1965-12

**9th Workshop on Three-Dimensional Modelling of Seismic Waves
Generation, Propagation and their Inversion**

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**Random seismic waves in the lithosphere:
observations, models and parameterization**

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# Random seismic waves in the lithosphere: observations, models and parameterization

OUTLINE:

1. RANDOM MEDIA AND RANDOM SIGNALS
2. MORPHOLOGY OF SCATTERED WAVES ON THE EARTH. CODA
3. THEORY: RANDOM SCATTERERS OR RANDOM INHOMOGENEITY
4. SIMULATION OF ENVELOPES
5. INVERSION FOR TURBIDITY
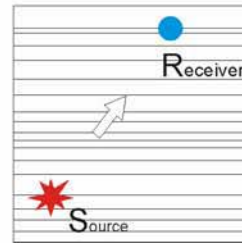6. NON-UNIFORMITY OF SCATTERER DENSITY IN THE EARTH

1. RANDOM MEDIA and RANDOM SIGNALS.

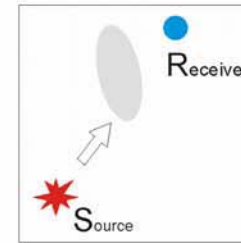# Common models of the medium where the waves propagate
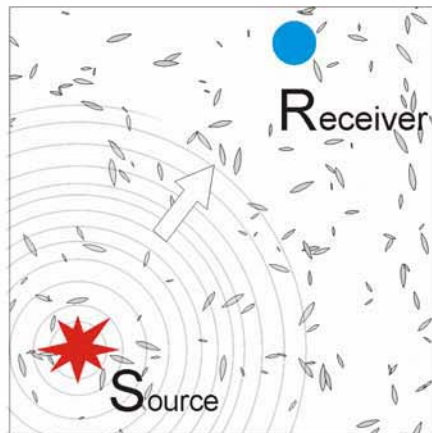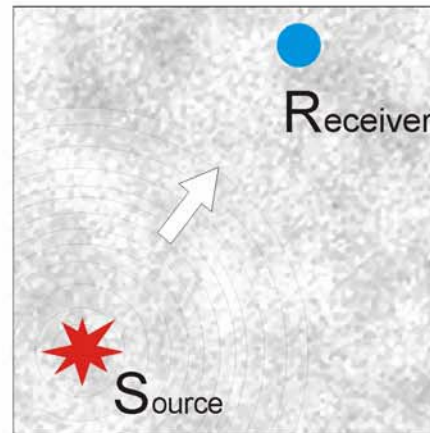

DETERMINISTIC MEDIA
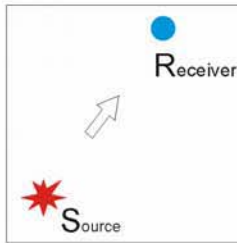
UNIFORM

LAYERED HALF-SPACE

DETERMINISTIC OBSTACLE

RANDOM MEDIA

RANDOM DISTRIBUTION
OF OBSTACLES/SCATTERERS

RANDOM FIELD
OF PROPERTIES
(λ, μ, ρ)

RANDOM PRETURBATION
OF PROPERTIES
$$\lambda(\boldsymbol{x})=\lambda_o(1+\varepsilon_\lambda(\boldsymbol{x}));$$
$$\mu(\boldsymbol{x})= \mu_o(1+\varepsilon_\mu(\boldsymbol{x}));$$
$$\rho(\boldsymbol{x})=\rho_o(1+\varepsilon_\rho(\boldsymbol{x})))$$

Weak inhomogeneity
$\varepsilon<<1$

Acoustic case
$c(\boldsymbol{x})=c_o(1+\varepsilon(\boldsymbol{x}))$
Coefficient of refraction
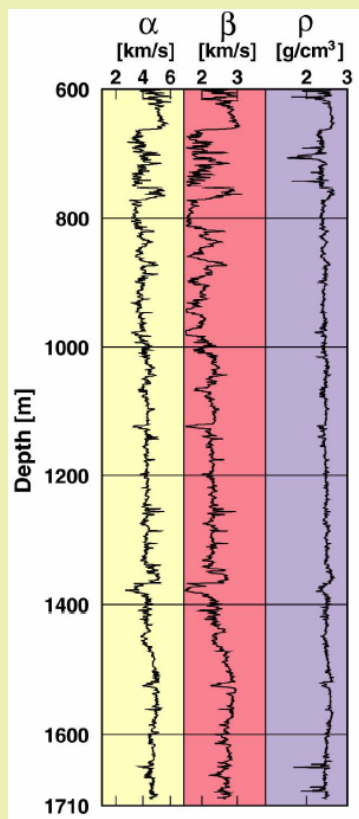$n(\boldsymbol{x})=(1+\varepsilon(\boldsymbol{x}))$

Random-like real-Earth structures
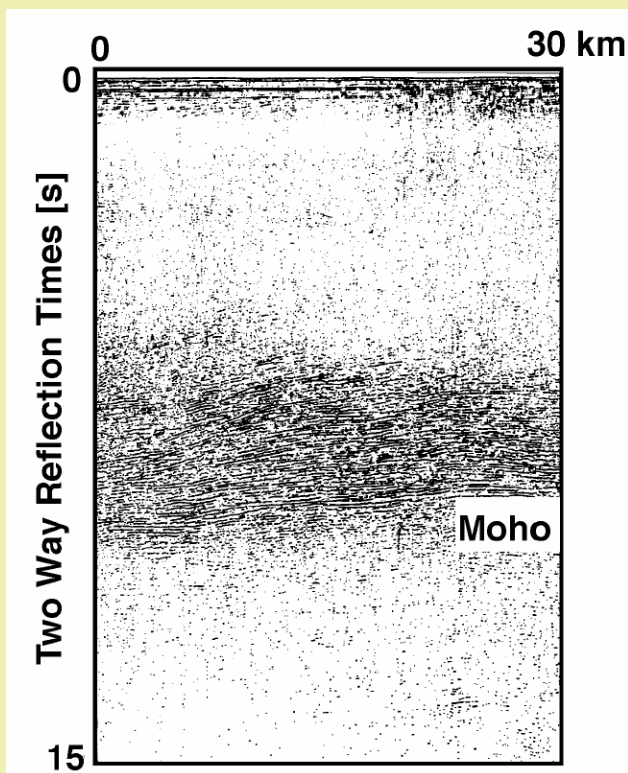
Example reflection-seismic section: strong heterogeneity in the lower crust (Warner, 1990)

anisotropic non-uniform random field

Example well log Persistent oscillation of elastic parameters (Shiomi et al.,1997)

non-Gaussian random field

RANDOM INHOMOGENEITY OR PERTURBATION OF PROPERTIES:

$\lambda(x)=\lambda_o(1+\varepsilon_\lambda(x))$;
$\mu(x)=\mu_o(1+\varepsilon_\mu(x))$;
$\rho(x)=\rho_o(1+\varepsilon_\rho(x))$;

Background: λ_o , μ_o , ρ_o
Perturbation: $\varepsilon_\lambda(x)$, $\varepsilon_\mu(x)$, $\varepsilon_\rho(x)$

Acoustic case: $c(x)=c_o(1+\varepsilon(x))$;

Usual assumptions w.r.t. perturbation field:

(1) Weak: $\varepsilon(x) \ll 1$

(2) Uniform = homogeneous = statationary:
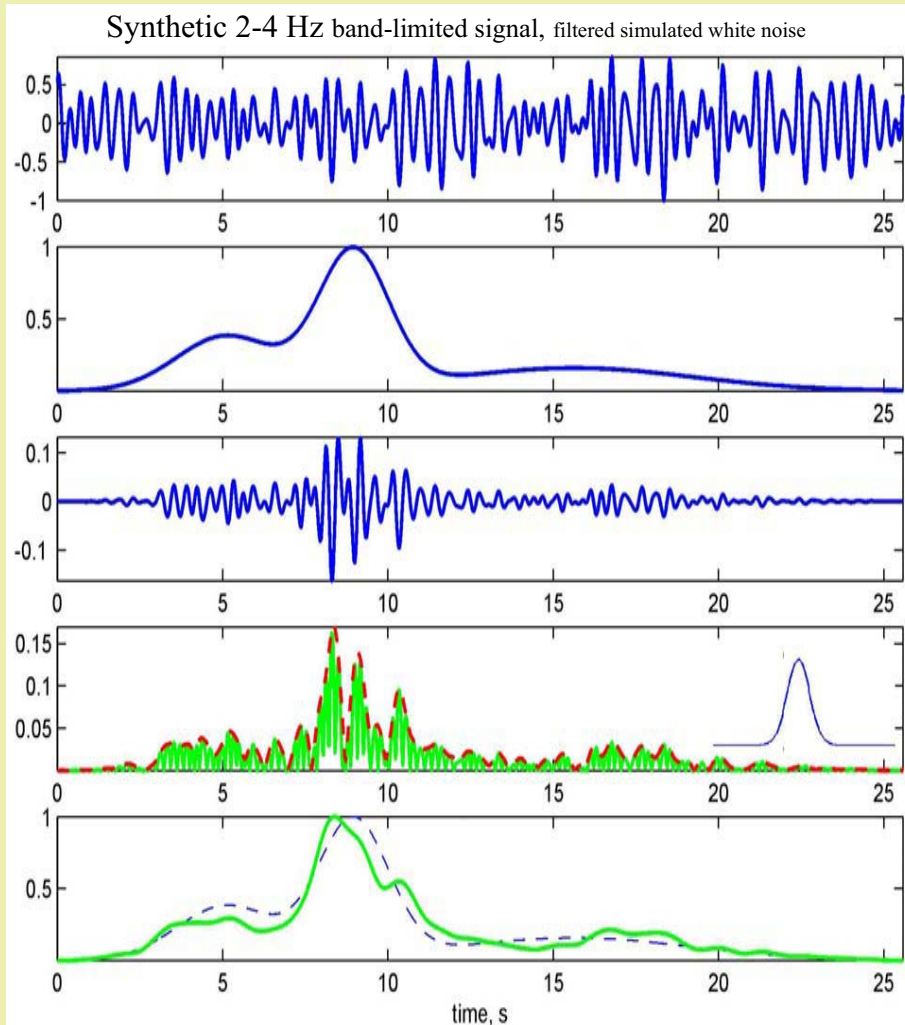$$\text{Cov}(\varepsilon(x), \varepsilon(x+y)) = \sigma_\varepsilon^2\rho(y)$$

(3) Isotropic: $\rho(y) \rightarrow \rho(\|y\|) = \rho(r)$

(in the non-Gaussian case,

more detals are needed)

Random signal, envelope, power (1)

Synthetic 2-4 Hz band-limited signal, filtered simulated white noise

— **stationary random signal $x(t)$**
 constant mean power or variance: $\sigma^2(t)=\langle x^2(t)\rangle$
 constant "true" rms amplimude: $a_{rms}(t)\equiv\sigma(t)$

— **"true" envelope or**
 modulating function $a(t)$
 ($a^2(t)$ - "True" power time history)

— **$y(t)=x(t)\times a(t)$: simulates**
 observed QUASIstationary signal,

— **abs ($y(t)$)**
------ **module of analytic signal (MAS)**

— **$a_e(t)$ = SQRT(smoothed $y^2(t)$)**
------ **$a(t)$**

$a_e(t)$: empirical envelope,
 an estimate for "true" $a(t)$,
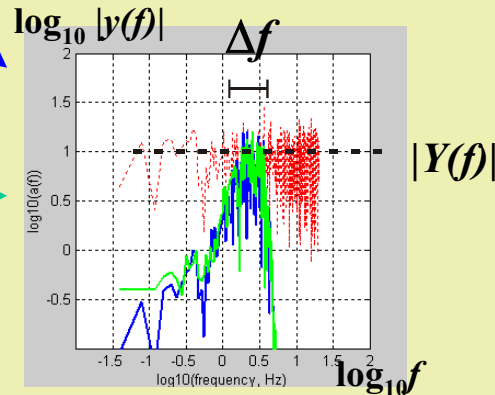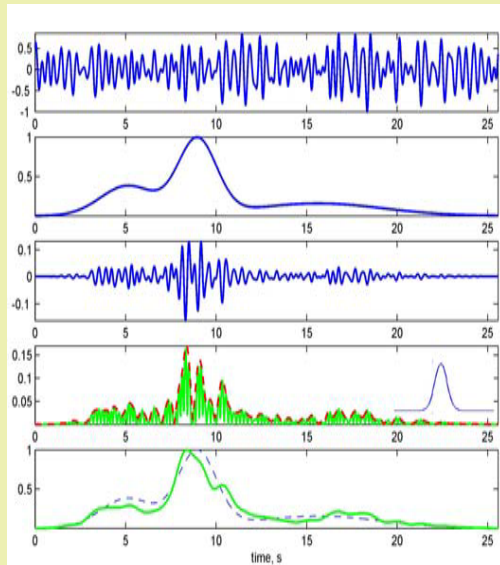 **like those derived from data**
$a_e^2(t)$: observed time history
 for power
$a_e(t)$ can be also estimated using signal peaks

"True": pertains to ENSEMBLE AVERAGE or MEAN of the process
"Observed": pertains to a single SAMPLE FUNCTION or
 a REALIZATION of the random process

Random signal, envelope, power (2)

$\log_{10} |y(f)|$ Δf

$|Y(f)|$

$\log_{10} f$

3. Denote $P(f|t)$ signal power spectrum, average over a window of length d around t Then

$$P(f|t)= 2|y(f)|^2 /d$$

1. Main signal parameters:

f_c – central frequency of a band

Δf – bandwidth (1/ Δf - time scale of "instant" power change)

$t_{drift} \approx \max(a(t))(\mathrm{d}a(t)/\mathrm{d}t)^{-1}$ – time scale of non-stationarity

T_{sm}– width of smoothing window

Condition of quasi-stationarity:
  $t_{drift} >> 1/\Delta f$

Condition on smoothing window:
  $T_{sm} >> 1/\Delta f$

2. Denote:
$|Y(f)|$ – **Fourier amplitude spectral level, average over the bandwidth Δf**
d – **signal duration (or window duration)**
y_{rms} – **rms signal amplitude over d**
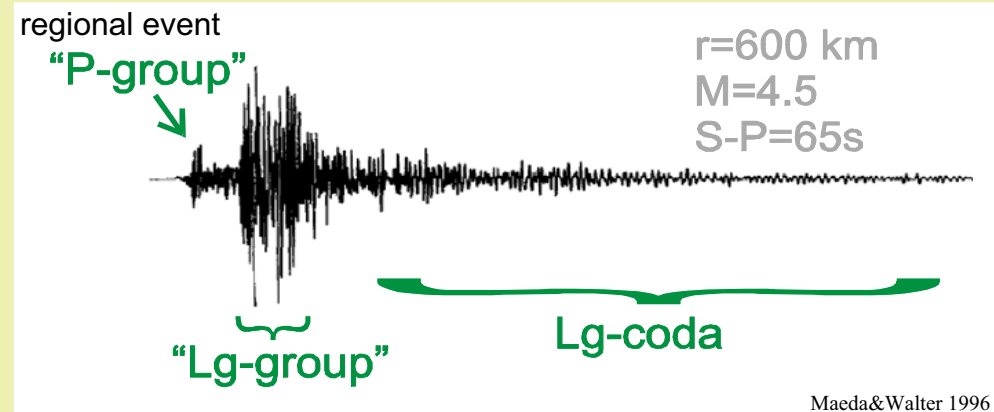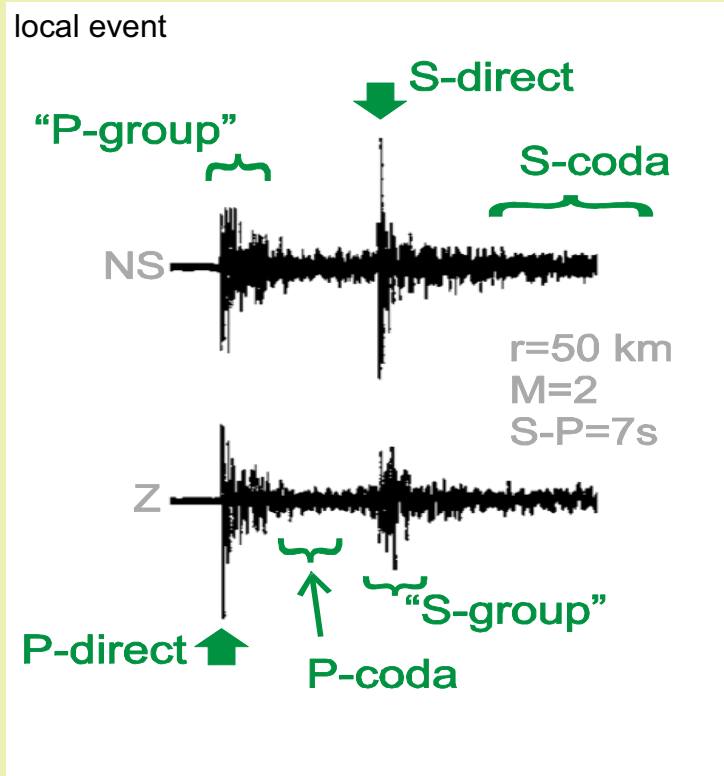
Then (Parseval's theorem):

$$2 |Y(f)|^2 \Delta f = y_{rms}^2 d$$

[permits to convert time domain to spectral domain estimates and back]

2. MORPHOLOGY
OF SCATTERED WAVES
ON THE EARTH.

CODA

Regional seismograms – examples, morphology

local event

"P-group"

S-direct

S-coda

NS

r=50 km
M=2
S-P=7s

Z

P-direct

P-coda

"S-group"

regional event

"P-group"

r=600 km
M=4.5
S-P=65s

"Lg-group"

Lg-coda

Maeda&Walter 1996

r=180 km
M=3.5
S-P=20s

"P-group"   "S-group"   S-coda

P-direct, S-direct – represent source-time-function, disappear at r=15-70 km for shallow events, short spikes for low magnitudes

