



**The Abdus Salam  
International Centre for Theoretical Physics**



**2134-9**

## **Spring School on Superstring Theory and Related Topics**

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### **Models of electroweak symmetry breaking and the TeV scale Lecture II**

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# EXTRA DIMENSIONS

- Idea of extra dimensions around for a very long time (1920's Kaluza & Klein)
- Did not seem to be very interesting for low-energy particle physics till ~ last decade

## Kaluza-Klein decomposition

Take a scalar field in 5D. Reason why we have not seen 5<sup>th</sup> dim yet  $\rightarrow$  compactified. Assume 5<sup>th</sup> dimension compactified on a circle. Free scalar:

$$S = \int d^5x \frac{1}{2} \partial_M \phi \partial^M \phi \quad M = 0, 1, 2, 3, 5$$
$$x_5 = y$$

Since  $y$  compact  $\rightarrow$  can do Fourier decomposition

$$\phi(x, y) = \frac{1}{\sqrt{2\pi R}} \sum_{n=-\infty}^{\infty} \phi^n(x) e^{i \frac{n}{R} y}$$

Since  $\phi$  real  $(\phi^n)^* = \phi^{-n}$

What will action look like?

$$\partial_\mu \phi \partial^\mu \phi = \partial_\mu \phi \partial^\mu - (\partial_y \phi)^2$$

\* By orthogonality of Fourier terms

$$S = \int d^4x \sum_{mn} \left( \int dy \frac{1}{2\pi R} e^{i \frac{(m+n)}{R} y} \right).$$

$$\cdot \frac{1}{2} \left[ \partial_\mu \phi^{(m)}(x) \partial^\mu \phi^{(n)}(x) + \frac{mn}{R^2} \phi^{(m)}(x) \phi^{(n)}(x) \right]$$

$$= \frac{1}{2} \int d^4x \sum_n \left[ \partial_\mu \phi^{(n)} \partial^\mu \phi^{(n)} - \frac{n^2}{R^2} \phi^{(n)} \phi^{(n)} \right]$$

From 4D point of view  $\rightarrow$  1 5D scalar =  
tower of 4D particles, with masses  
 $= \frac{n}{R} \equiv$  "KK-tower" of fields.

If more than one extra dimension, i.e.  
n-dimensional torus  $R_5, R_6, \dots$

$$m_{n_5, n_6, \dots}^2 = m_0^2 + \frac{n_5^2}{R_5^2} + \frac{n_6^2}{R_6^2} + \dots$$

$\uparrow$   
the higher dimensional mass of  
the field.

If I have a more complicated field, say gauge field  $A_M$ .

Do same KK decomposition

$$A_M(x,y) = \frac{1}{\sqrt{2\pi R}} \sum_n A_M^{(n)}(x^\mu) e^{i \frac{n}{R} y}$$

$$S = \int d^4x dy \left( -\frac{1}{4} F_{MN} F^{MN} \right)$$

$$= \int d^4x dy \left( -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} (\partial_\mu A_5 - \partial_5 A_\mu) (\partial^\mu A_5 - \partial_5 A^\mu) \right)$$

$$= \int d^4x \sum_n -\frac{1}{4} F_{\mu\nu}^{(n)} F^{\mu\nu (n)}$$

$$+ \frac{1}{2} \left( \partial_\mu A_5^{(n)} + i \frac{n}{R} A_\mu^{(n)} \right) \left( \partial^\mu A_5^{(n)} - i \frac{n}{R} A^\mu{}^{(n)} \right)$$

Do gauge transformation \text{mixing between } A\_5, A\_\mu!

$$A_\mu^{(n)} \rightarrow A_\mu^{(n)} - \frac{i}{n/R} \partial_\mu A_5^{(n)}$$

$$A_5^{(n)} \rightarrow 0 \quad \text{for } n \neq 0 \quad (n=0 \text{ does not mix anyway})$$

$$\rightarrow S = -\frac{1}{4} (F_{\mu\nu}^0)^2 + \frac{1}{2} (\partial_\mu A_5^{(0)})^2$$

$$+ \sum_{n \geq 1} 2 \left( -\frac{1}{4} F_{\mu\nu}^{(n)} F^{\mu\nu (n)} + \frac{1}{2} \frac{n^2}{R^2} A_\mu^{(n)} A^\mu{}^{(n)} \right)$$

$\rightarrow$  tower of massive gauge bosons  
+ zero mode GB, zero mode scalar

$$A_M = \begin{pmatrix} A_\mu \\ \frac{A_5}{\sqrt{5}} \end{pmatrix}$$

4D gauge boson: 2 physical DOF (massless) 3 DOF (massive)

5D gauge boson (massless) : 3 DOF

at massive level just a massive 4D gauge boson  
massless level : scalar + GB

( $A_5$  scalar eaten at the massive level).

In more than 5D:  $n$  extra dim.

$$A_M \rightarrow \begin{matrix} A_\mu & \text{massive KK} \\ (n-1) \varphi & \text{massive scalars} \end{matrix} \left. \vphantom{\begin{matrix} A_\mu \\ (n-1) \varphi \end{matrix}} \right\} \text{massive}$$

$\frac{n}{2}$

massless: gauge boson +  $n$  scalars...

