the properties of metals; but the theory of Bardeen, Cooper and Schrieffer –the BCS theory- has explained so much that we can say that we now understand the superconducting state almost as well as we do the normal ‘state’.”



The 1986 revolution



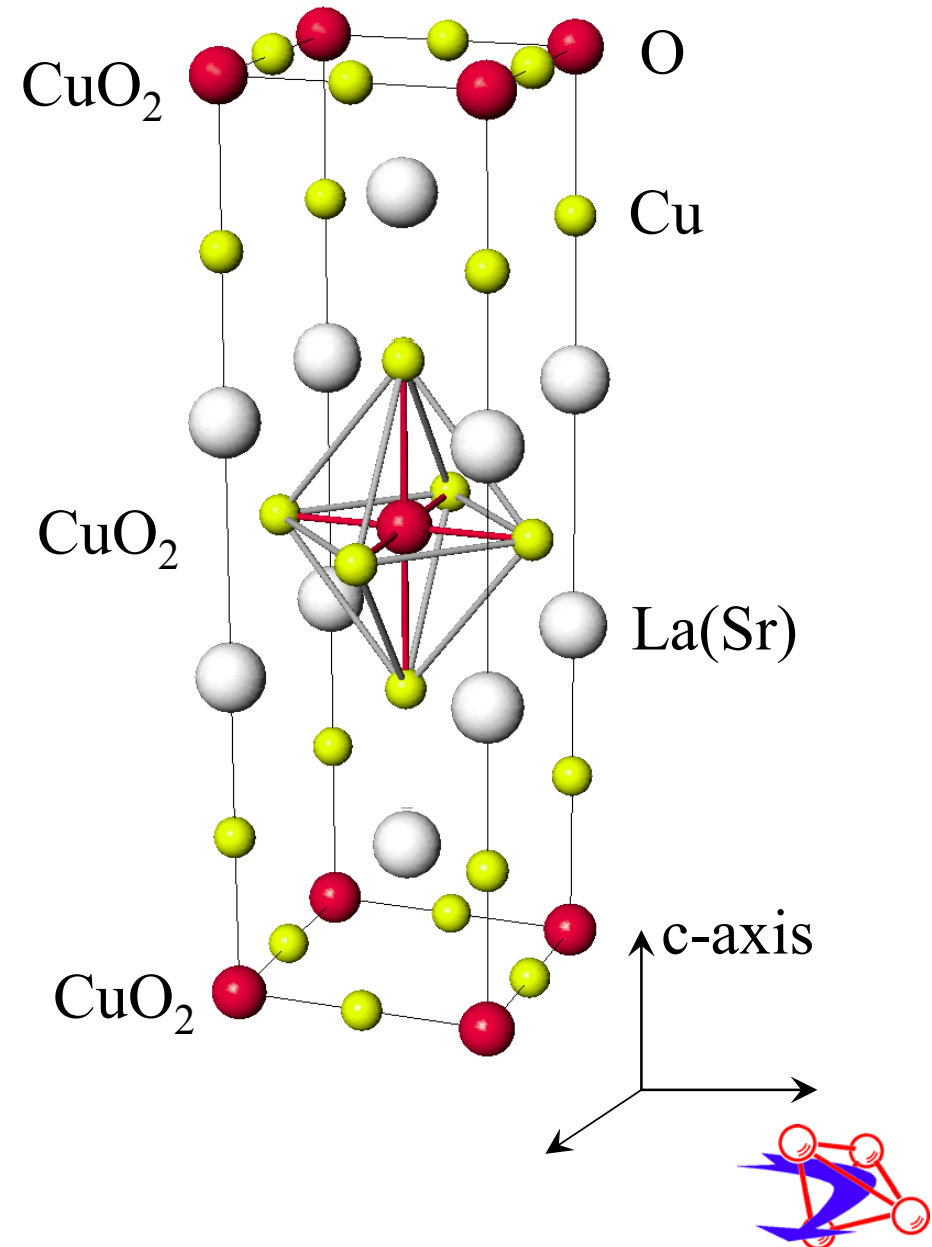
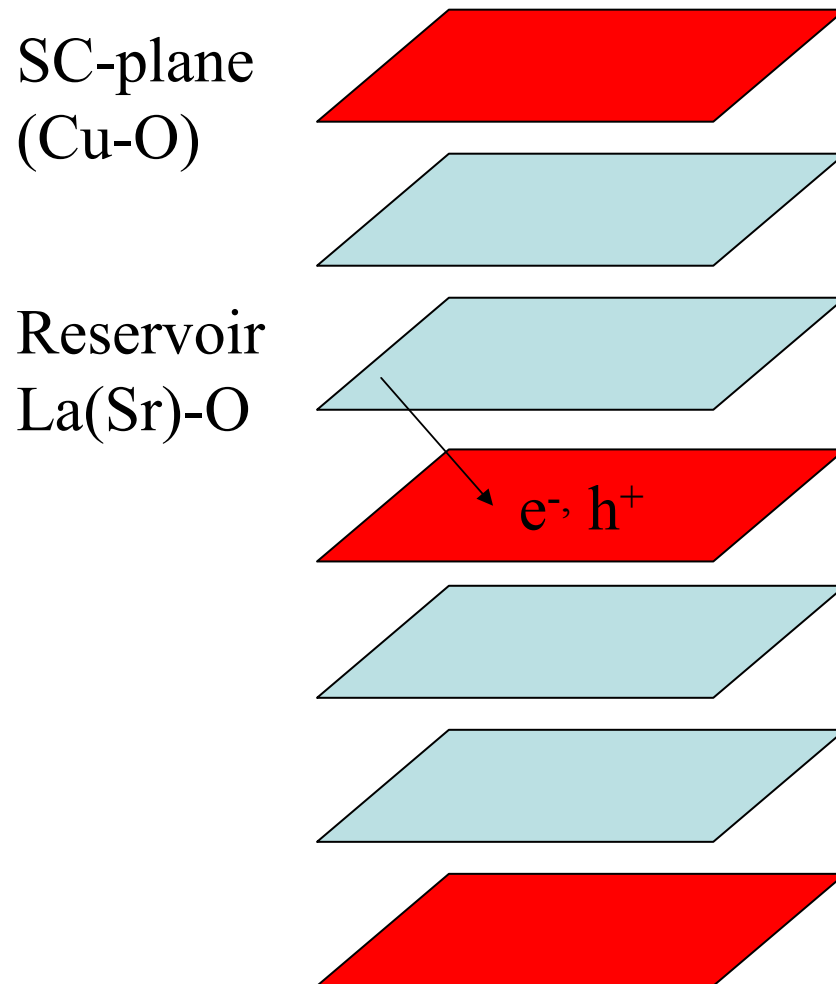
K. A. Müller

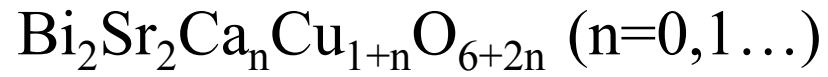


J. G. Bednorz



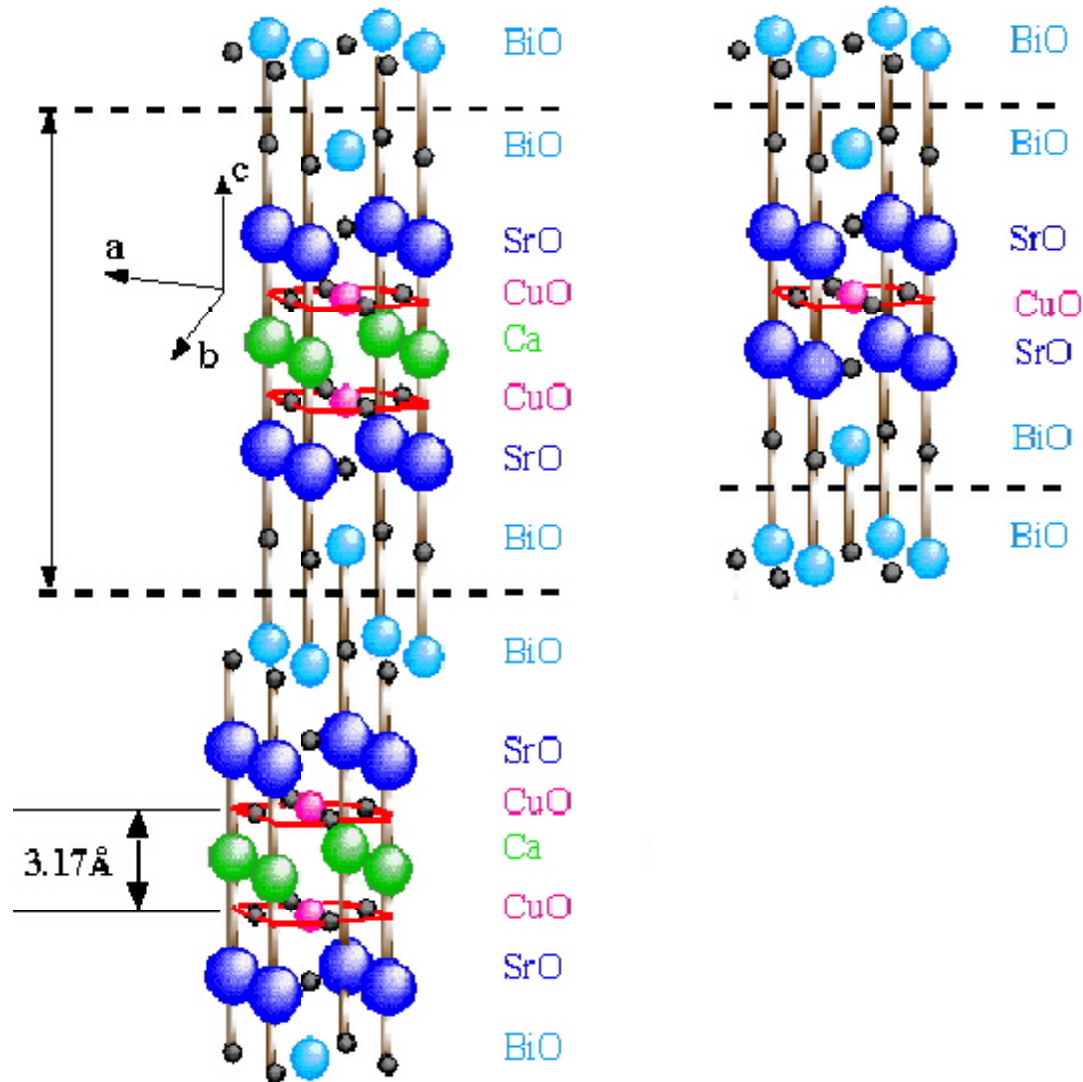
2D-Structure $\text{La}(2-x)\text{Sr}(x)\text{CuO}(4)$





Bi2212 (n=1)

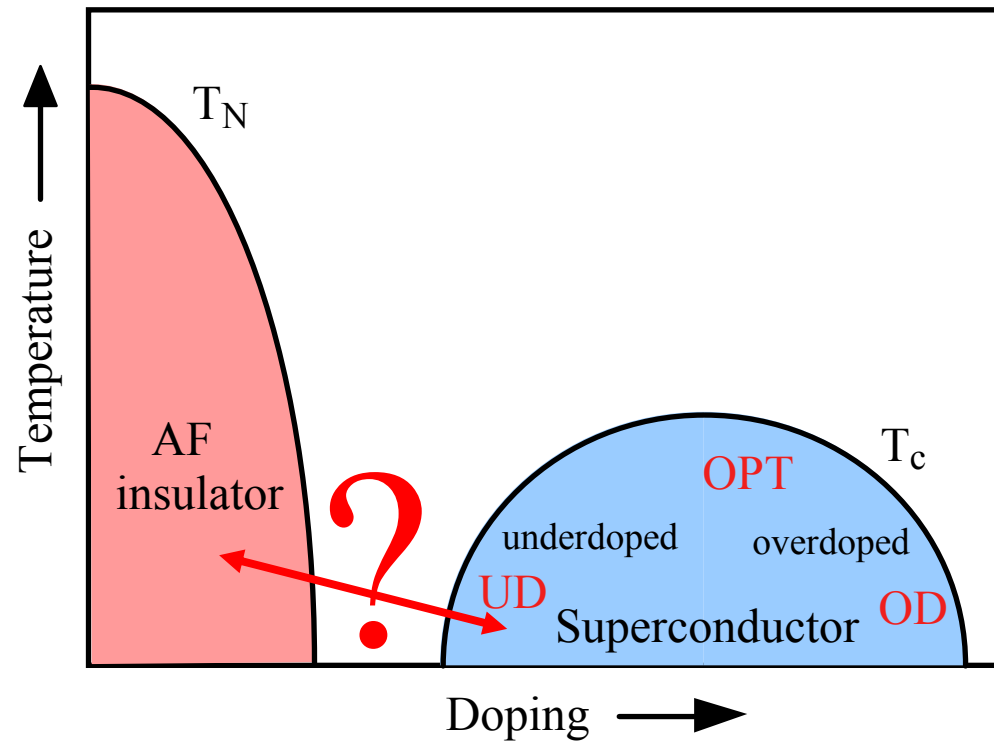
Bi2201 (n=0)



Phase Diagram of HTSC

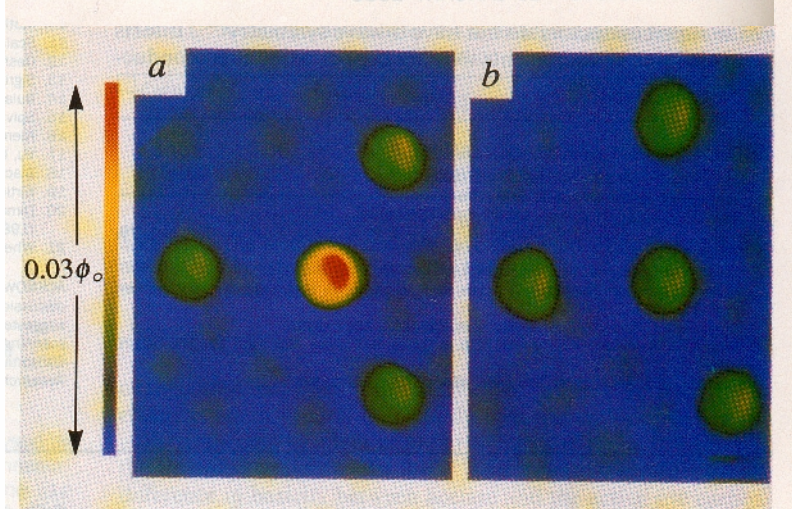
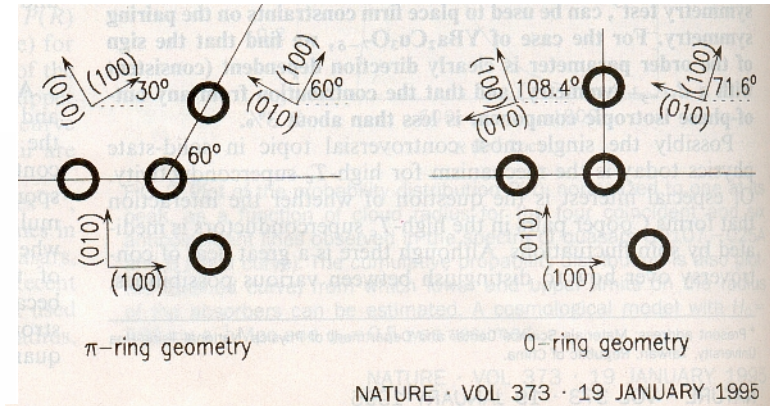
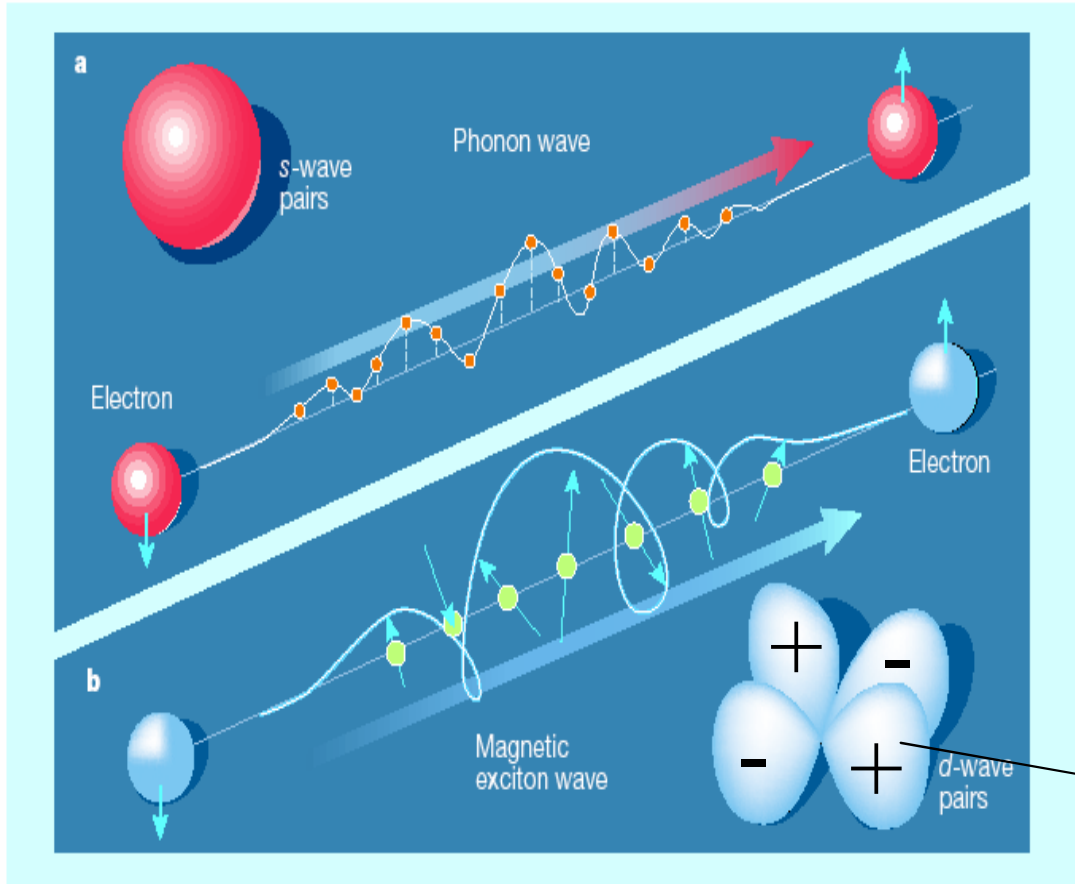
HTSC: a doped antiferromagnet

Undoped Cu^{2+} : $3d^9 \rightarrow 1$ hole/1 spin.



d-wave gap?

$$2\Delta(0) = 3.5 k_B T_c$$



Phase sensitive experiments:

Tsuei et al. Nature **373** (1995) 225

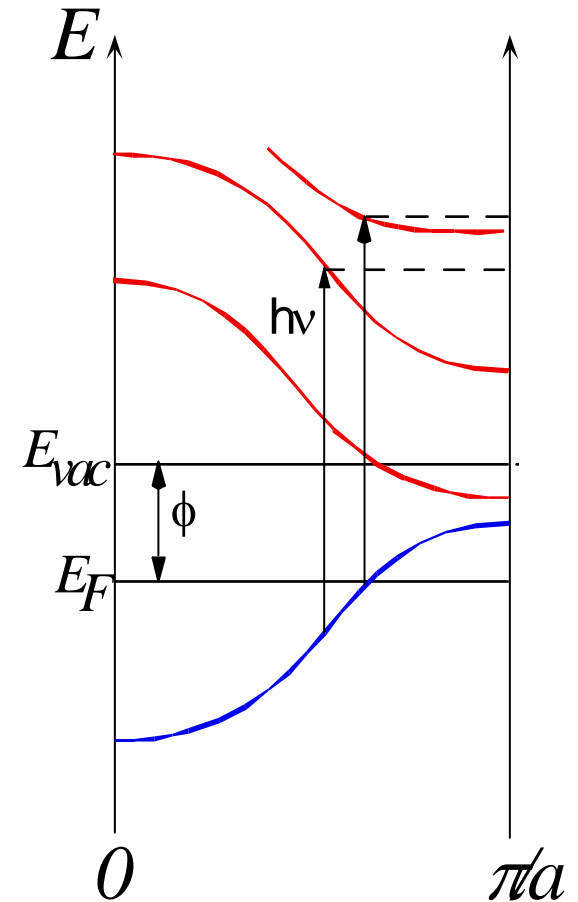
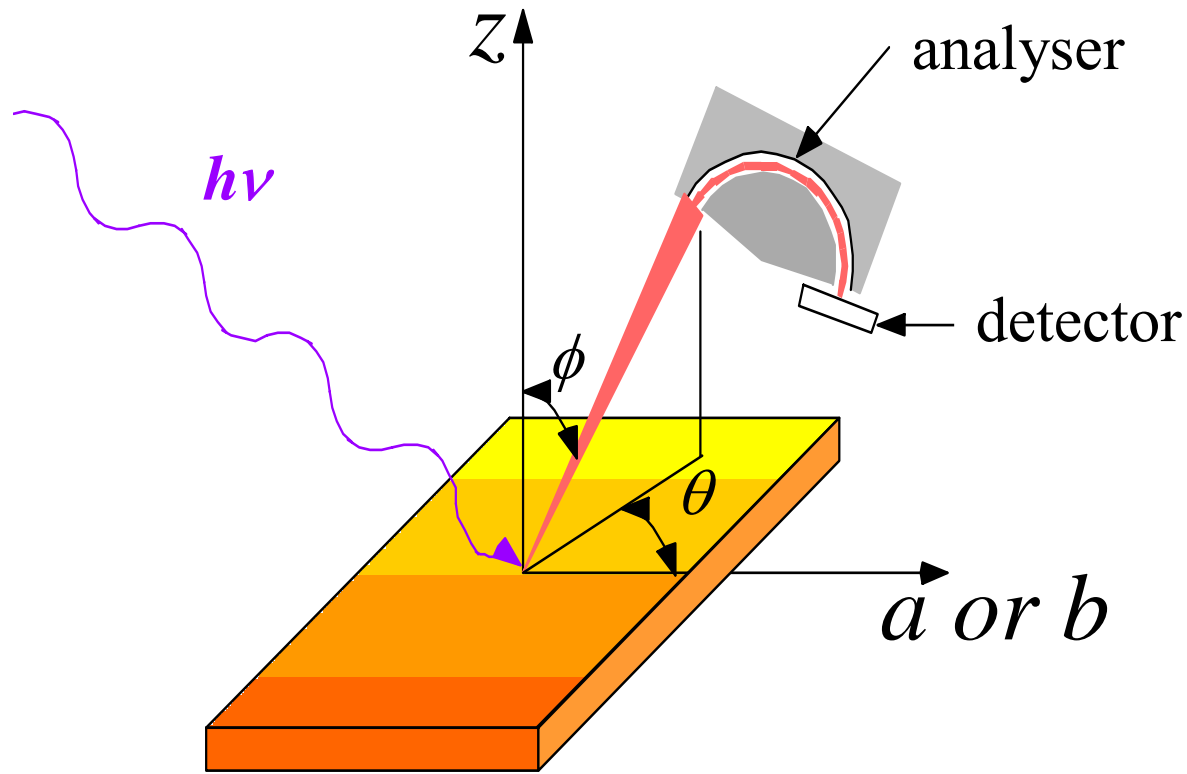


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$$\Delta(\mathbf{k}) = \Delta_0 [\cos k_y - \cos k_x]$$



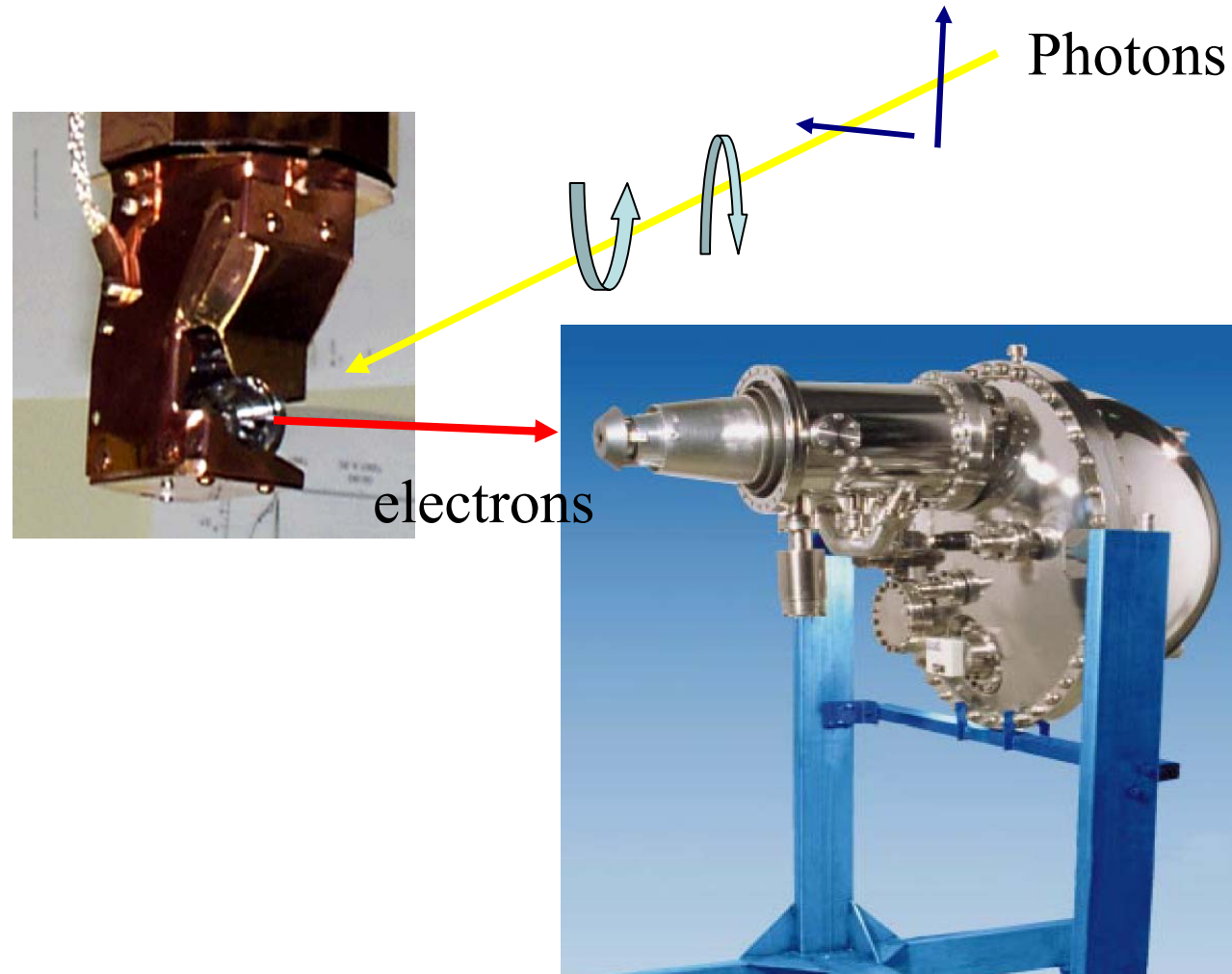
Angle Resolved Photoemission (ARPES)



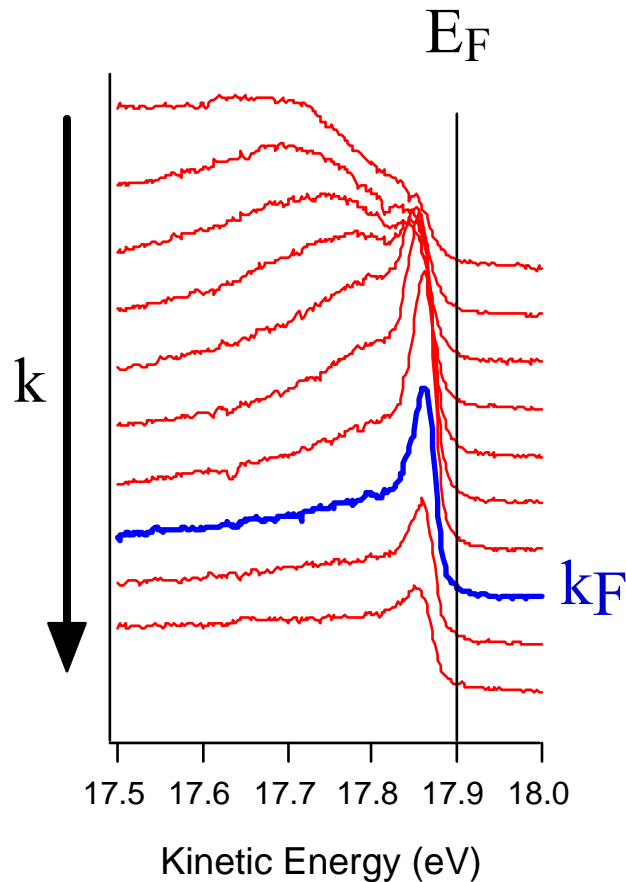
$$k_{\parallel}^f = k_{\parallel}^i = \sqrt{\frac{2mE}{\hbar^2}} \sin(\phi)$$



Surface-Interface-Spectroscopy beamline @ SLS-PSI



Spectral function



$$I(\mathbf{k}, \omega) = M(\mathbf{k}, \omega) f(\omega) A(\mathbf{k}, \omega)$$

$A(\mathbf{k}, \omega)$ = spectral function

$f(\omega)$ = Fermi function

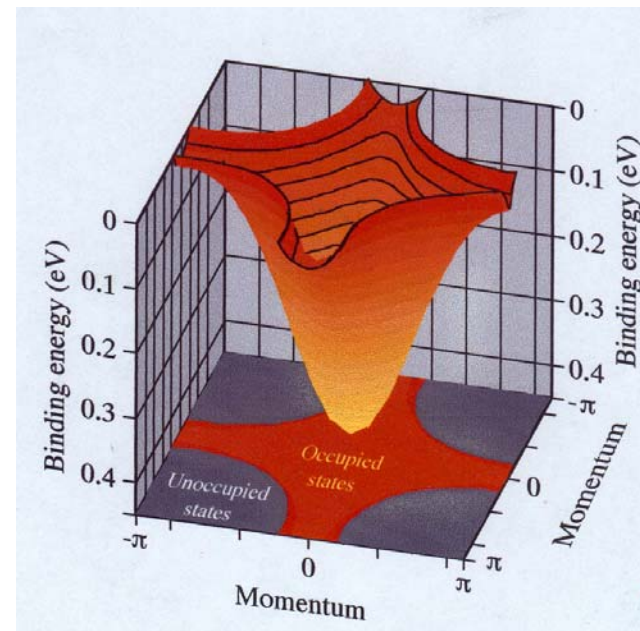
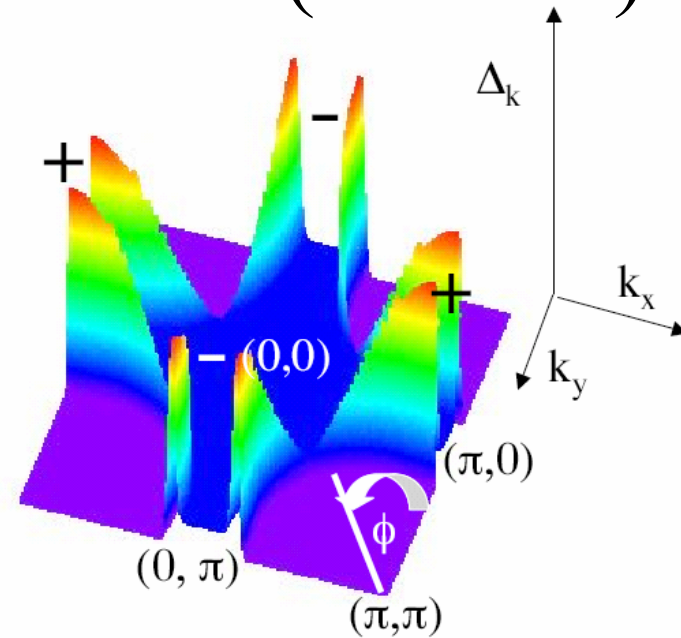
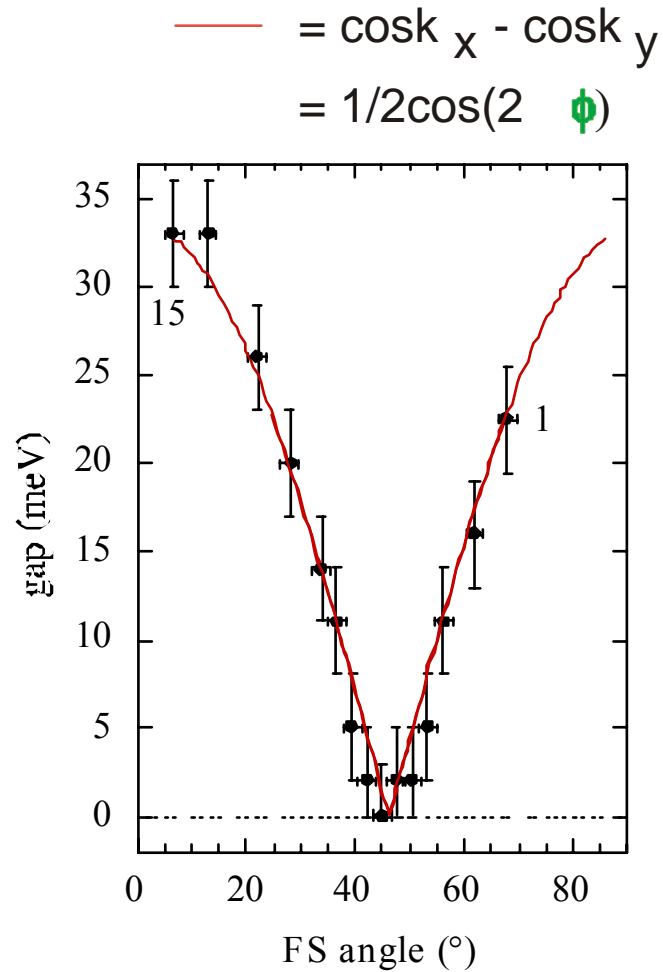
$M(\mathbf{k}, \omega)$ = matrix elements

Self-energy $\Sigma(\mathbf{k}, \omega)$

$$A(\mathbf{k}, \omega) = \frac{1}{\pi} \frac{|\Sigma''(\mathbf{k}, \omega)|}{[\omega - \epsilon_{\mathbf{k}} - \Sigma'(\mathbf{k}, \omega)]^2 + \underbrace{[\Sigma''(\mathbf{k}, \omega)]^2}_{\tau^{-1}(\mathbf{k}, \omega)}}$$



Angle Resolved Photoemission (ARPES)



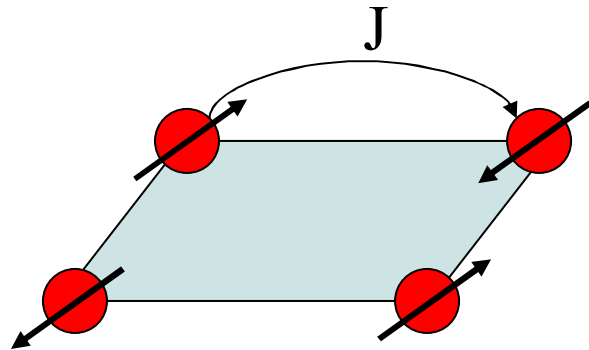
Can we demonstrate that in HTSC
the glue binding the electrons/holes
is of magnetic origin?