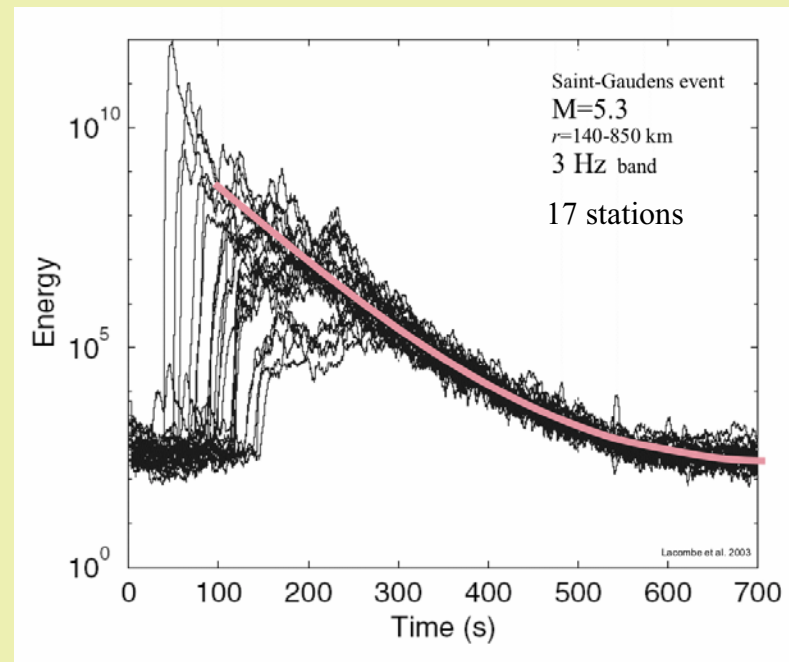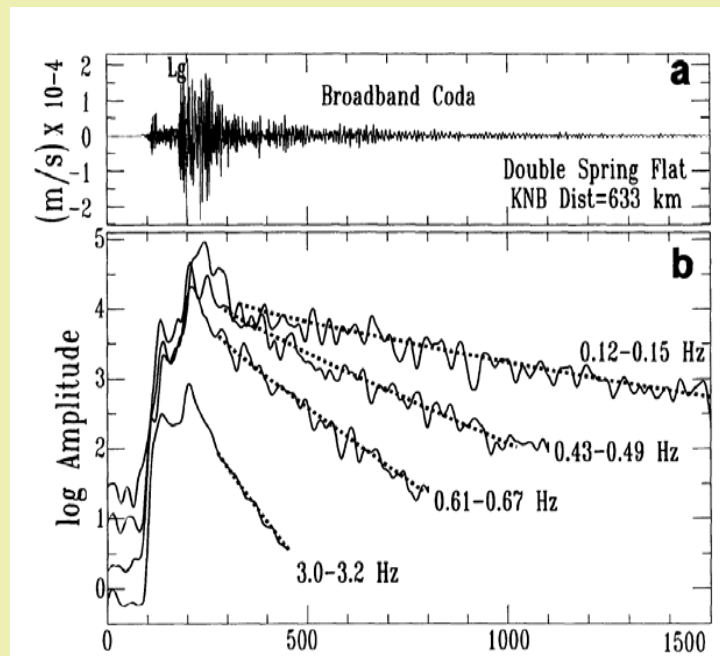**P-group** – appearance defined by medium, mix of P-direct, P-P forward scattered and P-S converted

P-coda – P-P wide-angle scattered and P-S converted

S/Lg-group – mix of S and HF surface waves, direct and forward-scattered

S/Lg-coda – S and HF surface waves, wide-angle scattered

Regional envelopes

Maeda&Walter 1996
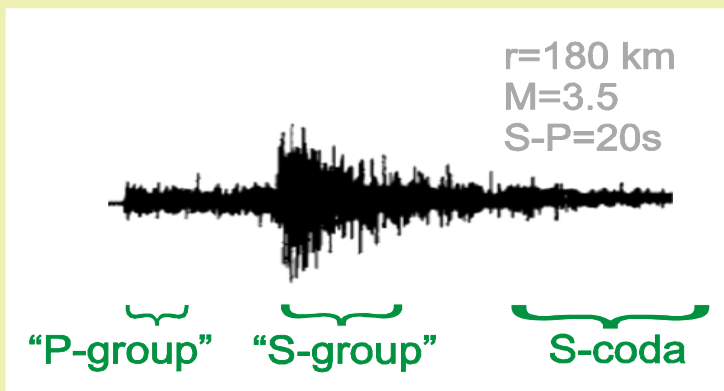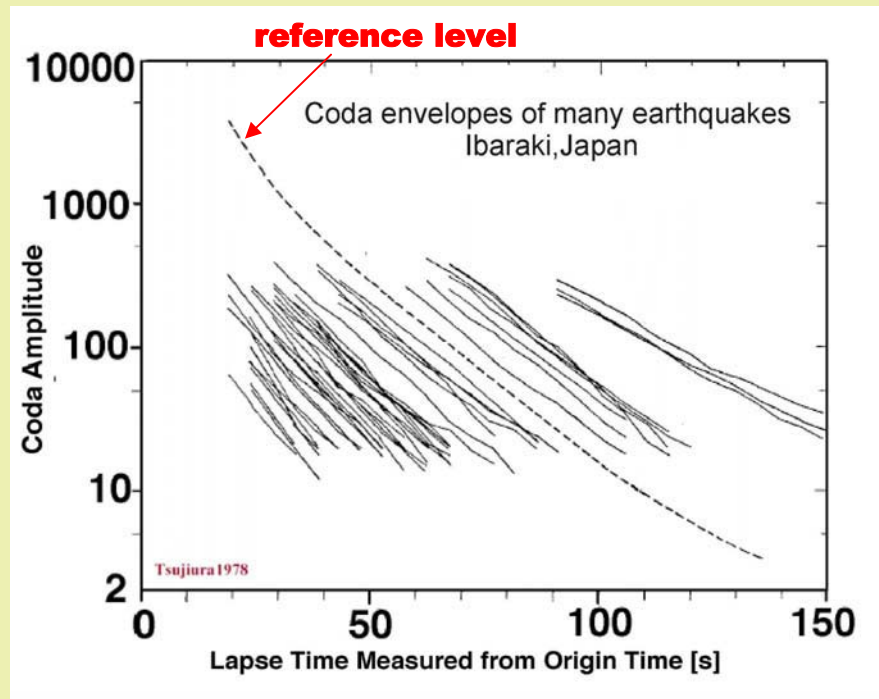
1. Envelopes from band-filtered HF records show systematic structure, first of all coda

2. To select coda, use sufficient delay, like $2t_S$ (*coda window*)

3. Coda decay is monotonous, regular, frequency dependent

4. Coda envelope is approximately station-independent
   (a certain constant factor is present, it depends on local geology, useful for site specification)

Regional coda

Coda envelopes of many earthquakes
Ibaraki, Japan

reference level

Coda Amplitude vs Lapse Time Measured from Origin Time [s]

Tsujiura 1978

Garm, Tajikistan

Rautian et al. 1981

lapse time

1. Coda envelope *shape* is approximately event-independent.

2. The scaling factor to reduce observed coda amplitude to a reference level gives (f-dependent) coda magnitude.
   After additional calibration it gives source spectrum $\dot{M}_0(f)$
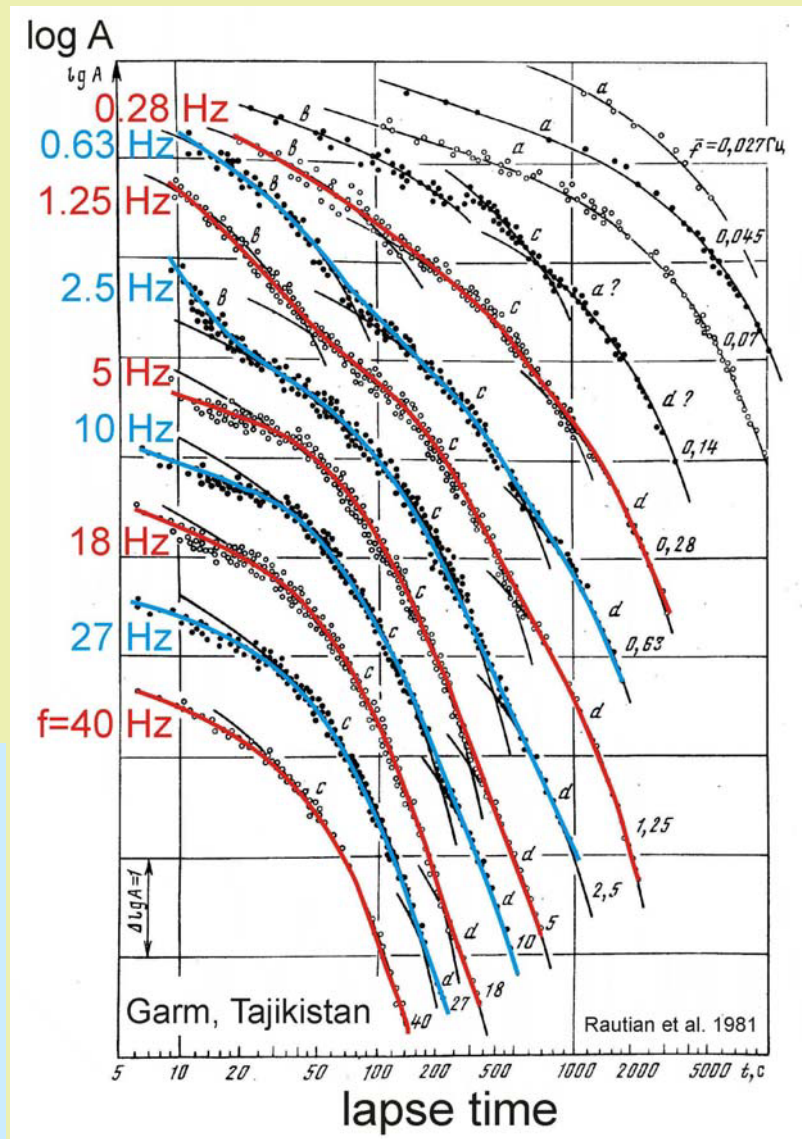
Temporal variations of coda shape and level
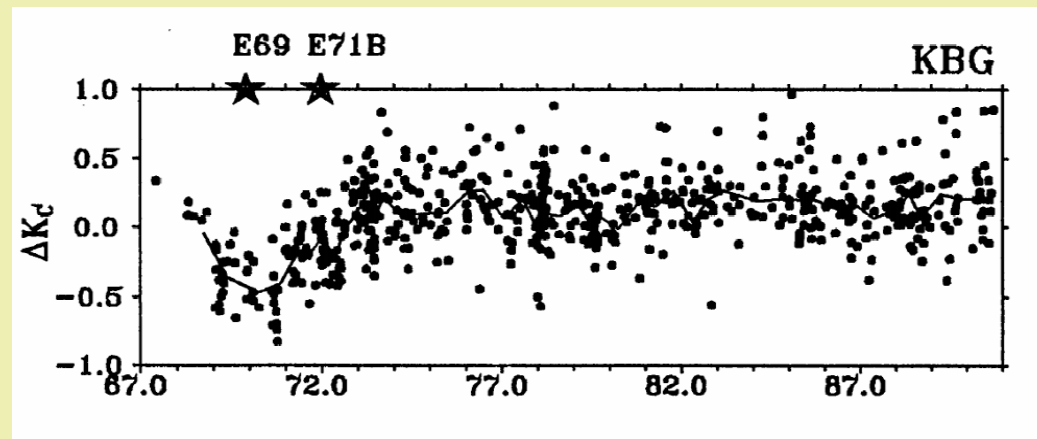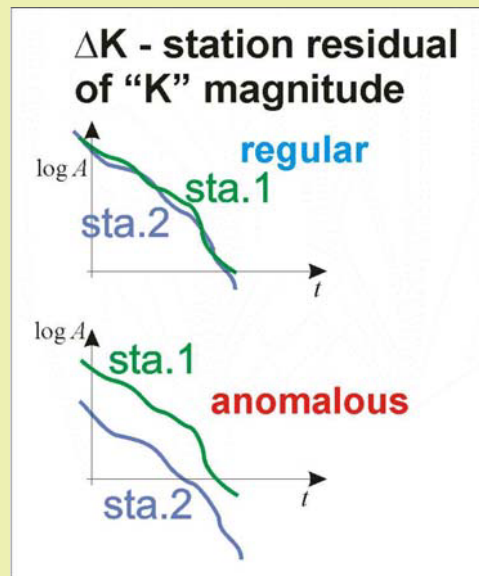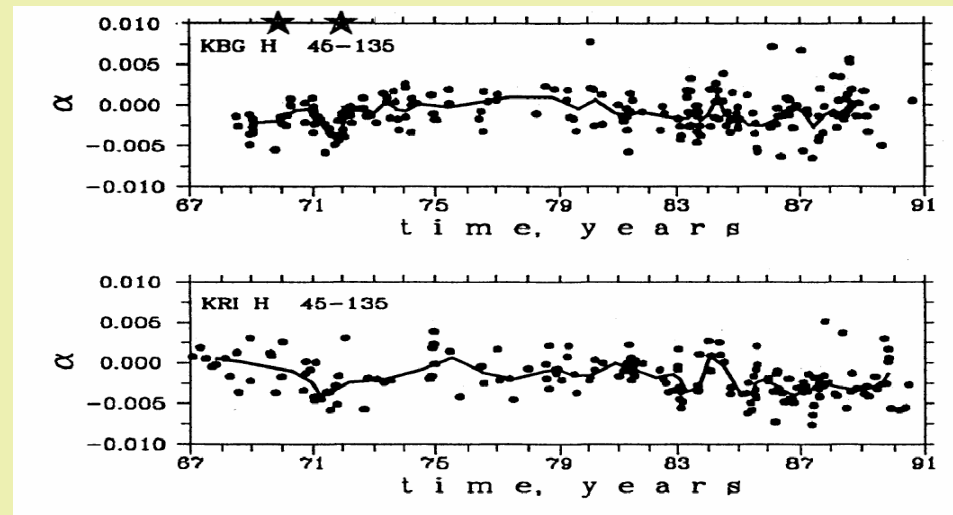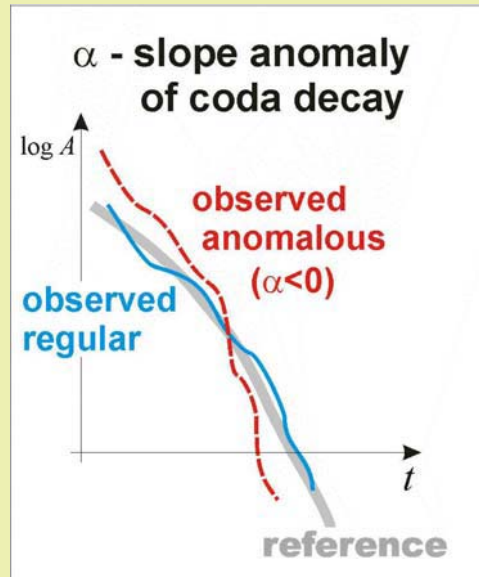
Coda magnitudes. Source spectra from coda
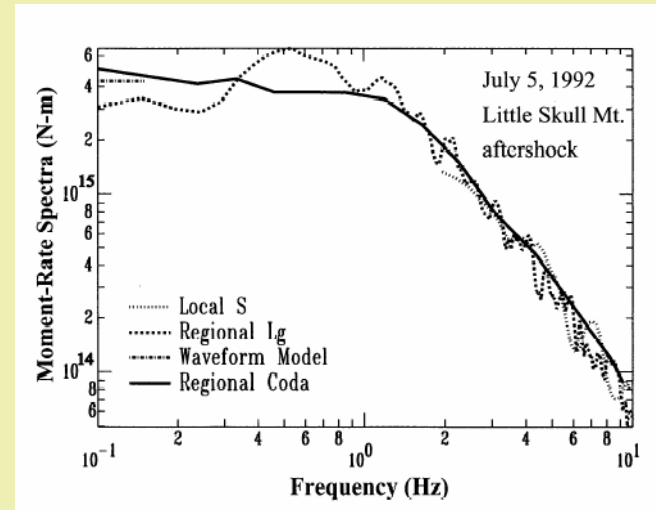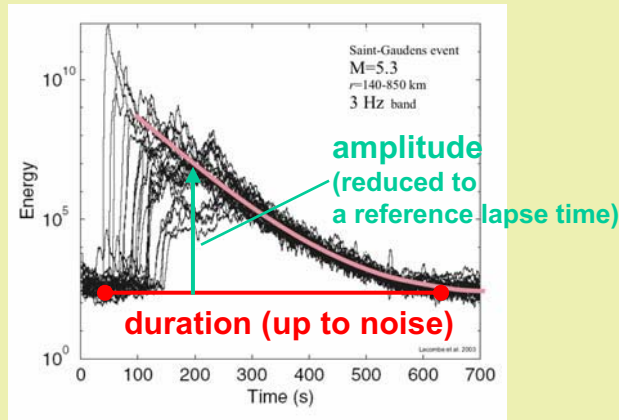
Maeda&Walter 1996

