



The Abdus Salam
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ICTP Conference Graphene Week 2008

25 - 29 August 2008

Electronic properties of corrugated graphene

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Electronic properties of corrugated graphene.

Instituto de Ciencia
de Materiales de Madrid

Consejo Superior de Investigaciones Científicas

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Outline

- Disorder and the Dirac equation
- Lattice strains, topological defects and curvature
- Effective magnetic fields
- Random gauge fields
- Zero modes, interaction effects
- Strains in suspended samples

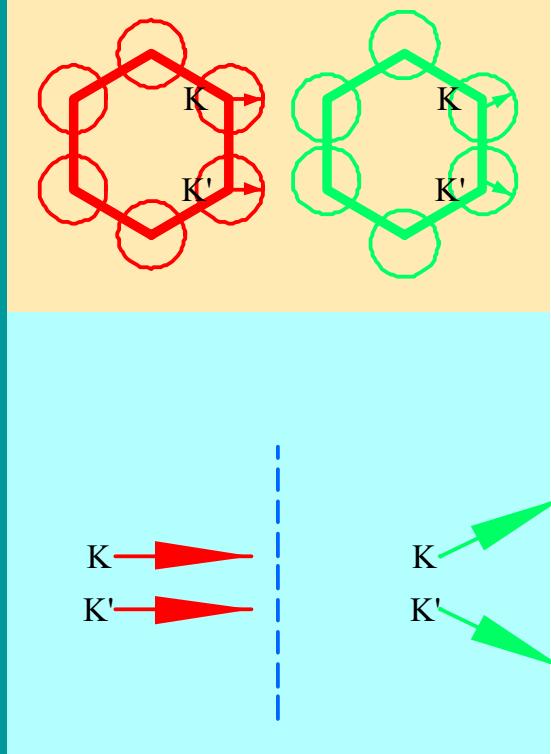
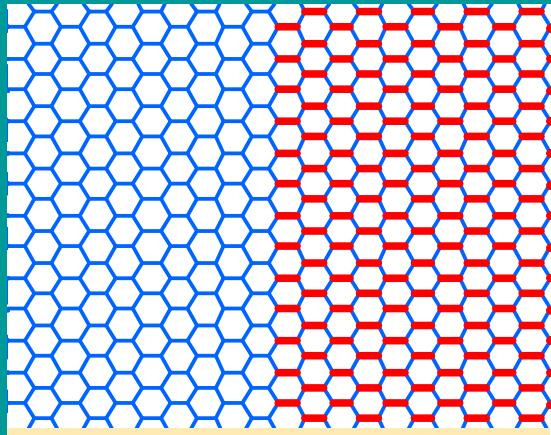
Midgap states and charge instabilities in corrugated graphene, F. G., M. I. Katsnelson, and M. A. H. Vozmediano, Phys. Rev. B 77, 075422 (2008)

Gauge field induced by ripples in graphene, F. G., B. Horowitz and P. Le Doussal, Phys. Rev. B 77, 205421 (2008)

Pseudomagnetic fields and ballistic transport in suspended graphene sheets, M. M. Fogler, F. G., and M. I. Katsnelson, ArXiv:0807.3175

The electronic properties of graphene, A. H. Castro Neto, F. G., N. M. R. Peres,..A. K. Geim, K. S. Novoselov, ArXiv:0709.1163, Rev. Mod. Phys., in press

Effective gauge fields



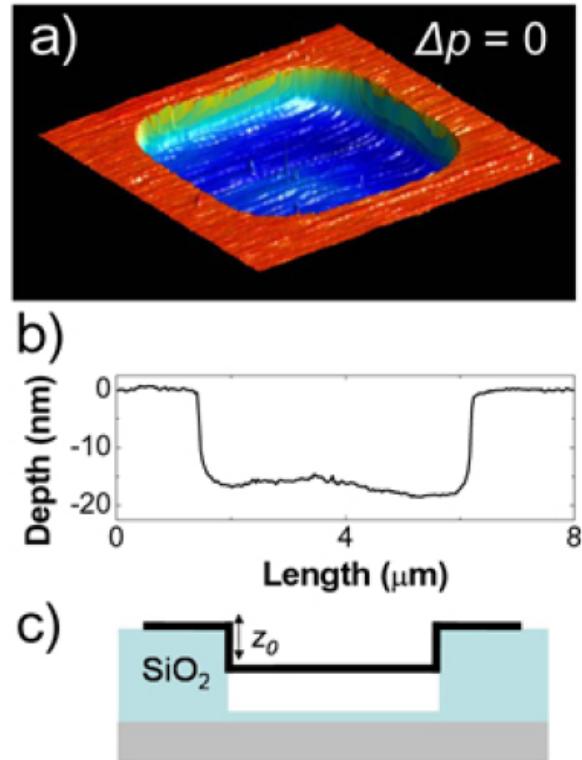
$$H \equiv \begin{pmatrix} 0 & t_1 e^{i\vec{k}_1 \cdot \vec{a}_1} + t_2 e^{i\vec{k}_2 \cdot \vec{a}_2} + t_3 e^{i\vec{k}_3 \cdot \vec{a}_3} \\ t_1 e^{-i\vec{k}_1 \cdot \vec{a}_1} + t_2 e^{-i\vec{k}_2 \cdot \vec{a}_2} + t_3 e^{-i\vec{k}_3 \cdot \vec{a}_3} & 0 \\ 0 & \frac{3\bar{t}a}{2}(k_x + ik_y) + \Delta t \\ \frac{3\bar{t}a}{2}(k_x + ik_y) + \Delta t & 0 \end{pmatrix} \approx$$

A modulation of the hoppings leads to a term which modifies the momentum: an effective gauge field.

The induced “magnetic” fields have opposite sign at the two corners of the Brillouin Zone.

These terms are forbidden by symmetry in clean graphene.

Suspended graphene. Graphene membranes



J. S. Bunch, S. S. Verbridge, J. S. Alden, A. M. van der Zande, J. M. Parpia, H. G. Craighead, and P. L. McEuen, ArXiv:0805.3309

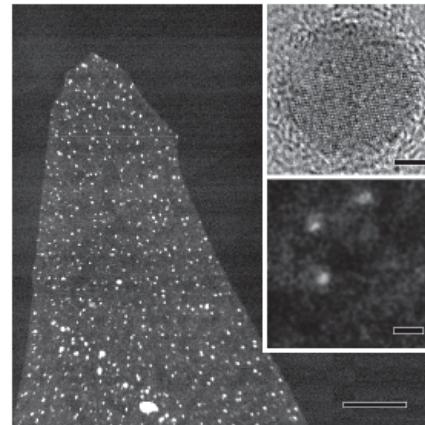


Figure 4: HAADF micrograph of a section of a graphene membrane tilted during annealing. The graphene crystal is supported from one side by copper nanoparticles. Scale bar: 1 μm . Top inset: high resolution bright field STEM micrograph of such a Cu particle ($\phi \approx 8.0 \text{ nm}$; scale 2 nm). Low inset: HAADF image of individual atoms on graphene; scale 2 Å.

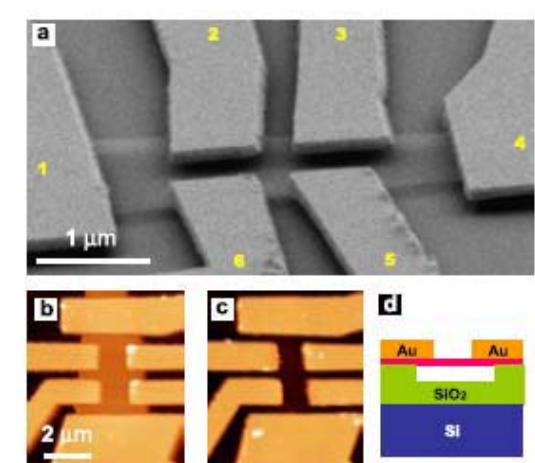
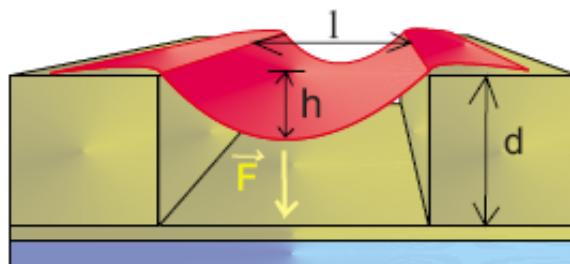


FIG. 1: (a) SEM image of a typical suspended six-probe graphene device taken at 15° with respect to the sample plane. (b) AFM image of the suspended device #1 before the measurements. (c) AFM image of the device #1 after the measurements with graphene removed by a short oxygen plasma etch (same z scale). (d) Device schematic, side-view. Degenerately doped silicon gate (blue), partly etched SiO_2 (green), suspended single-layer graphene (pink) and Au/Cr electrodes (orange).

