



**The Abdus Salam
International Centre for Theoretical Physics**



2063-12

ICTP/FANAS Conference on trends in Nanotribology

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The extreme and unusual nanotribological properties of carbon

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The extreme and unusual tribological properties of carbon

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<http://www.nanotechinstitute.org>

- Macroscale friction and wear: environmental passivation and switching behavior
- Atomic-scale friction of graphene: size (# of layers) matters

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A special promotional message

The Nanoprobe Network: <http://nanoprobenetwork.org>

A free resource for scanning probe microscopists

- Forums for asking and answering SPM questions, including live chats with experts once a month
- Probe-pedia: The user-generated encyclopedia of SPM
- Blogs by scientists in academia and industry
- Shared software, images, video files
- Coming soon: Job listings

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Macroscale friction and wear of ultrananocrystalline diamond (UNCD)

Collaboration with A. Sumant, J. Carlisle, W.G. Sawyer

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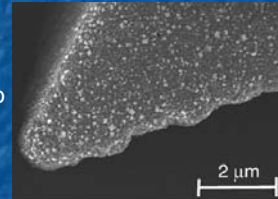
Tribological Applications of Diamond



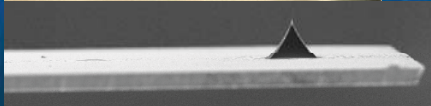
Coatings for mechanical seals



Tools: macro to micro



Micro-electro-mechanical systems (MEMS)



Ultrahigh performance atomic force microscopy (AFM) probes

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Ultrananocrystalline diamond (UNCD)

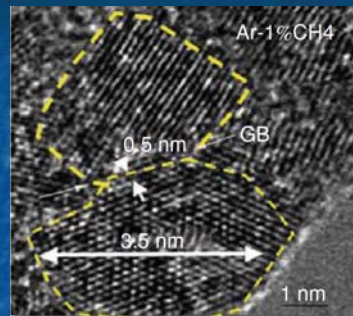
Growth → Large-area chemical vapor deposition

Roughness → Small grain size (2-5 nm), low roughness (<8 nm RMS)

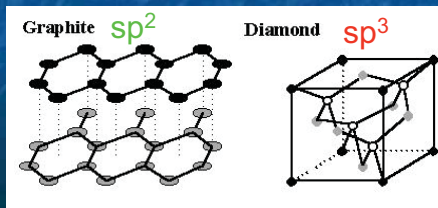
Bonding → Diamond nanograins, with sp^2 / sp^3 grain boundaries

Modulus → Young's modulus ~960 GPa (single crystal diamond: 1050 GPa)

Hardness → Hardness ~90 GPa (single crystal diamond: 100 GPa)



TEM image of UNCD nanograins
Source: Argonne National Labs

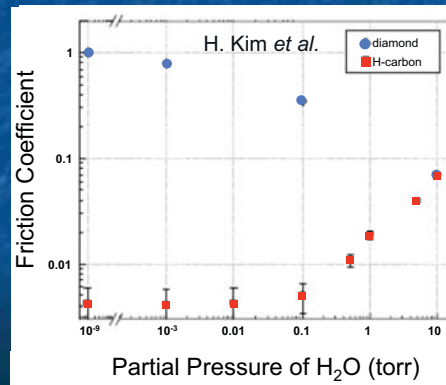


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■ Challenge: environmental sensitivity

- *Diamond and hydrogen-free amorphous carbon have low friction if they are smooth and water vapor is present*
- *amorphous, hydrogen-containing carbon films perform worse when water vapor is present*

