

A Unified Treatment of Convexity  
of Relative Entropy and Related Trace Functions,  
with Conditions for Equality

simple proofs of SSA and Lieb's concavity of  $\text{Tr } K^* A^p K B^{1-p}$

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# Outline of Talk

- ▶ Background
- ▶ Proof of convexity
  - Introduce left  $L_A$  and right  $R_B$  mult ops
  - Integral reps
  - Operator Schwarz inequality with  $L_A$  and  $R_B$
  - extend to  $q \neq 1 - p$
- ▶ Prove corollaries MPT and SSA
- ▶ Equality conds
- ▶ Revisit Carlen- Lieb inequalities
  - Equality conditions
- ▶ Final comments on SSA and gen

Properties of **Relative Entropy**:  $H(A, B) = \text{Tr } A(\log A - \log B)$

**Joint Convexity**  $H\left(\sum_j A_j, \sum_j B_j\right) \leq \sum_j H(A_j, B_j)$

**Cor:** Monotone under Partial Trace (MPT)  $\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$   
 $H(A_1, B_2) \leq H(A_{12}, B_{12})$  ILW: **that's all folks!**

**Cor:** Monotone under CPT Map  $H[\Phi(A), \Phi(B)] \leq H(A, B)$   
(quantum channel)

Special Case of MPT  $A_{12} \rightarrow A_{123}, B_{12} \rightarrow I_1 \otimes A_{23}$

$$H(A_{12}, A_2) \leq H(A_{123}, A_{23})$$

$$-S(A_{12}) + S(A_2) \leq -S(A_{123}) + S(A_{23}) \quad S(A) = -\text{Tr } A \log A$$

**strong subadditivity (SSA) of quantum entropy**

## Aside:

$G$  Homogenous of degree one

$G(\lambda A) = \lambda G(A) \Rightarrow G(A) \text{ convex} \Leftrightarrow \text{subadditive}$

convex  $\Rightarrow$  subadditive

$$\frac{1}{2}G(A + B) = G\left(\frac{1}{2}A + \frac{1}{2}B\right) \leq \frac{1}{2}G(A) + \frac{1}{2}G(B)$$

subadditive  $\Rightarrow$  convex

$$\begin{aligned} G[xA + (1 - x)B] &\leq G(xA) + G[(1 - x)B] \\ &= xG(A) + (1 - x)G(B) \end{aligned}$$

# Background

Original proof of SSA based on Lieb's result below

WYD skew entropy  $\frac{1}{2} \text{Tr} [K, \gamma^p][K, \gamma^{1-p}]$

for  $K = K^*$  and  $\gamma$  a density matrix

Wigner-Yanase introduced for  $p = \frac{1}{2}$  and proved concave in  $\gamma$ .

Dyson suggested  $p \in (0, 1)$  – led to conjecture

**Conj:**  $\gamma \mapsto \text{Tr} K \gamma^p K \gamma^{1-p} - \text{Tr} K \gamma K$  concave

Lieb dropped linear term and proved generalization

$(A, B) \mapsto \text{Tr} K^* A^p K B^{1-p}$  concave for  $p \in (0, 1)$

**Claim:** But advantage to retaining linear term !!

## Retaining the linear term

$$J_p(K, A, B) = \frac{1}{p(1-p)} [\text{Tr } K^* A K - \text{Tr } K^* A^p K B^{1-p}]$$

Note: well-def for  $p > 0$  and factor  $(1-p)$  changes sign at  $p = 1$

**Thm:**  $(A, B) \mapsto J_p(K, A, B)$  is convex for  $p \in (0, 2)$

$\Rightarrow \text{Tr } K^* A^p K B^{1-p}$  concave for  $p \in (0, 1)$

$\Rightarrow \text{Tr } A(\log A - \log B)$  convex  $p = 1$  – extend by cont  $K = I$

$\Rightarrow \text{Tr } K^* A^p K B^{1-p}$  convex for  $p \in (1, 2]$  and  $p \in [-1, 0)$

will give proof, which is elementary, short, and sweet

$\text{Tr } A = \text{Tr } B \Rightarrow J_p(K, A, B) \geq 0$  with equality  $\Leftrightarrow A = B$

