



**The Abdus Salam
International Centre for Theoretical Physics**



2145-24

Spring College on Computational Nanoscience

17 - 28 May 2010

Electric Transport in Carbon Nanotubes and Graphene at High Field

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Transport, current saturation and hot phonons at high bias in metallic nanotubes and graphene

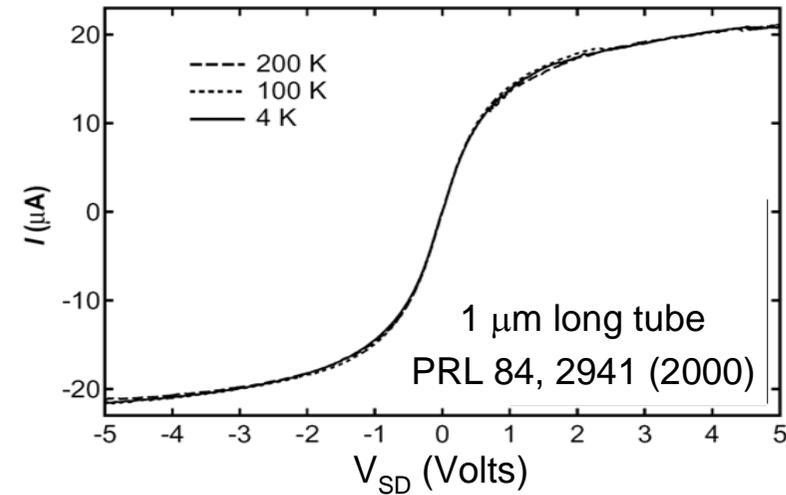
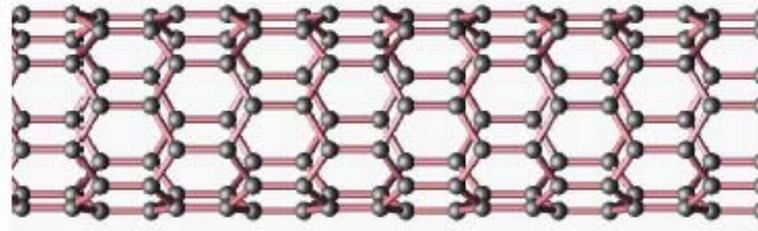
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Motivations



Metallic Carbon nanotubes:

-Highest current density ($\sim 10^9$ A/cm²)

-Interconnects for tomorrow electronics but saturation of the current at high bias:

- What is the origin of the saturation?
- Can we improve the nanotube performances?
- Graphene at high bias: maximum current density? Graphene interconnects?

OUTLINE

- metallic carbon nanotubes:
 - transport measurements at high bias
 - scattering processes (DFT vs. experiments)
 - Boltzmann for phonons and electrons, hot phonons
 - cooling hot-phonons to improve performances
- graphene:
 - transport measurements
 - Boltzmann for phonons and electrons
 - analysis of scattering lengths

OUTLINE

PART 1: Transport at high field in metallic nanotubes

- transport measurements at high bias
- scattering processes (DFT vs. experiments)
- Boltzmann for phonons and electrons, hot phonons
- cooling hot-phonons to improve performances

PART 2: Transport at high field in doped graphene

- experimental results on high-mobility graphene devices
- Boltzmann modeling of high field transport in graphene

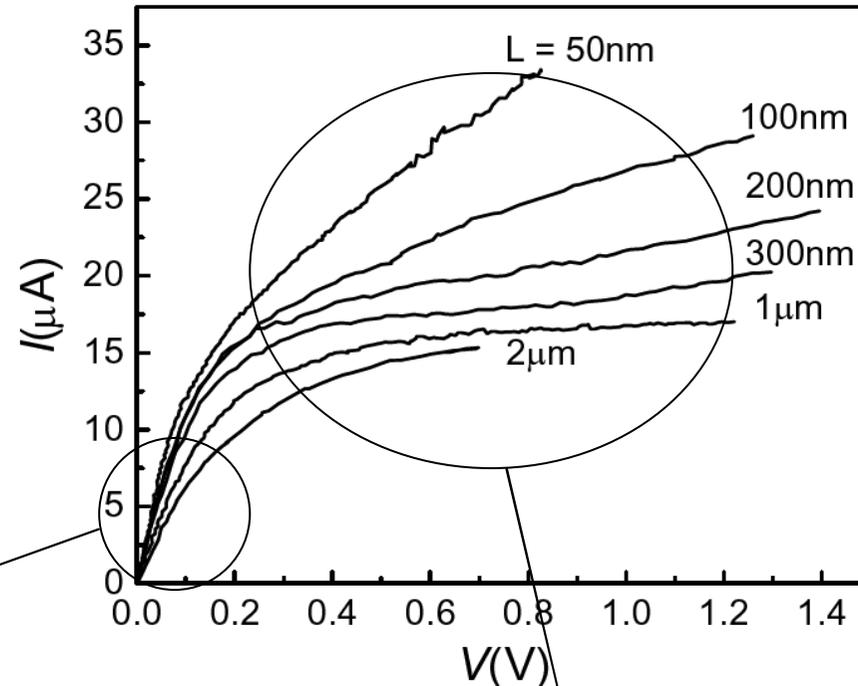
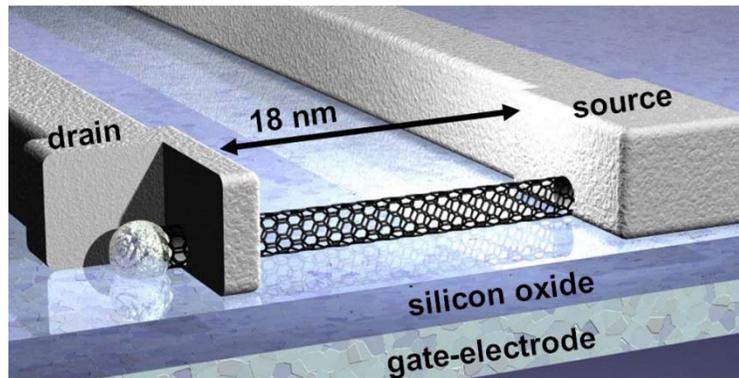
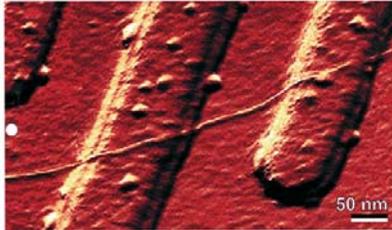
PART 3: Transport in graphene at high field near the charge neutrality point

- Boltzmann (semiclassical) vs. quantum (tunneling) transport
- Zener current in ballistic and disordered graphene: theory and experiment

metallic tubes on substrate

Park et al., Nano Lett. 4, 517 (04)

Experimental I/V of a nanotube transistor



$V < 0.2$ V, ballistic regime

- resistance weakly depends on length in short tubes
- electron scattering length:

300 nm – 1600 nm

due to defects and acoustic phonons

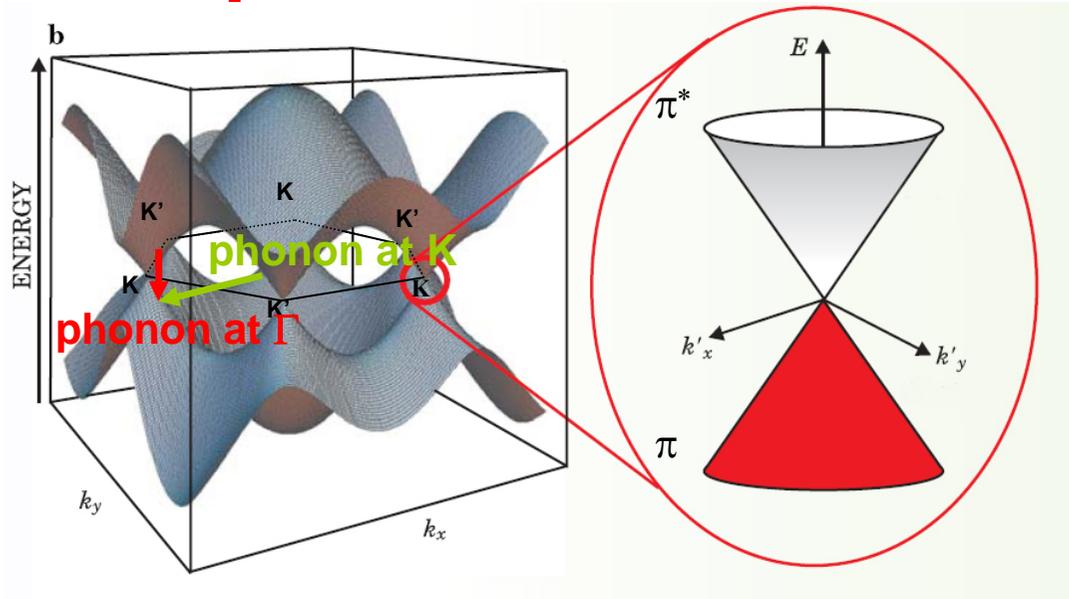
$V > 0.2$ V, non-ballistic regime

- resistance depends on length
- electron scattering length:

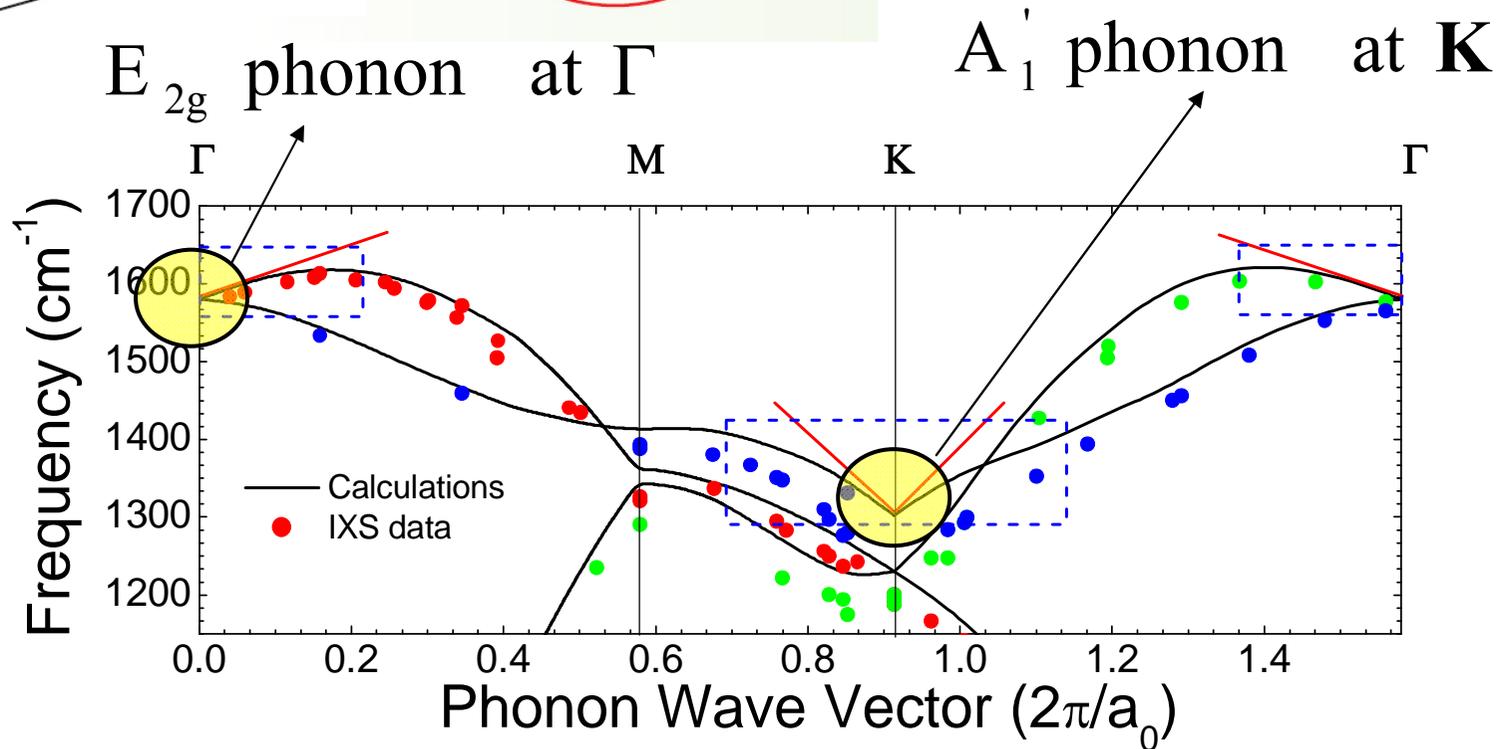
10-15 nm

due to optical phonons ~ 0.2 eV

Graphene and tube: electrons and phonons

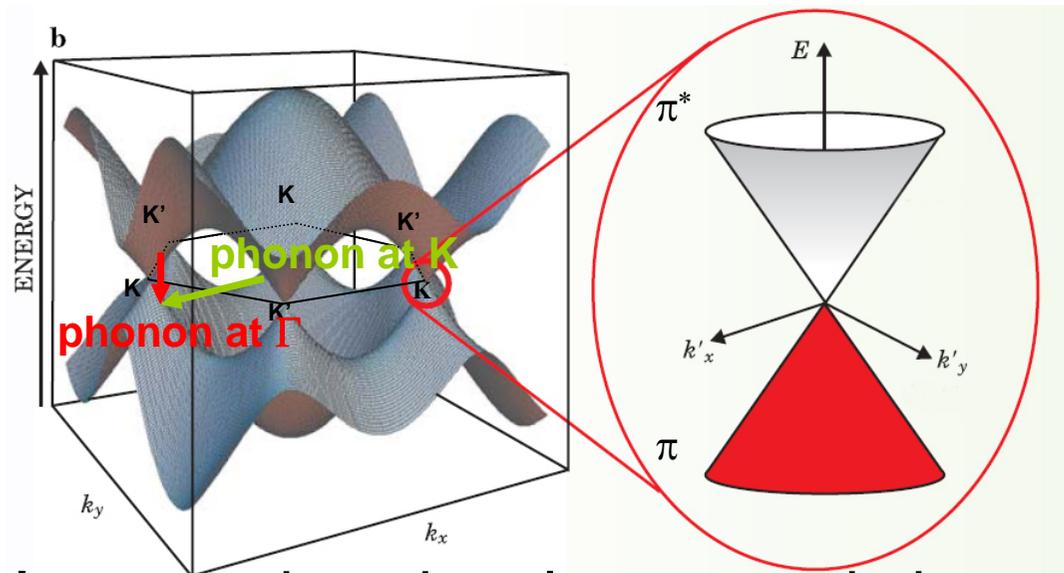


- Fermi surface: circles around K and $K'=2K$
- Optical phonon relevant for transport: Γ and K

