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International Centre for Theoretical Physics**



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**ICTP/FANAS Conference on trends in Nanotribology**

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**Understanding dissipation processes in dynamic atomic force microscopy**

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# Understanding dissipation processes in dynamic Atomic Force Microscopy

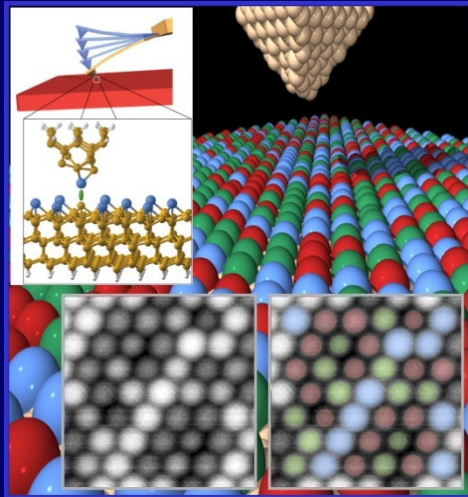
Rubén Pérez

Nanomechanics & SPM Theory Group

Departamento de Física Teórica de la Materia Condensada

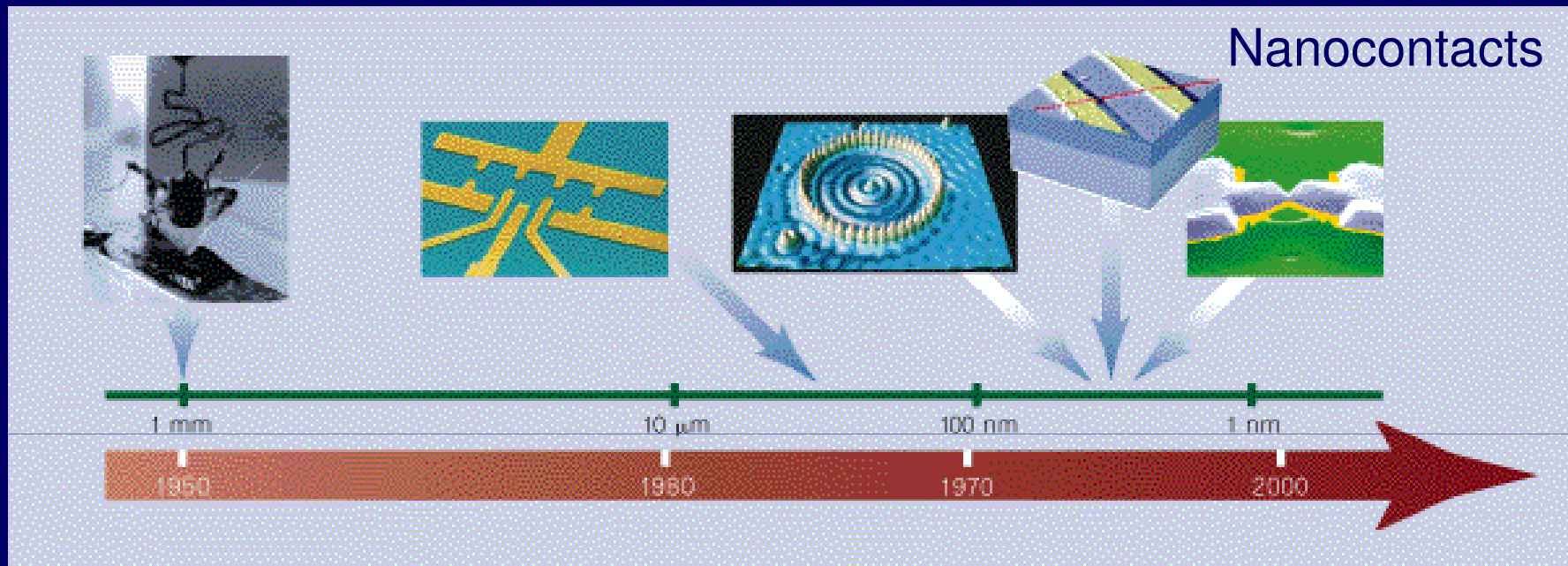
Universidad Autónoma de Madrid

<http://www.uam.es/spmth>



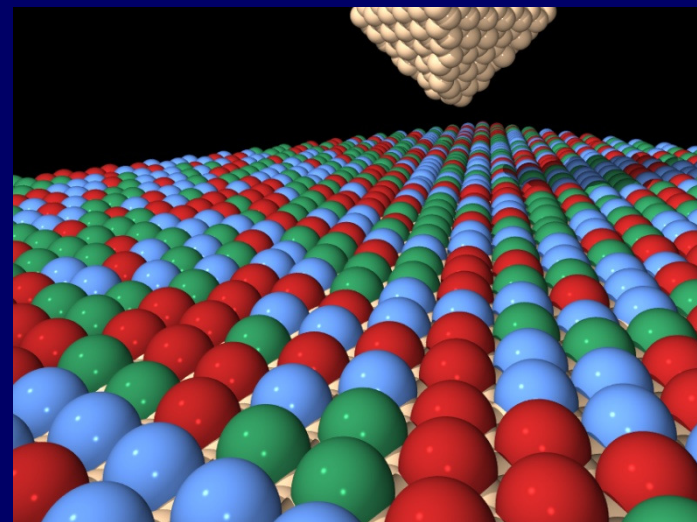
ICTP/FANAS Trends in Nanotribology, Trieste, Oct 20th 2009

# Nanotechnology: Materials & Tools (SPMs) (Atomic scale is different...)

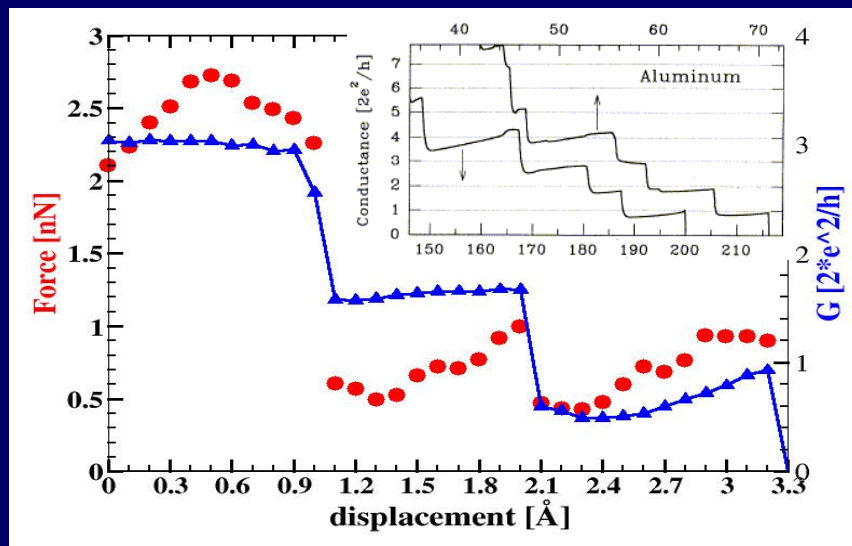
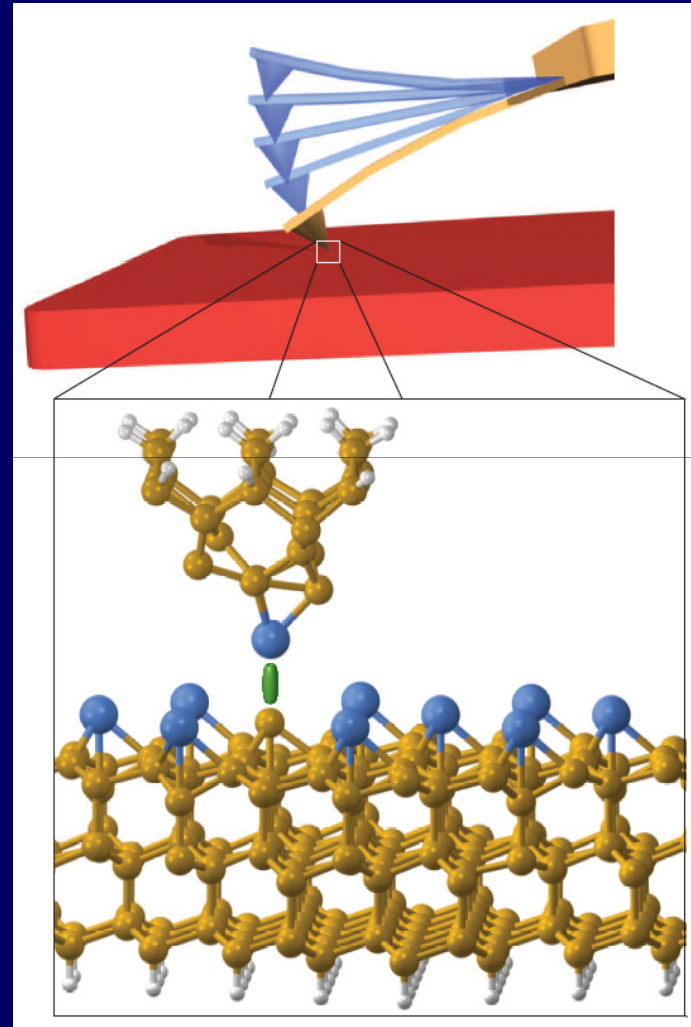
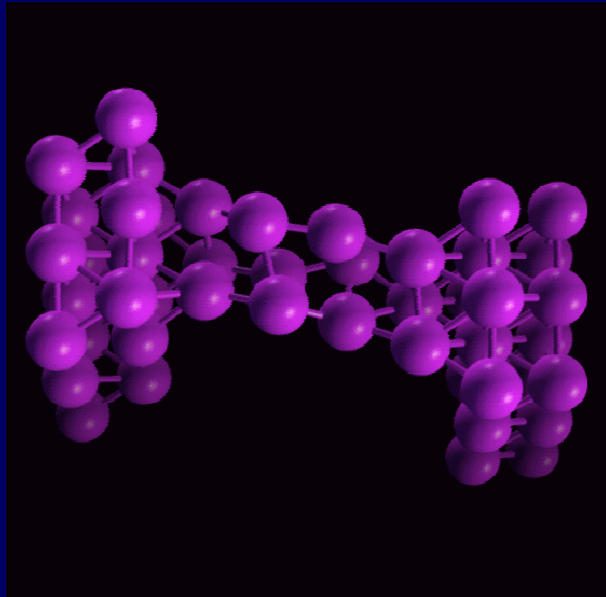


Scanning Probe Microscopes (SPMs):

- Scanning Tunneling Microscope (STM)
- Atomic Force Microscope (AFM)
- ...



# Forces & Transport in Nanostructures: First-principles calculations



# Methodology

“The computer is a tool for clear thinking” Freeman J. Dyson

Ab-initio total energy methods

(based in Density  
Functional Theory)



Non-equilibrium  
Green's Functions

both plane wave & local  
orbital basis:  
accuracy/efficiency balance



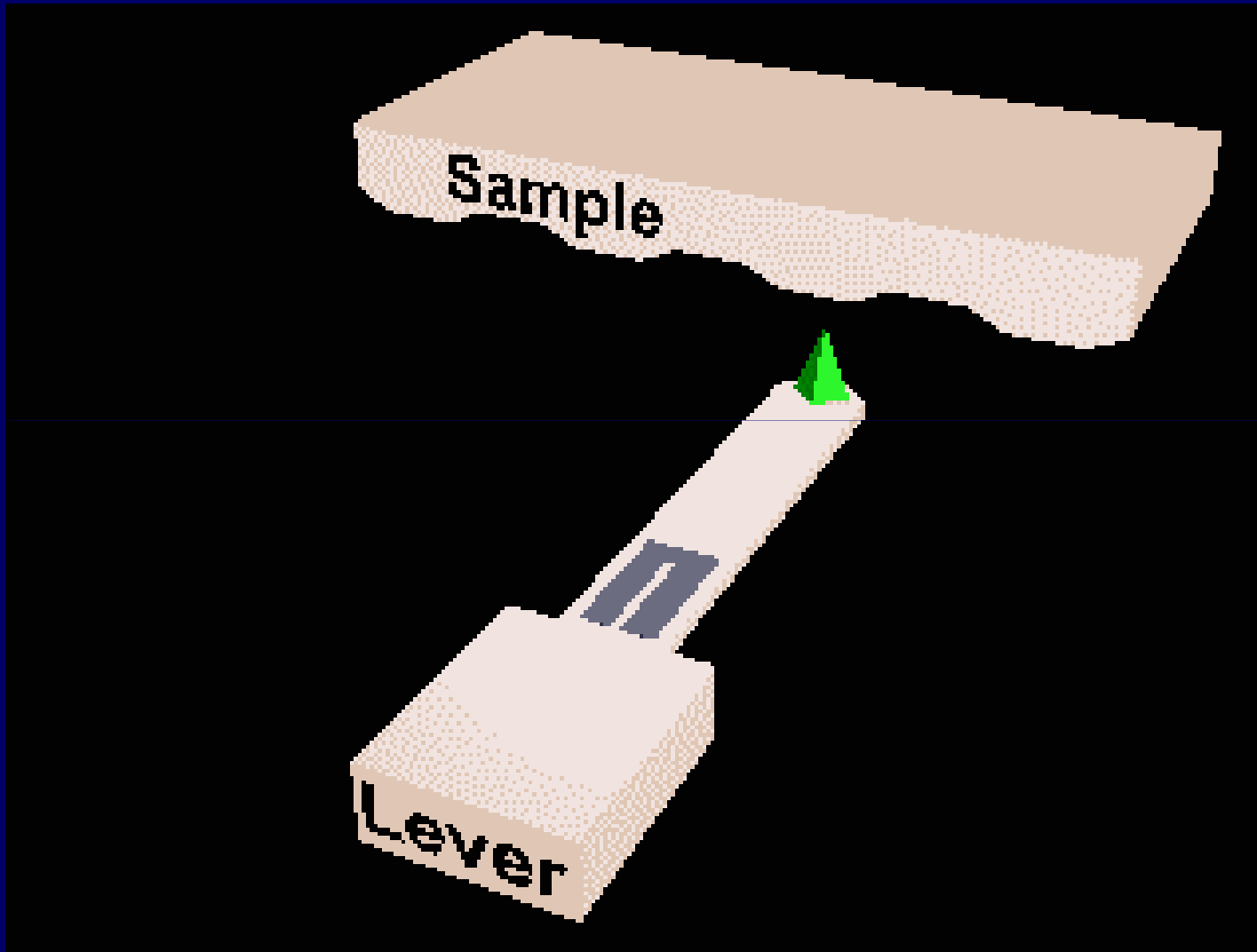
Linked with the local  
orbital description

Structure + electronic properties

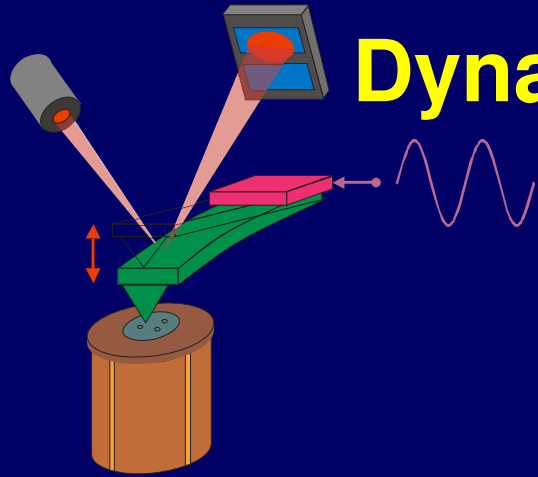
FIREBALL, OPENMX  
CASTEP, VASP

Electronic transport

# Dynamic AFM

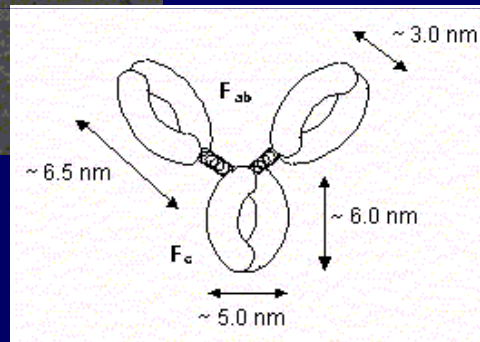
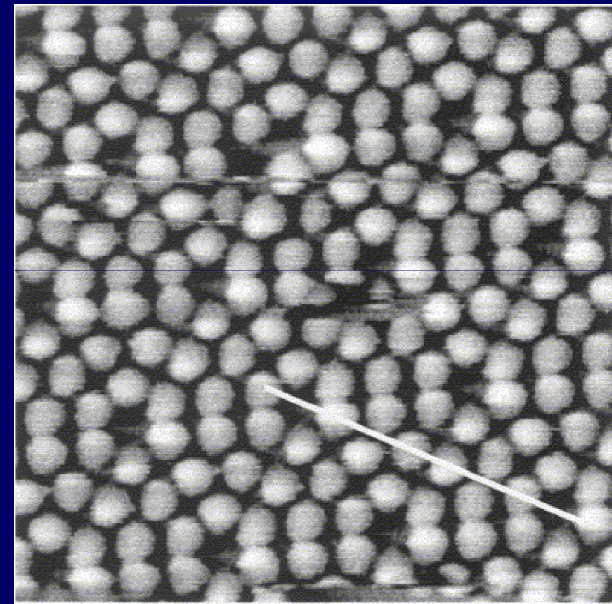
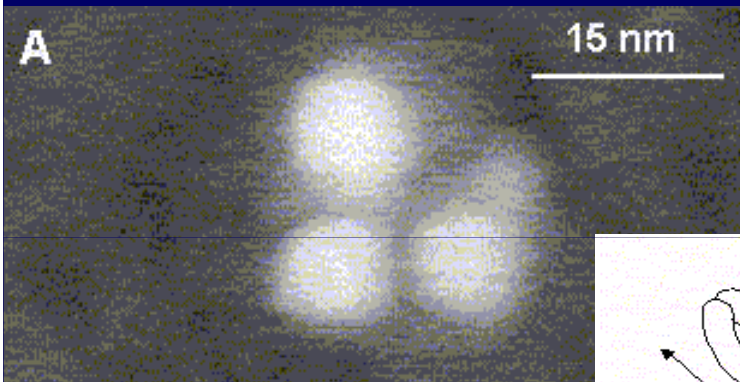


[http://monet.physik.unibas.ch/famars/afm\\_prin.htm](http://monet.physik.unibas.ch/famars/afm_prin.htm)



# Dynamic AFM: Our Goal

Why changes observed in the dynamic properties of a vibrating cantilever with a tip that interacts with a surface make possible to:



## AM-dAFM

- Obtain **molecular resolution** images of biological samples in **ambient conditions**.

- Resolve **atomic-scale** defects in **UHV**. **FM-dAFM**

R. García and R. Pérez, Surf. Sci. Rep. 47, 197 (2002)

# Outline

Goal: Combine theory & experiment to identify the microscopic mechanisms involved in energy dissipation

1. FM-AFM: Identification of a dissipation channel due to single atomic contact adhesion on semiconductor surfaces.

N. Oyabu et al. *Phys. Rev. Lett.* 96, 106101 (2006).

2. FM-AFM: submolecular resolution in the dissipation images for PTCDA on Ag(111)

3. AM-AFM: Combining continuum models and large scale *ab initio* MD simulations to understand the dissipation mechanisms in a sexithiophene ML deposited on SiO<sub>x</sub>

R. García, R. Magerle and R.P., *Nature Materials* 6, 405 (2007)

N.F. Martinez et al, *Nanotechnology* 20, 434021 (2009)  
(special issue to mark the 20th Volume, 2009)

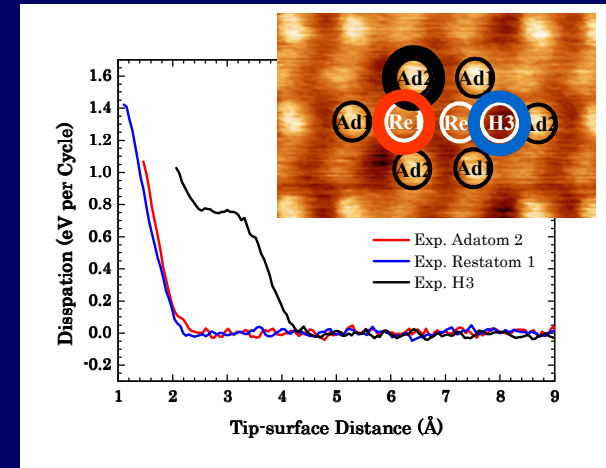
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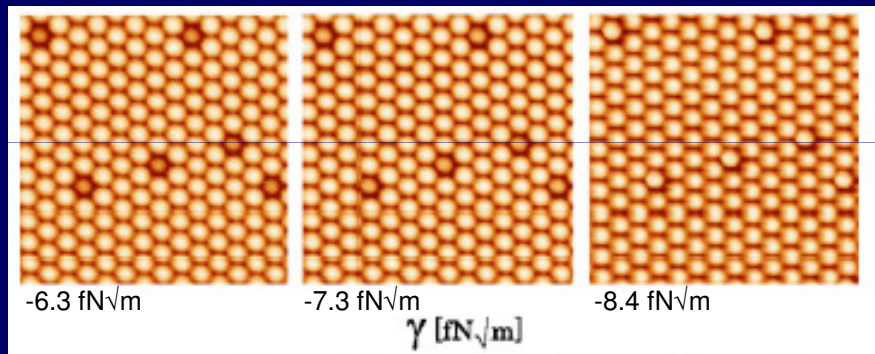
# Recent developments in FM-AFM

1. DISSIPATION: Characterizing the tip structure and identifying a dissipation channel due to single atomic contact adhesion.

N. Oyabu et al. Phys. Rev. Lett. 96, 106101 (2006).



2. IMAGING: changes in topography: access to the real surface structure?



Y. Sugimoto et al  
Phys. Rev. B 73, 205329 (2006).

3. CHEMICAL IDENTIFICATION:

based on the relative interaction ratio of the maximum attractive force measured by dynamic force spectroscopy

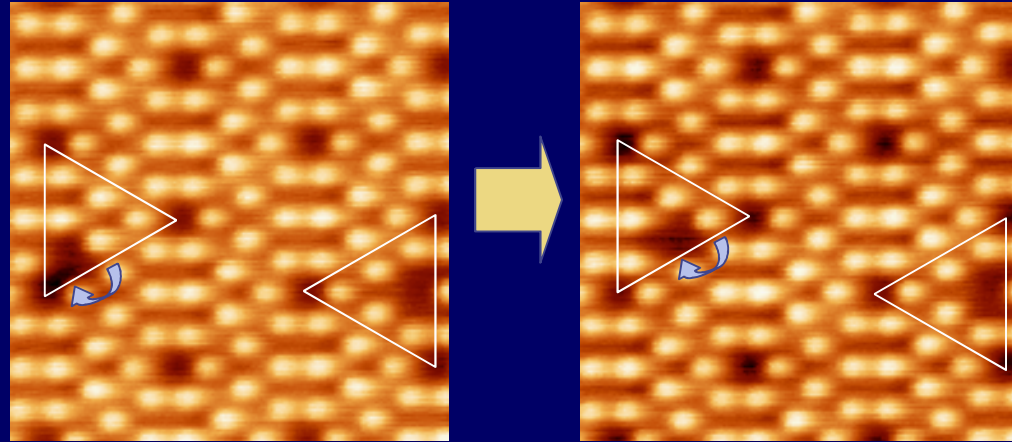
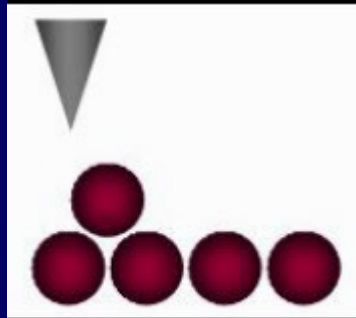
Y. Sugimoto et al Nature 446, 64 (2007).



