



**The Abdus Salam  
International Centre for Theoretical Physics**



**1959-4**

**Workshop on Supersolid 2008**

*18 - 22 August 2008*

**Optical investigations of disorder in solid Helium-4**

F. Caupin  
*ENS Paris*

# Optical investigations of disorder in solid helium 4

S. Sasaki\*, F. Caupin, and S. Balibar

Laboratoire de Physique Statistique

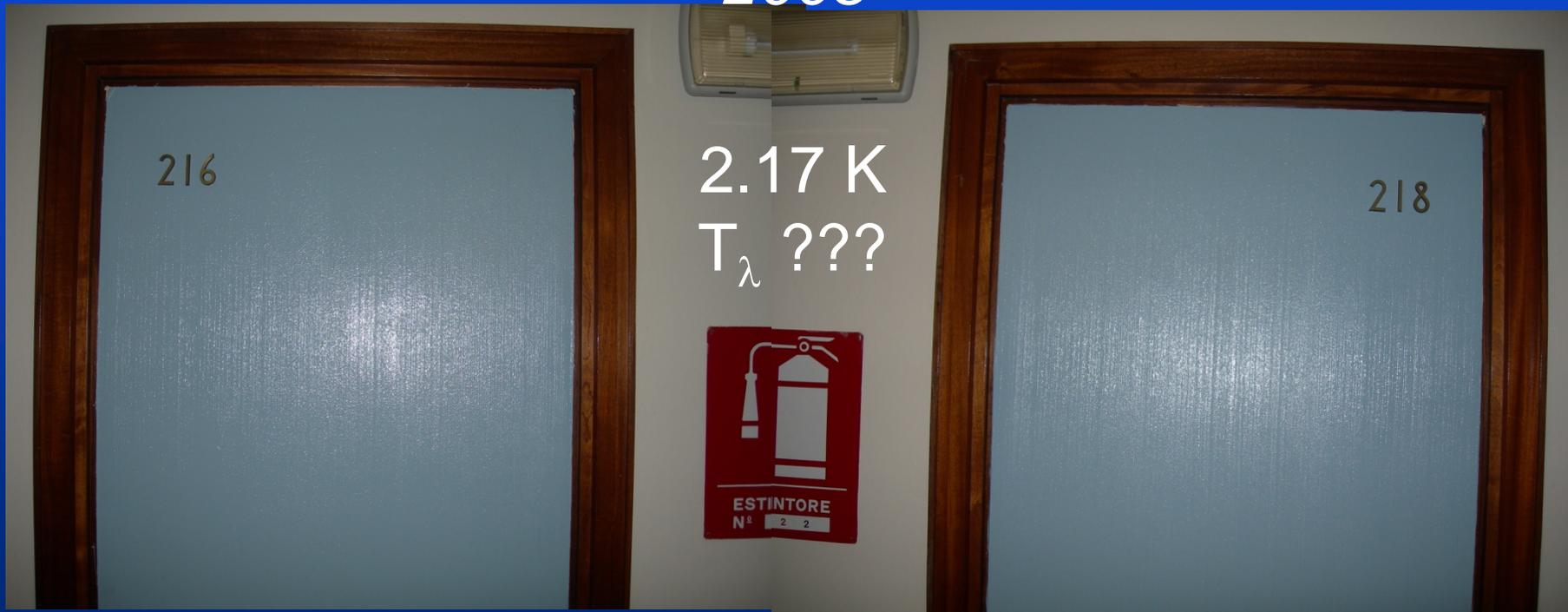
Ecole Normale Supérieure, Paris

\* now at Northwestern University

*Supersolids, Trieste, August 19<sup>th</sup>, 2008*

# Yet another mystery...

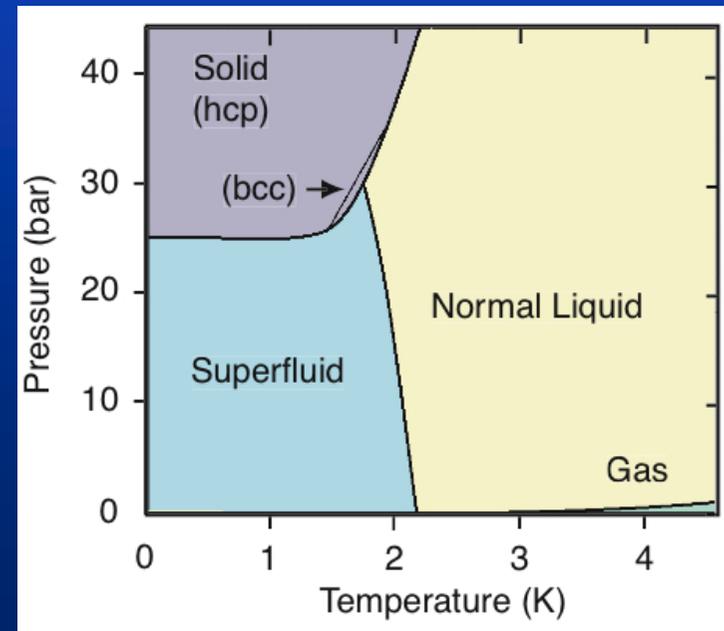
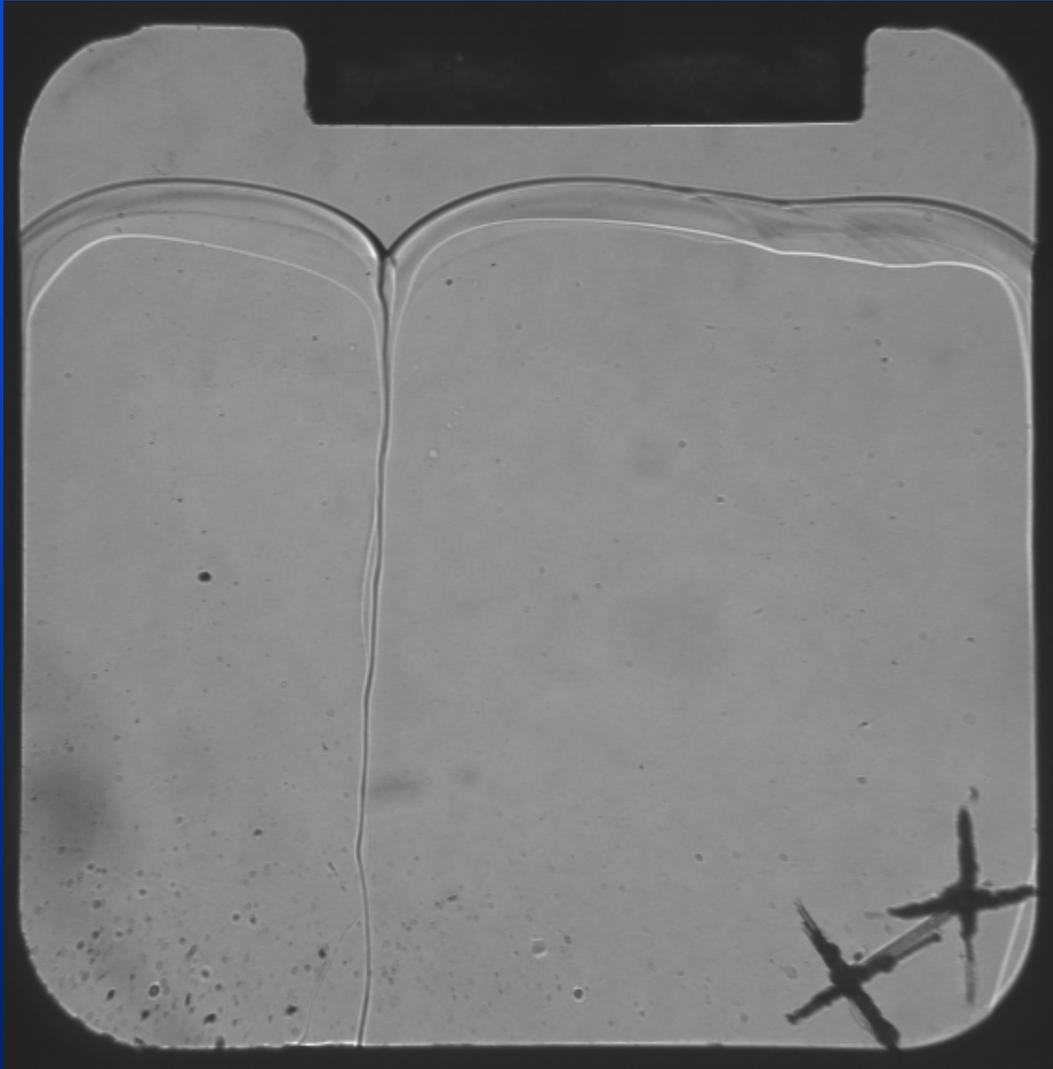
*Adriatico Guest House, Trieste, Italy, August 18<sup>th</sup>  
2008*



# Outline

- why grain boundaries???
- one grain boundary (GB) between two grains
- GB melting: connection with classical systems
- liquid channels
- consequences for mass flow
- crystals grown by different methods

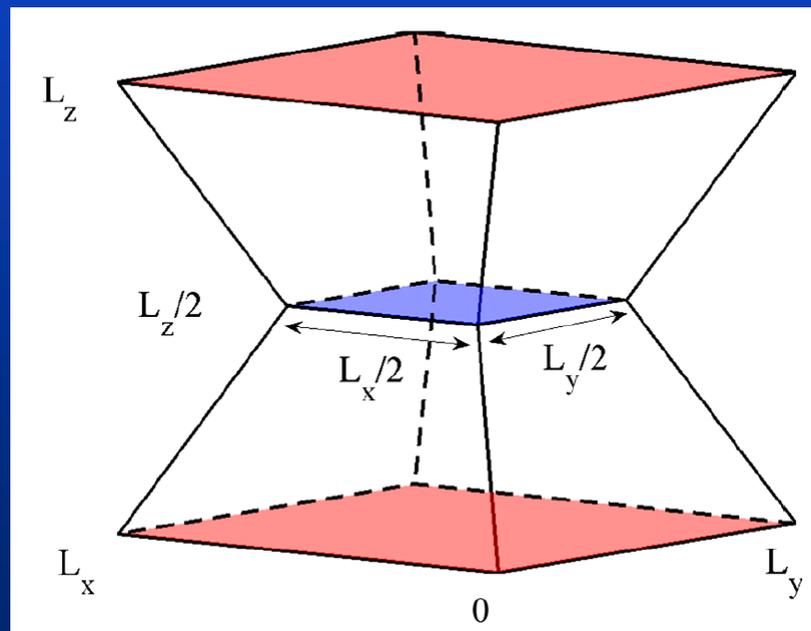
# A grain boundary



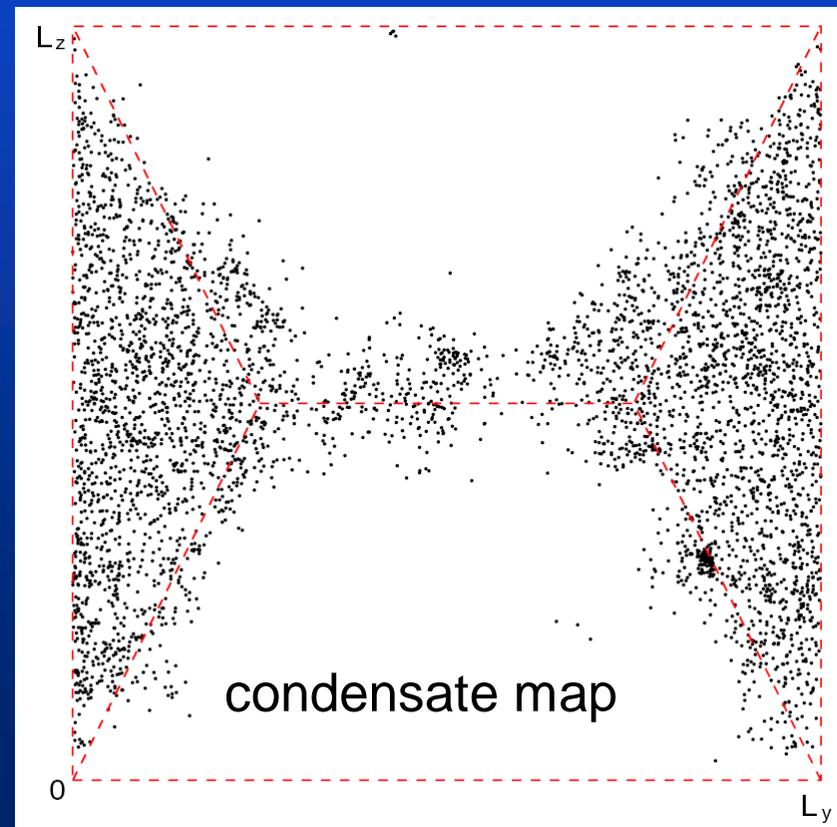
# Monte-Carlo simulations of grain boundaries

Pollet et al. *PRL* '06

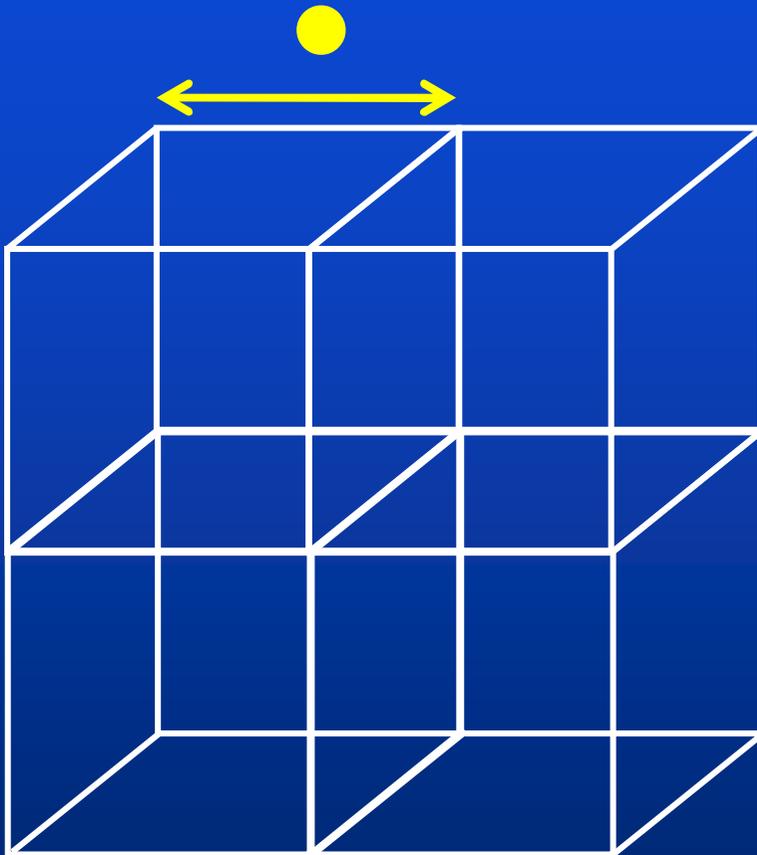
2 crystals truncated  
pyramidal shape with  
different orientations



generic GBs are  
superfluids below  $\approx 0.5$  K  
and  $\approx 3$  atoms thick



# Grain boundaries cannot explain everything...



cubic network of GB  
lattice parameter ●

effective superfluid thickness  
= 1 atomic layer  $a = 0.3 \text{ nm}$

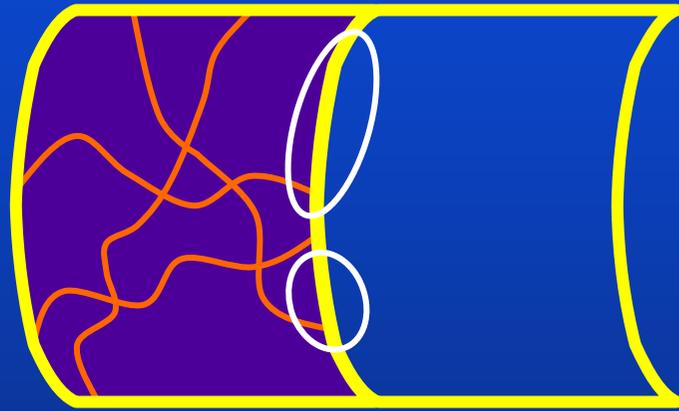
$$\Rightarrow \bullet = 3a \rho / \rho_s$$

if NCRIF = 0.1 %, ● = 1  $\mu\text{m}$

if NCRIF = 10 %, ● = 10 nm

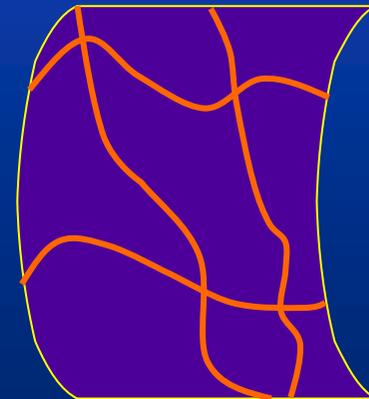
... but they might be involved!

how to connect two supersolid grains?



mismatch!

⇒ GB???



# Observation of helium crystals

cubic cell :  $11 \times 11 \times 3 \text{ mm}^3$

10 mm thick copper walls

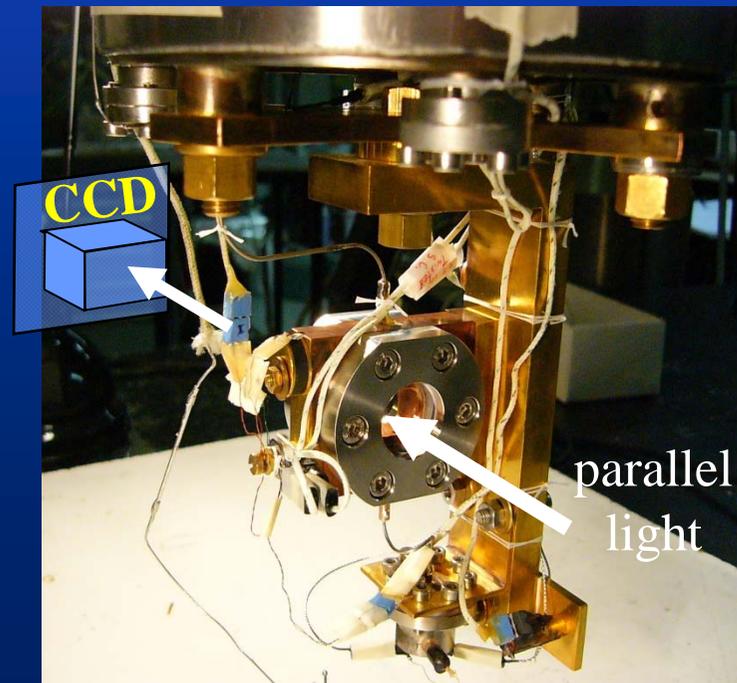
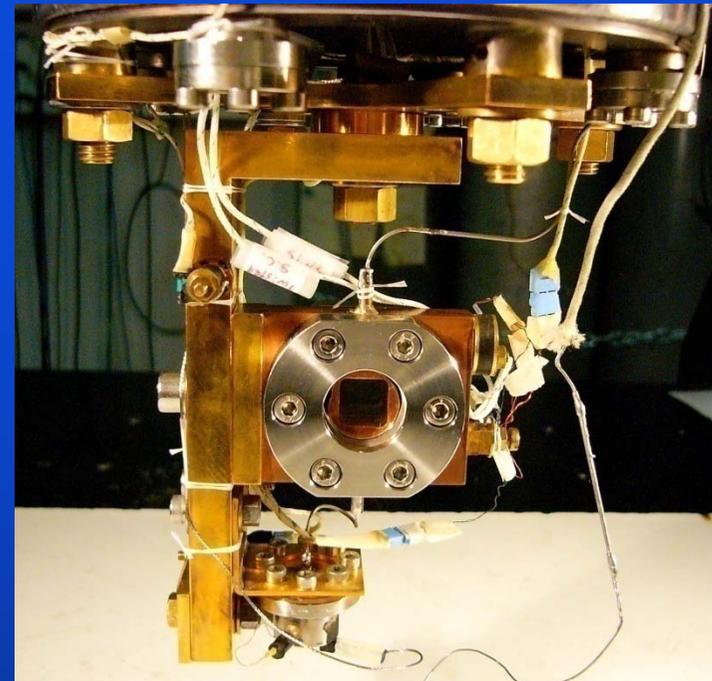
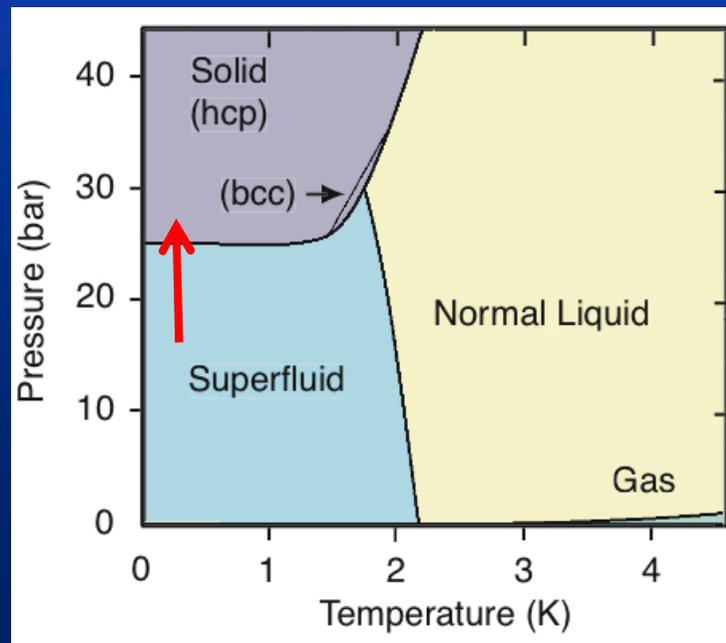
2 glass windows (thickness 4 mm)

indium seals

stands 65 bar at 300K

Straty-Adams pressure gauge (0 to 37 bar) connected through a CuNi capillary

50 mm long (int. diam. 0.6 mm)