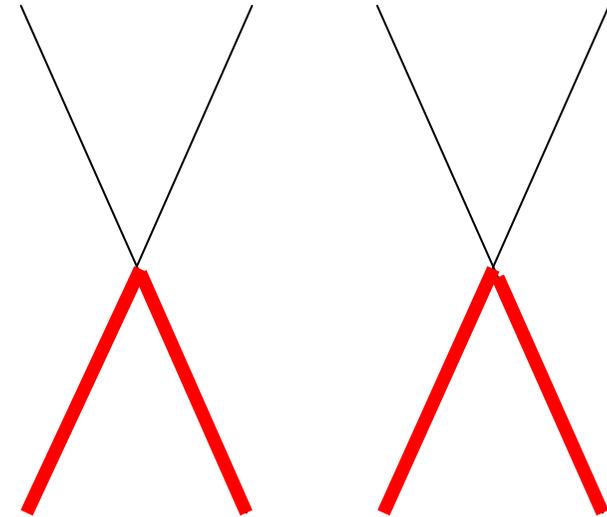


Metallic tubes: electronic structure

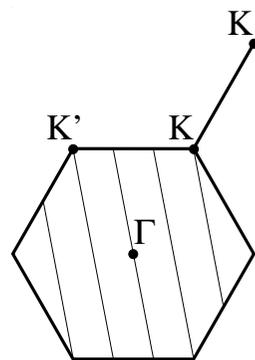
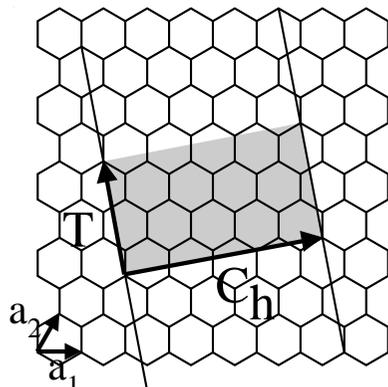
graphene



metallic tubes



In nanotubes the electron and phonon states are well described by those of graphene with $\mathbf{k} \cdot \mathbf{C}_h = 2\pi i$, (i integer)

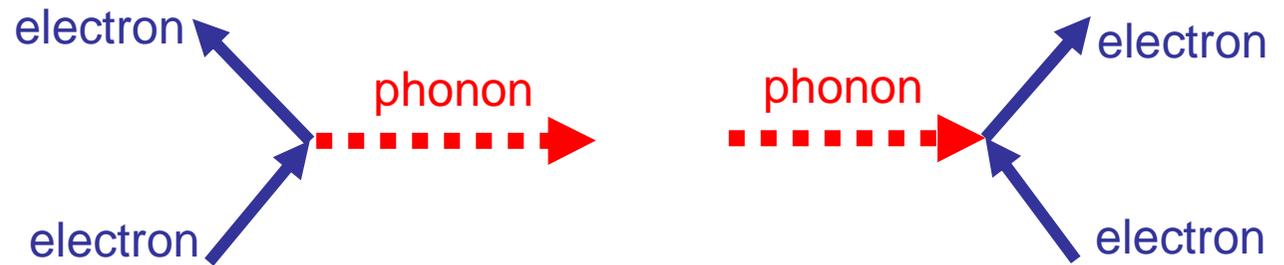


Metallic tubes: $(m-n) = 3i$, (i integer)

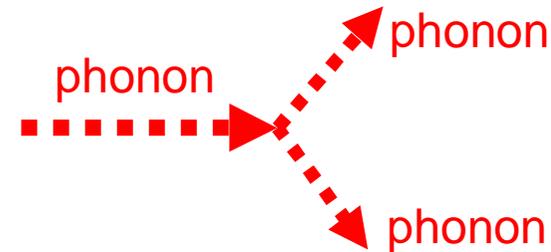
Semicond. tubes: $(m-n) \neq 3i$, (i integer)

collision processes for transport

electron-phonon:

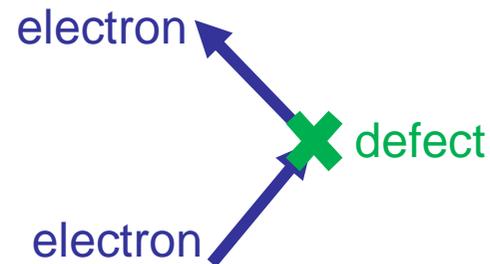


phonon-phonon (anharmonicity):



DFT (GW) calculations, validated with phonon measurements

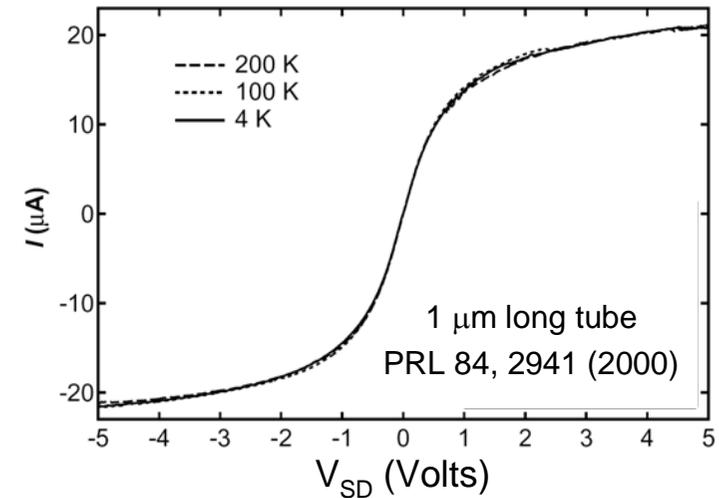
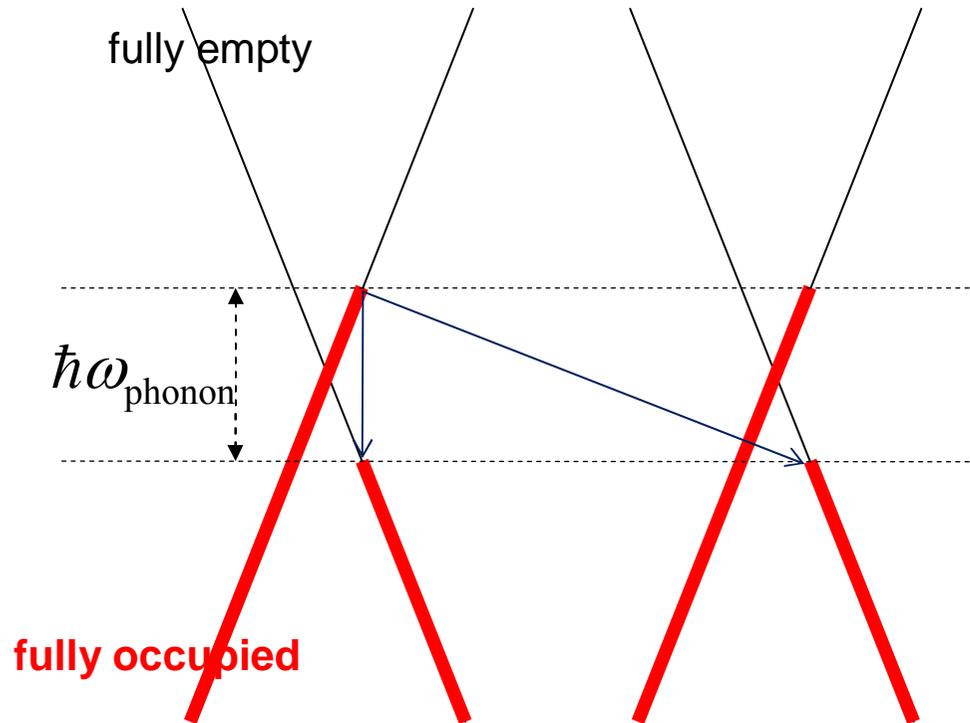
electron-defects (extrinsic):



extracted from experimental low-field conductivity

saturation current in tubes

full saturation model



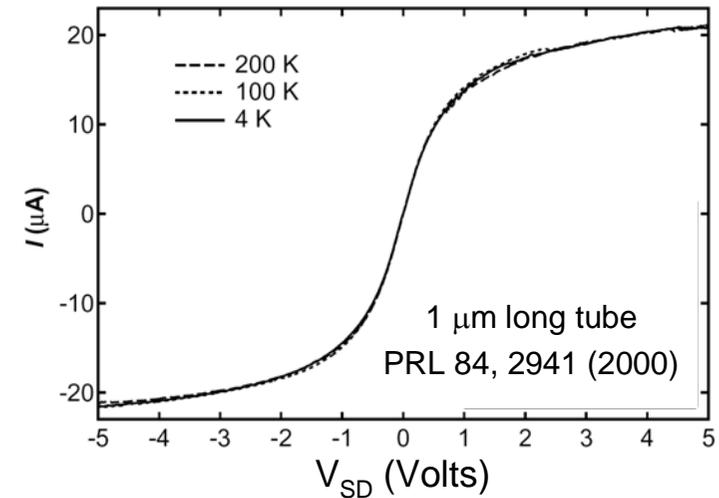
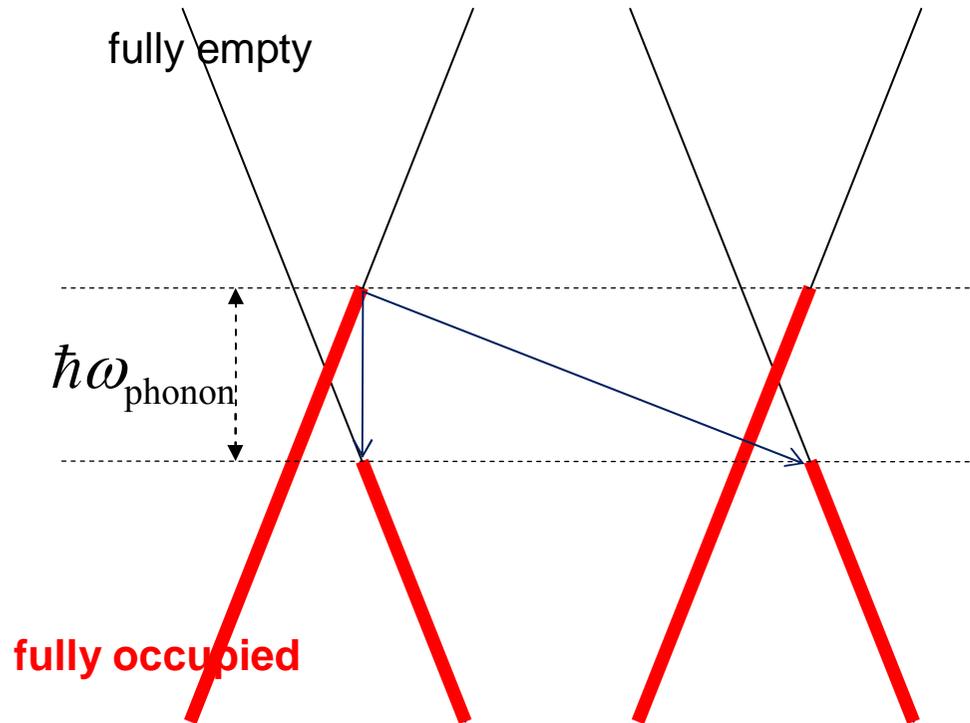
If **phonon emission instantaneous** once the threshold is reached, (long tubes, V_{sd} not too large)

elastic scattering negligible,

$$I = 4 \int \frac{dk}{2\pi} e v_k = 4 \int \frac{dk}{2\pi} e \frac{d\varepsilon_k}{\hbar dk} = \frac{4e}{2\pi\hbar} \int d\varepsilon = \frac{4e}{2\pi\hbar} \hbar\omega_{\text{phonon}}$$

saturation current in tubes

full saturation model



If **phonon emission instantaneous** once the threshold is reached, (long tubes, V_{sd} not too large)

