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**Neutrino oscillations in quantum mechanics and quantum field theory**

Evgeny Akhmedov  
*Max Planck Institut Fuer Kernphysik  
Germany*

# Neutrino oscillations in quantum mechanics and quantum field theory

Evgeny Akhmedov

MPI-K, Heidelberg & Kurchatov Inst., Moscow

# Gribov & Pontecorvo 1969 paper

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## NEUTRINO ASTRONOMY AND LEPTON CHARGE

V. GRIBOV\* and B. PONTECORVO  
*Joint Institute for Nuclear Research, Dubna, USSR*

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It is shown that lepton nonconservation might lead to a decrease in the number of detectable solar neutrinos at the earth surface, because of  $\nu_e \rightleftharpoons \nu_\mu$  oscillations, similar to  $K^0 \rightleftharpoons \bar{K}^0$  oscillations. Equations are presented describing such oscillations for the case when there exist only four neutrino states.



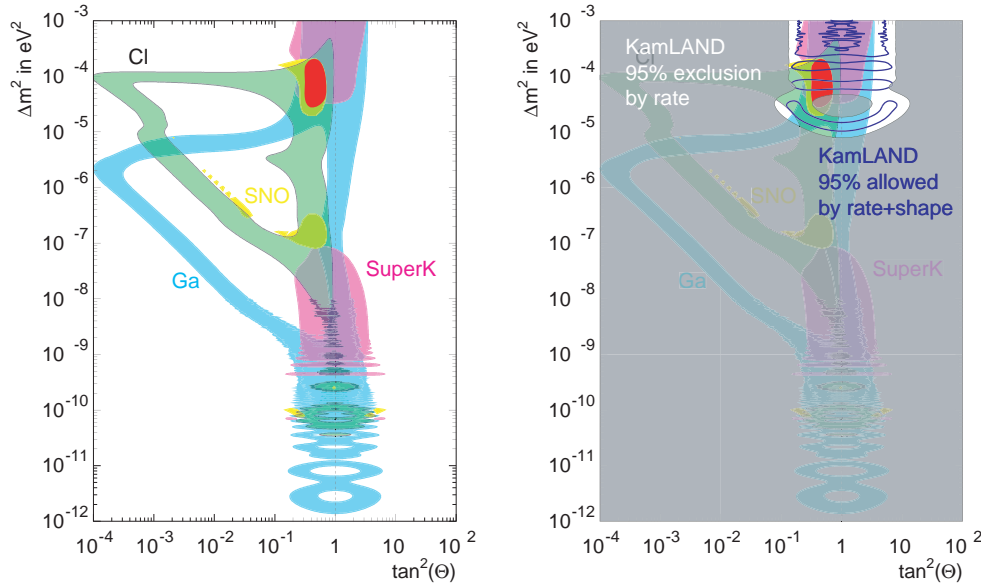
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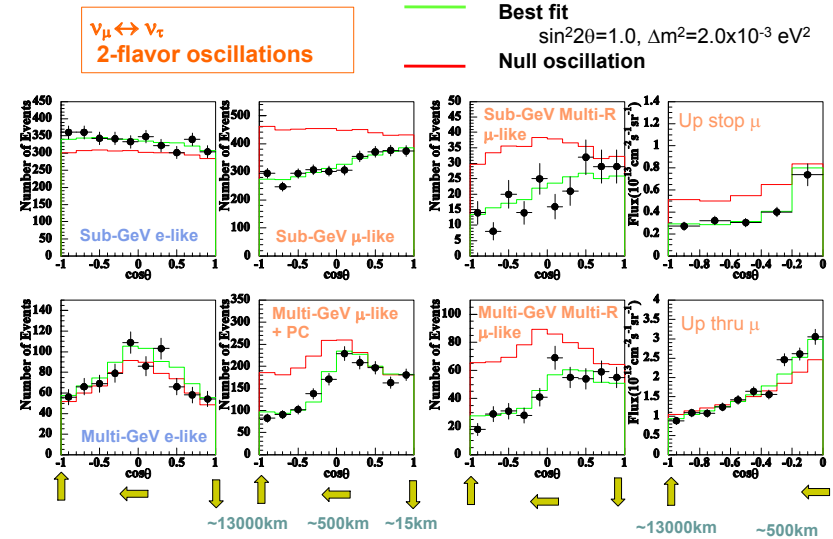
V.N. Gribov

Oscillations of Majorana neutrinos considered for the first time!

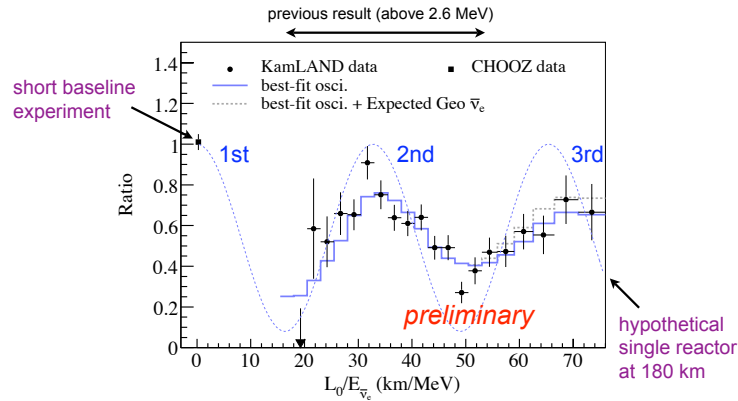
# Oscillations discovered experimentally!



## Zenith angle distributions



## Neutrino Oscillation



KamLAND covers the 2nd and 3rd maximum

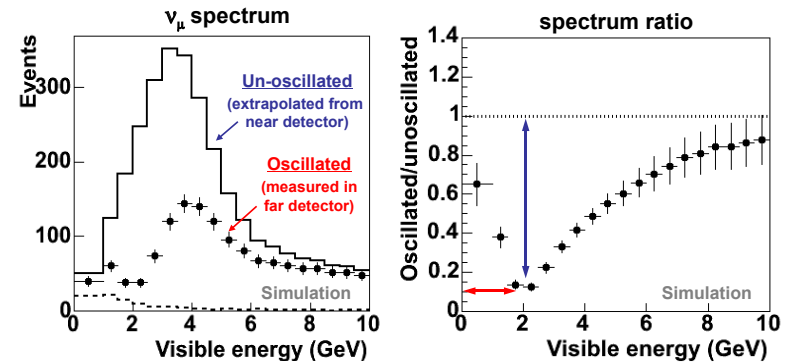
→ characteristic of neutrino oscillation



## $\nu_\mu$ Disappearance Measurement



Look for  $\nu_\mu$  deficit:  $P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{E} \right)$



Andy Blake, Cambridge University

The MINOS Experiment, slide 7

# Neutrino oscillation theory

Neutrino oscillations appear to be a simple QM phenomenon

But: A closer look at them reveals a number of subtle and even paradoxical issues

A number of basic issues still being debated

# Debating the basics of neutrino oscillations ...

Lipkin arXiv:0801.1465, arXiv:0905.1216, arXiv:0910.5049, Ivanov & Kienle arXiv:0909.1287, Merle arXiv:0907.3554, Peshkin arXiv:0804.4891, Faber arXiv:0801.3262, Gal arXiv:0809.1213, Giunti arXiv:0805.0431, Flambaum arXiv:0908.2039, Kienert, Kopp, Lindner & Merle arXiv:0808.2389, Walker Nature 453 (2008) 864, Giunti arXiv:0807.3818, Kleinert & Kienle ("Neutrino-pulsating vacuum") arXiv:0803.2938, Lambiase, Papini & Scarpeta arXiv:0811.2302, Burkhardt, Lowe, Stephenson, Goldman & McKellar, arXiv:0804.1099, Bilenky, v. Feilitzsch & Potzel arXiv:0804.3409, arXiv:0803.0527, J. Phys. G36 (2009) 078002, EA, Kopp & Lindner arXiv:0802.2513, arXiv:0803.1424, Cohen, Glashow & Ligety arXiv:0810.4602, Visinelli & Gondolo arXiv:0810.4132, Keister & Polizou arXiv:0908.1404, Nishi & Guzzo arXiv:0803.1422, Lychkovskiy arXiv:0901.1198, Adhikari & Pal arXiv:0912.5266, Giunti arXiv:1001.0760, Ahluwalia & Schritt arXiv:0911.2965, Schmidt-Parzefall arXiv:0912.3620, Robertson arXiv:1004.1847 and many others.

