



**The Abdus Salam
International Centre for Theoretical Physics**



1959-8

Workshop on Supersolid 2008

18 - 22 August 2008

Supersolidity or quantum metallurgy?

A. Dorsey
University of Florida, USA

Supersolidity or Quantum Metallurgy?

Alan Dorsey

Chi-Deuk Yoo

Debajit Goswami

Kinjal Dasbiswas

Department of Physics

University of Florida

Paul Goldbart

Department of Physics

University of Illinois at

Urbana-Champaign

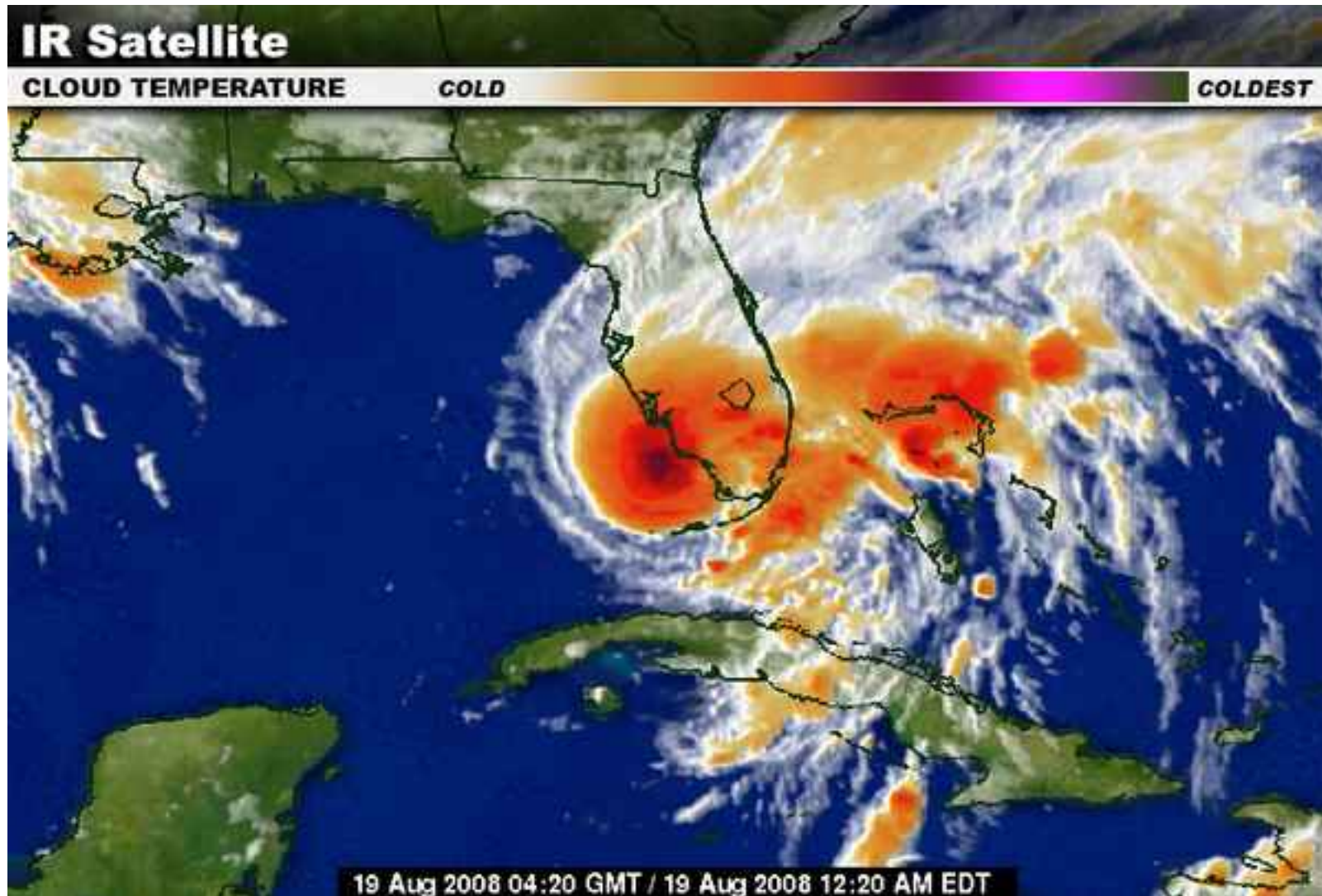
John Toner

Department of Physics

University of Oregon



The Sunshine State?



