



**The Abdus Salam  
International Centre for Theoretical Physics**



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**School on Synchrotron and Free-Electron-Laser Sources and their  
Multidisciplinary Applications**

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**Magnetic and resonant x-ray scattering**

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# Magnetic and resonant x-ray scattering

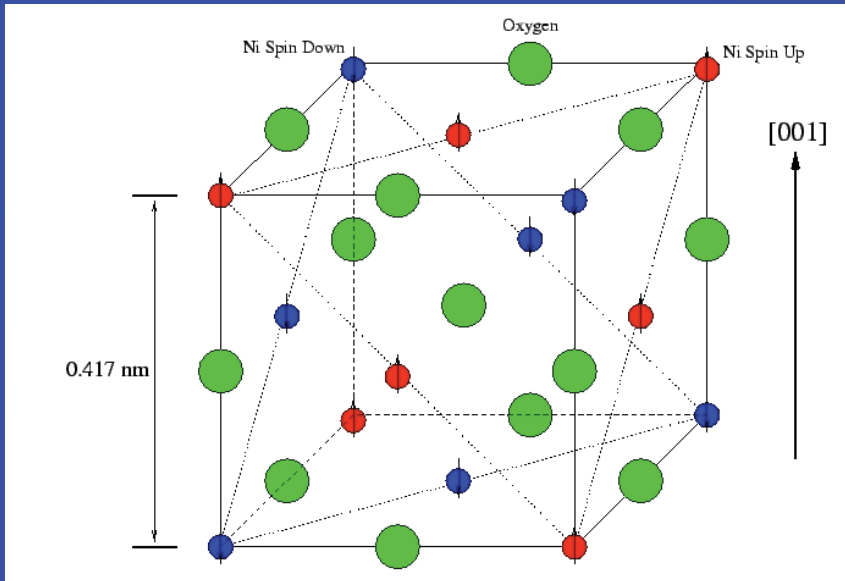
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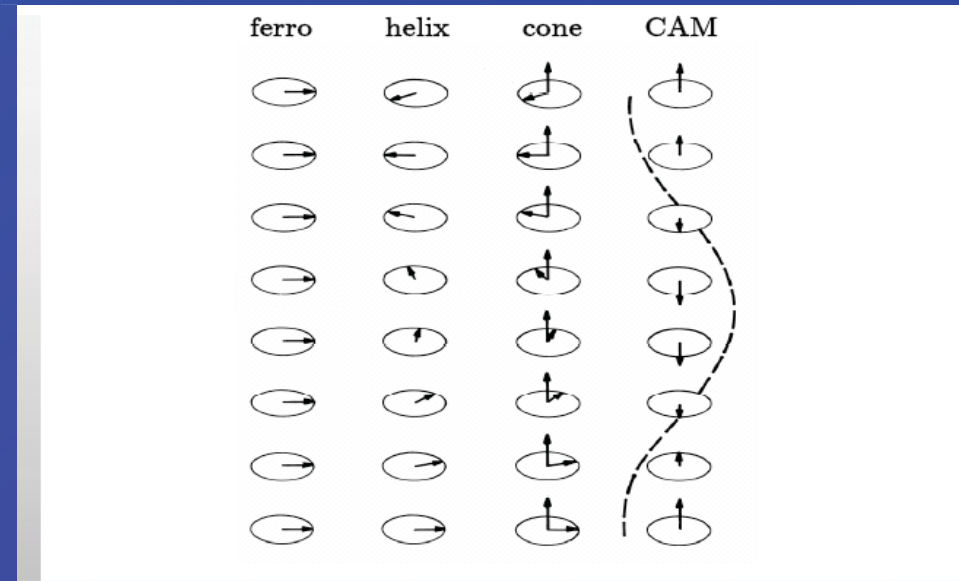
- Purpose and a bit of history
- Theoretical outline: non-resonant and resonant scattering
- Some examples

# Large variety of magnetic structures

## NiO



## Rare Earths

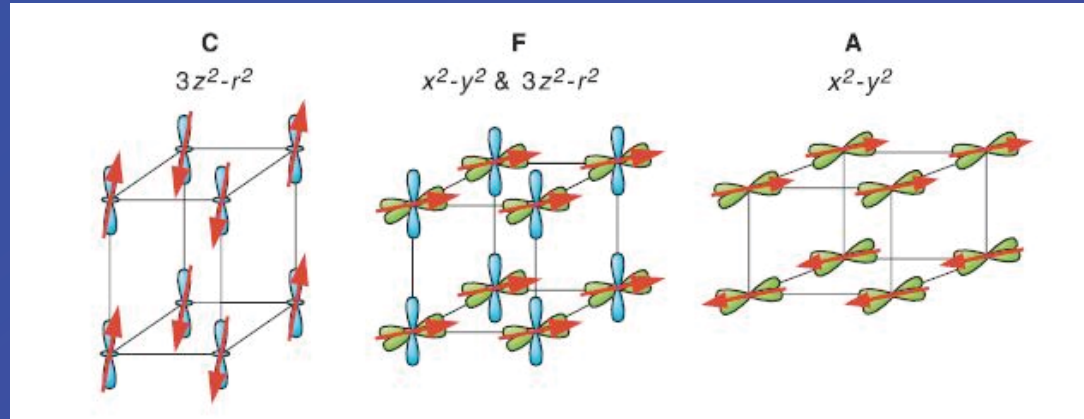


Gd,Tb,Dy    Tb,Dy,Ho    Ho,Er    Er,Tm

# Electronic orbital- (and spin-) ordered structures

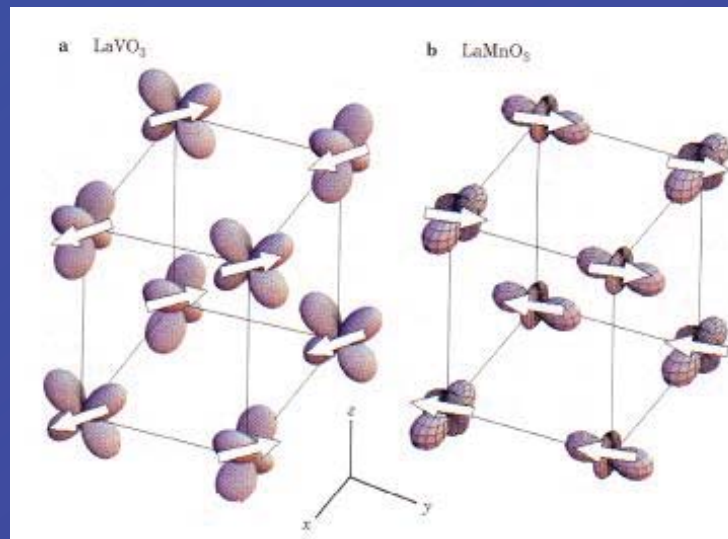
**$\text{La}_{0.5}\text{Sr}_{0.5}\text{MnO}_3$**   
(Coherently strained)

Mn (3d)



**$\text{LaVO}_3$**

V (3d)



**$\text{LaMnO}_3$**

Mn (3d)

# Determination of magnetic structures

- Standard probe: **neutron scattering**
- However **x-ray scattering** has some advantages:
  - is useful in the case of small samples
  - very *high momentum resolution* (period of incommensurate structures)
  - *element sensitive* (resonant)
  - possibility of a *separate determination of spin and orbital contributions* to the magnetic moment (by different polarization dependences, non-resonant)

# Orbital structure: determination ?

- Orbital order: is often an experimentally hidden degree of freedom in correlated transition-metal oxides
- *Resonant x-ray scattering: is a promising technique to probe orbital ordering*