Maeda&Walter 1996

a set of coda magnitudes can be converted to an accurate source spectrum $\dot{M}_0(f)$
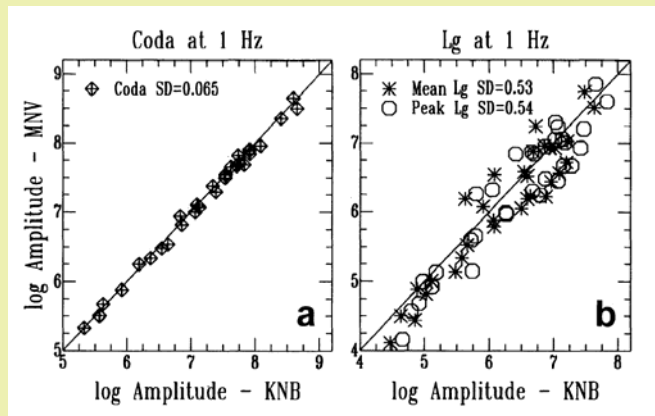
amplitude-based coda magnitude provides unsurpassed intrinsic accuracy: σ(single $\log_{10}A$ measurement)=0.05-0.1, against 0.2-0.4 for usual magnitudes
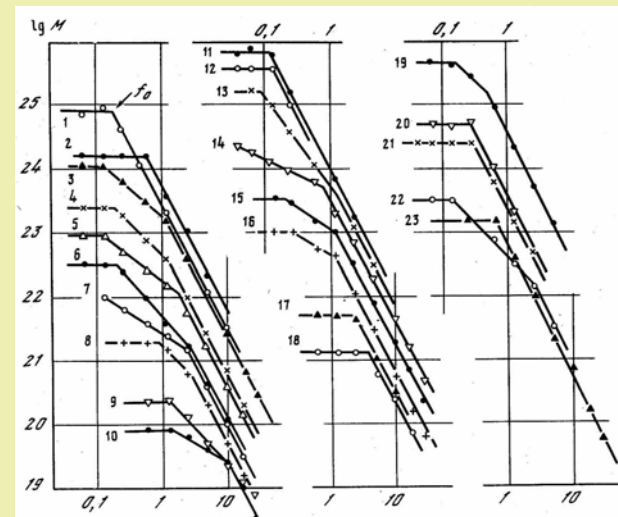
Rautian et al. 1981

Regional envelopes – body wave pulses
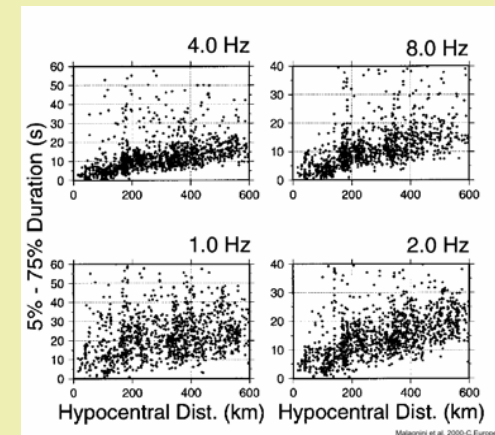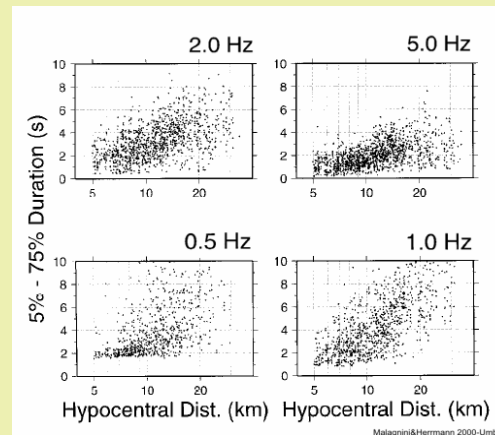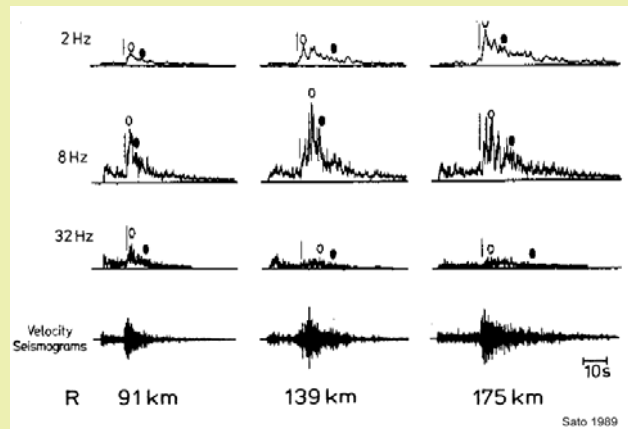
1. The duration of a body-wave group is difficult to parametrize and measure because of a very heavy coda tail. Different definitions can be based on:
   - ideally – mean *delay* of energy; in practice: onset-to-centroid or onset-to-peak time,
   - ideally - rms *width* of energy distribution, in practice: rms duration ("standard deviation") of truncated data, or "interquantile range" of energy distribution in time, like 5%-75% range.

2. The duration of a body-wave group grows with hypocentral distance in the local (0-100km) and regional (0-600km) distance ranges. Pulse broadening is seen for oblique, near-horizontal and near-vertical rays. Lg over continental paths behaves differently, with saturation of duration.

Regional envelopes as a whole

Rautian et al. 1981
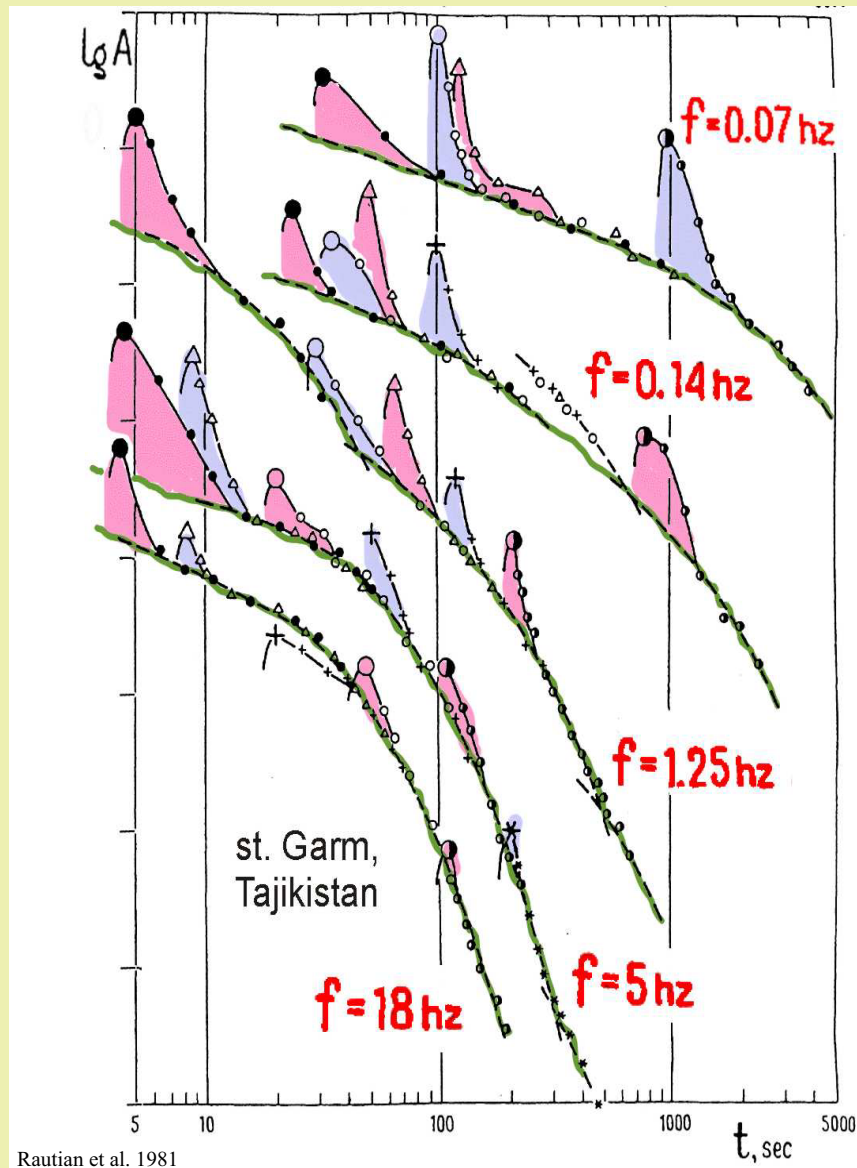
Over 20-30 to 500-1000 km distance range, S-wave group of increasing, medium-related duration is seen.

Typically S wave amplitudes are *above* coda asymptote.
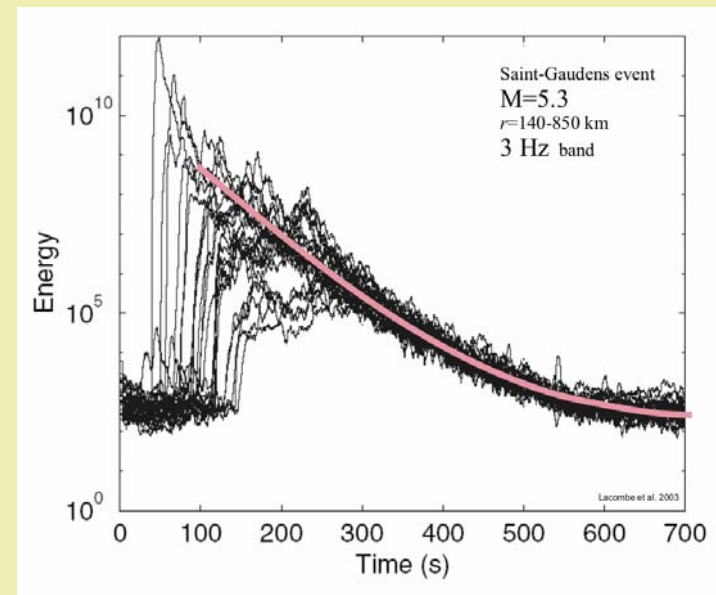
Diffusive envelopes – lunar, volcanic

lunar seismograms
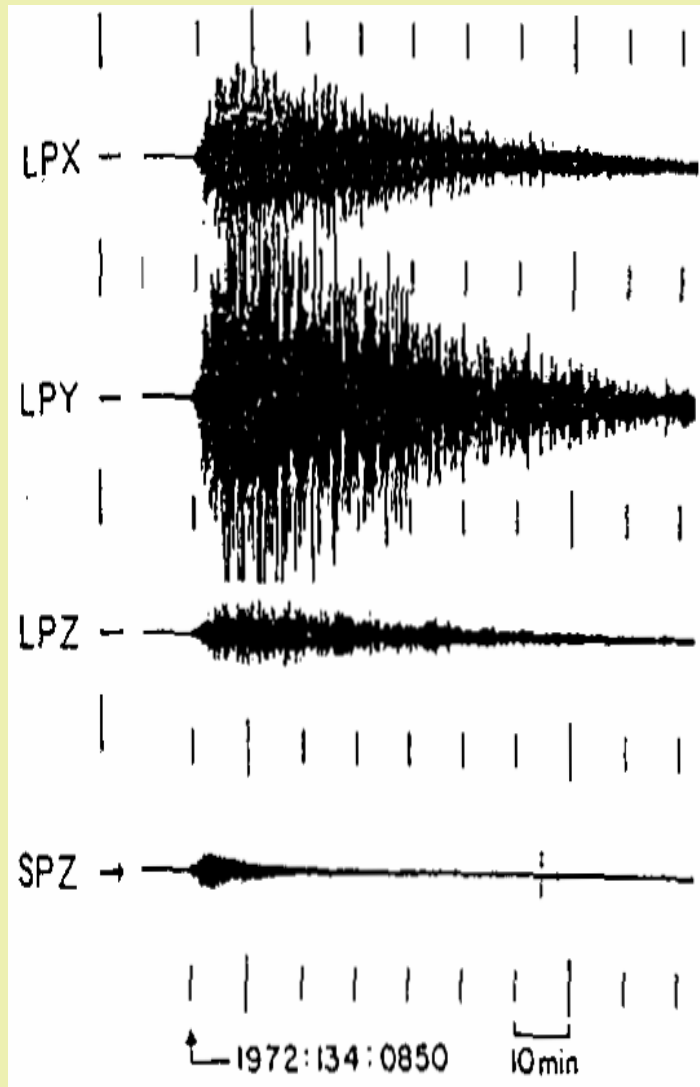
B-type event on Merapi volcano
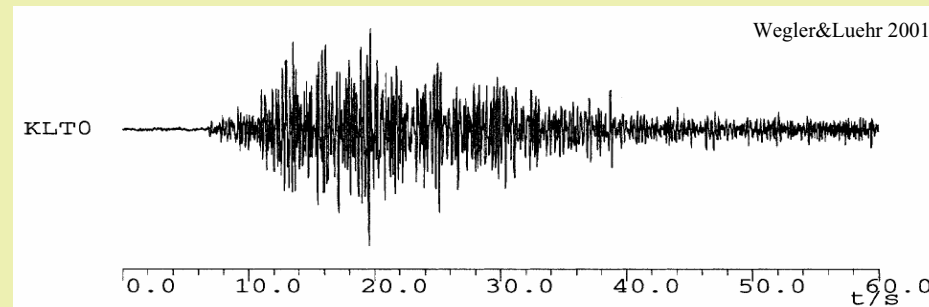
Spindle-like envelopes are characteristic for lunar seismograms and also for shallow events near volcanoes ("Minakami B-type events").

One sees very emergent onset, no direct P or S wave arrivals, no clear P or S wave group. Coda is clear and stable.

Such a picture is associated with wave energy diffusion in the medium (relatively very strong scattering).

(Contribution of source duration negligible)

3. THEORY.
RANDOM SCATTERERS
or
RANDOM INHOMOGENEITY

Theory. Scattering coefficient or turbidity

scattered waves $E_0 \alpha dL$

E_0

incident
wave
energy

dL

$E_0(1 - (\alpha + \alpha_i)\, dL)$

transmitted wave
energy
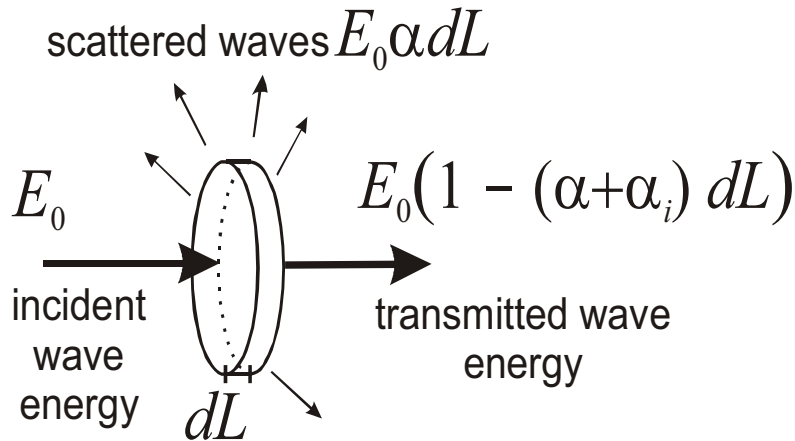
integrating loss along incident ray:

E_0

$E = E_0 e^{-(\alpha + \alpha_i)L}$

L
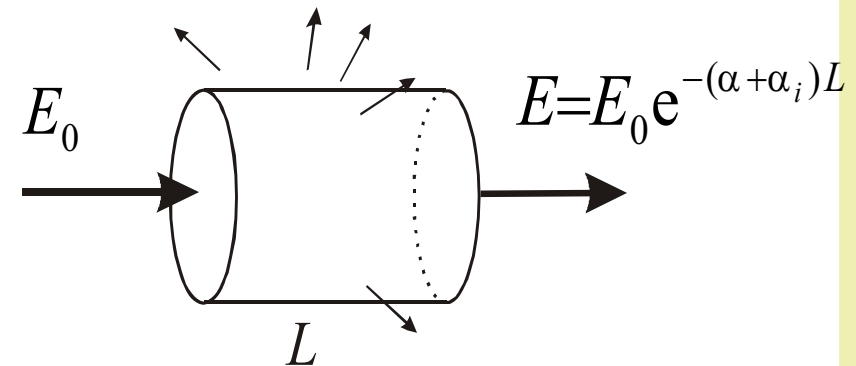
α - scattering coefficient or turbidity (also α_s, also g)
   fractional loss of energy to scattering, per 1 km
   probability of scattering per 1 km
   units: km^{-1}

α_i - absorption coefficient
   fractional intrinsic/inelastic loss, per 1 km

$\alpha_t = \alpha + \alpha_i$ - attenuation/extinction coefficient
   fractional *total* loss, per 1 km

Dimensionless quality factors Q are defined:
Q^{-1} = fractional loss per (wavelength/2π)
so that
  $\alpha_s = 2\pi f/cQ_s$ $\alpha_i = 2\pi f/cQ_i$ $\alpha_t = 2\pi f/cQ_t$
and: $1/Q_t = 1/Q_s + 1/Q_i$

for a beam of particles:
   α is the probability of scattering per 1 km;
hence:
   Mean Free Path: $l = 1/\alpha$ [km]

Angular distribution of scattered energy.
Phase function or indicatrix (1)

$$\alpha = \int_{\Omega} \alpha_{diff}(\Omega)d\Omega$$

$d\Omega$
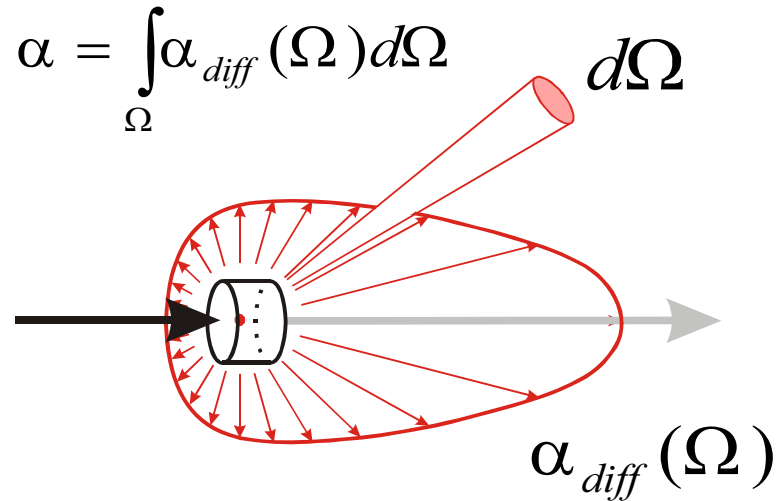
$\alpha_{diff}(\Omega)$

$\alpha_{diff}(\Omega)$ - differential scattering coefficient,
   fractional scattering loss
   per km per unit solid angle
   (per steradian)

$\phi(\Omega) = \alpha_{diff}(\Omega)/\alpha$ - indicatrix or phase function

$$1 = \int_{\Omega} \phi(\Omega)d\Omega$$

$\phi(\Omega)$ can be treated as probability density
for a scattered particle to select a particular position
on a distant sphere around the scattering subvolume