Outline

- Can the existing experiments on solid ^4He be interpreted using “metallurgical” concepts? Which experiments *require* a “supersolid” interpretation?
- Modeling torsional oscillator (TO) experiments: what does a TO actually measure?
 - TO response function for a viscoelastic solid
 - Period shifts and dissipation
- Modeling specific heat experiments
 - Binding of ^3He to edge dislocations
 - Schottky anomaly due to ^3He desorption from dislocations

Torsional oscillator: rigid body

- Equation of motion for a rigid solid:

$$\left[(I_{\text{cell}} + I_{\text{He}}) \frac{d^2}{dt^2} + \gamma \frac{d}{dt} + \alpha \right] \theta(t) = \tau_{\text{ext}}(t)$$

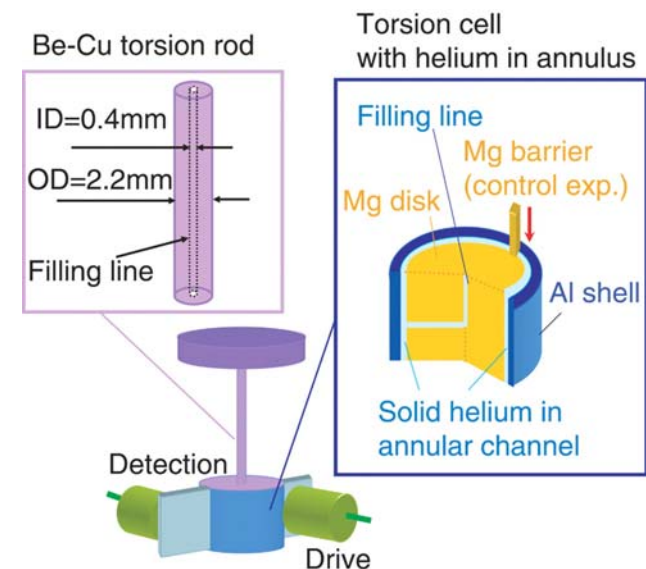
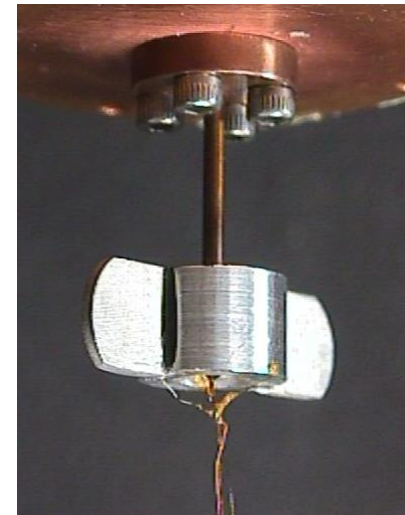
- Resonant period:

$$P = P_0 \left(1 + \frac{1}{8Q^2} + \dots \right), \quad Q = \frac{\sqrt{\alpha I_{\text{total}}}}{\gamma} = \mathcal{O}(10^6)$$

$$P_0 = 2\pi \sqrt{\frac{I_{\text{cell}} + I_{\text{He}}}{\alpha}}$$

$$\frac{\Delta P}{P_0} = \frac{1}{2} \frac{\Delta I_{\text{tot}}}{I_{\text{tot}}} = \mathcal{O}(10^{-5})$$

- What happens if the solid ^4He is not rigid?



Torsional oscillator: elastic solid

- Equation of motion for a TO containing an elastic solid [Nussinov et al. (2007)]:

$$\underbrace{\left(I_{\text{cell}} \frac{d^2}{dt^2} + \gamma \frac{d}{dt} + \alpha \right) \theta(t) = \tau_{\text{ext}}(t)}_{\text{equation of motion for unloaded cell}} + \underbrace{M(t)}_{\text{back reaction from elastic solid}}$$

- Back action: moment that the solid ^4He exerts on the walls of the cell (linear response):

$$M(t) = \int dt' g(t-t') \theta(t'), \quad M(\omega) = g(\omega) \theta(\omega)$$

- Oscillator response function: $\chi(\omega) = \theta(\omega) / \tau_{\text{ext}}(\omega)$

$$\chi^{-1}(\omega) = -I_{\text{cell}} \omega^2 - i\gamma\omega + \alpha - g(\omega)$$

- The complex poles of the response function determine the resonant frequency and dissipation of the system.

Elastic response of the solid

- All of the information about the solid ^4He is contained in $g(\omega)$. It has the following properties:
 - analytic in upper half frequency plane;
 - real and imaginary parts obey Kramers-Kronig relations;
 - low frequency behavior must be a rigid solid:
$$g(\omega) = I_{\text{He}}\omega^2 + \mathcal{O}(\omega^3)$$
- To calculate $g(\omega)$ we need to solve the equation of motion for an elastic solid:
$$\rho\partial_t^2 u_i = \partial_j \sigma_{ij}, \quad u_{ik} = (\partial_k u_i + \partial_i u_k) / 2$$
- Hooke's Law (nonlocal in time):
$$\sigma_{ij}(t) = \int dt' K_{ijkl}(t - t') u_{kl}(t'), \quad \sigma_{ij}(\omega) = K_{ijkl}(\omega) u_{kl}(\omega)$$

