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#### Spring School on Superstring Theory and Related Topics

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Towards holographic duality for condensed matter Lecture IV - VI

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## Towards Physical Applications of Holographic Duality Parts 4 – 6

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Basic question: what is the ground state of a nonzero density of interacting fermions? ( $\exists$  sign problem) Lore: if it's a metal, it's a fermi liquid [Landau, 50s]. E(k)Recall:

if we had *free* fermions, we would fill single-particle energy levels E(k) until we ran out of fermions: -

Low-energy excitations:

remove or add electrons near the fermi surface  $E_F, k_F$ .

Idea [Landau]: The low-energy excitations of the

interacting theory are still weakly-interacting fermionic, charged 'quasiparticles'

Elementary excitations are free fermions with some dressing:



 $\sim \sim \rightarrow$ 

 $\stackrel{in \ medium}{\longrightarrow}$ 



## The standard description of metals

The metallic states of a finite density of fermions that we understand well are described by Landau's Fermi liquid theory. Landau quasiparticles  $\rightarrow$  poles in single-fermion Green function  $G_R$ at  $k_{\perp} \equiv |\vec{k}| - k_F = 0$ ,  $\omega = \omega_*(k_{\perp}) \sim 0$ :  $G_R \sim \frac{Z}{\omega - v_F k_{\perp} + i\Gamma}$ 

Measurable by ARPES (angle-resolved photoemission):



 $\begin{array}{ll} \text{Intensity} \propto \\ \text{spectral density}: \end{array} \quad A(\omega,k) \equiv \operatorname{Im} G_R(\omega,k) \stackrel{k_{\perp} \to 0}{\to} Z\delta(\omega - v_F k_{\perp}) \end{array}$ 

Landau quasiparticles are long-lived: width is  $\Gamma \sim \omega_{\star}^2$ . residue Z (overlap with external  $e^-$ ) is finite on Fermi surface. Reliable calculation of thermodynamics and transport relies on this.

## Ubiquity of Landau fermi liquid

Physical origin of lore: 1. Landau FL successfully describes  $^3{\rm He},$  all metals studied before  $\sim 1980 s,$  ...

2. RG: Landau FL is stable under almost all perturbations.



# Effective Field Theory and the Fermi Surface

Polchinski, hep-th/9210046

also Benfatto-Gallivotti; Shankar, RMP 66 (1994) 129

## Outline

- 1. Holographic duality with a view toward condensed matter
- 2. Gravity duals of non-relativistic CFTs
- 3. Effective field theory and the Fermi surface [Polchinski, hep-th/9210046]
- 4. Non-Fermi liquids from non-holography

[D. Mross, JM, H. Liu, T. Senthil, 1003.0894]

5. Non-Fermi liquids from holography

[Hong Liu, JM, David Vegh, 0903.2477 Tom Faulkner, HL, JM, DV, 0907.2694 TF, Gary Horowitz, JM, Matt Roberts, DV, 0911.3402 TF, Nabil Iqbal, HL, JM, DV, 1003.1728 and to appear] First, a few more words about how kinematics protects the Fermi Liquid theory.

## Non-Fermi liquids exist, but are mysterious

e.g.: 'normal' phase of optimally-doped cuprates: ('strange metal')



among other anomalies: ARPES shows gapless modes at finite k (FS!) with width  $\Gamma(\omega_*) \sim \omega_*$ , vanishing residue  $Z \xrightarrow{k_\perp \to 0} 0$ . Working definition of NFL:

Still a sharp Fermi surface (nonanalyticity of  $A(\omega \sim 0, k \sim k_F)$ ) but no long-lived quasiparticles.

[Anderson, Senthil] 'critical fermi surface'

#### Most prominent mystery of the strange metal phase:

e-e scattering:  $\rho \sim T^2$ , e-phonon:  $\rho \sim T^5$ , no known robust effective theory:  $\rho \sim T$ .



## Superconductivity is a distraction



Look 'behind' superconducting dome by turning on magnetic field:



(Magnetoresistance is negligible: evidence that B doesn't alter normal state.) Strange metal persists to  $T\sim 0!$ 

This is the state we will be comparing to later on.

another source of NFL: how do fermi liquids die?

Some systems have both a Fermi liquid phase, and a phase without a Fermi surface (Mott insulator). *e.g.* spin- $\frac{1}{2}$  Hubbard model near half-filling:

$$H = \sum_{\langle ij \rangle} \mathbf{t} \ c_i^{\dagger} c_j + U \sum_i n_i^{\dagger} n_i^{\downarrow}$$

*t*: kinetic term *U*: on-site repulsion



 $t/U \rightarrow \infty$ : free electrons, FL.  $t/U \rightarrow 0$ : each electron picks a site and sits there (Mott insulator).

## critical fermi surfaces

but:

Theorem [Luttinger]: The volume inside the fermi surface is proportional to the number of electrons, which is conserved.

It can't just shrink if the number of particles is fixed.

At a continuous transition: "critical fermi surface" [Brinkman-Rice, Senthil]:  $Z \rightarrow 0$ .