# Fast injection of superfluid at 100 mK

Sasaki, Caupin and Balibar *PRL* '07

45 bar in a bottle – fast opening of a valve on the fill line

[inject\\_160507.mov](#)

[inject\\_160507\\_sample2.mov](#)

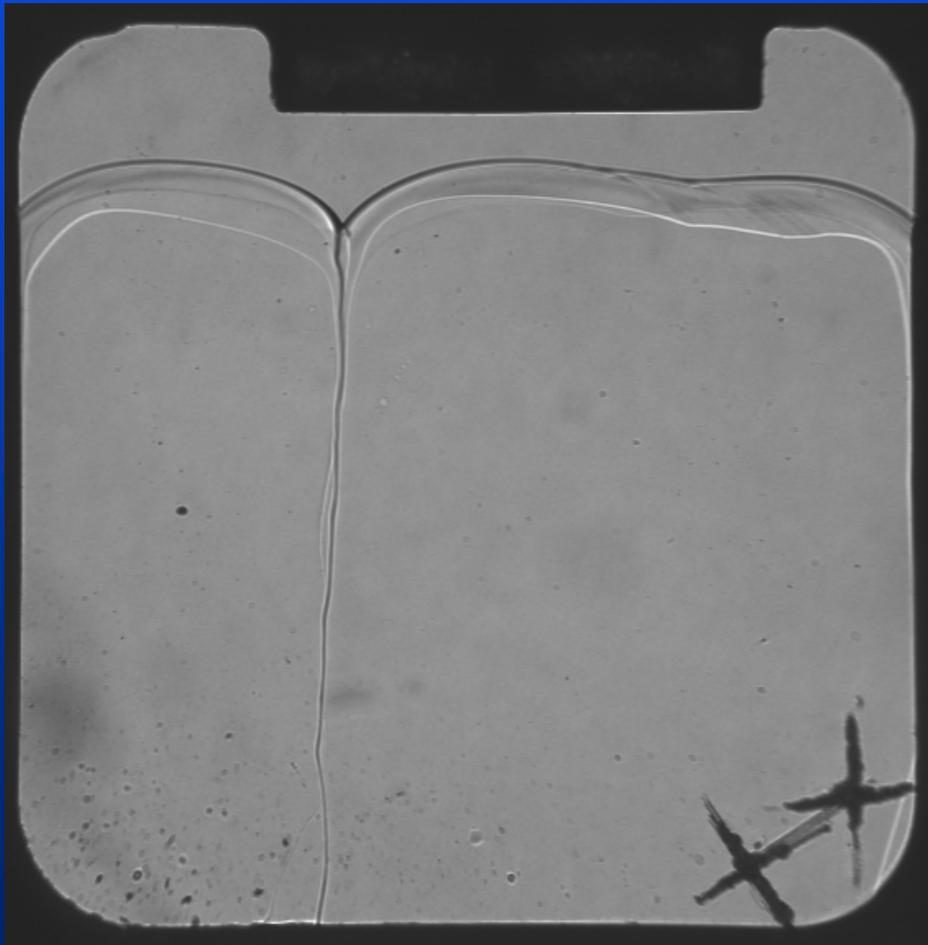
# Melting after fast injection

remove helium by opening slowly on a 25 bar reservoir

[melt\\_160507\\_sample2.mov](#)

# Wetting properties of grain boundaries

growing-melting cycles to keep only 2 grains with different orientations



# Dihedral angle

fit with Laplace equation near the cusp  $\Rightarrow \theta = 14.5 \pm 4^\circ$

force balance (Young)

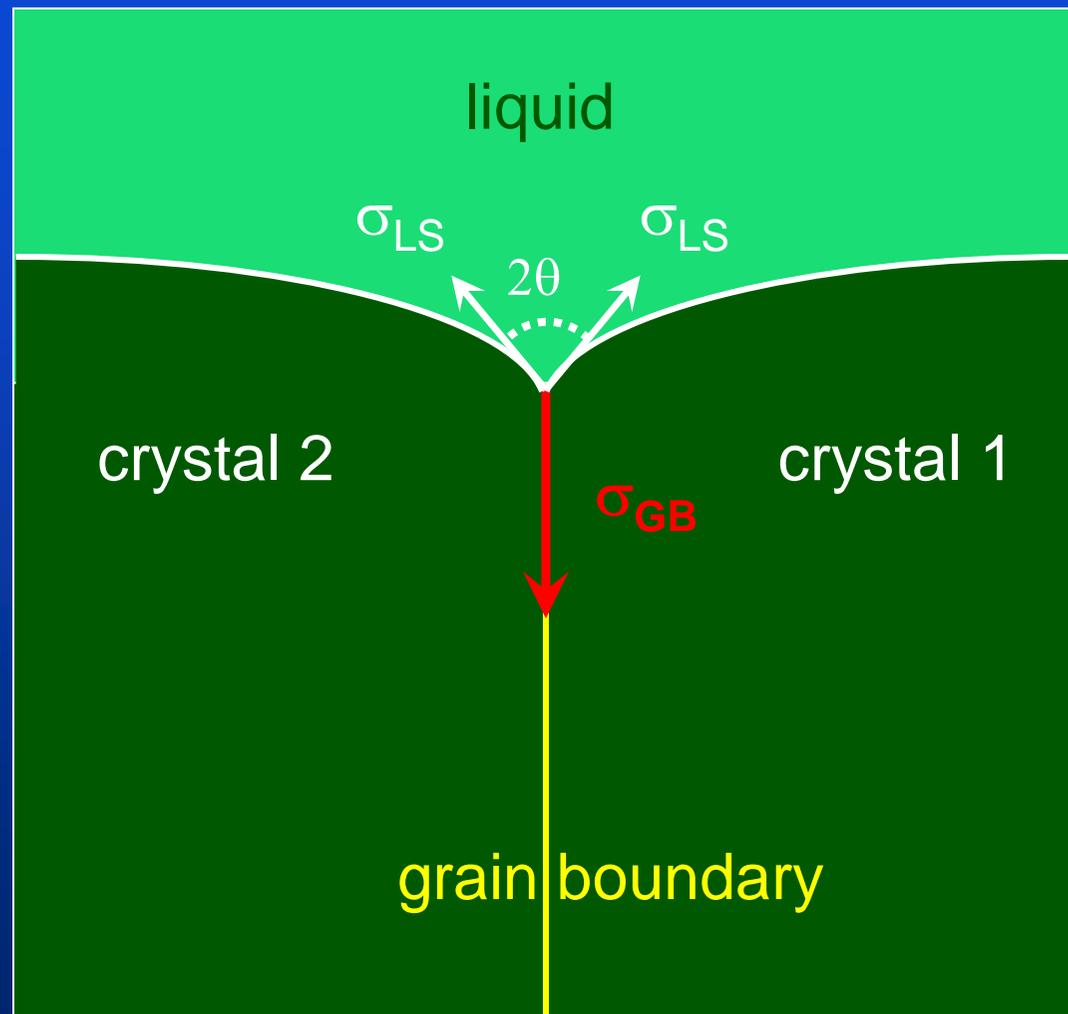
$$\sigma_{GB} = (1.93 \pm 0.04) \sigma_{LS}$$

other crystals:

$$\theta = 11 \pm 3^\circ$$

$$\theta = 16 \pm 3^\circ$$

agrees with values deduced from the groove depth

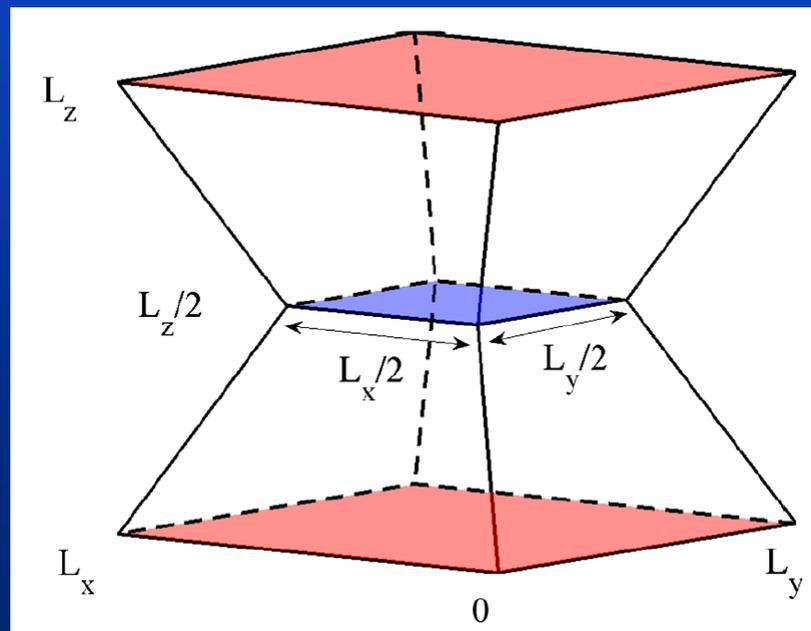


$$(\rho_S - \rho_L) g (\Delta z)^2 = 2 \sigma_{LS} (1 - \sin \theta)$$

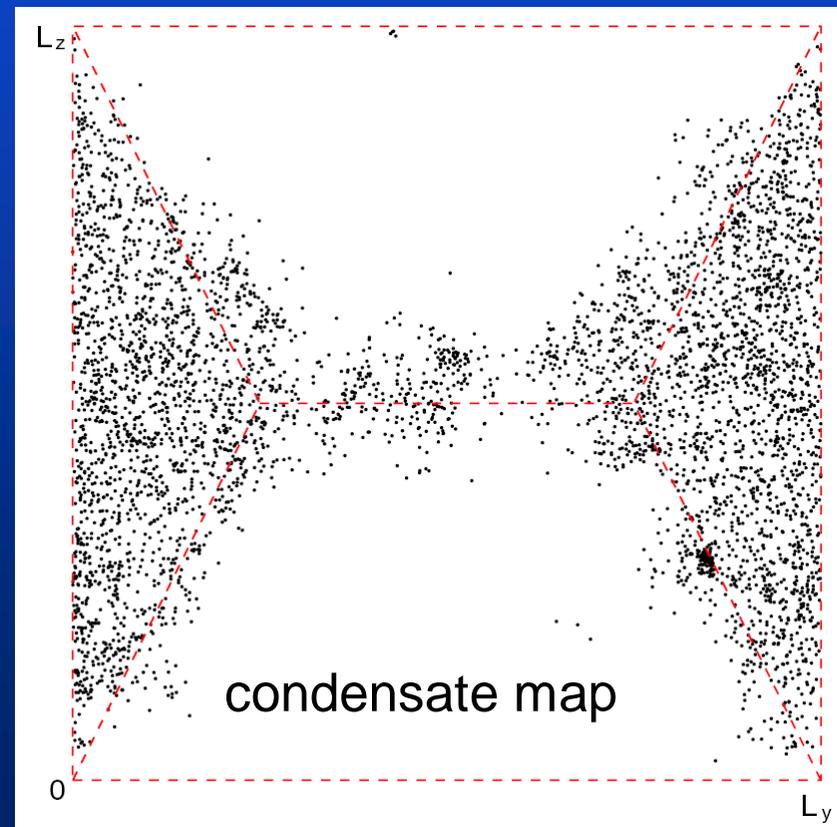
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Pollet et al. *PRL* '06

2 crystals truncated  
pyramidal shape with  
different orientations



generic GBs are  
superfluids below  $\approx 0.5$  K  
and  $\approx 3$  atoms thick



# Surface and interface melting

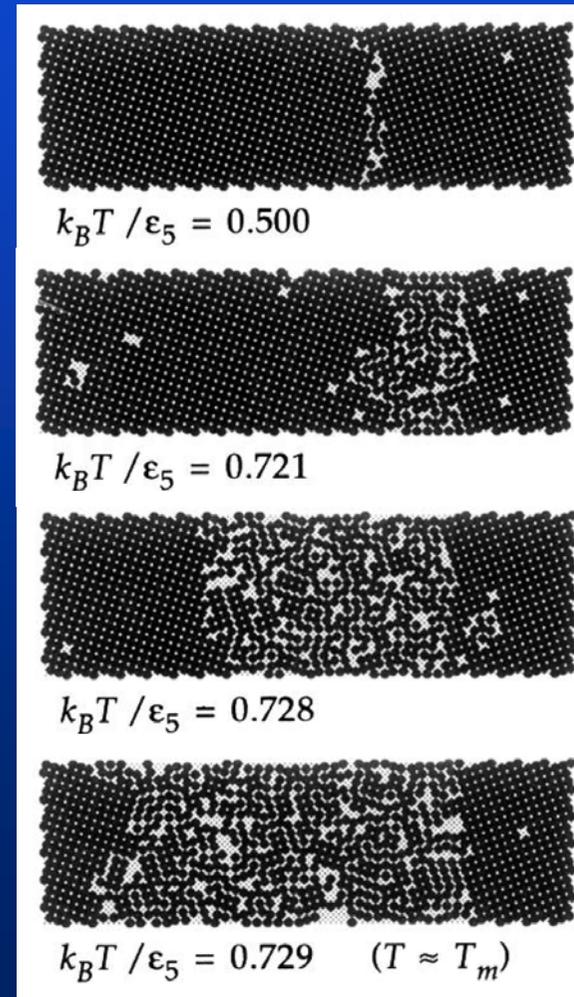
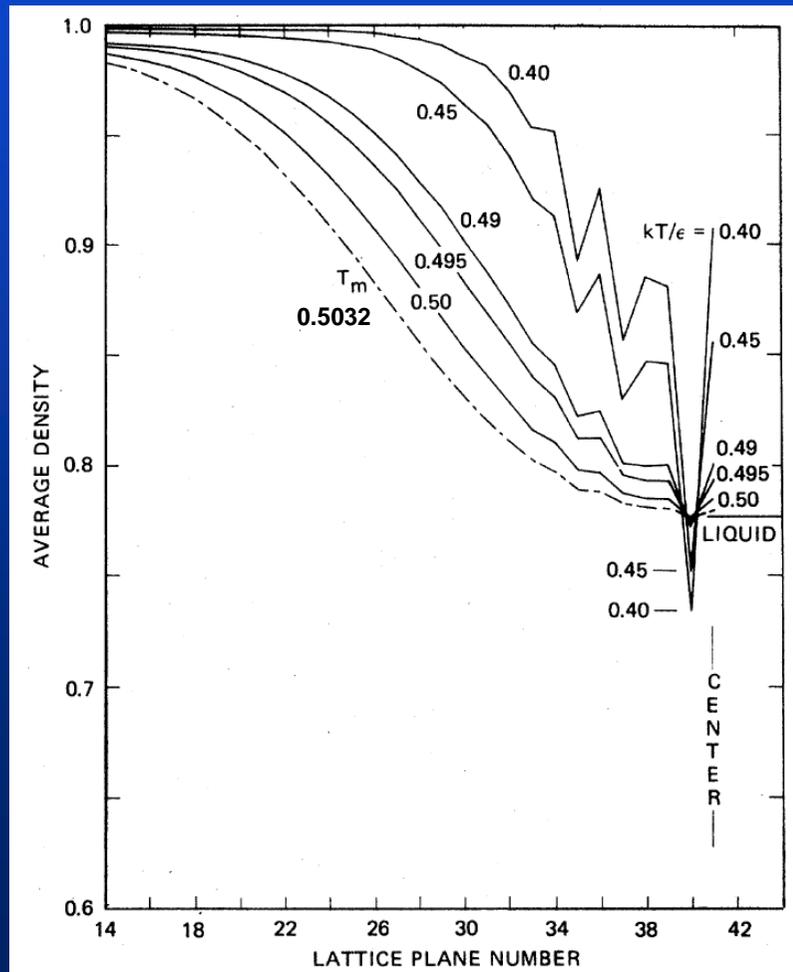
- melting of a classical crystal often starts at its free surface in contact with the vapour
- even **premelting** (existence of liquid layers) at  $T$  below the bulk melting temperature  $T_m$
- and what if no free surface, but grain boundaries?  
complete wetting of the GB by the liquid seems possible  
if  $\sigma_{GB} = 2\sigma_{LS}$   
premelting?