Clarification of some of these issues and some apparent paradoxes of neutrino oscillations in:

EA & Smirnov arXiv:0905.1903, EA & Kopp arXiv:1001.4815

# Unsettled issues?

- Equal energies or equal momenta?
- Evolution in space or in time?
- What is the role of QM uncertainty relations in  $\nu$  oscillations?
- Is wave packet description necessary?
- What determines the size of neutrino wave packets?
- Under what conditions can oscillations be observed? (coherence issues)
- When are the oscillations described by a universal probability?
- Is the standard oscillation formula correct?
- Lorentz invariance issues
- Do charged leptons oscillate?
- Do Mössbauer neutrinos oscillate?

# Neutrino flavour mixing and oscillations

Diagonalization of the mass terms of the charged leptons and neutrinos gives

$$\Delta\mathcal{L} = -\frac{g}{\sqrt{2}} (\bar{e}_{\alpha L} \gamma^\mu U_{\alpha i} \nu_{iL}) W_\mu^- + \text{diag. mass terms} + h.c.$$
$$\alpha = e, \mu, \tau, \quad i = 1, 2, 3$$

$$\nu_\alpha = \sum_i U_{\alpha i} \nu_i \quad \Rightarrow \quad |\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$$

The standard formula for the oscillation probability of relativistic neutrinos in vacuum:

$$\diamond \quad P(\nu_\alpha \rightarrow \nu_\beta; L) = \left| \sum_i U_{\beta i} e^{-i\frac{\Delta m_{i1}^2}{2p}L} U_{\alpha i}^* \right|^2$$



# How is it usually derived?

Assume at time  $t = 0$  and coordinate  $x = 0$  a flavour eigenstate  $|\nu_\alpha\rangle$  is produced:

$$|\nu(0, 0)\rangle = |\nu_\alpha^{\text{fl}}\rangle = \sum_i U_{\alpha i}^* |\nu_i^{\text{mass}}\rangle$$

After time  $t$  at the position  $x$ , for plane-wave particles:

$$|\nu(t, \vec{x})\rangle = \sum_i U_{\alpha i}^* e^{-ip_i x} |\nu_i^{\text{mass}}\rangle$$

Mass eigenstates pick up the phase factors  $e^{-i\phi_i}$  with

$$\phi_i \equiv p_i x = Et - \vec{p} \vec{x}$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \langle \nu_\beta^{\text{fl}} | \nu(t, x) \rangle \right|^2$$

# How is it usually derived?

Consider  $\vec{x} \parallel \vec{p} \Rightarrow \vec{p}\vec{x} = px$  ( $p = |\vec{p}|$ ,  $x = |\vec{x}|$ )

Phase differences between different mass eigenstates:

$$\Delta\phi = \Delta E \cdot t - \Delta p \cdot x$$

## Shortcuts to the standard formula

1. Assume the emitted neutrino state has a well defined momentum (same momentum prescription)  $\Rightarrow \Delta p = 0$ .

For ultra-relativistic neutrinos  $E_i = \sqrt{p^2 + m_i^2} \simeq p + \frac{m_i^2}{2p} \Rightarrow$

$$\Delta E \simeq \frac{m_2^2 - m_1^2}{2E} \equiv \frac{\Delta m^2}{2E}; \quad t \approx x \quad (\hbar = c = 1)$$

$\Rightarrow$  The standard formula is obtained

# How is it usually derived?

2. Assume the emitted neutrino state has a well defined energy (same energy prescription)  $\Rightarrow \Delta E = 0$ .

$$\Delta\phi = \Delta E \cdot t - \Delta p \cdot x \quad \Rightarrow \quad -\Delta p \cdot x$$

For ultra-relativistic neutrinos  $p_i = \sqrt{E^2 - m_i^2} \simeq E - \frac{m_i^2}{2E} \Rightarrow$

$$-\Delta p \equiv p_1 - p_2 \simeq \frac{\Delta m^2}{2E};$$

$\Rightarrow$  The standard formula is obtained

Stand. phase  $\Rightarrow$  
$$(l_{\text{osc}})_{ik} = \frac{4\pi E}{\Delta m_{ik}^2} \simeq 2.5 \text{ m} \frac{E (\text{MeV})}{\Delta m_{ik}^2 \text{ eV}^2}$$

# Same $E$ and same $p$ approaches

Very simple and transparent

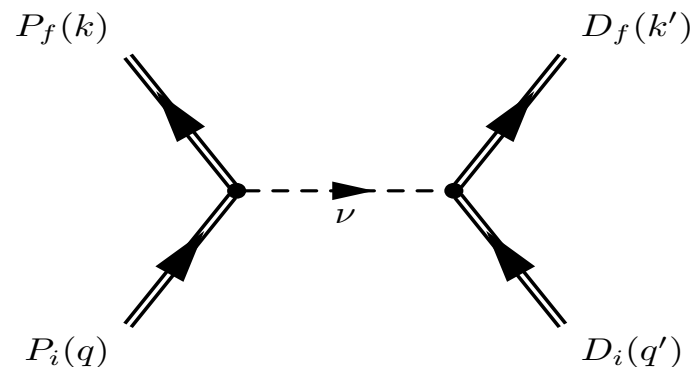
Allow one to quickly arrive at the desired result

Trouble: they are both wrong

- ◇ Plane wave approach: plagued with inconsistencies. If applied correctly, does not lead to neutrino oscillations at all!

### Consistent approaches:

- QM wave packet approach – neutrinos described by wave packets rather than by plane waves
- QFT approach: neutrino production and detection explicitly taken into account. Neutrinos are intermediate particles described by propagators



# QM wave packet approach

The evolved produced state:

$$|\nu_\alpha^{\text{fl}}(\vec{x}, t)\rangle = \sum_i U_{\alpha i}^* |\nu_i^{\text{mass}}(\vec{x}, t)\rangle = \sum_i U_{\alpha i}^* \Psi_i^P(\vec{x}, t) |\nu_i^{\text{mass}}\rangle$$

The coordinate-space wave function of the  $i$ th mass eigenstate (w. packet):

$$\Psi_i^P(\vec{x}, t) = \int \frac{d^3 p}{(2\pi)^3} f_i^P(\vec{p}) e^{i\vec{p}\vec{x} - iE_i(p)t}$$

Momentum distribution function  $f_i^S(\vec{p})$ : sharp maximum at  $\vec{p} = \vec{P}$  (width of the peak  $\sigma_{pP} \ll P$ ).

