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**R-matrix theory of nuclear reactions.**

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# R-matrix theory of nuclear reactions

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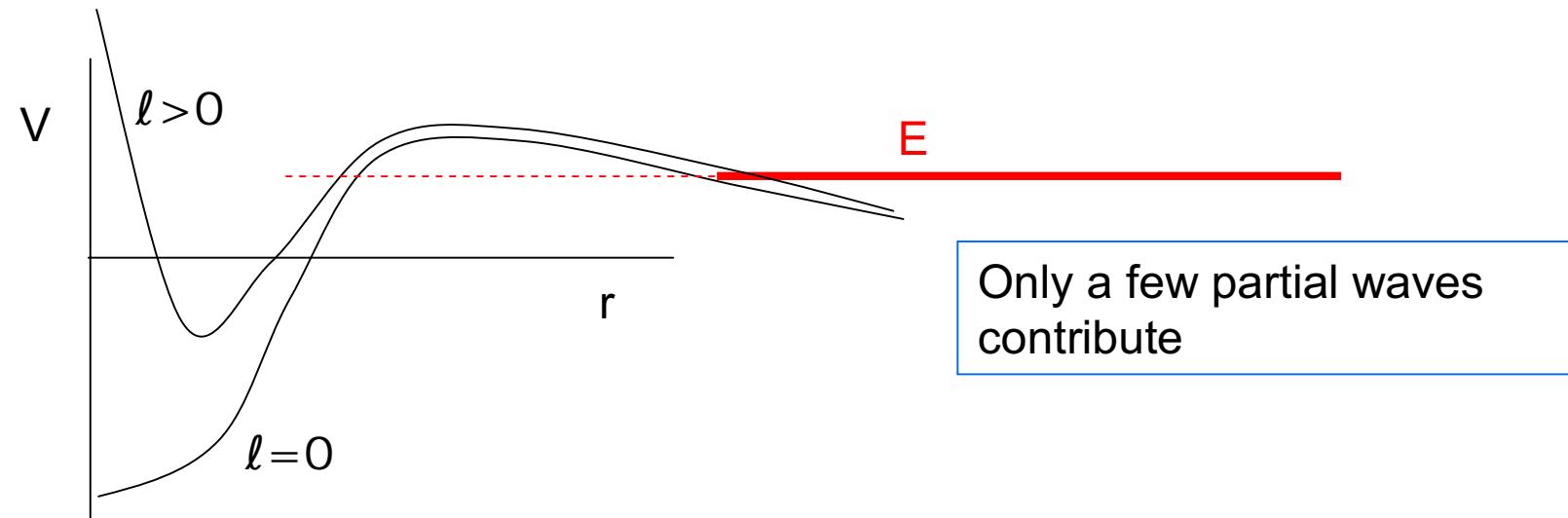
Université Libre de Bruxelles, Brussels, Belgium

1. Introduction
2. General collision theory: elastic scattering
3. Phase-shift method
4. R-matrix theory ( $\rightarrow$  theory)
5. Phenomenological R matrix ( $\rightarrow$  experiment)
6. Conclusions

# 1. Introduction

## General context: two-body systems

- Low energies ( $E \lesssim$  Coulomb barrier), few open channels (one)
- Low masses ( $A \lesssim 15-20$ )
- Low level densities ( $\lesssim$  a few levels/MeV)
- Reactions with neutrons AND charged particles



## Low-energy reactions

- Transmission (“tunnelling”) decreases with  $\ell \rightarrow$  few partial waves  
→ semi-classic theories not valid
  - astrophysics
  - thermal neutrons
- Wavelength       $\lambda = \hbar c/E$   
 $\lambda(\text{fm}) \sim 197/E(\text{MeV})$ 

example: 1 MeV:     $\lambda \sim 200 \text{ fm}$   
                        radius  $\sim 2-4 \text{ fm}$   
                        → quantum effects important

## Some references:

- C. Joachain: Quantum Collision Theory, North Holland 1987
- L. Rodberg, R. Thaler: Introduction to the quantum theory of scattering, Academic Press 1967
- J. Taylor : Scattering Theory: the quantum theory on nonrelativistic collisions, John Wiley 1972

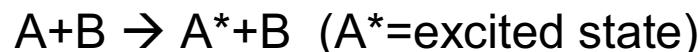
## Different types of reactions

1. **Elastic** collision : entrance channel=exit channel



covered here

2. **Inelastic** collision ( $Q \neq 0$ )



etc..

3. **Transfer** reactions



etc...

NOT covered here

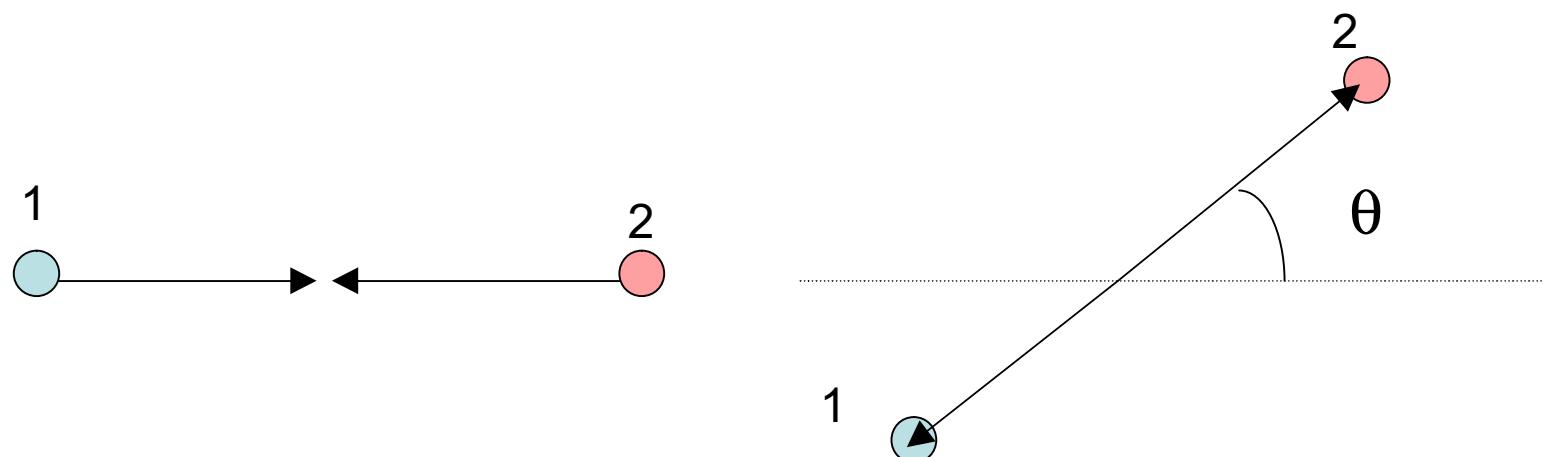
4. **radiative capture** reactions



## 2. Collision theory: elastic scattering

Assumptions:

- elastic scattering
- no internal structure
- no Coulomb
- spins zero



Center-of-mass system

## Scattering wave functions

Schrödinger equation:  $V(r)$ =interaction potential

$$H\psi(r) = [-\frac{\hbar^2}{2\mu}\Delta + V(r)]\psi(r) = E\psi(r) \text{ with } E > 0$$

Assumption: the potential is **real** and **decreases faster than  $1/r$**

At large distances :  $V(r) \rightarrow 0$

2 independent solutions :  $\psi(r) \rightarrow A \left( \exp(ik \cdot r) + f(\theta) \frac{\exp(ikr)}{r} \right)$

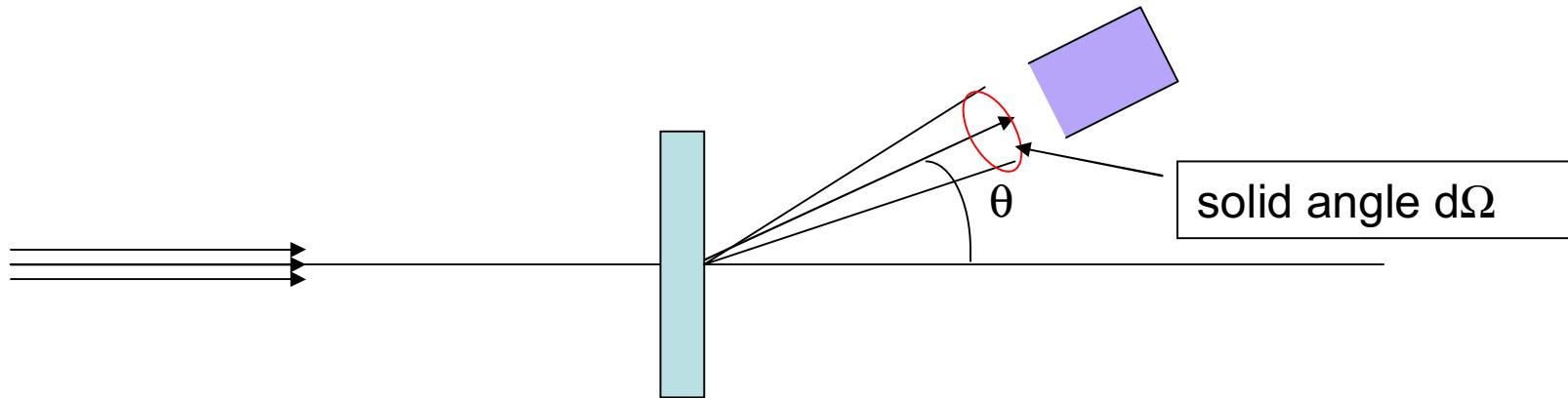
Incoming plane wave                      Outgoing spherical wave

where:  $k$ =wave number:  $k^2=2\mu E/\hbar^2$

$A$ =amplitude (scattering wave function **is not normalized**)

$f(\theta)$  =scattering amplitude (length)

## Cross sections



Cross section

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2, \quad \sigma = \int \frac{d\sigma}{d\Omega} d\Omega$$

- Cross section obtained from the asymptotic part of the wave function
- “**Direct**” problem: determine  $\sigma$  from the potential
- “**Inverse**” problem : determine the potential  $V$  from  $\sigma$
- **Angular distribution**:  $E$  fixed,  $\theta$  variable
- **Excitation function**:  $\theta$  variable,  $E$  fixed,

How to solve the Schrödinger equation for  $E>0$ ?

$$H\psi(r) = \left(-\frac{\hbar^2}{2\mu}\Delta + V(r)\right)\psi(r) = E\psi(r)$$

With  $\psi(\mathbf{r}) \rightarrow \exp(ikz) + f(\theta)\frac{\exp(ikr)}{r}$  ( $z$  along the beam axis)

Several methods:

- Formal theory: Lippman-Schwinger equation  $\rightarrow$  approximations
  - Eikonal approximation
  - Born approximation
- **Phase shift method:** well adapted to low energies
- Etc...

## Lippman-Schwinger equation:

$$\psi(\mathbf{r}) \rightarrow \exp(ikz) + f(\theta) \frac{\exp(ikr)}{r}$$

$\psi(\mathbf{r}) = \exp(ikz) + \int G(\mathbf{r}, \mathbf{r}') V(\mathbf{r}') \psi(\mathbf{r}') d\mathbf{r}'$  is solution of the Schrödinger equation

With  $G(\mathbf{r}, \mathbf{r}') = -\frac{2\mu}{\hbar^2} \frac{\exp(ik|\mathbf{r} - \mathbf{r}'|)}{4\pi|\mathbf{r} - \mathbf{r}'|}$  =Green function

$\mathbf{r}$  is supposed to be large ( $\mathbf{r} \gg \mathbf{r}'$ )

$$f(\theta) = -\frac{2\mu}{4\pi\hbar^2} \int \exp(-ikr' \cos \theta) V(\mathbf{r}') \psi(\mathbf{r}') d\mathbf{r}'$$

- $\Psi(\mathbf{r})$  must be known
- $\Psi(\mathbf{r})$  only needed where  $V(\mathbf{r}) \neq 0 \rightarrow$  approximations are possible
- Born approximation :  $\psi(\mathbf{r}) = \exp(ikz)$
- Eikonal approximation:  $\psi(\mathbf{r}) = \exp(ikz) \times \hat{\psi}(\mathbf{r})$

Valid at high energies:  
 $V(\mathbf{r}) \ll E$

### 3. Phase-shift method

- a. Definitions, cross sections

Simple conditions:

- neutral systems
- spins 0
- single-channel

- b. Extension to charged systems
- c. Extension to multichannel problems
- d. Low energy properties
- e. General calculation
- f. Optical model

### 3.a Definition, cross section

The wave function is expanded as

$$\Psi(\mathbf{r}) = \sum_{l,m} \frac{u_l(r)}{r} Y_l^m(\Omega)$$

When inserted in the Schrödinger equation

$$-\frac{\hbar^2}{2\mu} \left( \frac{d^2}{dr^2} - \frac{l(l+1)}{r^2} \right) u_l(r) + V(r) u_l(r) = E u_l(r)$$

To be solved for any potential (**real**)

For  $r \rightarrow \infty$   $V(r) = 0$

$$\left\{ u_l'' - \frac{l(l+1)}{r^2} u_l(r) + k^2 u_l(r) = 0, \text{ with } k^2 = \frac{2\mu E}{\hbar^2} \right.$$

=Bessel equation  $\rightarrow u_l(r) = j_l(kr), n_l(kr)$

For small x       $j_l(x) \rightarrow \frac{x^l}{(2l+1)!!}$

$$n_l(x) \rightarrow -\frac{(2l-1)!!}{x^{l+1}}$$

For large x       $j_l(x) \rightarrow \frac{1}{x} \sin(x - l\pi/2)$

$$n_l(x) \rightarrow -\frac{1}{x} \cos(x - l\pi/2)$$

Examples:       $j_0(x) = \frac{\sin(x)}{x}, \quad n_0(x) = -\frac{\cos(x)}{x}$

At large distances:  $u_l(r)$  is a linear combination of  $j_l(kr)$  and  $n_l(kr)$ :

$$u_l(r) \rightarrow j_l(kr) - \tan \delta_l * n_l(kr)$$

With  $\delta_l$  = phase shift (information about the potential)  
 If  $V=0 \rightarrow \delta=0$

Cross section:

$$\frac{d\sigma}{d\Omega} = |f(\theta, E)|^2, \text{ with } f(\theta, E) = \frac{1}{2ik} \sum_l (2l+1) [\exp(2i\delta_l(E)) - 1] P_l(\cos \theta)$$

Provide the cross section

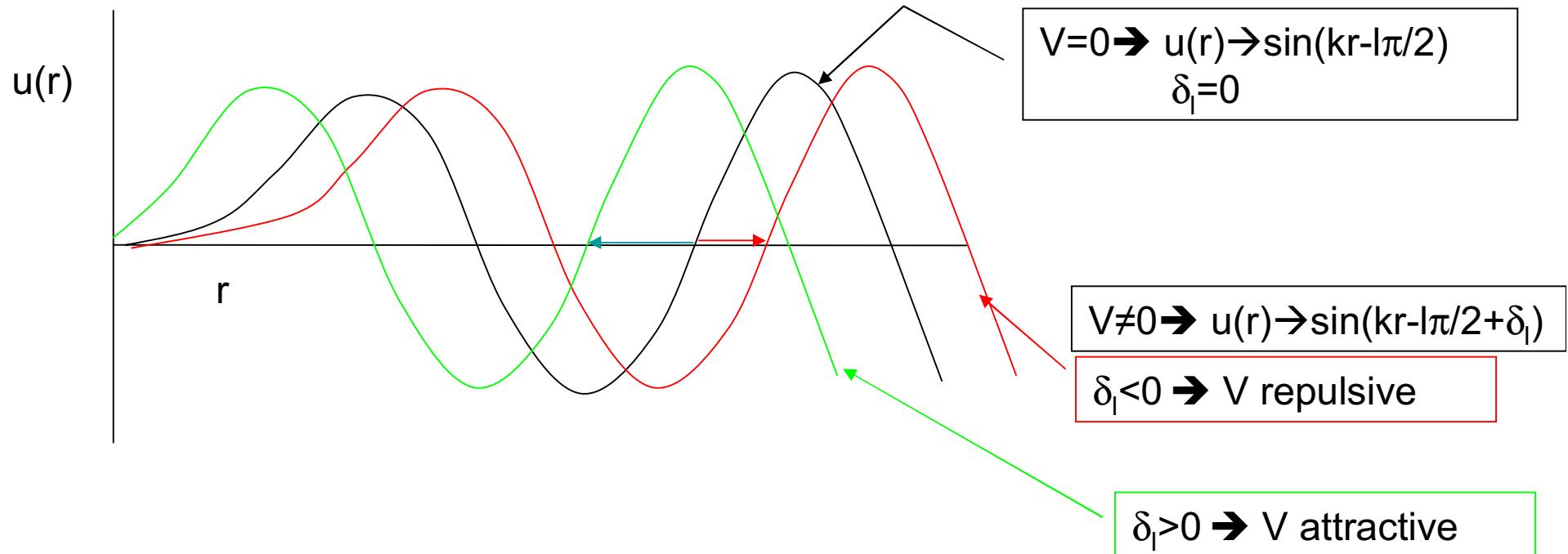
$$\frac{d\sigma}{d\Omega} = |f(\theta, E)|^2, \text{ with } f(\theta, E) = \frac{1}{2ik} \sum_{l=0}^{\infty} (2l+1) [\exp(2i\delta_l(E)) - 1] P_l(\cos \theta)$$

→ factorization of the dependences in E and  $\theta$

### General properties of the phase shifts

1. Expansion useful at low energies: small number of  $l$  values
2. The phase shift (and all derivatives) are continuous functions of E
3. The phase shift is known within  $n\pi$ :  $\exp(2i\delta) = \exp(2i(\delta+n\pi))$
4. Levinson theorem
  - $\delta_l(E=0)$  is arbitrary
  - $\delta(E=0) - \delta(E=\infty) = N\pi$ , where N is the number of bound states
  - Example: p+n,  $l=0$ :  $\delta(E=0) - \delta(E=\infty) = \pi$  (bound deuteron)

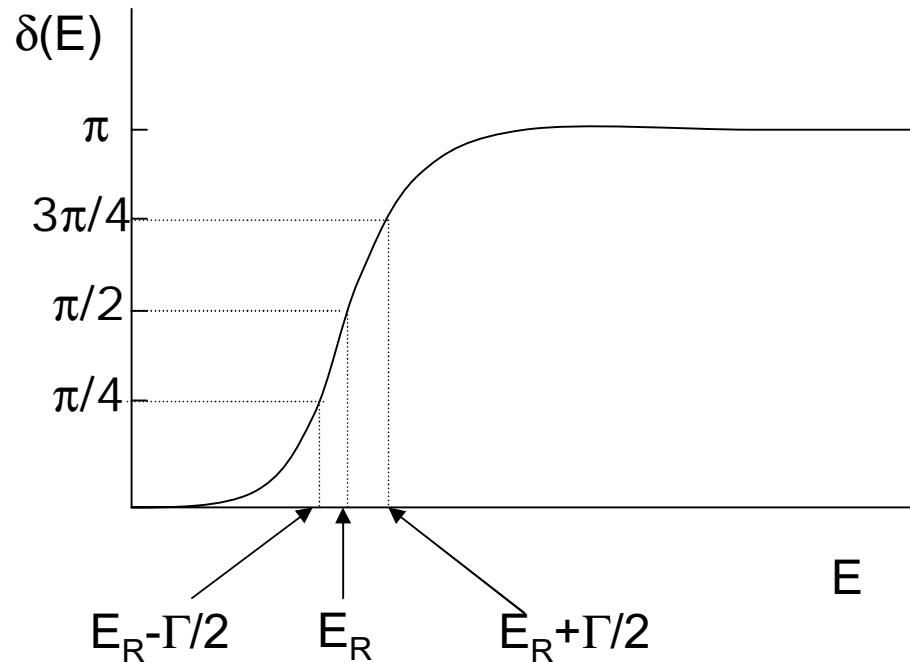
## Interpretation of the phase shift from the wave function



Resonances:  $\delta_R(E) \approx \arctan \frac{\Gamma}{2(E_R - E)}$  =Breit-Wigner approximation

$E_R$ =resonance energy

$\Gamma$ =resonance width



- Narrow resonance:  $\Gamma$  small
- Broad resonance:  $\Gamma$  large

Example:  $^{12}\text{C} + \text{p}$

With resonance:  $E_R = 0.42 \text{ MeV}$ ,  $\Gamma = 32 \text{ keV} \rightarrow \text{lifetime: } t = \Gamma^{-1} \sim 2 \times 10^{-20} \text{ s}$

Without resonance: interaction range  $d \sim 10 \text{ fm} \rightarrow \text{interaction time } t = d/v \sim 1.1 \times 10^{-21} \text{ s}$

### 3.b Generalization to the Coulomb potential

The asymptotic behaviour  $\Psi(r) \rightarrow \exp(ikz) + f(\theta)^* \exp(ikr)/r$

Becomes:  $\Psi(r) \rightarrow \exp(ikz + \eta \log(kr)) + f(\theta)^* \exp(ikr - \eta \log(2kr))/r$