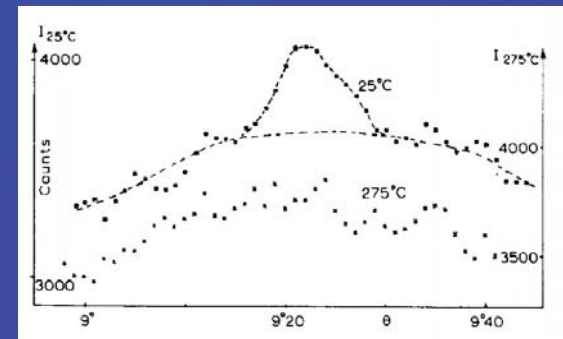
# A bit of history

## 1972) First observation of x-ray magnetic scattering

*Antiferromagnetic order in NiO by Bergevin and Brunel,*

*Phys. Lett. A39, 141 (1972)*

*Tube source: Counts per 4 hours!*

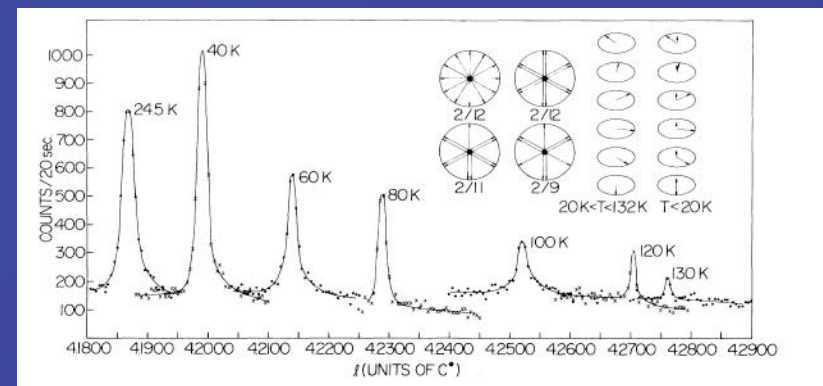


## (1985) First Synchrotron radiation studies of magnetism

*Magnetic x-ray scattering from Holmium,*

*Gibbs et al., Phys. Rev. Lett. 55, 234 (1985)*

*Synchrotron source: Counts per 20s*



# More history

## **(1985) Start of the resonant time**

*Prediction of resonant effect by Blume, J. Appl. Phys. 57, 3615 (1985)*

## **(1985) First resonant scattering from a ferromagnet**

*X-ray resonant magnetic scattering from Nickel by Namakawa (1985)*

## **(1988) First resonant scattering from an antiferromagnet**

*Resonant x-ray scattering from Holmium by Gibbs et al., Phys. Rev. Lett.  
61, 1241 (1988)*

*Since then magnetic and resonant x-ray scattering evolved from scientific curiosities to  
widely used techniques*



# Electromagnetic radiation - electron interaction

- Hamiltonian for electrons in an electromagnetic field (Blume 1985):

Kinetic term modified in the presence of the field

$$\vec{\mu}_j \cdot \vec{B}$$

$S_j$  spin 1/2

$$\vec{\mu}_j = \frac{e\hbar}{mc} \vec{s}_j$$

$$H = \sum_j \frac{1}{2m} \left[ \vec{p}_j - \frac{e}{c} \vec{A}(\vec{r}_j) \right]^2 + \sum_{i,j} V(r_{ij}) - \sum_j \frac{e\hbar}{mc} \vec{s}_j \cdot \vec{B}(\vec{r}_j) - \frac{e\hbar}{2(mc)^2} \sum_j \vec{s}_j \cdot \left( \vec{E}(\vec{r}_j) \times \left[ \vec{p}_j - \frac{e}{c} \vec{A}(\vec{r}_j) \right] \right) + H_{photon}$$

Spin-orbit term modified in the presence of the field

Hamiltonian of the radiation

With the fields  $\mathbf{E}$  and  $\mathbf{B}$  deriving from the vector and scalar potential  $\mathbf{A}$  and  $\phi$ :

$$\vec{B}(\vec{r}_j) = \vec{\nabla} \times \vec{A}(\vec{r}_j) \quad \text{and}$$

$$\vec{E}(\vec{r}_j) = -\vec{\nabla}\Phi(\vec{r}_j) - \frac{1}{c} \frac{\partial \vec{A}}{\partial t}(\vec{r}_j),$$

$$\vec{\nabla} = \left( \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right)$$

- Electromagnetic waves described by the vector potential:

$$\vec{A}(\vec{r}, t) = \sum_{\vec{k}, \lambda} \left( \frac{hc^2}{\Omega \omega_k} \right)^{1/2} [\vec{\epsilon}_\lambda a(\vec{k}, \lambda) e^{i(\vec{k} \cdot \vec{r} - \omega_k t)} + c.c.]$$

$$\omega_k = ck$$

Normalization  
box volume

Polarization vector  $\lambda=1,2$

$$(\vec{k} \cdot \vec{\epsilon} = 0)$$

Note: in the second quantization formalism,  $H_{\text{photon}}$  takes the simple form (quantized radiation field):

$$H_{\text{photon}} = \sum_{\vec{k}, \lambda} \hbar \omega_k \left( a^\dagger(\vec{k}, \lambda) a(\vec{k}, \lambda) + 1/2 \right),$$

$a^\dagger$  ( $a$ ): photon creation (annihilation) operator

- Developing the Hamiltonian:

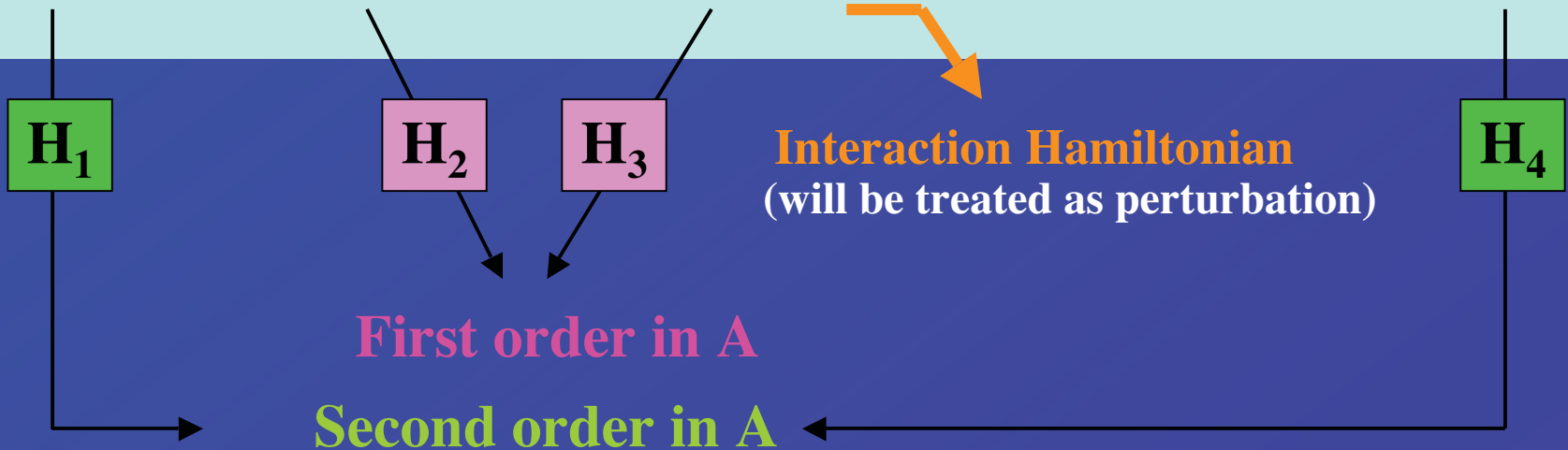
Hamiltonian for the electrons

$$H \approx \sum_j \frac{\vec{p}_j^2}{2m} + \sum_{i,j} V(r_{ij}) - \frac{e\hbar}{2(mc)^2} \sum_j \vec{s}_j \cdot (\vec{\nabla}\Phi_j \times \vec{p}_j)$$

