



*The Abdus Salam*  
*International Centre for Theoretical Physics*



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***25 - 29 August 2008***

**Electronic Properties of Multilayer Graphenes**

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# **Electronic Properties of Multilayer Graphenes**

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**Mikito Koshino  
with Tsuneya Ando**

Tokyo Institute of Technology

# Monolayer to multilayers

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Fabrication of single-layer graphene:

Novoselov *et al.*, Science **306**, 666 (2004).

Transport measurements:

Novoselov *et al.*, Nature **438**, 197 (2005)

Y .Zhang *et al.*, Nature **438**, 201 (2005)

Bilayer graphene:

Quantum Hall effect

Novoselov *et al.*, Nature **438**, 197 (2005),

Novoselov *et al.*, Nat. Phys. **2**,177 (2006).

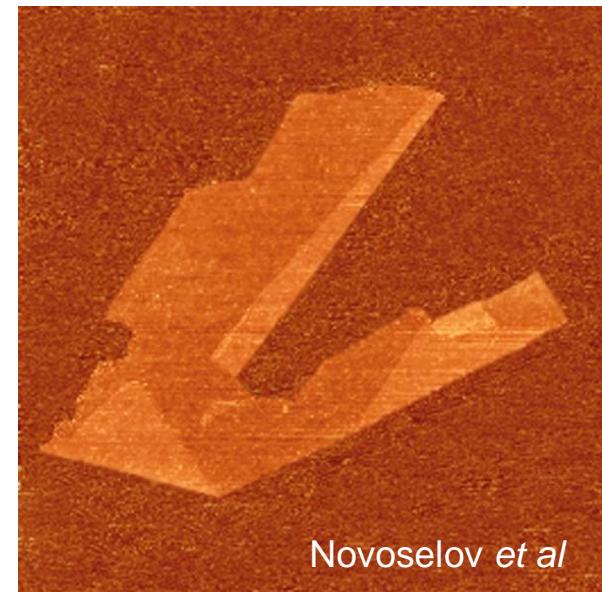
Cyclotron resonance

Henriksen *et al.* PRL **100**, 087403 (2008).

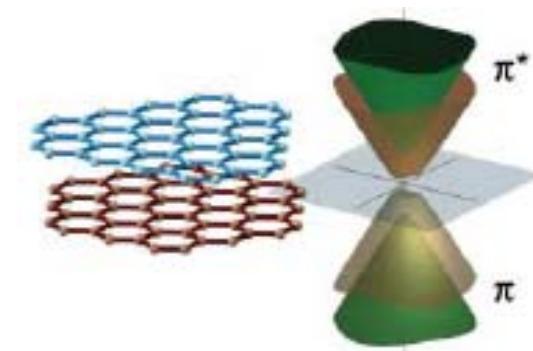
ARPES(<= 4lyrs, epitaxial):

T. Ohta *et al.*, Science **313**, 951 (2006);

T. Ohta *et al.*, PRL **98**, 206802 (2007)



Novoselov *et al*



Ohta *et al*

# Electronic structure of multilayer graphene

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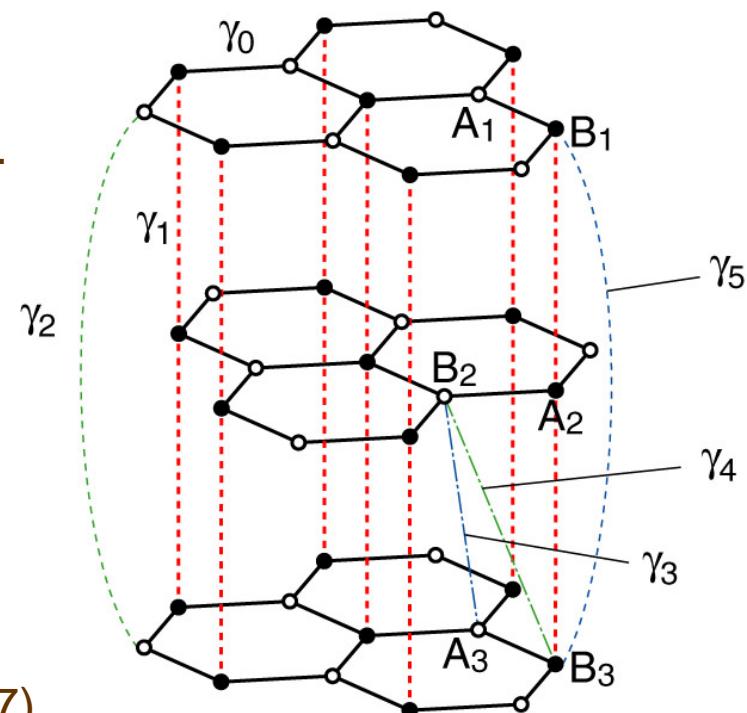
## Electronic structure of 3D graphite

Wallace (1947), McClure (1957),  
Slonczewski and Weiss (1958)  
M. S. Dresselhaus and G. Dresselhaus(1965).

## Few-layers graphene

McCann and Fal'ko, PRL **96**, 086805 (2006)  
Latil *et al.* PRL **97**, 036803 (2006)  
Guinea *et al.* PRB **73** 245426 (2006)  
Nilsson *et al.* PRL **97**, 266801 (2006).  
Partoens and Peeters, PRB **75**, 193402 (2007)  
Koshino and Ando, PRB **76**, 085425 (2007).

## Atomic structure of graphite (AB stacked)



# Outlines

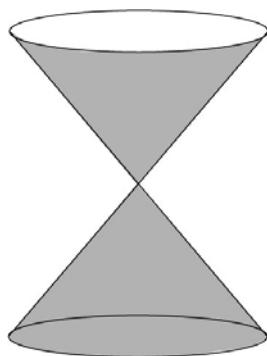
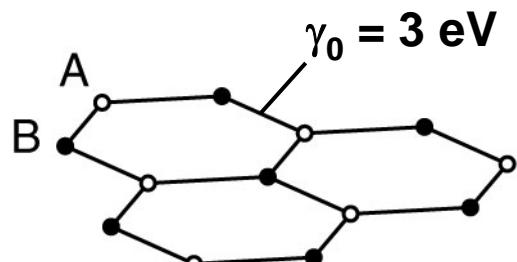
Electronic properties of multilayer graphenes (AB-stacked) systematically studied within the effective mass approximation.

- Decomposition into bilayer- and monolayer-type subsystems
  - Orbital magnetization Koshino and Ando, PRB **76**, 085425 (2007)
  - Magneto-optical absorption Koshino and Ando, PRB **77**, 115313 (2008)

# Effective Hamiltonian of graphenes

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## Monolayer graphene

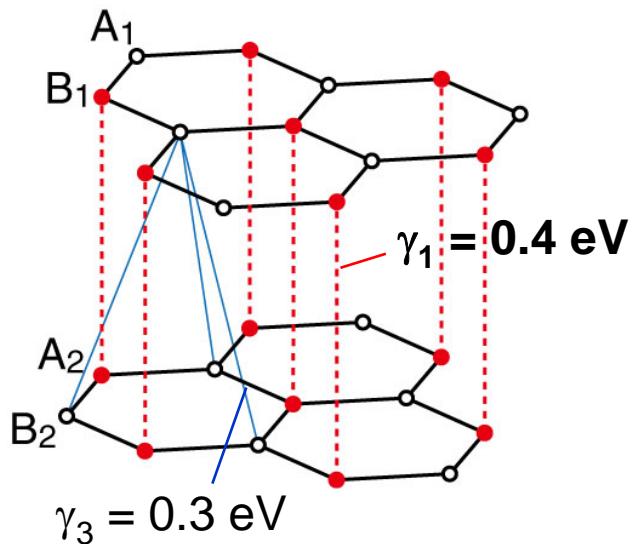


Effective Hamiltonian (around K)

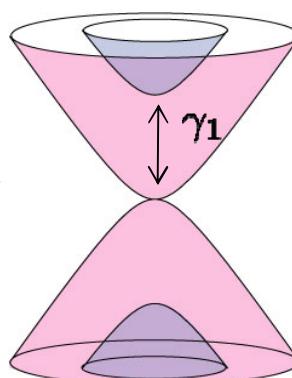
$$\mathcal{H} = \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ 0 & vp_- \\ vp_+ & 0 \end{pmatrix} \quad p_{\pm} = p_x \pm ip_y$$

$$\text{Velocity: } v = \frac{\sqrt{3}a}{2\hbar} \gamma_0 \approx 1 \times 10^6 \text{ m/s}$$

## Bilayer graphene



McCann and Fal'ko, PRL 96, 086805 (2006)



$$\mathcal{H} = \begin{pmatrix} \mathbf{A}_1 & \mathbf{B}_1 & \mathbf{A}_2 & \mathbf{B}_2 \\ 0 & vp_- & 0 & v'p_+ \\ vp_+ & 0 & \gamma_1 & 0 \\ 0 & \gamma_1 & 0 & vp_- \\ v'p_- & 0 & vp_+ & 0 \end{pmatrix}$$

