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International Centre for Theoretical Physics*



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Winter College on Optics in Environmental Science

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Control of the environment by surface plasmon resonance optics

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CONTROL OF THE ENVIRONMENT BY SURFACE PLASMON RESONANCE

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Winter college on optics in environmental science
ICTP-Trieste-Italy- 2-13 February 2009

TOPICS

-MOTIVATION

-SURFACE PLASMON RESONANCE

-SURFACE PLASMON RESONANCE FOR SENSING

-SPR FOR CONTROL OF THE ENVIRONMENT

MOTIVATION

- Simple equipment as a sensing devices with high potential for *research* and for # *fields of applications*
- economically attractive
- applications in molecular sensing (high sensitivity, selectivity, fast)
- need moderate training
 - possibility to these sensors to act as portable or “lab-on-chip” devices that can be used by moderately trained individuals outside the laboratory environment .

TOPICS

MOTIVATION

SURFACE PLASMON RESONANCE

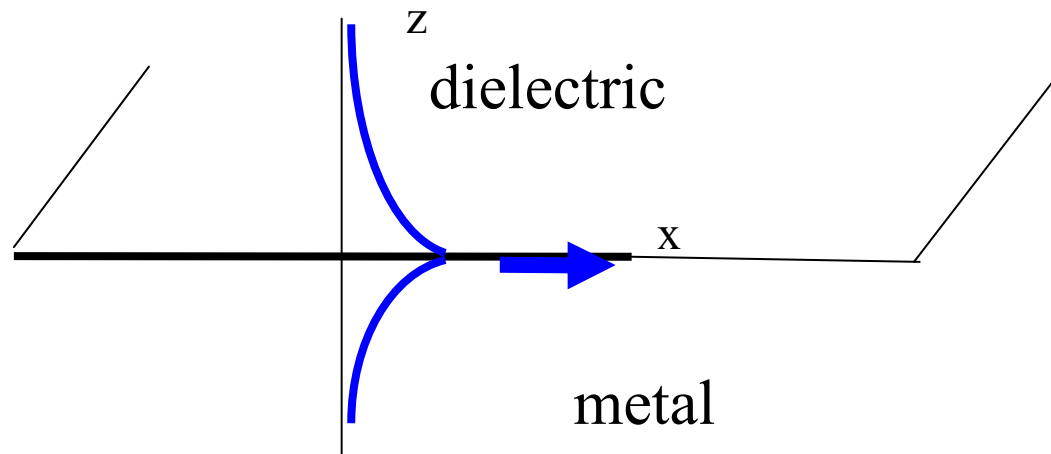
SURFACE PLASMON RESONANCE FOR SENSING

SPR FOR CONTROL OF THE ENVIRONMENT

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Surface Plasmon Resonance

takes place when we may excite a surface plasma waves



- SPW : collective oscillation of free electrons

□ - for some conditions $\rightarrow$ resonance

□ - Basis for a sensing SPR device.

SPR APPLICATIONS

Environment

Agriculture

Medicine

Defense

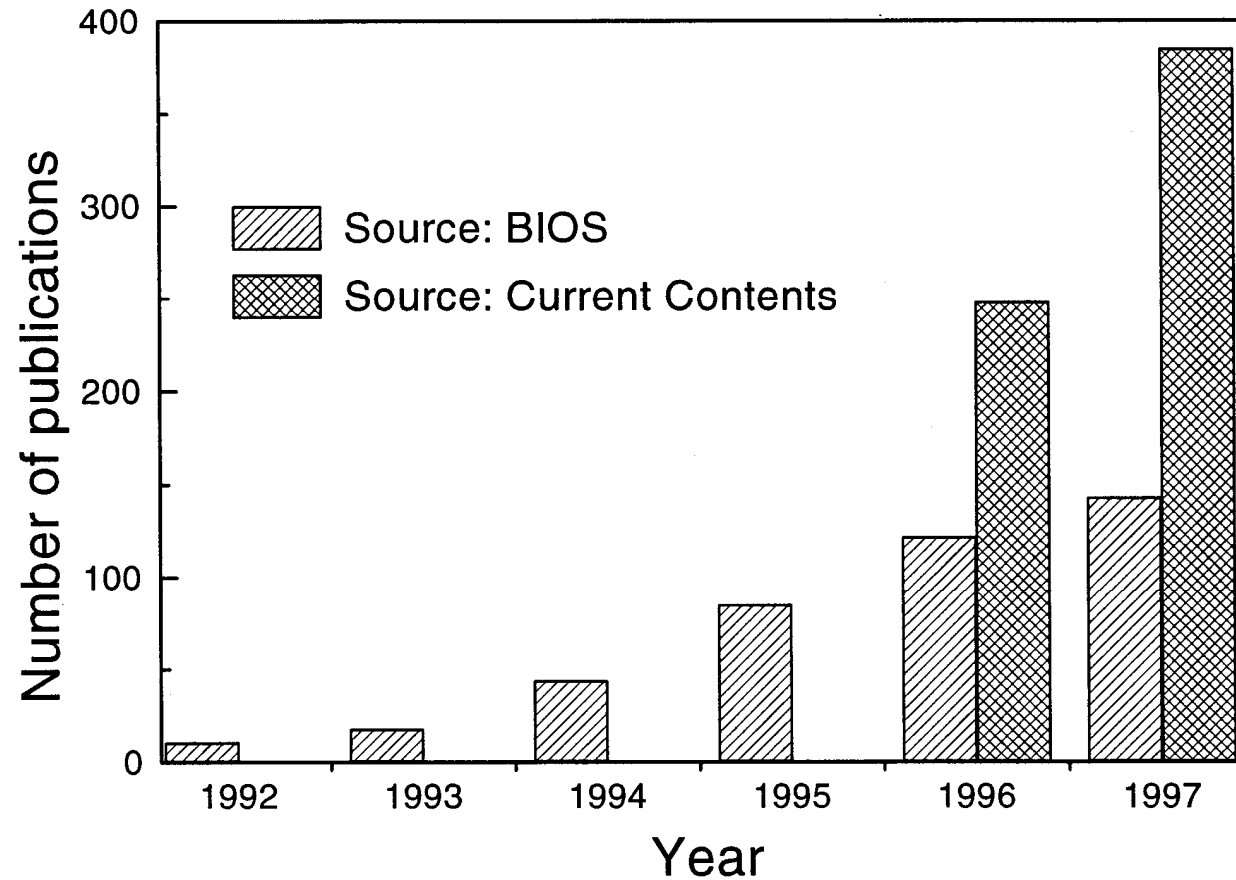
...

SPR research in all area



Increase SPR sensor technology

Great attention from scientific Community



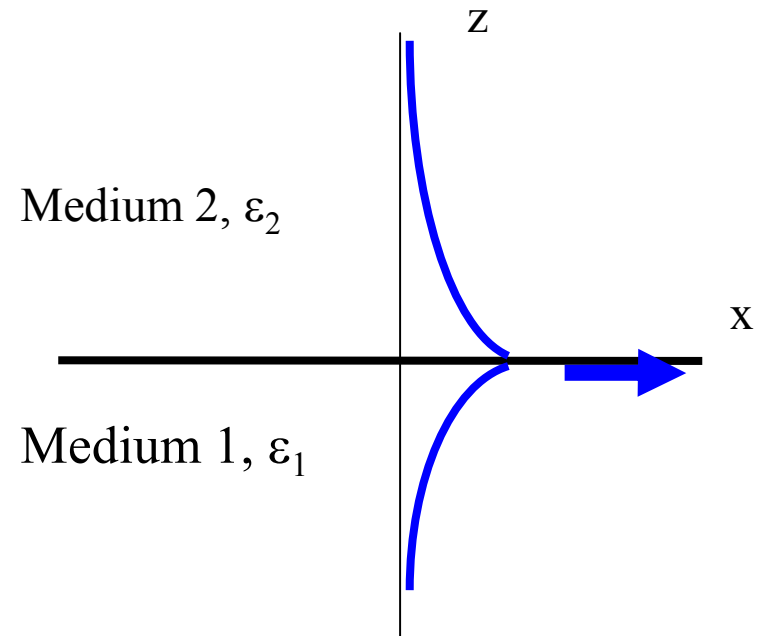
Number of research papers on SPR sensors
listed by scientific databases (Homola).

(SPW) is a TM-polarized wave

For $\mathbf{k} = (k_x, 0, 0)$

$$H(x, z, t) \begin{cases} 0 \\ H_y(z) \exp(j(k_x x - \omega t)) \\ 0 \end{cases}$$

$$E(x, z, t) \begin{cases} E_x(z) \exp(j(k_x x - \omega t)) \\ 0 \\ E_z(z) \exp(j(k_x x - \omega t)) \end{cases}$$

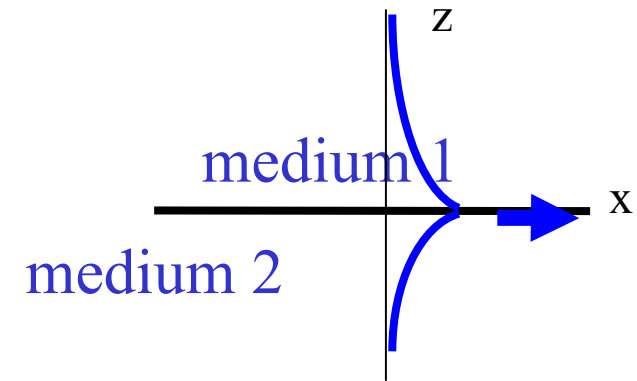


$$H_{y1}(x,z,t) = H_0 \exp(q_1 z) \exp j(k_x x - \omega t);$$

(medium 1, $z < 0$; q_1 (real) > 0)

$$H_{y2}(x,z,t) = H_0 \exp(-q_2 z) \exp j(k_x x - \omega t);$$

(medium 2, $z > 0$; q_2 (real) > 0)



Maxwell conditions :

$$\text{rot}(\mathbf{E}) = -\delta \mathbf{B} / \delta t \quad \text{et} \quad \text{rot}(\mathbf{H}) = \delta \mathbf{D} / \delta t \quad (\mathbf{D} = \epsilon \mathbf{E} ; \quad \mathbf{H} = \mu \mathbf{B})$$

$$E_{x1} = (q_1 / j\omega\epsilon_1) H_{y1}(x, z, t) \quad ; \quad E_{x2} = (q_2 / j\omega\epsilon_2) H_{y2}(x, z, t)$$

Continuity Conditions at the interface:

$$- \mathbf{q}_1 / \mathbf{q}_2 = - \epsilon_1 / \epsilon_2 > 0$$

$$- \mathbf{k}^2 = (\omega^2 / c^2) \{ \epsilon_1 \epsilon_2 / \epsilon_1 + \epsilon_2 \} > 0$$

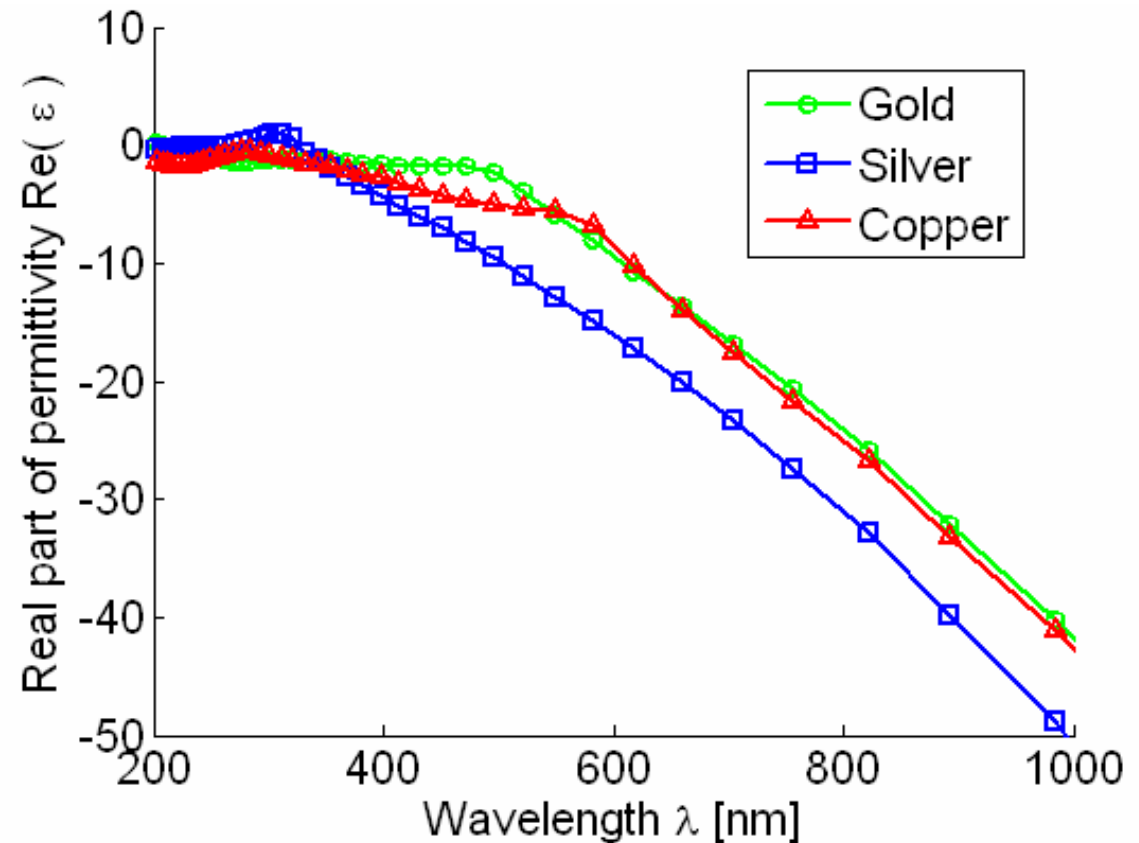
$$\rightarrow (\epsilon_1 + \epsilon_2) < 0$$

The real part of ϵ_1 and ϵ_2 have opposite sign

→ Mediums: metal, dielectric

the dielectric constant of the metal , ($\epsilon_m = \epsilon_{mr} + i\epsilon_{mi}$)

ϵ_{mr} , needs to be negative



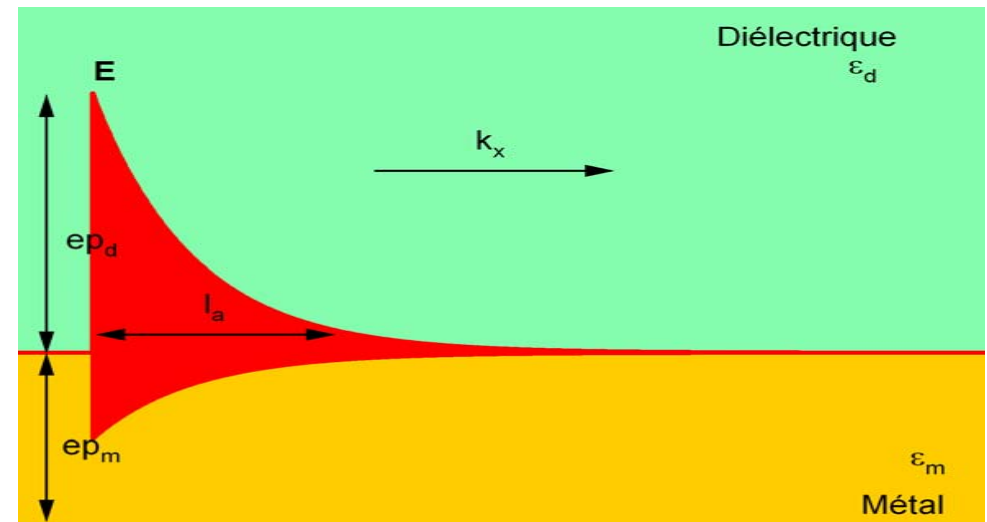
Refraction Index for some dielectric at 660nm ($\epsilon_d = n^2$)

Glass BK7 $n = 1,5142$

SF10 $n = 1,7205$

SF11 $n = 1,7756$

$$\mathbf{E} = E_x(z) \mathbf{u} \exp(j(k_x x - \omega t))$$



Metal layer supporting SPW

Wavelength
 Propagation length (mm)
 Penetration depth into metal (nm)
 Penetration depth into dielectric (nm)
 Concentration of field in dielectric (%)

Silver

	630 nm	850 nm
Propagation length (mm)	19	57
Penetration depth into metal (nm)	24	23
Penetration depth into dielectric (nm)	219	443
Concentration of field in dielectric (%)	90	95

Gold

	630 nm	850 nm
Propagation length (mm)	3	24
Penetration depth into metal (nm)	29	25
Penetration depth into dielectric (nm)	162	400
Concentration of field in dielectric (%)	85	94