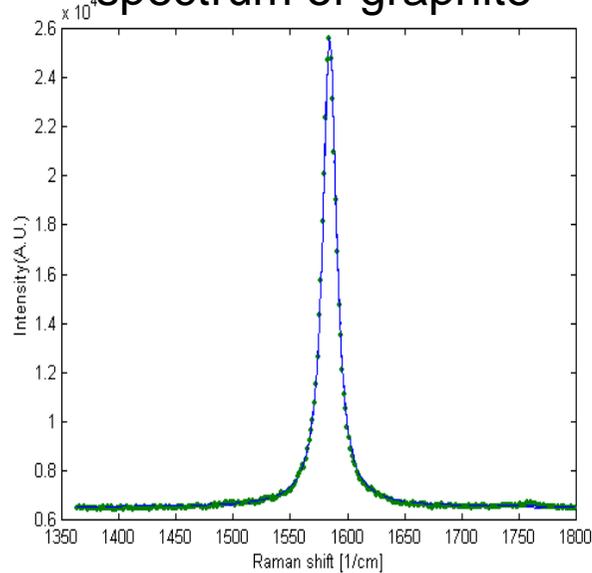
elastic scattering negligible,

$$I = \frac{4e}{2\pi\hbar} \hbar\omega_{\text{phonon}} = 24\mu\text{A}, \quad \text{with } \hbar\omega_{\text{phonon}} = 0.15\text{meV}$$

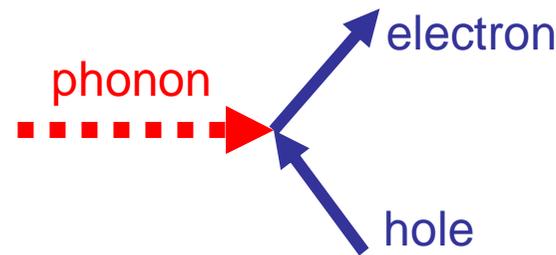
Phonon lifetime in graphite/graphene

[Lazzeri, Piscanec, Mauri, Ferrari, Robertson, Phys. Rev. B 73, 155426 (2006)]

experimental Raman spectrum of graphite



- The Raman G line in graphite E_{2g} phonon at Γ and is well fitted by a Lorentzian with $\text{FWHM}=13\text{cm}^{-1}$
- The width is due to the finite lifetime



$$\text{FWHM} = \gamma_{\vec{q}} = \frac{4\pi}{N_k} \frac{\hbar}{2M\omega_{\vec{q}}} \sum_{k,o,e} \left| \langle \vec{k} + \vec{q}, e | \Delta V_{\vec{q}} | \vec{k}, o \rangle \right|^2 \delta(\epsilon_{\vec{k},o} - \epsilon_{\vec{k}+\vec{q},e} + \hbar\omega_{\vec{q}})$$

EPC² hole electron

graphite lattice parameter

$$\gamma_{\Gamma} = \frac{4\pi^4 \sqrt{3} a^2}{M v_F^2} \text{EPC}(\Gamma)^2$$

Fermi velocity

From the Raman G peak line width we can measure EPC

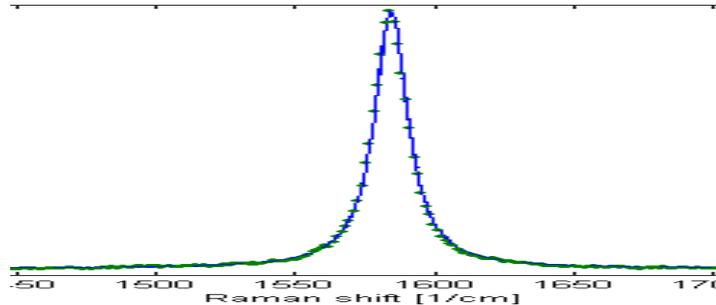
Graphene EPC at Γ

	EPC ² (eV/Å) ²
DFT	45.6
Raman line width	45.5

- Similar result from analysis of phonon dispersions near Γ (Kohn anomaly)

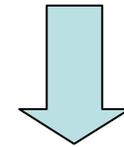
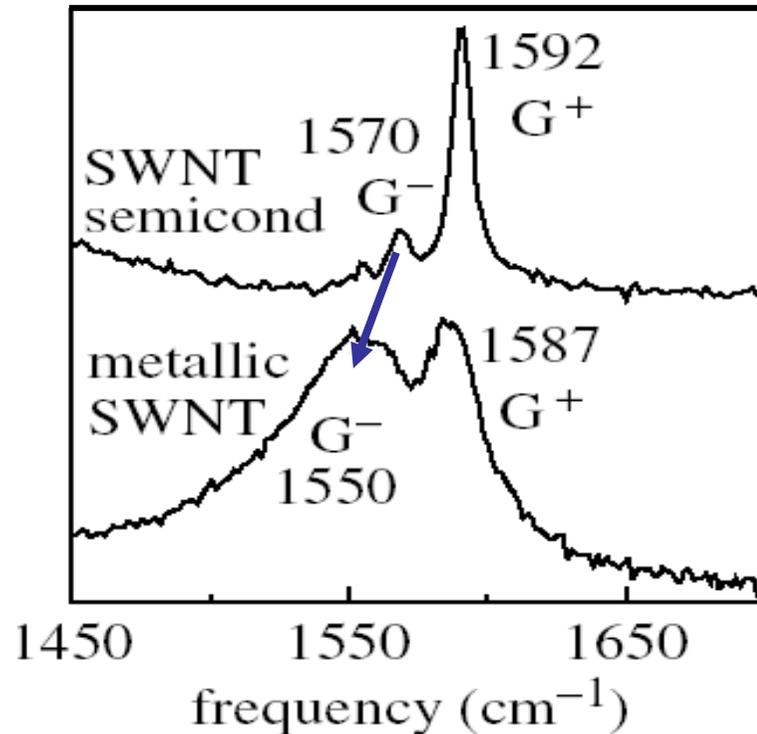
Phonon lifetimes in nanotubes

Raman spectrum of graphite



- The G peak splits in G^+ and G^-
- G^- broad and downshifted in metallic tubes

Raman spectrum of tubes



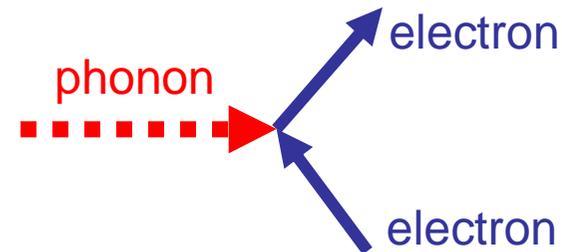
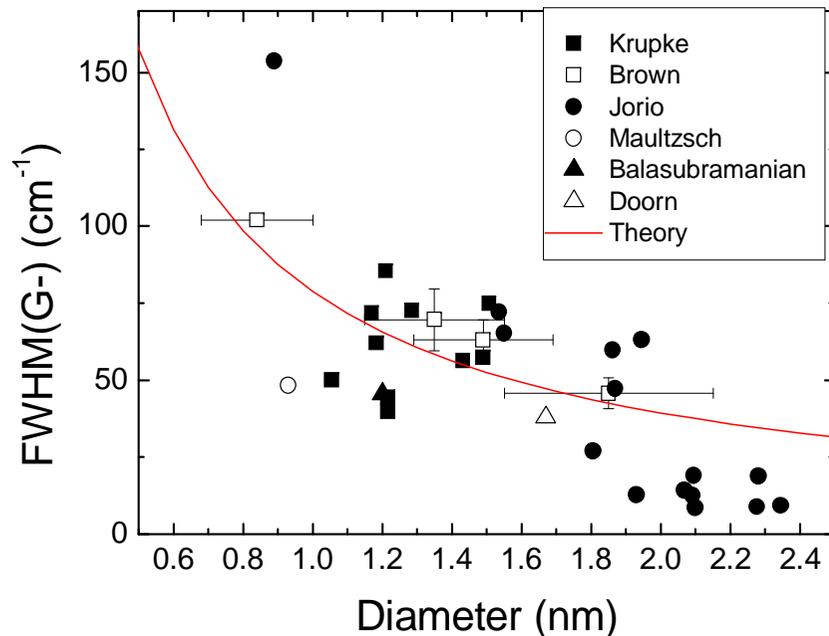
The 2-fold degenerate E_{2g} mode of graphite splits in metallic tubes:

- G^+ transverse mode, perp. to the tube axes, not coupled to electrons
- G^- longitudinal mode, parall. to the tube axes, coupled to electrons

Raman G peak linewidth in nanotubes

[Lazzeri, Piscanec, Mauri, Ferrari, Robertson, Phys. Rev. B 73, 155426 (2006)]

G⁻ linewidth in metallic tubes



By using the refolded EPC of graphite:

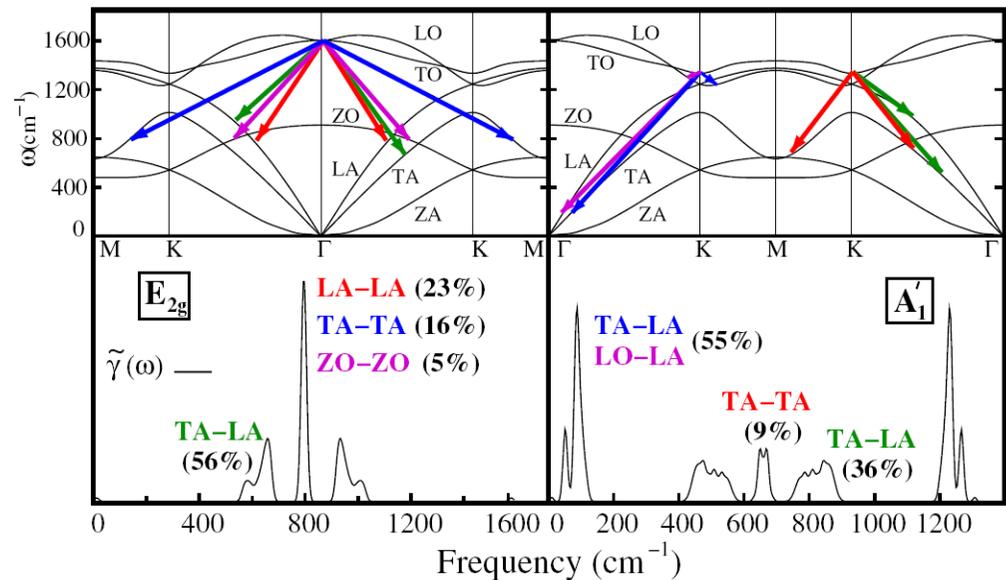
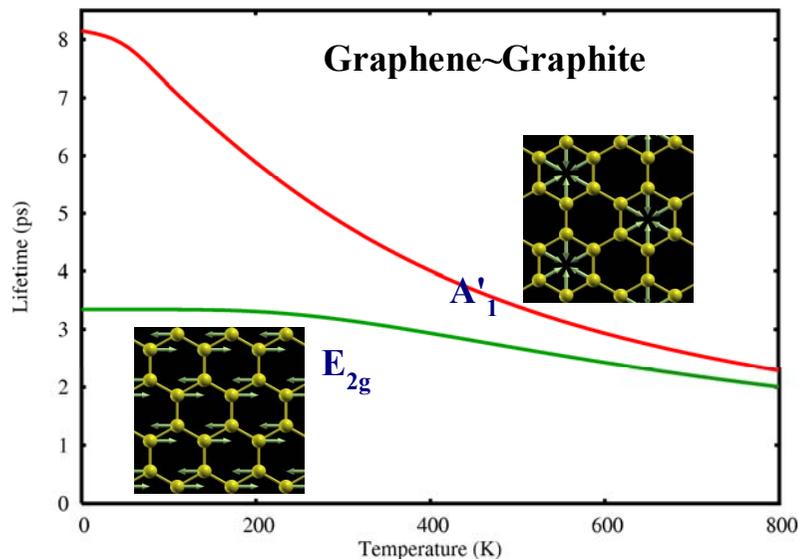
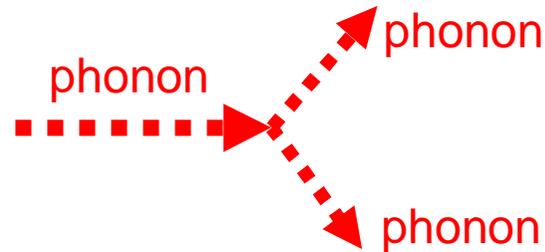
$$\gamma_{G^+} = 0$$

$$\gamma_{G^-} = \frac{8\pi\sqrt{3}}{M\omega_{\Gamma}v_F} \frac{a^2}{d} \text{EPC} (\Gamma)^2 = \frac{79[\text{cm}^{-1}\text{nm}]}{d}$$