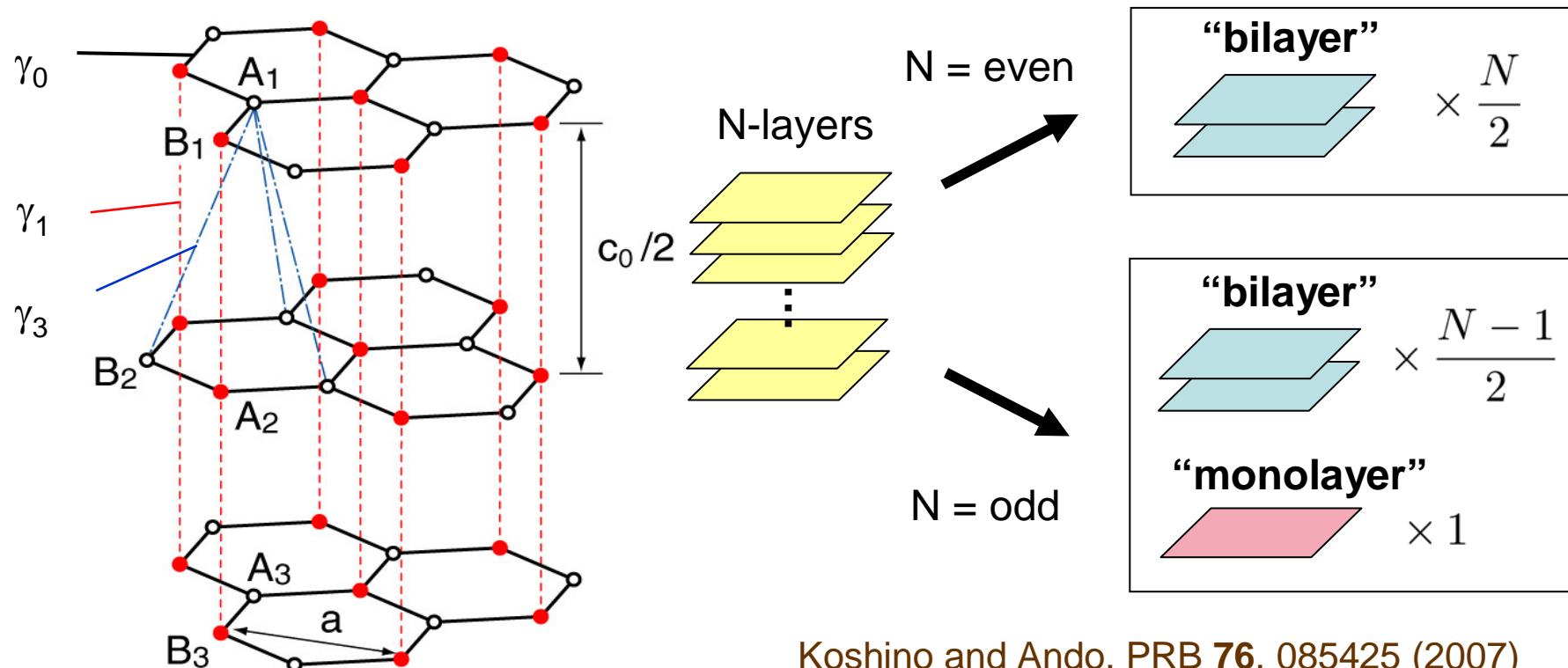
Band mass

$$m^* \sim 0.033m_0$$

$$v' \propto \gamma_3$$

# Graphene multilayers ( $N > 2$ )

Hamiltonian of multilayer graphene can be **decomposed** into effective **monolayer-** and **bilayer-like** subsystems



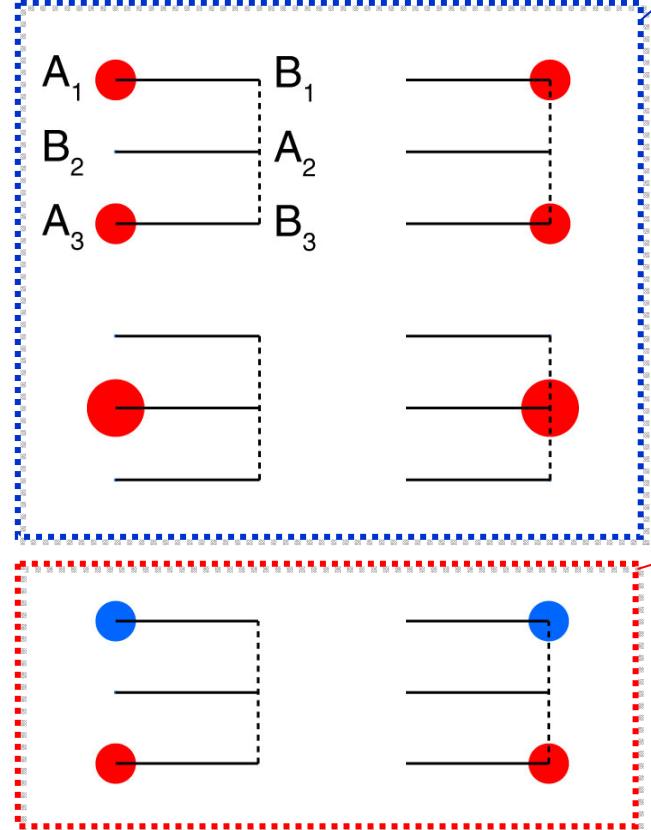
Koshino and Ando, PRB **76**, 085425 (2007)

Cf. Partoens and Peeters, PRB **75**, 193402 (2007)

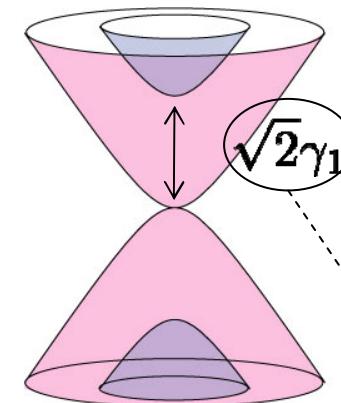
# Example: 3-layers

M. Koshino and T. Ando, PRB **76**, 085425 (2007)  
M. Koshino and E. McCann, unpublished

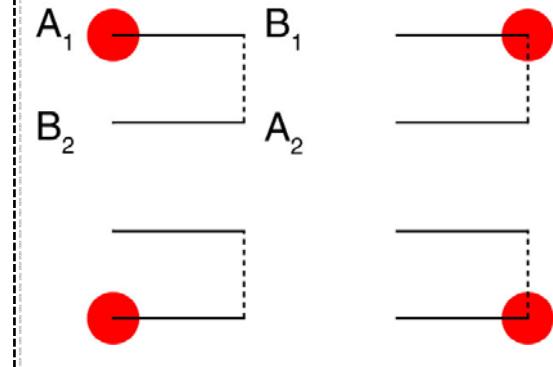
Rearrange the basis



bilayer-type

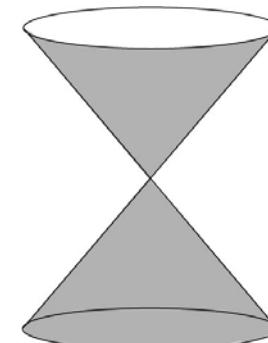


Real bilayer

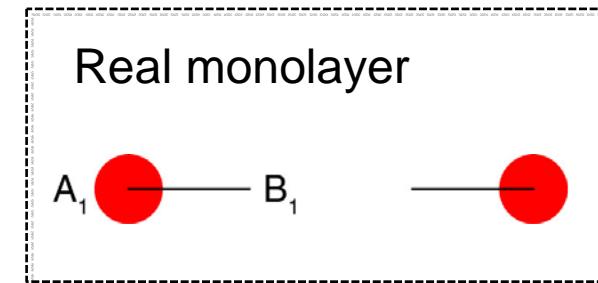


Effective inter-layer coupling  
(multiplied by  $\sqrt{2}$  )

monolayer-type



Real monolayer



# Examples (N=1 to 8)

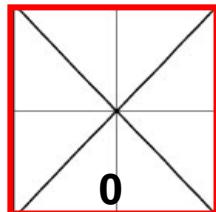
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Effective  
inter-layer coupling:

$$\lambda = 2 \cos \frac{m\pi}{N+1}$$

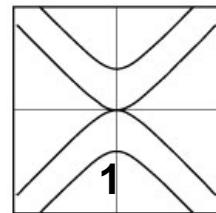
$m = 1, 2, \dots, [N/2]$

$N = 1$



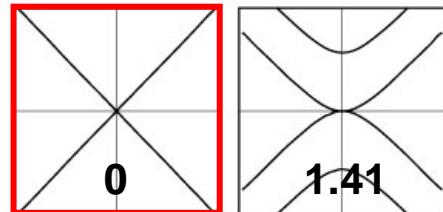
0

$N = 2$

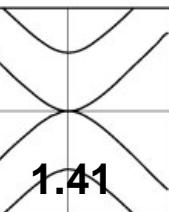


1

$N = 3$

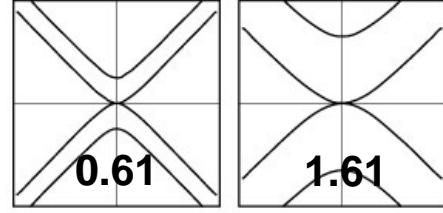


0



1.41

$N = 4$

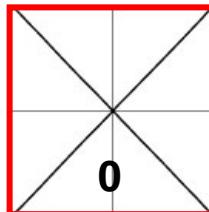


0.61

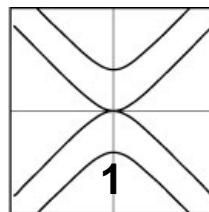


1.61

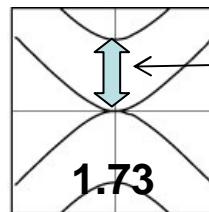
$N = 5$



0



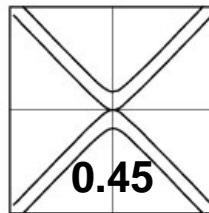
1



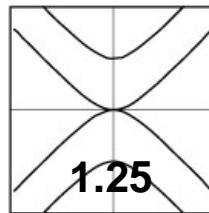
1.73

$\lambda\gamma_1$

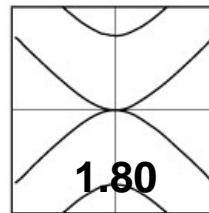
$N = 6$



0.45

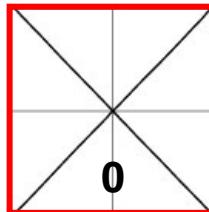


1.25

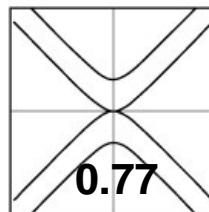


1.80

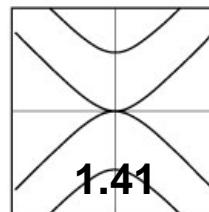
$N = 7$



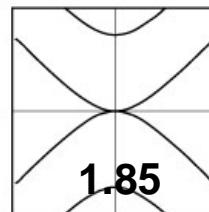
0



0.77

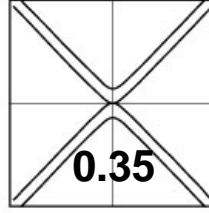


1.41

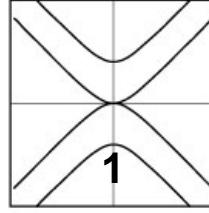


1.85

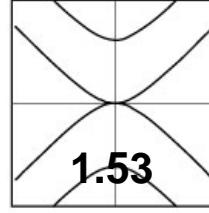
$N = 8$



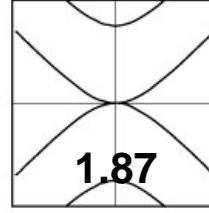
0.35



1



1.53

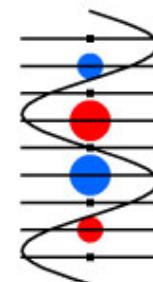
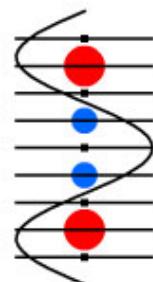
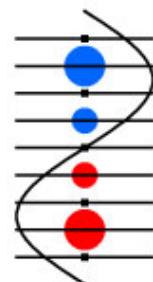
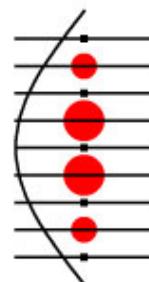
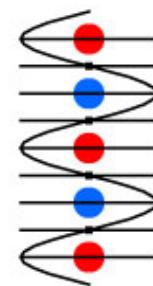
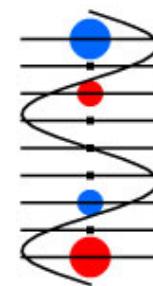
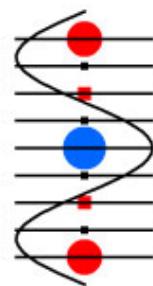
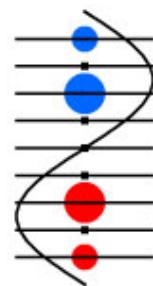
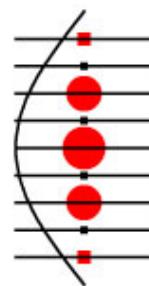
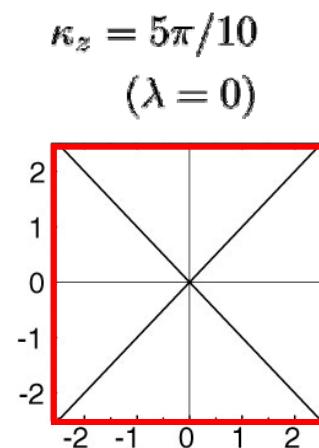
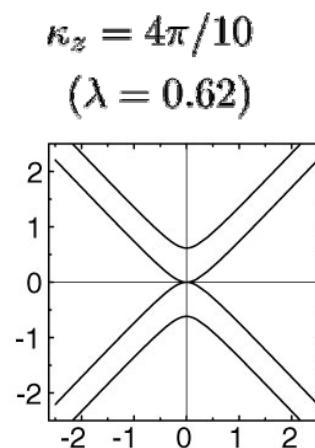
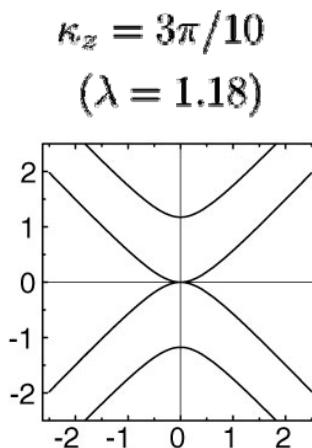
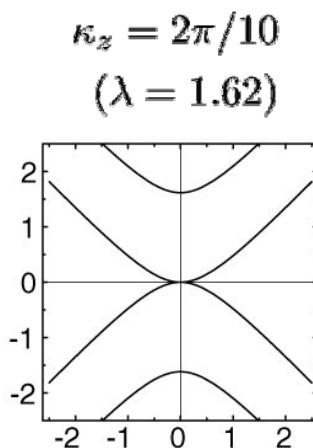
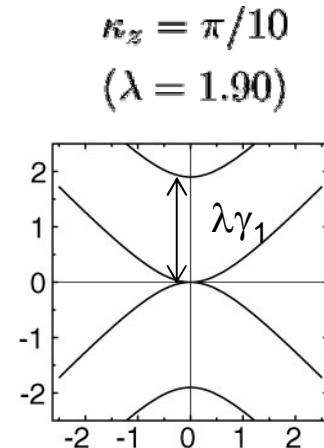


1.87

# Example: 9 layers

$$\lambda = 2 \cos \kappa_z$$

$$\kappa_z = \frac{\pi}{10}, \frac{2\pi}{10}, \dots, \frac{5\pi}{10}$$



monolayer-type

# Magneto-optical measurements

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Epitaxial thin graphite film

Sadowski *et al.*, PRL. 97, 266405 (2006)

Monolayer graphene

Jiang *et al.*, PRL. 98, 197403 (2007).

Daecon *et al.*, PRB 76, 081406R (2007).

Bilayer graphene

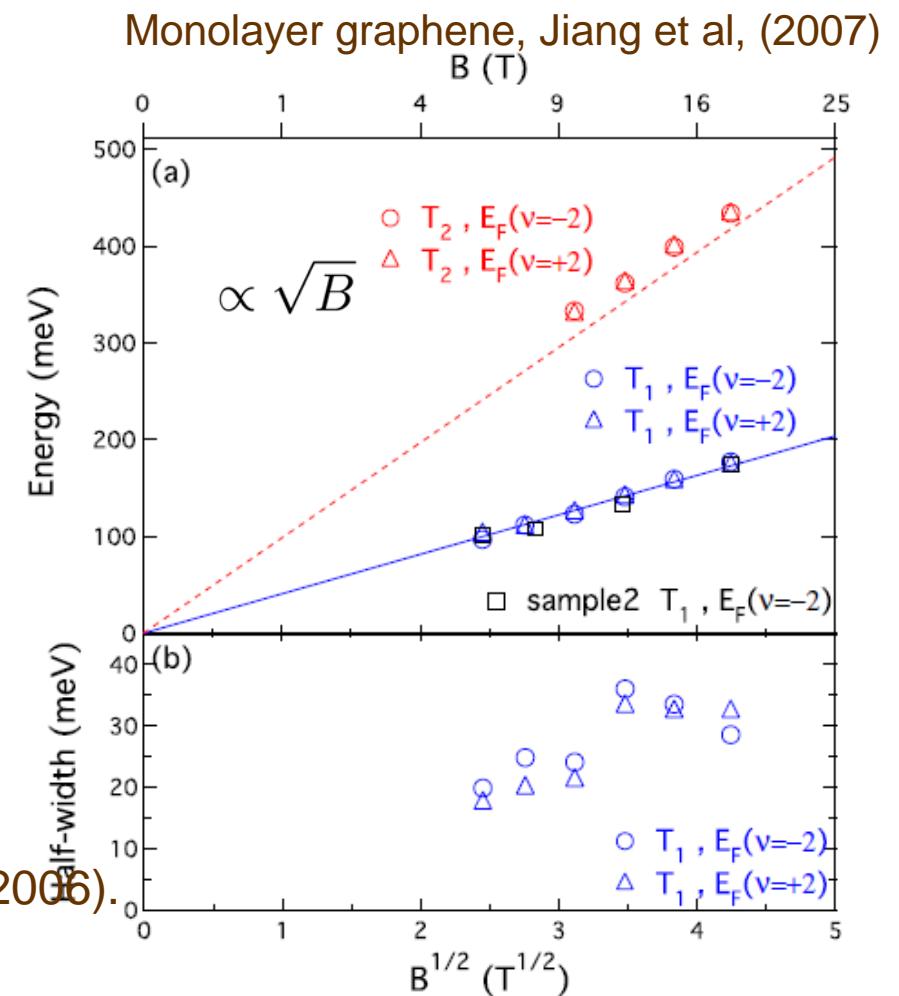
Henriksen *et al.* PRL 100, 087403 (2008).