 $Z = \text{jump in momentum space occupation number at the fermi momentum } n(k) = \int \frac{d\omega}{\pi} f(\omega) \text{Im } G(\omega, k)$  $f(\omega) \equiv \frac{1}{e^{\beta\omega}+1}$ ,  $\omega$  measured from  $\mu$ .

a) FL b) mott insulator c) critical fermi surface  $\partial_k^{(\ell)} n(k) = \infty$  for some  $\ell$ 

Z is like an order parameter for the FL phase.



## My understanding of the theoretical status of NFL

- Luttinger liquid (1+1-d)  $G(k,\omega) \sim (k-\omega)^{2g}$
- numerics on Hubbard model
- loophole in RG argument:

couple a Landau FL perturbatively to a bosonic mode (magnetic photon, slave-boson gauge field, statistical gauge field, ferromagnetism, SDW, Pomeranchuk order parameter...)

[Holstein et al, Baym et al, .... Halperin-Lee-Read, Polchinski, Altshuler-Ioffe-Millis, Nayak-Wilczek, Schafer-Schwenzer, Chubukov et al, Fradkin-Kivelson-Oganesyan, Metzner et al, S-S Lee, Metlitski-Sachdev, Mross et al]  $\rightarrow$  nonanalytic

behavior in  $G^{R}(\omega) \equiv \frac{1}{v_{F}k_{\perp} + \Sigma(\omega,k)}$  at FS:

$$\Sigma(\omega) \sim egin{cases} \omega^{2/3} & d = 2+1 \ \omega \log \omega & d = 3+1 \ \end{pmatrix} \Longrightarrow Z \stackrel{k_\perp o 0}{ o} 0, \quad rac{\Gamma(k_\perp)}{\omega_\star(k_\perp)} \stackrel{k_\perp o 0}{ o} ext{const}$$

## Fermi liquid killed by gapless boson

1. In these perturbative calculations, non-analytic terms  $\propto$  control parameter  $\implies$  perturbative answer is parametrically reliable  $\leftrightarrow$ 

effect is visible only at parametrically low temperatures.

2. Recently, the validity of the 1/N expansion has been questioned.

[Sung-Sik Lee 0905.4532, Metlitski-Sachdev 1001.1153]

A controlled perturbation expansion does exist in a slightly

different theory.

[David. Mross, JM, Hong Liu, Senthil, 1003.0894]

#### 3. Not strange enough:

These NFLs are not strange metals in terms of transport. FL killed by gapless bosons: small-angle scattering dominates  $\implies$ (forward scattering does not degrade current) 'transport lifetime'  $\neq$  'single-particle lifetime' *i.e.* in models with  $\Gamma(\omega_*) \sim \omega_*$ ,  $\rho \sim T^{\alpha > 1}$ .



## Holographic non-Fermi liquids:

Strange metal from black holes

based on:

Hong Liu, JM, David Vegh, 0903.2477 Tom Faulkner, HL, JM, DV, 0907.2694 TF, Gary Horowitz, JM, Matthew Roberts, DV, 0911.3402 TF, Nabil Iqbal, HL, JM, DV, 1003.1728 and to appear see also: Sung-Sik Lee, 0809.3402 Cubrovic, Zaanen, Schalm, 0904.1933 Can string theory be useful here?

It would be valuable to have a non-perturbative description of such states in more than one dimension.

#### **Gravity dual?**

We're not going to look for a gravity dual of the whole material. Rather: lessons for principles of "non-Fermi liquid".

Basic question for the holographic descripion:

How to make a finite density of fermions?

## Outline

- 1. Strategy for holographic description
- 2. Fermion green functions, numerically
- 3. Analytic understanding of Fermi surface behavior
- 4. Charge transport
- 5. Stability of the groundstate
- 6. A framework for strange metal

## Strategy to find a holographic Fermi surface



To compute  $G_R$ : solve Dirac equation in charged BH geometry.

## What we are doing, more precisely

Consider any relativistic  $CFT_d$  with

• an Einstein gravity dual 
$$\mathcal{L}_{d+1} = \mathcal{R} + \frac{d(d-1)}{R^2} - \frac{2\kappa^2}{g_F^2}F^2 + ...$$

• a conserved U(1) current (proxy for fermion number)

 $\rightarrow$  gauge field F = dA in the bulk.

An ensemble with finite chemical potential for that current is described by the AdS Reissner-Nordstrom black hole:

$$ds^{2} = \frac{r^{2}}{L^{2}} \left( -fdt^{2} + d\vec{x}^{2} \right) + L^{2} \frac{dr^{2}}{r^{2}f}, \quad A = \mu \left( 1 - \left( \frac{r_{0}}{r} \right)^{d-2} \right) dt$$

$$f(r) = 1 + \frac{Q^2}{r^{2d-2}} - \frac{M}{r^d}, \quad f(r_0) = 0, \quad \mu = \frac{g_F Q}{c_d L^2 r_0^{d-1}},$$

• a charged fermion operator  $\mathcal{O}_{\mathrm{F}}$  (proxy for bare electrons)  $\rightarrow$  spinor field  $\psi$  in the bulk  $\mathcal{L}_{d+1} \ni \overline{\psi} \left( D_M \Gamma^M - m \right) \psi$  + interactions with  $D_{\mu}\psi = \partial_{\mu}\psi - iqA_{\mu}\psi$  ( $\Delta = \frac{d}{2} \pm mL$ , q = q) 'Bulk universality': for two-point functions, the interaction terms don't matter!