# Recent developments in FM-AFM

## Understanding RT DFM-based single-atom manipulation

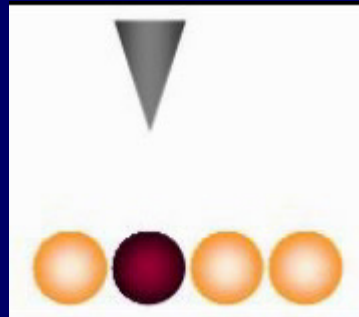
4. LATERAL MANIPULATION: Si vacancy on Si(111)-7x7 (tip assisted thermal hopping)



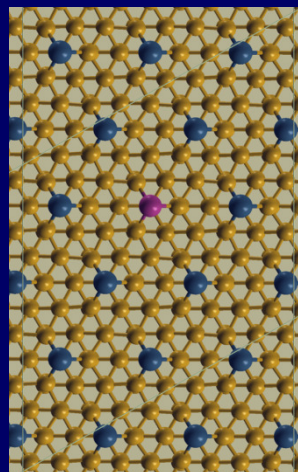
Y. Sugimoto et al. Phys. Rev. Lett. 98, 106104 (2007).

5. VERTICAL MANIPULATION:

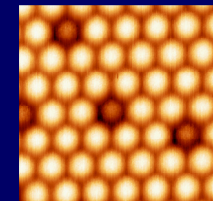
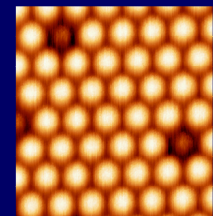
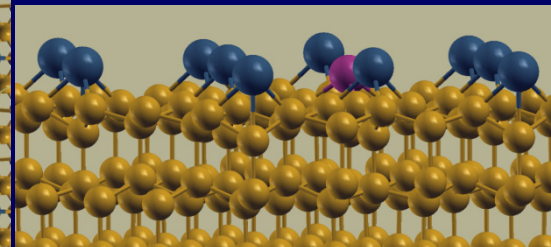
Tip/sample  
exchange of  
atoms on  
Sn/Si(111)



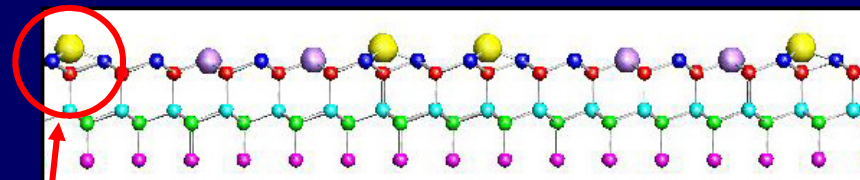
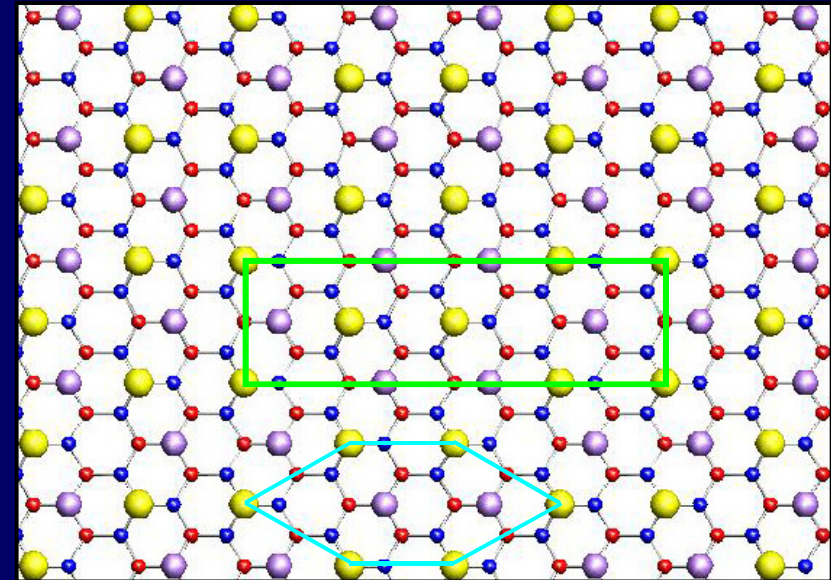
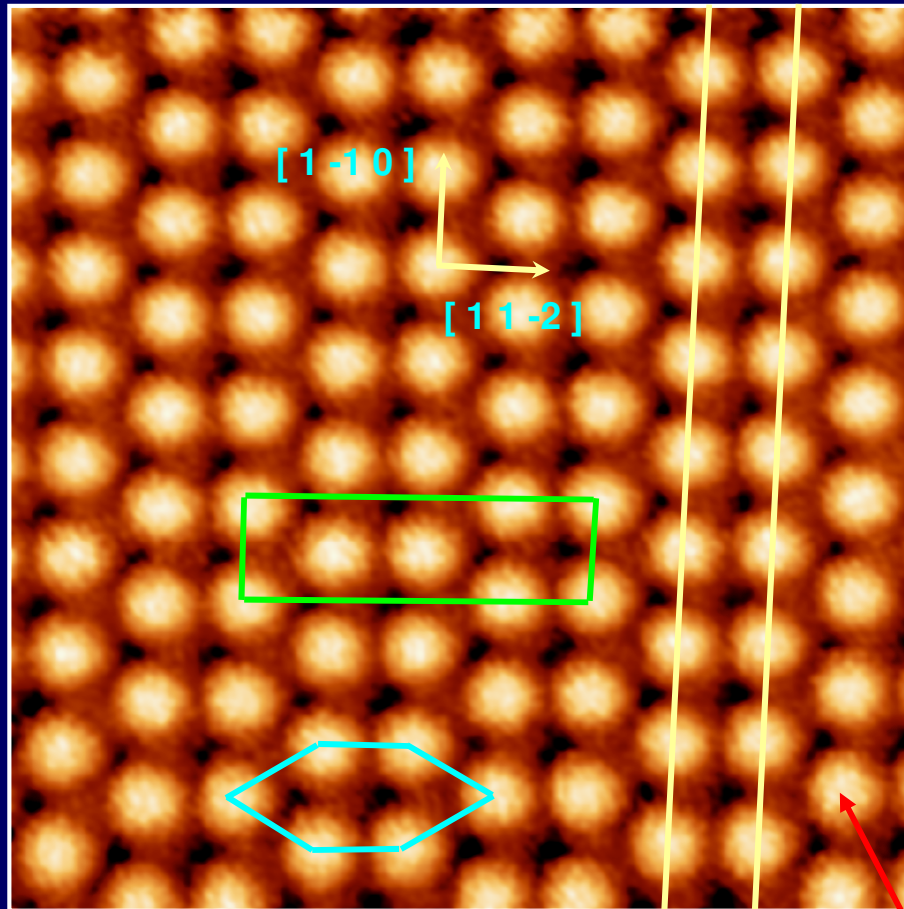
Y. Sugimoto et al.,  
Science 322, 413 (2008).



$\alpha$ -Sn/Si(111)-( $\sqrt{3}\times\sqrt{3}$ )



# NC-AFM images of the Ge(111)-c(2x8) surface



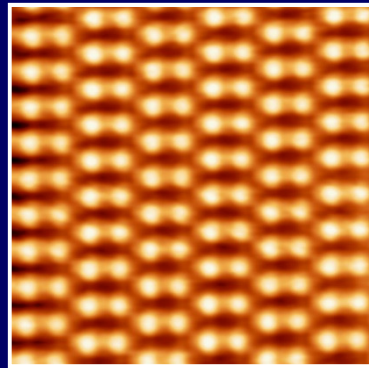
NC-AFM Topographic image (8.2x8.2)nm<sup>2</sup>  
 $\Delta f = -10.9$  Hz  $f_0 = 163.780,2$  Hz  $A = 10$  nm  
 $K_L = 31.4$  N/m  $Q = 294162$   $T = 80$  K

$T_4$

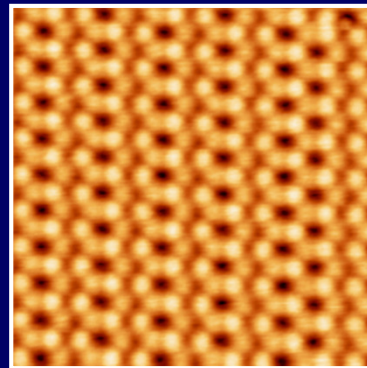
As in the Si(111)-(7x7) case, the Ge(111)-c(2x8) surface also has restatoms.

# Ge(111)-c(2x8): two typical topographic patterns

## The Ge(111)-c(2x8): ROOM TEMPERATURE



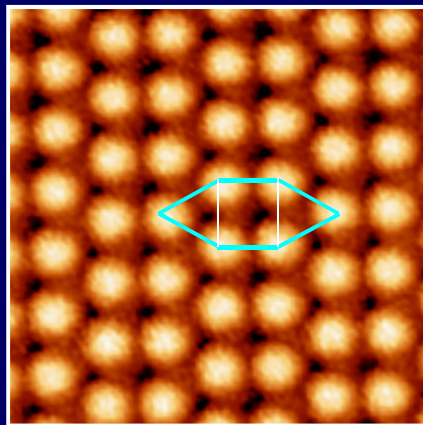
$\Delta f = -5.3 \text{ Hz}$   
 $f_0 = 161320.4 \text{ Hz}$   
 $A = 19 \text{ nm}$   
 $K_L = 30.0 \text{ N/m}$   
 $\gamma = -4.1 \text{ fN m}^{1/5}$



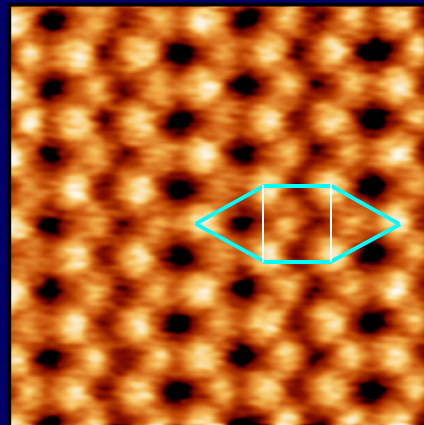
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 $f_0 = 161320.4 \text{ Hz}$   
 $A = 19 \text{ nm}$   
 $K_L = 30.0 \text{ N/m}$   
 $\gamma = -2.3 \text{ fN m}^{1/5}$

M. Abe, Y. Sugimoto, and S. Morita, *Nanotechnology*, **16**, S68 (2005)

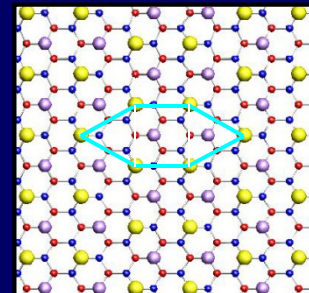
## The Ge(111)-c(2x8): LOW TEMPERATURE (80K)



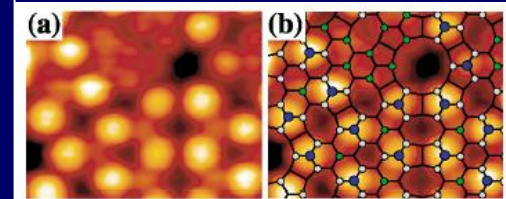
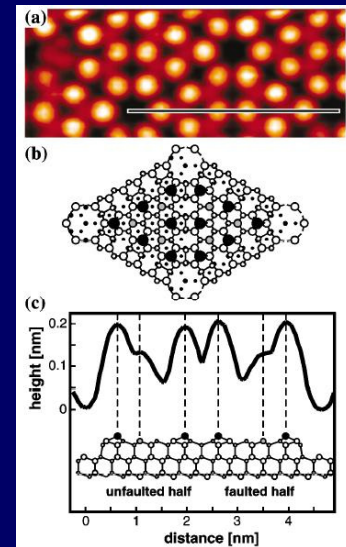
$\Delta f = -10.9 \text{ Hz}$   $f_0 = 163780.2 \text{ Hz}$   
 $A = 10 \text{ nm}$   $K_L = 31.4 \text{ N/m}$



$\Delta f = -21.5 \text{ Hz}$   $f_0 = 163875.6 \text{ Hz}$   
 $A = 12.9 \text{ nm}$   $K_L = 33.4 \text{ N/m}$

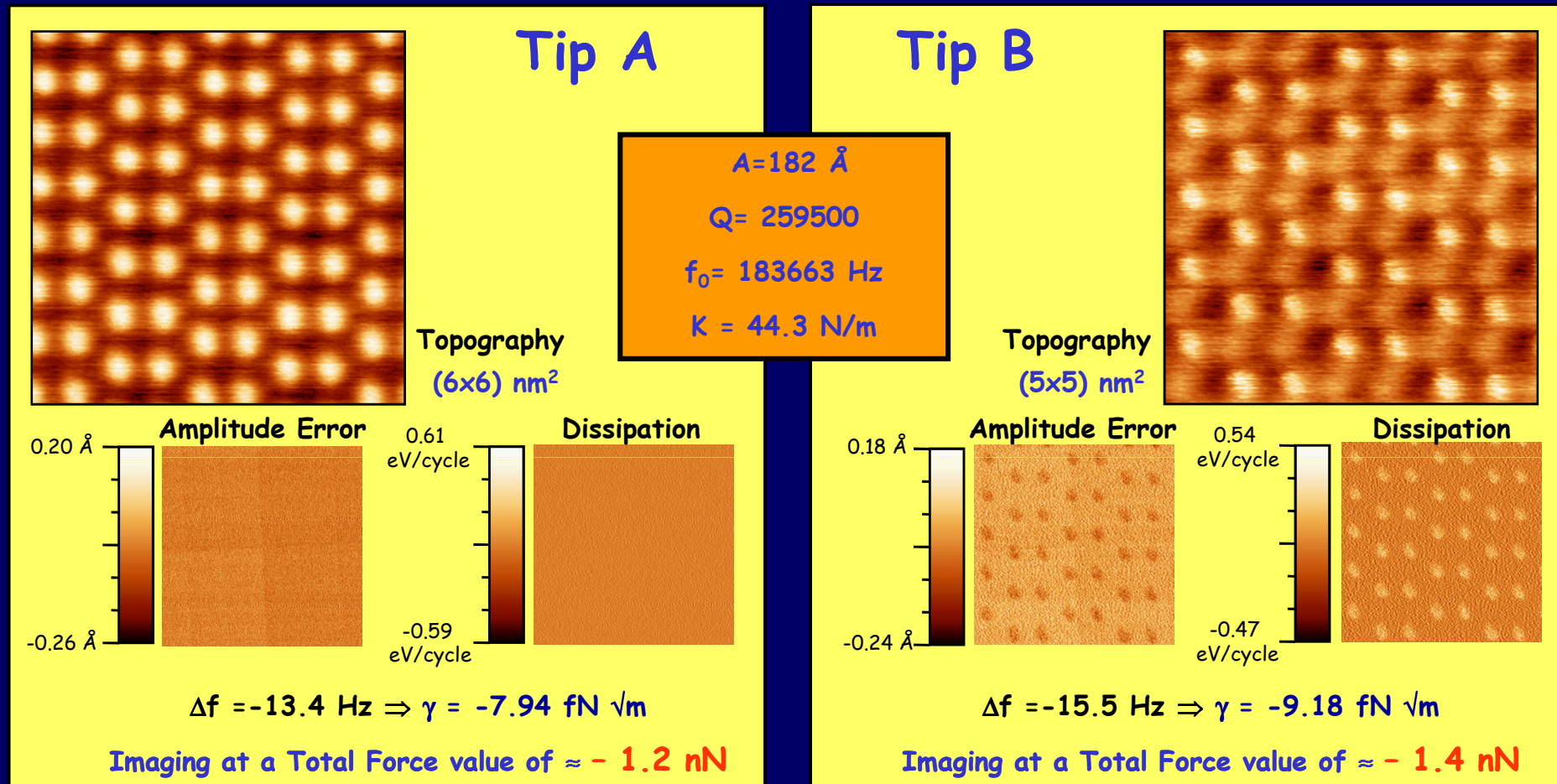


*Different from an enhancement of resolution by heating up the Si cantilevers (900°C)*



T. Eguchi and Y. Hasegawa, *Phys. Rev. Lett.* **89**, 266105 (2002)

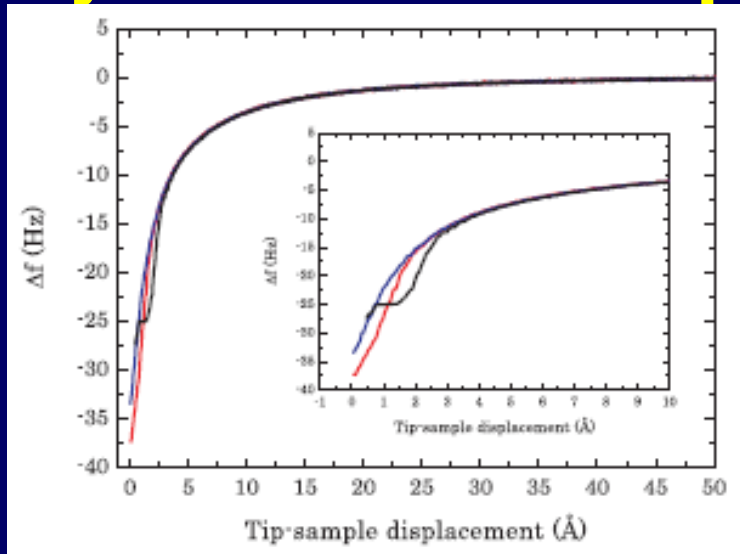
# Experiments in the same session



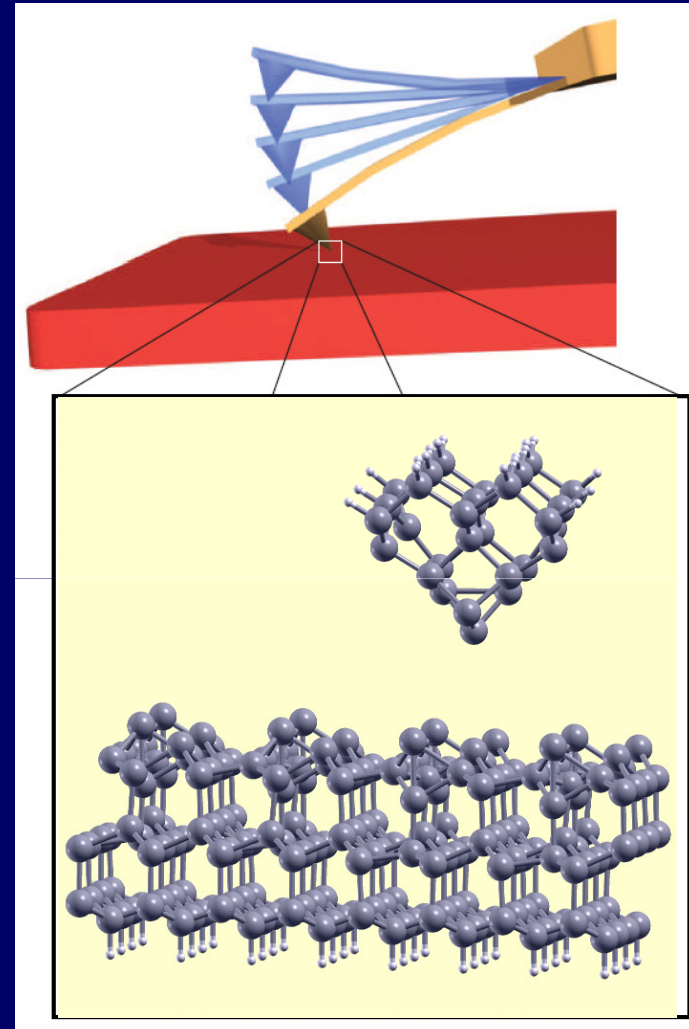
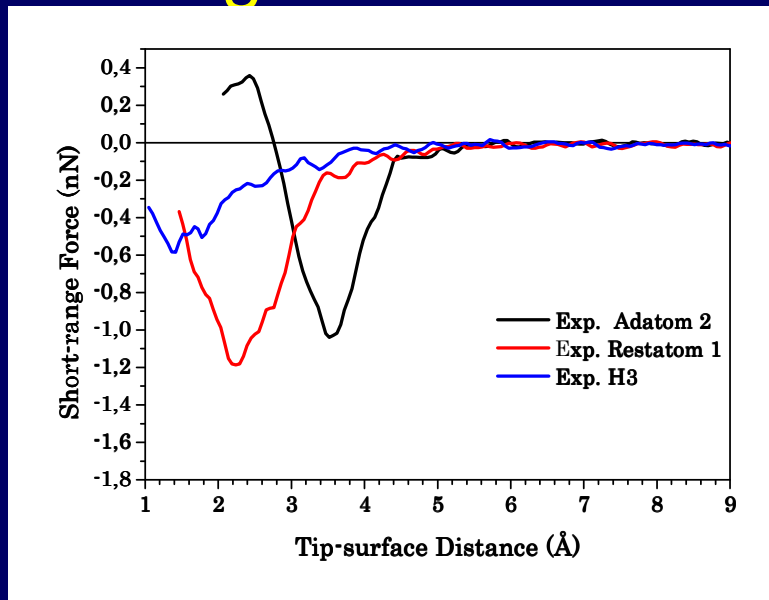
Slight Tip-Change

Same measurement session with the same experimental parameters !!!