Theories on optical properties  
of graphene

T. Ando *et al.*, JPSJ. 71, 1318 (2002).

Gusynin and Sharapov, PRB 73, 245411 (2006).

Abergel *et al.*, PRB 75, 155430 (2007).



# Landau level of graphene

## Monolayer graphene

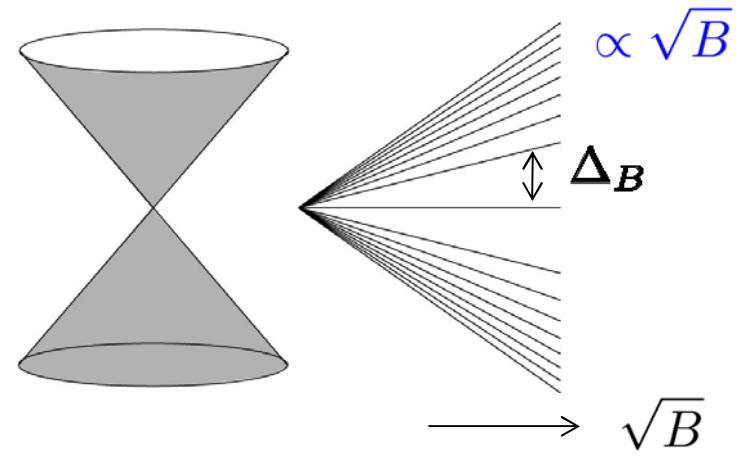
McClure, Phys. Rev. **104**, 666 (1956)

$$\varepsilon_{n\pm} = \pm \Delta_B \sqrt{n}$$

$$\Delta_B = \sqrt{2\hbar v^2 e B}$$

$$\approx 36 \sqrt{B(T)} \text{ meV}$$

spacing btw. n=0 and 1



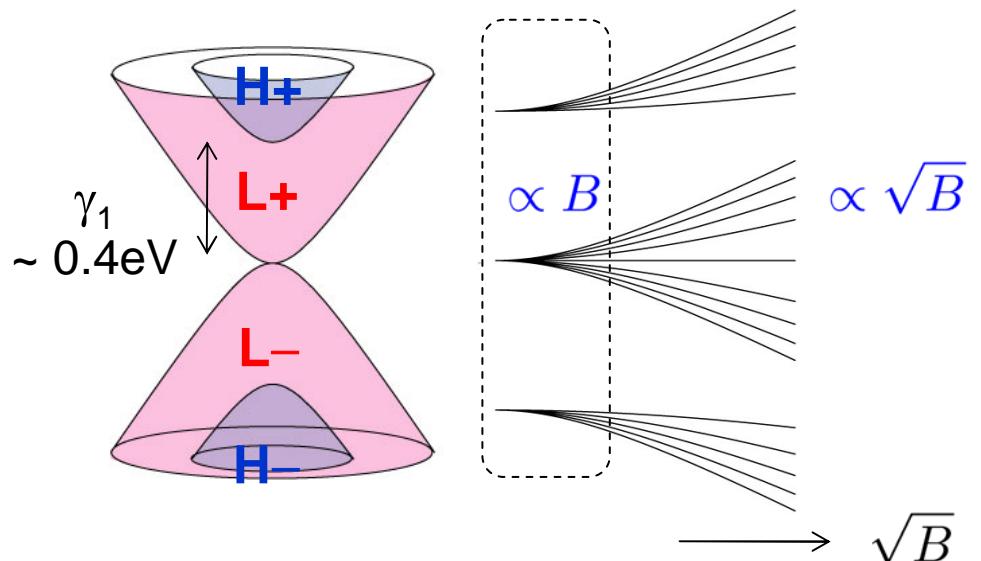
## Bilayer graphene

H, L (higher/ lower subband)  
+, - (electron / hole band)

Landau levels

$$\propto B \quad (\Delta_B \ll \gamma_1)$$

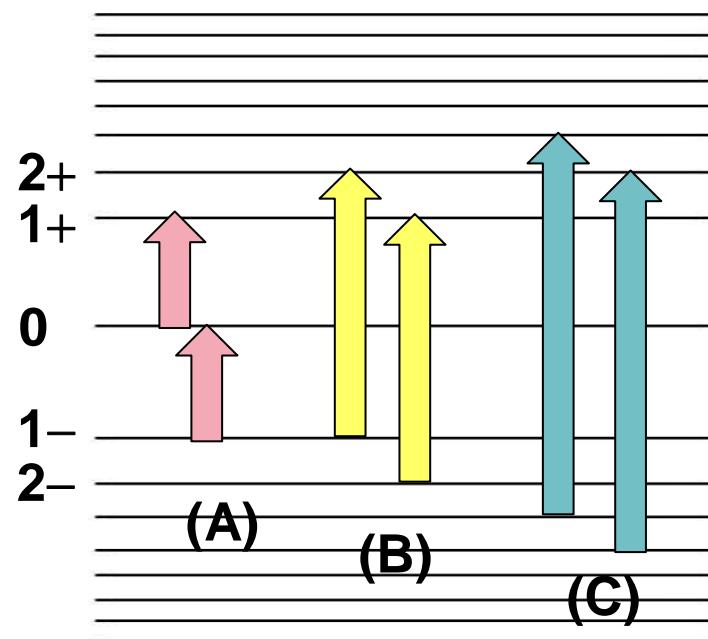
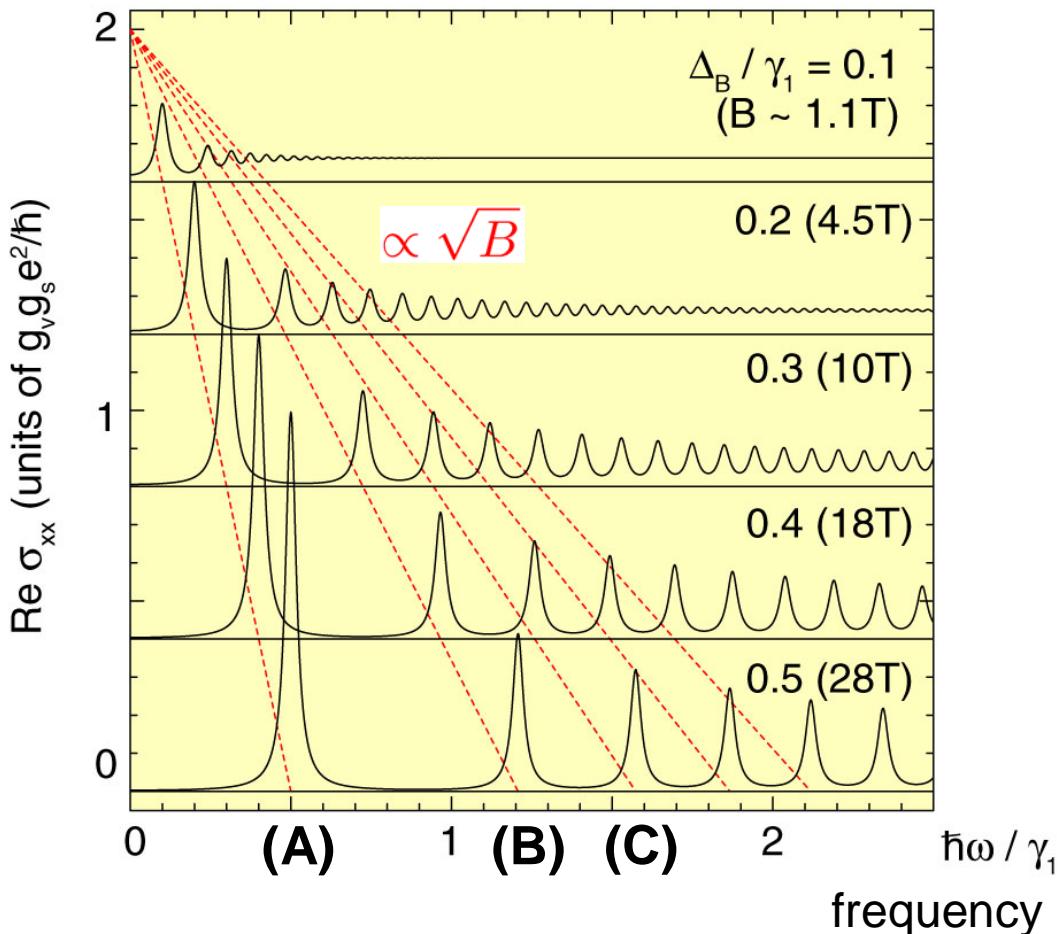
$$\propto \sqrt{B} \quad (\Delta_B > \gamma_1)$$