Comparison of SPW propagating along the interface
 between
 water and a metal layer silver and gold at two distinct wave-
 lengths in the visible and near-infrared

an excitation of SPW by an optical wave: $[(\omega / c), k (k_x, k_y, k_z)]$

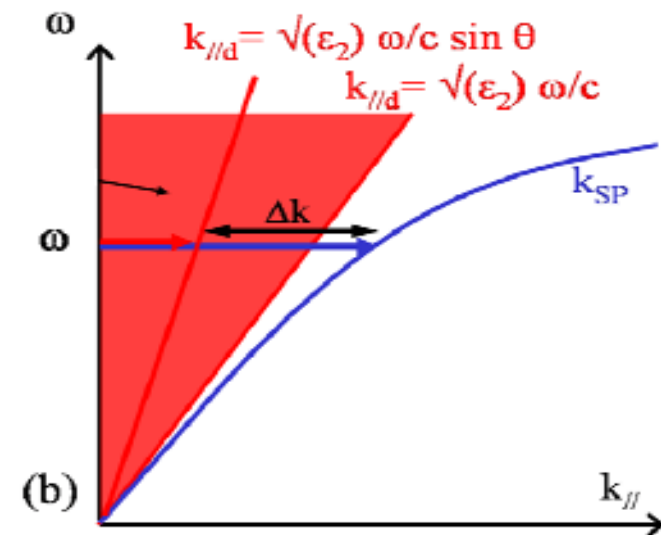
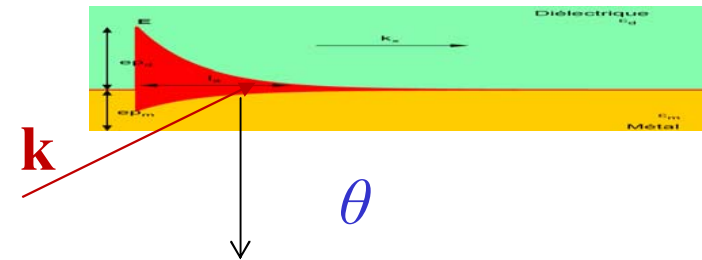
can lead to resonance if

resonant transfer of energy &
momentum into the SPW →

(SPR manifests itself by resonant
absorption of the energy of the SPW
with matching the requirement

$$k_x = k_{SPW}$$

k_{SPW} is always h



→ The SPW cannot be excited directly by an incident optical wave at a planar metal dielectric interface

the incident optical wave vector has to be enhanced to match that of the SPW with an increase : $\Delta k = k_{spw} - k_{x,photon}$

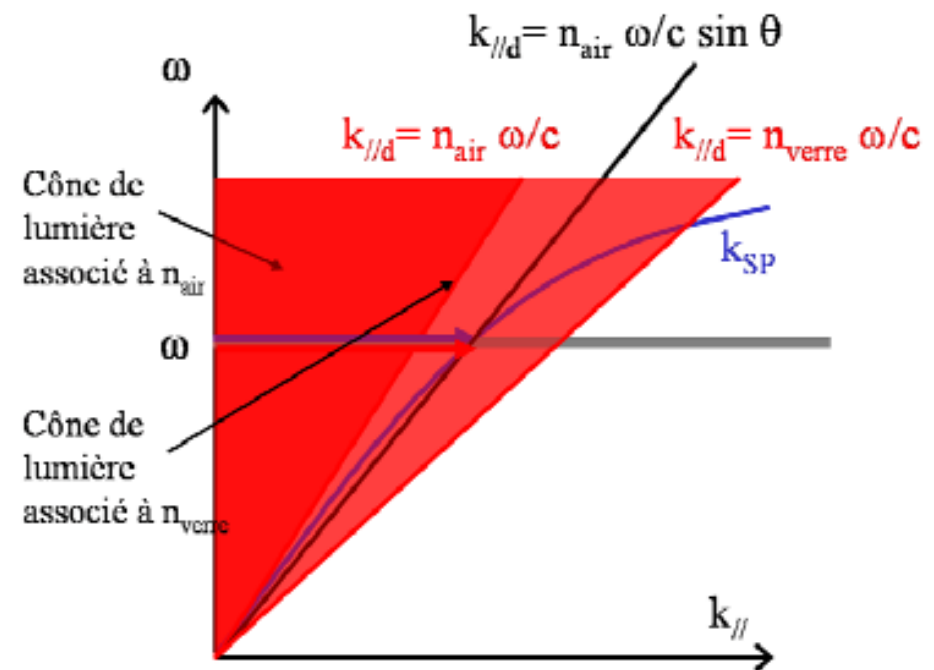


a *third insulating* substrate layer, index n , can be added while launching the incident light at an angle θ .

→(multilayer arrangement),

→first configurations:

dielectric-metal-dielectric
& coupling (~1970)

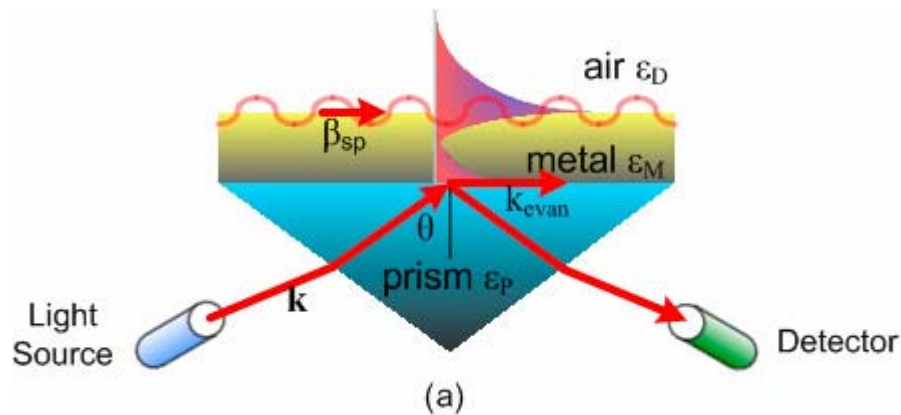
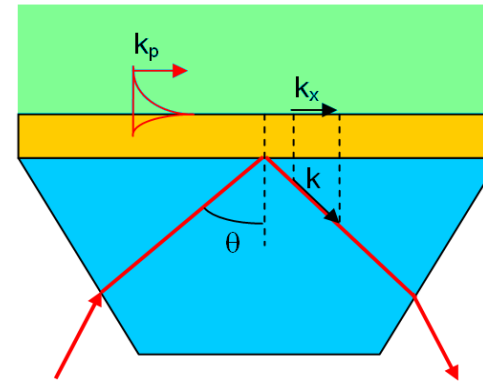


Resonance through Attenuated Total Reflexion –ATR- in prism coupler

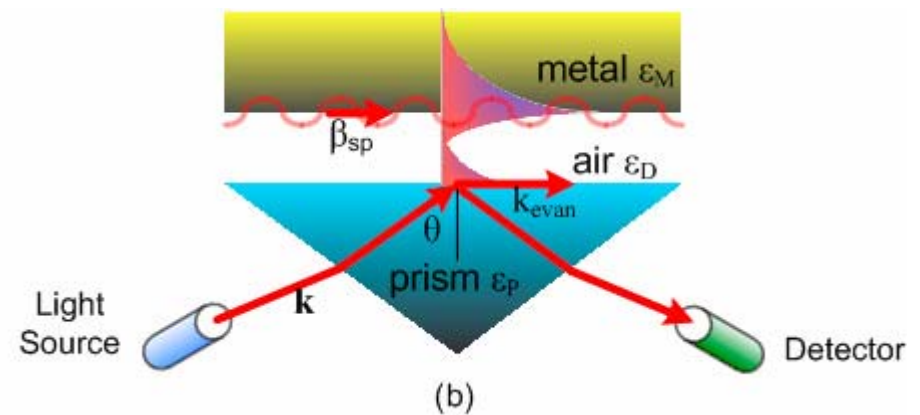
COUPLING if: $\theta > \theta_{\text{critical}}$.

$$(\omega / c) \cdot n_{\text{prism}} \cdot \sin\theta =$$

$$(\omega / c) [(\epsilon_m \epsilon_d) / (\epsilon_m + \epsilon_d)]^{1/2}$$



E.Kretschmann configuration



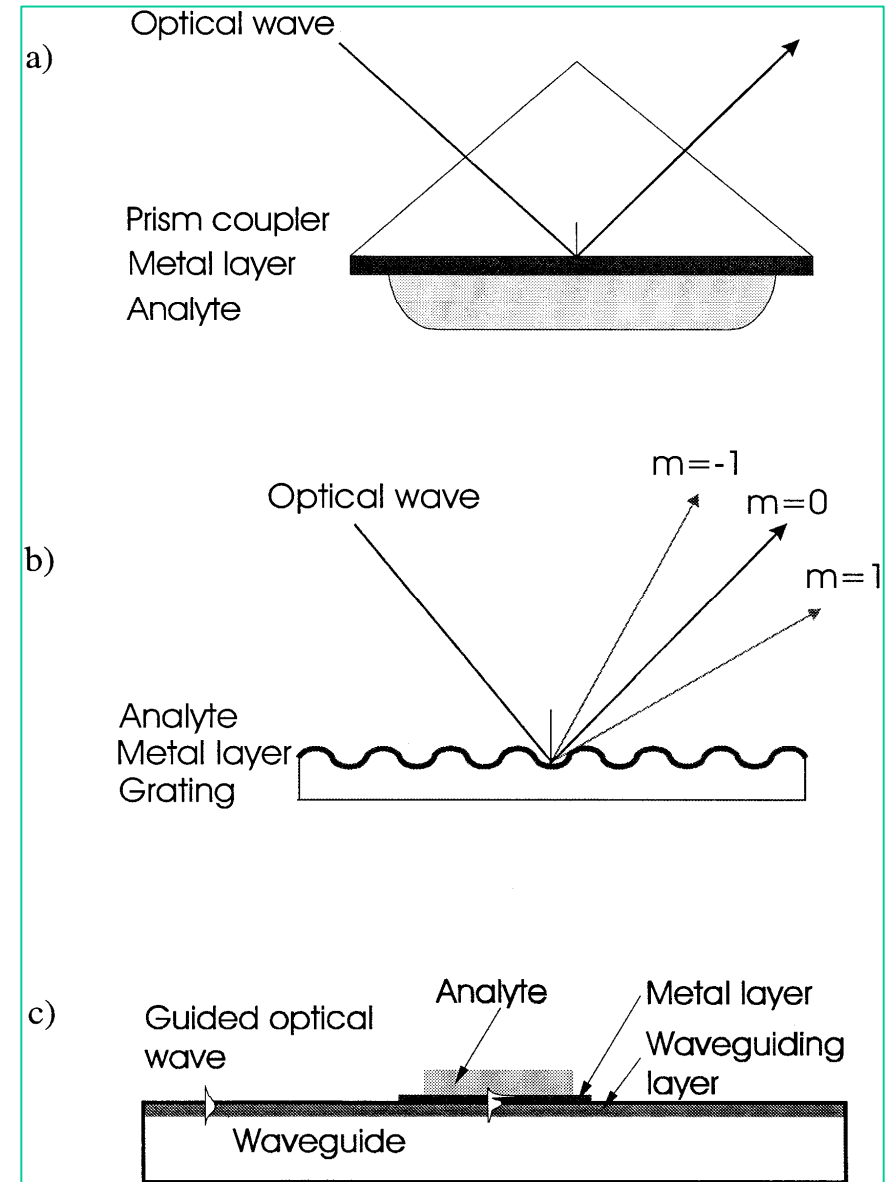
A.Otto configuration

PARTICULAR SPR CONFIGURATIONS

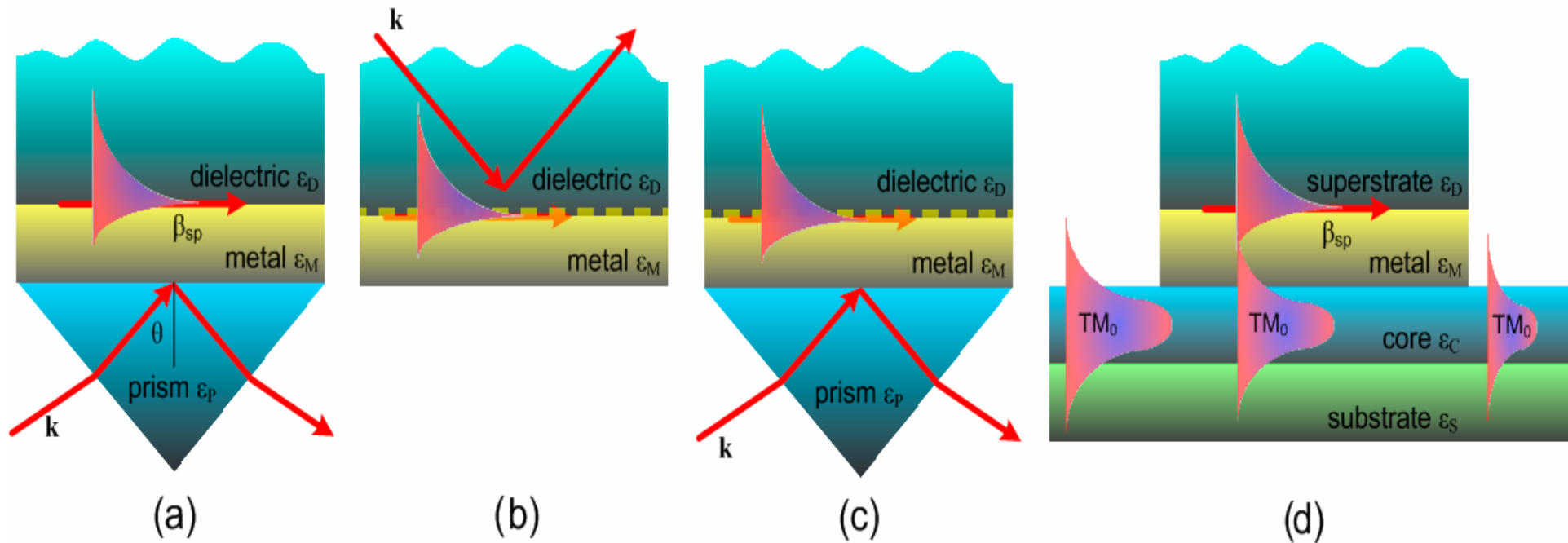
**-attenuated total reflection (ATR)
in prism couplers**

**-diffraction at the surface of
diffraction gratings.**

**-attenuated total reflection (ATR) in
Optical wave guides**



Different methods to excite surface plasmons



prism coupling

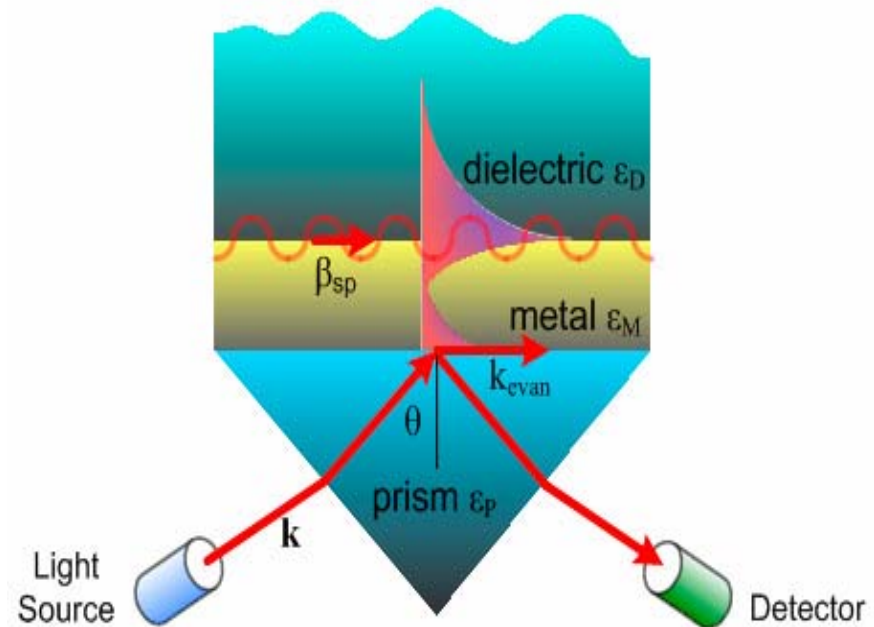
waveguide coupling

direct grating

indirect grating coupling

Common concept for spr optical chemical sensors and biosensors

- optical system :
- transducing medium
- electronic system.