Similarly for graviton

$$g_{MN} = \begin{pmatrix} g_{\mu\nu} & A_\mu \\ \hline & A_\mu \end{pmatrix} \quad \begin{matrix} n \\ \text{massive level:} \end{matrix}$$

graviton +  $(n-1)$  gauge fields  
+  $\frac{n(n+1)}{2} - n$  scalars

massless graviton: 2 DOF

massive graviton: 5 DOF = graviton + eaten GB + eaten scalar

Matching of couplings:

$$\begin{aligned} \text{gauge field: } D_\mu &= \partial_\mu - i g_5 A_\mu = \\ &= \partial_\mu - i g_5 \frac{1}{\sqrt{2\pi R}} A_\mu^{(0)} + \dots \end{aligned}$$

$$\boxed{g_4 = \frac{g_5}{\sqrt{2\pi R}}}$$

for general  $n$  dim's

$$g_4^2 = \frac{g_{(n)}^2}{V_n}$$

Matching of gravitational coupling:

$$S_{4+n} = - M_{(4+n)}^{2+n} \int d^{4+n} \sqrt{g} R_{(4+n)}$$

$\uparrow$   
 $4+n$  dim'd Planck  
 scale  $\equiv M_*$

$$= - M_*^{2+n} V_n \int d^4 x \sqrt{g^{(4)}} R_{(4)} + \dots$$

$$= - M_{Pl}^2 \int d^4 x \sqrt{g} R$$

$$\boxed{M_{Pl}^2 = M_*^{2+n} V_n}$$

matching of gravity.

If there is a  $4+n$  dim'l theory  
with just a single scale  $M_*$ ,  
compactification radius  $r$

~~g<sub>4</sub>~~  $g_4 \sim \frac{1}{M_*^{n/2}}$

matching:

$$\frac{1}{g_4} = V_n M_*^n \sim r^n M_*^n$$

$$M_{Pl}^2 = V_n M_*^{n+2} \sim r^n M_*^{n+2}$$

$$\rightarrow r \sim \frac{1}{M_{Pl}} g_4^{\frac{n+2}{n}}$$

extremely tiny size, not interesting.

New ingredient in mid 90's (Polchinsky,  
Horava-Witten, ...)

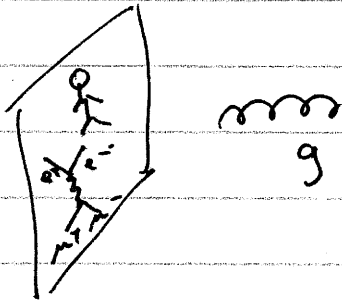
Branes could trap fields. Not all fields  
have to ~~move~~ propagate in all dimensions!

This can decouple particle physics & gravity,  
& size of extra dimension could possibly  
be much larger?

## LARGE EXTRA DIMENSIONS

(Arkani-Hamed, Dimopoulos, Dvali)  
ADD

Assume all of particle physics stuck to a 3-brane, but gravity propagates in extra dimensions



How large could extra dimension be now?

Just need matching of 4+n dim gravity to 4D gravity:

$$M_{Pl}^2 = M_*^{2+n} r^n$$

$$r = \frac{1}{M_*} \left( \frac{M_{Pl}}{M_*} \right)^{2/n}$$

$$\text{or } 1/r = M_* \left( \frac{M_*}{M_{Pl}} \right)^{2/n}$$

Could it be that  $M_*$ , the "fundamental scale" of gravity is  $M_* \sim \text{TeV}$ ?

$$\text{if } M_* = 1 \text{ TeV} \quad \frac{1}{r} = (\text{TeV}) 10^{-\frac{32}{n}}$$



using  $1(\text{GeV})^{-1} = 2 \cdot 10^{-14} \text{ cm}$

$$\rightarrow r \sim 2 \cdot 10^{-17} 10^{\frac{32}{n}} \text{ cm} \quad \text{for ADD}$$

for  $n=1$   $r = 10^{15} \text{ cm}$  size of solar system  
not possible

$n=2$   $r \approx 0.1 \text{ cm}$  barely not  
allowed  
by gravitational  
Cavendish expt.

$n=3$   $r < 10^{-6} \text{ cm}$

What do we know about short distance  
gravity? Surprisingly little.

Gravitational Cavendish experiments  
test  $\frac{1}{r^2}$  law down to  $\sim 10^{-4} \text{ m}$   
(Eöt-wash experiment).

Direct bound on 2 extra dim  $r \ll 37 \mu\text{m}$

$n=3, \dots, 6$  allowed to have  $M_* = 1 \text{ TeV}$ .

For  $n=2$  the bound implies  $M_* > 1.4 \text{ TeV}$

**A** If this was the case:

- gravity & particle physics fundamentally not different
- $M_* \sim 1 \text{ TeV}$  is the fundamental scale, no need to worry about hierarchy problem.