With  $\eta = Z_1 Z_2 e^2 / \hbar v$  = Sommerfeld parameter,  $v$ =velocity

Bessel equation:  $u_l'' - \frac{l(l+1)}{r^2} u_l(r) + k^2 u_l(r) = 0$

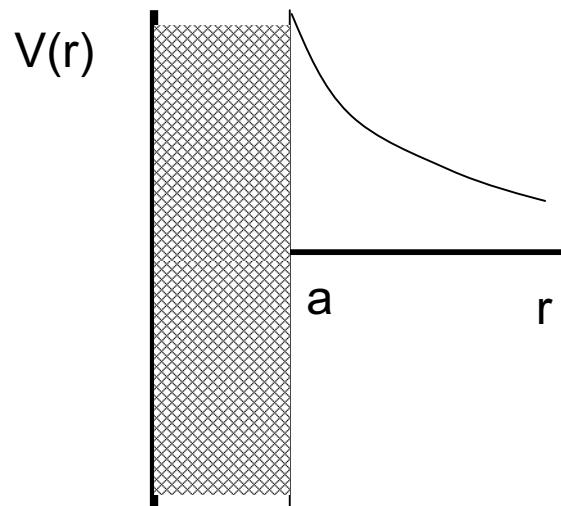
Coulomb equation  $u_l'' - \frac{l(l+1)}{r^2} u_l(r) + (k^2 - 2k\eta) u_l(r) = 0$      $u_l(r) \rightarrow F_l(kr) + \tan \delta_l * G_l(kr)$   
 $\rightarrow I_l(kr) - U_l * O_l(kr)$ , with  $U_l = \exp(2i\delta_l)$   
 $\rightarrow F_l(kr) * \cos \delta_l + G_l(kr) * \sin \delta_l$

Solutions:  $F_l(\eta, kr)$ : regular,  $G_l(\eta, kr)$ : irregular

Ingoing, outgoing functions:  $I_l = G_l - iF_l$ ,  $O_l = G_l + iF_l$      $I_l(x) \rightarrow \exp(-ix)$

$O_l(x) \rightarrow \exp(+ix)$

## Example: hard sphere

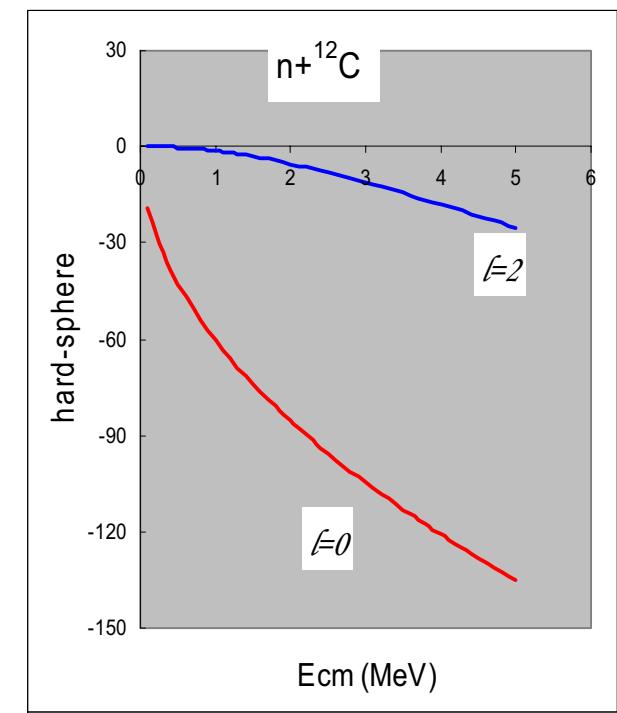
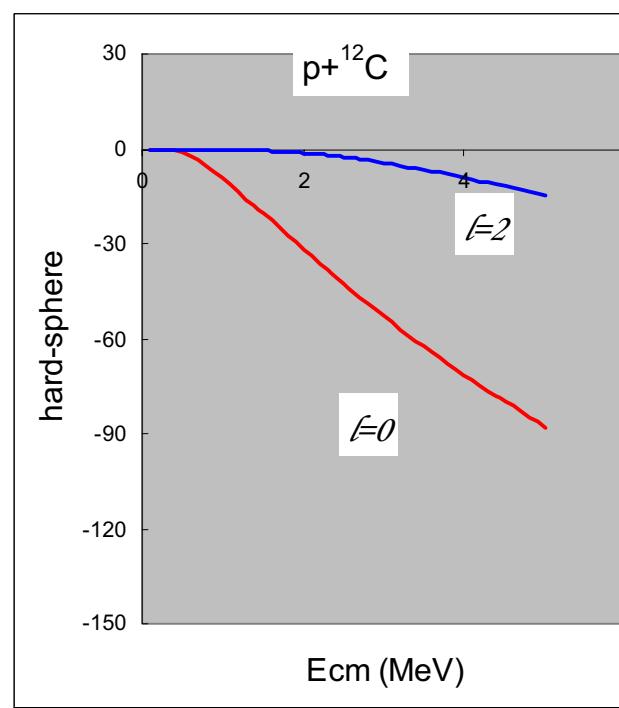
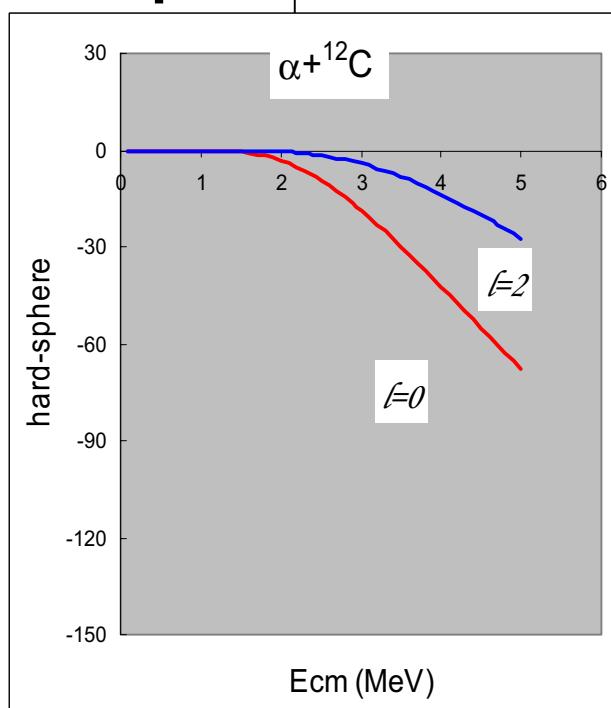


$$V(r) = \infty \text{ for } r < a \\ = Z_1 Z_2 e^2 / r \text{ for } r > a$$

$$u_l(r) = F_l(kr) + \tan \delta_l G_l(kr)$$

$$u_l(a) = 0 \rightarrow \tan \delta_l = -\frac{F_l(ka)}{G_l(ka)}$$

= Hard-Sphere  
phase shift

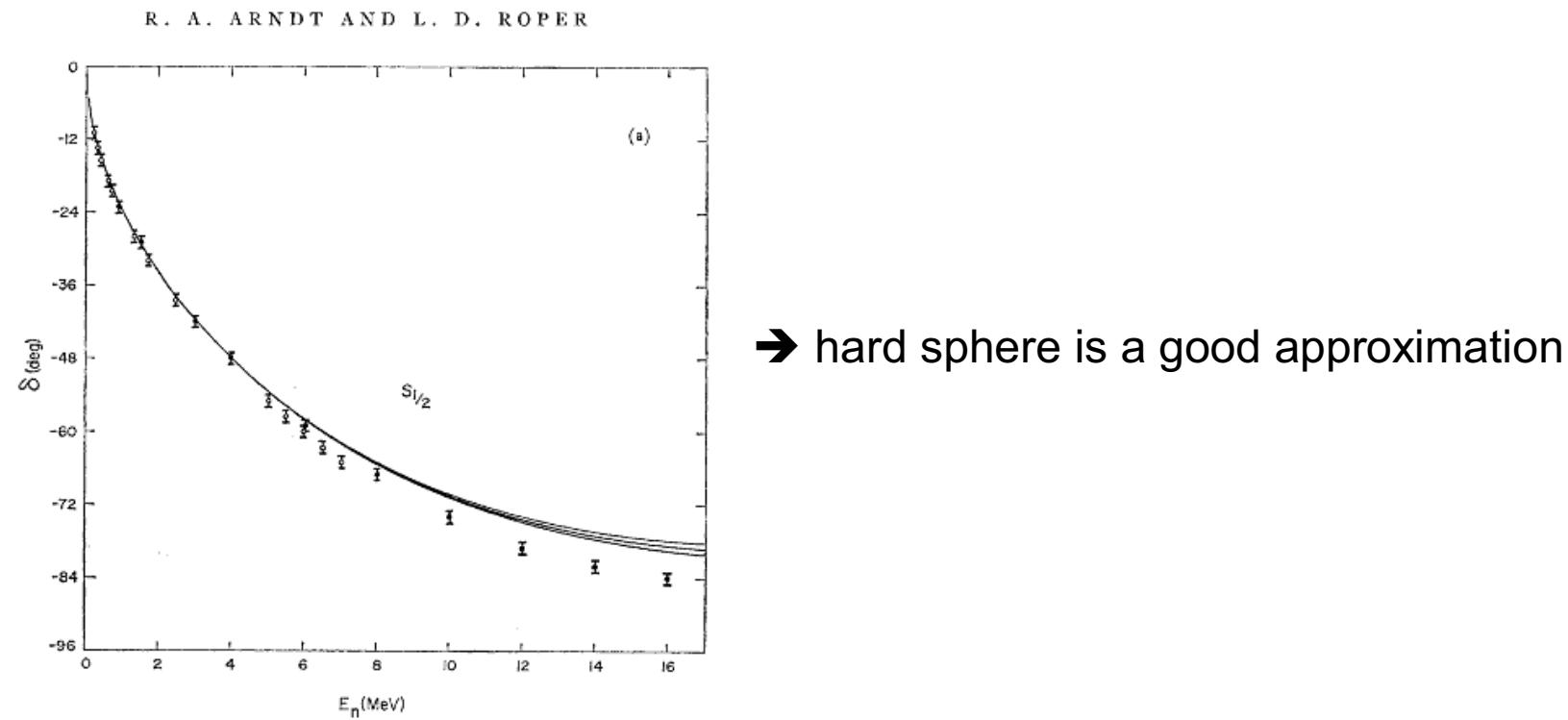


Special case: Neutrons, with  $\ell=0$ :

$$F_0(x)=\sin(x), G_0(x)=\cos(x)$$

$$\rightarrow \delta=-ka$$

example :  $\alpha+n$  phase shift  $\ell=0$



Elastic cross section with Coulomb:

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2, \text{ with } f(\theta) = \frac{1}{2ik} \sum_l (2l+1)(\exp(2i\delta_l) - 1)P_l(\cos\theta)$$

Still valid, but converges very slowly.

$$\delta_l = \delta_l^N + \delta_l^C$$

$$\begin{aligned} f(\theta) &= \frac{1}{2ik} \sum_l (2l+1)[\exp(2i\delta_l) - 1]P_l(\cos\theta) \\ &= \frac{1}{2ik} \sum_l (2l+1)[\exp(2i\delta_l) - \underbrace{\exp(2i\delta_l^C)}_{\text{Nuclear}} + \underbrace{\exp(2i\delta_l^C) - 1}_{\text{Coulomb: exact}}]P_l(\cos\theta) \end{aligned}$$

$$= f_N(\theta) + f_C(\theta)$$

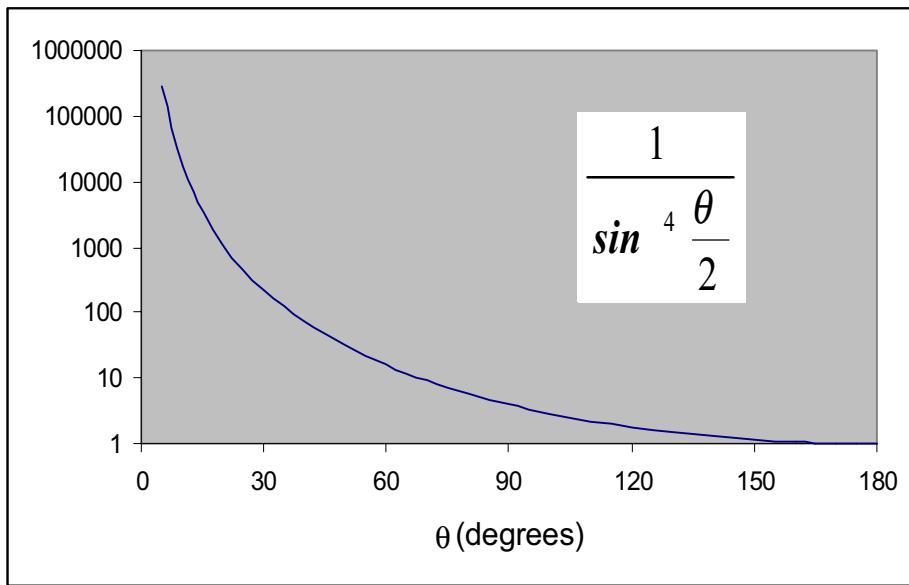
$$f_C(\theta) = \frac{-\eta}{2k \sin^2 \theta / 2} \exp(-i\eta \log(\sin^2 \theta / 2)) = \text{Coulomb amplitude}$$

$$\frac{d\sigma_C}{d\Omega} = |f_C(\theta)|^2 \quad = \text{Coulomb cross section: diverges for } \theta=0 \text{ increases at low energies}$$

$$f(\theta) = f_C(\theta) + f_N(\theta)$$

- $f_C(\theta)$ : Coulomb part: **exact**
- $f_N(\theta)$ : nuclear part: **converges rapidly**

$$\frac{d\sigma_C}{d\Omega} = |f_C(\theta)|^2 \sim \frac{1}{E^2} \frac{1}{\sin^4 \frac{\theta}{2}}$$



- The total Coulomb cross section is not defined (diverges)
- Coulomb is dominant at small angles  
→ **used to normalize data**
- Increases at low energies
- Minimum at  $\theta=180^\circ$  → nuclear effect maximum

### 3.c Extension to multichannel problems

- One channel: phase shift  $\delta \rightarrow U = \exp(2i\delta)$
- Multichannel: **collision matrix**  $U_{ij}$ , (symmetric, unitary) with  $i, j = \text{channels}$
- Good quantum numbers       $J = \text{total spin}$      $\pi = \text{total parity}$
- Channel  $i$  characterized by     $I = I_1 \oplus I_2 = \text{channel spin}$   
 $J = I \oplus \ell$      $\ell = \text{angular momentum}$
- Selection rules:     $|I_1 - I_2| \leq I \leq I_1 + I_2$   
 $|\ell - I| \leq J \leq \ell + I$   
 $\pi = \pi_1 * \pi_2 * (-1)^\ell$

#### Example of quantum numbers

$\alpha + {}^3\text{He}$      $\alpha = 0^+, {}^3\text{He} = 1/2^+$

$J$	$I$	$\ell$	size
$1/2^+$	$1/2$	$0, \cancel{X}$	1
$1/2^-$	$1/2$	$\cancel{X}, 1$	1
$3/2^+$	$1/2$	$\cancel{X}, 2$	1
$3/2^-$	$1/2$	$1, \cancel{X}$	1

$p + {}^7\text{Be}$      ${}^7\text{Be} = 3/2^-, p = 1/2^+$

$J$	$I$	$\ell$	size
$0^+$	1	1	1
	2	$\cancel{X}$	
$0^-$	1	$\cancel{X}$	1
	2	2	
$1^+$	1	$\cancel{X}, 1, \cancel{X}$	3
	2	$1, \cancel{X}, 3$	
$1^-$	1	$0, \cancel{X}, 2$	3
	2	$\cancel{X}, 2, \cancel{X}$	

## Cross sections

One channel:  $\frac{d\sigma}{d\Omega} = |f(\theta)|^2$ , with  $f(\theta) = \frac{1}{2ik} \sum_l (2l+1)(\exp(2i\delta_l) - 1) P_l(\cos \theta)$

Multichannel  $\frac{d\sigma}{d\Omega} = \sum_{K_1, K_2, K'_1, K'_2} |f_{K_1 K_2, K'_1 K'_2}(\theta)|^2$

With:  $K_1, K_2$  = spin orientations in the entrance channel

$K'_1, K'_2$  = spin orientations in the exit channel

$$f_{K_1 K_2, K'_1 K'_2}(\theta) = \sum_{J, \pi} \sum_{II, l'I'} \dots U_{II, l'I'}^{J\pi} Y_{l'}(\theta, 0)$$

Collision matrix

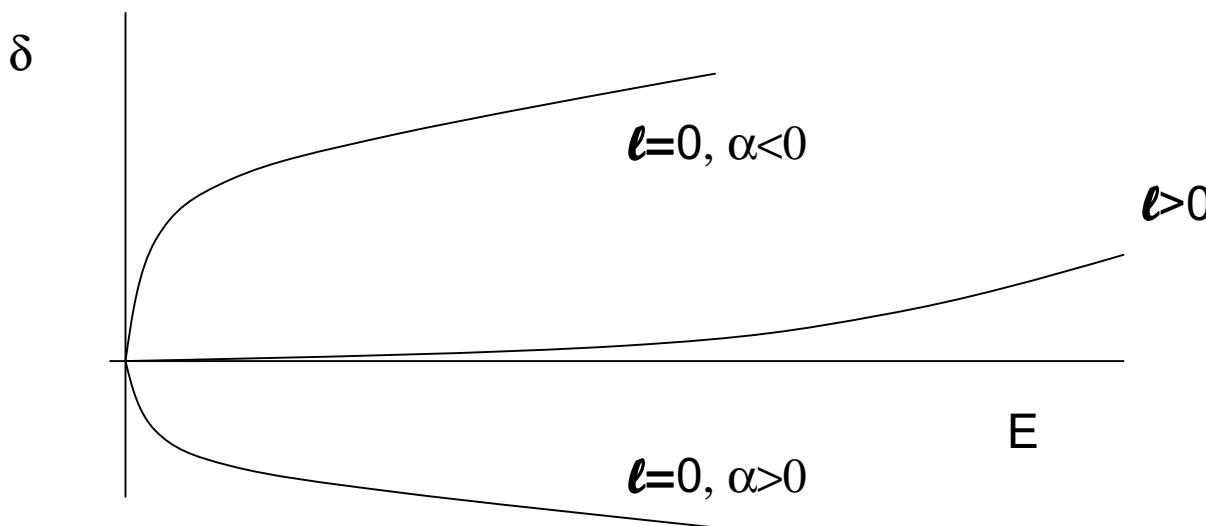
- generalization of  $\delta$ :  $U_{ij} = \eta_{ij} \exp(2i\delta_{ij})$
- determines the cross section

### 3.d Low-energy properties of the phase shifts

One defines  $\gamma = \frac{1}{u(a)} \left( \frac{du}{dr} \right)_{r=a}$  =logarithmic derivative at  $r=a$  (a large)

Then, for **very low E** (neutral system):  $\tan \delta_\ell \approx \frac{(ka)^{2\ell+1}}{(2\ell+1)!!(2\ell-1)!!} \left[ \frac{\ell - \gamma a}{\gamma a + \ell + 1} \right]$

For  $\ell=0$ :  $\alpha = -\lim_{k \rightarrow 0} \frac{\tan \delta_0(k)}{k}$  = scattering length



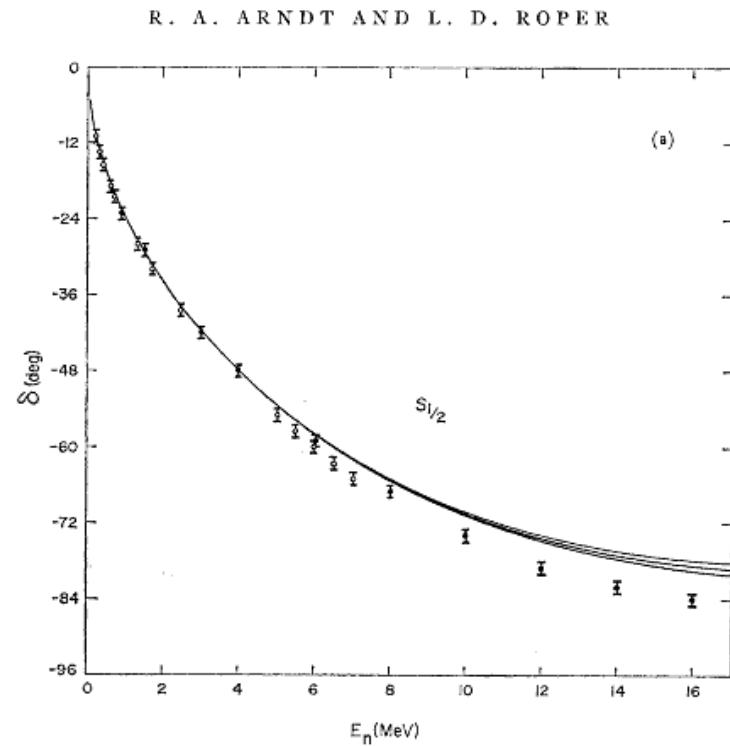
strong difference  
between  $\ell=0$  and  $\ell>0$

**Generalization**  $k \cot \delta_0(k) = -\frac{1}{\alpha} + \frac{1}{2} r_e k^2 + \dots$      $\alpha$ =scattering length  
 $r_e$ =effective range

Cross section at low E:  $\sigma = \frac{4\pi}{k^2} \sum_{\ell} (2\ell + 1) \sin^2 \delta_{\ell} \xrightarrow{k \rightarrow 0} 4\pi\alpha^2$  (isotropic)

In general (neutrons):  $\delta \sim k^{2\ell+1}$   
 $\sigma \sim k^{4\ell}$

Thermal neutrons (T=300K, E=25 meV): only  $\ell=0$  contributes



example :  $\alpha+n$  phase shift  $\ell=0$   
At low E:  $\delta \sim -k\alpha$  with  $\alpha > 0 \rightarrow$  repulsive

### 3.e General calculation

For some potentials: analytic solution of the Schrödinger equation

In general: no **analytic solution** → numerical approach

$$-\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} u_\ell(r) + (V(r) - E) u_\ell(r) = 0$$

with:  $V(r) = V_N(r) + \frac{Z_1 Z_2 e^2}{r} + \frac{\hbar^2 \ell(\ell+1)}{2\mu r^2}$