Viscoelasticity

- Isotropic elasticity:

$$K_{ijkl}(\omega) = \lambda(\omega)\delta_{ij}\delta_{kl} + \mu(\omega)(\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk})$$

$$-\rho\omega^2\mathbf{u} = B(\omega)\nabla(\nabla\cdot\mathbf{u}) - \mu(\omega)\nabla\times\nabla\times\mathbf{u}, \quad B = \lambda + 2\mu$$

- Shear motion of an elastic solid:

$$\rho\partial_t^2\mathbf{u} = \mu_0\nabla^2\mathbf{u}$$

- Navier-Stokes for a viscous fluid:

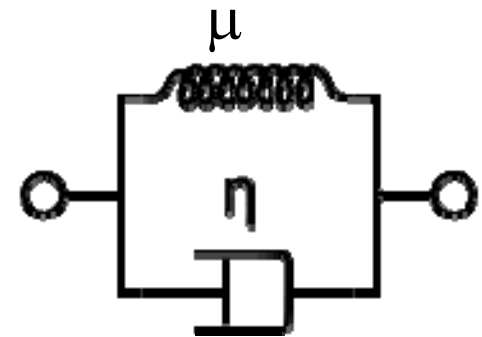
$$\rho\partial_t\mathbf{v} = \eta\nabla^2\mathbf{v} \quad \mathbf{v} \stackrel{=}{=} \partial_t\mathbf{u} \quad \rho\partial_t^2\mathbf{u} = \eta\partial_t\nabla^2\mathbf{u}$$

- Combining (in "parallel"):

$$\rho\partial_t^2\mathbf{u} = (\mu_0 + \eta\partial_t)\nabla^2\mathbf{u}$$

- Kelvin-Voigt model (internal friction):

$$\mu(\omega) = \mu_0 + i\eta\omega = \mu_0(1 + i\omega\tau), \quad \tau = \eta/\mu_0$$



Boundary value problem

- Cylindrical geometry, no slip boundary conditions (assume long cylinder):

$$\mathbf{u} = u_\theta(r) e^{i\omega t} \hat{\theta}, \quad u_\theta(r=R) = R\theta_0$$

- Equation of motion:

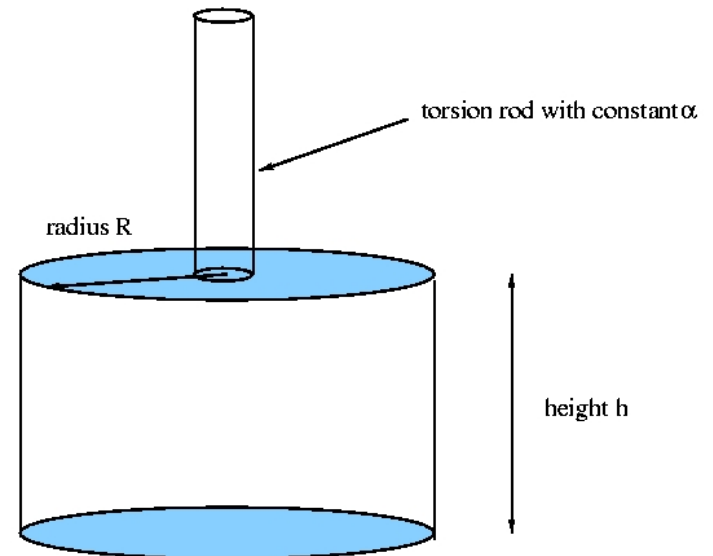
$$-\rho\omega^2 u_\theta = \mu(\omega) \left(\partial_r^2 + \frac{1}{r} \partial_r - \frac{1}{r^2} \right) u_\theta$$

- Solution:

$$u_\theta(r) = R\theta_0 \frac{J_1(kr)}{J_1(kR)}, \quad k^2 = \omega^2 \rho / \mu(\omega)$$

- Shear stress exerted by the solid on the cell:

$$\sigma_{\theta r} = \mu(\omega) \left(\partial_r - \frac{1}{r} \right) u_\theta \Big|_{r=R} = -\theta_0 R^2 \rho \omega^2 \frac{J_2(kR)}{kR J_1(kR)}$$



Putting it all together

- Integrate the shear stress over the area of the cell surfaces, multiply by the radius to find the moment that the solid ^4He exerts on the cell:

$$M(t) = -\theta_0 \omega^2 I_{\text{He}} \frac{4J_2(kR)}{kR J_1(kR)} e^{i\omega t} \implies g(\omega) = I_{\text{He}} \omega^2 + I_{\text{He}} \omega^2 \left[\frac{4J_2(kR)}{kR J_1(kR)} - 1 \right]$$

