



Monte Carlo and Rate theory calculations

Introduction

(Barbu2)

A. Barbu, Scientific Advisor at CEA/DEN/DMN

Introduction



All models start from the master equation

$$\dot{P}(\mathbf{X}, t) = \sum_{\mathbf{X}'} P(\mathbf{X}', t) W_{\mathbf{X}' \rightarrow \mathbf{X}} - P(\mathbf{X}, t) \sum_{\mathbf{X}'} W_{\mathbf{X} \rightarrow \mathbf{X}'}$$

Impossible to solve analytically

Two kinds of methods

- **Monte Carlo**
- **Mean Field**

Two kinds of modeling:

- **At the atom level**
 - **Atomistic Kinetic Monte Carlo (AMC)**
 - **Self Consistent Mean Field (SCMF)**
- **At a mesoscopic level**
 - **Object Kinetic Monte Carlo (OKMC)**
 - **Rate theory (RT)**

Monte Carlo: basis



Simulation of the trajectory of the system in the phase space

Residence time algorithm

$p_{\mathbf{X}}(t)$ probability for the system to be in configuration \mathbf{X} at time t , knowing that it is there at time zero

$$p_{\mathbf{X}}(t) = \exp(-t / \tau_{\mathbf{X}}) \quad 1 / \tau_{\mathbf{X}} = \sum_{\mathbf{X}'} W_{\mathbf{X} \rightarrow \mathbf{X}'}$$

Selection of the effective transition:

- all the probability of the possible transitions stack along a segment of length $1 / \tau_{\mathbf{X}}$
- a marker randomly placed on this segment.
- configuration \mathbf{X} is replaced by the new one and the time t by the time $t + \tau$ and so on.

Rate theory basis



Determinist description instead of the stochastic

- Only the mean trajectory $\langle \mathbf{X}(t) \rangle = \sum_{\mathbf{X}} \mathbf{X} P(\mathbf{X}, t)$ in the phase space is considered.
- The system is described by the set of ODE's equations

$$\frac{\partial}{\partial t} \langle \mathbf{X}(t) \rangle = \sum_{\mathbf{X}'} \langle \mathbf{X}'(t) \rangle W_{\mathbf{X}' \rightarrow \mathbf{X}} - \langle \mathbf{X}(t) \rangle \sum_{\mathbf{X}'} W_{\mathbf{X} \rightarrow \mathbf{X}'}$$

Within the most general situation the higher moments has to be considered $\langle \mathbf{X}_i(t) \mathbf{X}_j(t) \dots \rangle$

Atomistic scale calculations



Basis © G. Martin, M. Nastar, F. Soisson, ...

- Rigid lattice
- Interacting atoms of species A, B, .. and vacancies and/or self interstitial atoms (SIA) distributed among the N_s sites of the lattice
- State of the system described by occupation numbers $n_i^A = 1$ if the site i is occupied by species A and zero otherwise.
- Configuration of the alloy defined by the state vector

$$\{n_1^A, n_1^B, \dots, n_1^v, n_2^A, n_2^B, \dots, n_2^v, \dots\}$$

Main ingredients of the modeling

- The configurational Hamiltonian:

$$\hat{H} = \sum_{a,i} \varphi_i^a n_i^a + \frac{1}{2!} \sum_{ab, i \neq j} V_{ij}^{ab} n_i^a n_j^b + \frac{1}{3!} \sum_{abc, i \neq j \neq k} V_{ijk}^{abc} n_i^a n_j^b n_k^c + \dots$$