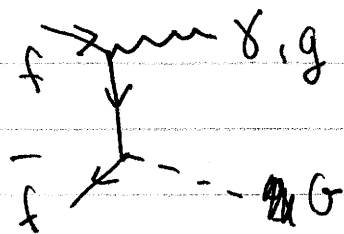
- gravity appears weaker at short distances because its flux is diluted in more dimensions. Once we go below a scale  $r$  notice gravity 4+n dim'l.

- BUT : in a completely natural theory expect  $r \sim 1/M_*$   $\sim 1(\text{TeV})^{-1}$ , while here  $r \sim \frac{1}{M_*} \left( \frac{M_p}{M_*} \right)^{\frac{2}{n}}$ .

Hierarchy in mass scales translated to hierarchy in  $r/(1/M_*)$ . Just reformulated hierarchy problem to a problem of radius stabilization. Pretty hard to solve...

- Other interesting topics related to ADD

• graviton production in colliders

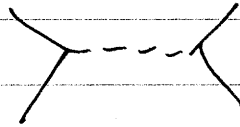


$$f \bar{f} \rightarrow \gamma + G$$

photon + missing energy

- virtual graviton exchange

$$e^+e^- \rightarrow f\bar{f}$$



contributes  
gives a bound on  
 $M_*$

- Supernova cooling : Supernovae can cool due to graviton emission (similar to axion bounds from supernova cooling) : Strongest bound on  $n=2$  theories  $M_* \gtrsim 100 \text{ TeV}$ .

- Black hole production in colliders, cosmic rays

For  $E_{\text{CM}} > M_*$  black holes can be formed

$$R_s \sim \frac{1}{M_*} \left( \frac{M_{\text{BH}}}{M_*} \right)^{\frac{1}{n+1}}$$

$$\sigma_{\text{BH}} \sim \pi R_s^2, \text{ can be big } \sim 400 \text{ pb}$$

Decays via Hawking radiation

$$T_H \sim \frac{1}{R_s} \sim M_* \left( \frac{M_*}{M_{\text{BH}}} \right)^{\frac{1}{n+1}}$$

decays equally to all degrees of freedom,  
10% leptons, 2% photons...

# WARPED EXTRA DIMENSIONS

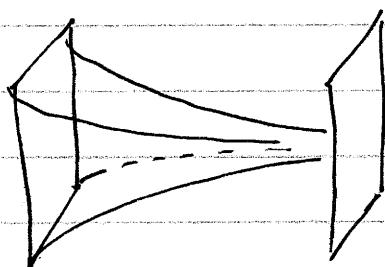
(Randall-Sundrum '99 / Maldacena '97)

Large extra dimensions interesting phenomenology, but does not REALLY solve hierarchy, since large radius unexplained... More interesting possibility: warped extra dim's.

AdS<sub>5</sub> metric:

$$ds^2 = \left(\frac{R}{z}\right)^2 (dx^2 - dz^2)$$

Take a slice of AdS, cut off space at  $z=R$  &  $z=R'$



Then natural physical scales will be warped down by appropriate factors of

$$\frac{z}{R}$$

(original RS proposal)

Example: take a Higgs scalar at  $z=R'$

$$\int d^4x \sqrt{g_{\text{ind}}} \left[ \partial_\mu \varphi \partial_\nu \varphi g^{\mu\nu} - \left( |\varphi|^2 - \frac{v^2}{2} \right)^2 \right]$$

Say  $v = 1/R$  of the order of the large scale

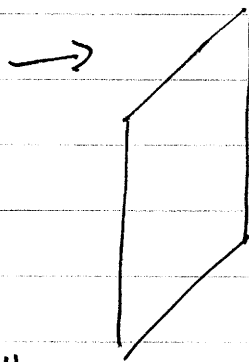
$$S = \int d^4x \left( \frac{R}{z} \right)^4 \left[ (\partial_\mu \varphi \partial^\mu \varphi) \left( \frac{z}{R} \right)^2 - \lambda \left( |\varphi|^2 - \frac{v^2}{2} \right)^2 \right] \Big|_{z=R'}$$

Kinetic term  $\left( \frac{R}{R'} \right)^2 (\partial_\mu \varphi)^2$ ,

canonically normalized higgs  $\tilde{\varphi} = \left( \frac{R}{R'} \right) \varphi$

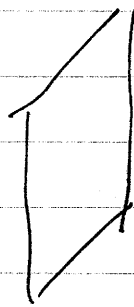
$$S_{\text{eff}} = \int d^4x \left( \partial_\mu \tilde{\varphi} \right)^2 - \lambda \left[ |\tilde{\varphi}|^2 - \frac{1}{2} \left( v \frac{R}{R'} \right)^2 \right]^2$$

warped down  
mass scale will  
be natural scale  
of Higgs VEV.



" Planck  
brane "

UV brane



" TeV  
brane "

IR brane

If SM Higgs peaked on or towards IR brane, while gravity peaked on UV brane  $\rightarrow$  hierarchy problem solved!

Best explanation in terms of AdS/CFT

$$ds^2 = \left(\frac{R}{z}\right)^2 (dx^2 - dz^2)$$

$z \rightarrow \alpha z$ ,  $x \rightarrow \alpha x$  Scale invariance of metric. This means: moving along

$z$  direction equivalent to rescaling 4D energy scales ("holographic running")

$z$  small corresponds to high energies as expected from before,  $z$  large to small energies

bulk of AdS  $\rightarrow$  CFT<sub>4</sub> as in Maldacena

difference here: have only a slice of AdS<sub>5</sub>.