# Dynamic Force Spectroscopy: Access to $F_{ts}$

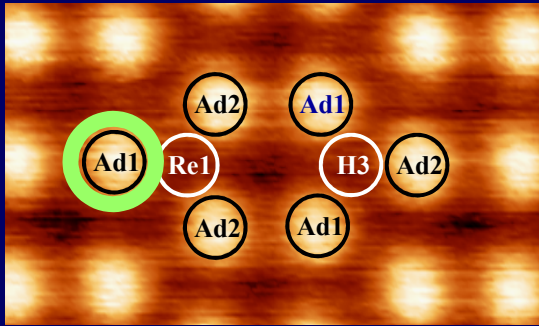


Inversion algorithms

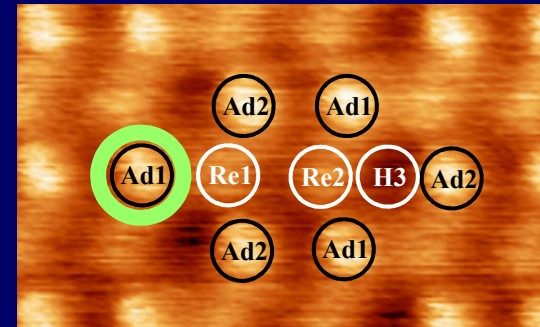


SR forces amenable to ab initio calculations

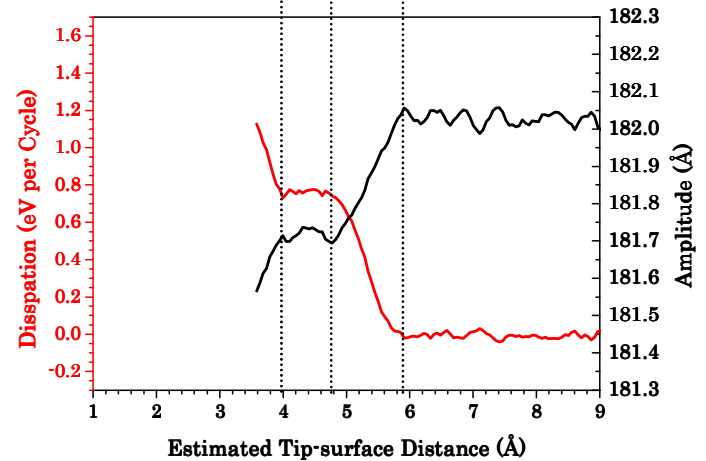
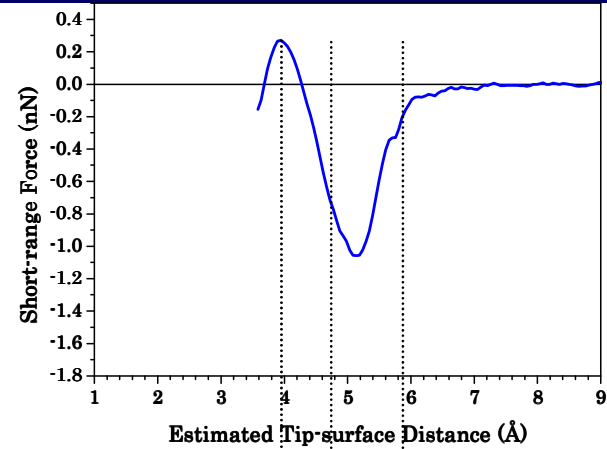
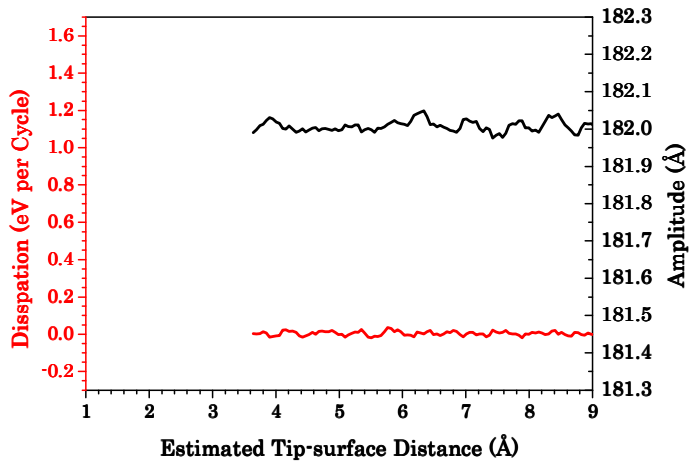
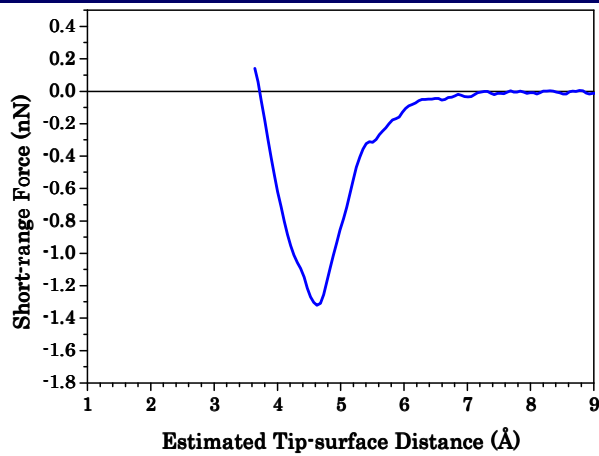
# Site-Specific Force Spectroscopy: Adatom 1



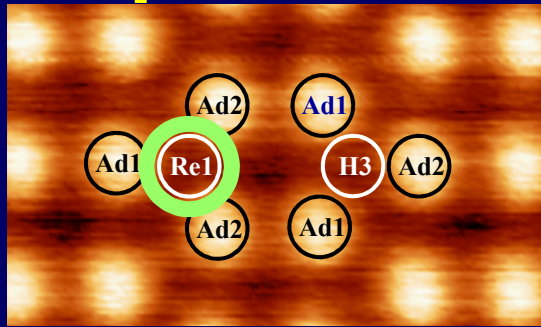
Tip A



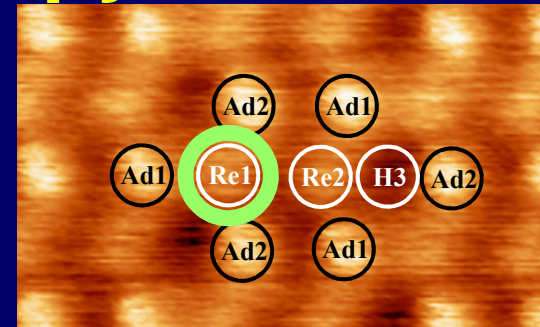
Tip B



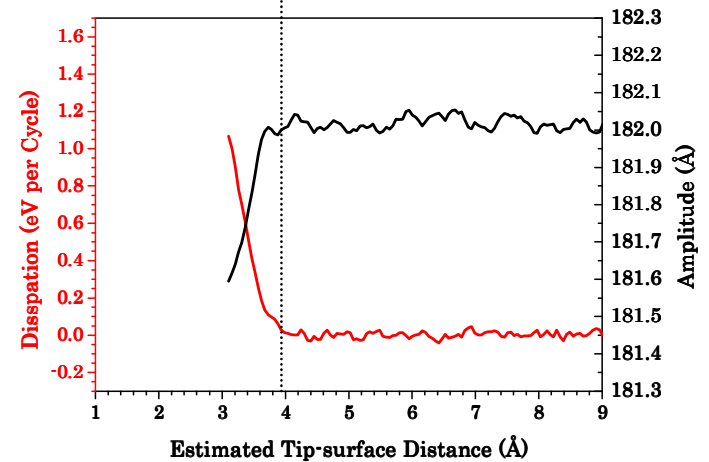
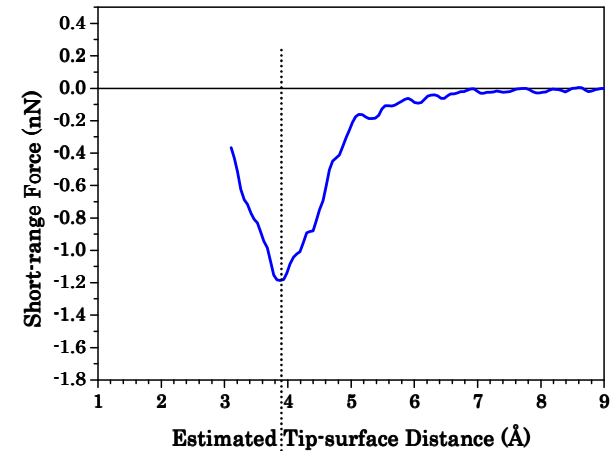
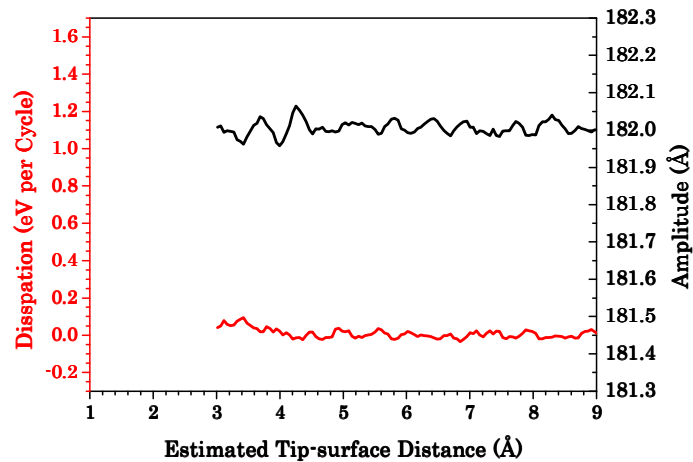
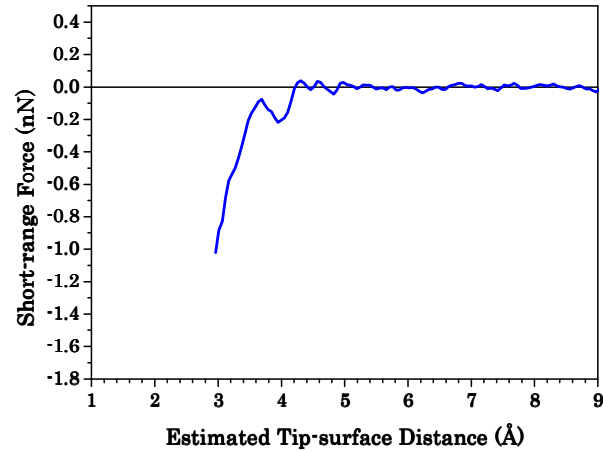
# Site-Specific Force Spectroscopy: Restatom 1



Tip A

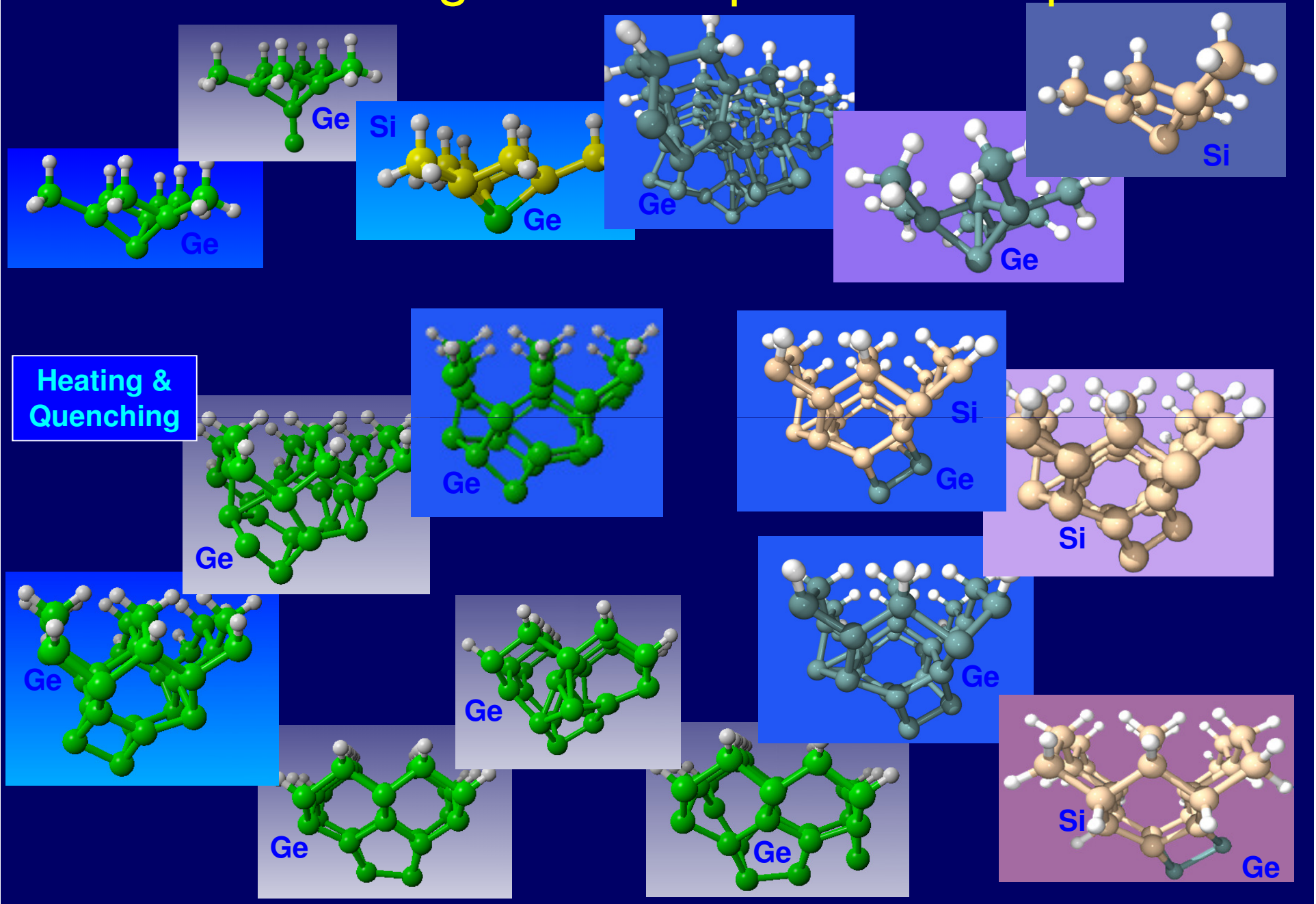


Tip B



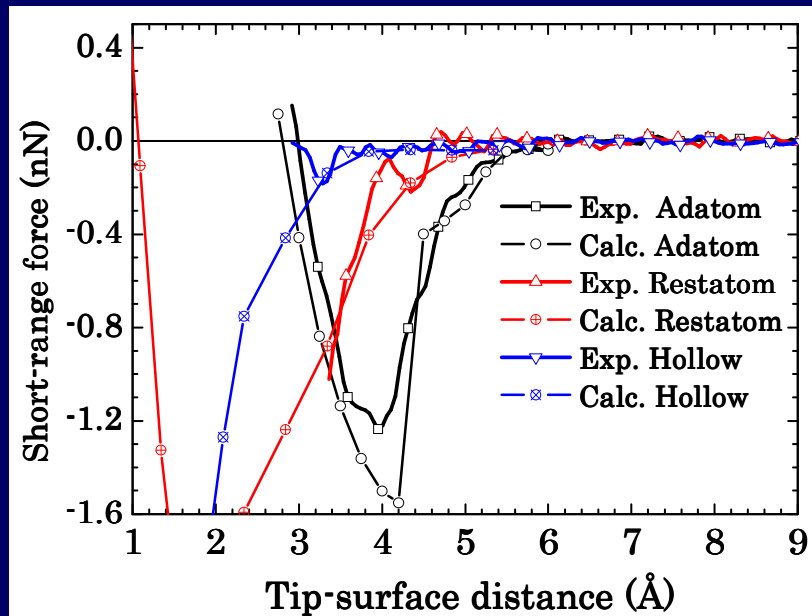
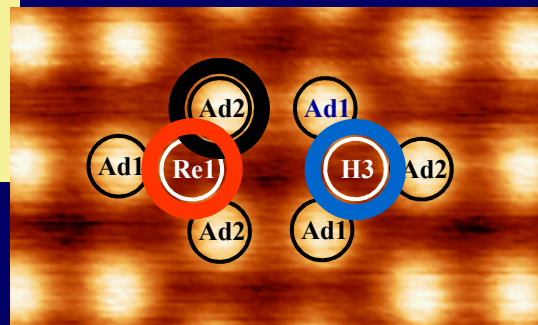
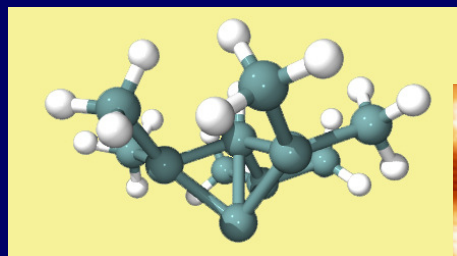


# Searching for the experimental tips

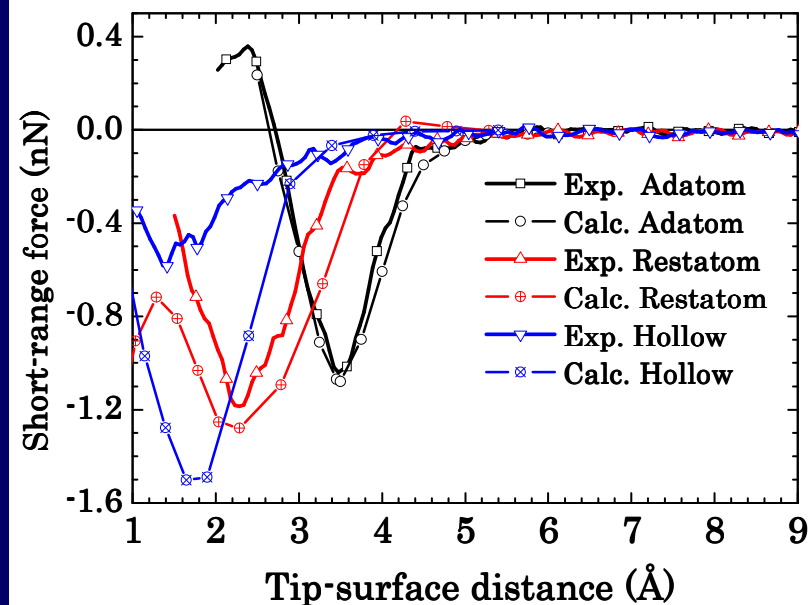
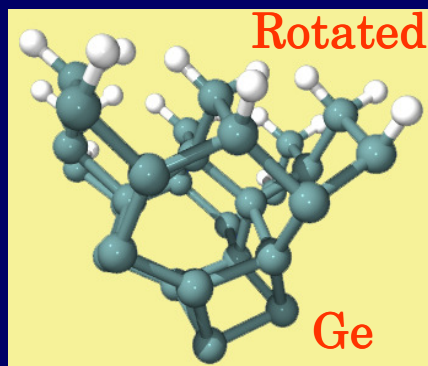


# Tips selected for explaining the experiments

## Tip A

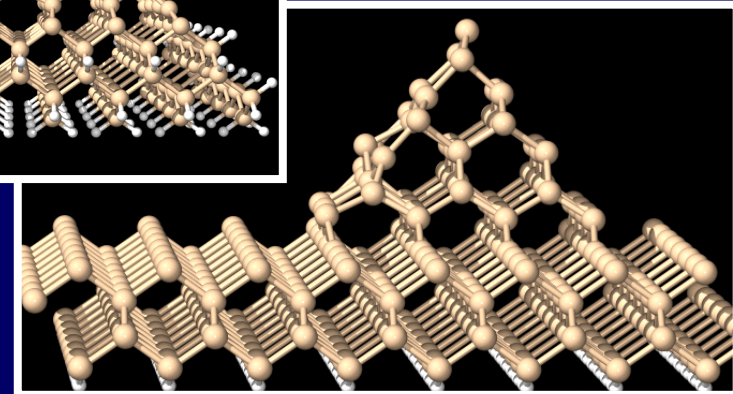
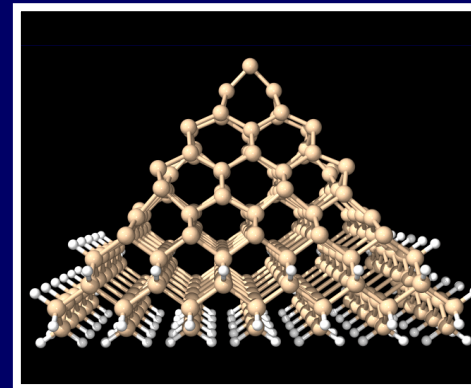
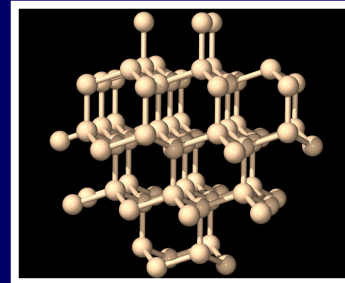
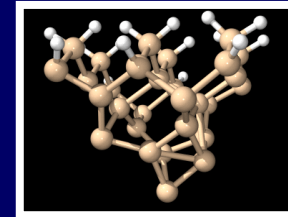
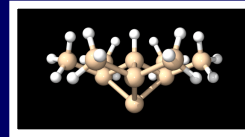


## Tip B



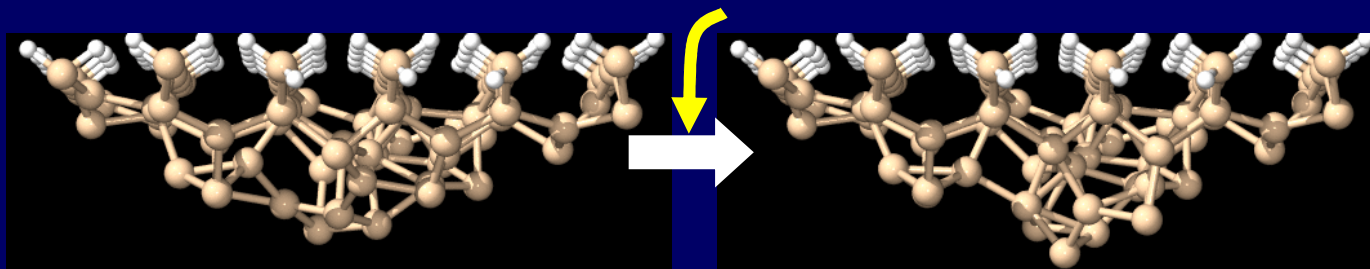
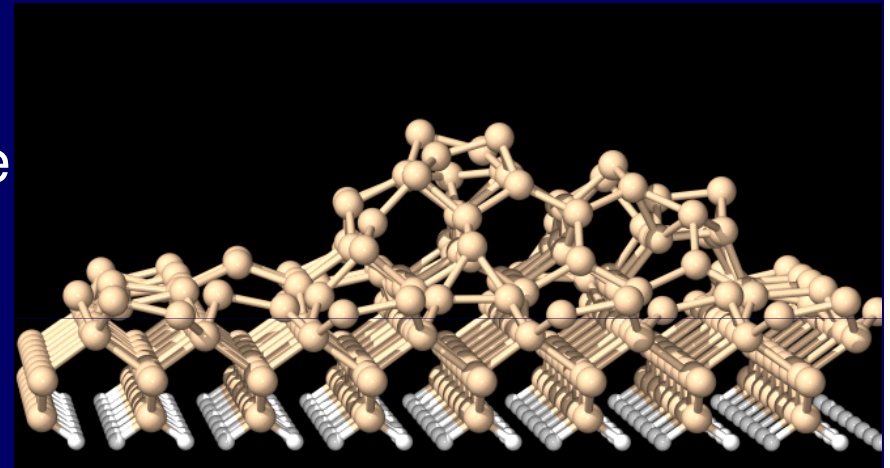
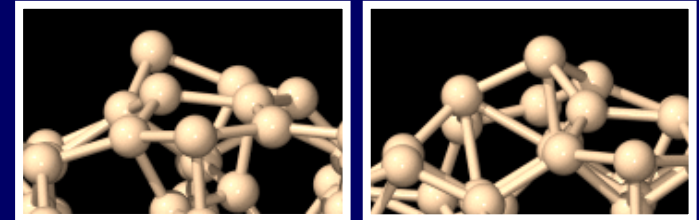
# Tip apex structure: Methods & Calculations.