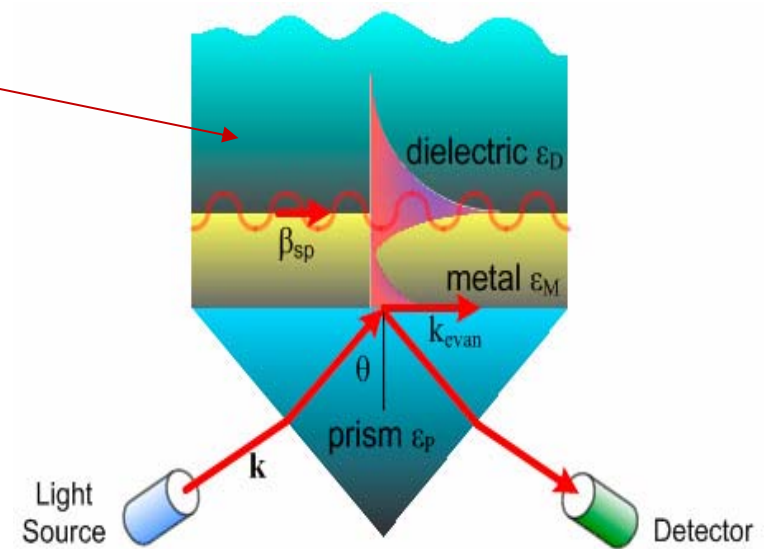
-The sensor sensitivity, stability, and resolution depend upon properties of both the optical system (nature, n , T , e_m), the transducing medium & the experimental approach

-Selectivity and response time of the sensor are primarily determined by the properties of the transducing medium

SPR Sentivity

SPR **very sensitive to variations in the optical properties of the** transducing medium.

Metal film should be thin enough



→ variations in the optical parameters of the transducing medium can be detected by monitoring an interaction between the SPW and the optical wave

→ **SPR OPTICAL CHEMICAL SENSORS AND BIOSENSORS**

Main detection approaches in SPR sensor

$$\mathbf{E} = E_x(z) \mathbf{u} \exp j(k_x x - \omega t)$$

→ The optical system used to excite the SPW is simultaneously used for the interrogation of SPR.

1/. Measurement of the intensity of the optical wave near the resonance

2/. Measurement of the resonant momentum of the optical wave including angular , and wavelength interrogation of SPR

3/. Measurement of changes in phase and polarization

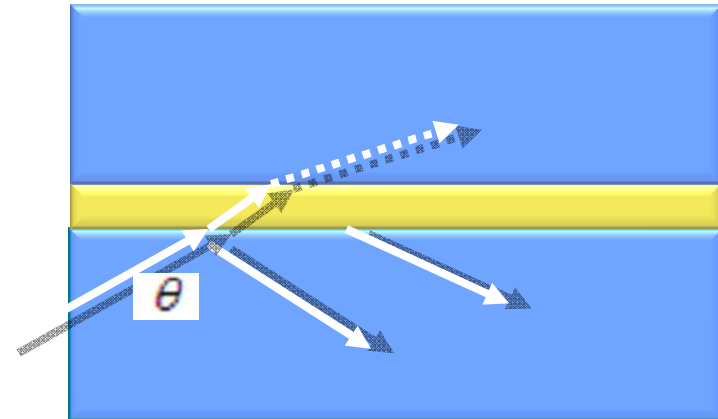
Reflectivity

$$f(n_m, n_d, e, \lambda, \theta)$$

diélectrique

metal

prism

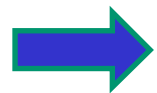


diélectrique(n_3)

Metal (e, n_2)

Prism glass (n_1)

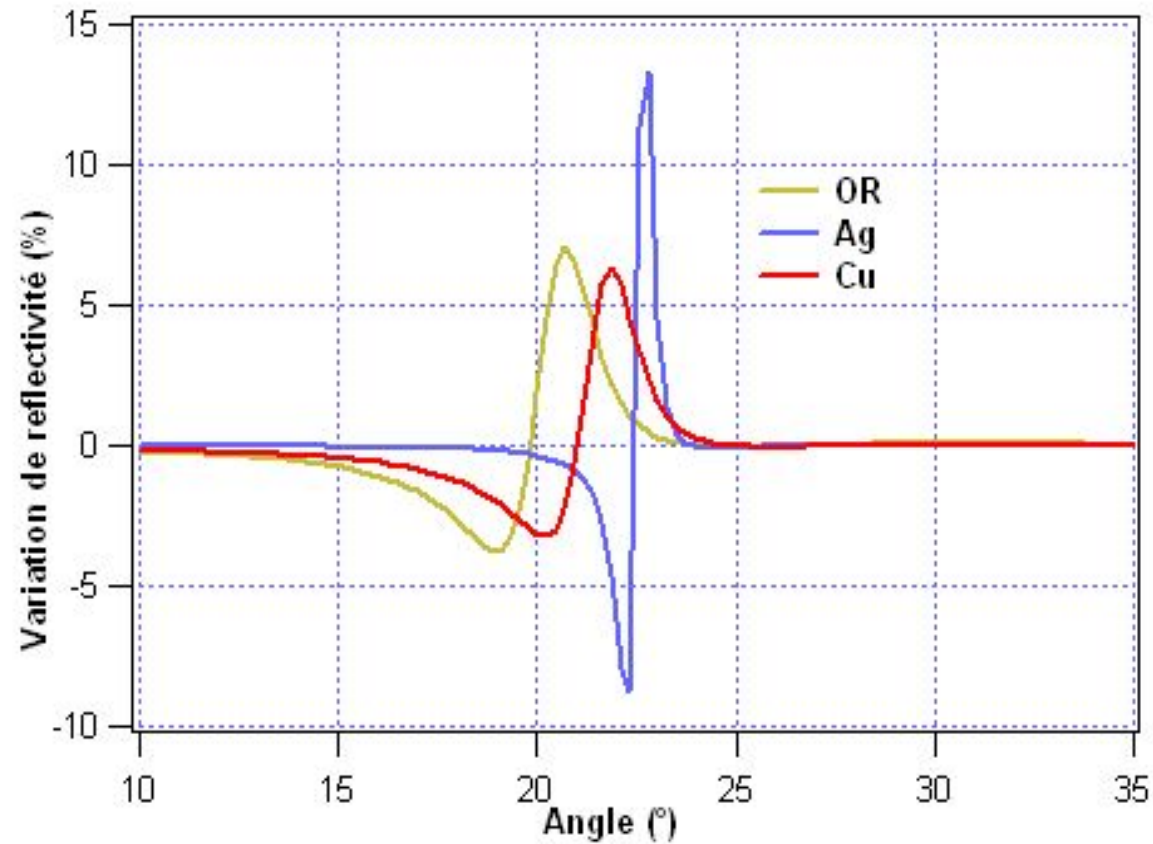
Rouard Method
for 3 layers



For 3 layers $\rightarrow r_2 = \frac{r_{1-2} + r_{2-3}e^{2j\varphi_2}}{1 + r_{1-2}r_{2-3}e^{2j\varphi_2}}$

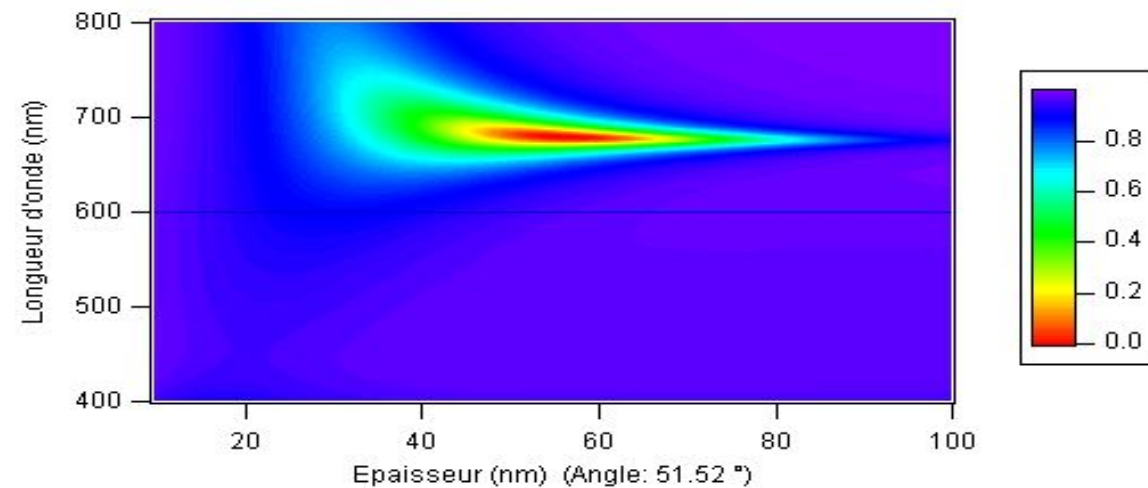
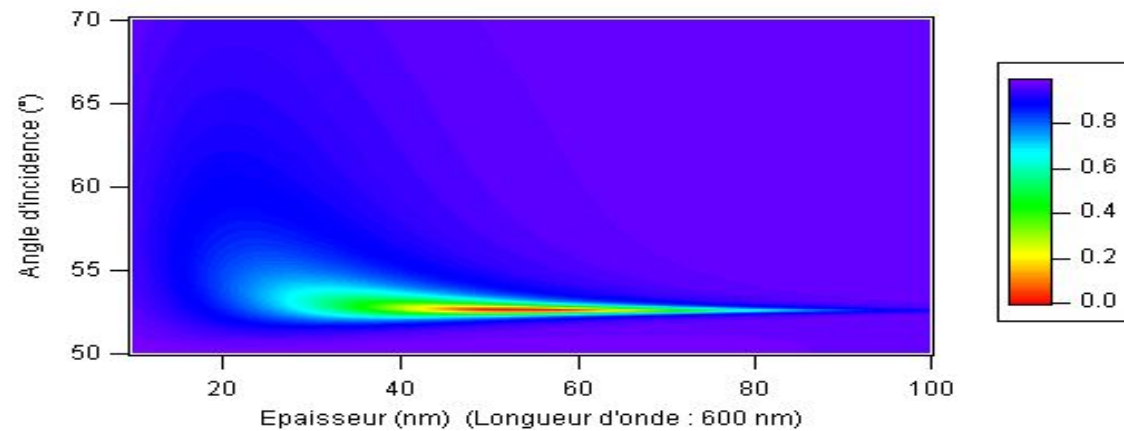
For p layers $\rightarrow r_{p-2p} = \frac{r_{p-2p-1} + r_{p-1p}e^{j\varphi_{p-1}}}{1 + r_{p-2p-1}r_{p-1p}e^{j\varphi_{p-1}}}$

Choice of metal



Silver induces the greatest and sharpness reflectivity
→ more sensibility

Choice of metal thickness (for Ag , prismSF11)



Angular interrogation

$$K_{SPR}(\omega) = \text{Re} \left(\sqrt{\frac{\epsilon_m(\omega)\epsilon_d(\omega)}{\epsilon_m(\omega) + \epsilon_d(\omega)}} \right) \frac{\omega}{c}$$

$$K_x = \frac{\omega}{c} n_{prism}(\omega) \sin \theta$$

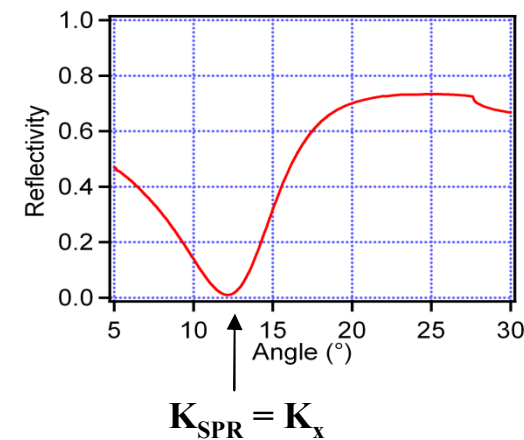
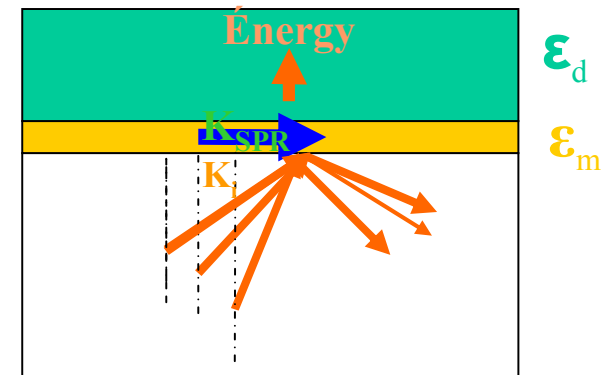
Wavelength constant



ϵ_d , ϵ_m and $\epsilon_{prism} = \text{Cte}$



$$K_x = K_{SPR}$$



Spectral interrogation

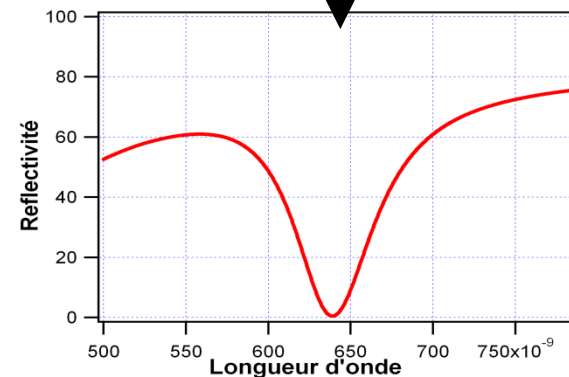
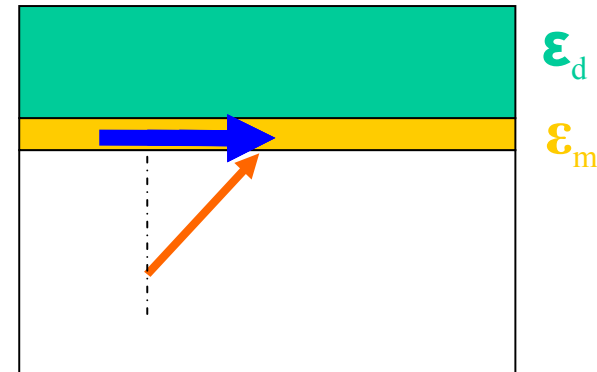
$$K_{SPR}(\omega) = \text{Re} \left(\sqrt{\frac{\varepsilon_m(\omega)\varepsilon_d(\omega)}{\varepsilon_m(\omega) + \varepsilon_d(\omega)}} \right) \frac{\omega}{c}$$

Angle constant

$K_x \approx \text{fixe}$

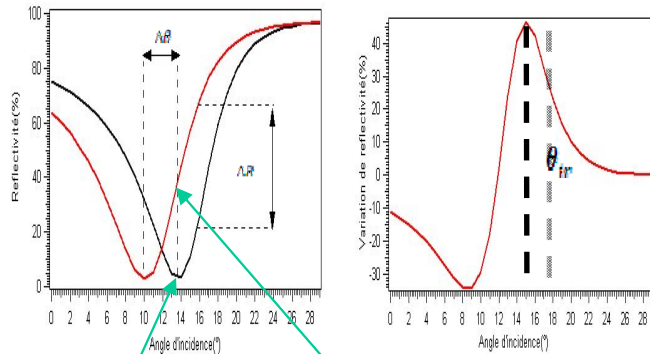
Changing the wavelength
for coupling

$$K_x = \frac{\omega}{c} n_{prism}(\omega) \sin \theta$$

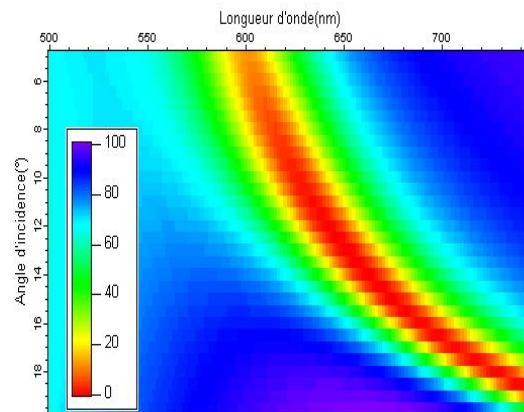


Example of Simulation for DNA (anomaly analysis)

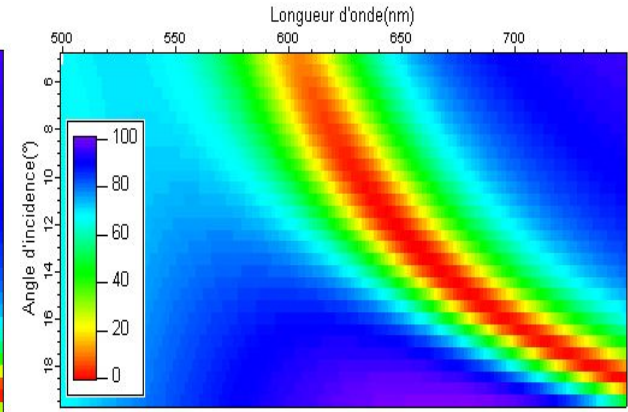
reflectivity & its variation for 3 layers configuration