UV brane: an explicit UV cutoff at  $\Lambda = 1/R$  of theory.

IR brane: more tricky. Right interpretation:

CFT spontaneously broken by appearance of IR brane, which provides a mass gap for KK modes. Conformality spontaneously broken, theory becomes strongly interacting, confines & produces a mass gap.

Important checks: Spectrum of a bulk field (for example bulk gauge field  $A$ , bulk scalar):

In appropriate gauge, eom will be:

$$A_\mu(x, z) = \epsilon_\mu(p) f(z) e^{ipx}$$

$$-m^2 f - z \partial_z \frac{1}{z} \partial_z f = 0$$

$$f'' - \frac{1}{z} f' + m^2 f = 0$$

Bessel eq.  
after  $f = zg$   
replacement

$$f(z) = z [A J_1(mz) + B Y_1(mz)]$$

~~get~~ ~~div~~ With BC  $f'(R) = f'(R') = 0$

get 0 mode  $\leftarrow$  flat

+ discrete spectrum with spacing  $\frac{1}{R'}$



all peaked on IR brane.

~~MM~~  $\sim 1 \text{ TeV}$

Interpretation: KK modes = composite  
Spin 1 mesons generated via confinement!

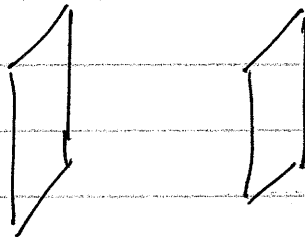
How about gauge vs. global symmetries?

Maldacena:  $N=4$  has  $SO(6) = SU(4)_R$  global symmetry, shows up as a gauge field in  $AdS_5$ , which will couple to global current  $J_\mu$  on boundary. Due to infinite  $AdS$  no normalizable 0 mode.

Here:

finite slice,

gauge 0 mode normalizable!



If  $(+,+)$  BC  $\rightarrow$  global symmetry of CFT weakly gauged.

If  $(-,+)$  BC  $\rightarrow$  just a global symmetry (no 0 mode)

If  $(+,-)$  BC  $\rightarrow$  global symmetry weakly gauged, & broken by IR dynamics  
 $\rightarrow$  TECHNICOLOR

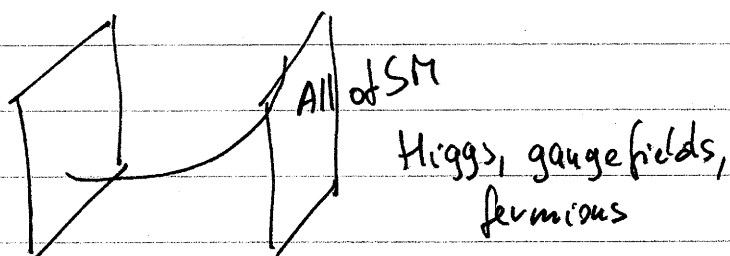
$\downarrow$   
will be basis of higgsless models

If  $(-,-)$  BC  $\rightarrow$  global symmetry broken  $\rightarrow$  get Goldstone boson (AK massless)



## The various warped space models

RS1



Interpretation: All of SM is composite, including gauge symmetries, SM fermions, Higgs

Possible, but very hard to imagine, why higher dimensional op's on IR brane suppressed.

Cut-off scale on IR brane

$\sim \frac{4\pi}{R'} \sim 10 \text{ TeV}$ . Then why is the Higgs mass not at least  $\frac{1}{16\pi^2} \Lambda^2$ ?

Little hierarchy problem.

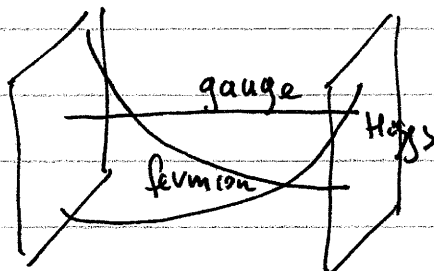
Higgs composite  $\rightarrow$  solves big hierarchy problem (this is essence of the RS model), but still no understanding why  $\frac{m_H^2}{\Lambda^2} \sim 10^{-2}$ .

Also, why is  $\frac{1}{\Lambda^2} (\bar{\psi}\psi)(\bar{\psi}\psi)$  on IR brane suppressed by

$\Lambda_{\text{Flavor}} \sim 10^4 - 10^5 \text{ TeV?}$   
 EWP?

S-parameter?  $\rho$ -parameter

A possible step: move fermions & gauge fields into bulk.



Only Higgs needs to peak on IR brane.  
 Can put gauge & fermion into bulk.

If fermion peaked on UV brane:  
 fermions mostly elementary, flavor violation could be suppressed.