# Grain boundary premelting

2D lattice gaz model: complete wetting at  $T_m$  and premelting

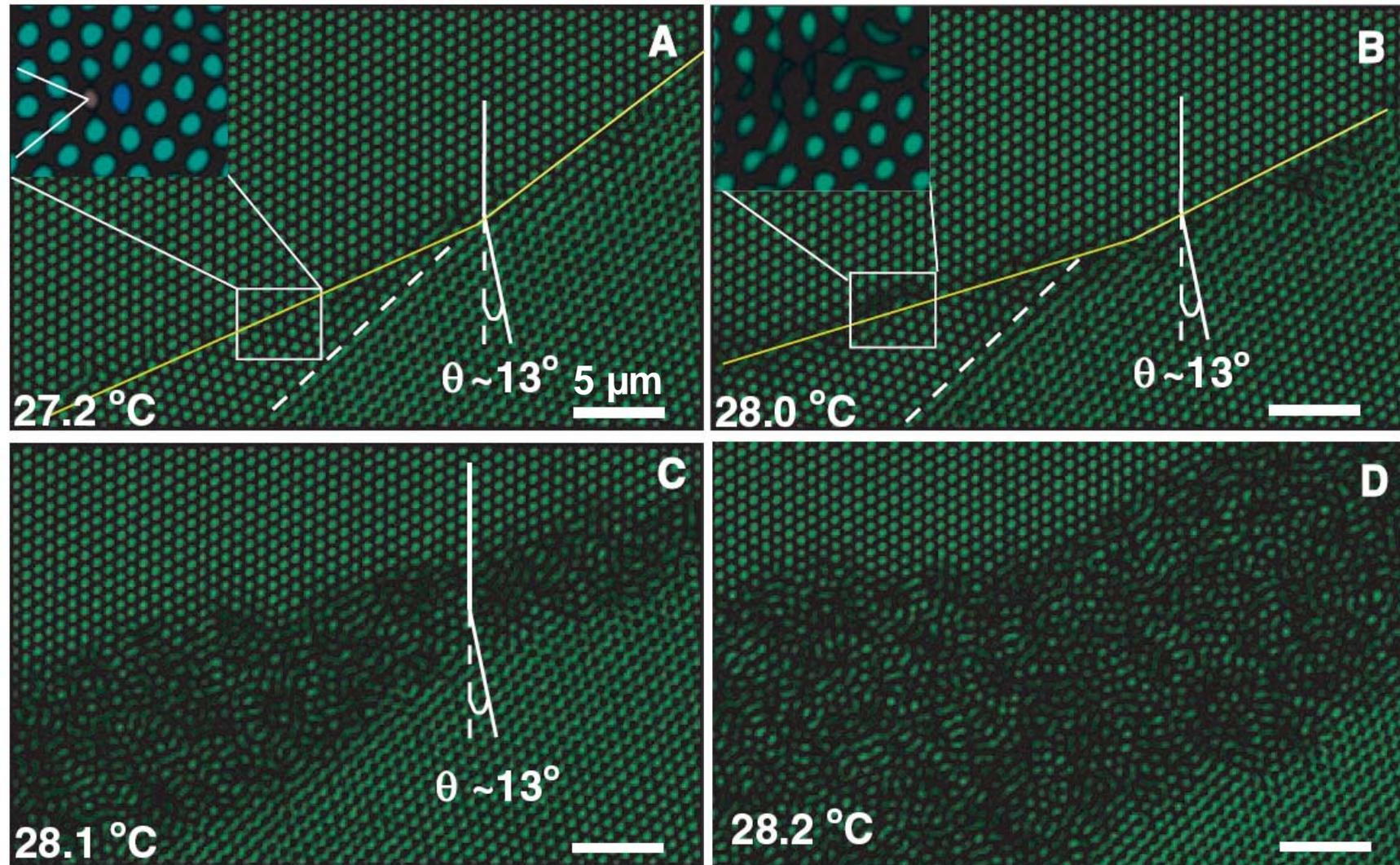
Kikuchi and Cahn '80 mean field

Besold and Mouritsen '94 Monte-Carlo



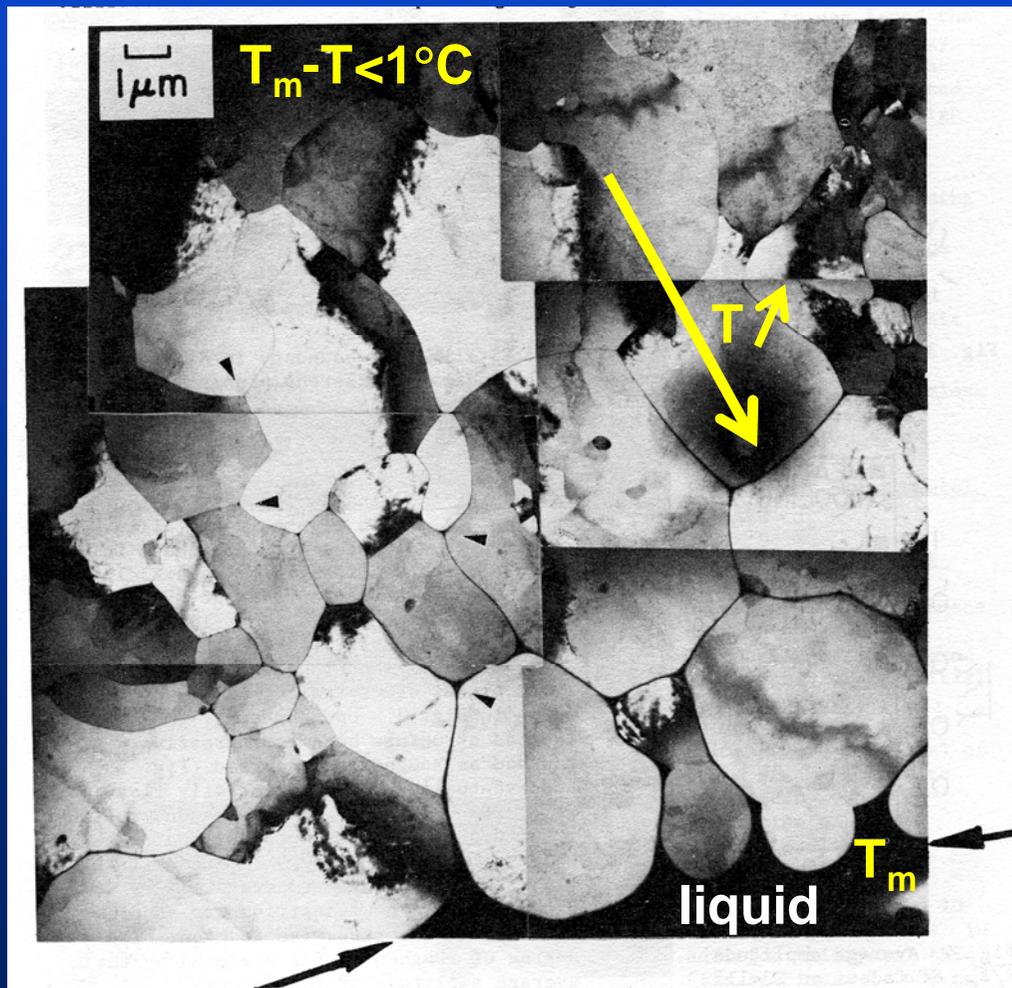
# Grain boundary premelting

colloidal crystals *Alsayed et al. Science '05*  $T_m=28.3^\circ\text{C}$



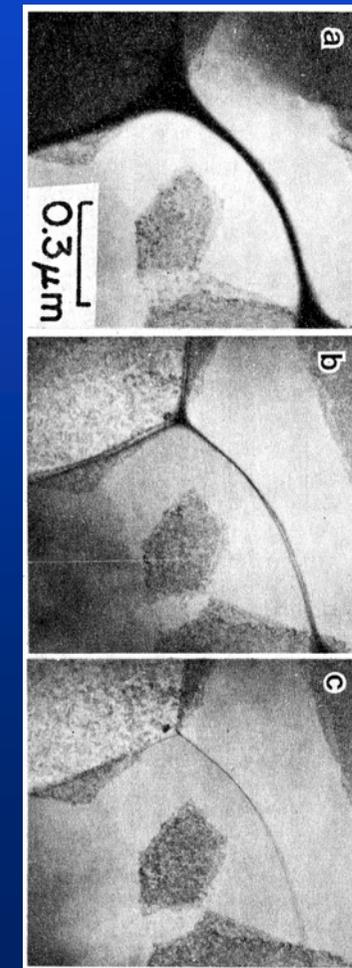
# Grain boundary melting

bismuth films 50-100 nm thick Glicksman and Vold *Acta Metall.* '67  
aluminum films 400 nm thick Balluffi and Hsieh *J. de Physique C* '88  
GB thickness  $< 0.7$  nm for  $T < T_m - 1^\circ\text{C}$



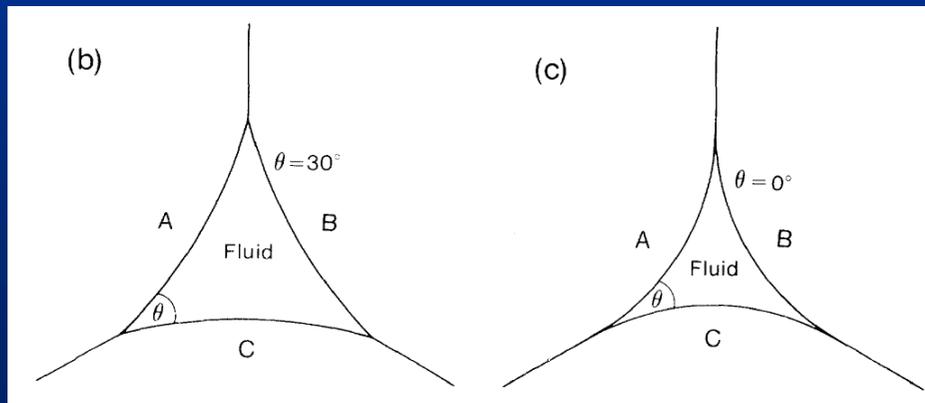
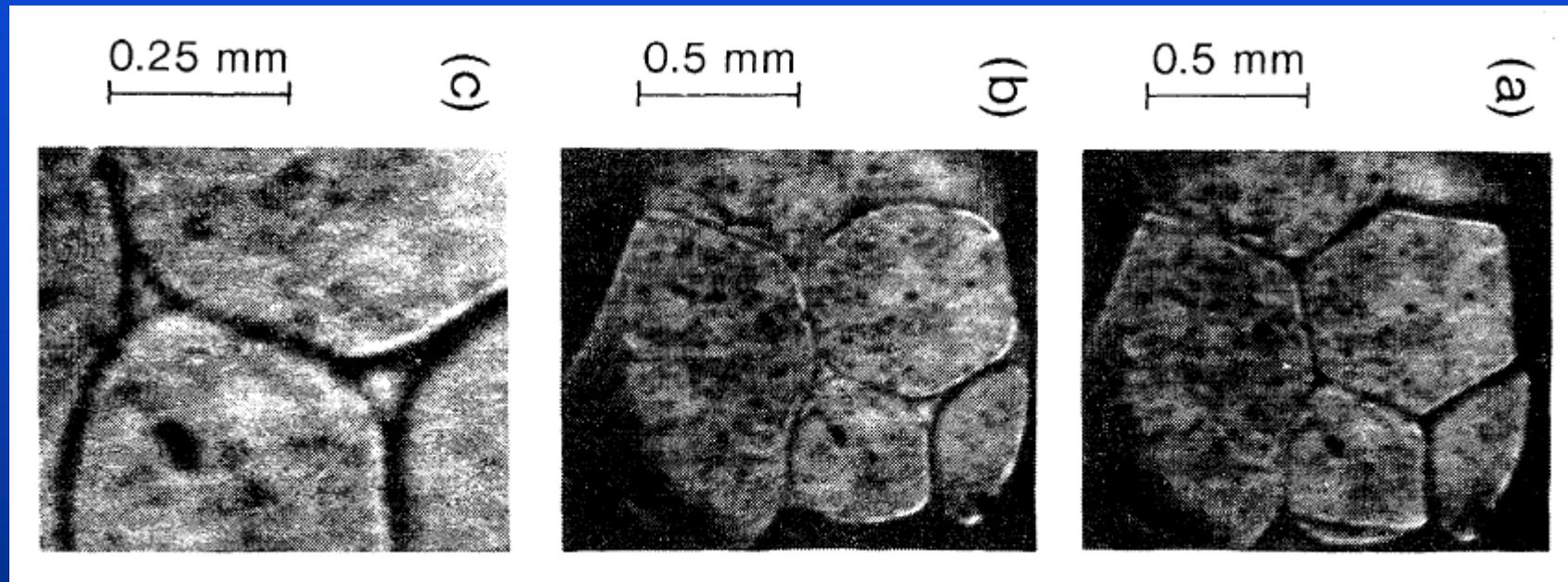
$T = T_m$

$T \downarrow$



# Grain boundary melting

Franck et al. *PRL* '83 helium films, 50 $\mu$ m, fcc, high T – high P



← 280 MPa  
warming up  
by a few mK/min  
26.3 K

$$0 \leq \theta \leq 30^\circ$$

“almost” complete wetting

BUT the interpretation of experiments on films needs to be reconsidered:

- the GB makes a groove at the LS interface
- the GB can open into a liquid channel on a wall
- ⇒ for thin films, the grains can detach
- ⇒ whereas in our experiment on helium, the grains are 3 mm thick

# And now some theory

Lipowsky *PRL* '86

definition:  $\Delta\sigma^0(T) = 2\sigma_{LS} - \sigma_{GB}^0$

liquid layer between two grains at  $T < T_m$

free energy per unit area:  $\Delta G(t) = L (1 - T/T_m) t + \Delta\sigma^0 + V(t)$

minimize  $\Delta G(t) \Rightarrow$  equilibrium thickness  $t$

short range forces:

$V(t) = K \exp(-t/\xi)$  for large  $t$

if  $K > 0$

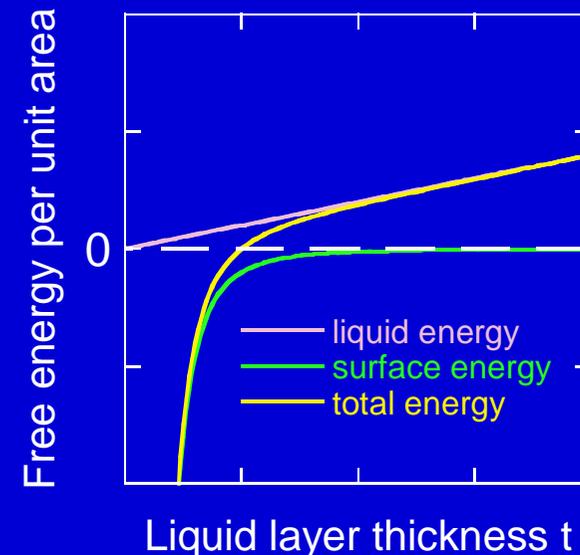
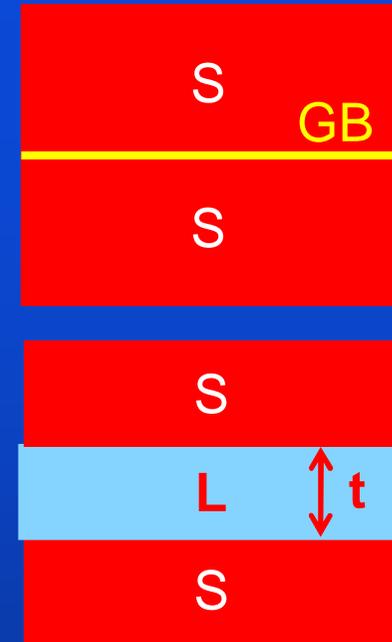
$\Rightarrow$  **divergence**  $t(T) = \xi \ln[K / (T_m - T)]$

$\Rightarrow \Delta G < 0$  if  $\Delta\sigma^0$  sufficiently negative

$\Rightarrow$  seen in simulations with

**finite range** lattice gas model or

**truncated** L-J potential



# And now some theory

long range forces (VdW):

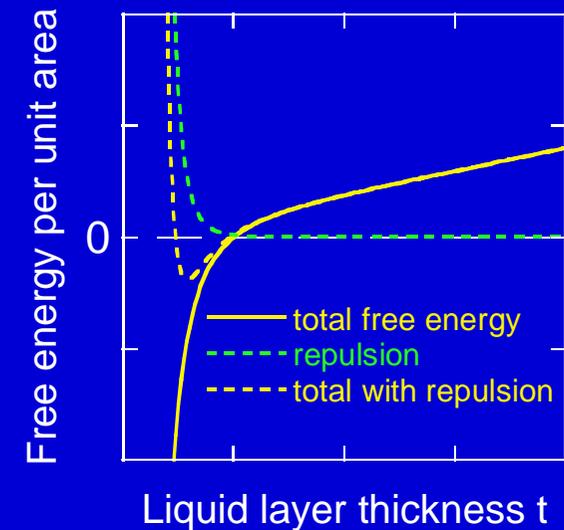
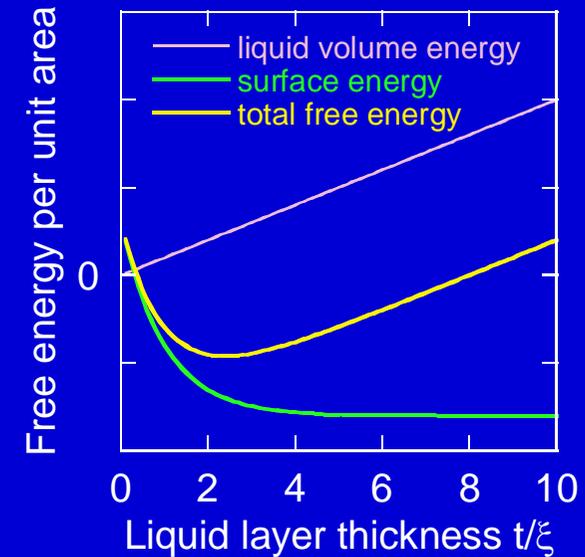
as both sides have **same density**:

- large  $t$ :  $V(t)$  **always attractive** =  $-K/t^n$   
with  $K>0$

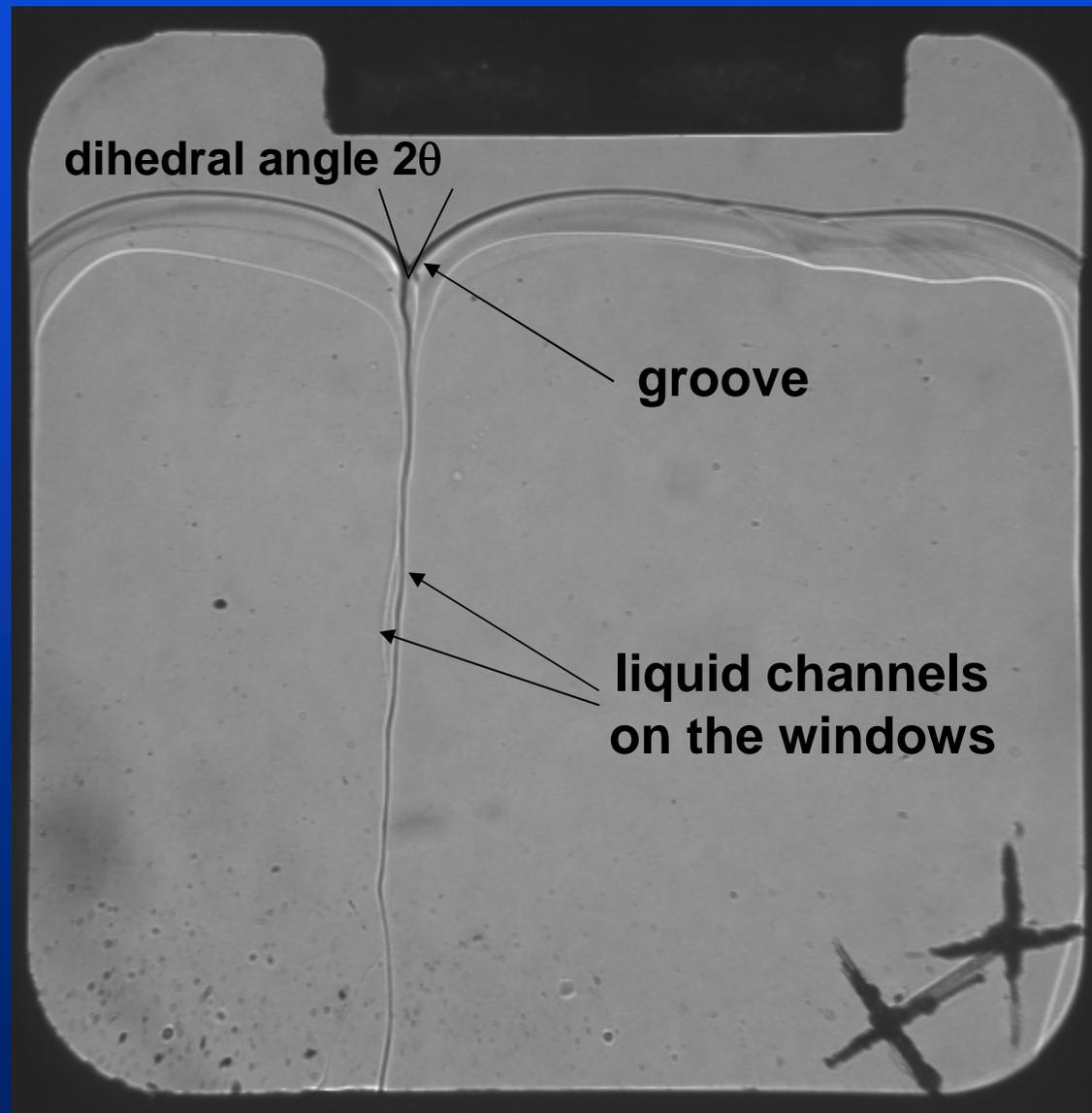
- small  $t$ : repulsion can occur  
(repulsive cores, fluctuations...)