Hamiltonian for the radiation

+  $H_{\text{photon}}$

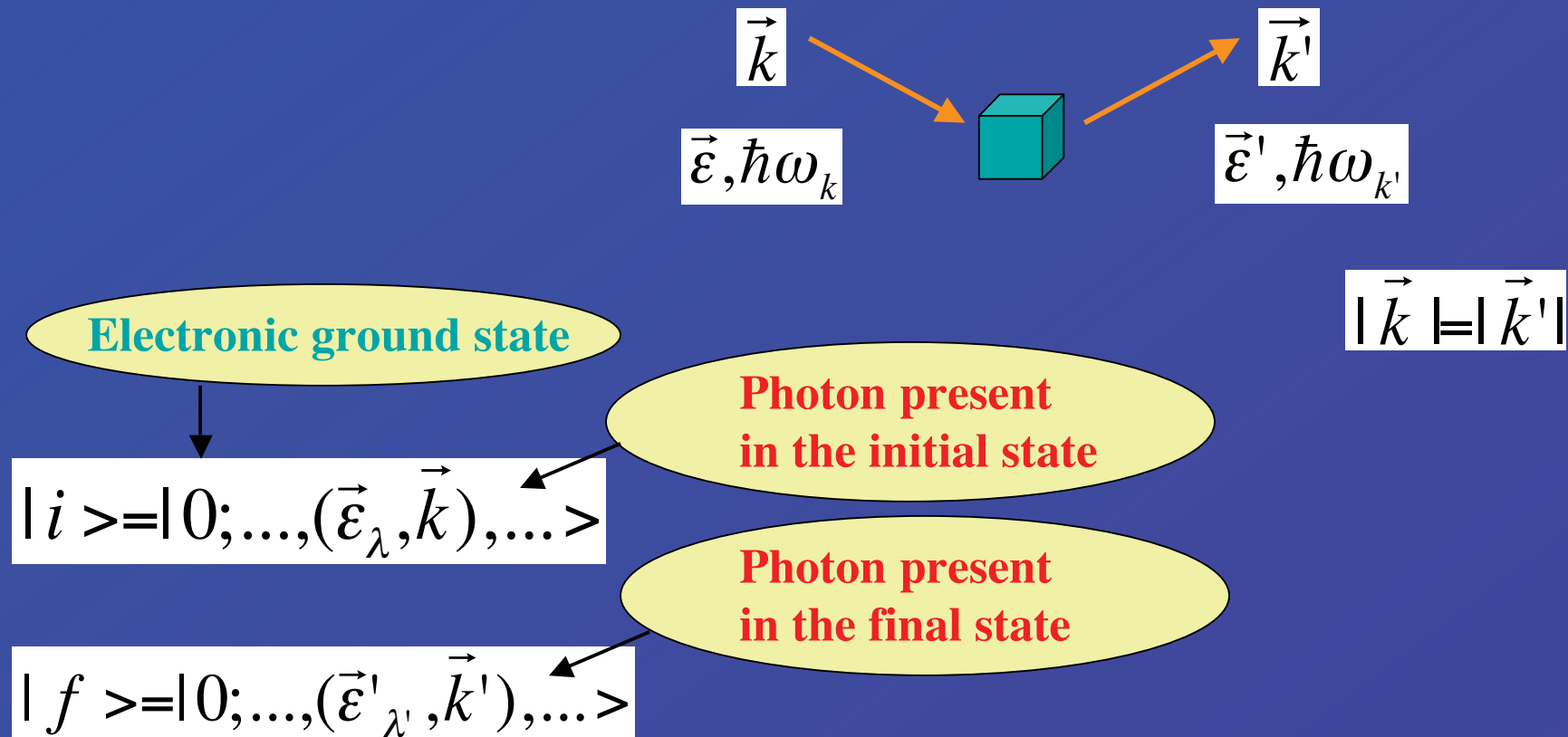
$$+ \frac{e^2}{2mc^2} \sum_j \vec{A}^2(\vec{r}_j) - \frac{e}{mc} \sum_j \vec{A}(\vec{r}_j) \cdot \vec{p}_j - \frac{e\hbar}{mc} \sum_j \vec{s}_j \cdot (\vec{\nabla} \times \vec{A}(\vec{r}_j)) - \frac{e\hbar}{2(mc)^2} \frac{e}{c^2} \sum_j \vec{s}_j \cdot \left( \frac{\partial \vec{A}(\vec{r}_j)}{\partial t} \times \vec{A}(\vec{r}_j) \right)$$



$H_3$  and  $H_4$  are related to the electron spin (linear dependence)

- We will here focus on elastic scattering

Elastic scattering processes:



- Probability of transition (per unit time) from state  $|i\rangle$  [electronic state  $|0\rangle$ , photon  $(\epsilon, \mathbf{k})$ ] to state  $|f\rangle$  [electronic state  $|0\rangle$ , photon  $(\epsilon', \mathbf{k}')$ ] :

(Fermi's "Golden rule")

Second order in A

First order in A

$$W = \frac{2\pi}{\hbar} \left| \langle f | H_1 + H_4 | i \rangle + \sum_n \frac{\langle f | H_2 + H_3 | n \rangle \langle n | H_2 + H_3 | i \rangle}{E_i - E_n} \right|^2 \delta(E_i - E_f)$$

$$= \frac{2\pi}{\hbar} \left| F(\vec{k}, \vec{k}', \vec{\epsilon}, \vec{\epsilon}') \right|^2 \delta(E_i - E_f)$$

F: scattering amplitude

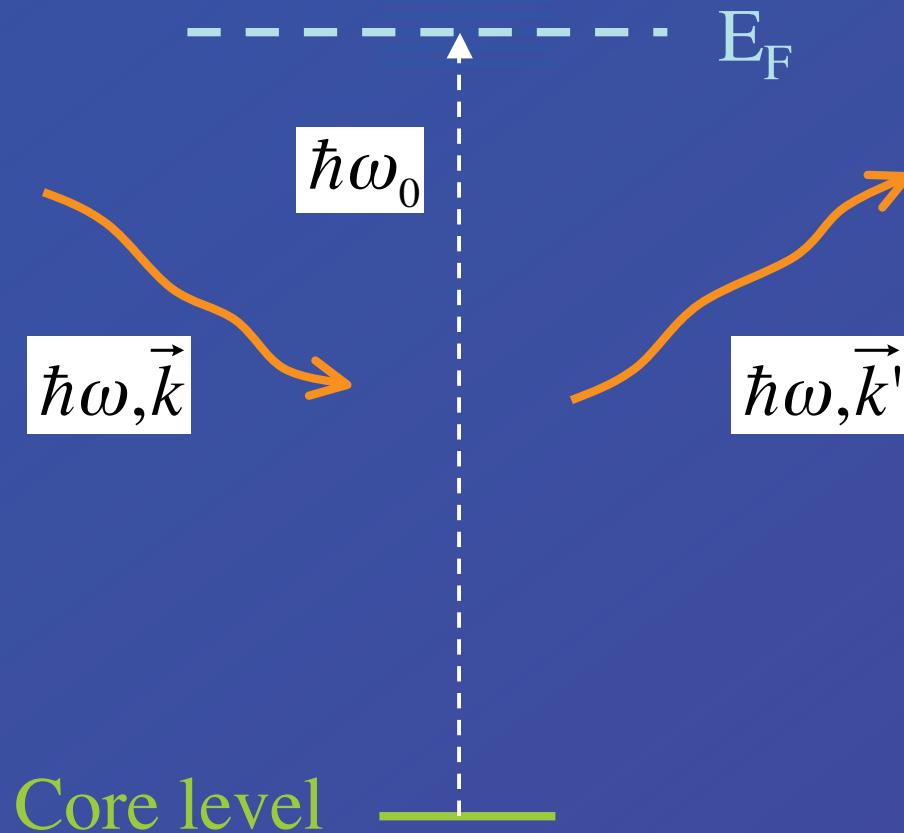
$H_1 \rightarrow$  Charge or Thompson scattering  
(crystallography)

$$E_i = E_0 + \hbar\omega_{\vec{k}}$$

**A)**  $\hbar\omega_{\vec{k}} \gg E_n - E_0 \rightarrow$  **Non-resonant diffraction**

**B)**  $\hbar\omega_{\vec{k}} \approx E_n - E_0 \rightarrow$  **Resonant diffraction**

# Non-resonant and resonant scattering



A) Non resonant:

$$\hbar\omega \gg \hbar\omega_0$$

B) Resonant

$$\hbar\omega \approx \hbar\omega_0$$

# Non-resonant and resonant scattering

## A) Non-resonant case:

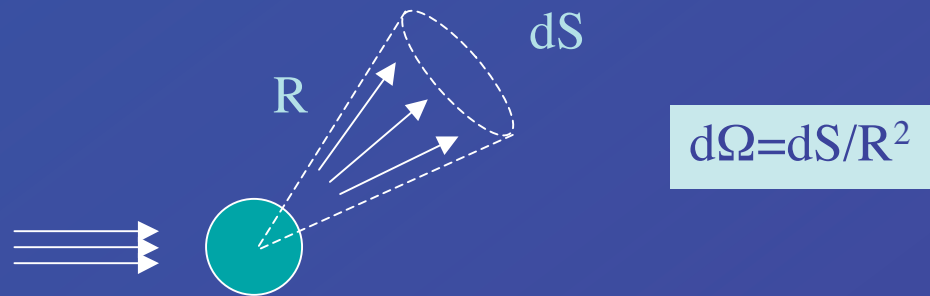
all four  $H_i$  contribute

## B) Resonant case:

the contribution from  $H_2 \sim \sum A(\mathbf{r}_j) \mathbf{p}_j$  dominates

- The quantity used to describe the intensity of the elastic scattering is the differential cross section:

$$\frac{d\sigma}{d\Omega} = \frac{\text{Number of photons per unit time scattered within } d\Omega}{\text{Number of incident photons per unit time per unit surface}}$$



- Elastic scattering cross section for an assembly of  $N$  atoms:

$$\frac{d\sigma}{d\Omega} = \left( \frac{e^2}{mc^2} \right)^2 \left| \sum_N e^{i\vec{q} \cdot \vec{R}_n} F_N(\vec{k}, \vec{k}', \vec{\epsilon}, \vec{\epsilon}') \right|^2,$$