Magnetism: undoped HTSC

2D-square lattice ---> Heisenberg Hamiltonian

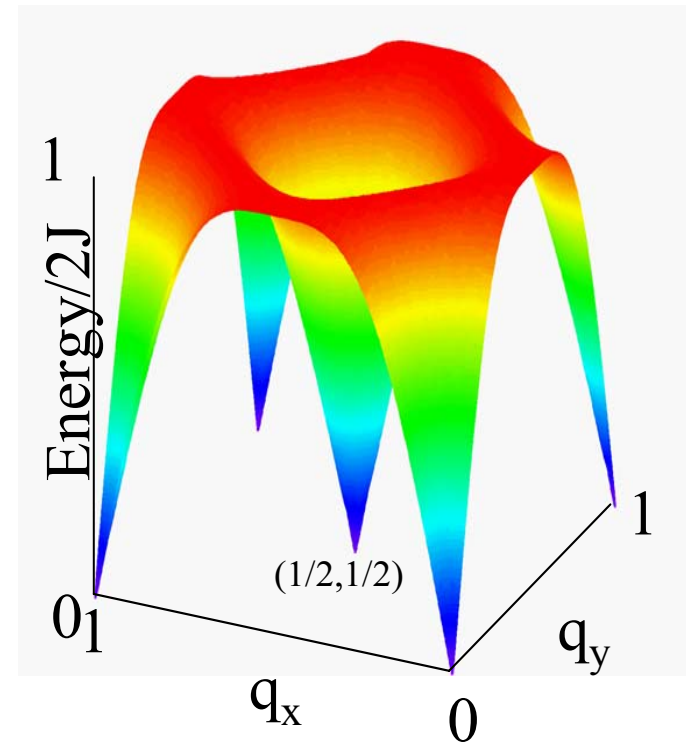
$$H = \sum_{\langle ij \rangle} J \mathbf{S}_i \cdot \mathbf{S}_j$$



$$\hbar\omega(\mathbf{q}) = 2J \left[1 - \gamma^2(\mathbf{q})/4 \right]^{1/2}$$

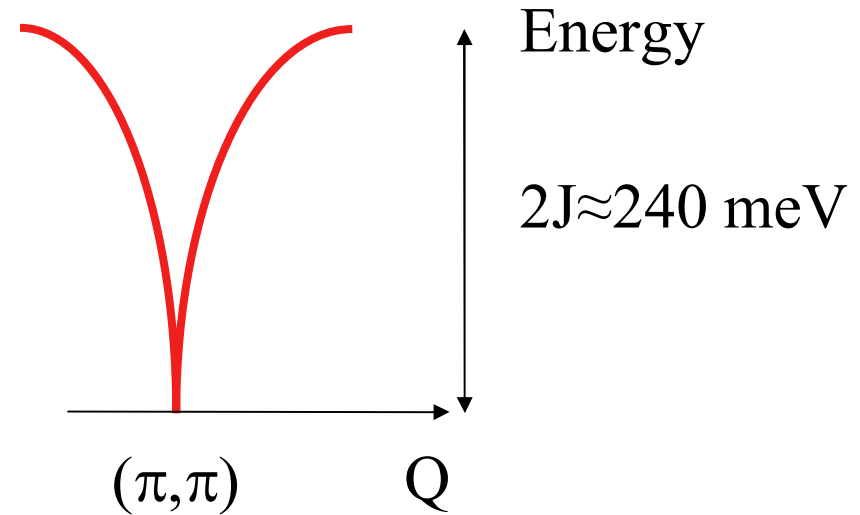
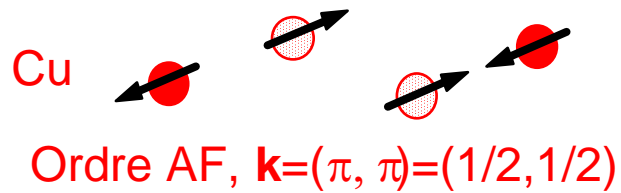
$$\gamma(\mathbf{q}) = \cos(q_x a) + \cos(q_y a)$$

Kittel, Quantum Theory of Solids (Wiley, NY, 1963)



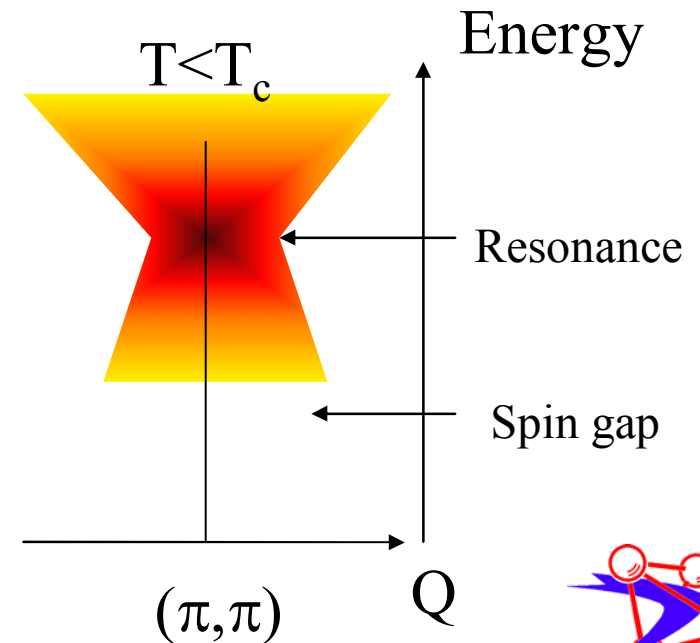
Doped Cuprates

Undoped



Doped

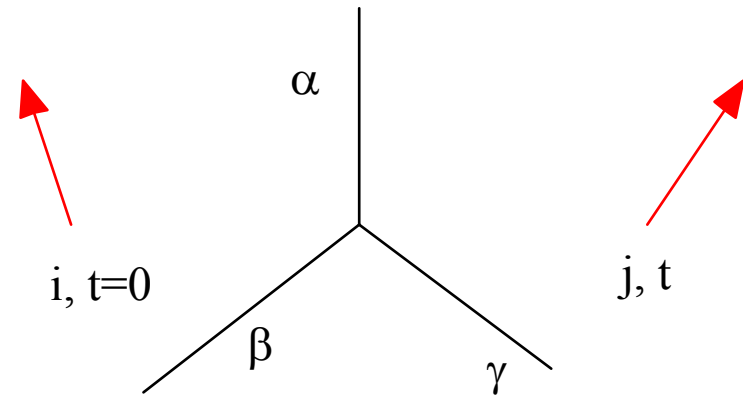
Fluctuations centered around (π, π)



Inelastic Neutron Scattering: Magnetic Cross-Section

$$I(\mathbf{Q}, \omega) = r_0^2 \frac{k_f}{k_i} f^2(\mathbf{Q}) e^{-2W(\mathbf{Q})} \sum_{\alpha\beta} \left(\delta_{\alpha\beta} - \frac{Q_\alpha Q_\beta}{Q^2} \right) \underline{S_{\alpha\beta}(\mathbf{Q}, \omega)}$$

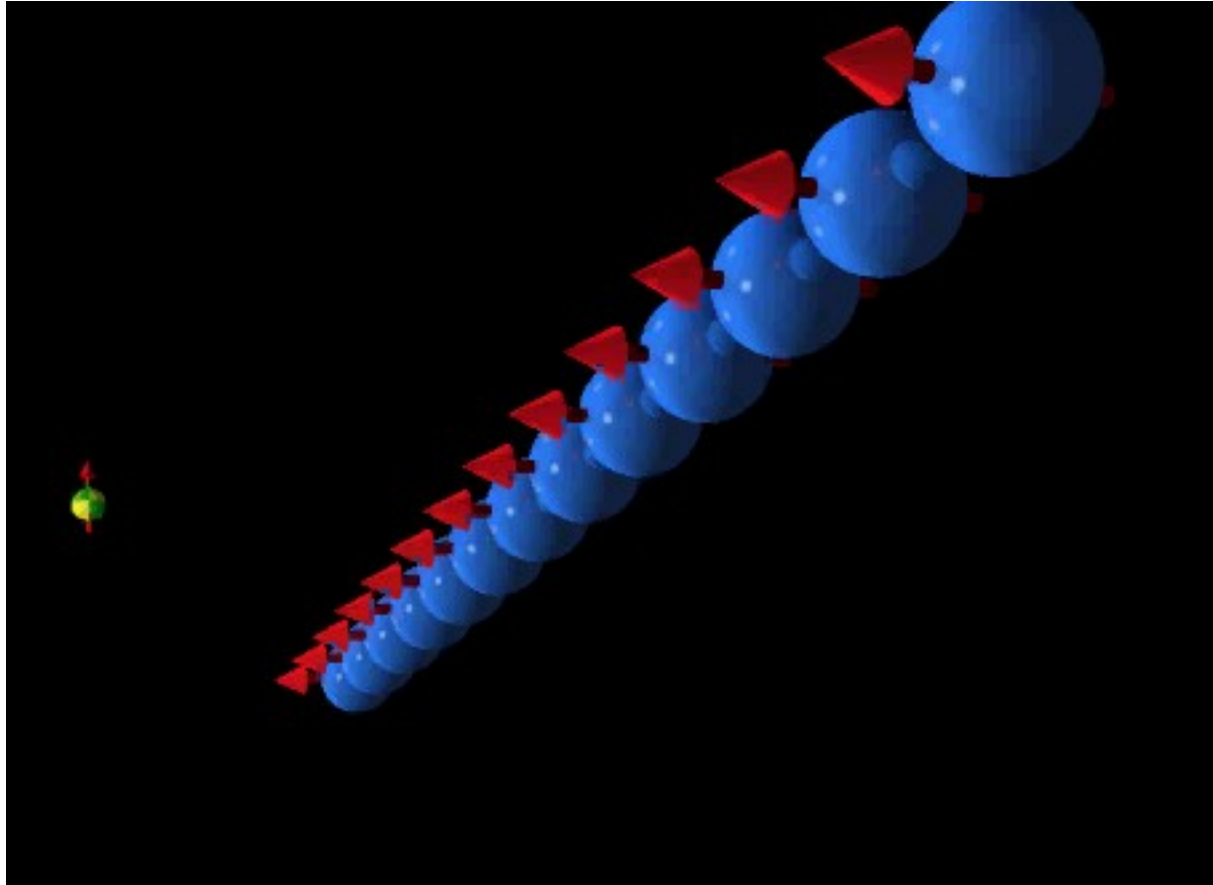
$$\underline{S_{\alpha\beta}(\mathbf{Q}, \omega)} \propto \sum_{i,j} \int dt e^{i(\mathbf{Q}\mathbf{R}_{ij} - \omega t)} \langle S_i^\alpha S_j^\beta(t) \rangle$$



Fluctuation-dissipation theorem

$$S_{\alpha\beta}(\mathbf{Q}, \omega) = \frac{1 + n(\omega)}{\pi(\gamma\mu_B)^2} \chi''_{\alpha\beta}(\mathbf{Q}, \omega)$$



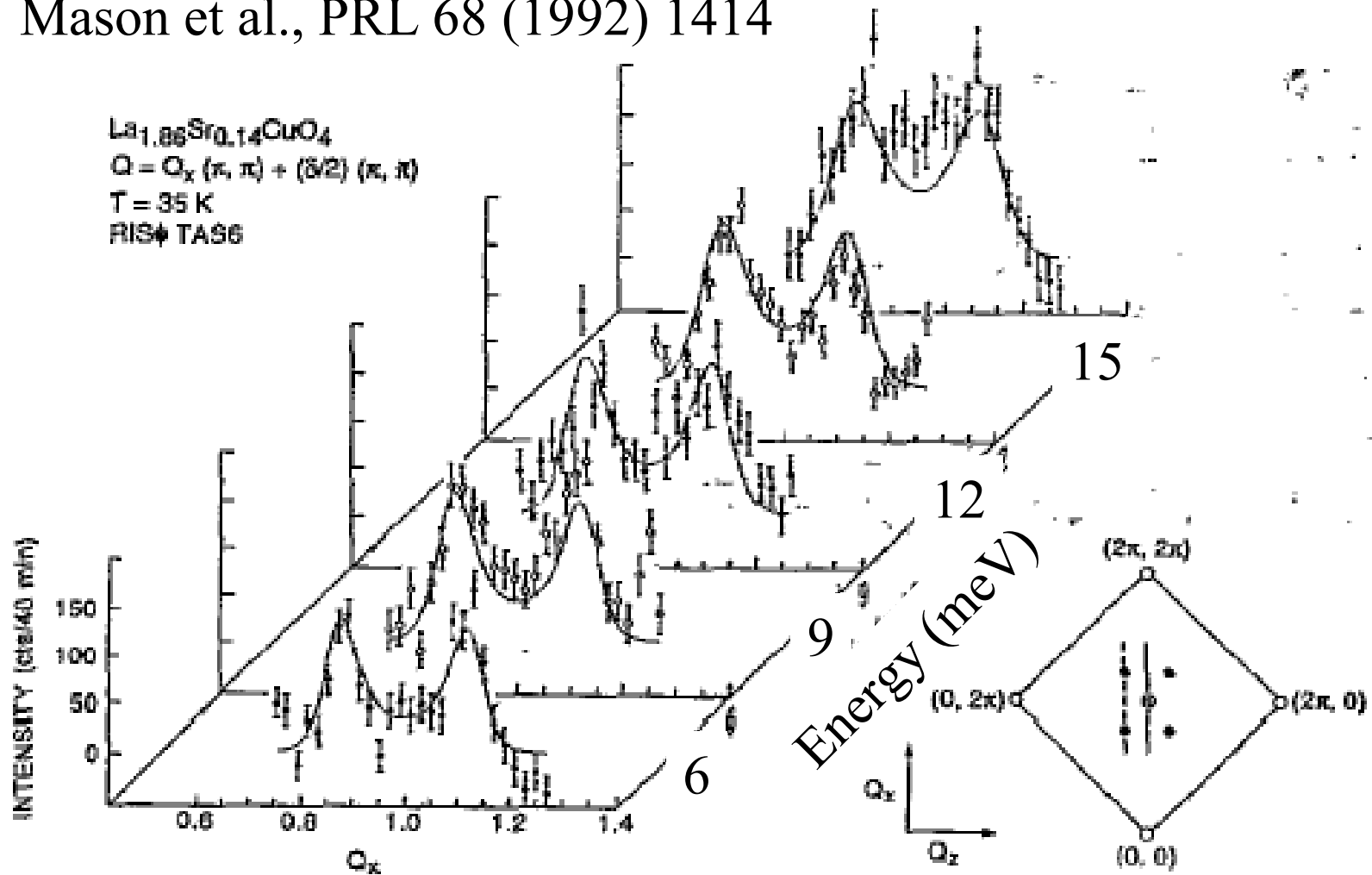


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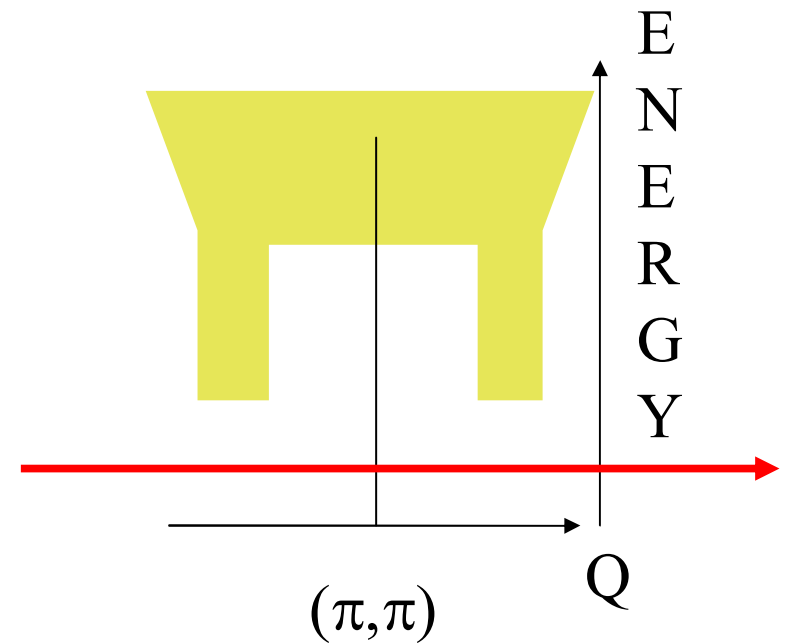
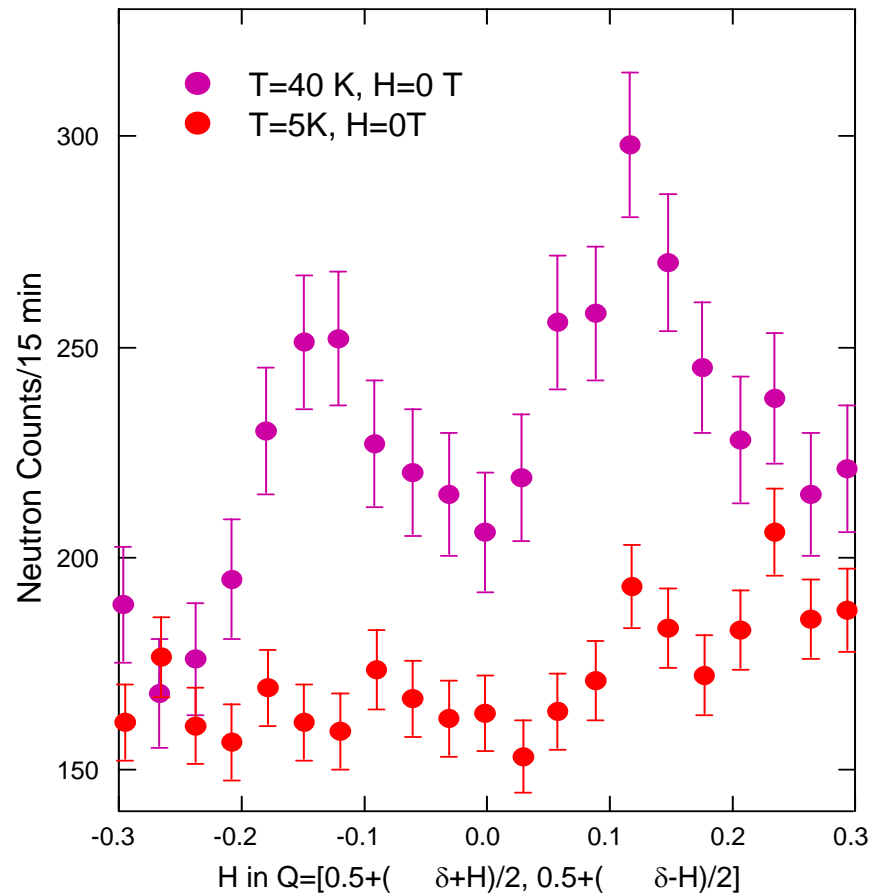
La_{1.86}Sr_{0.14}CuO₄ (normal state)

Mason et al., PRL 68 (1992) 1414



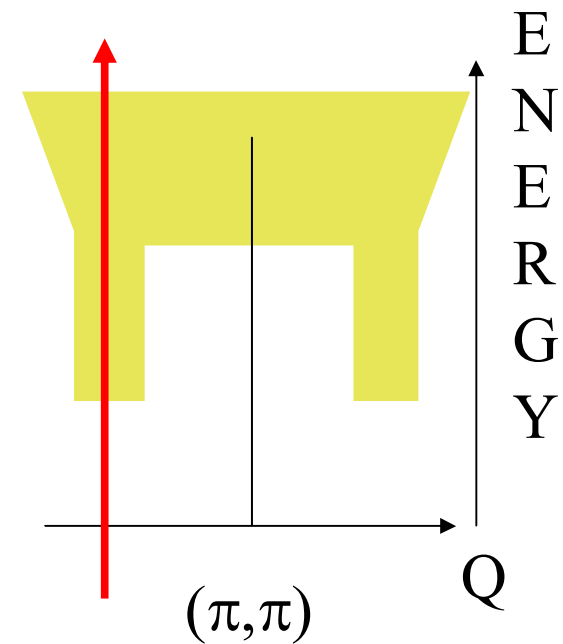
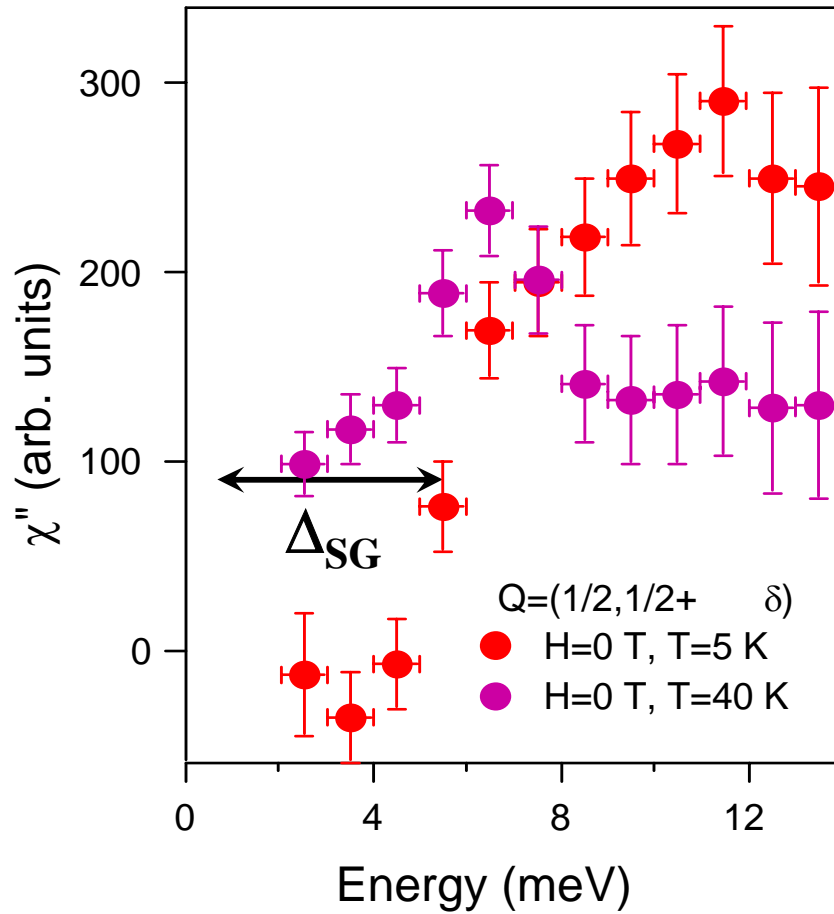
Overdoped LSCO ($x=0.17$, $T_c=37$ K)

