



The Abdus Salam  
International Centre for Theoretical Physics



2139-8

**School on Synchrotron and Free-Electron-Laser Sources and their  
Multidisciplinary Applications**

*26 April - 7 May, 2010*

**Interaction of X-Rays with Matter:  
Coherence and Time Structure**

D. Attwood

*University of California  
Berkeley*



# Interaction of X-Rays with Matter: Coherence and Time Structure

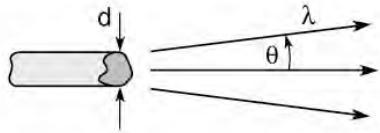
David Attwood

University of California, Berkeley



# Coherence at short wavelengths

## Chapter 8



$$l_{coh} = \lambda^2 / 2\Delta\lambda \quad \{ \text{temporal (longitudinal) coherence} \}$$

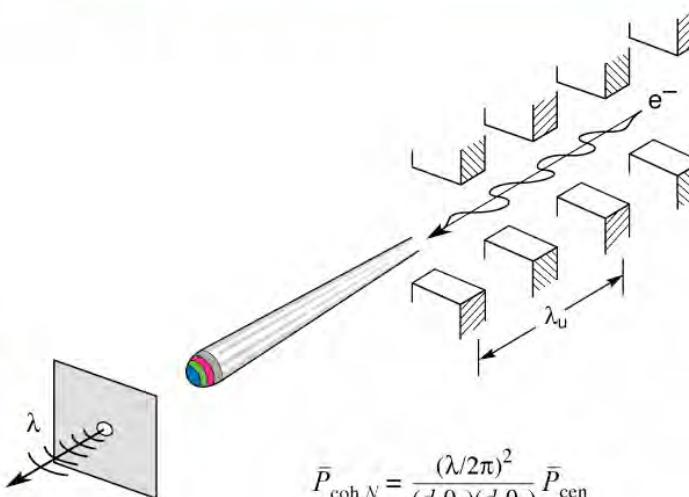
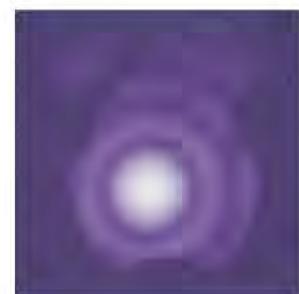
(8.3)

$$d \cdot \theta = \lambda / 2\pi \quad \{ \text{spatial (transverse) coherence} \}$$

(8.5)

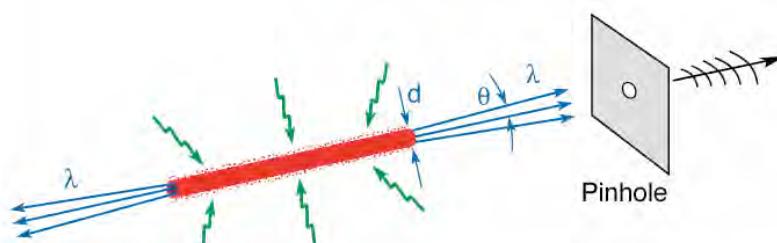
$$\text{or } d \cdot 2\theta|_{FWHM} = 0.44 \lambda$$

(8.5\*)



$$\bar{P}_{coh,N} = \frac{(\lambda/2\pi)^2}{(d_x\theta_x)(d_y\theta_y)} \bar{P}_{cen}$$

$$\bar{P}_{coh,\lambda/\Delta\lambda} = \frac{e\lambda_u I \eta (\Delta\lambda/\lambda) N^2}{8\pi\epsilon_0 d_x d_y} \cdot \left[ 1 - \frac{\hbar\omega}{\hbar\omega_0} \right] f(K)$$

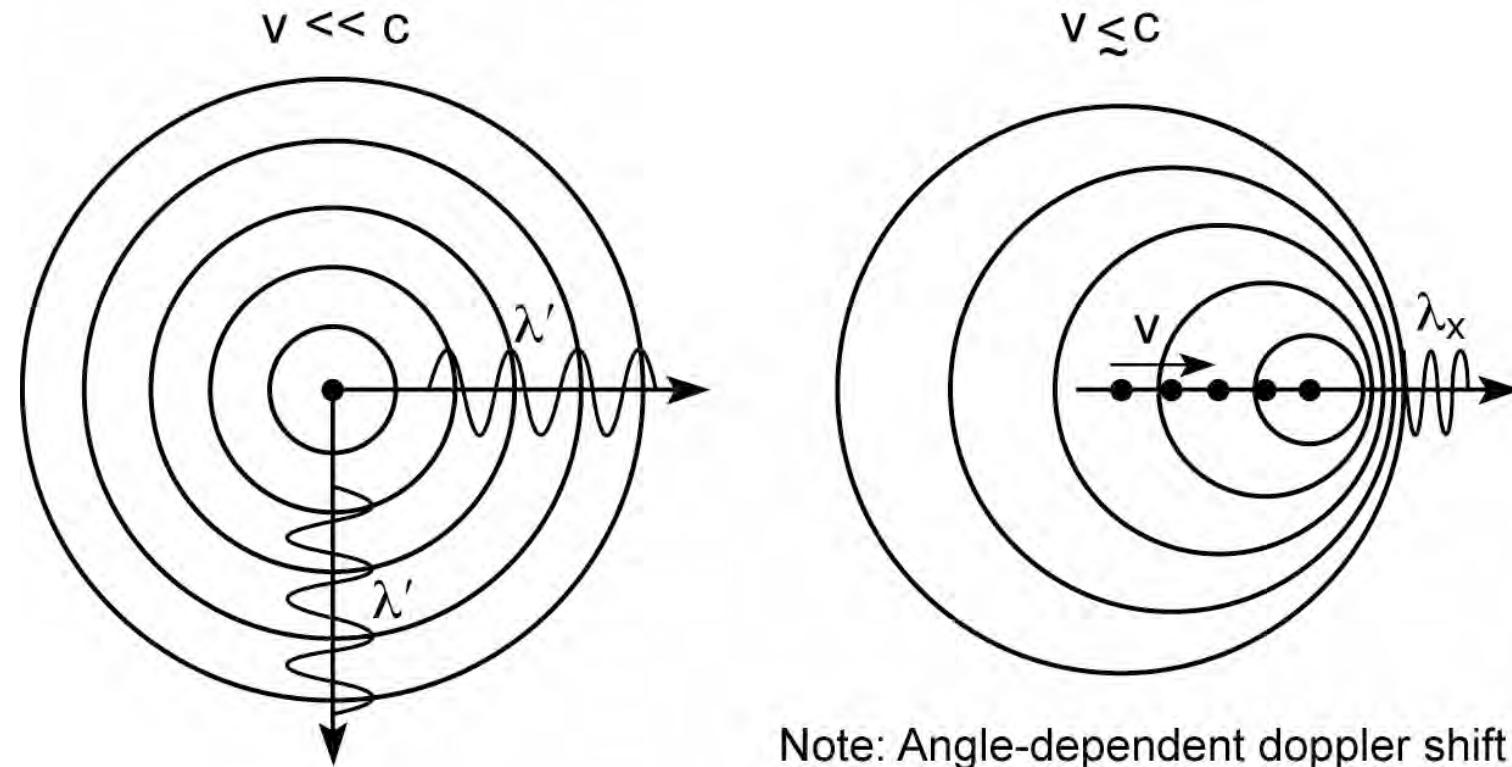


$$P_{coh} = \frac{(\lambda/2\pi)^2}{(d_x\theta_x)(d_y\theta_y)} P_{laser}$$

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# Synchrotron radiation from relativistic electrons



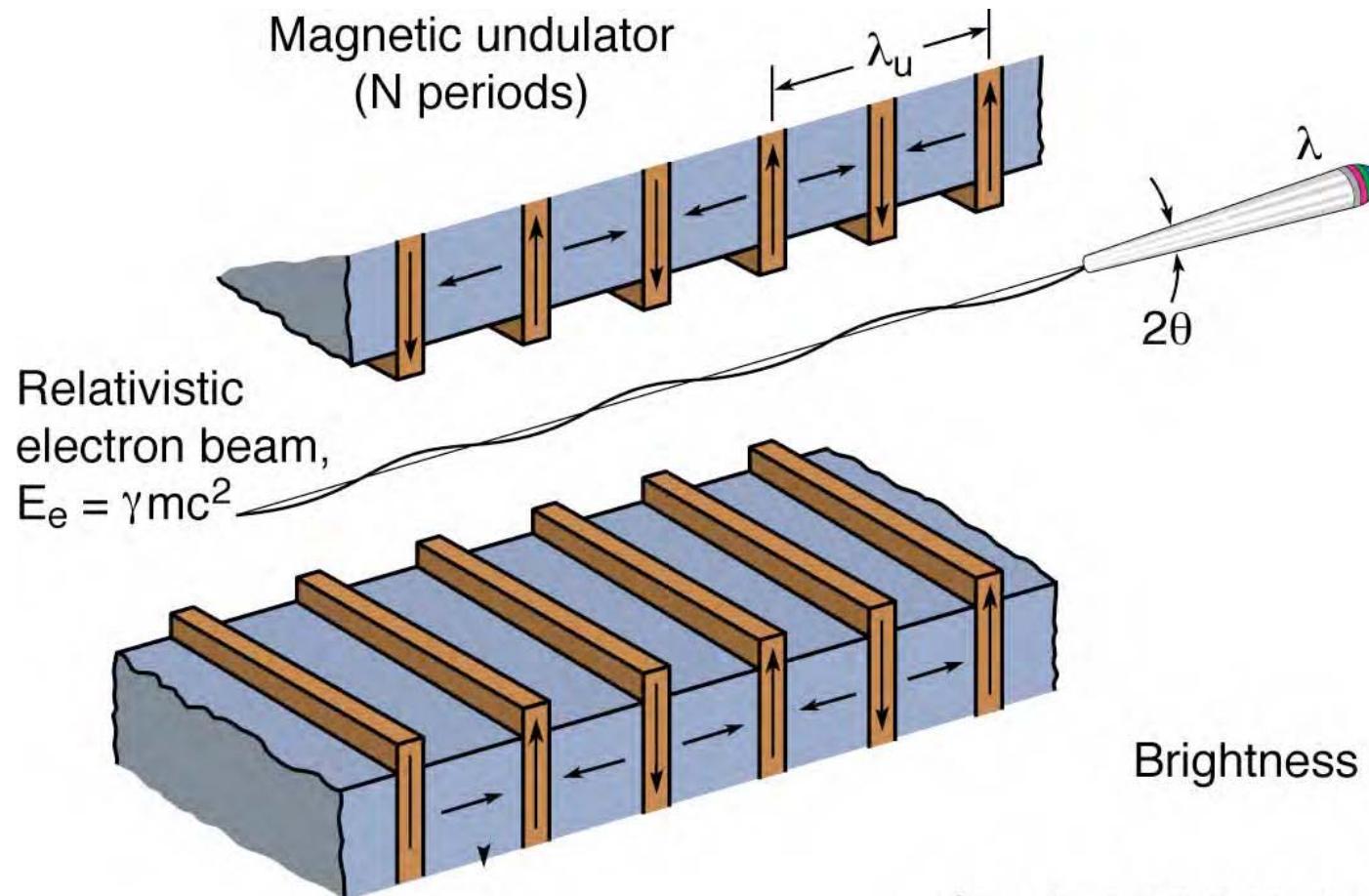
$$\lambda = \lambda' (1 - \frac{v}{c} \cos\theta) \quad \lambda = \lambda' \gamma (1 - \frac{v}{c} \cos\theta)$$

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

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3

# Undulator radiation from a small electron beam radiating into a narrow forward cone, is very bright



$$\lambda \approx \frac{\lambda_u}{2\gamma^2}$$

$$\theta_{cen} \approx \frac{1}{\gamma \sqrt{N}}$$

$$\left(\frac{\Delta\lambda}{\lambda}\right)_{cen} = \frac{1}{N}$$

$$\text{Brightness} = \frac{\text{photon flux}}{(\Delta A) (\Delta \Omega)}$$

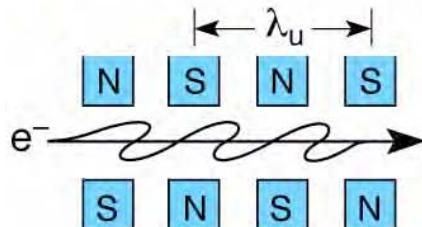
$$\text{Spectral Brightness} = \frac{\text{photon flux}}{(\Delta A) (\Delta \Omega) (\Delta \lambda / \lambda)}$$