$\vec{m}$

θ

$\vec{n}$

general case,

$$\phi() = \phi(\Omega_n, \Omega_m) \Rightarrow \phi(\vec{n}, \vec{m})$$

$$scattering\ angle:$$

$$\theta = arccos(\vec{m} \cdot \vec{n})$$
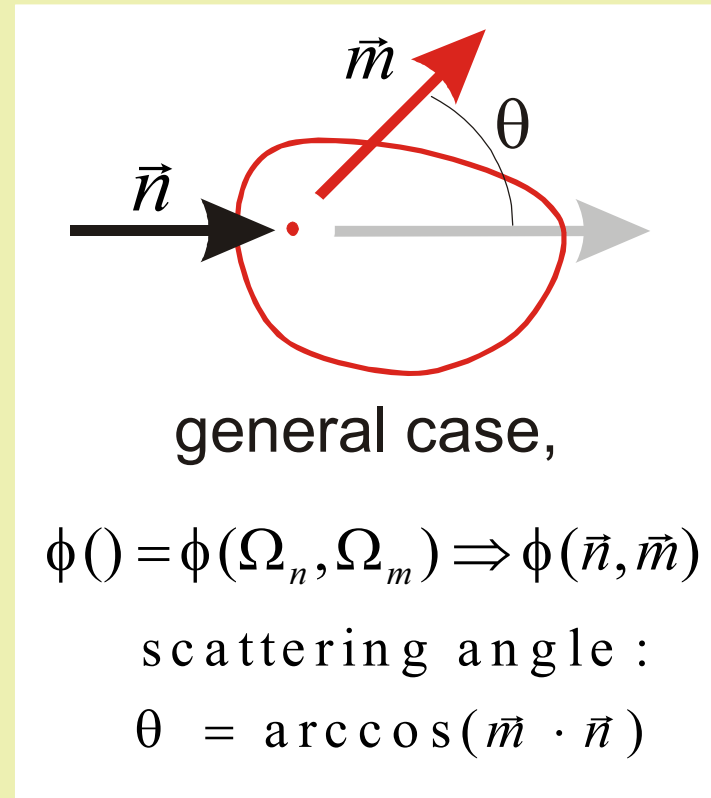
Phase function (continued)
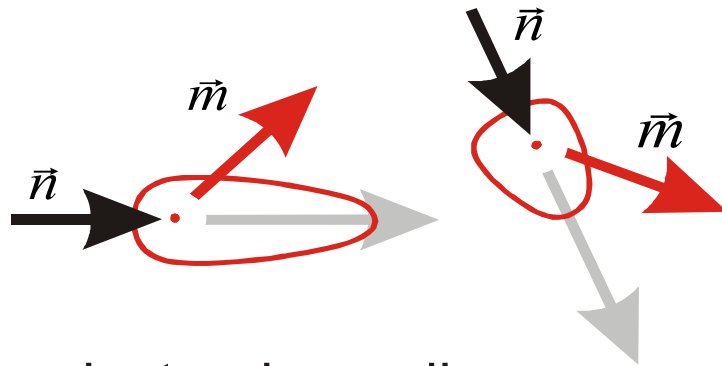
anisotropic-medium case
(anisotropic w.r.t. N-E-Z reference,
seems adequate e.g. for layered crust)
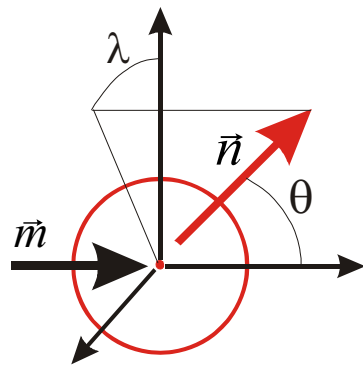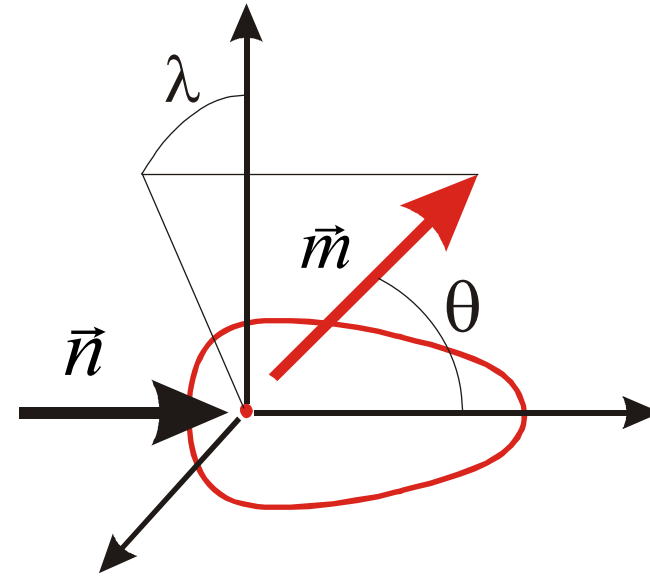
$$\phi(\vec{n}, \vec{m}) \Rightarrow \phi(\cos(\vec{n}, \vec{m})) \Rightarrow \phi(\theta)$$

non-isotropic,
or anisotropic
("ray-anisotropic")
case, axisymmetrical
("isotropic-medium" case, with statistically
isotropic medium;
no isotropy w.r.t. incident ray direction)

$$\phi(\vec{m}, \vec{n}) = const = \frac{1}{4\pi}$$

isotropic-medium and ray-isotropic case
the simplest case

Equations of radiative transfer (stationary case)

Define $I_s(r,n)$
- scattered radiation intensity at r along n
as: $dP_s = I_s(r,n)\,d\Omega_n$
where dP_s is scattered wave power propagating from r, along n, into a cone with a solid angle $d\Omega_n$

Similarly, define $I_0(r,n)$
- direct ("ballistic") radiation intensity at r along n
(from a certain source).
For the case of a point source, assume that a ray from it is along n at r.

(all this with respect to radiation in a certain frequency band Δf)

$I_s(r,m)+I_0(r,m)$

scattered to scattered   scattered from both   direct to scattered
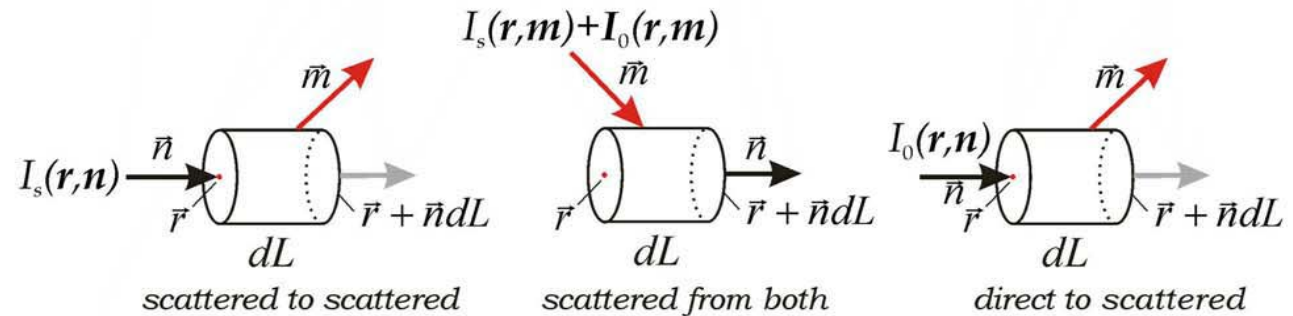
$I_s(r+ndl,\ n) - I_s(r,n) = -dI_1 + dI_2 = \text{(loss)} + \text{(gain)}$

loss: $dI_1 = \alpha I_s(r,n)dL + \alpha_i I_s(r,n)dL$ [scatt.+intr.],

(here α is the sum over all m !)

gain: $\displaystyle dI_2 = \alpha \int_{4\pi} \big(I_s(r,m)+I_0(r,m)\big)\phi(m,n)d\Omega_m$

and similarly for I_0, giving:

$$\frac{dI_s(r,n)}{dL} = -\alpha I_s(r,n) - \alpha_i I_s(r,n) + \alpha \int_{4\pi} \big(I_s(r,m)+I_0(r,m)\big)\phi(m,n)d\Omega_m$$

$$\frac{dI_0(r,n)}{dL} = -\alpha I_0(r,n) - \alpha_i I_0(r,n)$$

$\left(\text{in the non-stationary case, use } I_s = I_s(r,t,n),\ \text{and } \dfrac{d}{dL} = n\nabla + \dfrac{1}{c}\dfrac{\partial}{\partial t}\ \text{instead of } \dfrac{d}{dL}\right)$

Isotropic scattering case: general
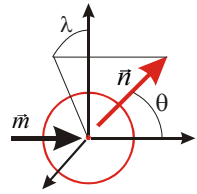
$$\phi(\bar{m}, \bar{n}) = const = \frac{1}{4\pi}$$

isotropic-medium and ray-isotropic case
the simplest case

consider the simplest case:
- **instant point source flashing at *t*=0,**
- **unit source energy**
  in the frequency band (*f-Δf, f+Δf*);
- **acoustic/scalar waves:**
  no conversion, no polarization
- **isortopic scattering**

DEFINITIONS

basic parameters:

r	source to receiver distance;
c	body wave speed (in applications, mostly S-wave speed);
$f, \Delta f$	wave frequency and bandwidth; $\omega = 2\pi f$
$\lambda = c/f$	wavelength
$k = 2\pi/\lambda = \omega/c$	wavenumber
$P(r, t)$	wave intensity in the same band (omnidirectional);
$P_c(t)$	coda intensity: $P(r, t) \to P_c(t)$ when $t \gg r/c$
l	mean free path
$t^* = l/c,$	mean free time
Q	quality factor due to scattering $(Q = \omega t^*)$

dimensionless / scaled parameters:

$\rho \equiv r/l$	scaled distance
$\tau \equiv cr/l = t/t^*$	scaled lapse time
$i(\rho, \tau), i_c(\tau)$	scaled scattered intensity (3D, use l^2 for 2D):

$$i(\rho, \tau) = \left(l^3 / c \right) P(r, t)$$

$$i_c(\tau) \equiv i(0, \tau) \qquad \text{scaled coda intensity:}$$

OMNIDIRECTIONAL WAVE INTENSITY

$$P_s(\boldsymbol{r}, t) = \int_{4\pi} I_s(\boldsymbol{r}, t, \boldsymbol{n}) d\Omega_n \qquad \text{scattered}$$

$$P(\boldsymbol{r}, t) = \int_{4\pi} \left(I_0(\boldsymbol{r}, t, \boldsymbol{n}) + I_s(\boldsymbol{r}, t, \boldsymbol{n}) \right) d\Omega_n \qquad \text{total}$$

Isotropic scattering case: SIS

ρ « 1, τ « 1

Single (isotropic) scattering model - SIS

(single= Born approximation):,

$$i^{SIS}(\rho, \tau) = \frac{1}{4\pi\rho\tau} \ln\left(\frac{\tau + \rho}{\tau - \rho}\right)$$

$$i_c^{SIS}(\tau) = \frac{1}{2\pi\tau^2}$$
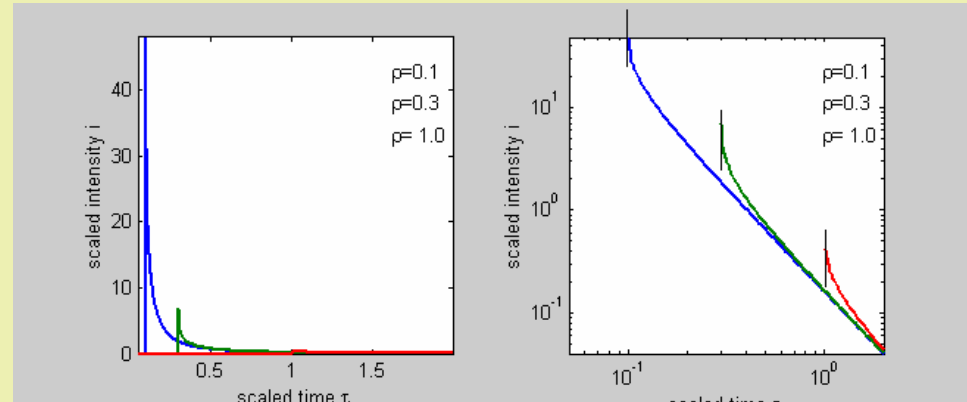
Main properties:

A. "positive" [fit regional waveforms]

1. Clear coda
2. Clear coda asymptote
3. Pulse envelope approaches coda asymptote from above

B. "negative" [contradict regional waveforms]

1. Spike-like arrival, no pulse broadening with distance
2. Inaccurate at $\rho \cong 1$ or more
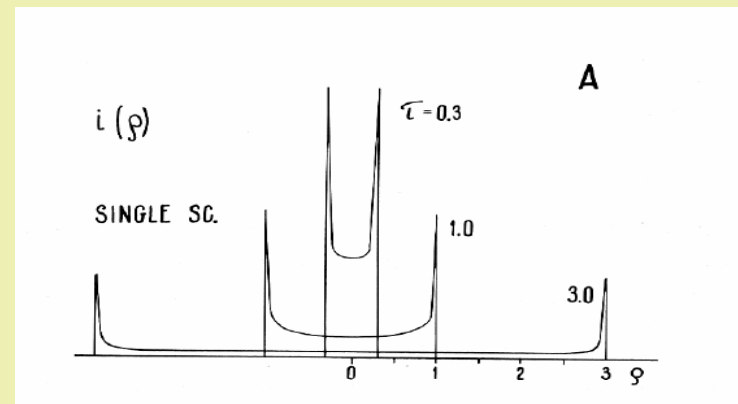
"Coda-Q" determination:

fit the observed coda shape selecting Q_c in the equation

$$I_c^{SIS}(t) = \frac{\exp(-2\pi f t / Q_C)}{2\pi c l t^2}$$

Isotropic scattering case: diffusion approximation

$\tau \gg 1$, any ρ

Diffusion isotropic scattering model – DIS

$$i^{DIS}(\rho,\tau) = \frac{1}{(4/3\pi\tau)^{3/2}} \exp\left(-\frac{\rho^2}{4/3\tau}\right)$$

$$i_c^{DIS}(\tau) = \frac{1}{(4/3\pi\tau)^{3/2}}$$

the solution of parabolic/diffusion equation
for wave energy density $E(r,t)=P(r,t)/c$:
$$\partial E/\partial t = D\nabla^2 E$$
where $D=lc/3$ in 3-dim.case (or $lc/2$ in 2D)
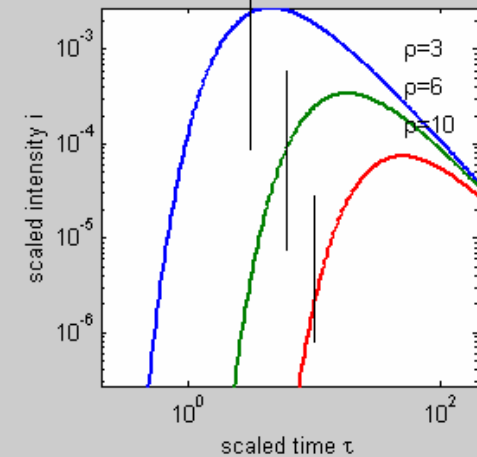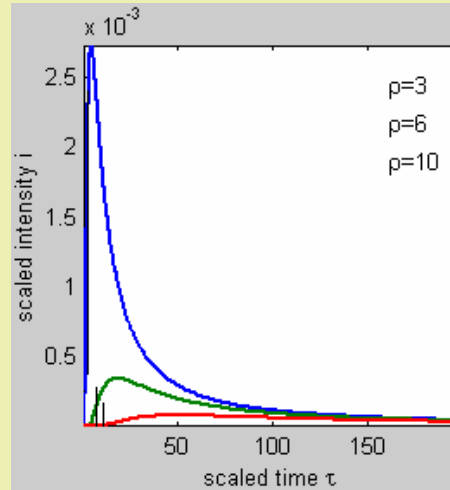
Main properties:

A. "positive" [fit regional waveforms]
1. Clear coda, clear coda asymptote
2. "Pulse" broadens with distance

B. "negative" [contradict regional waveforms]
1. "Pulse" envelope approaches
   coda asymptote from below
2. Weak arrival
3. "Pulse" is too long
4. In space, energy concentrates around
   the source
5. Bad model at $\rho \cong 2$ or less

C. conclusion: Cannot fit regional
waveforms (however proves useful for lunar
and volcanic data)
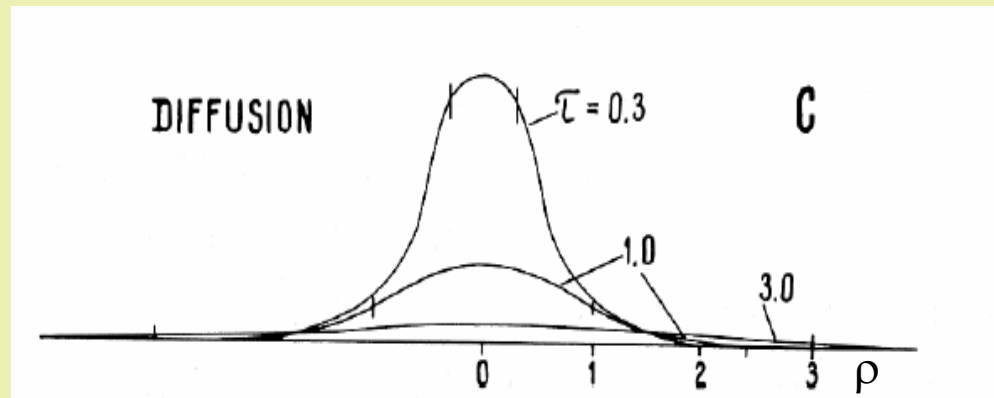
Isotropic scattering case: multiple