**but  $t$  remains an atomic scale**

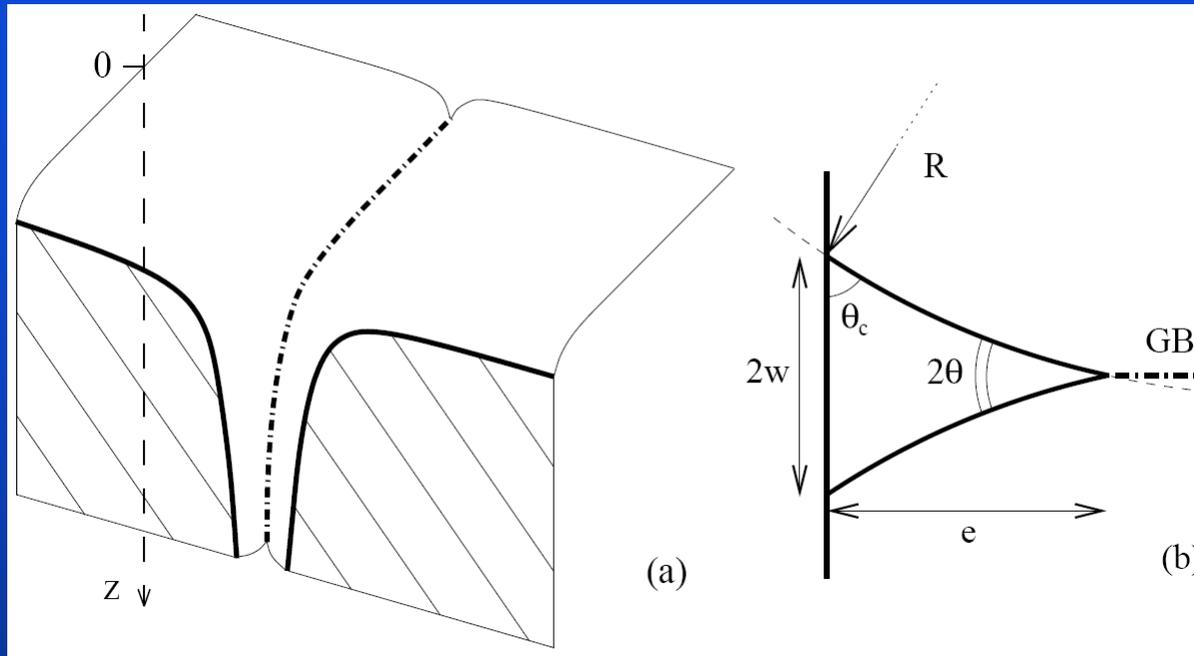
Schick and Shih *PRB* '87



# Wetting properties of grain boundaries



# Liquid channel on the window



$$l_c = \sqrt{\frac{\sigma_{LS}}{\Delta\rho g}}$$

capillary length

contact angle  $\theta_c$  between the LS interface and the wall

neglecting elasticity  $\Rightarrow$  hydrostatic equilibrium

$\Rightarrow$  pressure difference

$\Rightarrow$  curved interface, liquid on the convex side

$$P_L(z) = P_{eq} + \rho_L g z$$

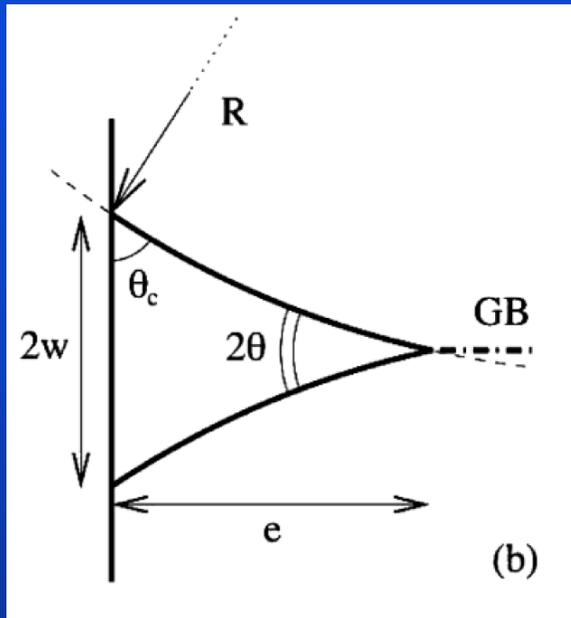
$$P_S(z) = P_{eq} + \rho_S g z$$

$$R = \sigma_{LS} / [P_S(z) - P_L(z)] = l_c^2 / z \quad \text{for } z/l_c > 1.7$$

$\Rightarrow$  requires

$$\theta + \theta_c < \pi/2$$

# Liquid channel under pressure



LS equilibrium possible above  $P_{eq}$   
for a curved interface:

$$\mu_L(P_L) = \mu_L(P_{eq}) + \frac{P_L - P_{eq}}{\rho_L}$$

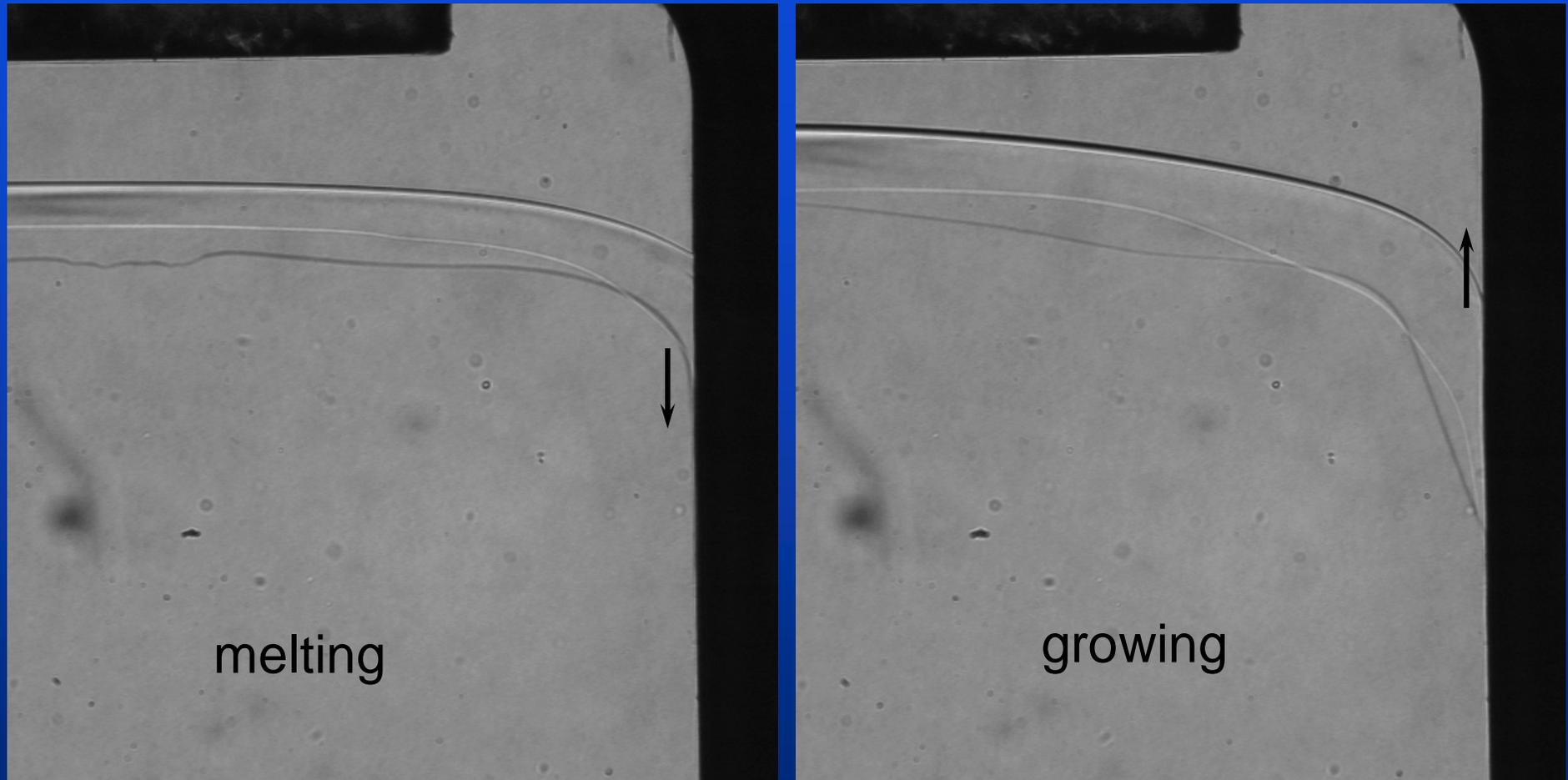
$$\mu_S(P_S) = \mu_S(P_{eq}) + \frac{P_S - P_{eq}}{\rho_S}$$

$$\Rightarrow P_L = \frac{\rho_L}{\rho_S} P_S + \left(1 - \frac{\rho_L}{\rho_S}\right) P_{eq}$$

$$R = \frac{\sigma_{LS}}{P_S - P_L} = \frac{\sigma_{LS}}{\left(1 - \frac{\rho_L}{\rho_S}\right) (P_S - P_{eq})}$$

$$S = R^2 \left[ (\cos \theta_c - \sin \theta) (\cos \theta - \sin \theta_c) + \cos(\theta + \theta_c) + \theta + \theta_c - \frac{\pi}{2} \right]$$

# Contact angle hysteresis



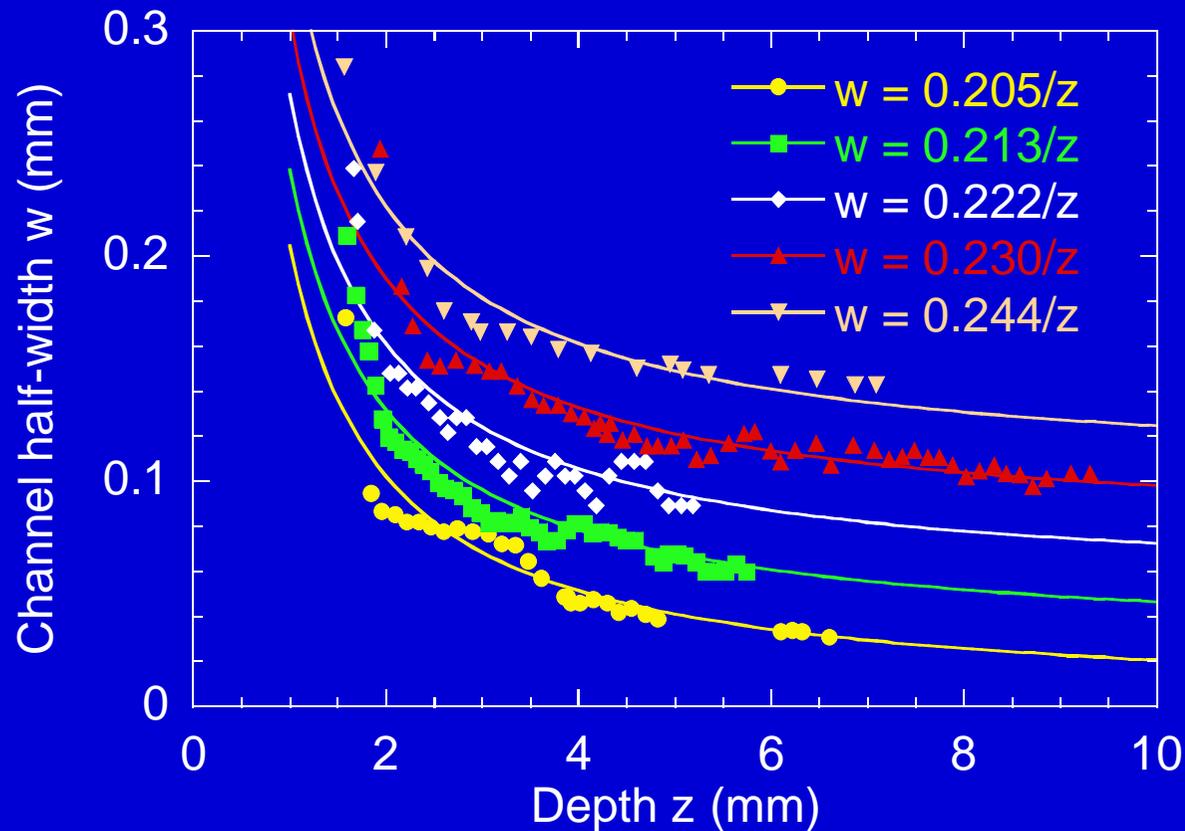
liquid advancing:  $55 \pm 6^\circ$  (copper)  $51 \pm 5^\circ$  (glass)  $53 \pm 9^\circ$  (graphite)  
liquid receding:  $22 \pm 6^\circ$  (copper)  $26 \pm 7^\circ$  (glass)  $37 \pm 6^\circ$  (graphite)  
larger hysteresis on rough copper than on a smooth glass wall

# Liquid channel on the window

$$\theta + \theta_c < \pi/2$$

$\theta \approx 15^\circ, \theta_c \approx 45^\circ \Rightarrow$  channel

$$w(z) = \frac{l_c^2}{z} (\cos \theta - \sin \theta_c)$$



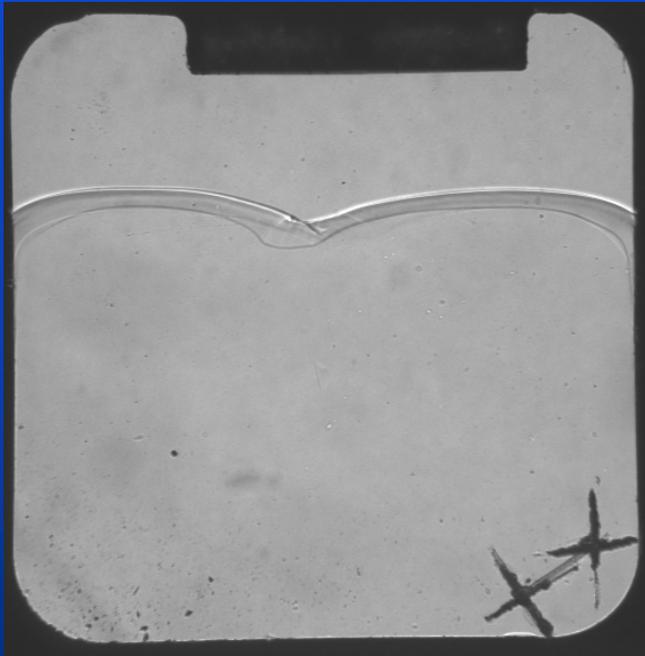
using  $\theta = 15^\circ, \theta_c = 45^\circ$   
 $\Rightarrow l_c \approx 0.89$  to  $0.97$  mm

a calculation with  
 $\sigma_{LS} = 0.17$  mJ/m<sup>2</sup> gives  
 $l_c = 0.98$  mm

the channel  
closes for large  $z$   
(or under  
pressure)

# Direct nucleation of two grains

quick closing of a valve on the fill line  
sometimes allows to make two grains with similar orientations



[nucleation.mov](#)

small  $\sigma_{GB}$

$\Rightarrow$  large  $\theta$

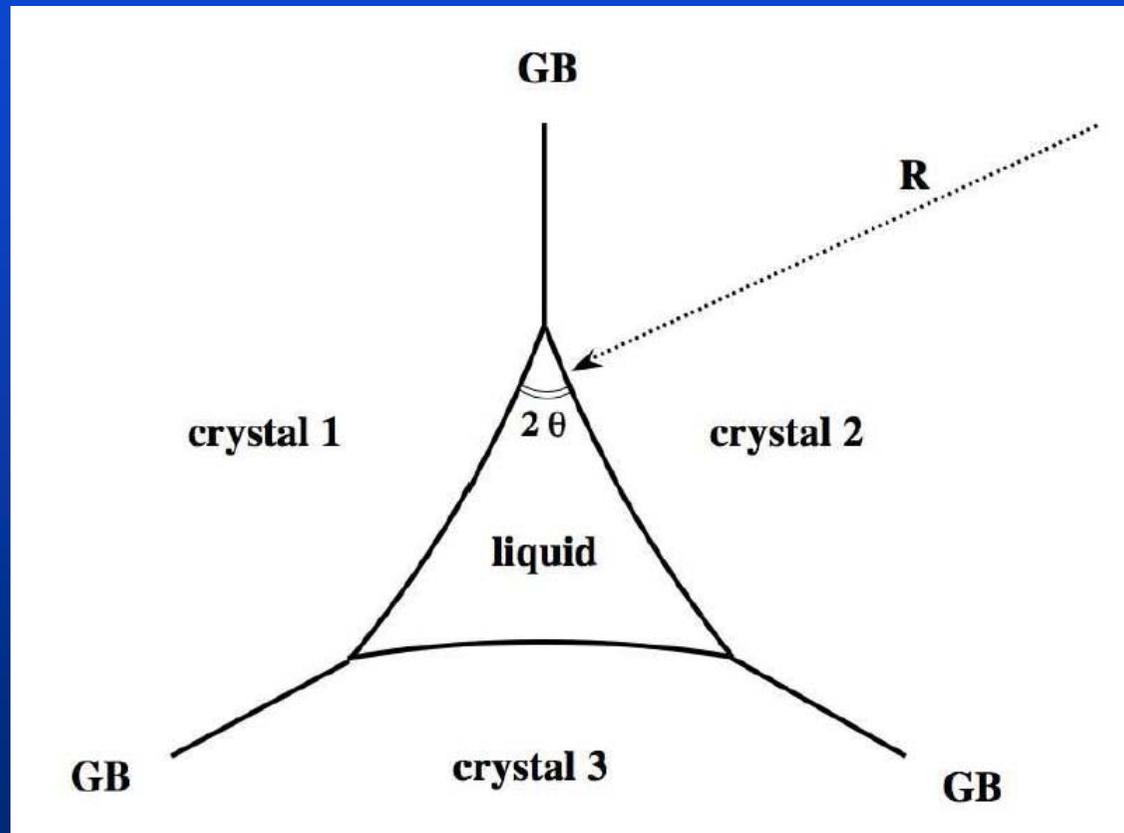
$\Rightarrow \theta + \theta_c > \pi/2$

$\Rightarrow$  no channel

# Liquid channel between three grains

⇒ requires  $\theta < \pi/6$

Miller and Chadwick *Acta metall.* '67  
Raj *Acta metall. mater.* '90

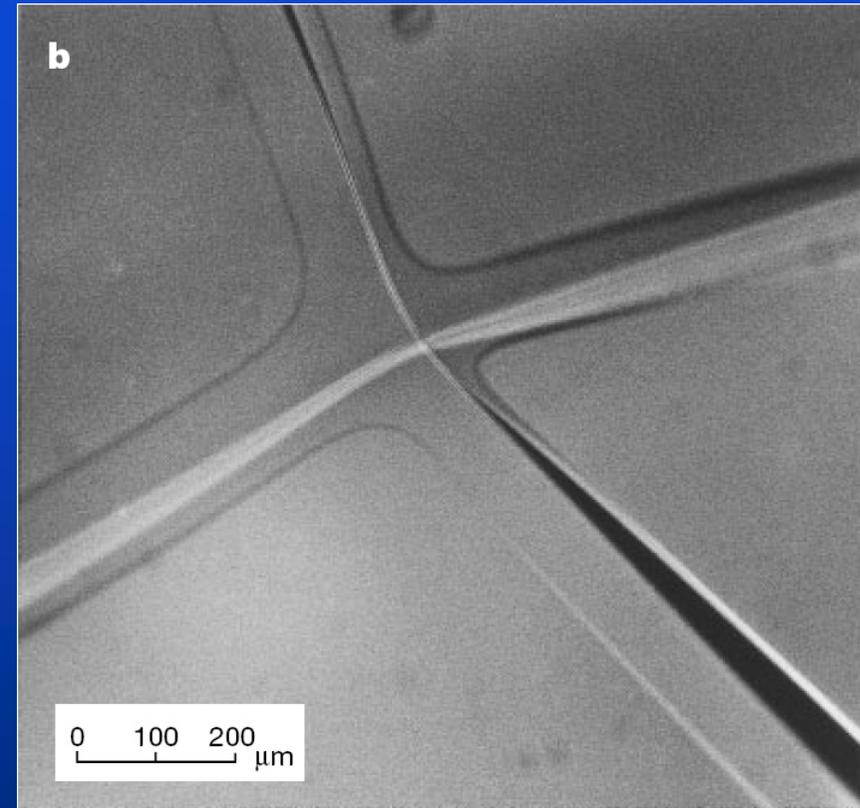
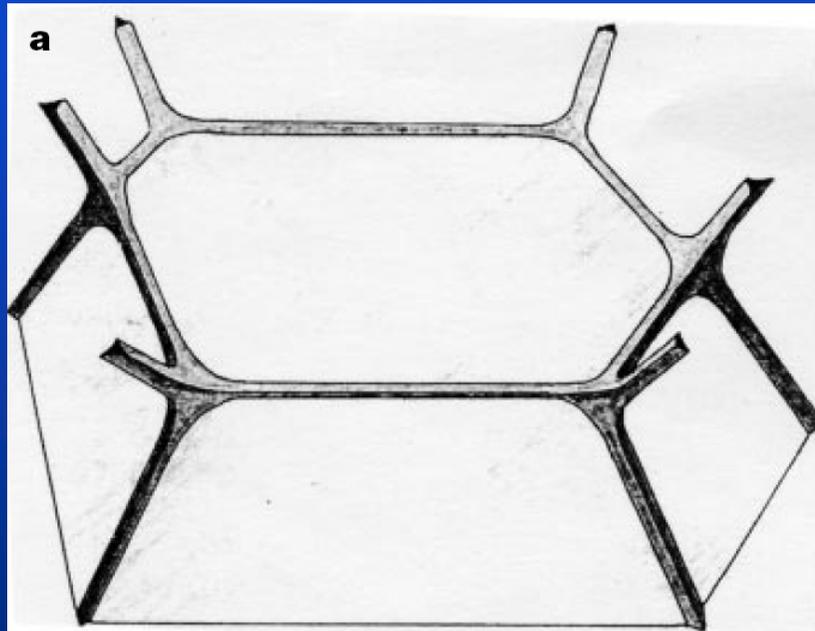


$$S = R^2 \left[ 2\sqrt{3} \sin \phi \sin \left( \phi + \frac{\pi}{3} \right) - 3\phi \right] \text{ with } \phi = \frac{\pi}{6} - \theta$$