Q-scans, $\Delta E=4$ meV



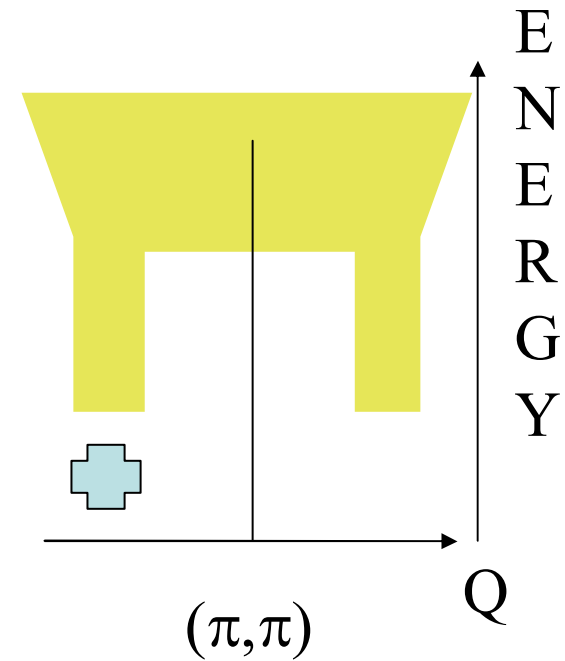
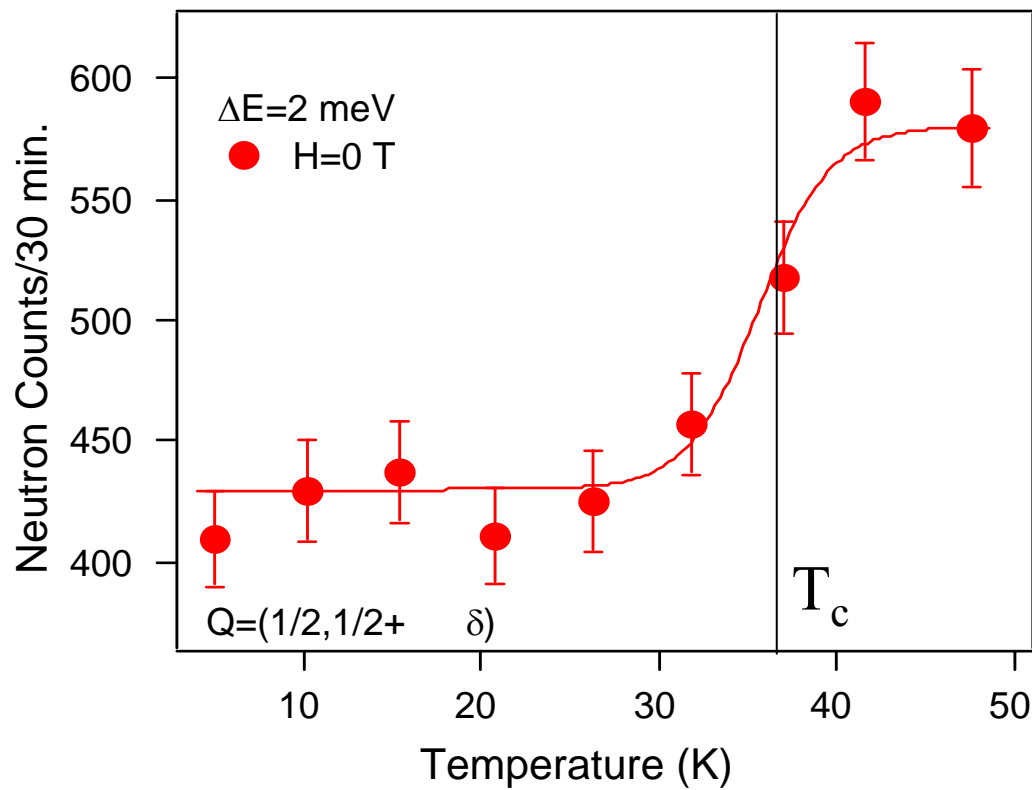
Overdoped LSCO ($x=0.17$, $T_c=37$ K)

E-scans, $Q=(1/2, 1/2+\delta)$

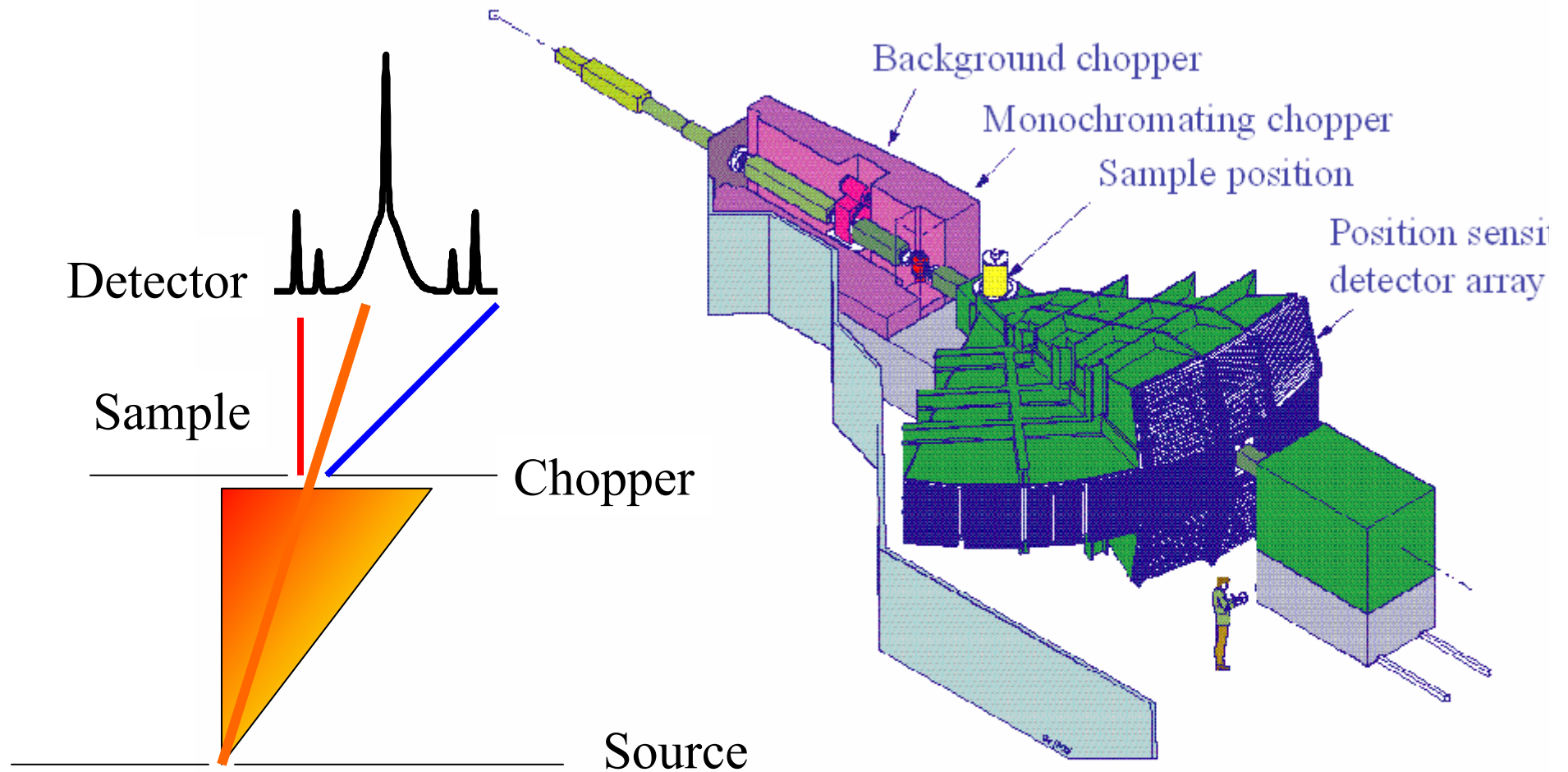


Spin gap: temperature scans at $\Delta E=2$ meV

Overdoped ($x=0.17$, $T_c=37$ K)



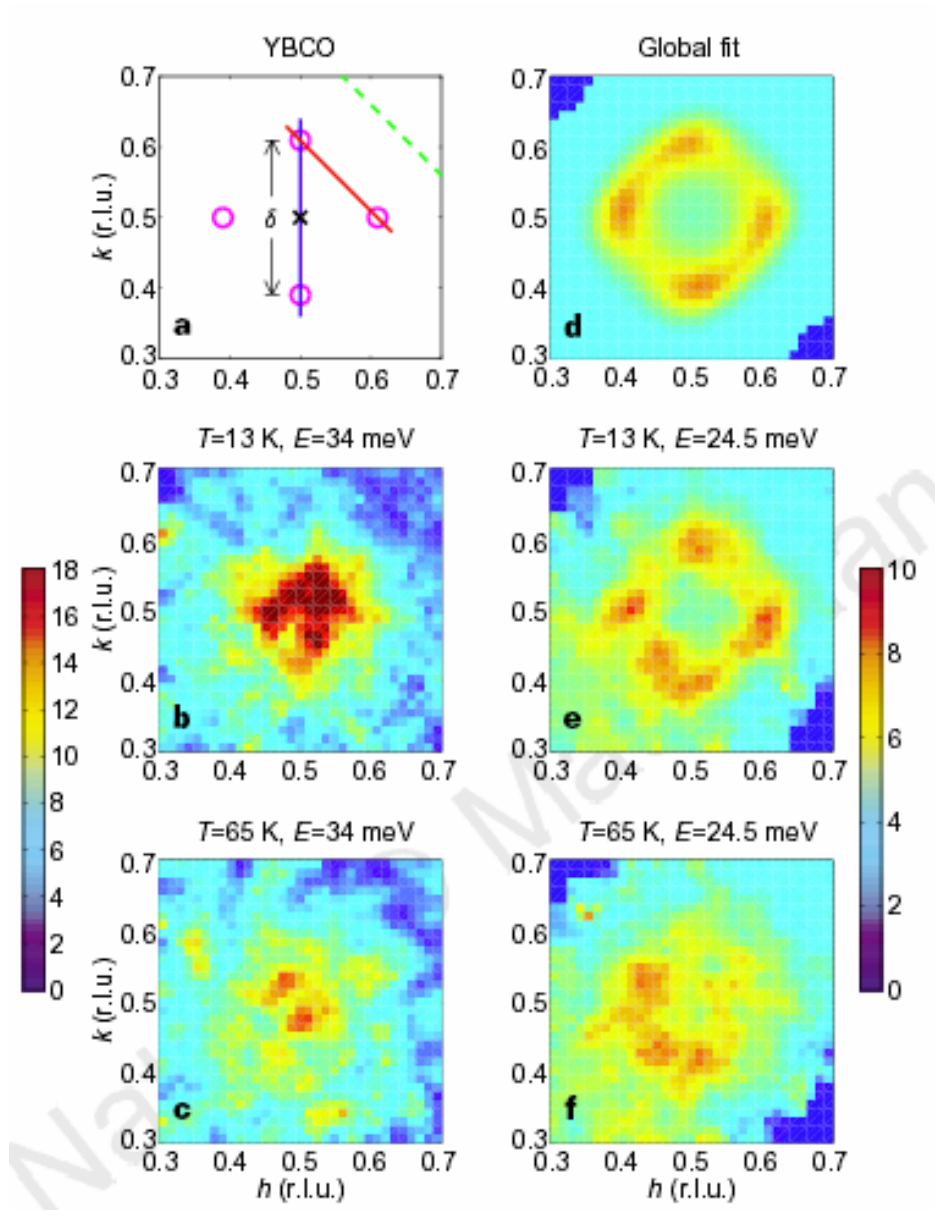
MAPS @ ISIS -RAL



J. M

Y123 $x=6.6$ / TOF

Mook *et al.*,
Nature **395** (1998) 580

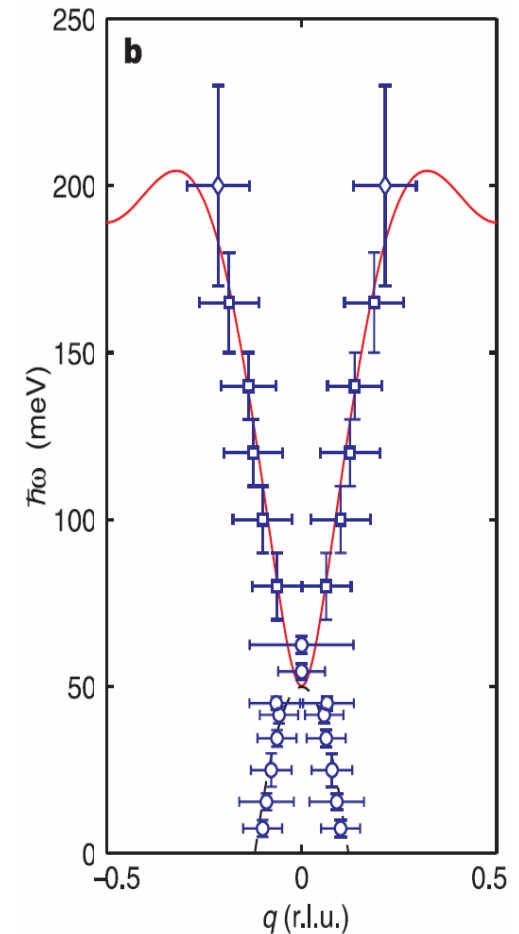
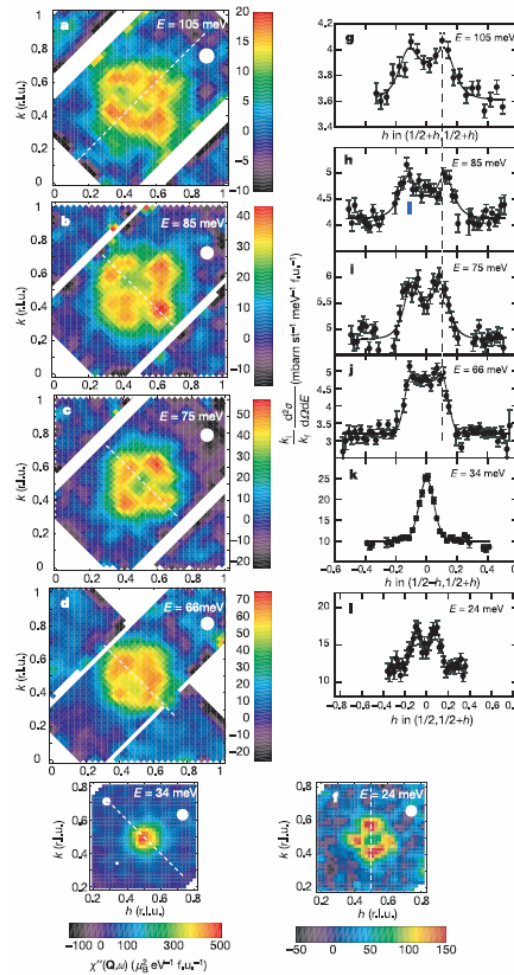
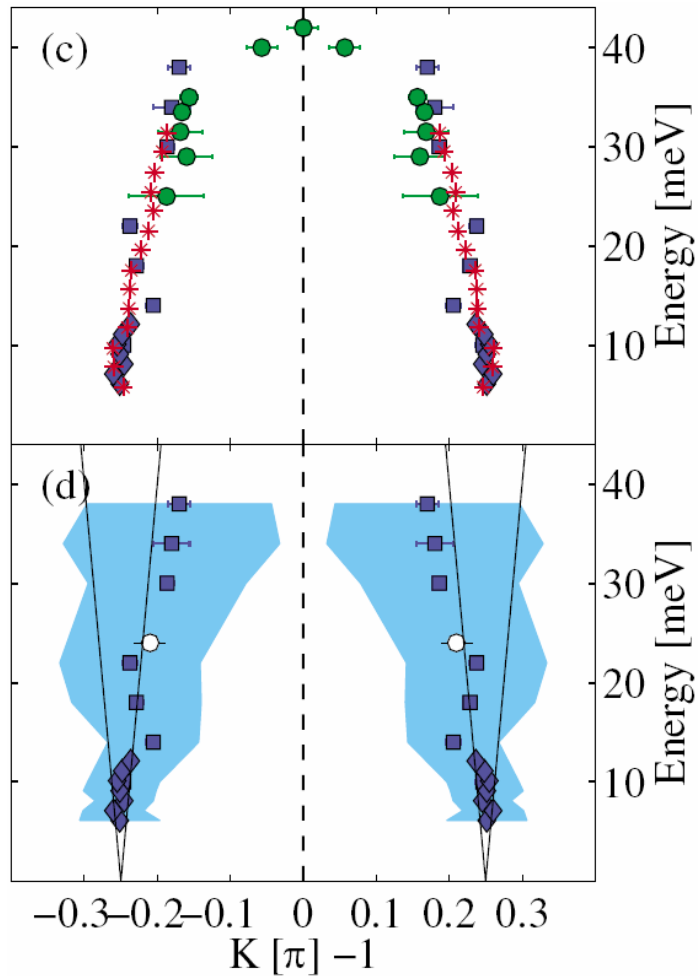


High-Energy (2004)

Opt LSCO /YBCO

Underdoped YBCO

non-SC LBCO



Christensen, *et al.* Phys. Rev. Lett.