Results only depend on  $q, \Delta$ .

## Comments about the strategy

► There are many string theory vacua with these ingredients. In specific examples of dual pairs (*e.g.* M2-branes ⇔ M th on AdS<sub>4</sub> × S<sup>7</sup>), interactions and {q, m} are specified.

which sets  $\{q, m\}$  are possible and what correlations there are is not clear.

- This is a large complicated system (ρ ~ N<sup>2</sup>), of which we are probing a tiny part (ρ<sub>Ψ</sub> ~ N<sup>0</sup>).
- It would be surprising if we could describe a Fermi liquid (= a weakly coupled QFT).
- In general, both bosons and fermions of the dual field theory are charged under the U(1) current: this is a Bose-Fermi mixture.

Notes: frequencies  $\omega$  below are measured from the chemical potential. Results are in units of  $\mu$ .

## Computing $G_R$

Translation invariance in  $\vec{x}, t \implies \text{ODE}$  in r. Rotation invariance:  $k_i = \delta_i^1 k$ Near the boundary, solutions behave as  $(\Gamma^{\underline{r}} = -\sigma^3 \otimes 1)$ 

$$\psi \stackrel{r \to \infty}{\approx} a_{\alpha} r^{m} \begin{pmatrix} 0 \\ 1 \end{pmatrix} + b_{\alpha} r^{-m} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

Matrix of Green's functions, has two independent eigenvalues:

$$G_{\alpha}(\omega, \vec{k}) = rac{b_{lpha}}{a_{lpha}}, \ \ lpha = 1,2$$

To compute  $G_R$ : solve Dirac equation in BH geometry, impose infalling boundary conditions at horizon [Son-Starinets, Iqbal-Liu]. Like retarded response, falling into the BH is something that *happens*. Dirac equation

$$\Gamma^{a} e_{a}{}^{M} \left( \partial_{M} + \frac{1}{4} \omega_{abM} \Gamma^{ab} - iqA_{M} \right) \psi - m\psi = 0$$

$$\Phi_{\alpha} \equiv (-gg^{rr})^{-1/4} \Pi_{\alpha}^{\hat{k}} \psi, \quad \psi = e^{-i\omega t + ik_{i}x^{i}} \psi_{\omega,k},$$

$$\left( (\partial_{r} + M\sigma^{3}) \Phi_{\alpha} = ((-1)^{\alpha} K\sigma^{1} + Wi\sigma^{2}) \Phi_{\alpha}, \quad \alpha = 1, 2 \right)$$

with

$$M \equiv m\sqrt{g_{rr}} = \frac{m}{r\sqrt{f}}, \quad K \equiv k\sqrt{\frac{g_{rr}}{g_{ii}}} = \frac{k}{r^2\sqrt{f}}, \quad W \equiv u\sqrt{\frac{g_{rr}}{g_{ii}}} = \frac{u}{r^2\sqrt{f}}.$$
$$u \equiv \sqrt{\frac{-g^{tt}}{g^{ii}}} \left(\omega + \mu_q \left(1 - \left(\frac{r_0}{r}\right)^{d-2}\right)\right)$$

Eqn depends on q and  $\mu$  only through  $\mu_q \equiv \mu q$  $\rightarrow \omega$  is measured from the effective chemical potential,  $\mu_q$ .

## Fermi surface!



nd the residue vanishe

Emergent quantum criticality

Whence these exponents?

Near-horizon geometry of black hole is  $AdS_2 \times \mathbb{R}^{d-1}$ . The conformal invariance of this metric is **emergent**. (We broke the microscopic conformal invariance with finite density.)



### IR CFT.

The bulk geometry is a picture of the RG flow from the  $CFT_d$  to this NRCFT.

## Analytic understanding of Fermi surface behavior: idea

T > 0:  $G_R(\omega)$  analytic near  $\omega = 0 \rightarrow$  can compute in series

**EXPANSION.** [Policastro-Son-Starinets]

$$T = 0$$
: Expanding the wave equation in  $\omega$  is delicate.

The  $\omega$ -term dominates near the horizon.

Method of matched asymptotic expansions:

Find solution (in  $\omega$ -expansion) in two regions of BH geometry (IR and UV), match their behavior in the region of overlap.

Familiar from the brane absorption calculations which led to AdS/CFT.

[Klebanov, Gubser, Maldacena, Strominger...]



Here: this 'matching' can be interpreted in the QFT as RG matching between UV and IR CFTs.