# Liquid channels in ice

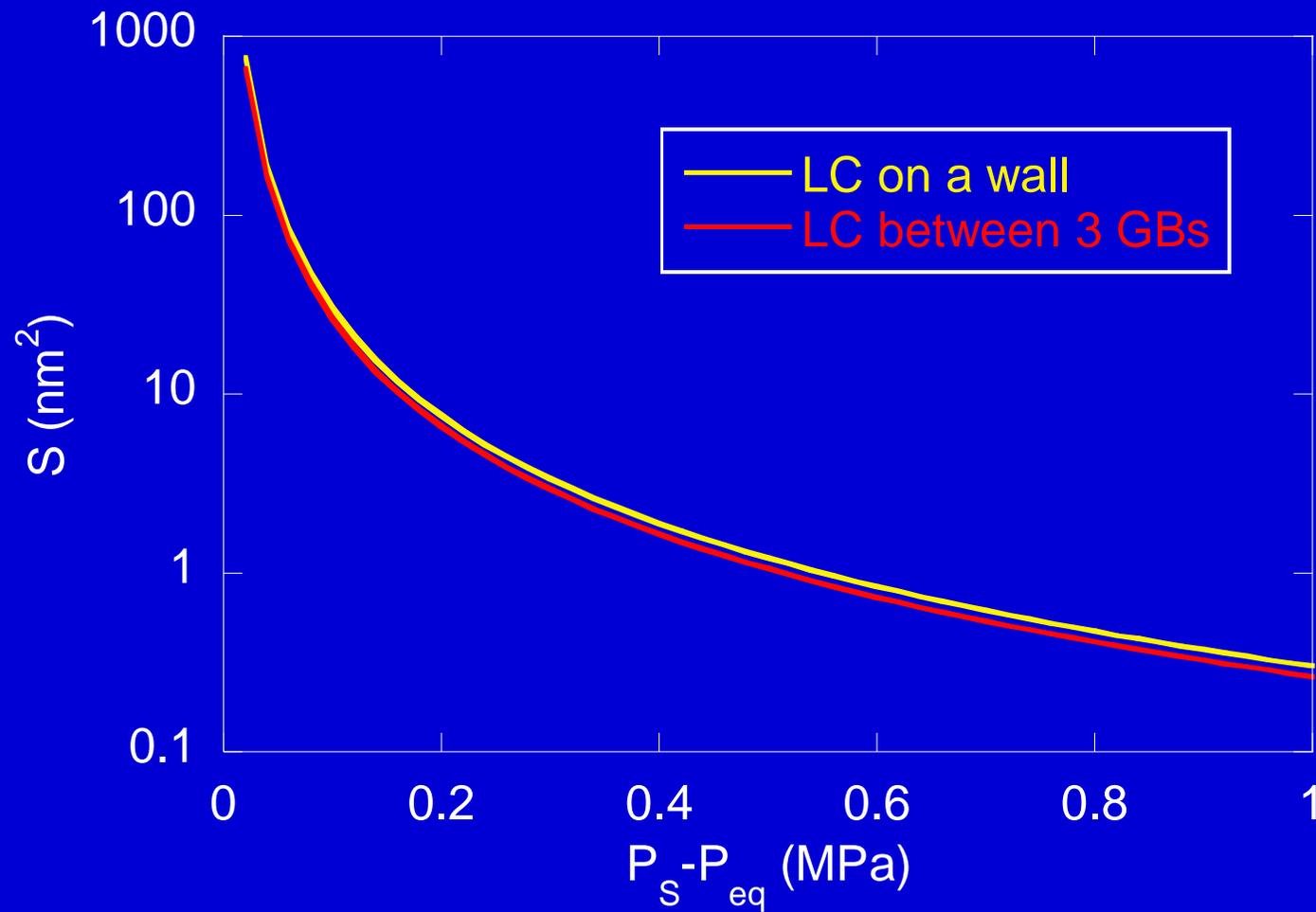
Nye *J. Glac.* '89

Mader *J. Glac.* '92



diffusion of impurities (dissolved gases) along the channels  
⇒ possible bias in climate reconstruction [Rempel et al. \*Nature\* '01](#)

# Liquid channels under pressure

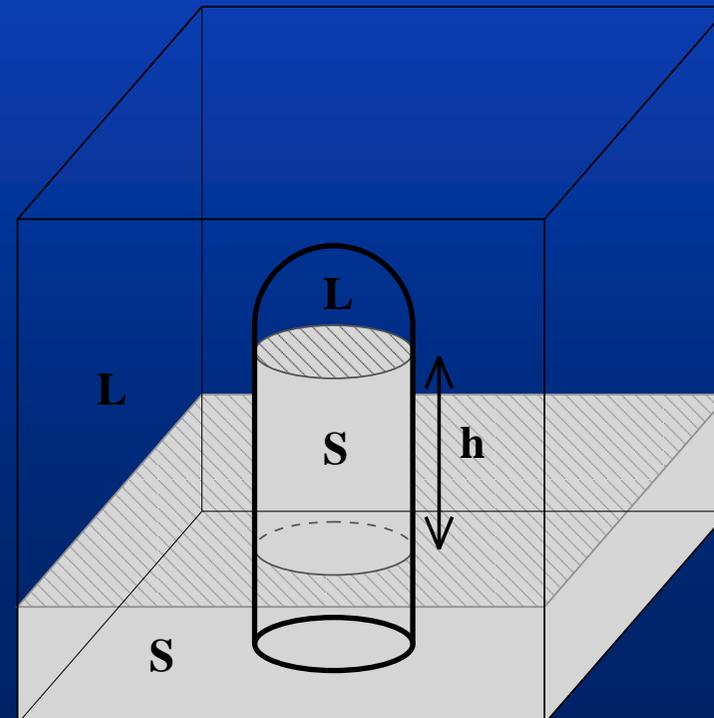
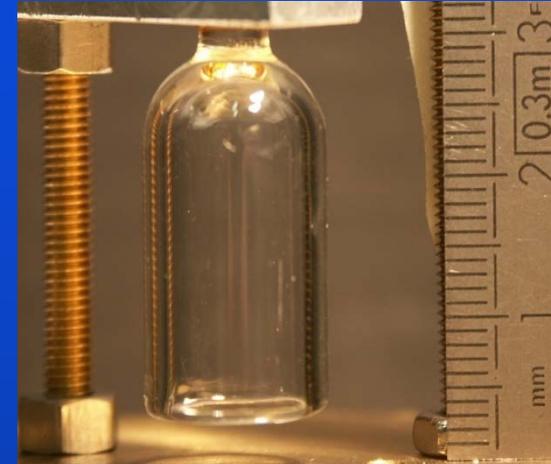


# Torricellian experiment

Sasaki et al. *Science* '06

Inverted test tube (diam. 10 mm)  
solide grown at 1.3 K  
cooled to 50 mK  
height difference

$\rho_S = 1.1 \rho_L$   
 $\Rightarrow$  a change of the solid level  
inside the tube requires mass flow



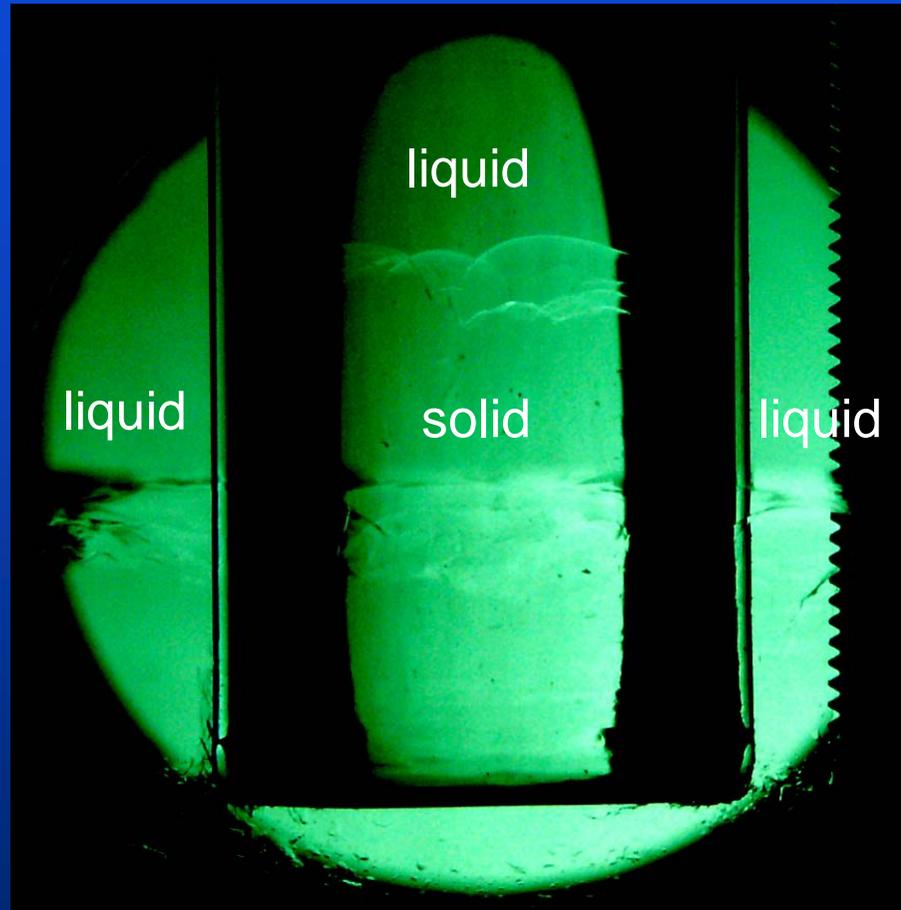
# Torricellian experiment

stress applied to crystallize  
the inside:  
outside at 1.4K et  
inside at 1.3K  
during a few seconds

$$P_f(1.4 \text{ K}) - P_f(1.3 \text{ K})$$

$$= 0.3 \text{ bar}$$

fast growth under  
inhomogeneous stress  
⇒ defects



grain boundaries make grooves at the LS interface  
many move and disappear, some remain pinned

## No flow in good quality crystals

for 10 crystals with no or few grooves:

no flow

no leak along the tube walls

using numbers from the TO experiments :

1% superfluid density with  $v_c = 10 \mu\text{m/s}$

$\Rightarrow$  relaxation at  $V = [\rho_s/(\rho_C - \rho_L)]v_c = 1 \mu\text{m/s} = 3.6 \text{ mm/h}$

0.01% superfluid density with  $v_c = 10 \mu\text{m/s}$

$\Rightarrow$  relaxation at  $V = [\rho_s/(\rho_C - \rho_L)]v_c = 1 \mu\text{m/s} = 36 \mu\text{m/h}$

Instead, experimental flow is less than  $50 \mu\text{m}$  in 4 hours

$\Rightarrow V < 12.5 \mu\text{m/h}$

# Flow in the presence of grain boundaries

flow at 50 mK for two crystals with groove in the tube

[Torricelli1\\_480x.mov](#)

480x real time

1s = 8 min

crystal 1: the flow stops when  
the groove disappears

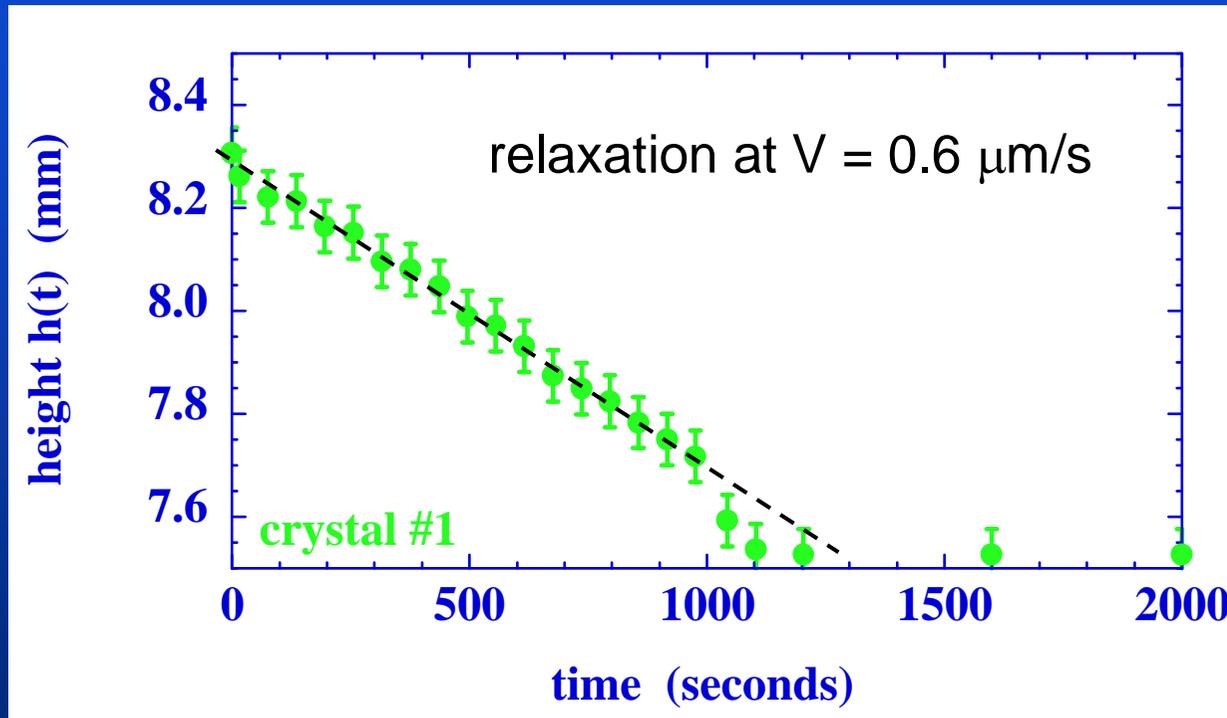
[Torricelli2\\_480x.mov](#)

480x real time

1s = 8 min

crystal 2: the flow continues  
until equilibrium is reached

# Cristal 1: only one grain boundary



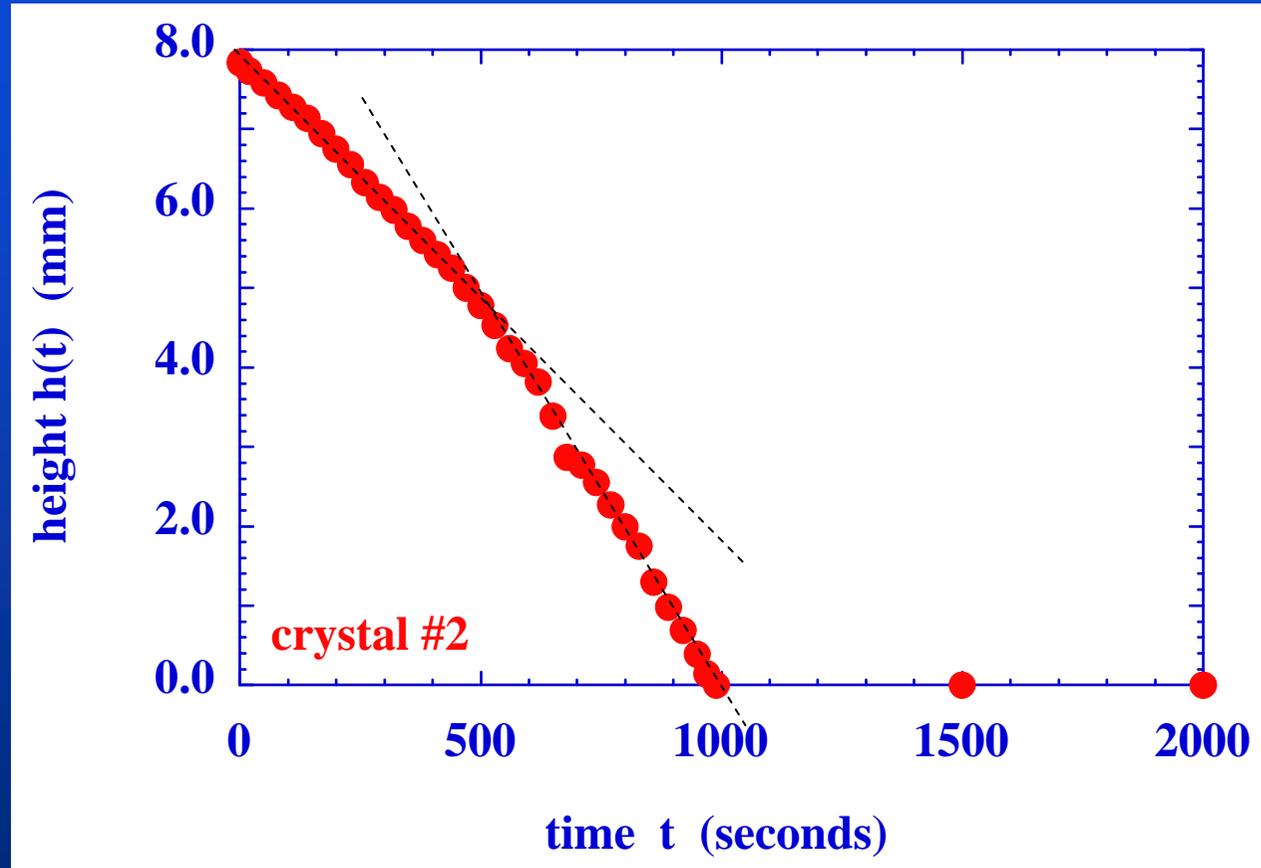
constant  $V$  :  
characteristic of  
superfluid flow

stops when the  
groove disappears  
(unpinning of the  
grain boundary)

## Cristal 2: more defects

linear relaxation  
(not exponential)

two regimes:  
 $t < 500$  s:  $6 \mu\text{m/s}$   
 $t > 500$  s:  $11 \mu\text{m/s}$



the velocity increases when the LS interface reaches  
a region with more defects at the bottom of crystal 2?