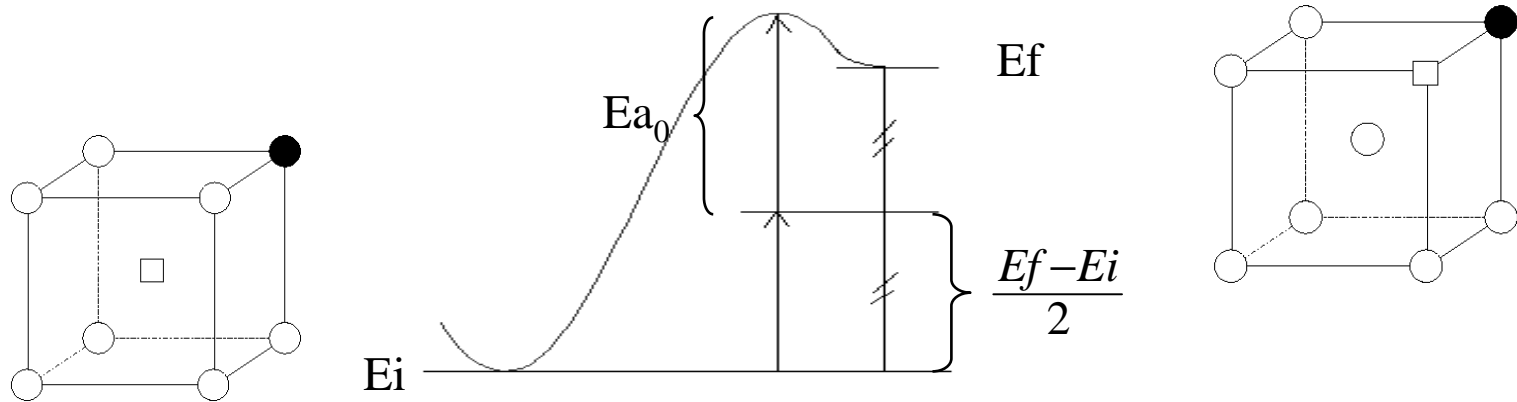
- V_{ij}^{AB} the pair interaction between a A atom on the i site and a B atom on the site j , the triplet interaction V_{ijk}^{ABC} , etc.
- The jumps frequencies of point defects (i or v).

Jump frequencies I



$$\Gamma_{X,V} = \nu_X \exp\left(-\frac{Ea}{kT}\right)$$

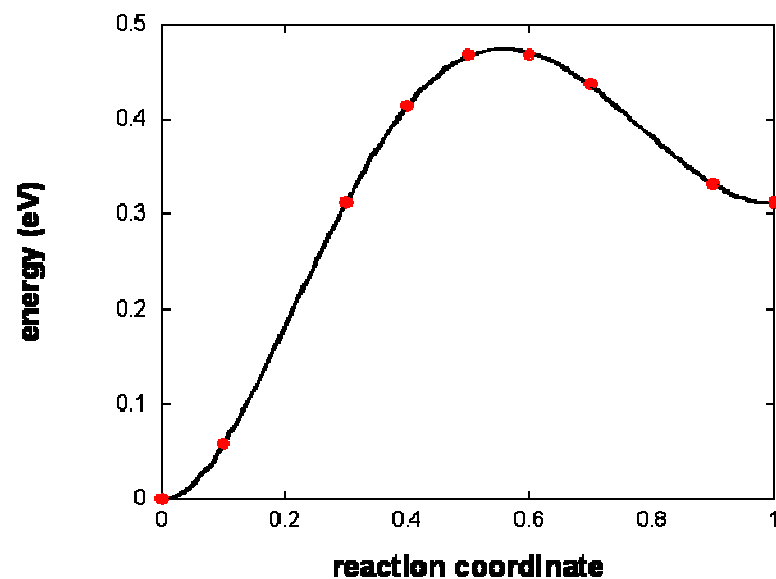
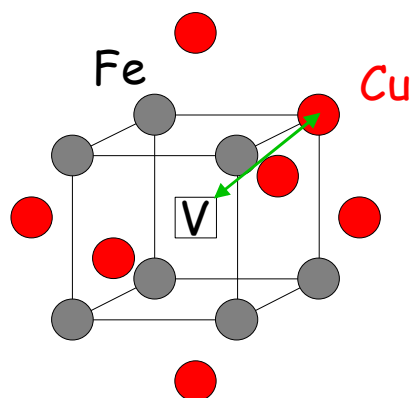
$$Ea = Ea_0 + \frac{Ef - Ei}{2}$$