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# Undulator radiation



## Laboratory Frame of Reference

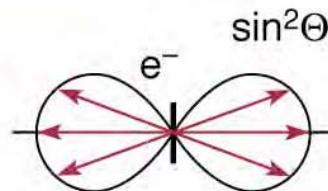


$$E = \gamma mc^2$$

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$N$  = # periods

## Frame of Moving $e^-$



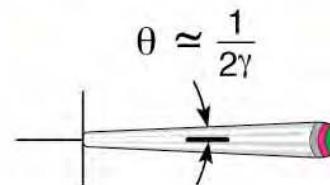
$e^-$  radiates at the Lorentz contracted wavelength:

$$\lambda' = \frac{\lambda_u}{\gamma}$$

Bandwidth:

$$\frac{\lambda'}{\Delta\lambda'} \simeq N$$

## Frame of Observer



Doppler shortened wavelength on axis:

$$\lambda = \lambda' \gamma (1 - \beta \cos\theta)$$

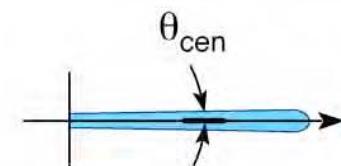
$$\lambda = \frac{\lambda_u}{2\gamma^2} (1 + \gamma^2 \theta^2)$$

Accounting for transverse motion due to the periodic magnetic field:

$$\lambda = \frac{\lambda_u}{2\gamma^2} \left( 1 + \frac{K^2}{2} + \gamma^2 \theta^2 \right)$$

where  $K = eB_0\lambda_u / 2\pi mc$

## Following Monochromator



$$\text{For } \frac{\Delta\lambda}{\lambda} \simeq \frac{1}{N}$$

$$\theta_{\text{cen}} \simeq \frac{1}{\gamma \sqrt{N}}$$

typically

$$\theta_{\text{cen}} \simeq 40 \text{ rad}$$

## Determining the power radiated: the equation of motion of an electron in an undulator

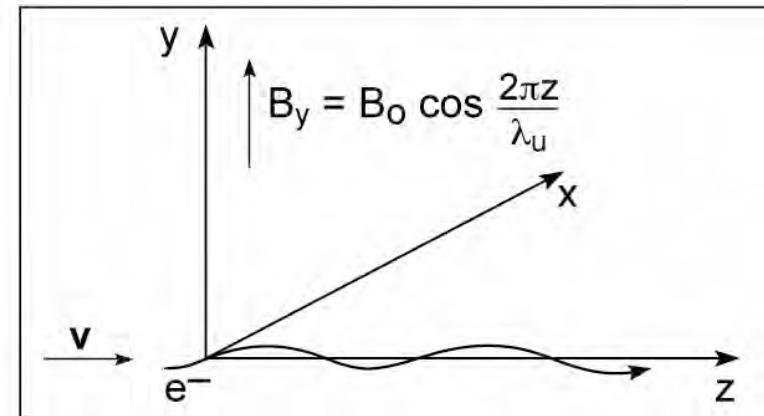


Magnetic fields in the periodic undulator cause the electrons to oscillate and thus radiate. These magnetic fields also slow the electrons axial (z) velocity somewhat, reducing both the Lorentz contraction and the Doppler shift, so that the observed radiation wavelength is not quite so short. The force equation for an electron is

$$\frac{d\mathbf{p}}{dt} = -e(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad (5.16)$$

where  $\mathbf{p} = \gamma m \mathbf{v}$  is the momentum. The radiated fields are relatively weak so that

$$\frac{d\mathbf{p}}{dt} \simeq -e(\mathbf{v} \times \mathbf{B})$$



Taking to first order  $v \simeq v_z$ , motion in the x-direction is

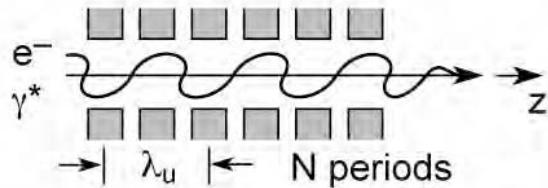
$$m\gamma \frac{dv_x}{dt} = +ev_z B_y$$
$$v_x = \frac{Kc}{\gamma} \sin\left(\frac{2\pi z}{\lambda_u}\right) \quad (5.19)$$

$$K \equiv \frac{eB_0\lambda_u}{2\pi mc} = 0.9337 B_0(T)\lambda_u(\text{cm}) \quad (5.18)$$

# Calculating power in the central radiation cone: using the well known “dipole radiation” formula by transforming to the frame of reference moving with the electrons



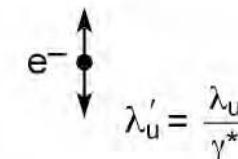
$x, z, t$  laboratory frame of reference



$$\frac{d\mathbf{p}}{dt} = -e (\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

Lorentz transformation

$x', z', t'$  frame of reference moving with the average velocity of the electron

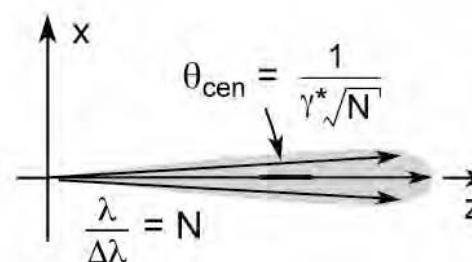


$$\lambda'_u = \frac{\lambda_u}{\gamma^*}$$

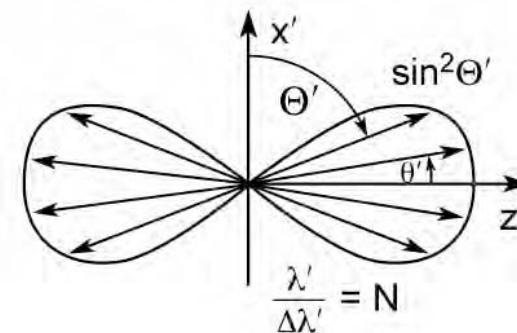
$x', z', t'$  motion  
 $a'(t')$  acceleration

Dipole radiation:

$$\frac{dP'}{d\Omega'} = \frac{e^2 a'^2 \sin^2 \Theta'}{16\pi^2 \epsilon_0 c^3}$$



Lorentz transformation



$$\bar{P}_{cen} = \frac{\pi e \gamma^2 I}{\epsilon_0 \lambda_u} \frac{K^2}{(1 + K^2/2)^2}$$

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## Undulator radiated power in the central cone

$$\lambda_x = \frac{\lambda_u}{2\gamma^2} \left(1 + \frac{K^2}{2} + \gamma^2 \theta^2\right)$$

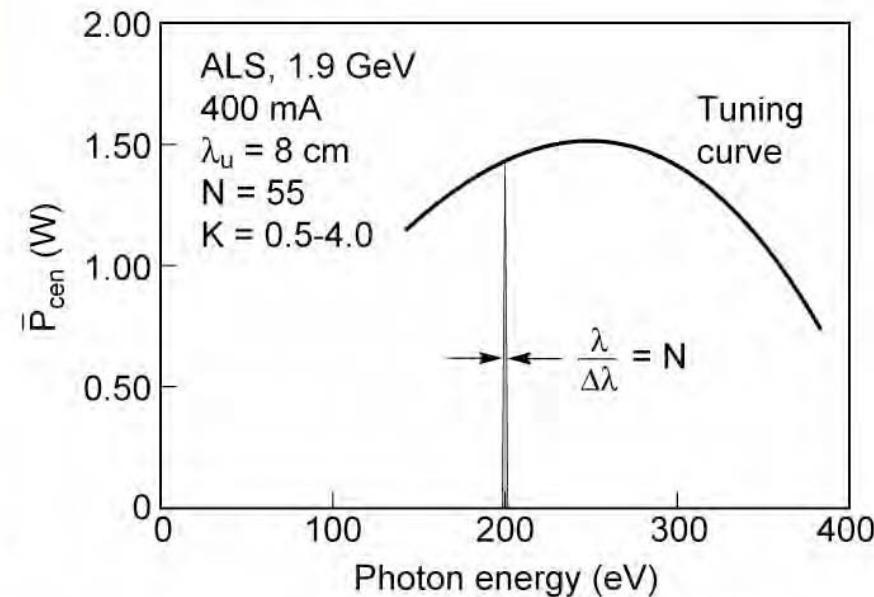
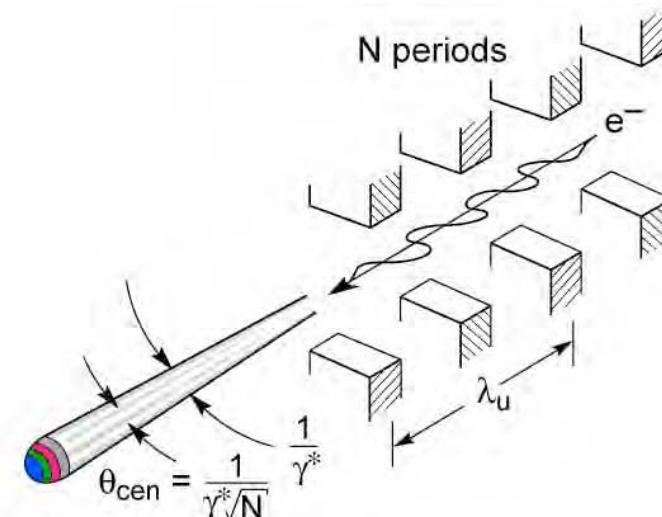
$$\bar{P}_{cen} = \frac{\pi e \gamma^2 I}{\epsilon_0 \lambda_u} \frac{K^2}{(1 + \frac{K^2}{2})^2} f(K)$$

$$\theta_{cen} = \frac{1}{\gamma^* \sqrt{N}}$$

$$\left(\frac{\Delta\lambda}{\lambda}\right)_{cen} = \frac{1}{N}$$

$$K = \frac{eB_0\lambda_u}{2\pi m_0 c}$$

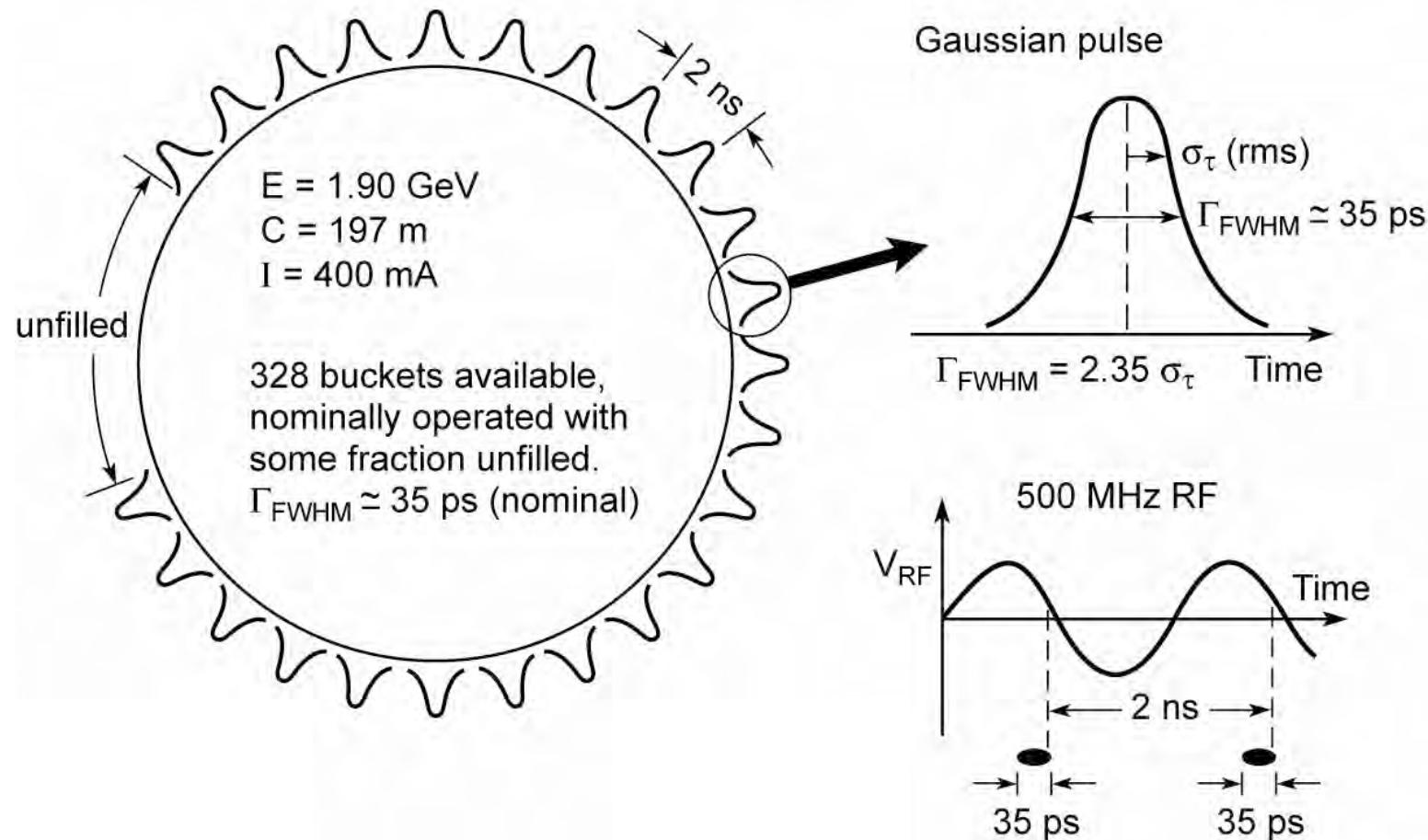
$$\gamma^* = \gamma / \sqrt{1 + \frac{K^2}{2}}$$





## Time structure of synchrotron radiation

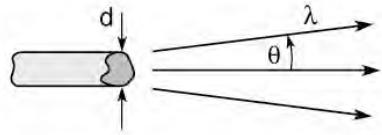
The axial electric field within the RF cavity, used to replenish lost (radiated) energy, forms a potential well “bucket” system that forces electrons into axial electron “bunches”. This leads to a time structure in the emitted radiation.