graphite lattice parameter
graphite EPC
tube diameter

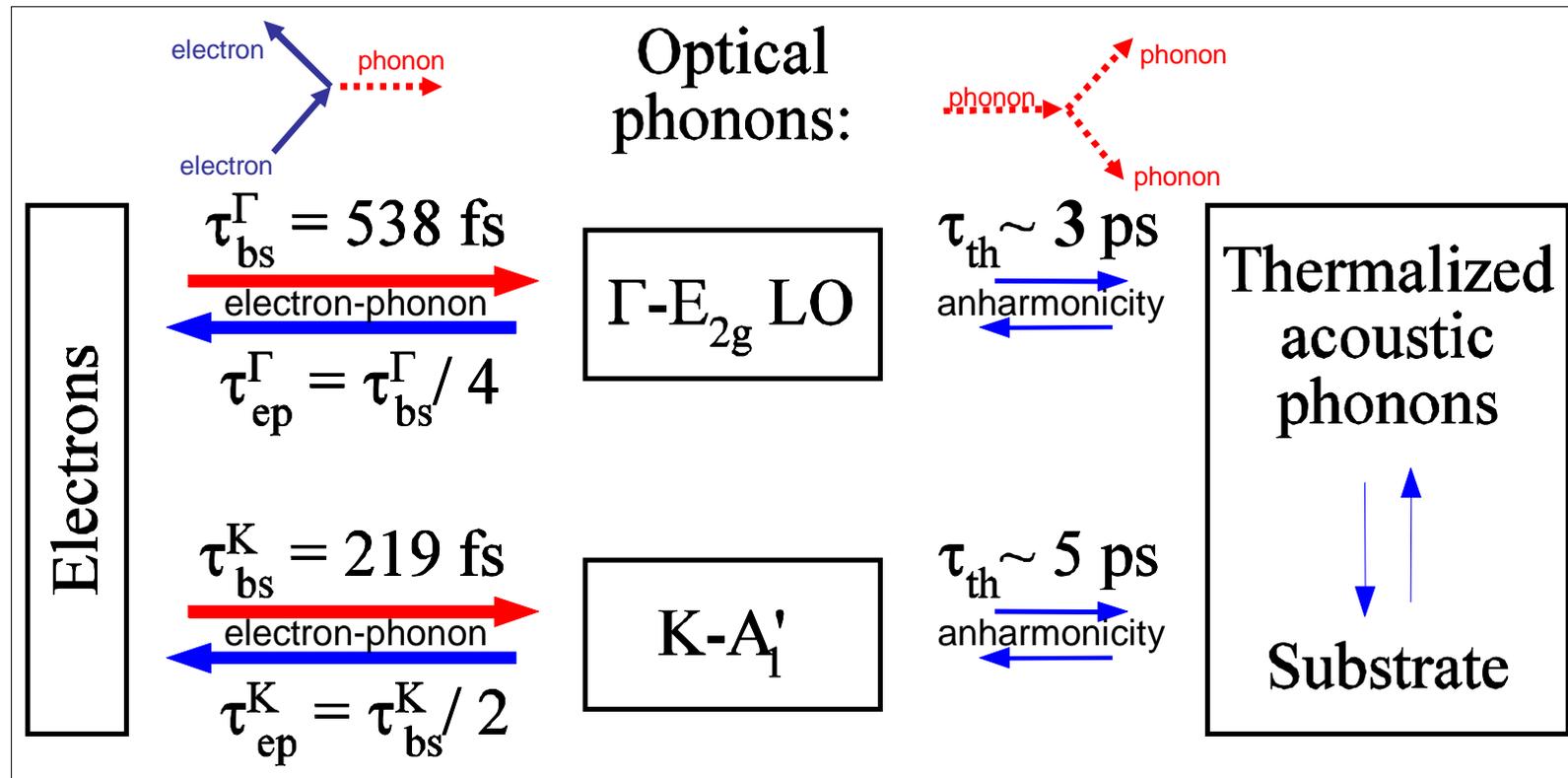
phonons-phonons (anharmonicity) interaction from DFT

[Bonini, Lazzeri, Marzari, Mauri, Phys. Rev. Lett. 99, 176802 (2007)]



Time resolved terahertz spectroscopy [PRL 95, 187403 (05)] on graphite: $\tau_{\text{anharmonic}} \sim 7\text{ps}$

Scattering times for nanotubes with a diameter of 2 nm



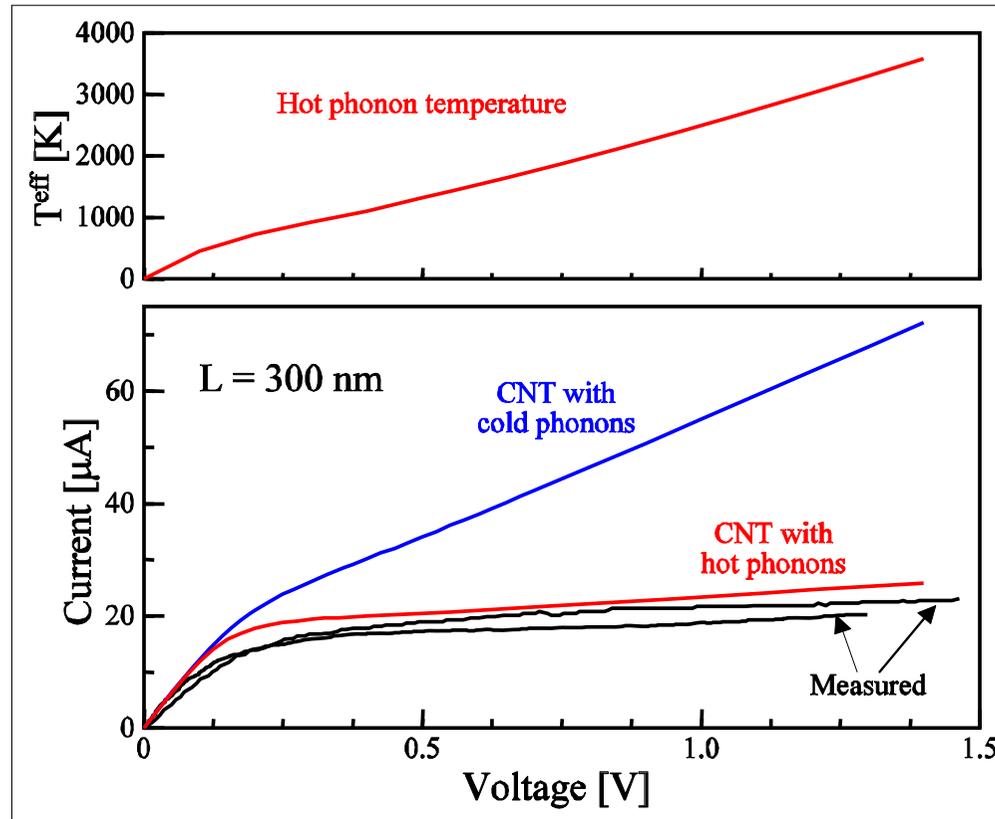
- bottleneck: relaxation from optical to acoustic phonon
- heating of optical phonons is expected

We use the scattering times in Boltzmann semiclassical transport theory for both electrons and phonons

[Lazzeri, Mauri, Phys. Rev. B 165419 (2006)]

- We compute the IV curve of metallic nanotube transistors with:
 - **cold phonons**: supposing that optical phonons are thermalized at room temperature
 - **hot phonons**: allowing for the possibility that optical phonons are heated by the electrons

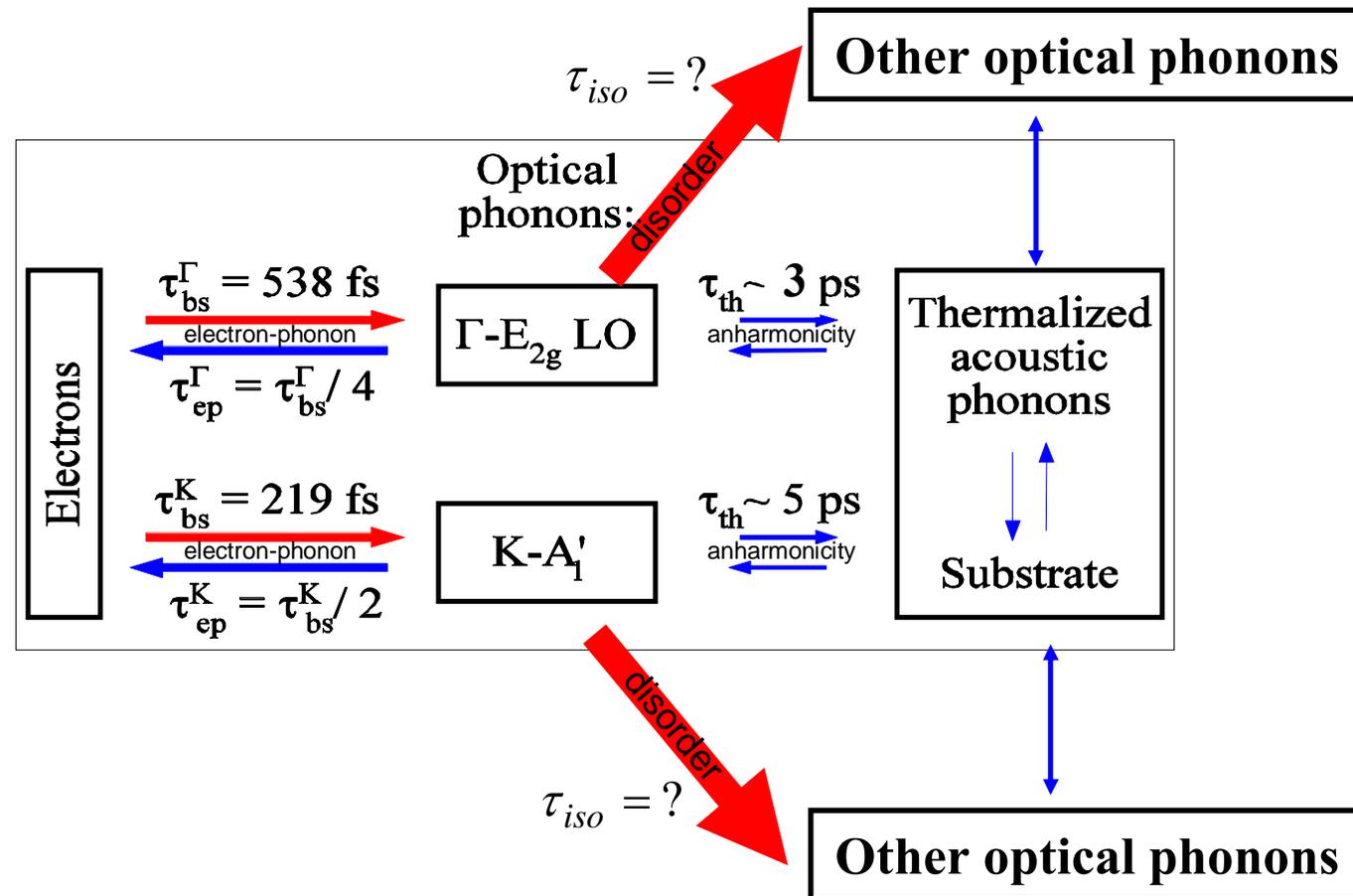
results (300 nm long nanotube)



- under transport optical phonons are **very hot**
- other phonons (non coupled to electrons) are **cold**:
tube *not in thermal equilibrium!*
- we can boost performances with a heat sink

a heat sink: isotopic disorder $^{12}\text{C}_x^{13}\text{C}_{1-x}$

[Vandecasteele, Lazzeri, Mauri, **102**, 196801 (2009)]

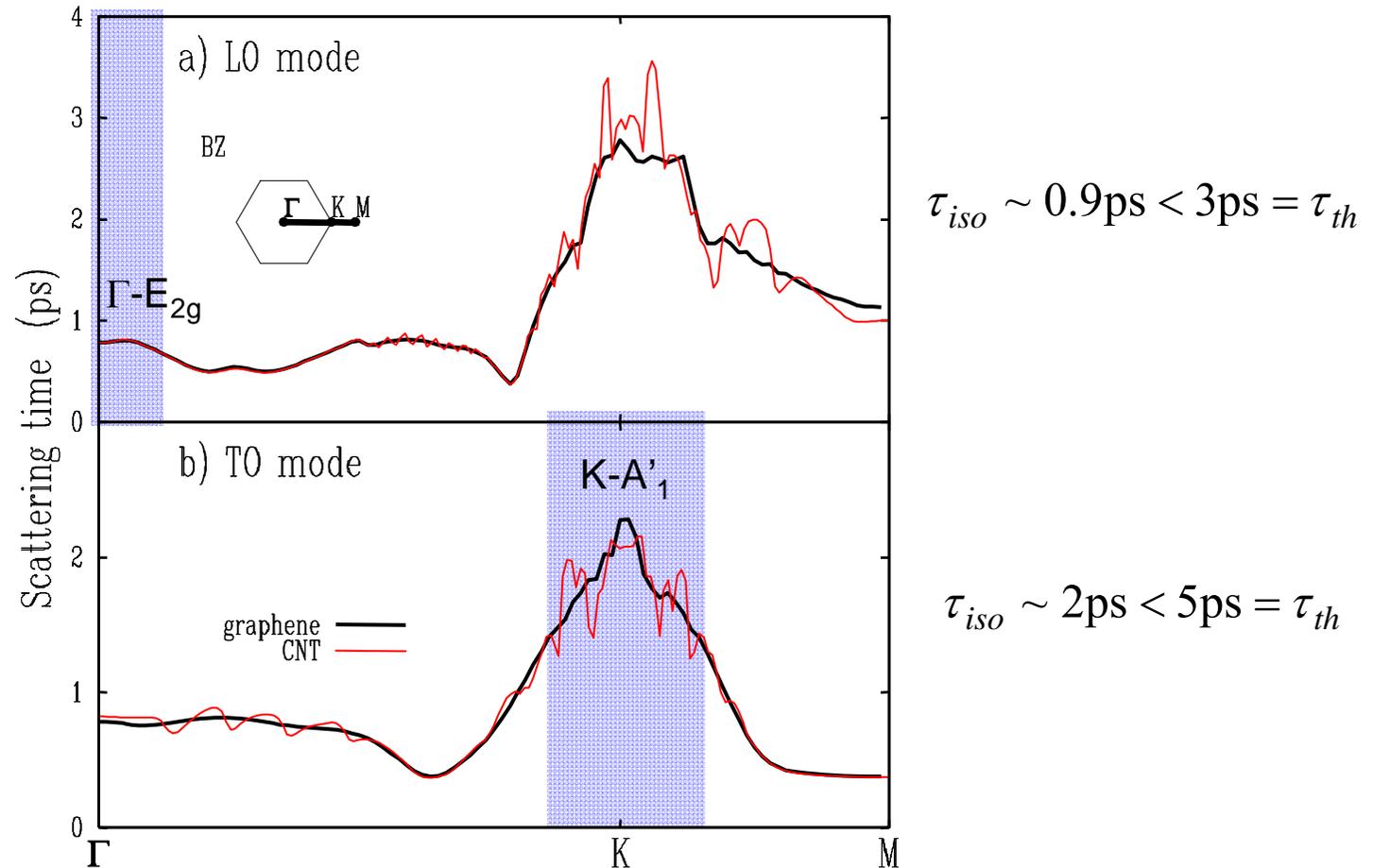


- isotopic disorder scatters phonons but not electrons
- is the disorder-decay-time shorter than τ_{th} (3-5 ps)?