**pseudo-metric** in same sense as relative entropy  $H(A, B)$  gen Klein

# Pedestrian modular operator

$d \times d$  matrices form Hilbert space with  $\langle A, B \rangle = \text{Tr } A^* B$

Def. Left and Right mult as linear operators on this vector space

$$L_A(X) = AX \quad \text{and} \quad R_B(X) = XB$$

a)  $L_A$  and  $R_B$  commute  $L_A[R_B(X)] = AXB = R_B[L_A(X)]$

b)  $A = A^* \Rightarrow L_A, R_A$  self-adjoint wrt H-S inner prod

For  $A, B > 0$  positive definite

c)  $L_A, R_A$  pos def  $\langle X, R_A(X) \rangle = \text{Tr } X^* X A = \text{Tr } X A X^* \geq 0$

d)  $(L_A)^{-1} = L_{A^{-1}}, \quad (R_B)^{-1} = R_{B^{-1}}$

e)  $f(L_A) = L_{f(A)} \quad f(R_B) = R_{f(B)}$ , e.g.,  $L_A^p = L_{A^p}, R_A^p = R_{A^p}$

simple form of deep idea: Araki  $\Delta_{AB} = L_A R_B^{-1}$  relative modular op

## Aside: Functions of operators

For  $A = UDU^*$ , define  $f(A) = Uf(D)U^*$

$$A = U \begin{pmatrix} \lambda_1 & 0 & \dots & 0 \\ 0 & \lambda_2 & \dots & 0 \\ \vdots & & \ddots & \vdots \\ 0 & \dots & 0 & \lambda_d \end{pmatrix} U^* \quad f(A) = U \begin{pmatrix} f(\lambda_1) & 0 & \dots & 0 \\ 0 & f(\lambda_2) & \dots & 0 \\ \vdots & & \ddots & \vdots \\ 0 & \dots & 0 & f(\lambda_d) \end{pmatrix} U^*$$

equiv. to any reasonable def using power series, integral rep., etc.

also applies to operators, e.g.,  $L_A$  acting on  $M_d$  space of matrices

$$A|\phi_j\rangle = \alpha_j|\phi_j\rangle \quad \Rightarrow \quad L_A|\phi_j\rangle\langle\phi_k| = \alpha_j|\phi_j\rangle\langle\phi_k|$$

$$k = 1, 2, \dots, d$$

e-vals of  $L_A$  deg

$$f(A)|\phi_j\rangle = \alpha_j|\phi_j\rangle \quad f(L_A)|\phi_j\rangle\langle\phi_k| = f(\alpha_j)|\phi_j\rangle\langle\phi_k|$$

In particular,  $\text{Tr } L_{\log Q}(P) = \text{Tr}(\log L_Q)(P)$



## Back to $J_p(K, A, B)$

$$g_p(x) = \begin{cases} \frac{1}{p(1-p)}(x - x^p) & p \neq 1 \\ x \log x & p = 1 \end{cases}.$$

well-defined for  $x > 0$  and  $p \neq 0$ , but  $p \in [\frac{1}{2}, 2]$  would suffice

$$J_p(K, A, B) \equiv \text{Tr} \sqrt{B} K^* g_p(L_A R_B^{-1})(K \sqrt{B})$$

$$= \begin{cases} \frac{1}{p(1-p)} (\text{Tr} K^* A K - \text{Tr} K^* A^p K B^{1-p}) & p \in (0, 1) \cup (1, 2) \\ \text{Tr} K K^* A \log A - \text{Tr} K^* A K \log B & p = 1 \\ -\frac{1}{2} (\text{Tr} K^* A K - \text{Tr} A K B^{-1} K^* A) & p = 2 \end{cases}$$