$$E_i(p) = E_i(P) + \left. \frac{\partial E_i(p)}{\partial \vec{p}} \right|_{\vec{P}} (\vec{p} - \vec{P}) + \frac{1}{2} \left. \frac{\partial^2 E_i(p)}{\partial \vec{p}^2} \right|_{\vec{p}_0} (\vec{p} - \vec{P})^2 + \dots$$

$$\vec{v}_i = \frac{\partial E_i(p)}{\partial \vec{p}} = \frac{\vec{p}}{E_i}, \quad \alpha \equiv \frac{\partial^2 E_i(p)}{\partial \vec{p}^2} = \frac{m_i^2}{E_i^2}$$

# Evolved neutrino state

$$\Psi_i^P(\vec{x}, t) \simeq e^{-iE_i(P)t + i\vec{P}\vec{x}} g_i^P(\vec{x} - \vec{v}_i t) \quad (\alpha \rightarrow 0)$$

$$g_i^P(\vec{x} - \vec{v}_i t) \equiv \int \frac{d^3 p_1}{(2\pi)^3} f_i^P(\vec{p}_1) e^{i\vec{p}_1(\vec{x} - \vec{v}_i t)}$$

Center of the wave packet:  $\vec{x} - \vec{v}_i t = 0$ . Spatial length:  $\sigma_{xP} \sim 1/\sigma_{pP}$   
( $g_i^S$  decreases quickly for  $|\vec{x} - \vec{v}_i t| \gtrsim \sigma_{xP}$ ).

Detected state (centered at  $\vec{x} = \vec{L}$ ):

$$|\nu_\beta^{\text{fl}}(\vec{x})\rangle = \sum_k U_{\beta k}^* \Psi_k^D(\vec{x}) |\nu_i^{\text{mass}}\rangle$$

The coordinate-space wave function of the  $i$ th mass eigenstate (w. packet):

$$\Psi_k^D(\vec{x}) = \int \frac{d^3 p}{(2\pi)^3} f_k^D(\vec{p}) e^{i\vec{p}(\vec{x} - \vec{L})}$$

# Oscillation probability

Transition amplitude:

$$\mathcal{A}_{\alpha\beta}(T, \vec{L}) = \langle \nu_{\beta}^{\text{fl}} | \nu_{\alpha}^{\text{fl}}(T) \rangle = \sum_i U_{\alpha i}^* U_{\beta i} \mathcal{A}_i(T, \vec{L})$$

$$\mathcal{A}_i(T, \vec{L}) = \int \frac{d^3 p}{(2\pi)^3} f_i^P(\vec{p}) f_i^{D*}(\vec{p}) e^{-iE_i(p)T + i\vec{p}\vec{L}}$$

Strongly suppressed unless  $|\vec{L} - \vec{v}_i T| \lesssim \sigma_x$ . E.g., for Gaussian wave packets:

$$\mathcal{A}_i(T, \vec{L}) \propto \exp\left[-\frac{(\vec{L} - \vec{v}_i T)^2}{4\sigma_x^2}\right], \quad \sigma_x^2 \equiv \sigma_{xP}^2 + \sigma_{xD}^2$$

Oscillation probability:

$$\diamond P(\nu_{\alpha} \rightarrow \nu_{\beta}; T, \vec{L}) = |\mathcal{A}_{\alpha\beta}|^2 = \sum_{i,k} U_{\alpha i}^* U_{\beta i} U_{\alpha k} U_{\beta k}^* \mathcal{A}_i(T, \vec{L}) \mathcal{A}_k^*(T, \vec{L})$$



# Oscillation phase

Oscillations are due to phase differences of different mass eigenstates:

$$\Delta\phi = \Delta E \cdot t - \Delta p \cdot x \quad (E_i = \sqrt{p_i^2 + m_i^2})$$

Consider the case  $\Delta E \ll E$  (relativistic or quasi-degenerate neutrinos)  $\Rightarrow$

$$\Delta E = \frac{\partial E}{\partial p} \Delta p + \frac{\partial E}{\partial m^2} \Delta m^2 = v \Delta p + \frac{1}{2E} \Delta m^2$$

$$\Delta\phi = (v \Delta p + \frac{1}{2E} \Delta m^2) t - \Delta p \cdot x$$

$$= - (x - vt) \Delta p + \frac{\Delta m^2}{2E} t$$

In the center of wave packet  $(x - vt) = 0$ . In general,  $|x - vt| \lesssim \sigma_x$ ;

if  $\sigma_x \Delta p \ll 1$  (i.e.,  $\Delta p \ll \sigma_p$ ),  $|x - vt| \Delta p \ll 1 \Rightarrow$

$$\Delta\phi = \frac{\Delta m^2}{2E} t, \quad x \simeq vt \simeq t$$

– the result of the “same momentum” approach recovered!

Now instead of expressing  $\Delta E$  through  $\Delta p$  and  $\Delta m^2$  express  $\Delta p$  through  $\Delta E$  and  $\Delta m^2$ :

$$\diamond \quad \Delta\phi = -\frac{1}{v}(x - vt)\Delta E + \frac{\Delta m^2}{2p} x \quad \Rightarrow \quad \frac{\Delta m^2}{2p} x$$

– for  $\Delta E \sigma_x / v \ll 1$  (i.e.  $\Delta E \ll \sigma_E$ ) – “same energy” result recovered.

The reasons why wrong assumptions give the correct result:

- Neutrinos are relativistic or quasi-degenerate with  $\Delta E \ll E$
- Neutrino energy uncertainty  $\sigma_E \gg \Delta E$  (typically this means  $\sigma_x \ll l_{osc}$ )

# When are neutrino oscillations observable?

Keyword: Coherence

Neutrino flavour eigenstates  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$  are coherent superpositions of mass eigenstates  $\nu_1$ ,  $\nu_2$  and  $\nu_3$   $\Rightarrow$  oscillations are only observable if

- neutrino production and detection are coherent
- coherence is not (irreversibly) lost during neutrino propagation.

Possible decoherence at production (detection): If by accurate  $E$  and  $p$  measurements one can tell (through  $E = \sqrt{p^2 + m^2}$ ) which mass eigenstate is emitted, the coherence is lost and oscillations disappear!  $\Rightarrow$  Coherent production/detection conditions  $\Delta E \ll \sigma_E$ ,  $\Delta p \ll \sigma_p$ . Equivalent to localization conditions:  $L_S, L_D \ll l_{\text{osc}}$ .

Coherent propagation: no wave packet separation due to  $\Delta v \neq 0$   $\Rightarrow$

$$L \ll l_{\text{coh}} = \frac{v}{\Delta v} \sigma_x$$

# Oscillation probability

Neutrino emission and detection times are not measured (or not accurately measured) in most experiments  $\Rightarrow$  integration over  $T$ :

$$P(\nu_\alpha \rightarrow \nu_\beta; L) = \int dT P(\nu_\alpha \rightarrow \nu_\beta; T, L) = \sum_{i,k} U_{\alpha i}^* U_{\beta i} U_{\alpha k} U_{\beta k}^* e^{-i \frac{\Delta m_{ik}^2}{2P} L} F_{ik}$$

$$F_{ik} = \int \frac{dq}{2\pi v} f_i^P(r_k q - \Delta E_{ik}/2v + P_i) f_i^{D*}(r_k q - \Delta E_{ik}/2v + P_i) \\ \times f_k^{P*}(r_i q + \Delta E_{ik}/2v + P_k) f_k^D(r_i q + \Delta E_{ik}/2v + P_k) e^{i \frac{\Delta v}{v} q L}$$

Here:  $v \equiv \frac{v_i + v_k}{2}$ ,  $\Delta v \equiv v_k - v_i$ ,  $r_{i,k} \equiv \frac{v_{i,k}}{v}$

- For  $(\Delta v/v)\sigma_p L \ll 1$  (i.e.  $L \ll l_{\text{coh}} = (v/\Delta v)\sigma_x$ )  $F$  is approximately independent of  $L$ ; in the opposite case  $F$  is strongly suppressed
- $F$  is also strongly suppressed unless  $\Delta E_{ik}/v \ll \sigma_p$ , i.e.  $\Delta E_{ik} \ll \sigma_E$   
– coherent production/detection condition

# Normalization prescription

Oscillation probability calculated in QM w. packet approach is not automatically normalized! Can be normalized “by hand” by imposing the unitarity condition:

$$\sum_{\beta} P_{\alpha\beta}(L) = 1.$$

This gives

$$F_{ii} = \int \frac{dp}{2\pi v} |f_i^P(p)|^2 |f_i^D(p)|^2 = 1$$

– important for proving Lorentz invariance of the oscillation probability.