- Using $|k|R \sim 0.1$ expand the Bessel functions:

$$\chi^{-1}(\omega) \simeq -I_{\text{tot}} \omega^2 - i\gamma_{\text{osc}} \omega + \alpha - \frac{\rho R^2 \omega^4 I_{\text{He}} F(R/h)}{24\mu(\omega)}$$

- Find approximate roots:

$$P \simeq \frac{2\pi}{\omega_0} \left[1 + \frac{\rho R^2 \omega_0^2 I_{\text{He}} F(R/h)}{48\mu_0 I_{\text{tot}}} \frac{1}{1 + \tau^2 \omega_0^2} \right] \quad \Delta Q^{-1} \simeq \frac{\rho R^2 \omega_0^2 I_{\text{He}} F(R/h)}{24\mu_0 I_{\text{tot}}} \frac{\omega_0 \tau}{1 + \tau^2 \omega_0^2}$$

Properties of results

- TO is a probe of the shear modulus. The period shift and the dissipation are related!

$$\frac{\Delta P}{P} \propto \operatorname{Re} \left[\frac{1}{\mu(\omega)} \right], \quad \Delta Q^{-1} \propto \operatorname{Im} \left[\frac{1}{\mu(\omega)} \right]$$

- Corrections vanish for a rigid solid.
- The peak value of ΔQ^{-1} is independent of τ :

$$\Delta Q^{-1} \Big|_{\max} = \underbrace{\frac{1}{48}}_{10^{-2}} \underbrace{\frac{I_{\text{He}}}{I_{\text{tot}}}}_{10^{-2}-10^{-3}} \underbrace{\left(\frac{\omega_0 R}{c_T} \right)^2}_{10^{-2}} = \mathcal{O}(10^{-6} - 10^{-7})$$

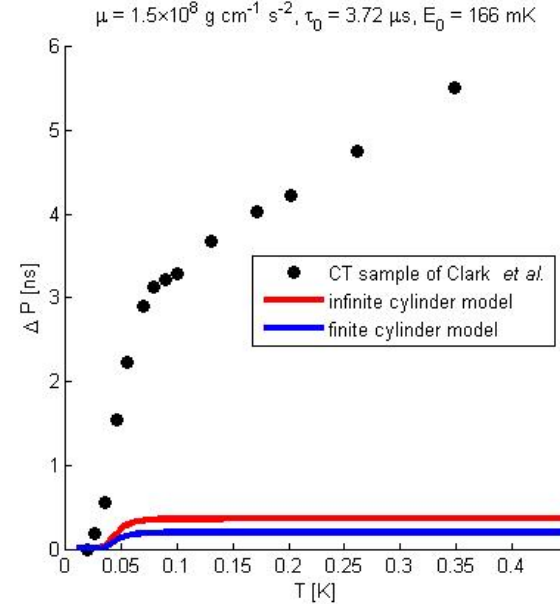
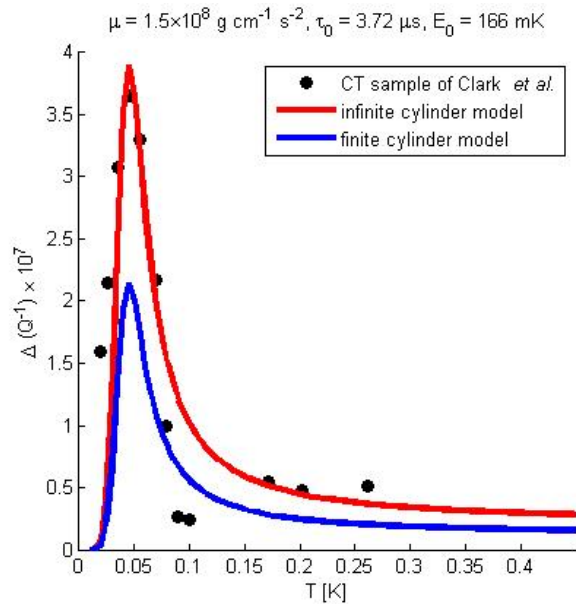
- At the peak,

$$\Delta Q^{-1} \Big|_{\max} = 2 \Delta P / P \Big|_{\max}$$

- For no dissipation, changing the shear modulus changes the period (inertial overshoot):

$$\frac{\Delta P}{P} = -\frac{1}{48} \left(\frac{\omega_0 R}{c_T} \right)^2 \frac{I_{\text{He}}}{I_{\text{tot}}} \frac{\Delta \mu}{\mu}$$

Fitting the TO experiments



$$\Delta Q^{-1} \simeq \frac{\rho R^2 \omega_0^2 I_{\text{He}} F(R/h)}{24 \mu I_{\text{tot}}} \frac{\omega_0 \tau}{1 + \tau^2 \omega_0^2}$$

$$P \simeq \frac{2\pi}{\omega_0} \left[1 + \frac{\rho R^2 \omega_0^2 I_{\text{He}} F(R/h)}{48 \mu I_{\text{tot}}} \frac{1}{1 + \tau^2 \omega_0^2} \right]$$

- Dissipation peak identifies long relaxation time on the order of 1ms $\tau = \tau_0 \exp(E_0/T)$. Dislocations?
- Model seems to only account for 10% of the period shift.