$$J_1(I, A, B) = \text{Tr} A (\log A - \log B) = H(A, B)$$

## Aside: extend to $[-1, 0)$

not quite symmetric around  $p = \frac{1}{2}$       $p \leftrightarrow 1 - p$

$$\tilde{g}_p(x) = wg_{1-p}(w^{-1}) = \begin{cases} \frac{1}{p(1-p)}(1 - x^p) & p \neq 0 \\ -\log x & p = 0 \end{cases} \quad p \in [-1, 1)$$

$$J_p(K, B, A) = \tilde{J}_{1-p}(K^*, A, B)$$

$\tilde{J}_p(K, A, B)$  jointly convex for  $p \in [-1, 1)$

$$\tilde{J}_0(I, A, B) = \text{Tr } B(\log B - \log A) = H(B, A)$$

# Integral representations

$$\frac{g_p(x)}{x} = \begin{cases} \frac{1}{p(1-p)}(1 - x^{p-1}) & p \neq 1 \\ \log x & p = 1 \end{cases}$$

well-def for  $x \in (0, \infty)$  and operator monotone for  $p \in (0, 2]$ , or,  
anal cont to upper half of complex plane and UHP  $\mapsto$  UHP

$\Rightarrow g_p(x)$  has integral rep of form

$$\begin{aligned} g_p(x) &= ax + \int_0^\infty \frac{x^2 t - x}{x + t} \nu(t) dt \\ &= ax + \int_0^\infty \left[ \frac{x^2}{x + t} - \frac{1}{t} + \frac{1}{x + t} \right] t \nu(t) dt \end{aligned}$$

with  $\nu(t) \geq 0$

## Specific integrals – elementary

$$\int_0^{\infty} \frac{x^{p-1}}{x+1} = \frac{\pi}{\sin p\pi} \quad 0 < p < 1 \quad c_p = \frac{\sin p\pi}{\pi}$$

allows us to give the following explicit representations

$$g(x) = \begin{cases} \frac{1}{p(1-p)} \left[ x + c_p \int_0^{\infty} \left( \frac{t}{x+t} - 1 \right) t^{p-1} dt \right] & p \in (0, 1) \\ \int_0^{\infty} \left( \frac{x^2}{x+t} - 1 + \frac{t}{x+t} \right) \frac{1}{1+t} dt & p = 1 \\ \frac{1}{p(1-p)} \left[ x + c_{p-1} \int_0^{\infty} \frac{x^2}{x+t} t^{p-2} dt \right] & p \in (1, 2) \\ \frac{1}{2}(-x + x^2) & p = 2 \end{cases}$$

**Important:** For  $p \in (0, 2)$  integrand supported on  $(0, \infty)$ .

## Integral representation using $L_A$ and $R_B$

Recall  $J_p(K, A, B) = \text{Tr} \sqrt{BK}^* g_p(L_A R_B^{-1})(K \sqrt{B})$

$$g_p(x) = ax + \int_0^\infty \left[ \frac{x^2}{x+t} - \frac{1}{t} + \frac{1}{x+t} \right] t \nu(t) dt$$

$$\begin{aligned} \text{Tr} \sqrt{BK}^* \frac{1}{L_A R_B^{-1} + tI} (K \sqrt{B}) &= \text{Tr} \sqrt{BK}^* \frac{R_B}{L_A + tR_B} (K \sqrt{B}) \\ &= \text{Tr} BK^* \frac{1}{L_A + tR_B} (KB) \end{aligned}$$

$$\begin{aligned} J_p(K, A, B) &= \text{Tr} K^* AK - \text{Tr} KBK^* \int_0^\infty \nu(t) dt \\ &\quad + \int_0^\infty \left[ \text{Tr} K^* A \frac{1}{L_A + tR_B} (AK) + \text{Tr} BK^* \frac{1}{L_A + tR_B} (KB) \right] t \nu(t) dt \end{aligned}$$

Suffices to show  $(A, B, X) \mapsto \text{Tr} X^* \frac{1}{L_B + tR_A} (X)$  jointly convex