# Coherence at short wavelengths

## Chapter 8



$$l_{coh} = \lambda^2 / 2\Delta\lambda \quad \{ \text{temporal (longitudinal) coherence} \}$$

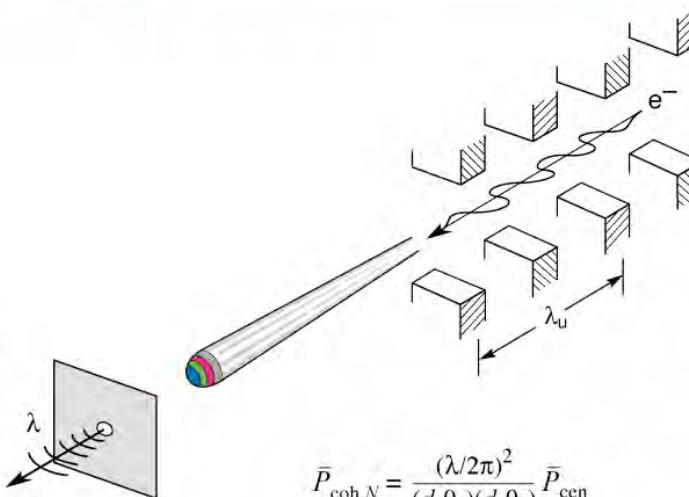
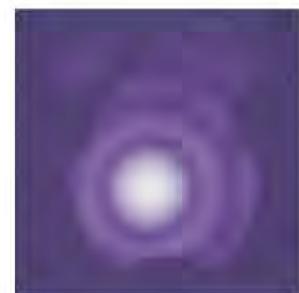
(8.3)

$$d \cdot \theta = \lambda / 2\pi \quad \{ \text{spatial (transverse) coherence} \}$$

(8.5)

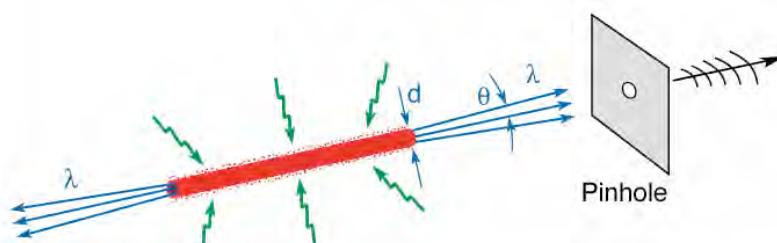
$$\text{or } d \cdot 2\theta|_{FWHM} = 0.44 \lambda$$

(8.5\*)



$$\bar{P}_{coh,N} = \frac{(\lambda/2\pi)^2}{(d_x\theta_x)(d_y\theta_y)} \bar{P}_{cen}$$

$$\bar{P}_{coh,\lambda/\Delta\lambda} = \frac{e\lambda_u I \eta (\Delta\lambda/\lambda) N^2}{8\pi\epsilon_0 d_x d_y} \cdot \left[ 1 - \frac{\hbar\omega}{\hbar\omega_0} \right] f(K)$$



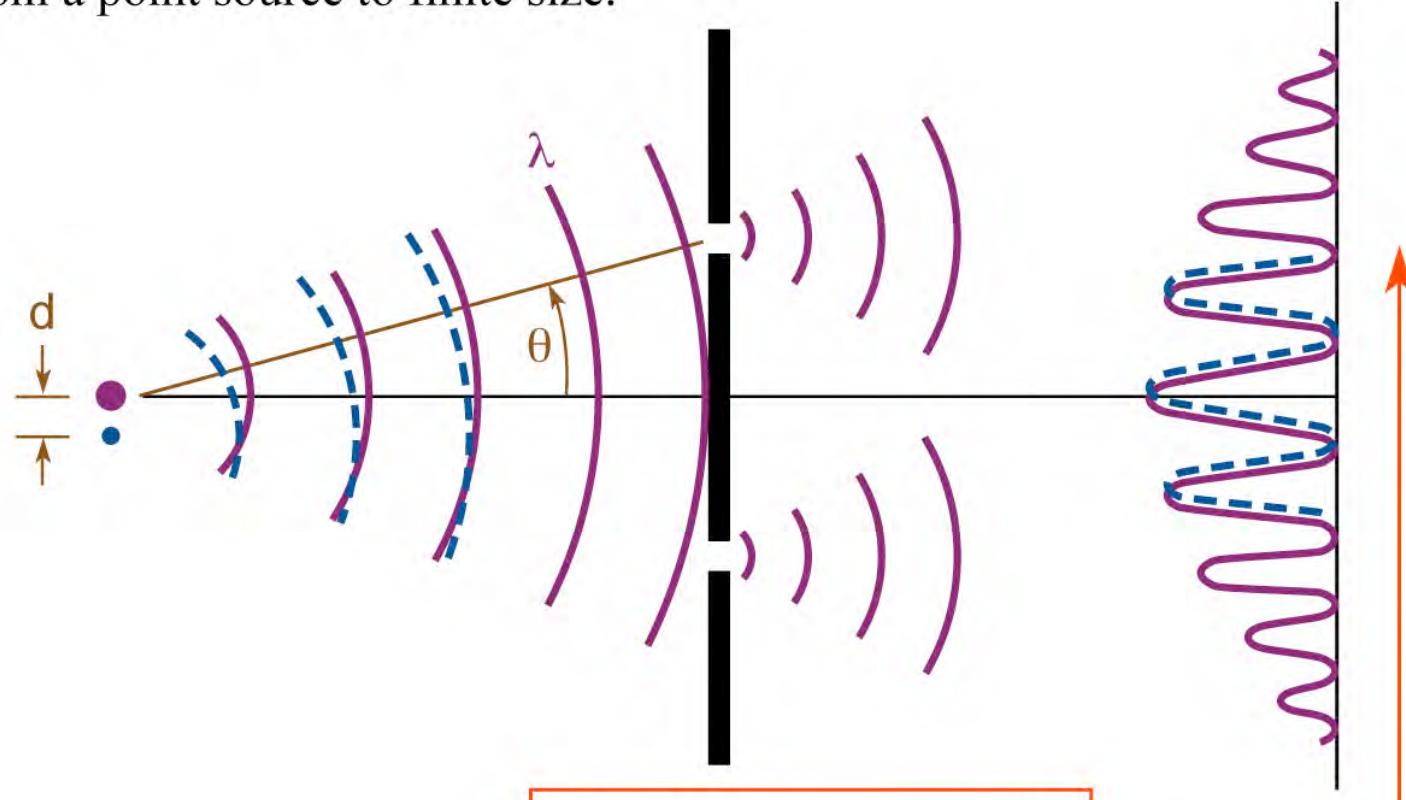
$$P_{coh} = \frac{(\lambda/2\pi)^2}{(d_x\theta_x)(d_y\theta_y)} P_{laser}$$

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# Young's double slit experiment: spatial coherence and the persistence of fringes



Persistence of fringes as the source grows from a point source to finite size.



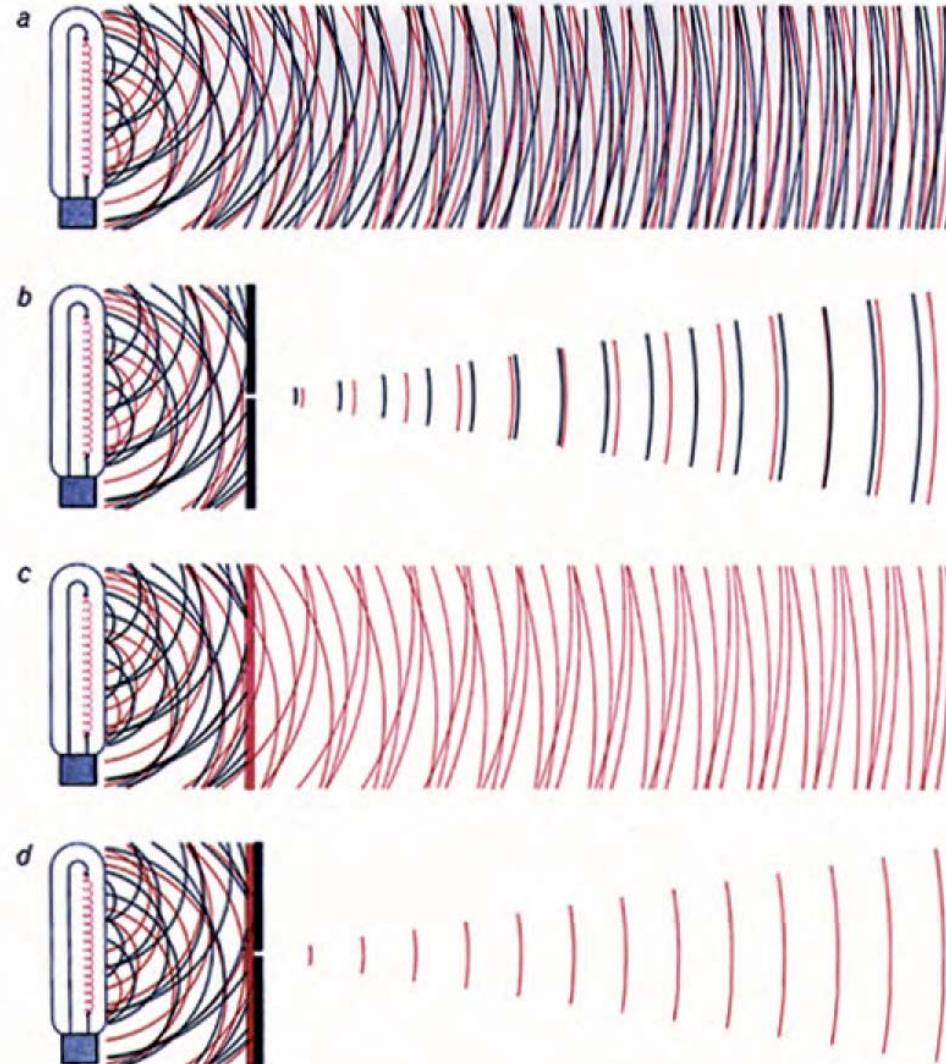
$$d \cdot 2\theta|_{\text{FWHM}} \simeq \lambda/2$$

$$\lambda_{\text{coh}} = \lambda^2 / 2\Delta\lambda = \frac{1}{2} N_{\text{coh}} \lambda$$

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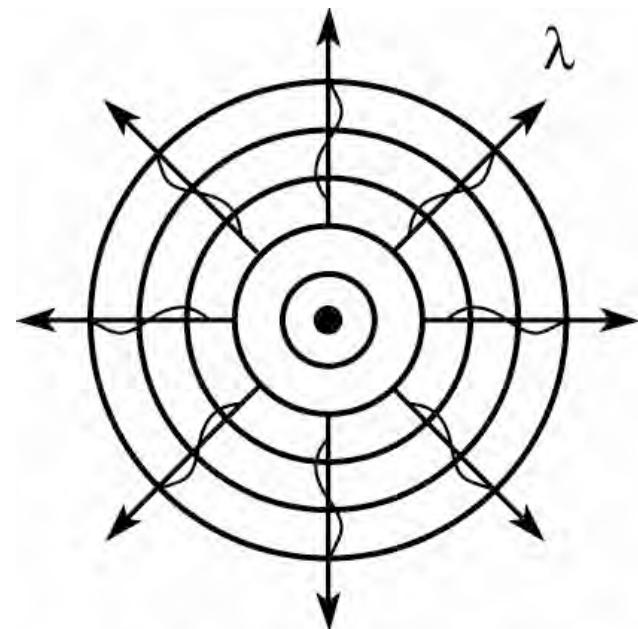
## Spatial and spectral filtering to produce coherent radiation



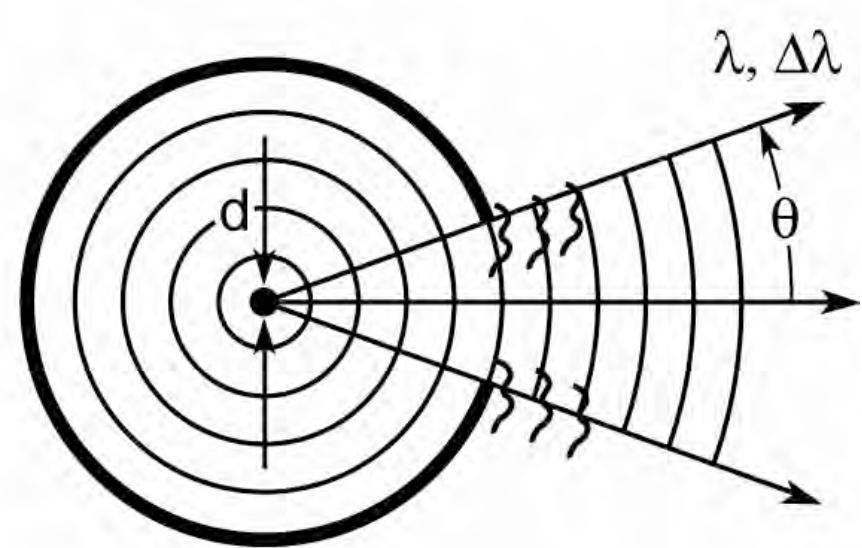
Courtesy of A. Schawlow, Stanford.

