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**Electric Probes in Magnetized and Flowing Plasmas** 

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## Electric (Langmuir) Probes



#### Probe in Plasma

PST(

Plasma strongly perturbed locally Sheath: Quasineutrality is violated over a distance of about  $4\lambda_D$ . Presheath: Density is perturbed over a bigger, quasineutral region: a few probe radii.



Varying voltage is applied to the probe through lead. Current is a function of voltage applied. Measurements are fairly easy/cheap. Interpretation/theory is quite difficult.

Irving Langmuir, who coined the name "Plasma":





Issues:

- Avoiding melting the probe!
- Defining cleanly the effective current collector size.
- Avoiding excessive perturbation/pollution of plasma.
- Unknown potential difference between plasma and wall/ground.
- RF rectification in RF plasmas.
- Practical construction of vacuum-compatible apparatus.
- Electronics and data acquisition/analysis [comparatively easy].

## **ÞSEC**



Total electric current *from* probe (made up of particles flowing *to* probe):

Electrons are governed by a Boltzmann factor, provided they are mostly repelled. So

$$\Gamma_{e} = \frac{1}{4} \overline{v_{e}} n_{e} = \sqrt{T_{e}/2\pi m_{e}} n_{\infty} \exp(e\phi_{p}/T_{e})$$

where  $\phi_p$  is probe potential relative to unperturbed plasma in the vicinity of the probe, which is called " $\infty$ " (from probe's perspective).

Ion flux  $\Gamma_i$ , is much smaller than  $\frac{1}{4}\overline{v_e}n_e$  because ions move slower by factor  $\sqrt{m_e/m_i}$ . Consequently, if we are drawing a current near zero,  $\phi_p$  must be substantially negative, repelling electrons.

Also  $\Gamma_i$  is rather independent of  $\phi_p$  (because attracted),

provided that  $\lambda_{\rm D} \ll$  probe size.

Call the ion current  $I_{si}$ . Ion saturation current.

#### DSE( Current/Voltage Characteristic When repelling electrons: CURBENT: I $(I - I_{si}) = eA\Gamma_e \propto \exp(e\phi_p/T_e).$ Electron Saturation Obtain electron temperature from slope of plot of $I_{si}$ ) = $(e/T_e) \phi_p$ + const. or simple geometric construction. (In region away from electron saturation). PROBE POTENTIAL: V. Ion Saturation

 $T_e$  measurement relatively reliable because does not need absolute current. But assumes electrons Maxwellian.

In some plasmas electrons have a distorting non-thermal tail on distribution function.

We get plasma **density** from absolute current magnitude (best) I<sub>si</sub>. This requires much tougher non-linear theory of sheath/presheath.

#### DSEC Fluid Theory of Presheath Engineering Simplest equations of the ion fluid: Continuity: $\nabla .(\mathbf{nv}) = S$ (particle source — may be zero) Momentum: $\nabla .(nm_i vv) + qn\nabla \phi = m_i S_m$ (momentum source — ditto) [Ignore ion pressure (and viscosity). Justified for Streamlines $T_i \ll T_e$ , if orbits don't cross.] dlWrite $\mathbf{v} = \mathbf{v}\hat{\mathbf{u}}$ , Û so $\hat{\mathbf{u}}$ is the unit-vector for streamline. Expand $\hat{\mathbf{u}}$ .(Momentum equation) eliminating $\nabla$ .(nv) from Continuity.

$$n \, \hat{\mathbf{u}} \cdot \nabla \left( \frac{v^2}{2} + \frac{q}{m_i} \phi \right) \qquad + v^2 \underbrace{\hat{\mathbf{u}} \cdot \left[ (\hat{\mathbf{u}} \cdot \nabla) \hat{\mathbf{u}} \right]}_{\equiv 0} = S_m + Sv$$

When sources S, S<sub>m</sub> are zero, simply energy conservation  $\frac{v^2}{2} + \frac{q\phi}{m_i} = \text{const.}$ 