a heat sink: isotopic disorder $^{12}\text{C}_x^{13}\text{C}_{1-x}$

[Vandecasteele, Lazzeri, Mauri, **102**, 196801 (2009)]

with $x=0.5$



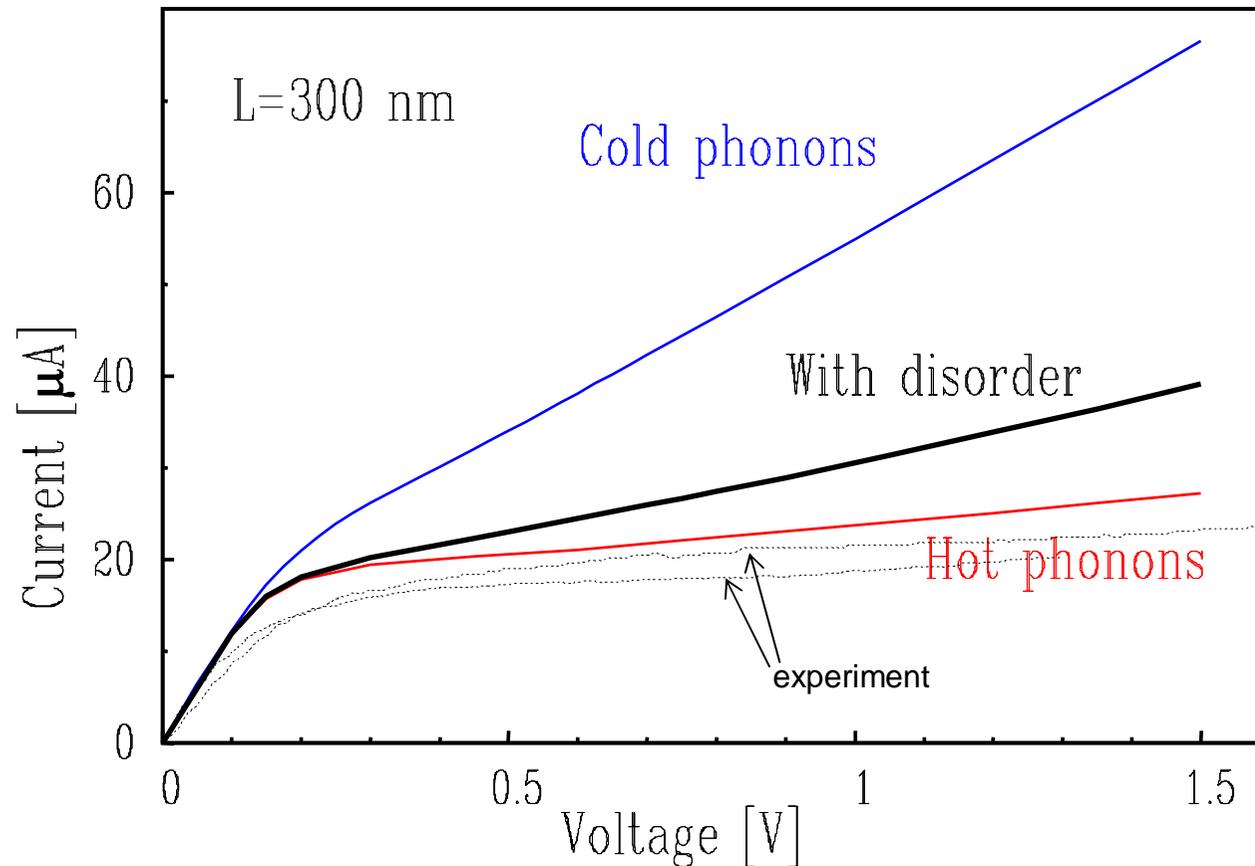
- is the disorder-decay-time shorter than τ_{th} (3-5 ps)?

yes

a heat sink: isotopic disorder $^{12}\text{C}_x^{13}\text{C}_{1-x}$

[Vandecasteele, Lazzeri, Mauri, **102**, 196801 (2009)]

with $x=0.5$



- improvement in the performances (decrease of differential resistivity)

Conclusions part 1

metallic carbon nanotubes

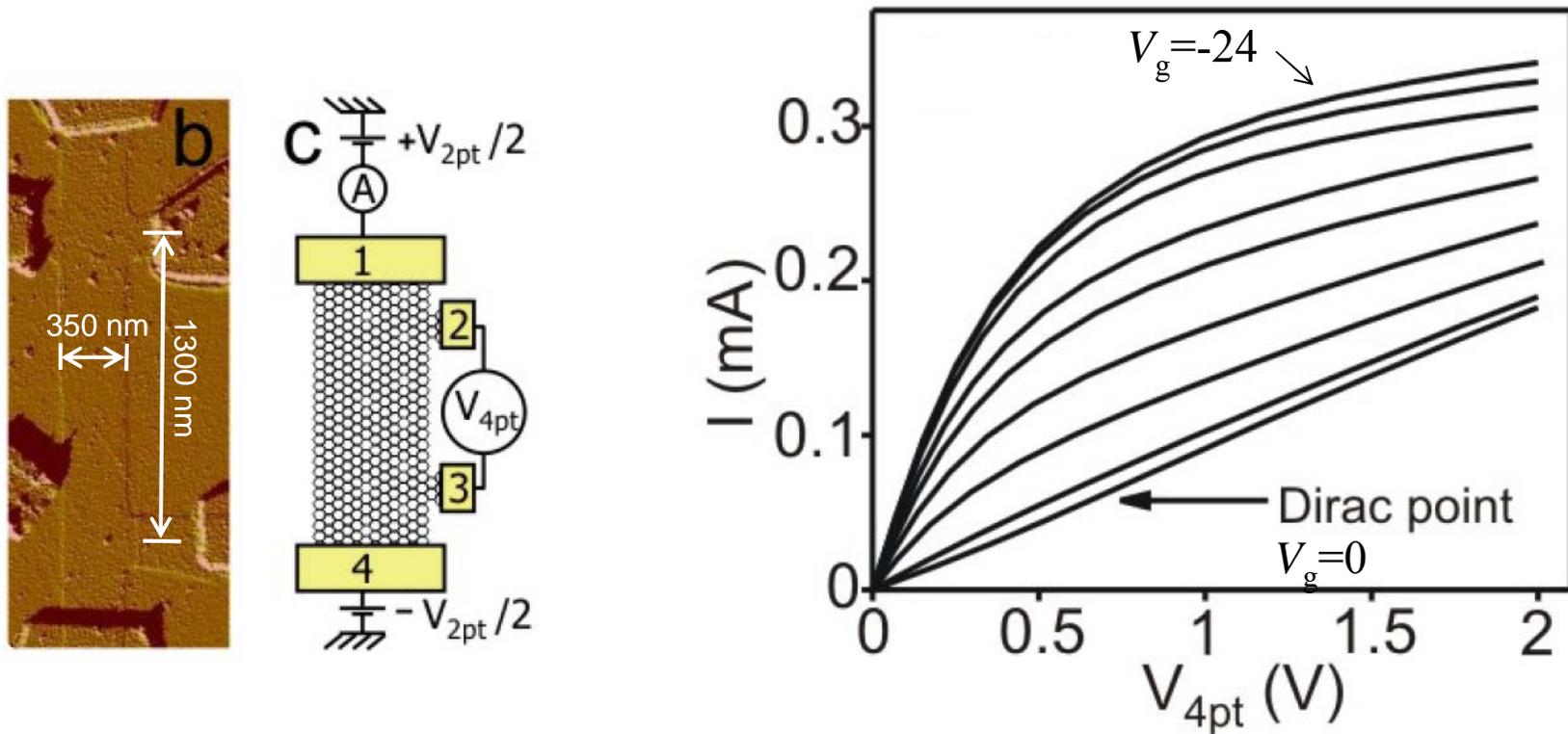
- full saturation is possible, since $l_{el} \sim 1000 \text{ nm} \gg l_{ph} \sim 100 \text{ nm}$
- at high bias, since $\tau_{epc} \ll \tau_{anharmonic}$, phonons become hot and increase the resistance
- isotopic disorder reduces the hot phonons and the resistance

PART 2:

Transport at high field in doped graphene

graphene at high bias in high mobility samples ($\sim 10^4 \text{cm}^2 \text{V}^{-1} \text{s}^{-1}$)

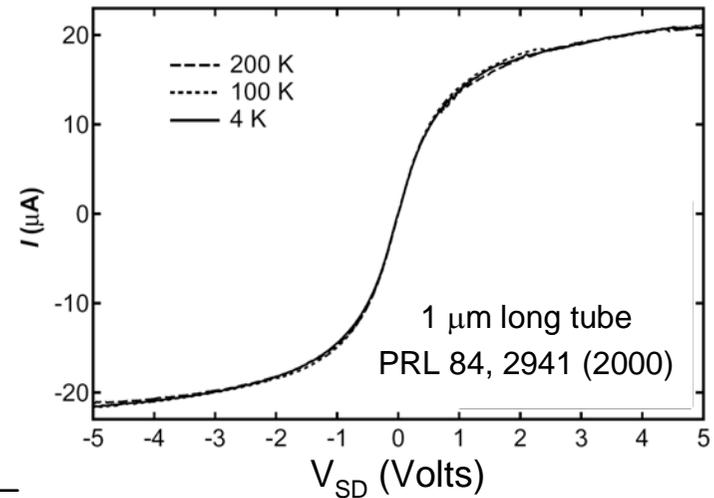
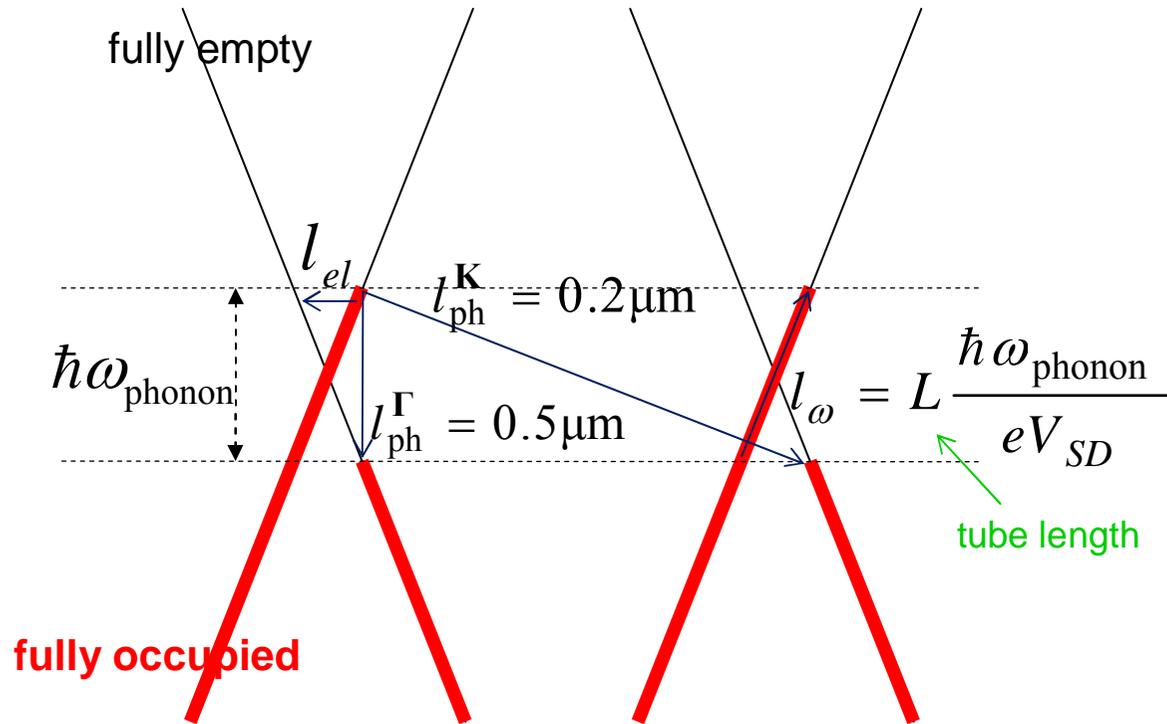
[Barreiro, Lazzeri, Moser, Mauri, Bachtold, PRL **103**, 076601 (2009)]