Multiple isotropic scattering model - MIS

any τ, any ρ

$$i^{MIS}(\rho,\tau) = <\!< \frac{\text{Numerical MC model (Gusev \& Abubakirov 1987)}}{\text{Analytical series representation (Zeng et al.1991)}} >\!>$$

$$i_c^{MIS}(\rho,\tau) \cong \frac{1}{2\pi\tau^2}\left[1+\left(\frac{27}{16\pi}\tau\right)^x\right]^{1/2x} ; \quad x = 1.10 \quad \text{(Abubakirov \& Gusev 1990)}$$
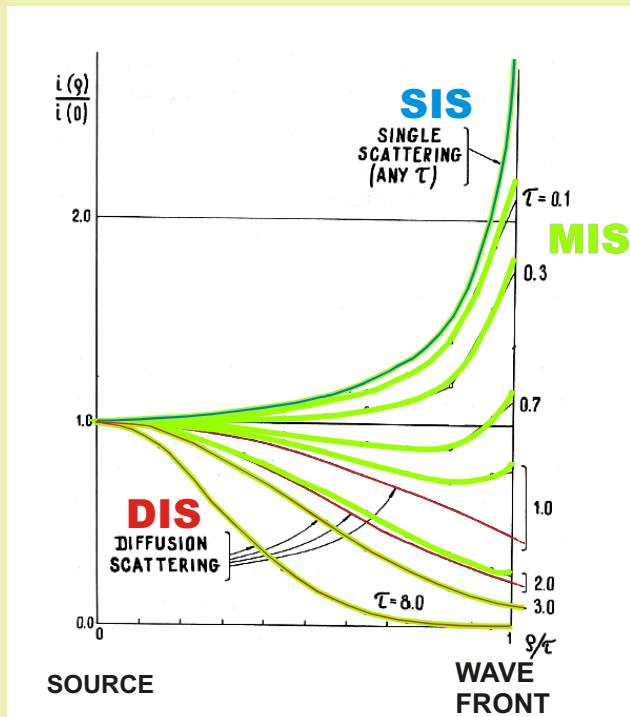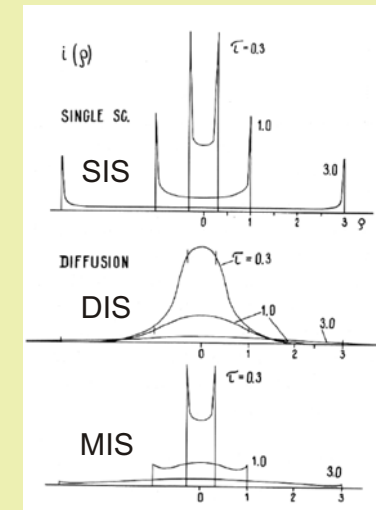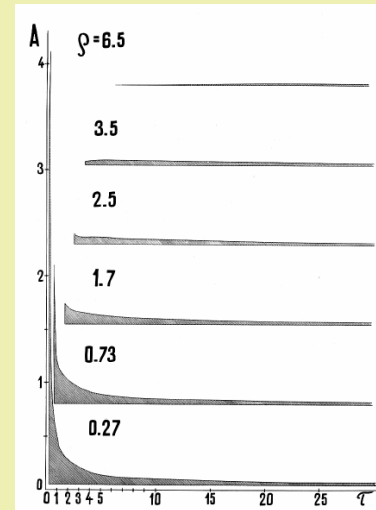
Main properties of MIS model:

A. *"realistic"*: *fit regional waveforms*

1. Clear coda & coda asymptote

B. *"non-realistic"*: *contradict regional waveforms*

1. Spike-like arrival,

no realistic pulse broadening with distance

Multiple non-isotropic scattering (MNIS) in general
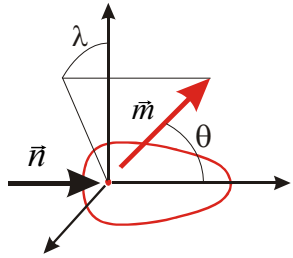
$$\phi(\vec{n},\vec{m}) \Rightarrow \phi(\cos(\vec{n},\vec{m})) \Rightarrow \phi(\theta)$$

non-isotropic,
or anisotropic
("ray-anisotropic")
case, axisymmetrical
("isotropic-medium" case, with statistically
isotropic medium;
no isotropy w.r.t. incident ray direction)

Instead of a single $l \equiv$ MFP in the isotropic case, two characteristic lengths:
(1) l_n - "non-isotropic", "true" MFP,
(2) l - transport MFP, defined through diffusion asymptotics ($t \rightarrow \infty$) as $l = 3D/c$ (in 3-dim. case)

dimensionless / scaled parameters:

$\rho \equiv r/l$	scaled distance ("transport")
$\tau \equiv cr/l = t/t^*$	scaled lapse time ("transport")
$\rho_n \equiv r/l_n$	scaled distance ("common, true")
$\tau_n \equiv crt/l_n = t/t_n^*$	scaled lapse time ("common, true")
$i(\rho,\tau),\ i_c(\tau)$	scaled scattered intensity

(3D, use l^2 for 2D):

$$i(\rho,\tau) = i\left(\frac{r}{l},\frac{t}{t^*}\right) = \left(\frac{l^3}{c}\right)P(r,t)$$

scaled coda intensity:

$$i_c(\tau) \equiv i(0,\tau)$$

MORE DEFINITIONS

basic parameters:

$l,\ t^* = l/c,$ redefined as transport mean free path, and transport mean free time (compatible with the previous definition)

$l_n,\ t_n^* = l_n/c,$ (common) mean free path, and mean free time

Q transport quality factor due to scattering $(Q = \omega t^* = 2\pi l/\lambda)$;

Q_n (common) quality factor due to scattering $(Q_n = \omega t_n^* = 2\pi l_n/\lambda)$;

KEY FORMULA FOR TRANSPORT MFP

$$l = \frac{l_n}{1 - <\cos\theta>}$$

where

$$<\cos\theta> = \int_{4\pi}\phi(\Omega)\cos\theta\, d\Omega =$$

$$= \int_0^\pi \int_0^{2\pi} \phi'(\theta)\cos\theta\sin\theta\, d\lambda\, d\theta$$

Typical value for the Earth's lithosphere: $l \equiv$ MFP=100 km; thus **ρ is order of unity** for local/regional observations.

Multiple low-angle scattering: a good example of MNIS
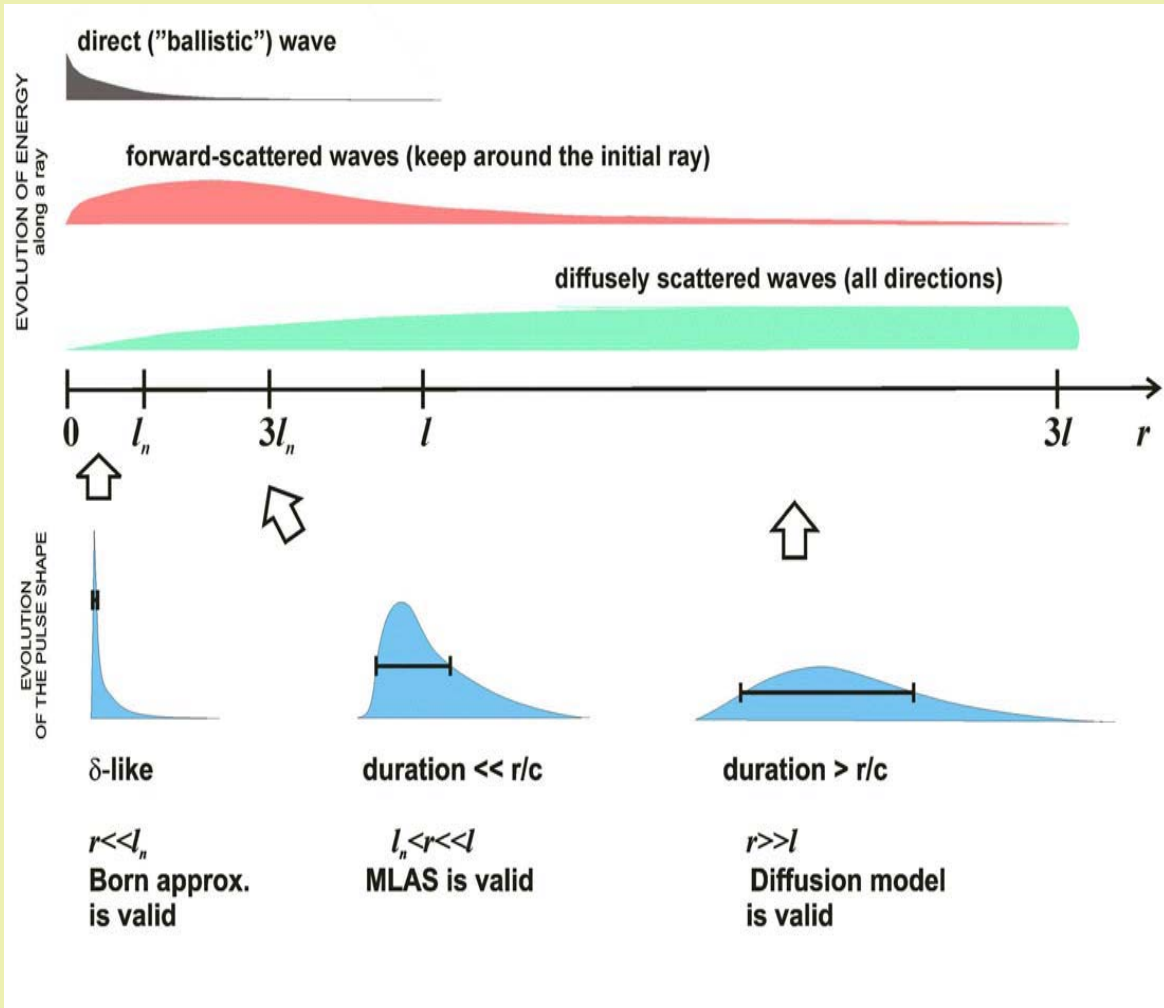
FORWARD-ENHANCED (NARROW) PHASE FUNCTION

$$<\theta^2> \ll 1$$

$$l_n/l = 1 - <\cos\theta> \approx <\theta^2>/2 \ll 1$$

DEFINITIONS

OF scattering-Q :

standard:

$$Q = 2\pi l_n/\lambda$$

(direct $\rightarrow$
   $\rightarrow$ forward-scattered)

in seismology, in practice

$$Q = 2\pi l/\lambda$$

(direct +forward-scattered$\rightarrow$
   $\rightarrow$diffusely-scattered)
[related to the habit to integrate
 entire "body-wave group"
as *direct wave*]

Multiple low-angle scattering(2)

$$\langle T \rangle = \int_{t_d}^{\infty}(t - t_d)E(t)dt \Big/ \int_{t_d}^{\infty}E(t)dt$$

MEAN DELAY= $t(\text{pulse centroid}) - t(\text{onset})$
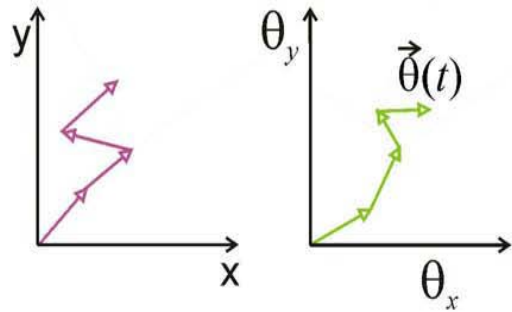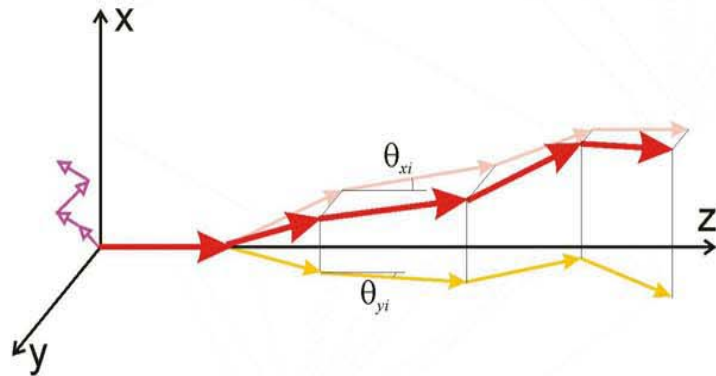
Assume

$\langle \theta_{xi}^2 \rangle < \infty$

$\langle \theta_{yi}^2 \rangle < \infty$

then $\vec{\theta}(t)$ is a Brownian motion

$$\langle T \rangle = \frac{r^2}{6cl}$$

$$\tau_a = \frac{\langle T \rangle}{t^*} = \frac{1}{6}\rho^2$$

Multiple non-isotropic scattering – simulation

Monte-Carlo simulation:
the standard technique
to solve real
radiative transport
problems.
No ready analytic solution
exists
for multiple non-isotropic
scattering
even in the case of
uniform-space geometry
and isotropic–medium
phase function

EXAMPLE

2D, τ=0.7, N=500
source:
needle-like radiation pattern
along +x

phase function:
isotropic

phase function:
$(<\theta^2>)^{0.5}=\sigma=10^o$

2D, isotropic, tau=0.70, $I_e=I_o$, N=500

2D, sigma=10°, tau=0.70, I_e/I_o=33, N=500
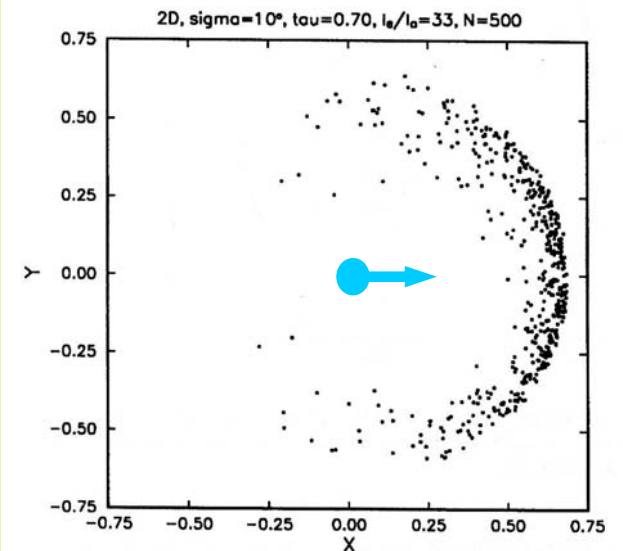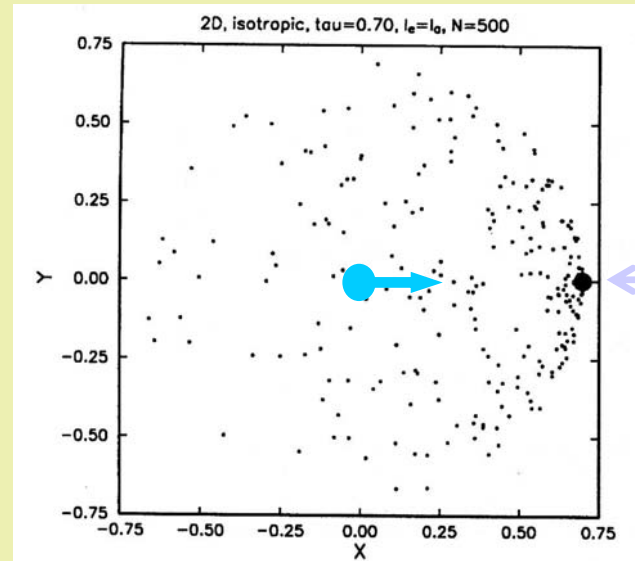
ballistic/direct component

Multiple non-isotropic scattering – simulated envelopes
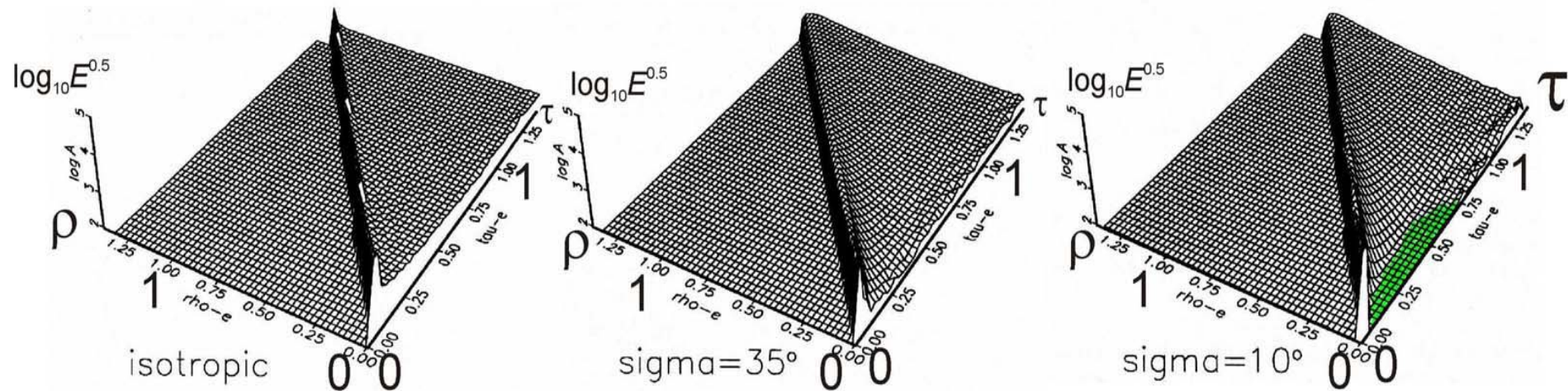
Isotropic scattering case:

spike-like energy pulse – no broadening, completely unrealistic

well-formed, monotonous, believable coda

Moderately elongated phase function ($\sigma=35°$):

acceptably broadening energy pulse

marginally acceptable coda with no minimum

Narrow phase function ($\sigma=10°$):

well-formed, broadening energy pulse

coda with minimum, completely unrealistic

CONCLUSION: Both isotropic-scattering and MLAS models do not work. Real phase function must be moderately elongated
(note that this behavior is characteristic over a very wide range of frequencies!)

Which parameter specifies the scattering properties of the Earth's medium?

