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Physics**

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**Aspects of Quantum Transport  
(I & II)**

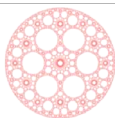
I. GUARNERI  
*Universita' dell'Insubria,  
Como,  
Italy*

# Aspects of Quantum Transport

An updated review of a few famous and other less famous issues concerning low-dimensional quantum dynamics and the absence of "chaos" therein.

Italo Guarneri

September 22, 2009



Center for Nonlinear and Complex Systems - Universita' dell'Insubria a Como

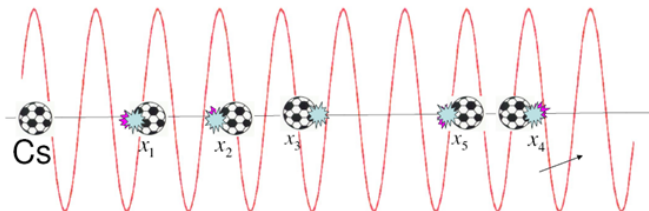
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  - Quasi-classics with multi-component waves.

# Kicked Cold Atoms

## Kicked Cold Atoms

Moore, Robinson, Bharucha,  
Sundaram, Raizen 1995....



$$\begin{aligned} p_{n+1} &= p_n + k \sin(x_{n+1}) \\ x_{n+1} &= x_n + p_n \tau \end{aligned}$$

# Kicked Hamiltonians

$$e^{-\frac{i}{\hbar}t(\hat{T}+\hat{V})} = \lim_{N \rightarrow \infty, \tau \rightarrow 0, N\tau=t} \left( e^{-\frac{i}{\hbar}\tau\hat{T}} e^{-\frac{i}{\hbar}\tau\hat{V}} \right)^N$$

For **fixed**  $N, \tau$ , the rhs is the propagator from time  $t = 0_-$  to time  $t = N\tau_-$  of the **Kicked Hamiltonian** :

$$\hat{H}(t) = \hat{T} + \tau \hat{V} \sum_{n \in \mathbb{Z}} \delta(t - n\tau)$$

The kicked dynamics may be drastically different from the dynamics which is generated by  $\hat{T} + \hat{V}$ . In the 1-freedom case, the latter is classically integrable, but the former has, generically, a mixed phase space.

Path integrals for kicked dynamics are ordinary N-fold integrals.

# Instances of Kicked Dynamics

( $\hat{X}, \hat{P}$ : canonical position & momentum operators for a point particle moving in a line)

Pendulum  $\rightarrow$  Kicked Rotor

$$\hat{T} = \frac{1}{2}\hat{P}^2, \quad \hat{V} = \mu \cos(\hat{X})$$

Harper  $\rightarrow$  "Kicked Harper"

$$\hat{T} = \lambda \cos(\hat{P}), \quad \hat{V} = \mu \cos(\hat{X})$$

Wannier-Stark  $\rightarrow$  Kicked Accelerator

$$\hat{T} = \frac{1}{2}\hat{P}^2 + \eta \hat{X}, \quad \hat{V} = \mu \cos(\hat{X})$$

# From Atoms to Rotors

In experiments, atoms move in (approximately) straight lines. However, the kicking potential is periodic in space.

**Quasi-momentum** is then conserved. If the spatial period is  $2\pi$ , then **q.mom. = fractional part of momentum**  $:= \beta$  and the Brillouin zone is  $\mathbb{B}^{(P)} = [0, 1[$ .  $\hbar = 1$ .

## Bloch theory

$$L^2(\mathbb{R}) \simeq L^2(\mathbb{B}^{(P)}) \otimes L^2(\mathbb{T}) \quad , \quad \hat{U} = \int_{\mathbb{B}^{(P)}}^{\oplus} d\beta \hat{U}_{\beta}$$

Each  $\hat{U}_{\beta}$  formally defines a rotor's dynamics. It is obtained by the replacement  $X \rightarrow \theta := X \bmod(2\pi)$ ,  $\hat{P} \rightarrow -i\partial_{\theta} + \beta$

## Example

*Kicked Atom* :  $\hat{U} = e^{-i\mu \cos(\hat{X})} e^{-i\tau \hat{P}^2/2}$

*Kicked Rotor*  $\hat{U}_{\beta} = e^{-i\mu \cos(\theta)} e^{-i\tau(-i\partial_{\theta} + \beta)^2/2}$

# Spectral Bounds 1

$\psi(x, t)$ : a wave packet propagating over the discrete lattice  $\mathbb{Z}^n$  under **any unitary dynamics**, in discrete or continuous time. For  $1 > \epsilon > 0$  and any time  $T$  let  $R_\epsilon(T) > 0$  the minimal radius of a ball in  $\mathbb{Z}^n$  centered at 0, such that the probability outside it (averaged in time from time 0 to time  $T$ ) is less than  $\epsilon$ .