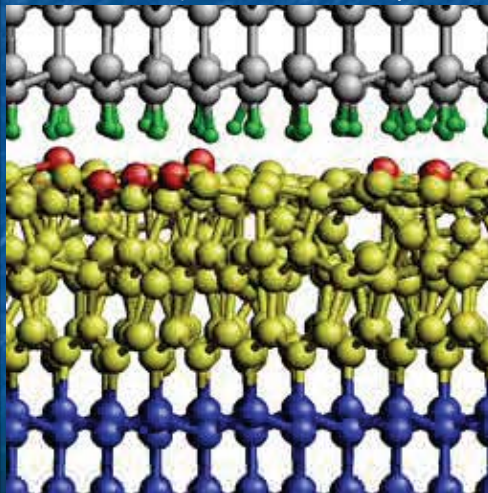
- Gardos, *Trib. Lett.* (1999), H. Kim et al., *Trib. Lett.* (2006), and several others
- but *spectroscopic analysis is lacking, and the mechanisms are not understood*



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Importance of surface chemistry and bonding at the tribological interface

Classical MD Simulations from J. Harrison, U.S. Naval Academy



- Contact stresses cause bonds to break, leaving dangling bonds
- Dangling bonds can re-bond across the interface, increasing friction and wear
- H-termination and sp³ bonding diamond lower adhesion, friction, and wear

green: H
 red: initially sp-bonded C
 yellow: initially sp²-bonded C
 blue & gray: diamond

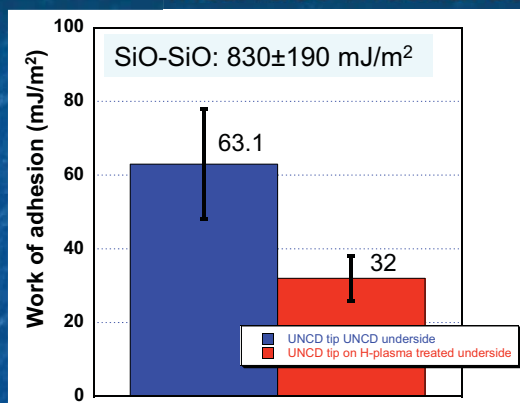
G.T. Gao, P.T. Mikulski, and J. A. Harrison, *J. Am. Chem. Soc.*, Vol. 124, No.24 (2002)

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AFM studies of friction and adhesion for UNCD

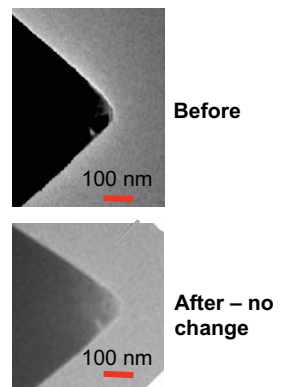
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Nanoscale adhesion at the UNCD:UNCD interface measured with AFM



See Sumant *et al.*, *Adv. Mat.* 2005, *PRB* 2007

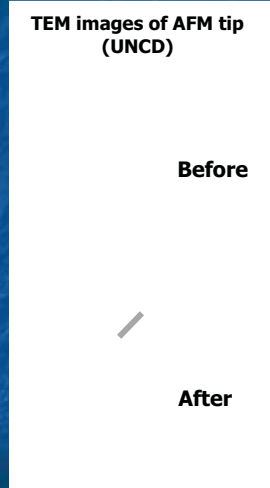
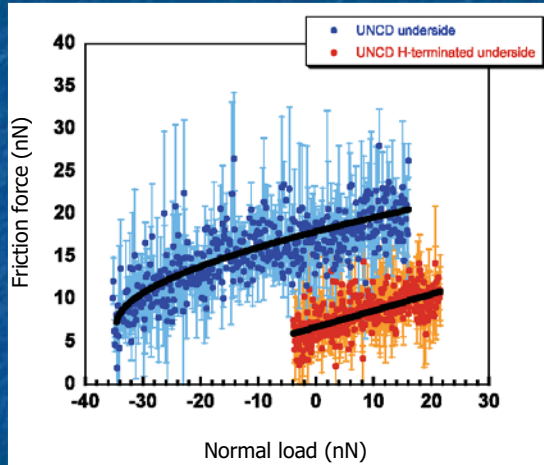
TEM images of UNCD AFM tip