Still need to protect  $\rho$ -parameter!  
 Can do it using custodial symmetry

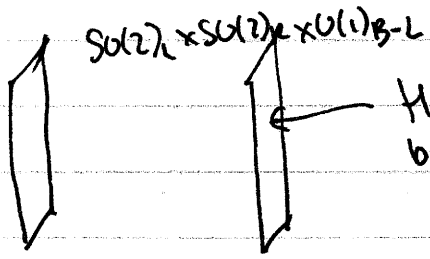
Remember: custodial symmetries

$SU(2)_L \times SU(2)_R$

↑  
gauge

↑  
global

Agashe, Delgado,  
 May, Sundrum



Higgs on IR brane  
 breaks gauged  $SU(2)_L \times U(1)_Y$   
 just like SM

Realistic  
 RS

$SU(2)_R \times U(1)_{B-L}$

$U(1)_Y$  on BC's on Planck → ensures global symmetries

- Realistic RS:
- solves (sort of) flavor issue
  - solves  $\beta$ -parameter
  - bound on KK mass from remaining EWP bound ("S-parameter")  
 $m_{KK} \gtrsim 3 \text{ TeV}$
  - little hierarchy remains
  - main signal search for  
 KK gluon,  $W', Z'$  mostly  
 decaying to 3rd gen. quarks.

To solve little hierarchy 2 options

- Higgsless breaking
- pseudo-Goldstone boson composite Higgs.

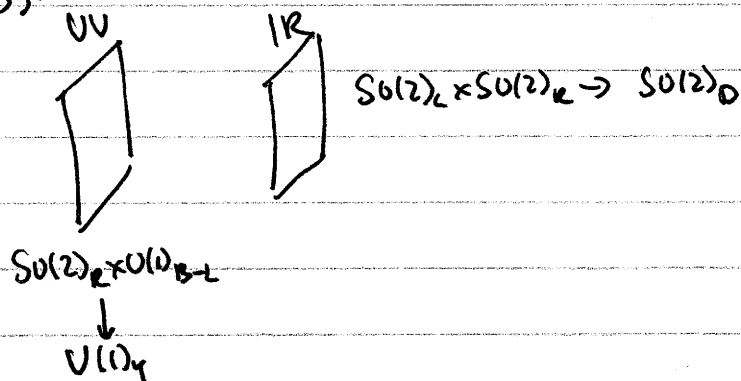
Idea of Higgsless breaking:

Little hierarchy on IR brane: why is Higgs VEV (& mass) of order 100 GeV on IR brane. What happens when we raise the Higgs VEV?

BC on IR brane for gauge field  
 will slowly be —  
 Gauge field repelled from IR, but NOT

infinitely heavy!

Higgsless BC:



together like SM breaking pattern, but Higgs decoupled.

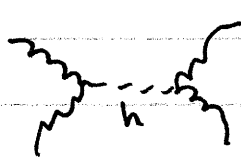
$$M_W^2 = \frac{1}{R'^2 \log R'/R}$$

$W, Z$  masses no longer set by Higgs VEV, but by  $1/R'$ . Higher KK modes

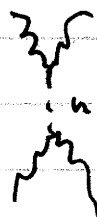
$$M_{W_n} \sim \frac{\pi}{2} (n + 1/2) 1/R'$$

GAP between  $W, W'$  set by  $\log R'/R$ .

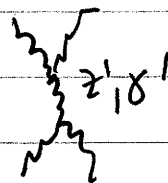
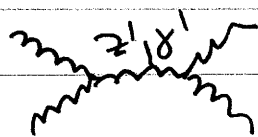
Unitarization of  $W-W$  scattering via exchange of  $W', Z'$  KK modes



+

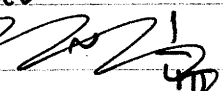


missing here,  
but replaced  
by



main problem:  $S$ -parameter (an EWP measure) large, need to tune a parameter to cancel it  $\rightarrow$  like little hierarchy!

- Pseudo-goldstone composite Higgs (minimal composite Higgs)

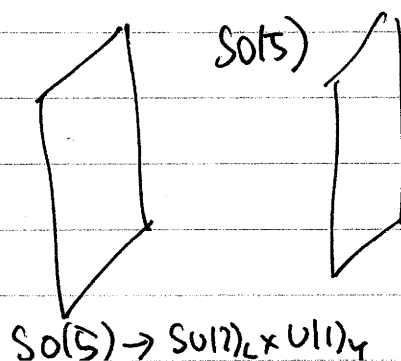
Enlarge global symmetry, & ensure that a higgs remains a pseudo-Goldstone boson. In this case ~~higgs VEV~~ 

$f$  = global symmetry breaking scale  $\sim 1 \text{ TeV}$  ( $\sim 1/p_1$ )

$$\Lambda = \text{cutoff} = 4\pi f$$

higgs VEV can be naturally small  $\sim \frac{f}{4\pi}$

The concrete model in warped space (minimal composite Higgs)



$$SO(5) \rightarrow SO(4)$$

in total 1 doublet of  $SU(2)_L \times U(1)_Y$  Goldstone..

very similar to little Higgs, with difference that?

## LITTLE HIGGS

Explicit 4D realization of the Higgs as pGB idea.