Ch08\_F08.ai

# Coherence, partial coherence and incoherence



Point source oscillator  
 $-\infty < t < \infty$



Source of finite size,  
divergence, and duration

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# Spatial and temporal coherence

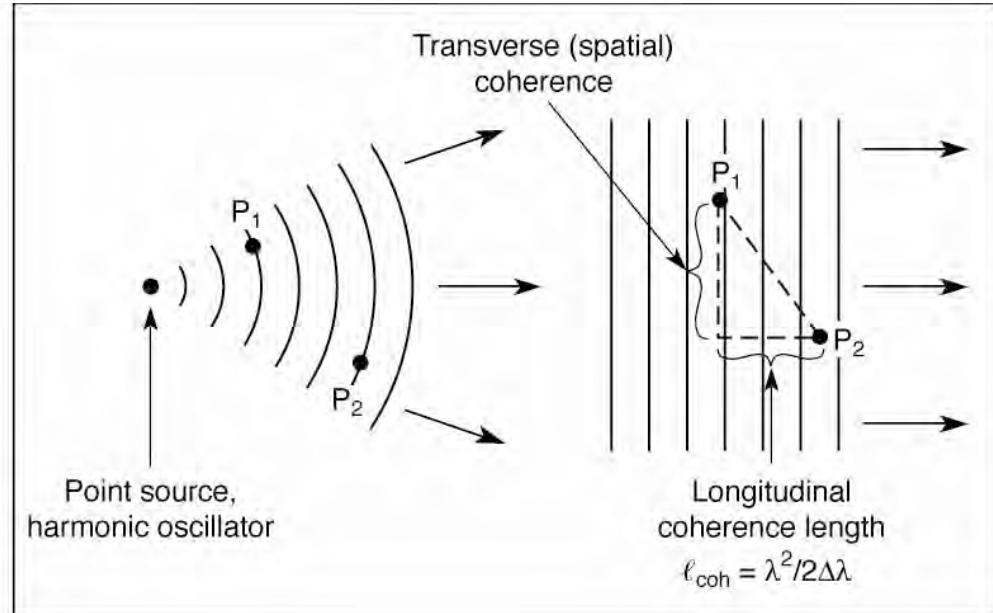
Mutual coherence factor

$$\Gamma_{12}(\tau) \equiv \langle E_1(t + \tau) E_2^*(t) \rangle \quad (8.1)$$

Normalize degree of spatial coherence  
(complex coherence factor)

$$\mu_{12} = \frac{\langle E_1(t) E_2^*(t) \rangle}{\sqrt{\langle |E_1|^2 \rangle} \sqrt{\langle |E_2|^2 \rangle}} \quad (8.12)$$

A high degree of coherence ( $\mu \rightarrow 1$ ) implies an ability to form a high contrast interference (fringe) pattern. A low degree of coherence ( $\mu \rightarrow 0$ ) implies an absence of interference, except with great care. In general radiation is partially coherent.



Longitudinal (temporal) coherence length

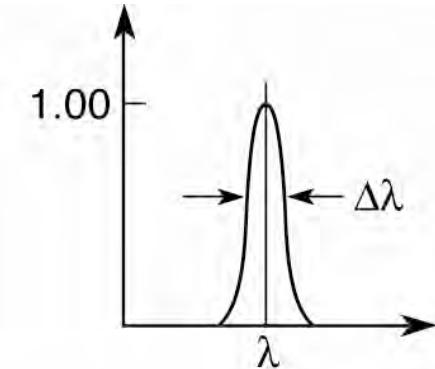
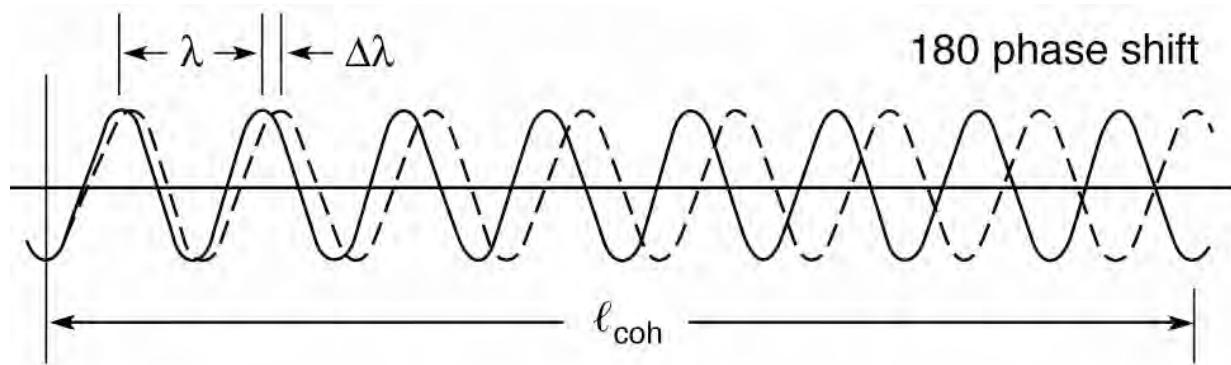
$$\ell_{coh} = \frac{\lambda^2}{2 \Delta\lambda} \quad (8.3)$$

Full spatial (transverse) coherence

$$d \cdot \theta = \lambda / 2\pi \quad (8.5)$$

Ch08\_Eq1\_12\_F2.ai

# Spectral bandwidth and longitudinal coherence length



Define a coherence length  $\ell_{\text{coh}}$  as the distance of propagation over which radiation of spectral width  $\Delta\lambda$  becomes  $180^\circ$  out of phase. For a wavelength  $\lambda$  propagating through  $N$  cycles

$$\ell_{\text{coh}} = N\lambda$$

and for a wavelength  $\lambda + \Delta\lambda$ , a half cycle less  $(N - \frac{1}{2})$

$$\ell_{\text{coh}} = \left(N - \frac{1}{2}\right)(\lambda + \Delta\lambda)$$

Equating the two

$$N = \lambda/2\Delta\lambda$$

so that

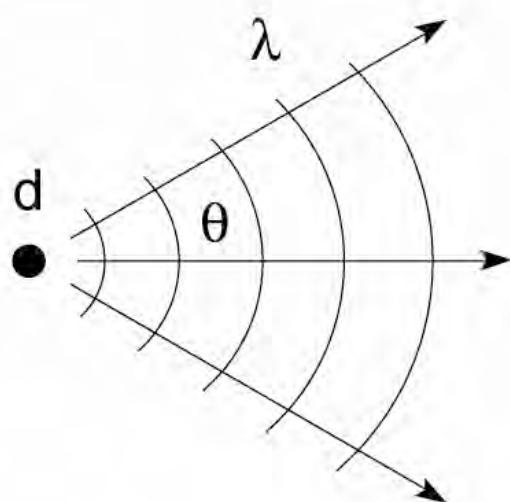
$$\boxed{\ell_{\text{coh}} = \frac{\lambda^2}{2 \Delta\lambda}} \quad (8.3)$$

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## A practical interpretation of spatial coherence

- Associate spatial coherence with a spherical wavefront.
- A spherical wavefront implies a point source.
- How small is a “point source”?



**From Heisenberg's Uncertainty Principle ( $\Delta x \cdot \Delta p \geq \frac{\hbar}{2}$ ), the smallest source size “d” you can resolve, with wavelength  $\lambda$  and half angle  $\theta$ , is**

$$d \cdot \theta = \frac{\lambda}{2\pi}$$

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# Partially coherent radiation approaches uncertainty principle limits

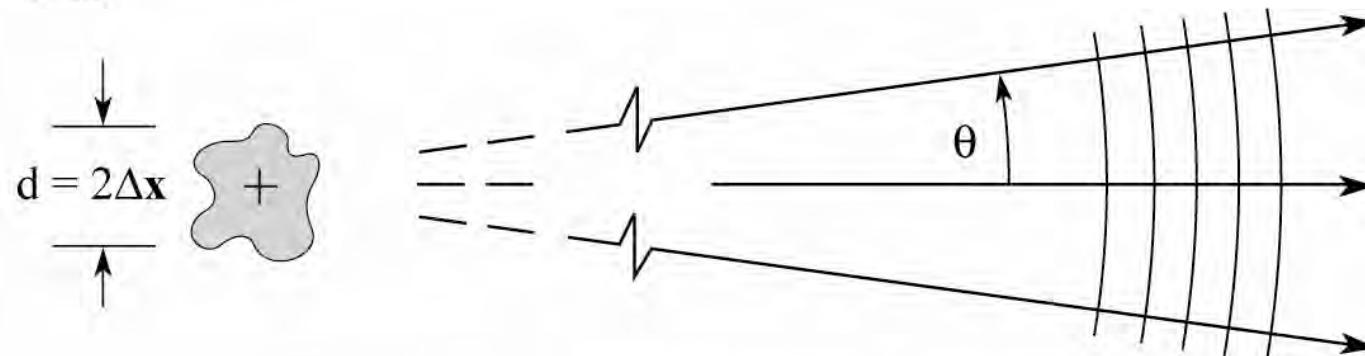


$$\Delta \mathbf{x} \cdot \Delta \mathbf{p} \geq \hbar/2 \quad (8.4)$$

$$\Delta \mathbf{x} \cdot \hbar \Delta \mathbf{k} \geq \hbar/2$$

$$\Delta \mathbf{x} \cdot \mathbf{k} \Delta \theta \geq 1/2$$

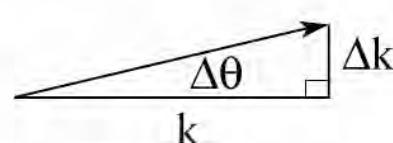
$$2\Delta \mathbf{x} \cdot \Delta \theta \geq \lambda/2\pi$$



Note:

$$\Delta \mathbf{p} = \hbar \Delta \mathbf{k}$$

$$\Delta \mathbf{k} = \mathbf{k} \Delta \theta$$



Spherical wavefronts occur in the limiting case

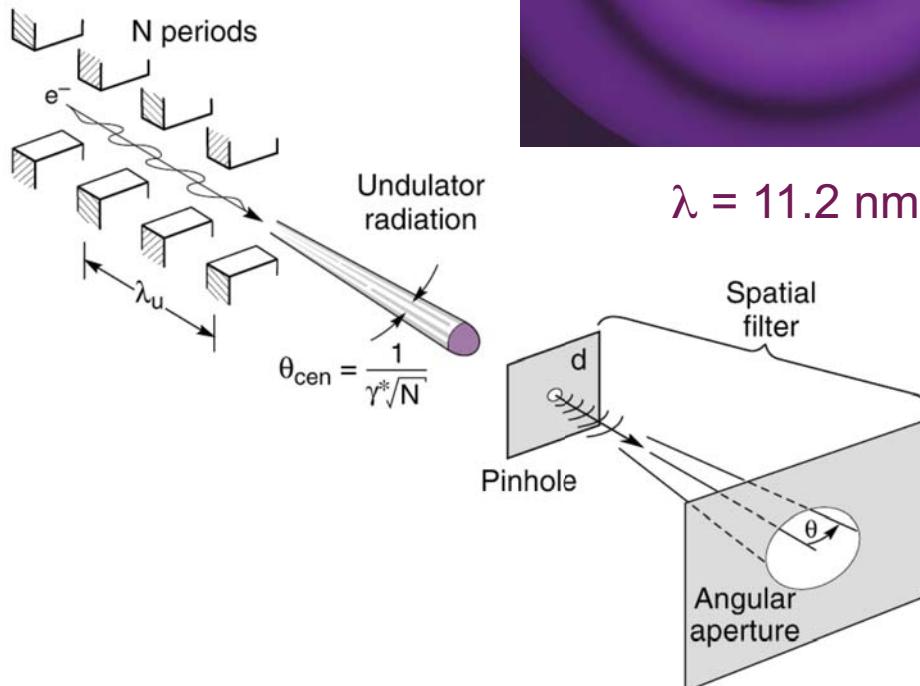
$$\boxed{d \cdot \theta = \lambda/2\pi} \quad \left. \begin{array}{l} \frac{1}{\sqrt{e}} \\ \text{(spatially coherent)} \end{array} \right\} \text{quantities}$$

or

$$(d \cdot 2\theta)_{\text{FWHM}} \simeq \lambda/2 \quad \left. \begin{array}{l} \text{FWHM} \end{array} \right\} \text{quantities}$$



# Spatially coherent x-rays: spatially filtered undulator radiation



$\lambda = 11.2 \text{ nm}$

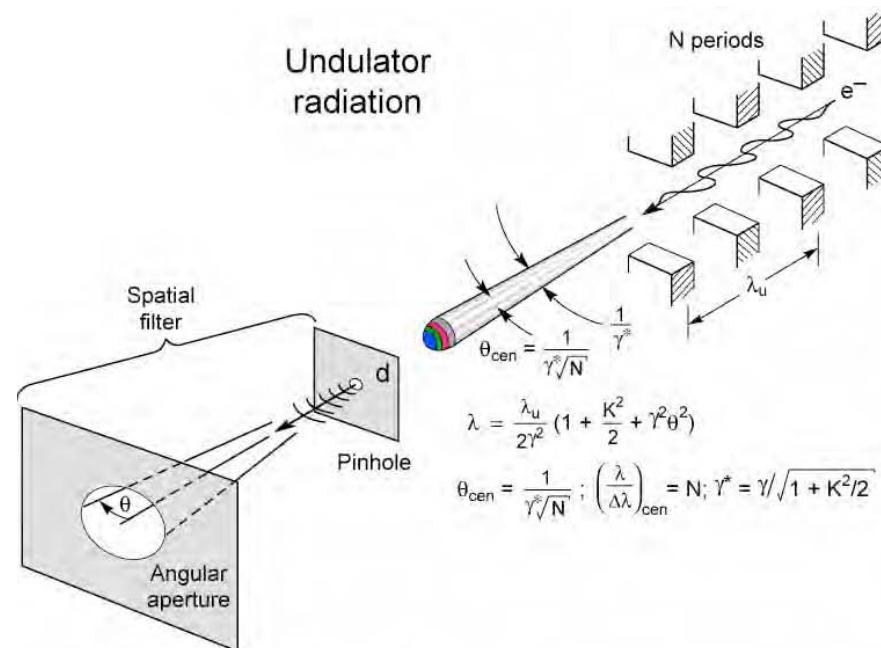


$\lambda = 13.4 \text{ nm}$

**1  $\mu\text{m}^D$  pinhole**  
**25 mm wide CCD**  
**at 410 mm**

Courtesy of Patrick Naulleau, LBNL.