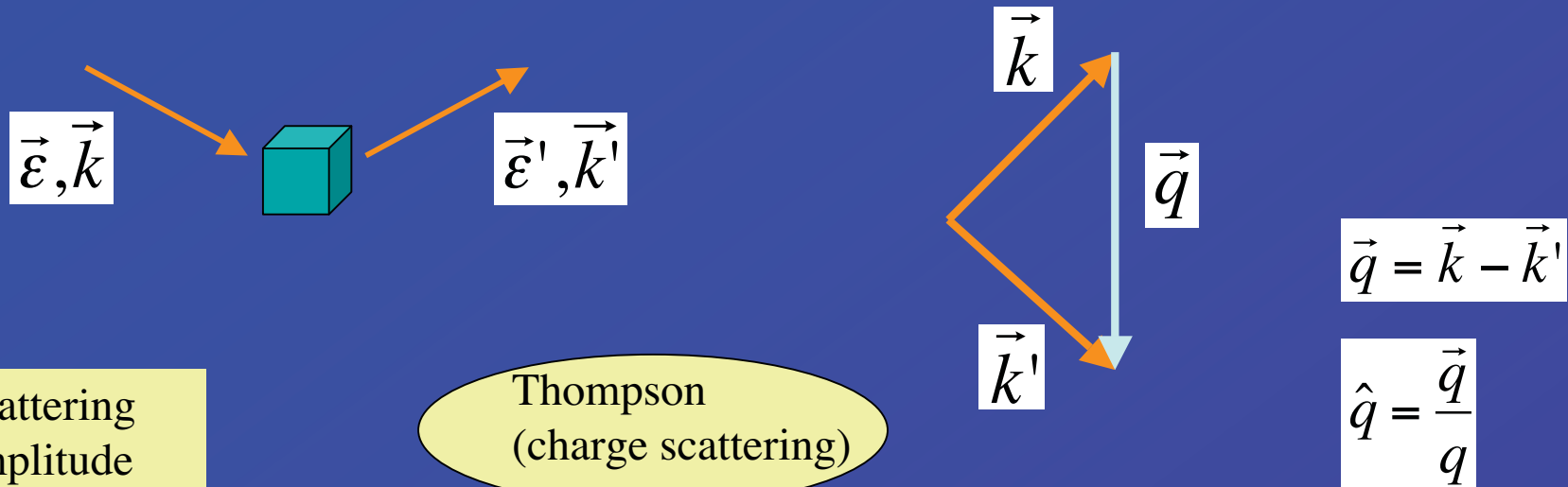
$$\vec{q} = \vec{k} - \vec{k}'$$

Periodic system:  $\vec{q} \equiv \vec{G}_{hkl}$

$F_N$ : atomic scattering amplitude



# A) Non-resonant scattering amplitude



Scattering amplitude

Thompson  
(charge scattering)

$$F^{non-res.} \propto \sum_j \langle 0 | e^{i\vec{q} \cdot \vec{r}_j} | 0 \rangle (\vec{\epsilon}'^* \cdot \vec{\epsilon})$$

$$-i \frac{\hbar \omega_k}{mc^2} \left[ \frac{mc}{e\hbar} \langle 0 | \hat{q} \times (\vec{M}_L(\vec{q}) \times \hat{q}) | 0 \rangle \cdot \vec{P}_L + \frac{mc}{e\hbar} \langle 0 | \vec{M}_S(\vec{q}) | 0 \rangle \cdot \vec{P}_S \right]$$

*Small factor*

Fourier transform of **orbital** moment density

Fourier transform of **spin** moment density

# A) Non-resonant scattering

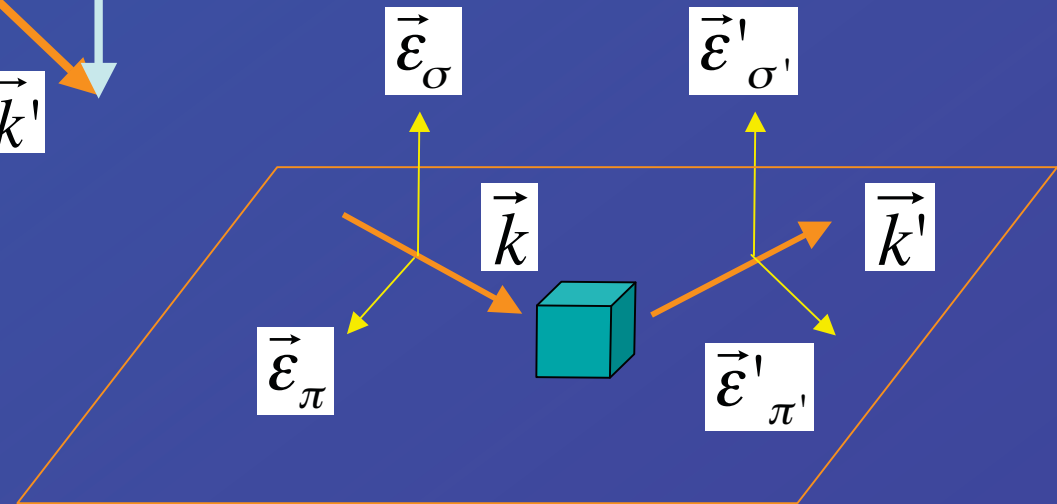
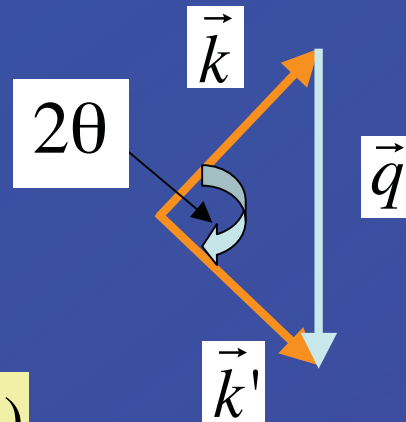
With:

$$\vec{M}_L(\vec{q}) = \sum_j e^{i\vec{q}\cdot\vec{r}_j} \vec{M}_L(\vec{r}_j)$$

$$\vec{M}_S(\vec{q}) = \sum_j e^{i\vec{q}\cdot\vec{r}_j} \vec{s}_j$$

$$\vec{P}_L = (\vec{\varepsilon}'^* \times \vec{\varepsilon}) 4 \sin^2 \theta$$

$$\vec{P}_S = \left[ \vec{\varepsilon}'^* \times \vec{\varepsilon} + (\hat{k}' \times \vec{\varepsilon}'^*)(\hat{k}' \cdot \vec{\varepsilon}) - (\hat{k} \times \vec{\varepsilon})(\hat{k} \cdot \vec{\varepsilon}'^*) - (\hat{k}' \times \vec{\varepsilon}'^*) \times (\hat{k} \times \vec{\varepsilon}) \right]$$



# A) Non-resonant scattering

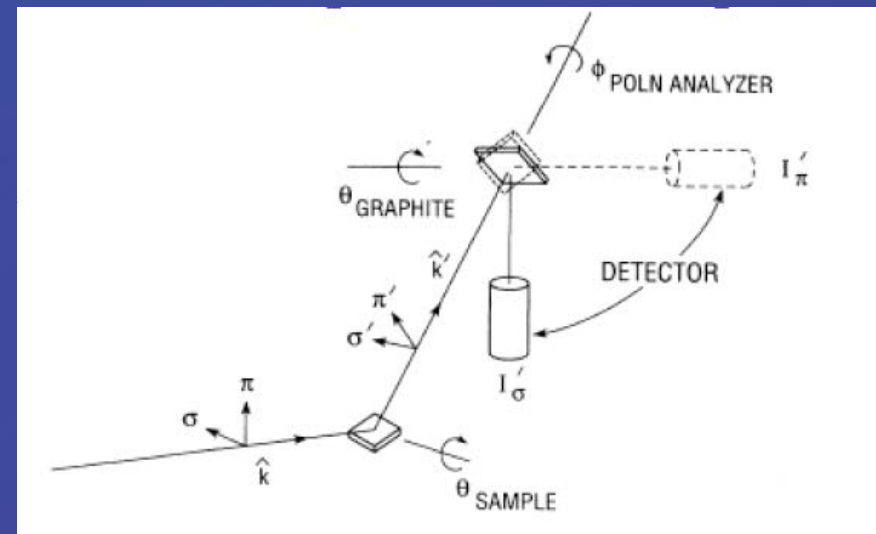
1) Has a small intensity compared to Thomson scattering:

$$\left(\frac{\hbar\omega}{mc^2}\right)^2 \approx \left(\frac{\sim 10\text{keV}}{511\text{keV}}\right)^2 \quad \text{of the order } 10^{-4}$$

2) Has a very different polarization factors for the orbital  $M_L$  and spin  $M_S$  contributions to the magnetic moment