Conclusions?

- Dissipation peak is accounted for naturally using a viscoelastic model. The derived timescale is much longer than microscopic timescales, suggesting a collective effect; dislocation depinning?
- A period shift accompanies the dissipation peak, but only accounts for 10% of the observed shift. Is the remainder NCRI?
- The period shift due to the dissipation is larger than the shift due to changes in the shear modulus.

Coupling superfluidity & elasticity

- Dorsey, Goldbart & Toner (2006): Landau model with coupling between superfluidity and elasticity (strain dependent T_c):

$$\mathcal{F}_{\text{ss}} = \int_{\mathbf{x}} \left\{ \frac{1}{2} c_{ij} \partial_i \psi \partial_j \psi^* + \frac{1}{2} a^{(0)} |\psi|^2 + \frac{w}{4!} |\psi|^4 + \frac{1}{2} K_{ijkl} u_{ij} u_{kl} + \frac{1}{2} a_{ij}^{(1)} u_{ij} |\psi|^2 \right\}.$$

- Predictions

- XY anomaly in specific heat (lambda transition)
- Anomalies in elastic constants; shows up as a dip in the sound speed at the transition:

$$\begin{aligned} K_{ijkl} &= -T \frac{\partial^2 F}{\partial u_{ij} \partial u_{kl}} \\ &= K_{ijkl}^{(0)} - \frac{1}{4T} a_{ij}^{(1)} a_{kl}^{(1)} \int_{\mathbf{x}} \int_{\mathbf{x}'} \langle |\psi(\mathbf{x})|^2 |\psi(\mathbf{x}')|^2 \rangle_0 \end{aligned}$$

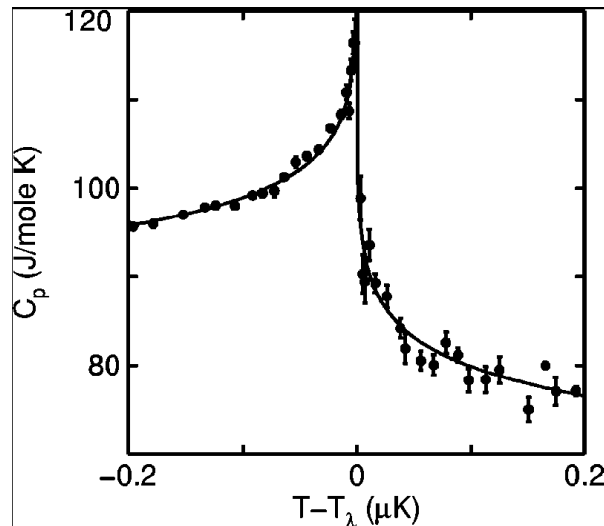
Specific heat near the λ transition

- The singular part of the specific heat is a correlation function:

$$S = -\partial F/\partial T \propto -\partial F/\partial a(T) = \int_{\mathbf{x}} \langle |\psi(\mathbf{x})|^2 \rangle$$

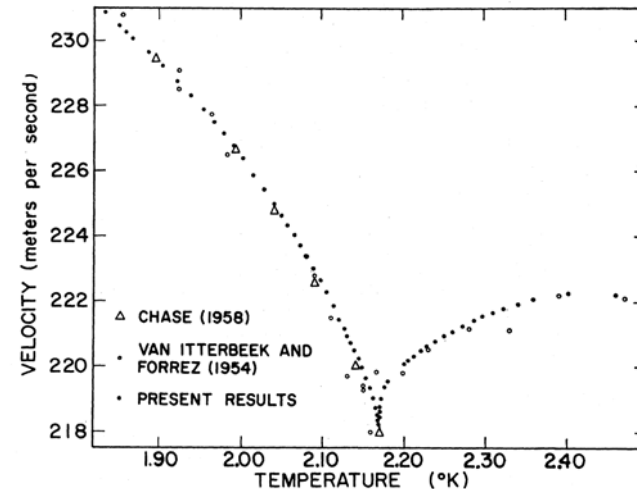
$$C = T(\partial S/\partial T) \propto \int_{\mathbf{x}} \int_{\mathbf{x}'} \langle |\psi(\mathbf{x})|^2 |\psi(\mathbf{x}')|^2 \rangle \sim A_{\pm} |t|^{-\alpha}$$

- For the λ transition, $\alpha = -0.0127$.