## Theorem

*(IG 89, JM Combes 93, Y Last 96) There is  $C > 0$  independent of  $t$  so that*

$$R_\epsilon(T) > C T^{d_H^+/n}.$$

*where  $d_H^+$  is the (upper) Hausdorff dimension of the spectral measure of  $\psi$ .*

**Reminder:** The spectral measure of a state  $\psi$  attaches to any Borel set  $B \subseteq \mathbb{R}$  the probability that a measurement of energy in state  $\psi$  yields a result  $E \in B$ .



# Corollary:

Growth of  $q$ -th moment :

$$\begin{aligned} M_q(T) &= \frac{1}{T} \int_0^T dt \sum_{x \in \mathbb{Z}^n} |\psi(x, t)|^2 \|x\|^q \\ &> C' T^{qd_{\text{H}}^+/n} \end{aligned} \quad (1)$$

⇒ In the 1-dim case sub-ballistic propagation possible only with a singular spectrum.

"Quantum Suppression of chaotic diffusion"

**Dynamical Localization** over the lattice  $\mathbb{Z}^n$ :  $M_2(T)$  bounded in time.

dynamical localization ⇒ pure point spectrum

⇐ false in general.

Semi-uniform exponential localization: Del Rio Jitomirskaya Last Simon

# Spectral Bounds II

Information about decay (in space) of (generalized) eigenfunctions affords improved lower bounds. Pioneered by heuristic results by R Ketzmerick, K Kruse, S Kraut, T Geisel 97 .

## Theorem

*A. Kiselev, Y. Last 2000 Let the generalized eigenfunctions  $u_E(x)$  satisfy*

$$\sum_{\|x\| < R} |u_E|^2(x) \leq \nu(R)$$

*for some strictly nondecreasing function  $\nu$  and for all  $E$  in a set of positive spectral measure. Then*

$$R_\epsilon(t) > C \nu^{-1}(t^{d_H^+}) .$$

**Warning:** the above Thm. is somewhat loosely stated.

# Smilansky's "irreversible" model.

A rotor (angular coordinate  $\theta$ ), coupled to a linear harmonic oscillator (coordinate  $q$ ) by **point interaction**:

$$\hat{H} = -\frac{1}{2} \frac{\partial^2}{\partial \theta^2} - \frac{1}{2} \frac{\partial^2}{\partial q^2} + \frac{1}{2} q^2 + \alpha q \delta(\theta - \theta_0). \quad (2)$$

$\alpha > 0$  a parameter,  $\theta_0$  a fixed point.

## Theorem

*(M Solomyak 04; SN Naboko, M Solomyak 06) If  $\alpha < 1$ ,  $H$  has pure point spectrum in  $[\frac{1}{2}\sqrt{1-\alpha^2}, +\infty)$ . If  $\alpha > 1$ , the spectrum has a pure absolutely continuous component that coincides with  $\mathbb{R}$ .*

WD Evans, M Solomyak 05 generalize the result to the case of  $n > 1$  oscillators (interacting at different points).

# Exponential instability.

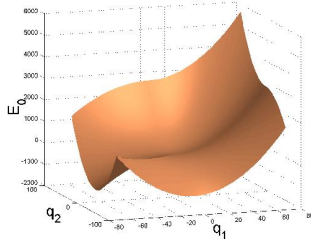
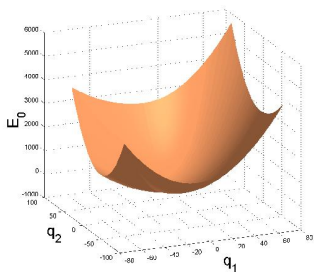
Absolute Continuity of the spectrum + Solomyak's estimates on eigenfunctions +  
Kiselev & Last  $\Rightarrow$