- differential resistance increases by current never fully saturates
- current $350 \mu\text{A}/350 \text{nm} \sim 1 \mu\text{A}/\text{nm}$. In nanotubes $20 \mu\text{A}/(\pi 2 \text{nm}) \sim 3 \mu\text{A}/\text{nm}$

tube: saturation current

full saturation model



If **phonon emission instantaneous** once the threshold is reached,

$l_{ph} \ll l_\omega$ (long tubes) and

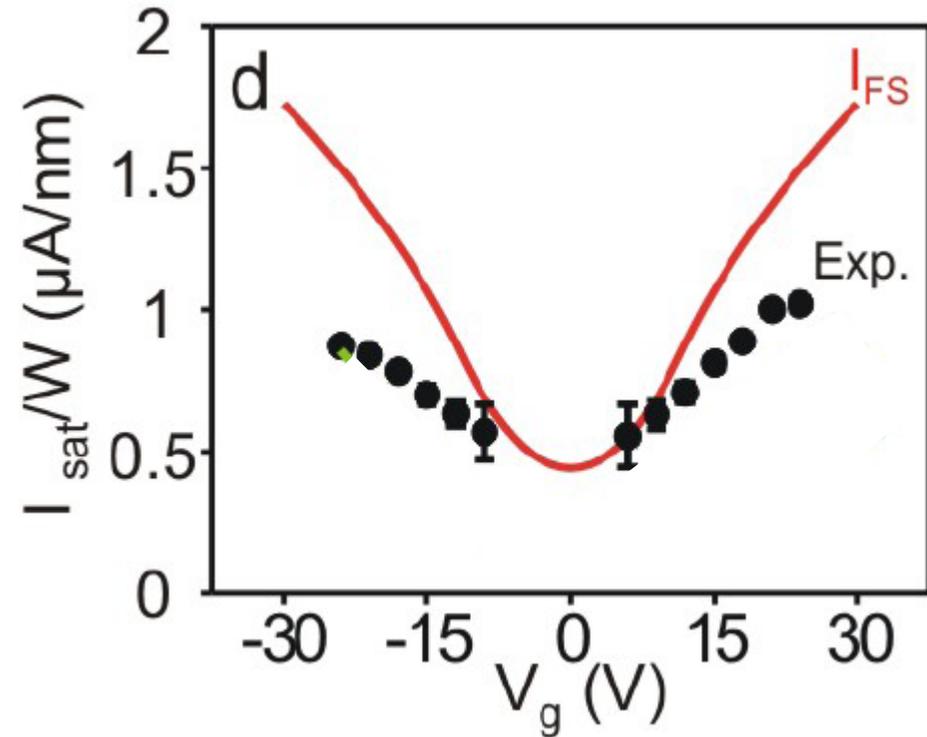
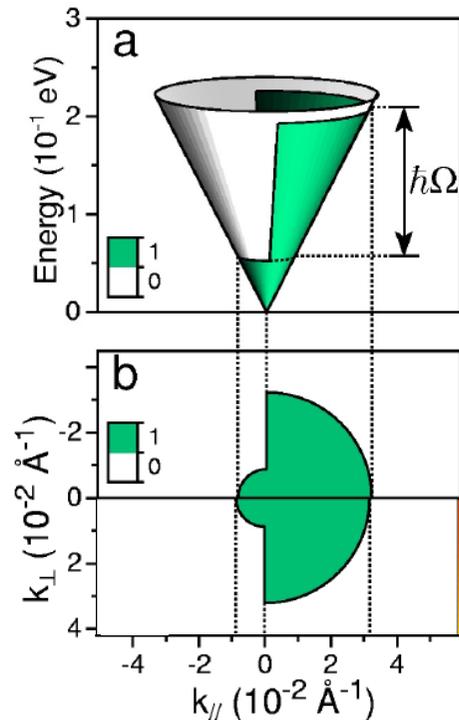
elastic scattering negligible, $l_{el} \ll l_{ph}$ (from expt. $l_{el} \sim 1.6 \mu\text{m}$)

$$I = \frac{4e}{2\pi\hbar} \hbar\omega_{\text{phonon}} = 24 \mu\text{A}, \quad \text{with} \quad \hbar\omega_{\text{phonon}} = 0.15 \text{ meV}$$

graphene: saturation current

[Barreiro, Lazzeri, Moser, Mauri, Bachtold, PRL **103**, 076601 (2009)]

full saturation model

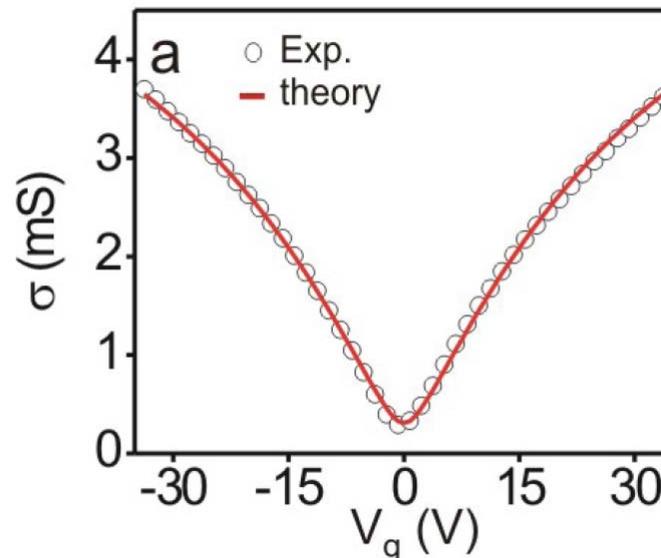


- if **phonon emission instantaneous** once the threshold is reached and **elastic scattering negligible**
- this model overestimates the current in graphene

Boltzmann theory for electrons and phonons

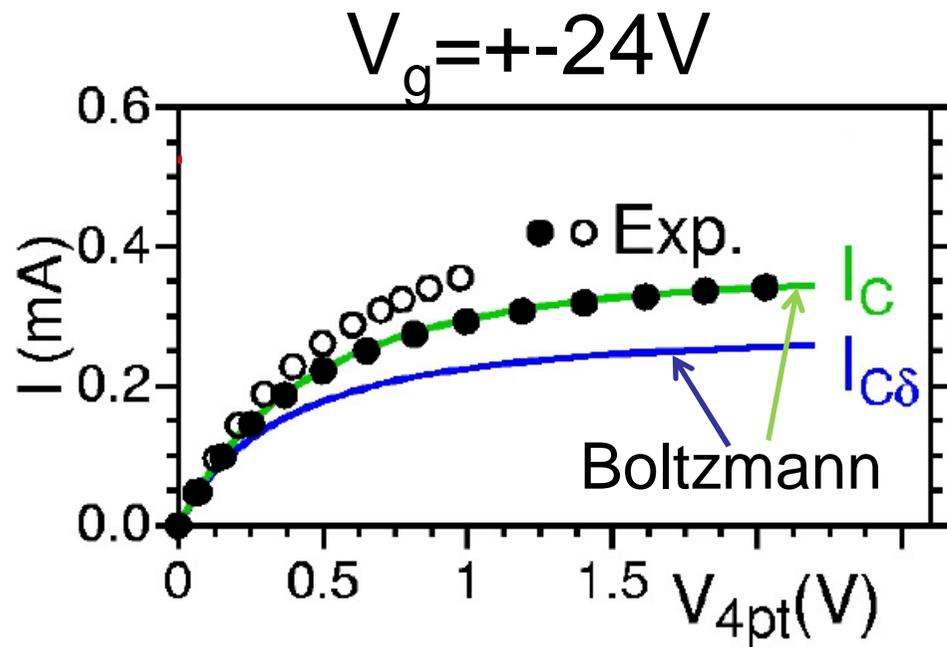
[Barreiro, Lazzeri, Moser, Mauri, Bachtold, PRL **103**, 076601 (2009)]

- **intrinsic parameters**: electron-phonon and phonon-phonon (anharmonic) scattering length from DFT (and GW) calculations
- **extrinsic parameters**: elastic scattering length modeled as in [Hwang, Das Sarma, PRB **77**, 195412 (2008)]. Free parameters (density of charged and neutral defects) fitted to reproduce the low-bias experimental conductivity. Two models (C and $C\delta$) equally good at low bias.

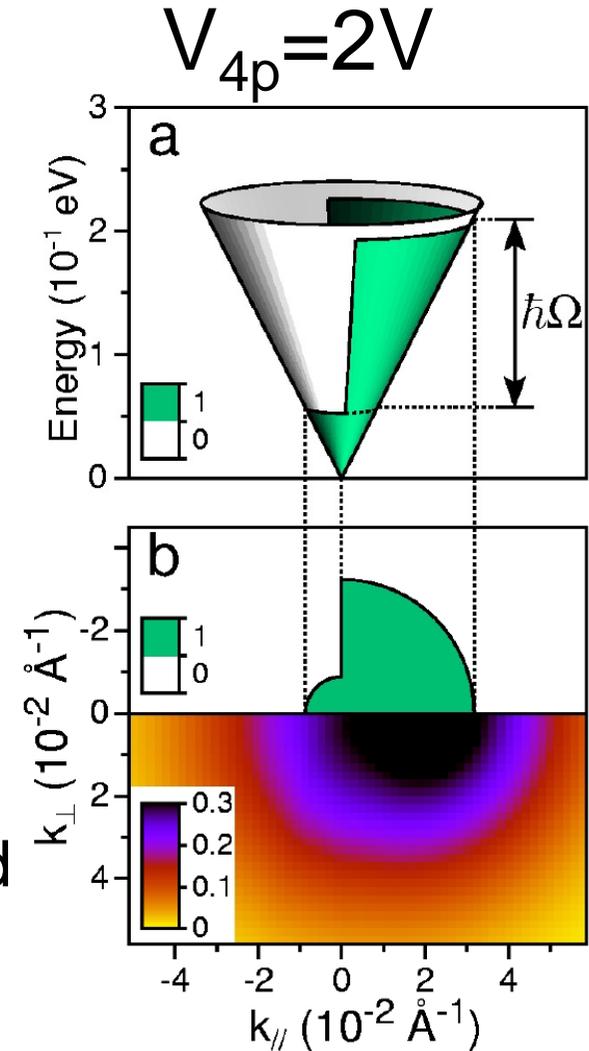


Boltzmann theory for electrons and phonons

[Barreiro, Lazzeri, Moser, Mauri, Bachtold, PRL **103**, 076601 (2009)]

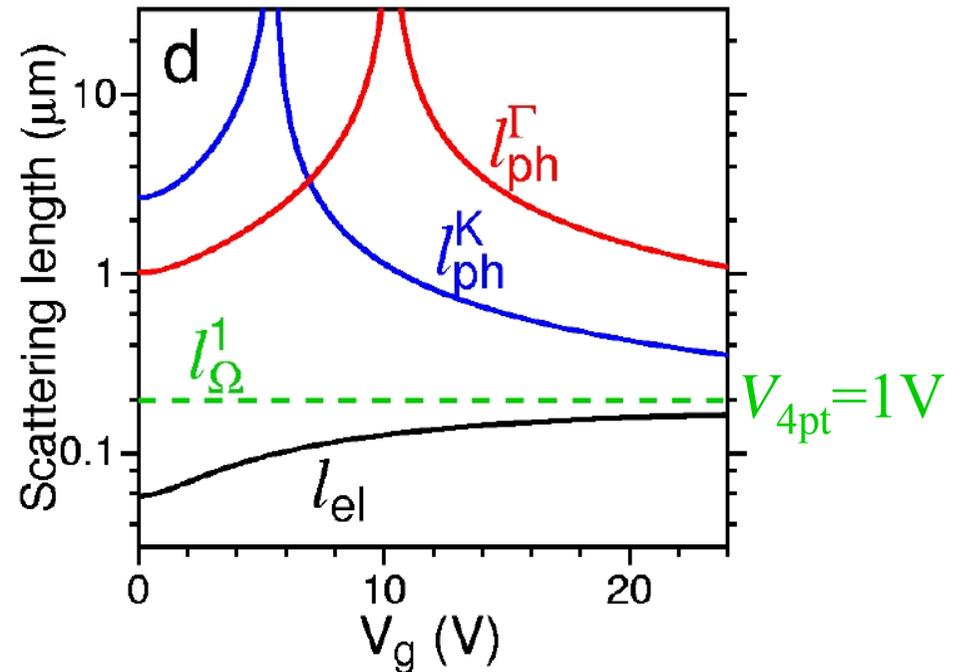
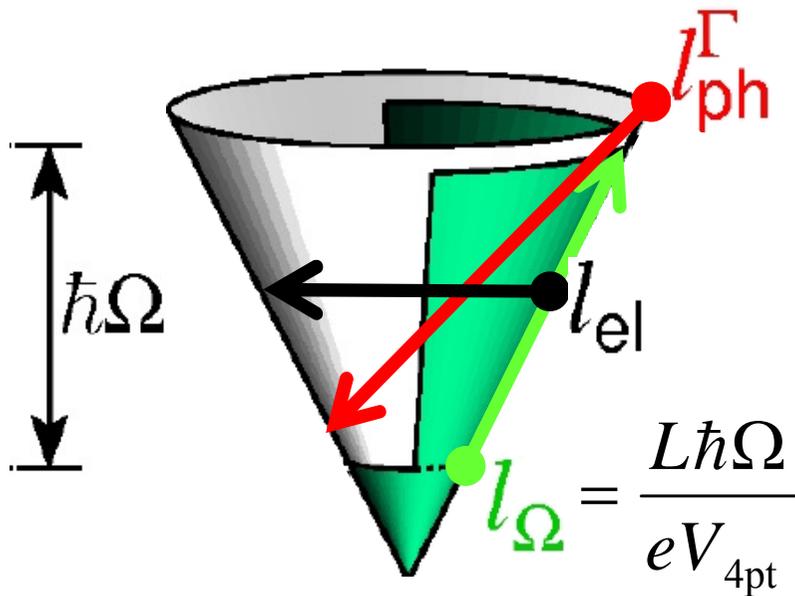