## Structure of the differential equations

Define the Mach Number  $\mathbf{M} \equiv \mathbf{v}/c_s$ , where  $c_s^2 = qT_e/em_i$ 

and use Boltzmann electrons and quasineutrality:  $n/n_\infty=\exp(e\phi/T_e)$ , so that  $\nabla\phi=(T_e/e)\nabla\ln n.$  And write  $\ \hat{u}.\nabla\equiv d/d\ell$  as the derivative along streamline. Then equations become:

$$\begin{split} [\nabla.(n\mathbf{M}) =] & n\frac{dM}{d\ell} + M\frac{dn}{d\ell} = nM\nabla.\hat{\mathbf{u}} + S/c_s\\ [n\hat{\mathbf{u}}.\nabla(M^2/2 + \ln n) =] & nM\frac{dM}{d\ell} + \frac{dn}{d\ell} = (S_m + Sv)/c_s^2 \end{split}$$

Think of this as a matrix equation:

$$\underbrace{\begin{pmatrix} n & M \\ nM & 1 \end{pmatrix}}_{matrix} \begin{pmatrix} dM/d\ell \\ dn/d\ell \end{pmatrix} = \begin{pmatrix} nM\nabla.\hat{\mathbf{u}} + S/c_s \\ (S_m + Sv)/c_s^2 \end{pmatrix}$$

at the place where the determinant of the coefficient matrix is zero,  $dM/d\ell$ ,  $dn/d\ell$ become infinite. Quasi-neutral equations break down where  $1 - M^2 = 0$  (M = ±1). This point is the sheath edge. M = ±1 is called the **Bohm Condition**:

• lons enter the sheath at the sound speed.

## Deduction of Sheath-Edge Values

Zoomed-in view of an assumed thin sheath



# **PSFC** Need for sources or flow convergence $\underbrace{\left(\begin{array}{c}n & M\\nM & 1\end{array}\right)} \begin{pmatrix} dM/d\ell\\dn/d\ell \end{pmatrix} = \begin{pmatrix}nM\nabla . \hat{\mathbf{u}} + S/c_s\\(S_m + Sv)/c_s^2\end{pmatrix}$

source vector

To have a non-uniform solution, there must be a non-zero source vector (RHS).

Therefore if S and S<sub>m</sub> are zero, we require non-zero  $\nabla . \hat{\mathbf{u}}$ . For spherical or cylindrical geometry, no problem. A simple solution exists.

Planar (slab) geometry has no convergence. Hence no meaningful quasineutral 1-d planar source-free soln.

There is no such thing as a collisionless planar electric probe. [Finite plane size always matters.]

Magnetized plasmas have practically one-dimensional ion dynamics, along the field. This paradox determines the physics of magnetized probes.



## DSE(

#### Magnetized Probes



Ion Larmor Radius  $(\rho_i) \ll$  Probe Radius (a), When

ions move predominantly along field, not across it. They are almost 1-D.

**Result**: Presheath highly elongated along the field, until

parallel flow to probe is made up by small perpendicular cross-field divergence.

Parallel dynamics governed by same fluid equations. Cross-field gives sources.

 $\mathsf{S} = -\nabla_{\perp}.(\mathsf{n}\mathbf{v}_{\perp})$ shear viscosity  $S_{m} = -\nabla_{\perp} . (n\mathbf{v}_{\perp}\mathbf{v}_{\parallel}) + \nabla_{\perp} . (\eta\nabla_{\perp}\mathbf{v}_{\parallel}) / m_{i}$ 

Assume heuristic diffu

sion: 
$$\mathbf{nv}_{\perp} = -\mathsf{D}
abla_{\perp}\mathsf{n}$$
, and approx  $abla_{\perp} o 1/\mathsf{a}$ , then

$$\begin{pmatrix} n & M \\ nM & 1 \end{pmatrix} \begin{pmatrix} dM/d\ell \\ dn/d\ell \end{pmatrix} = \frac{n_{\infty}D}{a^{2}c_{s}} \begin{pmatrix} 1-n/n_{\infty} \\ (M_{\infty}-M)(1-n/n_{\infty}+\alpha) \end{pmatrix}$$

where  $\alpha = \eta / (n_{\infty} m_i D)$  is ratio of diffusivity of particles/momentum (~ 1). Parameter  $a^2c_s/D$  determines presheath scale length, but not flux.