Hayden *et al.* Nature

Tranquada *et al.* Nature

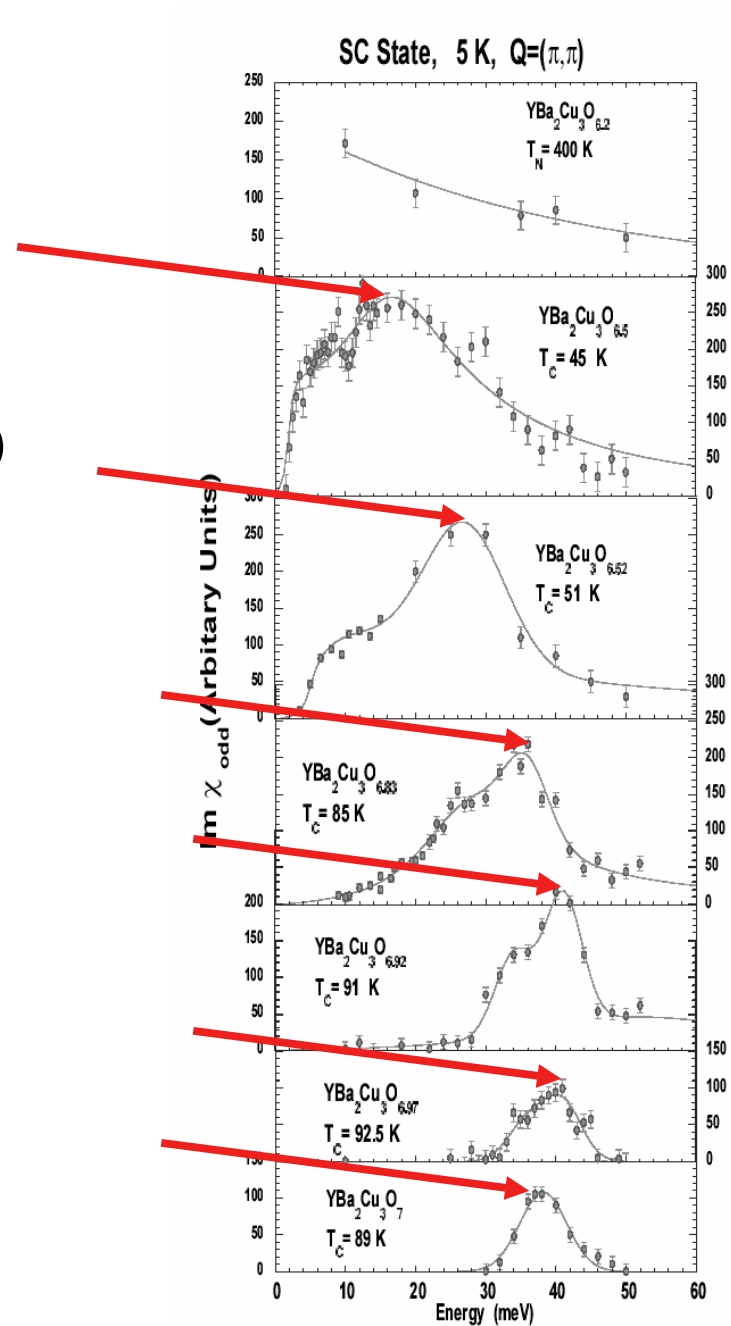


J. Mesot, 07



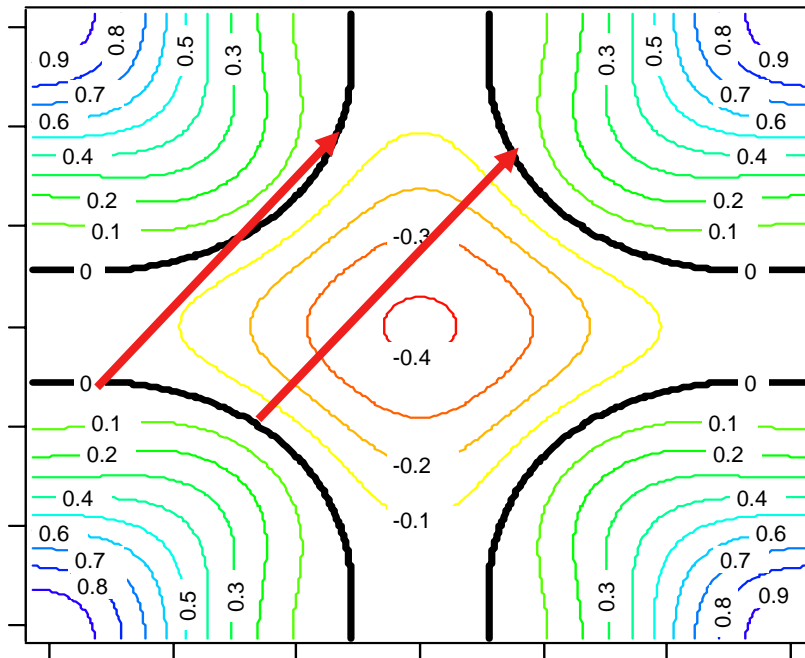
Resonance: doping dependence

Ph. Bourges cond/mat (9901333)

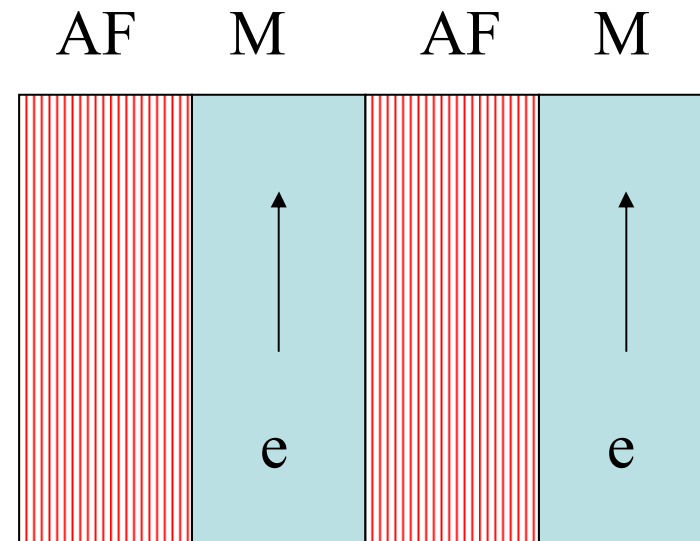


What is the nature of the spin excitations?

Fermi nesting



Stripes



Fermi Nesting Scenario

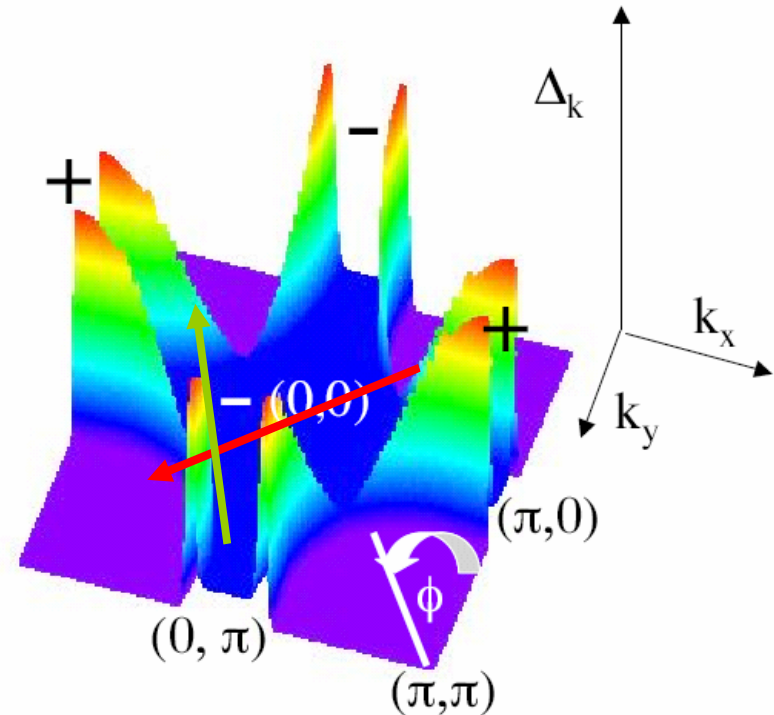
$$\chi_0(q, \omega) = \sum_k \left(1 - \underbrace{\frac{\varepsilon_k \varepsilon_{k+q} + \Delta_k \Delta_{k+q}}{E_k E_{k+q}}}_{\text{nesting factor}} \right) \left(\frac{f(E_{k+q}) + f(E_k) - 1}{\omega - (E_{k+q} + E_k) + i\delta} \right)$$

$$E_k = \sqrt{\varepsilon_k^2 + \Delta_k^2}$$

$$\varepsilon_k = 0 \rightarrow 1 - \text{sign}(\Delta_k) \text{sign}(\Delta_{k+q})$$

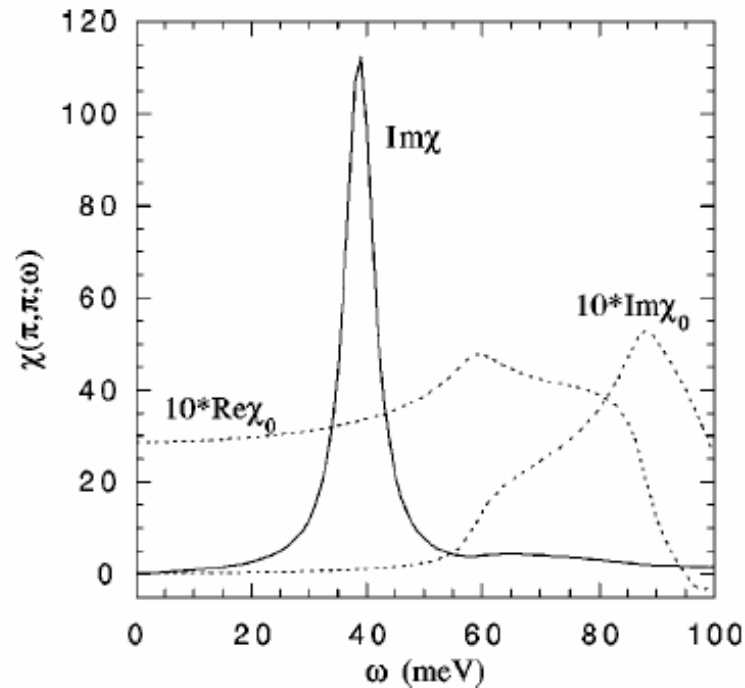
$q = (\pi, \pi) \rightarrow$	0 if Δ_k even (s)
	2 if Δ_k odd (d)

$$q = (\pi, \pi) \rightarrow q = (\pi + \delta, \pi)$$



Renormalized Susceptibility

M. Lavagna PRB **49** (94) 4235, D. Z. Liu, PRL **75** (95) 4130, N. Bulut, PRB **53** (96) 5149,
J. Brinckmann, PRL **82** (99) 2915, Norman, PRB **61** (00) 14751



Norman, PRB **61** (00) 14751

+interactions (RPA)

$$\chi(q, \omega) = \frac{\chi_0(q, \omega)}{1 - J(q) \chi_0(q, \omega)}$$

$$J(q) = J(\cos(q_x a) + \cos(q_y a)) / 2$$



Fermi nesting approach:

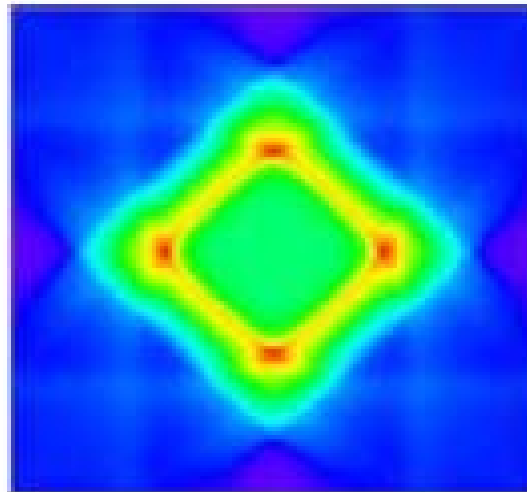
YBCO

Norman, Pépin

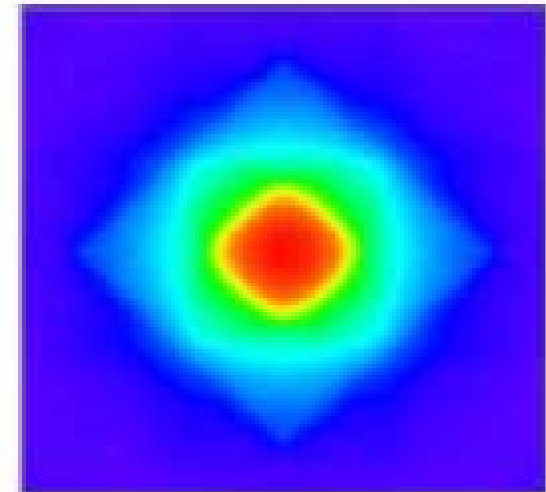
Cond-mat/0302347

Schneider et al.

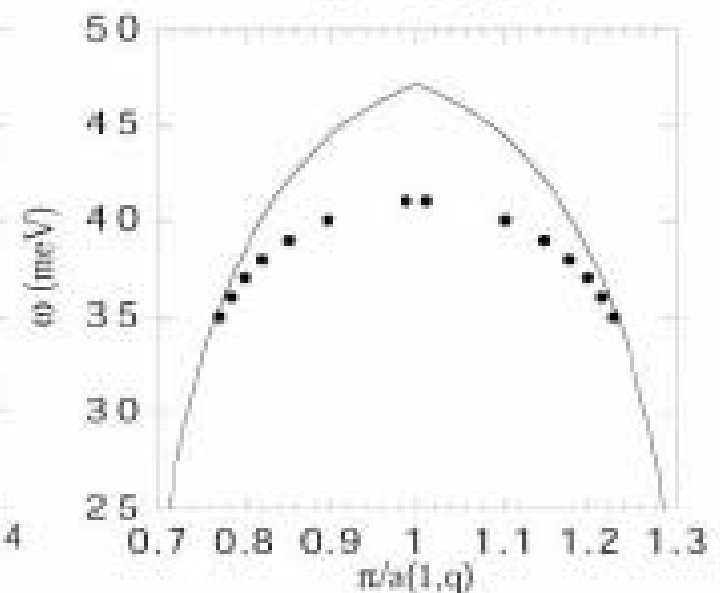
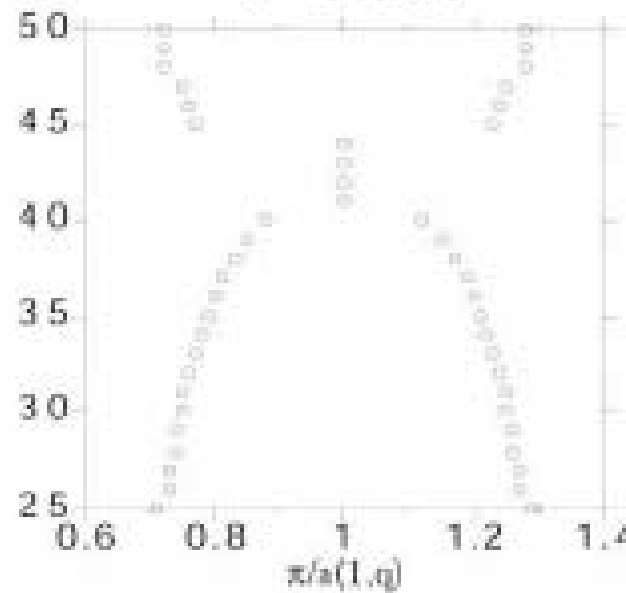
Phys. Rev. B. 2004



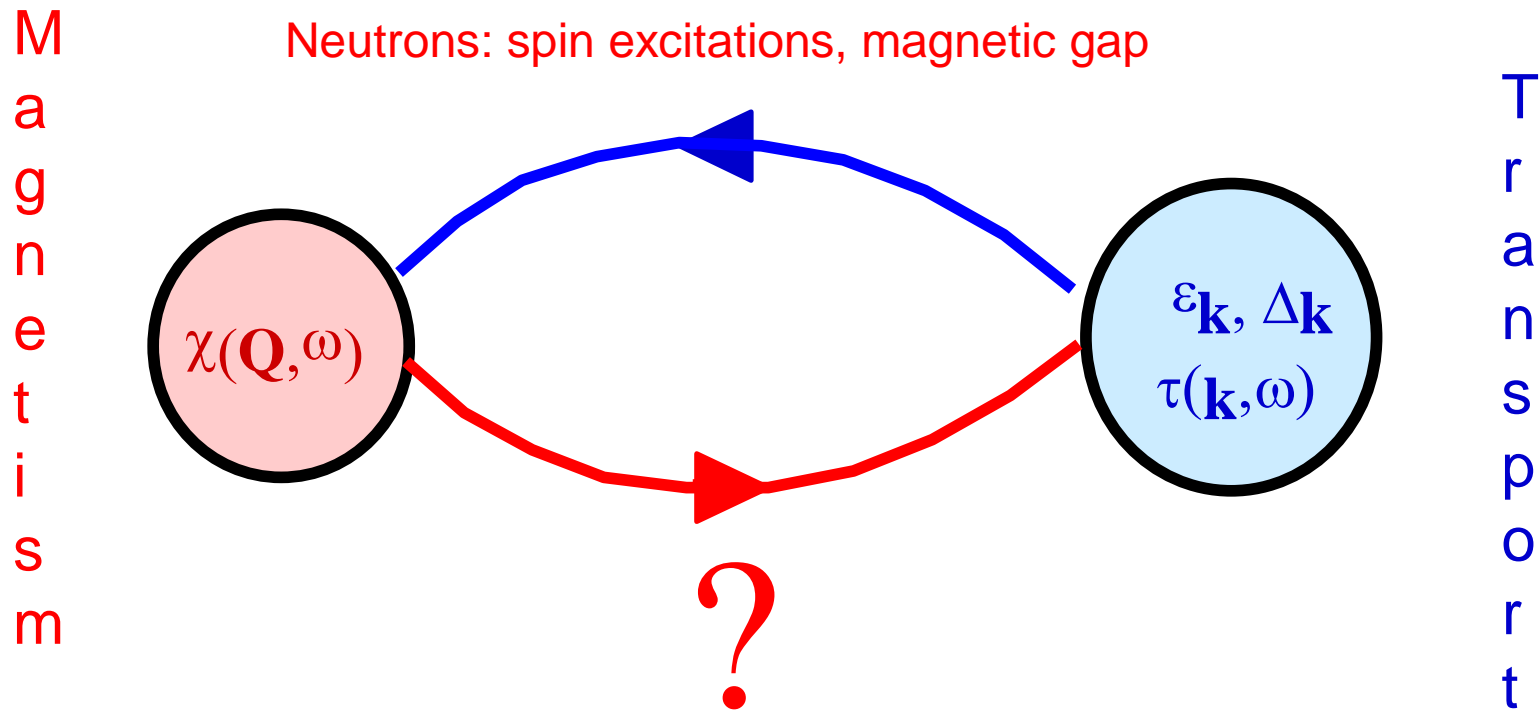
$\omega = 35$ meV



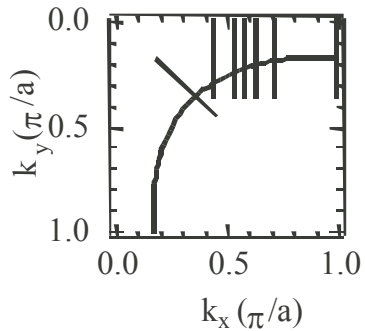
$\omega = 41$ meV



Is magnetism relevant for HTSC?

