



**The Abdus Salam  
International Centre for Theoretical Physics**



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## **Introductory School on Gauge Theory/Gravity Correspondence**

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**Non-AdS/non-CFT correspondence  
Lecture 1**

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The standard AdS/CFT correspondence relates

$N=4$  SYM with IIB string theory on  $AdS_5 \times S^5$ .

If we hope to have something to say about real physics, we need (at least) to:

- Break SUSY (down to  $N=1$  or  $N=0$ )
- Break conformal invariance
- Be able to describe asymptotically free theories
- Obtain realistic matter content (fund. quarks)

Question: can we extend the Maldacena conjecture to less supersymmetric and non-conformal gauge theories?

The AdS/CFT duality stems from the DUAL nature of D-branes  $\rightarrow$

OS p.o.v.: hypersurfaces on which OS can end  $\rightarrow$

their dynamics is a  $(p+1)$ -dim gauge theory at  $E \ll \frac{1}{\sqrt{\alpha'}}$ .

(2)

CS p.o.v.: non-perturbative states of CS spectrum  
( $T \sim 1/g_s$ )  $\rightarrow$  described by soliton-like solutions  
of effective SUGRA theory at  $E \ll \frac{1}{\sqrt{\alpha'}}$

Original duality from:

$N$  D3-branes in  $\mathbb{R}^{1,3} \times \mathbb{R}^6$

An obvious idea is to replace  $\mathbb{R}^6 \rightarrow CY$

However, AdS/CFT focuses on near brane region  
and since every smooth manifold is locally flat  
we do not find anything new when the D3's  
are placed at a smooth point of the CY

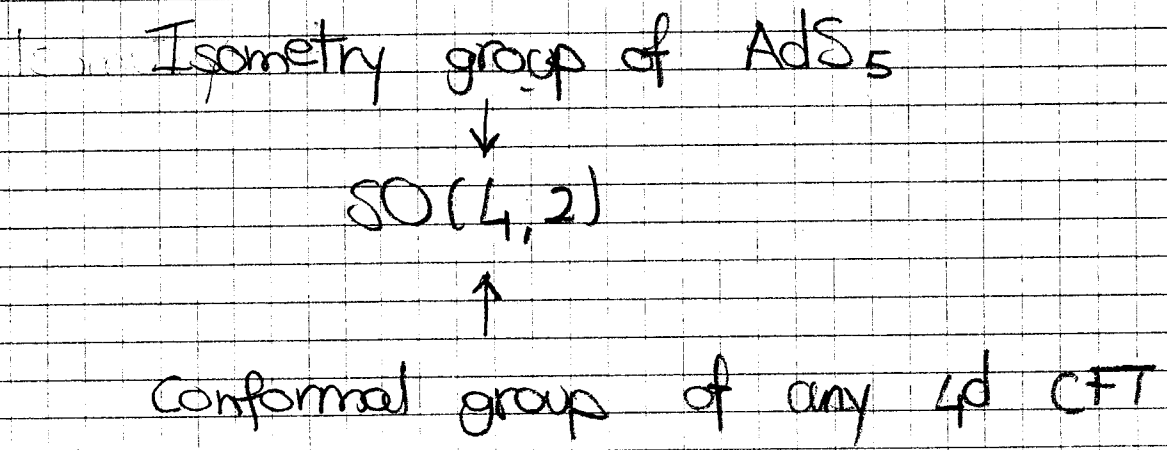
$N$  D3-branes in  $\mathbb{R}^{1,3} \times CY$

$\hookrightarrow$  in the decoupling limit we get  $AdS_5 \times S^5$ ,  
still dual to  $N=4$  SYM at strong coupling