T. J. Booth, P. Blake, R. R. Nair, D. Jiang, E. W. Hill, U. Bangert, A. Bleloch, M. Gass, K. S. Novoselov, M. I. Katsnelson, and A. K. Geim, ArXiv:0805.188

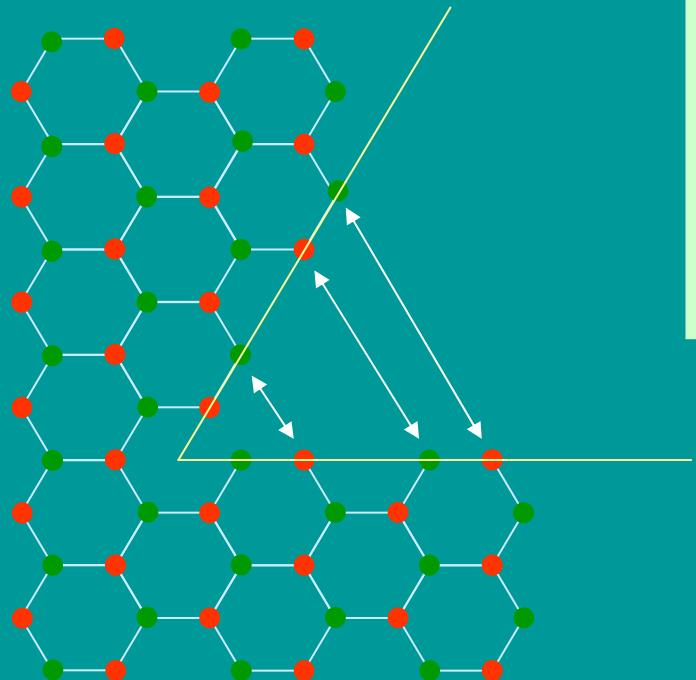
K. I. Bolotin, K. J. Sikes, Z. Jiang, G. Fudenberg, J. Hone, P. Kim, and H. L. Stormer, ArXiv:0802.2389

M. M. Fogler, F. G., M. I. Katsnelson, ArXiv:0807.3175



Lattice frustration as a gauge potential.

J. González, F. G. and M. A. H. Vozmediano, Phys. Rev. Lett. **69**, 172 (1992)

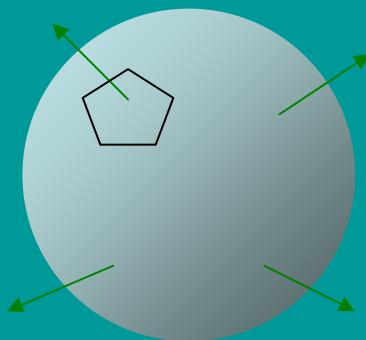


- A fivefold ring defines a disclination.
- The sublattices are interchanged.
- The Fermi points are also interchanged.
- These transformations can be achieved by means of a gauge potential.

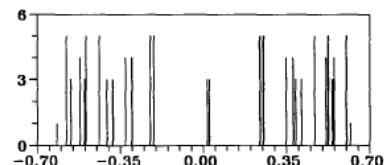
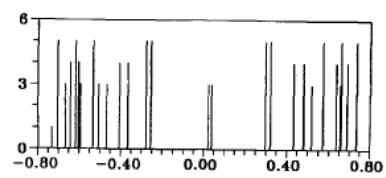
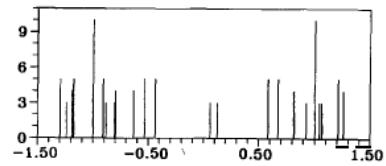
$$i\vec{\nabla} \rightarrow i\vec{\nabla} - \vec{A} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$
$$\Phi = \int \vec{A} d\vec{l}$$

The flux Φ is determined by the total rotation induced by the defect.

Continuum model of the fullerenes.



792

J. González et al. / Electronic spectrum of fullerenes

²⁸
Fig. 8. Spectra of honeycomb lattices on the icosahedron. Energy eigenvalues are plotted in the horizontal axis and the multiplet degeneracy is given along the vertical direction as in fig. 7. The diagrams correspond, respectively, to the lattices C_{240} , C_{960} and C_{1500} .

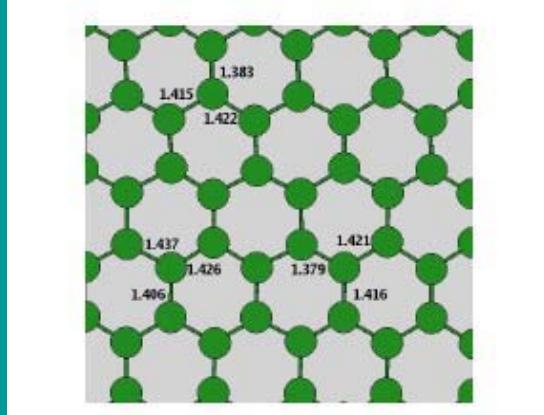
- Dirac equation on a spherical surface.
- Constant magnetic field (Dirac monopole).

$$\frac{\hbar v_F}{R} \left[i\partial_\theta - \frac{1}{\sin(\theta)} \partial_\phi + \frac{i(1+l)\cos(\theta)}{2\sin(\theta)} \right] \Psi_a = \varepsilon \Psi_b$$

$$\frac{\hbar v_F}{R} \left[i\partial_\theta + \frac{1}{\sin(\theta)} \partial_\phi + \frac{i(1-l)\cos(\theta)}{2\sin(\theta)} \right] \Psi_b = \varepsilon \Psi_a$$

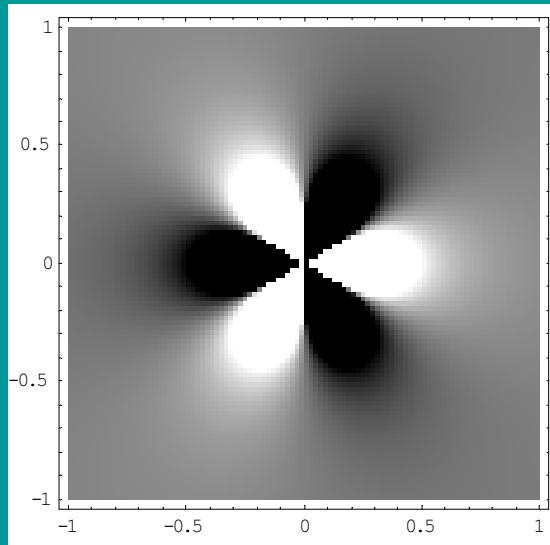
$$\varepsilon_J = \frac{\hbar v_F}{R} \sqrt{[J(J+1)] - l(l+1)} \quad J \geq l$$

Effective gauge fields In plane elastic deformations



A. Fasolino, J. H. Los, and M. I. Katsnelson, Nature Mat. **6**, 858 (2007)

Elastic strains imply deformations of bonds,
and modulations of hoppings.



Effective
magnetic
field
induced
around a
dislocation.

H. Suzuura and T. Ando, Phys. Rev. B **65**, 235412 (2002)
J. L. Mañes, Phys. Rev. B **76**, 045430 (2007)

$$H \equiv \begin{pmatrix} 0 & v_F(k_x + ik_y) + \beta t(u_{xx} - u_{yy} - 2iu_{xy}) \\ v_F(k_x - ik_y) + \beta t(u_{xx} - u_{yy} + 2iu_{xy}) & 0 \end{pmatrix}$$

$$\beta = \frac{\partial \log(t)}{\partial \log(a)} \approx 2$$

$$\frac{\partial^2 u_{ij}}{\partial x_k \partial x_l} + \frac{\partial^2 u_{kl}}{\partial x_i \partial x_j} - \frac{\partial^2 u_{il}}{\partial x_j \partial x_k} - \frac{\partial^2 u_{jk}}{\partial x_i \partial x_l} = 0$$

$$R_{ijkl} = \frac{1}{2} \left(\frac{\partial^2 g_{ij}}{\partial x_k \partial x_l} + \frac{\partial^2 g_{kl}}{\partial x_i \partial x_j} - \frac{\partial^2 g_{il}}{\partial x_j \partial x_k} - \frac{\partial^2 g_{jk}}{\partial x_i \partial x_l} \right) + O(g^2)$$

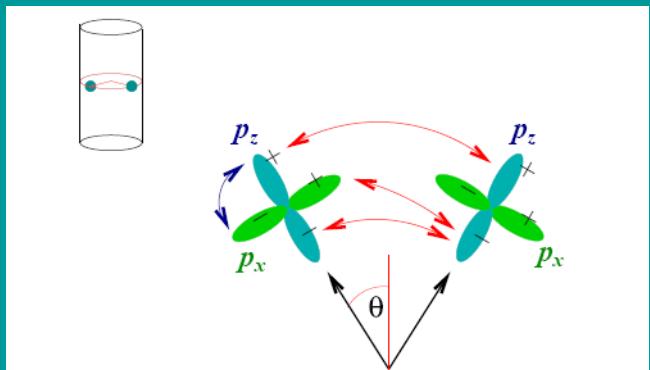
Saint Venant's compatibility conditions
imply zero intrinsic curvature

The gauge field associated
to elastic strains is material
dependent, and it does not
imply intrinsic curvature.