- H-plasma treatment reduces adhesion and friction
- values are consistent with van der Waals interactions
- values consistent with (i.e., slightly larger than) recent DFT calculation by Y. Qi *et al.* (*Surf. Sci.* 2006) and by Zilibotti, Righi, and Ferrario (*PRB* 2009)

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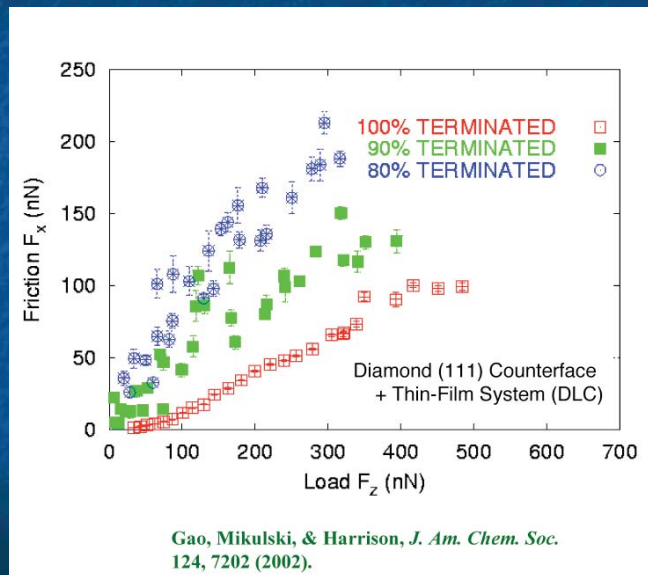
Friction measurements were performed with a UNCD AFM tip on UNCD underside surfaces



- H-termination reduces friction and adhesion appreciably

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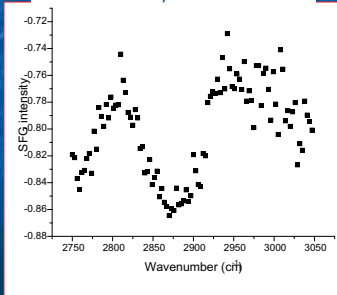
Molecular dynamics also predicts that Hydrogen termination reduces friction



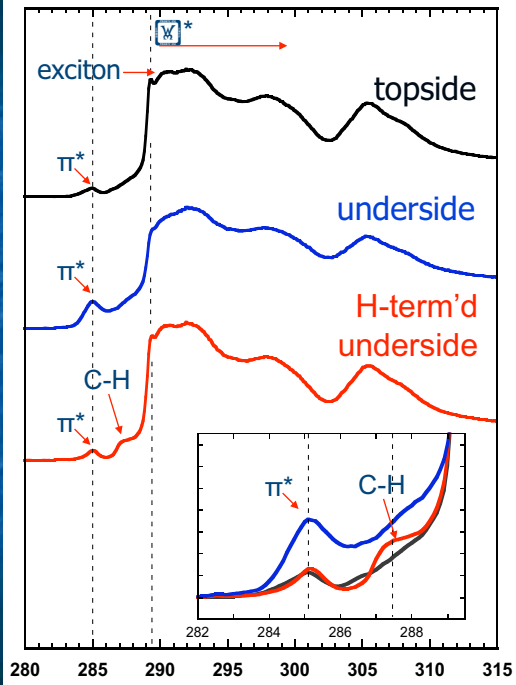
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NEXAFS: H-plasma removes sp^2 -bonded carbon and contaminants, leaving a H-terminated, sp^3 -optimized surface

Sum Frequency Generation data
S. Baldelli, U. Houston



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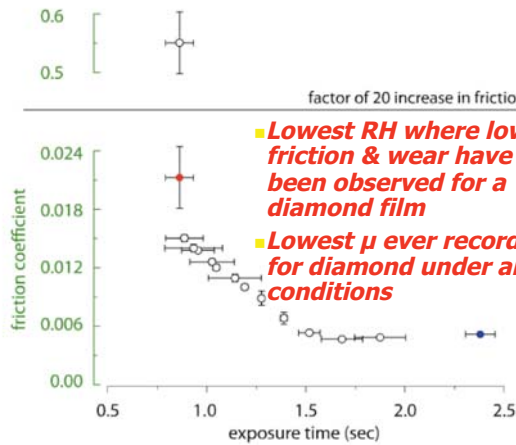