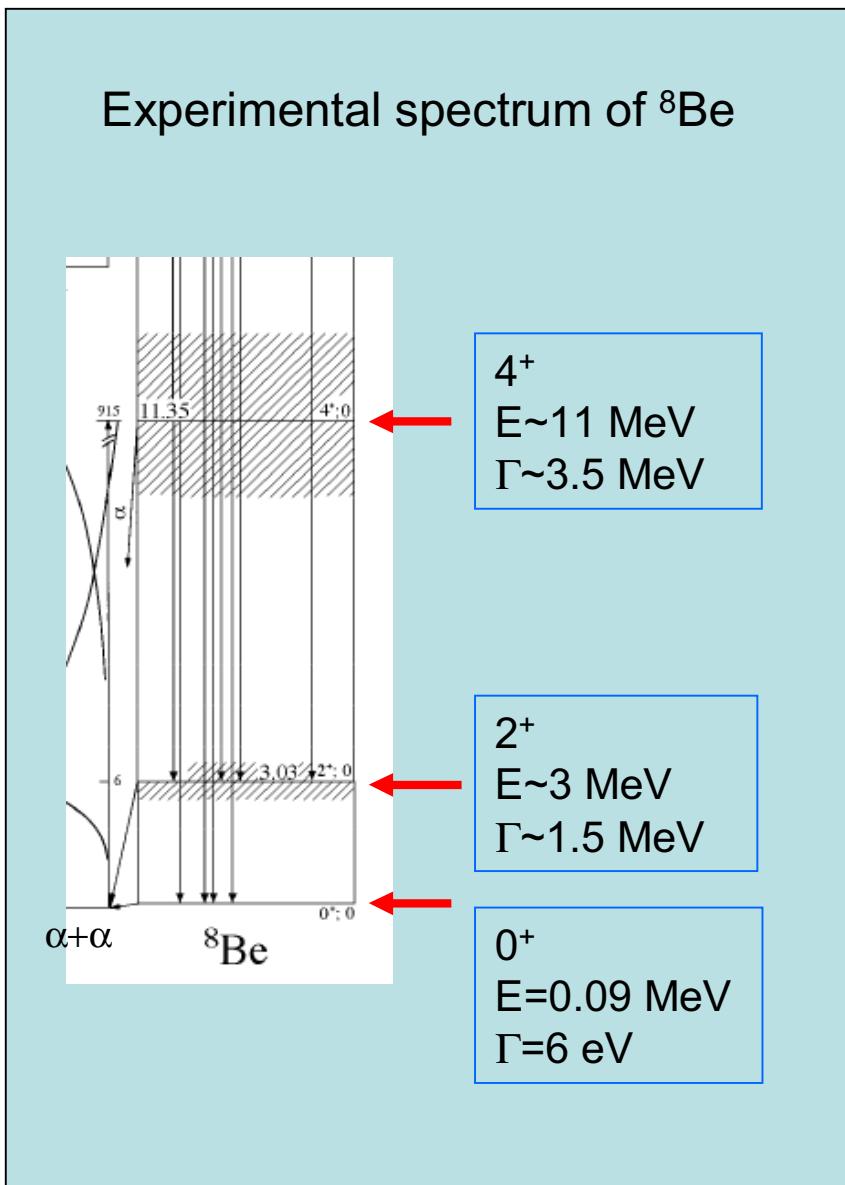
$$u_\ell(r) \rightarrow F_\ell(kr, \eta) \cos \delta_\ell + G_\ell(kr, \eta) \sin \delta_\ell$$

**Numerical solution** : discretization N points, with mesh size h

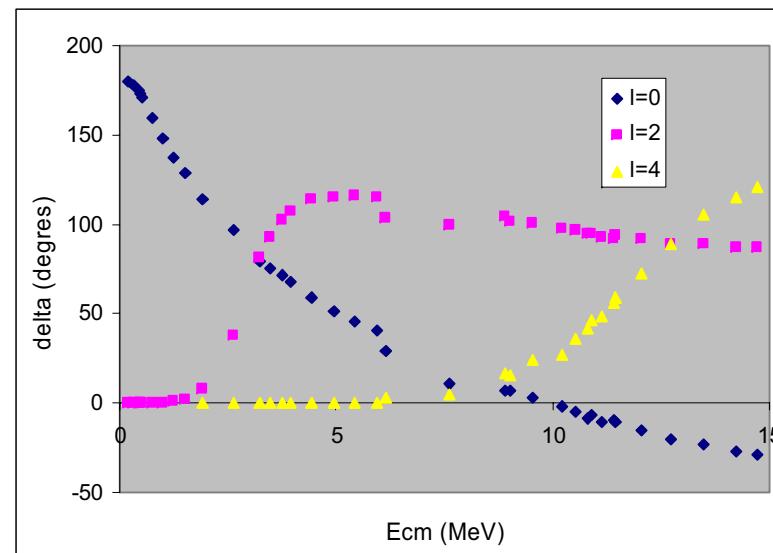
- $u_\ell(0)=0$
- $u_\ell(h)=1$  (or any constant)
- $u_\ell(2h)$  is determined numerically from  $u_\ell(0)$  and  $u_\ell(h)$  (Numerov algorithm)
- $u_\ell(3h), \dots, u_\ell(Nh)$
- for large r: matching to the asymptotic behaviour → phase shift

Bound states: same idea

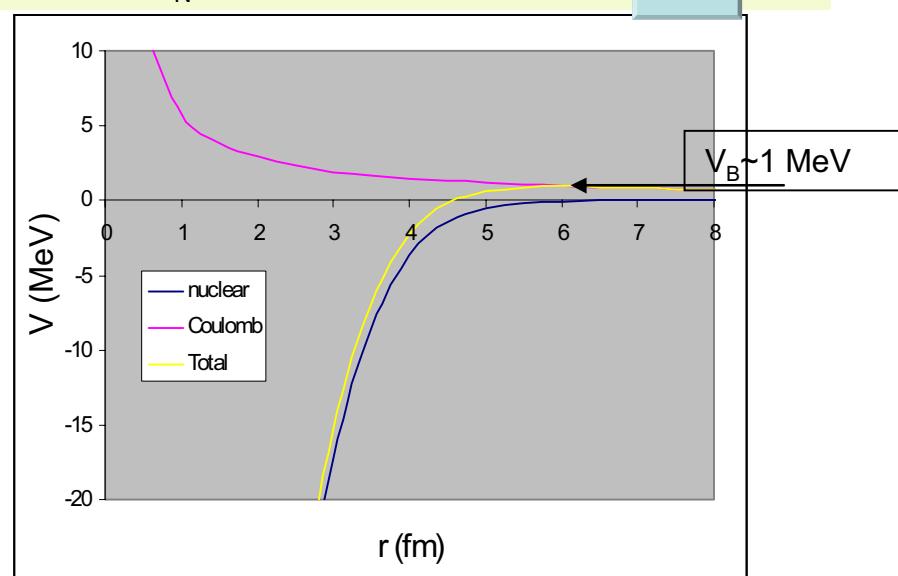
## Example: $\alpha+\alpha$



## Experimental phase shifts

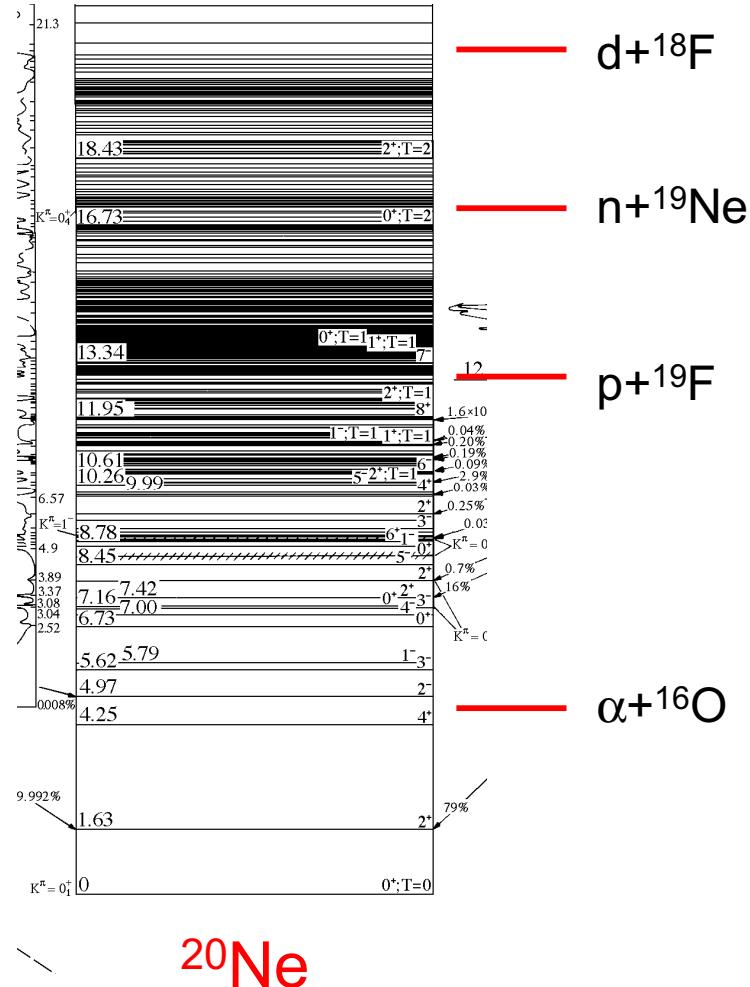


Potential:  $V_N(r) = -122.3 \cdot \exp(-(r/2.13)^2)$



### 3.f Optical model

Goal: to simulate absorption channels



High energies:

- many open channels
- strong absorption
- potential model extended to **complex** potentials (« optical »)

Phase shift is complex:  $\delta = \delta_R + i\delta_I$

collision matrix:  $U = \exp(2i\delta) = \eta \exp(2i\delta_R)$   
where  $\eta = \exp(-2\delta_I) < 1$

Elastic cross section

$$\frac{d\sigma}{d\Omega} = \frac{1}{4k^2} \left| \sum_{\ell} (2\ell + 1) (\eta_{\ell} \exp(2i\delta_{\ell}) - 1) P_{\ell}(\cos \theta) \right|^2$$

Reaction cross section:

$$\sigma = \frac{\pi}{k^2} \sum_{\ell} (2\ell + 1) (1 - \eta_{\ell}^2)$$

## 4. The R-matrix Method

Goals:

1. To solve the Schrödinger equation ( $E>0$  or  $E<0$ )

1. Potential model
2. 3-body scattering
3. Microscopic models
4. Many applications in nuclear and atomic physics

2. To fit cross sections

1. Elastic, inelastic → spectroscopic information on resonances
2. Capture, transfer → astrophysics

References:

- A.M. Lane and R.G. Thomas, Rev. Mod. Phys. 30 (1958) 257
- F.C. Barker, many papers

## Principles of the R-matrix theory

### Standard variational calculations

- Hamiltonian  $H\Psi = E\Psi$
- Set of  $N$  basis functions  $u_i(r)$  with  $\Psi(r) = \sum_i c_i u_i(r)$
- → Calculation of  $H_{ij} = \langle u_i | H | u_j \rangle$  over the **full space**  
 $N_{ij} = \langle u_i | u_j \rangle$

(example: gaussians:  $u_i(r) = \exp(-(r/a_i)^2)$ )

$$N_{ij} = \int_0^{\infty} u_i(r) u_j(r) dr$$

$$H_{ij} = \int_0^{\infty} u_i(T + V) u_j dr$$

- Eigenvalue problem :  $\sum_i (H_{ij} - EN_{ij})c_i = 0 \rightarrow$  upper bound on the energy
- But: Functions  $u_i(r)$  tend to zero → not directly adapted to scattering states

## Principles of the R-matrix theory

Extension to the R-matrix: includes boundary conditions

### a. Hamiltonian

$$H\Psi = E\Psi, \text{ with } H \rightarrow T + \frac{Z_1 Z_2 e^2}{r}$$

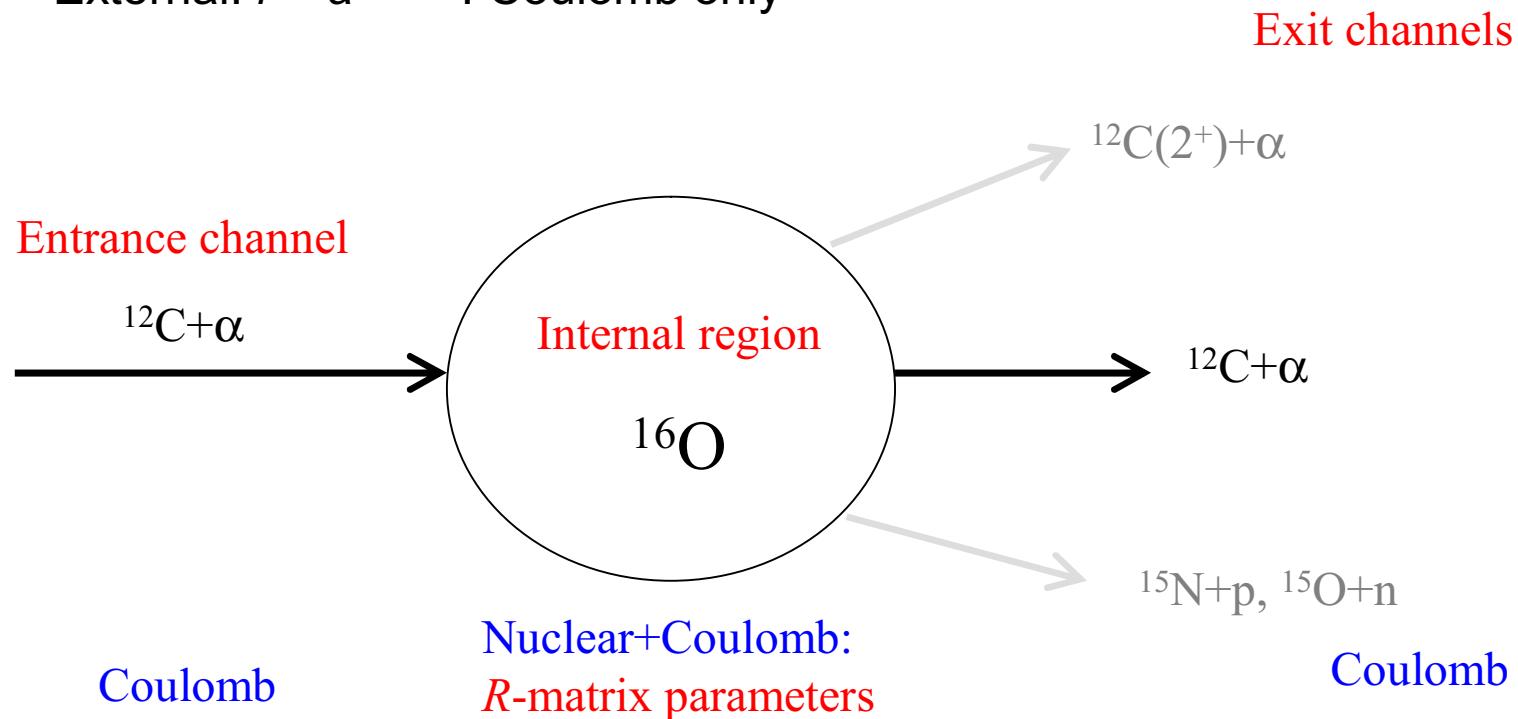
### b. Wave functions

Set of  $N$  basis functions  $u_i(r)$   $\Psi(r) = \sum_i c_i u_i(r)$  valid in a limited range

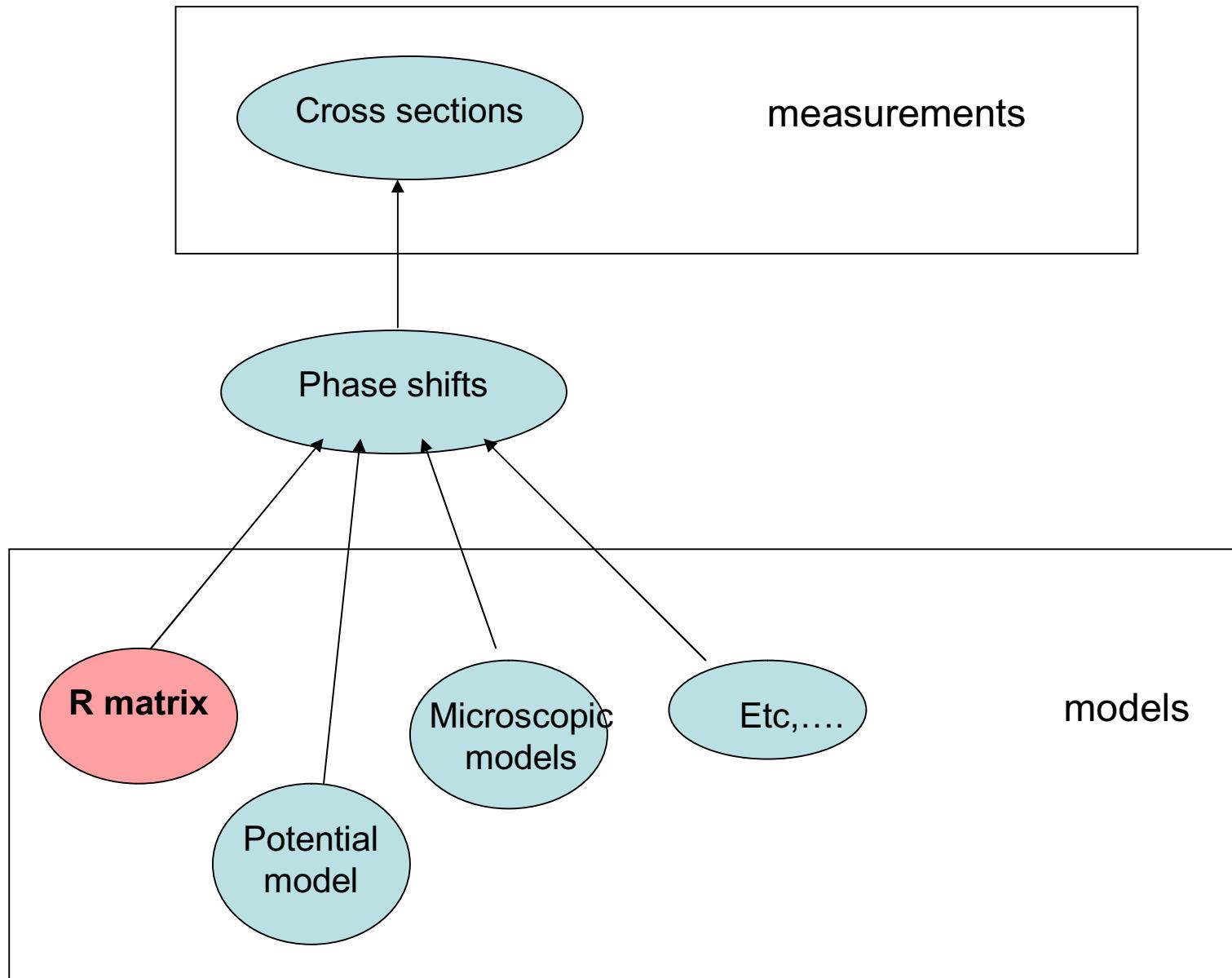
$$\text{with } \Psi \rightarrow (I_l(kr) - U_l * O_l(kr)) Y_l^m(\Omega)$$

→ correct asymptotic behaviour ( $E>0$  and  $E<0$ )

- Spectroscopy: short distances only
- Collisions : short *and* large distances
- R matrix: deals with collisions
- Main idea: to divide the space into 2 regions (radius  $a$ )
  - Internal:  $r \leq a$  : Nuclear + coulomb interactions
  - External:  $r > a$  : Coulomb only



Here: elastic cross sections



## Plan of the lecture:

- The *R*-matrix method: **two applications**
  - Solving the Schrödinger equation (essentially  $E>0$ )
  - Phenomenological *R*-matrix (fit of data)
- Applications
- Other reactions: capture, transfer, etc.