Effective gauge fields

Misalignment of π orbitals

A. H. Castro Neto and E.-A. Kim, arXiv:cond-mat/0702562



$$\delta t \approx \left(-\frac{1}{3} t_{pp\pi} + \frac{1}{2} t_{pp\sigma} \right) [(\vec{a}\nabla)\nabla h]^2$$

$$A_x \propto \left(\frac{\partial^2 h}{\partial x^2} \right)^2 - \left(\frac{\partial^2 h}{\partial y^2} \right)^2$$
$$A_y \propto -2 \frac{\partial^2 h}{\partial x \partial y} \left(\frac{\partial^2 h}{\partial x^2} + \frac{\partial^2 h}{\partial y^2} \right)$$

The gauge field associated to the misalignment of π orbitals is material dependent, and it does not imply intrinsic curvature.

Non abelian gauge potential II.

$$\begin{array}{cccc}
 |KA\rangle & |KB\rangle & |K'A\rangle & |K'B\rangle \\
 \begin{array}{c} |KA\rangle \\ |KB\rangle \\ |K'A\rangle \\ |K'B\rangle \end{array} & \left(\begin{array}{cccc}
 0 & v_F(k_x - ik_y) & v_F[-iA_x(\vec{r}) - A_y(\vec{r})] & 0 \\
 v_F(k_x + ik_y) & 0 & 0 & v_F[iA_x(\vec{r}) - A_y(\vec{r})] \\
 v_F[iA_x(\vec{r}) - A_y(\vec{r})] & 0 & 0 & v_F(k_x + ik_y) \\
 0 & v_F[-iA_x(\vec{r}) - A_y(\vec{r})] & v_F(k_x - ik_y) & 0
 \end{array} \right)
 \end{array}$$

Dirac hamiltonian for the two valleys, with Umklapp scattering.

$$\begin{array}{cccc}
 |KA\rangle + i|K'B\rangle & |KB\rangle - i|K'A\rangle & |KA\rangle - i|K'B\rangle & |KB\rangle + i|K'A\rangle \\
 \begin{array}{c} |KA\rangle + i|K'B\rangle \\ |KB\rangle - i|K'A\rangle \\ |KA\rangle - i|K'B\rangle \\ |KB\rangle + i|K'A\rangle \end{array} & \left(\begin{array}{cccc}
 0 & v_F(k_x + A_x - ik_y - iA_y) & 0 & 0 \\
 v_F(k_x + A_x + ik_y + iA_y) & 0 & 0 & 0 \\
 0 & 0 & 0 & v_F(k_x - A_x + ik_y - iA_y) \\
 0 & 0 & v_F(k_x - A_x - ik_y + iA_y) & 0
 \end{array} \right)
 \end{array}$$

Intervalley scattering acts as a gauge potential which rotates the valley index.
It plays a similar role to the potential induced by pentagons and heptagons.

Gauge potentials

Physical origin

Elastic strains

Mixing of σ and π bands (extrinsic curvature)

Topological defects (intrinsic curvature)

Effects

Elastic strains

Intravalley scattering

Intervalley scattering (non commuting gauge fields)

Effective gauge fields

Effective magnetic length:

$$l_B \propto \begin{cases} l\left(\frac{l}{h}\right) & \text{Intrinsic curvature} \\ l\sqrt{\frac{t}{E}} \frac{l^3}{ah^2} & \text{Mixing between } \pi \text{ and } \sigma \text{ orbitals} \\ l\sqrt{\left(\frac{\partial \log(t)}{\partial \log(a)}\right)^{-1} \frac{al}{h^2}} & \text{Elastic strains} \end{cases}$$

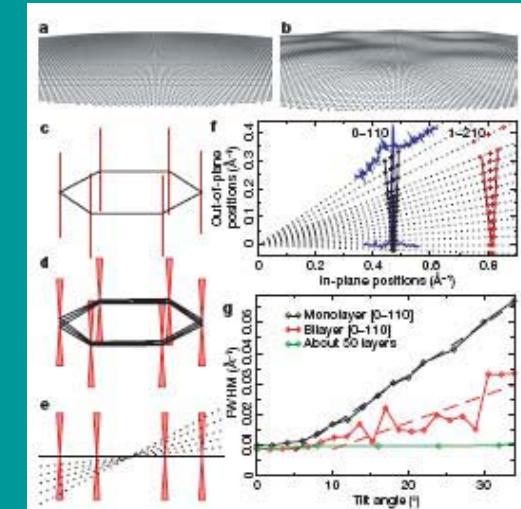
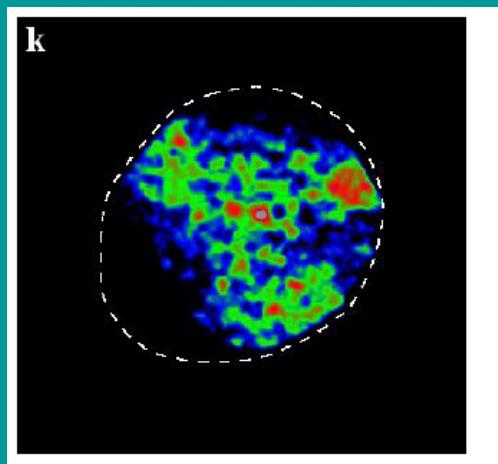
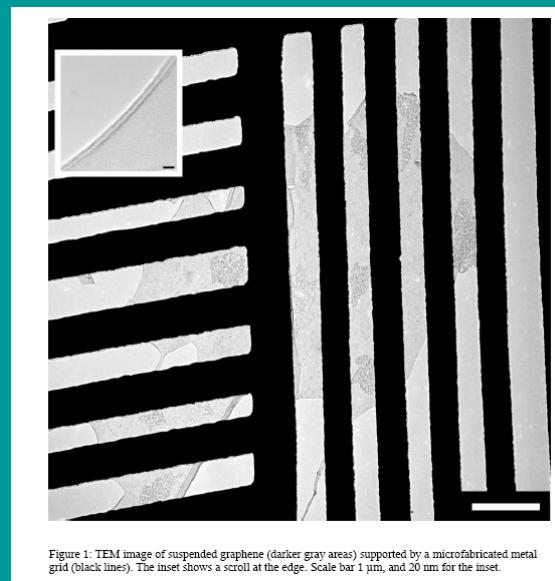
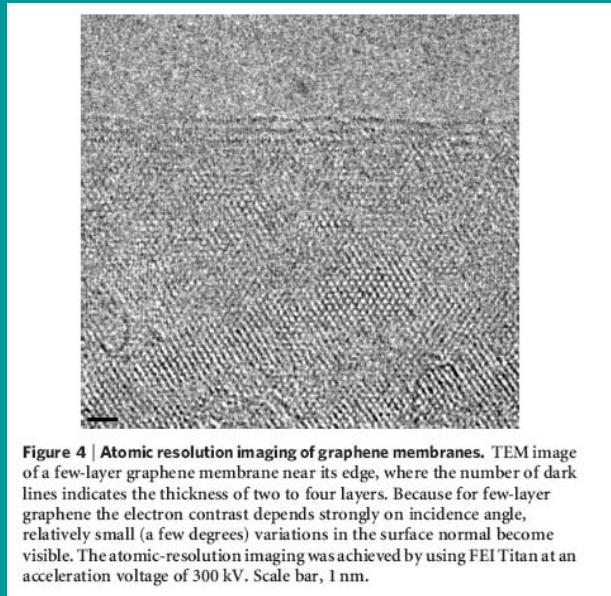
Some estimates: $h=1\text{nm}$, $l=10\text{nm}$, $a=0.1\text{nm}$

$$l_B \approx \begin{cases} 100\text{nm} & B \approx 0.06T \\ 1000\text{nm} & B \approx 0.0006T \\ 10\text{nm} & B \approx 6T \end{cases} \quad \begin{array}{l} \text{Intrinsic curvature} \\ \text{Mixing between } \pi \text{ and } \sigma \text{ orbitals} \\ \text{Elastic strains} \end{array}$$