Macro-scale friction and wear of UNCD self-mated interfaces

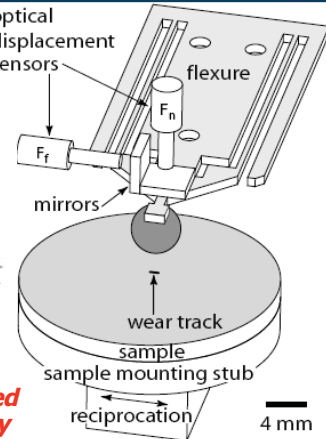
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We have extended low friction behavior of UNCD to new limits

CSM Nanotribometer
 sliding speeds from 1 $\mu\text{m}/\text{sec}$ to 1000 $\mu\text{m}/\text{sec}$
 normal loads from 100 μN to 1 N
 environmental control 0.5% RH to 100% RH



- Lowest RH where low friction & wear have been observed for a diamond film
- Lowest μ ever recorded for diamond under any conditions



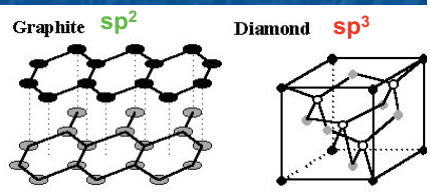
testing conditions
 normal load = 1000 mN
 track length = 0.5 mm
 velocity ramped from 25 $\mu\text{m}/\text{sec}$ to 475 $\mu\text{m}/\text{sec}$
RH=0.690 \pm 0.004%

(time the wear track is exposed to atmosphere between each pass of the sphere)

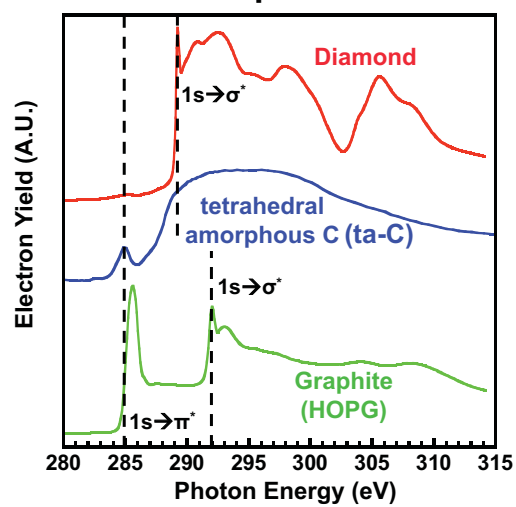
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Near-Edge X-ray Absorption Fine Structure (NEXAFS) spectroscopy

- Tunable X-ray in (synchrotron), secondary e^- out
- Probes the density of unoccupied electronic states of each element in the near-surface region
- Depends on the bonding structure
- Sensitive to sp^2 & sp^3 bonding, oxidation



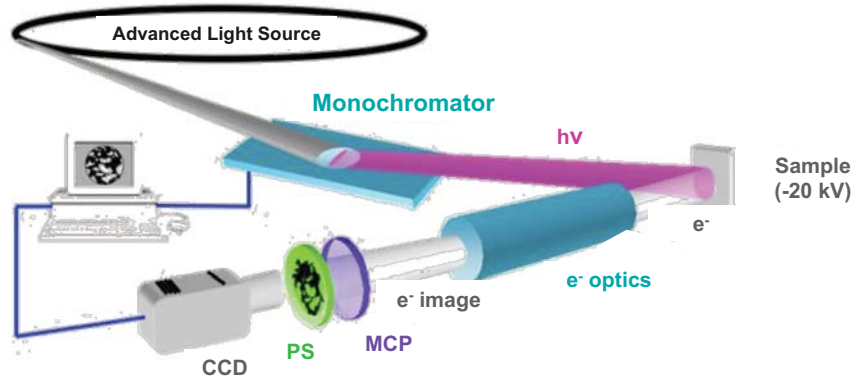
C1s spectra



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PhotoElectron Emission Microscopy