# Magneto-optical absorption (monolayer)

Optical transmission

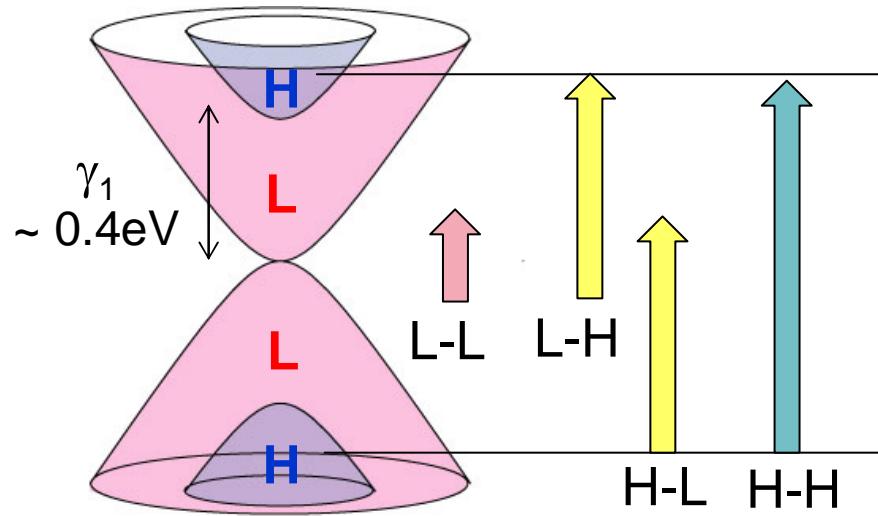
$$T \approx 1 - \frac{4\pi}{c} \operatorname{Re} \sigma_{xx}(\omega)$$



Transition energies  $\propto \sqrt{B}$

Experiment:  
Jiang *et al*, PRL98 197403 (2007)

# Magneto-optical absorption (bilayer)

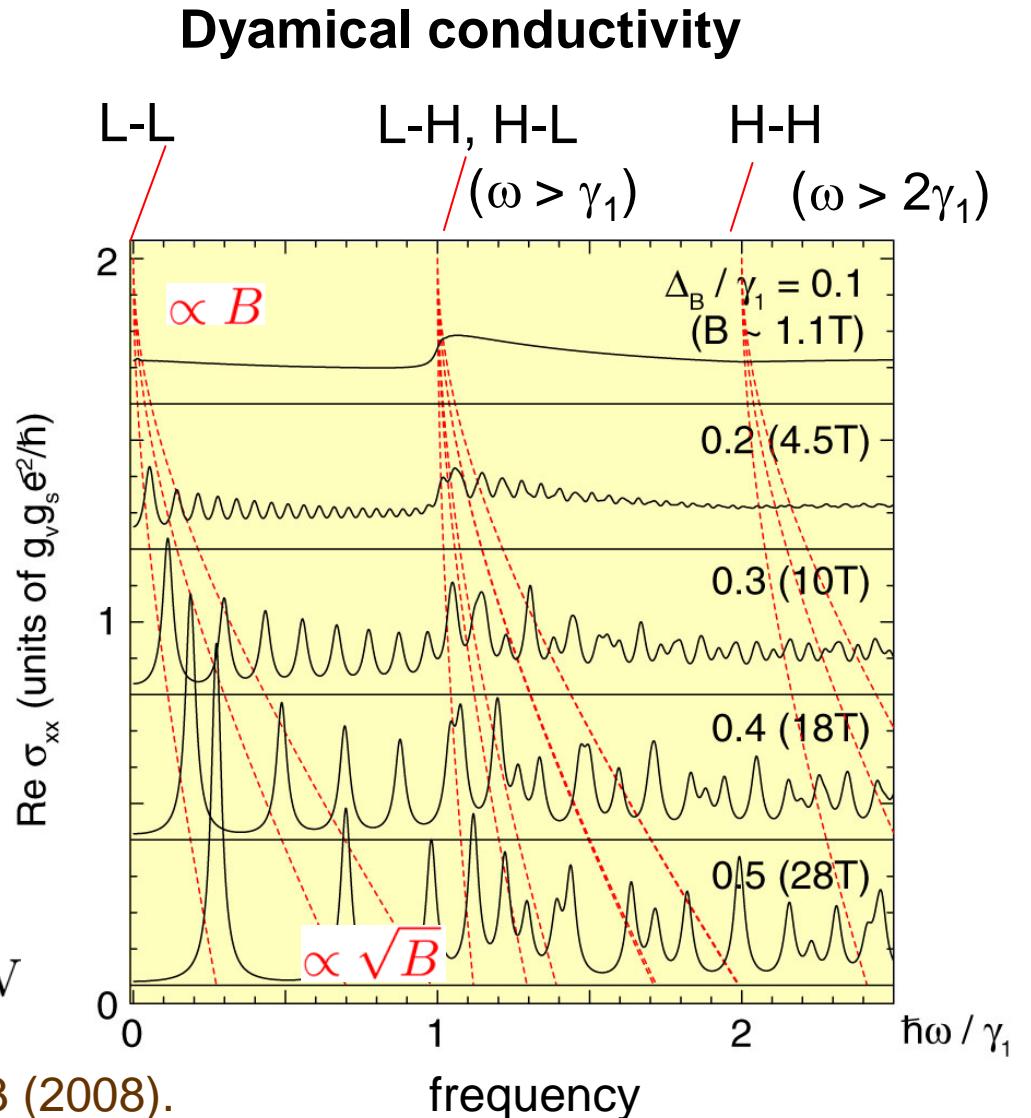


Transition energies

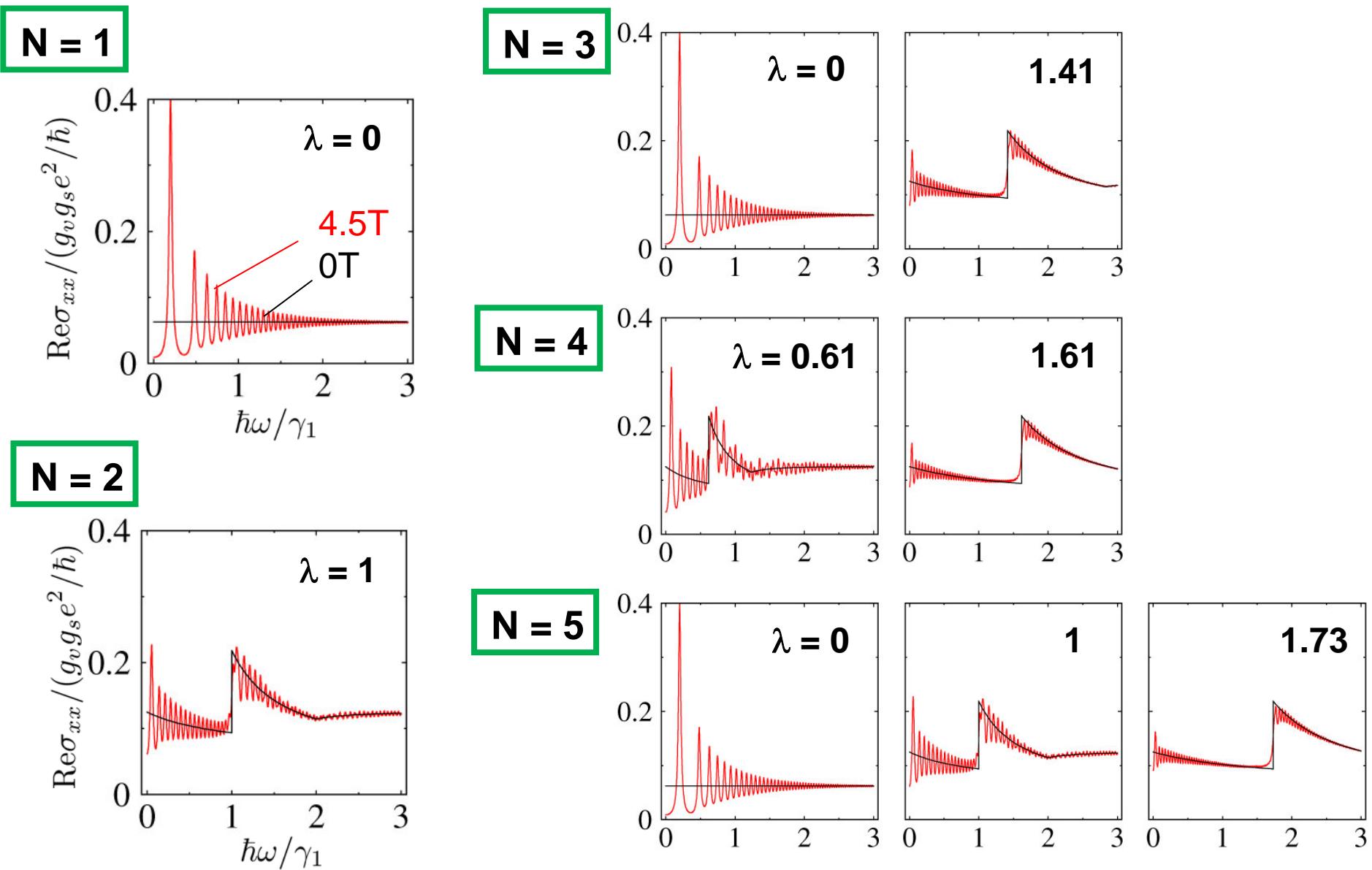
$$\begin{aligned} &\propto B \quad (\Delta_B < \gamma_1) \\ &\propto \sqrt{B} \quad (\Delta_B > \gamma_1) \end{aligned}$$

$$\Delta_B \approx 36\sqrt{B(\text{T})} \text{ meV} \quad \gamma_1 \approx 0.4 \text{ meV}$$

Exp.: Henriksen *et al.* PRL 100, 087403 (2008).

