



1936-38

Advanced School on Synchrotron and Free Electron Laser Sources and their Multidisciplinary Applications

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Photo emission electron microscopy.

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Photo emission electron microscopy

Outline

Introduction

Electron optics:

Resolution Transmission

Instruments:

PEEM PEEM + LEEM SPELEEM

Methodic

Applications: Magnetic imaging



2 types





focused illumination Scanning sample scanned

Kiskinova

3 imaging modes

1XPEEMPhoto electrons PE2XAEEMAuger electrons AE3XSEEMSecondary electrons SE



Angular distributionInternal



Electron optics

The cathode lens

In emission microscopy $\theta \equiv \alpha_0$ is large

Electron lenses can accept only small $\theta \equiv \alpha_0$ because of large chromatic and spherical aberrations Solution of problem: accelerate electrons to high energy before lens

Immersion objective lens = cathode lens





Cathode lens types





At low energies the aberrations of the accelerating region dominate

Aberrations of homogeneous acceleration field

$$\rho_0 = E_0/E$$
 $\epsilon = \Delta E_0/E$ $\rho = \rho_0 + \epsilon$

Spherical aberration d_s

Chromatic aberration d_c





 $\begin{array}{l} \mbox{Analytical solution} \\ \mbox{Approximation: } \rho_0 \mbox{ and } \epsilon << 1/cos \ \alpha^2 > 1 \\ \mbox{Example: } E_0 = 100 \ eV, \ \Delta E_0 = 1 \ eV, \ E = 20000 \ eV \\ \ \epsilon = \rho_0 \ / 100, \ \rho_0 = 1/200 \end{array}$

$$\begin{aligned} \mathbf{d}_{\mathrm{s}} &\approx 2 \ \rho \sin \alpha \ (1 - \cos \alpha) & \mathbf{d}_{\mathrm{c}} &\approx 2 \ \rho \sin \alpha \ (\sqrt{\rho_0 \ / \rho - 1}) \\ &\approx \rho \ \alpha^3 & \text{ for small } \alpha & \approx \epsilon \sin \alpha \ \text{ for } \epsilon << \rho_0 \approx \rho \\ &\approx \epsilon \ \alpha & \text{ for small } \alpha \end{aligned}$$



Note: small angle approximation $\sin \alpha \approx \alpha \sim r$

Complete lens

Combine acceleration and imaging regions



At low energies aberrations of accelerating region dominate

but

at high energies the spherical aberration of second part of lens becomes important

Resolution and optimum aperture of real lenses



J. Chmelik et al, Optik 83 (1989)155

T. Müller, M.S. thesis, TU Clausthal 1995

Resolution with optimum aperture E-dependence at fixed $\Delta E = 0.5 \text{ eV}$, U₀ = 20 keV



 ΔE -dependence at fixed E = 10 eV, $U_0 = 18 \text{ kV}$ magnetic triode



Transmission

limited by angle accepted by contrast aperture (r_A)

Axial distance (in back focal plane) of electron starting at angle α $r \approx f \sin \alpha \sqrt{E_0/E}$ (f focal length) \downarrow $\sin \alpha \approx (r/f) \sqrt{E_0/E}$

Examples for f = 10 mm, E = 20000 eV, $r_A = 10 \mu \text{m}$

E ₀	2 eV	200 eV
sin a	0.2	0.02
α	11 .5 °	1.15°

In emission microscopy (wide α range) optimum resolution condition reduces transmission T, therefore

optimize Tⁿ/d² instead of 1/d²

For $\cos \alpha$ distribution $T = \pi \sin^2 \alpha$

 $T^n/d^2 = \pi \sin^{2n}/d^2$

Transmission T_n , resolution r_n of homogeneous field



E. Bauer, Ultramicroscopy 36 (1991) 52

Influence of angle-limiting aperture on the energy distribution of secondary electrons



Work function $\Phi = 4$ eV, accelerating voltage V = 20 kV Parameter: aperture diameter in μ m, ALS PEEM

S. Anders et al, Rev. Sci. Instrum. 70 (1999) 3973

Aberration correction in electron optics

Round **convex** lenses

electrostatic mirror



Chromatic aberration

Resolution and transmission improvement with aberration correction



Example: SMART

 ΔE (eV)

0.1

1.0

5.0



secondary electrons

 $E_0 = 10 \text{ eV}, \Delta E = 2 \text{ eV}, F = 5 \text{ kV/mm}$

Calculations: D. Preikszas From Th. Schmidt et al, Surf. Rev. Lett. 9 (2002) 223

Instruments

Basic PEEM schematic



Electrostatic PEEM examples



Focus PEEM with high pass filter **ALS PEEM II**

Magnetic PEEM (ELMITEC)