- o Small tip apexes (ab-initio, < 50 atoms).
- o Si cluster (ab-initio, simulated annealing, 70 atoms).
- o Si Nanowire stretching (ab-initio).
- o Larger tip apexes: Minima hopping method (tight binding, up to ~450 atoms):
  - Pyramid (100).
  - Surface (100) + cluster



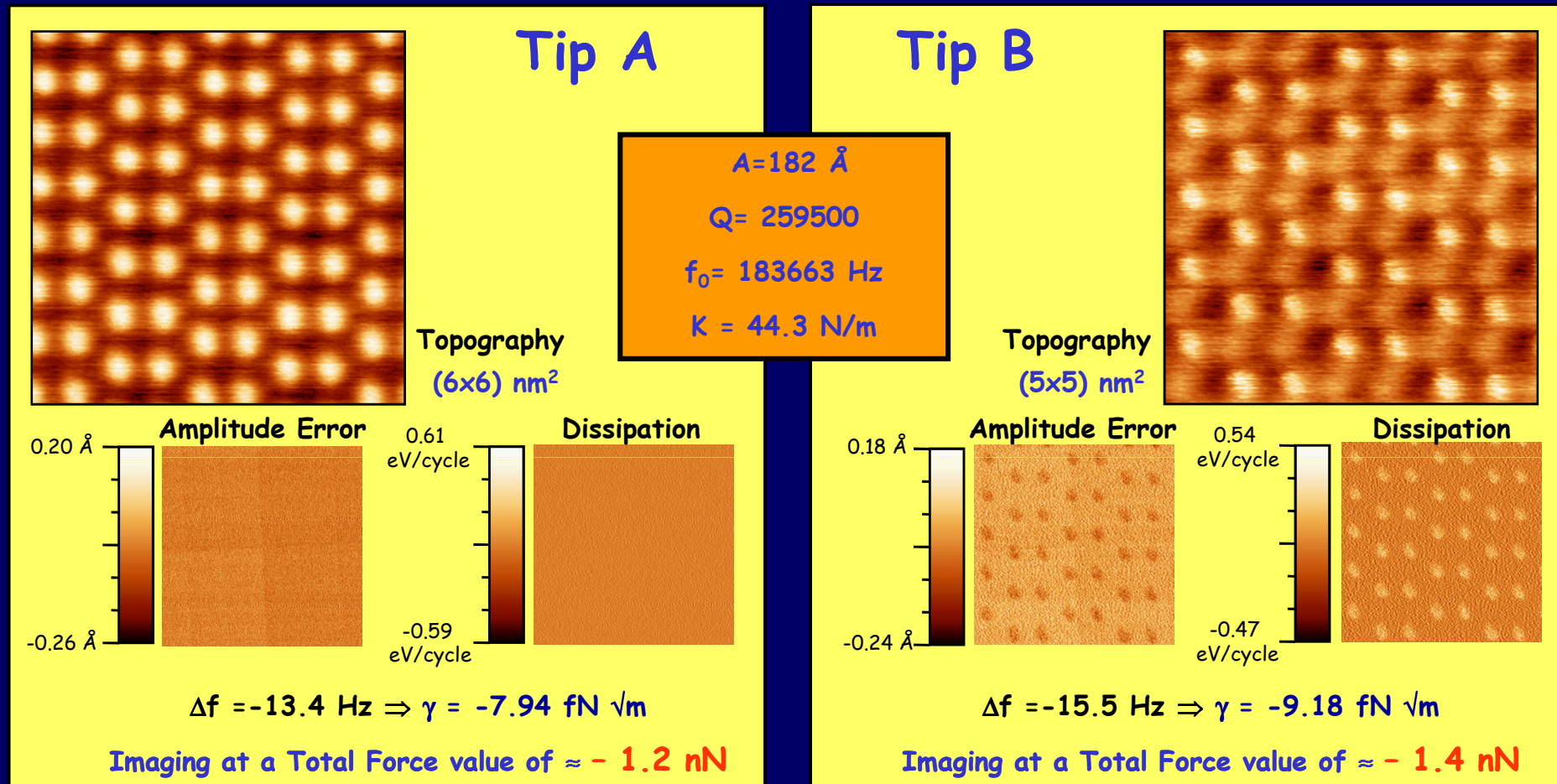
# Structure & Stability of Semicond. Tip apexes

1. Structure of the outermost atoms: Tip terminations (T4, dimer) proposed in previous works are stable.
2. Last atomic layers: Both **crystalline** & **amorphous** solutions are possible
3. Sharpening. Atomically sharp tips are stable. Tip-Sample interaction helps to produce atomically sharp tips. (More work is needed.)



P. Pou, S.A. Ghasemi, P. Jelinek, T. Lenosky, S. Goedecker & R. P.  
Nanotechnology 20, 264015 (2009)

# Experiments in the same session

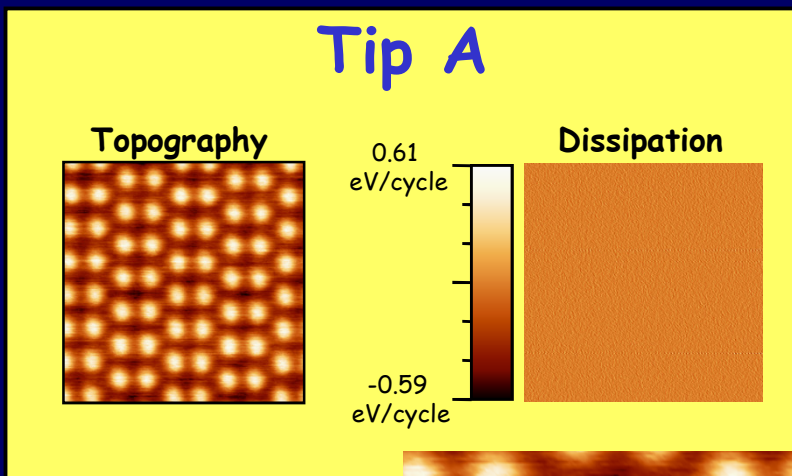


Slight Tip-Change

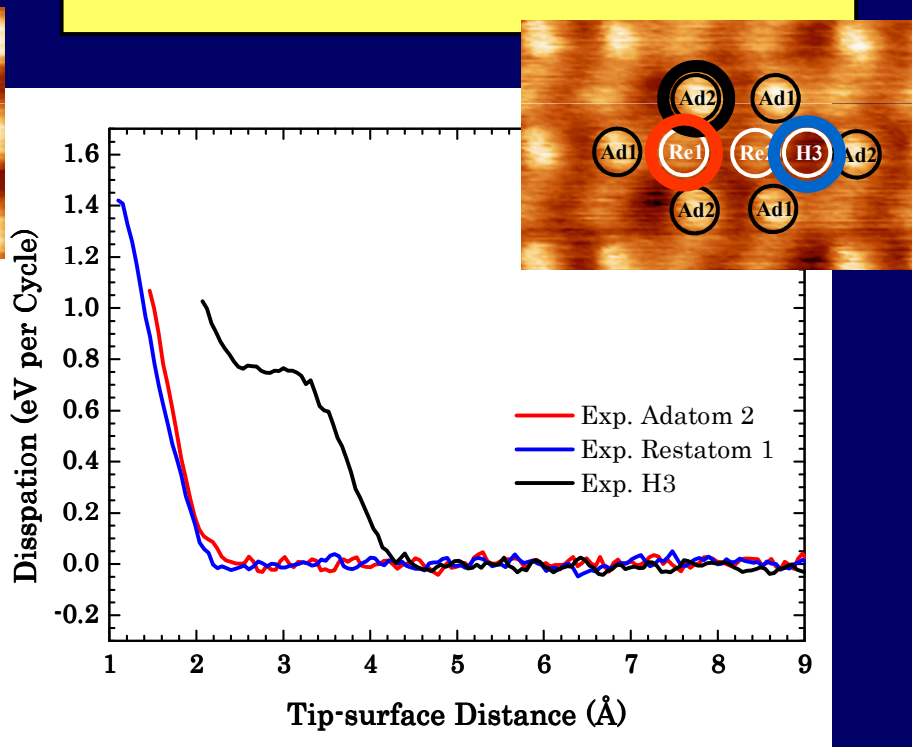
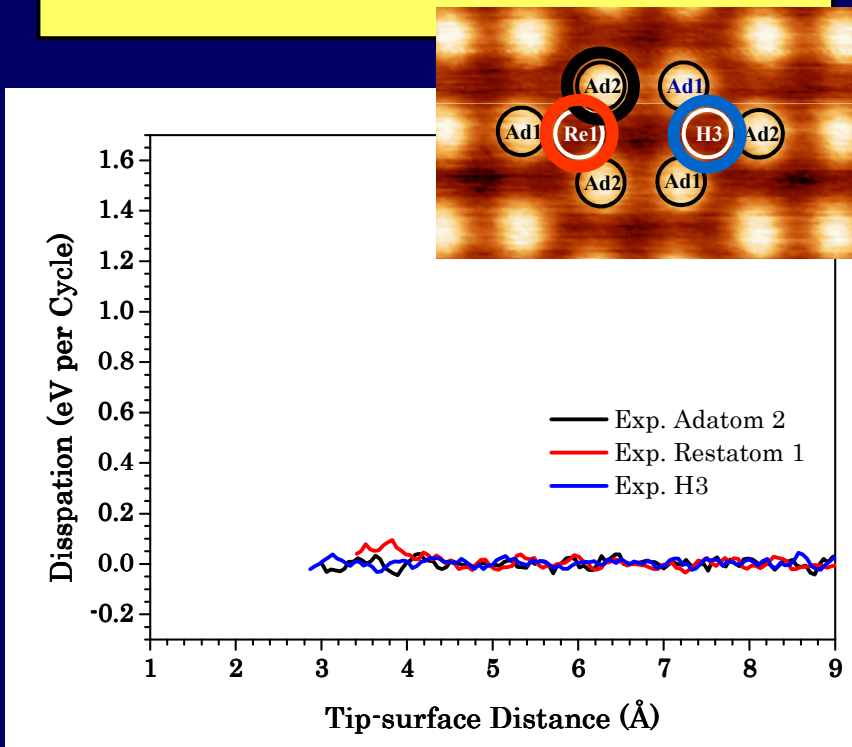
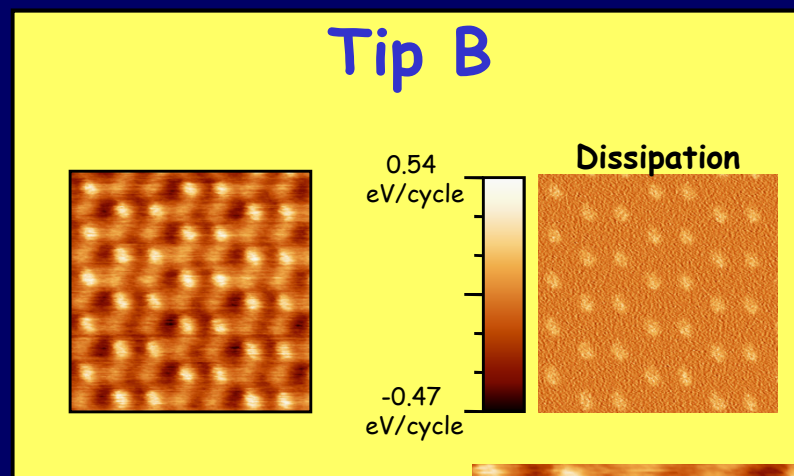
Same measurement session with the same experimental parameters !!!

# Trying to explain the features in dissipation

## Tip A



## Tip B



# Dissipation Mechanisms

- velocity-dependent mechanisms (fluctuation-dissipation)

$$m\ddot{z}(t) + \gamma\dot{z}(t) + kz(t) = F(t)$$

F(t): stochastic force

$$\gamma = \frac{1}{B^2} \int_0^\infty \langle \dot{z}(t) \dot{z}(0) \rangle \langle F(t) F(0) \rangle$$

Fluctuations of the (long-range) forces acting on charge carriers or spins

- Electromagnetic radiation associated Joule dissipation.

▪ "viscous" friction (fluctuating EM fields).

Fluctuations of the atomic positions coupled by short-range forces.

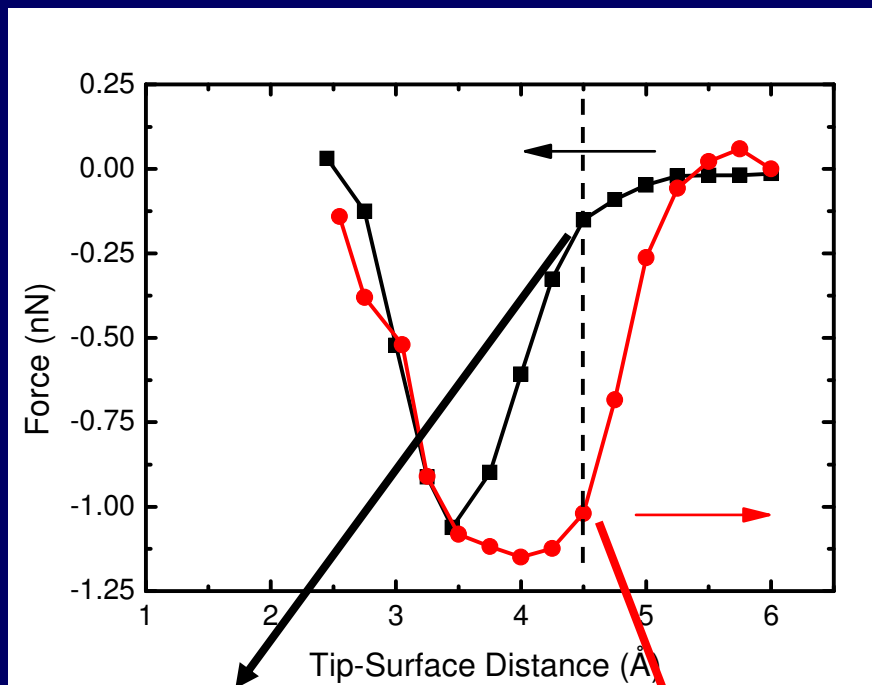
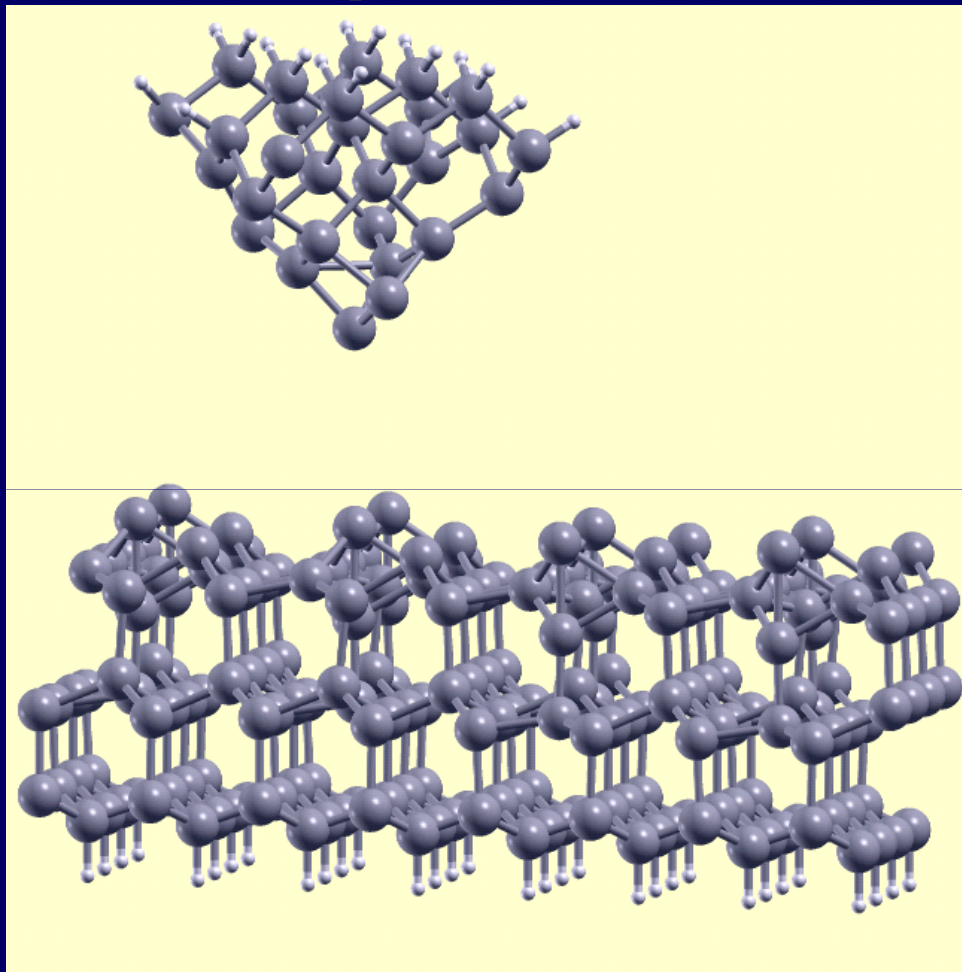
- hysteresis-related mechanisms

Tip-sample interaction is double-valued in a finite range of relative displacement.

- Magnetic-field-induced hysteresis.
- Hysteresis due to adhesion.
- Hysteresis due to atomic instabilities.

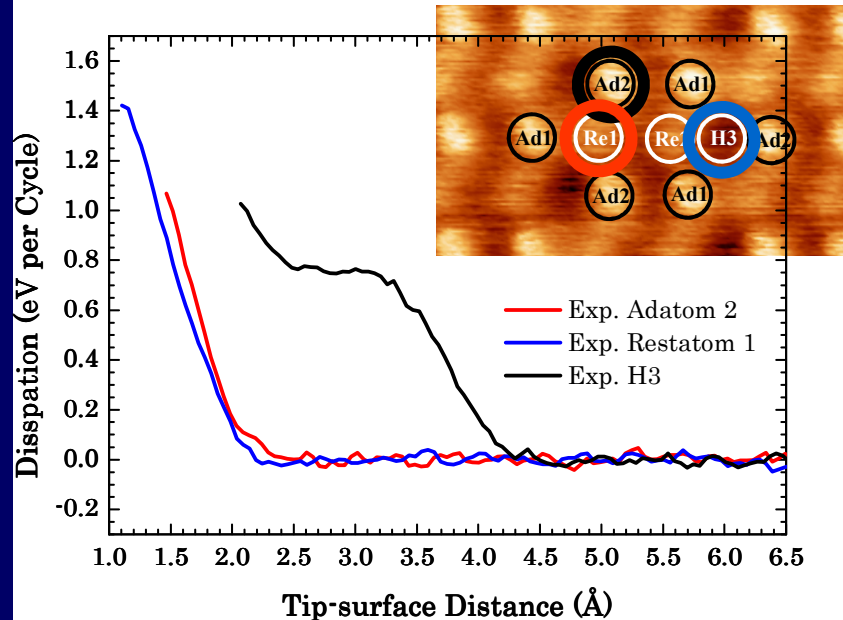
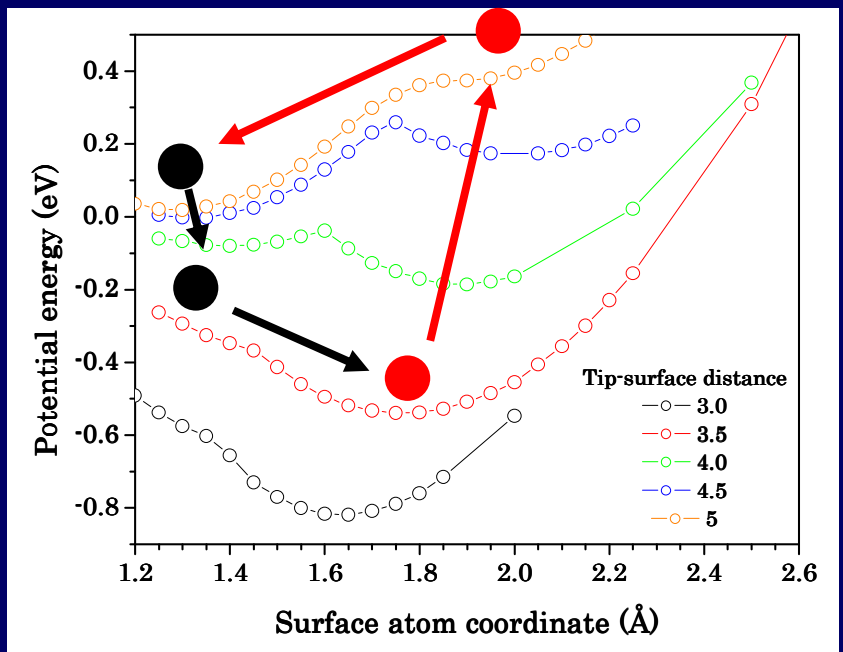
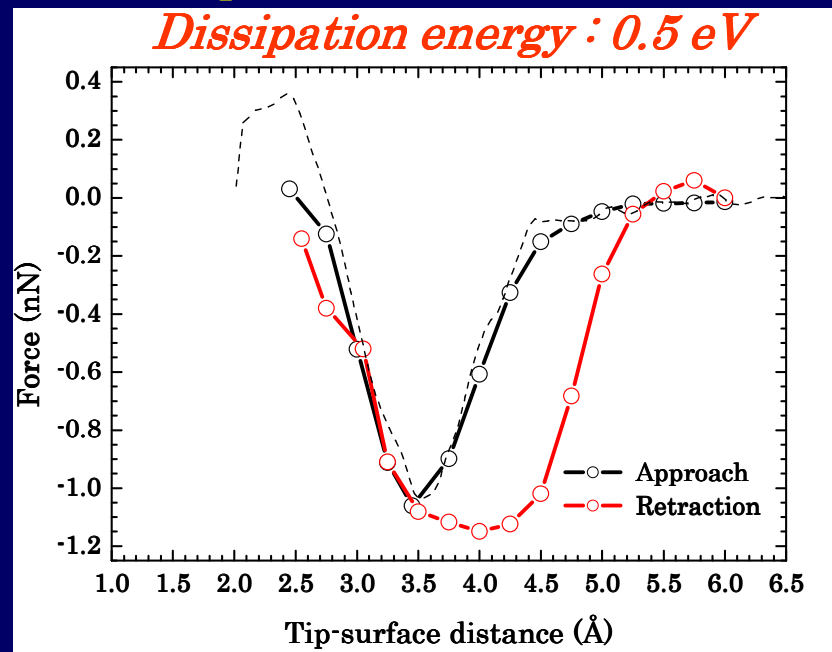
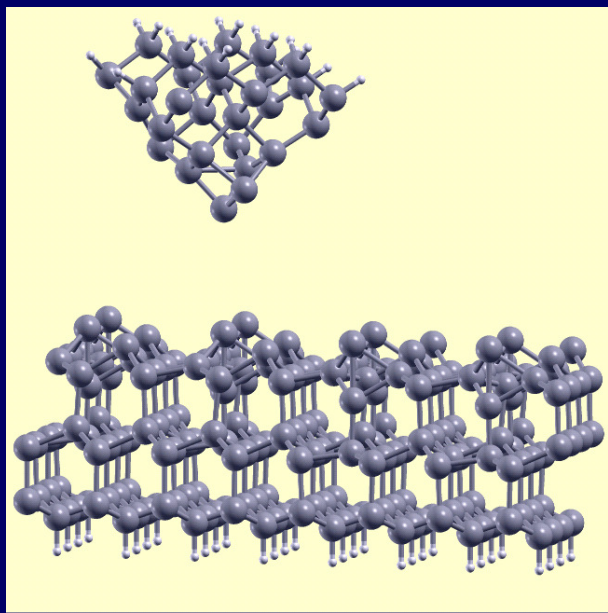
L. N. Kantorovich and T. Trevethan, *Phys. Rev. Lett.* **93**, 236102 (2004).