## Proposition

*For  $\alpha > 1$  the oscillator's energy grows exponentially fast in time.*

$$\begin{aligned}
 \text{total energy} &= \frac{1}{2} \int_0^{2\pi} d\theta \int_{-\infty}^{\infty} dq \left| \frac{\partial \psi}{\partial \theta} \right|^2 \\
 &+ \frac{1}{2} \int_0^{2\pi} d\theta \int_{-\infty}^{\infty} dq \left\{ \left| \frac{\partial \psi}{\partial q} \right|^2 + q^2 |\psi(\theta, q)|^2 \right\} \\
 &\quad + \alpha \int_{-\infty}^{+\infty} dq q |\psi(0, q)|^2.
 \end{aligned} \tag{3}$$

Below: Case of 2 oscillators. Lowest energy band for  $\alpha = 0.7$  (left) and for  $\alpha = 1.3$  (right).



## Dynamical Localization: what is known

*accumulated numerical evidence + assimilation to tight-binding models with disorder : whenever  $\tau$  is sufficiently incommensurate to  $2\pi$ , the kinetic energy of the KR stays bounded in time.*

## What is proven:

(Bourgain 02) For all sufficiently small  $\mu$ :

1)  $U_\beta$  has p.p. spectrum, with exponentially localized eigenfunctions in momentum space. (If  $\phi$  is an eigenfunction in momentum representation, and  $|\phi(n_0)| > \epsilon$ , then  $|\phi(n)| < e^{-10^{-5}|n|}$  whenever  $|n| > |n_0|^C + e^{(\log \log \frac{1}{\epsilon})^2}$ .)

2) *Dynamical Localization* follows : if  $\psi \in \mathcal{H}_1(\mathbb{T})$  then

$$\sup_{t \in \mathbb{Z}} \|U_\beta^t \psi\|_{\mathcal{H}_1} < +\infty$$

for all  $(\tau, \beta)$  in  $[0, 2\pi] \times [0, 1]$  with the exception of a "small" set, the measure of which tends to 0 as  $\mu \rightarrow 0$ .

# KR Resonances

A **KR resonance** is said to occur whenever  $\hat{U}_\beta$  commutes with a momentum translation  $\hat{T}^Q$  ( $Q$  a strictly positive integer), where  $\hat{T} : \psi(\theta) \rightarrow e^{i\theta}\psi(\theta)$ . This happens if  $\beta$  is rational and  $\tau$  is commensurate to  $2\pi$ .

## Proposition

*(Izrailev, Shepelyansky 1980; Dana, Dorofeev 06)  $\hat{U}_\beta$  commutes with  $\hat{T}^Q$  if, and only if, (i)  $\tau = 2\pi P/Q$  with  $P$  integer, (ii)  $\beta = \nu/P + Q/2 \bmod(1)$ , with  $\nu$  an arbitrary integer.*

If  $P/Q = p/q$  with  $p, q$  coprime then  $q$  is the **order of the resonance**. Resonances with  $Q = q$  are termed **primitive**.

At resonances, "**Quasi-Position**"  $\vartheta$  is conserved:  $\vartheta \equiv \theta \bmod 2\pi/Q$  and  $\vartheta \in \mathbb{B}_q^{(x)} \equiv [0, 2\pi/Q[$ .

" $\theta$  changes by multiples of  $2\pi/Q$ "

## Theorem

(Izrailev , Shepelyansky 1980) Identify  $L^2(\mathbb{T})$  and  $L^2(\mathbb{B}_q^{(x)}) \otimes \mathbb{C}^Q$  through  $\psi(\theta) \Leftrightarrow \{\psi(\vartheta + 2\pi(n-1)/Q)\}_{n=1,\dots,q}$ . Then at a resonance with  $\tau = 2\pi P/Q$  and  $\beta = \beta_r$ ,

$$\hat{U}_{\beta_r} = \int_{\mathbb{B}_q^{(x)}}^{\oplus} d\vartheta \mathfrak{X}(\beta_r, \mu, \vartheta) ,$$

where  $\mathfrak{X}(\beta_r, \mu) : [0, 2\pi] \rightarrow \mathbb{U}(Q)$  is defined by :

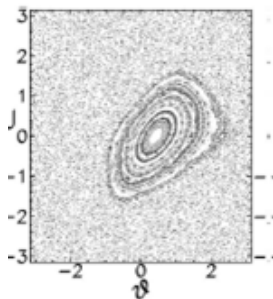
$$\mathfrak{X}_{jk}(\beta_r, \mu, \vartheta) = e^{-i\mu \cos(\vartheta + 2\pi(j-1)/Q)} G_{jk} , \quad (4)$$

$$G_{jk} = \frac{1}{Q} \sum_{l=0}^{Q-1} e^{-\pi i p(l+\beta_r)^2/q} e^{2\pi i(j-k)l/Q} . \quad (5)$$

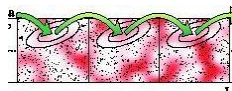


# Transporting islands: Accelerator Modes.

Mixed Phase  
Space



Transporting Islands



Accelerator modes quantally decay due to tunneling but their presence results in much larger localization length.