## Matching regions



Inner: 
$$\zeta \equiv \omega \frac{L_2^2}{r-1}$$
 for  $\epsilon < \zeta < \infty$   
Outer:  $\frac{\omega L_2^2}{\epsilon} < r-1$ 

( $L_2$  is the 'AdS radius' of the IR  $AdS_2$ .) and consider the limit

$$\omega \to 0, \qquad \zeta = ext{finite}, \qquad \epsilon \to 0, \qquad rac{\omega R_2^2}{\epsilon} \to 0 \;.$$

The boundary of  $AdS_2 \times \mathbb{R}^2$  ( $\zeta \to 0$ ) attaches to the near-horizon of the outer region. The  $\omega$ -dependence in relation between r - 1 and  $\zeta$  mixes the series expansions.

## Inner region (IR data)

Wave equations for charged fields in  $AdS_2$  are solvable. Near the boundary:

$$\psi \stackrel{\sigma \to 0}{\approx} \mathcal{G}\sigma^{\nu}v_{+} + \sigma^{-\nu}v_{-}$$
$$\nu_{k} \equiv R_{2}\sqrt{m^{2} + k^{2} - q^{2}/2}, \quad \delta_{k} = \frac{1}{2} + \nu_{k}$$

For a spinor in  $AdS_2$ , k is a parity-violating mass term  $\tilde{m}\bar{\psi}\Gamma\psi$ :  $\tilde{m} \equiv k\frac{L_2}{r_0}$  $\Psi(\omega, k)$  matches onto some IR CFT operator  $\mathcal{O}_k$  of dimension  $\delta_k = \frac{1}{2} + \nu_k$ , whose (retarded) two-point function is the

IR CFT Green function :  $\mathcal{G}_k(\omega) = c(k)\omega^{2\nu_k}$ 

 $c(k) \in \mathbb{C}$ , known.

## Low-frequency expansion in outer region (UV data)

Basis of solutions at  $\omega = 0$ :

$$\psi^{(0)\pm}_{lpha} \stackrel{r
ightarrow 1}{pprox} \mathsf{v}_{\pm}(\mathsf{r}-1)^{\mp 
u}$$

These two solutions match to the leading and subleading solutions in the near-horizon region.

$$\Rightarrow \psi_{\alpha} = \psi_{\alpha}^{+} + \mathcal{G}(\omega)\psi_{\alpha}^{-}.$$

$$\psi_{\alpha}^{\pm} = \psi_{\alpha}^{(0)\pm} + \omega\psi_{\alpha}^{(1)\pm} + \omega^{2}\psi_{\alpha}^{(2)\pm} + \dots, \quad \psi_{\alpha}^{(n)\pm} \stackrel{r \to \infty}{\approx} \begin{pmatrix} b_{\alpha}^{(n)\pm}r^{-m} \\ a_{\alpha}^{(n)\pm}r^{m} \end{pmatrix}.$$

$$G_{R}(\omega, k) = K \frac{b_{+}^{(0)} + \omega b_{+}^{(1)} + O(\omega^{2}) + \mathcal{G}_{k}(\omega) \left( b_{-}^{(0)} + \omega b_{-}^{(1)} + O(\omega^{2}) \right)}{a_{+}^{(0)} + \omega a_{+}^{(1)} + O(\omega^{2}) + \mathcal{G}_{k}(\omega) \left( a_{-}^{(0)} + \omega a_{-}^{(1)} + O(\omega^{2}) \right)}.$$

## Analytic understanding of Fermi surface behavior: results

$$G_{R}(\omega,k) = \frac{b_{+}^{(0)} + \omega b_{+}^{(1)} + O(\omega^{2}) + \mathcal{G}_{k}(\omega) \left( b_{-}^{(0)} + \omega b_{-}^{(1)} + O(\omega^{2}) \right)}{a_{+}^{(0)} + \omega a_{+}^{(1)} + O(\omega^{2}) + \mathcal{G}_{k}(\omega) \left( a_{-}^{(0)} + \omega a_{-}^{(1)} + O(\omega^{2}) \right)}$$

The location of the Fermi surface  $(a_{+}^{(0)}(k = k_{F}) = 0)$  is determined by short-distance physics (analogous to band structure –

a,  $b \in \mathbb{R}$  from normalizable sol'n of  $\omega = 0$  Dirac equation in full BH) but the low-frequency scaling behavior near the FS is universal (determined by near-horizon region: self-energy  $\Sigma = \text{IR CFT } \mathcal{G}$  !).  $\mathcal{G} = c(k)\omega^{2\nu}$  is the retarded  $G_R$  of the op to which  $\mathcal{O}_F$  matches. its scaling dimension is  $\nu + \frac{1}{2}$ , with (for d = 2 + 1)

$$\nu \equiv L_2 \sqrt{m^2 + k^2 - q^2/2}$$

## Inner region (IR data) in more detail c(k) $\mathcal{G}_{R}(\omega) = e^{-i\pi\nu} \frac{\Gamma(-2\nu)\Gamma(1+\nu-iqe_{d})}{\Gamma(2\nu)\Gamma(1-\nu-iqe_{d})} \cdot \frac{(m+i\tilde{m})L_{2}-iqe_{d}-\nu}{(m+i\tilde{m})L_{2}-iqe_{d}+\nu} (2\omega)^{2\nu}$ The AdS<sub>2</sub> Green's functions look like DLCQ of 1+1d CFT. Leftmoving bit depends on q, rightmoving bit depends on $\omega$ .