### c. R matrix

- N basis functions  $u_i(r)$  are valid in a *limited range* ( $r \leq a$ )  
 ⇒ in the **internal** region:

$$\Psi_{int}(r) = \sum_{i=1}^N c_i u_i(r), \text{ for } r \leq a \quad (c_i = \text{coefficients})$$

- At large distances the wave function is Coulomb  
 ⇒ in the **external** region:

$$\Psi_{ext}(r) = A(I_l(kr) - U_l * O_l(kr)), \text{ for } r > a$$

- Idea: to solve  $\sum_i (H_{ij} - EN_{ij})c_i = 0$   
 with  $H_{ij} = \langle u_i | H | u_j \rangle_{\text{int}}$  defined in the **internal** region  
 $N_{ij} = \langle u_i | u_j \rangle_{\text{int}}$

- But T is not hermitian over a finite domain,  
 $\langle u_i | T | u_j \rangle_{\text{int}} \neq \langle u_j | T | u_i \rangle_{\text{int}}$   
 $\int_0^a u_i \frac{d^2}{dr^2} u_j dr \neq \int_0^a u_j \frac{d^2}{dr^2} u_i dr, \text{ if } a \neq \infty$

- Bloch-Schrödinger equation:

$$(H - E + \mathcal{L}(L_0)) \Psi^{\ell m} = \mathcal{L}(L_0) \Psi^{\ell m},$$

- With  $\mathcal{L}(L_0)$ =Bloch operator (**surface operator**) – constant  $L_0$  arbitrary

$$\mathcal{L}(L_0) = \frac{\hbar^2}{2\mu m_N a} \delta(\rho - a) \left( \frac{d}{d\rho} - \frac{L_0}{\rho} \right) \rho,$$

- Now we have  $T + \mathcal{L}(L_0)$  hermitian:

$$\langle u_i | T + \mathcal{L}(L_0) | u_j \rangle_{int} = \langle u_j | T + \mathcal{L}(L_0) | u_i \rangle_{int}$$

- Since the Bloch operator acts at  $r=a$  only:

$$(H - E + \mathcal{L}(L_0)) \Psi_{int}^{\ell m} = \mathcal{L}(L_0) \Psi_{ext}^{\ell m},$$

- Here  $L_0=0$  (boundary-condition parameter)

- Summary:  $(H - E + \mathcal{L}(0)) \Psi_{int}^{\ell m} = \mathcal{L}(0) \Psi_{ext}^{\ell m}, \quad (1)$

$$\Psi_{int}^{\ell m} = \sum_i c_i^\ell u_i^\ell(r) \quad (2)$$

$$\Psi_{ext}^{\ell m} = (I_\ell(kr) - U^\ell(E)O_\ell(kr)) \quad (3)$$

- Using (2) in (1) and the continuity equation:

$$\sum_i c_i^\ell \underbrace{\langle u_j^\ell | H + \mathcal{L}(0) - E | u_i^\ell \rangle}_{D_{ij}(E)} = \langle u_j^\ell | \mathcal{L}(0) | \Psi_{ext}^{\ell m} \rangle, \quad \xrightarrow{\text{Provides } c_i \text{ (inversion of D)}}$$

$$\sum_i c_i^\ell u_i^\ell(a) = (I_\ell(ka) - U^\ell(E)O_\ell(ka)) \quad \text{Continuity at } r=a$$



$$U^\ell = \frac{I_\ell(ka) - kaI'_\ell(ka)R^\ell}{O_\ell(ka) - kaO'_\ell(ka)R^\ell}$$

$$\begin{aligned}
U^\ell &= \frac{I_\ell(ka) - kaI'_\ell(ka)R^\ell}{O_\ell(ka) - kaO'_\ell(ka)R^\ell} \\
&= \frac{I_\ell(ka)}{O_\ell(ka)} \frac{1 - L^*R^\ell}{1 - LR^\ell} = \exp(2i\delta^\ell) \quad L = ka \frac{O'_\ell(ka)}{O_\ell(ka)}
\end{aligned}$$

With the R-matrix defined as

$$R^\ell(E) = \frac{\hbar^2 a}{2\mu} \sum_{ij} u_i^\ell(a) (D^\ell(E))_{ij}^{-1} u_j^\ell(a)$$

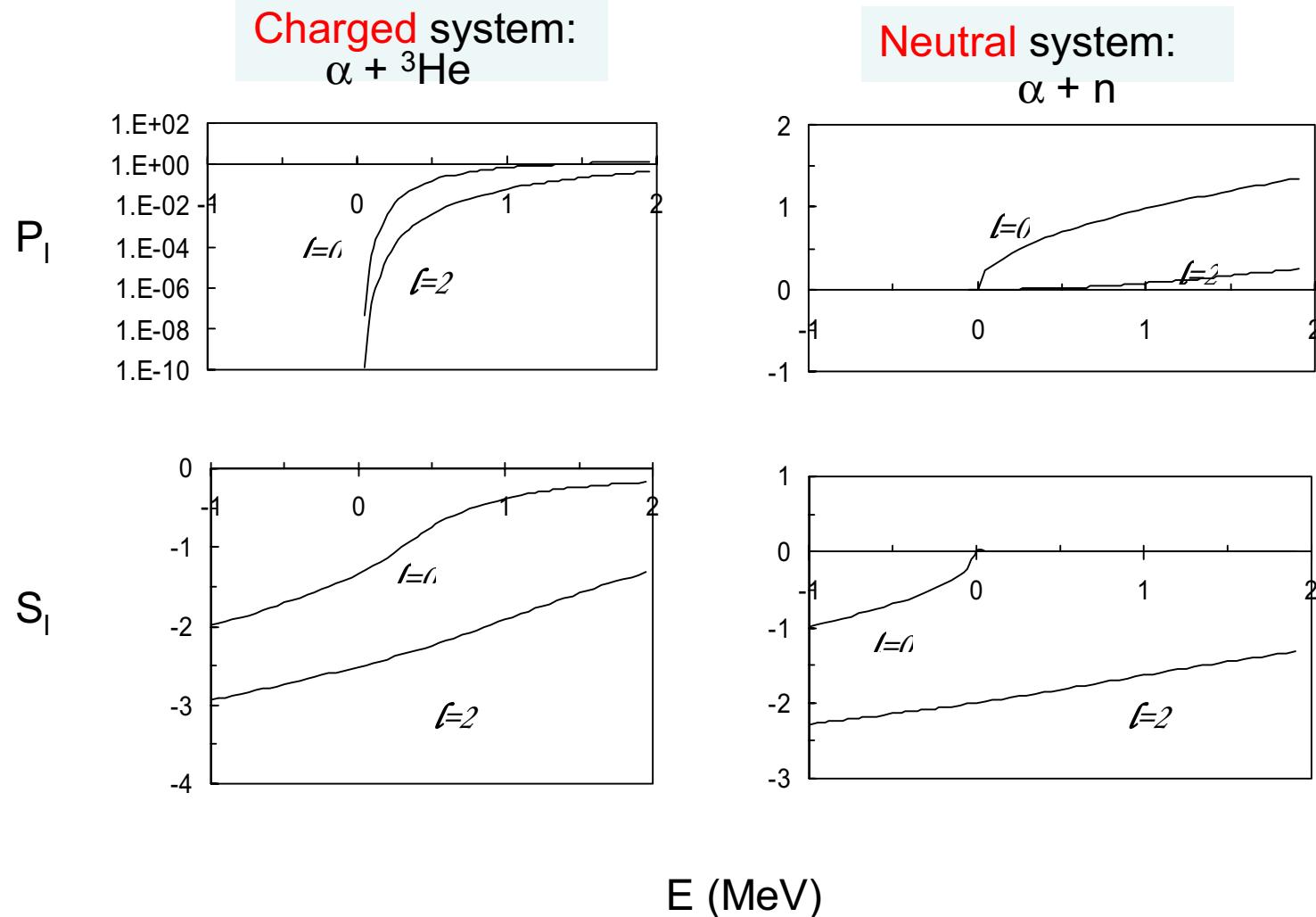
If the potential is real:       $R$  real,  
 $|U|=1$ ,  $\delta$  real

### Procedure (for a given $\ell$ ):

1. Compute matrix elements  $D_{ij}^\ell(E) = \langle u_i^\ell | H + \mathcal{L}(0) - E | u_j^\ell \rangle_{int}$
2. Invert matrix D
3. Compute R matrix
4. Compute the collision matrix U

$$L = ka \frac{O'_\ell(ka)}{O_\ell(ka)} = S(E) + iP(E)$$

$S(E)$ =shift factor  
 $P(E)$ =penetration factor



## Interpretation of the R matrix

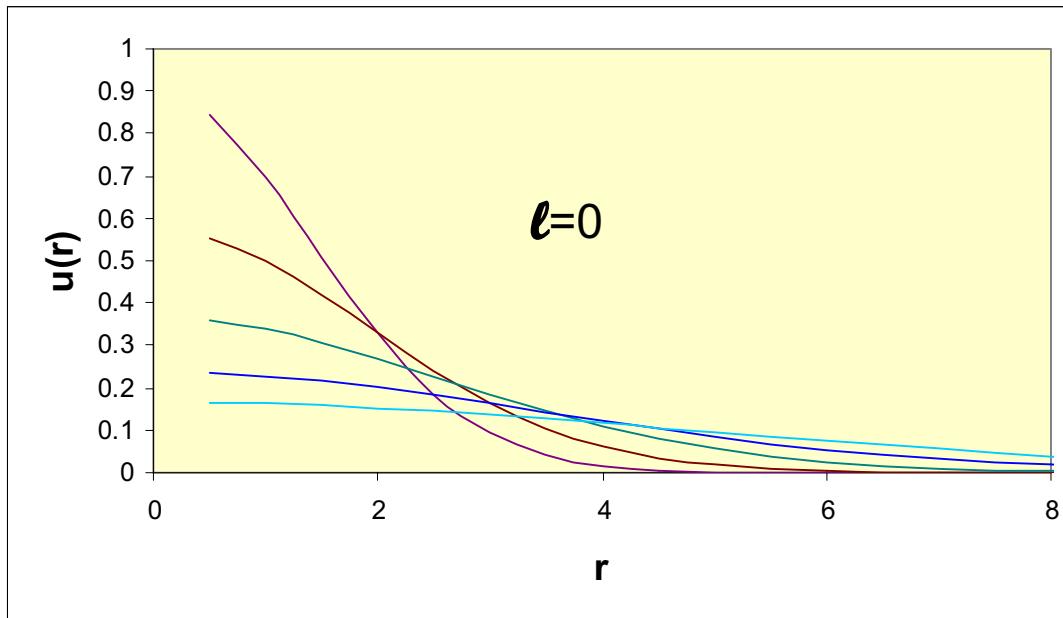
$$\Psi_{ext}^\ell(r) = A(I_\ell(kr) - U^\ell(E)O_\ell(kr)) \quad (1)$$

$$U^\ell = \frac{I_\ell(ka) - kaI'_\ell(ka)R^\ell}{O_\ell(ka) - kaO'_\ell(ka)R^\ell} \rightarrow R^\ell = \frac{I_\ell(ka) - U^\ell O_\ell(ka)}{ka(I'_\ell(ka) - U^\ell O'_\ell(ka))}$$

From (1):  $\frac{\Psi'^\ell(a)}{\Psi^\ell(a)} = k \frac{I'_\ell(ka) - U^\ell O'_\ell(ka)}{I_\ell(ka) - U^\ell O_\ell(ka)} = \frac{1}{aR^\ell}$

Then:  $R^\ell = \frac{1}{a(\log \Psi^\ell(a))'}$  = inverse of the logarithmic derivative at a

Example  $u_i^\ell(r) = r^\ell \exp(-(r/a_i)^2)$  Gaussians with different widths



$$\begin{aligned} N_{ij} &= \langle u_i^\ell | u_j^\ell \rangle_{int} \\ &= \int_0^a r^{2\ell} \exp(-(r/a_i)^2 - (r/a_j)^2) dr \end{aligned}$$

Can be done exactly  
(incomplete  $\gamma$  function)

Matrix elements of  $H$  can be calculated analytically for gaussian potentials

Other potentials: numerical integration

## Input data

- Potential
- Set of  $n$  basis functions (here gaussians with different widths)
- Channel radius  $a$

## Requirements

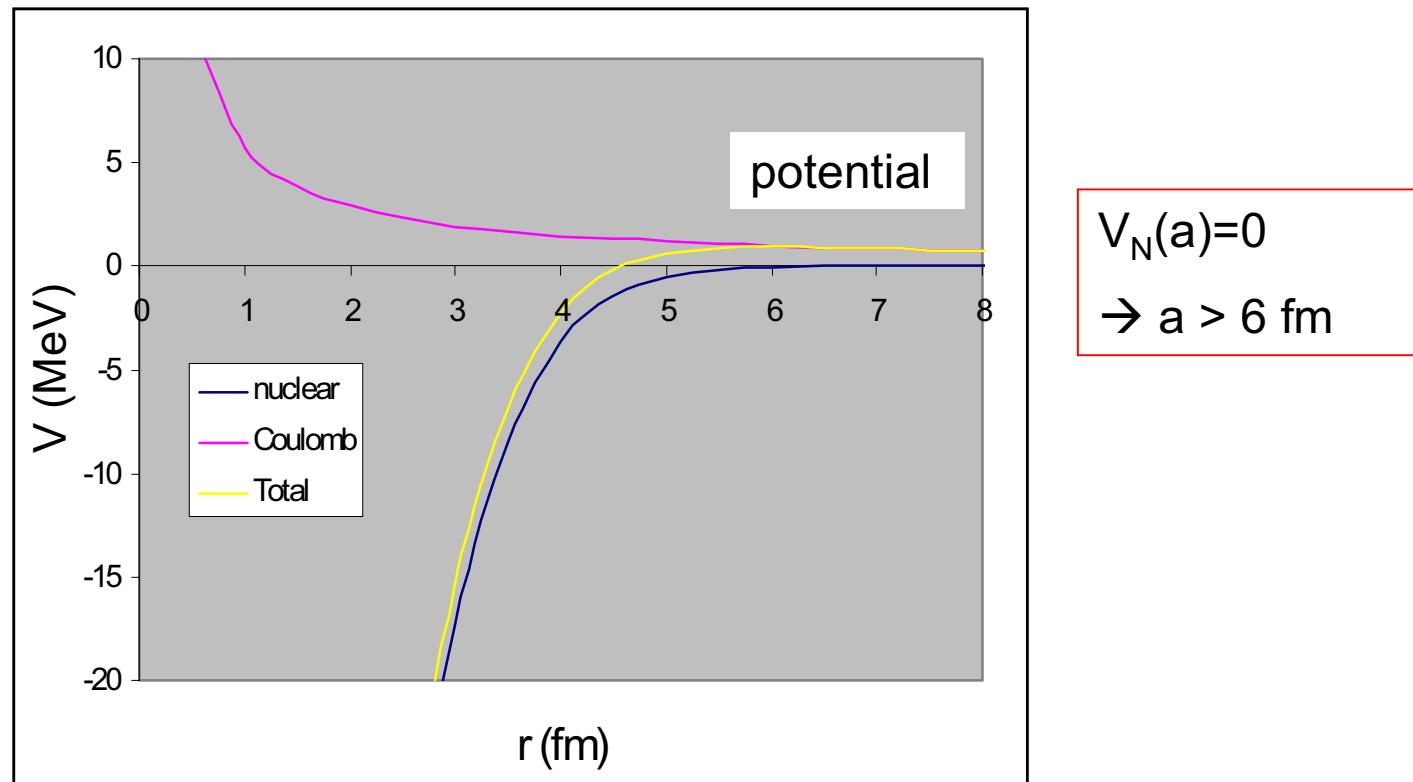
- $a$  large enough :  $V_N(a) \sim 0$
- $n$  large enough (to reproduce the internal wave functions)
- $n$  as small as possible (computer time)  
→ compromise

## Tests

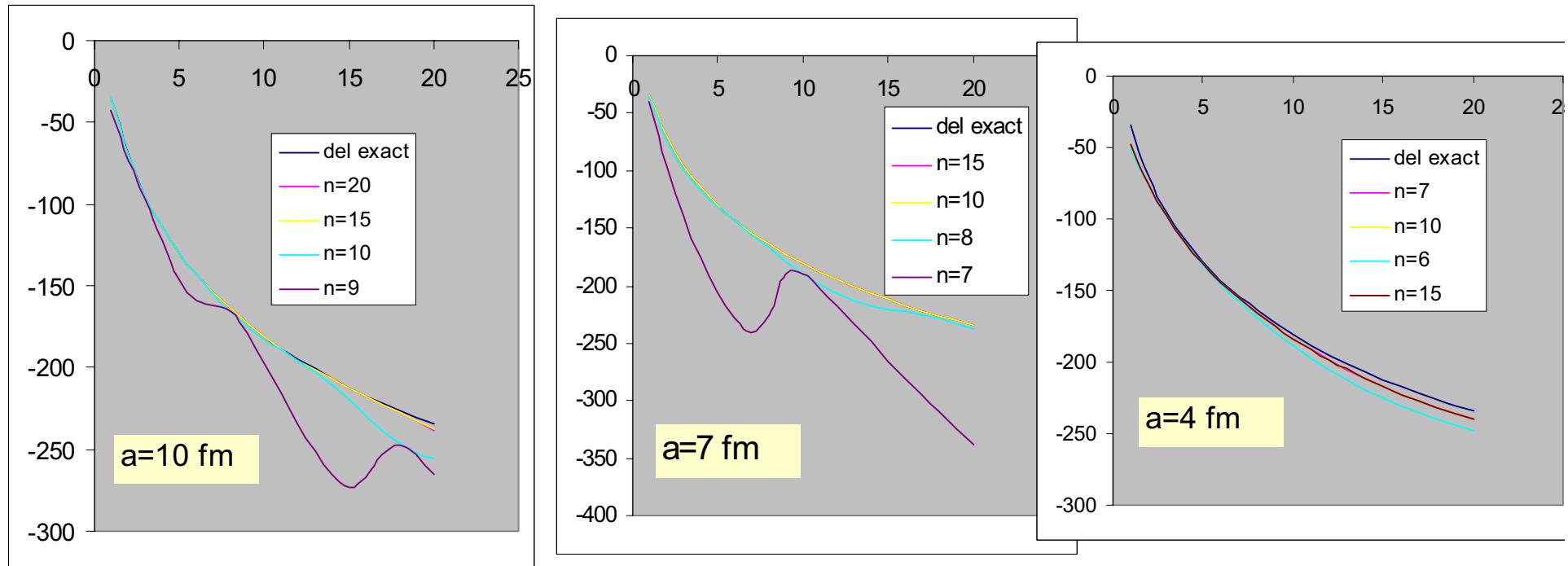
- Stability of the phase shift with the channel radius  $a$
- Continuity of the derivative of the wave function

## Results for $\alpha+\alpha$

- potential :  $V(r)=-126 \cdot \exp(-(r/2.13)^2)$  (Buck potential)
- Basis functions:  $u_i(r)=r^\ell \cdot \exp(-(r/a_i)^2)$   
with  $a_i=x_0 \cdot a_0^{(i-1)}$  (geometric progression)  
typically  $x_0=0.6$  fm,  $a_0=1.4$

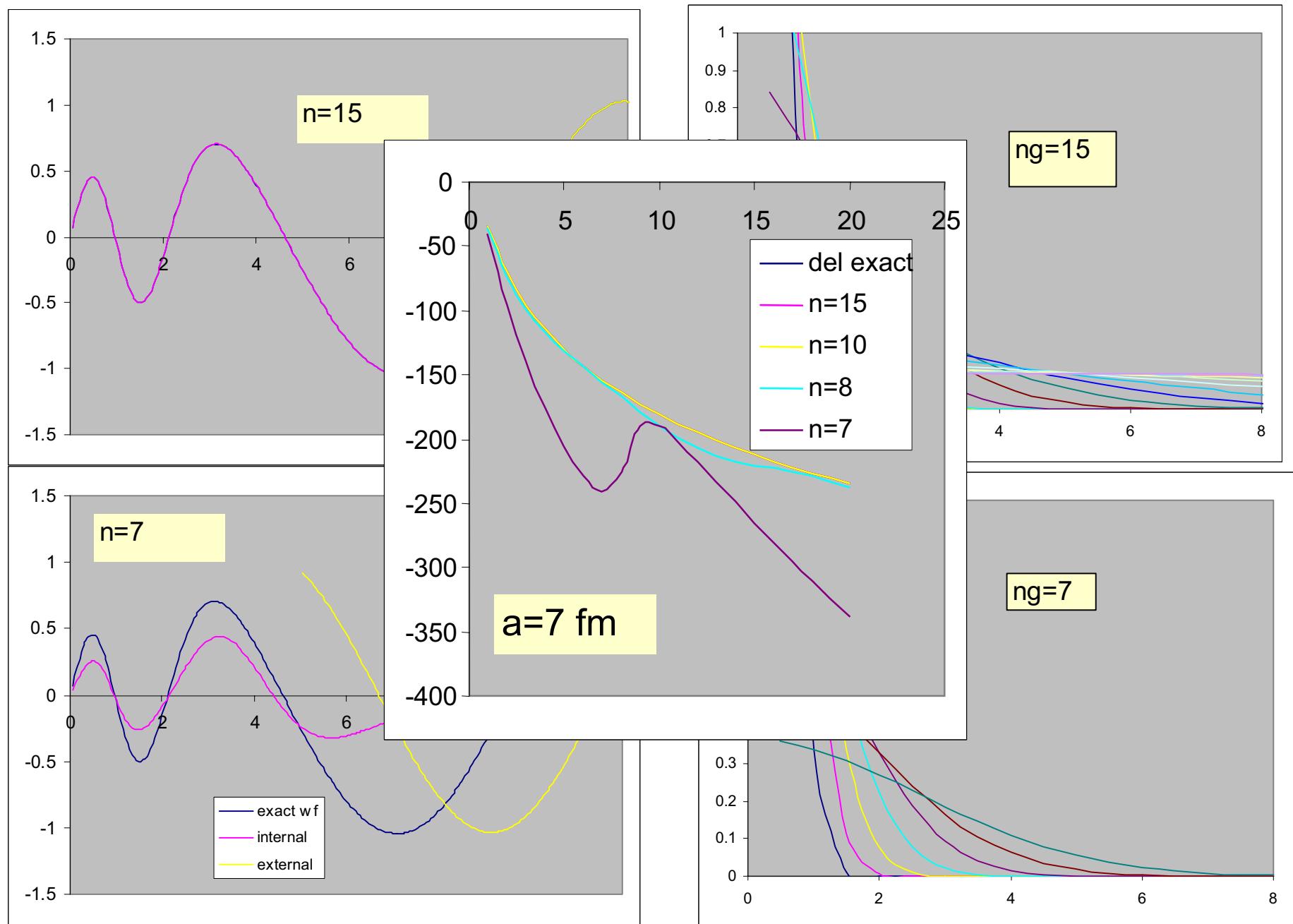


## Elastic phase shifts



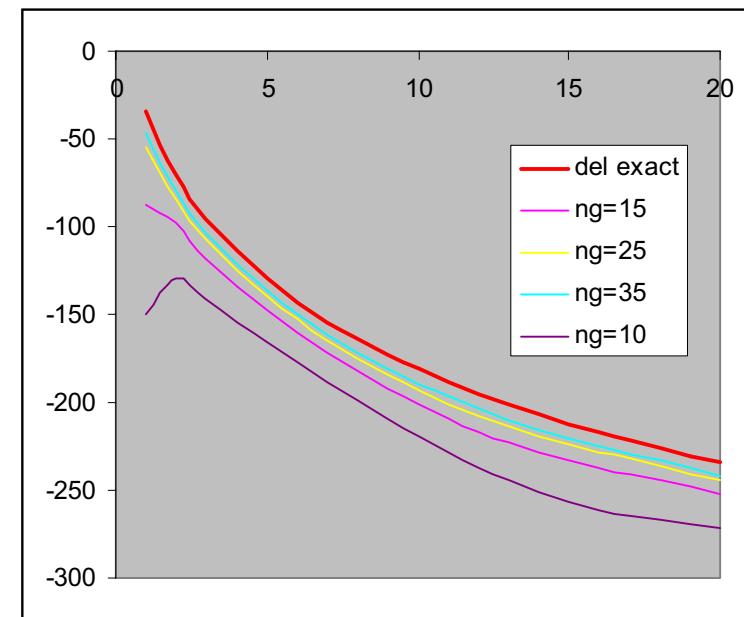
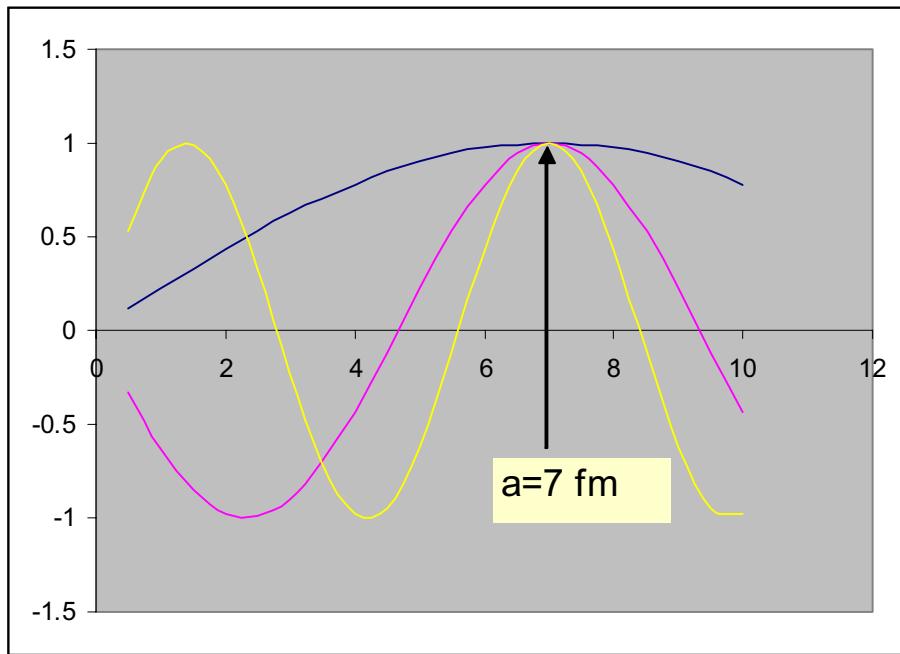
- $a=10 \text{ fm}$  too large (needs too many basis functions)
- $a=4 \text{ fm}$  too small (nuclear not negligible)
- $a=7 \text{ fm}$  is a good compromise