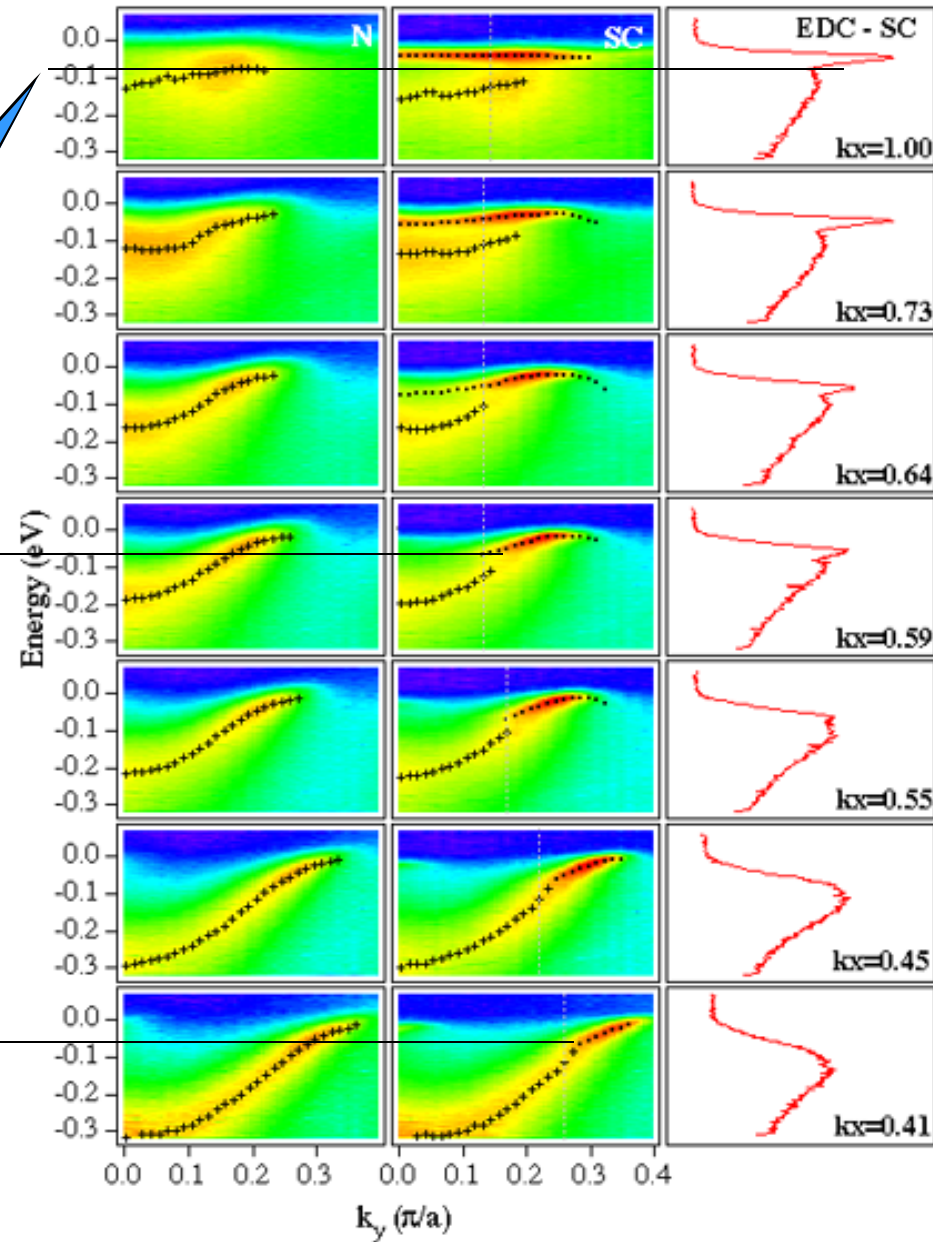


Electronic renormalization (ARPES)?



The effect is present
at all k-points

Bi2212 Opt



Origin of the electronic renormalization?

Interaction of the electrons with a collective mode of energy $\Omega_0 < 2 \Delta$

- Norman *et al.* PRL 79 (1997) 3506
- Abanov *et al.* PRL 83 (1999) 1652
- Dahm *et al.* PRB 58 (1999) 12454

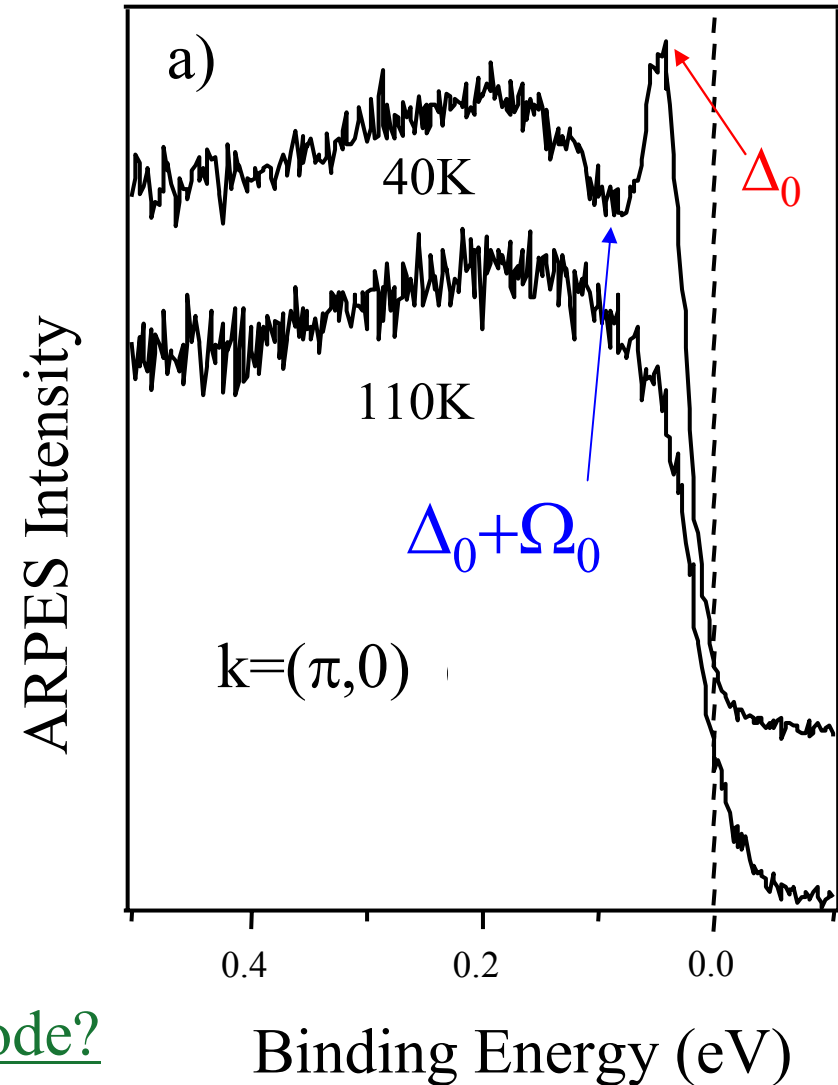
$$A(\mathbf{k}, \omega) = \frac{\text{Im} \Sigma(\mathbf{k}, \omega)}{|\omega - E_{\mathbf{k}} - \text{Re} \Sigma(\mathbf{k}, \omega)|^2 + |\text{Im} \Sigma(\mathbf{k}, \omega)|^2}$$

$$(\text{at } E_F) \rightarrow \frac{1}{|\text{Im} \Sigma(\mathbf{k}, \omega)|} \approx \tau (\text{life time})$$

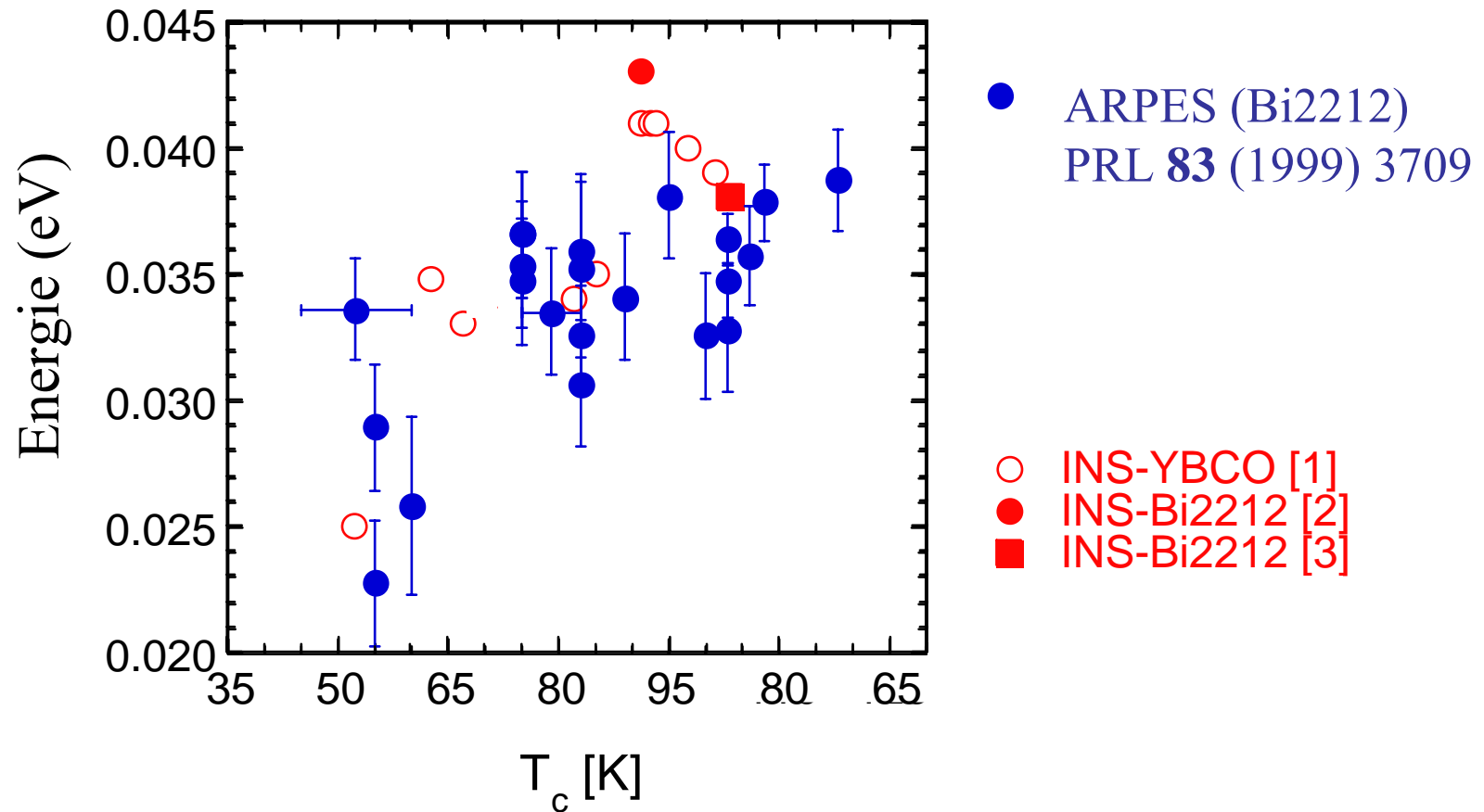
What is the nature of the collective mode?



J. Mesot, 07



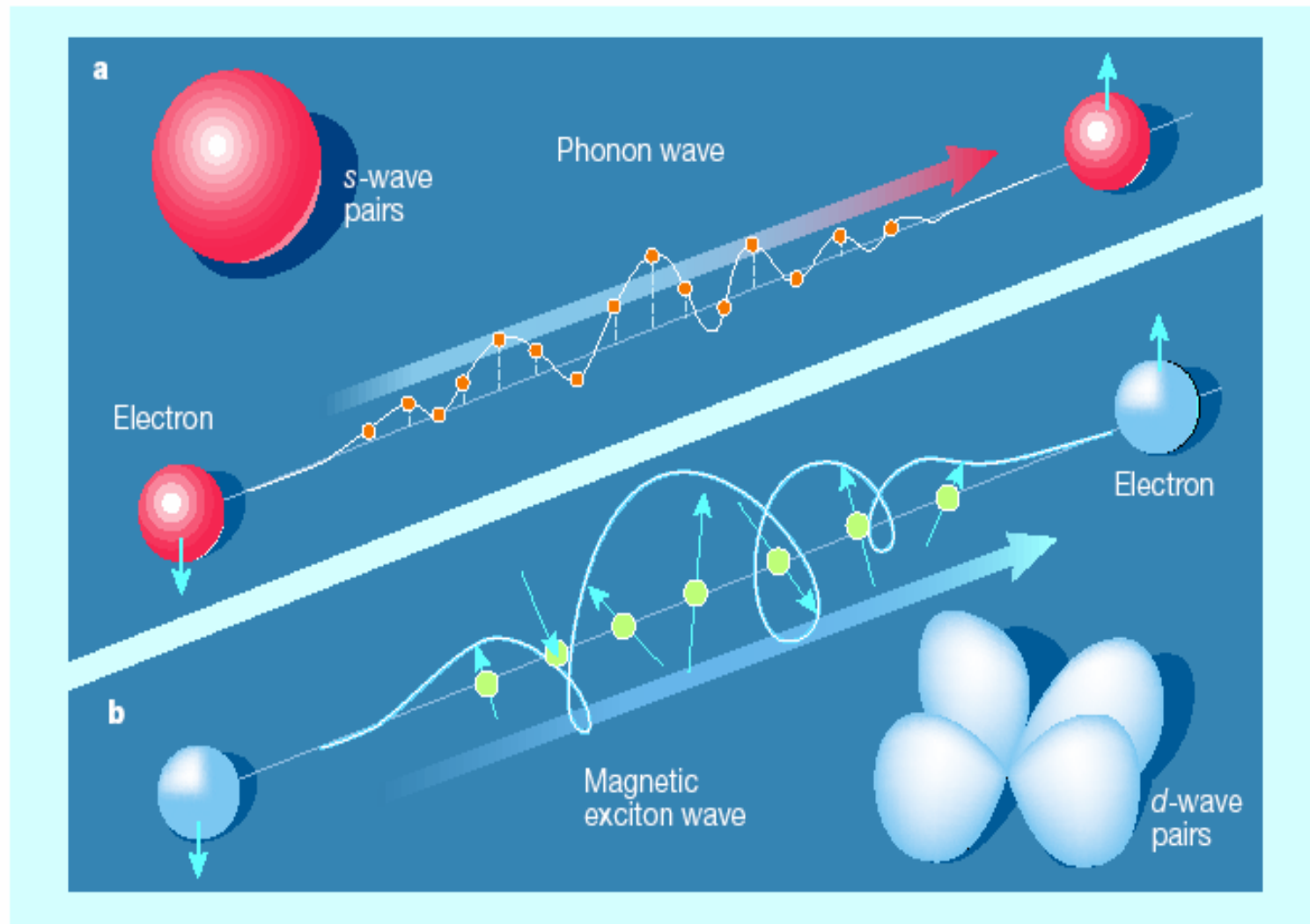
Collective mode = resonance ?