# Torricellian experiment revisited

mass flow in the tube (cristal 1):

along a GB

section  $w e$

$w \approx$  tube diameter = 10 mm

$e \approx (1/3) \times 3 a = 0.3$  nm

along the 2 liquid channels  
created on the wall

section =  $f(w,e)$

at a depth of 10mm :  $870 \mu\text{m}^2$

the measure interface velocity implies

$v_c = 1.5$  m/s

comparable to 2 m/s

in atomic thick liquid films

Telschow et al. *PRL* '74

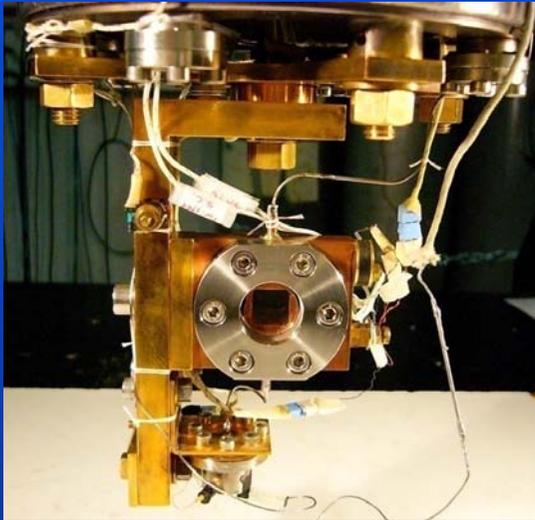
$v_c = 3$  mm/s

one sample did flow at 1.13 K  $\Rightarrow$  liquid channels more likely



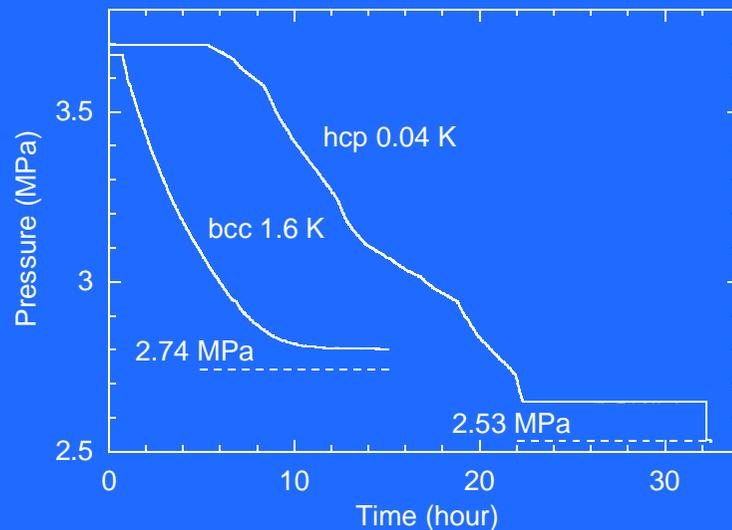
# Elastic stress gradients

Rittner and Reppy *PRL* '07



P measured with a capacitive gauge  
P increases from 41 to 51bar  
after melting by heat pulse and quench cooling

capacitive gauge connected  
with a capillary (i.d. 0.6 mm,  
length 50 mm) to the main cell



sample grown with the blocked  
capillary method, then cooled

t=0: melted to see the LS  
interface in the main cell

pressure relaxes,  
but not to  $P_m(T)$

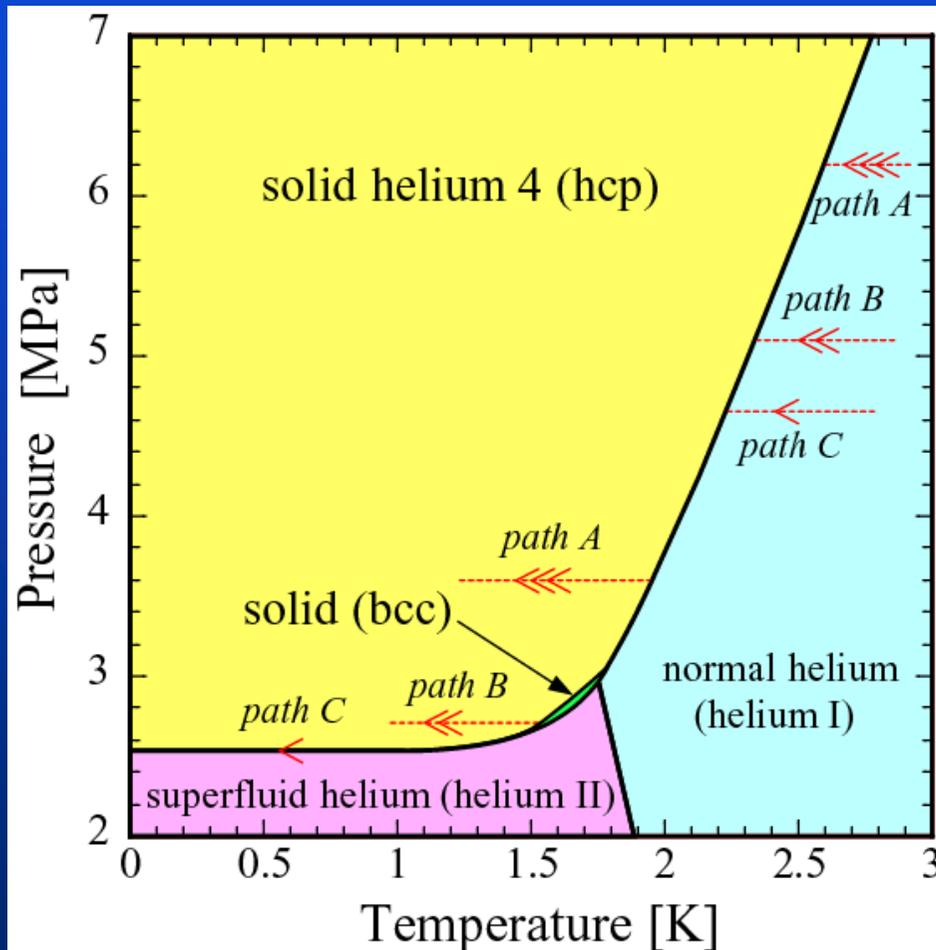
# Trying to suppress the liquid channels

HOPG graphite

after pumping the cell  
3 days:  
able to nucleate an  
oriented crystal



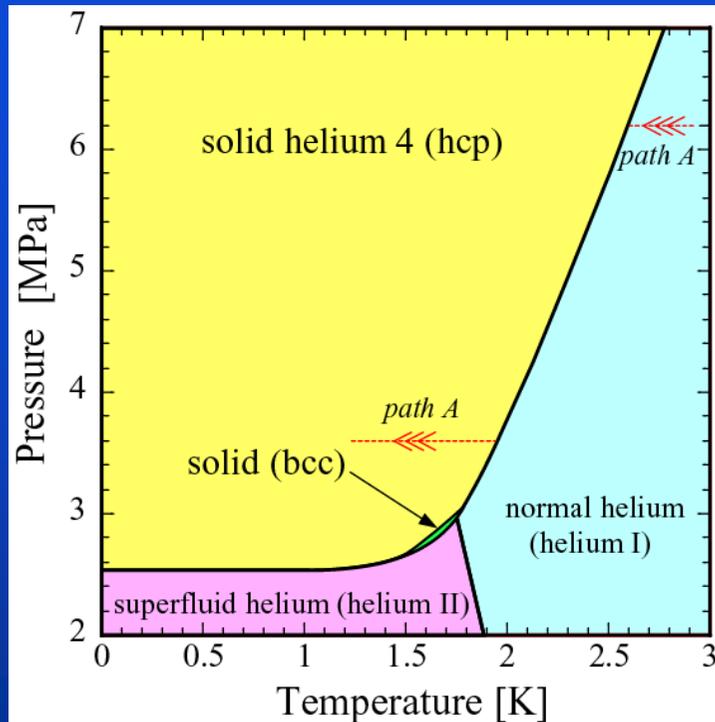
# Crystals grown by the blocked capillary method



- start from normal liquid at high pressure and cool down: *path A, B and C*
- solid plug at a cold spot in the fill line  $\Rightarrow$  **constant volume**, if the plug does not allow flow...
- requires  $P_{ini} > 4.9$  MPa otherwise liquid remains at the end (*path C*).

$\Rightarrow$  leads to polycrystals

# Slow crystallization at high pressure: *path A*



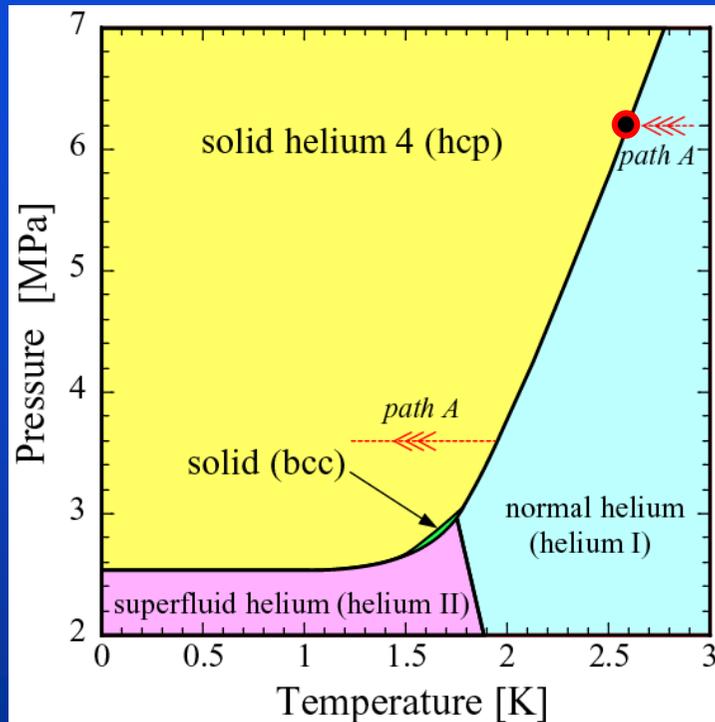
$$P_{ini} = 6.2 \text{ MPa} \quad T_{ini} = 2.58 \text{ K}$$

hcp grows from the wall

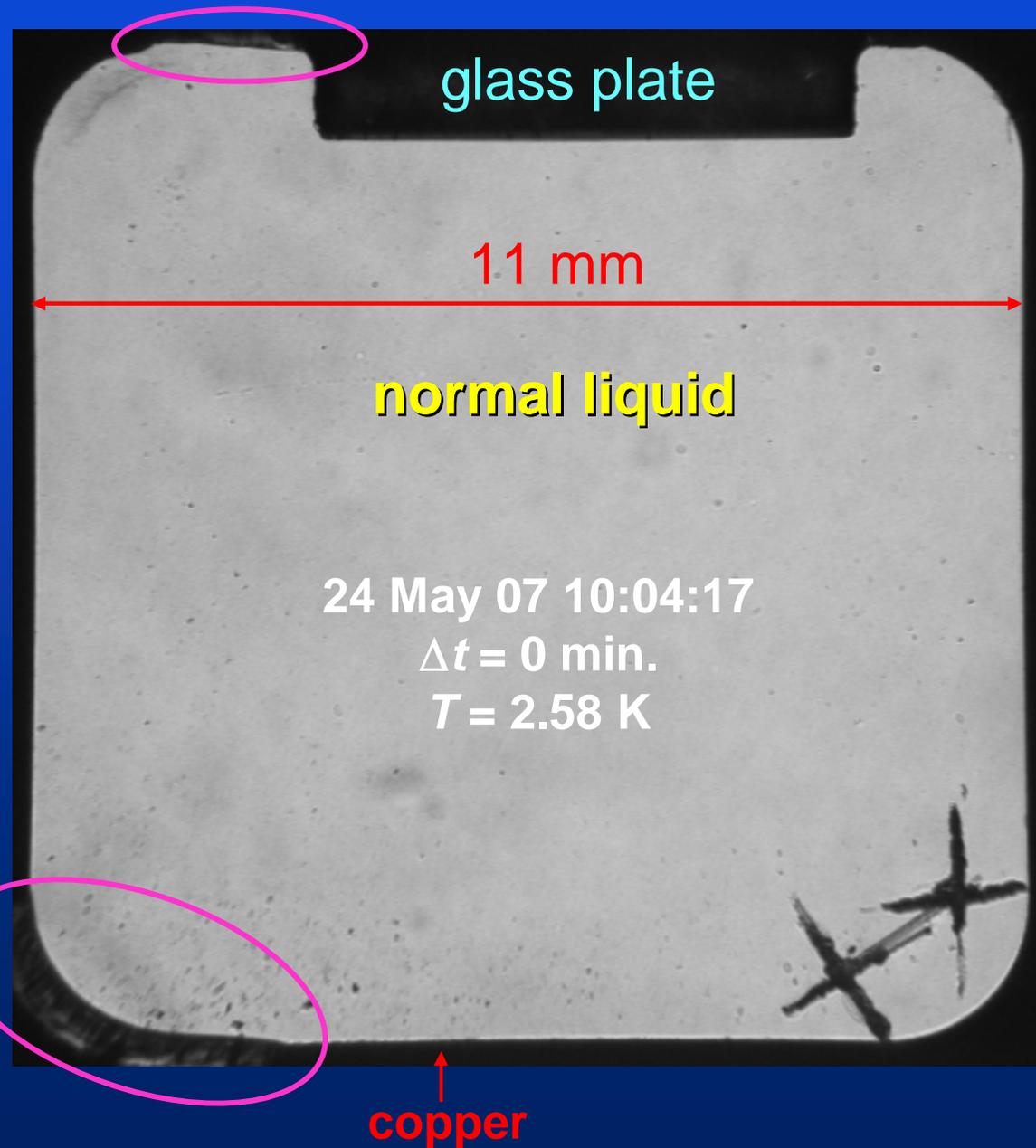
accelerated 740 x  
13 s = 160 min



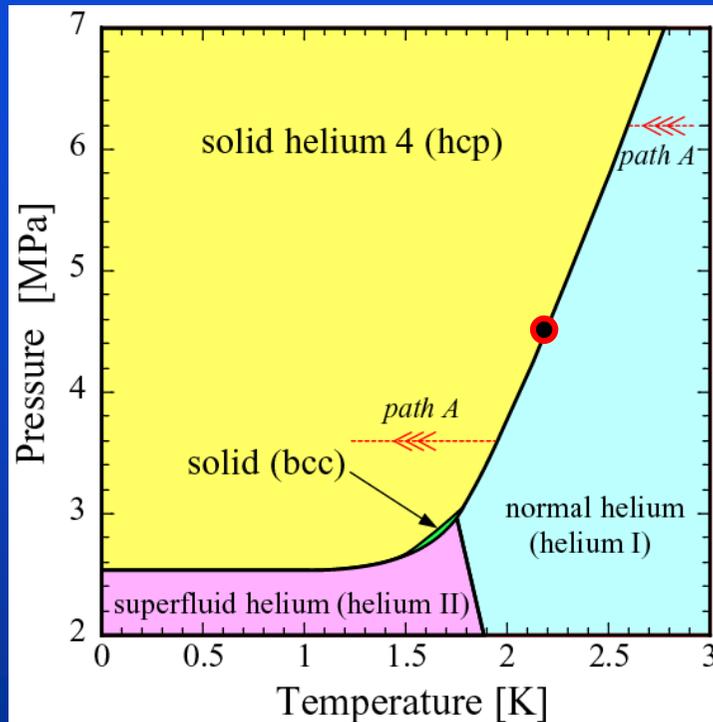
# Slow crystallization at high pressure: *path A*



hcp appears at multiple locations in the cell a few minutes after starting to pump the 1 K pot.  
cell volume  $V = 0.35 \text{ cm}^3$ .



# Slow crystallization at high pressure: *path A*

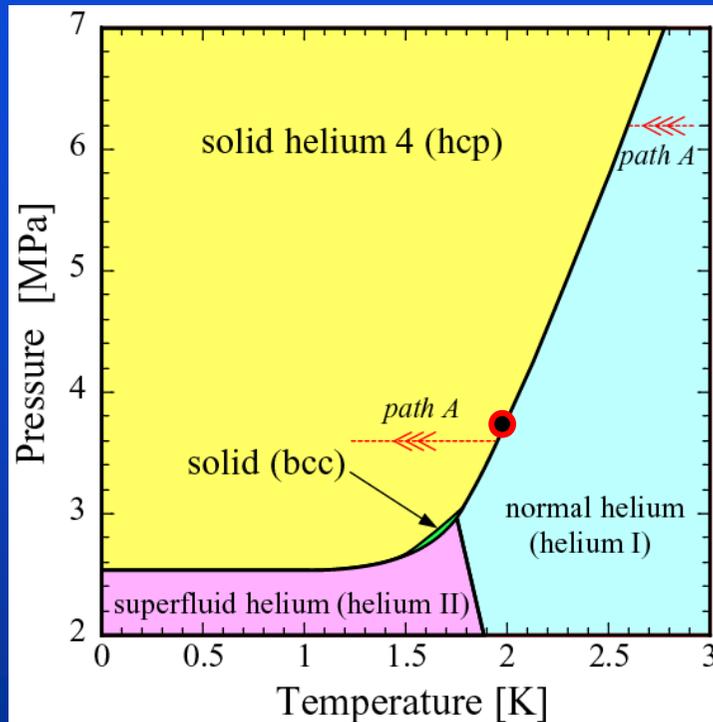


The copper wall is colder than the liquid at the center of the cell.



copper

# Slow crystallization at high pressure: *path A*



polycrystalline film ahead of  
the growing interface



# Melting a crystal slowly grown by BCM

accelerated 4 x

15 s = 53 s



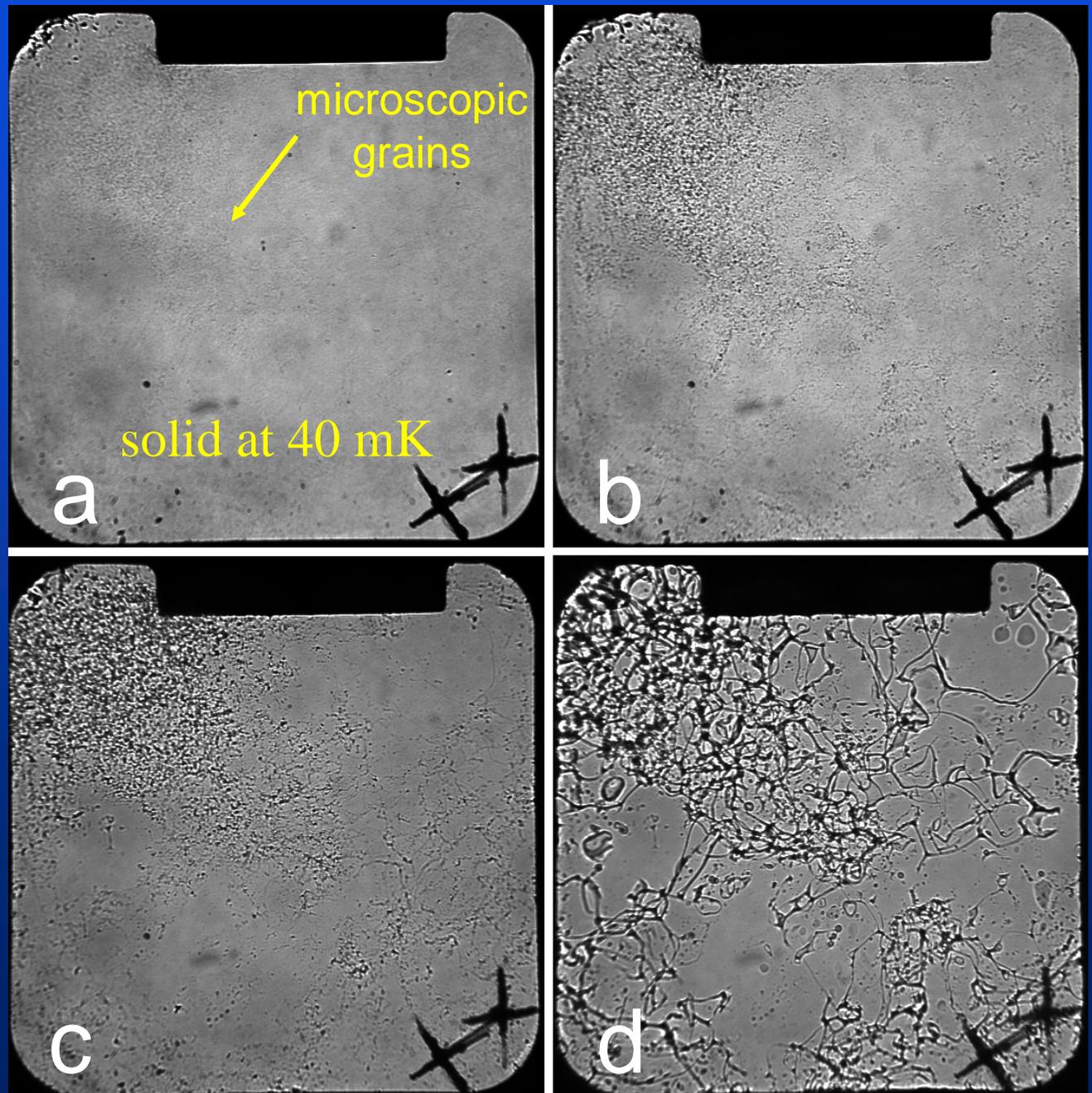
# Melting a crystal slowly grown by BCM

removing mass slowly  
through the fill line

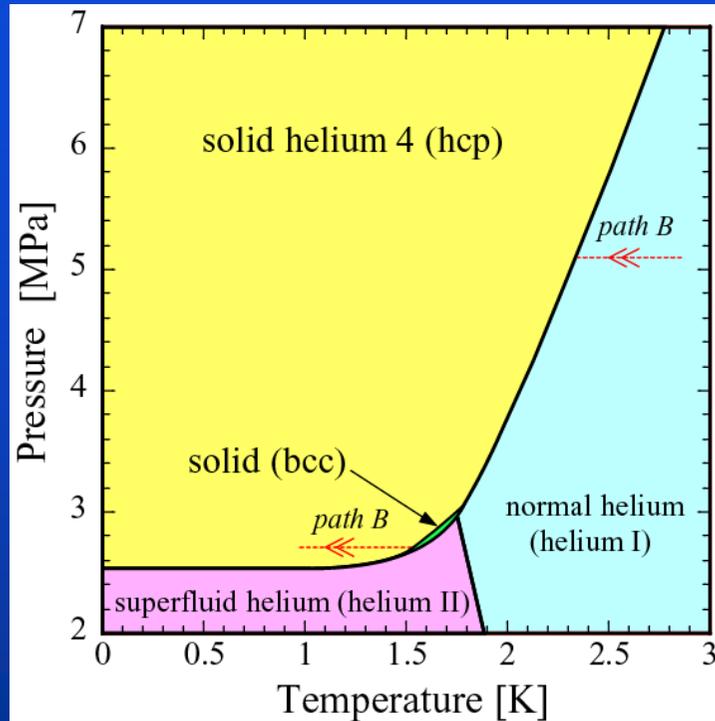
liquid channels  
between grains  
and on the windows

smallest visible grains  
< 20  $\mu\text{m}$  (1 pixel)

the grain size  
increases in a few  
seconds (ripening)