# Proof:

$$\text{Note: } \text{Tr}(\lambda X)^* \frac{1}{L_{\lambda B} + tR_{\lambda A}}(\lambda X) = \lambda \text{Tr} X^* \frac{1}{L_B + tR_A}(X)$$

Homo of degree 1  $\Rightarrow$  suffices to prove subadditivity

**Let:**  $M = ( )^{-1/2}(X) - ( )^{1/2}(\Lambda)$

$$\begin{aligned} \text{Tr } M^* M &= \langle M, M \rangle \\ &= \langle [( )^{-1/2}(X) - ( )^{1/2}(\Lambda)], [( )^{-1/2}(X) - ( )^{1/2}(\Lambda)] \rangle \\ &= \langle X, ( )^{-1}(X) \rangle - \langle X, \Lambda \rangle - \langle \Lambda, X \rangle + \langle \Lambda, ( )(\Lambda) \rangle \end{aligned}$$

Choose  $M = (L_A + tR_B)^{-1/2}(X) - (L_A + tR_B)^{1/2}(\Lambda)$

$$\begin{aligned} \text{Tr } M^* M &= \\ & \text{Tr } X^*(L_A + tR_B)^{-1}(X) - \text{Tr } X^* \Lambda - \text{Tr } \Lambda^* X + \text{Tr } \Lambda^*(L_A + tR_B)(\Lambda) \end{aligned}$$

Let  $M_j = (L_{A_j} + tR_{B_j})^{-1/2}(X_j) - (L_{A_j} + tR_{B_j})^{1/2}(\Lambda)$ . Then

$$\begin{aligned}
 0 &\leq \sum_j \operatorname{Tr} M_j^* M_j = \sum_j \operatorname{Tr} X_j^* (L_{A_j} + tR_{B_j})^{-1} (X_j) \\
 &\quad - \operatorname{Tr} (\sum_j X_j^*) \Lambda - \operatorname{Tr} \Lambda^* (\sum_j X_j) + \operatorname{Tr} \Lambda^* \sum_j (L_{A_j} + tR_{B_j}) \Lambda \\
 &= \sum_j \operatorname{Tr} X_j^* \frac{1}{(L_{A_j} + tR_{B_j})} (X_j) - \operatorname{Tr} X^* \Lambda - \operatorname{Tr} \Lambda^* X - \operatorname{Tr} \Lambda^* (L_A + tR_B) \Lambda
 \end{aligned}$$

Choose  $\Lambda = \frac{1}{L_A + tR_B}(X)$      $X = \sum_j X_j, \sum_j L_{A_j} = L_{\sum_j A_j} = L_A$

$$\operatorname{Tr} \Lambda^* \sum_j (L_{A_j} + tR_{B_j}) \Lambda = \operatorname{Tr} X^* \frac{1}{L_A + tR_B} X = \operatorname{Tr} X \Lambda = \operatorname{Tr} \Lambda^* X$$

$$0 \leq \sum_j \operatorname{Tr} X_j^* \frac{1}{L_{A_j} + tR_{B_j}} (X_j) - \operatorname{Tr} X^* \frac{1}{L_A + tR_B} (X)$$

## compare elementary C-S ineq:

$$\left| \sum_k \bar{v}_k w_k \right|^2 \leq \sum_k |v_k|^2 \sum_k |w_k|^2$$

For  $a_k > 0$  let  $v_k = a_k^{1/2}$ ,  $w_k = a_k^{-1/2} x_k$

$$\left| \sum_k x_k \right|^2 \leq \sum_k a_k \sum_k \bar{x}_k \frac{1}{a_k} x_k$$

Rewrite  $\left( \sum_k \bar{x}_k \right) \frac{1}{\sum_k a_k} \left( \sum_k x_k \right) \leq \sum_k \bar{x}_k \frac{1}{a_k} x_k$

Lieb and Ruskai (1973) proved operator version

$$\left( \sum_k X_k^* \right) \frac{1}{\sum_k A_k} \left( \sum_k X_k \right) \leq \sum_k X_k^* \frac{1}{A_k} X_k$$

Not suff. for SSA — need Araki rel mod op hidden in  $L_A$  and  $R_B$ .