Spectroscopic PEEM with band pass filter

ELMITEC





Contrast mechanisms



- **1** Topographic contrast due to oblique illumination and field distortion
- **2** Work function contrast at low E₀ (escape probability!)
- **3** Chemical contrast due to inner shell ionization
- 4 Magnetic contrast via XMCD and XMLD

No structural contrast, therefore combination with Low Energy Electron Microscopy (LEEM)



Properties not visible with PEEM, but with LEEM

atomic steps



Mo(110)

Interference contrast

domain orientations



Diffraction contrast

LEEM also much brighter and better resolution \Rightarrow use for focusing in XPEEM

LEED much easier to interpret than $PED \Rightarrow$ use for structure analysis

Basic LEEM schematic



Spectroscopic Photo Emission and Low Energy Electron Microscope

SPELEEM ELMITEC

energy selection slit





SPELEEM side view



SPring8



LEEM with energy filter

JEOL



Y. Sakai et al, Surf. Rev. Lett. 5 (1998) 1199

Aberration-corrected SPELEEM

SMART (BESSY II)



H. Rose, D. Preikszas, Nucl. Instr. & Meth. A363, 201 (1995)

SMART side view

Aberration corrected PEEM/LEEM with energy filtering



Th. Schmidt April 2004

SMART top view



Th. Schmidt April 2004

Methodic

Operation modes of a SPELEEM LEEM Illumination beam separator image column analyzer projector system TL FL IL P1 RL L1 HP L2 AL objective 🖌 LEEM imaging LEED diffraction AES spectroscopy ELS EP1 EP2 surface BFP IIP FPI DP IP screen field energy selection angle limiting aperture slit

Th. Schmidt et al, Surf. Rev. Lett. 5 (1998) 1287



Th. Schmidt et al, Surf. Rev. Lett. 5 (1998) 1287
PEEM practice

Ultrahigh vacuum (low 10⁻¹⁰ torr range) but experiments up to 10⁻⁵ torr range possible

Surface cleaning: heating, sputtering or chemical reactions, e.g with oxygen for carbon removal

Choice of optimum photon energy:

Secondary electron imaging: hv ≈ E_i in: XANES, NEXAFS, XMCD, XMLD

Photo electron imaging: $E_i + 50 \text{ eV} < hv < E_i + 200 \text{ eV}$

to minimize f secondary electron f background

to maximize transmission and photo electron yield



Photo ionization cross sections

Binding energies (eV) Ge 3d 29.8, 29.2 **Mo 3d** 231.1, 227.9 33.6, 31.4 87.6, 84.0 ≈ 5 3d 374.0, 368.3

3d 740.5, 726.6

J.J. Yeh and I. Lindau, Atomic Data 1985

Operation modes of a SPELEEM



Chemical imaging (mode 1)

 $\begin{array}{c} \mbox{secondary electrons} \\ \mbox{spatial resolution} \\ \sigma_{Ag4d} \approx 5 \ \sigma_{W5d} \\ \mbox{hv} = 65 \ eV >> E_i < 10 \ eV \\ \Delta E_F \leq 1 \ eV \end{array}$



photo electrons

energy resolution hv = 65 eV, images in 0.2 eV steps 10-60 sec/image 0.25 μ m² areas $\Delta E_F \le 0.5 \text{ eV}$, $\Delta E_{chem} \approx 0.15 \text{ eV}$



Pb/W(110)

Operation modes of a SPELEEM



Fast local spectroscopy by imaging the dispersive plane (mode 3)

 $\alpha = 8^{\circ}$ (contrast aperture), $0.8\mu m^2$ area (selected field aperture) 20 eV full dispersion, 60 sec

hv = 48 eV



Surface sensitivity of photo electrons

versus secondary electrons



Operation modes of a SPELEEM



Local photo electron diffraction (mode 2)

Pb 5d photo electrons

from 0.8µm² area (selected field aperture)



Simultaneously acquired PED and LEED pattern

Pb 5d 38 eV



Local band structure analysis (mode 2)

Conduction band of Pb(111) 5 Pb monolayers on Si(111) – Au $\sqrt{3} \times \sqrt{3}$ – R30° hv = 73 eV, 0.8 µm² area (selected field aperture)



Parameter: E_{kin}

Magnetic imaging

XMCD, XMLD



Basic mechanisms

SPLEEM

Spin-dependent scattering cross-section due to exchange interaction Spin-dependent reflectivity