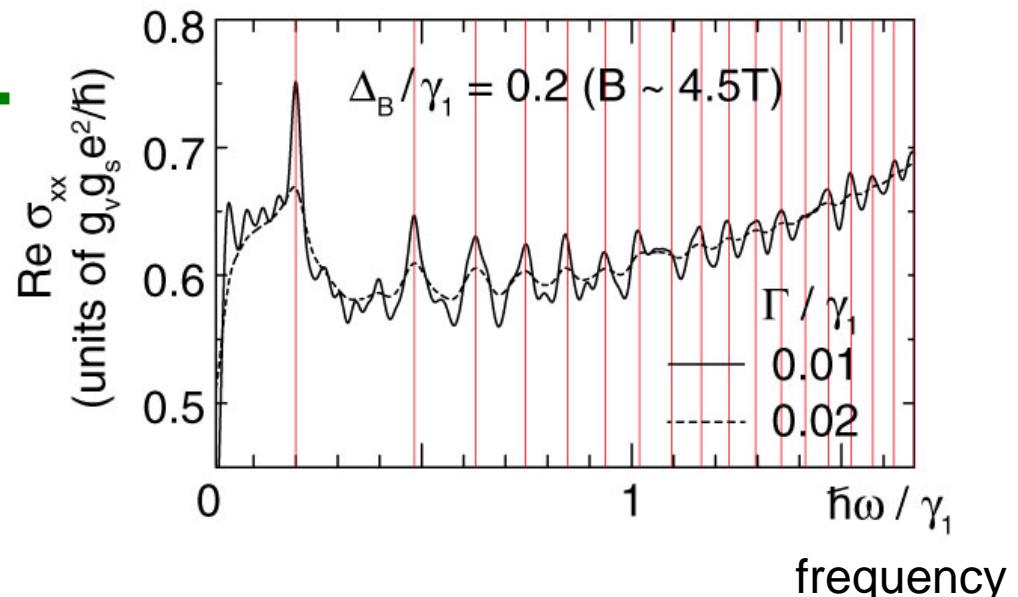


# Magneto-optical absorption (multilayers)

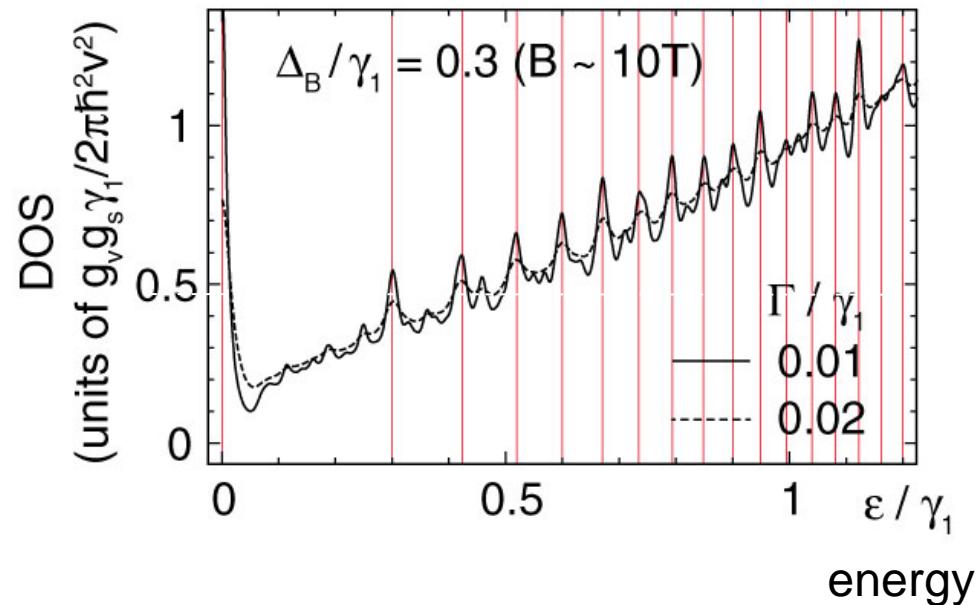


# Compound of multilayer graphenes

Magneto-optical absorption  
avaraged over N = 1 to 20 →



DOS on the top layer  
avaraged over N = 1 to 20 →



Monolayer-like signals  
still survives  
(contributed by “effective”  
monolayer-like subbands)

# Orbital magnetism of graphene

## 3D graphite: Strong diamagnetism

- $\chi$ ( $\times 10^{-6}$ ) in SI units	
Water	8.8
Gold	34
Bismuth	170
Pyrolytic graphite $\perp$	450
Pyrolytic graphite //	85

Simon *et al.* (2001)

Levitating pyrolytic graphite  
(At room temperature)



S. Q. Field

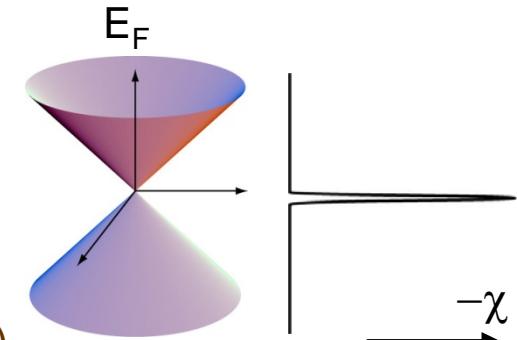
## Monolayer graphene (Ideal, T $\rightarrow$ 0)

$$\chi(\epsilon_F) = -\frac{2e^2v^2}{3\pi} \delta(\epsilon_F)$$

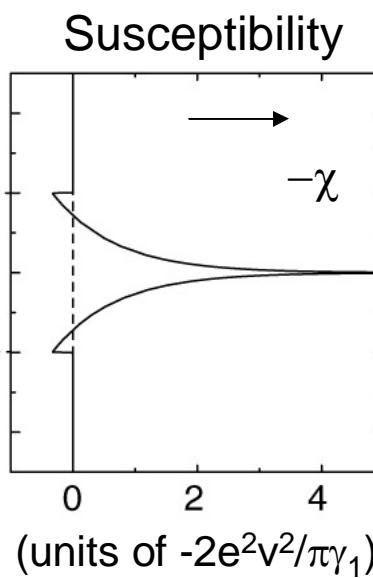
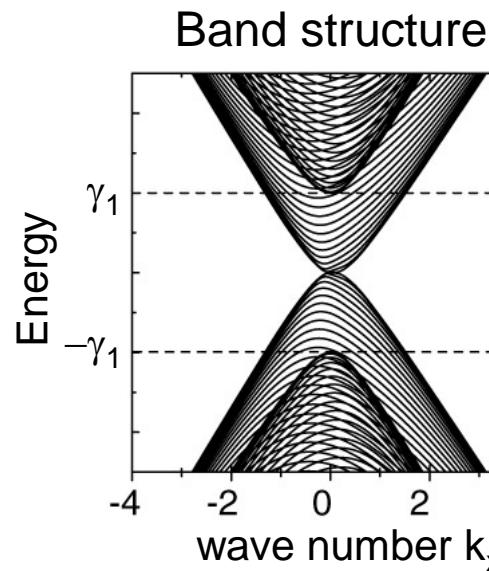
Diamagnetic singularity  
at the Dirac point

McClure, Phys. Rev. **104**, 666 (1956); Phys. Rev. **108**, 612 (1957).

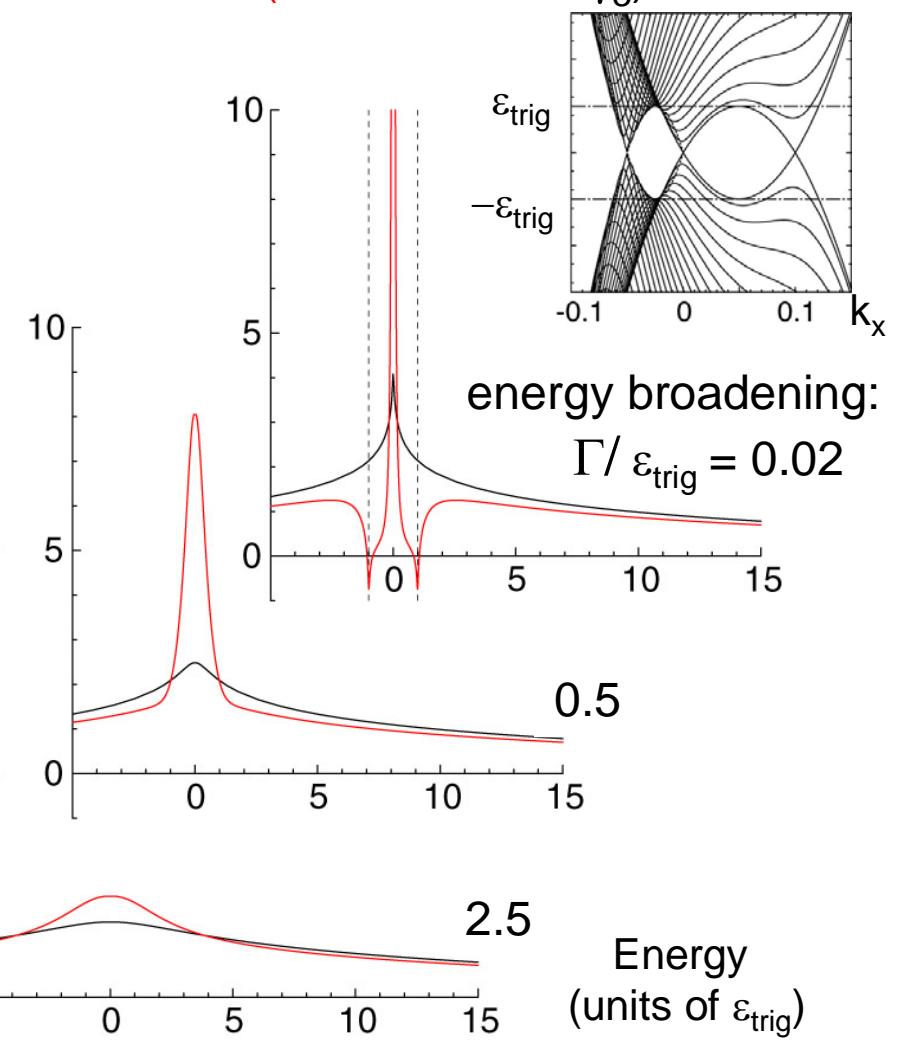
Magnetism of 3D graphite: Sharma *et al.*, PRB **9**, 2467 (1974),  
Safran *et al.*, PRB **20**, 4889 (1979). Blinowski *et al.*, J. Phys. Paris **45**, 545 (1984),  
Intercalated graphite: Saito and Kamimura, PRB **33**, 7218 (1986).