→ **L and S separation**

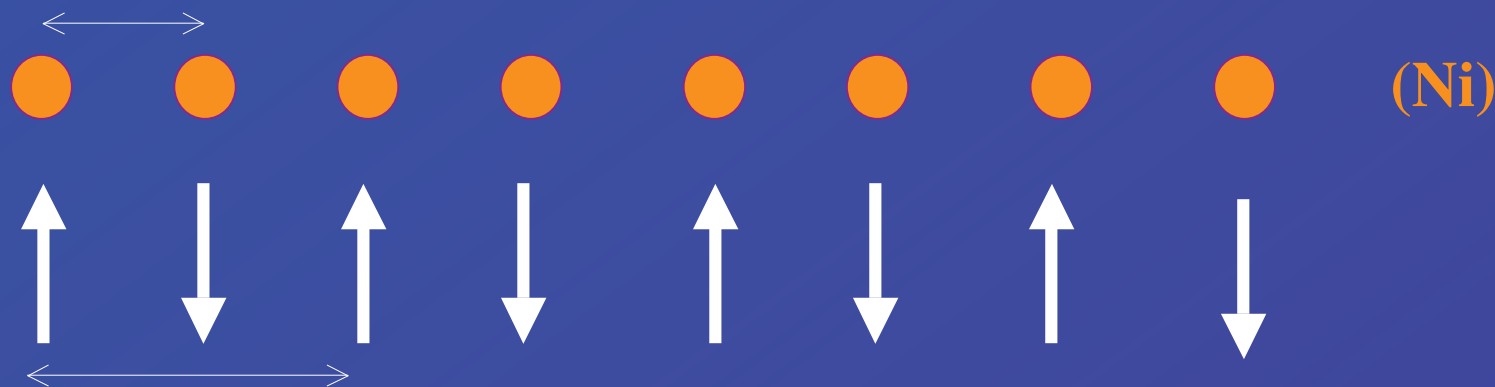
By selecting the incoming polarization and analyzing the outgoing polarization one can determine the orbital and spin moments



# Magnetic scattering for an antiferromagnet

such as NiO

a: charge periodicity



2a: magnetic periodicity → additional reciprocal vectors  
(superstructure) compared to the charge scattering

## First observation of x-ray magnetic scattering

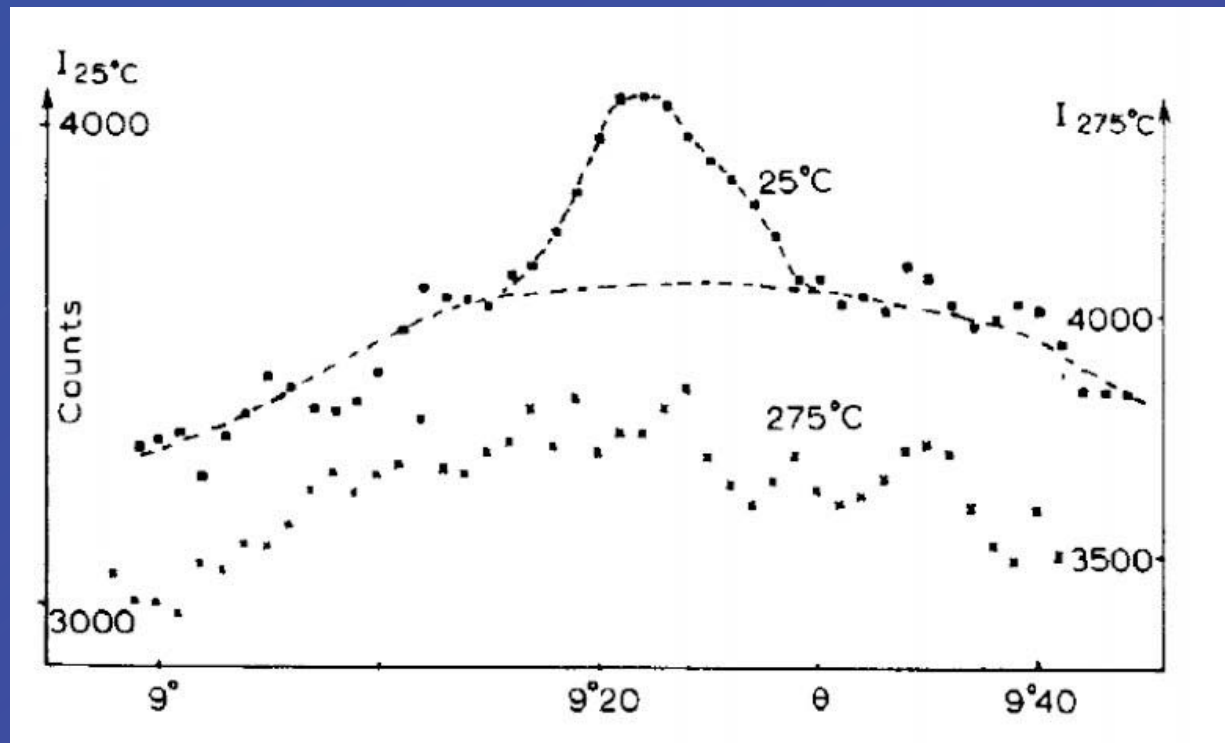
*De Bergevin and Brunel, Phys. Lett. A39, 141 (1972)*

Antiferromagnetic order in NiO

Laboratory x-ray tube

NiO (3/2.3/2.3/2) reflection

Counts per ~ 4 hours



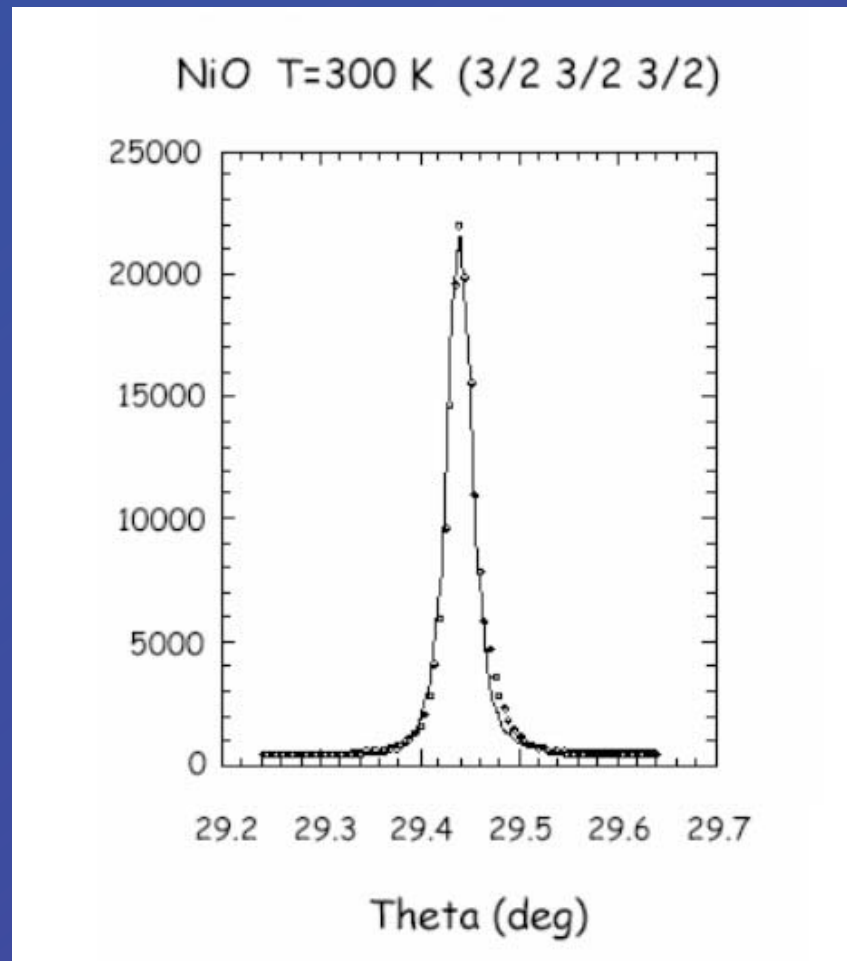
Theta (deg.)

