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## **Advanced School on Direct and Inverse Problems of Seismology**

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**GEOSCOPE procedure for centroid - moment tensor determination**

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# 1 Introduction

The first quantitative information sought after an earthquake occurrence is, beyond the geographical location of its epicenter, the characterization of the event: what is the magnitude and duration of the earthquake, its depth and its focal mechanism, *i.e.* the fault orientation and the direction of slip along that fault. A rapid estimation of these parameters is necessary before any further study. The seismic centroid moment tensor is an equivalent point source representation of these average characteristics.

Obtaining these information from seismograms is the mandatory first step of a seismic event analysis before further detailed investigations: ground deformation, kinematic of the rupture, seismicity analysis of a fault system, etc...

Also, sismological studies of the large scale structures of the Earth, from regional to global, are performed using a tomographic process in which thousands of synthetic seismograms are generated and compared to real data. Catalogues of seismic moment tensors are used as input to generate these synthetic seismograms.

The centroid moment tensor is always determined for seismic event of scientific and/or social interest, but there are only two systematic catalogues at global scale, including a rapid determination of the earthquakes source mechanism: the Global CMT project<sup>1</sup> and the USGS centroid moment tensor<sup>2</sup> solution. A few regional initiative also exist (e.g. by the USGS or the regional moment tensor catalogue of the EMSC<sup>3</sup>).

In the framework of the French national worldwide seismological observatory GEOSCOPE, an application designed for the determination of the centroid seismic tensor for large earthquakes, *i.e.* producing long-period seismic signal (above 50s period) on a global scale has been implemented. As the method chosen is intrinsically distributed, deploying it on a Grid computing infrastructure that gathers several thousands of computers such as the EGEE<sup>4</sup> project was seen as a solution to reduce the time spent on this operation.

## 2 Contexte: the GEOSCOPE seismological observatory

GEOSCOPE is the French national worldwide seismological observatory. The GEOSCOPE network is composed by 32 wide band seismic stations covering the globe. GEOSCOPE is the French component of the networks of seismic monitoring know as "very broad band". It takes part in localizing of earthquakes on the whole planet, in determining the rupture mode of the faults

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<sup>1</sup><http://www.globalcmt.org/>

<sup>2</sup>United States Geological Survey, <http://www.earthquake.usgs.gov/>

<sup>3</sup>European-Mediterranean Seismological Centre, <http://www.emsc-csem.org/>

<sup>4</sup><http://www.eu-egee.org/>

causing them and in tomographies of the interior of our planet. GEOSCOPE is also associated to the development of international monitoring systems, in particular for tsunami related risks in the Indian Ocean and the Antilles.