# Tip B: soft mode induced by the tip-sample interaction

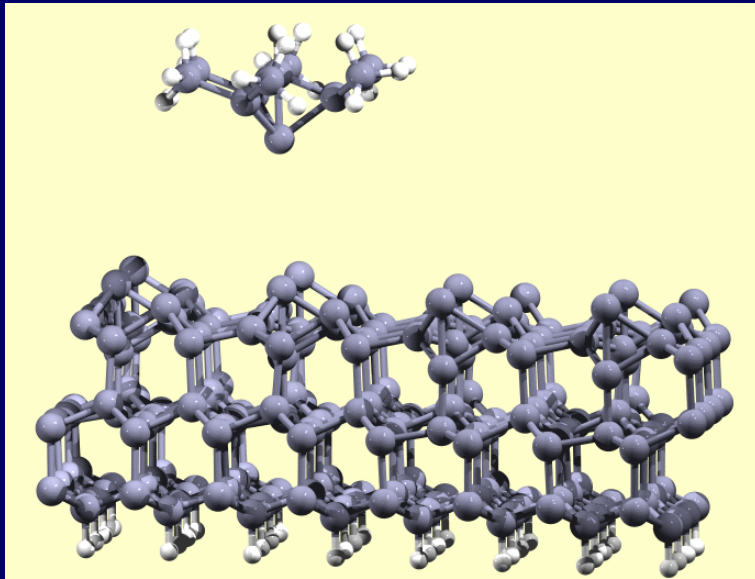




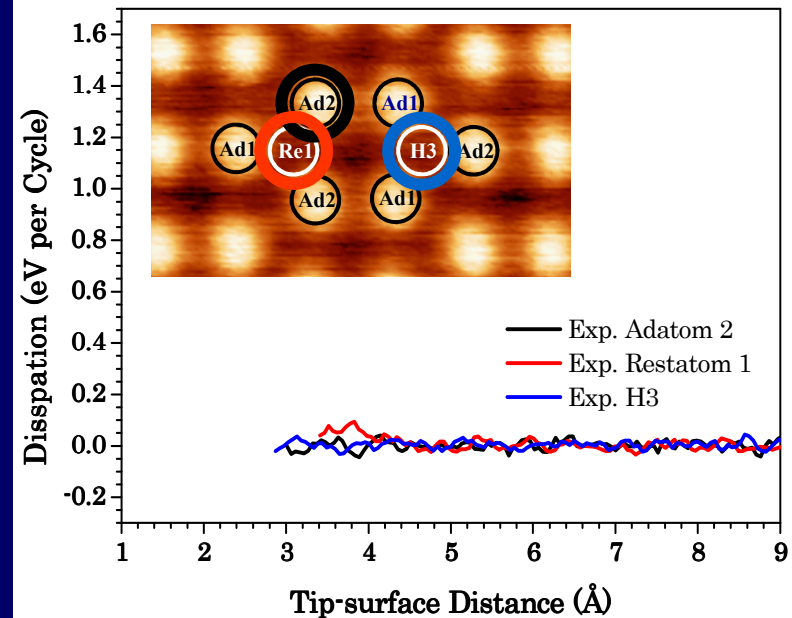
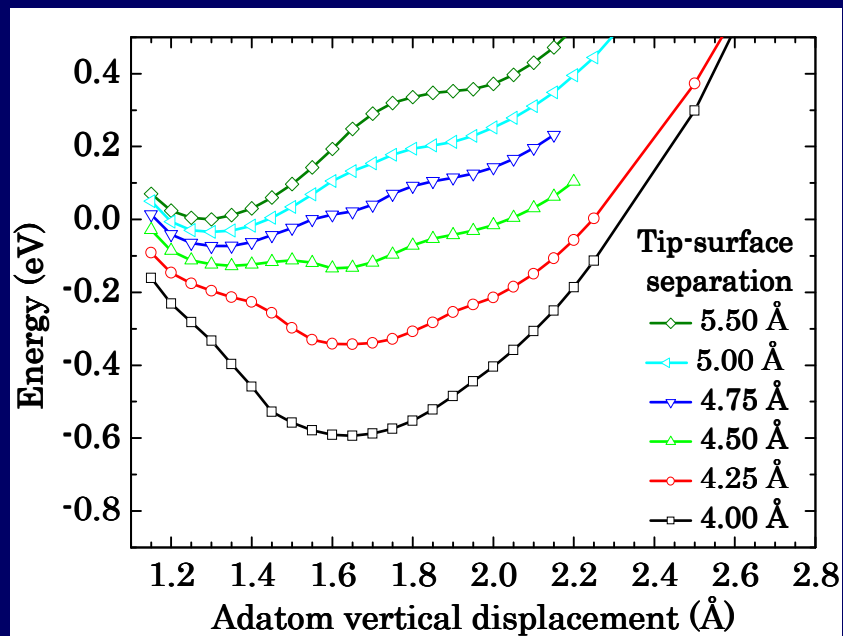
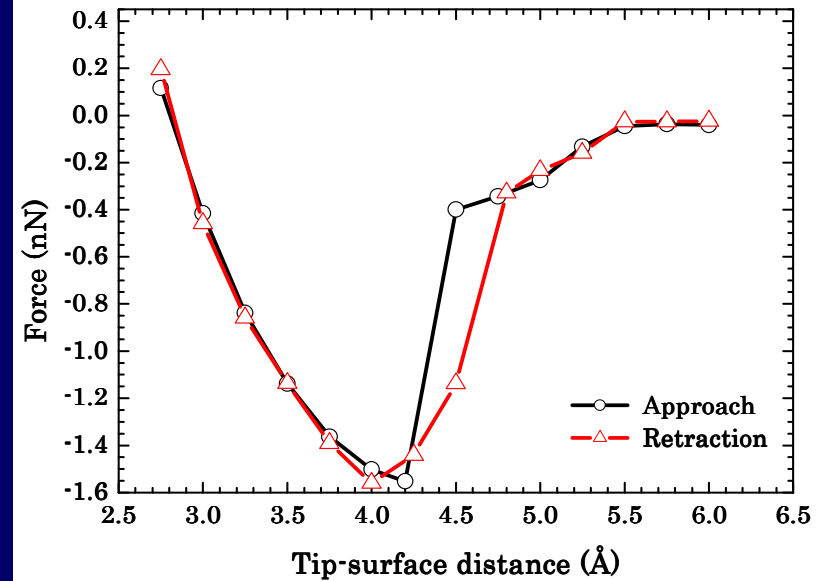
# Tip B: Identification of a dissipation channel



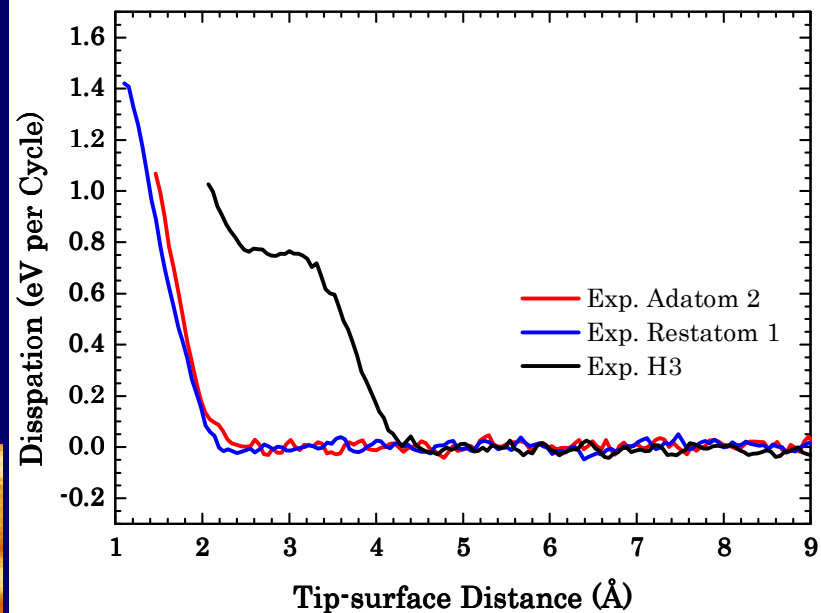
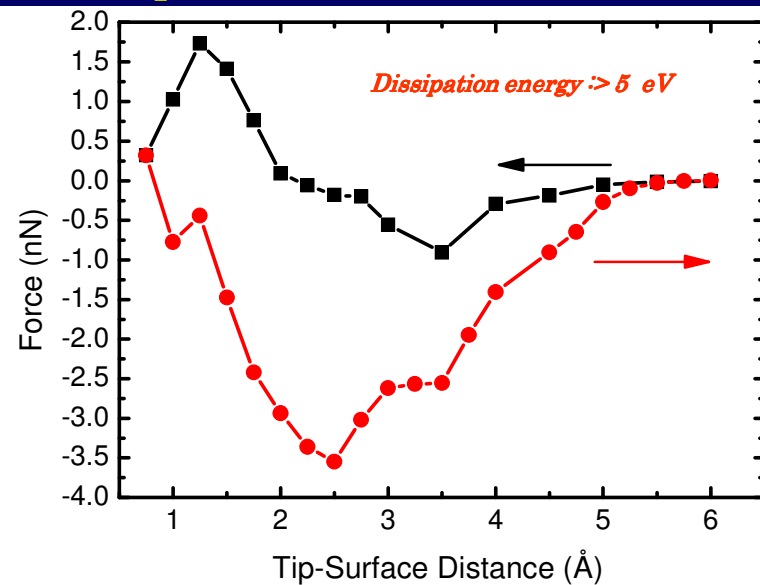
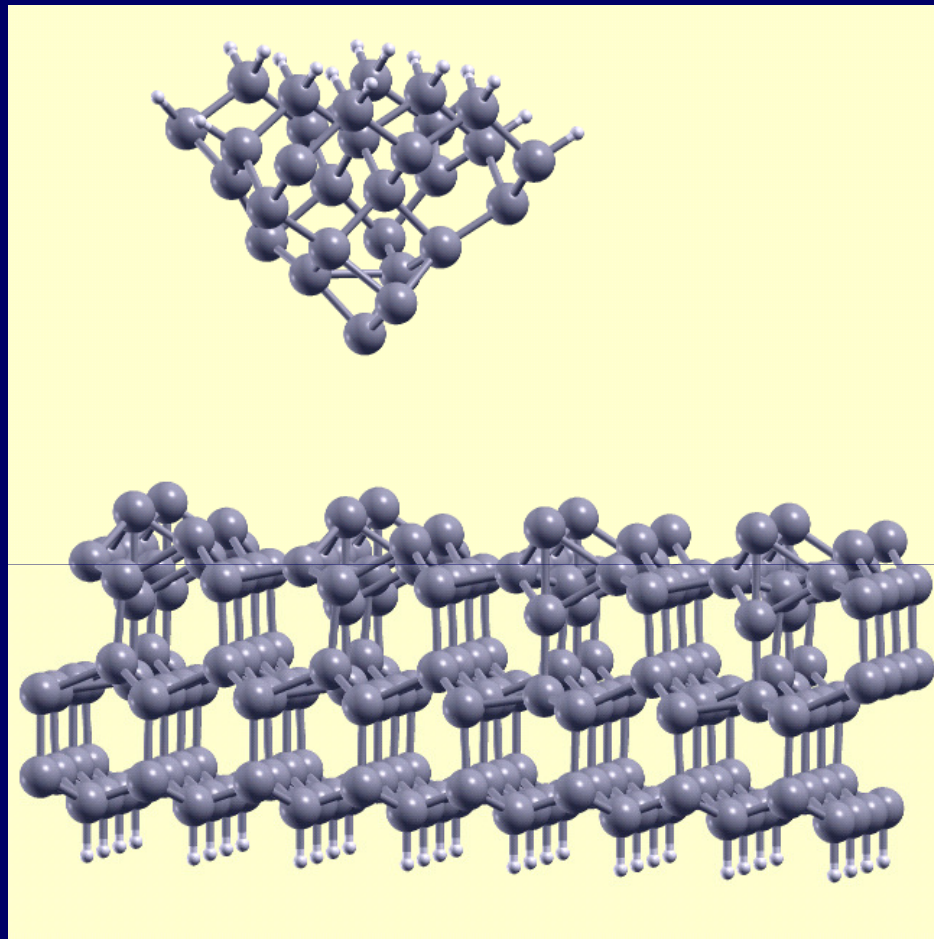
# Absence of dissipation with Tip A



*Dissipation energy :  $< 0.1$  eV*

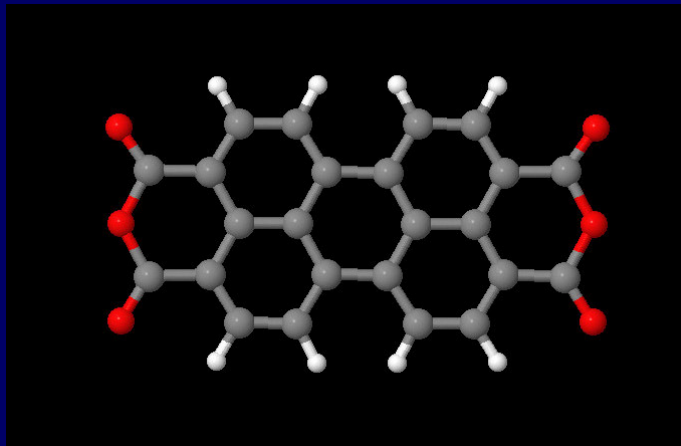


# Tip B: Possible second dissipation channel



## 2. Submolecular resolution in FM-AFM dissipation imaging of PTCDA on Ag(111)

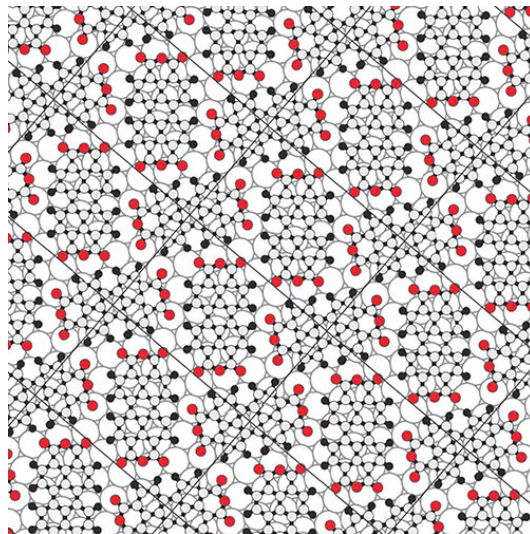
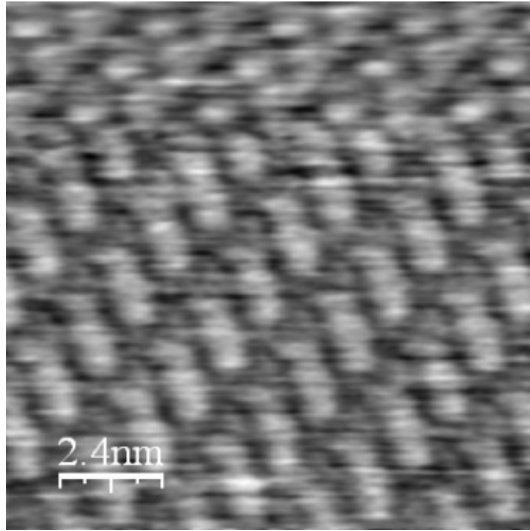
- Paradigmatic organic/inorganic interface
- Understanding the contact formation: band alignment
- Dissipation due to adhesion hysteresis: *ab initio* AFM simulations for Si tip and 1 ML PTCDA adsorbed on Ag(111).



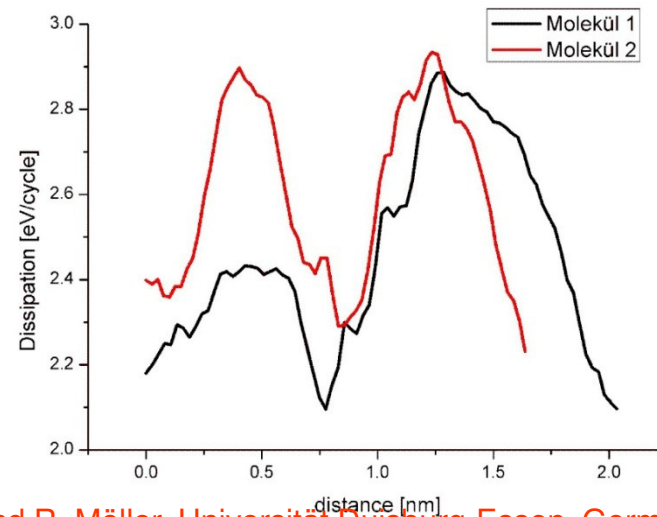
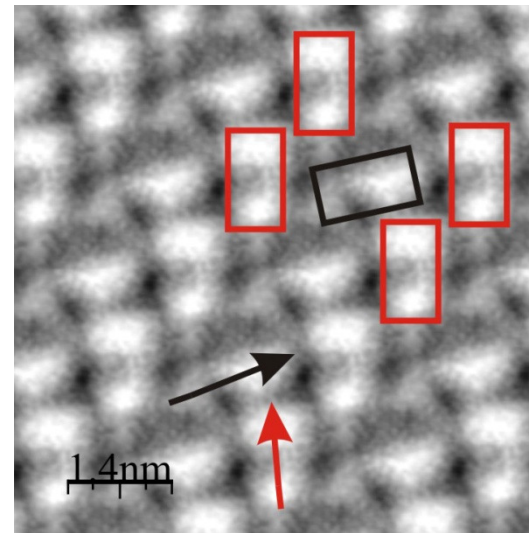
Experiments: M. Fredrich, T. Kunstmann, and R. Möller, Uni Duisburg-Essen, Germany

# PTCDA on Ag(111): FM-AFM

- Topography image



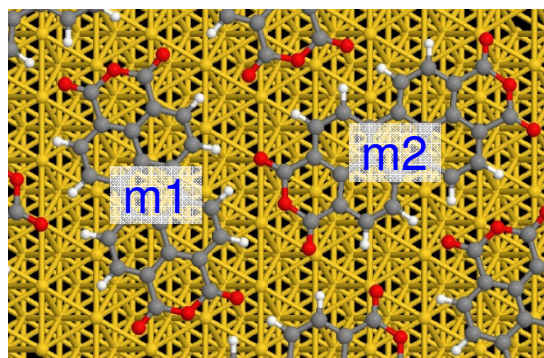
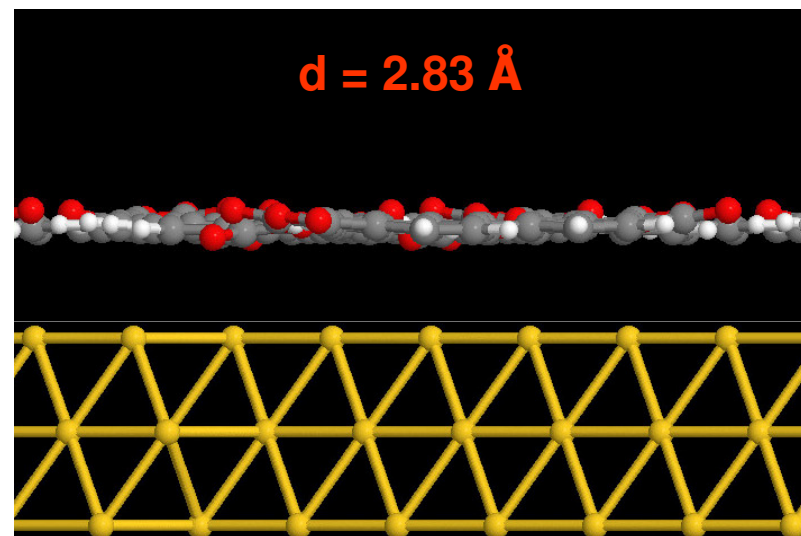
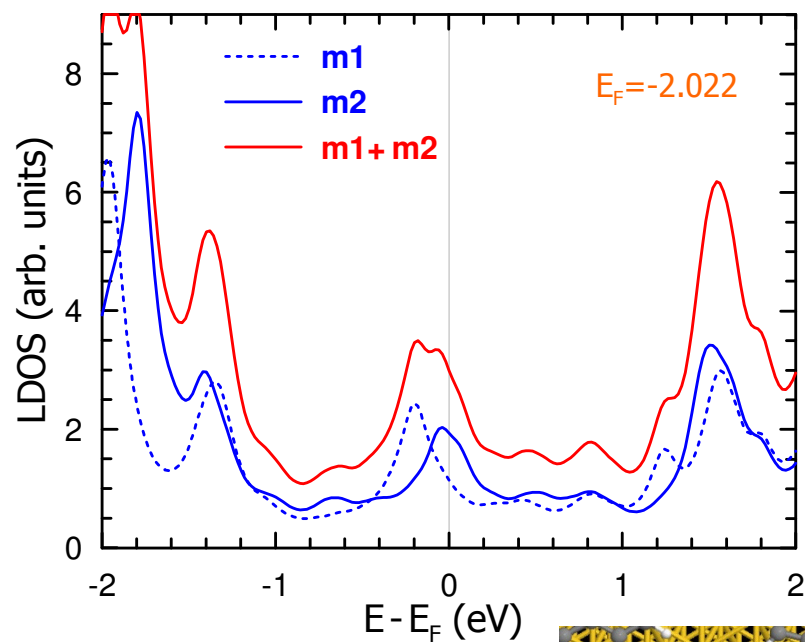
- Dissipation image



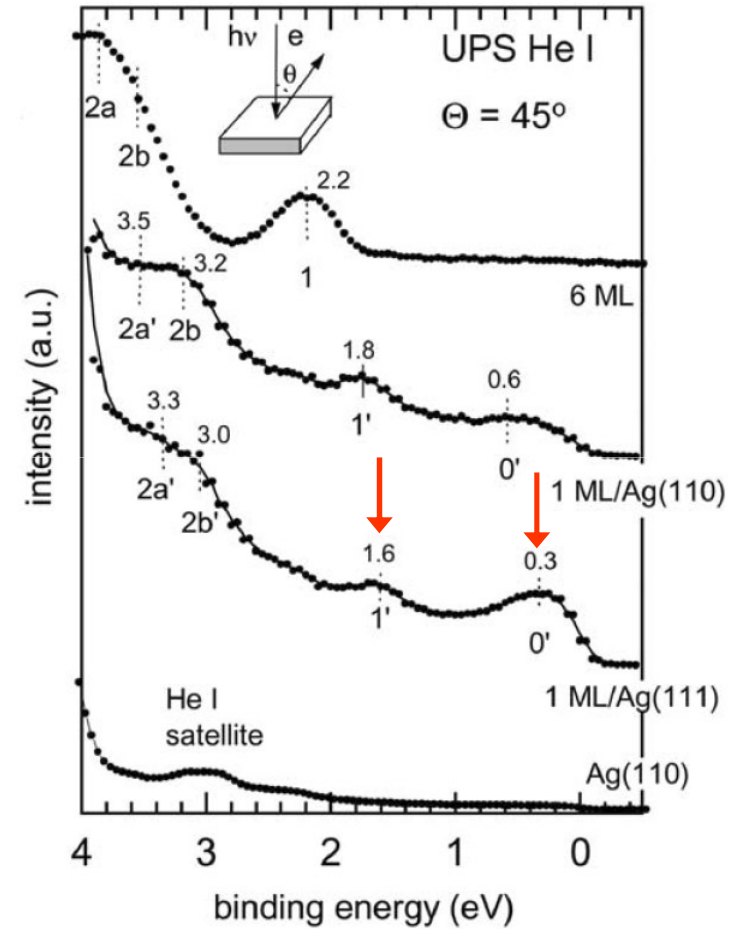
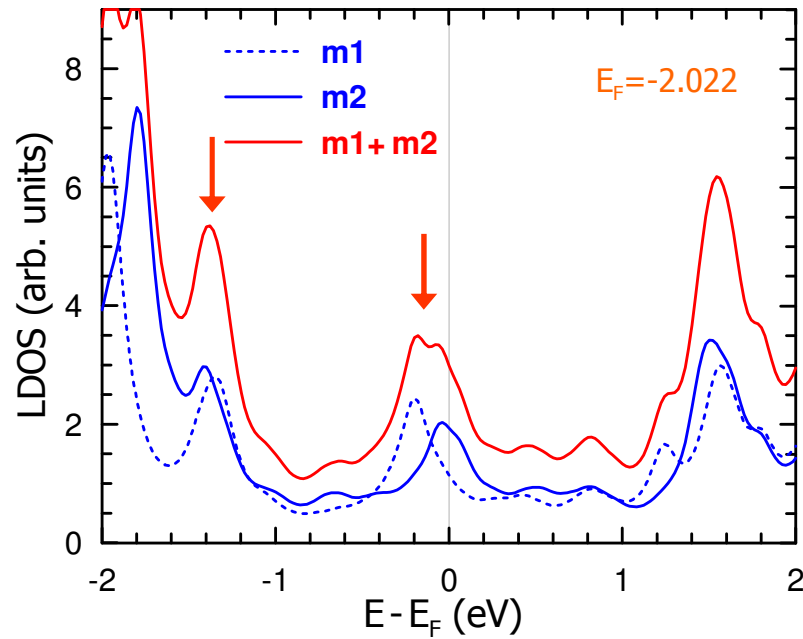
courtesy of M. Fredrich, T. Kunstmann, and R. Möller, Universität Duisburg-Essen, Germany