Lipa et al., Phys. Rev. B (2003).

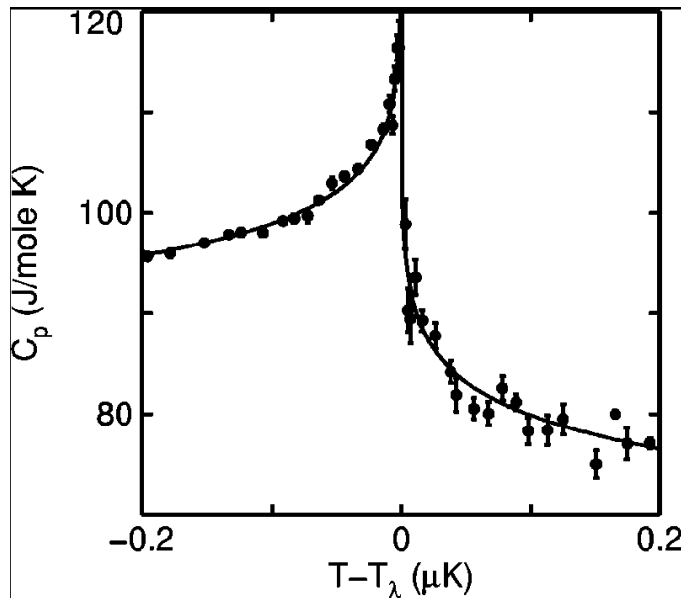
Fig. 7. Temperature dependence of the sound velocity in an extended region about the λ point.



Barmatz & Rudnick, Phys. Rev. (1968)

Specific heat I

High resolution specific heat measurements of the lambda transition in zero gravity.



J.A. Lipa et al.,
Phys. Rev. B **68**, 174518 (2003).

Specific heat near the putative supersolid transition in solid ^4He .

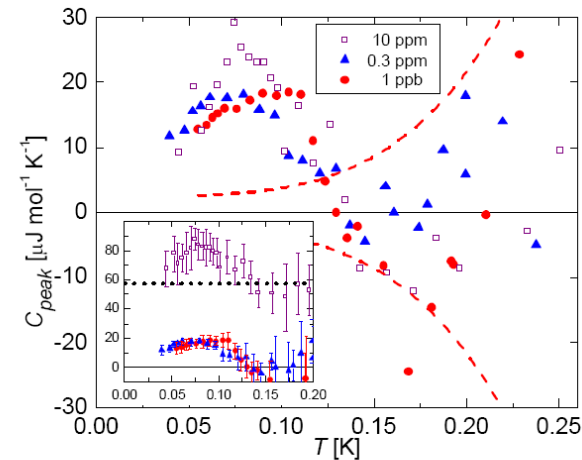
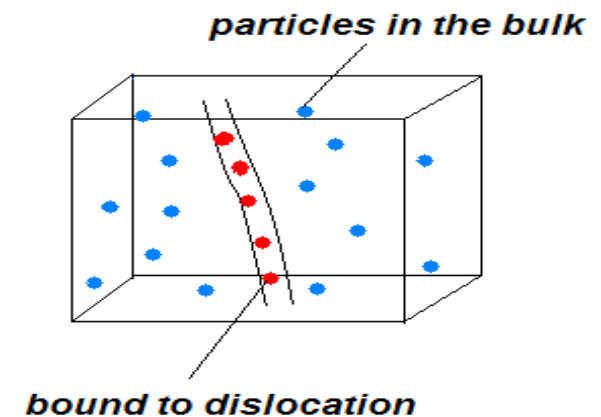


Figure 5 Specific heat peak of the 1 ppb, 0.3 ppm, and 10 ppm samples. The x_3 -independent peak centred around 75 mK is revealed when the phonon contribution is subtracted. The red dashed lines indicate the uncertainty in the 1 ppb data. The uncertainty for $x_3 = 0.3$ ppm is comparable. For $x_3 = 10$ ppm, it is similar above 200 mK but decreases more gradually with decreasing temperature. For $T < 100$ mK the uncertainty is four times larger than that of the 1 ppb sample. The inset compares the specific heat of the three samples without the subtraction of the impurity term of the 10 ppm sample (dotted line, $59 \mu\text{J mol}^{-1} \text{K}^{-1}$).

Lin, Clark, and Chan,
Nature (2007)

An alternative: lattice gas model

- Edge dislocations in ^4He provide an attractive potential for ^3He impurities.
- Bound ^3He impurities “evaporate” from the dislocations, increasing entropy and producing a bump in the specific heat.
- Divide the impurities into bound and free; two systems are in chemical equilibrium.
- Treat both systems classically.
- See T. N. Antsygina et al., *Low Temp. Phys.* 21, 453 (1995).