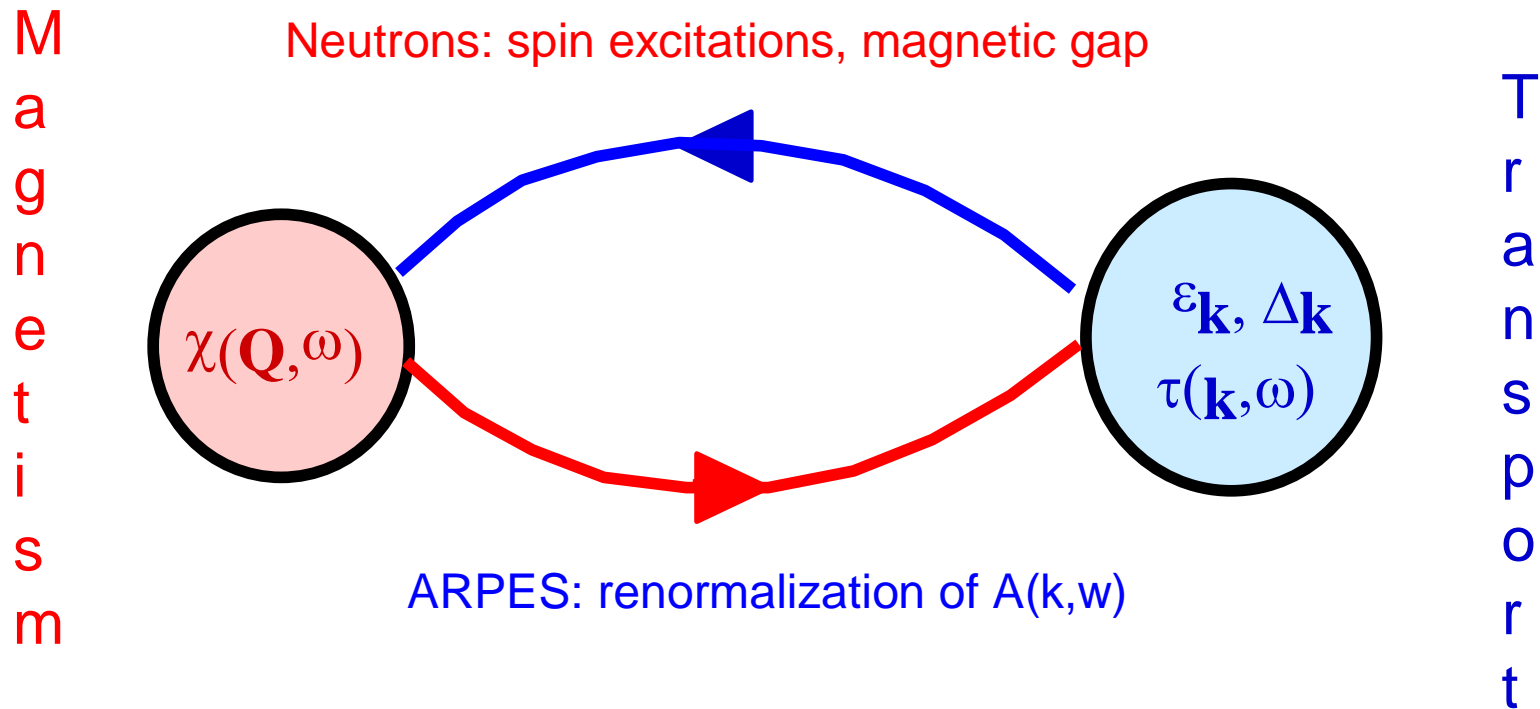
- [1] Ph. Bourges, cond-mat/9901333
- [2] H. Fong, Nature **398** (99) 588
- [3] H. He, cond-mat/0002013



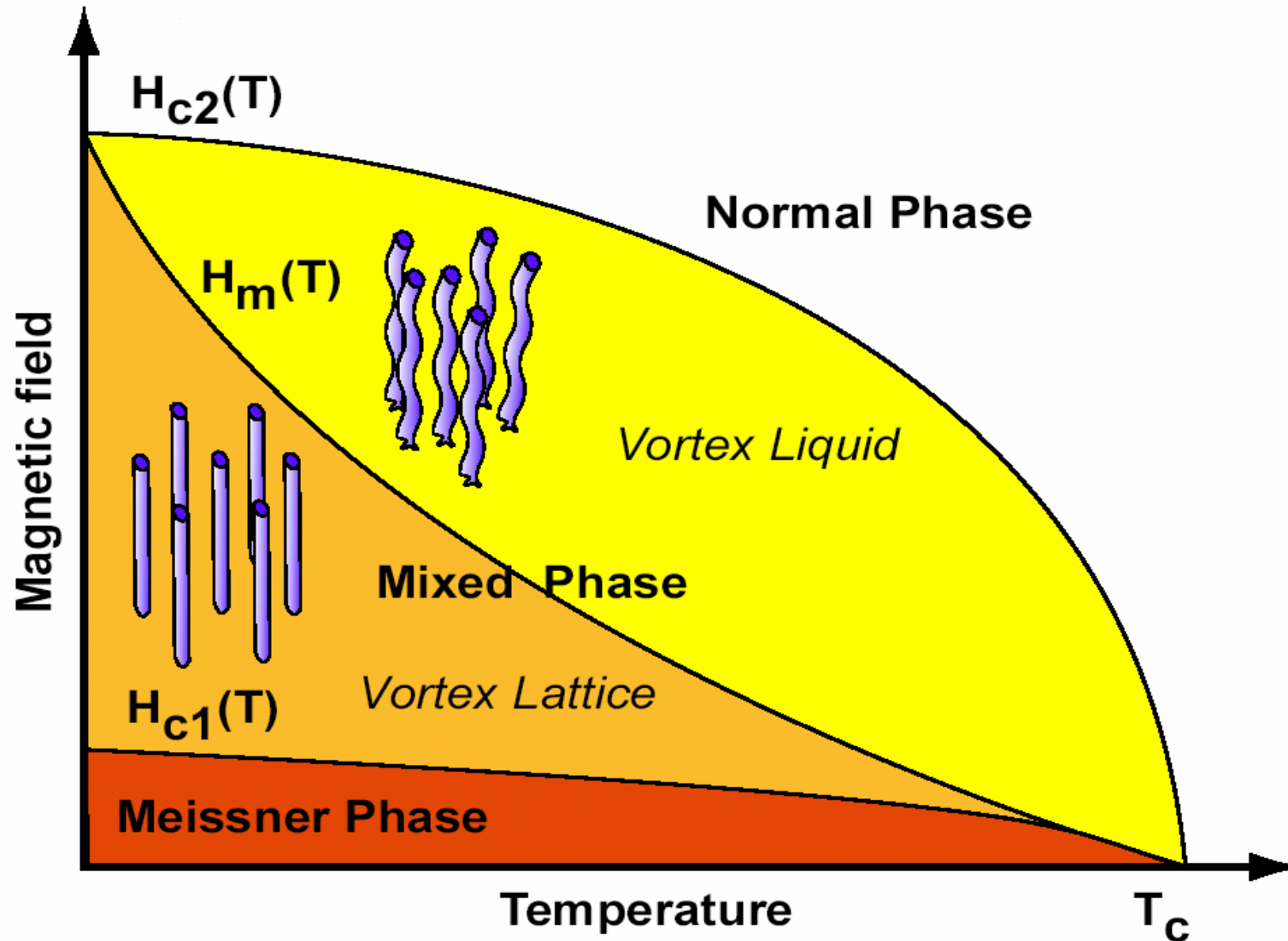
Low- vs high- T_c Superconductors



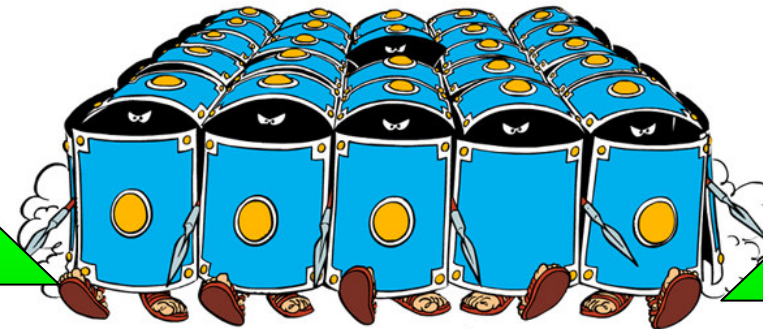
A complex and fascinating problem!



Magnetic Phase Diagram



Meissner effect (magnetic)



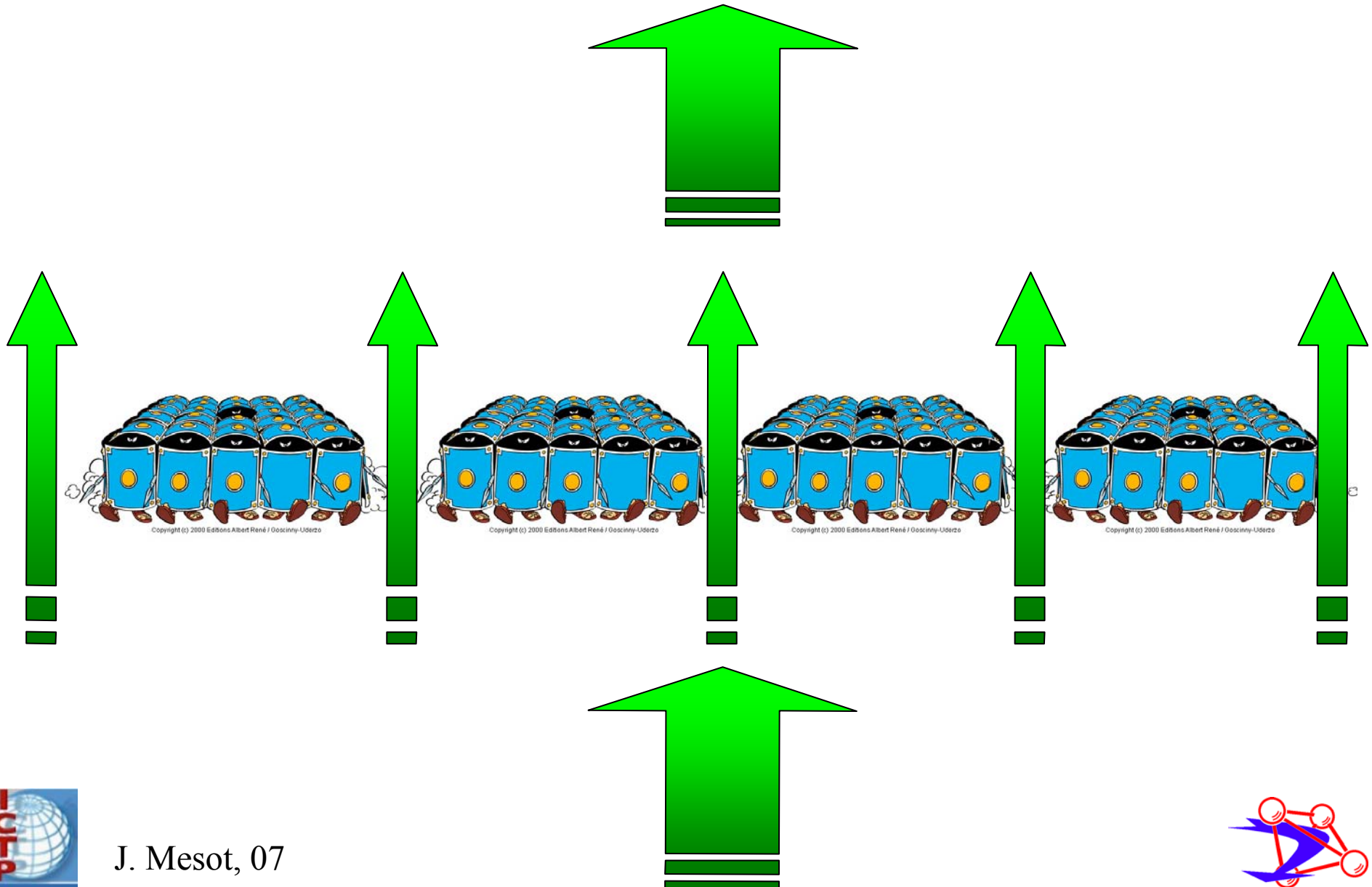
Copyright (c) 2000 Editions Albert René / Goscinny-Uderzo



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Flux lines (magnetic)



Abrikosov Lattice



Small Angle Neutron Scattering (SANS)

Diffraction of neutrons from flux lines ----> Bragg law: $\lambda=2d \sin(\Theta)$

$$d = \alpha \sqrt{\frac{\Phi_0}{B}}$$

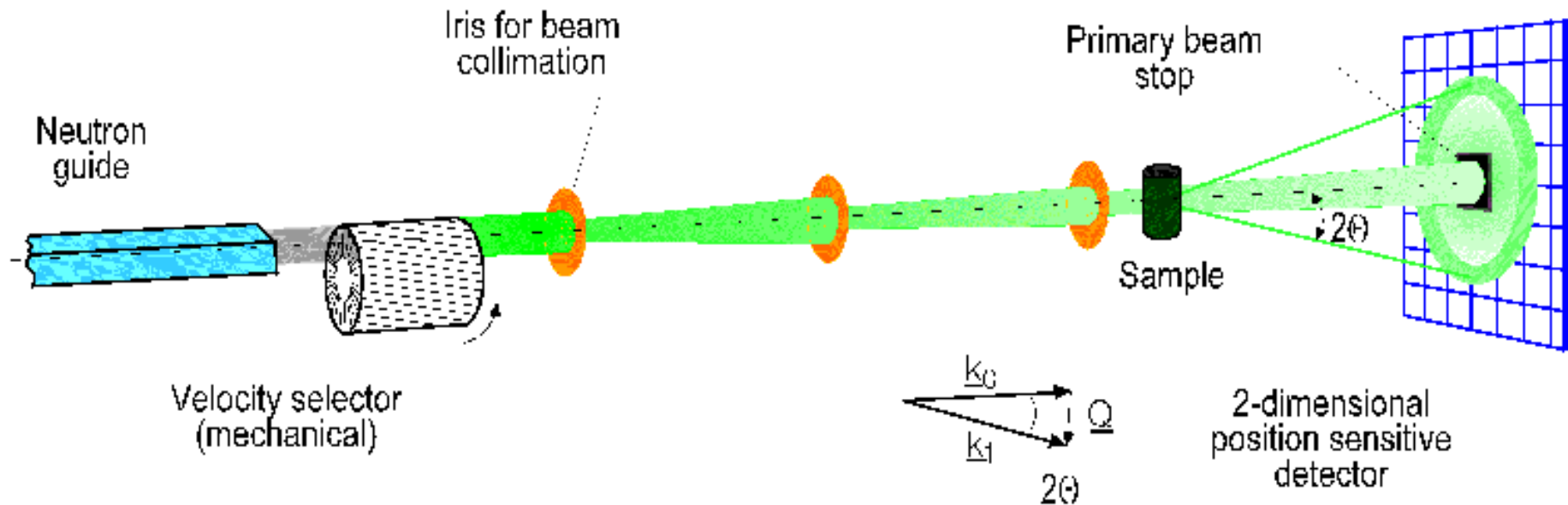
square: $\alpha=1.000$

hexagonal: $\alpha=1.075$

$B=1$ Tesla ----> $d=455 \text{ \AA}$

$\lambda=10 \text{ \AA}$ ----> $\Theta=0.63$ degrees

SMALL ANGLES!!!



11-Tesla Magnet

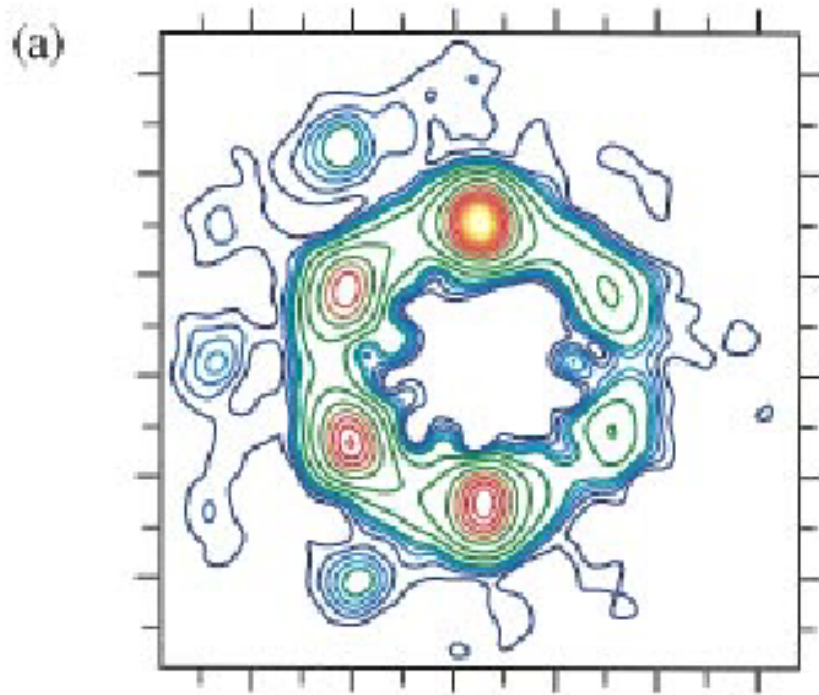


J. Mesot, 07



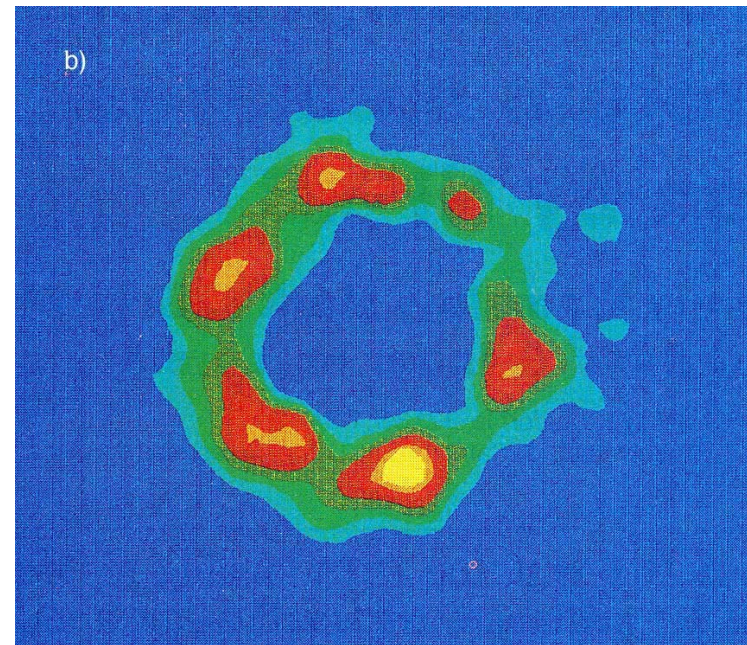
HTSC low fields

$\text{YBa}_2\text{Cu}_3\text{O}_7$ ($B=0.2$ T)



Johnson *et al.* PRL **82** (1999) 2792

$\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$ ($B=0.05$ T)

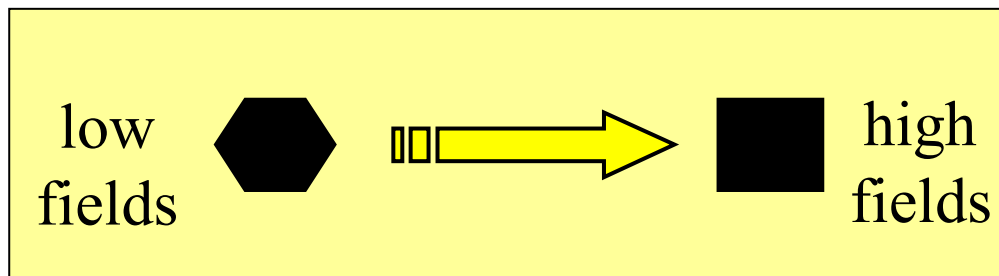
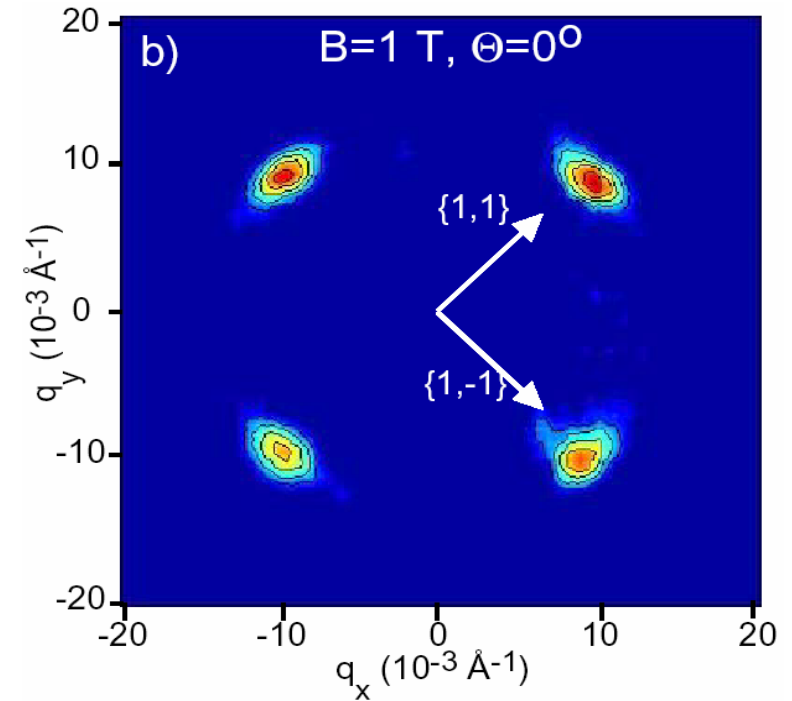
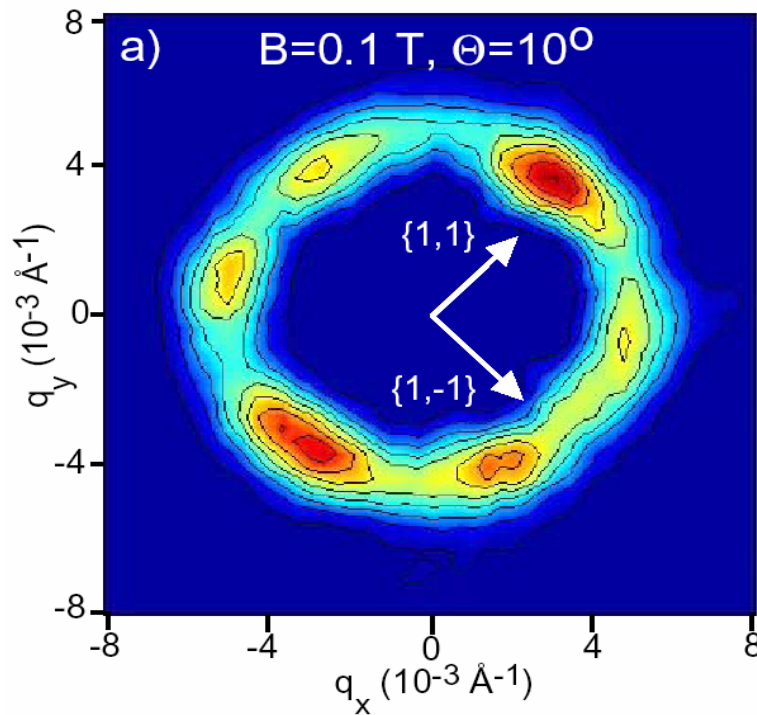