# X-ray magnetic scattering in NiO with synchrotron radiation

*V. Fernandez et al., Phys. Rev. B57, 7870 (1998)*

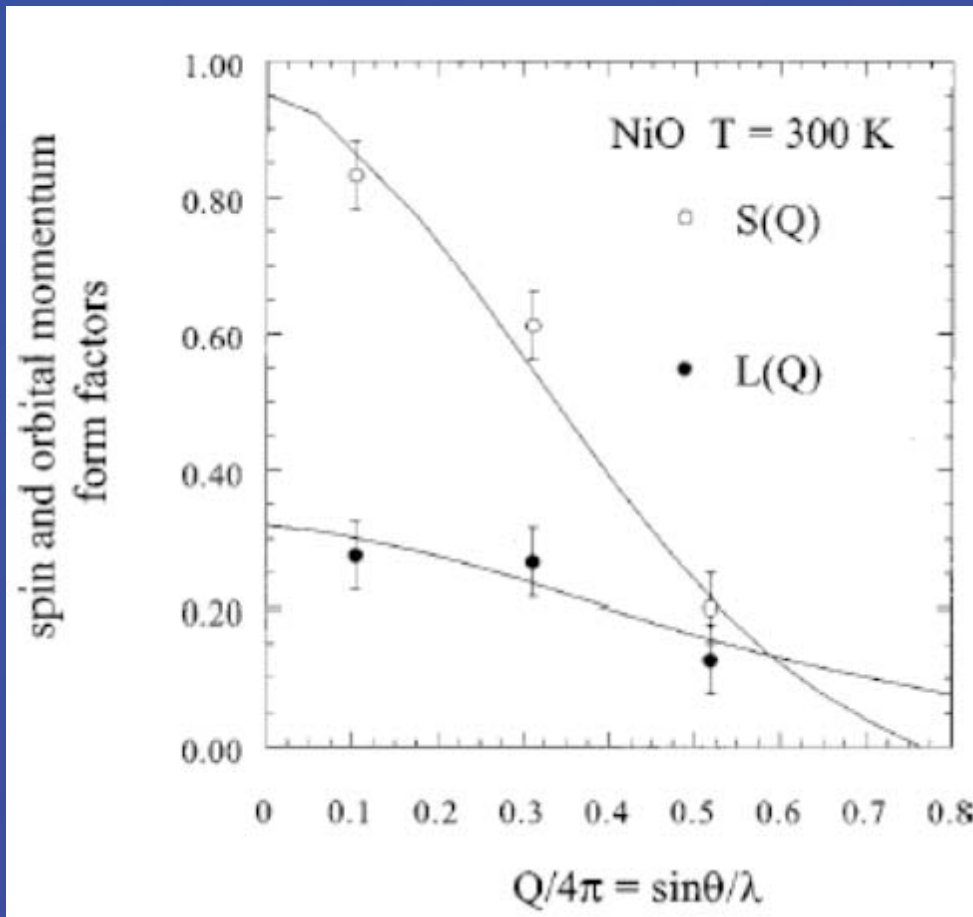
ESRF ID20 Beamline

(counts/s)



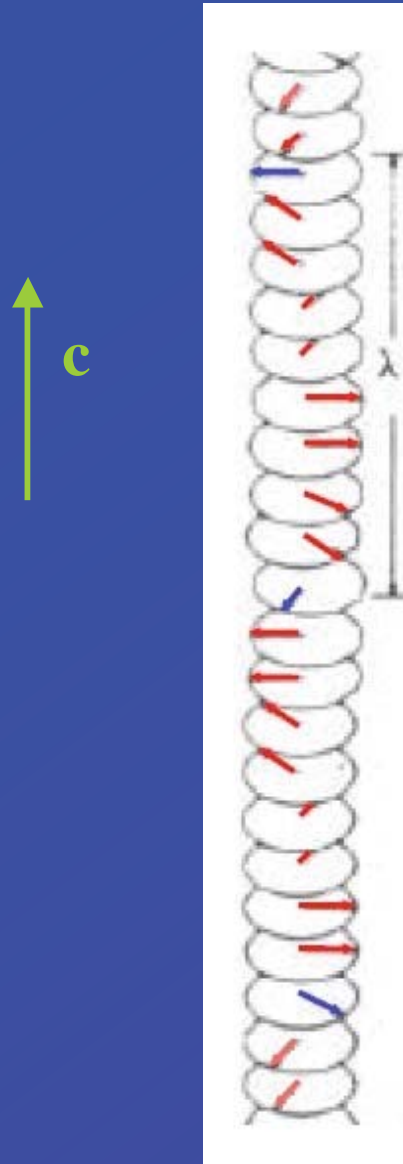
# L and S separation for NiO

*V. Fernandez et al., Phys. Rev. B57, 7870*



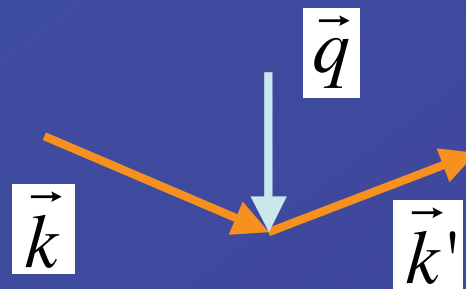
-> L/S=0.34

# Application to Holmium magnetic structures



Helical phase ( $20 < T < 130 \text{K}$ )  
s rotate from plane to plane with turn angle that depends on T (incommensurate magnetic spirales; reciprocal vectors:  $\tau_m // c$ )  
(for  $T < 20 \text{K}$  cone structure)

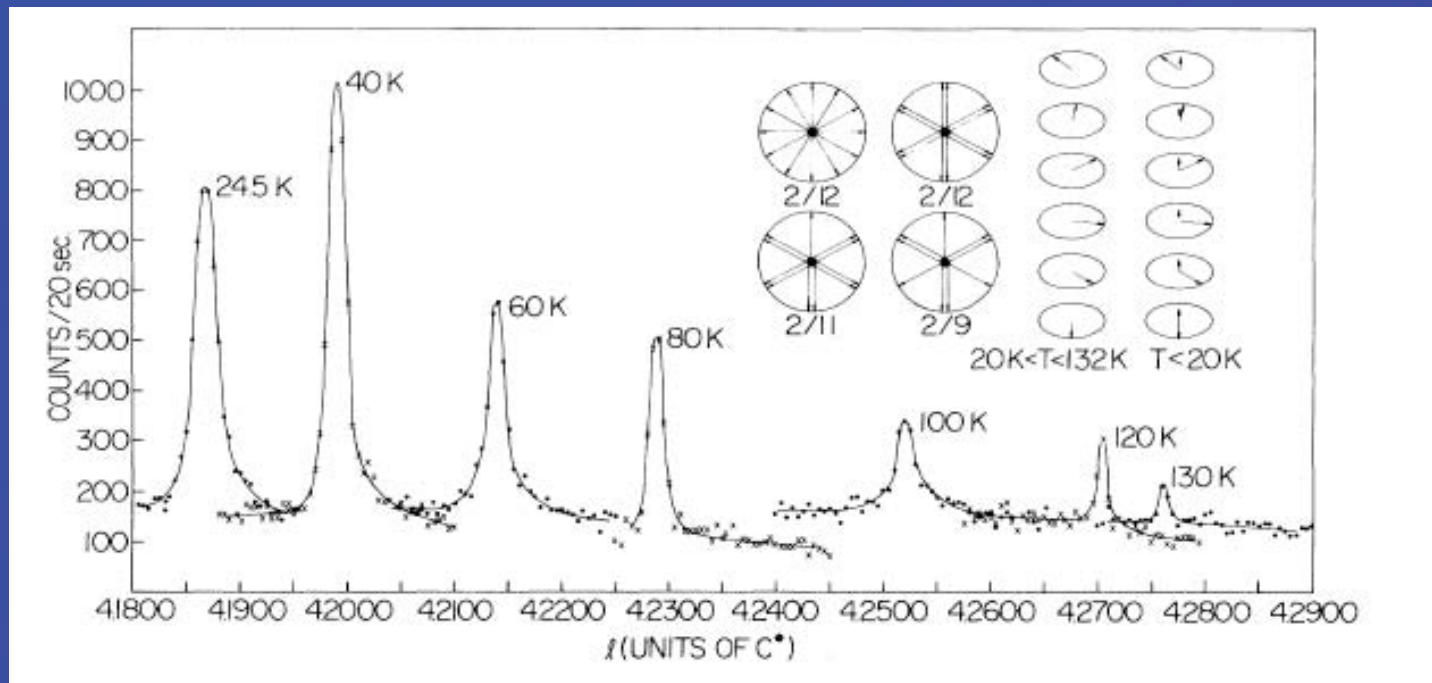
Scattering geometry:





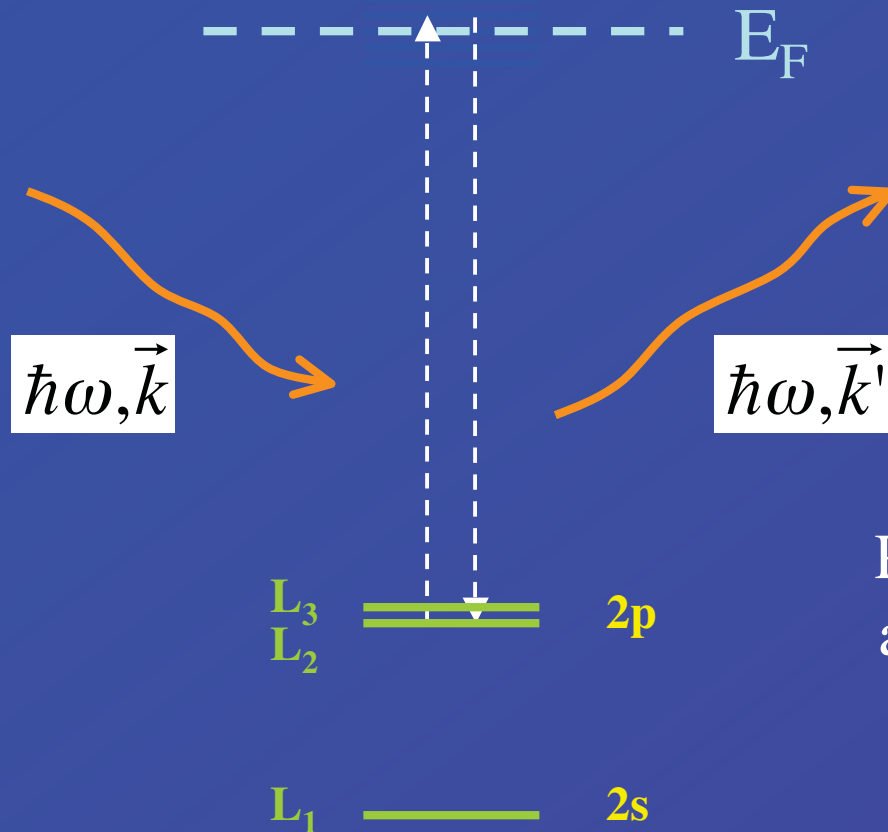
# X-ray magnetic scattering in holmium with synchrotron radiation

*D. Gibbs et al., Phys. Lett. 55, 234 (1985)*



**Excellent momentum resolution**

## B) Resonant scattering



Photon energy resonant with a core level absorption edge

*Resonant elastic x-ray scattering is a second order process in which a core electron is virtually promoted to some intermediate states above the Fermi energy, and subsequently decays to the same core level*

## B) Resonant scattering amplitude

Scattering amplitude

$$F^{res.} \propto \sum_n \frac{\langle 0 | \vec{\varepsilon}^* \cdot \vec{p} e^{i\vec{k} \cdot \vec{r}} | n \rangle \langle n | \vec{\varepsilon}' \cdot \vec{p} e^{-i\vec{k}' \cdot \vec{r}} | 0 \rangle}{E_n - E_0 - \hbar\omega + i\Gamma/2}$$

Multipole expansion:

$$e^{i\vec{k} \cdot \vec{r}} \approx 1 + i\vec{k} \cdot \vec{r} + \dots$$

Strength of the transition depends on:

- overlap integrals
- transition order

In transition metals: L<sub>2,3</sub> edge **2p → 3d** (dipolar) 0.4-1keV **strong**

## B) Resonant magnetic scattering

- 1) **Has a large intensity** ( $10^2$ - $10^4$  times larger than non-resonant)
- 2) **Is element sensitive** (from the core level binding energy)
- 3) **Is less directly related to the magnetic moments** (but is energy dependent -> spectrum)

## Dipole-dipole scattering: Hannon-Trammel formula

Hannon et al., Phys. Rev. Lett. 61, 1245 (1988)

$$F^{res.} = -\frac{e^2}{mc^2} \left[ (\vec{\epsilon}'^* \cdot \vec{\epsilon}) f^{(0)} - i(\vec{\epsilon}'^* \times \vec{\epsilon}) \cdot \hat{z}_n f^{(1)} + (\vec{\epsilon}'^* \cdot \hat{z}_n)(\vec{\epsilon} \cdot \hat{z}_n) f^{(2)} \right]$$

Anomalous  
dispersion

XRMS  
(re: circular dichroism)

XRMS  
(re: linear dichroism)

$\hat{z}_n$

is a unit vector parallel to the magnetic moment of the nth ion

$f^0$

are linear combination of the components of the atomic scattering tensor  $f_{m,m}$ ,

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Note: the Hannon-Trammel formula is valid for local atomic site symmetry  $C_{4h}$  or higher - see, e.g., Stojic et al., Phys. Rev. B 72, 104108 (2005)

## $L_{2,3}$ edge scattering in 3d transition-metal compounds

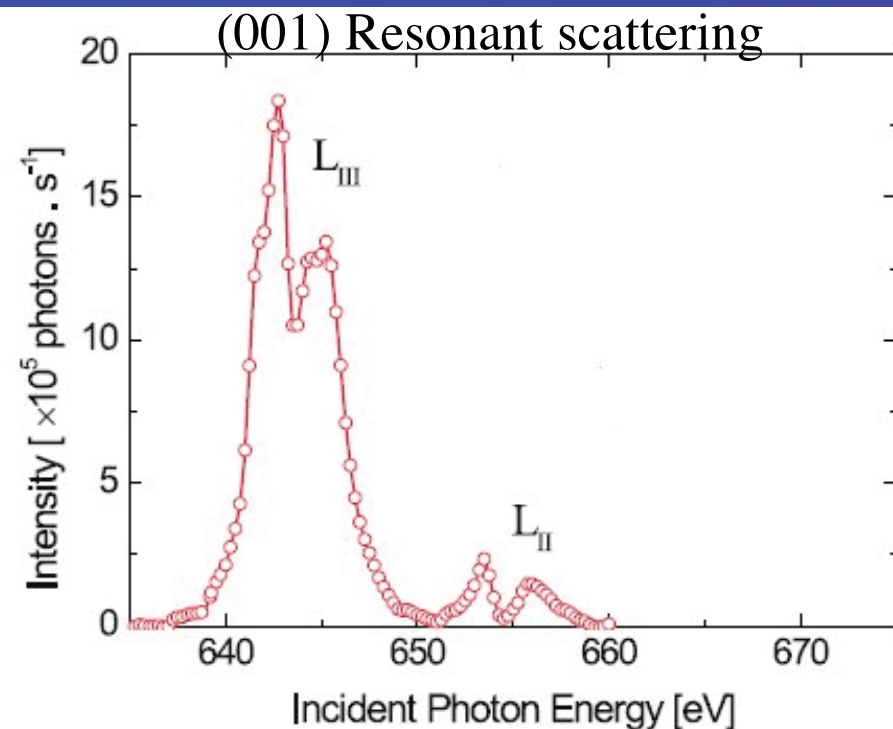
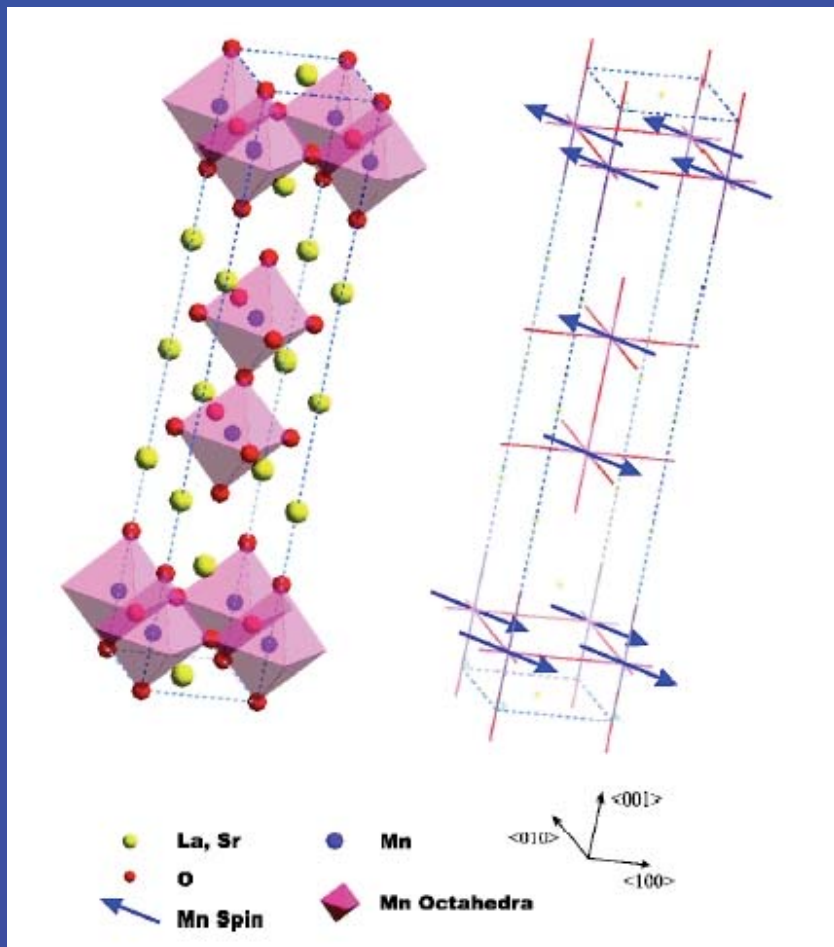
2p  $\rightarrow$  3d: directly probes the magnetic electronic states