Hanson, Ott, Antonsen 1984; Iomin, Fishman, Zaslavsky  
2002,...

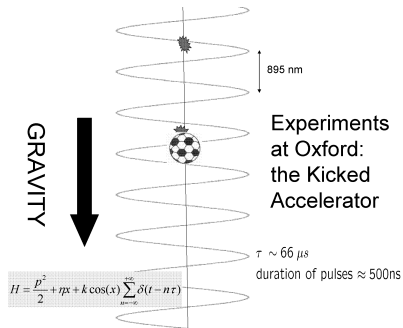
Eigenstates may ignore classical islands

Hufnagel, Ketzmerick, Otto, Schanz 02; Bäcker, Ketzmerick,  
Monastra 06;...

The chaotic sea recoils

Schanz, Dittrich, Ketzmerick 05;...

## Kicked Accelerator.



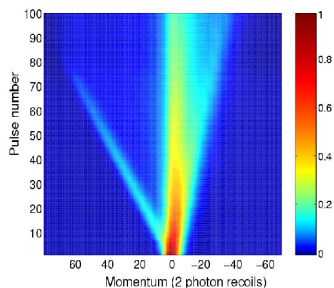
# Quantum Accelerator Modes

QAMs were first discovered in experiments at Oxford.

M.K. Oberthaler, R.M. Godun, M.B.

d'Arcy, G.S. Summy and K. Burnett, PRL

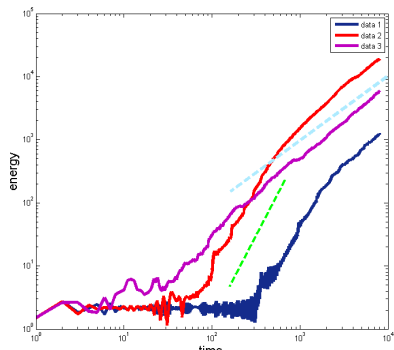
**83**, 4447, (1999)



# Destruction of localization

$(\eta\tau/2\pi \text{ irrational})$

$\eta$  increases through ■ ■ ■. Dashed lines: linear and quadratic growth.



Ballistic growth at intermediate times is due to **Quantum Accelerator modes**

# Pseudoclassical Action

Hamiltonian "in the falling frame"

$$\frac{1}{2} \left( \hat{P} + \frac{\eta}{\tau} t \right)^2 + k \cos(\hat{X}) \sum_{-\infty}^{\infty} \delta(t - n\tau) .$$

From the  $t$ -th kick to the  $(t+1)$ -th kick:  
Unitary Propagator:

$$\hat{U}_t = e^{-ik \cos(\theta)} e^{-i\frac{\tau}{2}(-i\partial_\theta + \phi_t)^2} ,$$

$$\phi_t = \beta + \eta/2 + \eta t .$$

Near Resonance:  $\tau = 2\pi \frac{p}{q} + \epsilon$

$$\begin{aligned}\hat{U}_t \psi(\theta) &= e^{-ik \cos(\theta)} \sum_{s=0}^{q-1} G_s e^{-i \frac{\epsilon}{2} (-i \partial_\theta + \beta)^2} \psi(\theta - 2\pi s/q - \tau \phi_t) = \\ &\quad \frac{1}{\sqrt{2\pi i \epsilon}} \sum_{m \in \mathbb{Z}} \sum_{s=0}^{q-1} G_s \int_0^{2\pi} d\theta' e^{-\frac{i}{\epsilon} S(\theta, \theta', s, m, t)} \psi(\theta')\end{aligned}$$

Action ( $\tilde{k} := \epsilon k$ ):

$$S(\theta, \theta', s, m, t) = -\tilde{k} \cos(\theta) + \frac{1}{2}(\theta - \theta' - 2\pi s/q - 2\pi m - \tau \phi_t)^2$$

Propagation over  $t$  kicks: sum over paths. Each path is specified by  $(\theta_0, \theta_1, \dots, \theta_t)$ ,  $(m_0, \dots, m_t)$ ,  $(s_0, \dots, s_t)$ .