XMCDPEEM

Helicity-dependent transition probability from 2p to unoccupied 3d (↑↓) states ↓ Spin-dependent secondary electron emission



Secondary electron yield around Co 2p_{3/2} edge





Closure domains in permalloy squares

Ion beam milled from permalloy film



A. Locatelli et al, Surf. Rev. Lett. 9 (2002) 171

XMCDPEEM images of 20 nm thick Co elements

600×600



1200×600

1200×600



1200×400





Virgin state

Micromagnetic simulations of rectangular Co elements



Domain structures of complete and open ferromagnetic rings in the remanant state

Vortex walls

Transverse walls



Permalloy thickness 30 nm O.D. 2400 nm width 350 nm

B Cobalt thickness 20 nm O.D. 1600 nm width 400 nm

Onion States



Kläui, priv.commun.



Bulk properties



at phase transition



 α T< \approx 40 °C NiAs (hexagonal) ferromagnetic β MnP (orthorhombic) paramagnetic γ T > 125 °C NiAs (hexagonal) paramagnetic

MnAs for spin injection into GaAs at room temperature?

Problem: strain-induced phase coexistence between ferromagnetic and paramagnetic phase around room temperature



 α MnAs / GaAs(100) $f_0^2 = 7.7\%$

from L. Daeweritz et al \geq 1999

Fully magnetized MnAs layer on GaAs (100)

partially covered with As magnetic contrast formation



helicity 1



helicity 1 – helicity 2



1 μm

MnAs on GaAs(100)

Thickness dependence of magnetic domain structure

Room temperature

M



120 nm



300 nm

Field of view 5 µm diameter

180 nm

MnAs on GaAs(100)

Thickness 180 nm heating



Μ

Field of view 5 μ m diameter



Conclusion

Full field XPEEM is one of the most important applications of the high brilliance of third generation synchrotron light, in particular when combined with a **band pass energy filter** and with **LEEM** because it allows a complete characterization of surfaces and thin films, presently on the 10 nm lateral **resolution** scale, with aberration correction in the future on the 1 nm scale (hopefully).

The main benefit of aberration correction, however, will be the strong increase of the transmission of the system which will reduce image acquisition time considerably. This will allow dynamical studies, which are presently limited to LEEM, also with XPEEM.

General references

E. Bauer and W. Telieps: *Emission and low energy reflection electron microscopy*, in: Surface and Interface Characterization by Electron Optical Methods, eds.
A. Howie and U. Valdre, NATO ASI Series B: Physics, Vol. 191 (Plenum Press, New York 1988) p. 195-233

E. Bauer: *The possibilities for analytical methods in photoemission and low energy electron microscopy*, Ultramicroscopy 36 (1991) 52-62.

E. Bauer, T. Franz, C. Koziol, G. Lilienkamp and T. Schmidt: *Recent Advances in LEEM/PEEM for Structural and Chemical Analysis, in: Chemical, Structural and Electronic Analysis of Heterogeneous Surfaces on Nanometer Scale*, ed. R. Rosei (Kluwer Acad. Publ., Dordrecht 1997) p. 73- 84

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E. Bauer: Photoelectron Microscopy, J. Phys.: Condens. Matter 13 (2001) 11391-11405

E. Bauer: *Photoelectron spectromicroscopy: present and future*, J. Electron Spectrosc.Relat. Phenom. 114 – 116 (2001) 976 –987.

E. Bauer and T. Schmidt: *Multi-Method High Resolution Surface Analysis with Slow Electrons, in: High Resolution Imaging and Spectroscopy of Materials,* ed. by F. Ernst and M. Ruehle (Springer, Berlin Heidelberg 2003) 363-390

Th. Schmidt, U. Groh, R. Fink, E. Umbach, O. Schaff, W. Engel, B. Richter, H. Kuhlenbeck, R. Schloegl, H.-J. Freund, A. M Bradshaw, D. Preikszas, P. Hartel, R. Spehr, H. Rose, G. Lilienkamp, E. Bauer and G. Benner: *XPEEM with energy-filtering: Advantages and first results from the SMART project*, Surf. Rev. Lett. 9 (2002) 223-232



Acceleration and imaging fields combined Electrostatic triode



D.R. Cruise, J. Appl. Phys. 35 (1964) 3080

Resolution improvement by aberration correction

with electron mirror



V.K. Zworykin et al, Electron Optics and the Electron Microscope, John Wiley, New York 1945

Recknagel 1935

Fe on Pb on W(100)