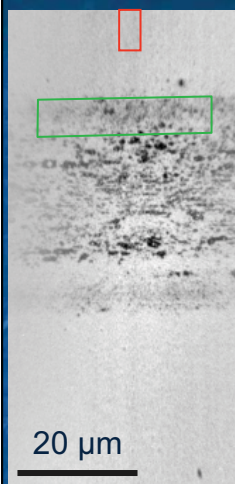
PEEM schematic:



- Spatially sensitive spectroscopic information (down to <50 nm)
- Ideal for *ex situ* wear studies to compare worn and unworn areas

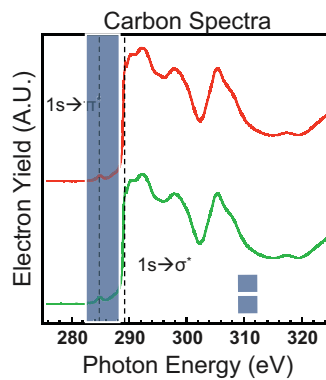
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PEEM in the lightly worn track: Virtually no change

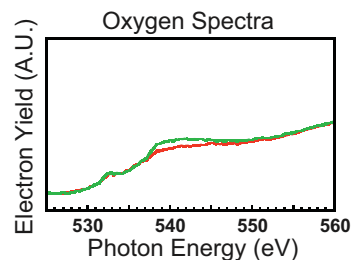
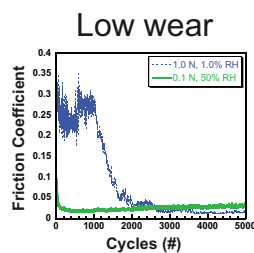
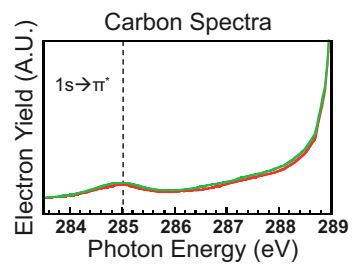


UNCD wear track image at 289 eV

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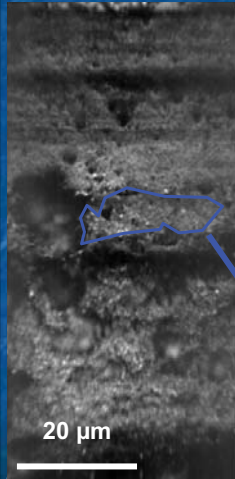


0.1 N, 50.0% RH,
dry Ar environment



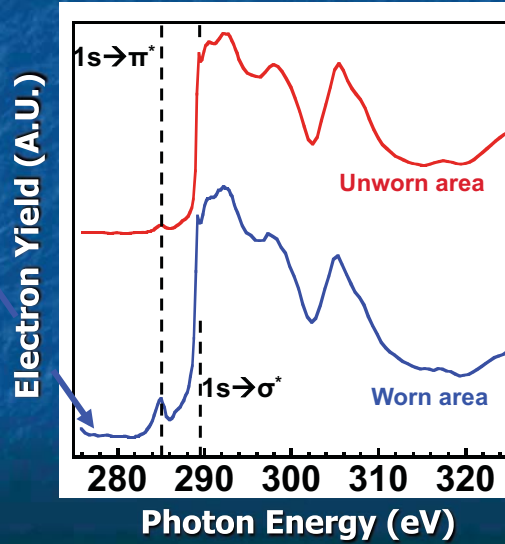
PEEM in the highly worn track: No crystalline graphite in the wear track