© C. Domain et al

Jump frequencies II

More valuable method



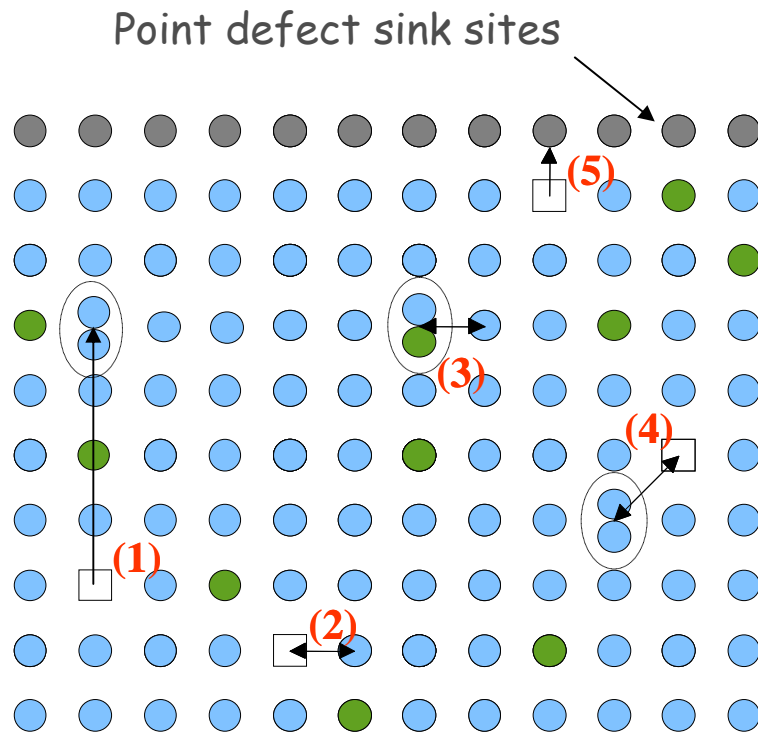
$$\Delta E_{CuV} = e_{Cu}^{SP} - \sum_i \varepsilon_{Cui} - \sum_j \varepsilon_{jV}$$

© F. Soisson

Atomistic Kinetic Monte Carlo (AKMC)



Energy = sum of pair interactions V_{ij} on rigid lattice



© F. Soisson

(1) Frenkel pair formation: $\Gamma_{FP} = \sigma\phi$ (dpa.s⁻¹)

(2) Vacancy jumps : $\Gamma_{AV} = v_A \exp\left(-\frac{E_{AV}}{k_B T}\right)$
© G. Martin

$$E_{AV} = e_A^{sp} - \sum_{\text{broken bonds}} V_{Ai}$$

(3) Interstitial jumps : XY « dumbbells »

$$\Gamma_{XY}^{int} = v_{XY}^{int} \exp\left(-\frac{E_{XY}^{int}}{k_B T}\right)$$

(4) V/I recombination ($d_{V-I} < l_{rec}$)

(5) Point defect annihilation at sinks
(perfect sinks)

Atomistic Kinetic Monte Carlo (AKMC)