# PTCDA on Ag(111) – fully relaxed

$\Delta E = 3.95$  eV



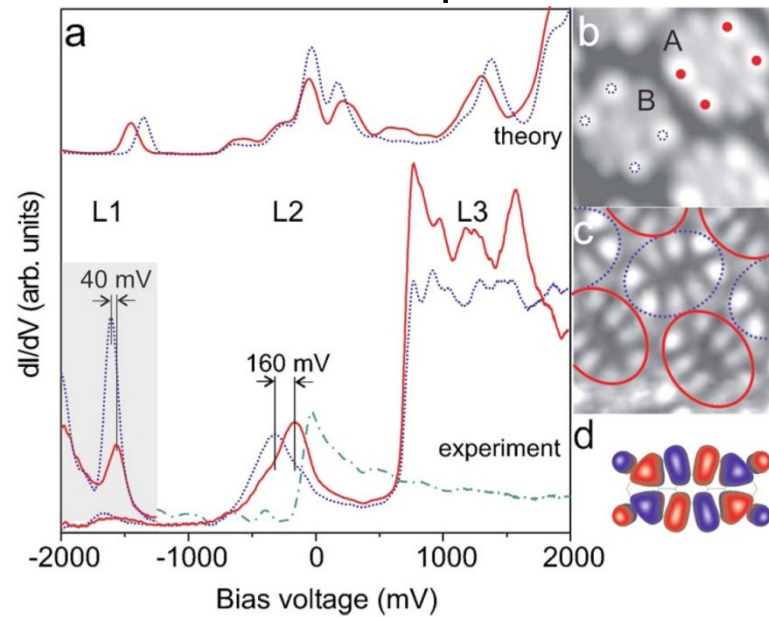
# Comparison with experiment: UPS



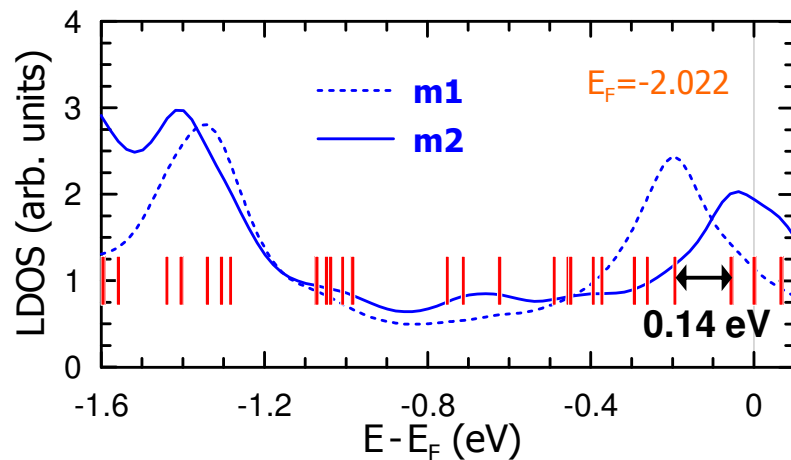
Y. Zou et al., *Surf. Sci.* **600**, 1240 (2006)

# Comparison with experiment: STS

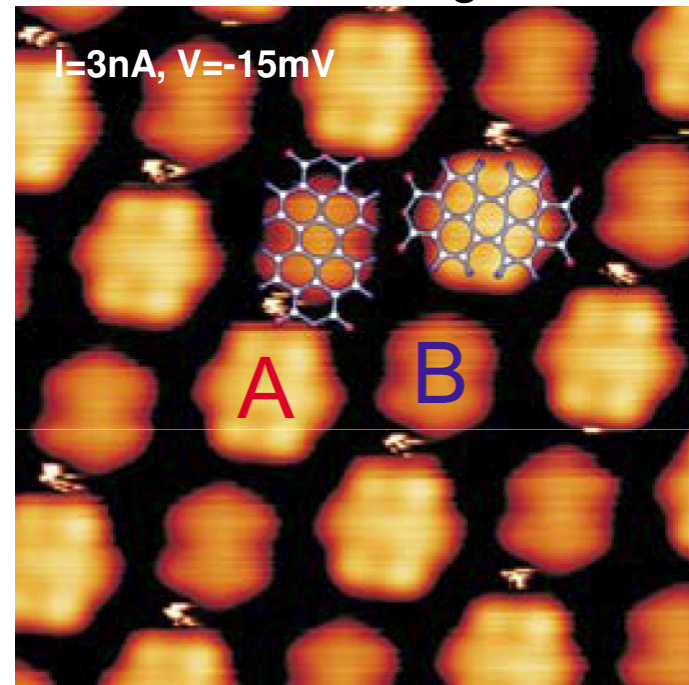
- STS spectra



A. Kraft et al., *Phys. Rev. B* **74**, 041402(R) (2006)



- STM image

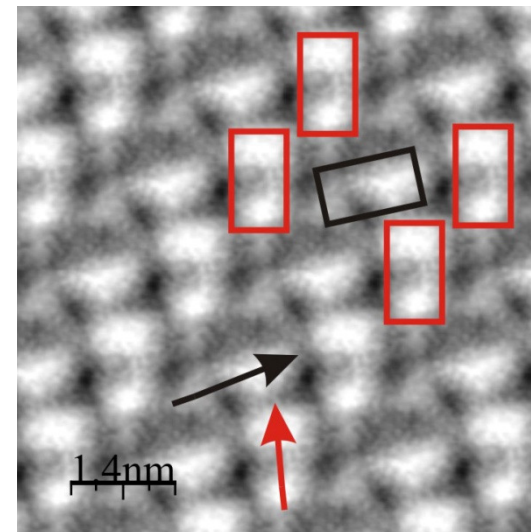
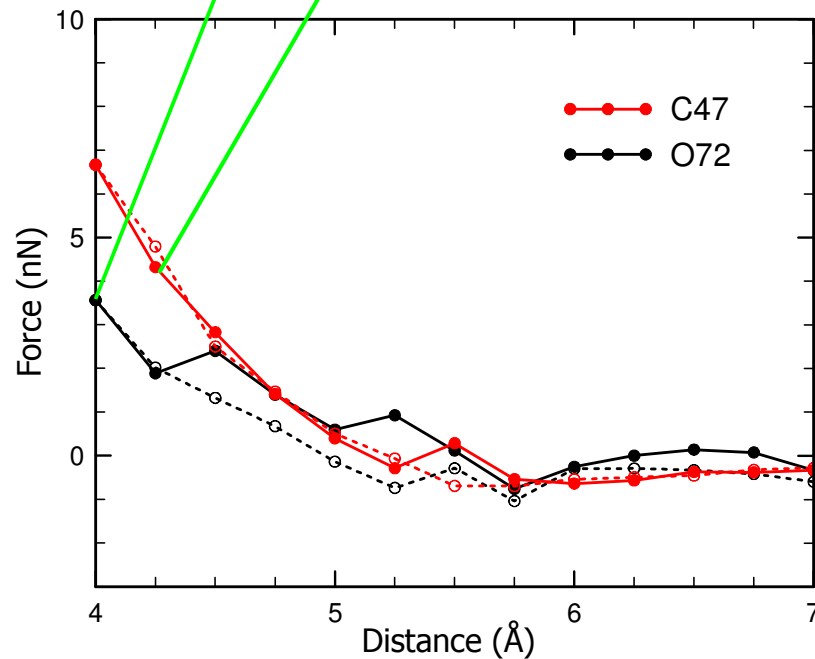
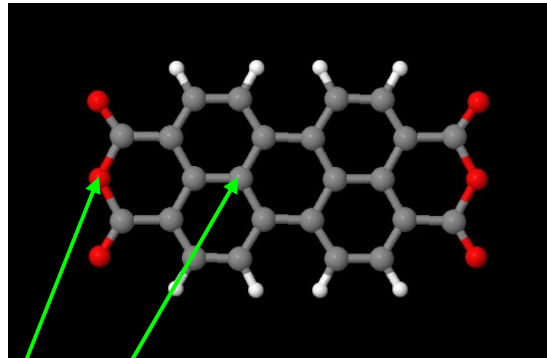


R. Temirov and F.S. Tautz (2006)

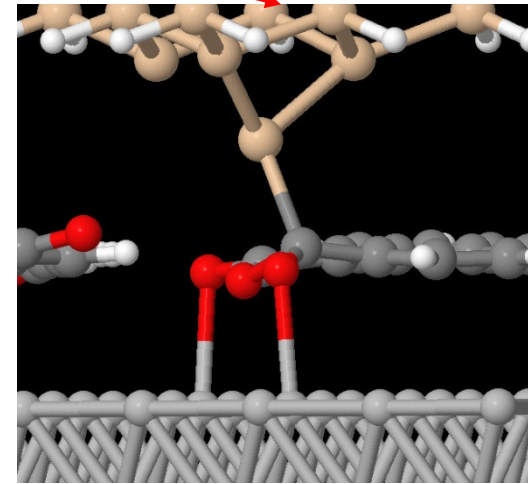
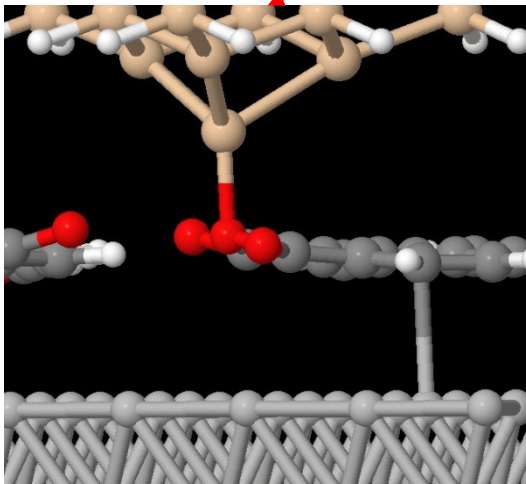
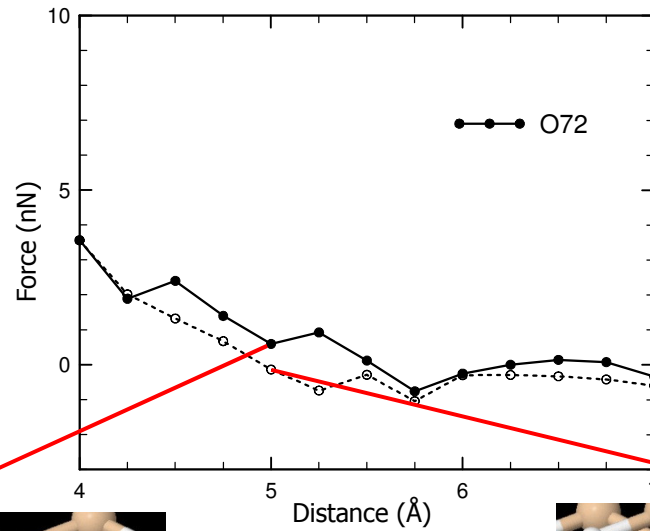


# Force distance curve for two different positions

- PTCDA...



# Dissipation due to adhesion hysteresis



# 3. Molecular scale energy dissipation in oligothiophene monolayers measured by dynamic force microscopy

## Experiments:

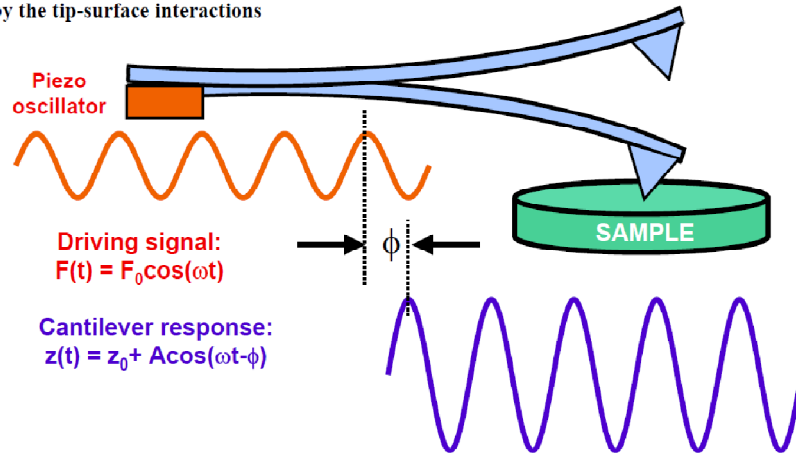
- Instituto de Microelectrónica de Madrid, CNM - CSIC, Spain:  
Nicolás F. Martínez, Carlos J. Gómez, Ricardo García,
- Istituto per lo Studio dei Materiali Nanostrutturati, CNR, Bologna, Italy:  
Cristiano Albonetti, Fabio Biscarini

N.F. Martinez et al, Nanotechnology 20, 434021 (2009)  
(special issue to mark the 20th Volume)

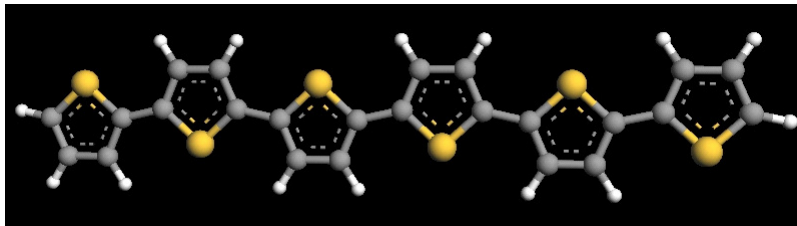
# Motivation

- Phase shift – energy dissipation

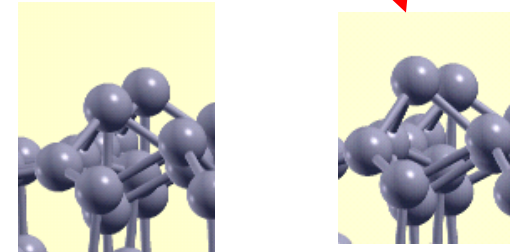
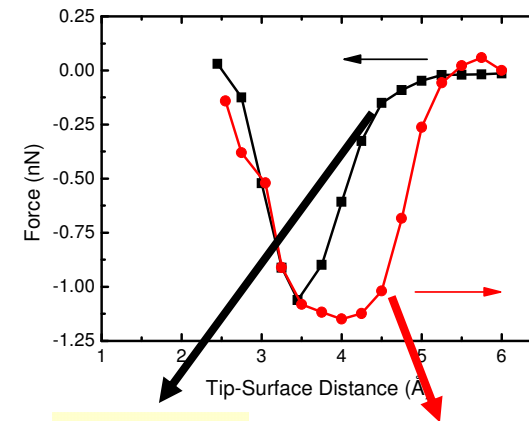
The dynamic response of the cantilever is modified by the tip-surface interactions



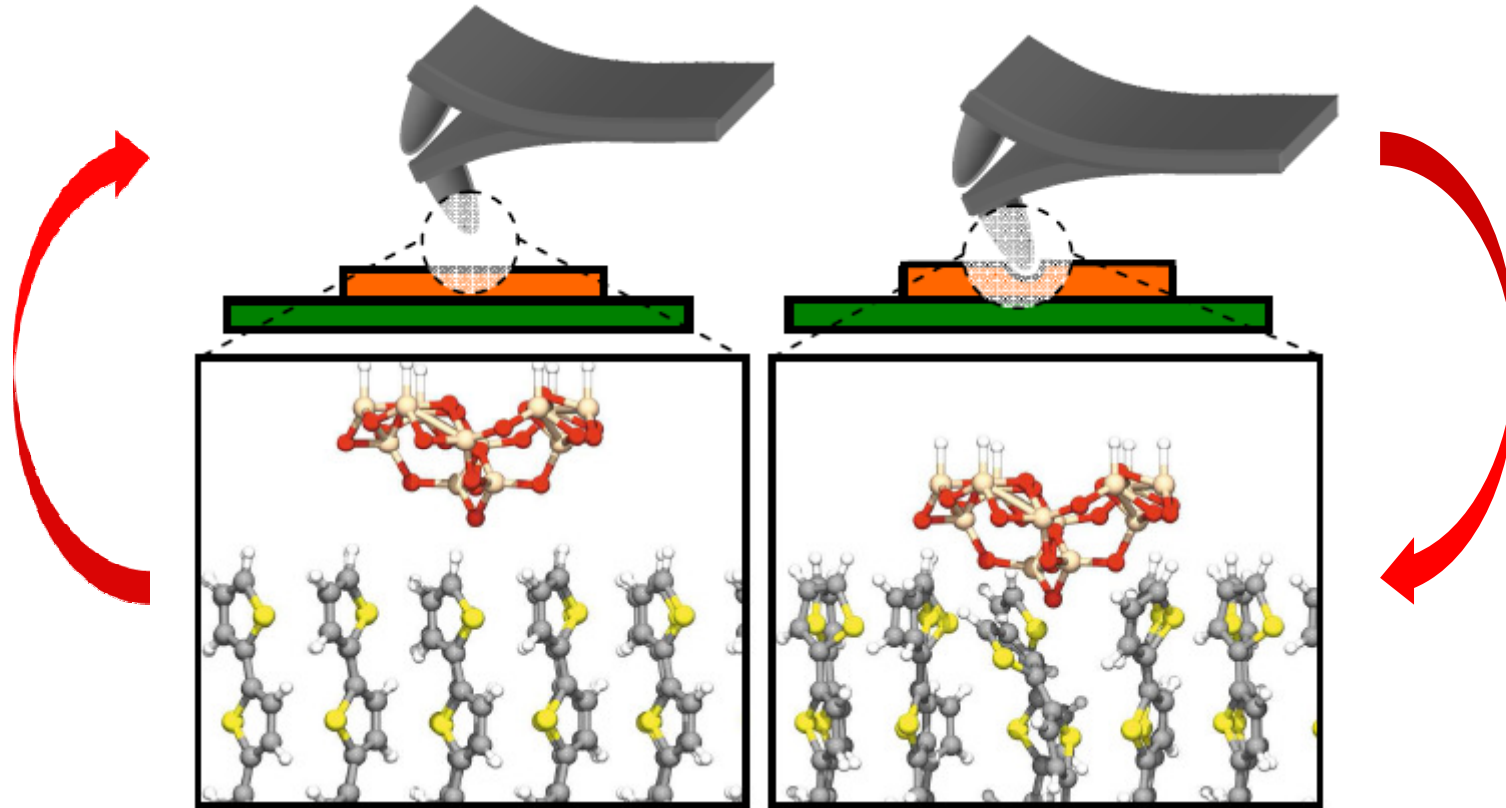
- Sexithiophene (T6) molecules – one of the most promising building blocks in plastic electronics.



- Soft mode induced by the tip-sample interaction in **FM-AFM** on Ge(111)-c(2×8) – dissipation channel is related with the adhesion properties of a single atomic contact.



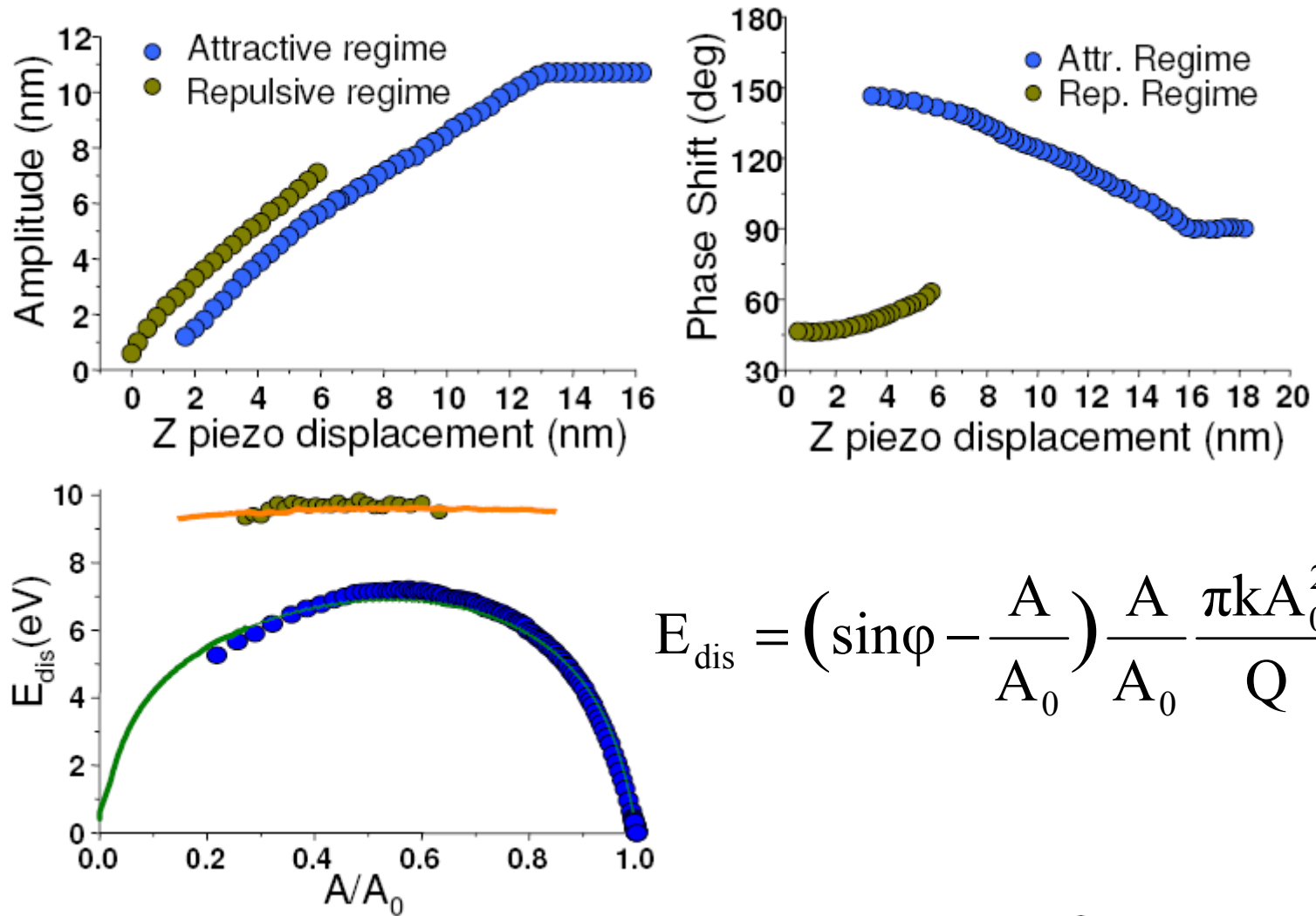
# Multi-scale approach: Contact between experiments and first-principles simulations



**Dynamical Simulations based on continuum mechanics models:**

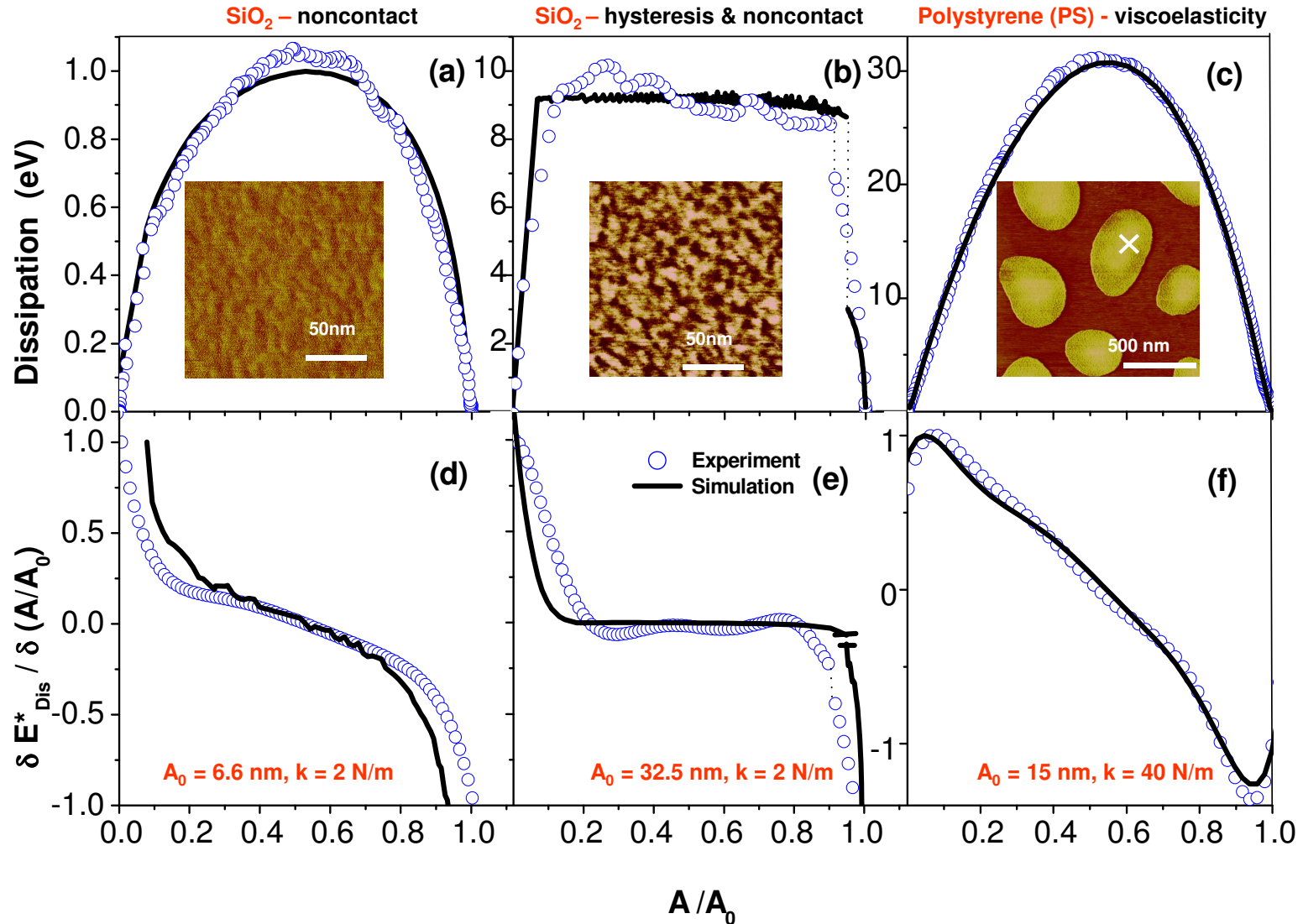
- DMT (contact) + long-range attractive interactions (vdW-like)
- hysteresis: different strength ( $\alpha$ ,  $\gamma$ ) for approach/retraction