$f$  global symmetry breaking scale  $\sim 1\text{TeV}$

$$v \sim \frac{f}{4\pi} \sim 100\text{GeV}$$

$$\Lambda \sim 4\pi f \sim 10\text{TeV cutoff.}$$

On its own can solve little hierarchy problem (but not the full hierarchy problem).

For full hierarchy either need to make it a composite Higgs (see before), or embed into SUSY, make it strongly interacting.

How to make Higgs a Goldstone?

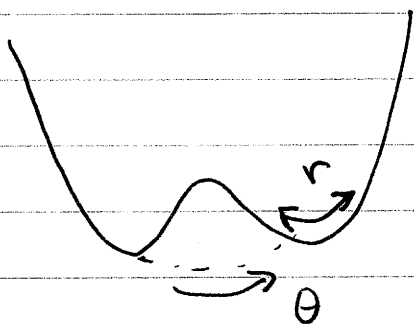
Remember Goldstone theorem: for spontaneously broken global symmetry  $\rightarrow$  massless scalar, that is only derivatively coupled. Lagrangian below scale  $f$ : non-linear  $\sigma$ -model

Example:  $U(1)$   $\mathcal{L} = \partial_\mu \phi^* \partial^\mu \phi - V(\phi^* \phi)$

$$V(\phi) \text{ minimum at } \phi^* \phi = f^2$$

Parametrize  $\phi = \frac{1}{\sqrt{2}} (f + r(x)) e^{i\theta(x)/f}$

$\uparrow$  radial mode       $\nwarrow$  phase = G-B



$$\partial_\mu \phi = \frac{\partial_\mu r}{\sqrt{2}} e^{i\theta/f} = \frac{1}{\sqrt{2}} (f+r) i \frac{\partial_\mu \theta}{f} e^{i\theta}$$

$$\mathcal{L} = \frac{1}{2} \left| \partial_\mu r + \left(1 + \frac{r}{f}\right) i \partial_\mu \theta \right|^2 - V(r)$$

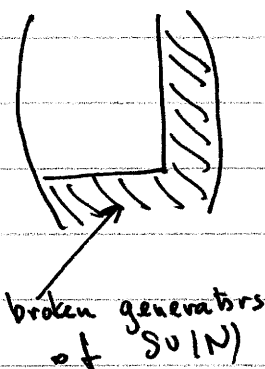
$$= \frac{1}{2} (\partial_\mu r)^2 + \frac{1}{2} (\partial_\mu \theta)^2 + \frac{1}{2} \frac{r^2}{f^2} (\partial_\mu \theta)^2 + \frac{r}{f} (\partial_\mu \theta)^2 - V(r)$$

- Only derivative interaction for  $\theta$
- $\theta \rightarrow \theta + c$  shift symmetry forbids mass

But we need a whole doublet of Goldstones.  
How to get more complicated reps?

$SO(N) \rightarrow SO(N-1)$  via VEV of fundamental of  $SO(N)$

# broken gen's:  $(N^2 - 1) - (N-1)^2 - 1$   
 $= 2N - 1$  real fields



$$\phi_0 = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ f \end{pmatrix}$$

$2N-1$  of them.

Parametrization: non-linear  $\sigma$ -model

$$\Sigma = e^{i\pi^a T^a / f} \phi_0$$

Coleman-Wess-Zumino thm. this always works,  
and they always give equivalent Lagrangian  
irrespective of what representation we choose.

In this case

$$\pi^a T^a = \begin{pmatrix} \pi_0 & & & \pi_1 \\ & \pi_0 & & \pi_2 \\ & & \ddots & \vdots \\ & & & \pi_N \\ \pi_1^* & \pi_2^* & \dots & \pi_N^* & -\pi_0(N-1) \end{pmatrix}$$

← up to some  
normalization...

effective Lagrangian:

$$\text{Tr} (\partial_\mu \Sigma)^\dagger (\partial^\mu \Sigma) + \frac{1}{f^2} (\partial_\mu \partial^\mu \partial_\nu \Sigma)^\dagger (\partial^\nu \Sigma)$$

+ ...

systematic expansion in momenta, valid  
below  $E \ll f$



For example

$$SU(3) \rightarrow SU(2)$$

$$\Pi = \begin{pmatrix} -\frac{\eta}{2}\sqrt{\frac{2}{3}} & h \\ -\frac{\eta}{2}\sqrt{\frac{2}{3}} & h^+ \\ \hline h^+ & \eta\sqrt{\frac{2}{3}} \end{pmatrix} \begin{matrix} \leftarrow \text{doublet} \\ \leftarrow \text{singlet} \end{matrix}$$

$$\partial_\mu \phi^\dagger \partial^\mu \phi = \left| \partial_\mu e^{i\pi/f} \begin{pmatrix} 0 \\ 0 \\ f \end{pmatrix} \right|^2$$

$$e^{i\pi/f} \begin{pmatrix} 0 \\ 0 \\ f \end{pmatrix} \approx \begin{pmatrix} ih \\ f - \frac{h^\dagger h}{2f} \end{pmatrix} + \dots$$

$$|\partial_\mu \phi|^2 = |\partial_\mu h|^2 + \frac{|\partial_\mu h|^2 h^\dagger h}{f^2} + \dots$$

Cut off scale  $\Lambda = 4\pi f$

This produces  $SU(2)$  Goldstones, but no gauge symmetry yet.