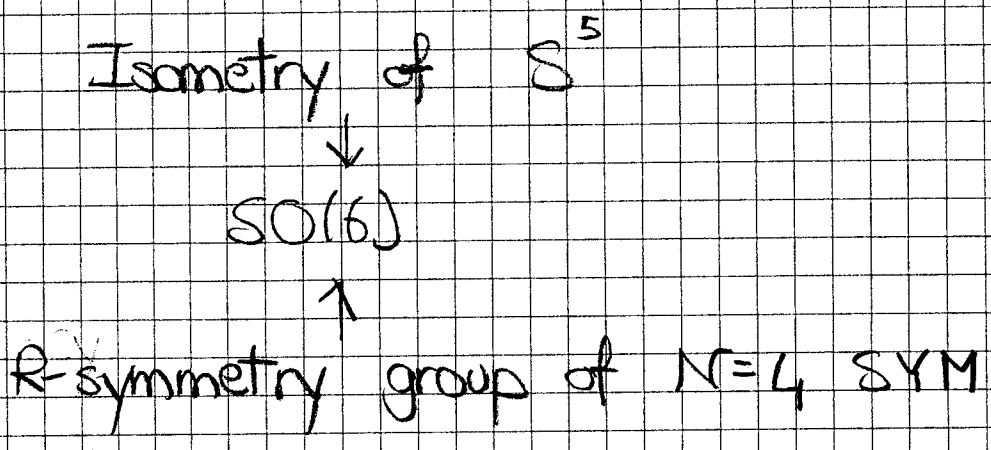
- Place the D3-branes at a SINGULAR point of the CY (the flatness of the ambient space, even near the brane, is lost)
- Consider higher dimensional branes whose  $WV$  is partially WRAPPED on topologically non-trivial cycles of a CY (both the flatness of the ambient space AND that of the brane's  $WV$  are lost)

Some general considerations:

① Supersymmetry solutions dual to non-conformal gauge theories do NOT display AdS factors



2) Supergravity solutions dual to 4d gauge theories with  $N < 4$  cannot display constant  $S^5$  factors



3) In the supergravity limit ( $R \alpha' \ll 1$ ) all non conformal models have a DECOUPLING PROBLEM

• Recall: in the original AdS/CFT duality

there exists a limit in the parameter space where the gauge theory dynamics is fully captured by supergravity alone

IIB SUGRA on  $AdS_5 \times S^5$   $\approx$   $N=4$  SYM at  $\lambda \gg 1$

with  $\int_{S^5} F_5 = N$   $\lambda = g_{YM}^2 N$  't-Hooft coupling

## General arguments regarding QCD-like theories: ⑤

- In the AdS/CFT dictionary we have that

$$R \alpha' = \frac{1}{\sqrt{g_{\text{th}}^3 N}} = \frac{1}{\sqrt{\lambda}} \quad (5.1)$$

$\rightarrow R \sim 1/R^2$

① (AF) theories are weakly coupled at high  $E$  and according to (5.1) this is related to large curvatures.

- The spectrum of QCD-like theories (mesons, glueballs, ...) comes in Regge trajectories

$$M_J \sim \sqrt{J} \Lambda_{\text{QCD}}$$

SUGRA includes particles of spin  $\leq 2$ : large mass gap between particles with  $J \leq 2$  and  $J > 2$ .

This problem manifests differently, depending on the way the gauge/gravity duality of the QCD-like theory is realized.

## Example: wrapped branes scenario

(6)

Consider a FT defined on  $\mathbb{R}^{1,3} \times S^1_R$  and a free massless scalar field  $\phi = \phi(x, y)$

$$\phi(x, y + 2\pi R) = \phi(x, y) \rightarrow \phi(x, y) = \frac{1}{\sqrt{2\pi R}} \sum_{n=-\infty}^{\infty} e^{i \frac{n}{R} y} \phi_n(x)$$

$$\square_5 \phi(x, y) = 0 \rightarrow \square_4 \phi_n(x) - \frac{n^2}{R^2} \phi_n(x) = 0$$

$\uparrow$   $m_n^2$

$\phi_0(x)$  massless + tower of KK states  $m_{\text{KK}} \sim \frac{1}{R}$

$\rightarrow E \ll \frac{1}{R}$  the KK states decouple

Considering a D5 wrapped on  $S^2$  we get a 4d SYM theory + a tower of KK states which get decoupled in the limit where  $\text{Vol}(S^2)$  is very SMALL  $\rightarrow$  The SUGRA requirement of small curvatures typically leads to opposite limit in the dual, where radii of non-contractible spheres are large.