# Pseudoclassical Asymptotics

$$\epsilon \rightarrow 0 ; k \rightarrow \infty ; \tilde{k} = k\epsilon = \text{const.}$$

**Stationary Phase** selects paths with  $(m_0, \dots, m_t)$  and  $(s_0, \dots, s_t)$  arbitrary, and **rays**  $(\theta_0, \theta_1, \dots, \theta_t)$  that obey:

$$\begin{aligned}\theta_{t+1} &= \theta_t + l_t + \tau\phi_t + 2\pi s_t/q \bmod 2\pi , \\ l_{t+1} &= l_t + \tilde{k} \sin(\theta_{t+1}) .\end{aligned}$$

# $q = 1$ : the Pseudoclassical Limit

S Fishman , IG, L Rebuzzini PRL 89 (2002) 0841011; J Stat Phys 110 (2003) 911; A Buchleitner, MB d'Arcy, S Fishman, SA Gardiner, IG, ZY Ma, L Rebuzzini and GS Summy, PRL 96 (2006) 164101; IG, S Fishman, L Rebuzzini Nonlinearity 19 (2006); RHihinashvili, TOLiker, YS Avizrats, A Iomin, S Fishman, IG Physica D 226 (2007)

Multiples of  $2\pi/q$  drop out. Time dependence is removed by changing variable to:

$$J_t = I_t + \frac{\eta}{2} + \delta\beta + \tau\eta \textcolor{red}{t}$$

(Difference linearly grows with time)

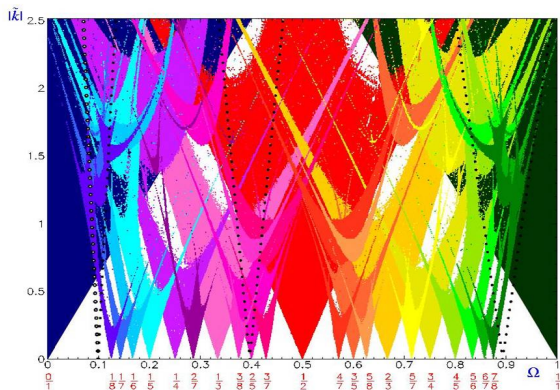
$$\begin{aligned} J_{t+1} &= J_t + \tau\eta + \tilde{k} \sin(\theta_{t+1}) , \\ \theta_{t+1} &= \theta_t + J_t . \end{aligned}$$

Rays are trajectories of a classical dynamical system on  $\mathbb{T} \times \mathbb{R}$ .

Stable **Periodic Orbits** of the map on  $\mathbb{T} \times \mathbb{T} \rightsquigarrow$  Stable **Accelerating Rays**  $\rightsquigarrow$  **Quantum Accelerator Modes**.



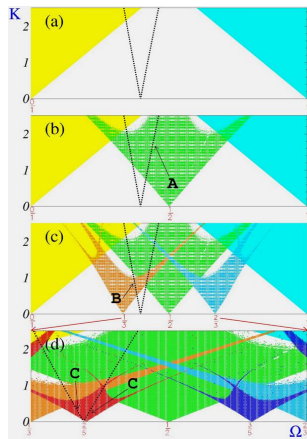
# Phase Diagram of QAMs: Arnol'd Tongues



# Farey approximation of Gravity

The observed modes along the  
"experimental path" are the  
rational (Farey) approximants  
to

$$\omega^* = \frac{2\pi M^2 g}{\hbar^2 G^3} .$$



# $q > 1$ : Near Higher-Order Resonances

Partial Similarity to quasiclassics with multi-component wavefunctions (e.g. spinors):

Littlejohn, Flynn 1991

IG, L Rebuzzini PRL 100 (2008) 234103; L.Rebuzzini, IG, R Artuso PR-A 79 (2009)

$$\begin{aligned} J_{t+1} &= J_t + \tau\eta + \tilde{k} \sin(\theta_{t+1}) + \delta_t, \\ \theta_{t+1} &= \theta_t + J_t, \\ \delta_t &= \frac{2\pi}{q}(s_{t+1} - s_t). \end{aligned}$$

Rays are not trajectories of a unique classical system anymore.