Compare proof:  $\left| \sum_k v_k + t w_k \right|^2 \geq 0 \quad \forall t$  choose  $t$  to minimize



## Remarks on $q \neq 1 - p$

$p, q > 0, p + q < 1$      $\text{Tr } K^* A^p K B^{1-p}$  concave

Write  $\text{Tr } K^* A^p K B^q = \text{Tr } K^* A^p K (B^s)^{1-p}$      $0 < s = \frac{1}{1-p} < 1$

$B^s$  is op monotone and op concave for  $s \in (0, 1)$

$$(\lambda B_1 + (1 - \lambda) B_2)^s > \lambda B_1^s + (1 - \lambda) B_2^s$$

**Note:**  $f(x)$  strictly concave and op concave  $\Rightarrow$  strict op ineq

$$\begin{aligned} \text{Tr } K^* A^p K B^q &= \text{Tr } K^* A^p K [(\lambda B_1 + (1 - \lambda) B_2)^s]^{1-p} \\ &> \text{Tr } K^* A^p K (\lambda B_1^s + (1 - \lambda) B_2^s)^{1-p} \\ &\geq \lambda \text{Tr } K^* A_1^p K (B_1^s)^{1-p} + (1 - \lambda) \text{Tr } K^* A_2^p K (B_2^s)^{1-p} \\ &= \lambda \text{Tr } K^* A_1^p K B_1^q + (1 - \lambda) \text{Tr } K^* A_2^p K B_2^q \end{aligned}$$

get equal only for trivial cases,  $B_1 = B_2$  or  $\lambda = 0, 1$ .

# Monotonicity under partial traces

Prove MPT:          Recall gen Pauli ops,

$$Z|e_n\rangle = e^{2\pi in/d}|e_n\rangle \qquad X|e_n\rangle = |e_{n+1}\rangle$$

$$\sum_j Z^j A Z^{-j} = d A_{\text{diag}} \qquad \sum_j X^j A_{\text{diag}} X^{-j} = (\text{Tr } A) I$$

$$\frac{1}{d} \sum_j \sum_k X^j Z^k A (X^j Z^k)^* = (\text{Tr } A) I$$

$W_n = X^j Z^k$  in some ordering  $n = 1, 2, \dots, d^2$ , e.g.,  $n = j + d(k - 1)$

$$\frac{1}{d_2} \sum_n (I_1 \otimes W_n) A_{12} (I_1 \otimes W_n)^* = A_1 \otimes I_2$$

Discrete version of Uhlmann's observation that partial trace can be obtained by integrating over  $SU(n)$  using Haar measure.

$$\begin{aligned}
J_p(K_2, A_2, B_2) &= J_p(I_1 \otimes K_2, \frac{1}{d_1} I_1 \otimes A_2, \frac{1}{d_1} I_1 \otimes B_2) \\
&= \frac{1}{d_1^2} J_p\left(K_{12}, \sum_n (W_n \otimes I_2) A_{12} (W_n \otimes I_2)^*, \sum_n (W_n \otimes I_2) B_{12} (W_n \otimes I_2)^*\right) \\
&\leq \frac{1}{d_1^2} \sum_n J_p(I_1 \otimes K_2, (W_n \otimes I_2) A_{12} (W_n \otimes I_2)^*, (W_n \otimes I_2) B_{12} (W_n \otimes I_2)^*) \\
&= \frac{1}{d_1^2} \sum_n J_p(I_1 \otimes K_2, A_{12}, B_{12}) = J_p(I_1 \otimes K_2, A_{12}, B_{12})
\end{aligned}$$

used  $J_p(I_1 \otimes K_2, A_{12}, B_{12})$       wrote  $K_{12} = I_1 \otimes K_2$

$$= J_p(I_1 \otimes K_2, (W_n \otimes I_2) A_{12} (W_n \otimes I_2)^*, (W_n \otimes I_2) B_{12} (W_n \otimes I_2)^*)$$

$$J_1(I, A_2, B_2) \leq J_1(I, A_{12}, B_{12}) \text{ gives } H(A_2, B_2) \leq H(A_{12}, B_{12})$$