Cubitt *et al.*, Nature **365** (1993) 407



$\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ ($x=0.17$)

$\{1,1\}=(\text{Cu-O-Cu})$

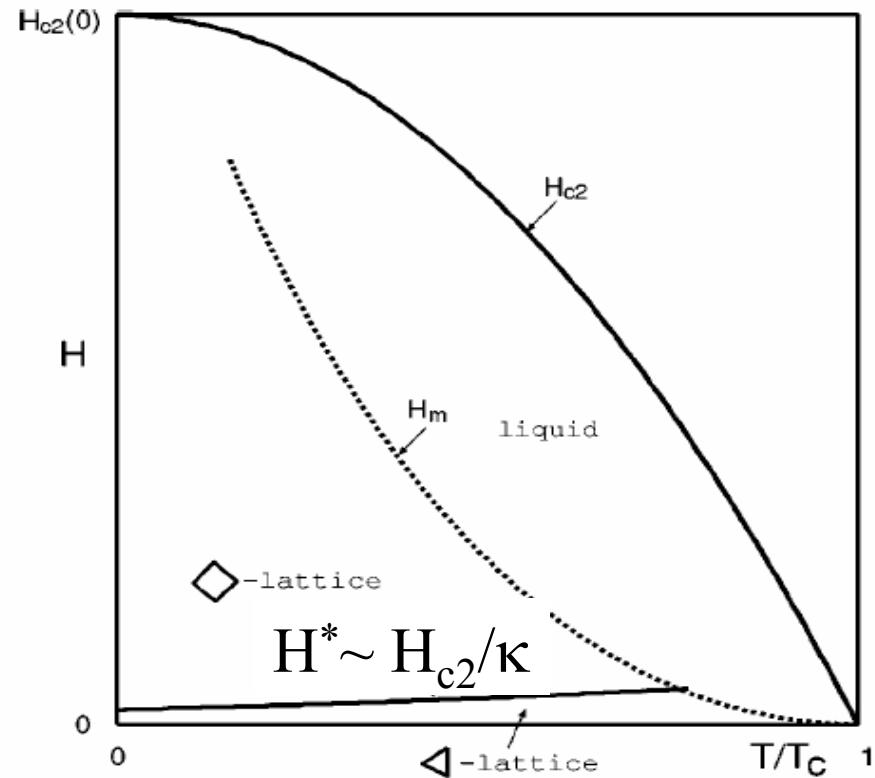
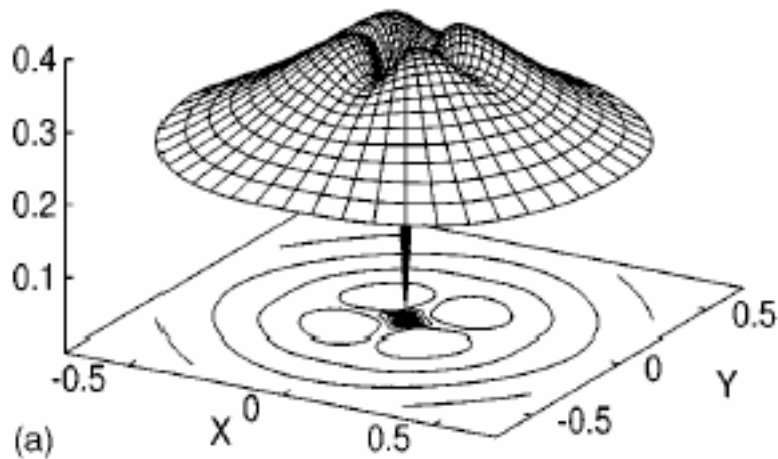


R. Gilardi *et al.*,
PRL **88** (2002) 217003



Vortex structure in d-wave superconductors

M. Ichioka et al., Phys. Rev. B **53** (1996) 15316

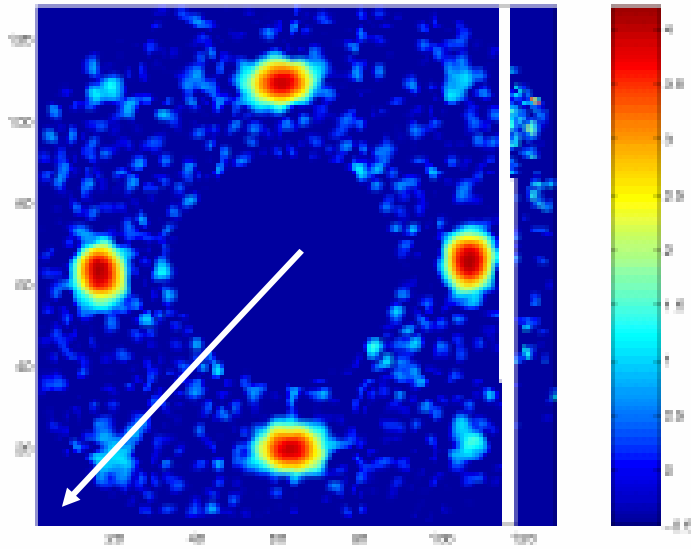


J. Shiraishi et al., PRB 59 (1999) 4497



Crossover hexagonal to square in YBCO at higher fields

B=9 Tesla



(Cu-O-Cu)

$$\frac{H_{\text{cross}}(\text{YBCO})}{H_{\text{cross}}(\text{LSCO})} \approx 22.5$$

Lattice orientation

YBCO
Nodal

LSCO
Anti-nodal

- S. Brown, T. Forgan, unpublished
- Keimer et al., PRL **75** (1994) 3459



J. Mesot, 07



Origin of the transition?

-d-wave: increased importance of vortex core anisotropy?

Predictions from theoretical works:

A.J. Berlinsky et al., Phys. Rev. Lett. 73 (1995) 2200

N. Schopohl and K. Maki, Phys. Rev. B 52 (1995) 490

M. Ichioka, N. Hayashi, N. Enomoto, and K. Machida, Phys. Rev. B 53 (1996) 15316

-Anisotropy of the Fermi velocity?

N. Nakai et al., PRL 89 (2002) 237004

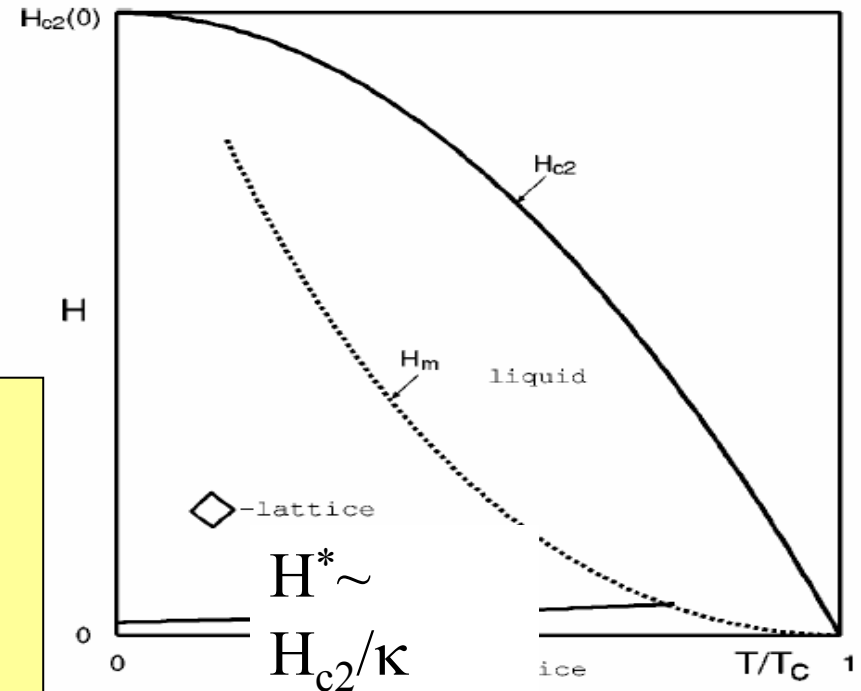
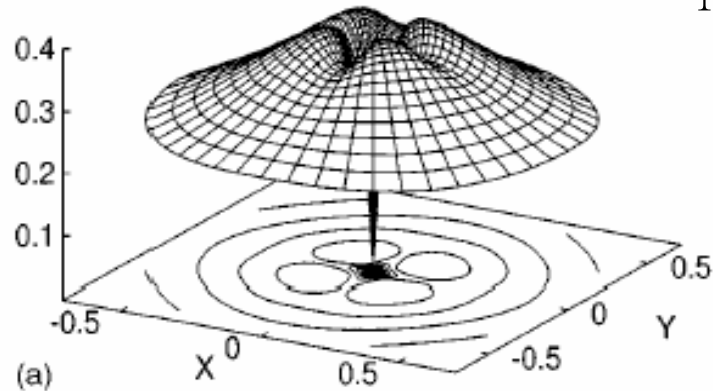
-Presence of stripes?



Vortex structure in d-wave superconductors

Fourfold symmetry of current and magnetic field distribution around a vortex

M. Ichioka et al., Phys. Rev. B **53** (1996) 15316



J. Shiraishi et al., PRB 59 (1999) 4497

$$\kappa \approx 100$$

$$H_{c2} \approx 60 \text{ T}$$

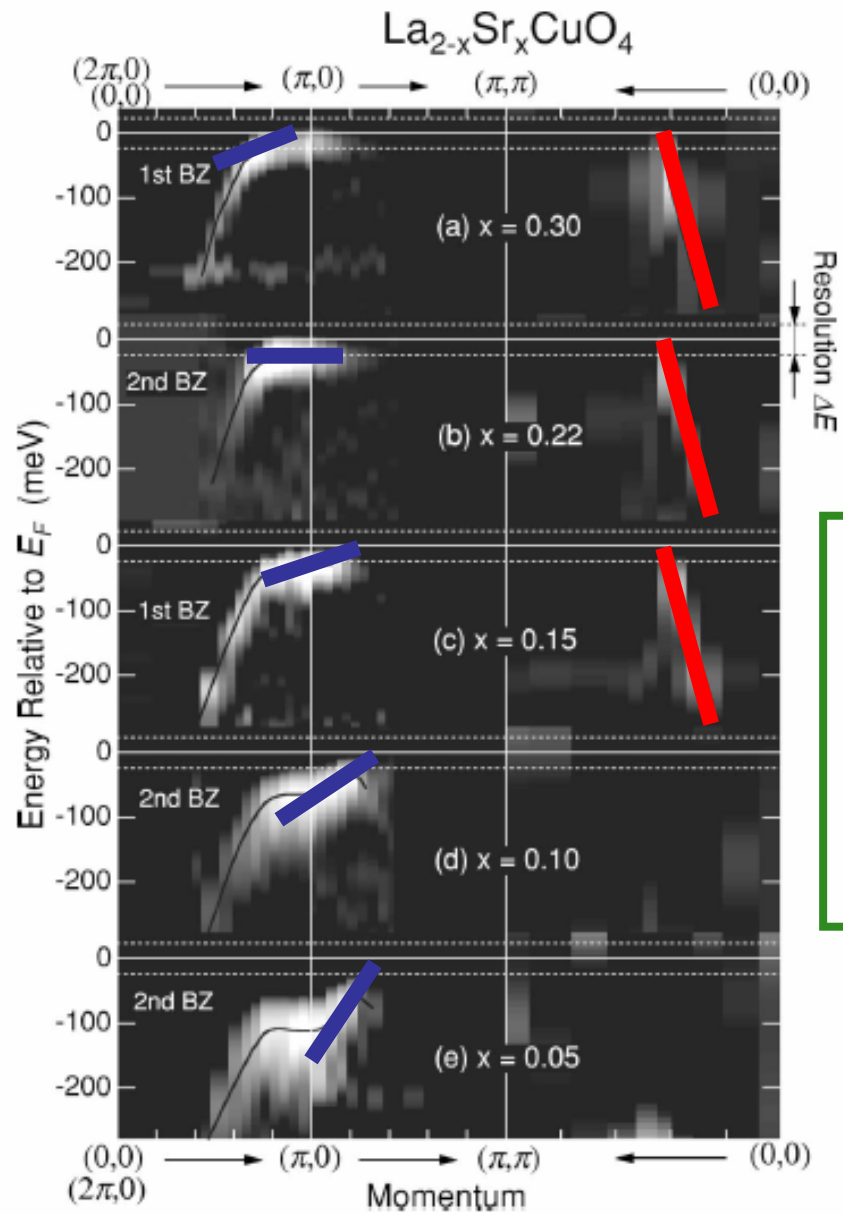
$$H^* \sim 0.6 \text{ Tesla}$$



But: VL expected to be rotated by 45°
(expected along nodal direction)



Ino et al., PRB
(2002) 094504



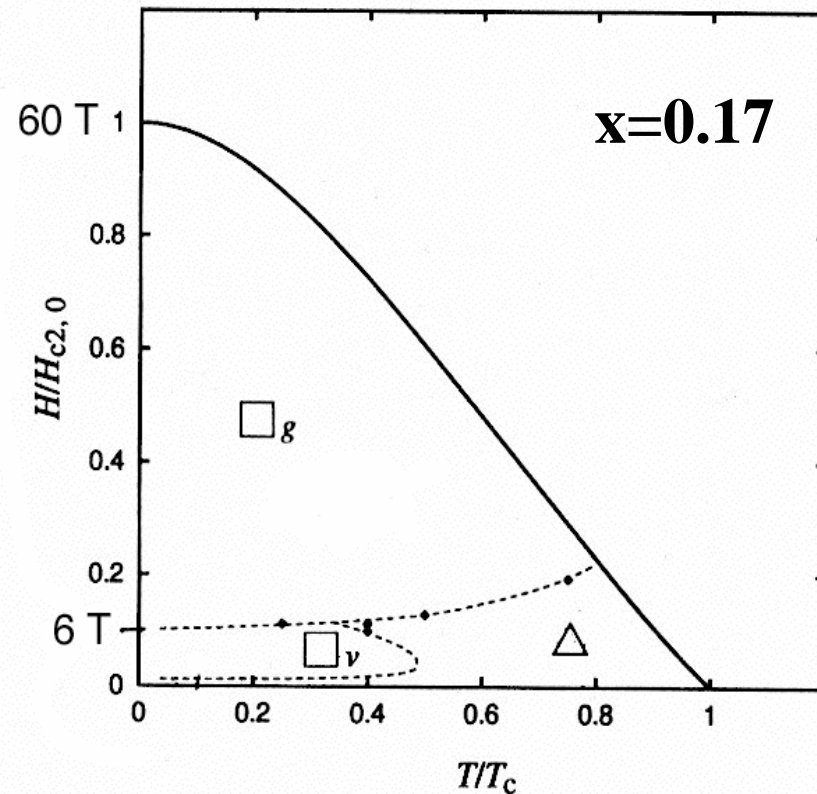
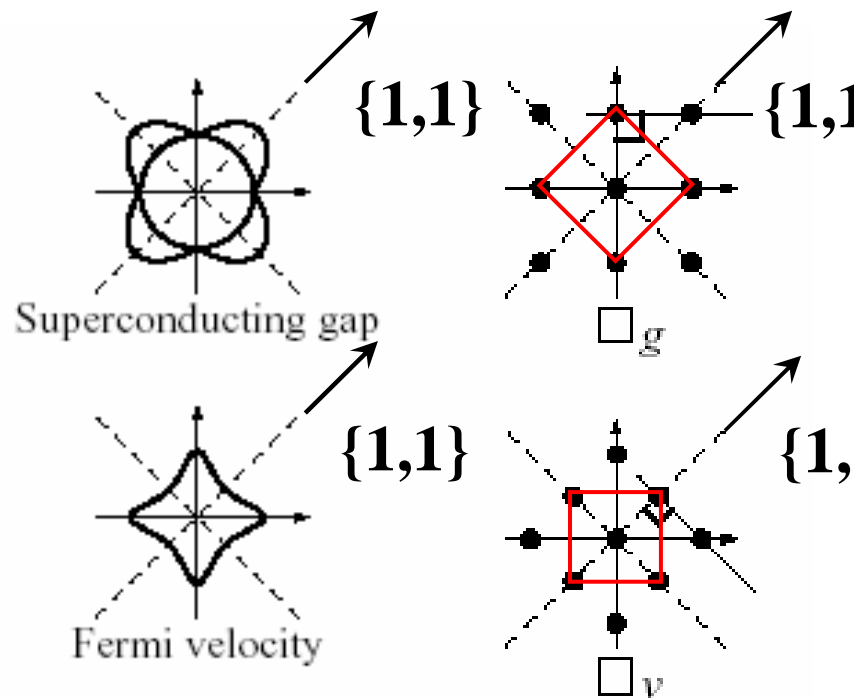
$V_F(\text{nodal}) > V_F(\text{anti-nodal})$

+

$V_F(\text{anti-nodal})$ doping dependent !



Interplay/Competition between Fermi velocity anisotropy & gap anisotropy



N. Nakai et al., PRL 89 (2002) 237004