Surfactant action



(a) – (d) Pb 5d images (hv = 70.5 eV, E_{kin} = 49 eV, (e) Fe 3d image, (f) LEEM image 270 K

Th. Schmidt et al, 1999

InAs nanocrystals on Se-passivated GaAs(100)





Classical emission microscopy

Polycrystalline tantalum



20 μm threshold photo emission

secondary emission

thermionic emission

Photo emission from polycrystalline beryllium bronce



10 μmlowmediumhighenergy region of the UV spectrum of a Hg high pressure lamp

W. Engel, Ph.D. thesis, TU Berlin 1968

Magnetic imaging in XPEEM

Ferromagnetism Imaging modes

Sample: 10 Fe monolayers on W (100)



asymmetry: XMCD: $(I_{\sigma}-I_{\sigma^+})/(I_{\sigma^-}+I_{\sigma^+})$ XMLD: $(I_l-I_h)/(I_l+I_h)$

W. Kuch et al, J. Vac. Sci. Technol. B 20 (2002) 2543

Magnetic imaging with XPEEM

Antiferromagnetism and ferromagnetism



Contrast from intensity ratio of Ni L_2 doublet obtained with circular polarization (E_{\perp}/E_{\parallel}) σ Arrows: in-plane projections of AF axes obtained with linear polarization (E_{\parallel}) π

AF maximum contrast when $\mathbf{E}\perp\mathbf{AF}$ axis

- 8 Co monolayers on NiO (100)
- AF domains Ni L₂ images
- F domains Co L₃ images



0.15







Exchange coupling

H. Ohldag et al, Phys. Rev. Lett. 86 (2001) 2878

Aberrations of homogeneous acceleration field

$$\rho_0 = E_0/E$$
 $\epsilon = \Delta E_0/E$ $\rho = \rho_0 + \epsilon$

Spherical aberration D_ö

Chromatic aberration D_F





 $\begin{array}{l} \mbox{Approximation: } \rho_0 \mbox{ and } \epsilon << 1/cos \ \alpha^2 > 1 \\ \mbox{Example: } E_0 = 100 \ eV, \ \Delta E_0 = 1 \ eV, \ E = 20000 \ eV \\ \ \epsilon = \rho_0 \ / 100, \ \rho_0 = 1/200 \end{array}$

$D_{\ddot{o}} \approx 2 \rho \sin \alpha (1 - \cos \alpha)$	$D_F \approx 2 \rho \sin \alpha (\sqrt{\rho_0} / \rho - 1)$)
$\approx 2 \rho (\alpha - 1/6\alpha^3)(1/2\alpha^2 - 1/24 \alpha^4)$	$\approx \varepsilon \sin \alpha$ for $\varepsilon \ll \rho_0$	Error
45° 10.7%	$\approx \epsilon (\alpha - 1/6 \alpha^3)$	45° 0.3%
60° 17.0%	0	60° 1.2%
$\approx \rho \alpha^3$ for small α	$\approx \epsilon \alpha$ for small α	
45° 20.5%	6	45° 11.1%
60° 36.2%	<i>′</i> 0	60° 17.3%
XMCDPEEM

Aspect ratio dependence of the virgin domain structure of 1 μ m wide Co bits



XMCDPEEM

Size dependence of the domain structure of 15 nm thick Co bits Aspect ratio 3:1, virgin state



hν

Micromagnetic simulations of permalloy bits with aspect ratio 2:1

R. Hertel, Z. Metallk. 93 (2002) 957



XMCDPEEM

Domain structure of 1.6 µm wide Co rings



MnAs on GaAs(311)

Thickness 180 nm cooling



Field of view 5 µm diameter

MnAs on GaAs(100)

Thickness 300 nm heating



Field of view 5µm diameter

Micromagnetic simulations of ferromagnetic rings



Vortex state

Onion states

Vortex walls

Transverse walls

M. Kläui, private comm.

MnAs islands on GaAs (100) far below phase transition surrounded by crystallized As capping



LEEM

XMCDPEEM

MnAs islands on GaAs(100) in the phase transition region

Phase contrast between hexagonal and orthorhombic phase

Μ





MnAs / GaAs(100)

Thickness 40 nm Ferromagnetic – paramagnetic phase transition ≈ 13 °C - ≈ 35 °C



black M white M

gray paramagnetic

Field of view $4x4\;\mu m^2$



MnAs on GaAs(100) Thickness dependence of stripe period Structural images (LEEM)

Diameter of field of view



50 nm





Structural - magnetic phase transition in 40 nm thick epitaxial MnAs layer on GaAs (001)





Thickness 120 nm heating



Field of view 5 µm diameter