Cor: SSA  $H(A_{23}, A_2) \leq H(A_{123}, A_{13})$

“no transparent proof of SSA is known”

p. 645 of *Quantum Computation and Quantum Information*

Michael A. Nielsen and Isaac L. Chuang (Cambridge Press, 2000)

based on B. Simon's version adapted from Uhlmann (1977) of

“elementary” proof of  $(A, B) \mapsto \text{Tr } K^* A^p K B^{1-p}$  concave  
similar argument in Wehrl *Rev. Mod. Phys* (1978). **BUT**

- MBR, “Lieb's simple proof of concavity . . .” quant-ph/0404126  
*Int. J. Quant Info.* **3**, 579–590 (2005) **Schwarz + max mod**
- Ando's argument described in **Carlen's talk**
- Petz – uses  $\Delta_{AB}$  in book; elem version in quant-ph/0408130
- Proof here based on Schwarz ineq. using  $L_A, R_B$  really elem.  
based on Lesniewski and Ruskai, JMP; and MBR quant-ph/0604206

## Equality conditions in $J_p(K, A, X)$ convex

$$\begin{aligned} \int_0^\infty \text{Tr} K^* A \frac{1}{L_A + tR_B} (AK) \nu(t) dt &\leq \int_0^\infty \sum_j \text{Tr} (A_j K)^* \frac{1}{L_{A_j} + tR_{B_j}} (A_j K) \nu(t) dt \\ &= \sum_j \int_0^\infty \text{Tr} (A_j K)^* \frac{1}{L_{A_j} + tR_{B_j}} (A_j K) \nu(t) dt \end{aligned}$$

Equal  $\Leftrightarrow$  equal for each term in integ , i.e.,  $M_j = 0 \quad \forall j, \forall t$

$$(L_{A_j} + tR_{B_j})^{-1}(X_j) = (L_A + tR_B)^{-1}(X) \quad \forall j, \forall t$$

equality conditions independent of  $p \in (0, 2)$

$$X = AK \quad (I + t\Delta_{A_j B_j}^{-1})^{-1}(K) = (I + t\Delta_{AB}^{-1})^{-1}(K) \quad \forall j, \forall t$$

$$X = BK \quad (\Delta_{A_j B_j} + tI)^{-1}(K) = (\Delta_{AB} + tI)^{-1}(K) \quad \forall j, \forall t$$

Recall  $\Delta_{AB} = L_A R_B^{-1} > 0$  prod of commuting pos def ops

$$(\Delta_{A_j B_j} + tI)^{-1}(K) = (\Delta_{AB} + tI)^{-1}(K) \quad \forall j, \forall t$$

$\Delta_{AB} > 0 \Rightarrow (\Delta_{AB} + tI)^{-1}$  anal cont to  $\mathbf{C} \setminus (-\infty, 0]$

can apply Cauchy integral Thm. to get

$$\Rightarrow G(\Delta_{A_j B_j})(K) = G(\Delta_{AB})(K) \quad \forall j \quad G \text{ anal on } \mathbf{C} \setminus (-\infty, 0]$$

allows several useful formulations

$$\Rightarrow (\Delta_{AB} + tI) \text{ and } (I + \Delta_{AB}^{-1}t) \text{ forms equiv.}$$

## Equivalent equality conditions

**Thm:** For fixed  $K$ , and  $A = \sum_j A_j, B = \sum_j B_j$       **TFAE**

- a)  $J_p(K, A, B) = \sum_j J_p(K, A_j, B_j)$  for **all**  $p \in (0, 2)$ .
- b)  $J_p(K, A, B) = \sum_j J_p(K, A_j, B_j)$  for **some**  $p \in (0, 2)$ .
- c)  $(\Delta_{A_j B_j} + tI)^{-1}(K) = (\Delta_{AB} + tI)^{-1}(K) \quad \forall j$  and  $\forall t > 0$ .
- d)  $A_j^{it} K B_j^{-it} = A^{it} K B^{-it} \quad \forall j$  and  $\forall t > 0$ .
- e)  $(\log A - \log A_j)K = K(\log B - \log B_j) \quad \forall j$ .