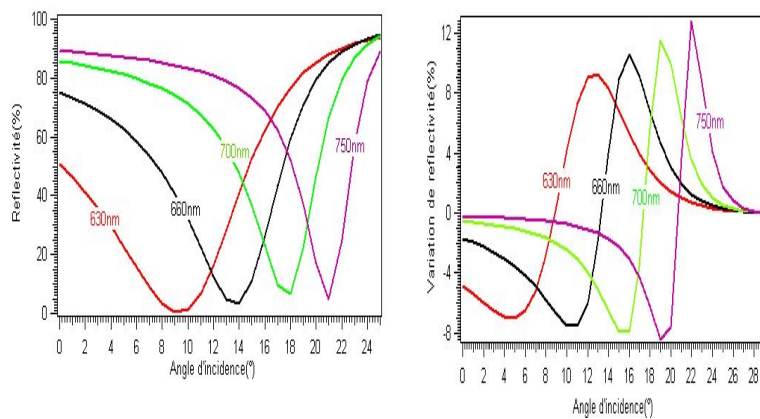
prism BK7; Au(47 nm) water +10 nm dielectric (DNA=1.46)
& prism BK7; Au(47 nm) +water at $\lambda = 660 \text{ nm}$ for # angles



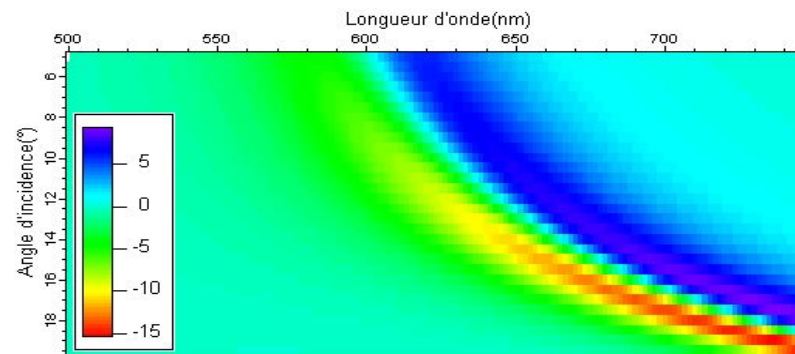
prismBK7+(2nmChr
& 47 nm Au)
+water



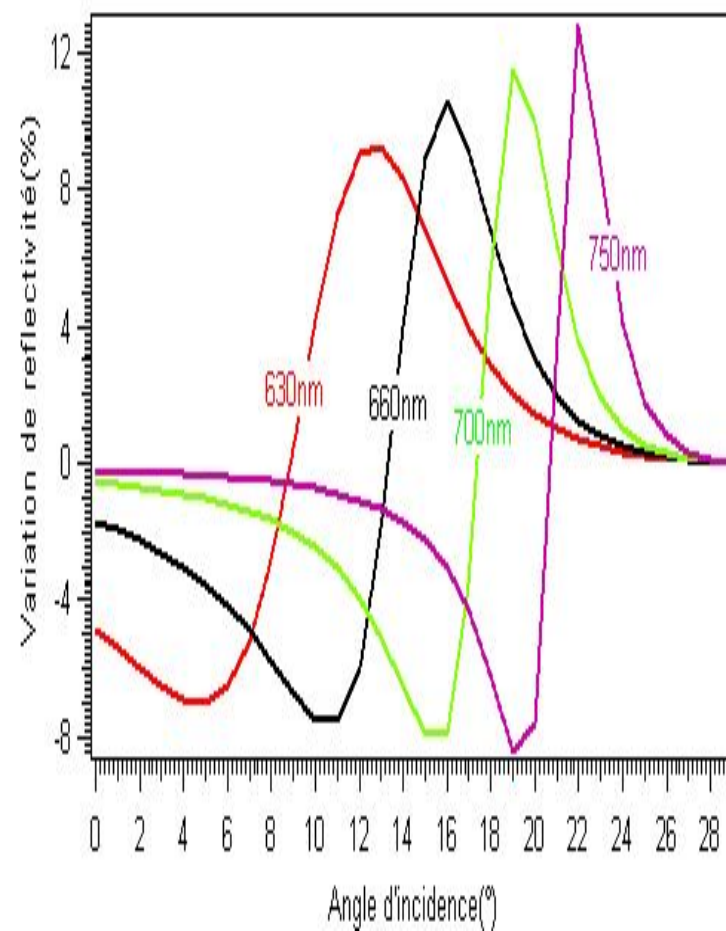
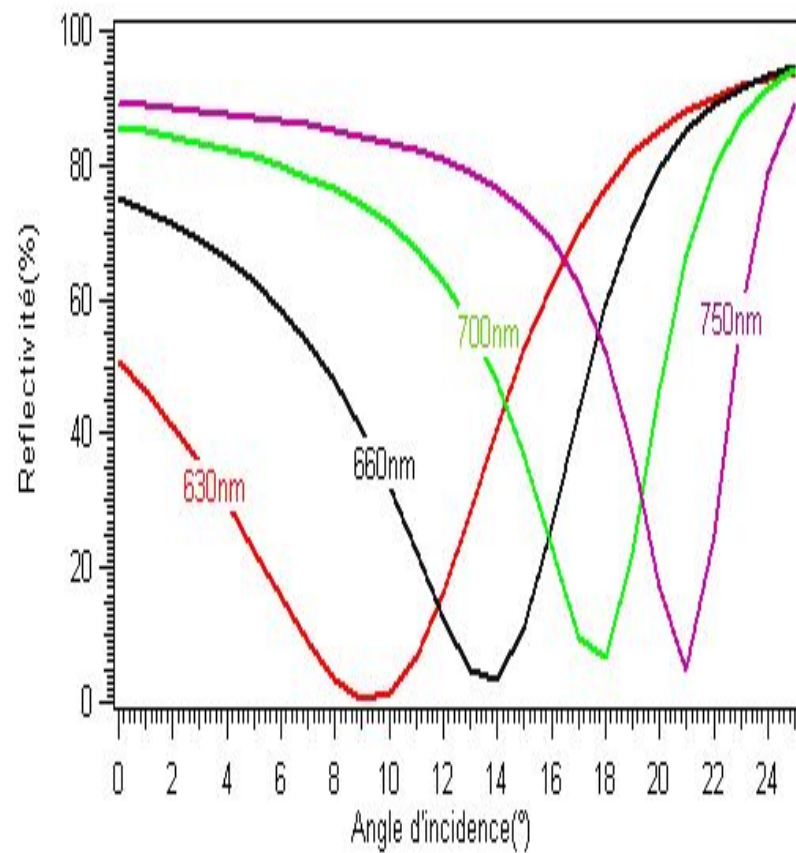
prismBK7+chip(2nmChr
& 47 nm Au)+ DNA (2 nm, $n=1.46$)
+water



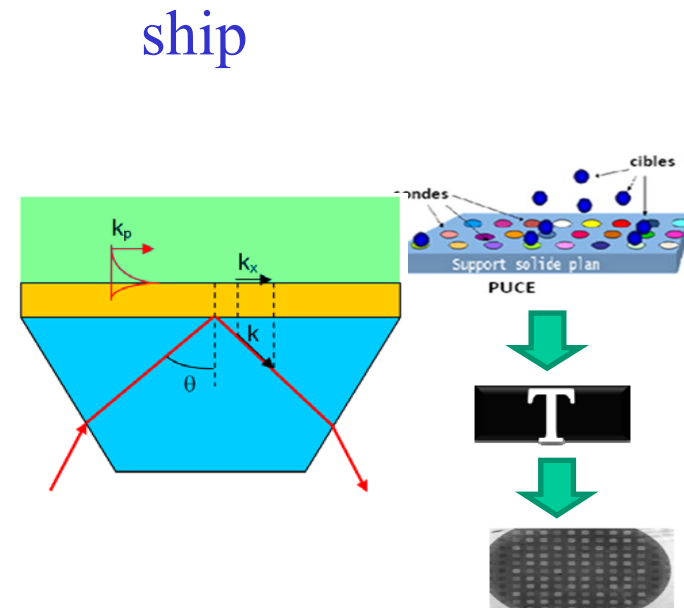
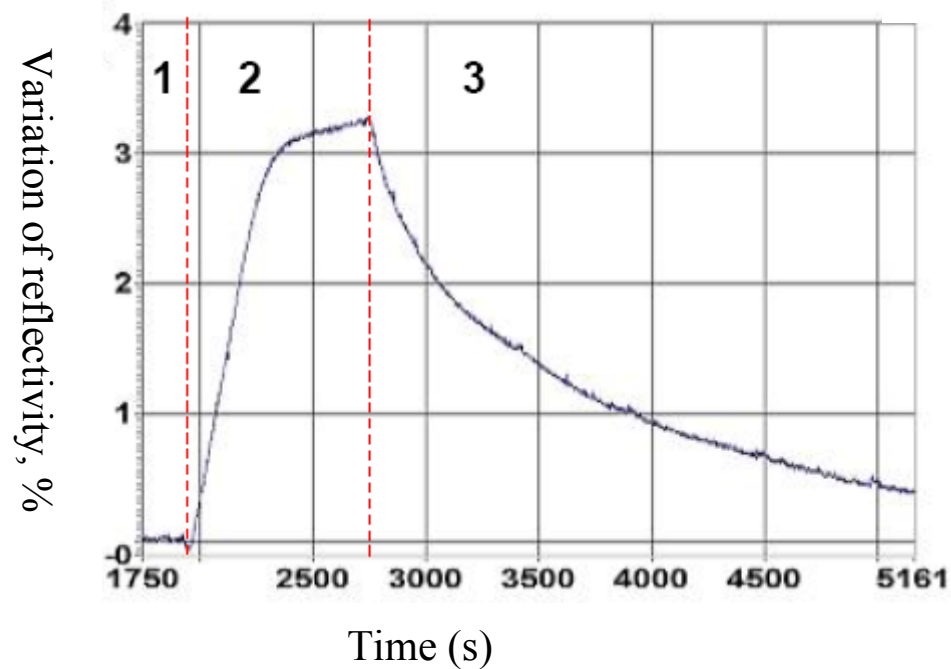
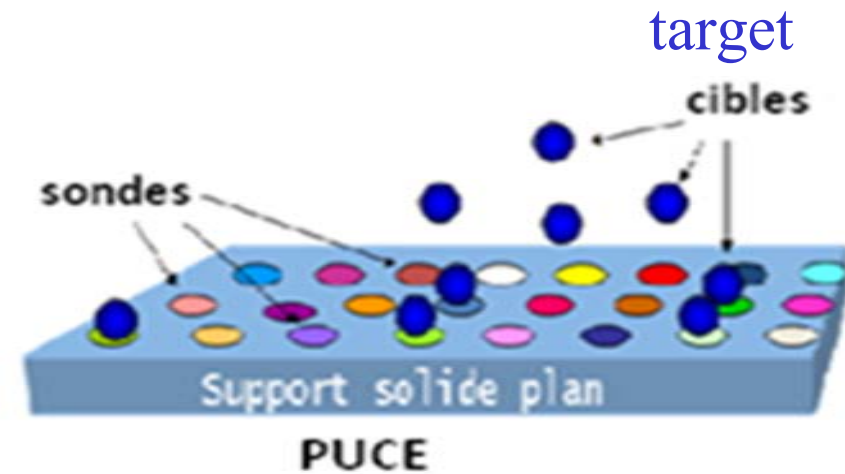
prism BK7; Au(47 nm) water +10 nm dielectric (DNA=1.46)
& water at # λ & for # angles



Difference in reflectivity for addition of the biological layer DNA ($e=2 \text{ nm}$, $n=1.46$)



Molecular interaction dynamics



Example of Kinetic of reaction ,
 1 injection of tampon (reference); 2 injection of target with tampon; 3 rinsing (dissociation)

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Measurement of physical quantities

-humidity sensor utilizing humidity-induced refractive index changes of porous thin layers and polymers.

&

-temperature based on the thermo optic effect in hydrogenated amorphous silicon.

Chemical sensing

- Molecular hydrogen
- Hydrocarbon gases as benzene, toluene,...
- NO₂ , H₂S, NH₃, ...
- Heavy Metal Ions in water,
-

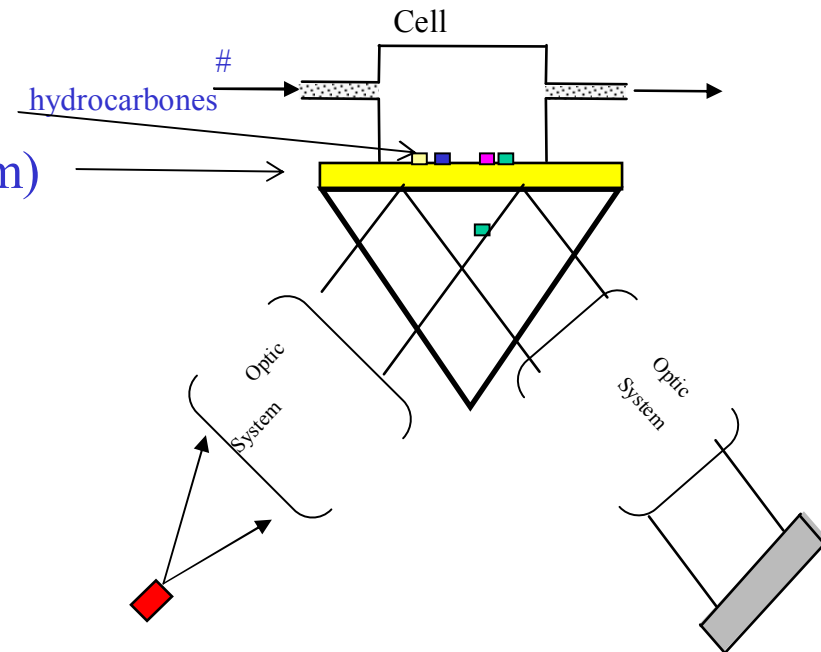
Sensing for hydrocarbons sensing

(Shozo Miwa et al, Thin solid film, 281-282(1996))

polyethylene glycol ($n=1.46$, $e \sim 85\text{nm}$)

silver (60nm)

Angular interrogation resolution: 0.002° ,



Alcohols, aldehydes, ketones vapour, and lower hydrocarbon gases mixed in dry air at various concentrations (0.3–29%) were passed through a gas cavity ($\sim 2.0\text{ cm}^3$) to displace the air; an inlet and/or outlet plug knob was closed 10 min following the operation.

Sensing for hydrocarbons sensing

(Shozo Miwa et al, Thin solid film, 281-282(1996))

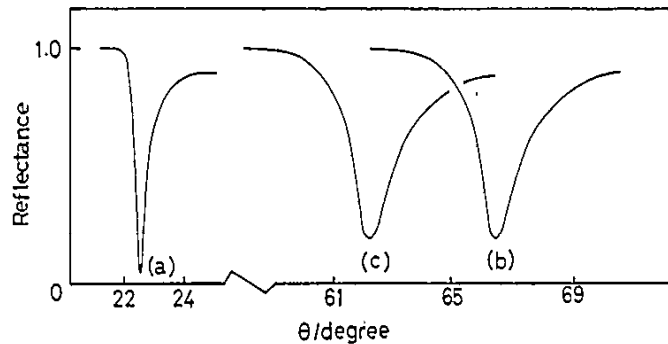


Fig. 1. Resonance curves for: (a) a silver thin film in air, (b) a coated polyethylene glycol film, and (c) the curve produced on the introduction of methanol vapour over a polyethylene glycol film.

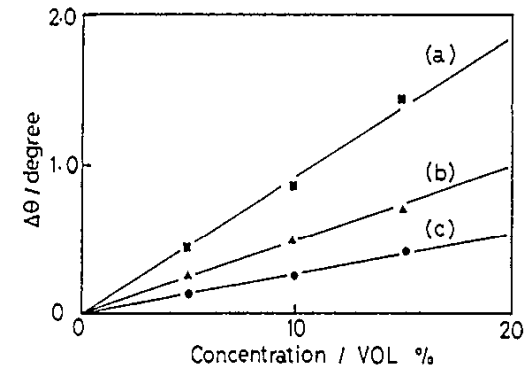
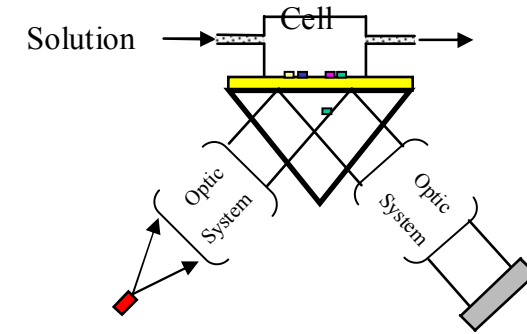


Fig. 4. Calibration plots of the vapour of aldehydes: (a) $\text{CH}_3(\text{CH}_2)_2\text{CHO}$ (13.4), (b) $\text{CH}_3\text{CH}_2\text{CHO}$ (18.5), (c) CH_3CHO (21.1). The values in parentheses are the dielectric constants.