qv [Azeyanagi et al, Guica et al, de Boer et al]



 $T \neq 0$ : near-horizon geometry is a BH in  $AdS_2$ 

 $\omega^{2\nu}$  is the  $T \to 0$  limit of  $T^{2\nu}g(\omega/T) = (2\pi T)^{2\nu} \frac{\Gamma(\frac{1}{2} + \nu - \frac{i\omega}{2\pi T} + iqe_d)}{\Gamma(\frac{1}{2} - \nu - \frac{i\omega}{2\pi T} + iqe_d)}$ 

DLCQ of 1+1d CFT at T > 0.

## Consequences for Fermi surface



ω

0.002

Correctly fits numerics near FS:

$$\nu < \frac{1}{2}$$
: non-Fermi liquid

$$egin{aligned} G_R(\omega,k) &= rac{h_1}{k_\perp - rac{1}{
u_F}\omega - h_2 \omega^{2
u_{k_F}}} \ ext{if} \ 
u_{k_F} &< rac{1}{2}, \quad \omega_\star(k) \sim k_\perp^z, \qquad z = rac{1}{2
u_{k_F}} > 1 \end{aligned}$$



Not a stable quasiparticle.

## $\nu > \frac{1}{2}$ : Fermi liquid

Suppose  $\nu_{k_F} > \frac{1}{2}$ :  $\longrightarrow \mathcal{O}_{k_F}$  is irrelevant  $\delta_k = \frac{1}{2} + \nu_k > 1$ .

$$egin{aligned} G_R(\omega,k) &= rac{h_1}{k_\perp + rac{1}{v_F}\omega + c_k\omega^{2
u_{k_F}}} \ &\omega_\star(k) \sim v_F k_\perp \end{aligned}$$



$$\frac{\Gamma(k)}{\omega_{\star}(k)} \propto k_{\perp}^{2\nu_{k_{F}}-1} \stackrel{k_{\perp}\to 0}{\to} 0 \qquad Z \stackrel{k_{\perp}\to 0}{\to} h_{1}v_{F}.$$

A stable quasiparticle, but never Landau Fermi liquid. (different thermo, transport.)

#### summary

Depending on the dimension of the operator  $(\nu + \frac{1}{2})$  in the IR CFT, we find Fermi liquid behavior (but not Landau) or non-Fermi liquid behavior:





 $\nu = \frac{1}{2}$ : Marginal Fermi liquid

$$G_R \approx rac{h_1}{k_\perp + \tilde{c}_1 \omega \ln \omega + c_1 \omega}, \quad \tilde{c}_1 \in \mathbb{R}, \ c_1 \in \mathbb{C}$$

$$rac{\Gamma(k)}{\omega_{\star}(k)} \stackrel{k_{\perp} 
ightarrow 0}{
ightarrow} \mathrm{const}, \qquad Z \sim rac{1}{|\ln \omega_{\star}|} \stackrel{k_{\perp} 
ightarrow 0}{
ightarrow} 0.$$

A well-named phenomenological model of high- $T_c$  cuprates near optimal doping



## UV data: where are the Fermi sufaces?

Above we supposed  $a(k_F)^{(0)}_+ = 0$ . This happens at  $k_F$ : k s.t.  $\exists$  normalizable, incoming solution at  $\omega = 0$ : This black hole can acquire 'inhomogenous fermionic hair'



Schrodinger potential  $V(\tau)/k^2$  at  $\omega = 0$  for m < 0, m = 0, m > 0.  $\tau$  is the tortoise coordinate Right ( $\tau = 0$ ) is boundary; left is horizon.  $k > qe_d$ : Potential is always positive  $k < k_{osc} \equiv \sqrt{(qe_d)^2 - m^2}$ : near the horizon  $V(x) = \frac{\alpha}{\tau^2}$ , with  $\alpha < -\frac{1}{4}$  ("oscillatory region")  $k \in (qe_d, k_{osc})$ : the potential develops a potential well, indicating possible existence of a zero energy bound state. Note: *can* exist on asymp. flat BH [Hartman-Song-Strominger 0912]

## Finite temperature movies



At finite T, the pole doesn't quite hit the real axis: thermal broadening.

 $\min_k (\operatorname{Im} \omega_c) \simeq T$  (up to 1% accuracy).

Branch cut from  $\omega^{2\nu}$  approximated by a sequence of poles on neg Im axis. Like a dipole array approximates a capacitor.