# Magnetism of bilayer graphene



Susceptibility around E=0  
(With / Without  $\gamma_3$ )



Without trigonal warping

$$\chi(\epsilon_F) \propto \ln |\epsilon_F/\gamma_1|$$

Safran, PRB 30, 421 (1984)

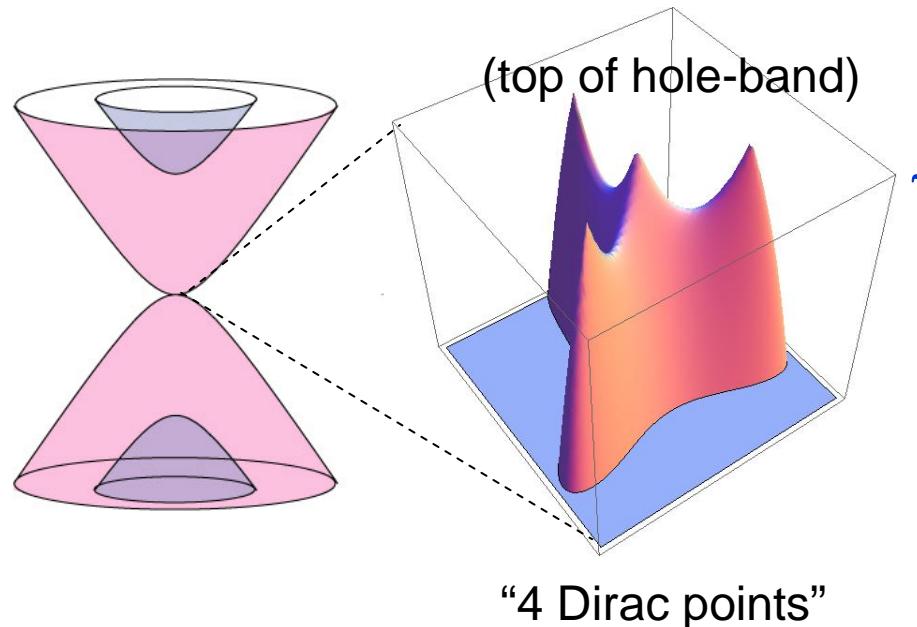
With warping ( $\gamma_3$ )

$\delta$ -function at E = 0

Koshino and Ando, PRB 76, 085425 (2007)

# Trigonal warping in the bilayer band

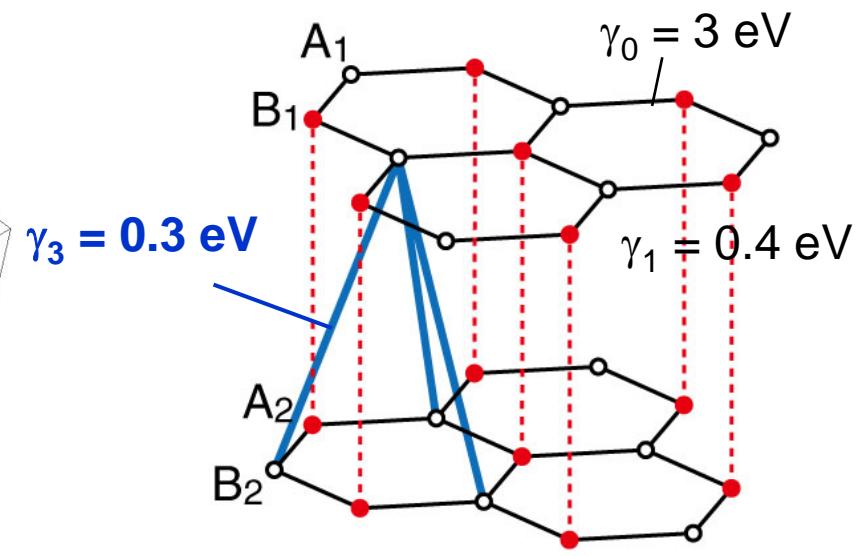
Fine structure around E=0



Energy scale

$$\varepsilon_{\text{trig}} = \left( \frac{\gamma_3}{\gamma_0} \right)^2 \gamma_1 \sim 1 \text{ meV}$$

McCann and Fal'ko,  
PRL 96, 086805 (2006)



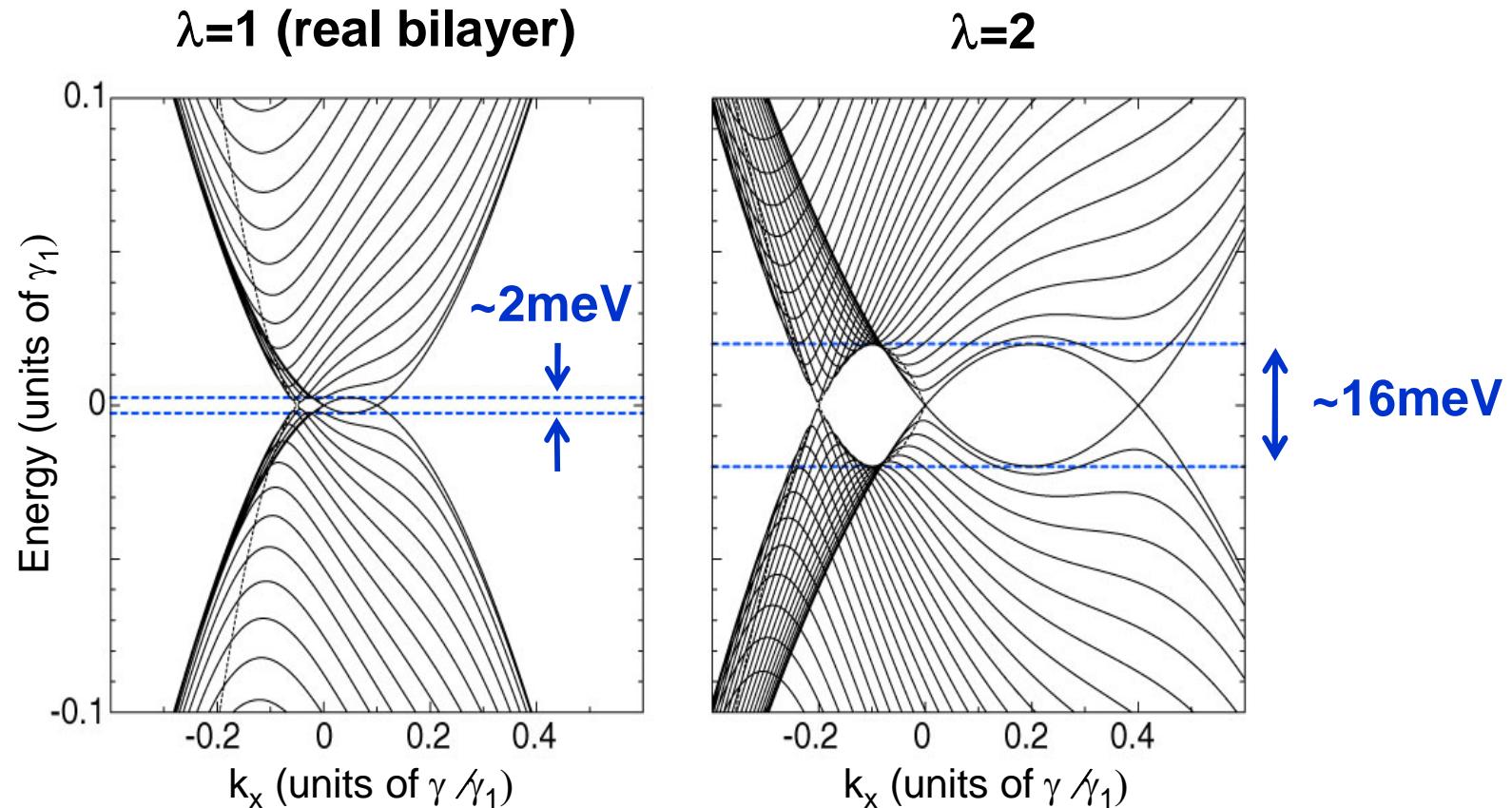
Real bilayer	Effective “bilayer”
$\gamma_0$	$\rightarrow \gamma_0$
$\gamma_1, \gamma_3$	$\rightarrow \lambda \gamma_1, \lambda \gamma_3$
$\varepsilon_{\text{trig}}$	$\rightarrow \lambda^3 \varepsilon_{\text{trig}}$