- Boltzmann reproduces partial saturation seen in expt.
- no hot phonon (optical phonons thermalized with other phonons), but we do not exclude self-heating [Nanolett. 9, 1833 and 10, 466]
- electron distribution different from full saturation



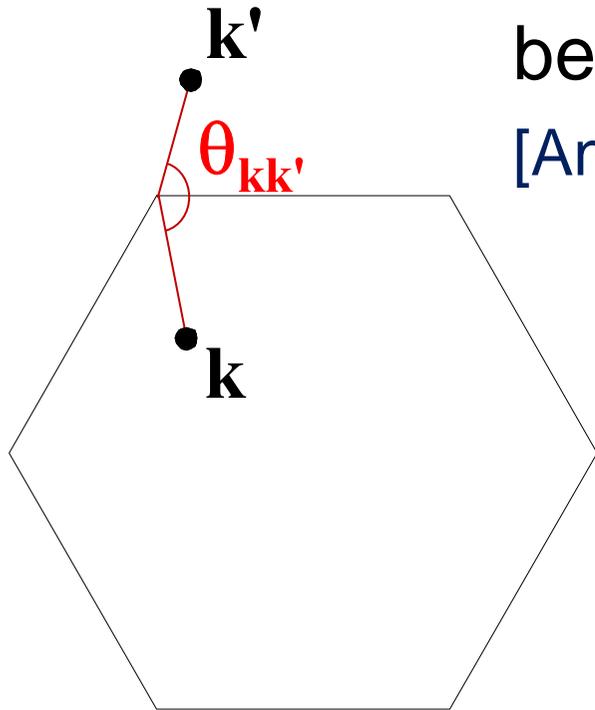
Scattering lengths in graphene

[Barreiro, Lazzeri, Moser, Mauri, Bachtold, PRL **103**, 076601 (2009)]



- saturation starts for the value of V_{4pt} for which $l_{\Omega} = l_{el}$
- saturation is complete if the phonon emission is instantaneous, $l_{ph} \ll l_{\Omega}$, and the elastic scattering is negligible, $l_{\Omega} \ll l_{el}$. This is impossible in graphene since $l_{el} \ll l_{ph}$

why is the elastic scattering more important in graphene than in tubes?

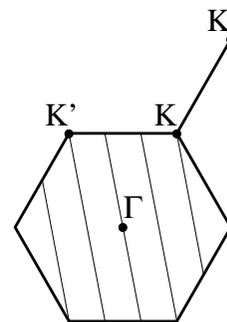
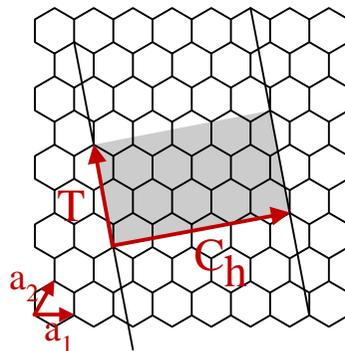


because of pseudospin conservation

[Ando et al., J. Phys. Soc. Jpn. 67, 2857 (1998)]:

$$\begin{aligned} \text{scattering} &\propto |V(\mathbf{k} - \mathbf{k}')|^2 \cos^2(\theta_{\mathbf{k}\mathbf{k}'}/2) \\ &= 0 \quad \text{if} \quad \theta_{\mathbf{k}\mathbf{k}'} = \pi \end{aligned}$$

in metallic nanotubes $\theta_{\mathbf{k}\mathbf{k}'} = \pi$



Conclusions part 1 & 2

metallic carbon nanotubes

- full saturation is possible, since $l_{el} \sim 1000 \text{ nm} \gg l_{ph} \sim 100 \text{ nm}$
- at high bias, since $\tau_{epc} \ll \tau_{anharmonic}$, phonons become hot and increase the resistance
- isotopic disorder reduces the hot phonons and the resistance

graphene

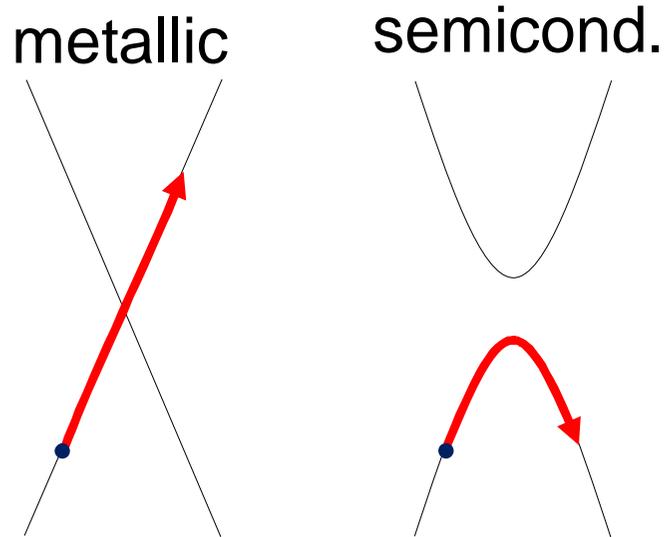
- no full saturation, since $l_{el} \sim 100 \text{ nm} \ll l_{ph} \sim 600 \text{ nm}$
- current per lateral length $1 \mu\text{A}/\text{nm}$ no hot-phonons since elastic
- scattering challenges and reduces the electron-phonon scattering
- higher currents are possible by reducing l_{el} or by increasing V_g

PART 3:

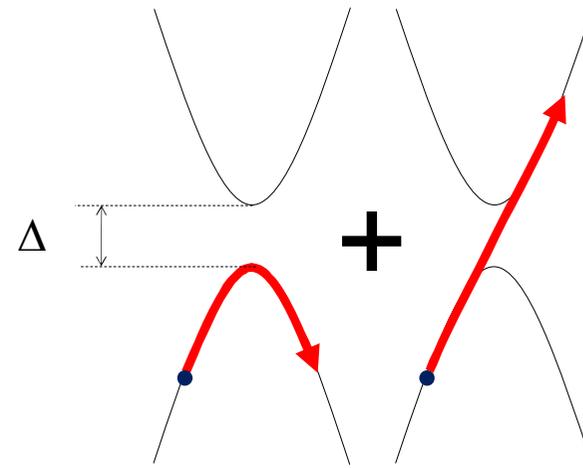
Transport at high field
near the charge neutrality point

Zener (Klein) tunneling in tubes

semi-classical
(Boltzmann)



full-quantum
Zener
semicond.



Andreev PRL 99, 247204 (2007)

tunneling probability

$$T = \exp\left(-\frac{\pi\Delta^2}{4\hbar v_F eE}\right)$$

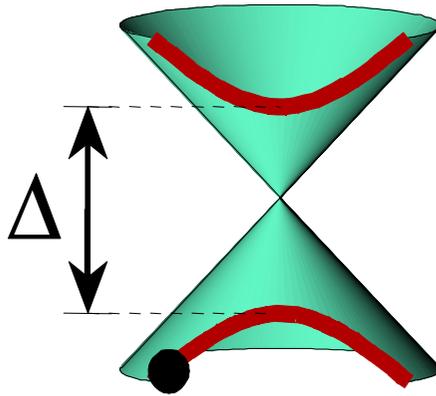
sizable for

$$E = \frac{\pi\Delta^2}{4\hbar v_F e} \sim 300 \frac{\text{Volt}}{\mu\text{m}} \quad (\text{for } \Delta = 0.5\text{eV})$$

source-drain electric field

Zener (Klein) tunneling in graphene

$$\hbar \frac{d\mathbf{k}}{dt} = -e\mathbf{E} \quad k_{\perp} = \text{constant}$$

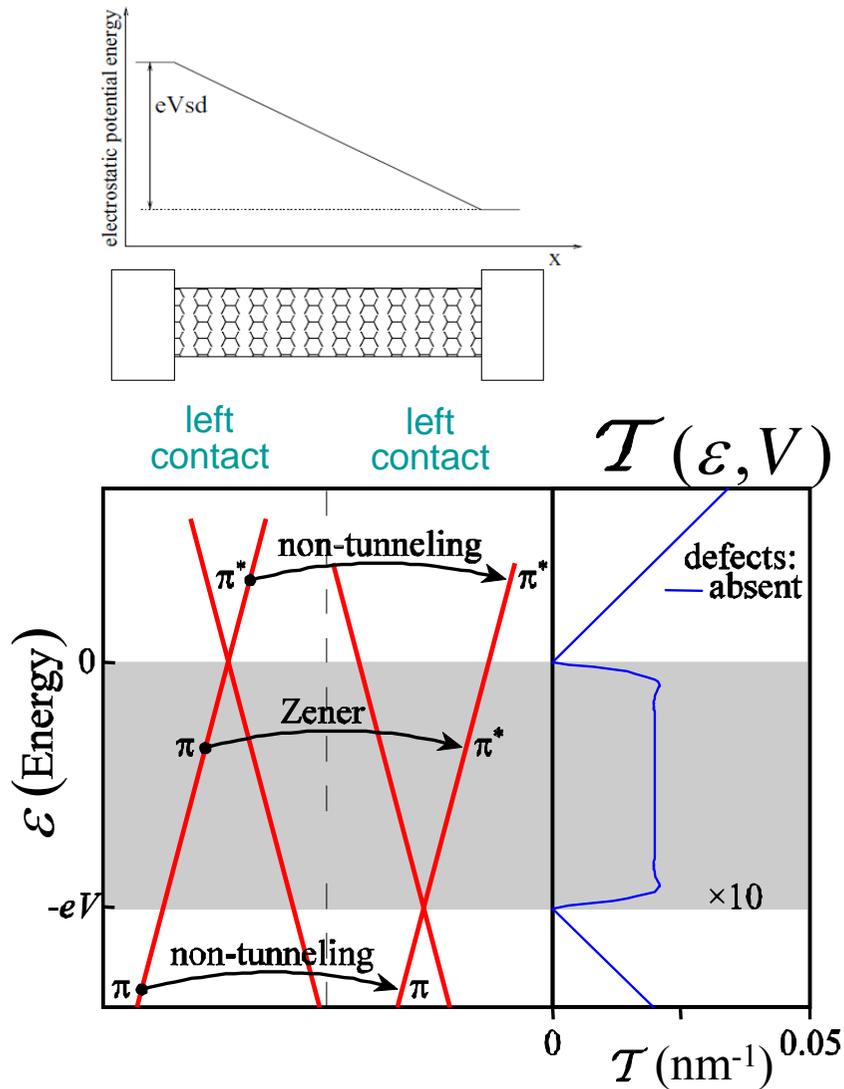


$$\Delta = 2\hbar v_F k_{\perp}$$

Zener tunneling is present for any value of the electric field

Zener tunneling in graphene: ballistic

transmission (per lateral length) along a 1 μm long graphene channel with TB model and Non-Equilibrium Green-functions



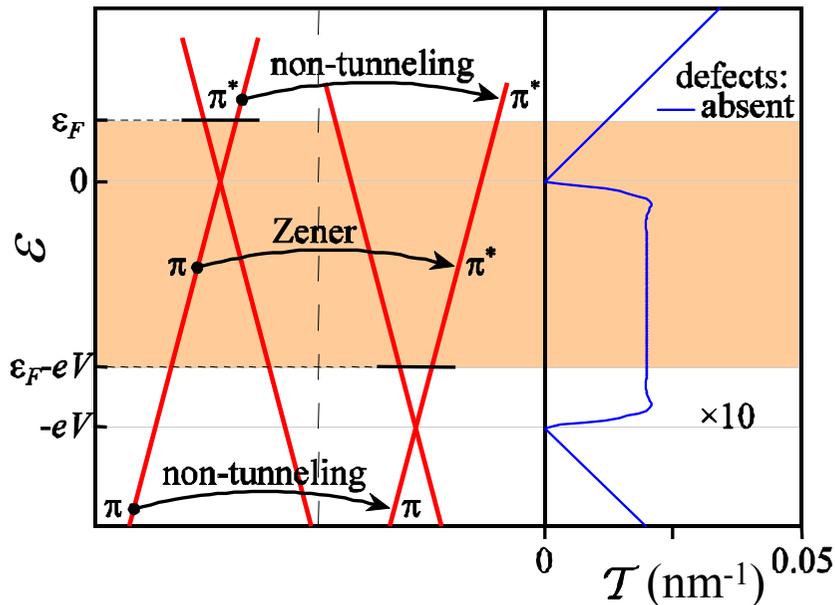
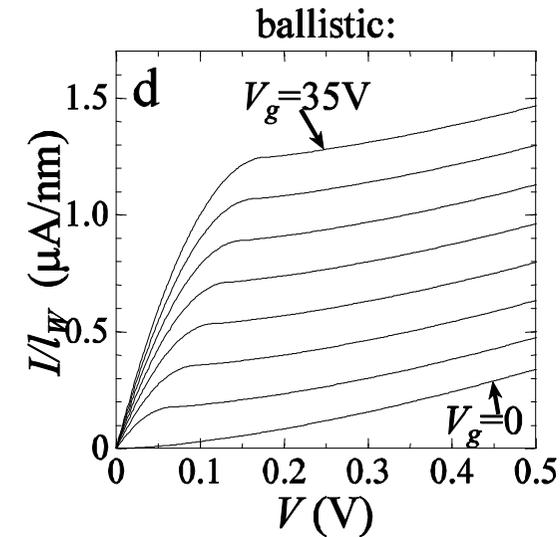
$$\mathcal{T}(\varepsilon, V) = \int \frac{dk_{\perp}}{2\pi} T(\varepsilon, k_{\perp}, V)$$