RIP in under-saturated solid solutions



$$D_A^{vac} \gg D_B^{vac}$$

undersaturated

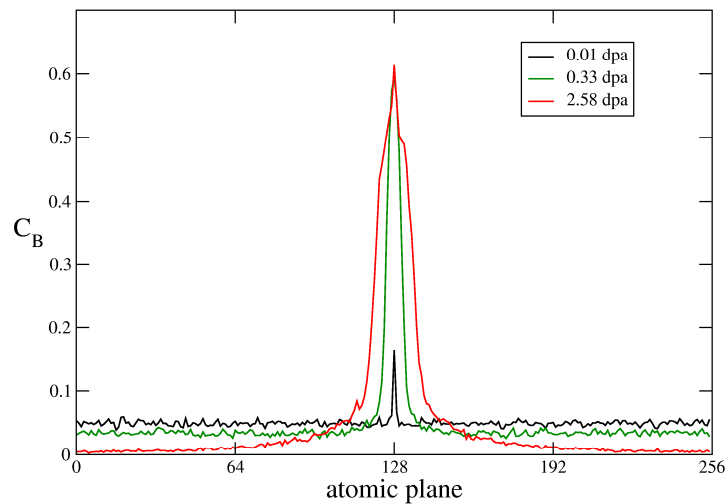
$$T = 800 \text{ K}$$

$$C_B = 5\% \quad (C_B^{eq} = 8\%)$$

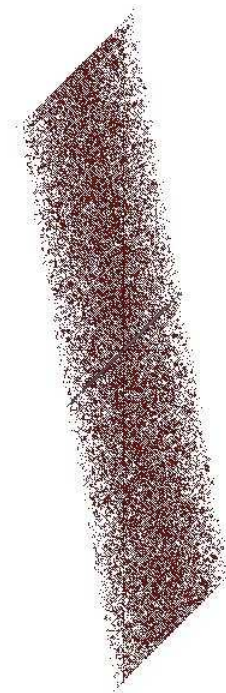
$$\phi = 10^{-6} \text{ dpa.s}^{-1}$$

$$D_B^v / D_A^v = 0.075$$

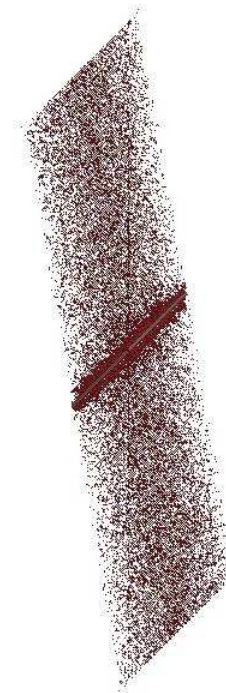
planar point defect sink



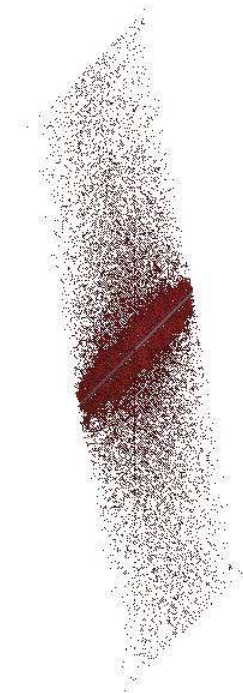
Concentration Profile



0.01 dpa



0.33 dpa



2.58 dpa

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Atomistic Kinetic Monte Carlo (AKMC)

RIP in super-saturated solid solutions



high mixing energy

high supersaturation

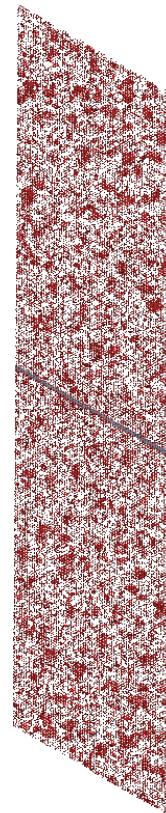
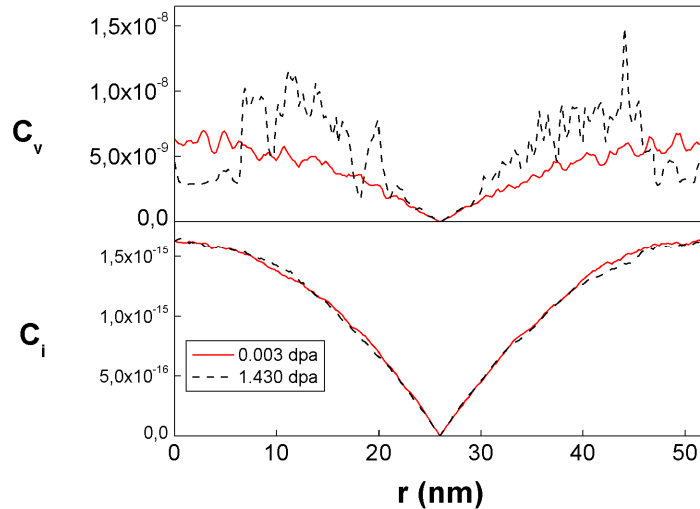
$$T = 800 \text{ K}$$