Three modes of analysis of observed signals are used to extract scattering properties of the Earth's medium:

(1) The ratio of coda amplitude to S-wave pulse amplitude gives
l - transport MFP

{traditionally, viewed at as "back-scattering MFP" or "isotropic-scattering MFP"}

[in an improved form, works as a part of MLTWA]

(2) The rate of S-wave pulse energy attenuation with distance gives
Q_{total} , related again to *l* - transport MFP

{traditionally, the "scattering part" of Q_{total}^{-1} is treated as "the" scattering Q^{-1} and associated with "isotropic-scattering MFP"}

[in a modified form, works as a part of MLTWA]

(3) The rate of pulse broadening with distance gives
l - transport MFP

No technique has been proposed in seismology to determine l_n - true MFP

and there are theoretical obstacles that complicate such a determination

Certain confusion arises from using isotropic scattering model in the interpretation of observations

whereas in the Earth, the phase function is definitively forward-enhanced

In reality, most techniques that aimed at determination of MFP (or scattering Q), yield *transport* MFP

CONCLUSION:
one can continue to use the usual "seismological" scattering-Q parameter

but should keep in mind that it essentially related to *l* - transport MFP, and *not* to l_n - true MFP

Commonly used models for random inhomogeneity field in the lithosphere, and related phase functions

Random medium - the simplest set of assumptions

(for the Earth, essentially, each assumption is an oversimplification)

Waves are *acoustic/scalar*:
$$c(x) = c_o(1+\varepsilon'(x))$$

Inhomogeneity is *weak* :
$$\varepsilon'(x) \ll 1$$

Inhomogeneity is *Gaussian* - can be described by ACF:
$$\mathrm{Cov}(\varepsilon'(x), \varepsilon'(y))$$

Inhomogeneity is *stationary*:
$$\mathrm{Cov}(\varepsilon'(y), \varepsilon'(y+x)) =$$
$$= \sigma_\varepsilon^2 R'(x)$$

Inhomogeneity is *isotropic*:
$$\mathrm{Cov}(\varepsilon'(y), \varepsilon'(y+x)) =$$
$$= \sigma_\varepsilon^2 R'(x) = \sigma_\varepsilon^2 R(|x|) =$$
$$= \sigma_\varepsilon^2 R'(r)$$

Case	ACF	POWER SPECTRUM $k'=\lvert k' \rvert$ is related to FT[$\varepsilon(x)$ of *medium*]	PHASE FUNCTION $k=\lvert k \rvert = \omega/c$ is related to *propagating waves*
General	$R(r)$	$\tilde{R}(k')$	$\phi(\theta) \propto k^4 \tilde{R}(2k\sin(\theta/2))$
Gaussian ACF	$\exp(-r^2/a^2)$	$\propto \exp\left(-(k'a)^2/4\right)$	$\phi(\theta) = \dfrac{\exp((\cos\theta-1)/\sigma^2)}{2\pi\sigma^2(1-\exp(-2/\sigma^2))}$ where $\sigma^2 = 2/(ka)^2$
self-affine	diverges at $r=\infty$	$k'^{-\alpha}$	$\propto \left(\sin(\theta/2)\right)^{-\alpha}$ diverges at $\theta=0$
Von Karman *)	$\propto \left(\dfrac{r}{a}\right)^\kappa K_\kappa\left(\dfrac{r}{a}\right)$	$\propto \dfrac{1}{(1+a^2 k'^2)^{\kappa+3/2}}$ $\approx k'^{-(2\kappa+3)}$ when $k' \gg 1/a$	$\propto k^2(1+4a^2k^2\sin^2(\theta/2))^{-(\kappa+3/2)}$ $\approx \sin(\theta/2)^{-(2\kappa+3)}$ as $k \gg 1/a$ (i.e. at not very small θ)

*) reduces to exponential-ACF case at $\kappa=0.5$

Two commonly used models of random inhomogeneity - pictures

Gaussian
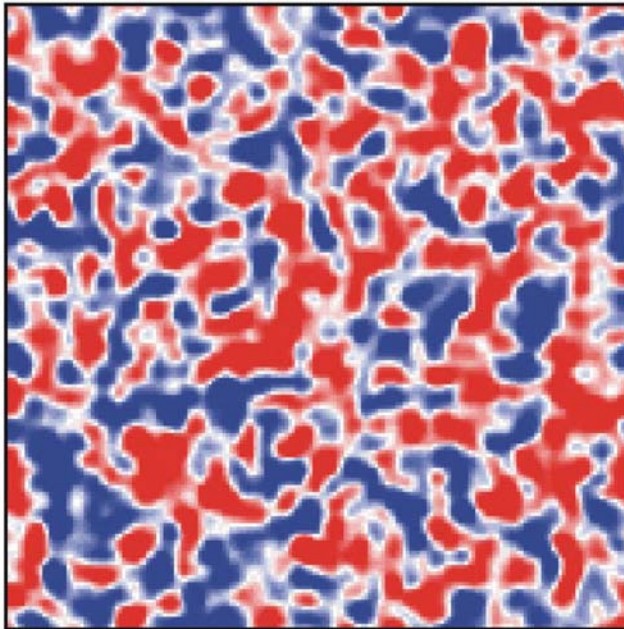
Von Karman at κ=0.5 ≡ Exponential

single scale
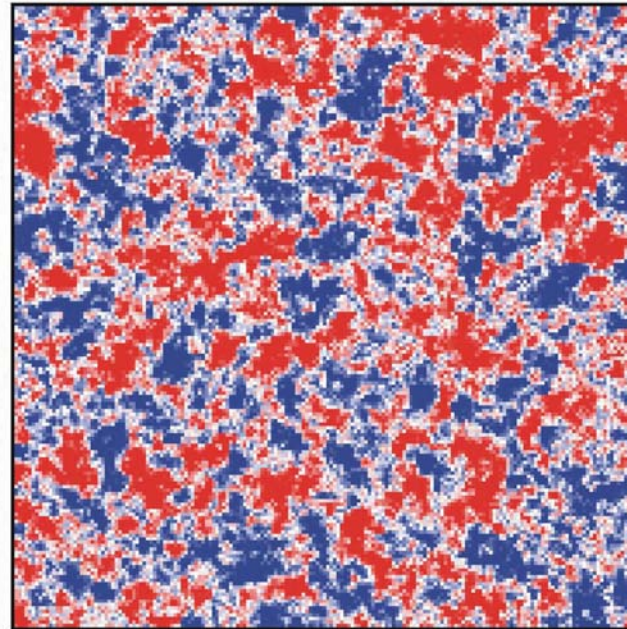
same scale +
many smaller scales

Models for random inhomogeneity field: continued

Power law PSD, α=3.1

k=1/a

log(Power Spectral Density)

Von Karman ACF, κ=0.1

Gaussian ACF

log(Wavenumber k)
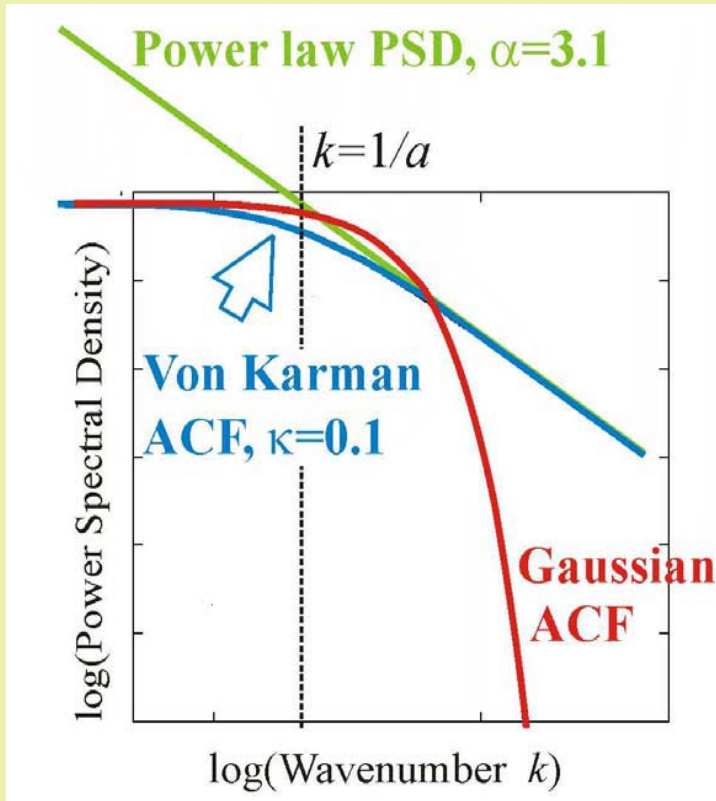
The special case of *self-similar* inhomogeneity:
α=3
κ=0

Case	Properties of phase function $\phi(\theta)$ and power spectral density (PSD)
Gaussian ACF:	$\phi(\theta)$: The angular width is strongly *frequency-dependent*: $\sigma = 2^{0.5}/ka.$ PSD: Abrupt high-wavenumber cutoff
Self-affine case, PSD:	$\phi(\theta)$: *Frequency-**in**dependent* shape for all θ PSD: power-law, diverges at small k, (in a practical calculation, can be truncated at small k)
Von Karman ACF	$\phi(\theta)$: Through selecting a sufficiently large value of a, one can provide the frequency-independent behavior of $\phi(\theta)$ for almost all θ, except for very small θ ($<1/ka$). PSD: close to power law at large k, integrable

Random inhomogeneity field: models vs. reality

Case	comments
Gaussian ACF:	Qualitatively unacceptable model. The strong frequency dependence ($1/k \rightarrow 1/f$) of the width σ of phase function contradicts the condition: $\sigma \approx 25\text{-}40°$ - that is valid simultaneously for a broad frequency range.
Self-affine case, power-law PSD, truncated; **or** **Von Karman-ACF** **case with large** a	Qualitatively acceptable model. The frequency-independent shape of phase function for all or almost all angles enables one to fit the qualitative behavior of envelopes simultaneously for many frequency bands. [rough ranges for parameters: α=3.2-4; κ=0.1-0.5]

Unexplored: effects of non-Gaussian statistics of inhomogeneity on properties of scattered field

4. SIMULATED ENVELOPES OF SCATTERED WAVES

Simulated envelopes: Gaussian-ACF case
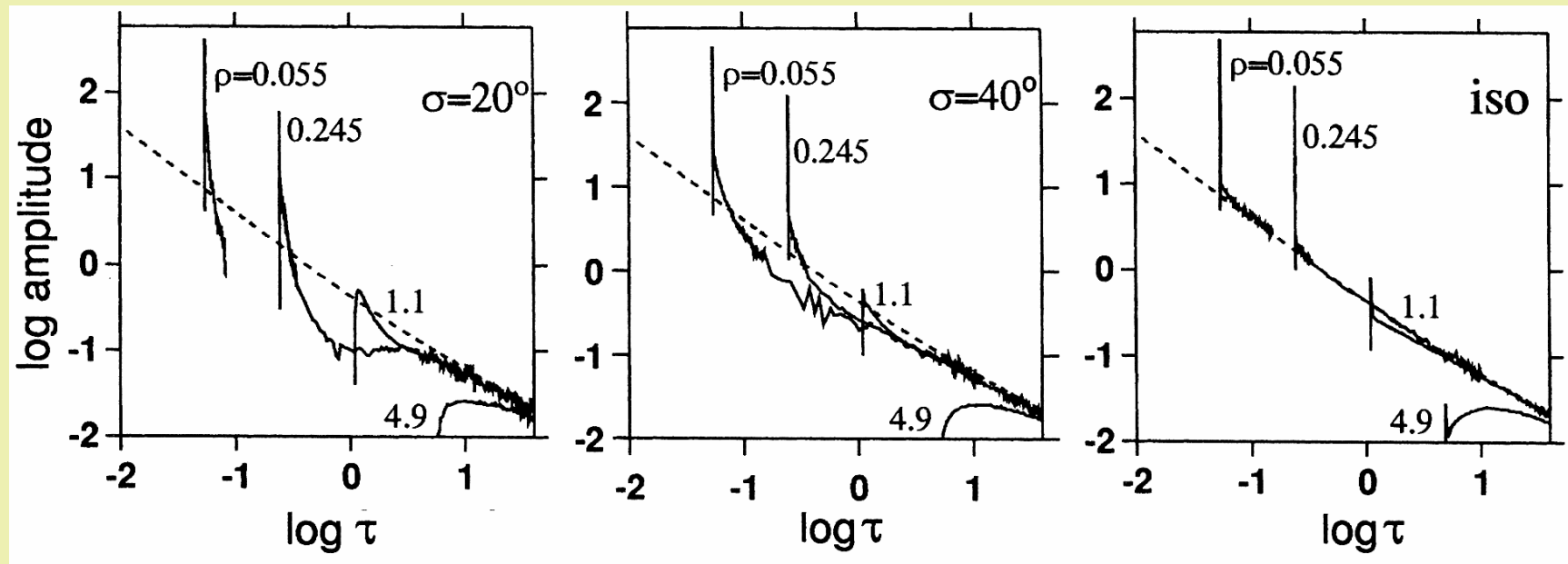
σ=20º
(1) gap instead of coda
(2) pulse broadens
with distance

σ=40º
(1) acceptable coda,
note that its level is below that
for isotropic-scattering case
(2) spike instead of pulse
up to ρ≈1

**isotropic
scattering (σ=∞)**
(1) "perfect"coda
(2) no pulse
broadening at all

The interval estimate for σ, namely σ =20-40º, is attained, but it works for a single frequency band only. Gaussian-ACF model is mostly of instructional interest.

Simulated envelopes: self-affine case
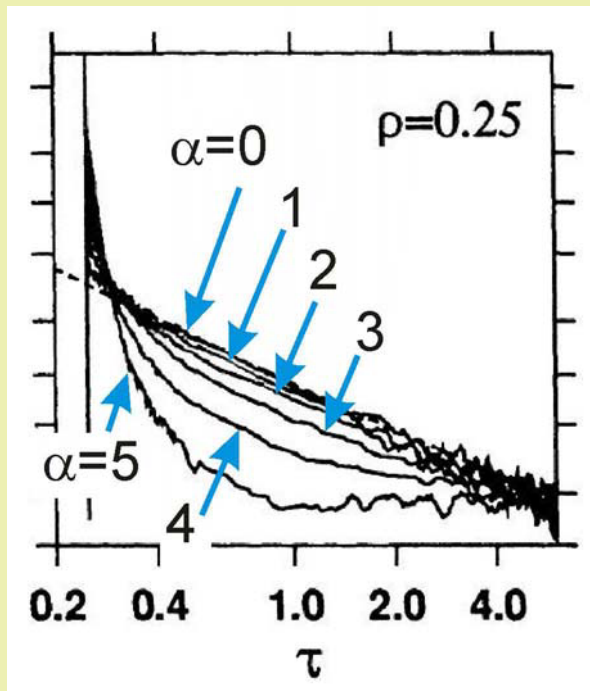
α=3
(1) quite acceptable coda shape
(2) slightly too abrupt pulse onset

α=4
(1) early coda somewhat too low
(2) acceptable pulse shape
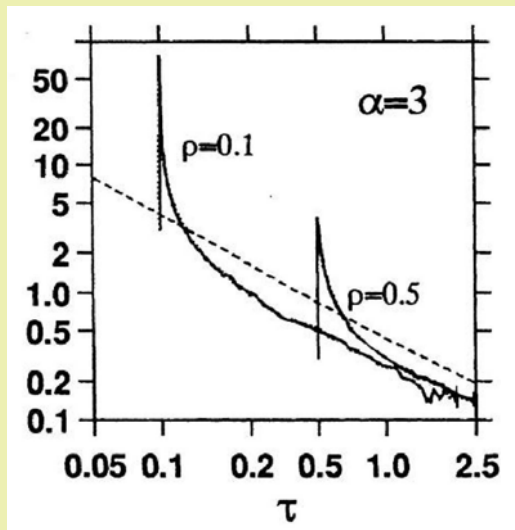
CONCLUSION

(1) Self-similar random ihnomogeneity with α=3.2-4 is a reasonable starting model for the lithosphere

(2) Coda levels are systematically somewhat lower w.r.t. those of the isotropic scattering model (α=0)

Duration of simulated envelopes

Scaled onset-to-peak delay time τ_m
vs. scaled distance ρ
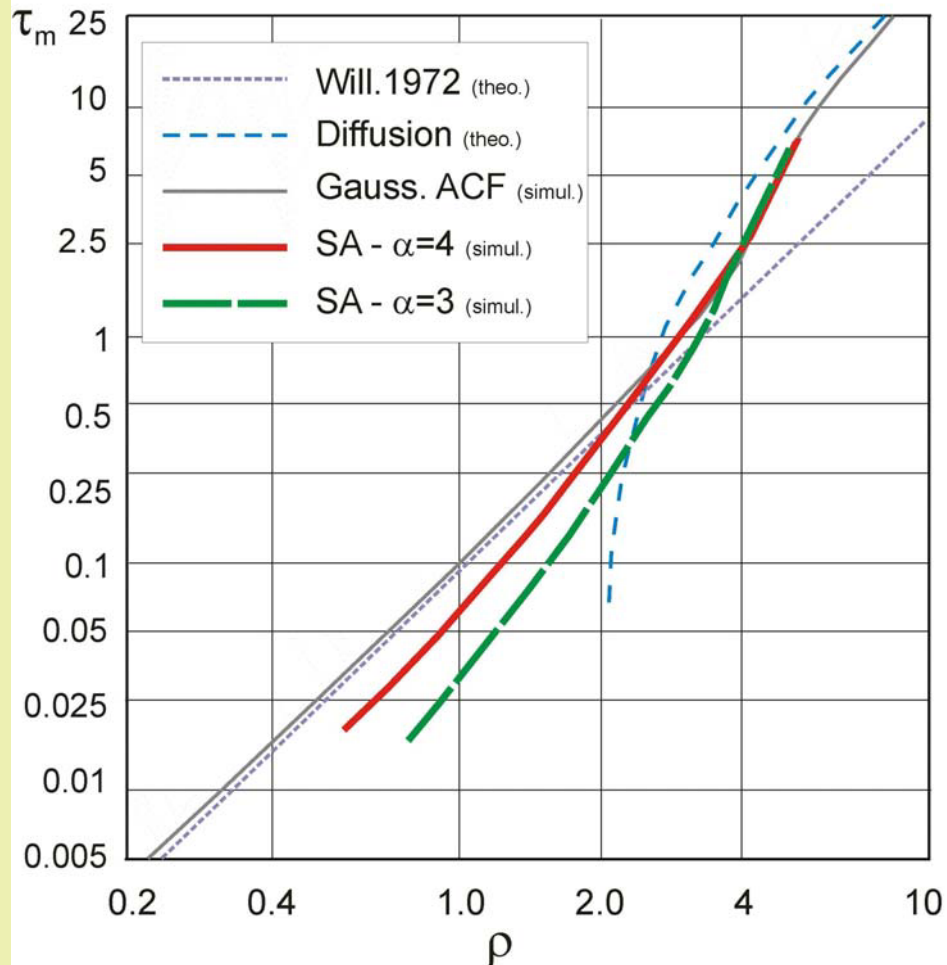
Gaussian-ACF case, narrow phase function:

$$\tau_m = 0.091\rho^2$$

on condition $\rho \ll 1$
(Williamson 1972)

Onset-to-peak delay for self-similar model media is significantly *shorter* than for the Gaussian-ACF medium, and the distance trend is *faster than quadratic*.