= transmission from
the left- to the right-contact

Zener tunneling in graphene: ballistic

electric current (I)
per lateral length (l_w)

$$\frac{I(V)}{l_w} = \frac{4e}{2\pi\hbar} \int_{\varepsilon_F - eV}^{\varepsilon_F} d\varepsilon \mathcal{T}(\varepsilon, V)$$

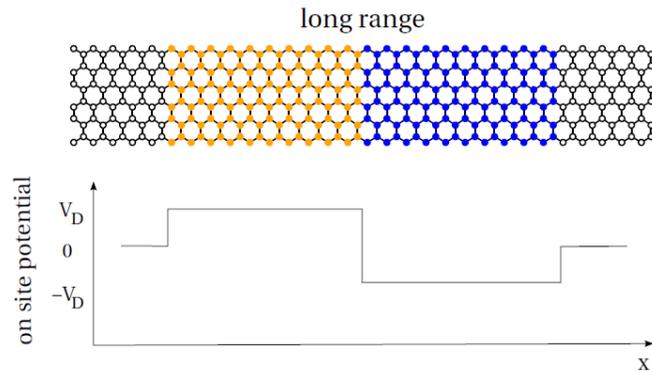


-with $V_g=0$ ($\varepsilon_F=0$) only Zener

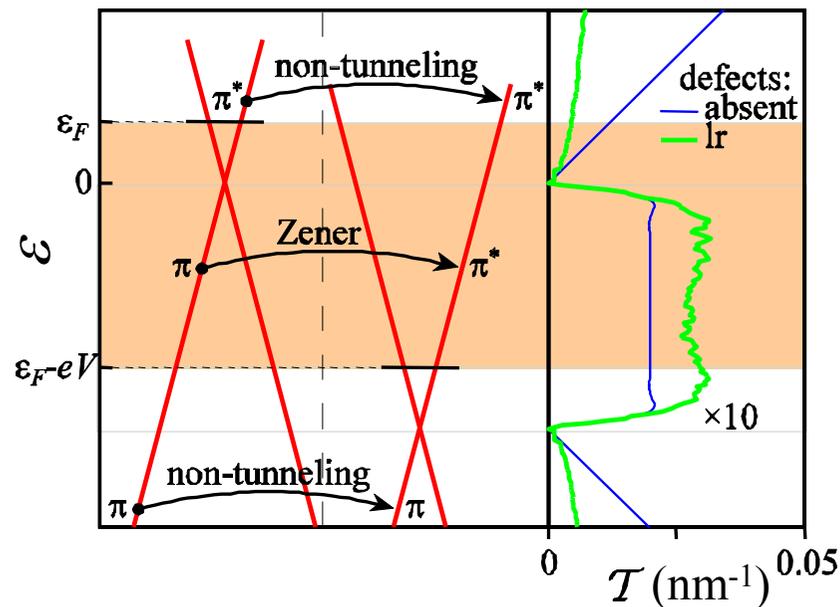
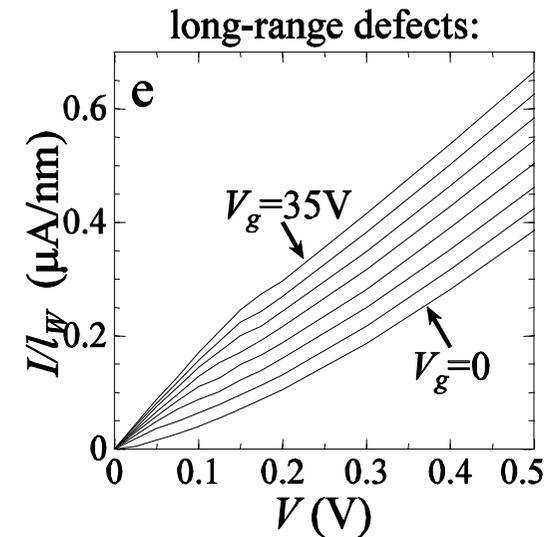
$$I \propto V^{1.5}$$

-with $V_g > 5\text{ Volt}$ ($\varepsilon_F > 0$) non-tunneling current dominates

Zener tunneling in graphene with defects



$$\frac{I(V)}{l_W} = \frac{4e}{2\pi\hbar} \int_{\varepsilon_F - eV}^{\varepsilon_F} d\varepsilon \mathcal{T}(\varepsilon, V)$$



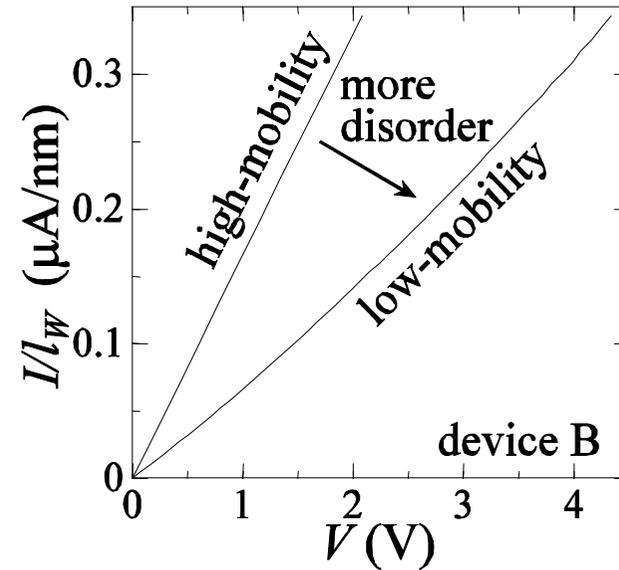
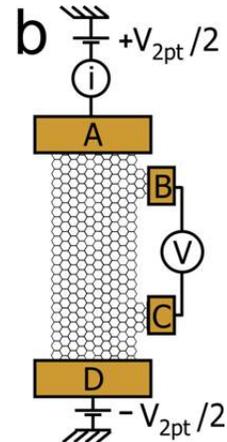
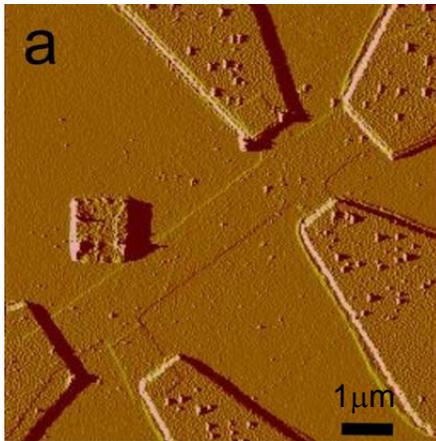
-defects kill the non-tunneling current but enhance the Zener one

-now even at finite doping

$$I \propto V^\alpha \quad \text{with } \alpha > 1$$

Zener tunneling in graphene experiment

Undoped sample ($V_g=0$)



-high mobility sample ($\mu=7000\text{cm}^2\text{V}^{-1}\text{s}^{-1}$)

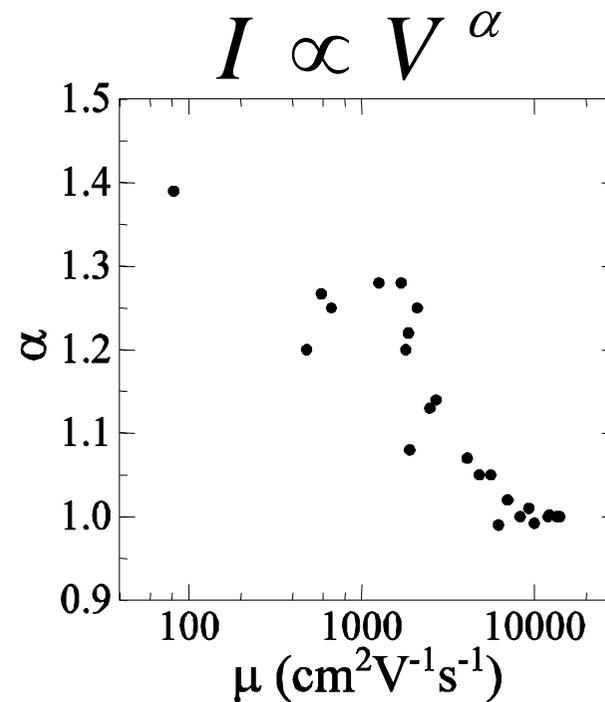
$$I \propto V$$

-after 10KeV e-bombardment: low mobility ($\mu=260\text{cm}^2\text{V}^{-1}\text{s}^{-1}$)

$$I \propto V^{1.2}$$

Zener tunneling in graphene experiment

22 undoped devices ($V_g=0$)
exponent α vs. mobility

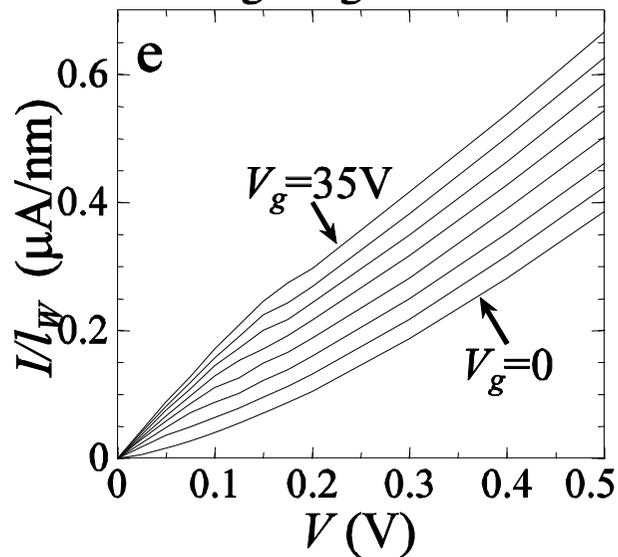


Zener tunneling in graphene experiment

theory

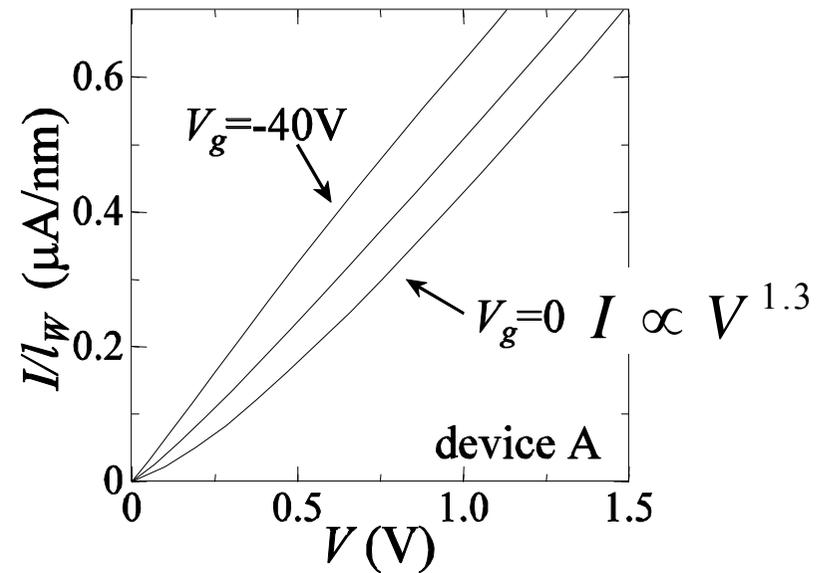
long-range defects
as a function of V_g

long-range defects:



experiment

low-mobility ($\mu=1700\text{cm}^2\text{V}^{-1}\text{s}^{-1}$)
as a function of V_g



conclusions part 2 [PRL 103, 076601 (2009)]

- in graphene, no full saturation, since $l_{el} \sim 100 \text{ nm} \ll l_{ph} \sim 600 \text{ nm}$
- no hot-phonons since elastic scattering challenges and reduces the electron-phonon scattering
- current per lateral length $1 \mu\text{A}/\text{nm}$
- higher currents are possible by reducing l_{el} or by increasing V_g

conclusions part 3 [arXiv:1003.2072]

- in **high mobility** (ballistic) samples the transport is dominated by **Zener tunneling** only **at the exact charge neutrality point**
- in **low mobility** (disordered) samples defects reduce (filter) the non-tunneling current and made visible the **Zener tunneling** also **at finite (low) doping**