Ripples in graphene

J. C. Meyer, A. K. Geim, M. I. Katsnelson, K. S. Novoselov, T. J. Booth and S. Roth,
Nature **446**, 60 (2007).

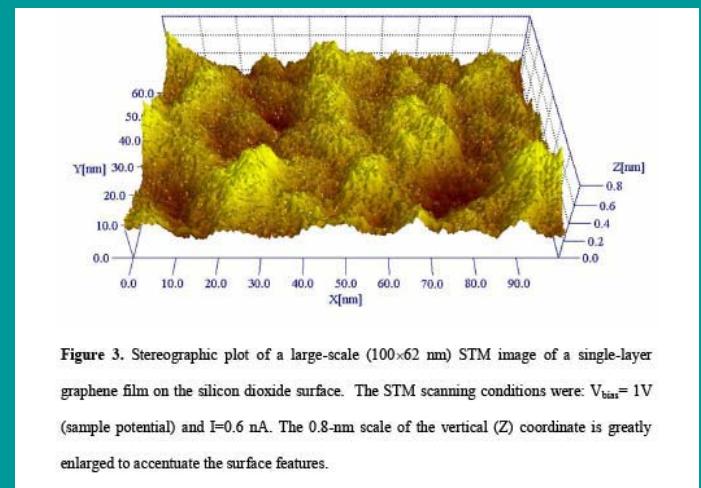
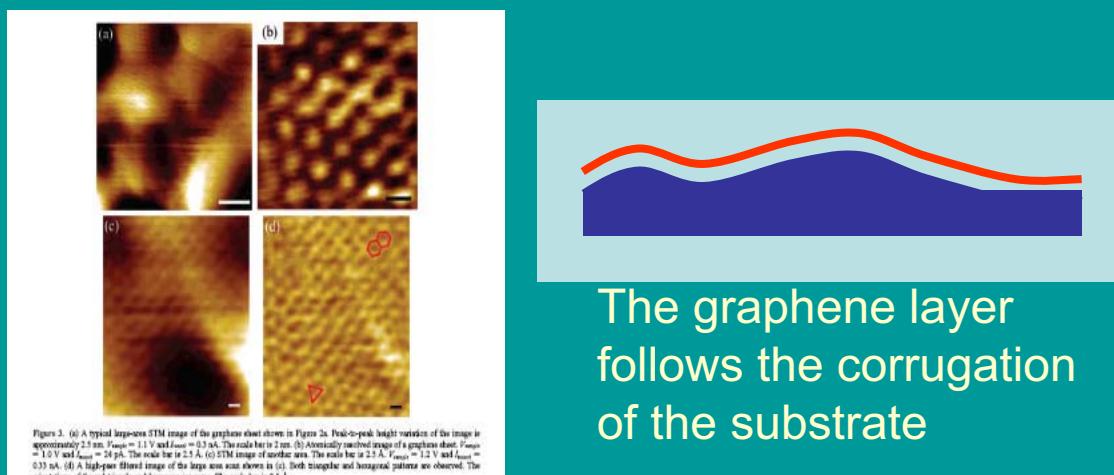
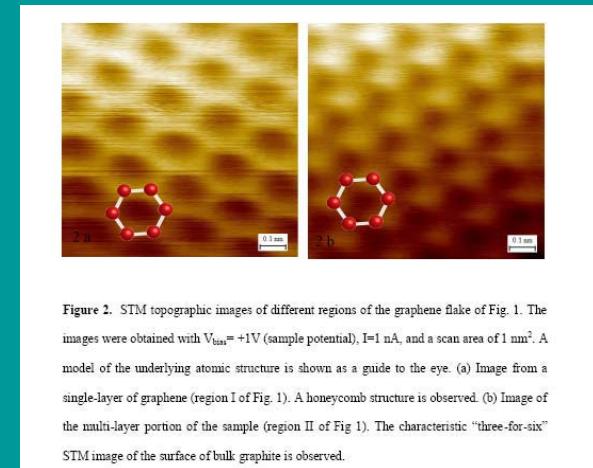
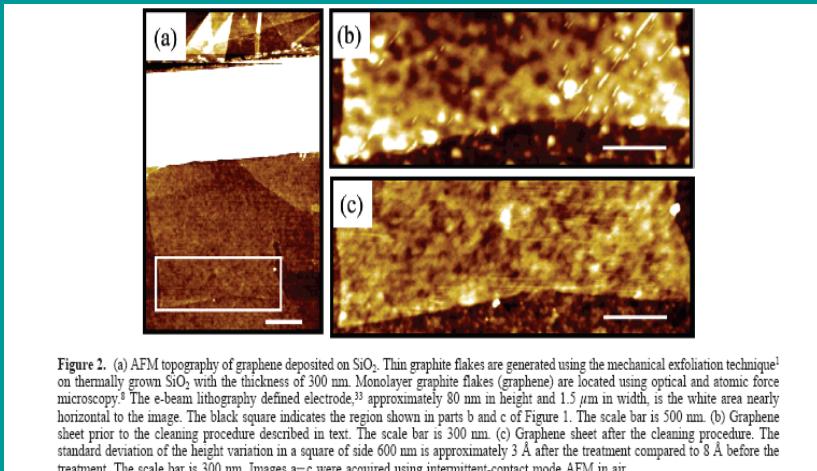
J. C. Meyer, A. K. Geim, M. I. Katsnelson, K. S. Novoselov, D. Obergfell, S. Roth, C. Girit
and A. Zettl, Sol. St. Commun. **143**, 101 (2007).



Ripples in graphene

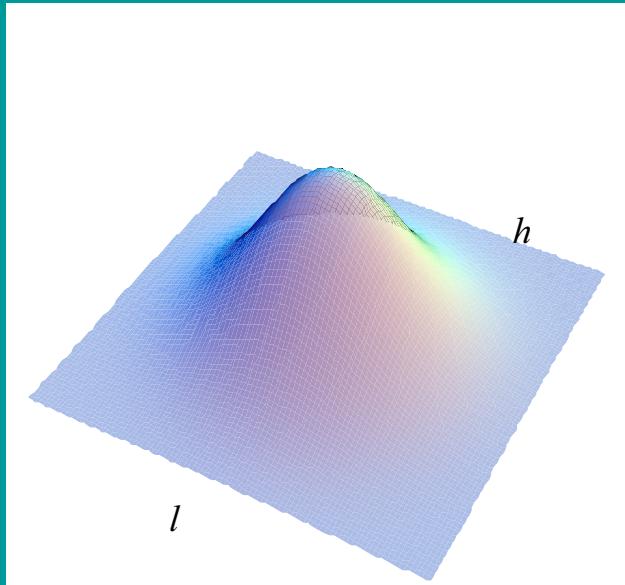
Single layer graphene on SiO₂

M. Ishigami, J. H. Chen, W. G. Cullen, M. S. Fuhrer and E. D. Williams, Nano Letters **7**, 6 (2007)
 E. Stolyarova, K. T. Rim, S. Ryu, J. Maultzsch, P. Kim, L. E. Brus, T. F. Heinz, M. S. Hybertsen and G. W. Flynn, Proc. Nat. Acad. Sci. **104**, 9209 (2007)



Scattering by ripples

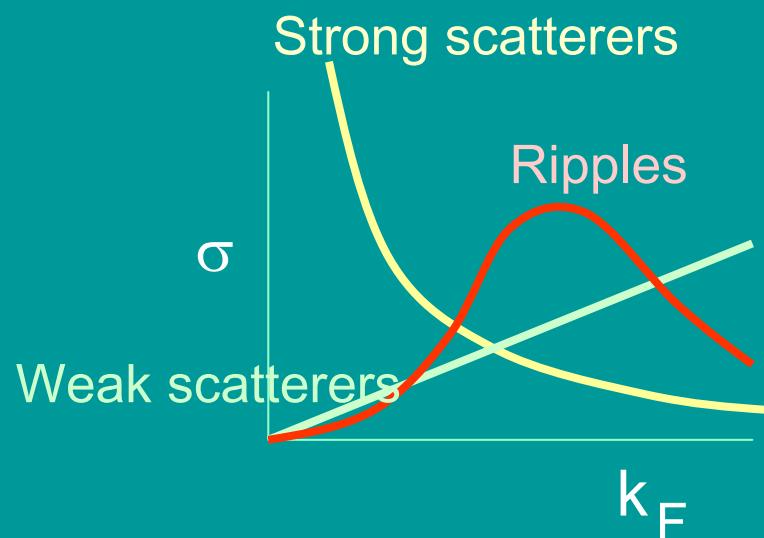
F. G. ArXiv:0805.3908, J. Low Temp. Phys., in press



Born approximation

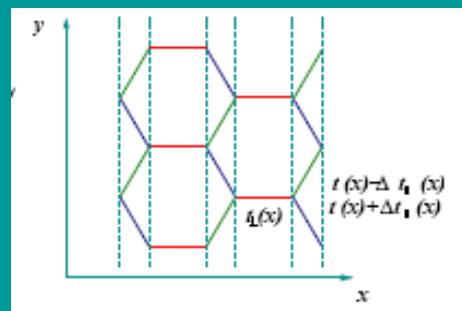
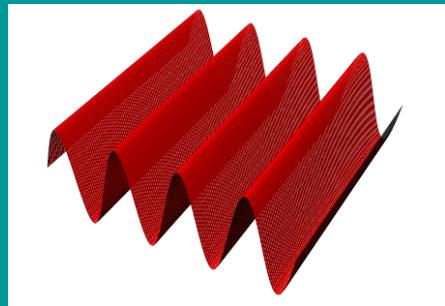
$$\sigma(\theta) \propto \{1 + \cos[3(\theta_{out} + \theta_{in})]\} \times \begin{cases} \left(\frac{\partial \log(t)}{\partial \log(a)}\right)^2 \frac{k_F h^4 (k_F l)^4}{a^2} & k_F l \ll 1 \\ \left(\frac{\partial \log(t)}{\partial \log(a)}\right)^2 \frac{k_F h^4}{a^2} & k_F l \approx 1 \\ \left(\frac{\partial \log(t)}{\partial \log(a)}\right)^2 \frac{k_F h^4}{a^2 (k_F l)^4} & k_F l \gg 1 \end{cases}$$

- The cross section does not have a monotonous dependence on the carrier density.
- The angular dependence reflects the trigonal symmetry of the lattice.