# Wave functions at 5 MeV, $a = 7$ fm



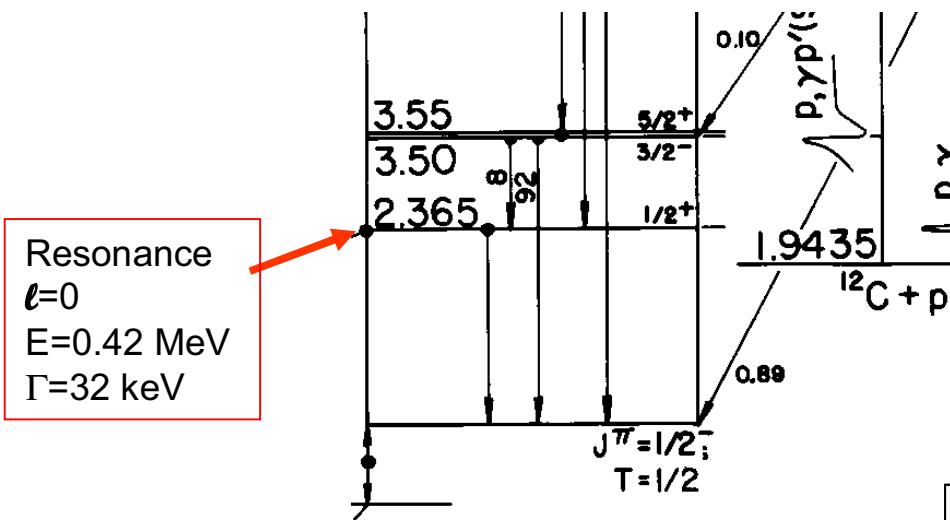
Other example : sine functions     $u_i^\ell(r) = \sin \frac{\pi r}{a} (n - \frac{1}{2})$

- Matrix elements very simple
- Derivative  $u'_i(a)=0$

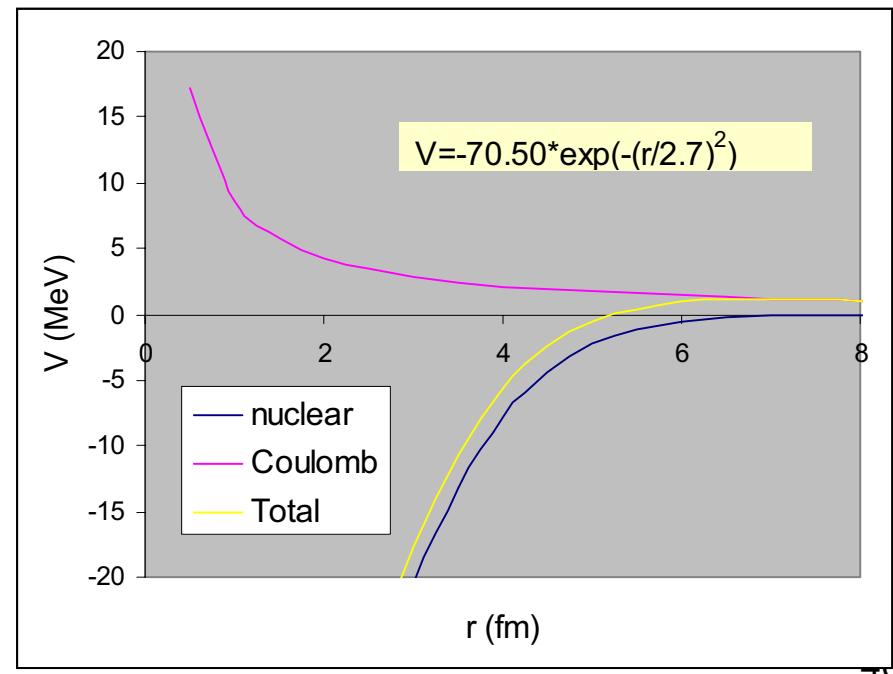


➔ Not a good basis (no flexibility)

## Example of **resonant** reaction: $^{12}\text{C} + \text{p}$

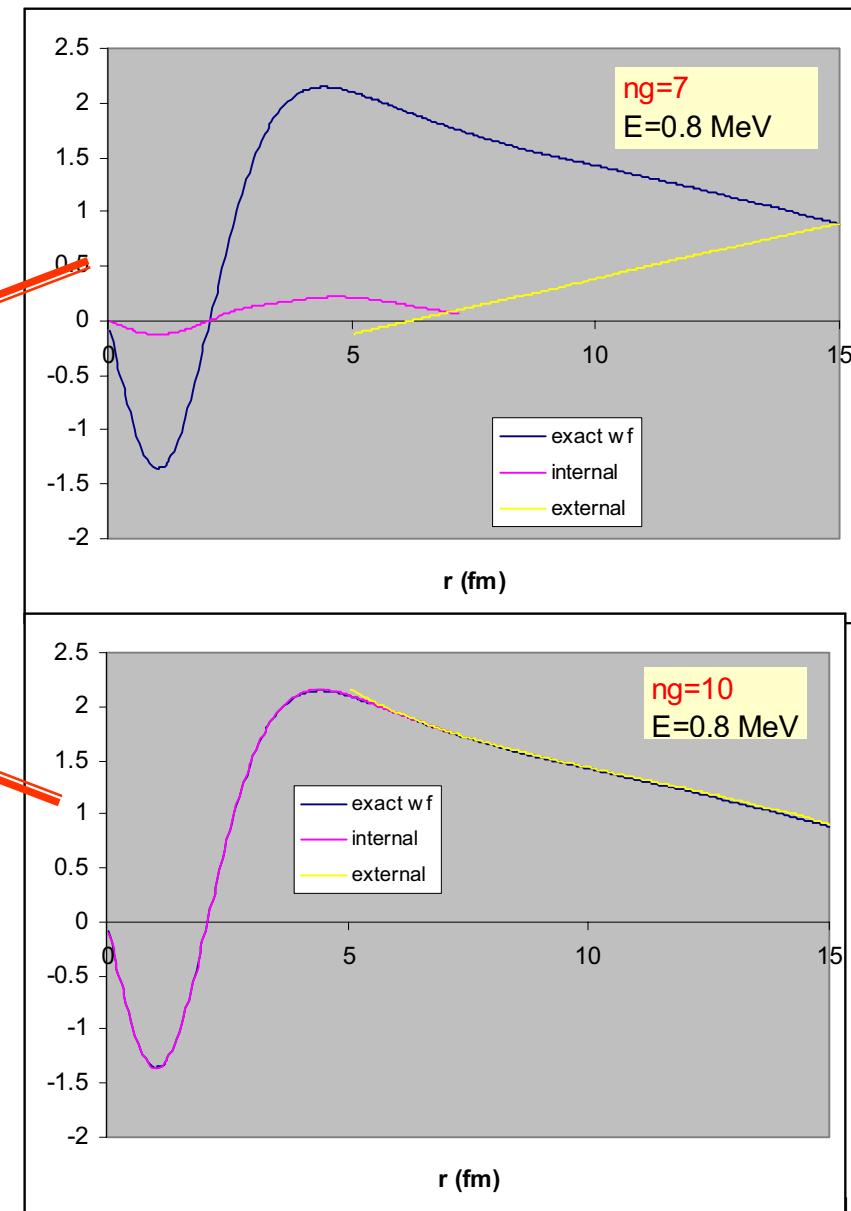
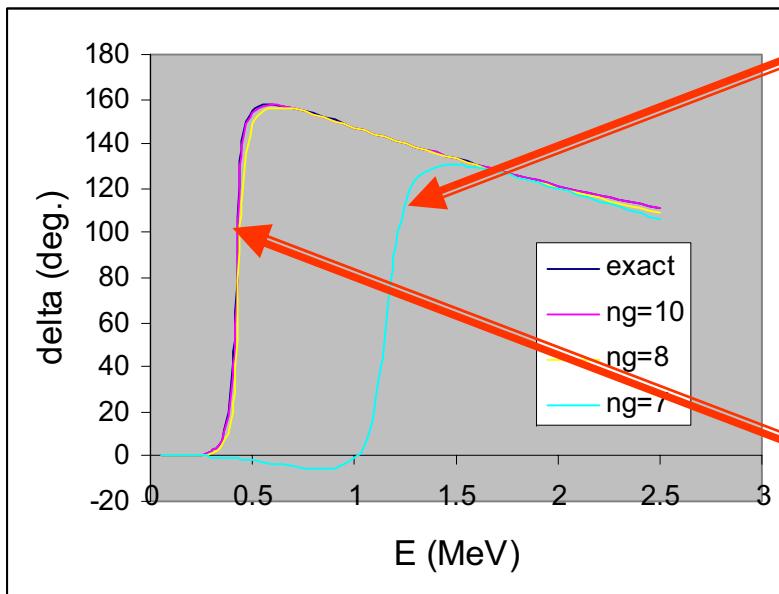


- potential :  $V=-70.5\exp(-(r/2.70)^2)$
- Basis functions:  $u_i(r)=r^\ell\exp(-(r/a_i)^2)$



## Wave functions

### Phase shifts $a=7$ fm



## Resonance energies

$$\begin{aligned} U^\ell &= \frac{I_\ell(ka)}{O_\ell(ka)} \frac{1 - L^* R^\ell}{1 - LR^\ell}, \text{ with } L(E) = S(E) + iP(E) \\ &= \exp(2i\delta^\ell) = \exp(2i(\delta_{HS}^\ell + \delta_R^\ell)) \end{aligned}$$

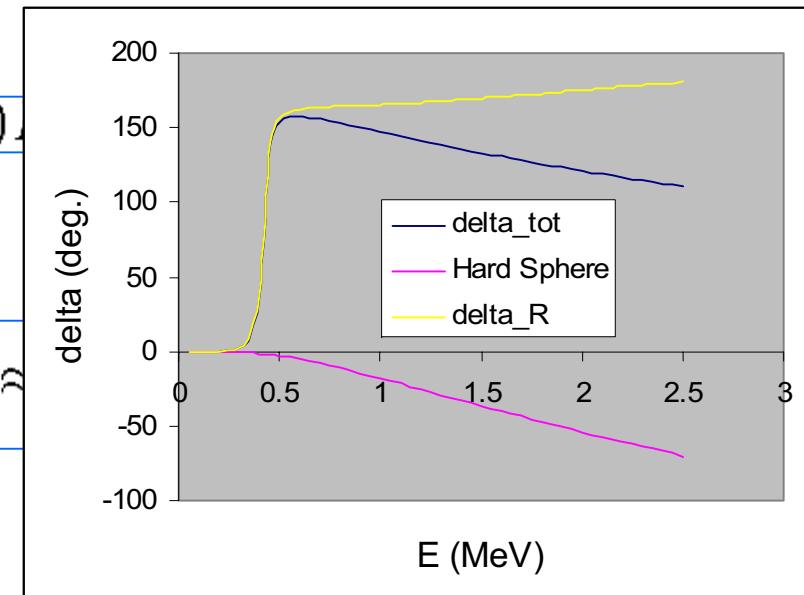
with

$$\begin{aligned} \exp(2i\delta_{HS}^\ell) &= \frac{I_\ell(ka)}{O_\ell(ka)} \rightarrow \delta_{HS}^\ell = -\arctan \frac{F_\ell(ka)}{G_\ell(ka)} && \text{Hard-sphere phase shift} \\ \exp(2i\delta_R^\ell) &= \frac{1 - L^* R^\ell}{1 - LR^\ell} \rightarrow \delta_R^\ell = \arctan \frac{PR}{1 - SR} && \text{R-matrix phase shift} \end{aligned}$$

- Resonance energy  $E_r$  defined by  $1 - S(E_r) = 0$

In general: must be solved numerically

- Resonance width  $\Gamma$  defined by  $\tan \delta_R(E) \approx \infty$



## Application of the R-matrix to bound states

**Positive energies:**  $\ddot{u}_l - \frac{l(l+1)}{r^2} u_l(r) + (k^2 - 2k\eta) u_l(r) = 0$

**Negative energies:**  $\ddot{u}_l - \frac{l(l+1)}{r^2} u_l(r) + (-k^2 - 2k\eta) u_l(r) = 0$

Coulomb functions  $F_\ell, G_\ell$

Whittaker functions  $W_{-\eta, \ell+1/2}(2x)$

Asymptotic behaviour:  $F_\ell(x) \rightarrow \sin(x - \ell \frac{\pi}{2} - \eta \log 2x)$

$$G_\ell(x) \rightarrow \cos(x - \ell \frac{\pi}{2} - \eta \log 2x)$$

$$W_{-\eta, \ell+1/2}(2x) \rightarrow \frac{\exp(-x)}{x^\eta}$$

Starting point of the R matrix:

$$H\Psi = E\Psi$$

$$H \rightarrow T + \frac{Z_1 Z_2 e^2}{r}$$

$$\Psi \rightarrow W_{-\eta, \ell+1/2}(2kr) Y_l^m(\Omega)$$

- R matrix equations  $(H - E + \mathcal{L}(L)) \Psi_{int}^{\ell m} = \mathcal{L}(L) \Psi_{ext}^{\ell m}, \quad (1)$

$$\Psi_{int}^{\ell m} = \sum_i c_i^\ell u_i^\ell(r) \quad (2)$$

$$\Psi_{ext}^{\ell m} = C W_{-\eta, \ell+1/2}(2kr) \quad (3)$$

With **C**=ANC (Asymptotic Normalization Constant): important in “external” processes

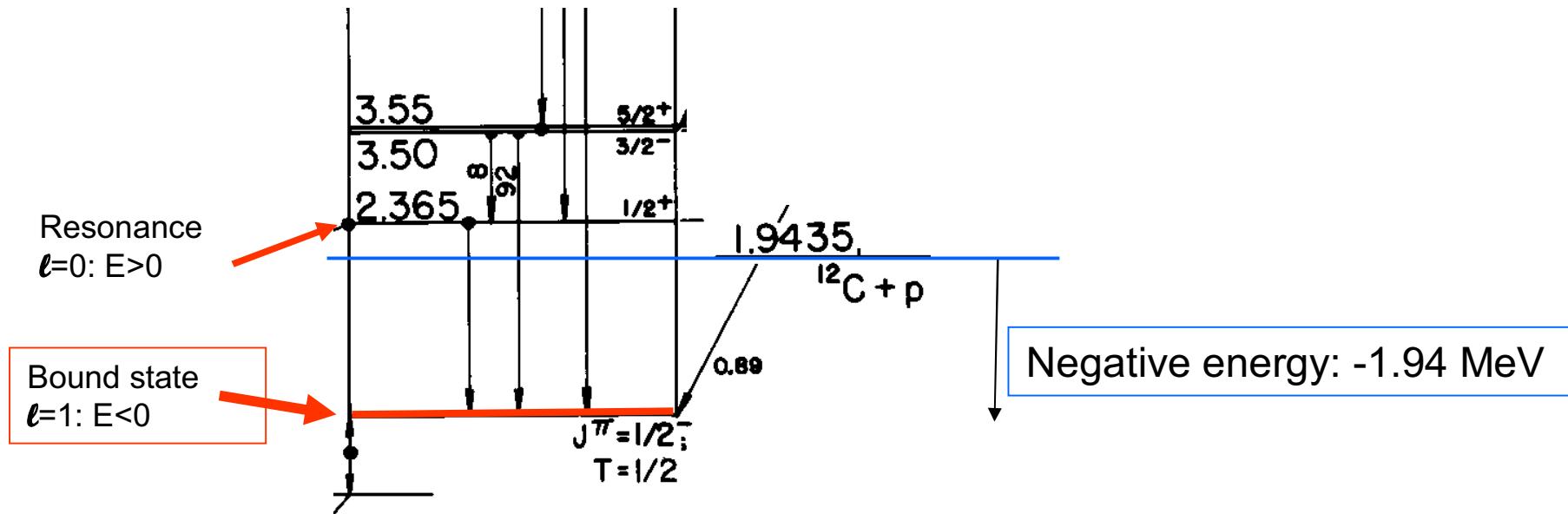
- Using (2) in (1) and the continuity equation:

$$\sum_i c_i^\ell \underbrace{\langle u_i^\ell | H + \mathcal{L}(L) - E | u_i^\ell \rangle}_{D_{ij}(E)}_{int} = \langle u_j^\ell | \mathcal{L}(L) | \Psi_{ext}^\ell \rangle = 0,$$