## Charge transport by holographic non-Fermi liquid metals

[Tom Faulkner, Nabil Iqbal, Hong Liu, JM, David Vegh, 1003.1728 and to appear]

## Charge transport

40 300 200 Most prominent mystery of strange metal phase:  $\sigma_{\rm DC} \sim T^{-1}$ 100 10 200 400 600  $(j = \sigma E)$ e-e scattering:  $\sigma \sim T^{-2}$ , e-phonon scattering:  $\sigma \sim T^{-5}$ , **nothing**:  $\sigma \sim T^{-1}$ 

We can compute the contribution to the conductivity from the Fermi surface. [Faulkner, Igbal, Liu, JM, Vegh]

Note: this is not the dominant contribution.



600

500

400

(a)

( 0.3

## Charge transport by holographic non-Fermi liquids

slight complication: gauge field  $a_x$  mixes with metric perturbations. There's a big charge density. Pulling on it with  $\vec{E}$  leads to momentum flow.



key step:  $\operatorname{Im} D_{\alpha\beta}(\Omega, k; r_1, r_2) = \frac{\psi_{\alpha}^b(\Omega, k, r_1) \overline{\psi}_{\beta}^b(\Omega, k, r_2)}{W_{ab}} A(\Omega, k)$ bulk spectral density  $\operatorname{Im} D$ 1. ... is determined by bdy fermion spectral density,  $A(\omega, k) = \operatorname{Im} G_R(\omega, k)$ 2. ... factorizes on normalizable bulk sol'ns  $\psi^b$ 



## Charge transport by holographic non-Fermi liquids

like Fermi liquid calculation

but with extra integrals over r, and no vertex corrections.

$$\sigma_{\rm DC}^{\rm FS} = C \int_0^\infty dkk \int_{-\infty}^\infty \frac{d\omega}{2\pi} \frac{df}{d\omega} \Lambda^2(k,\omega) A^2(\omega,k)$$



scale out *T*-dependence  $\implies \sigma^{DC} \sim T^{-2\nu}$ .

## Dissipation mechanism



 $\sigma_{\rm DC} \propto {\rm Im} \langle jj \rangle$  comes from fermions falling into the horizon. dissipation of current is controlled by the decay of the fermions into the  $AdS_2$  DoFs.

 $\implies$  single-particle lifetime controls transport.

marginal Fermi liquid: 
$$\nu = \frac{1}{2} \Longrightarrow \left[ \rho_{FS} = \left( \sigma^{DC} \right)^{-1} \sim T \right].$$

The optical conductivity  $\sigma(\Omega)$  can distinguish the existence of quasiparticles  $(\nu > \frac{1}{2})$  through the presence of a transport peak.

## Stability of the groundstate

Charged bosons: In many explicit dual pairs,  $\exists$  charged scalars.

• At small T, they can condense spontaneously breaking the U(1) symmetry, changing the background [Gubser, Hartnoll-Herzog-Horowitz].

spinor:  $G_R(\omega)$  has poles only in LHP of  $\omega$  [Faulkner-Liu-JM-Vegh, 0907]

scalar:  $\exists$  poles in UHP  $\langle \mathcal{O}(t) \rangle \sim e^{i\omega_{\star}t} \propto e^{+\operatorname{Im}\omega_{\star}t} \Rightarrow$  growing modes of charged operator: holographic superconductor

[Gubser, Hartnoll-Herzog-Horowitz...]

**why:** black hole *spontaneously* emits

charged particles [Starobinsky, Unruh, Hawking]. AdS is like a box: they can't escape. Fermi:

negative energy states get filled.

Bose: the created particles then cause stimulated emission (superradiance).

A holographic superconductor is a "black hole laser".



## Stability of the groundstate, cont'd

• If their mass/charge is big enough, they don't condense.

[Denef-Hartnoll]

This is weird: a weakly-coupled charged boson

at  $\mu \neq 0$  will condense.

Finding such string vacua

is like moduli stabilization.

- Many systems to which we'd like to apply this also have a superconducting region.
- So far we are describing the state behind and above the dome.

Aside: Other light bulk modes (*e.g.* neutral scalars) can also have an important effect on the groundstate

 $[\ {\sf Fareghbal-Gowdigere-Mosaffa-Sheikh-Jabbari\ Mulligan,\ Polchinski,}$ 

Goldstein-Kachru-Prakash-Trivedi, Gubser-Rocha].





## Photoemission 'exp'ts' on holographic superconductors

So far: a model of some features of the normal state. In SC state: a sharp peak forms in  $A(k, \omega)$ .

With a suitable coupling between  $\psi$  and  $\varphi$ , the superconducting condensate opens a gap in the fermion spectrum.

[Faulkner, Horowitz, JM, Roberts, Vegh] if  $q_arphi=2q_\psi$  we can have

 $\mathcal{L}_{\text{bulk}} \ni \eta_5 \varphi \bar{\psi} \mathcal{C} \Gamma^5 \bar{\psi}^{\,\mathcal{T}} + \text{h.c}$ 

The (gapped) quasiparticles are exactly stable in a certain kinematical regime (outside the lightcone of the IR CFT) – the condensate lifts the IR CFT modes into which they decay.