Depends on the overlap of  $f_i^P(p)$  and  $f_i^D(p) \Rightarrow$  no independent normalization of the produced and detected neutrino wave function would do!

In QFT approach the correctly normalized  $P_{\alpha\beta}(L)$  is automatically obtained and the meaning of the normalization procedure adopted in the w. packet approach clarified

# Shortcomings of the QM w. packet approach

- Neutrino wave packet postulated rather than derived, widths estimated
- Production and detection processes are not considered
- Inadequate normalization procedure. Normalization “by hand” is unavoidable.

Advantage: simplicity

# Calc. from 1st principles – QFT approach

Production - propagation - detection treated as a single inseparable process.  
External particles are described by wave packets, neutrinos – by propagators

One-particle states of external particles:

$$|A\rangle = \int [dp] f_A(\vec{p}, \vec{P}) |A, \vec{p}\rangle, \quad [dp] \equiv \frac{d^3p}{(2\pi)^3 \sqrt{2E_A(\vec{p})}}$$

$|A, \vec{p}\rangle$  – one-particle momentum eigenstate corresponding to momentum  $\vec{p}$  and energy  $E_A(\vec{p})$  (free particles:  $E_A(\vec{p}) = \sqrt{\vec{p}^2 + m_A^2}$ ).

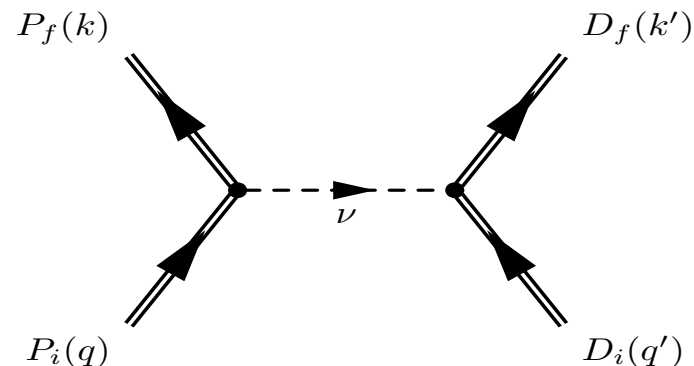
$f_A(\vec{p}, \vec{P})$  – momentum distribution function with the mean momentum  $\vec{P}$ .

Normalization condition:  $\langle A|A\rangle = 1 \Rightarrow \int d^3p |f_A(\vec{p})|^2 / (2\pi)^3 = 1$ .

Coordinate-space wave packet with maximum at  $\vec{x} = \vec{x}_0$  at the time  $t = t_0$ :

$$\Psi_A(x) = \int \frac{d^3p}{(2\pi)^3} f_A(\vec{p}) e^{-iE_A(\vec{p})(t-t_0) + i\vec{p}(\vec{x}-\vec{x}_0)}$$

# QFT approach – contd.



$$|P_i\rangle = \int [dq] f_{P_i}(\vec{q}, \vec{Q}) |P_i, \vec{q}\rangle, \quad |P_f\rangle = \int [dk] f_{P_f}(\vec{k}, \vec{K}) |P_f, \vec{k}\rangle,$$

$$|D_i\rangle = \int [dq'] f_{D_i}(\vec{q}', \vec{Q}') |D_i, \vec{q}'\rangle, \quad |D_f\rangle = \int [dk'] f_{D_f}(\vec{k}', \vec{K}') |D_f, \vec{k}'\rangle.$$

The transition amplitude:

$$i\mathcal{A}_{\alpha\beta} = \langle P_f D_f | \hat{T} \exp \left[ -i \int d^4x \mathcal{H}_I(x) \right] - \mathbb{1} | P_i D_i \rangle,$$



# QFT approach – contd.

In the second order in weak interaction:

$$i\mathcal{A}_{\alpha\beta} = \sum_j U_{\alpha j}^* U_{\beta j} \int [dq] f_{Pi}(\vec{q}, \vec{Q}) \int [dk] f_{Pf}^*(\vec{k}, \vec{K}) \\ \times \int [dq'] f_{Di}(\vec{q}', \vec{Q}') \int [dk'] f_{Df}^*(\vec{k}', \vec{K}') i\mathcal{A}_j^{p.w.}(q, k; q', k').$$

Plane-wave amplitude:

$$i\mathcal{A}_j^{p.w.}(q, k; q', k') = \int d^4x_1 \int d^4x_2 \tilde{M}_D(q', k') e^{-i(q'-k')(x_2-x_D)} \\ \times i \int \frac{d^4p}{(2\pi)^4} \frac{\not{p} + m_j}{p^2 - m_j^2 + i\epsilon} e^{-ip(x_2-x_1)} \tilde{M}_P(q, k) e^{-i(q-k)(x_1-x_P)}$$

$\tilde{M}_{jP}, \tilde{M}_{jD}$  – production and detection amplitudes with neutrino spinors excluded. Full amplitudes:

$$M_{jP}(q, k) \equiv \frac{\bar{u}_{jL}(p)}{\sqrt{2p_0}} \tilde{M}_P(q, k), \quad M_{jD}(q', k') \equiv \tilde{M}_D(q', k') \frac{u_{jL}(p)}{\sqrt{2p_0}}$$

# QFT approach – contd.

$$i\mathcal{A}_{\alpha\beta} = i \sum_j U_{\alpha j}^* U_{\beta j} \int \frac{d^4 p}{(2\pi)^4} \Phi_{jP}(p^0, \vec{p}) \Phi_{jD}(p^0, \vec{p}) \frac{2p_0 e^{-ip^0 T + i\vec{p}\vec{L}}}{p^2 - m_j^2 + i\epsilon}.$$

$$\Phi_{jP}(p^0, \vec{p}) = \int d^4 x'_1 e^{ipx'_1} \int [dq] \int [dk] f_{Pi}(\vec{q}, \vec{Q}) f_{Pf}^*(\vec{k}, \vec{K}) e^{-i(q-k)x'_1} M_{jP}(q, k)$$

$$\Phi_{jD}(p^0, \vec{p}) = \int d^4 x'_2 e^{-ipx'_2} \int [dq'] \int [dk'] f_{Di}(\vec{q}', \vec{Q}') f_{Df}^*(\vec{k}', \vec{K}') e^{-i(q'-k')x'_2} M_{jD}(q', k')$$

For  $L, T \gg 1/p$  – fast oscillating factor in  $i\mathcal{A}_{\alpha\beta} \Rightarrow$  main contribution to integral over  $p^0$  from the pole at  $p^0 = E_j(\vec{p}) - i\epsilon$  (on-shell neutrinos).