if  $L = 2ka \frac{W'(2ka)}{W(2ka)}$

- But:  $L$  depends on the energy, which is not known → **iterative procedure**

## Application to the ground state of $^{13}\text{N} = ^{12}\text{C} + \text{p}$



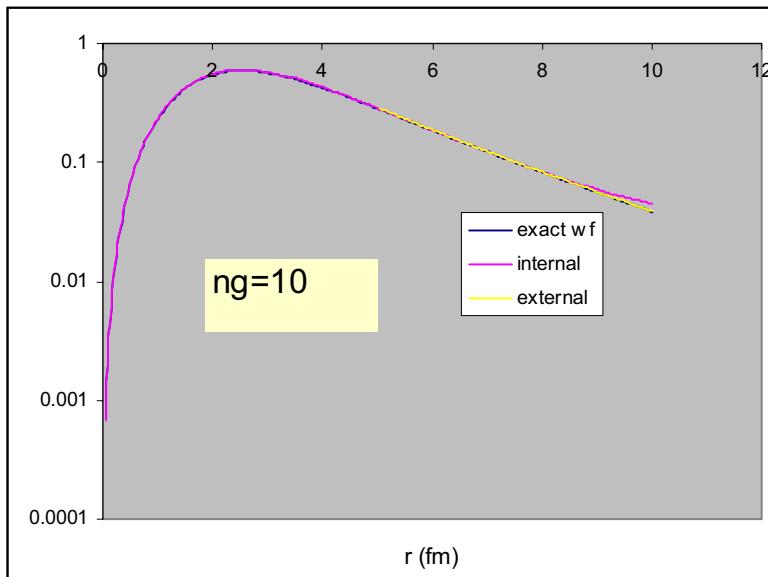
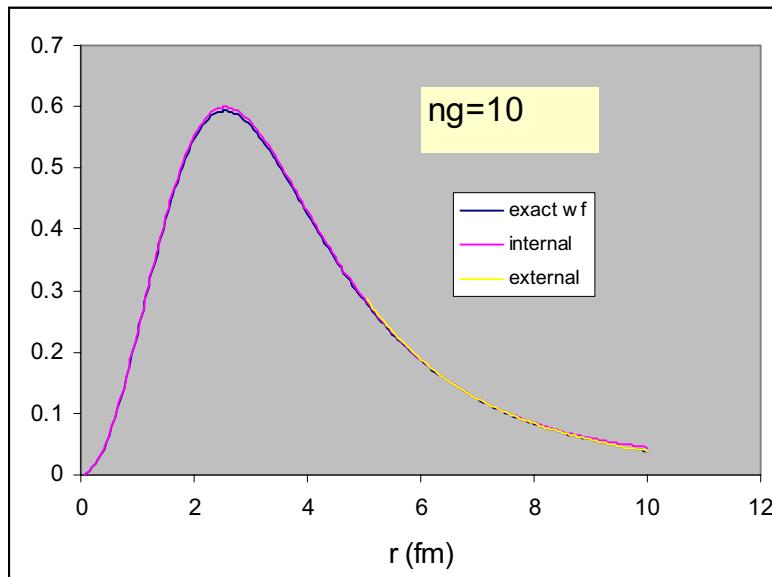
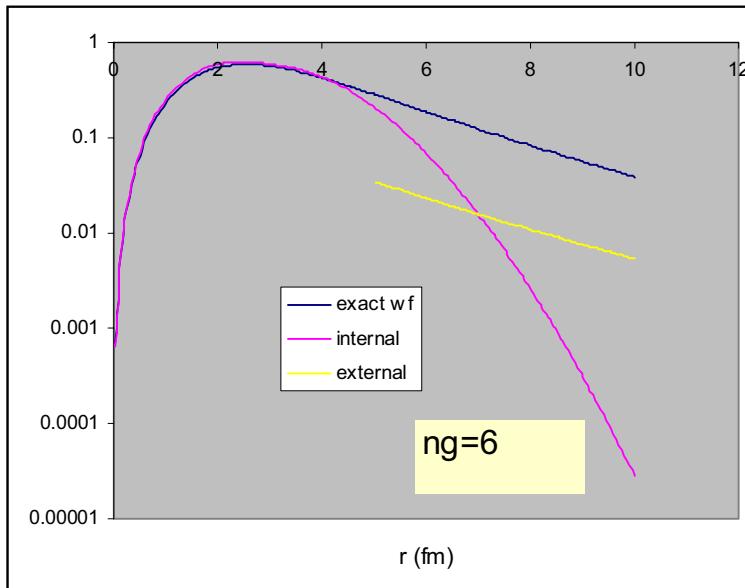
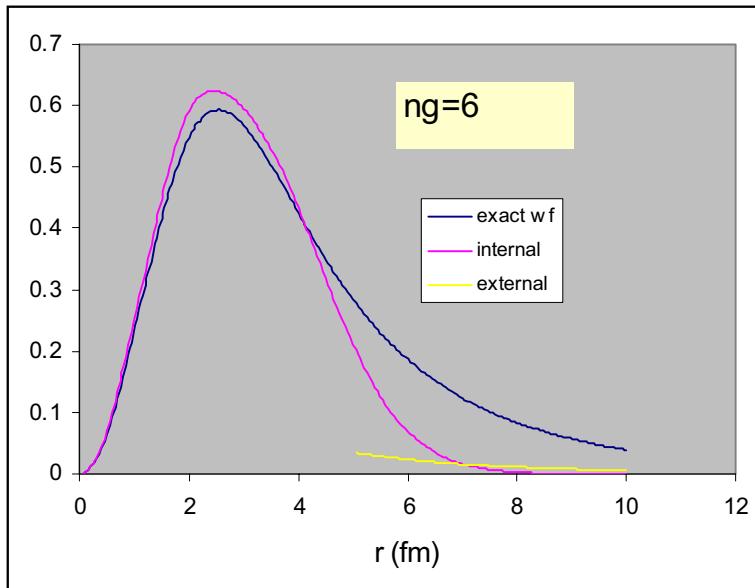
- Potential :  $V=-55.3*\exp(-(r/2.70)^2)$
- Basis functions:  $u_i(r)=r^\ell*\exp(-(r/a_i)^2)$  (as before)

## Calculation with $a=7$ fm

- $ng=6$  (poor results)
- $ng=10$  (good results)

Iteration	ng=6	ng=10
1	-1.500	-2.190
2	-1.498	-1.937
3	-1.498	-1.942
		-1.942
Final	-1.498	-1.942
Exact	-1.942	
Left derivative	-1.644	-0.405
Right derivative	-0.379	-0.406

## Wave functions ( $a=7$ fm)



## 5. Phenomenological R matrix

- Goal: fit of experimental data
- Basis functions  $\Psi_{int}^\ell(r) = \sum_i c_i^\ell u_i^\ell(r)$
- R matrix equations

$$R(E) = \frac{\hbar^2 a}{2\mu} \sum_{ij} u_i^\ell(a) (D^\ell(E))_{ij}^{-1} u_j^\ell(a)$$

$$\text{with } D_{ij}^\ell(E) = \langle u_i^\ell | H + \mathcal{L}(0) - E | u_j^\ell \rangle_{int}$$

The choice of the basis functions is arbitrary

BUT must be consistent in  $R(E)$  and  $D(E)$

## Change of basis

- Eigenstates of  $(H + \mathcal{L}(0))\Psi_\lambda = E_\lambda\Psi_\lambda$  over the internal region
- $\Psi_\lambda$  expanded over the same basis:  $\Psi_\lambda(r) = \sum_i d_i^\lambda u_i(r)$
- → standard diagonalization problem

$$\sum_i d_i^\lambda \langle u_i | H + \mathcal{L}(0) - E_\lambda | u_j \rangle_{int} = 0$$

Instead of using  $u_i(r)$ , one uses  $\Psi_i(r)$

$$R(E) = \frac{\hbar^2 a}{2\mu} \sum_{\lambda\lambda'} \Psi_\lambda(a) (D^\ell(E))_{\lambda\lambda'}^{-1} \Psi_{\lambda'}(a)$$

$$\begin{aligned} D_{\lambda\lambda'}^\ell(E) &= \langle \Psi_\lambda | H + \mathcal{L}(0) - E | \Psi_{\lambda'} \rangle_{int} \\ &= (E_\lambda - E) \delta_{\lambda\lambda'} \end{aligned}$$

$$R(E) = \frac{\hbar^2 a}{2\mu} \sum_{\lambda} \frac{|\Psi_\lambda(a)|^2}{E_\lambda - E} = \sum_{\lambda} \frac{\gamma_\lambda^2}{E_\lambda - E}$$

$$R(E) = \frac{\hbar^2 a}{2\mu} \sum_{\lambda} \frac{|\Psi_{\lambda}(a)|^2}{E_{\lambda} - E} = \sum_{\lambda} \frac{\gamma_{\lambda}^2}{E_{\lambda} - E}$$

Completely equivalent

With  $\gamma_{\lambda} = \sqrt{\frac{\hbar^2 a}{2\mu}} \Psi_{\lambda}(a)$  = reduced width

- 2 steps: computation of eigen-energies  $E_{\lambda}$  (=poles) and eigenfunctions
- computation of reduced widths from eigenfunctions

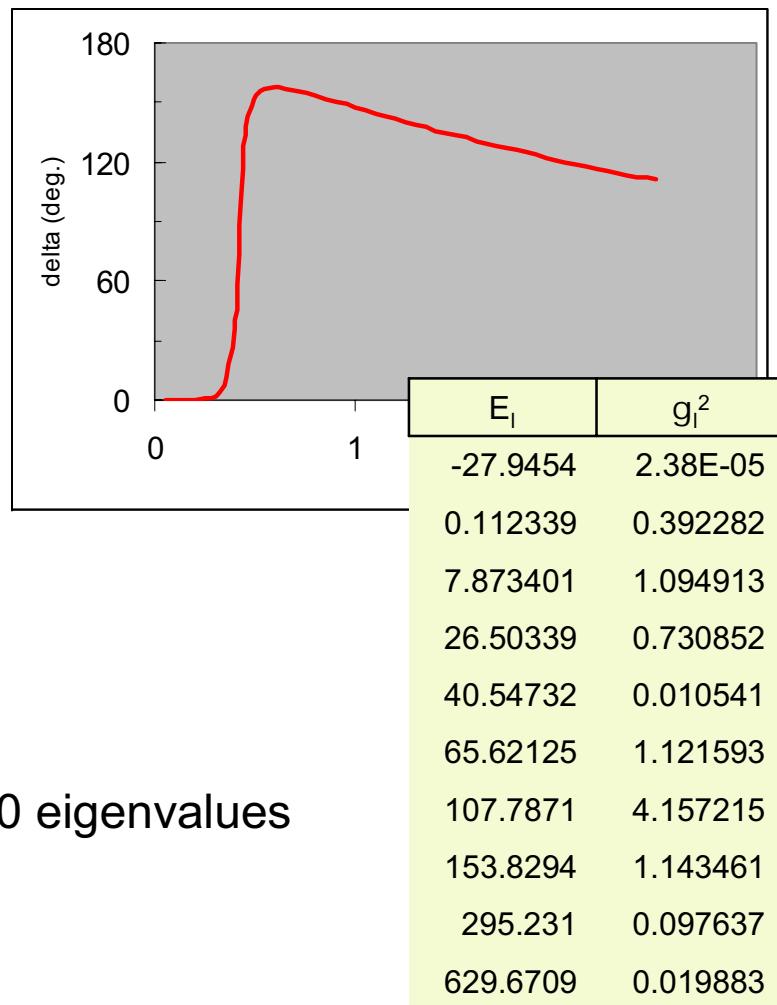
#### Remarks:

- $E_{\lambda}$  = pole energy: different from the resonance energy  
depend on the channel radius
- $\gamma_{\lambda}$  proportional to the wave function at  $a \rightarrow$  measurement of clustering (depend on  $a$ !)  
large  $\gamma_{\lambda} \rightarrow$  strong clustering
- dimensionless reduced width  $\theta_{\lambda}^2 = \frac{\gamma_{\lambda}^2}{\gamma_W^2}$ , with  $\gamma_W^2 = \frac{3\hbar^2}{2\mu a^2}$  = Wigner limit
- <http://pntpmp.ulb.ac.be/Nacre/Programs/coulomb.htm>: web page to compute reduced widths

## Example : $^{12}\text{C}+\text{p}$

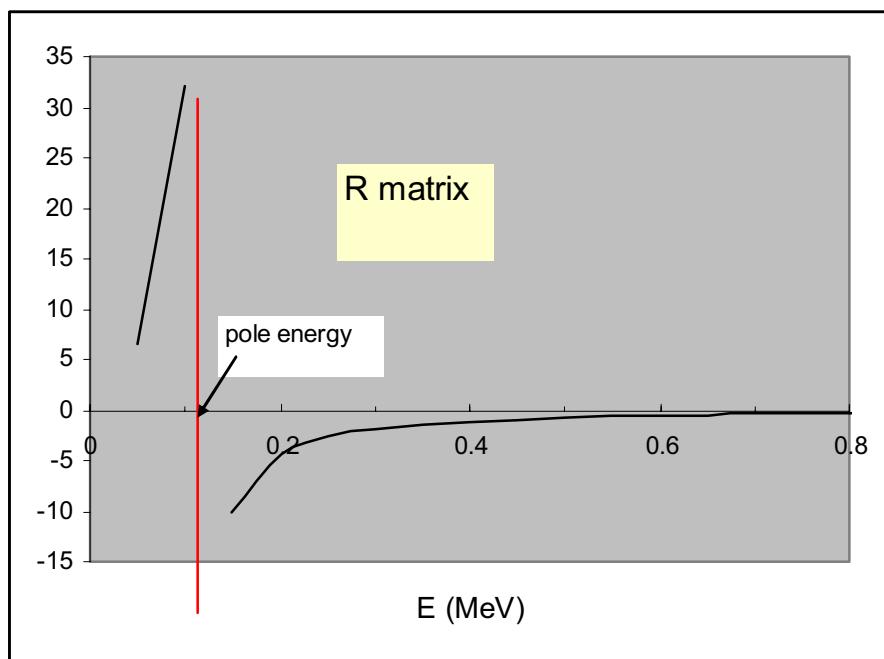
- potential :  $V=-70.5\exp(-(r/2.70)^2)$
- Basis functions:  $u_i(r)=r^\ell \exp(-(r/a_i)^2)$  with  $a_i=x_0^* a_0^{(i-1)}$

10 basis functions,  $a=8$  fm



10 eigenvalues

$$R(E) = \sum_{\lambda} \frac{\gamma_{\lambda}^2}{E_{\lambda} - E}$$



Link between “standard” and “phenomenological” R-matrix:

- Standard R-matrix: parameters are **calculated from basis functions**
- Phenomenological R-matrix: parameters are **fitted to data**

Calculation: 10 poles

pole	$E_i$	$\gamma_i^2$
1	-27.95	2.38E-05
2	0.11	3.92E-01
3	7.87	1.09E+00
4	26.50	7.31E-01
5	40.55	1.05E-02
6	65.62	1.12E+00
7	107.79	4.16E+00
8	153.83	1.14E+00
9	295.23	9.76E-02
10	629.67	1.99E-02

Fit to data

Isolated pole (2 parameters)

$$\frac{\gamma_0^2}{E_0 - E}$$

Background (high energy):  
gathered in 1 term

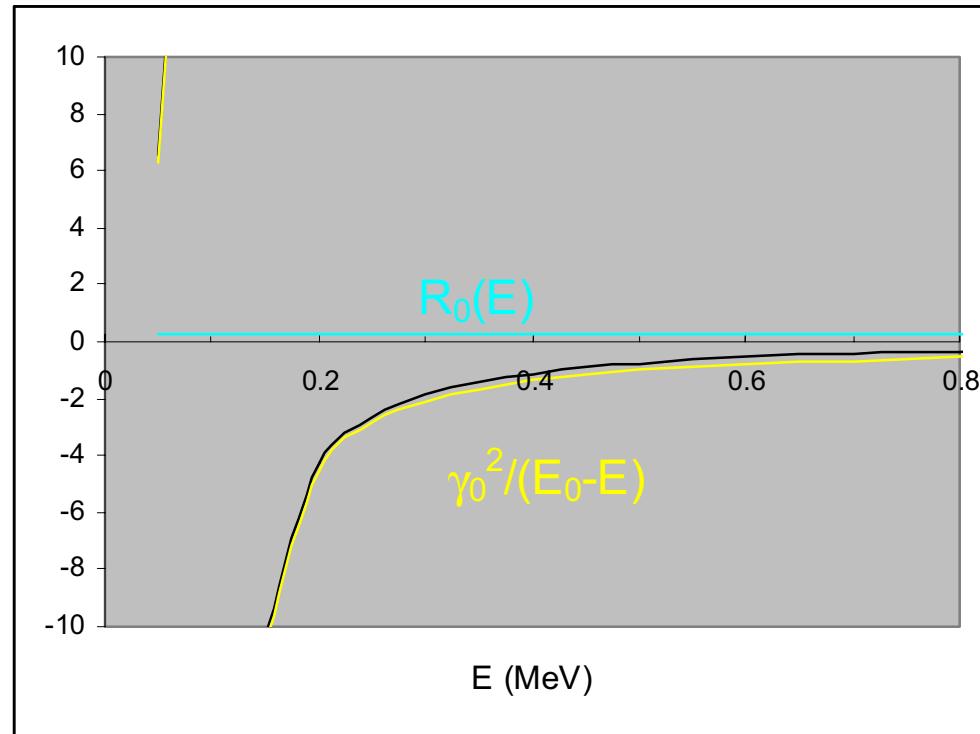
$$R_0(E) = \sum_{\lambda \neq 0} \frac{\gamma_\lambda^2}{E_\lambda - E}$$

$$E \ll E_i \rightarrow R_0(E) \sim R_0$$

→ In phenomenological approaches (one resonance):  $R(E) \approx \frac{\gamma_0^2}{E_0 - E} + R_0$

$^{12}\text{C} + \text{p}$

$$R(E) = \sum_{\lambda} \frac{\gamma_{\lambda}^2}{E_{\lambda} - E} = \frac{\gamma_0^2}{E_0 - E} + R_0(E)$$



Approximations:  $R_0(E)=R_0=\text{constant}$  (background)

$R_0(E)=0$ : Breit-Wigner approximation: one term in the R matrix

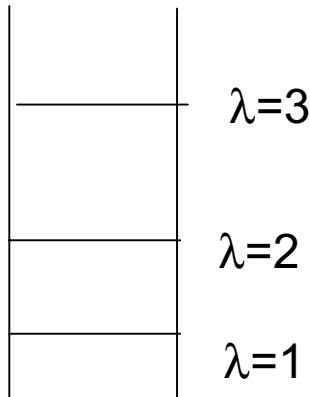
**Remark:** the R matrix method is NOT limited to resonances ( $R=R_0$ )

## Summary

Solving the Schrödinger equation in a basis with  $N$  functions provides:

$$R(E) = \sum_{\lambda=1}^N \frac{\gamma_\lambda^2}{E_\lambda - E}$$

where  $E_\lambda, \gamma_\lambda$  are **calculated** from matrix elements between the basis functions



Each pole corresponds to a state (bound state or resonance)

$\lambda=2$  Properties: energy, reduced width

*!! depend on a !! (not physical)*

Other approach: consider  $E_\lambda, \gamma_\lambda$  as **free parameters** (no basis)

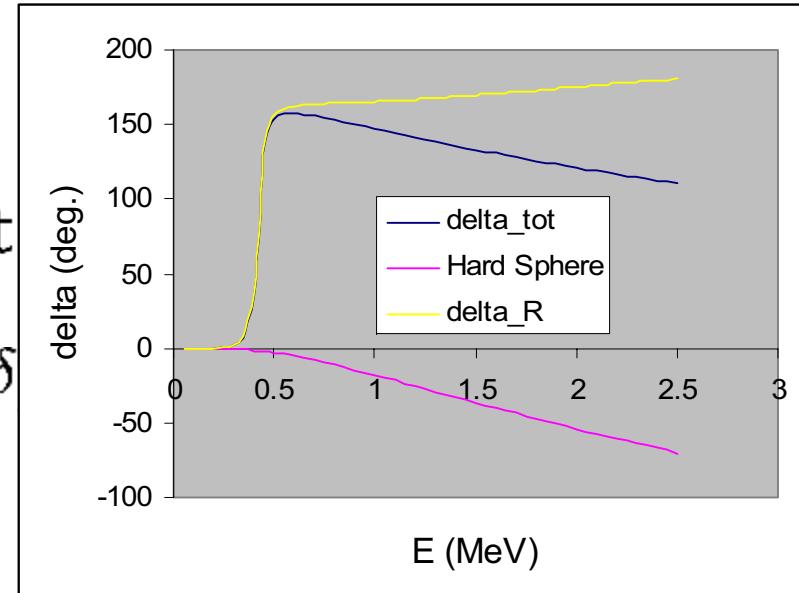
→ **Phenomenological R matrix**

Question: how to relate R-matrix parameters with experimental information?