## Charged AdS black holes and frustration

Entropy density of black hole:

$$s(T=0) = rac{1}{V_{d-1}} rac{A}{4G_N} = 2\pi e_d 
ho.$$
  $(e_d \equiv rac{g_F}{\sqrt{2d(d-1)}})$ 

This is a large low-energy density of states! not supersymmetric ... lifted at finite *N* pessimism:  $S(T = 0) \neq 0$  violates third law of thermodynamics, unphysical, weird string-theorist nonsense.

#### optimism:

we're describing the state where the SC instability is removed by hand (here: don't include charged scalars, expt: large  $\vec{B}$ ). Can we get this behavior w/o the large low-E density of states? Presumably: Small-freq behavior depended on existence of IR CFT, not large  $c \propto s(T = 0)$  of IR CFT.

[Hartnoll-Polchinski-Silverstein-Tong, 0912.]: bulk density of fermions modifies extreme near-horizon region (out to  $\delta r \sim e^{-N^2}$ ), removes residual entropy.

## A universal instability of the groundstate

When we find a Fermi surface, there is a finite density of fermions in the bulk.  $(\exists negative energy states which must be filled.)$ These bulk formions interact weakly via the metric and gauge field.

These bulk fermions interact weakly via the metric and gauge field.

Coulomb force is naively always stronger [weak gravity conjecture] but can be screened.

This leaves gravity, universally attractive.

 $\longrightarrow$  BCS pairing with an energy scale

$$T_c \sim \varepsilon_F^{\text{bulk}} e^{-rac{1}{
u(0)V}} \sim \mu e^{-N^2}$$

 $\nu(0) = \text{DoS}$  at the bulk Fermi surface,

 $V \sim N^{-2} \equiv G_N$  in units of the AdS radius

 $T_c \sim$  scale of the splitting between the degenerate groundstates over which the RN black hole averages.

Recently, this has been studied in detail [Hartmann-Hartnoll].

They find that the pairing does not happen for the NFL case  $\nu < \frac{1}{2}$ .

## framework for strange metal



a similar picture has been advocated by [Varma et al]

### comparison

• a Fermi surface coupled to a critical boson field

$$L = \bar{\psi} (\omega - v_F k) \psi + \bar{\psi} \psi a + L(a)$$

small-angle scattering dominates.

• a Fermi surface mixing with a bath of critical fermionic fluctuations with large dynamical exponent [TF-HL-JM-DV 0907.2694,

Faulkner-Polchinski 1001...]

$$L = \bar{\psi} (\omega - v_F k) \psi + \bar{\psi} \chi + \psi \bar{\chi} + \bar{\chi} \mathcal{G}^{-1} \chi$$

 $\chi: \ \mathsf{IR} \ \mathsf{CFT} \ \mathsf{operator}$ 



 $\nu \leq \frac{1}{2}$ : the  $\overline{\psi} \chi$  coupling is a relevant perturbation.

## Concluding remarks

- 1. The green's function near the FS is of the form ('local quantum criticality', analytic in k.) found previously in perturbative calculations, but the nonanalyticity can be order one.
- 2. This is an *input* of many studies (dynamical mean field theory)
- 3. The knowledge of quantum statistics displayed by the classical wave equations is remarkable and necessary for AdS/CFT to be consistent with basic facts about many-body physics.
- 4. [Denef-Hartnoll-Sachdev] The leading  $N^{-1}$  contribution to the free energy exhibits quantum oscillations in a magnetic field.
- 5. Main challenge: step away from large N. So far:
  - Fermi surface is a small part of a big system.
  - Fermi surface does not back-react on IR CFT.
  - IR CFT has  $z = \infty$ .

## The end.

Thanks for listening.

## Where are the Fermi sufaces?





## Fermion poles always in LHP!



Figure: A geometric argument that poles of the fermion Green function always appear in the lower-half  $\omega$ -plane: Depicted here is the  $\omega^{2\nu}$ covering space on which the Green function is single-valued. The shaded region is the image of the upper-half  $\omega$ -plane of the physical sheet.

## fermi velocity

Think of  $\omega = 0$  Dirac eqn as Schrödinger problem. Like Feynman-Hellmann theorem:  $\partial_k \langle H \rangle = \langle \partial_k H \rangle$ we can derive a formula for  $v_F$  in terms of expectation values in the bound-state wavefunction  $\Phi^+_{(0)}$ . Let:

$$\langle \mathcal{O} \rangle \equiv \int_{r_{\star}}^{\infty} dr \sqrt{g_{rr}} \mathcal{O} \; ,$$

$$J^{\mu} \equiv \bar{\Phi}^{+}_{(0)} \; \partial_{k_{\mu}} D_{0,k_{F}} \Phi^{+}_{(0)} = \bar{\Phi}^{+}_{(0)} \Gamma^{\mu} \Phi^{+}_{(0)}$$

is the bulk particle-number current.

$$v_{F} = \frac{\langle J^{1} \rangle}{\langle J^{0} \rangle} = \frac{\int dr \sqrt{g_{rr}g^{ii}} \left(|y|^{2} - |z|^{2}\right)}{\int dr \sqrt{g_{rr}(-g^{tt})} \left(|y|^{2} + |z|^{2}\right)}.$$
$$\Phi = \begin{pmatrix} y \\ z \end{pmatrix}$$

Note:  $\frac{g^{ii}}{-g^{tt}} = f(r) \leq 1$  implies that  $v_F \leq c$ .