OUTLINE :

1. Wrapped D5's - A case study : the MN model

1.1 Derivation of FT theory

1.2 Derivation of SUGRA dual

1.3 Gauge/gravity duality checks

2. D-branes at CY singularities

2.1 General properties

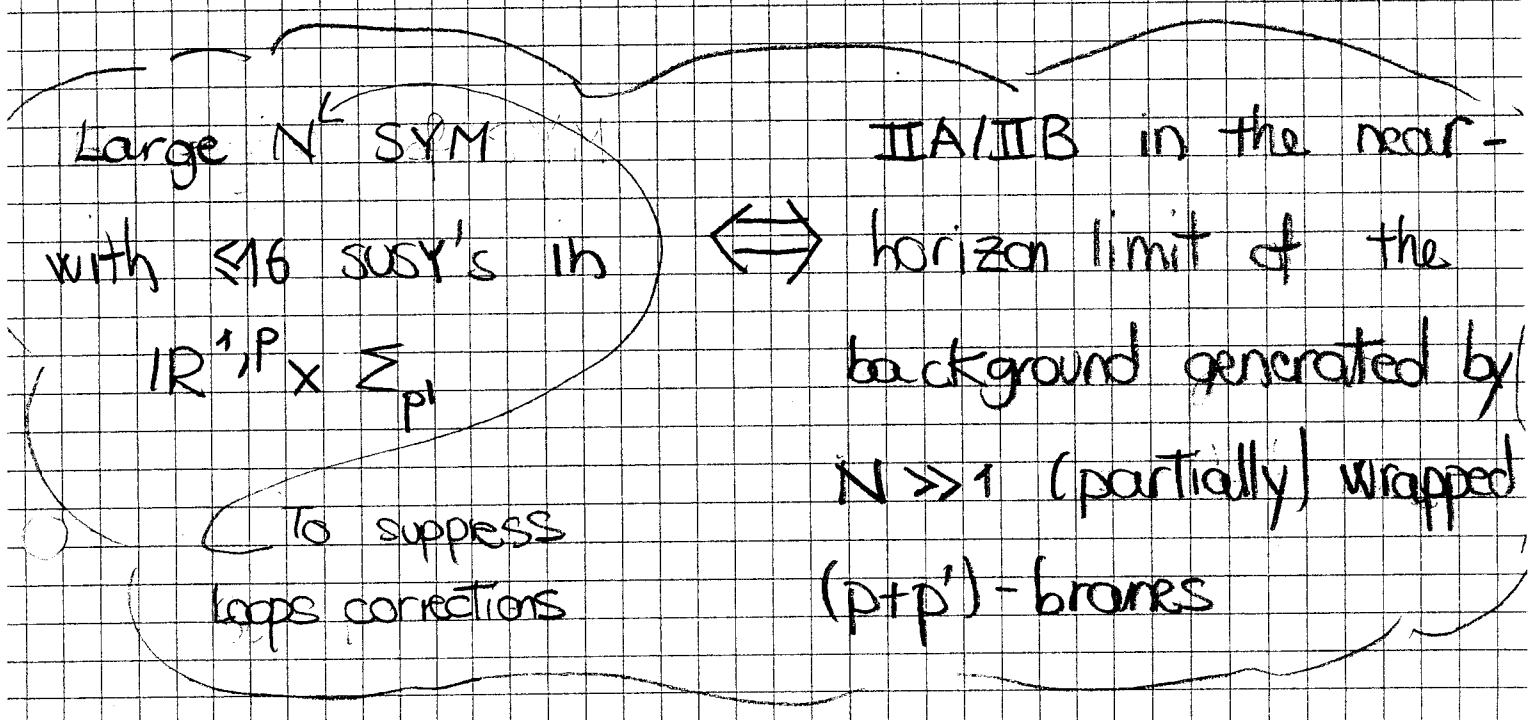
2.2 The KS model

3. Introduction of flavors (both in 1. & 2.)



# 1. Wrapped D-branes scenarios

General philosophy: consider a  $D(p+p')$ -brane wrapped on a topologically non-trivial  $p'$ -cycle of a smooth CY. The low energy effective theory living on its WV is a  $(p+p'+1)$ -dim SYM theory with  $\leq 16$  supercharges.



Note: in the limit  $V(\sum_{p'}) \rightarrow 0$  the theory reduces to a gauge theory in flat  $\mathbb{R}^{1,p}$  space

Our case:  $p+p' = 5$ ,  $p' = 2 \rightarrow$  the FT we want to find the dual of is 4d ( $N=1$ ) SYM.