$$C_B = 5\% \quad (C_B^{eq} \sim 0)$$

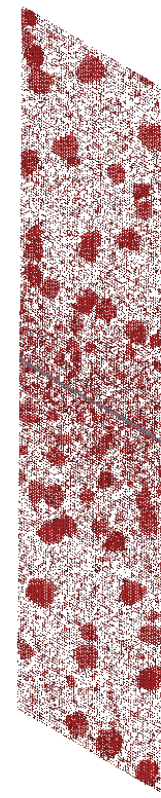
$$\phi = 10^{-8} \text{ dpa.s}^{-1}$$

$$D_B^v / D_A^v = 0.075$$

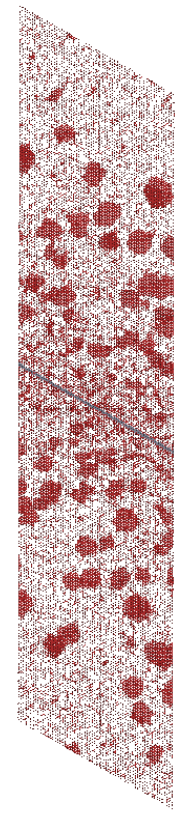
planar point defect sink



0.02 dpa



0.41 dpa



1.42 dpa

© F. Soisson

Atomistic Kinetic Monte Carlo (AKMC)

NEUTRON IRRADIATION OF AN FeCuNiMnSi ALLOY

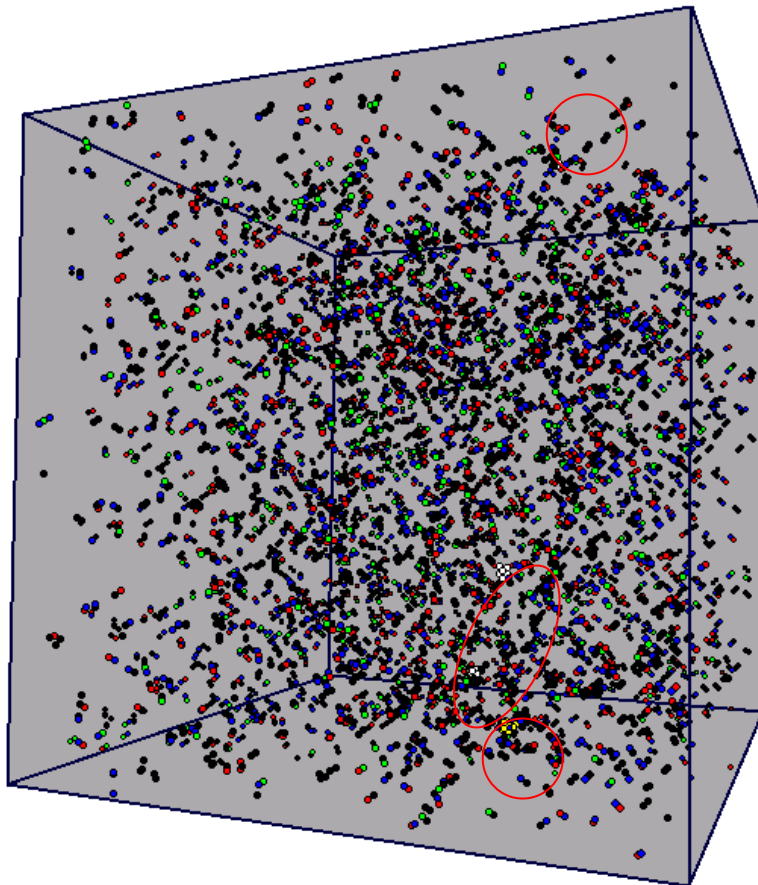


Flux: $6.5 \cdot 10^{-5} \text{ dpa.s}^{-1}$

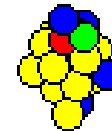
Dose: $1.3 \cdot 10^{-3} \text{ dpa}$

T: 300°C

Fe-0.2Cu-0.53Ni-1.26Mn-0.63Si (at.%)



V-solute complex ($4.2 \cdot 10^{22} \text{ m}^{-3}$)



SIA-solute complexes



Small solute clusters (3Cu + 3Si + 4Mn)