## fermi velocity



Figure: The Fermi velocity of the primary Fermi surface of various components as a function of  $2\nu > 1$  for various values of *m*.

## An explanation for the particle-hole symmetry



Figure: Left: Motion of poles in the  $\nu < \frac{1}{2}$  regime. As k varies towards  $k_F$ , the pole moves in a straight line (hence  $\Gamma \sim \omega_c$ ), and hits the branch point at the origin at  $k = k_F$ . After that, depending on  $\gamma(k_F)$ , it may move to another Riemann sheet of the  $\omega$ -plane, as depicted here. In that case, no resonance will be visible in the spectral weight for  $k > k_F$ . Right: Motion of poles in the  $\nu > \frac{1}{2}$  regime, which is more like a Fermi liquid in that the dispersion is linear in  $k_{\perp}$ ; the lifetime is still never of the Landau form.

Note: the location of the branch cut is determined by physics:

at T > 0, it is resolved to a line of poles.

## Oscillatory region

Above we assumed 
$$u = R_2 \sqrt{m^2 + k^2 - (qe_d)^2} \in \mathbb{R}$$

 $\nu = i\lambda \quad \Leftrightarrow \text{Oscillatory region.}$ 

This is when particle production occurs in  $AdS_2$ . [Pioline-Troost] Effective mass below BF bound in  $AdS_2$ . [Hartnoll-Herzog-Horowitz]  $\operatorname{Re} \omega^{i2\lambda} = \sin 2\lambda \log \omega \implies$  periodic in  $\log \omega$  with period  $\frac{\pi}{|\nu|}$ . comments about boson case:

Net flux into the outer region > 0 = superradiance of AdS RN black hole (rotating brane solution in 10d)

Classical equations know quantum statistics!

like: statistics functions in greybody factors

Required for consistency of AdS/CFT!

boson: particles emitted from near-horizon region, bounce off  $AdS_{d+1}$  boundary and return, causing further stimulated emission. spinor: there is particle production in  $AdS_2$  region, but net flux into the outer region is negative ('no superradiance for spinors').

## oscillatory region and log-periodicity

When  $\nu(k)$  is imaginary,  $\mathcal{G} \sim \omega^{\nu}$  is periodic in log  $\omega$ .



Figure: Both  $\operatorname{Re} G_{22}(\omega, k = 0.5)$  (blue curve) and  $\operatorname{Im} G_{22}(\omega, k = 0.5)$  (orange) are periodic in  $\log \omega$  as  $\omega \to 0$ .



Figure: The motion of poles of the Green functions of spinors (left) and scalars (right) in the complex frequency plane. Both plots are for parameter values in the oscillatory region (q = 1, m = 0). In order to give a better global picture, the coordinate used on the complex frequency plane is  $s = |\omega|^{\frac{1}{20}} \exp(i \arg(\omega))$ . The dotted line intersects the locations of the poles at  $k = k_0 = ...$ , and its angle with respect to the real axis is determined by  $\mathcal{G}(k, \omega)$ . The dashed lines in the left figure indicate the motion of poles on another sheet of the complex frequency plane at smaller values of  $k < k_0$ . As k approaches the boundary of the oscillatory region, most of the poles join the branch cut. It seems that one pole that becomes the Fermi surface actually manages to stay in place. These plots are only to be trusted near  $\omega = 0$ .



Information from WKB. At large q, m, the primary Fermi momentum is given by the WKB quantization formula:  $k_F \int_{s_-}^{s^+} ds \sqrt{V(s; \alpha, \beta)} = \pi$ , where  $\alpha \equiv \frac{q}{k}, \beta \equiv \frac{m}{k}, s$  is the tortoise coordinate, and  $s_{\pm}$  are turning points surrounding the classically-allowed region. For  $k < q/\sqrt{3}$ , the potential is everywhere positive, and hence there is no zero-energy boundstate. This line intersects the boundary of the oscillatory region at  $k^2 + m^2 = q^2/2$  at the point  $P = (\alpha, \beta) = (\sqrt{6}, \sqrt{2})$ . Hence, only in the shaded (blue) region is there a Fermi surface. The exponent  $\nu(k_F)$  is then given by  $\nu(k_F) = \frac{\pi \sqrt{1+\beta^2-\alpha^2/2}}{\int ds \sqrt{V(s;\alpha,\beta)}}$ . This becomes ill-defined at the point P, and interpolates between  $\nu = 0$  at the boundary of the oscillatory region, and  $\nu = \infty$  at  $k = q/\sqrt{3}$ .