Soft x-ray magnetic scattering probes structures with long periods:

- Artificial superstructures/multilayers
- Complex crystals with large lattice or magnetic unit cells

# Soft x-ray resonant magnetic scattering at the Mn $L_{2,3}$ edges in $\text{La}_{2-2x}\text{Sr}_{1+2x}\text{Mn}_2\text{O}_7$

Wilkins et al., *Phys. Rev. Lett.* 90, (2003)

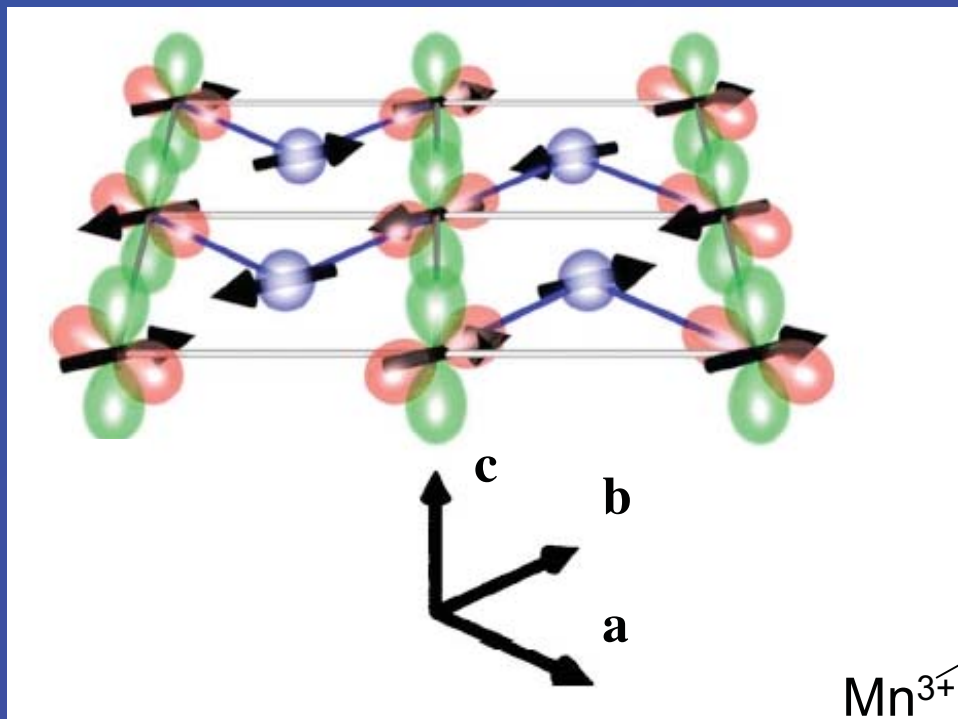


(001) scattering due to AFM magnetic scattering (charge scattering - non-resonant- found to be much weaker)

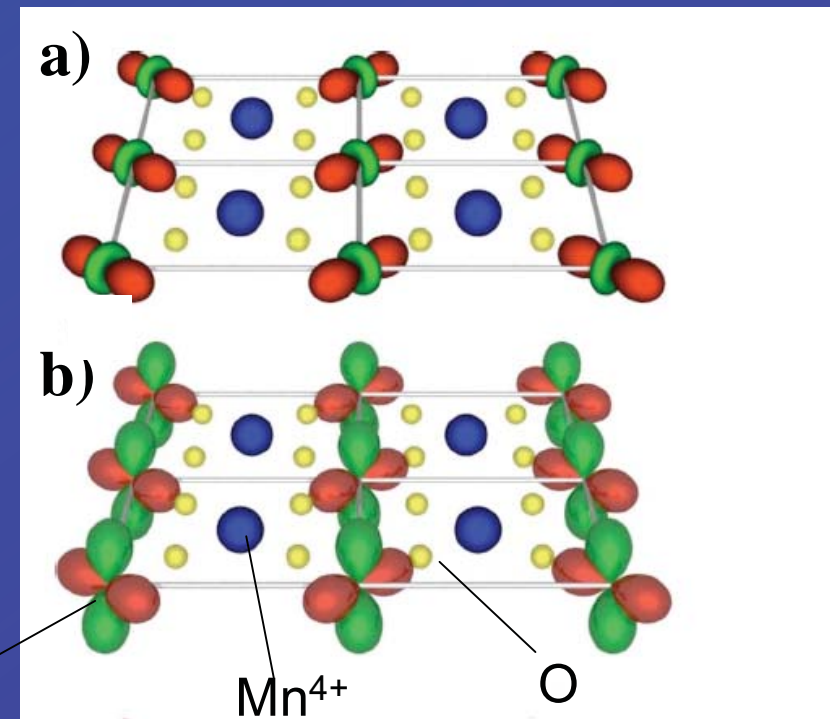
# Soft x-ray resonant scattering at the Mn $L_{2,3}$ edges in $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$

Wilkins et al., *Phys. Rev. B* 71, 245102 (2005)

Magnetic order



Mn 3d-orbital order



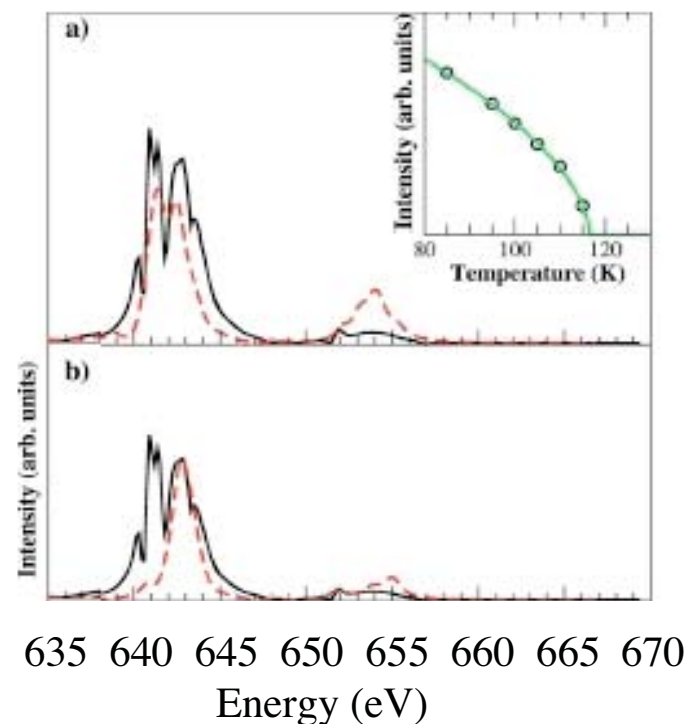
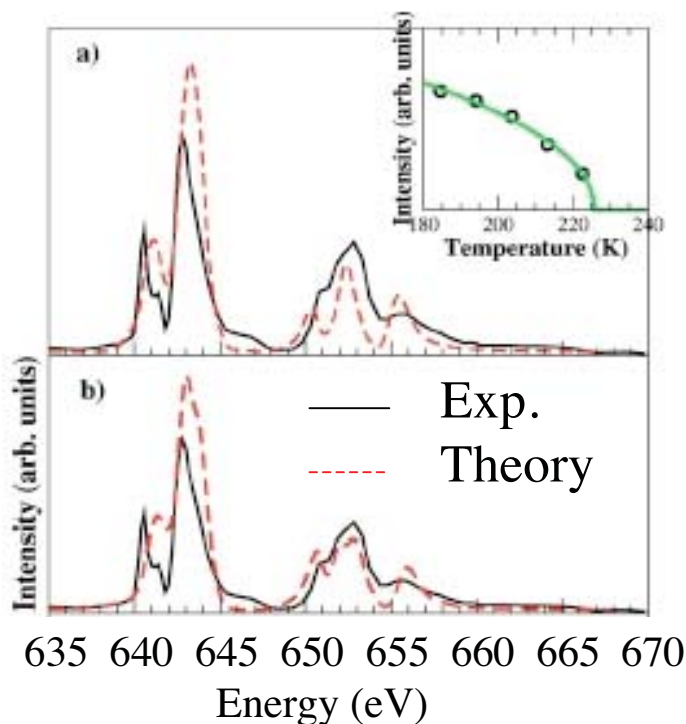


# Soft x-ray resonant scattering at the Mn $L_{2,3}$ edges in $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$

Wilkins et al., *Phys. Rev. B* 71, 245102 (2005)

Orbital scattering ( $1/4, 1/4, 0$ )

Magnetic scattering ( $1/4, -1/4, 1/2$ )



By comparison with atomic multiplet calculations in a crystal field:  
determination of magnetic & orbital structure; here  $\rightarrow$  a)  $x^2-z^2 / y^2-z^2$