When the α parameter can be specified or assumed, one can use the results of simulation to derive l from the observed duration trend.

5. INVERSION OF OBSERVATIONS FOR THE VALUES OF THE SCATTERING COEFFICIENT (=TURBIDITY)

Ways for inversion for scattering/attenuation parameters (body waves)

approach	comment
A. Total attenuation Q^{-1}_{total} $[=Q^{-1}_{scattering}+Q^{-1}_{intrinsic}]$ from body wave Fourier ***spectra***. A1. From spectra as is – one (or more) events at many stations. A2. From spectra normalized to coda power at one or more stations	Efficient descriptive approach, very useful for synthetics for applications. Results physically not transparent. Systematic, consistent selection of the data window difficult. Using coda normalization may significantly reduce noise.
B. Total attenuation Q^{-1}_{total} from body wave ***amplitudes***, raw or coda-normalized	Generally, outdated approach. Obtained Q^{-1}_{total} estimates very often are biased (duration of the body wave group is distance-dependent; thus squared amplitude does not provide a good estimate for energy).
C. Separately $Q^{-1}_{scattering}$ *and* $Q^{-1}_{intrinsic}$ assuming isotropic scattering in uniform random meduim. C1. By MLTWA (Multiple Lapse-Time Window Analysis) method C2. From Pulse-energy to coda-power ratio at the same propagation time.	Consistent separate estimates of $Q^{-1}_{scattering}$ and $Q^{-1}_{intrinsic}$. Results may be significantly model-dependent

Ways for inversion for scattering/attenuation parameters (body waves) (2)

approach	comment
D. Only $Q^{-1}_{scattering}$ from body-wave pulse broadening.	Results may be model-dependent
E. Only $Q^{-1}_{intrinsic}$ from $\kappa(r)$ (κ in $A/A_o=\exp(-\pi\kappa f)$)	Efficient but possibly biased: (1) extracts only frequency-independent component of attenuation; (2)over-optimistically assumes known and simple ("ω^{-2}") source spectral shape
F. Determination of "coda Q"	The approach assumes single isotropic scattering i.e. an unrealistic model, and cannot yield reliable results; but supported by a number of empirical parallels between Q_{total} and coda Q. Empirical coda Q is often lapse-time dependent, but other Q measures may behave similarly.

MLTWA (after Fehler 2003)

Integrate Energy in Windows whose Times are Referenced to S-wave Arrival Time

$M_L = 2.9$
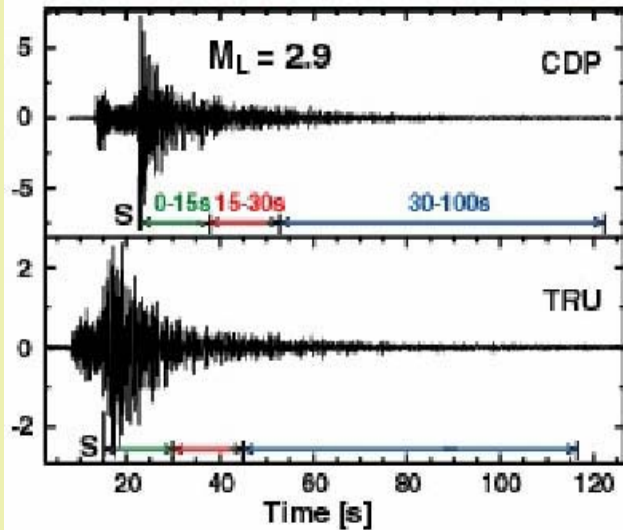
$$EI_1(f)_{kj} = \rho_0 \int_0^{15s} \left| \dot{u}_{kj}^S(t;f) \right|^2 dt,$$

$$EI_2(f)_{kj} = \rho_0 \int_{15s}^{30s} \left| \dot{u}_{kj}^S(t;f) \right|^2 dt,$$

$$EI_3(f)_{kj} = \rho_0 \int_{30s}^{100s} \left| \dot{u}_{kj}^S(t;f) \right|^2 dt$$

Results Obtained with Multiple Lapse-Time Window Analysis Method

Q_T^{-1} — Total Attenuation

B_0 — Albedo

Q_S^{-1} — Scattering Attenuation

Q_i^{-1} — Intrinsic Attenuation
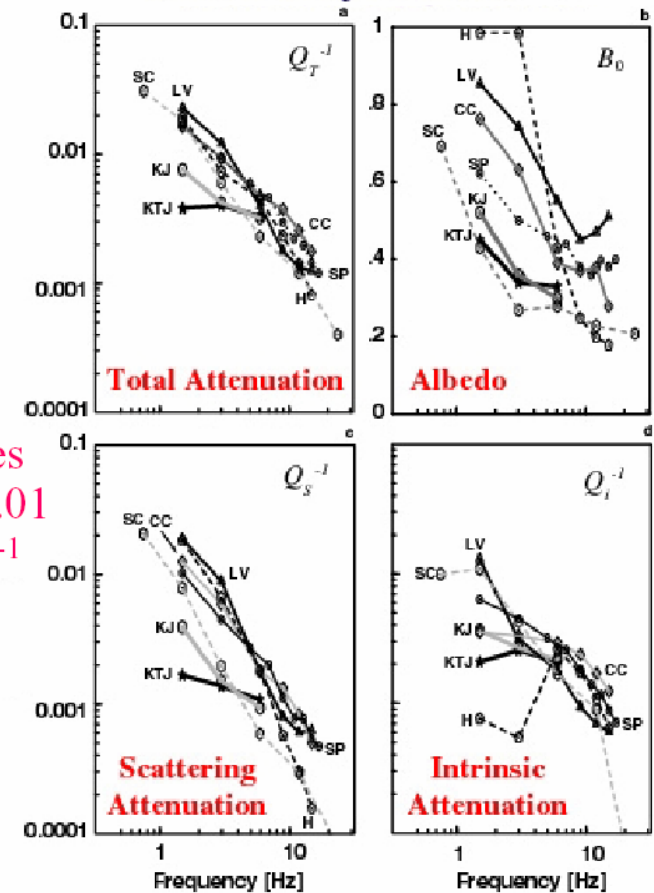
Gives $g_0 \sim 0.01$ km^{-1}

Fits to Data Collected in Japan

$\log[4\pi r^2 {}_N EI_{1,2,3}]$ relative to TRU

(1) 0–15s
(2) 15–30s
(3) 30–100s
Run. Mean
Theory

20 dB

$g_0 = 0.0065$ km^{-1}
$B_0 = 0.34$
2–4 Hz

$g_0 = 0.01$ km^{-1}
$B_0 = 0.33$
4–8 Hz

Hypocentral Distance [km]
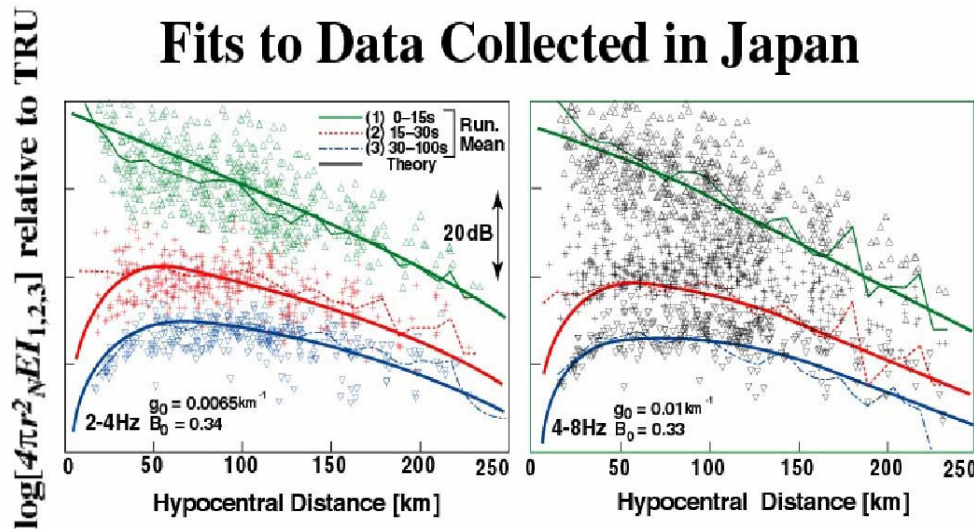
Scattering parameters from pulse duration vs distance trend
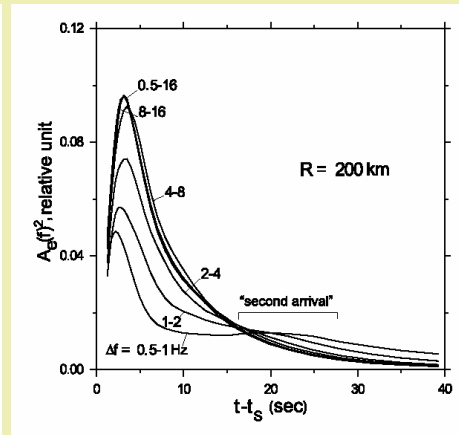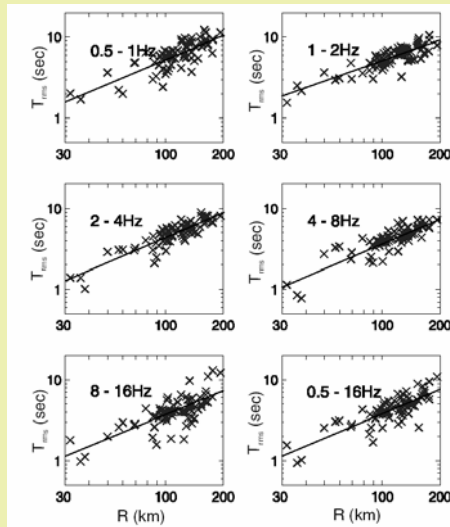
pulse shapes scaled along *t* axis,
thus reduced to a fixed distance
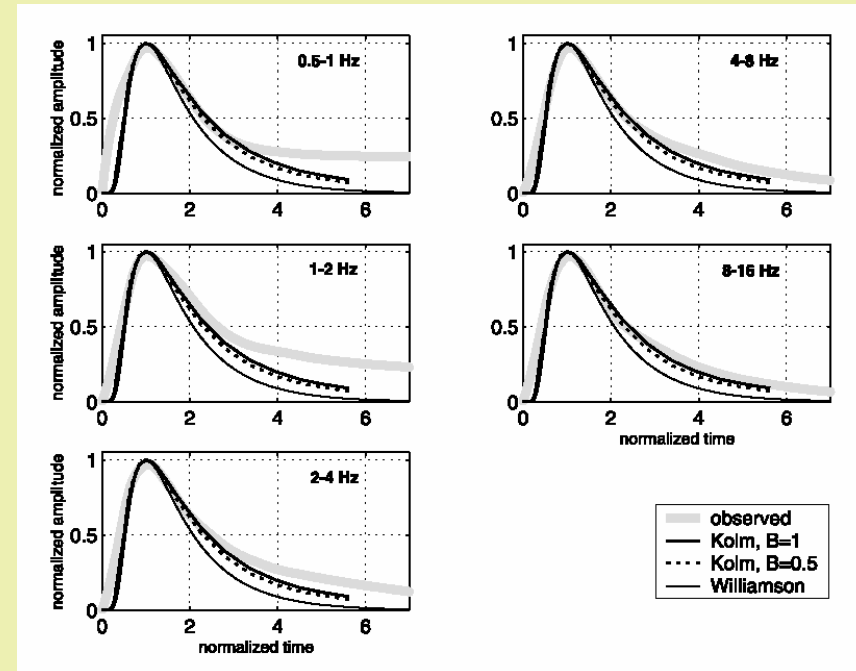
RMS duration of S-wave group grows as
$r^{-1.0}$ indicating strongly distance-dependent scattering Q.
To determine MFP, onset-to-peak delays are used.
In the 1-12 Hz *f* range, and for *r*=100 km, MFP estimates are around 100km

Average observed pulse shapes and their fit by predictions of
(1) Gaussain-ACF model [bad fit] and
(2) self-similar inhomogeneity case with $\alpha = 3^{2}/_{3}$ (Kolmogorov's spectrum)[much better fit]
Independently, the comparable estimate $\alpha \approx 3.8 - 3.9$ follows from the onset-to-peak delay vs frequency relationship

6. NON-UNIFORMITY OF SCATTERER DENSITY IN THE EARTH

Regional envelopes give qualitative understanding of scattering in the Earth(1)

Rautian et al. 1981
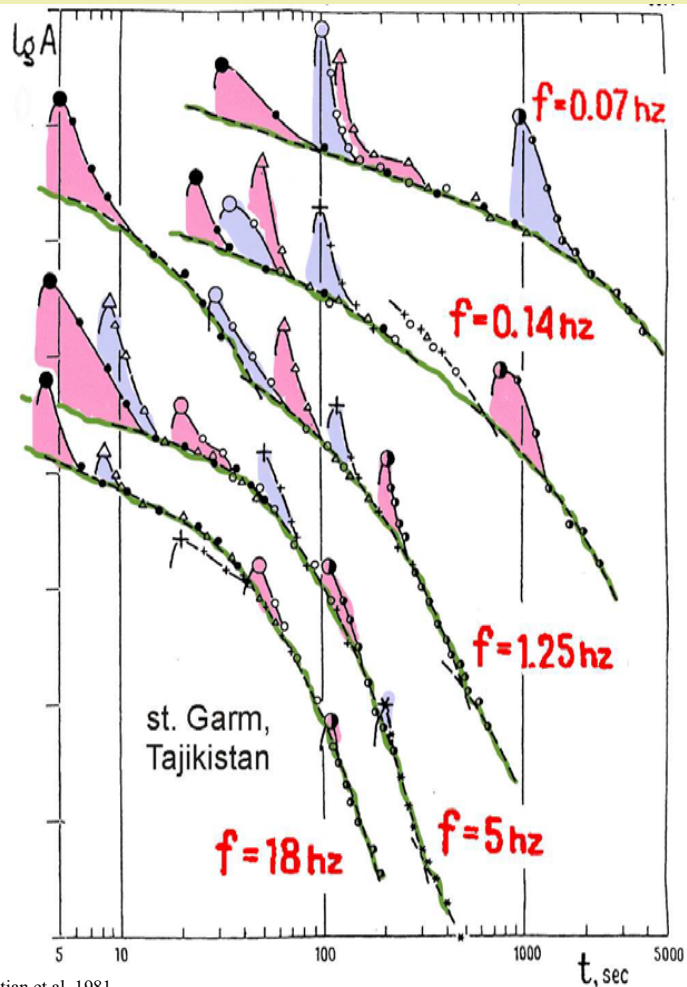
(1) Over the entire 20-30 to 400-800 km distance range, the *S*-wave group/pulse is seen *above* coda asymptote.

(2) The duration of the pulse is increasing with distance. This pulse broadening is caused by medium, not source, and must be produced by forward-scattering. (Continental Lg is a special case).

(3)Diffusion scattering is not observed. Pulse duration is, roughly, proportional to distance.

(1,2,3) suggest scattering phenomena in general but do not match the picture of scattering in the uniformly scattering medium, (that predicts (a) quadratic trend of duration vs. distance, and (b) fast sinking of a pulse in the diffuse envelope)

All this implies: *ray-average MFP is not constant but rapidly decreases with distance*.