● Cu ● Ni
● Si ● V
● Mn ○ SIA

© E. Vincent et al

Mesosopic approach



“gas” of dilute objects

Nature

- **point defects**
- **solute atoms**
- **clusters,**
- **etc.**

- **Object Monte Carlo (OKMC)**
- **Rate theory (RT)**

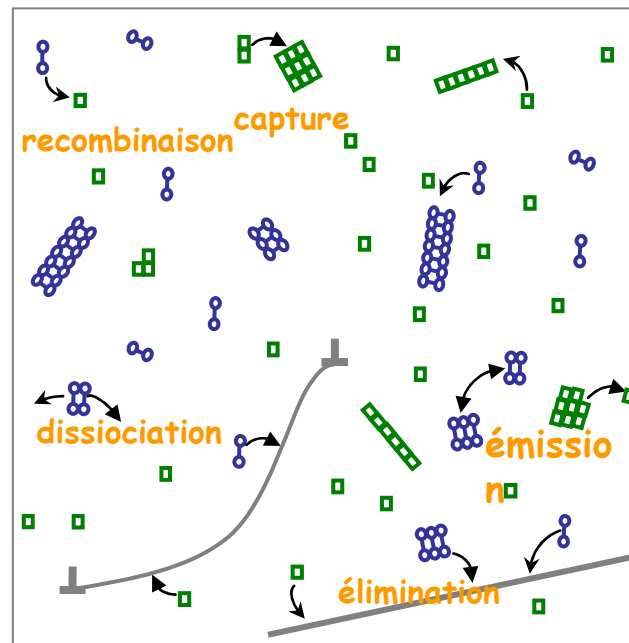
Object Monte Carlo (OKMC)



Object positions: 'discreet' or continuous coordinates

OKMC
BIGMAC (LLNL)
LAKIMOCA (EDF)

Even Based Monte Carlo (EBMC)
JERK (CEA)



Object Monte Carlo (OKMC)



Objects execute random diffusion jumps at first neighbors with a probability given by the jump frequency:

$$\Gamma = \Gamma_0 \exp(-E^m / kT)$$

Dissociation rate of a cluster, usually the emission of a monomer:

$$\Gamma = \Gamma_0 \exp(-(E^B + E^m) / kT)$$

E^B binding energy of the emitted particle to the cluster.

Algorithm :

1. $R = \sum_e \Gamma_e N_e$ the total rate for all events
2. An event is chosen randomly between 0 and R .
3. Time is increased by $\Delta t = -\log(\zeta) / R$
4. An object among the N_e is chosen randomly and the event is carried out.
5. The next step is perform coming back to 1 and so on.

Even Based Monte Carlo (EBMC)



Elementary event = binary encounters of two objects (the migration of a mobile object is not an even in itself).

Probability distribution that two defects 1 and 2 at distance d reacts:

$$P(d,t) = \frac{r}{d} \operatorname{erfc} \left\{ \frac{d-r}{2\sqrt{Dt}} \right\}$$

r reaction radius

$$D = D_1 + D_2$$

The delay of interaction τ obtained by sampling $P(d,t)$:

$$\tau = \frac{1}{4D} \frac{(d-r)^2}{\left\{ \operatorname{erfc}^{-1}(\xi d / r) \right\}^2}$$

ξ random number over $[0, 1]$

Algorithm

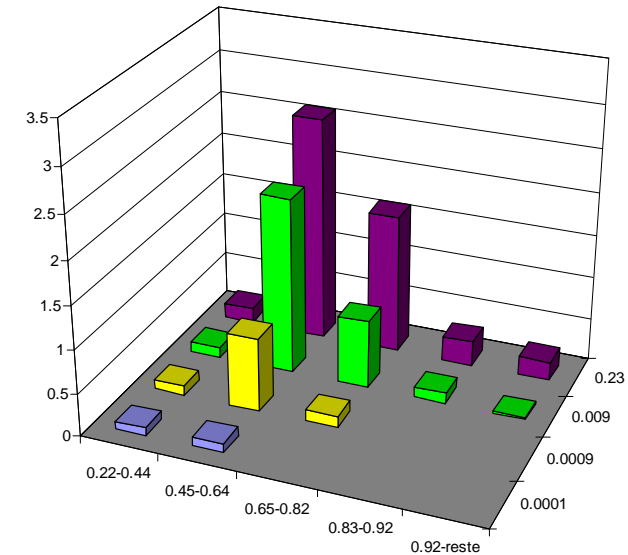
- chooses a time step Δt
- calculates the delay τ of each possible reaction
- selects the shortest event τ_s in the list of all possible events
- executes the event deleting if necessary the defects that have interacted and computing the delay associated with the newly created defect if any
- updates the actual time by adding τ_s
- reduces the remaining delays by τ_s
- repeats steps 3, 4 and as far as no other event is possible before the end of Δt