# Experiments (RT): Dynamic dissipation curves

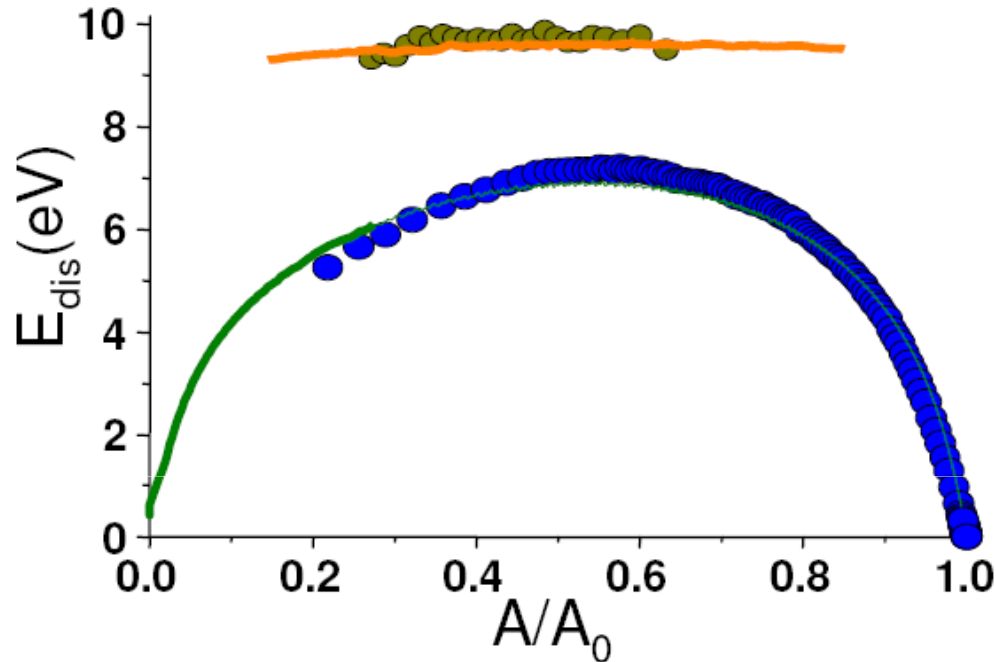


$$E_{\text{dis}} = \left( \sin\varphi - \frac{A}{A_0} \right) \frac{A}{A_0} \frac{\pi k A_0^2}{Q}$$

# Identification of dissipation processes



# Experiments & simulations: DMT (contact) + vdW (LR)



$$F_{\text{DMT}} = Y^* R^{1/2} \delta^{3/2} - 4\pi R \gamma,$$

$$F_i = -\frac{\alpha(t)}{d^2}$$

indentation  $2 \text{ \AA} \Rightarrow E_{\text{dis}} \approx 2.5 \text{ eV}$

$R = 7 \text{ nm}$ ,  $Y^* = 60 \text{ GPa}$  (T6) (Si:  $170 \text{ GPa}$ )

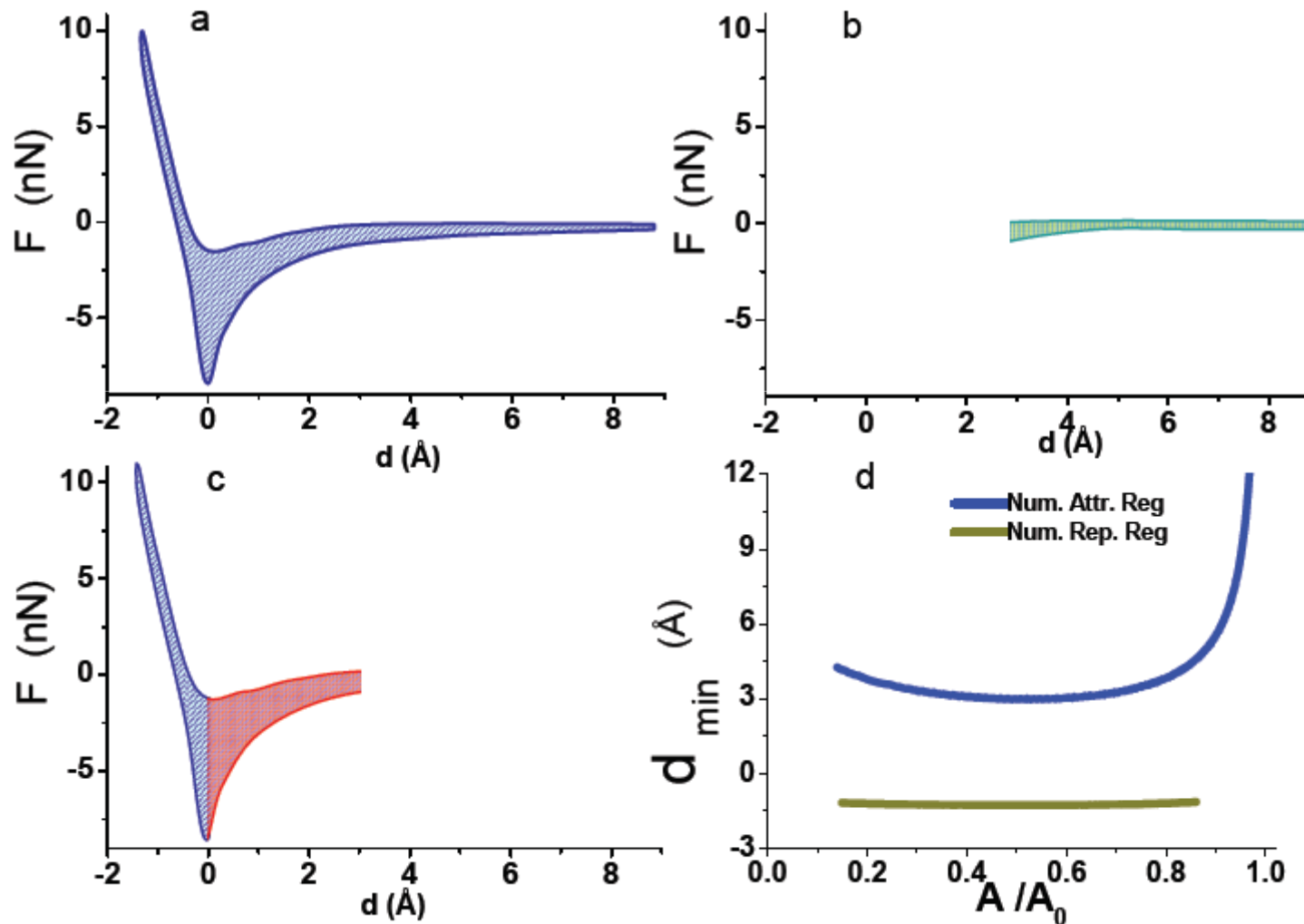
$\alpha_a = 7 \times 10^{-28} \text{ Jm}$ ,  $\gamma_a = 50 \text{ mJ/m}^2$

$\alpha_r = 3 \alpha_a$ ,  $\gamma_r = 57 \text{ mJ/m}^2$

Fitted to a single point  
( $A_i$ ,  $\phi_i$ )



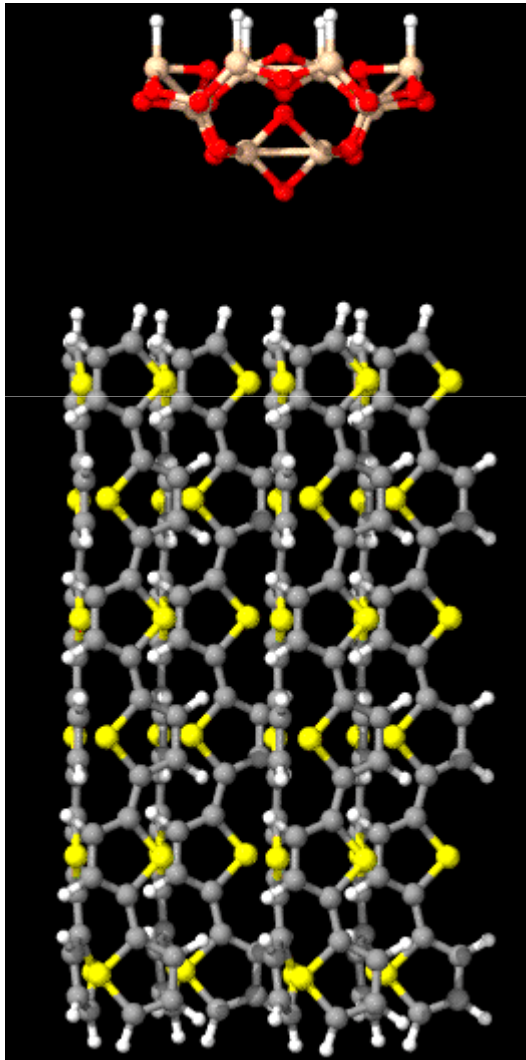
# Extracting the short-range dissipation....



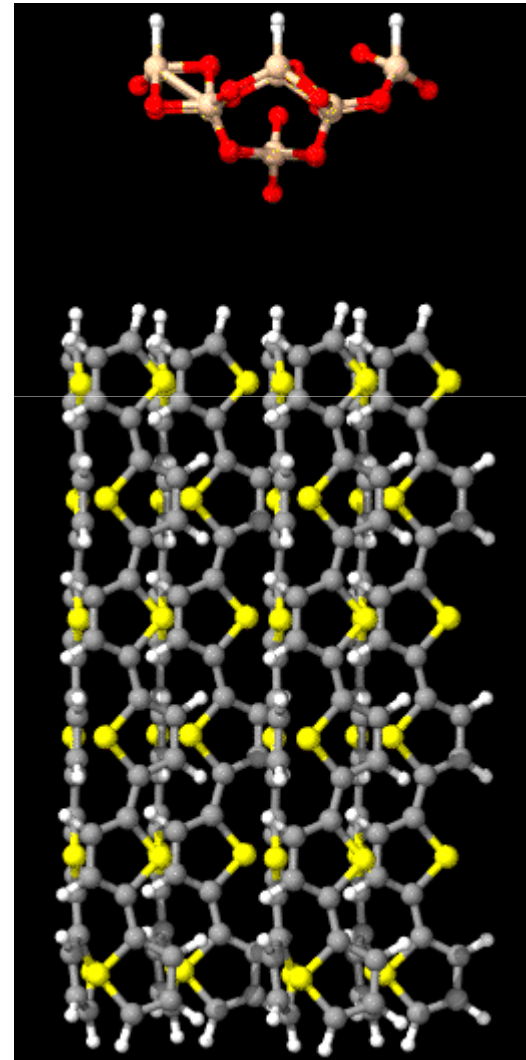
Short-range energy dissipation  $\sim 1.4$  eV !!!

# Si Oxide tip on T6: first-principles simulations

- S rotate

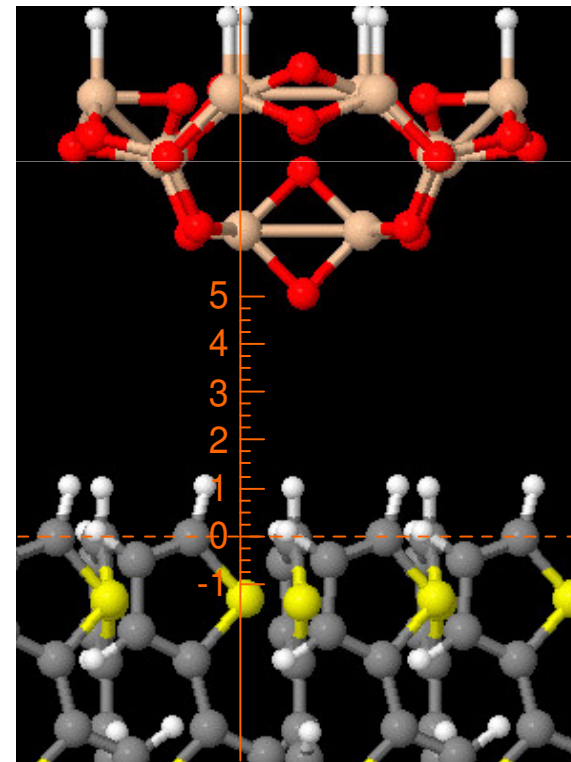
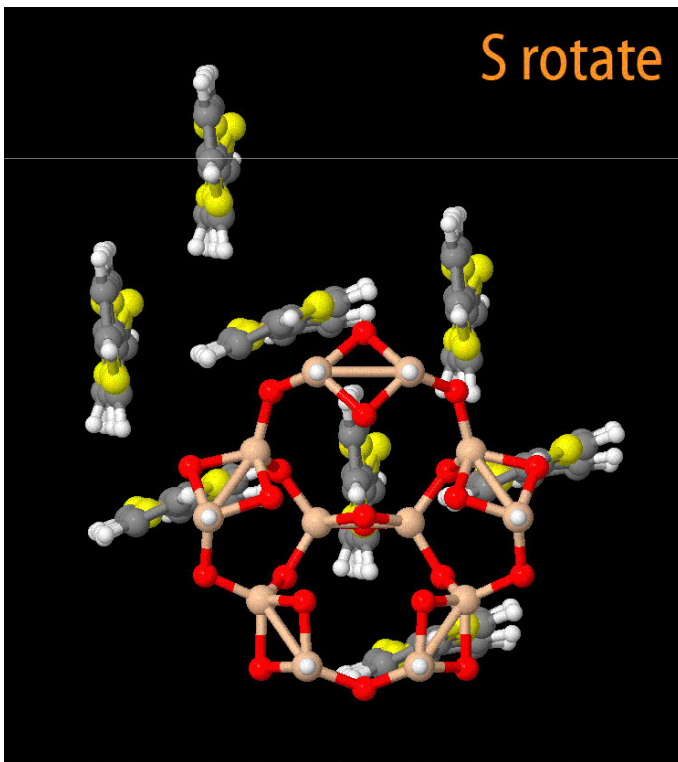


- C position



# Different positions of the tip and lengths of simulations

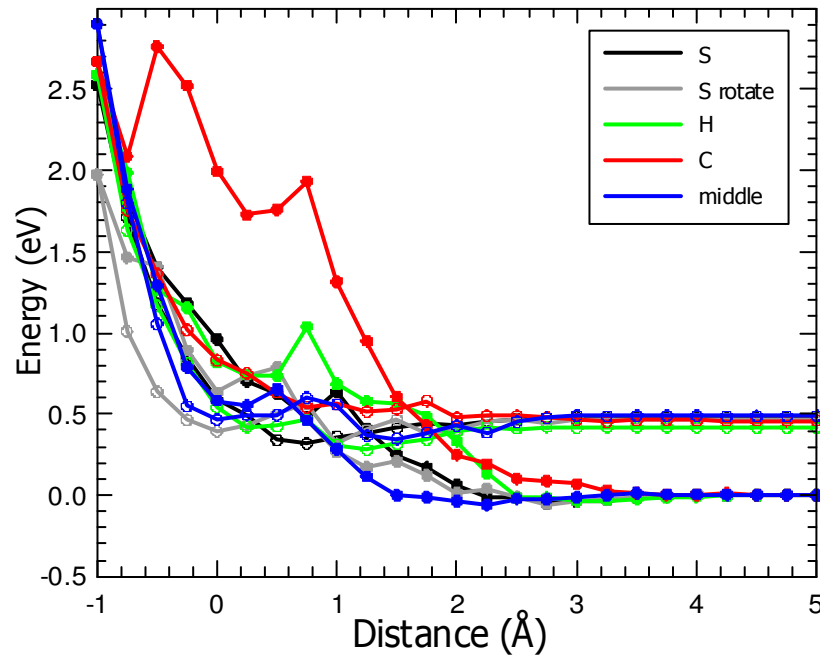
- Five different positions of the Si-O tip: **S rotate**, **S**, **H**, **C** and **hollow**
- Three different distances of approaching the tip towards the surface:  
short:  $5 \text{ \AA} \rightarrow 2 \text{ \AA} \rightarrow 5 \text{ \AA}$       middle:  $5 \text{ \AA} \rightarrow 0 \text{ \AA} \rightarrow 5 \text{ \AA}$       long:  $5 \text{ \AA} \rightarrow -1 \text{ \AA} \rightarrow 5 \text{ \AA}$



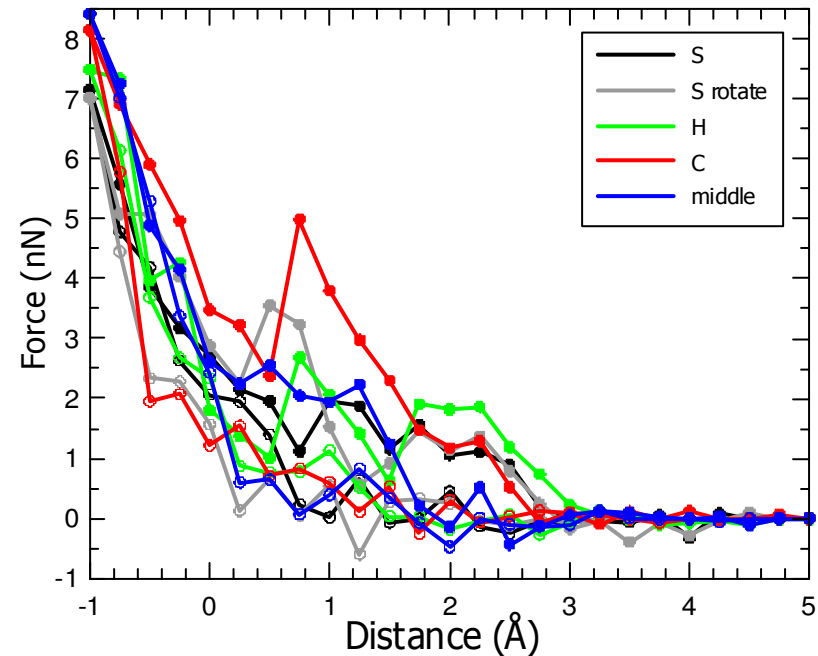
# Long simulation: approach & retraction of the tip

- approach:  $5 \text{ \AA} \rightarrow -1 \text{ \AA}$  ● — ● — ●
- retraction:  $-1 \text{ \AA} \rightarrow 5 \text{ \AA}$  ⊖ - - - ⊖ - - - ⊖

- Energy vs. distance



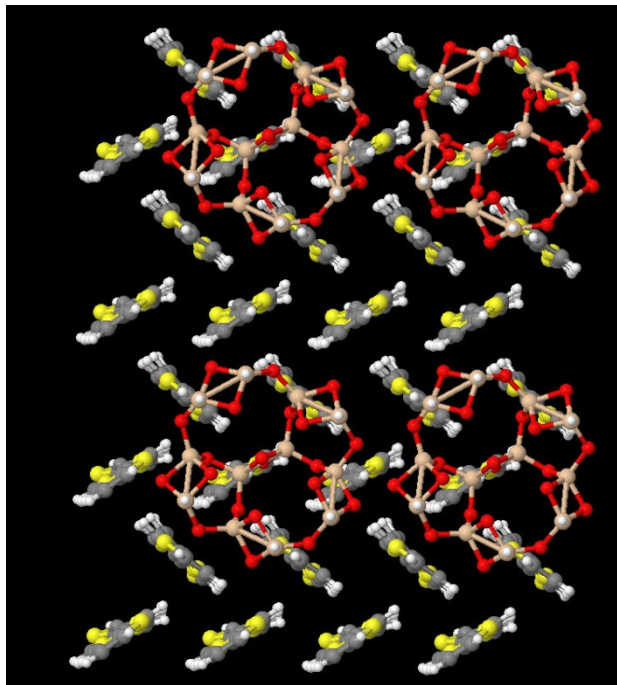
- Force vs. distance



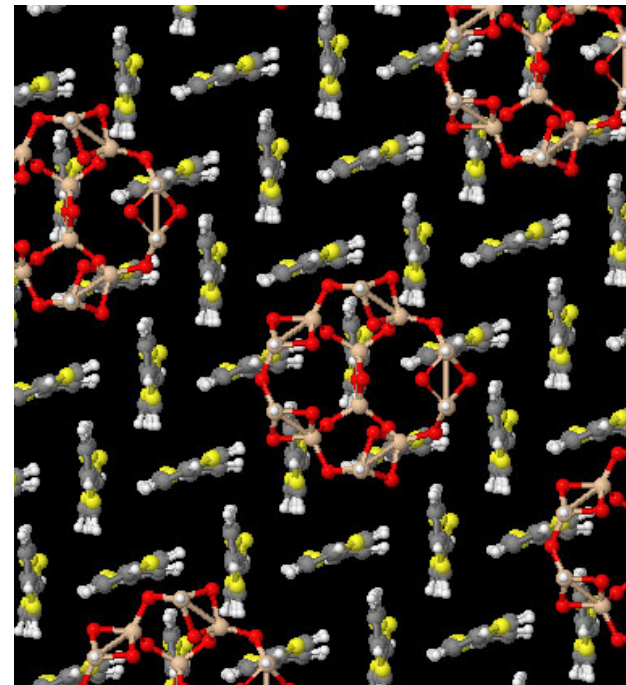
## Limitations of our 4×2 quasi-static simulations?

- Spurious confinement due to the **supercell approach**?