Regional envelopes give qualitative understanding of scattering in the Earth(2)
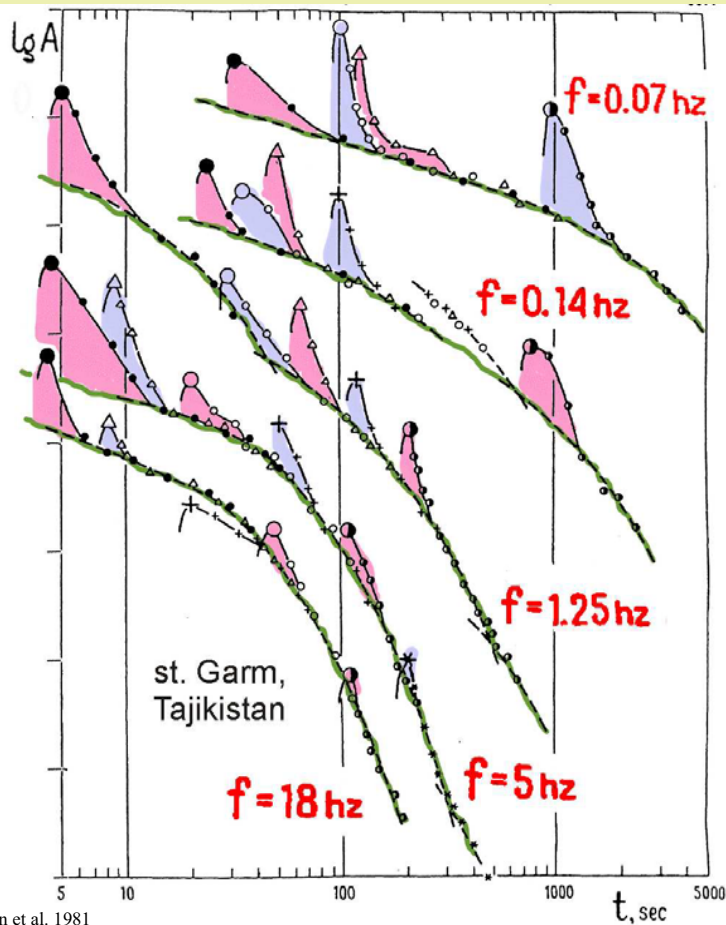
Rautian et al. 1981

Ray-average MFP is not constant but rapidly decreases with distance. Therefore, in the Earth, for almost any ray and any HF band:

distance *r* is less than or comparable to **ray-average *MFP***

or

ρ is less than or comparable to 1.0

As rays dive deeper with increasing distance, this means that in the Earth

scattering effects rapidly decay with depth

(follows as well from the existence of impulsive teleseismic P-waves)

Estimating the *transport MFP* vs. *depth* trend from coda shape
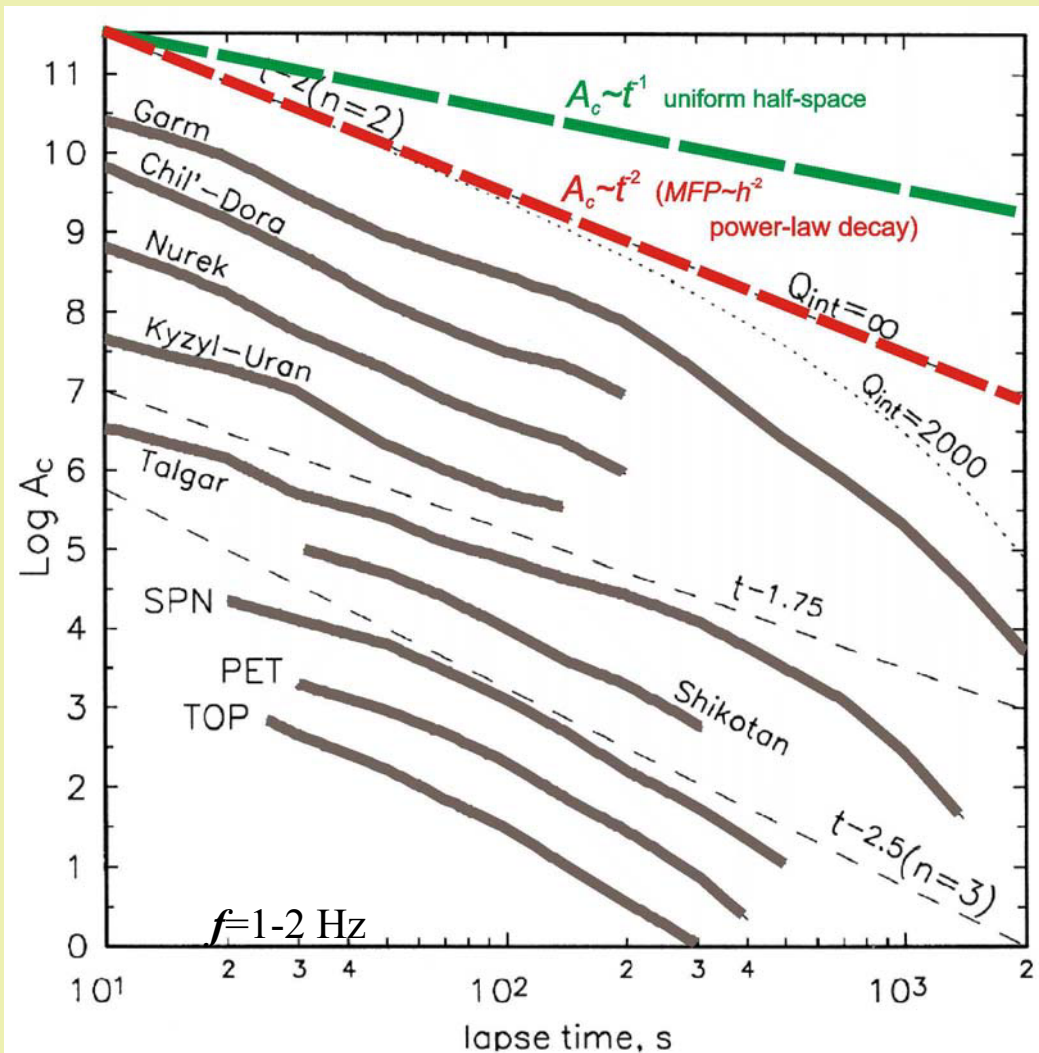
Observed coda amplitude over a wide lapse-time range follows neither

t^{-1} (SIS in the uniformly scattering space)

nor

$$t^{-1} \exp(-\pi f t/Q_i)$$

(same+intrinsic loss labeled "coda Q").

Instead, a trend like

$t^{-1.75\text{-}2.5}$

is seen,

corresponding to SIS in the scattering half-space with very fast depth decay of MFP:

$$MFP(h) \sim h^{-1.5\text{-}3}$$

(adjustment: $\exp(-\pi f t/Q_i)$ with $Q_i=2000$)

(A traditional coda-Q determination yields a mixture of MFP(h) effect and of intrinsic Q. It can match S-wave Q because a large fraction of S-wave attenuation is caused by radiation loss into deeper weakly scattering layers, thus emulating intrinsic loss in a uniform space.)

Transport MFP vs. *depth* trend and pulse broadening

Theory and basis for inversion:
mean delay of a pulse $= f(\, g(r)$ along a ray)

MEAN DELAY=
$t(\text{pulse centroid})-t(\text{onset})$

$$<T> = \int_{t_d}^{\infty}(t-t_d)E(t)dt \Big/ \int_{t_d}^{\infty}E(t)dt$$
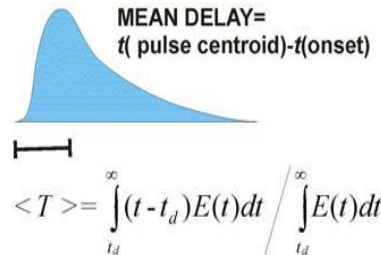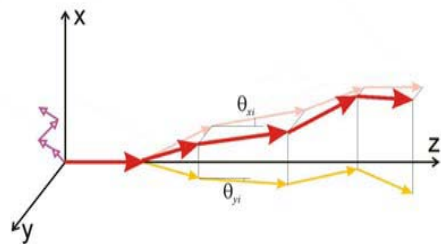
let transport MFP $l=l(r)$, tr. turbidity $g=1/l=g(r)$

(1) $g(r)=const=g$: $\quad <T> = \dfrac{gr^2}{6c}$ (Williamson 1972)

(2) non-uniform case: $\quad <T> = \dfrac{1}{cS}\int_0^S g(u)(S-u)\,u\,du$

where u is the along-ray distance and S is the length of the ray
(Bocharov 1988)

in practical inversion assuming $\alpha=3.7$
and thus: onset-to-peak delay $=0.28<T>$

inverted vertical profiles g(h)
for *P* and *S* waves under Kamchatka
(based on ~2500 onset-to-peak delays,
from hypocenters at h=20-300 km)
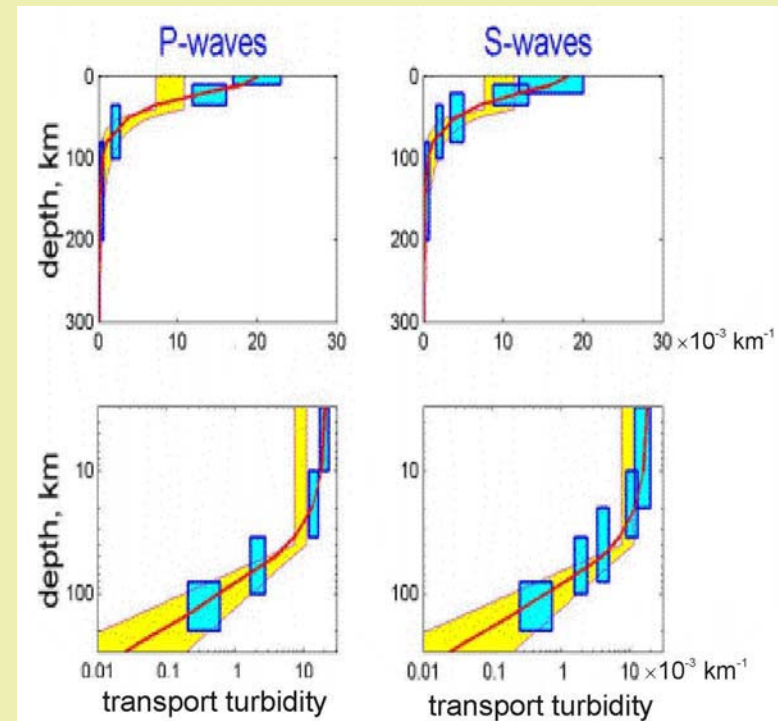
colors: different estimates

1. from h=10-15 to h=40-50 km:
TMFP ~ 50-100 km
2. from h=60-80 km down,
fast decay: TMFP ~ $h^{-2\text{-}3}$

Simulation of a regional broadband explosion record using layered and (layers+heterogeneity) models
(Tibuleac, Stroujkova, Bonner, and Mayeda, 2005)
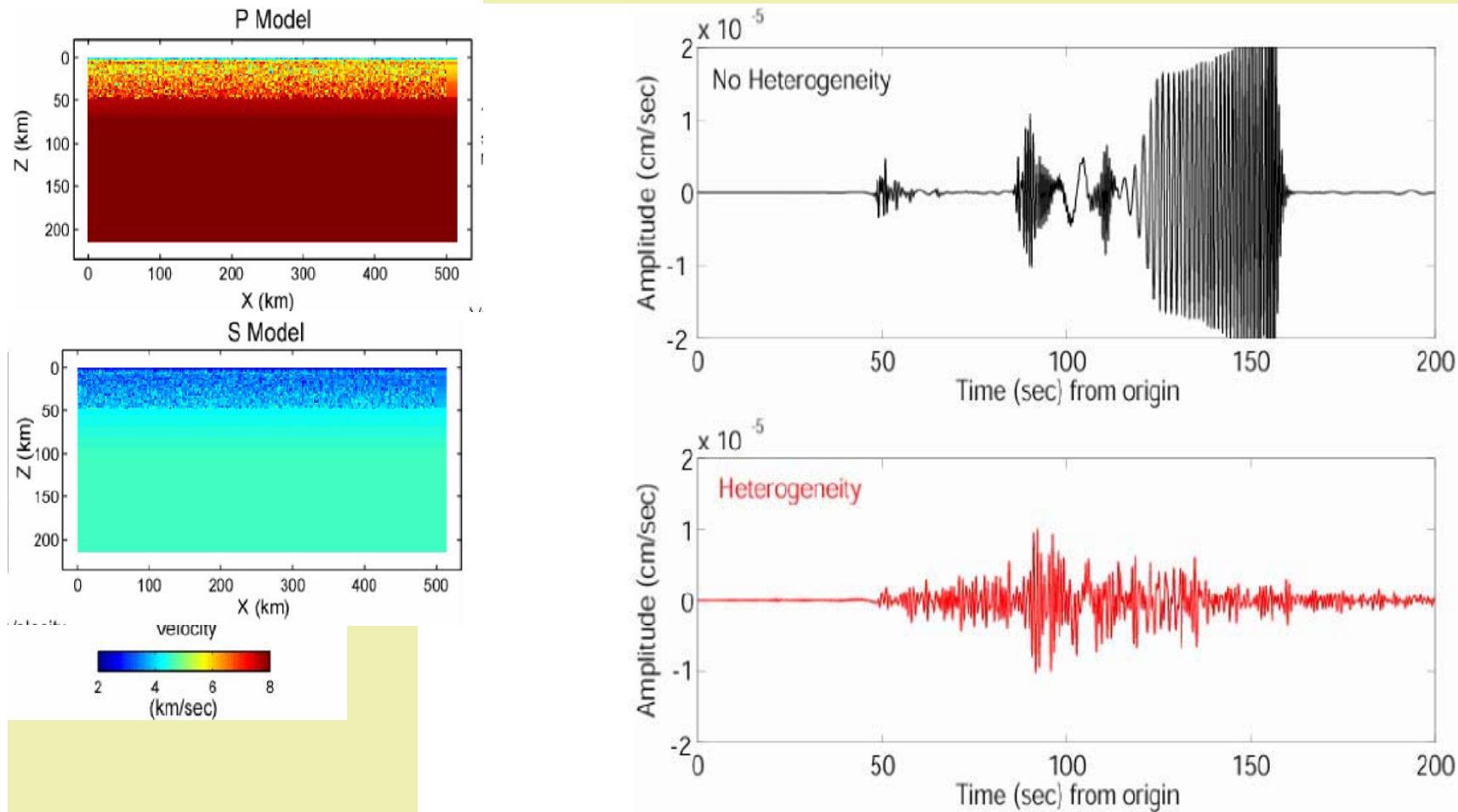
Figure 4. A comparison of synthetics for a composite explosion plus CLVD source in a Shagan test site velocity model without (upper) and with (lower) stochastic variations. In the lower plot, the *Lg* coda behaves very similarly to regional recordings of explosions at the Shagan Test Site.

Average observed teleseismic P wave envelopes simulated by accurate Monte-Carlo simulation (Shearer&Earle 2004)
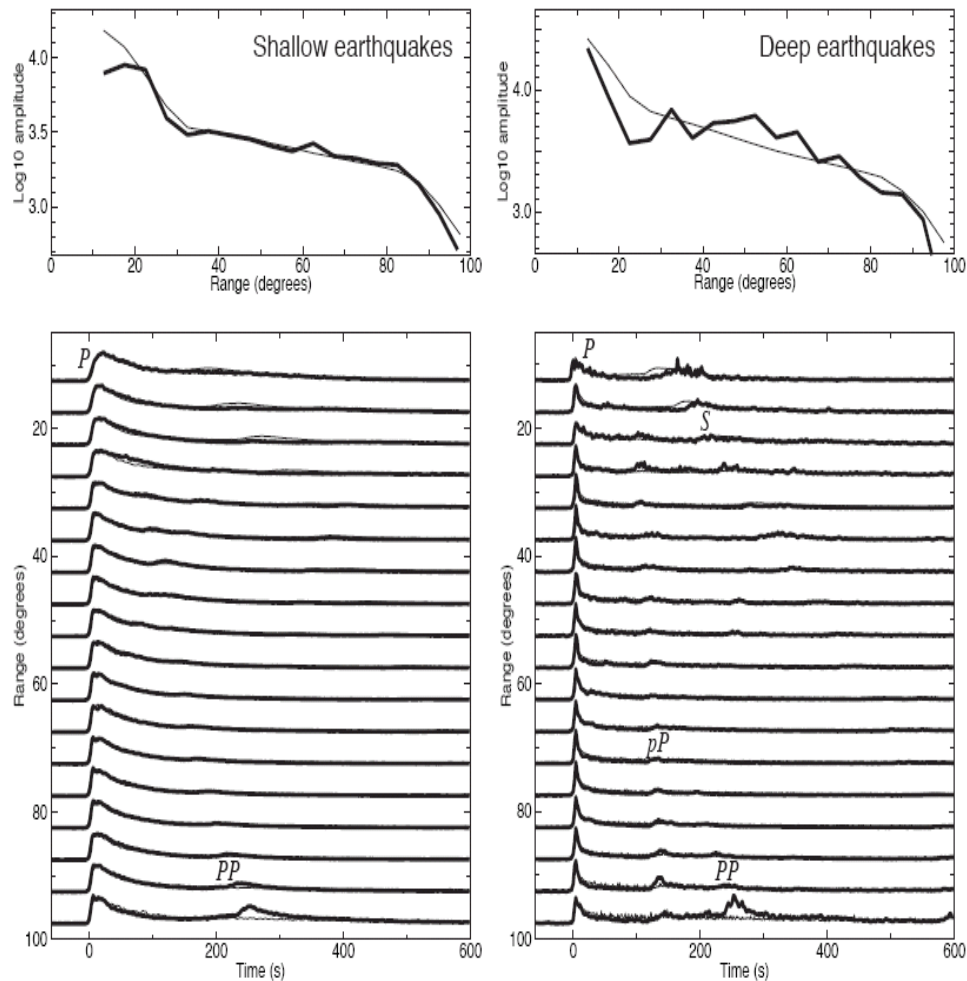
Results: global scattering vs depth at 1 Hz

1. Scattering medium: **Exponential ACF**

2. Fitted parameters for layers:

(a) H=0-200 km:
- velocity/density perturbation **4%**/3.2%
- inhomogeneity size a=4 km
- $l_n(S)$=**50 km**, $l(S)$=900 km
- Q_α=450, Q_β=200,

(b) H=200-600 km:
- velocity/density perturbation **3%**/2.4%
- same: inhomogeneity size, Q_α, Q_β
- $l_n(S)$=**100 km**, $l(S)$≈1800 km

(c) H=600km - CMB :
- velocity/density perturbation **0.5%**/0.4%
- inhomogeneity size a=8 km
- $l_n(S)$=**3300 km**,
- Q_α=2500, Q_β=1100,

TOPICS NOT COVERED:

MOST IMPORTANT
- Conversion scattering: P→S, S→P, P&S→surface wave ...)
- Surface wave (2D) scattering.
- Inversion of the HF radiation capability function (seismic luminosity) of a finite earthquake source from scattered envelopes

IMPORTANT
- Regional specificity of scattering. Case of Lg
- Inversion of diffusive envelopes.
- Synthesis of scattered envelopes.
- Inversion of observed coda for the relative density of scatterers in 2D or 3D (assuming uniform Q)
- Inversion of observed coda for the distribution of Q (assuming uniform density of scatterers)
- Approaches that account for diffraction/interference

END

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