There is a ray for each choice of an integer string  $\mathbf{s} := (s_0, \dots, s_t)$ :  
so rays exponentially proliferate with the number  $t$  of kicks. Each ray contributes an amplitude:

$$\frac{1}{\sqrt{q^t \epsilon |\det(\mathfrak{M}_t)|}} e^{\frac{i}{\epsilon} S_{\mathbf{s}, \mathbf{m}} + i\Phi_{\mathbf{s}, \mathbf{m}}}.$$

# Stable Rays?

$\mathfrak{M}_t$  is the **stability matrix** :

$$\mathfrak{M}_t = \begin{vmatrix} 2 + \tilde{k} \cos(\theta_0) & -1 & 0 & \dots & \dots & 0 \\ -1 & 2 + \tilde{k} \cos(\theta_1) & -1 & 0 & \dots & 0 \\ 0 & -1 & 2 + \tilde{k} \cos(\theta_2) & -1 & \dots & 0 \\ 0 & 0 & \dots & \dots & \dots & 0 \\ 0 & 0 & \dots & -1 & 2 + \tilde{k} \cos(\theta_{t-1}) & -1 \\ 0 & 0 & \dots & \dots & -1 & 2 + \tilde{k} \cos(\theta_t) \end{vmatrix}$$

Herbert-Jones-Thouless formula:

$$\log(|\det(\mathfrak{M})|) = t \int dn(E) \log(|E|) = t \times \text{Lyapunov exponent}$$

As  $t$  increases, most sequences  $\delta_t$  are random and so are  $\theta_t$  :  $\Rightarrow$   
LE positive  $\Rightarrow$  Each such ray yields an exponentially small contribution.

## Stable Rays

*Distinguished individual contributions expected of rays, whose matrices  $\mathfrak{M}$  have extended states.*

# How to find stable rays

IG, L Rebuzzini PRL 100 (2008) 234103

$$\begin{aligned}
 J_{t+1} &= J_t + \tau\eta + \tilde{k} \sin(\theta_{t+1}) + \delta_t, \\
 \theta_{t+1} &= \theta_t + J_t, \\
 \delta_t &= \frac{2\pi}{q}(s_{t+1} - s_t).
 \end{aligned}$$

whenever  $\delta_t$  is a periodic sequence of period  $T$ ,  $T$ -fold iteration of the above equations defines a dynamical system on the 2-torus.

Each stable periodic orbit of that system defines a stable ray that gives rise to an accelerator mode.

## Acceleration

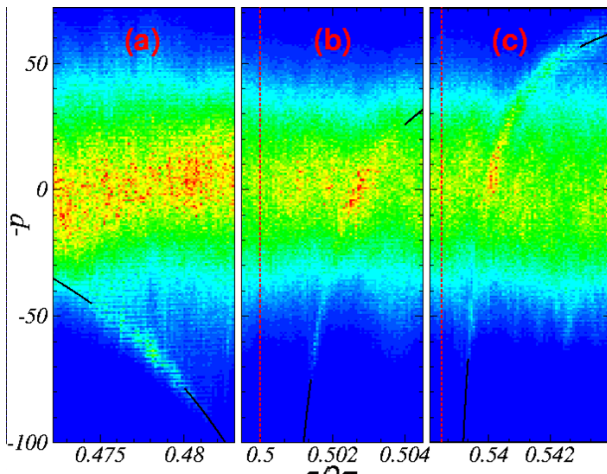
$$\frac{1}{\epsilon} \left\{ \frac{2\pi}{T} \frac{j}{p} - \tau\eta - \frac{1}{T} \sum_{t=0}^{T-1} \delta_t \right\}.$$

(a)  $q = 2, p = 1, T = 2$ . Orbit with period 3  
index 1.

(b)  $q = 2, p = 1, T = 1$ . Orbit with period 5  
index 1.

(c)  $q = 13, p = 10, T = 1$ . Orbit with period 1  
index 1.

$$k = 0.8\pi, \eta = 0.126\tau.$$



# More Kicks Ahead

Experiments with Kicked Ultra-Cold Atoms still being done.

Relevant Theoretical Issues:

- Directed Transport (Quantum "Ratchet Effect")
- **Terra Incognita**: Multiple Kicks  
connection between Kicked-Harper and certain doubly-kicked rotors: [J.Wang](#),  
[J.Gong](#), [T.Monteiro...](#)
- Many-Body Kicked Systems: Hubbard Model ...