In addition when  $K = I$ , equiv to

- f) There are  $D_j > 0$  such that  $[A_j, D_j] = [B_j, D_j] = 0$ , and  
 $A_j = A D^{-1} D_j, \quad B_j = B D^{-1} D_j$  with  $D = \sum_j D_j$

necessity of (f) uses sufficient subalgebra – developed by Petz  
formulation here from Jenčová and Petz, CMP, **263**, 259–276 (2006).

# Equality conditions for SSA

use form  $\log A_{123} - \log A_{12} - \log A_{23} + \log A_2 = 0$

Easy to see  $A_{123} = A_1 \otimes A_{23}$  or  $A_{12} \otimes A_3$  will suffice

If  $\mathcal{H}_2 = \mathcal{H}_{2_L} \otimes \mathcal{H}_{2_R}$  then  $A_{123} = A_{12_L} \otimes A_{2_R3}$  will suffice

**Thm:** Equality holds in SSA if and only if

$$\mathcal{H}_2 = \bigoplus_n \mathcal{H}_n^L \otimes \mathcal{H}_n^R \quad \text{and} \quad A_{123} = \bigoplus_n A_n^L \otimes A_n^R$$

with  $A_n^L \in \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_n^L)$ ,  $A_n^R \in \mathcal{B}(\mathcal{H}_n^R \otimes \mathcal{H}_3)$

**Cor:** Equality in  $\text{Tr} A_{23}^p A_2^{1-p} \leq \text{Tr} A_{123}^p A_{12}^{1-p}$  iff same cond

$$p \in (0, 1) \leq \quad \quad \quad p \in (1, 2) \geq$$



# Carlen Lieb Inequalities

$$\begin{aligned}\widehat{\Upsilon}_{p,1}(K, A) &= \frac{1}{(p-1)} \left[ \text{Tr } K^* A^p K \right]^{1/p} - \frac{1}{p} \text{Tr } K^* A K \\ &= \inf \left\{ J_p(K, A, X) + \frac{1}{p} \text{Tr } X : X > 0 \right\}\end{aligned}$$

$$\widehat{\Phi}_{p,1} \left( \sum_k A_k \right) = \widehat{\Phi}_{p,1}(\mathcal{A}) = \frac{1}{(p-1)} \left[ \text{Tr} \left( \sum_k A_k^p \right)^{1/p} - \frac{1}{p} \text{Tr} \sum_k A_k \right]$$

$$\widehat{\Psi}_{p,1}(\mathcal{A}_{12}) = \frac{1}{(p-1)} \left[ \text{Tr}_1 \left( \text{Tr}_2 \mathcal{A}_{12}^p \right)^{1/p} - \frac{1}{p} \text{Tr}_{12} \mathcal{A}_{12} \right]$$

All convex for  $0 < p \leq 2$ .  $\widehat{\Phi}(\mathcal{A})$  is block diag case of  $\widehat{\Psi}(\mathcal{A}_{12})$

conditional entropy  $\widehat{\Psi}_{(1,1)}(\mathcal{A}_{12}) = S(\mathcal{A}_1) - S(\mathcal{A}_{12})$

$$|\mathbb{1}\rangle = (1, 1, \dots, 1) \quad |e_1\rangle = (1, 0, \dots, 0)$$

$$\mathcal{K} = \frac{1}{d} I \otimes |\mathbb{1}\rangle\langle e_1| = \begin{pmatrix} I & 0 & \dots & 0 \\ I & 0 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ I & 0 & \dots & 0 \end{pmatrix}$$

$$\mathcal{A} = \sum_k A_k \otimes |e_k\rangle\langle e_k| = \begin{pmatrix} A_1 & 0 & 0 & \dots & 0 \\ 0 & A_2 & 0 & \dots & 0 \\ 0 & 0 & A_1 & \dots & 0 \\ \vdots & & & \ddots & \vdots \end{pmatrix}$$

$$\mathcal{K}^* \mathcal{A}^p \mathcal{K} = (\sum_k A_k^p) \otimes |e_1\rangle\langle e_1| \quad \Rightarrow \quad \hat{\Phi}_{p,1}(\mathcal{A}) = \hat{\Upsilon}_{p,1}(K, A)$$