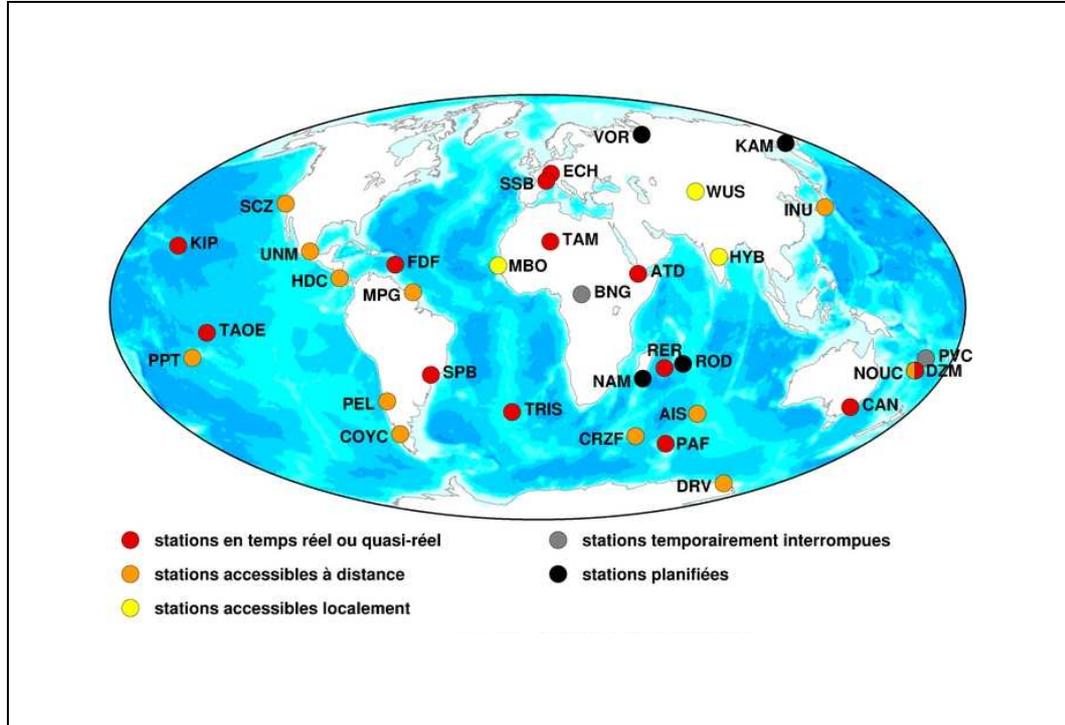


Figure 1: The GEOSCOPE network in 2007. Red: real (or quasi-real) time stations; Orange: remotely accessible stations; Yellow: locally accessible stations; Grey: temporarily interrupted stations; Black: planned stations.

### 3 Centroid Moment tensor computation using a linear inversion method

#### 3.1 Seismic source representation

**The moment tensor** An efficient way to mathematically represent the average rupture process of a seismic event is the centroid moment tensor, which corresponds to a point source equivalent mechanism located at the barycenter of the seismic slip distribution, both in space and time [Backus and Mulcahy (1976a), Backus and Mulcahy (1976b), Dziewonski *et al.* (1981)]. The moment tensor  $M_{ij}(i = 1, 3, j = 1, 3)$  is a system of forces described by a second-rank moment: The diagonal terms corresponds to linear dipoles, and the off-diagonal terms correspond to force couples. When assuming no net torque, the tensor is symmetric, reducing the number of independent components of the tensor to six. In the case of a source with no volume change, like a dislocation occurring along a fault, the trace of the moment tensor

is zero, meaning no isotropic part, leading to five independent components. Isotropic part is however considered in the case of explosion or implosion, as, for example, in nuclear explosion, quarry blast or volcanic event.

Using this description, the computation of seismic wave excitation is a linear problem, making easier the determination of the source characteristics from the observations through an inverse problem. For a moment tensor with  $a_i$  components, a theoretical seismogram can be written as a sum of terms:

$$u(t) = [A_1(t) \cdots A_m(t)] * \begin{bmatrix} a_1 \\ a_2 \\ \cdots \\ a_m \end{bmatrix} \quad (1)$$

where each  $A_j(t)$  elements is a Green's function giving the  $j$ th seismogram generated by a source with the  $j$ th moment tensor component is set to 1, and the other component are zero. For a set of  $n$  seismograms, the linear system of equation is:

$$\begin{bmatrix} u_1(t) \\ u_2(t) \\ \cdots \\ u_n(t) \end{bmatrix} = \begin{bmatrix} A_{11}(t) & \cdots & A_{1m}(t) \\ A_{21}(t) & \cdots & A_{2m}(t) \\ \vdots & \ddots & \vdots \\ A_{n1}(t) & \cdots & A_{nm}(t) \end{bmatrix} * \begin{bmatrix} a_1 \\ a_2 \\ \cdots \\ a_m \end{bmatrix} \quad (2)$$

## Earthquake source mechanism interpretation

- Magnitude and duration:

The size of an event is given by the seismic moment  $M_0$  (expressed in  $N.m$ ), which is directly obtained as it corresponds to the norm of the moment tensor, and its duration. The magnitude of a large event is commonly represented on the moment magnitude ( $M_w$ ) scale (being an open scale) which is a function of  $M_0$  only.  $M_0$  (and thus  $M_w$ ) is proportional to the area of the fault that ruptured and to the average amount of slip of this rupture.

- Source mechanism:

There are different ways to interpret the moment tensor representation. In the case of earthquakes, the most common one is the decomposition into a best double-couple and a minimal compensated linear vector dipole (CLVD).

The double-couple model is the preferred representation by equivalent body forces of a simple dislocation source. Its geometrical interpretation gives the fault plane orientation (strike and dip) and the direction of the slip vector (rake) on this plane. The double-couple gives actually two planes, one for each couple : the fault plane and the auxiliary

plane, which can lead to ambiguity, but can be discriminated by the tectonic settings.