## **ÞSEC**

## Flux is Along the Field



Coefficient matrix is unchanged, and so is the Bohm condition.

But it is the parallel velocity that reaches  $c_s\equiv \sqrt{(ZT_e+T_i)/m_i}$  at sheath edge.

The **parallel** ion flux density is then  $\Gamma_{\parallel} = n_s c_s = f n_{\infty} c_s$ .

And the ion current to each probe face is

$$I_{si} = q \underbrace{A_p}_{\substack{projected \\ area}} f \ n_\infty c_s \ .$$



But because there are sources, energy conservation does not apply, and the coefficient

f is no longer  $\exp(-1/2)$ . Greater potential drop is required.

It has to be found by solving (numerically) the differential equations.

It depends on the parallel velocity in the external plasma:  $M_{\infty}$ .

A good fit to the numerical results (with  $\alpha = 1$ ) is

$$f = \exp(-1{-}1.1M_\infty)$$

There is more flux to the upstream side than to the downstream side.

If we measure the ratio, that tells us  $M_\infty$ :

Where  $M_{c}=0.45$  is the calibration factor.

$$\Gamma_{\parallel up}/\Gamma_{\parallel down} = R = exp(M_{\infty}/M_{c}).$$

## Independent Velocity Measurements

using laser induced fluorescence confirm the calibration



Show that using  $\alpha =$ 1 gives decent agreement with experiment.

Also, kinetic calculations give very similar calibration, showing that the fluid approximations are accurate.

Gunn et al, Phys Plasmas, 8, 1995 (2001)

#### **Marchaeler Science** *Muclear Science & Engineering*

LIF measurements of the ion parallel distribution function at various parallel distances from a probe.

The spatial variation of the density and velocity, as a function of distance from the probe, agrees with the theory, giving confidence in the physics. But the diffusivity is a free fitting parameter.







Causes formation of additional Magnetic Presheath



One can show by Galilean transformation that if there is a background perpendicular

 $\mathbf{E}\wedge\mathbf{B}$  drift,  $M_{\perp},$  it causes the ion flux collection coefficient to become

 $f_d(\mathsf{M}_{\parallel\infty},\mathsf{M}_{\perp}) = f(\mathsf{M}_{\parallel\infty}-\mathsf{M}_{\perp}\cot\theta) = \exp[-1-1.1(\mathsf{M}_{\parallel\infty}-\mathsf{M}_{\perp}\cot\theta)]$ 

Thus in principle, by measuring at different  $\theta$ , we may deduce  $M_{\perp}$  as well as  $M_{\parallel}$ .

### D?EC

#### Mach Probes Measure flow



by measuring separately the upstream and downstream fluxes to deduce  $M_\infty.$ 

Electrodes facing in different directions collect different ion flux densities, depending on the external plasma flow.



External pre-existing plasma flow or object motion

Flow past the object is some combination of parallel and perpendicular ion drifts.

Large flows in tokamak scrape-offs.

Plasma flow  $\equiv$  Object motion Space shuttle surface-charging



# Practical Mach probe shapes complicated



Antoni et al 1996 (RFP)

Smick and LaBombard 2008

# Turbulence scales larger than probe

C-Mod scanning probe head (schematic)

compared in scale with

Example of imaged turbulence eddies in SOL.

Probe body is comparable in size.

Probe electrodes are smaller.





Instead of invoking heuristic cross-field diffusion, **ignore diffusion**. Treat pure imposed transverse-drift, solve self-consistently, no heuristics. More satisfactory physics. Rigorous mathematics. Analytic solution! Result consistent. Dependence on diamagnetic drifts discovered.