Model of the electronic structure of rippled graphene

F. G., M. I. Katsnelson, M. A. H. Vozmediano, Phys. Rev. B 77, 075422 (2008)



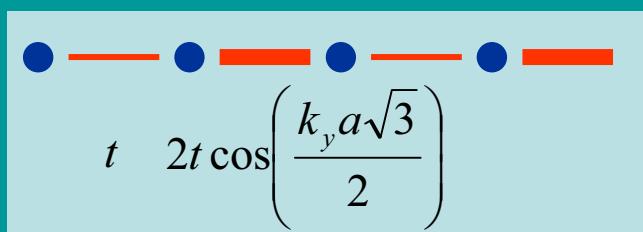
The ripples modulate the hoppings and change the electronic wavefunctions.

$$t \leftrightarrow t_{\parallel}(x)$$
$$2t \cos\left(\frac{k_y a \sqrt{3}}{2}\right) \leftrightarrow \sqrt{\bar{t}_{\perp}^2(x) \cos^2\left(\frac{k_y a \sqrt{3}}{2}\right) + \Delta t_{\perp}^2(x) \sin^2\left(\frac{k_y a \sqrt{3}}{2}\right)}$$

Modulation of the hoppings

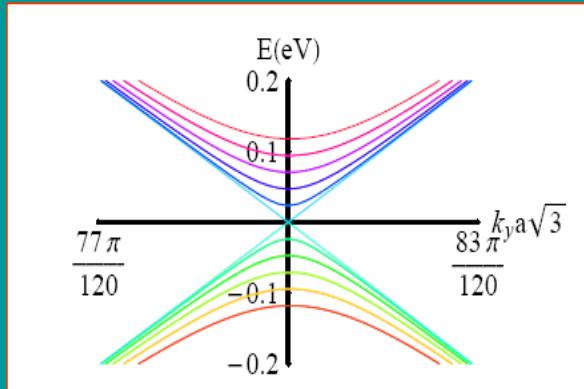
$$t \leftrightarrow t$$
$$2t \cos\left(\frac{k_y a \sqrt{3}}{2}\right) \leftrightarrow 2t \cos\left(\frac{(k_y + A_y(x))a \sqrt{3}}{2}\right)$$

Effect of a magnetic field



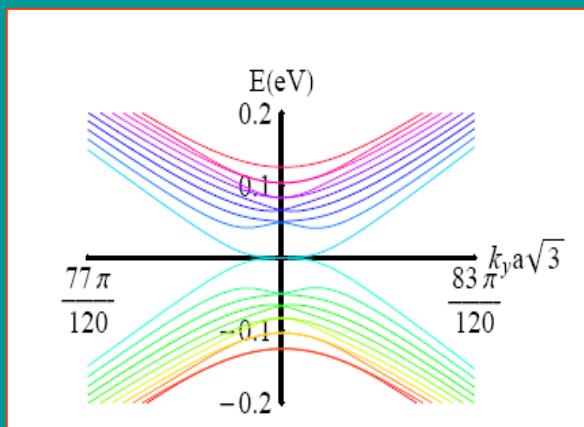
Effective 1D model with two hoppings

Results



$\delta t/t=0$

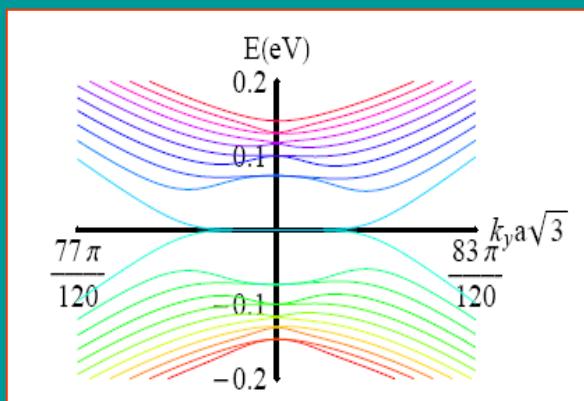
There are well defined
midgap levels



$\delta t/t=0.02$

The Dirac bands are recovered
at high energies.

$$t_{||}(x) = \delta t \sin\left(\frac{2\pi x}{l}\right)$$



$\delta t/t=0.04$

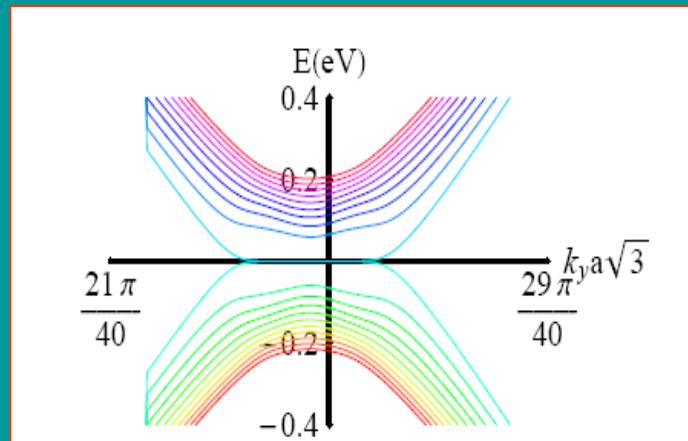
$|l|=1200$ $a=168$ nm

$$l_B \approx \sqrt{\frac{t}{\delta t}} la$$

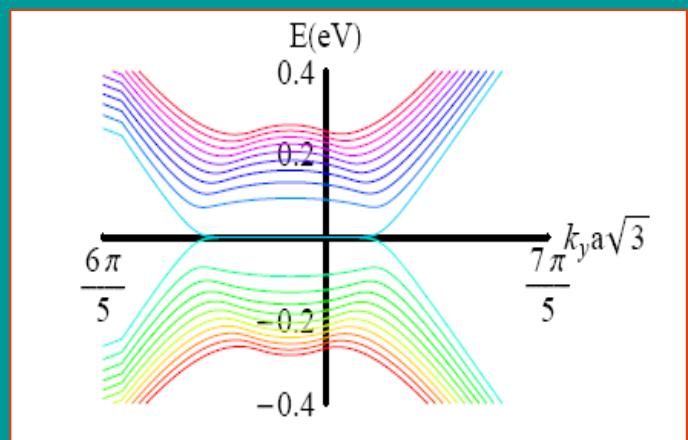
$$\Delta \approx t \sqrt{\frac{\delta t}{t}} \frac{a}{l}$$

Results. (Real) magnetic fields

$B=10\text{T}$, $\delta t/t=0.02$



K point



K' point

See also: E. Perfetto, J. González, F. G., S. Bellucci and P. Onorato, Phys. Rev. B **76**, 125430 (2006)

A real magnetic field breaks the symmetry between the two valleys: valleytronics.