OKMC

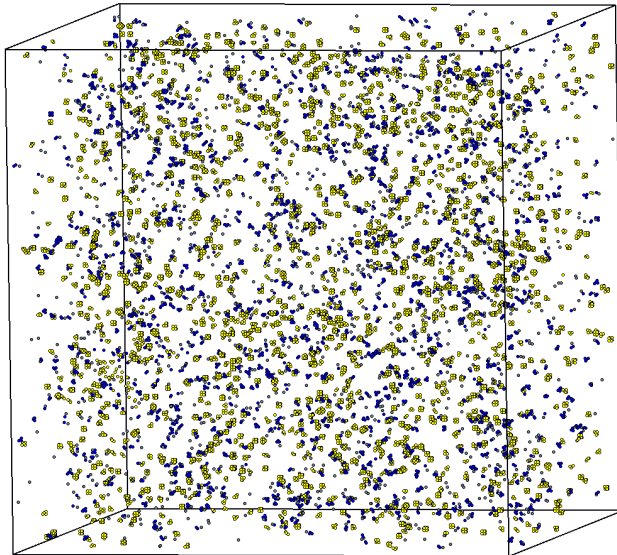


Ferritic model alloy 70°C

© C.Domain, C. becquart, L. Malerba,

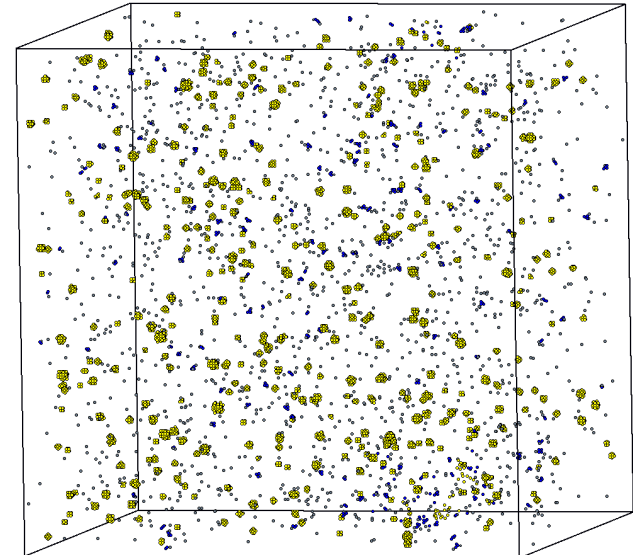


DEFECT POPULATION at 0.1 dpa



$7 \cdot 10^{-5}$ dpa/s

flux effect



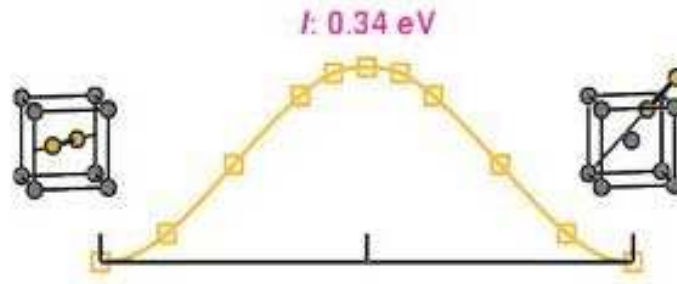
$7 \cdot 10^{-11}$ dpa/s

EBMC

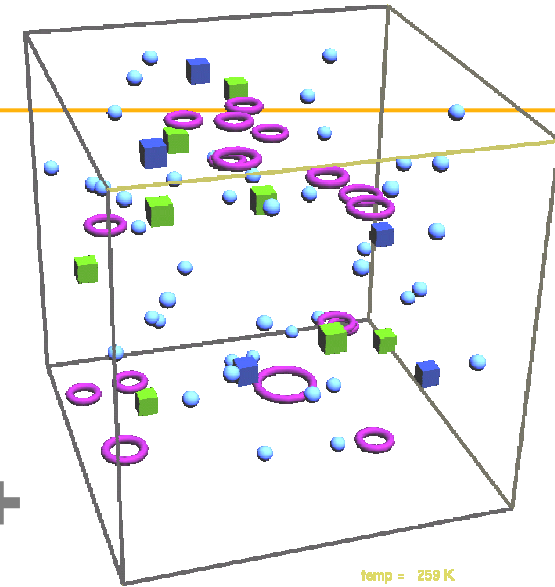


Numerical simulations / experimental resistivity recovery

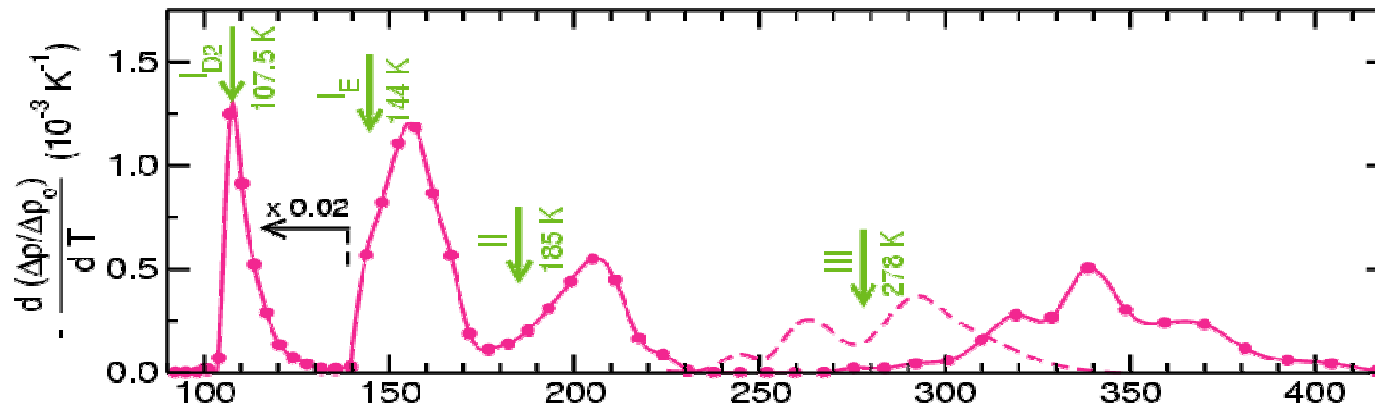
Macroscopic
Resistivity
recovery
Experiments
by Takaki et al.



Ab initio *SIESTA*
Energetics and
migration of defects



Kinetic Monte Carlo *Jerk*
size and time evolution of
the defect population



- Excellent agreement with the experimental results.
- $I_n (\geq 4)$ migration not required to reproduce the experiments. © J. Dalla Torre, C.C. Fu, ..., ...
- Peak position very sensitive to mechanisms rates.

Rate theory basis



Location of the object no more considerate

If N_j the number of object of type j , in a volume Ω

- If only SIA (dislocation loops) and vacancy clusters (voids), the state vector is:**

$$\mathbf{X} = \{N(V_1), N(V_1), \dots, N(I_1), N(I_2), \dots\}$$

$N(V_n)$ the number of vacancy clusters (voids) made of n vacancies
and $N(I_n)$ the number interstitial clusters (loops) made of n SIA's.

- Average number of SIA clusters, for example:**

$$\langle N(I_n) \rangle = \sum_{\mathbf{X}} N_{I_n}(\mathbf{X}) P(\mathbf{X})$$

•

- Rate theory is obtained in the thermodynamic limit:**

$$N(I_n) = \lim_{\Omega \rightarrow \infty} \langle N(I_n) \rangle_{\Omega}$$

Rate theory basis



Concentration per unit volume of object $C(I_n) = N(I_n) / \Omega$

Master equation for rate theory (deterministic equation)

$$\frac{\partial C(I_n)}{\partial t} = \sum_m C(I_m) w_{m \rightarrow n} - C(I_n) \sum_n w_{n \rightarrow m}$$

If I_n is a mobile, elimination term of clusters on fix sinks

$-L(I_n) C(I_n)$ **must be added**

If clusters are generated in cascades, a source term

$G(I_n)$ **must be added**