$$i\mathcal{A}_{\alpha\beta} = \Theta(T) \sum_j U_{\alpha j}^* U_{\beta j} \int \frac{d^3 p}{(2\pi)^3} \Phi_{jP}(E_j(\vec{p}), \vec{p}) \Phi_{jD}(E_j(\vec{p}), \vec{p}) e^{-iE_j(\vec{p})T + i\vec{p}\vec{L}}$$

# QFT approach – contd.

Compare with  $\mathcal{A}_{ab}(T, \vec{L})$  obtained in the QM w. packet approach: the two amplitudes coincide if

$$f_j^P(\vec{p}) = \Phi_{jP}(E_j(\vec{p}), \vec{p}), \quad f_j^D(\vec{p}) = \Phi_{jD}^*(E_j(\vec{p}), \vec{p}),$$

Easy to understand:  $\Phi_{jP}(E_j(\vec{p}), \vec{p})$  is the probability amplitude of  $\nu$  production process in which  $\nu_j$  is emitted with momentum  $\vec{p}$

$\Rightarrow \Phi_{jP}$  is momentum distribution function of the produced neutrino, i.e. the momentum-state wave packet  $f_j^P(\vec{p})$ . Similarly for neutrino detection.

N.B.:  $f_j^P(\vec{p})$  and  $f_j^D(\vec{p})$  are not “canonically” normalized.

Alternative approaches:

- $|P_f \nu_j\rangle = (S - \mathbb{1})|P_i\rangle, \quad |\nu_j\rangle = \langle P_f | P_f \nu_j\rangle$
- In coord. space:  $\psi_{\nu_j} =$  convolution of the  $\nu$  source (prod. amplitude) and retarded propagator

All three approaches give the same results.

# General properties of $\nu$ w. packets in QFT

$$f_j^P(\vec{p}) \simeq M_{jP}(Q, K) \int d^4x e^{iE_j(\vec{p})t - i\vec{p}\vec{x}} \int [dq] \int [dk] f_{Pi}(\vec{q}, \vec{Q}) f_{Pf}^*(\vec{k}, \vec{K}) e^{-i(q-k)x}$$

Integral over  $\vec{x}$  gives  $\sim \delta^{(3)}(\vec{q} - \vec{k} - \vec{p})$ . Since  $f_{Pi}(\vec{q}, \vec{Q})$ ,  $f_{Pf}(\vec{k}, \vec{K})$  are sharply peaked at  $\vec{Q}$  and  $\vec{K} \Rightarrow f_j^P(\vec{p})$  is sharply peaked at

$$\vec{P} \equiv \vec{Q} - \vec{K}. \quad \text{Width of the peak:} \quad \sigma_{pP} \simeq \max\{\sigma_{P_i}, \sigma_{P_f}\}$$

For external particles described by plane waves:

$$f_j^P(\vec{p}) = \frac{M_{jP}(Q, K)}{\sqrt{2E_{P_i}V \cdot 2E_{P_f}V}} \delta^{(4)}(Q - K - P)$$

In general:  $f_j^P(\vec{p}) \Rightarrow M_{jP}(Q, K) \times$  (“smeared  $\delta$ -functions”) representing approx. conservation of mean energies and mean momenta.

# Matching QM & QFT expressions for $\nu$ w. p.

Example – Gaussian wave packets for external particles. QFT gives

$$f_j^P(\vec{p}) \propto [M_{jP}(Q, K)] / (\sigma_{eP} \sigma_{pP}^3) \exp[-g_P(E_j(\vec{p}), \vec{p})],$$

$$g_P(E_j(\vec{p}), \vec{p}) = \frac{(\vec{p} - \vec{P})^2}{4\sigma_{pP}^2} + \frac{[E_j(\vec{p}) - E_P - \vec{v}_P(\vec{p} - \vec{P})]^2}{4\sigma_{eP}^2}.$$

Here

$$\vec{P} \equiv \vec{Q} - \vec{K}, \quad E_P \equiv E_{Pi}(\vec{Q}) - E_{Pf}(\vec{K}),$$

$$\sigma_{pP}^2 = \sigma_{pPi}^2 + \sigma_{pPf}^2, \quad \sigma_{xP} \sigma_{pP} = \frac{1}{2},$$

$$\vec{v}_P \equiv \sigma_{xP}^2 \left( \frac{\vec{v}_{Pi}}{\sigma_{xPi}^2} + \frac{\vec{v}_{Pf}}{\sigma_{xPf}^2} \right), \quad \Sigma_P \equiv \sigma_{xP}^2 \left( \frac{\vec{v}_{Pi}^2}{\sigma_{xPi}^2} + \frac{\vec{v}_{Pf}^2}{\sigma_{xPf}^2} \right),$$

$$\sigma_{eP}^2 = \sigma_{pP}^2 (\Sigma_P - \vec{v}_P^2) \equiv \sigma_{pP}^2 \lambda_P, \quad 0 \leq \lambda_P \leq 1.$$

# Matching QM & QFT expressions for $\nu$ w. p.

Compare with Gaussian wave packet in QM approach:

$$f_j^P(\vec{p}, \vec{P}) = \left( \frac{2\pi}{\sigma_{pP}^2} \right)^{3/4} \exp \left[ -\frac{(\vec{p} - \vec{P})^2}{4\sigma_{pP}^2} \right]$$

To match the QM and QFT expression: expand  $E_j(\vec{p})$  around  $\vec{p} = \vec{P}$  and subst. into  $g_P(E_j(\vec{p}), \vec{p})$ :

$$\diamond \quad g_P(E_j(\vec{p}), \vec{p}) = (p - P)^k \alpha^{kl} (p - P)^l - \beta^k (p - P)^k + \gamma_j$$

$$\alpha^{kl} = \frac{1}{4\sigma_{eP}^2} \left[ \lambda_P \delta^{kl} + (v_j - v_P)^k (v_j - v_P)^l + \frac{E_j - E_P}{E_j} (\delta^{kl} - v_j^k v_j^l) \right],$$

$$\beta^k = -\frac{1}{2\sigma_{eP}^2} (E_j - E_P)(v_j - v_P)^k, \quad \gamma_j = \frac{(E_j - E_P)^2}{4\sigma_{eP}^2}.$$

Try to represent  $g_P(E_j(\vec{p}), \vec{p})$  in the form

$$\diamond \quad g_P(E_j(\vec{p}), \vec{p}) = (p - P_{\text{eff}})^k \alpha^{kl} (p - P_{\text{eff}})^l + \tilde{\gamma}_j, \quad \vec{P}_{\text{eff}} \equiv \vec{P} + \vec{\delta}$$

# Matching QM & QFT expressions for $\nu$ w. p.

$$\delta^k = -\frac{(E_j - E_P)(v_j - v_P)^k}{\lambda_P + (\vec{v}_j - \vec{v}_P)^2}, \quad \tilde{\gamma}_j = \frac{(E_j - E_P)^2}{4\sigma_{eP}^2} \frac{\lambda_P}{\lambda_P + (\vec{v}_j - \vec{v}_P)^2}.$$

Diagonalization of  $\alpha^{kl}$  gives  $(OZ||(\vec{v}_j - \vec{v}_P))$ :

$$(\sigma_{pP \text{ eff}}^x)^2 = (\sigma_{pP \text{ eff}}^y)^2 = \sigma_{pP}^2, \quad \frac{1}{(\sigma_{pP \text{ eff}}^z)^2} = \frac{1}{\sigma_{pP}^2} + \frac{(\vec{v}_j - \vec{v}_P)^2}{\sigma_{eP}^2},$$

⇒ QM neutrino wave packets can match those obtained QFT if

- Momentum uncertainties of the neutrino mass eigenstates are replaced (anisotropic) effective ones:  $-(\vec{p} - \vec{P})^2 / (4\sigma_{pP}^2) \rightarrow$   
 $-[(p^x - P_{\text{eff}}^x)^2 / 4(\sigma_{pP}^x)^2 + (p^y - P_{\text{eff}}^y)^2 / 4(\sigma_{pP}^y)^2 + (p^z - P_{\text{eff}}^z)^2 / 4(\sigma_{pP}^z)^2].$
- The mean momentum  $\vec{P}$  is shifted according to  $\vec{P} \rightarrow \vec{P}_{\text{eff}} = \vec{P} + \vec{\delta}$ .
- The wave packet of each neutrino mass eigenstate gets an extra factor  $N_j = \exp[-\tilde{\gamma}_j]$ .