A. Rycerz and J. Tworzydo and C. W. J. Beenakker, Nature Physics **3**, 172 (2007)

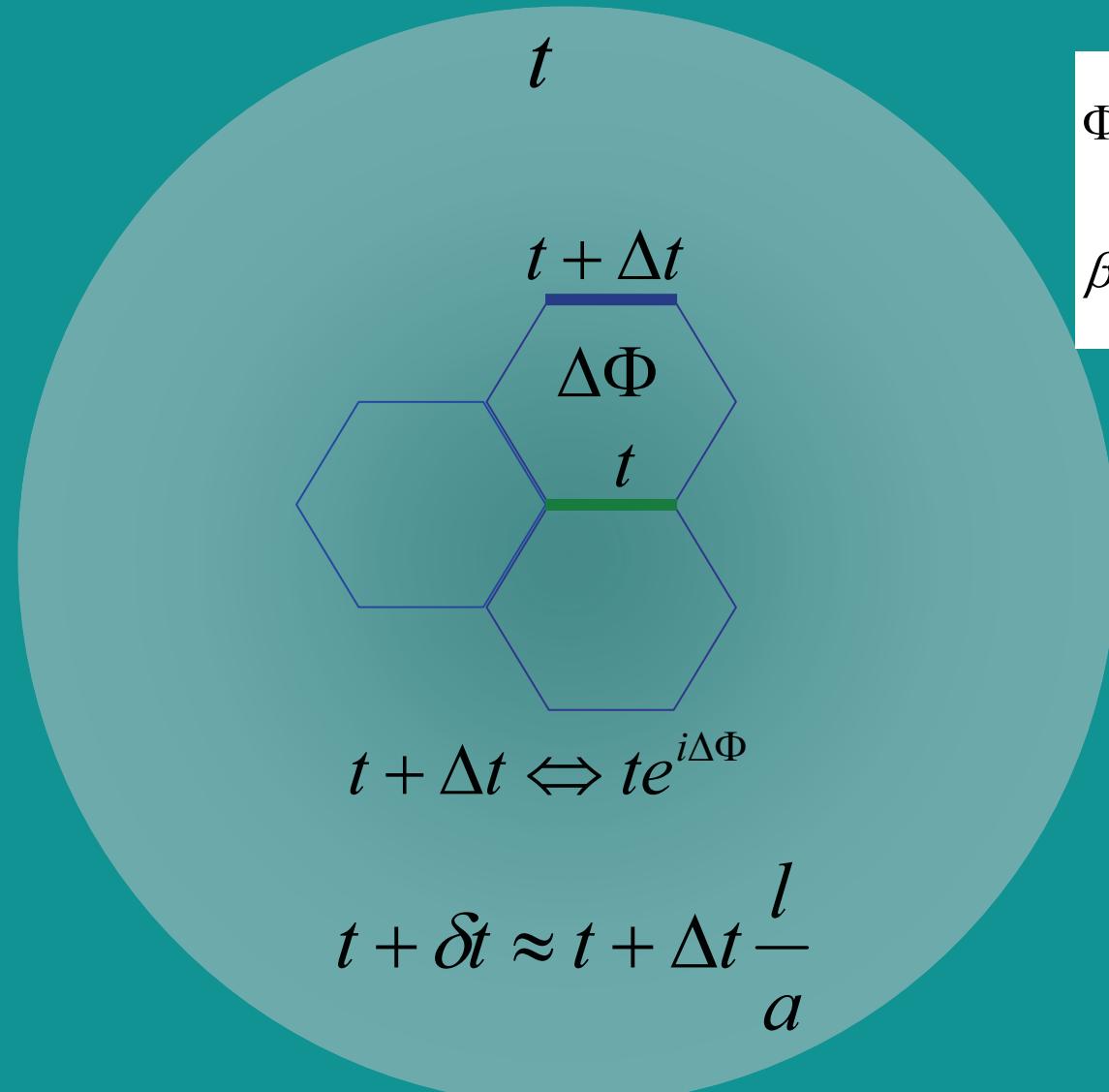
Electrons move around different cyclotron orbits, and are deflected differently by barriers.

V. V. Cheianov and V. I. Fal'ko, Phys. Rev. B **74**, 041403 (2006)

Effective flux through a ripple

F. G., M. I. Katsnelson and M. A. H. Vozmediano, Phys. Rev. B **77**, 075422 (2008)

$$\xleftarrow{l} \quad \xrightarrow{l}$$



$$\Phi \approx \frac{\Delta t}{t} \frac{l^2}{a^2} \approx \frac{\delta t}{t} \frac{l}{a} \approx \frac{\partial \log(t)}{\partial \log(a)} \frac{h^2}{la}$$

$$\beta = \frac{\partial \log(t)}{\partial \log(a)} \approx 2$$

Φ is the number of Landau levels that fit into the ripple

The electronic wavefunctions are very different from Bloch states when $\Phi \geq 1$

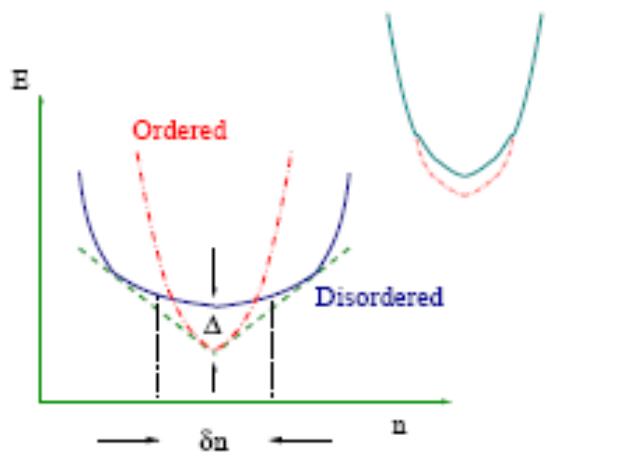
Electron-electron interactions.

The electronic compressibility in clean graphene is zero. The electronic compressibility in graphene with ripples can be very large:

$$\kappa \approx \frac{e^\Phi}{\Delta l_B^2} \approx \frac{e^{\delta t l / ta}}{ta} \sqrt{\frac{\delta t}{ta}}$$

The $n=0$ Landau level leads to many instabilities, see

- M. O. Goerbig, R. Moessner and B. Doucot, Phys. Rev. B **74**, 161407 (2006)
K. Nomura and A. H. MacDonald, Phys. Rev. Lett. **96**, 256602 (2006)
H. A. Fertig and L. Brey, Phys. Rev. Lett. **97**, 116805 (2006)
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D. A. Abanin, K. S. Novoselov, U. Zeitler, P. A. Lee, A. K. Geim and L. S. Levitov, Phys. Rev. Lett. **98**, 196806 (2007)
V. Lukose and R. Shankar, arXiv:0706.4280



Electronic interactions will induce magnetic or charge ordering.
The high electronic compressibility favors a first order transition and electronic phase separation.

F. G., G. Gómez-Santos and D. P. Arovas, Phys. Rev. B **62**, 391 (2002)

An obvious possibility is a ferromagnetic state.

Dirac electrons in a random gauge field

A. W. Ludwig, M. P. A. Fisher, R. Shankar, and G. Grinstein, Phys. Rev. B **50**, 7526 (1994)

B. Horovitz and P. Le Doussal, Phys. Rev. B **65**, 125323 (2002)

F. G., P. Le Doussal, B. Horovitz, Phys. Rev. B **77**, 205421 (2008)

Random gauge disorder.

$$\langle \vec{A}(\vec{r}), \vec{A}(\vec{r}') \rangle = \sigma \delta^{(2)}(\vec{r} - \vec{r}')$$

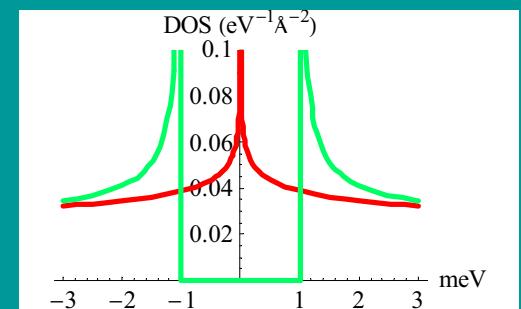
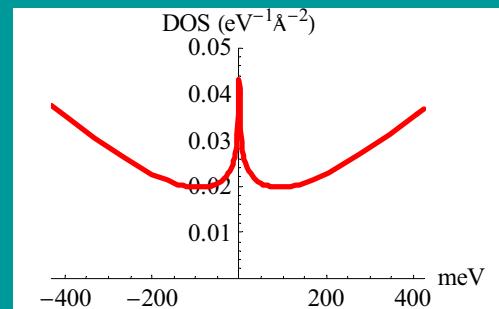
$$|\vec{r} - \vec{r}'| \gg l$$

$$\rho(\varepsilon) \propto \varepsilon^{2/z-1}$$

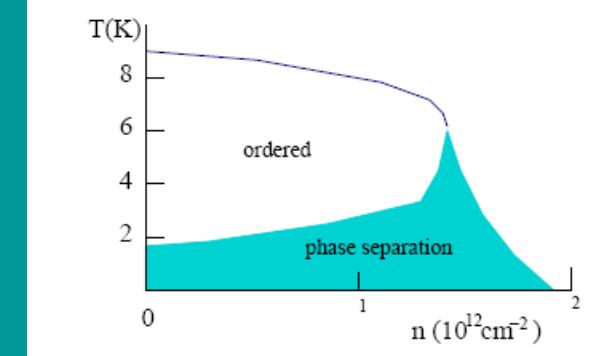
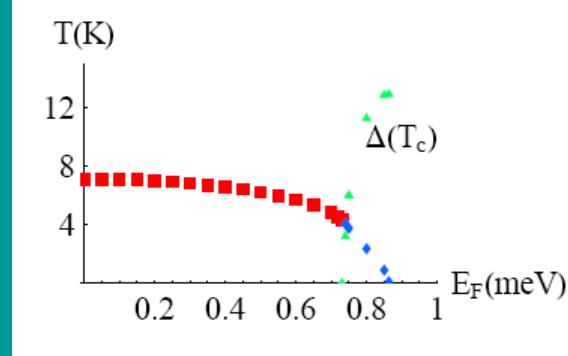
$$z = \begin{cases} 2 - K + \sigma K^2 & \sigma < \frac{1}{2K^2} \\ K(\sqrt{8\sigma} - 1) & \sigma > \frac{1}{2K^2} \end{cases}$$