Try to gauge

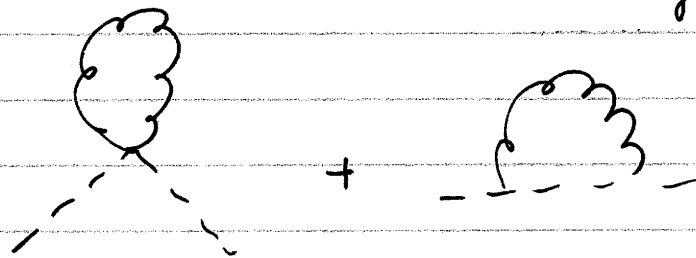
$$SU(2) \subset SU(3)$$

$$\partial_\mu h \rightarrow \left( \partial_\mu - i g W_\mu^a \frac{\tau^a}{2} \right) h$$

But this explicitly & completely breaks original  $SU(3)$  global symmety!

i.e. contains interaction term

$$|g W_\mu h|^2 \rightarrow \text{usual quadratic divergence just like SM!}$$



$$\propto \frac{g^2}{16\pi^2} \Lambda^2 h^\dagger h$$

no gain, get SM divergences

( $\equiv$  introduced  $SU(3)$  global, but explicitly broke it in a way that divergences reintroduced).

How to restore  $SU(3)$ ?

Gauge entire  $SU(3)$ ! Then it is true that gauging does not break symmetry, no quadratic divergences generated.

BUT now entire  $h$  Goldstone eaten.

$SU(3) \rightarrow SU(2)$       5 Generators broken  $\rightarrow$  5 Goldstones eaten  $\rightarrow h + \eta$  non-physical ...

Final fix: Two copies of fields  $\phi_1, \phi_2$

$$\phi_1 = e^{i\pi_1/f} \begin{pmatrix} 0 \\ 0 \\ f \end{pmatrix}$$

$$\phi_2 = e^{i\pi_2/f} \begin{pmatrix} 0 \\ 0 \\ f \end{pmatrix}$$

for simplicity assumed  
VEVs aligned &  
 $f_1 = f_2 = f$

$$\mathcal{L} = |D_\mu \phi_1|^2 + |D_\mu \phi_2|^2$$

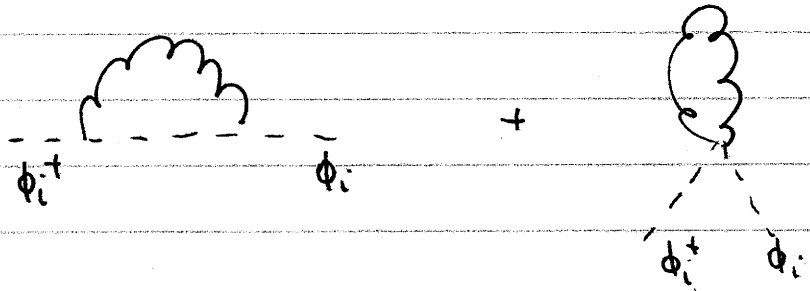
quadratic divergences:

$$\frac{\Lambda^2}{16\pi^2} g^2 \underbrace{(\phi_1^\dagger \phi_1 + \phi_2^\dagger \phi_2)}_{2f^2}$$

$\rightarrow$  no potential for any GB!

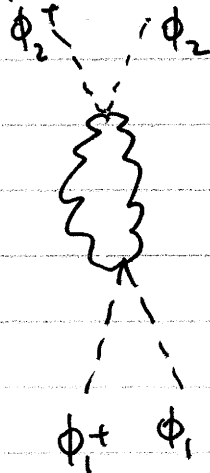
$\pi_1 + \pi_2$  eaten, but  $\pi_1 - \pi_2$  remains physical GB

Why no quadratic divergence?



As long as just one  $\phi$  field appears in diagram: symmetry unbroken  $\rightarrow$  can not generate  $SU(3)$  breaking term.

If you have more than one field:



$$\propto \frac{g^4}{16\pi^2} |\phi_1 + \phi_2|^2 \log\left(\frac{\Lambda^2}{\mu^2}\right)$$

Will give you log divergent mass (more insertion  $\rightarrow$  less divergence)

$$\Delta m_H^2 \propto \frac{g^4}{16\pi^2} f^2 \log\left(\frac{\Lambda^2}{\mu^2}\right) \sim (100 \text{ GeV})^2 \text{ OK.}$$

## Principle underlying

### Collective breaking

2 types of interactions

$$|g_1 A_\mu \phi_1|^2 + |g_2 A_\mu \phi_2|^2 \quad g_1 = g_2 = g$$

w/o gauging

$SU(3)$

$\downarrow \phi_1$

$SU(2)$

$SU(3)$

$\downarrow \phi_2$

$SU(2)$

each produces own  
Goldstone

gauging diagonal breaks

$$SU(3) \times SU(3) \rightarrow SU(3)_D$$

eats one set of Goldstones.