# Spatially filtered undulator radiation

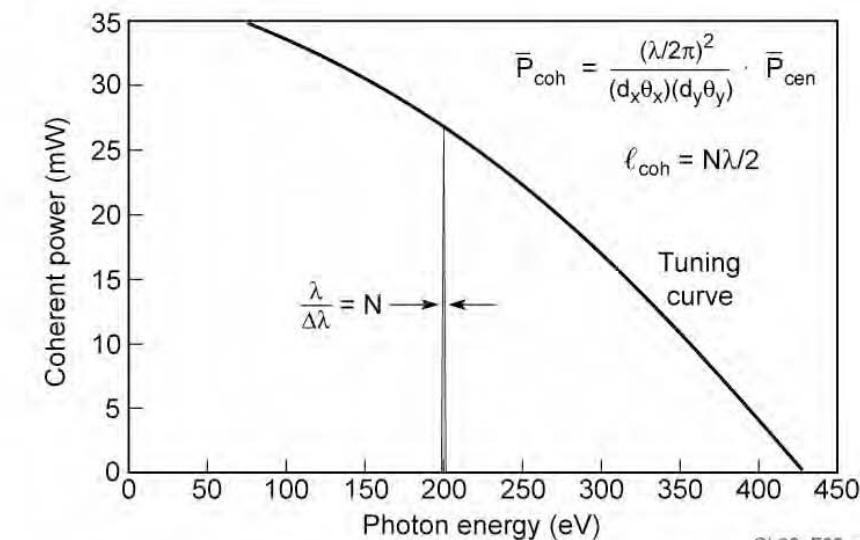
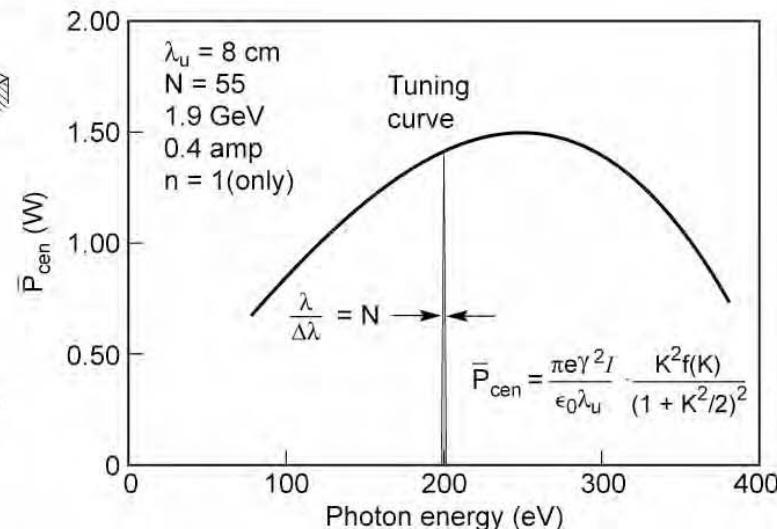


Using a pinhole-aperture spatial filter, passing only radiation that satisfies  $d \cdot \theta = \lambda/2\pi$

$$\bar{P}_{coh,N} = \left(\frac{\lambda/2\pi}{d_x \theta_x}\right) \left(\frac{\lambda/2\pi}{d_y \theta_y}\right) \bar{P}_{cen} \quad (8.6)$$

$$\bar{P}_{coh,N} = \frac{e \lambda_u I N}{8\pi \epsilon_0 d_x d_y} \left(1 - \frac{\hbar\omega}{\hbar\omega_0}\right) f(\hbar\omega/\hbar\omega_0) \quad (8.9)$$

for  $d_x = 2\sigma_x$ ,  $d_y = 2\sigma_y$ ,  $\theta_{Tx} \rightarrow \theta_x$ ,  $\theta_{Ty} \rightarrow \theta_y$ , and  $\sigma'^2 \ll \theta_{cen}^2$ .



# Spatial and spectral filtering of undulator radiation



In addition to the pinhole – angular aperture for spatial filtering and spatial coherence, add a monochromator for narrowed bandwidth and increased temporal coherence:

$$\bar{P}_{coh,\lambda/\Delta\lambda} = \underbrace{\eta}_{\text{beamline efficiency}} \cdot \underbrace{\frac{(\lambda/2\pi)^2}{(d_x\theta_x)(d_y, \theta_y)}}_{\text{spatial filtering}} \cdot \underbrace{N \frac{\Delta\lambda}{\lambda}}_{\text{spectral filtering}} \cdot \bar{P}_{cen} \quad (8.10a)$$

Coherent power      beamline efficiency      spatial filtering      spectral filtering      Undulator radiated power

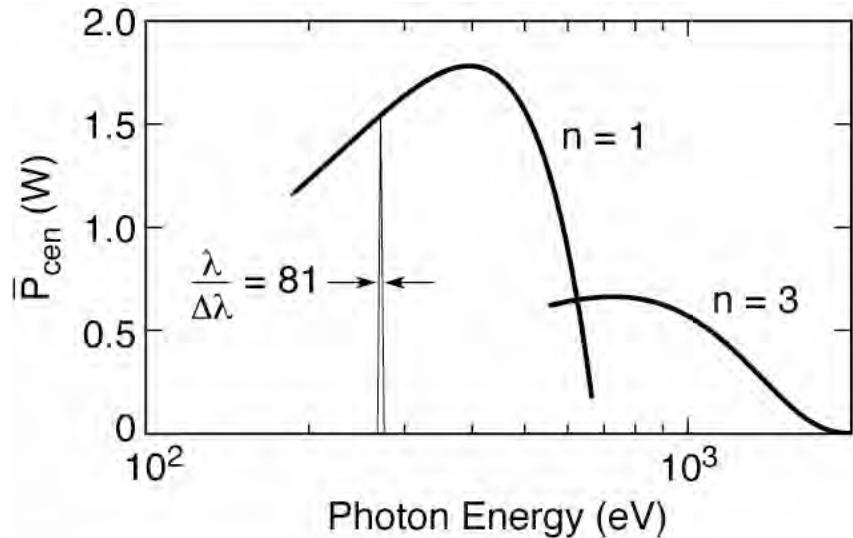
which for  $\sigma'_{x,y}^2 \ll \theta_{cen}^2$  (the undulator condition) gives the  
spatially and temporally coherent power ( $d \cdot \theta = \lambda/2\pi$  ;  $l_{coh} = \frac{\lambda^2}{2 \Delta \lambda}$ )

$$\bar{P}_{\text{coh},\lambda/\Delta\lambda} = \frac{e\lambda_u I \eta(\Delta\lambda/\lambda) N^2}{8\pi\epsilon_0 d_x d_y} \cdot \left(1 - \frac{\hbar\omega}{\hbar\omega_0}\right) f(\hbar\omega/\hbar\omega_0) \quad (8.10c)$$

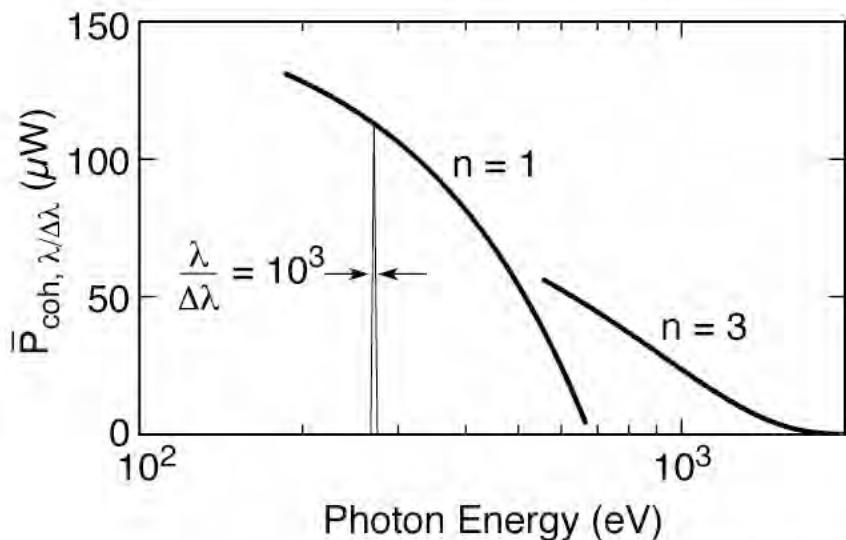
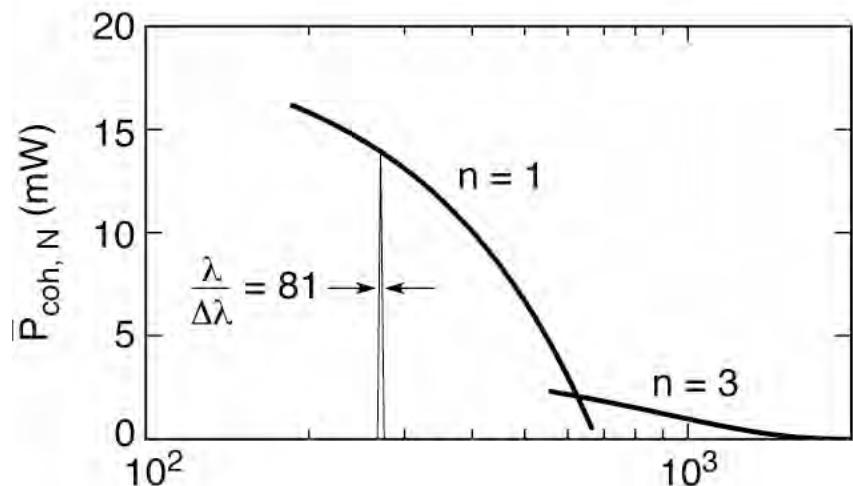
which we note scales as  $N^2$ .