# Matching QM & QFT expressions for $\nu$ w. p.

If  $|E_i - E_j| \ll \sigma_{eP} \Rightarrow$

factors  $N_j$  are the same for all  $\nu$  mass eigenstates, can be included in common normalization factor. In the opposite case – coherence of different neutrino mass eigenstates is lost.

$\sigma_{eP} \leq \sigma_{pP} \Rightarrow$  except for  $\vec{v}_j \approx \vec{v}_P$  momentum uncertainty along  $(\vec{v}_j - \vec{v}_P)$  is dominated by  $\sigma_{eP}$ .

In the stationary neutrino source limit  $(\sigma_{eP}, \vec{v}_P \rightarrow 0)$ , effective longitudinal mom. uncertainty  $\sigma_{pP}^z \text{ eff} = 0$  even though the true mom. uncertainty  $\sigma_{pP} \neq 0$ .



Coherence length  $l_{\text{coh}} \rightarrow \infty$



# Oscillation probability in QFT

What is calculated in QFT is the probability of the overall production-propagation-detection process. How to extract from it the oscillation probability  $P_{\alpha\beta}(L)$ ?

1. Recall the operational definition of  $P_{\alpha\beta}(L)$ . Detection rate for  $\nu_\beta$ :

$$\Gamma_\beta^{\text{det}} = \int dE j_\beta(E) \sigma_\beta(E),$$

If a source at a distance  $L$  from the detector emits  $\nu_\alpha$  with the energy spectrum  $d\Gamma_\alpha^{\text{prod}}(E)/dE$ :

$$j_\beta(E) = \frac{1}{4\pi L^2} \frac{d\Gamma_\alpha^{\text{prod}}(E)}{dE} P_{\alpha\beta}(L, E),$$

$\Rightarrow$  substitute into  $\Gamma_\beta^{\text{det}}$ :

# Oscillation probability in QFT

$$\Gamma_{\alpha\beta}^{\text{tot}} \equiv \int dE \frac{d\Gamma_{\alpha\beta}^{\text{tot}}(E)}{dE} = \frac{1}{4\pi L^2} \int dE \frac{d\Gamma_{\alpha}^{\text{prod}}(E)}{dE} P_{\alpha\beta}(L, E) \sigma_{\beta}(E)$$

$$P_{\alpha\beta}(L, E) = \frac{d\Gamma_{\alpha\beta}^{\text{tot}}(E)/dE}{\frac{1}{4\pi L^2} [d\Gamma_{\alpha}^{\text{prod}}(E)/dE] \sigma_{\beta}(E)} .$$

An important ingredient: the assumption that the overall rate factorizes into the production rate, propagation (oscillation) probability and detection cross section.

If this does not hold, oscillation probability is undefined  $\Rightarrow$

Need to deal instead with the overall rate of neutrino production, propagation and detection.

# Oscillation probability in QFT

Try to cast  $P_{\alpha\beta}^{\text{tot}}$  in the same form (check if the factorization condition holds!)

$$i\mathcal{A}_{\alpha\beta} = i \sum_j U_{\alpha j}^* U_{\beta j} \int \frac{d^4 p}{(2\pi)^4} \Phi_{jP}(p^0, \vec{p}) \Phi_{jD}(p^0, \vec{p}) \frac{2p_0 e^{-ip^0 T + i\vec{p}\vec{L}}}{p^2 - m_j^2 + i\epsilon}$$

Integrate first over  $\vec{p}$ , then over  $p^0 \equiv E$ . Make use of Grimus-Stockinger theorem: for a large  $L$ ,  $A > 0$  and a sufficiently smooth function  $\psi(\vec{p})$ ,

$$\int d^3 p \frac{\psi(\vec{p}) e^{i\vec{p}\vec{L}}}{A - \vec{p}^2 + i\epsilon} = -\frac{2\pi^2}{L} \psi(\sqrt{A} \frac{\vec{L}}{L}) e^{i\sqrt{A}L} + \mathcal{O}(L^{-\frac{3}{2}}) \Rightarrow$$

$$i\mathcal{A}_{\alpha\beta}(T, \vec{L}) = \frac{-i}{8\pi^2 L} \sum_j U_{\alpha j}^* U_{\beta j} \int dE \Phi_P(E, p_j \vec{l}) \Phi_D(E, p_j \vec{l}) 2E e^{-iET + ip_j L}$$

where

$$p_j \equiv \sqrt{E^2 - m_j^2}, \quad \vec{l} \equiv \frac{\vec{L}}{L},$$

# Oscillation probability in QFT

Introduce

$$\begin{aligned} \tilde{P}_{\alpha\beta}^{\text{tot}}(\vec{L}) &= \int dT P_{\alpha\beta}(T, \vec{L}) = \frac{1}{8\pi^2} \frac{1}{4\pi L^2} \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \\ &\quad \times \int dE \Phi_P(E, p_j \vec{l}) \Phi_D(E, p_j \vec{l}) \Phi_P^*(E, p_k \vec{l}) \Phi_D^*(E, p_k \vec{l}) (2E)^2 e^{i(p_j - p_k)L} \end{aligned}$$

Neutrino production probability:

$$P_{\alpha}^{\text{prod}} = \sum_j |U_{\alpha j}|^2 \int \frac{d^3 p_j}{(2\pi)^3} |\Phi_P(E, p_j)|^2 = \sum_j |U_{\alpha j}|^2 \frac{1}{8\pi^2} \int dE |\Phi_P(E, p_j)|^2 4E p_j$$

Detection probability:

$$P_{\beta}^{\text{det}}(E) = \sum_k |U_{\beta k}|^2 |\Phi_D(E, p_k)|^2 \frac{1}{V},$$

# Oscillation probability in QFT

Let the number of particles  $P_i$  entering the production region during time interval  $T_0$  be  $N_P$  and number of  $D_i$  entering the detection region be  $N_D$ . Probability of neutrino emission during the finite interval of time  $t$ :

$$\mathcal{P}_\alpha^{\text{prod}}(t) = N_P \int_0^t \frac{dt_P}{T_0} P_\alpha^{\text{prod}} = N_P P_\alpha^{\text{prod}} \frac{t}{T_0}, \quad \text{rate: } \Gamma_\alpha^{\text{prod}} = N_P P_\alpha^{\text{prod}} \frac{1}{T_0}$$

Detection cross section:

$$\sigma_\beta(E) = \frac{N_D}{T_0} \sum_k |U_{\beta k}|^2 |\Phi_{kD}(E)|^2 \frac{E}{p_k}$$