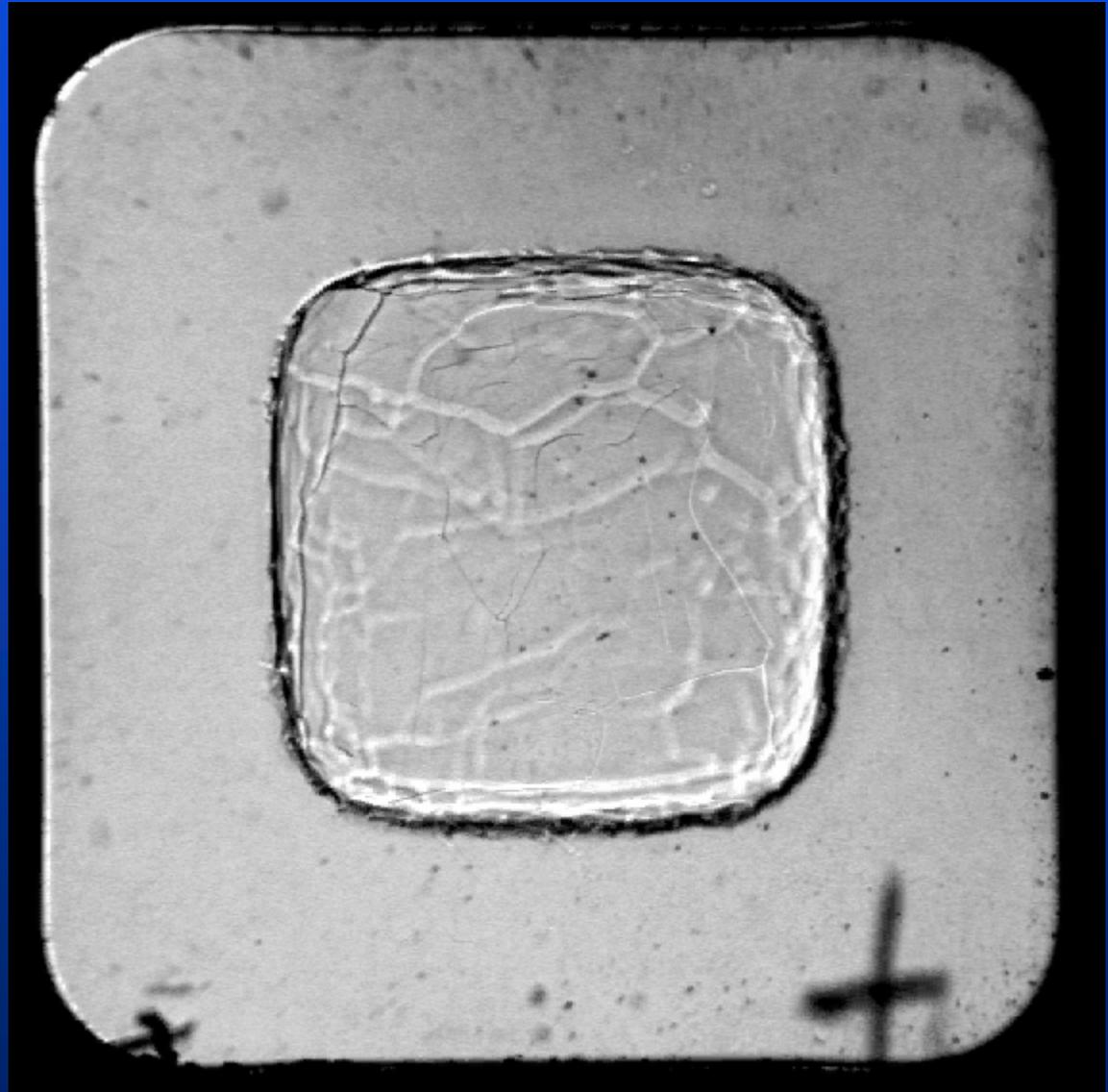


# Going through the hcp-bcc transition: *path B*

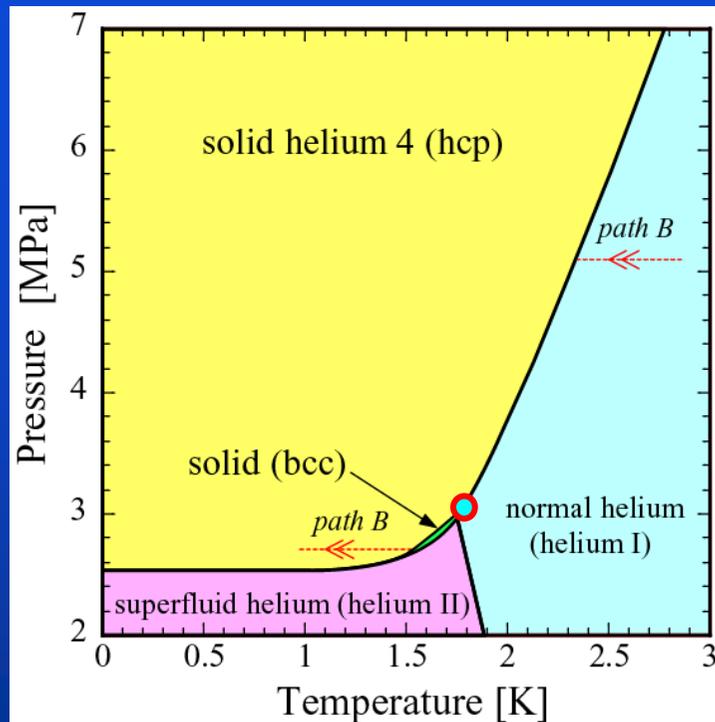


$P_{\text{ini}} = 5.1 \text{ Mpa}$     $T_{\text{ini}} = 2.36 \text{ K}$

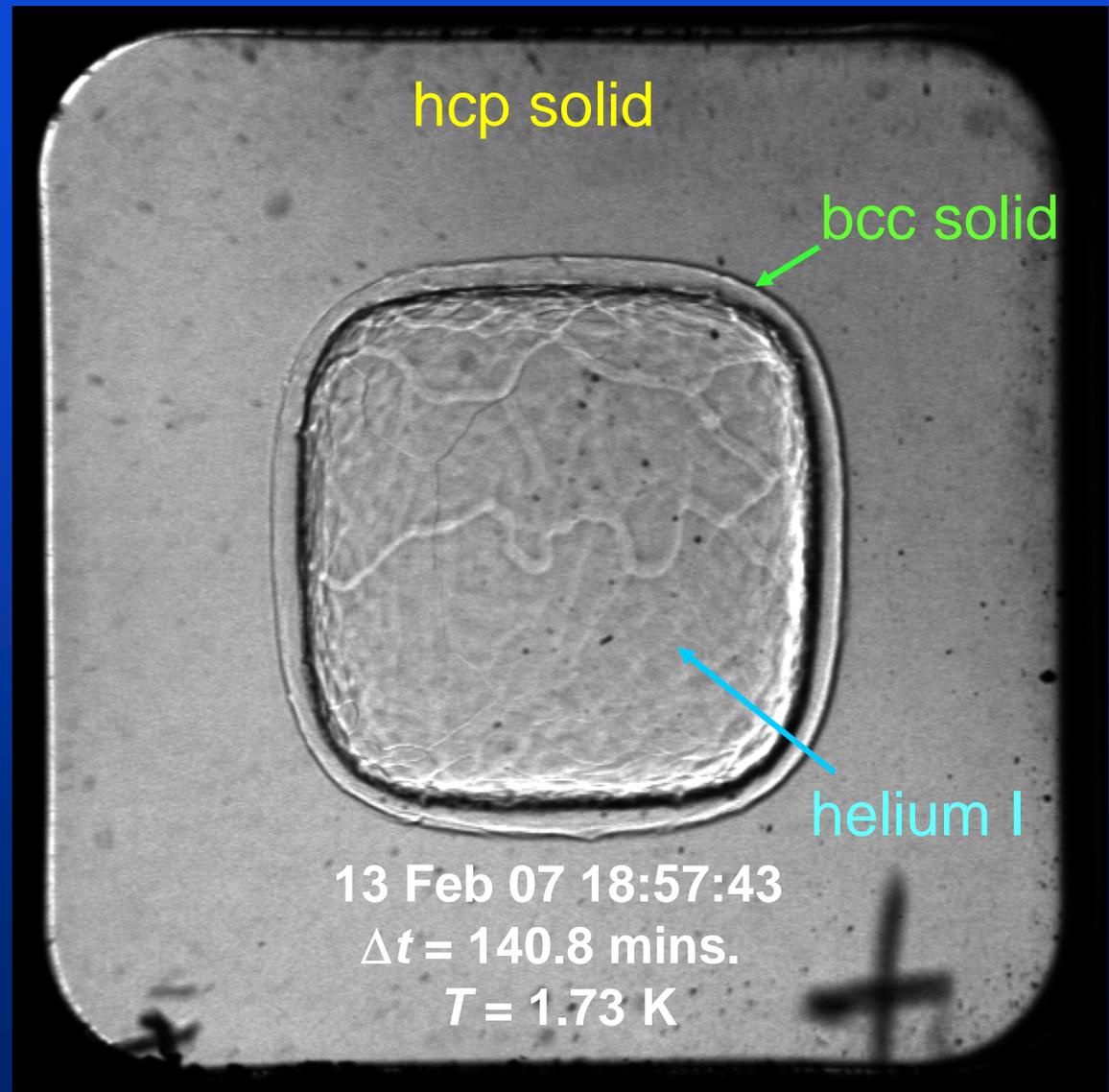
accelerated 100 x  
8 s = 13 min



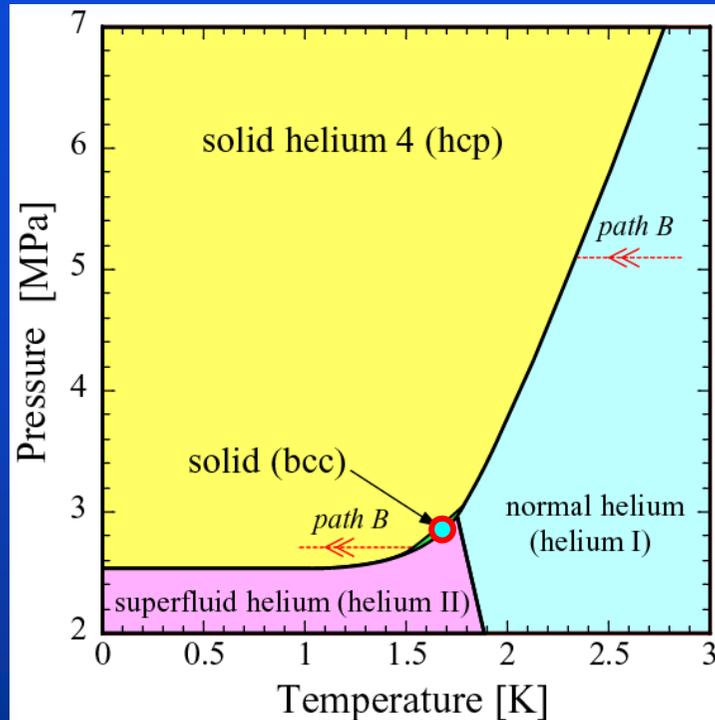
# Going through the hcp-bcc transition: *path B*



temperature gradient in the cell: 3 phases at  $T < T_u$ .



# Going through the hcp-bcc transition: *path B*



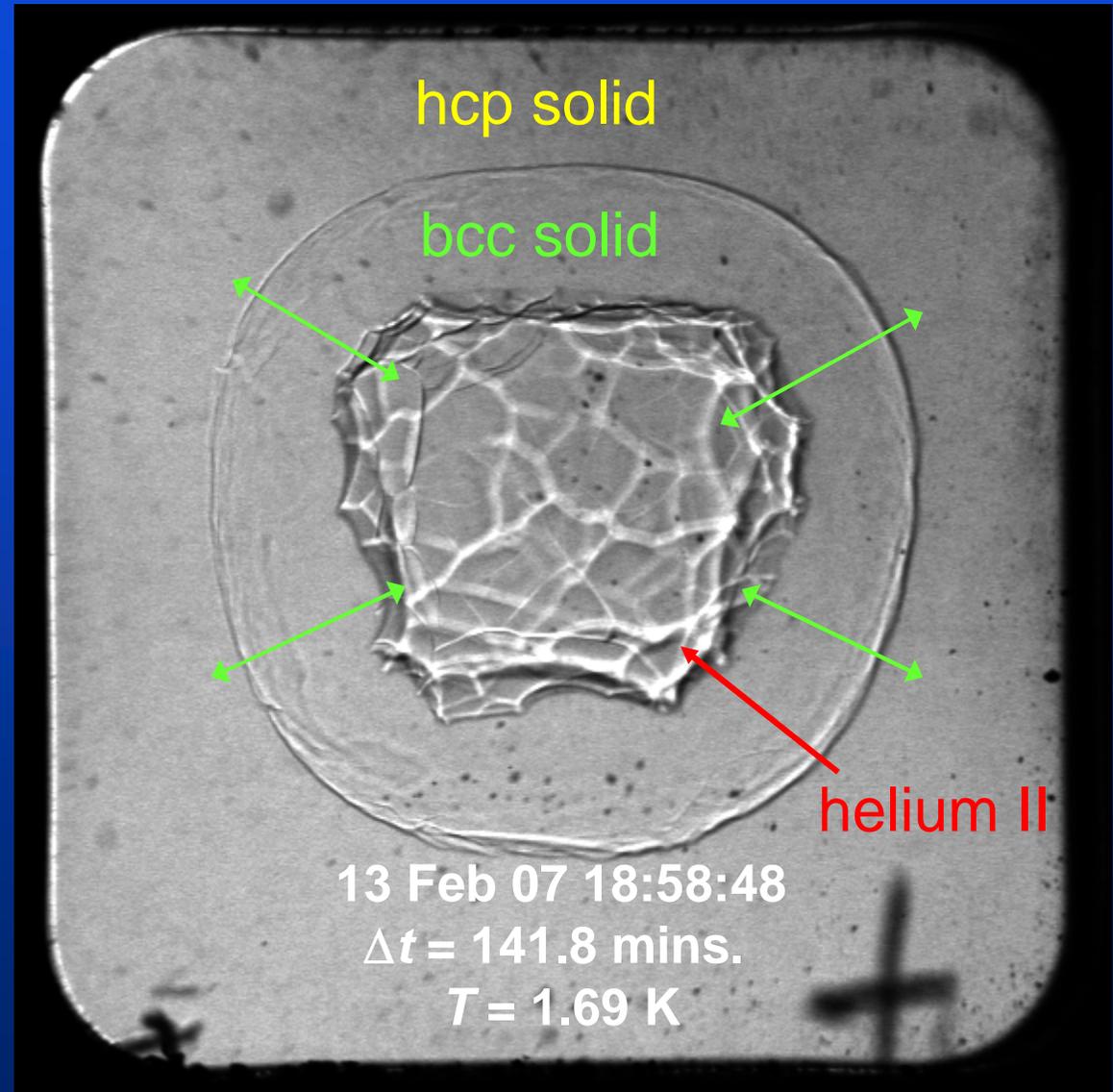
superfluid at  $T < 1.76$  K: small temperature gradient