In all cases the shift of the incident angle increase with the increase of the number of carbones

Detection limit 77 ppm , comparable to chromatography detection apparatus

Sensing for hydrocarbons sensing

(Shozo Miwa et al, Thin solid film, 281-282(1996))

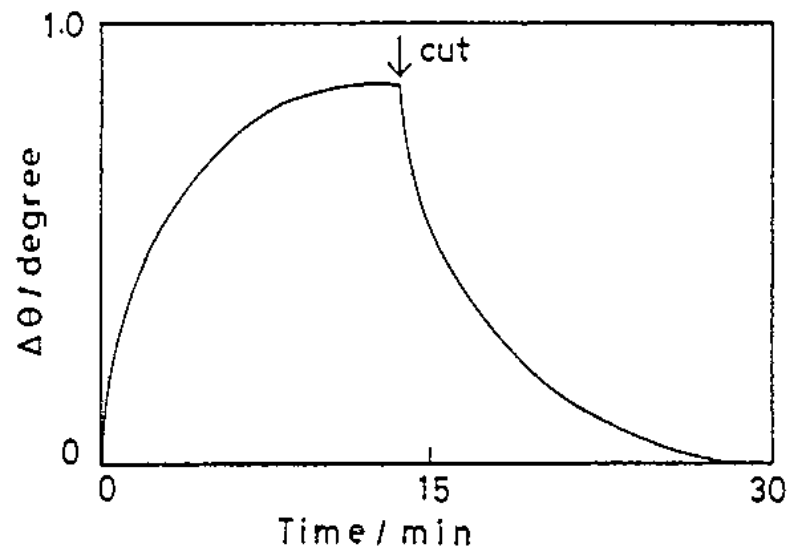
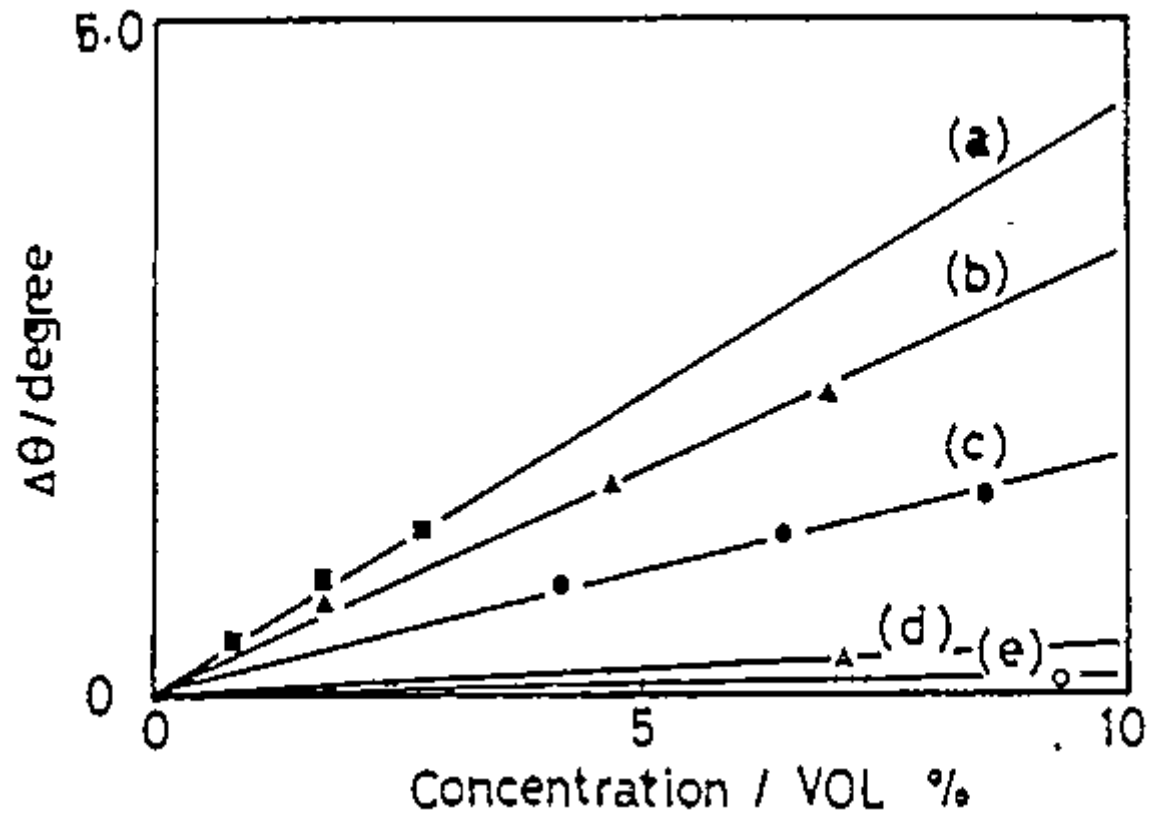


Fig. 5. The time response of the incident angle shifts upon exposure of the polyethylene glycol film to CH_3OH vapour (5 vol.%).

kinetic studies

(Shozo Miwa et al, Thin solid film, Japan(1996))



Calibration plots for gas adsorbing organic material :

(a) $\text{C}_3\text{H}_7\text{OH}$ (20.3), (b) $\text{C}_2\text{H}_5\text{OH}$ (24.6),

(d) $(\text{C}_2\text{H}_5)_2\text{O}$ (4.3),

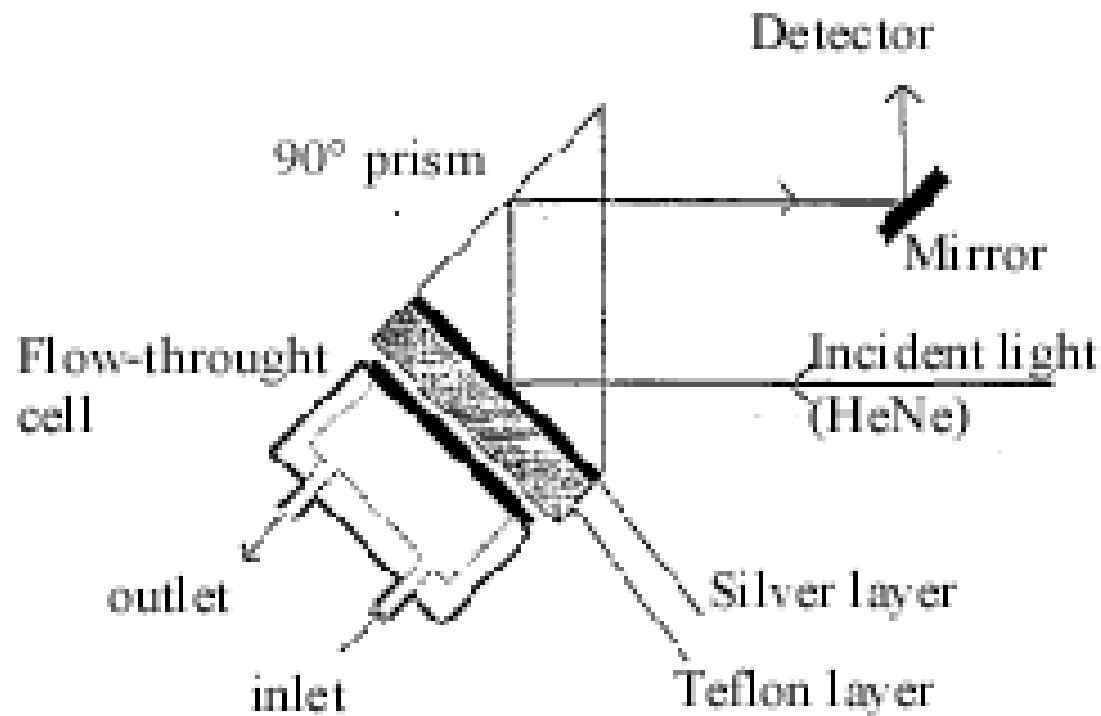
(e) n- C_6H_{14} (1.9),

() dielectric constants

→ device sensor

Gaz sensing -benzene,toluene, xylene - & process dynamic analysis

(R.P.Podgorsek et al- Germany - Sensors & Actuators B (1997))

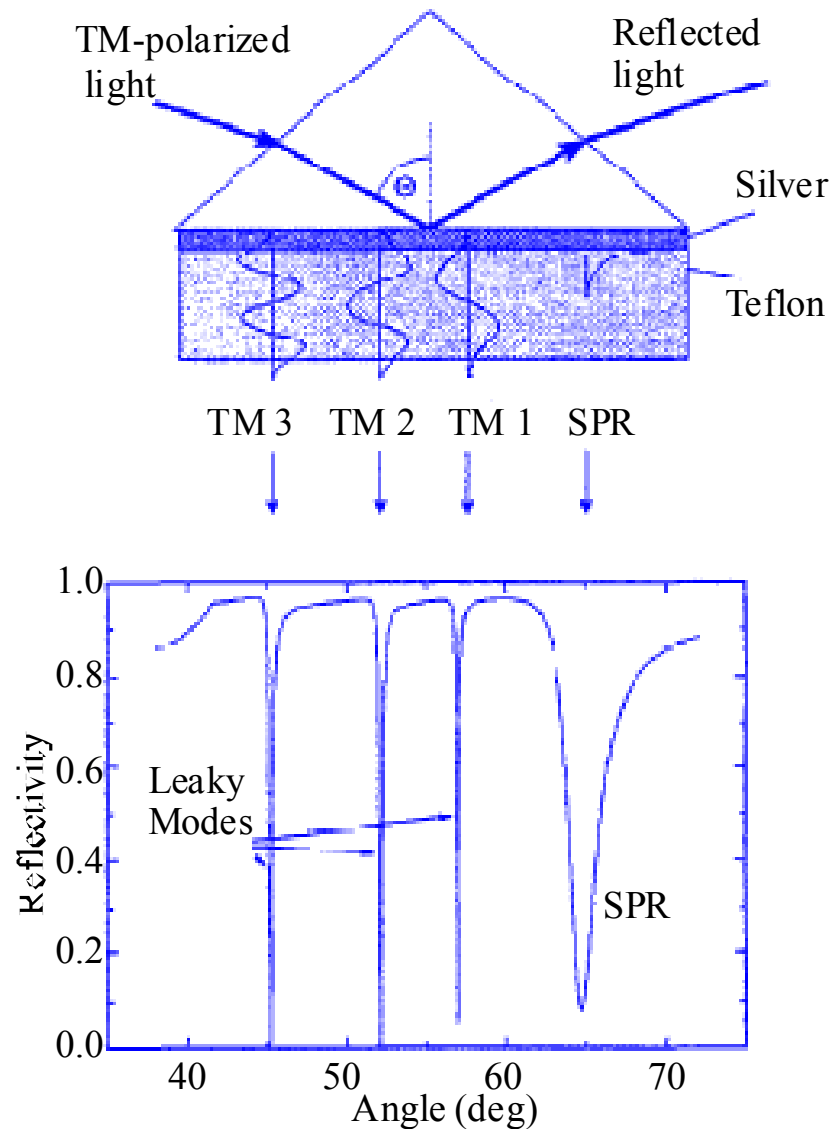


Set up for ATR leaky mode spectrum of a polymer film on exposure to a vapour atmosphere

The SPP resonance occurs at the metal-polymer interface with a penetration depth of 10 to 100 nm. Thus the vapour molecules have to diffuse through the polymer until the SPP sphere of influence is reached and the position of its resonance is shifted

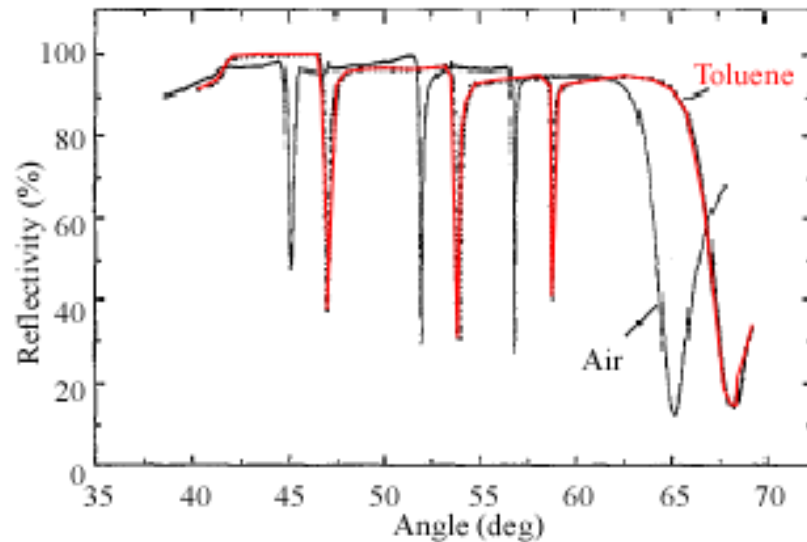
Gaz sensing -benzene,toluene, xylene - & process dynamic analysis

(R.P.Podgorsek et al- Germany - Sensors & Actuators B (1997))

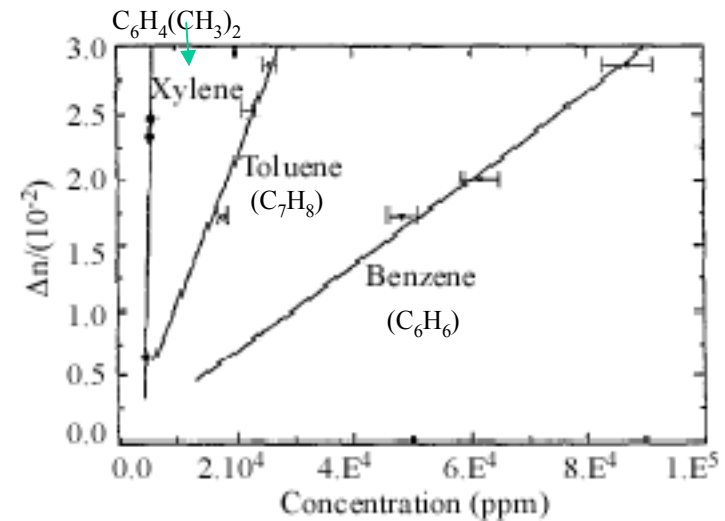


Beside the SPR resonance, the leaky mode resonance of the polymer film appear in the spectrum.

Concentration analysis



Shift of the whole spectrum under a toluene atmosphere.



refractive-index change of a 1- 3 μm Teflon film for different vapour concentration of xylene, toluene and benzene

Analyte	Xylene	Toluene	Benzene
Sensitivity ($\Delta n/ppm$)	1.51×10^{-5}	1.41×10^{-6}	2.96×10^{-7}

Sensitivity values for different analytes

→ the smaller is the molecule the lower the time constant -

Dynamic process Analysis

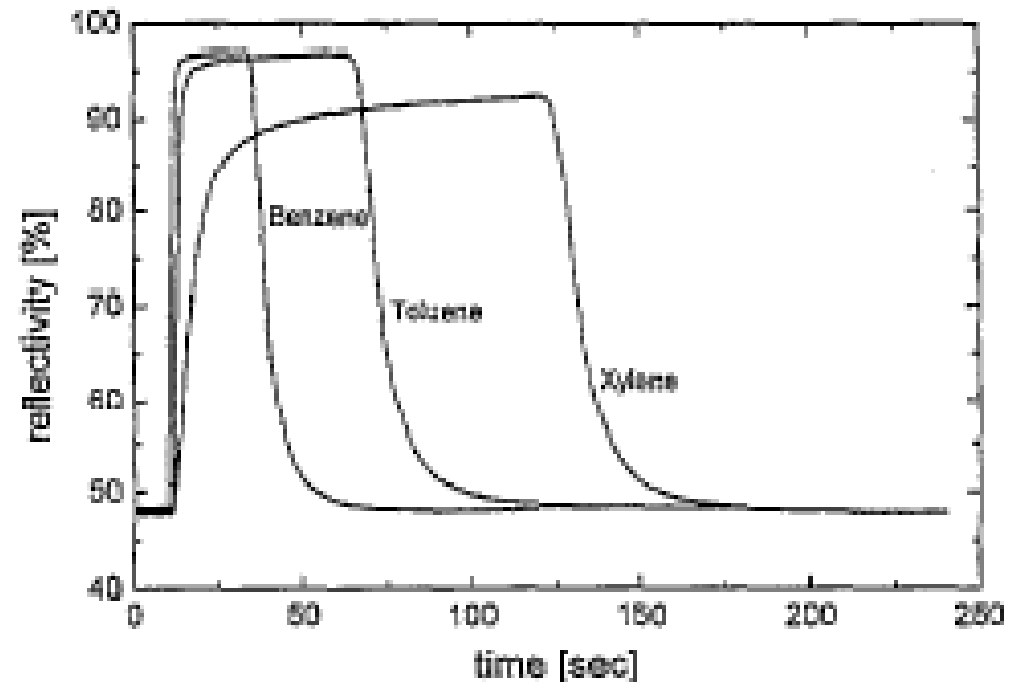


Fig. 6. Change of reflectivity measured on the angle of the SPP resonance for saturated BTX vapours.