## 1.1 Field Theory on a D5 wrapped on $S^2$ CY<sub>3</sub> (9)

Question: how to wrap a D-brane on a topologically non-trivial cycle of a CY space and preserve some supersymmetry?

Have to understand how to deal with a supersymmetric field theory in a curved space.

SUSY  $\leftrightarrow \exists$  covariantly constant spinors

$$D_M \epsilon = (\partial_M + \omega_M) \epsilon = 0 \quad (9.1)$$

$\omega_M = \omega_M^{NP} \gamma_{NP}$  : spin connection on the manifold in spinorial rep. (only contribution from  $S^2$ , in our case)

$\hookrightarrow$  NO solutions in general!

**IDEA**: change the representation in which fields transform by redefining Lorentz group as a mixture of the usual one with the R-symmetry group in such a way that under new Lorentz group all curvature terms appear hitting fields that are now scalars

Note: this procedure changes Lorentz assignment  $\textcircled{10}$   
 hence the spin of fields - In our case, however,  
 the twist acts only on the  $S^2 \rightarrow$  only 6d fields  
 get affected, 4d reps do not.

Procedure: the spin connection of  $S^2$  transforms in  
 reps of  $SO(2) \approx U(1)$  - We couple our theory to  
 an external  $U(1)$ -field which gauges a  $U(1)$   
 subgroup of the R-symmetry group  $SO(4)_R$  and  
 choose the gauge connection to be OPPOSITE to  
 the spin connection

$$D_M \epsilon = \left( \partial_M + \omega_M + A_M \right) \epsilon = \partial_M \epsilon = 0$$

$A_M^{ij} \Gamma_{ij}^k \leftarrow SO(4)_R \text{ indices}$

$\hookrightarrow$  the SUSY parameter has  
 now become a scalar!

This procedure is called TWISTING.

Let's proceed in steps  $\rightarrow$

$$D5: SO(1,9) \rightarrow SO(1,5) \times SO(4) \rightarrow SO(1,3) \times U(1)_J \times SO(4)$$

$\hookrightarrow$  R-symmetry group of SUSY gauge theory living on flat

$\hookrightarrow$  tangent bundle of the  $S^2$

D5's

	$SO(1,5) \times SO(4)$	$SO(1,3) \times U(1)_J \times SU(2)_L \times SU(2)_R$
$V_M$	$(6, 1)$	$(4_0, 1, 1) \oplus (1_{\pm}, 1, 1)$
$\phi^A$	$(1, 4)$	$(1_0, 2, 2)$
$\psi^+$	$(4, 2)$	$(2_+, 2, 1) \oplus (\bar{2}_-, 2, 1)$
$\psi^-$	$(\bar{4}, 2)$	$(\bar{2}_+, 1, 2) \oplus (2_-, 1, 2)$

complex Weyl

Now we should implement the twist by

identify  $U(1)_J \cong U(1) \subset SU(2)_L \times SU(2)_R$  and

consider the 0-mode in the KK reduction.

Massless fields in 4d will be the 0-modes

UNCHARGED under the  $U(1)_T$  (a charge under

$U(1)$  acts as a mass term from the 4d p.o.v.)

$U(1) \equiv U(1)_L \subset SO(2)_L$

	$SO(1,3) \times U(1)_+$
$V_M$	$(4_0) \oplus 1_+ \oplus 1_-$
$\phi^A$	$2 \times 1_+ \oplus 2 \times 1_-$
$\psi^+$	$2_{++} \oplus (2_0) \oplus (\bar{2}_0) \oplus \bar{2}_-$
$\psi^-$	$\bar{2}_+ \oplus 2_-$

The (massless) field content is that of  $\mathcal{N}=1$  gauge multiplet:  $(A_\mu, \lambda)$ , the R-symmetry group being  $U(1)_L$ .

The above procedure could seem ad hoc. In fact it is not: this is precisely what happens when trying to wrap a D5-brane on a topologically non-trivial 2-cycle inside a CY while preserving SUSY. There is a precise geometric counterpart of the twisting procedure.

What the connection to wrapped D-branes on a CY.