1.0 N, 1.0% RH,
dry Ar environment



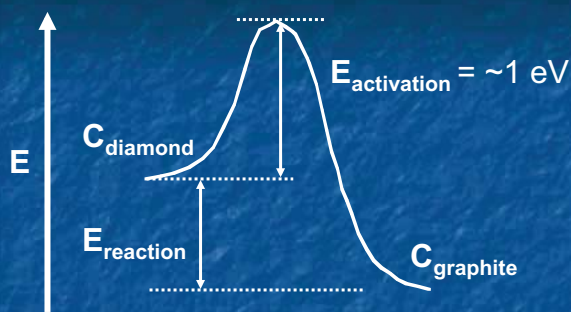
UNCD wear track
image at 289 eV
© 2009 R.W. Carpick

Carbon 1s Spectra



Konicek,
Grierson,
Gilbert, Sawyer,
Sumant,
Carpick,
Phys. Rev. Lett.
100 (2008)

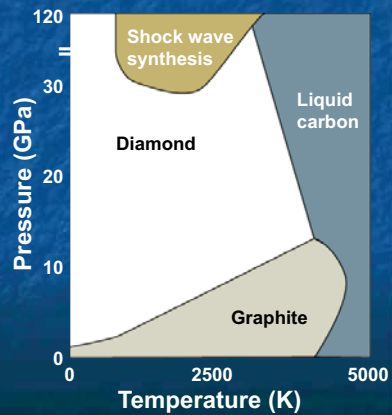
Graphite as a lubricious surface



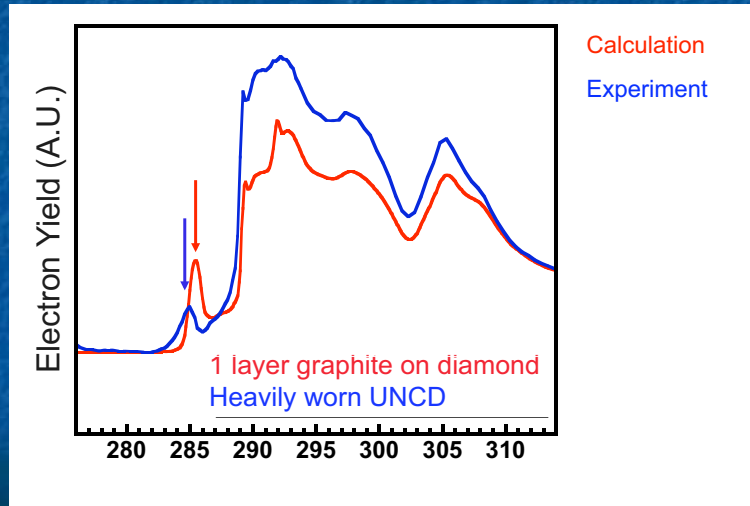
Hybridization state matters for
tribological performance