4×2



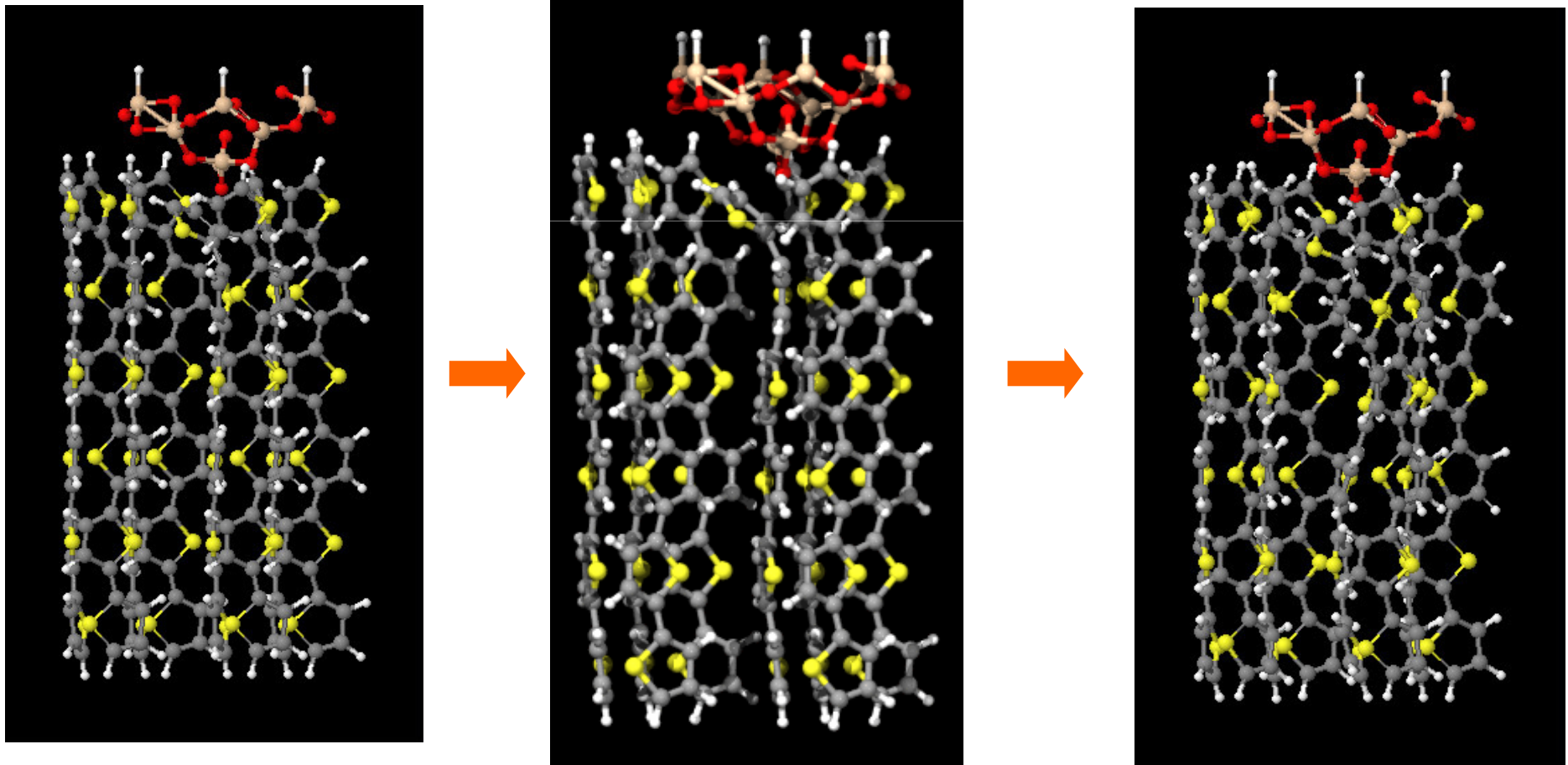
4×3



- Complicated energy landscape: **Temperature effects**?
-

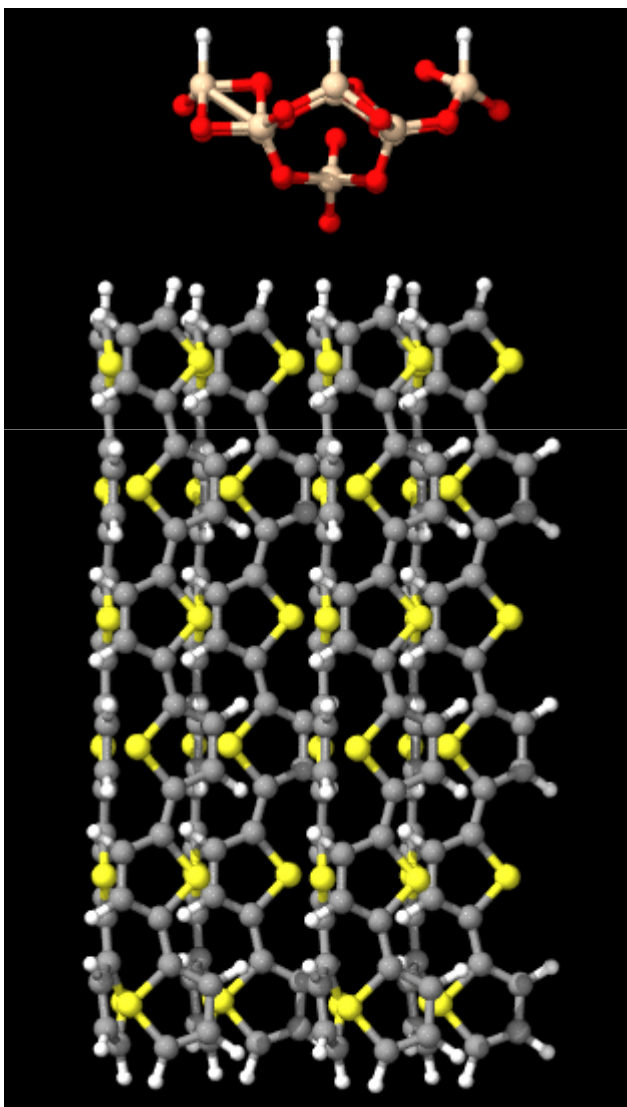
## Simulated annealing: MD simulation step: 300 K

- Only for one tip position (above S atom) and 4x2 system.
- Short (350 fs) **MD simulation** (microcanonical,  $T \approx 300$  K) + relaxation using **Dynamical Quenching** (energy minimization “cooling down” the system).

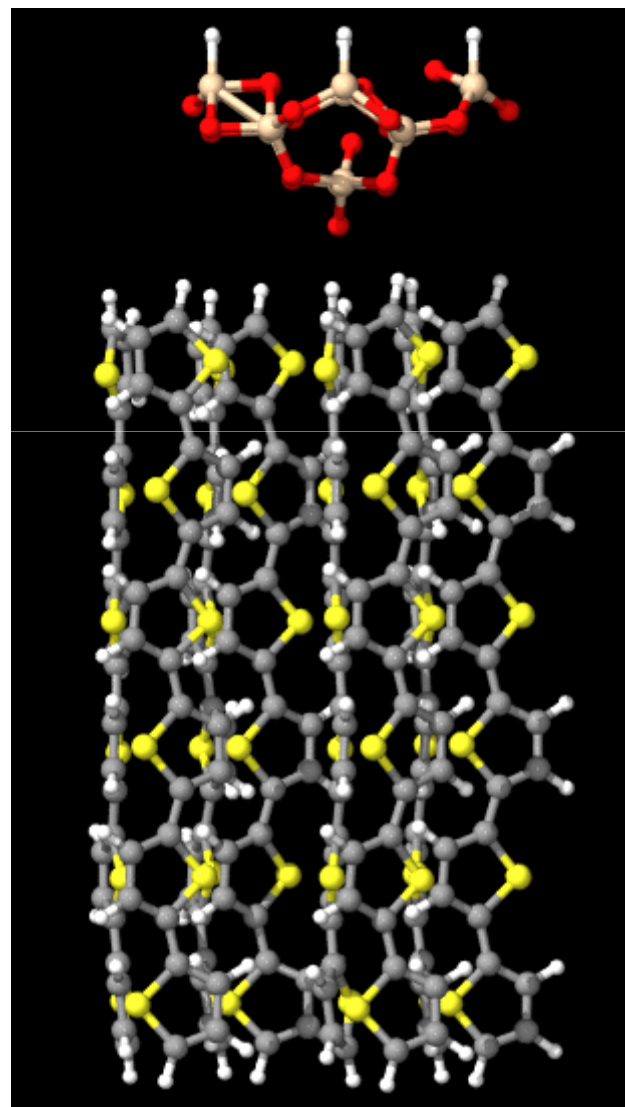


# Quasi-static versus MD simulations

$T = 0 \text{ K}$

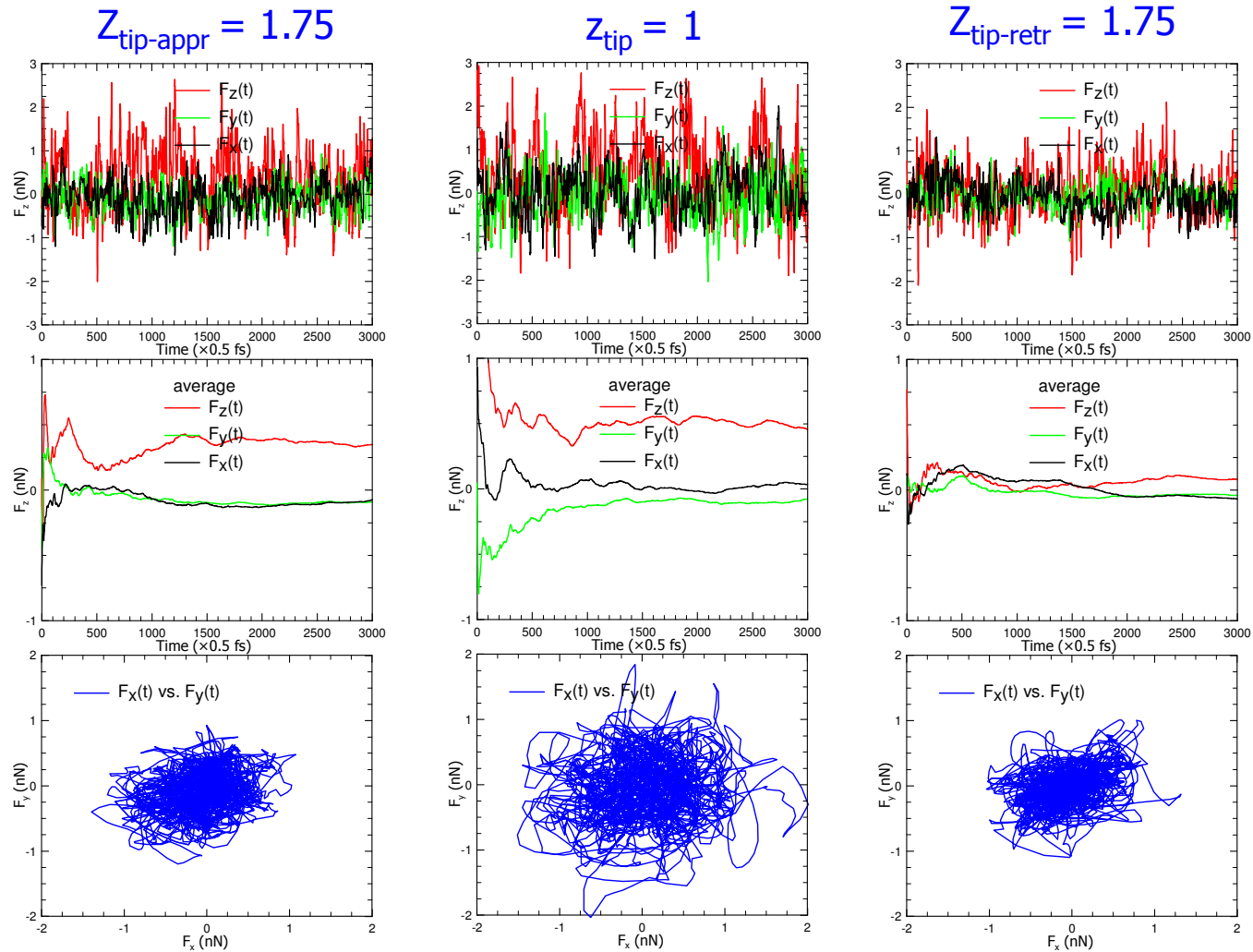


$T \approx 300 \text{ K}$



# MD at 300 K – forces

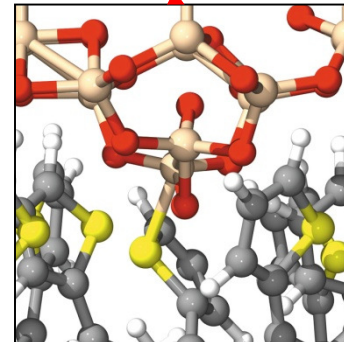
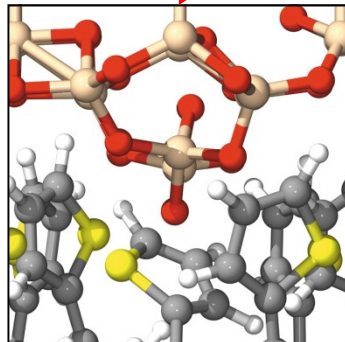
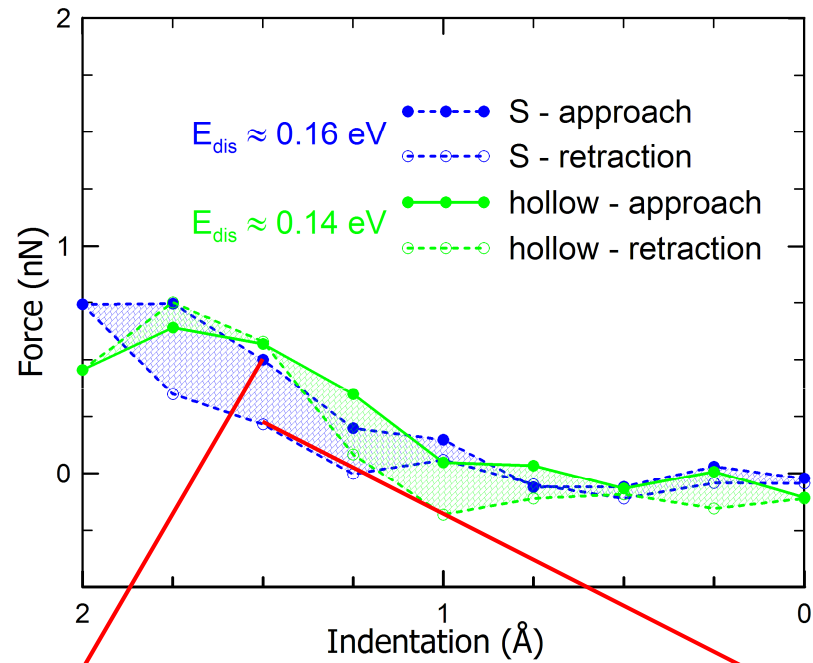
- hollow position





# MD simulations at 300 K – 3000 time steps

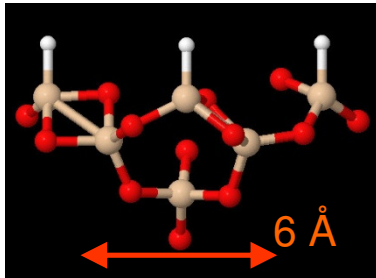
- $E_{\text{dis}} \approx 0.15 \text{ eV}$



# Experiments: a multi-asperity contact....

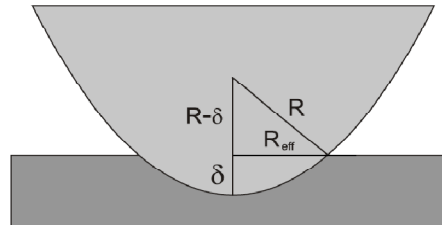
- $E_{\text{dis}} \approx 0.15 \text{ eV}$

$$R_{\text{eff}} \sim 3 \text{ \AA}$$



- $E_{\text{dis}} \approx 1.4 \text{ eV}$

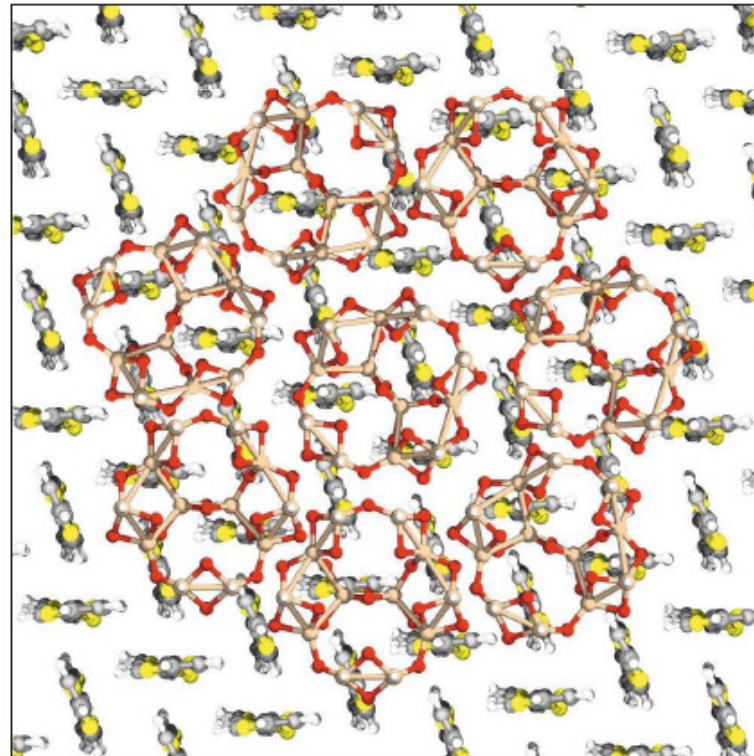
$$R = 7 \text{ nm}, \delta = 1.4 \text{ \AA} \Rightarrow \text{contact: } 3.1 \text{ nm}^2$$



$$a = \sqrt{\delta R}$$

- deformation mechanisms are very local

- dissipated energy is very similar for the different tip positions



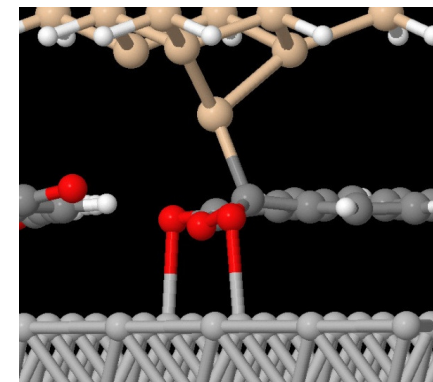
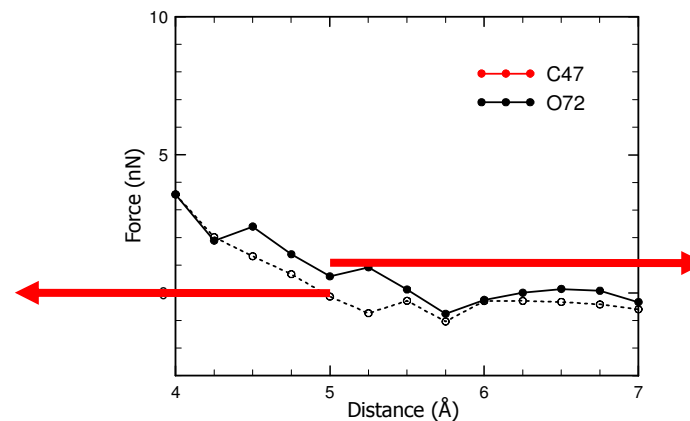
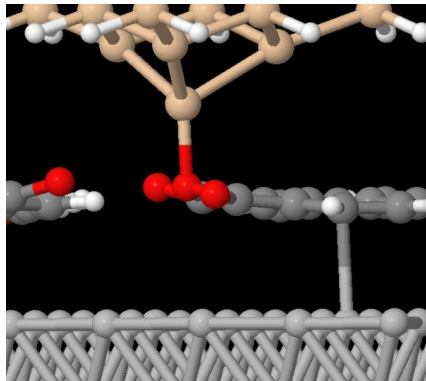
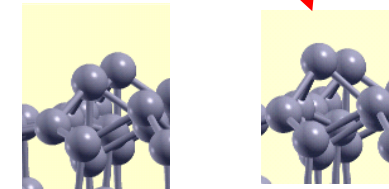
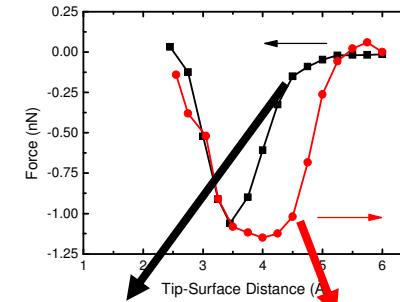
# Conclusions

theory & experiment identify adhesion hysteresis as the leading energy dissipation mechanism at near contact

1. FM-AFM: Identification of a dissipation channel due to single atomic contact adhesion on semiconductor surfaces.

N. Oyabu et al. Phys. Rev. Lett. 96, 106101 (2006).

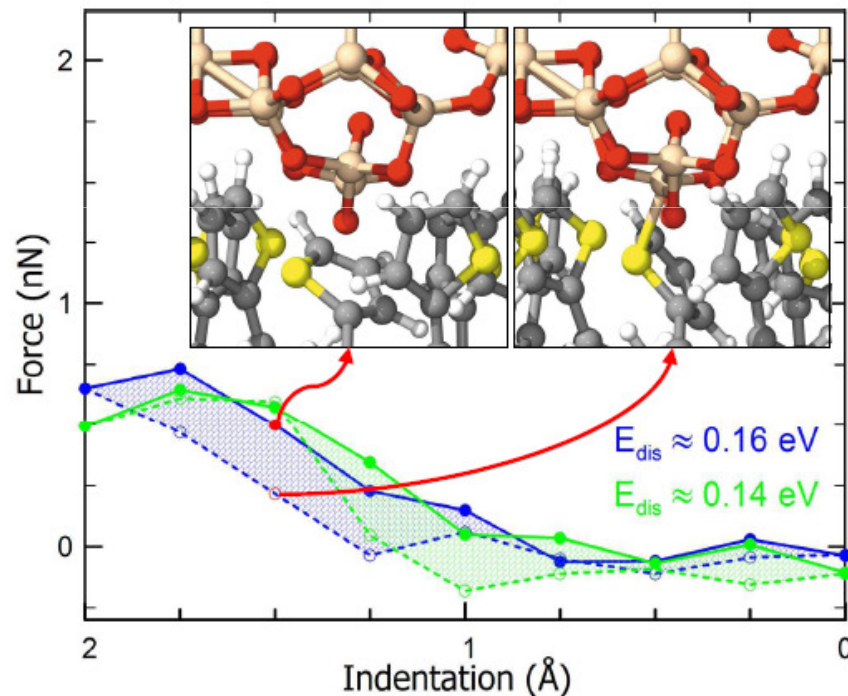
2. FM-AFM: submolecular resolution in the dissipation images for PTCDA on Ag(111)



# Conclusions

theory & experiment identify adhesion hysteresis as the leading energy dissipation mechanism at near contact

3. AM-AFM: Combining continuum models and large scale *ab initio* MD simulations to understand the dissipation mechanisms in a sexithiophene ML deposited on  $\text{SiO}_x$



R. García, R. Magerle and R.P., *Nature Materials* **6**, 405 (2007)

N.F. Martinez et al, *Nanotechnology* **20**, 434021 (2009)  
(special issue to mark the 20th Volume)

# Acknowledgements & Funding

- **THEORY:** UAM, Madrid, Spain:  
Wojciech Kaminski, Pablo Pou, Pavel Jelinek (FZU, Prague)

## EXPERIMENTS:

- Osaka University & NIMS (Tsukuba), Japan:  
N. Oyabu, Y. Sugimoto, M. Abe, S. Morita & O. Custance
- Instituto de Microelectrónica de Madrid, CNM - CSIC, Spain:  
N. F. Martinez, C. J. Gomez & R. Garcia
- ISMN, CNR, Bologna, Italy:  
Cristiano Albonetti, Fabio Biscarini

MEC (Spain): Projects MAT2005-01298, NAN2004-09183-C10-07

EU FP-6: STREP project FORCETOOL (NMP4-CT-2004-013684)

MICINN (Spain): Projects MAT2008-02929-NAN,  
MAT2008-02939-E (FANAS EUROCORES, ESF)

Spanish Supercomputing Network (RES, Computing): Magerit  
(CesViMa, Madrid) & Mare Nostrum (BSC, Barcelona)

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