## Hyperbolic system. Analyze by "Characteristics"

Define  $c_s^2 = (ZT_e + T_i)/m$  and  $M = v/c_s$ : Mach Number. Eliminate  $\phi$ . Then 3-D, anisotropic ion fluid equations become

$$\begin{split} \mathbf{M}.\nabla \ln n + \nabla_{\parallel} \mathsf{M}_{\parallel} &= \mathbf{0} \\ \mathbf{M}.\nabla \mathsf{M}_{\parallel} + \nabla_{\parallel} \ln n &= \mathbf{0} \end{split}$$

which can be rearranged to display explicitly the characteristics.

DSE(

$$\begin{split} (\mathbf{M}.\nabla+\nabla_{\parallel})(\ln n+\mathsf{M}_{\parallel}) &= 0\\ (\mathbf{M}.\nabla-\nabla_{\parallel})(\ln n-\mathsf{M}_{\parallel}) &= 0. \end{split}$$

Thus the quantities  $(\ln n \pm M_{\parallel})$ are constant along their corresponding characteristics:  $d\mathbf{x} = (\mathbf{M} \pm \mathbf{B}/B)ds$ .

Integration along characteristics is the secret to solving hyperbolic systems.



Assume  $M_{\perp}$  simply uniform (in y-direction). Take B along x-axis. 2-D problem. Analyse the higher-x side of the object. Two characteristics pass through any point.



Can show rigorously\* that

 $M_{\parallel} = const, \ \ln n = const.$ 

along straight +ve characteristics, that are tangent to object. And that solution depends only on the slope of that characteristic

$$\begin{split} \mathsf{M}_{\parallel} &= \mathsf{M}_{\perp} \cot \theta - 1 \\ \ln \mathsf{n} &= \ln \mathsf{n}_{\infty} - \mathsf{M}_{\parallel} + \mathsf{M}_{\parallel \infty} \end{split}$$

The ion flux to the object at the plasma boundary, per unit perpendicular area is  $\Gamma_{\parallel} = nc_{s} = n_{\infty}c_{s} \exp(-1 - M_{\parallel} + M_{\perp} \cot \theta)$ with  $\theta$  the local surface tangent (in convex regions, not at P<sub>3</sub>).



## Diamagnetic drift along contour lines



## **PSFC** Example 3-D Solution: Pyramid Probe



# **VnT External Diamagnetic Drifts**

Introduce heavy complications:

- Drifts in direction of  $\nabla n\mathsf{T}$  introduce flux changes
- Important effects arise from displacements in the magnetic presheath
- Temperature gradients imply gradients in  $\mathsf{c}_\mathsf{s}.$
- Electron temperature gradients induce extra E-fields and drifts.

With major effort one can integrate pages of equations like this  $\frac{d}{dM_{\parallel}}(\delta z/2L_n) \equiv r; \text{ so that } \left. \frac{d}{c_s dt} \right|_{\pm} \frac{\delta z}{2L_n} = \left. \frac{d}{c_s dt} \right|_{\pm} M_{\parallel} \cdot \frac{d}{dM_{\parallel}} \frac{\delta z}{2L_n} = r \left. \frac{d}{c_s dt} \right|_{\pm} M_{\parallel} \dots$  but I'm sparing you all of that\* and skipping to the bottom line.

"It can be shown that" the presheath displacements have asymmetries small enough to be ignored when discussing up-stream to down-stream differences  $(\pm \cos \theta)$ .

As a result one can derive analytically a simple but essentially complete formula for the flux to the object.