For ripples, the strength of the divergence is controlled by a dimensionless parameter:

$$\sigma \propto \beta^2 \frac{h^4}{l^2 a^2}$$

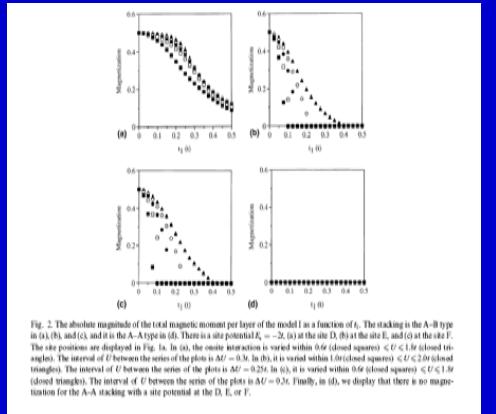


The density of states diverges for sufficiently large disorder.
Short range interactions become relevant.
A gap, Δ , can be induced

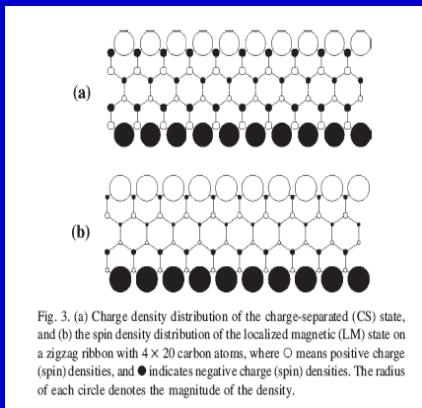


Ordered phase at low temperatures.
The transition is first order, leading to electronic phase separation

Midgap states and electronic instabilities. Models.

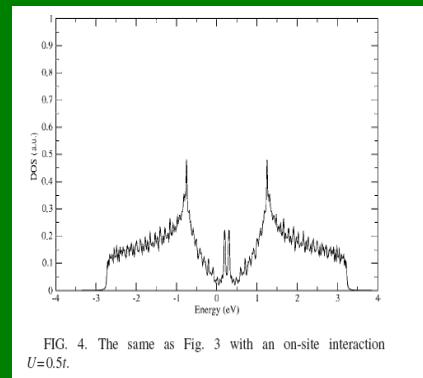
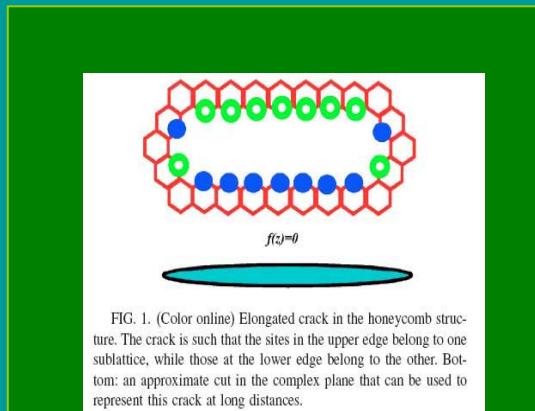


K. Harigaya and T. Enoki, Chem. Phys. Lett. **351**, 128 (2002)



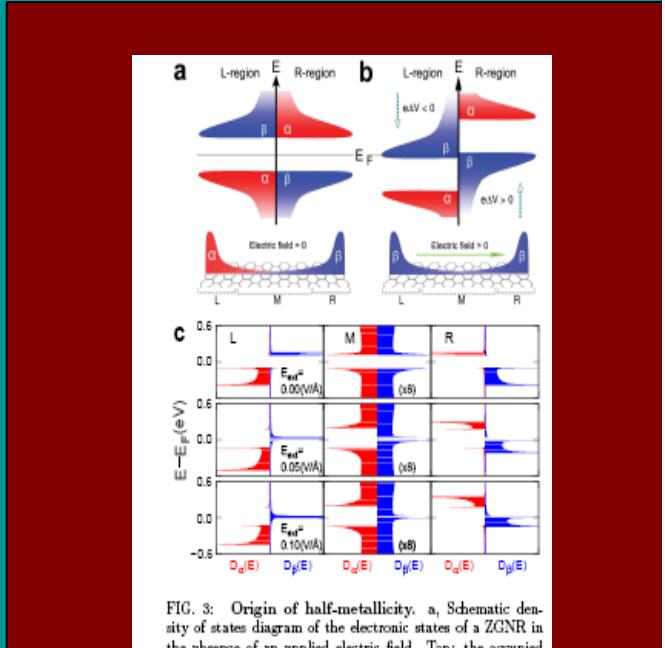
K. Harigaya, A. Yamashiro, Y. Shimoji, K. Wakayabashi, Y. Kobayashi, N. Kawatsu, K. Takai, H. Sato, J. Ravier, T. Enoki, M. Endo, Journ. Phys. Chem. Sol. **65**, 123 (2004)

Zigzag edges



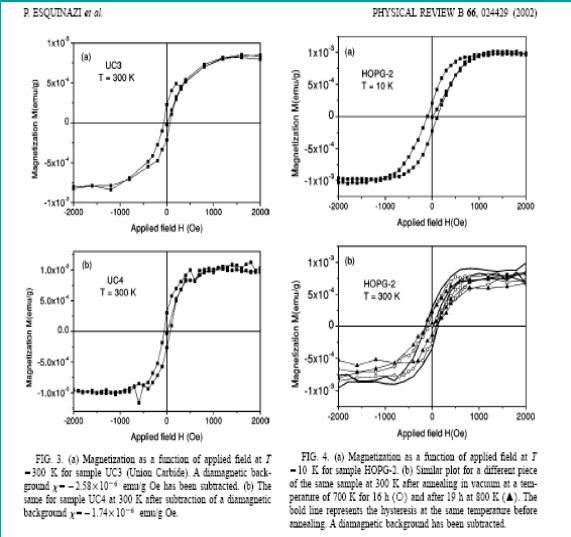
M. A. H. Vozmediano, M. P. López Sancho, and F. G., Phys. Rev. B **72**, 155121 (2005)

Cracks, voids



Y.-W. Son, M. L. Cohen, and S. G. Louie, Nature **444**, 347 (2006)

Zigzag edges, revisited



P. Esquinazi, A. Setzer, C. Semmelhack, Y. Kopelevich, D. Spemann, T. Butz, B. Kohlstrunk, and M. Lüsche, Phys. Rev. B **66**, 024429 (2002)

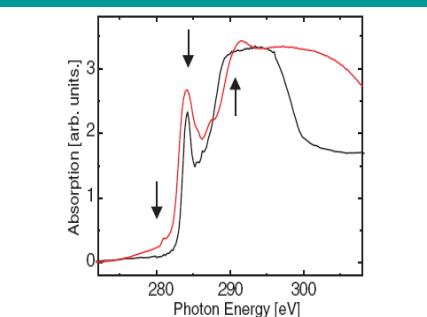


FIG. 2 (color). Carbon K -edge absorption spectrum (bottom) obtained from the sample prepared at room temperature (black) and at 560°C substrate temperature (red). The arrows indicate the photon energies for which the STXM images (top) in the corresponding columns were acquired for a spot irradiated at $50\text{ nC}/\mu\text{m}^2$ in sample A. The helicity (σ) of the x rays was reversed between the first and the second row of images. For the third row the direction (μ) of the applied field was reversed as well so that both polarization and applied field are opposite to the situation in the first row. Images acquired at the π^* resonance (284.0 eV) exhibit a clear XMCD signal.

Midgap states and electronic instabilities. Experiments.

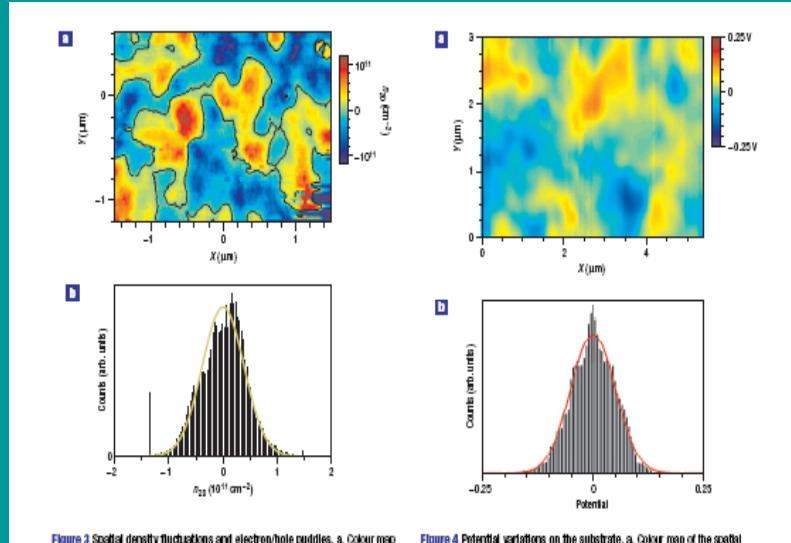


Figure 3. a) Colour map of the spatial density variations in the graphene flake extracted from surface potential measurements at high density and when the average carrier density is zero. The blue regions correspond to holes and the red regions to electrons. The black contour marks the zero density contour. b) Histogram of the density distribution in a.