Table 2
Response times for different analytes

Analyte	Xylene	Toluene	Benzene
Response time (s)	8.3	2.0	1.3

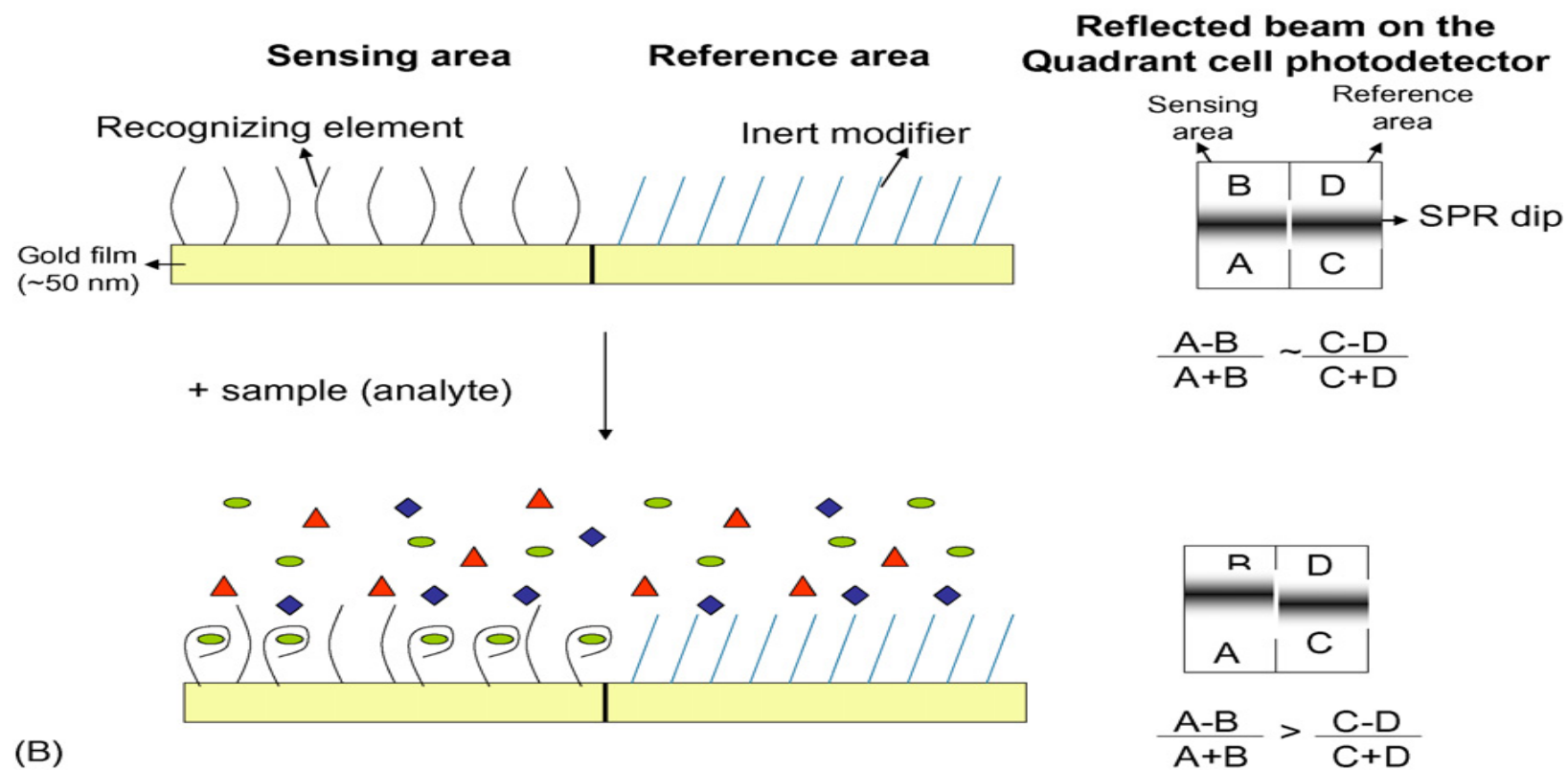
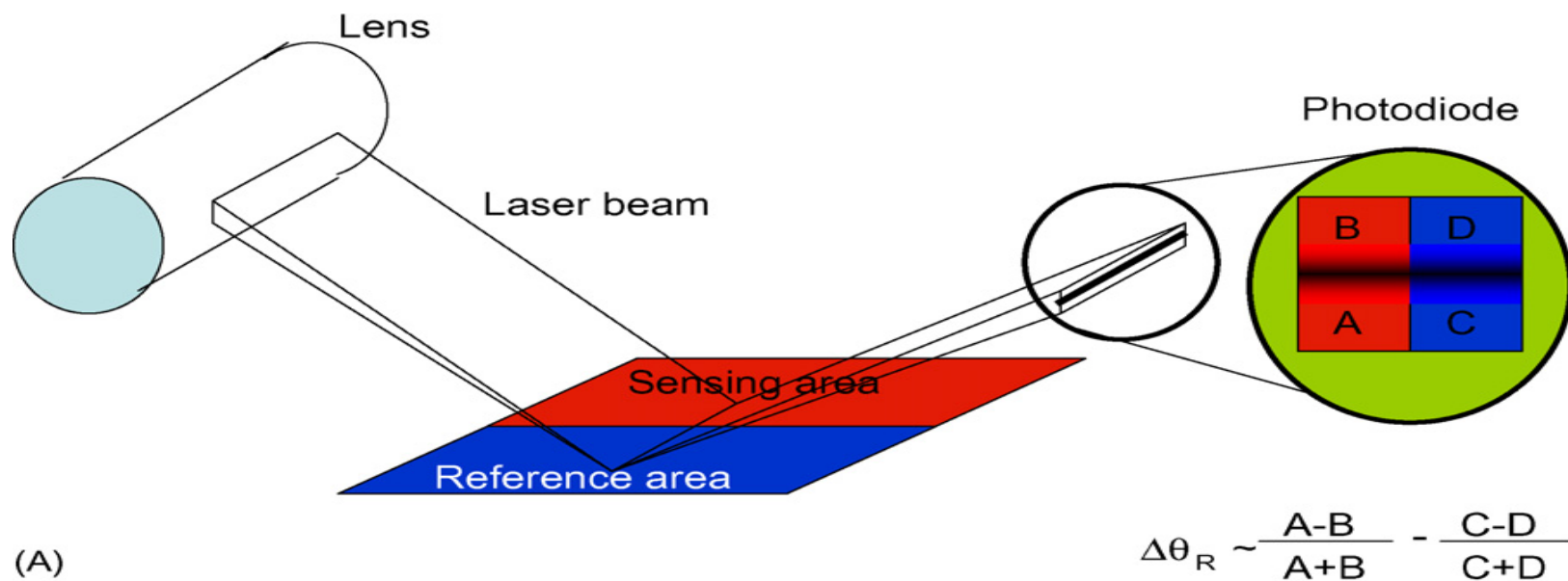
the smaller is the molecule,
the lower are the time constants.

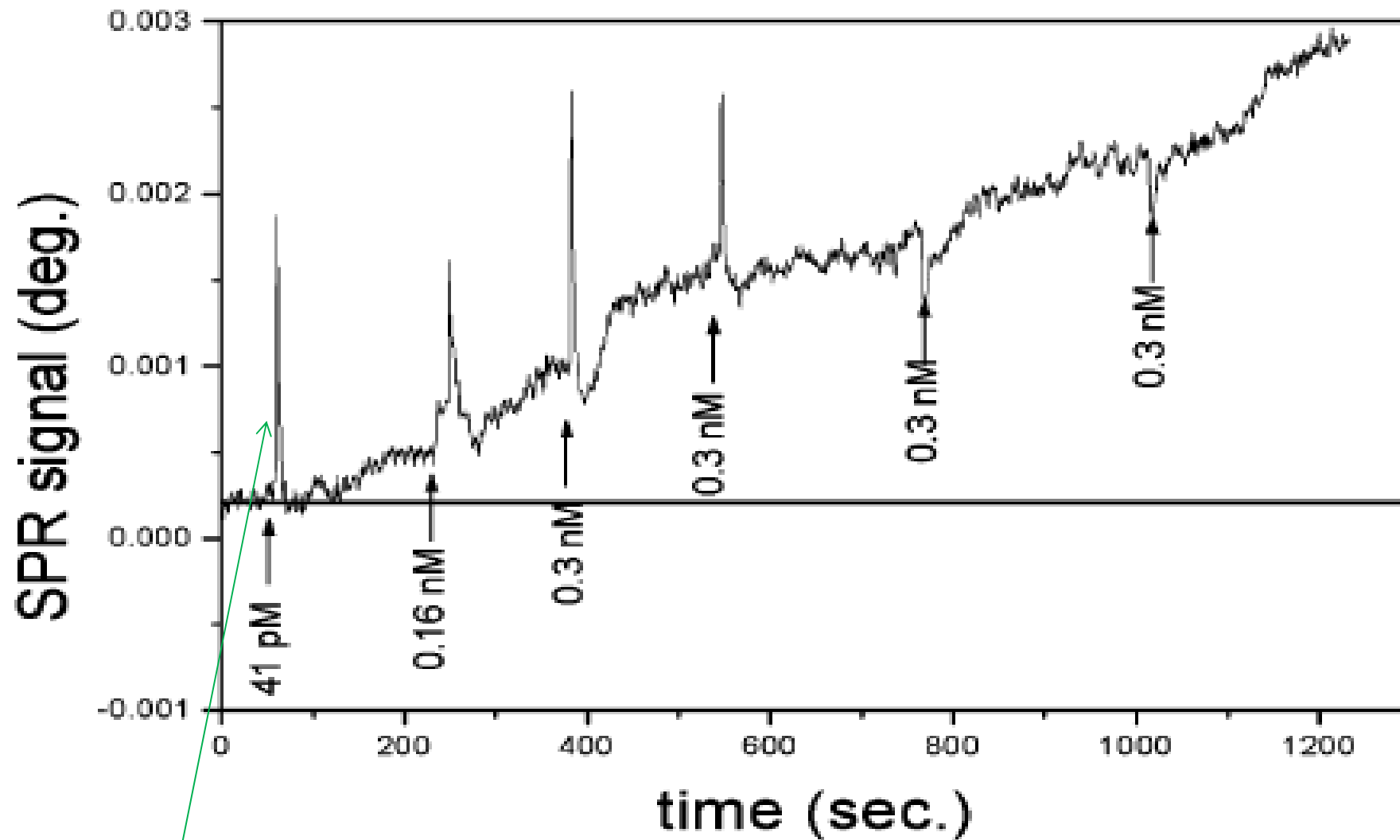
HEAVY METAL ION DETECTION WATER

Heavy Metal Ions in Drinking Water (*Erica S. Forzani et al, Environ. Sci. Technol. 2005*)

Arsenic in groundwater (*Erica S. Forzani et al-Sensors and Actuators B (2007)*)

Selective detection of Cu^{2+} and Ni^{2+} and arsenic in the ppt-ppb range was obtained using differential surface plasmon resonance (SPR).





Detection of the specific binding of Ni^{2+} in real time from solution concentrations as low as 41 pM (2.4 ppt)

SURFACE PLASMON RESONANCE BIOSENSING

The first application of SPR to biosensing was demonstrated in 1983.

Survey on real-time biospecific interaction analysis with # methods

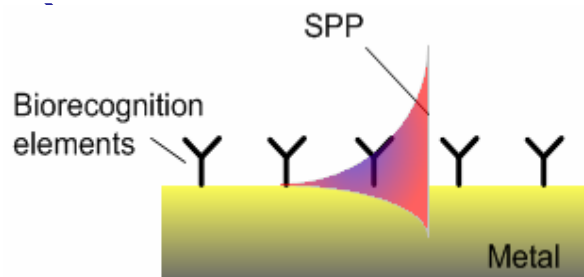
- examination of kinetic and thermodynamic constants of biomolecular interactions.

- Analyte quantification by direct detection of the binding reaction,

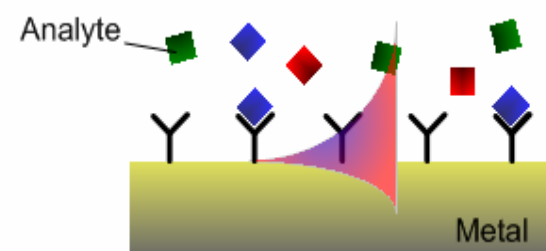
ex: - Examination of protein–protein or protein–DNA interactions

- Detecting conformational changes in an immobilized protein .

Analysis of biomolécule's interactions



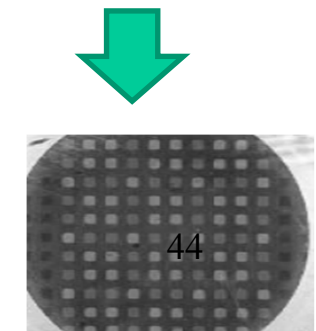
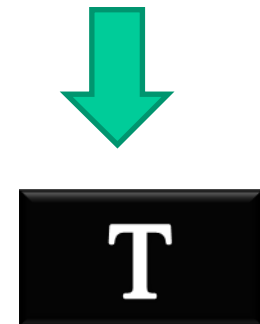
Before analyte binding:
Biolayer refractive index, n
SPP wave vector, k

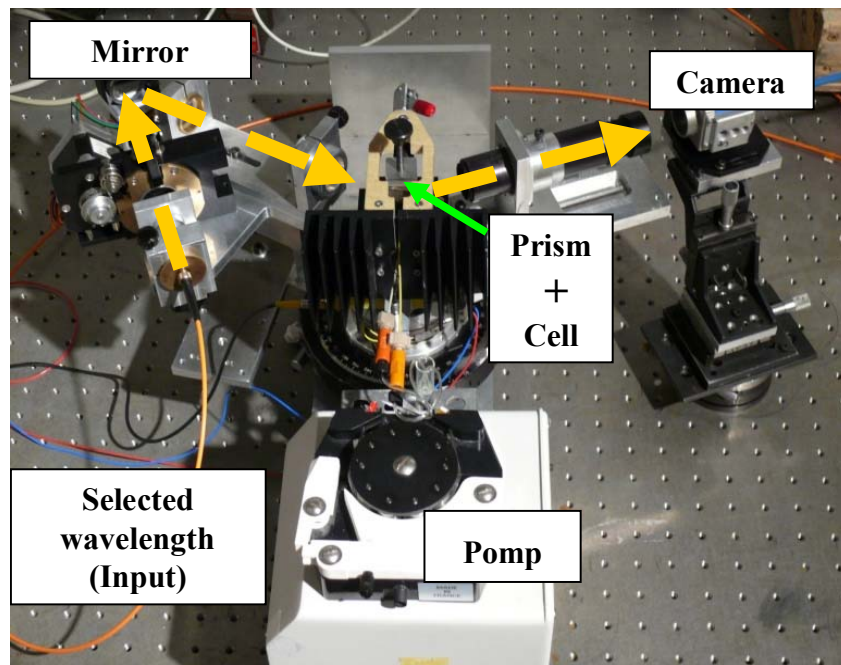


After analyte binding:
 $n_{new} \rightarrow n + \Delta n$
 $k_{new} \rightarrow k + \Delta k$