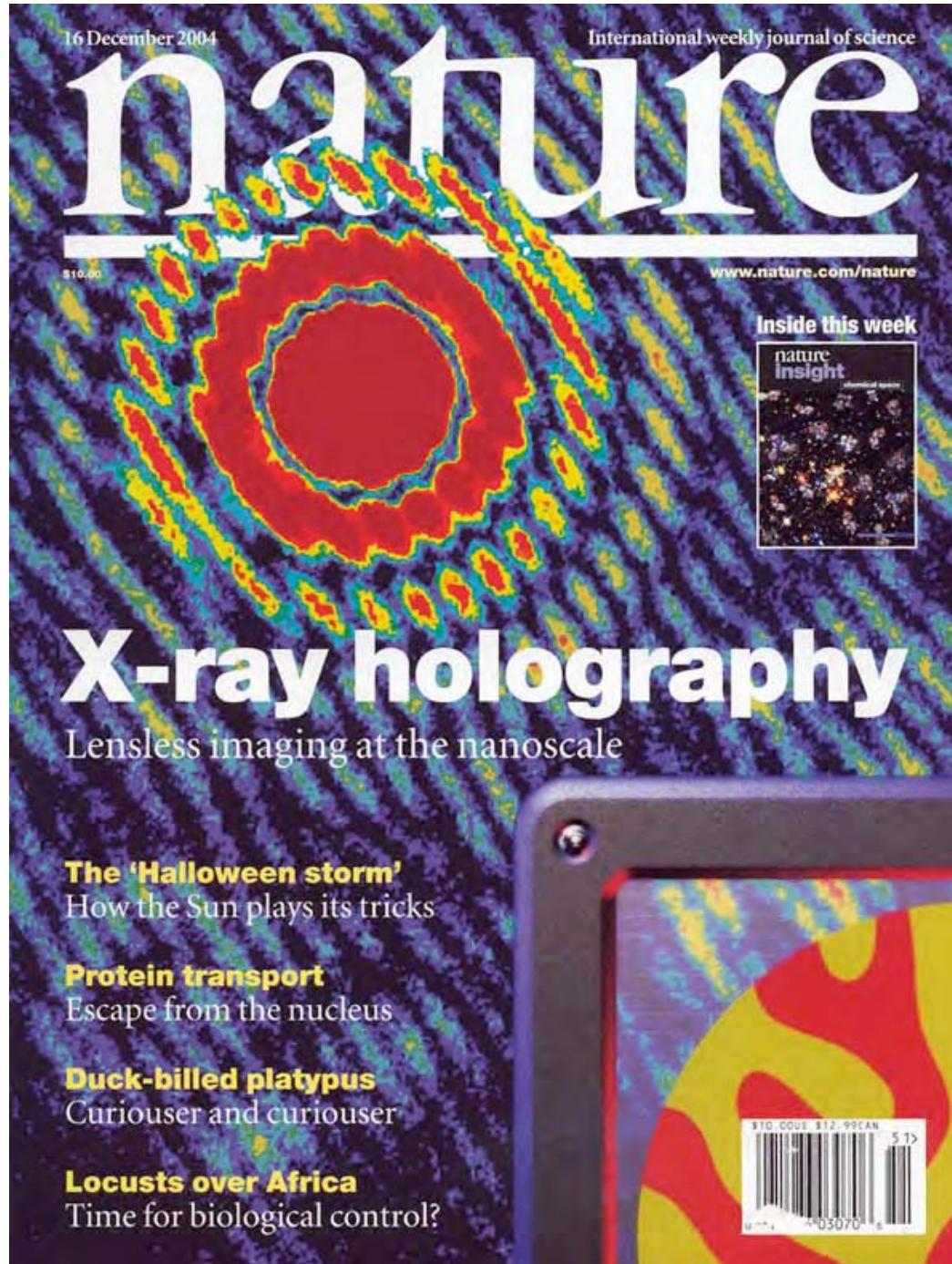


## Coherent power at Elettra



2.0 GeV, 300 mA  
 $\lambda_u = 56 \text{ mm}, N = 81$   
 $0.5 \leq K \leq 2.3$   
 $\sigma_x = 255 \mu\text{m}, \sigma'_x = 23 \mu\text{r}$   
 $\sigma_y = 31 \mu\text{m}, \sigma'_y = 9 \mu\text{r}$   
 $\eta = 10\%$



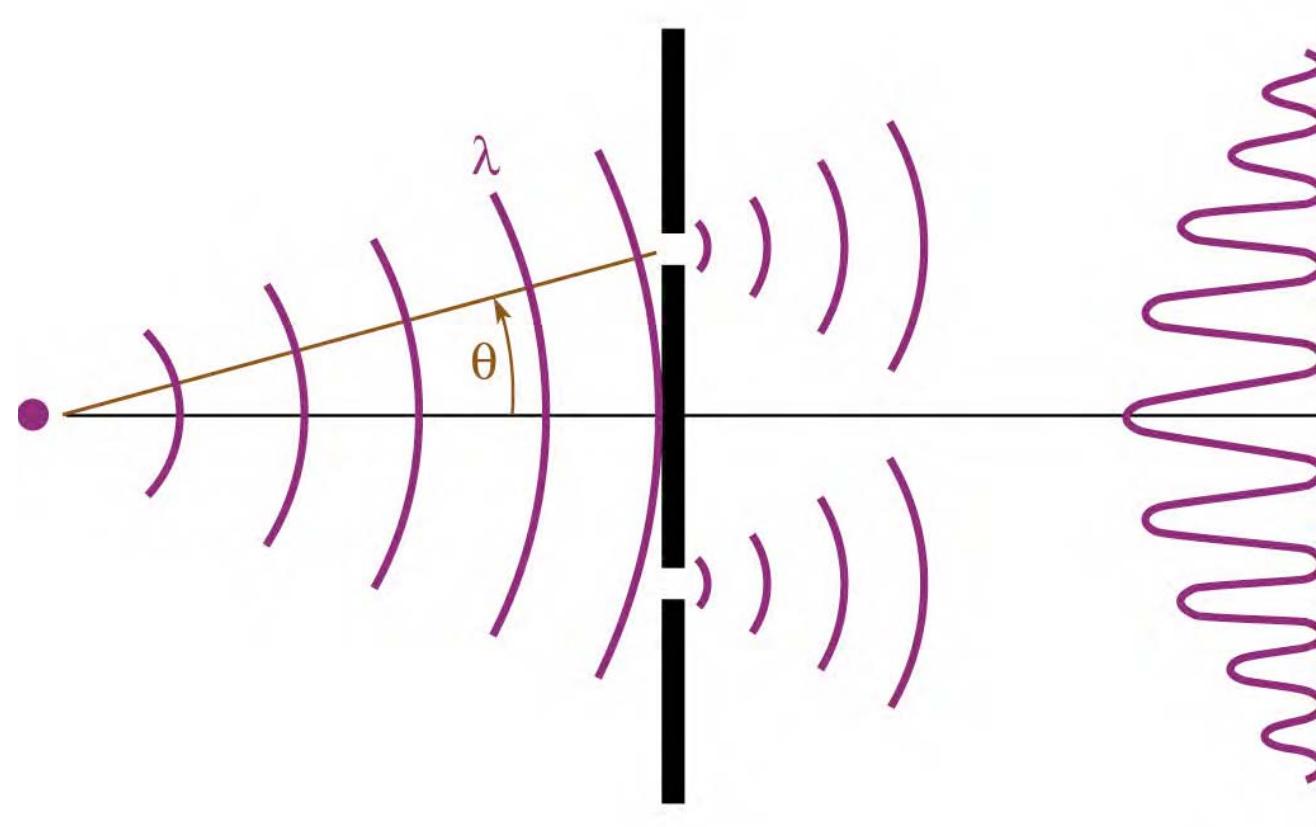


# Undulators, FELs and coherence



- Spatial coherence
- Temporal coherence
- Partial coherence
- Full coherence
- Spatial filtering
- Uncorrelated emitters
- Correlated emitters
- True phase coherence and mode control
- Lasers, amplified spontaneous emission (ASE) and mode control
- Undulator radiation
- SASE FEL fsec and asec x-rays
- Seeded FEL true phase coherent x-rays

# Young's double slit experiment: spatial coherence and the persistence of fringes

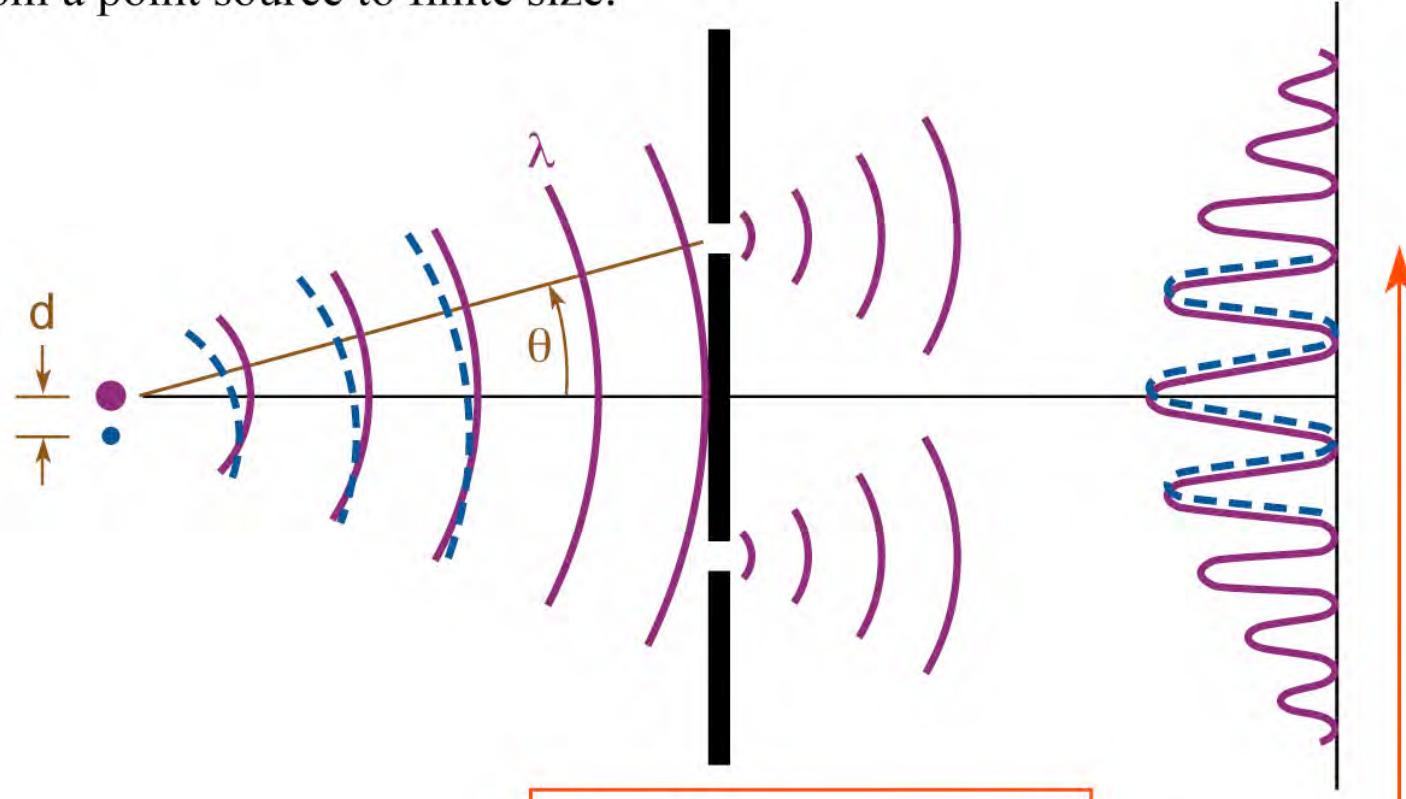


YoungsExprmt.ai

# Young's double slit experiment: spatial coherence and the persistence of fringes



Persistence of fringes as the source grows from a point source to finite size.



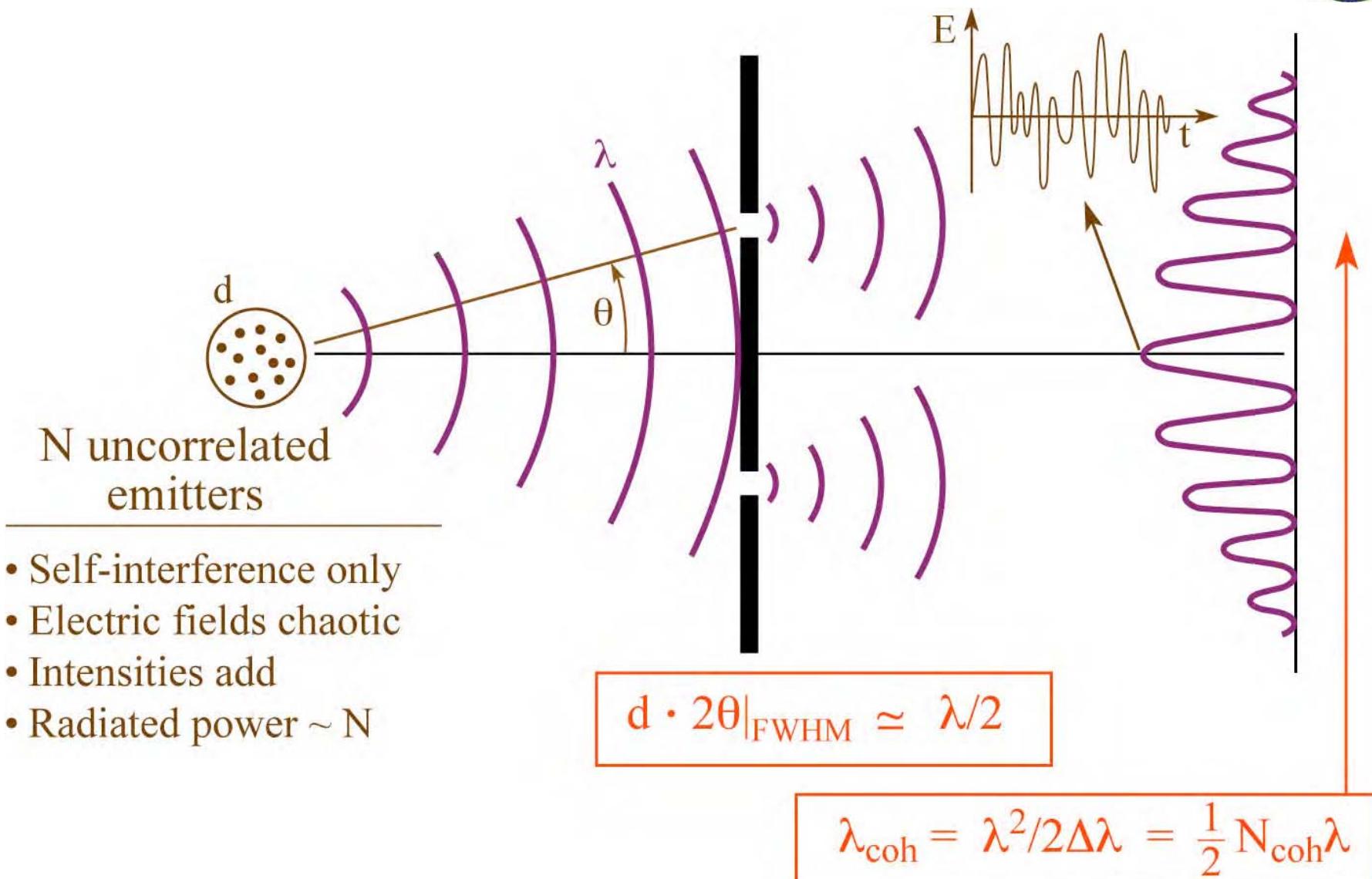
$$d \cdot 2\theta|_{\text{FWHM}} \approx \lambda/2$$

$$\lambda_{\text{coh}} = \lambda^2 / 2\Delta\lambda = \frac{1}{2} N_{\text{coh}} \lambda$$