## Resonance energies

!!! Different from pole energies!

$$\begin{aligned} U^\ell &= \frac{I_\ell(ka)}{O_\ell(ka)} \frac{1 - L^* R^\ell}{1 - LR^\ell}, \text{ with} \\ &= \exp(2i\delta^\ell) = \exp(2i(\delta \end{aligned}$$



with

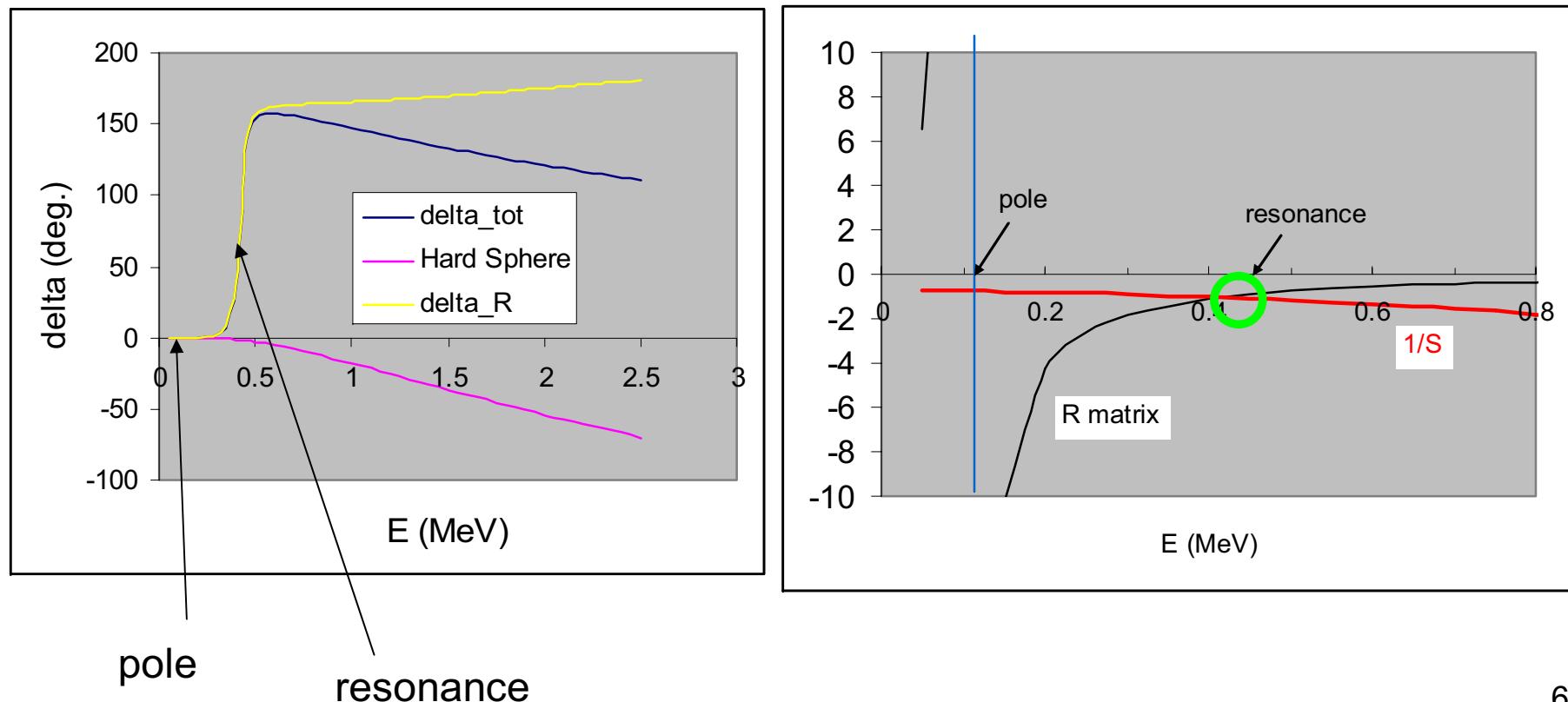
$$\begin{aligned} \exp(2i\delta_{HS}^\ell) &= \frac{I_\ell(ka)}{O_\ell(ka)} \rightarrow \delta_{HS}^\ell = -\arctan \frac{F_\ell(ka)}{G_\ell(ka)} \\ \exp(2i\delta_R^\ell) &= \frac{1 - L^* R^\ell}{1 - LR^\ell} \rightarrow \delta_R^\ell = \arctan \frac{PR}{1 - SR} \end{aligned}$$

$$\delta_R^\ell(E) = \arctan \frac{P(E)R(E)}{1 - S(E)R(E)}$$

Resonance energy  $E_r$  defined by  $1 - S(E_r)R(E_r) = 0 \rightarrow \delta_R = 90^\circ$

In general: must be solved numerically

Plot of  $R(E)$ ,  $1/S(E)$



## The Breit-Wigner approximation

Single pole in the R matrix expansion     $R(E) \approx \frac{\gamma_0^2}{E_0 - E}$

Phase shift:  $\delta_R(E) = \arctan \frac{P(E)R(E)}{1 - S(E)R(E)} \approx \arctan \frac{\gamma_0^2 P(E)}{E_0 - E - \gamma_0^2 S(E)}$

Resonance energy  $E_r$ , defined by:  $E_0 - E_r - \gamma_0^2 S(E_r) = 0$     Not solvable analytically

**Thomas approximation:**  $S(E_r) \approx S(E_0) + S'(E_0)(E_r - E_0)$

Then:  $E_r \approx E_0 - \frac{\gamma_0^2 S(E_0)}{1 + \gamma_0^2 S'(E_0)}$

Near the resonance energy  $E_r$ :

$$\begin{aligned} \tan \delta_R(E) &\approx \frac{\gamma_0^2 P(E)}{E_0 - E - \gamma_0^2 S(E)} \approx \frac{\gamma_0^2 P(E)}{E_r - E + \gamma_0^2 (S(E_r) - S(E))} \\ &\approx \frac{\gamma_0^2 P(E)}{E_r - E + \gamma_0^2 (E_r - E) S'(E_r)} \end{aligned}$$

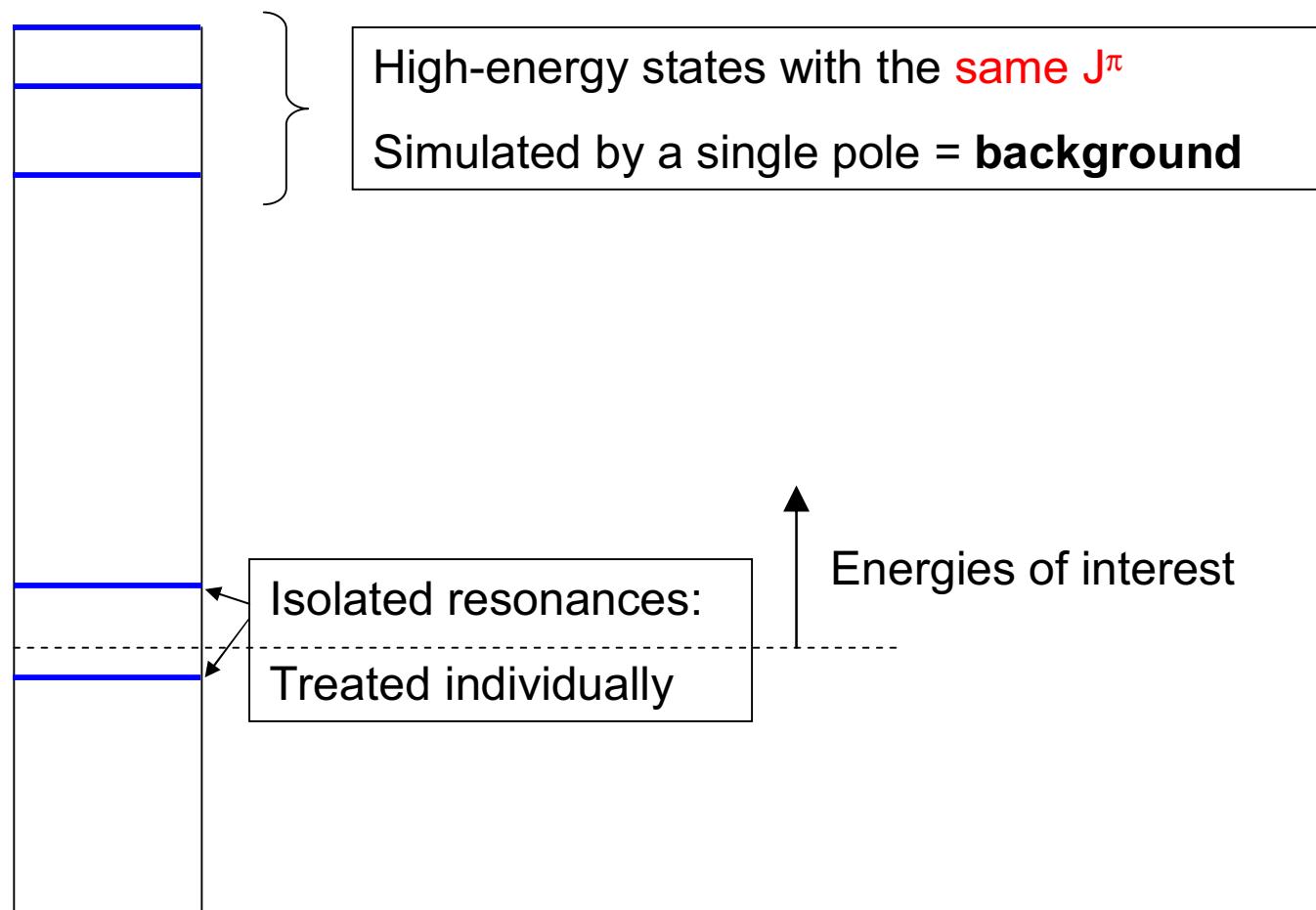
$$\tan \delta_R(E) \approx \frac{\gamma_0^2 P(E)}{E_r - E + \gamma_0^2 (E_r - E) S'(E_r)} \approx \frac{\Gamma(E)}{2(E_r - E)}$$

with  $\Gamma(E) = 2 \frac{\gamma_0^2}{1 + \gamma_0^2 S'(E_r)} P(E) = 2\gamma_{obs}^2 P(E)$

### Remarks:

- $\gamma_0, E_0$  = “R-matrix”, “calculated”, “formal” parameters, needed in the R matrix
  - depend on the channel radius  $a$
  - Defined for resonances *and* bound states ( $E_r < 0$ )
- $\gamma_{obs}, E_r$  = “observed” parameters
  - model independent, to be compared with experiment
  - should not depend on  $a$
- The total width  $\Gamma$  depends on energy through the penetration factor  $P$ 
  - fast variation with  $E$
  - low energy: narrow resonances (*but the reduced width can be large*)

## Summary



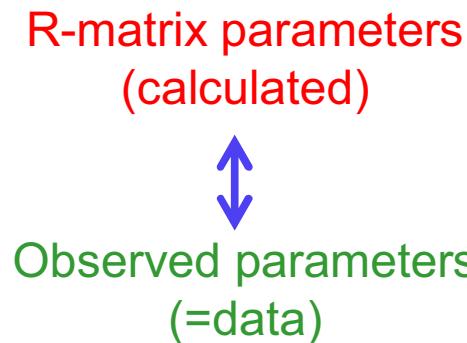
Non-resonant calculations are possible: only a background pole

Link between “calculated” and “observed” parameters

### One pole (N=1)

$$E_R = E_0 - \frac{S(E_0)\gamma_0^2}{1 + S'(E_0)\gamma_0^2}$$

$$\gamma_{obs}^2 = \frac{\gamma_0^2}{1 + S'(E_0)\gamma_0^2}$$



### Several poles (N>1)

$$1 - S(E_r)R(E_r) = 0 \quad \text{Must be solved numerically}$$

Generalization of the Breit-Wigner formalism:  
**link between observed and formal parameters when N>1**

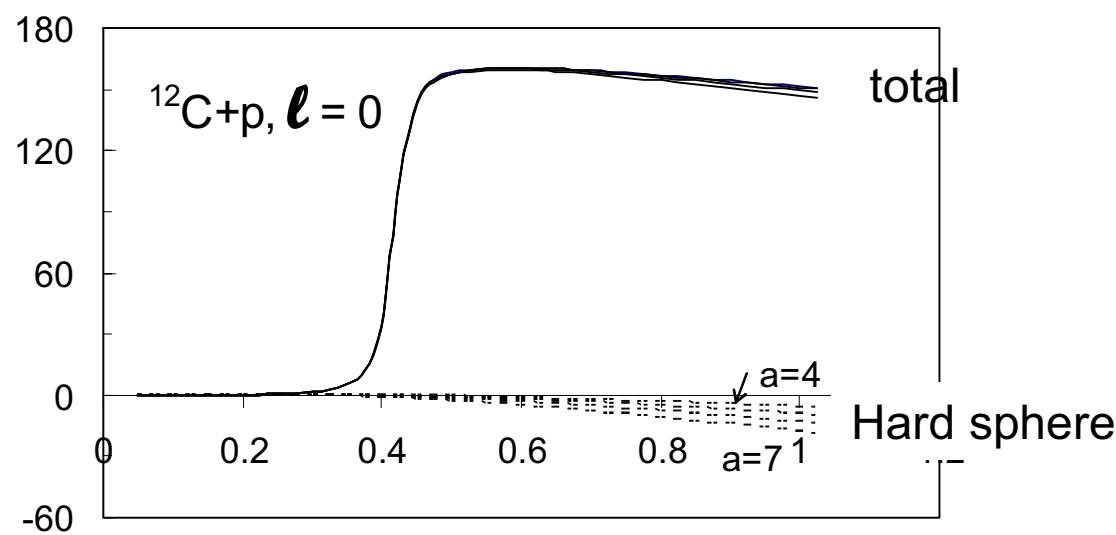
- C. Angulo, P.D., Phys. Rev. C **61**, 064611 (2000) – single channel
- C. Brune, Phys. Rev. C **66**, 044611 (2002) – multi channel

**Examples:**  $^{12}\text{C}+\text{p}$  and  $^{12}\text{C}+\alpha$

Narrow resonance:  $^{12}\text{C}+\text{p}$

$^{12}\text{C}+\text{p}$  ( $E^r = 0.42$  MeV,  $\Gamma = 32$  keV,  $J = 1/2^+$ ,  $\ell = 0$ )

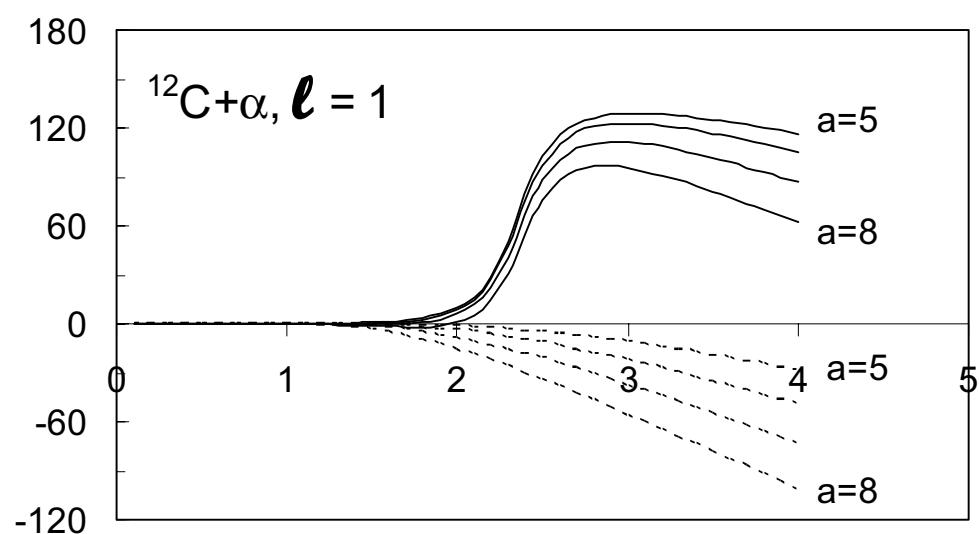
	$a = 4$ fm	$a = 5$ fm	$a = 6$ fm	$a = 7$ fm
$\gamma_{obs}^2$ (MeV)	1.09	0.59	0.35	0.23
$E_0$ (MeV)	-2.15	-0.61	-0.11	0.11
$\gamma_0^2$ (MeV)	3.09	1.16	0.57	0.32



## Broad resonance: $^{12}\text{C}+\alpha$

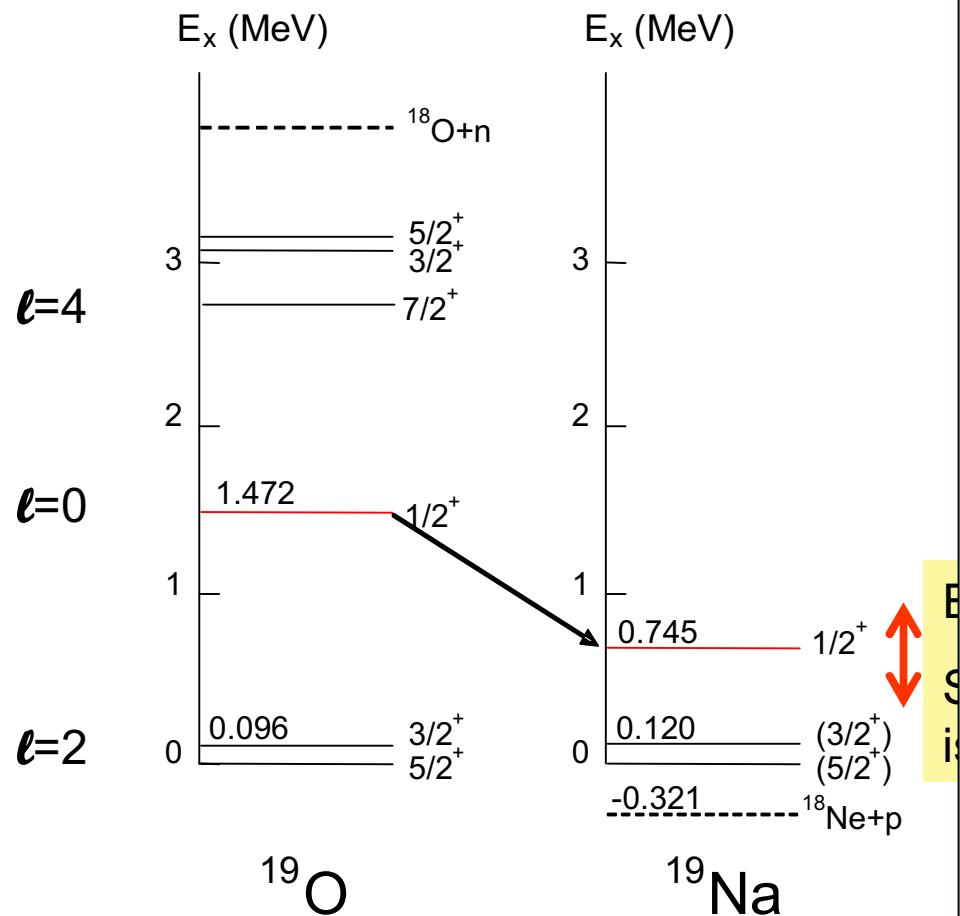
$^{12}\text{C}+\alpha$  ( $E^r = 2.42$  MeV,  $\Gamma = 0.42$  MeV,  $J = 1^-$ ,  $\ell = 1$ )

	$a = 5$ fm	$a = 6$ fm	$a = 7$ fm
$\gamma_{obs}^2$ (MeV)	0.57	0.28	0.16
$E_0$ (MeV)	0.49	1.92	2.22
$\gamma_0^2$ (MeV)	1.17	0.37	0.19



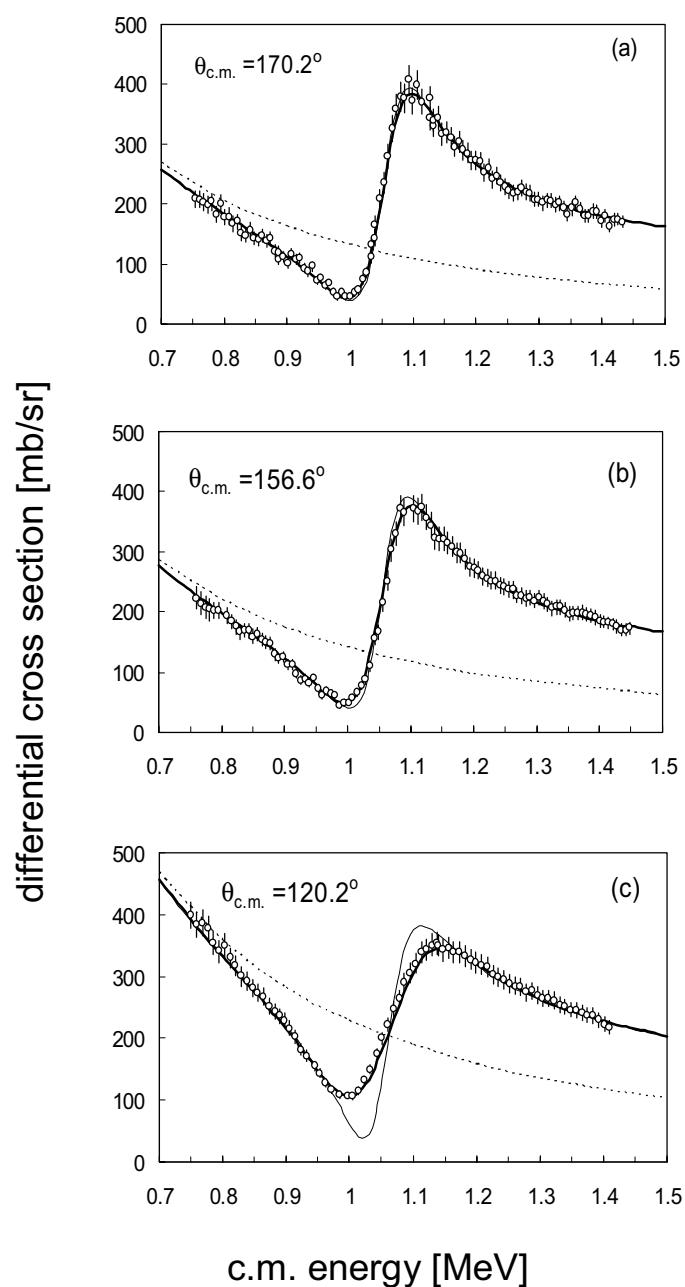
$^{18}\text{Ne} + \text{p}$  elastic scattering: C. Angulo et al, Phys. Rev. C67 (2003) 014308

- Experiment at Louvain-la-Neuve:  $^{18}\text{Ne} + \text{p}$  elastic  
→ search for the mirror state of  $^{19}\text{O}(1/2^+)$



$$\frac{d\sigma}{d\Omega} = \frac{1}{4k^2} \left| \sum_l (2l+1)(\exp(2i\delta_l - 1)P_l(\cos\theta)) \right|^2$$

- Phase shifts  $\delta_l$  defined in the R-matrix (in principle from  $\ell=0$  to  $\infty$ )
  - $\ell=0$ : one pole with 2 parameters: energy  $E_0$  reduced width  $\gamma_0$
  - $\ell=1$ , no resonance expected → hard-sphere phase shift
  - $\ell=2$  ( $J=3/2^+, 5/2^+$ ): very narrow resonances expected → weak influence
  - $\ell>2$ : hard sphere
- The cross section is fitted with **2 parameters**