Probability of the overall production-propagation-detection process:

$$\mathcal{P}_{\alpha\beta}^{\text{tot}}(t, L) = \frac{N_P N_D}{T_0^2} \int_0^t dt_D \int_0^t dt_P P_{\alpha\beta}^{\text{tot}}(T, L) \Rightarrow$$

# Oscillation probability in QFT

New integration variables  $\tilde{T} \equiv (t_P + t_D)/2$  and  $T = t_D - t_P \Rightarrow$

$$\begin{aligned} \mathcal{P}_{\alpha\beta}^{\text{tot}}(t, L) &= \frac{N_P N_D}{T_0^2} \left[ \int_0^t dT P_{\alpha\beta}^{\text{tot}}(T, L)(t - T) + \int_{-t}^0 dT P_{\alpha\beta}^{\text{tot}}(T, L)(t + T) \right] \\ &= \frac{N_P N_D}{T_0^2} \left[ t \int_{-t}^t dT P_{\alpha\beta}^{\text{tot}}(T, L) - \int_0^t dT T P_{\alpha\beta}^{\text{tot}}(T, L) + \int_{-t}^0 dT T P_{\alpha\beta}^{\text{tot}}(T, L) \right] \\ &\equiv \frac{N_P N_D}{T_0^2} \left[ t I_1(t) - I_2(t) + I_3(t) \right]. \end{aligned}$$

For large  $t$  (much larger than the time scales of the neutrino production and detection processes)  $I_1 = \tilde{P}_{\alpha\beta}^{\text{tot}}(L)$  whereas  $I_2 = I_3 = 0 \Rightarrow$

$$\mathcal{P}_{\alpha\beta}^{\text{tot}}(t, L) = \frac{N_P N_D}{T_0^2} t \tilde{P}_{\alpha\beta}^{\text{tot}}(L), \quad \Gamma_{\alpha\beta}^{\text{tot}}(L) = \frac{N_P N_D}{T_0^2} \tilde{P}_{\alpha\beta}^{\text{tot}}$$

# Oscillation probability in QFT

$$"P_{\alpha\beta}(L, E)" = \frac{\sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \Phi_P(E, p_j) \Phi_D(E, p_j) \Phi_P^*(E, p_k) \Phi_D^*(E, p_k) e^{i(p_j - p_k)L}}{\sum_j |U_{\alpha j}|^2 |\Phi_P(E, p_j)|^2 p_j \sum_k |U_{\beta k}|^2 |\Phi_D(E, p_k)|^2 p_k^{-1}}$$

For  $|p_j - p_k| \ll p_j, p_k$  (ultra-relativistic or quasi-degenerate in mass  $\nu$ 's):  
 In expressions for  $\Gamma_{\alpha}^{\text{prod}}$  and  $\sigma_{\beta}$  can replace

$$p_j \rightarrow p, \quad \Phi_P(E, p_j) \rightarrow \Phi_P(E, p) \quad (p - \text{average momentum})$$

$\Rightarrow$  in the denominator of  $"P_{\alpha\beta}(L, E)"$ :

$$\sum_j |U_{\alpha j}|^2 |\Phi_P(E, p_j)|^2 p_j \rightarrow |\Phi_P(E, p)|^2 p \sum_j |U_{\alpha j}|^2 = |\Phi_P(E, p)|^2 p,$$

$$\sum_k |U_{\beta k}|^2 |\Phi_D(E, p_k)|^2 p_k^{-1} \rightarrow |\Phi_D(E, p)|^2 p^{-1} \sum_k |U_{\beta k}|^2 = |\Phi_D(E, p)|^2 p^{-1},$$

Cannot in general be done in the numerator of  $"P_{\alpha\beta}(L, E)"$  !

# Oscillation probability in QFT

For  $|p_j - p_k| \ll p_j, p_k$   $\Gamma_\alpha^{\text{prod}}$  and  $\sigma_\beta$  do not depend on the elements of the mixing matrix  $\Rightarrow$  factorization holds.  $P_{\alpha\beta}(E, L)$  can be defined as a sensible quantity:

$$P_{\alpha\beta}(L, E) = \frac{\sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \Phi_P(E, p_j) \Phi_D(E, p_j) \Phi_P^*(E, p_k) \Phi_D^*(E, p_k) e^{i(p_j - p_k)L}}{|\Phi_P(E, p)|^2 |\Phi_D(E, p)|^2}$$

Automatically satisfies unitarity, i.e. is properly normalized.

For  $|p_j - p_k| \gg \sigma_p$  ( $\Leftrightarrow \Delta m_{jk}^2 / (2p) \gg \sigma_p$ ) –  $P_{\alpha\beta}(L, E)$  strongly suppressed.

In the opposite case

$$\frac{\Delta m_{jk}^2}{2p} \ll \sigma_p,$$

(production & detection coherence cond. satisfied) –  $\Phi_P(E, p_{j,k}), \Phi_D(E, p_{j,k})$  can be pulled out of the sums in the numerator  $\Rightarrow$  stand. osc. probability:

$$P_{\alpha\beta}(L, E) = \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* e^{-i \frac{\Delta m_{jk}^2}{2p} L}$$



# Summary

- QM and QFT wave packet formalisms provide consistent approaches to neutrino oscillations.
- QFT approach is superior to the QM one:
  - Consistently takes into account neutrino production and detection mechanisms
  - Allows to obtain the neutrino wave packets used in the QM approach (instead of postulating them)
  - Automatically produces correctly normalized oscillation probability and clarifies the normalization prescription of QM approach
- $\Rightarrow$  the simplistic QM wave packet approach may need QFT-motivated modifications; however, once they have been done, one can still work within the QM framework without losing any essential physics content.



Photo: <http://alexandermigdal.com/prose/paradise1.shtml>

# Backup slides

# Problems with the plane-wave approach

- Same momentum  $\Rightarrow$  oscillation probabilities depend only on time. Leads to a paradoxical result – no need for a far detector! “Time-to-space conversion” ( $x = vt \simeq t$ )
  - assumes neutrinos to be point-like particles (notion opposite to plane waves).
- Same energy – oscillation probabilities depend only on coordinate. Does not explain how neutrinos are produced and detected at certain times. Corresponds to a stationary situation.

Plane wave approach  $\Leftrightarrow$  exact energy-momentum conservation.  
Neutrino energy and momentum are fully determined by those of external particles  $\Rightarrow$  only one mass eigenstate can be emitted!

# Kinematic constraints

Same momentum and same energy assumptions: contradict kinematics!

Pion decay at rest ( $\pi^+ \rightarrow \mu^+ + \nu_\mu$ ,  $\pi^- \rightarrow \mu^- + \bar{\nu}_\mu$ ):

For decay with emission of a massive neutrino of mass  $m_i$ :

$$E_i^2 = \frac{m_\pi^2}{4} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right)^2 + \frac{m_i^2}{2} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) + \frac{m_i^4}{4m_\pi^2}$$

$$p_i^2 = \frac{m_\pi^2}{4} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right)^2 - \frac{m_i^2}{2} \left(1 + \frac{m_\mu^2}{m_\pi^2}\right) + \frac{m_i^4}{4m_\pi^2}$$

For massless neutrinos:  $E_i = p_i = E \equiv \frac{m_\pi}{2} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \simeq 30 \text{ MeV}$

To first order in  $m_i^2$ :

$$E_i \simeq E + \xi \frac{m_i^2}{2E}, \quad p_i \simeq E - (1 - \xi) \frac{m_i^2}{2E}, \quad \xi = \frac{1}{2} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \approx 0.2$$

# Kinematic constraints

Same momentum or same energy would require

$\xi = 1$  or  $\xi = 0$  – not the case!