Imagine  $g_1 \rightarrow 0$

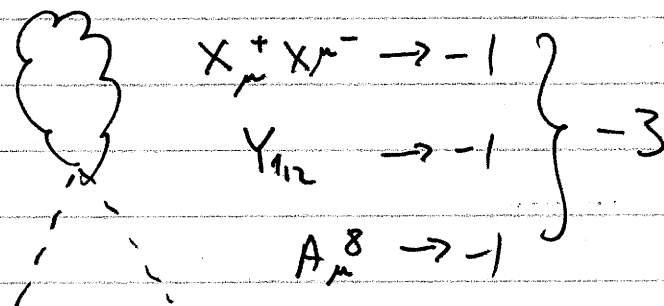
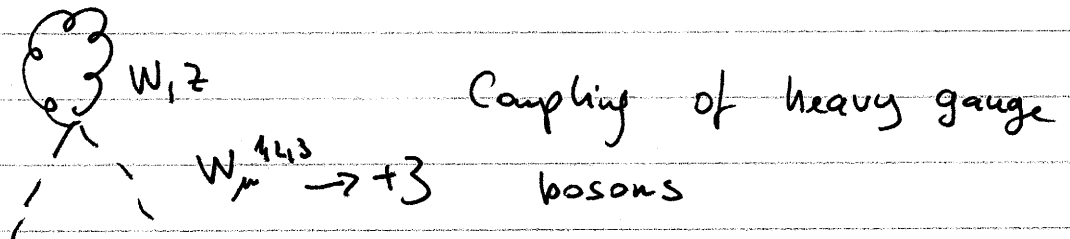
$SU(3)$  symmetry on  $A, \phi_2$   
unbroken  $\rightarrow$  no quad. div.  
in this limit

$g_2 \rightarrow 0$

$SU(3)$  on  $A_\mu, \phi_1$   
unbroken

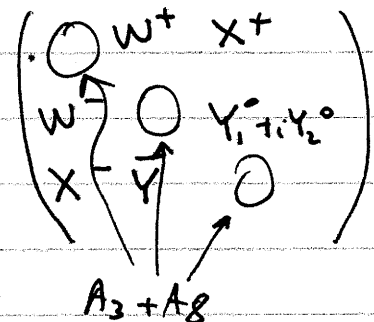
If one of couplings turned off, symmetry  
larger, GB protected. Need to have  
 $g_1 g_2$  inserted, not quad. div.

So what cancels the divergences of SM gauge bosons to higgs?



Where

$$A_{\mu}^a T^a =$$



In this language cancellation may seem miraculous. But we understand reason for cancellation is collective breaking.

upshot:

quadratic divergences cancelled by same spin TeV-scale partners of SM particles. Related by global symmetries to SM particles!

This is basic of SIMPLEST little Higgs of Schmaltz & Kaplan.

To also get fermions (protection against 1-loop Yukawas) : need  $SU(3)$  symmetry in fermions

$$SU(2)_L \quad \begin{pmatrix} t \\ b \end{pmatrix}_L \rightarrow \begin{pmatrix} t \\ b \\ T \end{pmatrix} \equiv \Psi$$

↖ LH top partner

$$t_R \rightarrow t^c, T^c \quad \text{two RH bps}$$

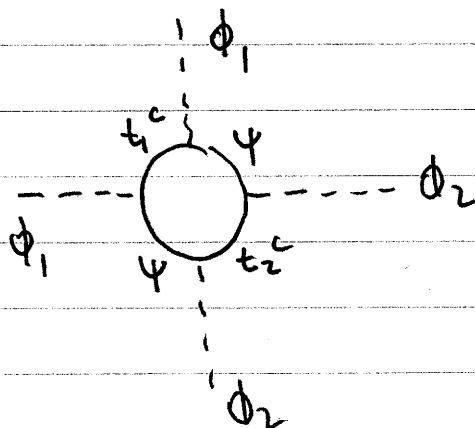
$$b_R \rightarrow b^c$$

$$\mathcal{L}_{\text{Yuk}} = \lambda_1 \phi_1^\dagger \Psi t_1^c + \lambda_2 \phi_2^\dagger \Psi t_2^c$$

Again  $SU(3)$  symmetry collectively broken.

If  $\lambda_2 = 0 \rightarrow$  first term  $SU(3)$  invariant. If  $\lambda_1 = 0 \rightarrow$  second term  $SU(3)$  inv.

Need BOTH  $\lambda_1$  &  $\lambda_2$  to generate PGB mass  $\rightarrow$  will not be quad. divergent!



$$\propto \left[ \frac{\lambda_1^2 \lambda_2^2}{16\pi^2} |\phi_1 + \phi_2|^2 \log \left( \frac{\Lambda^2}{\mu^2} \right) \right]$$

again log div.  
 $M(100 \text{ GeV})^2$  OK

Hardest part to add Higgs quartic  
self-coupling!

need to write  $V(\phi_1, \phi_2)$

- no mass term for Higgs
- contains quartic
- quartic is collective

Impossible in pure  $SO(3)$ ,

- can enlarge gauge group
- add op's with small coeff's
- go to susy & use  $\lambda$  term quartic...