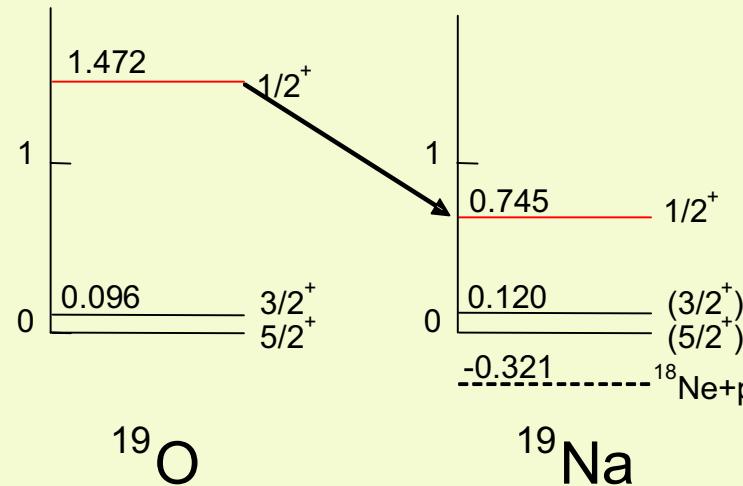


## $^{18}\text{Ne} + \text{p}$ elastic scattering

Final result

$$E_R = 1.066 \pm 0.003 \text{ MeV}$$

$$\Gamma_p = 101 \pm 3 \text{ keV}$$

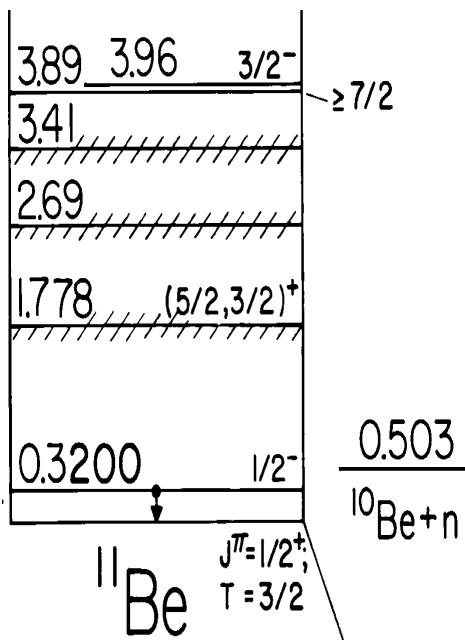


→ Very large Coulomb shift  
 From  $\Gamma = 101 \text{ keV}$ ,  $\gamma^2 = 605 \text{ keV}$ ,  $\theta^2 = 23\%$   
 Very large reduced width

Other case:  $^{10}\text{C} + \text{p} \rightarrow ^{11}\text{N}$ : analog of  $^{11}\text{Be}$

$^{11}\text{Be}$ : neutron rich

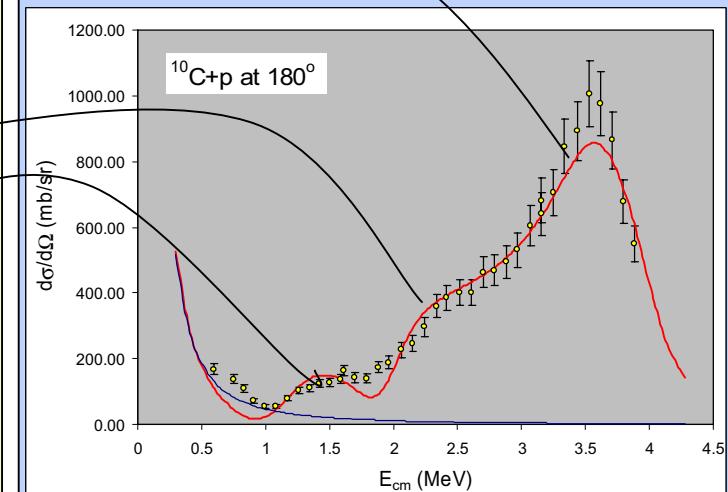
$^{11}\text{N}$ : proton rich



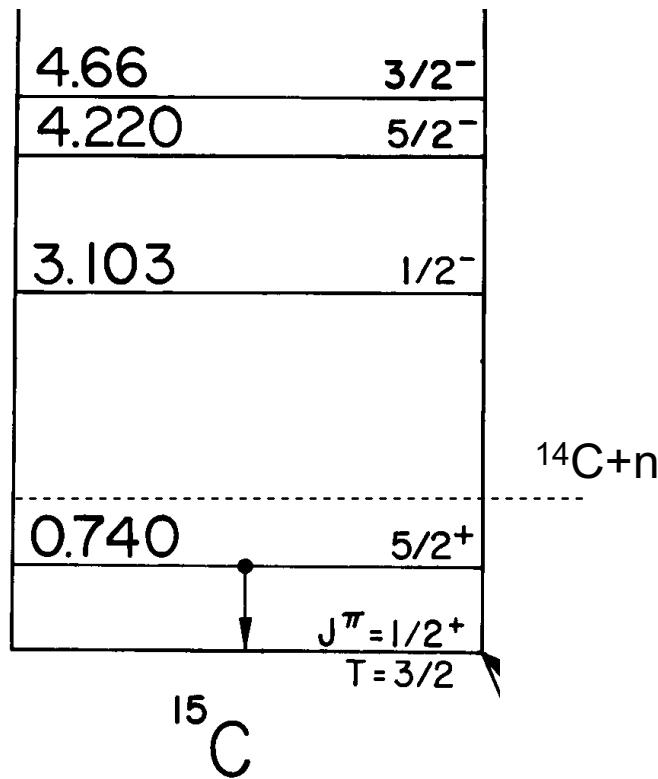
$^{10}\text{C} + \text{p}$

Expected  $^{11}\text{N}$  (unbound)  
Energies?  
Widths?  
Spins?

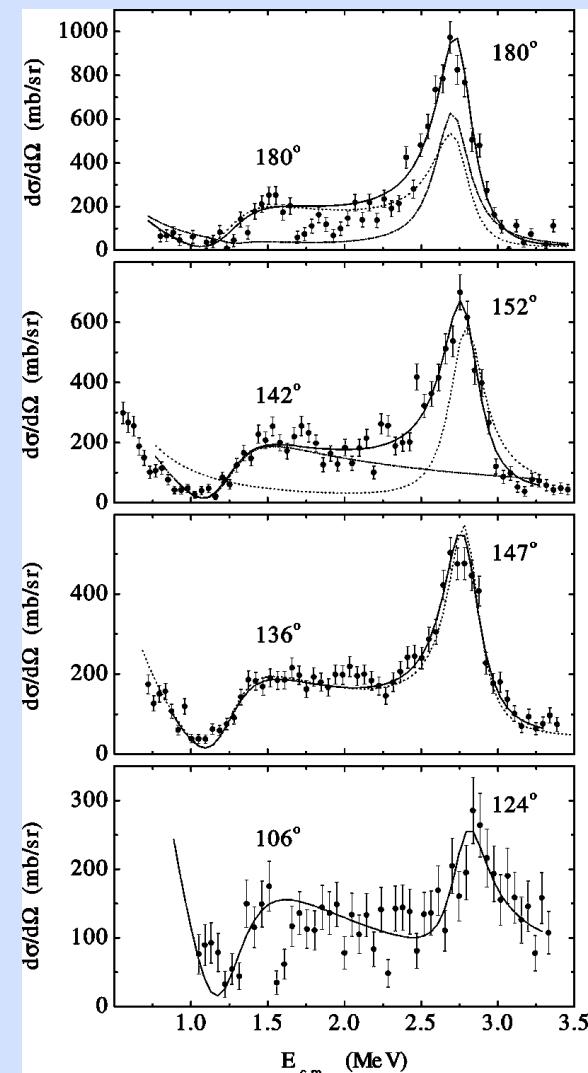
Markenroth et al (Ganil)  
Phys. Rev. C62, 034308 (2000)



Other example:  $^{14}\text{O} + \text{p} \rightarrow ^{15}\text{F}$ : analog of  $^{15}\text{C}$



$^{14}\text{O} + \text{p}$  elastic: ground state of  $^{15}\text{F}$  unbound  
Goldberg et al. Phys. Rev. C 69 (2004) 031302



## Data analysis: general procedure for elastic scattering

$$\frac{d\sigma}{d\Omega} = \sum_{K_1, K_2, K'_1, K'_2} |f_{K_1 K_2, K'_1 K'_2}(\theta)|^2$$

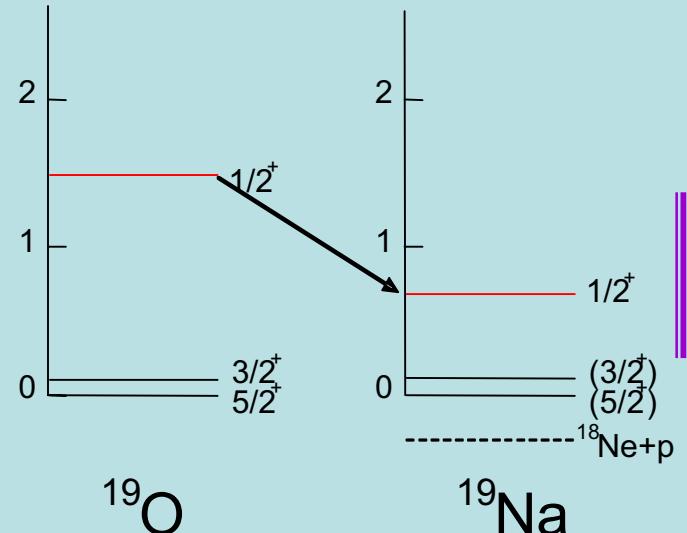
$$f_{K_1 K_2, K'_1 K'_2}(\theta) = \sum_{J, \pi} \sum_{l l' l' l'} \dots U_{ll'l'l'}^{J, \pi} Y_{l'}(\theta, 0)$$

- Only unknown quantity
- To be obtained from models

Problem: how to determine the collision matrix  $U$ ?

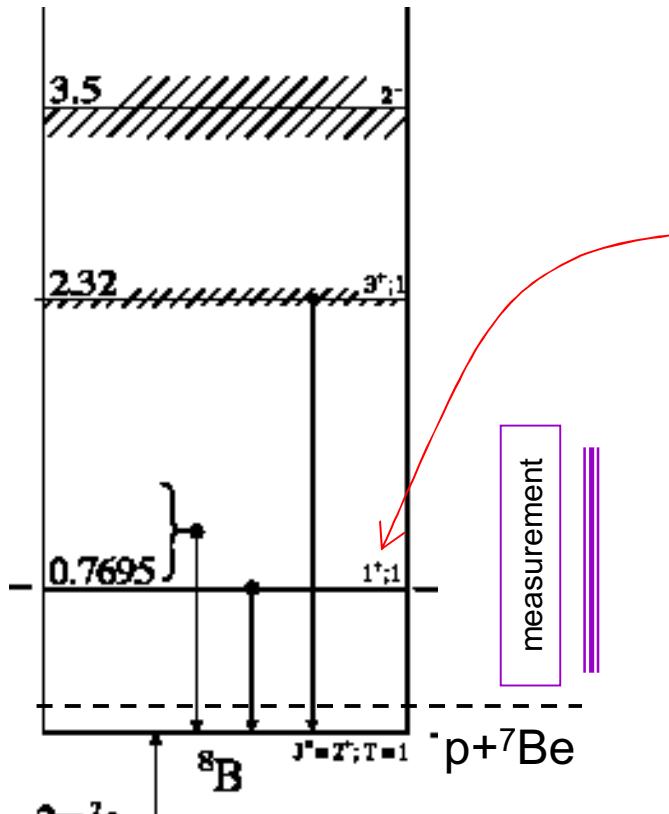
Consider each partial wave  $J_p$

Simple case:  $^{18}\text{Ne} + p$ : spins  $I_1 = 0^+$  and  $I_2 = 1/2^+$   
collision matrix  $1 \times 1$



$J$	$\ell$	
$1/2^+$	0	R matrix: one pole (2 parameters) <i>but could be 3 (background)</i>
$1/2^-$	1	No resonance $\rightarrow$ Hard sphere
$3/2^+$	2	Very narrow resonance $\rightarrow$ Hard sphere
$3/2^-$	1	No resonance $\rightarrow$ Hard sphere
$\geq 5/2$	$\geq 2$	Neglected

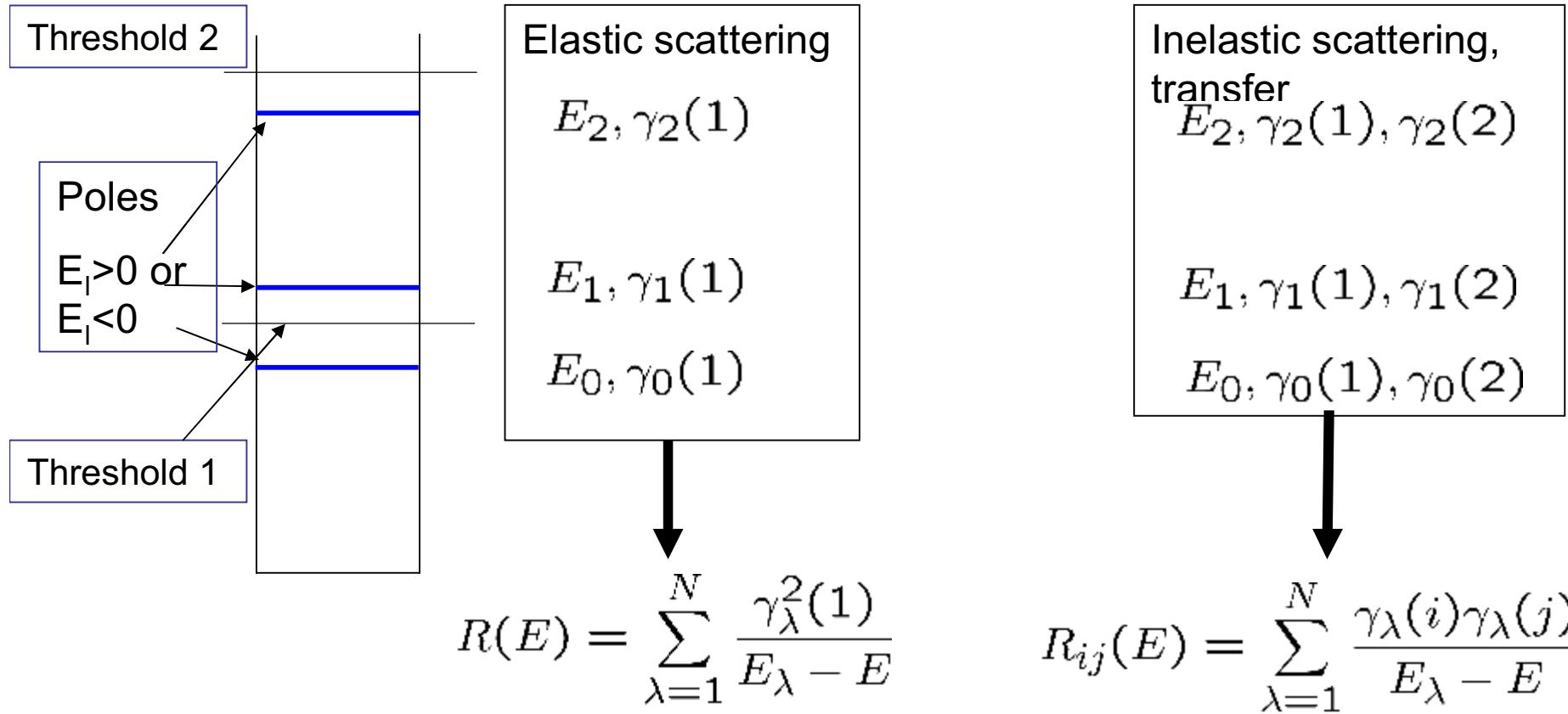
More complicated :  ${}^7\text{Be} + \text{p}$ : spins  $I_1 = 3/2^-$  and  $I_2 = 1/2^+$   
 collision matrix: size larger than one (depends on  $J$ )



$J$	$I, \ell$	
$0^+$	$1,1$	No resonance $\rightarrow$ hard sphere
$0^-$	$2,2$	No resonance $\rightarrow$ hard sphere
$1^+$	$1,1$ $2,1$ $2,3$	<ul style="list-style-type: none"> <li>Res. at 0.63 MeV <math>\rightarrow</math> Rmatrix <math>I=1</math> OR <math>I=2</math></li> <li>Channel mixing neglected (<math>U_{ij}=0</math> for <math>i \neq j</math>)</li> </ul>
$1^-$	$1,0$ $1,2$ $2,2$	<ul style="list-style-type: none"> <li>Channel mixing neglected</li> <li><math>\ell=0</math>: scattering length formalism</li> <li><math>\ell=2</math>: hard sphere</li> </ul>
$2^+$	$1,1$ $1,3$ $2,1$ $2,3$	<ul style="list-style-type: none"> <li>Channel mixing neglected</li> <li>Hard sphere</li> </ul>
$2^-$	$1,2$ $2,0$ $2,2$ $2,4$	<ul style="list-style-type: none"> <li>Channel mixing neglected</li> <li><math>\ell=0</math>: scattering length formalism</li> <li><math>\ell=2,4</math>: hard sphere</li> </ul>

Finally, 4 parameters:  $E_R$  and  $\Gamma$  for the  $1^+$  resonance, 2 scattering lengths

## Other processes: capture, transfer, inelastic scattering, etc.



Pole properties: energy  
 reduced width in different channels ( $\rightarrow$  more parameters)  
 gamma width  $\rightarrow$  capture reactions

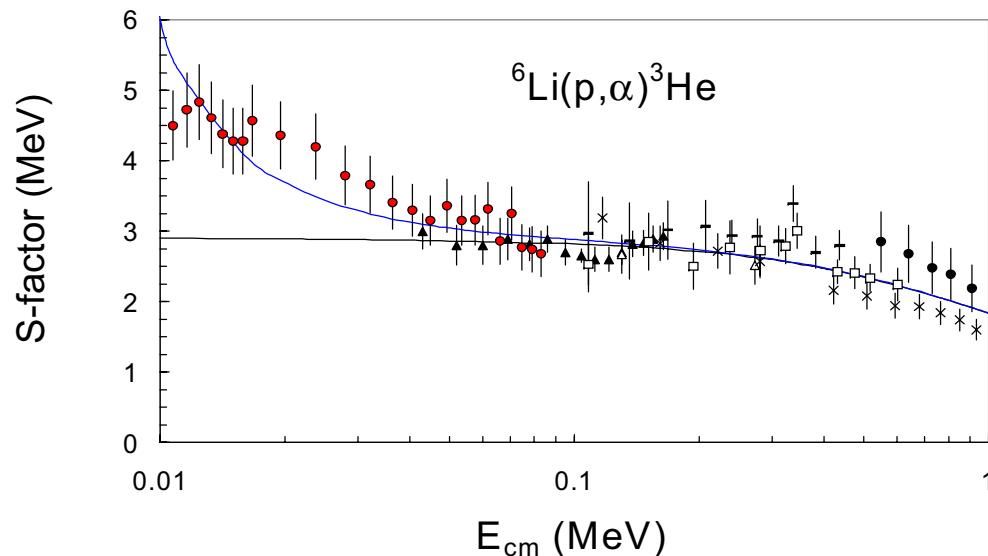
Example of transfer reaction:  ${}^6\text{Li}(\text{p},\text{a}){}^3\text{He}$  (Nucl. Phys. A639 (1998) 733)

$$R = \begin{pmatrix} R_{pp} & R_{p\alpha} \\ R_{\alpha p} & R_{\alpha\alpha} \end{pmatrix}, \text{ with } R_{\alpha p} = R_{p\alpha}$$

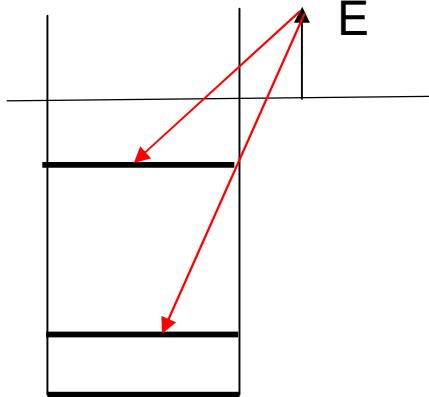
Non-resonant reaction: R matrix=constant

Collision matrix  $U = \begin{pmatrix} U_{pp} & U_{p\alpha} \\ U_{\alpha p} & U_{\alpha\alpha} \end{pmatrix}$ , deduced from the R matrix

Cross section:  $\sigma \sim |U_{pa}|^2$



## Radiative capture



Capture reaction=transition between an initial state at energy E to bound states

Cross section  $\sim |<\Psi_f|H_\gamma|\Psi_i(E)>|^2$

Additional pole parameter: gamma width

$$<\Psi_f|H_\gamma|\Psi_i(E)> = <\Psi_f|H_\gamma|\Psi_i(E)>_{\text{int}} + <\Psi_f|H_\gamma|\Psi_i(E)>_{\text{ext}}$$

with  $<\Psi_f|H_\gamma|\Psi_i(E)>_{\text{int}}$  depends on the poles

$<\Psi_f|H_\gamma|\Psi_i(E)>_{\text{ext}}$  =integral involving the external w.f.

More complicated than elastic scattering!

But: many applications in nuclear astrophysics

## Microscopic models

- A-body treatment: 
$$H = \sum_{i=1}^A T_i + \sum_{i < j=1}^A V_{ij}$$

with

$T_i$  = kinetic energy of nucleon i

$V_{ij}$  = nucleon - nucleon interaction

- wave function completely antisymmetric (bound and scattering states)
- not solvable when  $A>3$
- Generator Coordinate Method (GCM)  
basis functions  $\Phi_i^{\ell m} = \mathcal{A}\phi_1\phi_2\Gamma_\ell(\rho, R_i)Y_\ell^m(\Omega)$

with  $\phi_1, \phi_2$ =internal wave functions

$\Gamma_\ell(\rho, R)$ =gaussian function

R=generator coordinate (variational parameter)

$\rho$ =nucleus-nucleus relative coordinate

- At  $\rho=a$ , antisymmetrization is negligible → the same R-matrix method is applicable

# 6. Conclusions

1. One R-matrix for each partial wave (limited to low energies)
2. Consistent description of resonant and non-resonant contributions (not limited to resonances!)
3. The R-matrix method can be applied in two ways
  - a) To solve the Schrödinger equation
  - b) To fit experimental data (low energies, low level densities)
4. Applications a)
  - Useful to get phase shifts and wave functions of scattering states
  - Application in many fields of nuclear and atomic physics
  - 3-body systems
  - Stability with respect to the radius is an important test
5. Applications b)
  - Same idea, but the pole properties are used as **free parameters**
  - Many applications: elastic scattering, transfer, capture, beta decay, etc.