Figure 4. Potential variations on the substrate. a) Colour map of the spatial fluctuations in the surface potential measured above a patch of the bare silicon oxide surface near the graphene flake. b) Histogram of the potential fluctuation distribution in a. The variance is approximately equal to 50 mV .

J. Martin, N. Akerman, G. Ulbricht, T. Lohmann, J. H. Smet, K. v. Klitzing, and A. Yacoby, Nature Phys. **4**, 144 (2008)

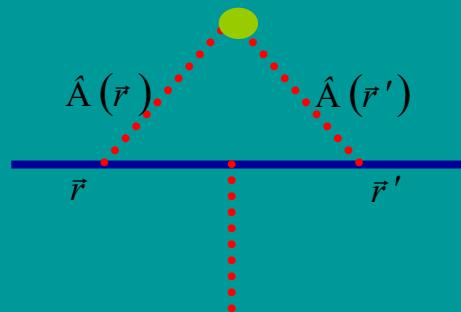
H. Ohldag, T. Tyliaszczak, R Höhne, D. Spemann, P. Esquinazi, M. Ungureanu, and T. Butz, Phys. Rev. Lett. **98**, 187204 (2007)

Electrostatic interactions and disorder.

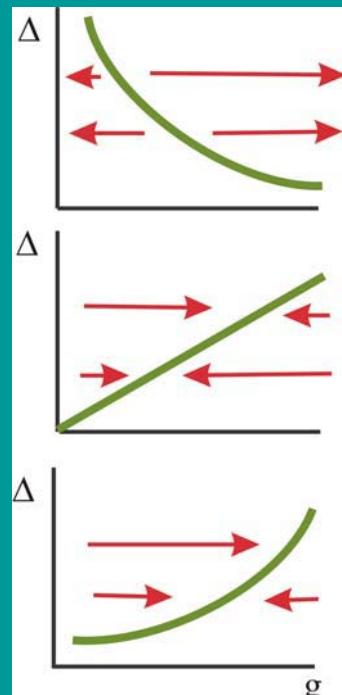
T. Stauber, F. G. and M. A. H. Vozmediano, Phys. Rev. B **71**, 041406 (2005)

J. Ye, Phys. Rev. B **60**, 8290 (1999).

I. F. Herbut, V. Juricic, and O. Vafek, Phys. Rev. Lett. **100**, 046403 (2008)



- There are selfenergy and vortex corrections.
- The selfenergy induces wavefunction renormalization.
- The vortex corrections depend on the type of disorder.
- The wavefunction renormalization changes the flow of the coupling constant.



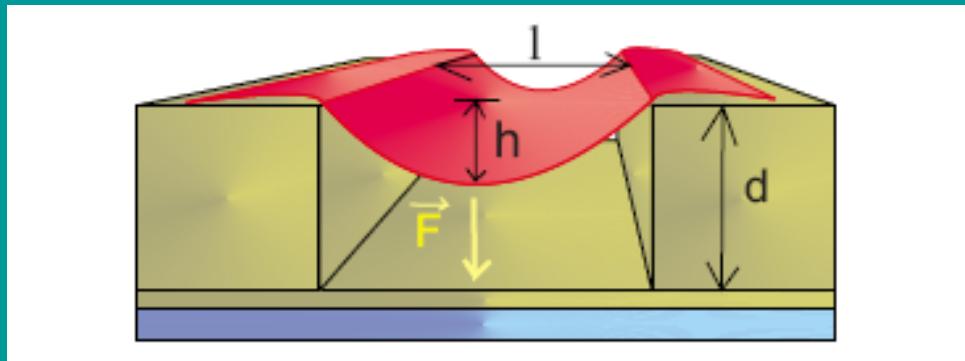
Smooth random potential

Coarse grained lattice defects

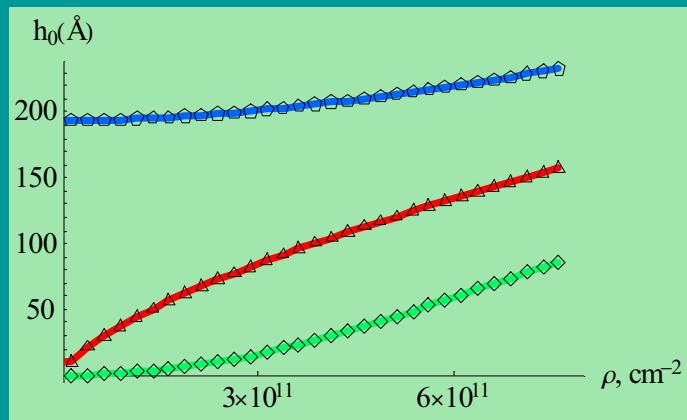
Smooth staggered potential

Ballistic transport in suspended graphene

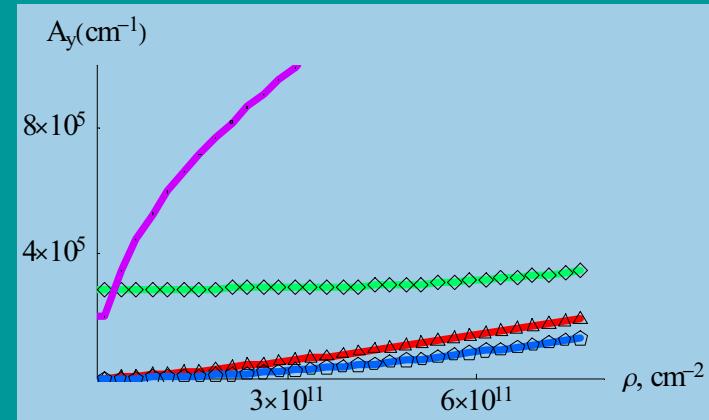
M. M. Fogler, F. G., M. I. Katsnelson, ArXiv: 0807.3165



- The graphene layer is deformed by the applied electric field, slack, ...
- Stresses lead to effective gauge potentials



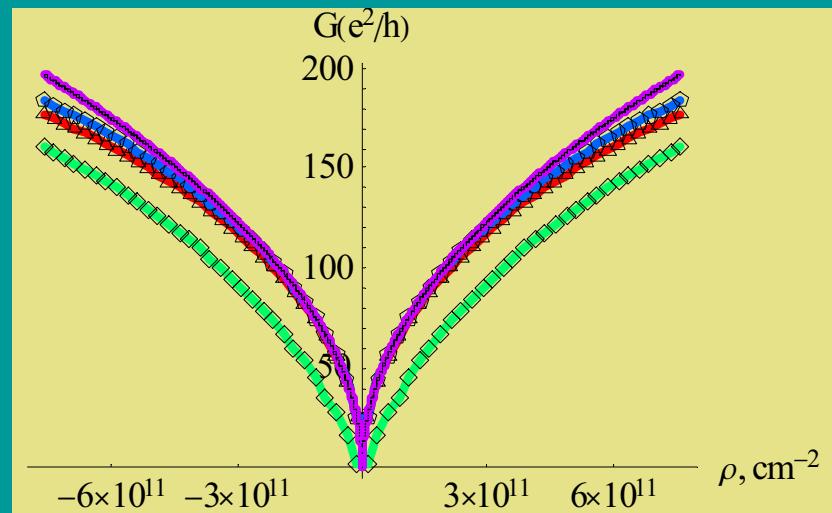
Maximum height as function of carrier density for different values of the slack



Vector potential inside the suspended region as function of carrier density for different values of the slack

Ballistic transport in suspended graphene

M. M. Fogler, F. G., M. I. Katsnelson, ArXiv: 0807.3165



Transmission through a deformed graphene sheet as
function of density for different values of the slack

Conclusions, open questions

- Fictitious gauge fields can be induced by strains, curvature, and topological defects
- Two non commuting gauge fields can be defined
- Height fluctuations can lead to significant fields.

$$\sigma = \frac{\partial \log(t)}{\partial \log(a)} \frac{h^2}{la} \approx 2 \frac{h^2}{la}$$

- Interaction effects may induce new phases at low carrier concentration.
- Strains and gauge fields will exist in suspended graphene samples under an applied field.
- Strains also induce scalar potentials,
S. Ono and K. Sugihara, Journ. Phys. Soc. Jap. **61**, 861 (1966)
H. Suzuura and T. Ando, Phys. Rev. B **65**, 235412 (2002)
- The orbits in a real magnetic field are modified.

Midgap states and charge instabilities in corrugated graphene, F. G., M. I. Katsnelson, and M. A. H. Vozmediano, Phys. Rev. B 77, 075422 (2008)

Gauge field induced by ripples in graphene, F. G., B. Horowitz and P. Le Doussal, Phys. Rev. B 77, 205421 (2008)

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The electronic properties of graphene, A. H. Castro Neto, F. G., N. M. R. Peres,..A. K. Geim, K. S. Novoselov, ArXiv:0709.1163, Rev. Mod. Phys., in press