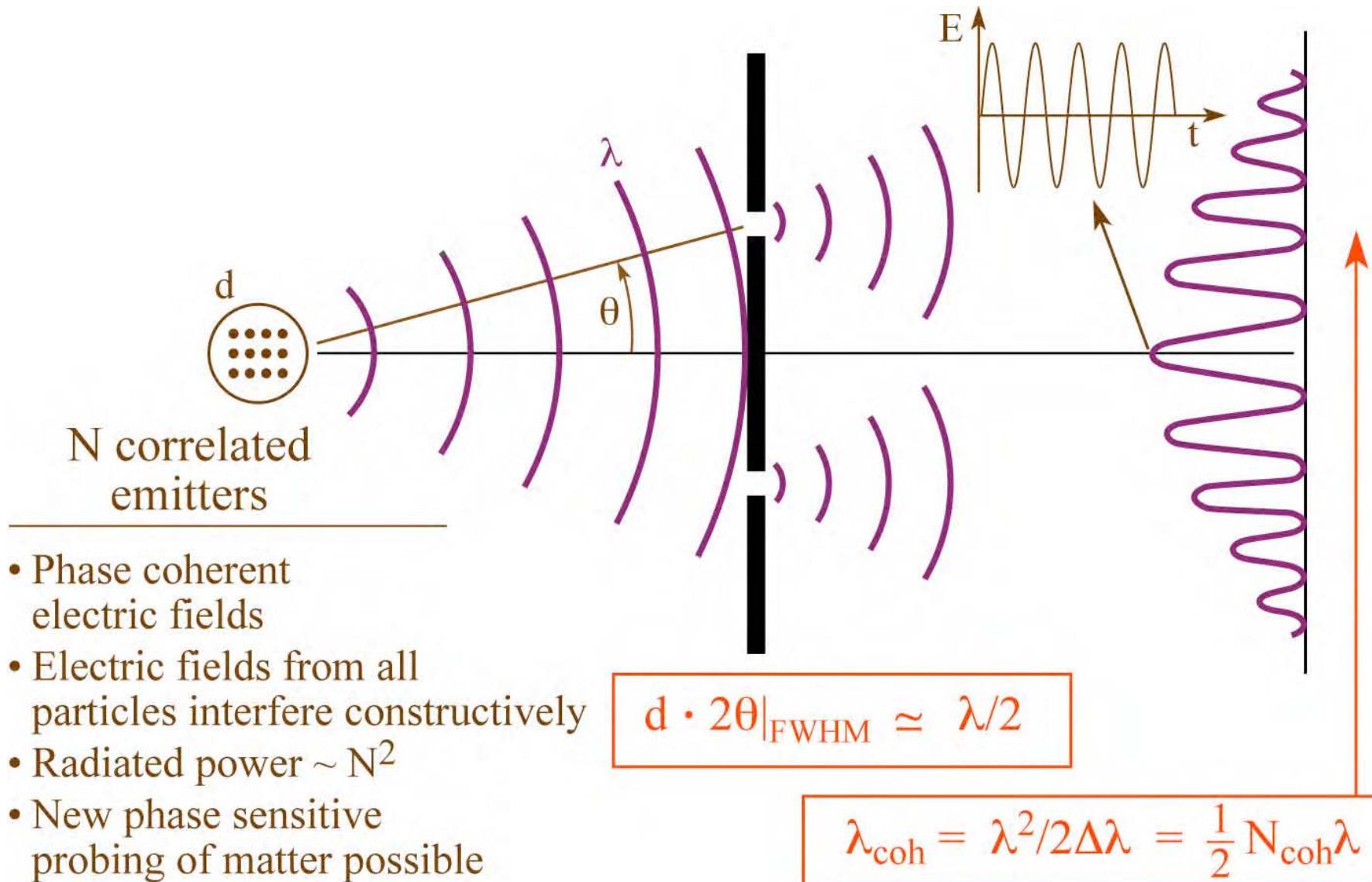
CH08\_YoungsExprmt\_v3.ai

# Young's double slit experiment with random emitters:

## Young did not have a laser

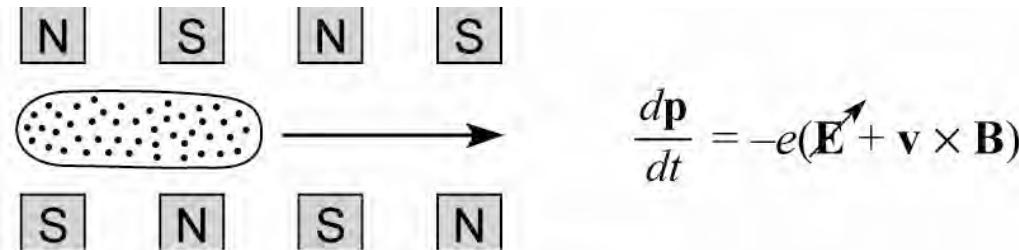


# Young's double slit experiment with phase coherent emitters (some lasers, or properly seeded FELs)





## How do these concepts apply to undulators and FELs?

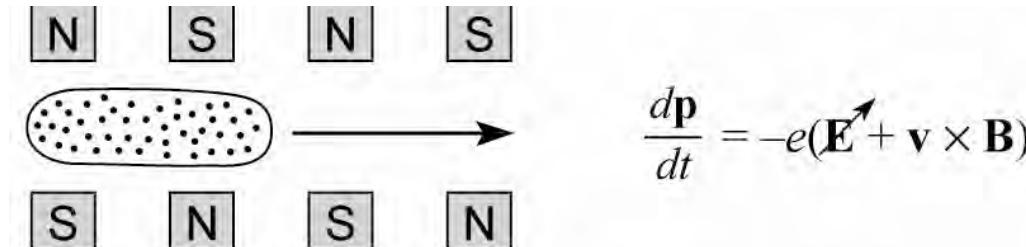


Undulator – uncorrelated electron positions, radiated fields uncorrelated, intensities add, limited coherence, power  $\sim N$ .

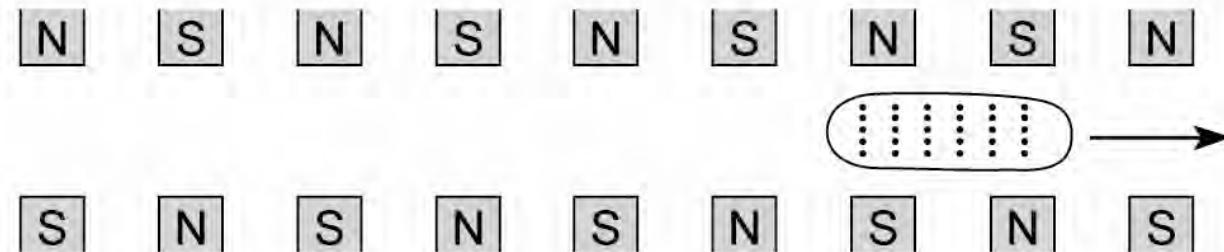
UndulatorsAndFELs1.ai



## Undulators and FELs



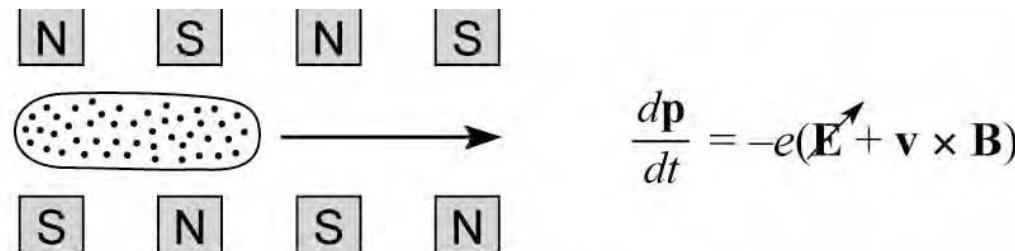
Undulator – uncorrelated electron positions, radiated fields uncorrelated, intensities add, limited coherence, power  $\sim N$ .



Free Electron Laser (FEL) – very long undulator, electrons are “microbunched” by their own radiated fields into strongly correlated waves of electrons, all radiated electric fields now add, spatially coherent, power  $\sim N^2$

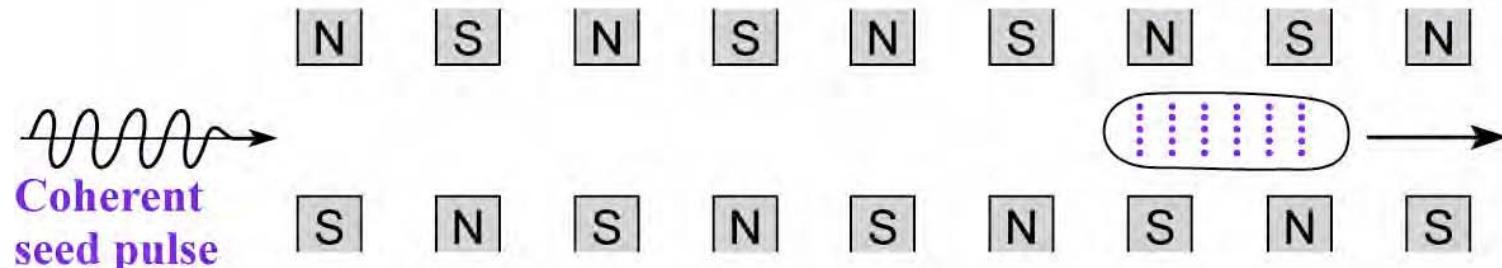
$$\frac{d\mathbf{p}}{dt} = -e(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

# Seeded FEL



Undulator – uncorrelated electron positions, radiated fields uncorrelated, intensities add, limited coherence, power  $\sim N$ .

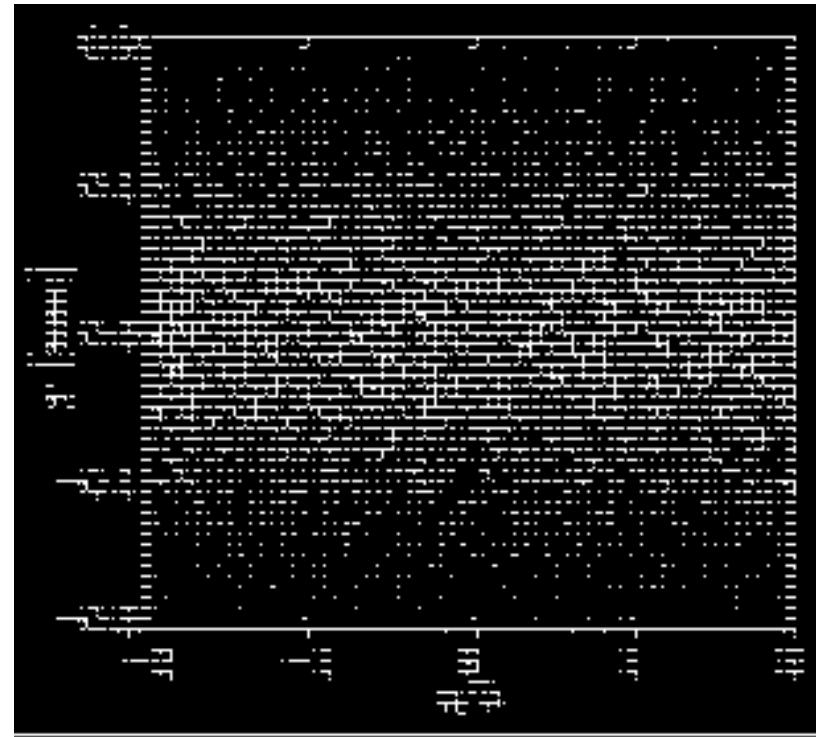
Better electron distribution throughout bunch, resulting in better coherence properties.