Binding of ^3He to dislocations

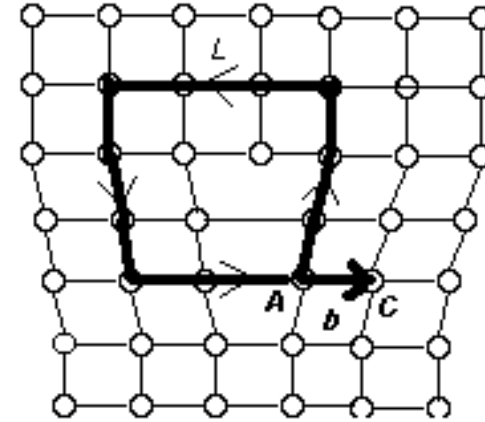
- Hydrostatic pressure due to an edge dislocation (continuum theory):

$$p = -\frac{1}{3}\sigma_{ii} = \frac{\mu b}{3\pi} \frac{1+\nu}{1-\nu} \frac{\sin\theta}{r}$$

- Effective potential due to a volume defect δV (Cottrell "atmosphere"):

$$U(r, \theta) = p\delta V = U_0 \frac{\sin\theta}{r}, \quad U_0 = \frac{\mu b}{3\pi} \frac{1+\nu}{1-\nu} \delta V$$

- Breaks down in the core due to diverging strains; need a cut off. The cut off will reduce the binding energy.



Details: Quantum dipole problem

Schrodinger equation:

$$-\frac{\hbar^2}{2m_3}\nabla^2\psi + U(r,\theta)\psi = E\psi$$

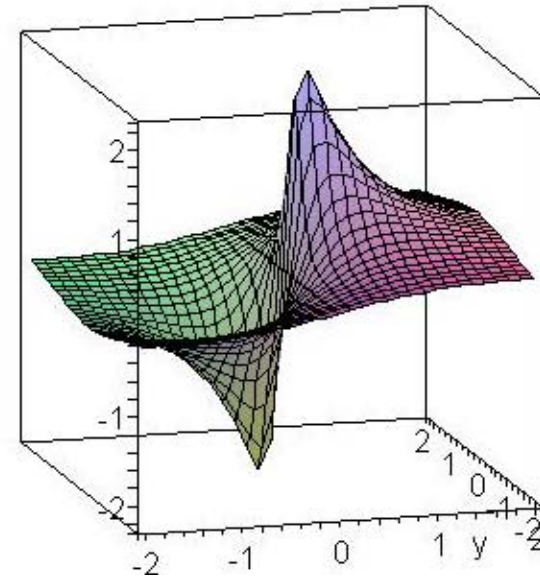
□ Variational wavefunction:

$$\psi = \frac{A}{a}\sqrt{\frac{2}{\pi}}e^{-\frac{r}{a}} - \frac{\sqrt{1-A^2}}{a^2}\sqrt{\frac{8}{3\pi}}re^{-\frac{r}{a}}\sin\theta$$

□ Variational estimate:

$$E_0 = -0.2397m_3U_0^2/\hbar^2 = -0.2397\frac{m_3}{\hbar^2}\left(\frac{\mu b}{3\pi}\frac{1+\nu}{1-\nu}\delta V\right)^2 \simeq -860 \text{ mK}$$

□ What about screw dislocations? Need nonlinear strains, $U(r) \sim 1/r^2$. See recent e-print by Corboz, Pollet, Prokof'ev and Troyer; binding energy $-E_0 = 0.8 \pm 0.1 \text{ K}$



Specific heat II: some details

- N ^3He impurities, M defect sites that bind the impurities with energy ϵ .
- The defect sites have 0 or 1 ^3He impurities (two level system). Ignore correlations among sites and quantum statistics.
- Assume particles that have evaporated form a noninteracting gas.

$$N = \langle N_{\text{gas}} \rangle + M \langle n_{\text{site}} \rangle, \quad \langle n_{\text{site}} \rangle = \left[1 + e^{-\beta(\epsilon + \mu)} \right]^{-1}$$

- Equate chemical potentials of the gas and the adsorbed particles:

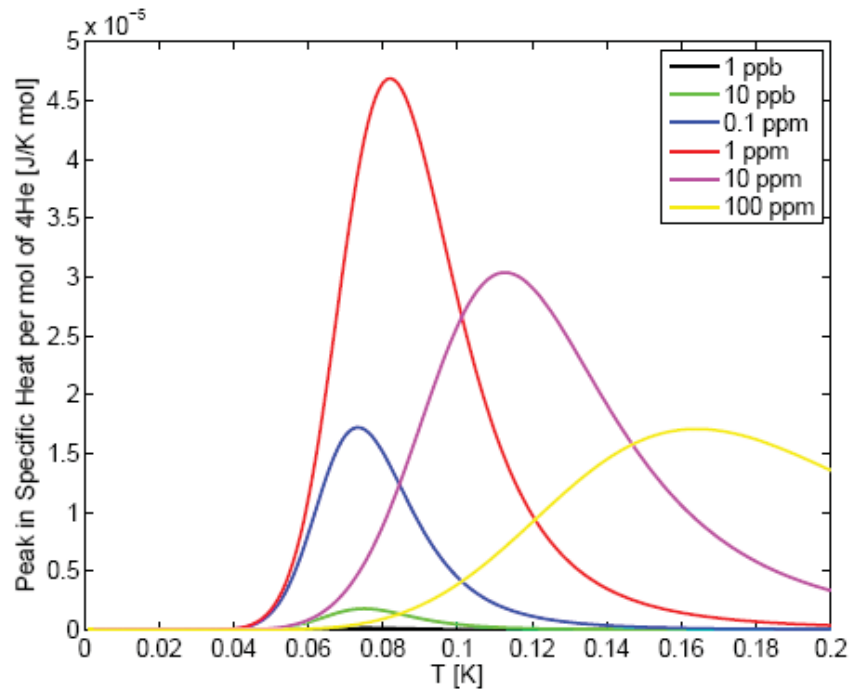
$$\langle N_{\text{gas}} \rangle = \frac{1}{2} \left[N - M - p(T) + \sqrt{(N - M - p(T))^2 + 4Np(T)} \right]$$

$$p(T) = V e^{-\epsilon/T} \left(\frac{2\pi m_3 T}{h^2} \right)^{3/2}$$

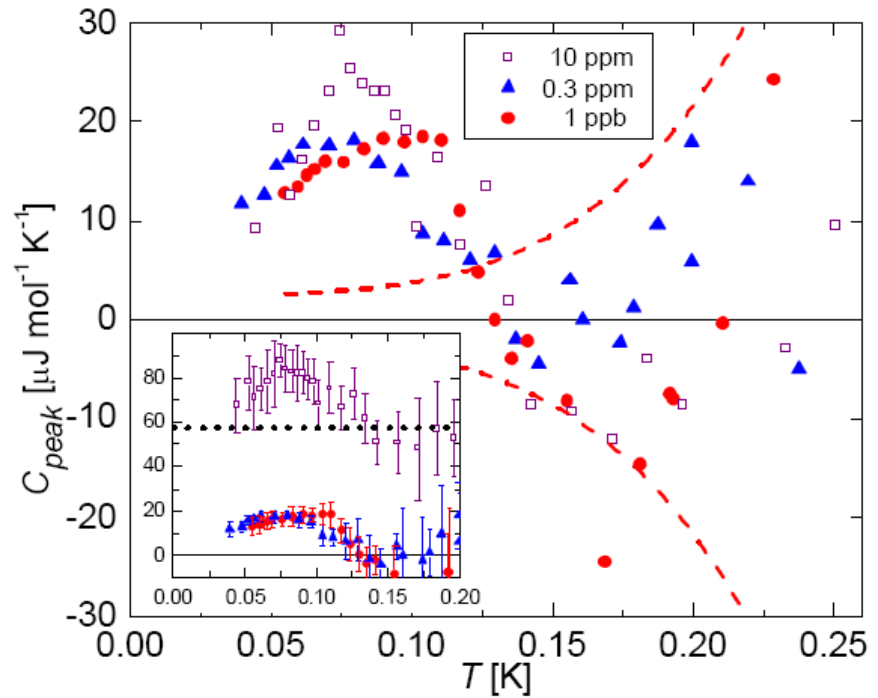
Properties

- Calculate the molar specific heat at constant N; complicated expression. Roughly, there is a background piece (from the gas particles) and a bump (Schottky anomaly) from the adsorbates.
- Two limits:
 - $N > M$ ("saturated" case): size of the bump scales as M .
 - $N < M$ ("unsaturated" case): size of bump scales with N .
- Peak appears at a temperature T^* such that $\epsilon + \mu(T^*) = T^*$. The peak position depends on the ^3He concentration (weakly).

Sample comparison with data



$\epsilon=0.6\text{K}$; defect site concentration= 0.3 ppm



Lin *et al.*, Nature **449**, 1025 (2007)

Summary

- Dissipation peak in the TO response is well described by a simple viscoelastic model. A long time scale is identified, probably from dislocation physics.
- The viscoelastic model only accounts for about 10% period shift. Is the rest of the “spectral weight” at zero frequency? Is there a superfluid response?
- Specific heat feature appears to have a natural interpretation as a Schottky anomaly due to evaporation of ^3He impurities from dislocations. Is the binding energy related to the Arrhenius behavior of the viscoelastic model?