G.T. Gao *et al.*
J. Phys. Chem. B, **107** (2003) 11082-90

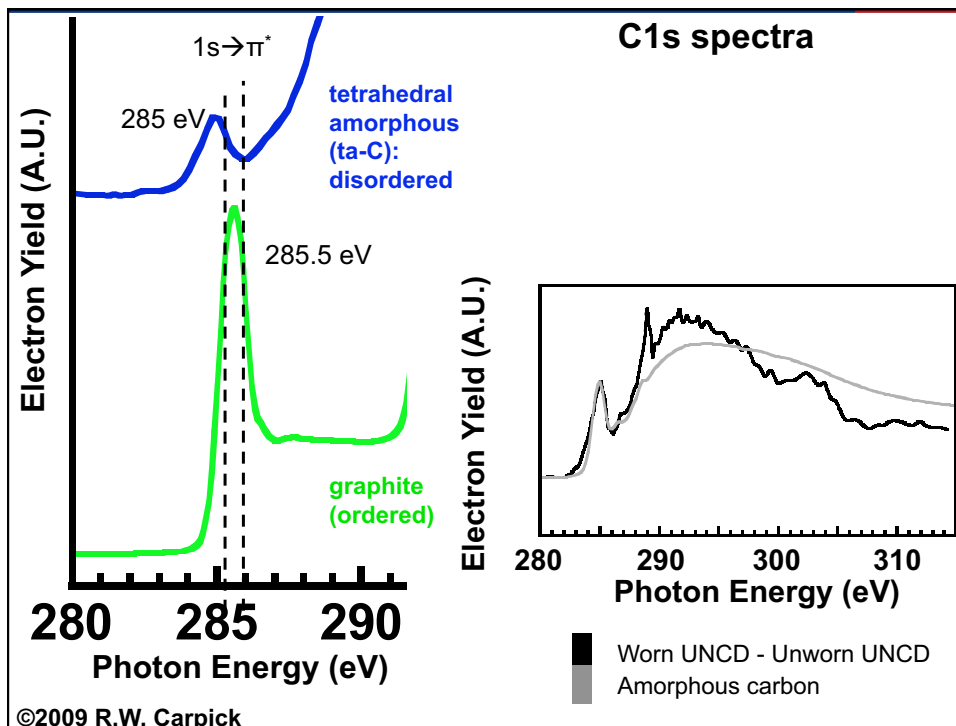
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Spectrum comparison: The worn regions of UNCD do not look like crystalline graphite



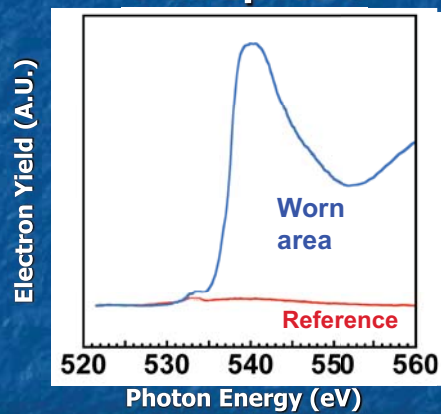
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Oxygen is found in the wear track

O 1s Spectra



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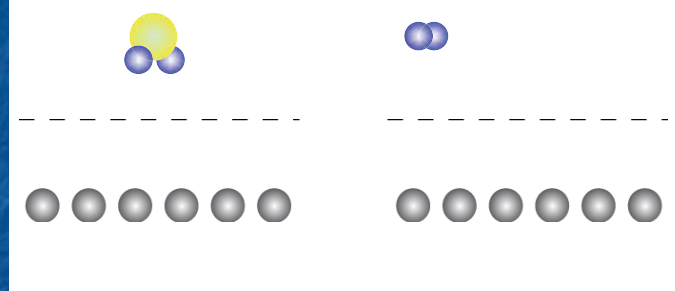
Passivation of dangling carbon bonds

Proposed by: M. Gardos and S. Gabelich, Tribol. Lett. 6 (1999)

Modeled by: Y. Qi *et. al.*, Surf. Sci. 600 (2006)

and Zilibotti, Righi, and Ferrario, PRB 79 (2009)

Warning: Artist's license in effect



From Y. Qi:

H₂O: 1.80 eV dissociative
adsorption energy

H₂: 4.66 eV dissociative
adsorption energy

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Summary of UNCD tribology

- Self-mated UNCD interfaces under rather dry conditions have impressively good performance
 - extremely low friction (down to 0.005 at <1% RH)
- The formation of a crystalline graphite layer is NOT the mechanism of low friction under any conditions tested
 - Some amorphous carbon is found
- Oxidation occurs, particularly under more severe conditions; chemical passivation (by -H, or -OH) is the key
 - there is a dynamic competition between bond breaking (leading to bonding across the interface and wear) and bond passivation by dissociative adsorption (which is clearly feasible from energetics)
 - Leads to rapid switching between low & high friction with a small change in humidity: runaway behavior

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