Final Full Flux Density  

$$\ln\left\{\frac{\Gamma_{\parallel p}}{nc_{s}}\right\} = \underbrace{-1 - M_{\parallel \infty}}_{\text{parallel flow}} + \left[\underbrace{(1 + M_{\parallel \infty})M_{\text{Te}}}_{T_{e}-\text{gradient effect}} + M_{\text{Di}} + \underbrace{M_{\text{E}}}_{\text{E} \times \text{B}} - \underbrace{\left(\frac{1 - \sin \alpha}{1 + \sin \alpha}\right)M_{\text{D}}}_{\text{MPS displacement}}\right] \cot \theta$$

with

$$\begin{split} \mathsf{M}_{\mathsf{E}} &= \mathbf{E} \wedge \mathbf{B}/c_{\mathsf{s}}\mathsf{B}^{2}.\hat{\mathbf{y}} \quad \dots \quad \text{Electric field drift, agreeing with intuition.} \\ \mathsf{M}_{\mathsf{D}i} &= -\nabla p \wedge \mathbf{B}/(c_{\mathsf{s}}\mathsf{n}\mathsf{Z}\mathsf{e}\mathsf{B}^{2}).\hat{\mathbf{y}} \quad \dots \quad \text{Total ion diamagnetic drift. Intuitive.} \\ \mathsf{M}_{\mathsf{T}e} &= \nabla \mathsf{T}_{e} \wedge \mathbf{B}/(c_{\mathsf{s}}\mathsf{e}\mathsf{B}^{2}).\hat{\mathbf{y}} \quad \dots \quad \text{Electron diamagnetic due to } \mathsf{T}_{e} \text{ gradient.} \\ \mathsf{M}_{\mathsf{D}} &= \mathsf{M}_{\mathsf{D}i} - \mathsf{M}_{\mathsf{D}e} \quad \dots \quad \text{Difference between ion and electron diamag drifts.} \\ \alpha \quad \dots \quad \dots \quad \text{Angle between } \mathbf{B} \text{ and surface.} \\ \theta \quad \dots \quad \dots \quad \dots \quad \text{Angle in } x-y-\text{plane between } \mathbf{B} \text{ and surface.} \\ \text{The quantity in } \begin{bmatrix} \\ \\ \end{bmatrix} \text{ is what a transverse Mach probe measures.} \end{split}$$

C.f. prior diffusive treatment<sup>\*</sup> 
$$\ln \left\{ \frac{\Gamma_{\parallel p}}{nc_s} \right\} = -1 - 1.1(M_{\parallel \infty} - M_{\perp} \cot \theta)$$

\* I H Hutchinson Phys Rev A, 37 (1988) 4358; IHH "Principles of Plasma Diagnostics" (2002).

#### Probe Deployment on Tokamaks







Use of rapidly reciprocating probes to minimize the heat load.

#### **Reciprocation Mechanisms**



WASP Parallelogram Linkage



Electromagnetic drive using the ambient field is very effective for a compact reciprocation drive.

More traditional drives are pneumatically driver rod with spring stops to give rapid velocity reversal. Reciprocation in  $\sim$  10ms.

#### Characteristic Interpretation





Electron currents limited to not significantly more than  $I_{si}$  to minimize heat load.

Still quite a significant power supply is required (300W), depending on probe size.

#### Embedded Probes





Probes embedded into divertor surfaces with their tips flush or nearly flush with the surface are protected from strongest heat flux by the divertor itself.

Unfortunately such probes encounter serious difficulties of interpretation if they are exactly flush. So usually designed slightly 'proud'.

## Special Probes

"Plug probes" (Katsumata). Try to exclude electron collection.

Retarding Field analysers. More complicated resonant analysers.

Double probes, Triple probes, and other combinations.

## Summary of Probe Diagnostics



One of the first and most simply-implemented plasma measurements. Still one of the most complicated to interpret.

Magnetized probe theory now is almost as well-developed as unmagnetized.

Spatial resolution and simultaneous T, n [and v] measurements.

Literature is massive and unhelpful. A critical approach is essential.

Because the costs of probe experiments are small, many people try to publish expt and interpretation algorithms.

It is very hard to do anything really innovative in probe expt and electronics. You have to know the literature quite well.