Rough idea:

1. Given a manifold  $X$ , calibrated cycles are those minimizing their volume within their homology class ( $\Sigma_p \sim \Sigma'_p$  if  $\Sigma_p - \Sigma'_p = \partial \Xi_{p+1}$ )

2. A supersymmetric cycle is a cycle which a D-brane can wrap while preserving supersymmetry - SUSY requires that the energy of a state is minimum for its given charges, so a necessary condition (one can prove is also sufficient!)

for susy preservation is that the cycle is calibrated

In a CY there exist non-contractible calibrated  
[ 2, 3 and 4-cycles ]

→ putting everything together this implies that the twisting mechanism is naturally realized by wrapping a D-brane on a calibrated cycle.

We considered a D5 wrapped on a  $S^2$ :

the way the  $S^2$  is embedded into the CY is specified by the way the normal bundle

$N_{S^2}$  is fibered on the tangent bundle  $T_{S^2}$ .

The mixing between the spin connection on  $S_2$

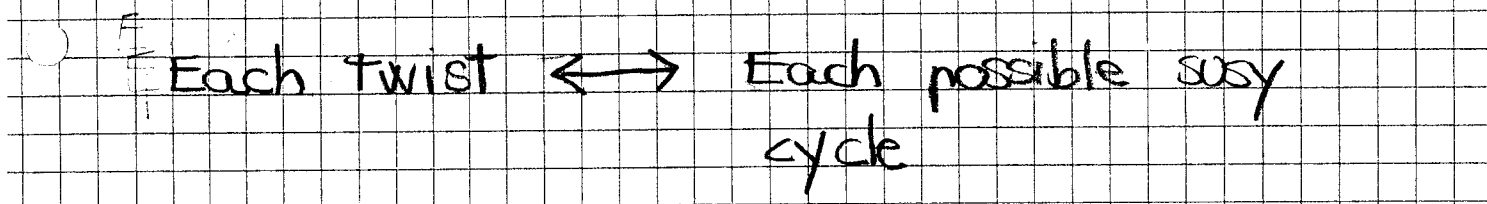
and the gauge connection on the normal

bundle (whose  $U(1)$  gauge field  $A_M$  we introduced is

part of) is just what takes into account the fibration

and tells how the WV theory couples to the

external  $A_M$  field:



$$U(1) \equiv U(1)_L \subset SO(2)_L \quad S^2 \subset CY_3 \quad \mathcal{N}=1 \text{ SYM}$$

$$U(1) \equiv U(1)_D \subset [SO(2)_L \times SO(2)_R] \quad S^2 \subset CY_3 \times R^2 \quad \mathcal{N}=2 \text{ SYM}$$

$\rightarrow$  rigid cycle

$\rightarrow$  non-rigid cycle



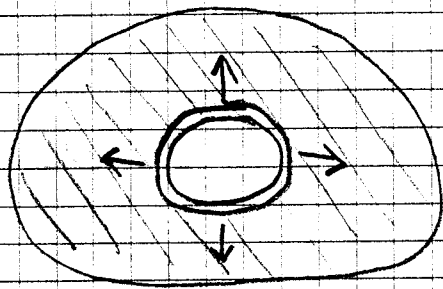
Recall: Transverse directions to a D-brane:

correspond to scalars of the effective WV theory (think of  $\mathcal{N}=4$  SYM and the 6 scalars of the  $\mathcal{N}=4$  supermultiplet)

for wrapped branes not all scalars remain massless, but only those associated to directions along which one can move the cycles by keeping it calibrated (i.e. SUSY)

sections of the normal bundle  $N_{S^2}$

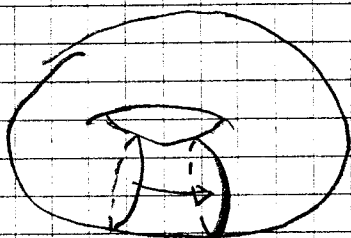
- RIGID cycle  $\rightarrow$  no other susy cycles in the same homology class



$S^2 \subset CY_3$  is rigid:

$\mathcal{N}=1$  SYM does NOT have scalars!

- NON-RIGID cycle  $\rightarrow \exists$  other susy cycles in the same homology class



$S^2 \subset CY_2 \times \mathbb{R}^2$  is not rigid.

$\mathcal{N}=2$  SYM has a complex scalar!