# MPT in Carlen-Lieb

monotonicity:  $\widehat{\Psi}_{(p,1)}(\mathcal{A}_{23}) \leq \widehat{\Psi}_{(p,1)}(\mathcal{A}_{123})$

conditional entropy:  $\widehat{\Psi}_{(1,1)}(\mathcal{A}_{23}) = S(\mathcal{A}_2) - S(\mathcal{A}_{23})$

$p = 1$   $S(\mathcal{A}_2) - S(\mathcal{A}_{23}) \leq S(\mathcal{A}_{12}) - S(\mathcal{A}_{123})$  SSA

Carlen-Lieb Minkowski:

$$\begin{aligned} \text{Tr}_3 [\text{Tr}_2 (\text{Tr}_1 \mathcal{A}_{123})^p]^{1/p} &= \Psi_{(p,1)}(\mathcal{A}_{32}) \\ &\leq \Psi_{(p,1)}(\mathcal{A}_{132}) = \text{Tr}_3 \text{Tr}_1 (\text{Tr}_2 \mathcal{A}_{123}^p)^{1/p} \end{aligned}$$

for  $1 < p \leq 2$  and reverse ineq  $\geq$  for  $0 < p < 1$ .

$p = 1$  equality conditions same as for SSA

## Equality conditions in Carlen-Lieb

Extend equal conds to all  $p \in (0, 2)$  by Cor to SSA equal conds

Rough idea: Equal in SSA  $\Rightarrow$  equal in MPT proof

$\Rightarrow$  equal in convexity for  $J_p(I, \mathcal{A}_{12}, I_1 \otimes \mathcal{A}_2)$

can actually use SSA equal conds to improve this

equal in  $\widehat{\Phi}(\mathcal{A})$  convex  $\Leftrightarrow$  equal in  $J_p(I, \mathcal{A}, \text{Tr}_2(\mathcal{A}) \otimes I_2)$

equal in  $\widehat{\Psi}(\mathcal{A}_{12})$  convex  $\Leftrightarrow$  equal in  $J_p(I, \mathcal{A}_{123}, \mathcal{A}_1 \otimes I_{23})$

$$\mathcal{A}_{123} = \sum_n (I \otimes W_n) \mathcal{A}_{12} (I \otimes W_n)^* \otimes |e_n\rangle\langle e_n|$$

equal in “new” SSA from  $\widehat{\Psi}_{(p,1)}(\mathcal{A}_{23}) \leq \widehat{\Psi}_{(p,1)}(\mathcal{A}_{123})$  indep of  $p$

# Generalizations of SSA

Uniform treatment led to two distinct generalizations of SSA

pseudo  $p$ -metric      based on MPT of  $J_p(1, A_{123}, A_{23})$

$$\begin{aligned} \text{Tr } A_{23}^p A_2^{1-p} &\leq \text{Tr } A_{123}^p A_{12}^{1-p} & p \in (0, 1) \\ &\geq & p \in (1, 2) \end{aligned}$$

pseudo  $p$ -norm      based on MPT of  $\hat{\Psi}(A_{123})$

$$\begin{aligned} \text{Tr}_2(\text{Tr}_3 A_{23}^p)^{1/p} &\geq \text{Tr}_{12}(\text{Tr}_3 A_{123}^p)^{1/p} & p \in (0, 1) \\ &\leq & p \in (1, 2) \end{aligned}$$

Compare Renyi  $\frac{1}{1-p} \log \text{Tr } A^p$  and Tsallis  $\frac{1}{p-1}(1 - \text{Tr } A^p)$  entropy