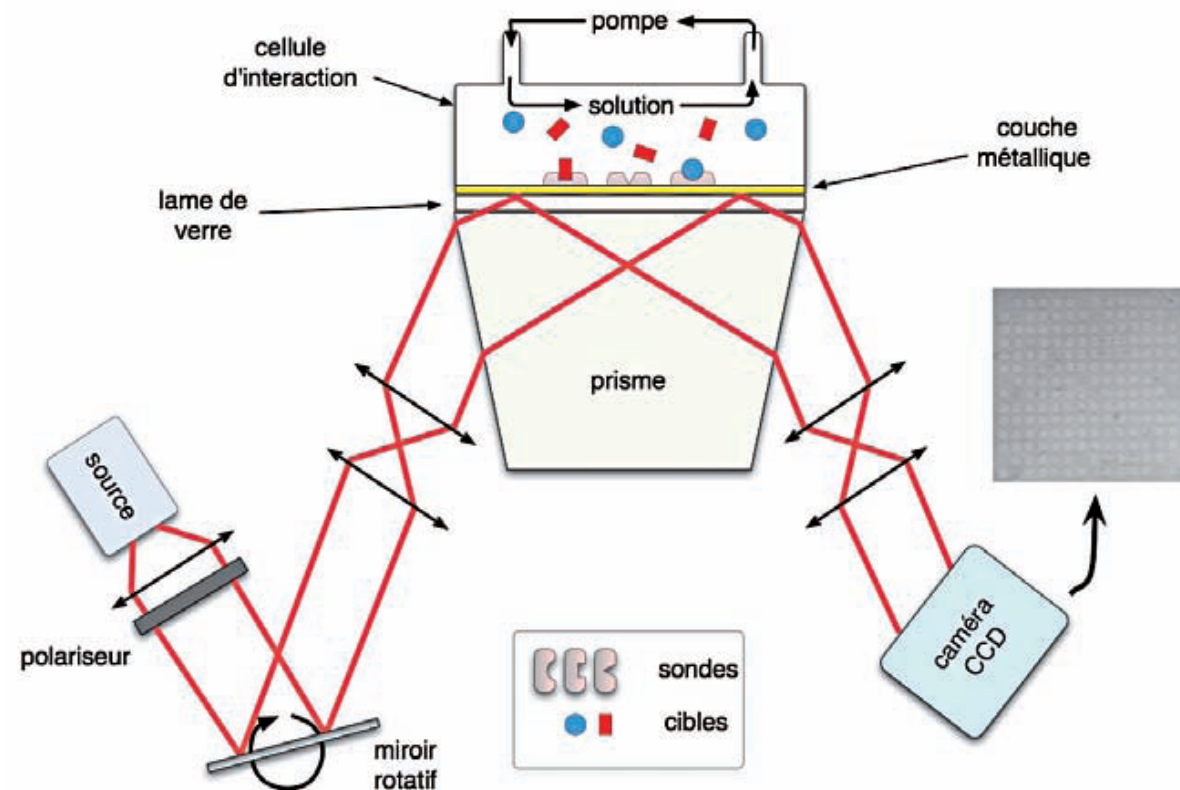
Transducer

Detection & quantification



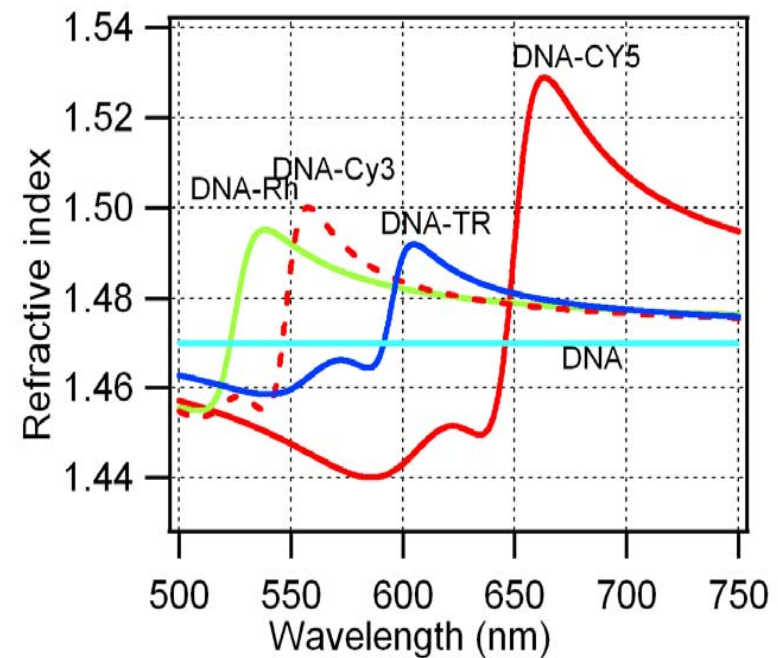
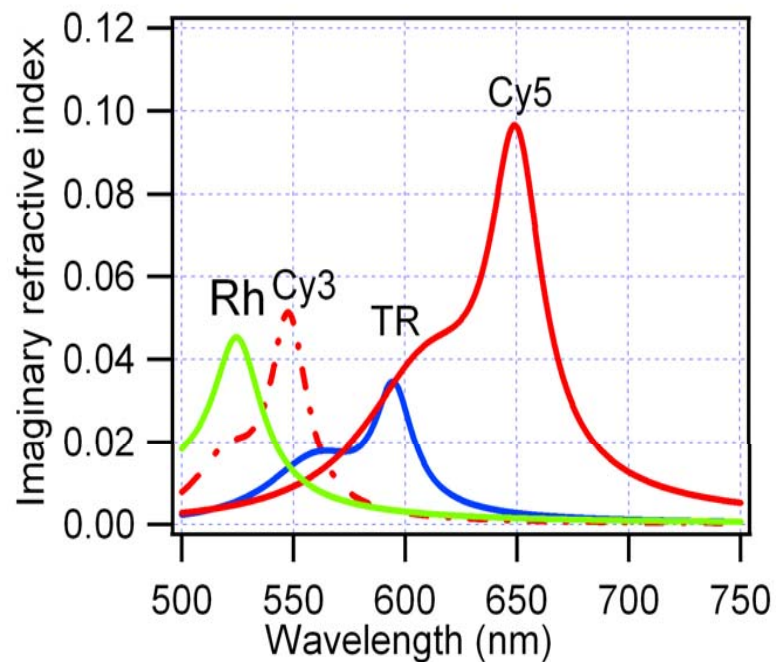
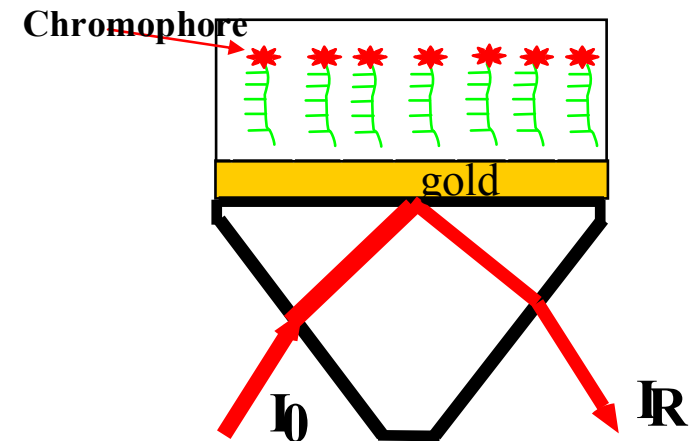


Set up used for biomolecule's interaction-(case of DNA-DNA)



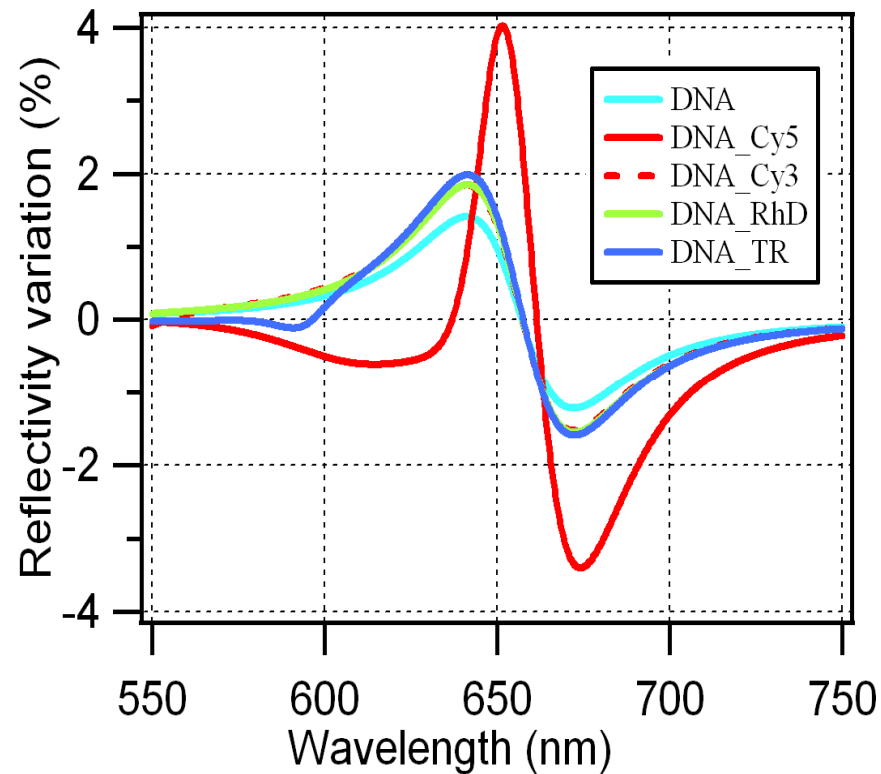
Enhancing of refractive index by addition of chromophores

Chromophores	Excitation (nm)
Rhodamine 6G	525
Cy3	548
Texas Red (TR)	595
Cy5	650

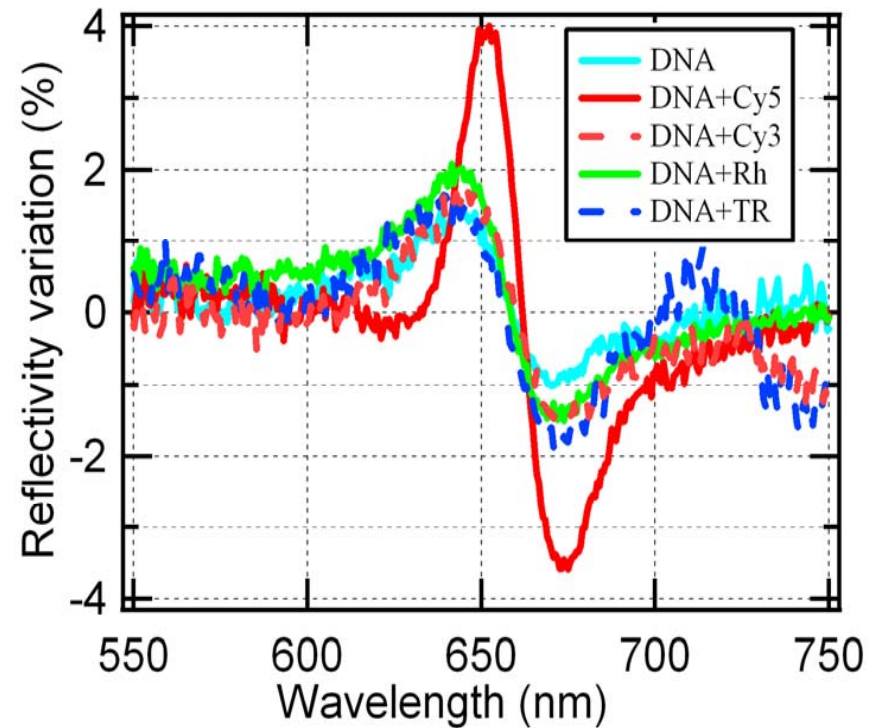


Enhancement of Reflectivity at 650 nm with DNA-Cy5

Simulation



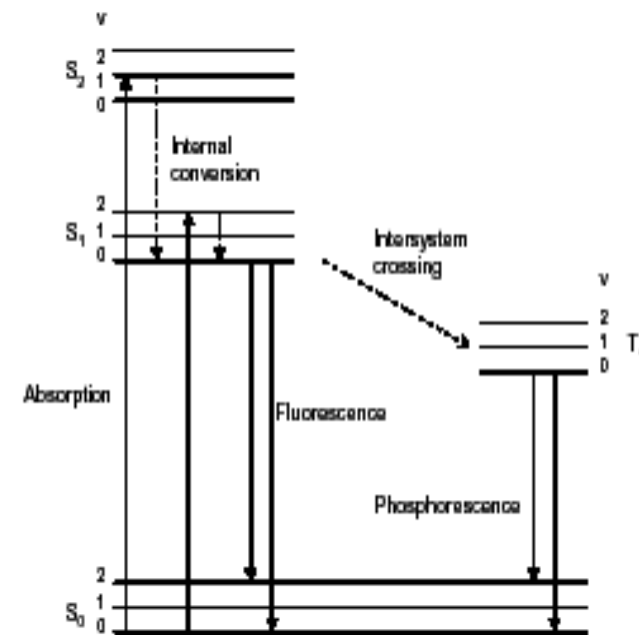
experimental



Characterization and quality control of vegetable oils

- by fluorescence spectroscopy
- by SPR

by fluorescence
spectroscopy

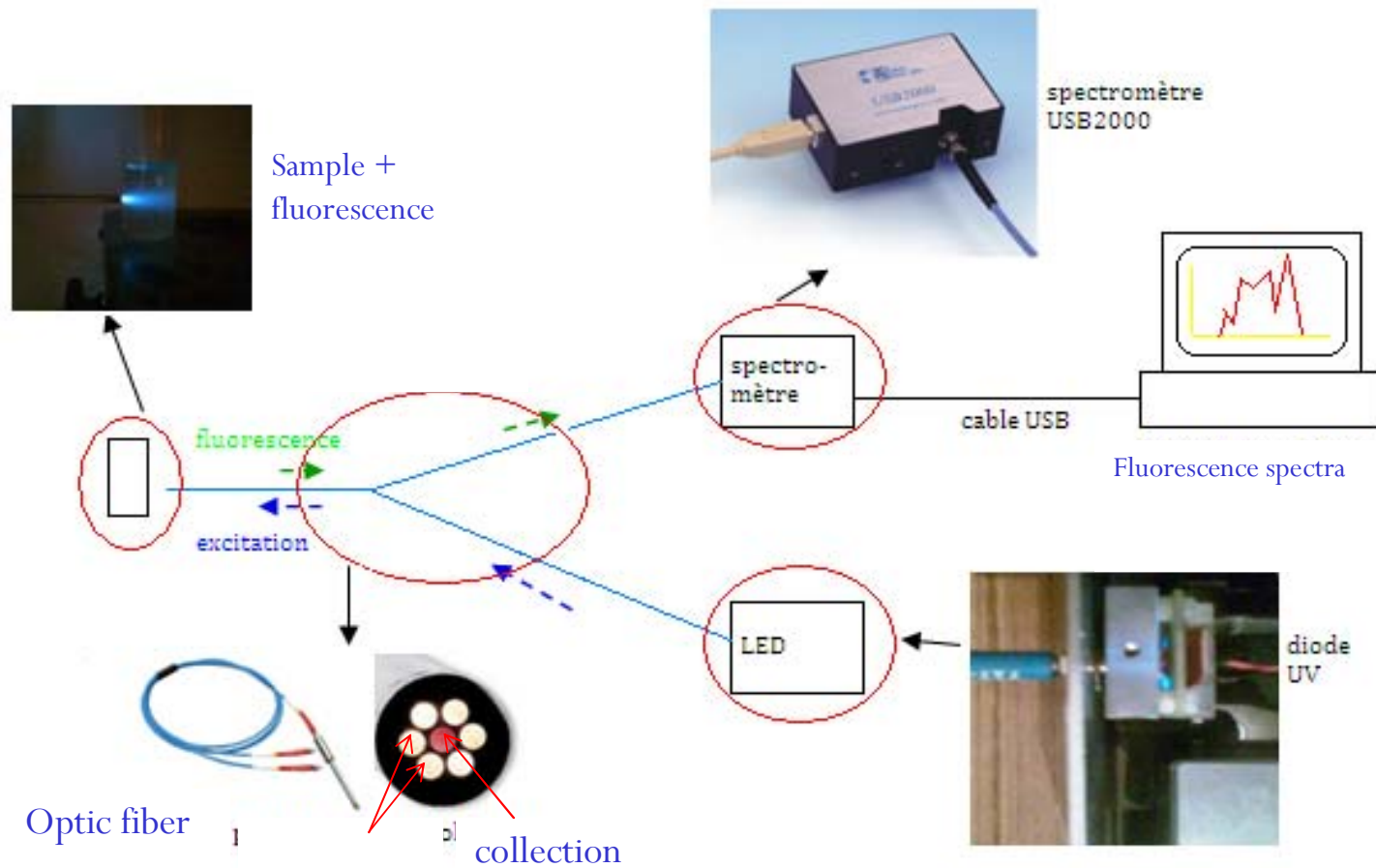


Yvon G. MBESSE KONGBONGA

Hassen Ghalila

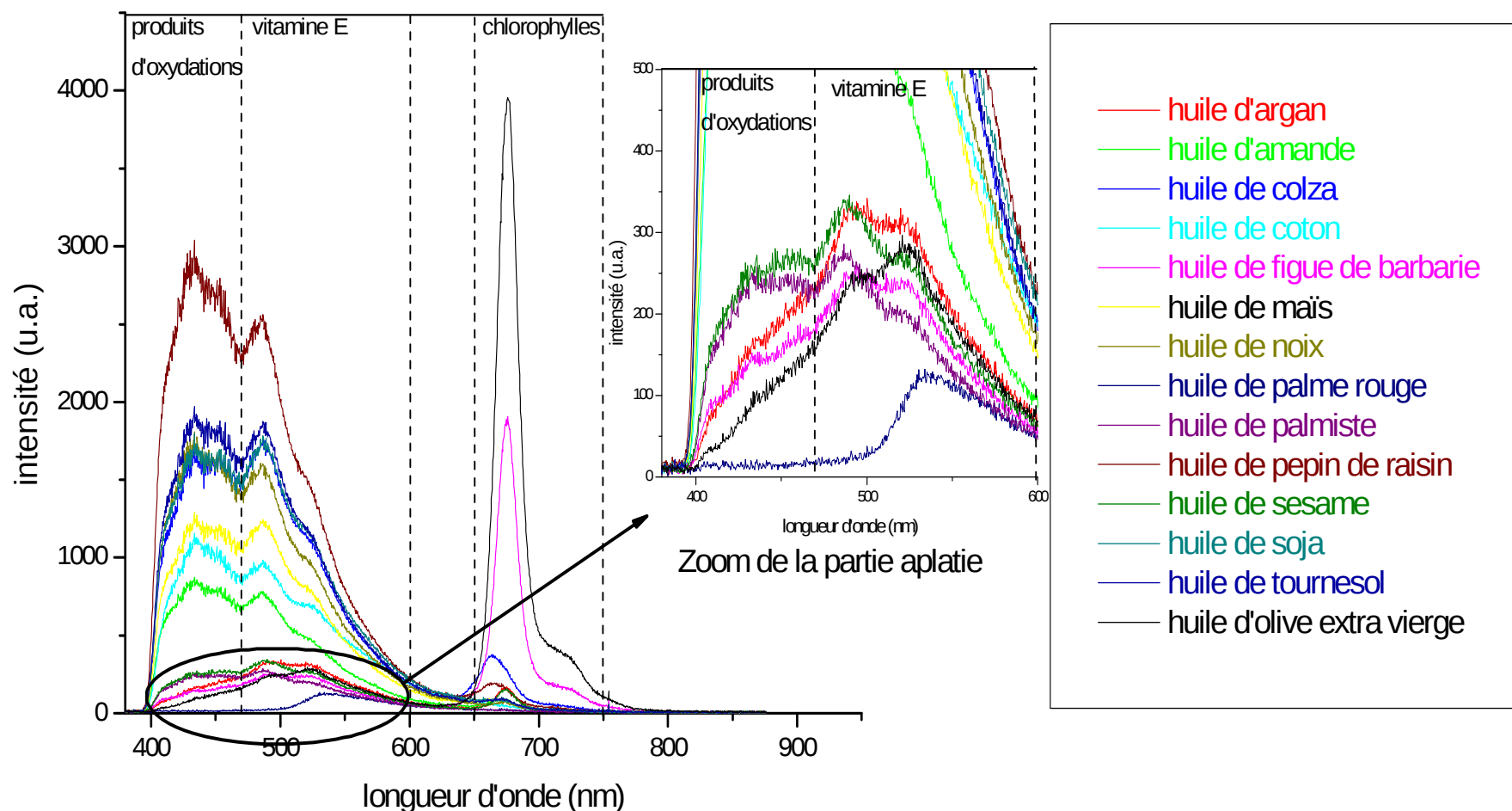
*Workshop biophotonique, 16 - 17 Janvier 2009 Faculté des
Sciences de Tunis*