# Trigonal warping in effective bilayer bands

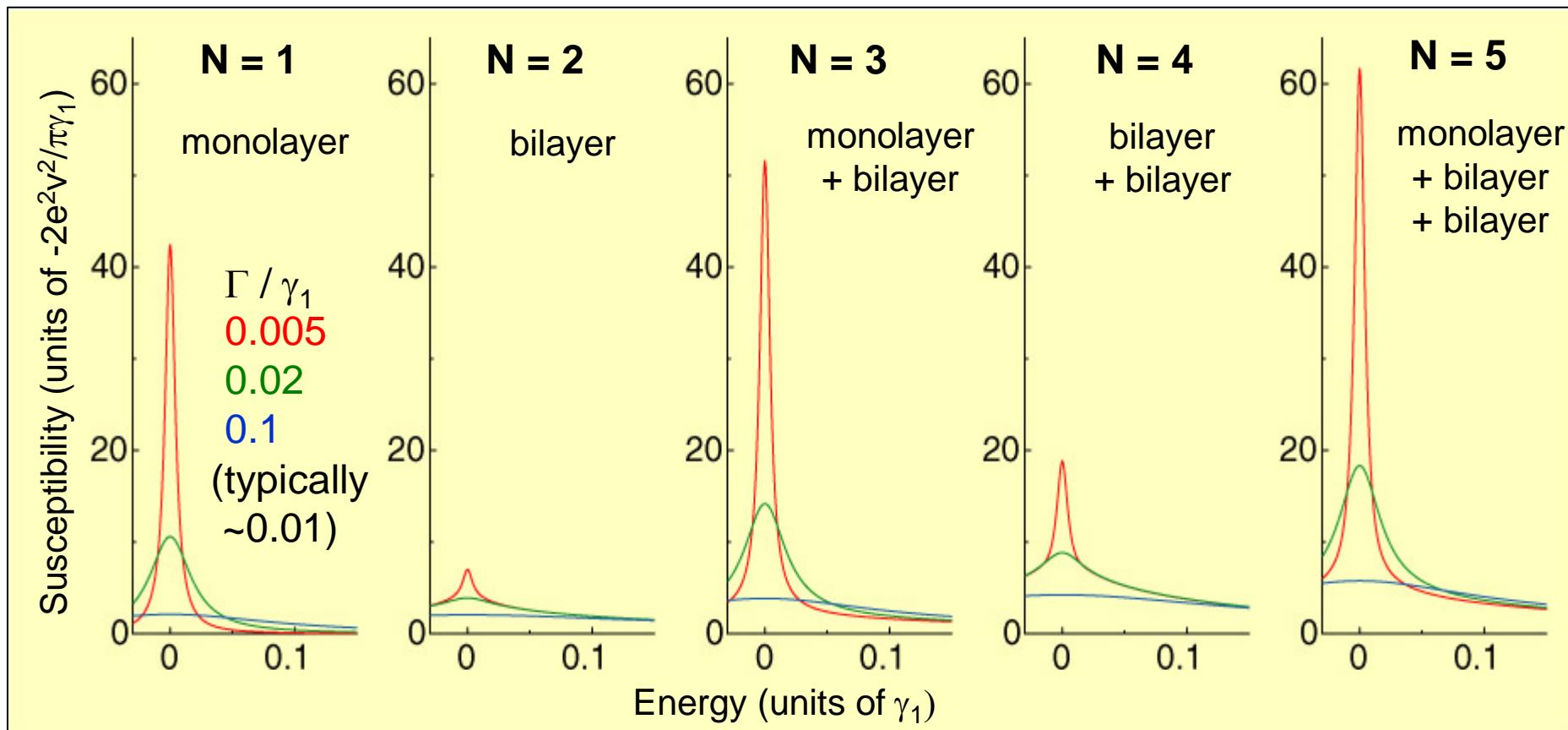
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Energy scale of the trigonal warping

$$\varepsilon_{\text{trig}} = \left( \frac{\lambda \gamma_3}{\gamma_0} \right)^2 \lambda \gamma_1 \propto \lambda^3$$



# Susceptibility of multilayer graphenes



Monolayer-type (**odd  $N$  only**):  $\delta$ -function

Bilayer-type: Log tail +  $\delta$ -function (trigonal warping)

Koshino and Ando,  
PRB 76, 085425 (2007)

# Summary

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**Electronic properties of AB-stacked multilayer-graphenes studied in the effective mass approximation.**

**Multilayer = bilayers + monolayer (odd N)**

- Characterized by single parameter  $\lambda$  (0 to 2)
- Trigonal warping  $\propto \lambda^3$

**Magneto-optical absorption**

- Crossover from linear B to  $\sqrt{B}$

**Orbital magnetization**

- Singularity at zero energy in monolayer graphene
- Singularity from trigonal warping

# Magnetization formula

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$$\begin{aligned}\text{Magnetization} \quad M &= -\left(\frac{\partial F}{\partial B}\right)_N & F : \text{Free energy} \\ &= -\left(\frac{\partial \Omega}{\partial B}\right)_\mu & N : \text{Electron number} \\ && \Omega : \text{Thermodynamic potential} \\ && \mu : \text{Chemical potential}\end{aligned}$$

$$\text{Susceptibility} \quad \chi = \frac{\partial M}{\partial B} \Big|_{B=0}$$

**Linear response formula** Fukuyama, Prog. Theor. Phys. **45**, 704 (1971)

$$\chi = -\frac{1}{2\pi L^2} \frac{e^2}{\hbar^2} \text{Im} \int_{-\infty}^{\infty} d\varepsilon f(\varepsilon) \text{Tr} (G\mathcal{H}_x G\mathcal{H}_y G\mathcal{H}_x G\mathcal{H}_y)$$

$$\mathcal{H}_i = \frac{\partial \mathcal{H}(\vec{k})}{\partial k_i}, \quad G(\varepsilon) = \frac{1}{\varepsilon - \mathcal{H} + i\delta}$$

Derived from  $B(x) = B \cos qx$   
with  $q \rightarrow 0$  and  $B \rightarrow 0$

# Hamiltonian of graphene multilayer

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k.p Hamiltonian

$$\mathcal{H} = \begin{pmatrix} A_1 B_1 & A_2 B_2 & A_3 B_3 \\ H_0 & V & H_0 \\ V^\dagger & H_0 & V^\dagger \\ & V & H_0 \\ & H_0 & V^\dagger \\ & V^\dagger & H_0 \\ & & \ddots \end{pmatrix}$$

bilayer

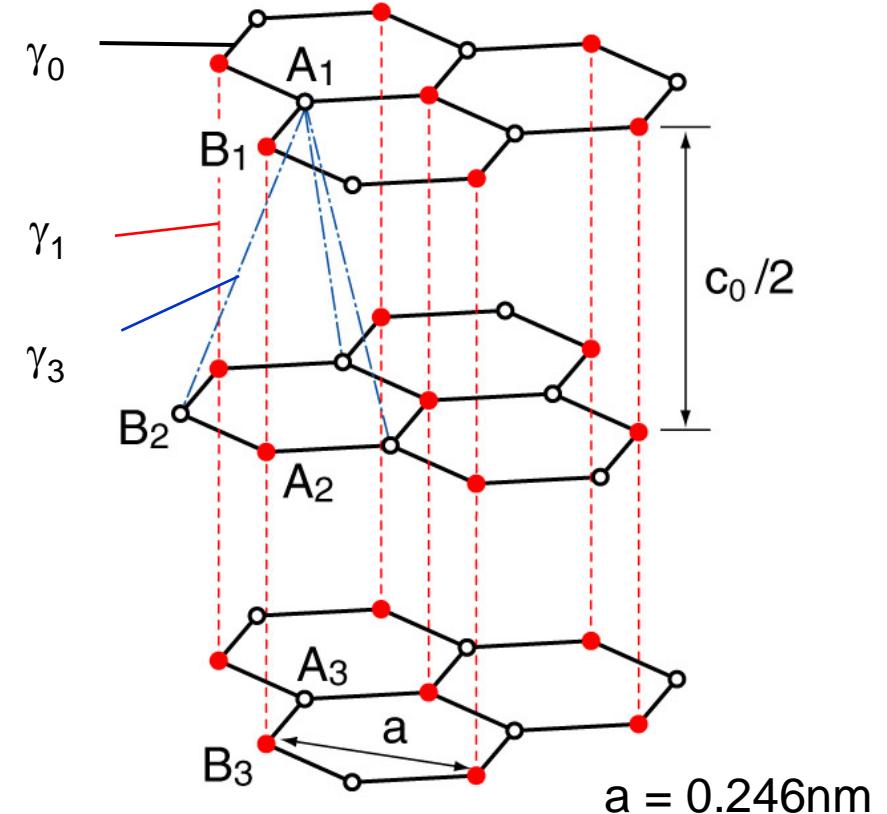
Intra-layer (= monolayer)

$$H_0 = - \begin{pmatrix} 0 & vp_- \\ vp_+ & 0 \end{pmatrix} \quad p_\pm = p_x \pm ip_y$$

$$v = \frac{\sqrt{3}a}{2\hbar} \gamma_0$$

Inter-layer

$$V = - \begin{pmatrix} 0 & v' p_+ \\ \gamma_1 & 0 \end{pmatrix} \quad v' = \frac{\sqrt{3}a}{2\hbar} \gamma_3$$



k.p in monolayer:  
 Ajiki and Ando, JPSJ. **62**, 1255 (1993)  
 Kane and Mele, PRL **78**, 1932 (1997).