Also: would violate Lorentz invariance of the oscillation probability

How can wrong assumptions lead to the correct oscillation formula ?

# When are neutrino oscillations observable?

Keyword: Coherence

Neutrino flavour eigenstates  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$  are coherent superpositions of mass eigenstates  $\nu_1$ ,  $\nu_2$  and  $\nu_3$   $\Rightarrow$  oscillations are only observable if

- neutrino production and detection are coherent
- coherence is not (irreversibly) lost during neutrino propagation.

Possible decoherence at production (detection): If by accurate  $E$  and  $p$  measurements one can tell (through  $E = \sqrt{p^2 + m^2}$ ) which mass eigenstate is emitted, the coherence is lost and oscillations disappear!

Full analogy with electron interference in double slit experiments: if one can establish which slit the detected electron has passed through, the interference fringes are washed out.

# When are neutrino oscillations observable?

Another source of decoherence: wave packet separation due to the difference of group velocities  $\Delta v$  of different mass eigenstates.

If coherence is lost: Flavour transition can still occur, but in a non-oscillatory way. E.g. for  $\pi \rightarrow \mu \nu_i$  decay with a subsequent detection of  $\nu_i$  with the emission of  $e$ :

$$P \propto \sum_i P_{\text{prod}}(\mu \nu_i) P_{\text{det}}(e \nu_i) \propto \sum_i |U_{\mu i}|^2 |U_{e i}|^2$$

– the same result as for averaged oscillations.

How are the oscillations destroyed? Suppose by measuring momenta and energies of particles at neutrino production (or detection) we can determine its energy  $E$  and momentum  $p$  with uncertainties  $\sigma_E$  and  $\sigma_p$ . From

$$E_i = \sqrt{p_i^2 + m_i^2}:$$

$$\sigma_{m^2} = \left[ (2E\sigma_E)^2 + (2p\sigma_p)^2 \right]^{1/2}$$



# When are neutrino oscillations observable?

If  $\sigma_{m^2} < \Delta m^2 = |m_i^2 - m_k^2|$  – one can tell which mass eigenstate is emitted.

$\sigma_{m^2} < \Delta m^2$  implies  $2p\sigma_p < \Delta m^2$ , or  $\sigma_p < \Delta m^2/2p \simeq l_{\text{osc}}^{-1}$ .

But: To measure  $p$  with the accuracy  $\sigma_p$  one needs to measure the momenta of particles at production with (at least) the same accuracy  $\Rightarrow$  uncertainty of their coordinates (and the coordinate of  $\nu$  production point) will be

$$\sigma_{x, \text{prod}} \gtrsim \sigma_p^{-1} \sim l_{\text{osc}}$$

$\Rightarrow$  Oscillations washed out. Similarly for neutrino detection.

Natural necessary condition for coherence (observability of oscillations):

$$L_{\text{source}} \ll l_{\text{osc}}, \quad L_{\text{det}} \ll l_{\text{osc}}$$

No averaging of oscillations in the source and detector

Satisfied with very large margins in most cases of practical interest

# Wave packet separation

Wave packets representing different mass eigenstate components have different group velocities  $v_{gi}$   $\Rightarrow$  after time  $t_{\text{coh}}$  (coherence time) they separate  $\Rightarrow$  Neutrinos stop oscillating! (Only averaged effect observable).

Coherence time and length:

$$\Delta v \cdot t_{\text{coh}} \simeq \sigma_x; \quad l_{\text{coh}} \simeq v t_{\text{coh}}$$

$$\Delta v = \frac{p_i}{E_i} - \frac{p_k}{E_k} \simeq \frac{\Delta m^2}{2E^2}$$

$$l_{\text{coh}} \simeq \frac{2E^2}{\Delta m^2} v \sigma_x$$

The standard formula for  $P_{\text{osc}}$  completely neglects decoherence effects. How should it be modified when decoherence is present?

# Oscillations and QM uncertainty relations

Neutrino oscillations – a QM interference phenomenon, owe their existence to QM uncertainty relations

Neutrino energy and momentum are characterized by uncertainties  $\sigma_E$  and  $\sigma_p$  related to the spatial localization and time scale of the production and detection processes. These uncertainties

- allow the emitted/absorbed neutrino state to be a coherent superposition of different mass eigenstates
- determine the size of the neutrino wave packets  $\Rightarrow$  govern decoherence due to wave packet separation

$\sigma_E$  – the effective energy uncertainty, dominated by the smaller one between the energy uncertainties at production and detection. Similarly for  $\sigma_p$ .

# The paradox of $\sigma_E$ and $\sigma_p$

QM uncertainty relations:  $\sigma_p$  is related to the spatial localization of the production (detection) process, while  $\sigma_E$  to its time scale  $\Rightarrow$  independent quantities.

On the other hand: Neutrinos propagating macroscopic distances are on the mass shell. For on-shell mass eigenstates  $E^2 = p^2 + m_i^2$  means

$$E\sigma_E = p\sigma_p$$

How can this be understood?

The solution: At production, neutrinos are *not* on the mass shell. They go on shell only after they propagate  $x \sim (\text{a few}) \times$  De Broglie wavelengths. After that their energy and momentum get related by  $E^2 = p^2 + m_i^2 \Rightarrow$  the larger uncertainty shrinks towards the smaller one to satisfy  $E\sigma_E = p\sigma_p$ .

On-shell relation between  $E$  and  $p$  allows to determine the less certain of the two through the more certain one, reducing the error of the latter.

# What determines the length of $\nu$ w. packets?

The length of  $\nu$  w. packets:  $\sigma_x \sim 1/\sigma_p$ . For propagating on-shell neutrinos:

$$\sigma_p \simeq \min\{\sigma_p^{\text{prod}}, (E/p)\sigma_E^{\text{prod}}\} = \min\{\sigma_p^{\text{prod}}, (1/v_g)\sigma_E^{\text{prod}}\}$$

Which uncertainty is smaller at production,  $\sigma_p^{\text{prod}}$  or  $\sigma_E^{\text{prod}}$ ?

Consider neutrino production in decays of an unstable particle localized in a box of size  $L_S$ . Time between two collisions with the walls of the box:  $T_S$ .

- If  $T_S < \tau$  ( $\tau$  – lifetime of the parent unstable particle)  $\Rightarrow$   
 $\sigma_E \simeq T_S^{-1}$  (collisional broadening). Mom. uncertainty:  $\sigma_p \simeq L_S^{-1}$ .

But:  $L_S = v_S T_S \Rightarrow \sigma_E < \sigma_p$  (a consequence of  $v_S < 1$ )

- If  $T_S > \tau$  (quasi-free parent particle)  $\Rightarrow \sigma_E \simeq \tau^{-1} = \Gamma$ .

$\sigma_p \simeq [(p/E)\tau]^{-1} \simeq [(p/E)\sigma_E]^{-1}$ , i.e.  $\sigma_E \simeq (p/E)\sigma_p < \sigma_p$ .

# The length of $\nu$ w. packets – contd.

In both cases  $\sigma_E < \sigma_p$   $\Leftarrow$  also when  $\nu'_s$  are produced in collisions.

$$\Rightarrow \sigma_{p \text{ eff}} \simeq \frac{\sigma_E}{v_g},$$

$$\sigma_x \simeq \frac{v_g}{\sigma_E}$$

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Kayser 1981

Pakvasa 1981

Kobzarev, Martemyanov, Okun & Shchepkin, 1982

Stodolsky 1987, 1998

Giunti, Kim & Lee 1991, 1992, 1998

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