surface tension becomes relevant

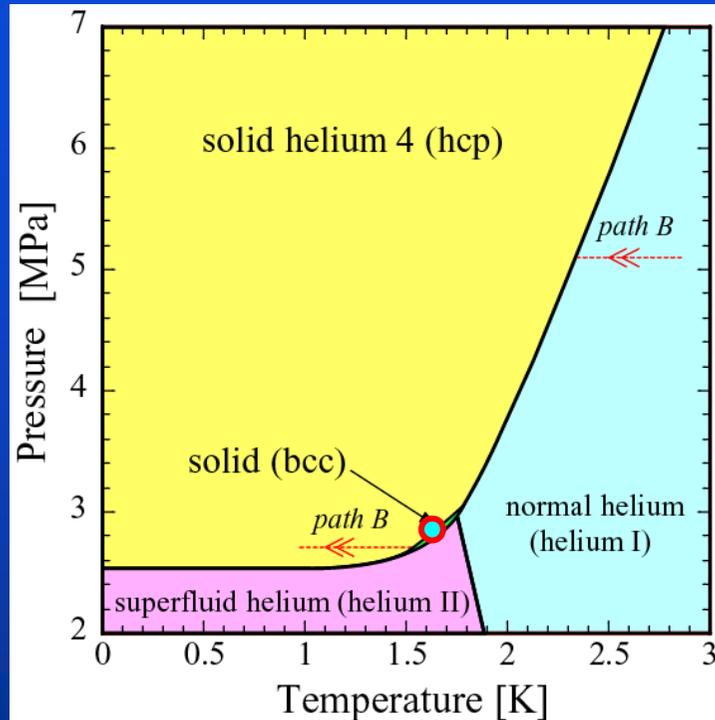


more irregular liquid-solid (bcc) interface

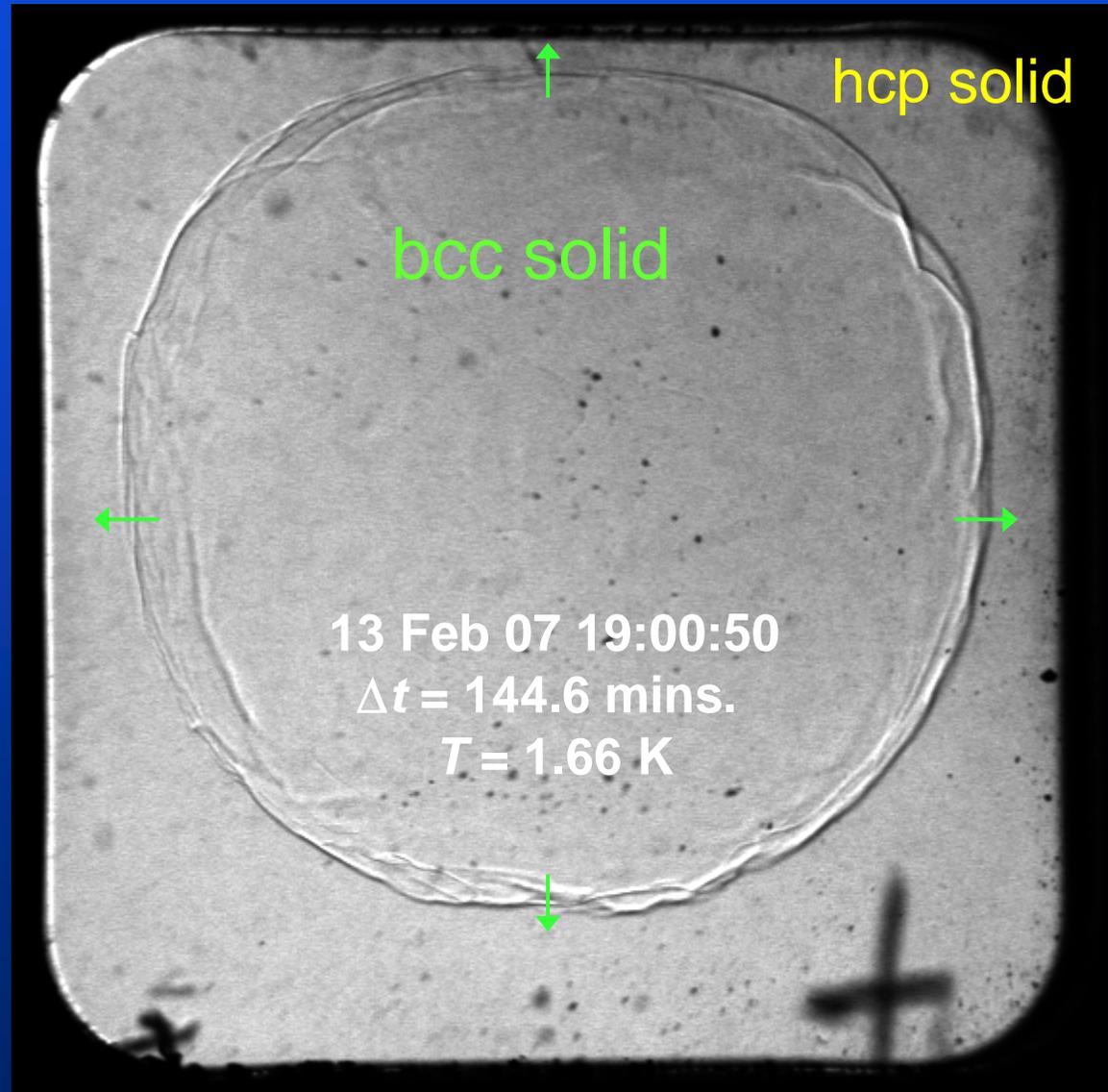


⇒ larger grain sizes

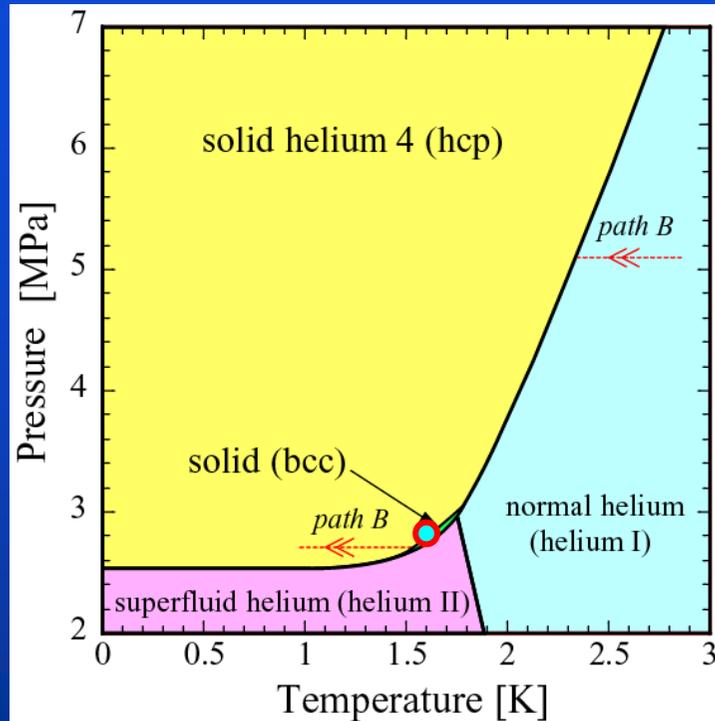
# Going through the hcp-bcc transition: *path B*



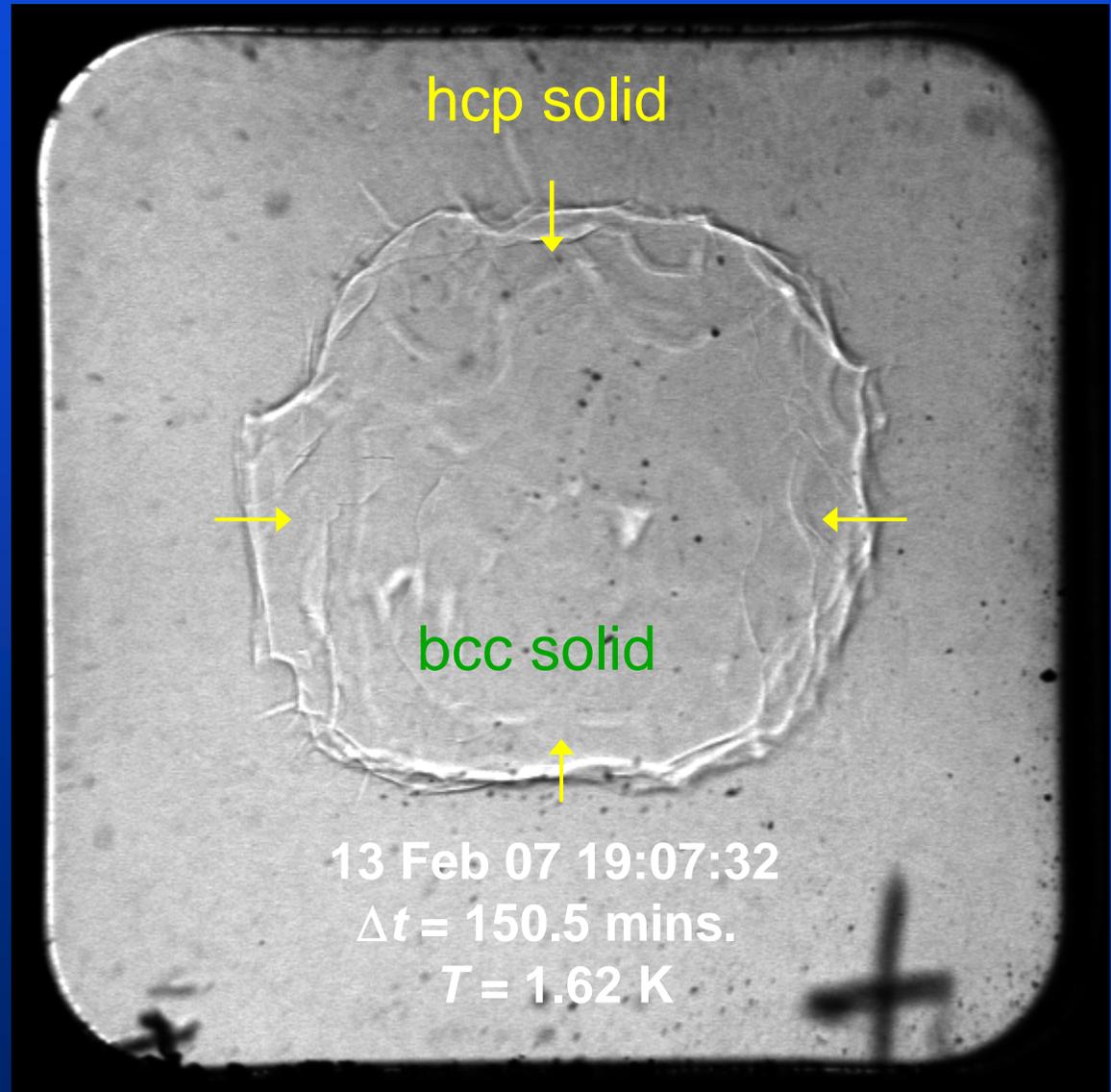
At 1.66 K, all liquid is frozen  
only bcc and hcp remain



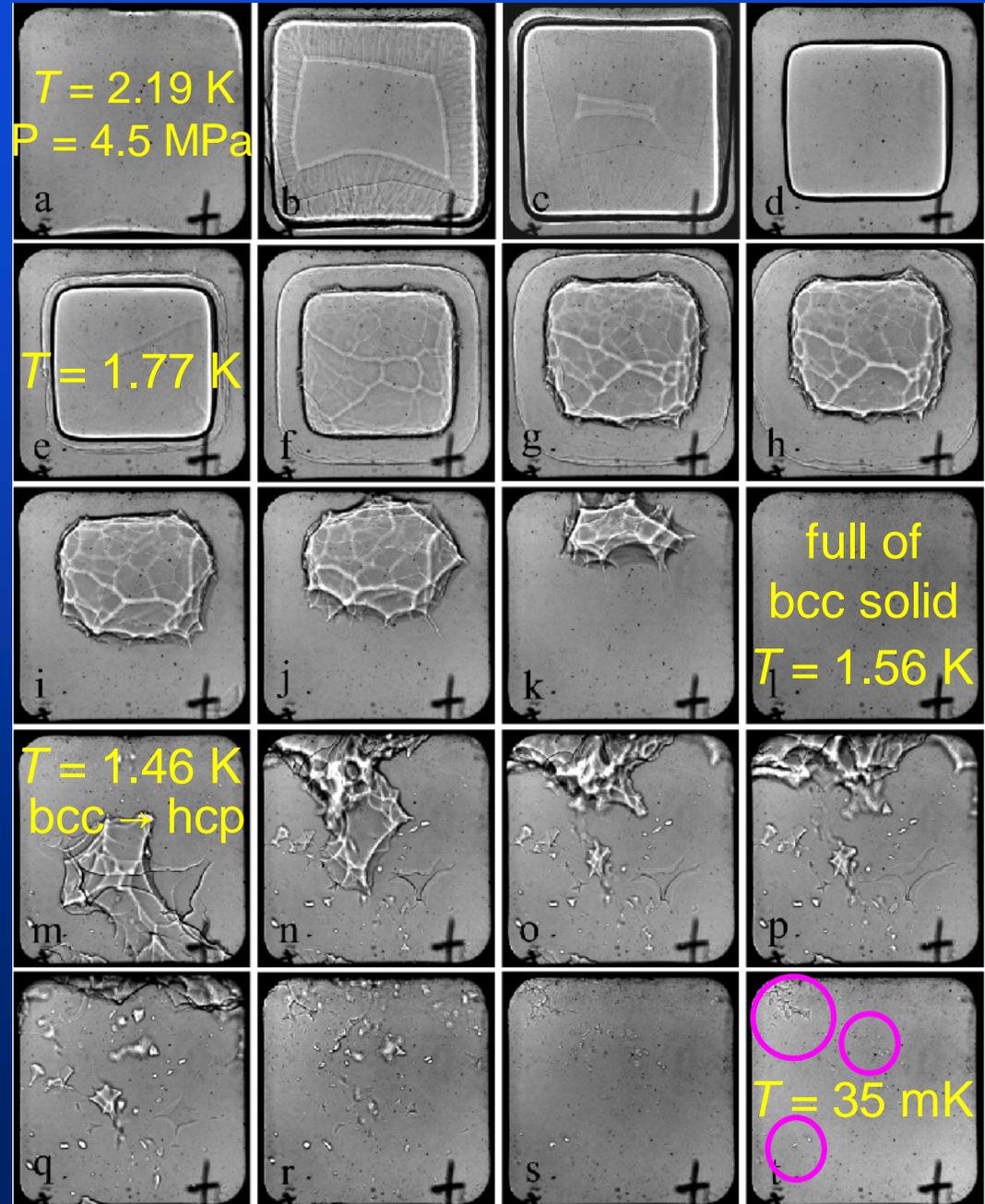
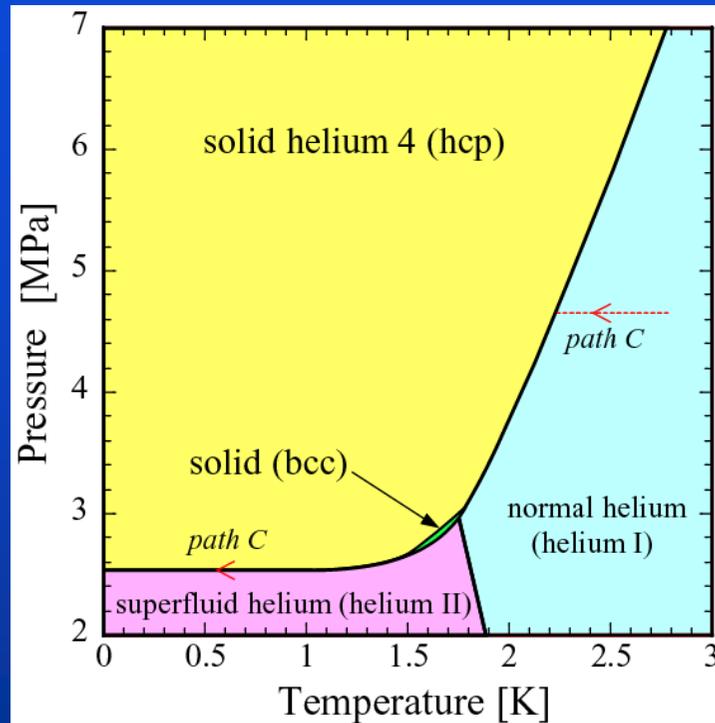
# Going through the hcp-bcc transition: *path B*



bcc disappears at 1.59 K



# Slow crystallization at low pressure: *path C*



$P_{ini} = 4.5$  Mpa     $T_{ini} = 2.19$  K

full of bcc at 1.56 K

at 1.46 K (lower bcc-hcp transition)

the superfluid reappears

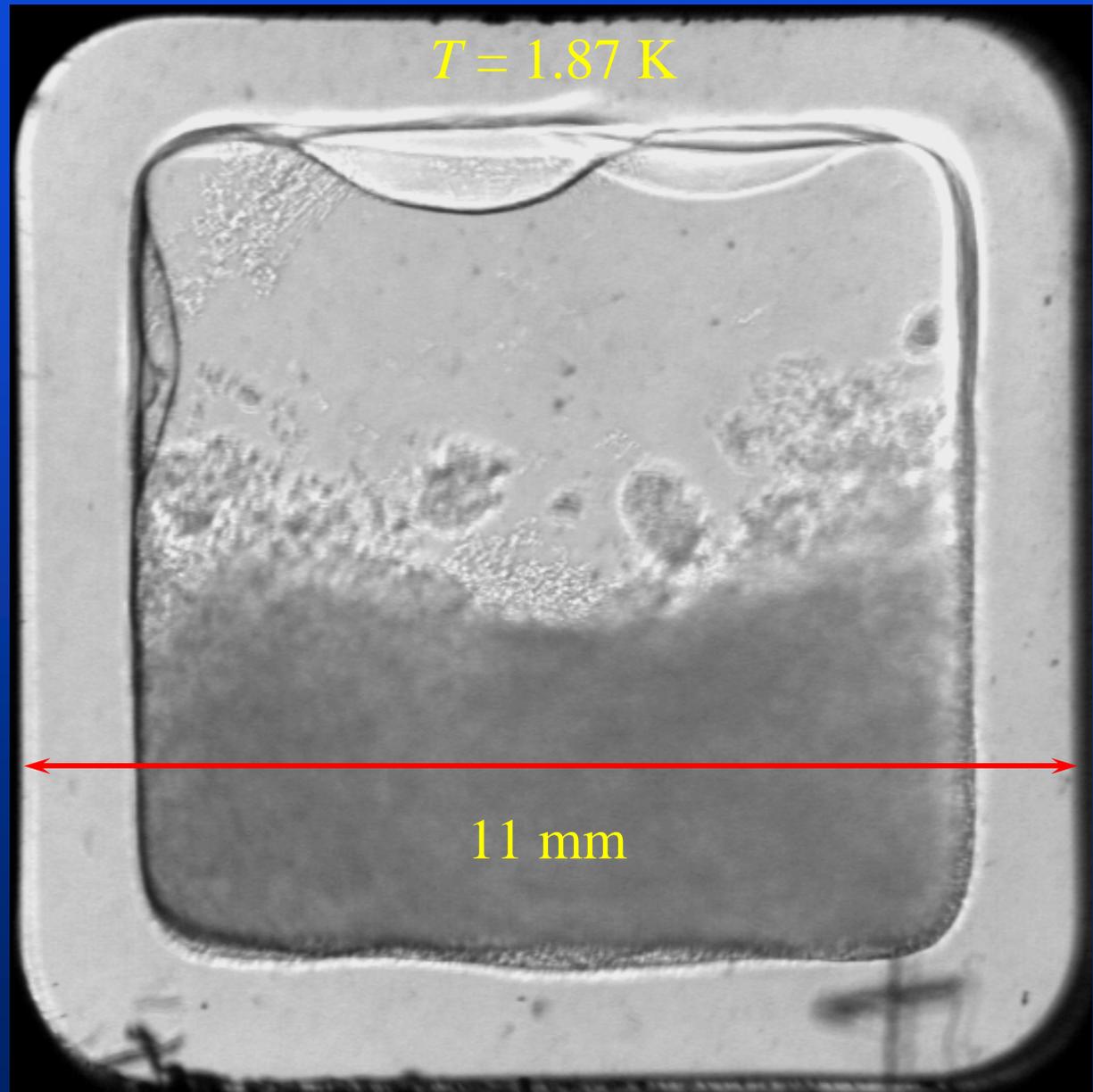
some of the liquid pockets  
never freeze.

# Fast crystallization from the normal liquid $T > 1.8$ K

fast injection  
in the normal liquid  
⇒ dendritic growth of solid\*

dense tangle of dendrites  
with liquid regions

quench freezing the  
normal liquid may produce  
a similar tangle

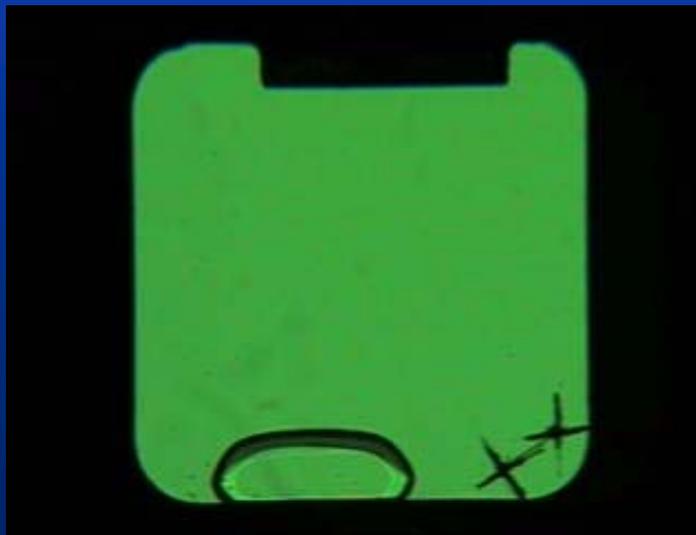


\* M. Maekawa et al. *PRB* '02  
N.C. Ford et al. *JLTP* '07

# Conclusion

- no GB melting in systems with long range interactions, like helium
- the Torricellian experiment is ambiguous: GB or liquid channels?
- the solids grown by the blocked capillary method may have a small grain size ( $<1\mu\text{m}$ )

THANK  
YOU!!!



THANK  
YOU!!!