Experimental setup



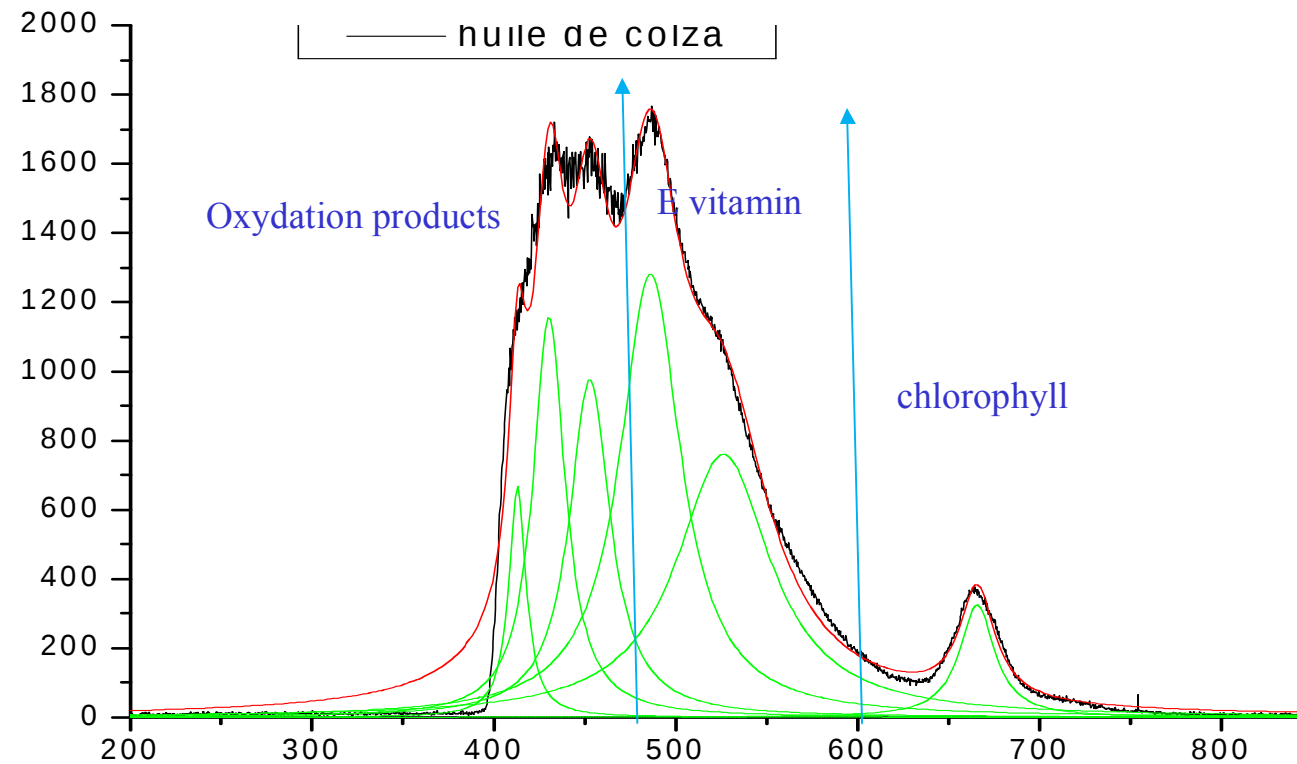
Oil fluorescence spectra analysis

Several varieties of vegetable oils from different regions (Cameroon, France, Italy, Morocco, Tunisia) were analyzed in the same conditions.

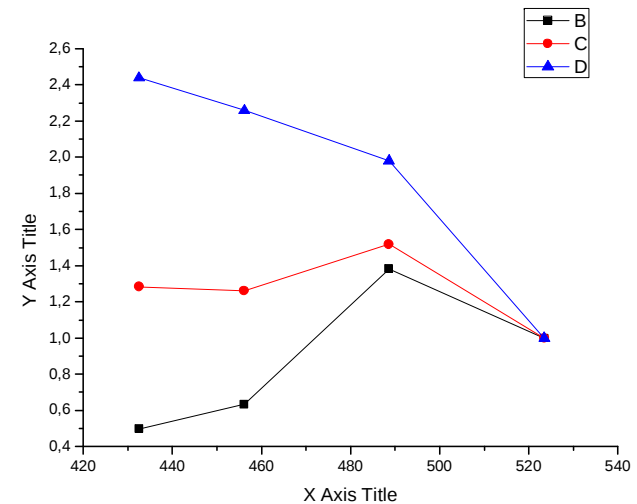
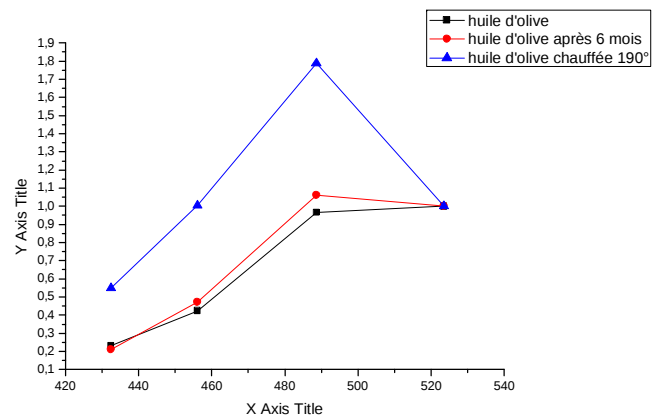
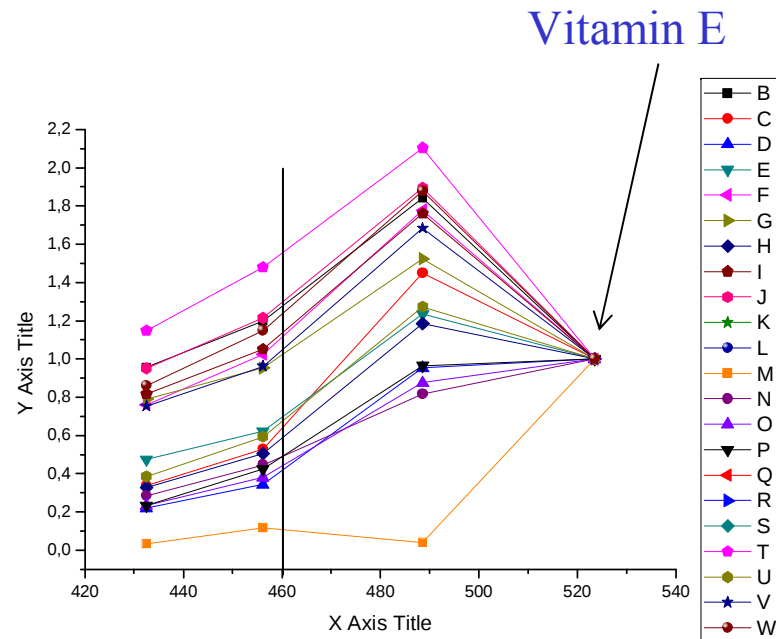


Deconvolution

→ -431nm and 453nm are products of oxidation,
-480nm to 600nm vitamin E,
-670nm and 730nm - Chlorophyll



Quality controle – degradation (Effect of T, of time,..)

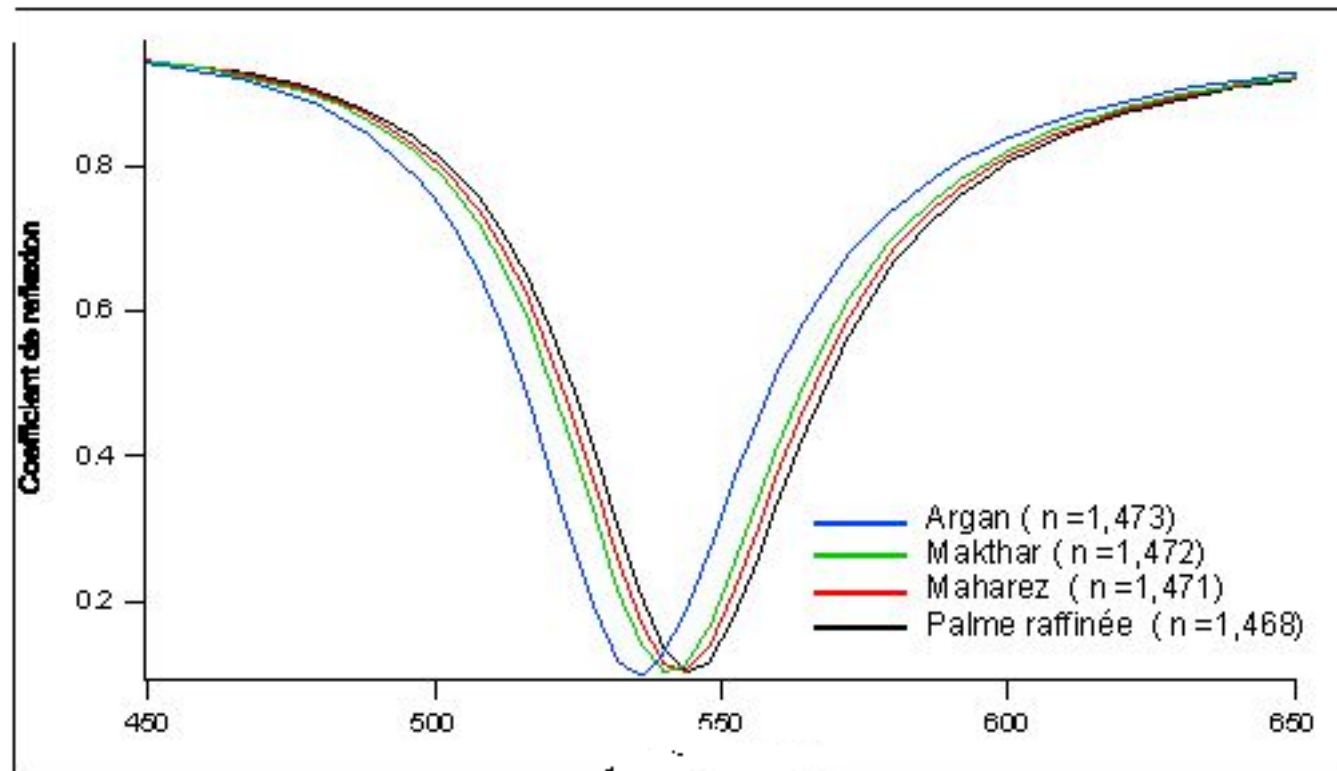


Refractive index of different oils

oils ;fatty acids,can be saturated or unsaturated, depending ofn double bonds,and differ in length

Palmioleic acid: $\text{CH}_3(\text{CH}_2)_5\text{CH}=\text{CH}(\text{CH}_2)_7\text{COOH}$

Oleic acid: $\text{CH}_3(\text{CH}_2)_7\text{CH}=\text{CH}(\text{CH}_2)_7\text{COOH}$



Wavelength (nm)

Technology today

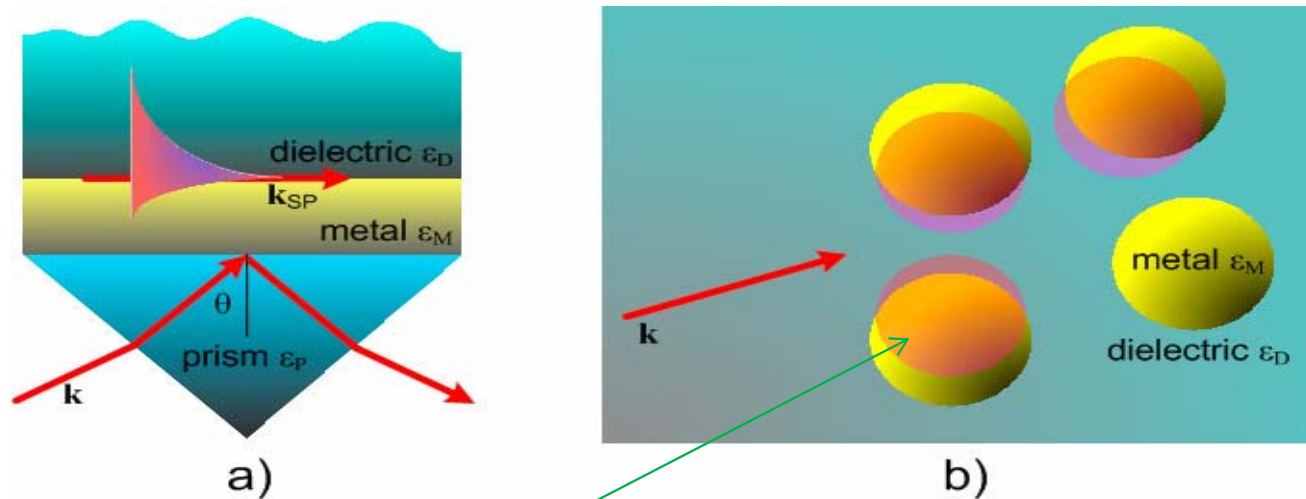
System can detect 9600 protein on DNA simultaneously-
(D.L.Shenkenberg, Biophotonics-Dec.2008)

FUTURE

- Dynamic of biomolecular bindings → to detect, for ex. biomarkers of Sepsis.
- Increase the sensitivity of the flat metallic surface in the 2D SPR sensor
- surface plasmon resonance (LSPR) on metal-nanospheres *surface plasmons localized on small spheres-*
- investigation of plasmonic waveguides → ultra dense data storage, sensing, metamaterials
- ..

FUTURE

- Dynamic of biomolecular bindings
- Increase the sensitivity of the flat metallic surface in the 2D SPR sensor
- investigation of plasmonic waveguides → ultra dense data storage, sensing, metamaterials
 - surface plasmon resonance (LSPR) on metal-nanospheres
surface plasmons localized on small spheres-



localized SPR supported on metallic nanospheres for molecular fingerprinting

(electric fields can be enhanced in gold nanoparticle assemblies by an order of 450 in nanometer volumes)

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LSAMA- Labo- Charles Fabry Institut d'Optique -France

-Hassen Ghalila

LSAMA-LIA-Tunis-Tunisia

-Maha Chamtouri

LSAMA-LIA-Tunis-Tunisia

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- Detection of arsenic in groundwater using a surface plasmon resonance sensor (*Erica S. Forzani et al- Sensors and Actuators B 123 (2007) 82–88*).
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- H.Ghalila, M.Chamtouri, workshop biophotonique- Tunis 16-18 Jan.2008

Thank you