Free Electron Laser (FEL) – very long undulator, electrons are “microbunched” by their own radiated fields into strongly correlated waves of electrons, all radiated electric fields now add, spatially coherent, power  $\sim N^2$

$$\frac{d\mathbf{p}}{dt} = -e(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

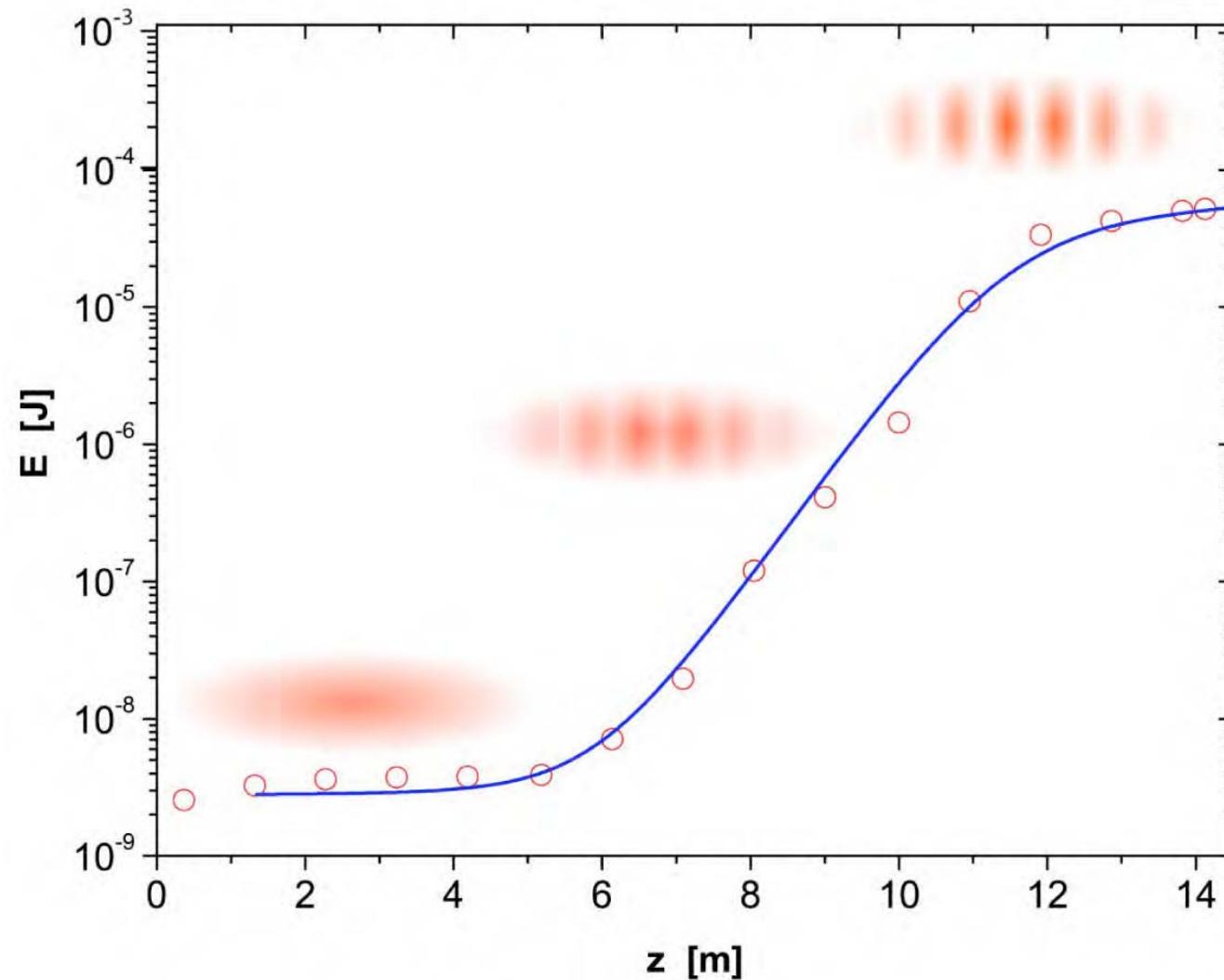
# FEL Microbunching



Courtesy of Sven Reiche, UCLA, now SLS



## Gain and saturation in an FEL



Courtesy of K-J. Kim

Gain\_Saturation\_FEL\_graph.ai

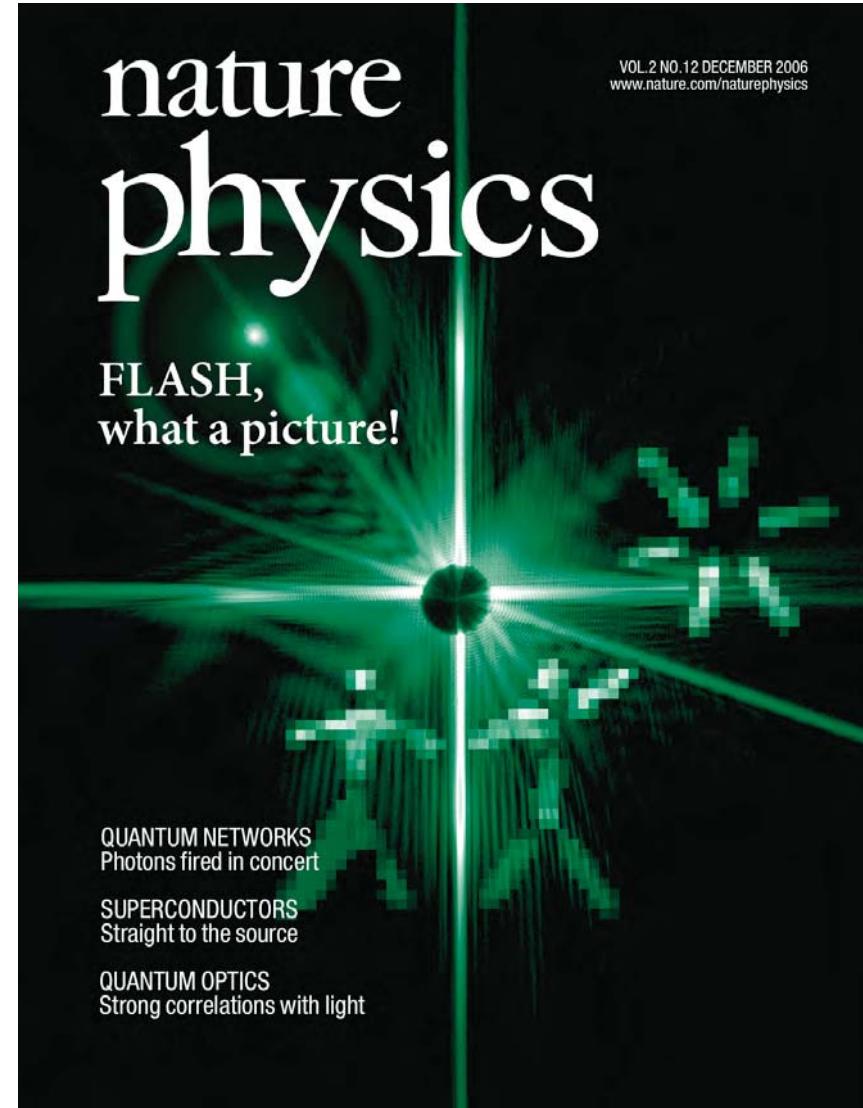
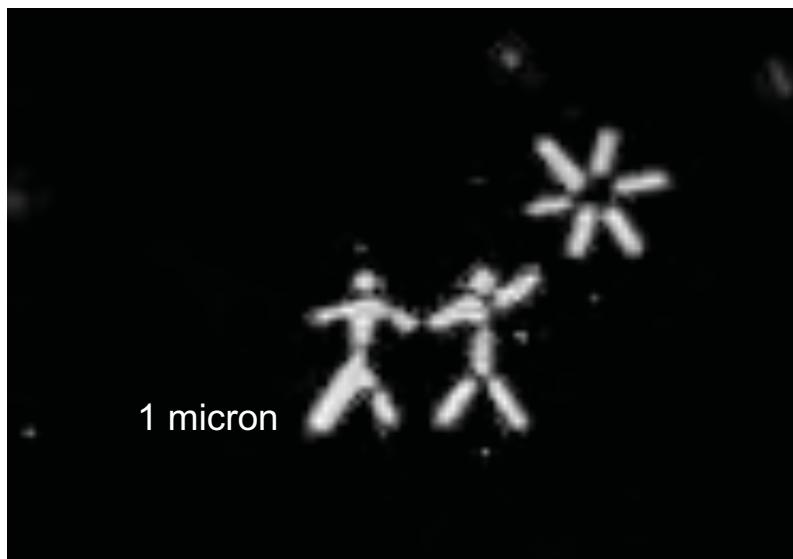
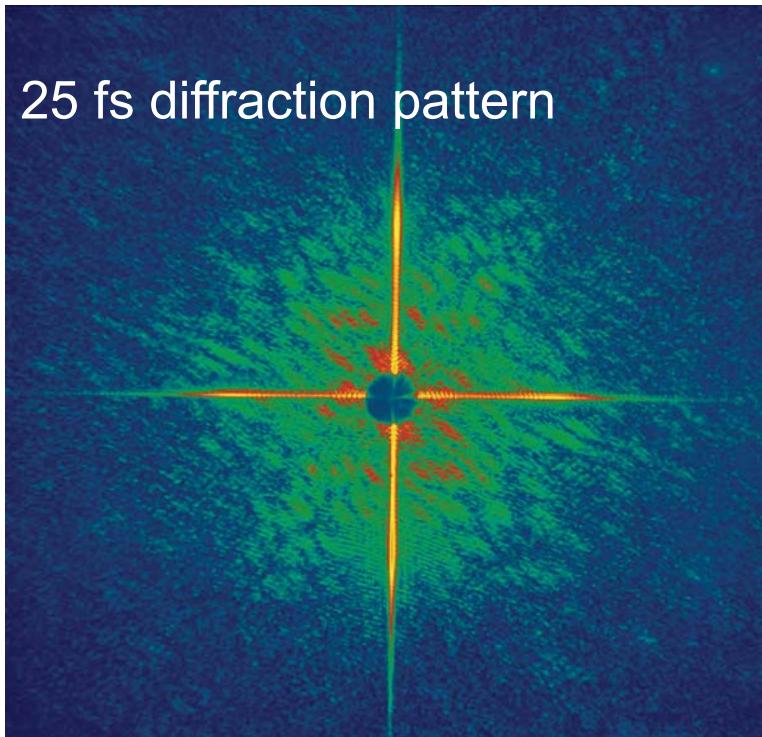
# FLASH EUV/soft x-ray FEL at DESY Lab, Hamburg



6.5-32 nm wavelength in 1st harmonic  
20 fsec,  $10^{12}$  photons per pulse

Courtesy of Henry Chapman (LLNL, now Hamburg) and Stefano Marchesini (LLNL, now LBL).

# Coherent x-ray diffractive imaging with the FLASH free-electron laser (FEL) in Hamburg, Germany



Chapman et al, *Nature Phys* **2** 839 (2006)

# The Linear Coherent Light Source (LCLS), an x-ray FEL at Stanford



# Free Electron Lasers



Parameters	Flash FEL (Hamburg)	LCLS (Stanford, 2010)	European XFEL (Hamburg, Schenefeld; 2014)
$E_e$	230/1000 MeV	13.6 GeV	17.5 GeV
$\gamma$	450/2000	26,600	35,000
$\lambda_u$	2.73 cm	3 cm	5 cm
N	500/1100	3700	4000
$L_u$	30 m	112 m	200 m
$\hbar\omega$	50-200 eV	1-10 keV	4-12 keV
$\lambda/\Delta\lambda$	100	350	1000
$e^-/\text{bunch}$	$10^9$	$6 \times 10^9$ (1 nC)	$6 \times 10^9$
$\Delta\tau$	25 fsec	160 fsec	100 fsec
$\dot{\mathcal{J}}$	$3 \times 10^{12}$ ph/pulse	$2 \times 10^{12}$ ph/pulse	$10^{12} - 10^{14}$ ph/pulse
rep rate	1 Hz	120 Hz	10 Hz
$\hat{I}$	1.3 kA	3.4 kA	
$\hat{P}$	0.3 GW	8 GW	20-100 GW
$\hat{B}$	$1 \times 10^{28}$	$1 \times 10^{33}$	$5 \times 10^{33}$
L	260 m	5 km	3.4 km

FreeElectronLasers.ai

## References



- 1) D. Attwood, *Soft X-Rays and Extreme Ultraviolet Radiation* (Cambridge, UK, 2000).
- 2) P. Duke, *Synchrotron Radiation* (Oxford, UK, 2000).
- 3) J. Als-Nielsen and D. McMorrow, *Elements of Modern X-ray Physics* (Wiley, New York, 2001).
- 4) J.D. Jackson, *Classical Electrodynamics* (Wiley, New York, 1999). Third edition.
- 5) A. Hofmann, *Synchrotron Radiation* (Cambridge, UK, 2004).
- 6) J. Samson and D. Ederer, *Vacuum Ultraviolet Spectroscopy I and II* (Academic Press, San Diego, 1998). Paperback available.

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Lectures online a [www.youtube.com](http://www.youtube.com)



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