The CLVD component can be interpreted in different ways: complex rupture history, heterogeneity of the source medium, etc... [Julian *et al.* (1998)].

Hence, the best double-couple and minimal CLVD representation assumes that the rupture process geometry is rather simple. In the seismic moment tensor determination procedure this representation is given as a by-product, but the seismic tensor itself allows different decompositions (as, for example, a composite rupture on different segment faults).

### 3.2 Seismic moment tensor determination procedure

The moment tensor is linearly related to the data when the centroid location in time and space is known. The centroid location, especially in space (depth and horizontal location), induces trade-off. Hence, in order to determine the centroid seismic tensor, we chose a mixed approach. The area around the first hypocentral determination is considered as a 3D parameter space to be explored, in terms of latitude, longitude and depth. The duration of the event is also explored as a 4th dimension of the parameter space. For each point of this exploration of the parameter space, a virtual source is determined by linear inversion, giving an associated moment tensor. In this way, the global problem is divided into several small linear problems.

The linear inversion is performed on the complex spectra domain using relevant temporal windows of data and frequency range. The seismic moment tensor solution is determined by the best fitting to the complex spectral data among all the moment tensors computed for each point of the exploration parameter space.

Note that this approach is similar to the methodology used for real-time monitoring of a specific zone, as the GRiD-MT<sup>5</sup> system in Japan, operating since 2003 [Tsuruoka *et al.* (2008)].

The current implementation of the procedure relies on the European Grid Infrastructure<sup>6</sup> that allows a secure access to a large amount of dedicated CPUs. The codes are wrapped in a home-made software that managed the computations.

#### Data selection and pre-processing

- Data: We use long-period three-component seismic data recorded worldwide. Usually data are extracted from the LH record channel, corresponding to a sample rate of 1 Hz, over a time window of at least 5 hours

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<sup>5</sup>[http://www.eri.u-tokyo.ac.jp/GRiD\\_MT/](http://www.eri.u-tokyo.ac.jp/GRiD_MT/)

<sup>6</sup><http://www.eu-egee.org/>

starting about 1 hour before the event origin time. The data selection is first done on raw data to ensure that there is no instrumental problem (such as high level of noise, holes, glitches, signal saturation,...). And second selection is made after a coarse low-pass filtering, in order to avoid seismic traces with high long-period noise, and to obtain an azimuthal coverage as good as possible (the later strongly affected by the uneven distributions of large seismic events and permanent seismic stations over the Earth).

- Input parameters:
  1. Time windows (from a few hundreds to a few thousands seconds) are selected for each single trace, corresponding to the maximum signal. Several time windows can be used for each traces.
  2. A frequency range is determined for the spectral domain inversion, depending on the first estimation of the magnitude. Typically, for a large event of magnitude Mw 6.8 to 7.8 the frequency window is 4-8 mHz. These numbers may be modified for re-iteration of the inversion process if necessary.

### Centroid moment tensor determination procedure

Latitude, longitude, depth and duration of the point source centroid being fixed,

1. 6 synthetic traces (seismograms) are computed for each data trace corresponding to a given component (horizontal North-South or horizontal East-West or vertical trace) for a given station and the given source location. These 6 synthetic seismograms are computed using a virtual source consisting of one of the independent component of the tensor being equal to one, the others being equal to zero. The set of these synthetic seismograms are our so-called Green functions (Note that even if 5 components of the moment tensor are actually inverted if we consider the non-isotropic case, the 6 Green functions are necessary in order to reconstruct synthetic seismograms to compare with data).

The inverse problem solved is then: For a set of  $n$  seismograms,

$$\begin{bmatrix} u_1(\omega) \\ u_2(\omega) \\ \cdots \\ u_n(\omega) \end{bmatrix} = \begin{bmatrix} A_{11}(\omega) & \cdots & A_{16}(\omega) \\ A_{21}(\omega) & \cdots & A_{26}(\omega) \\ \vdots & \ddots & \vdots \\ A_{n1}(\omega) & \cdots & A_{n6}(\omega) \end{bmatrix} \cdot \begin{bmatrix} a_1 \\ a_2 \\ \cdots \\ a_m \end{bmatrix} \quad (3)$$

2. A first linear inversion, using the complex spectra of the selected time windows, is performed to obtain a preliminary solution.

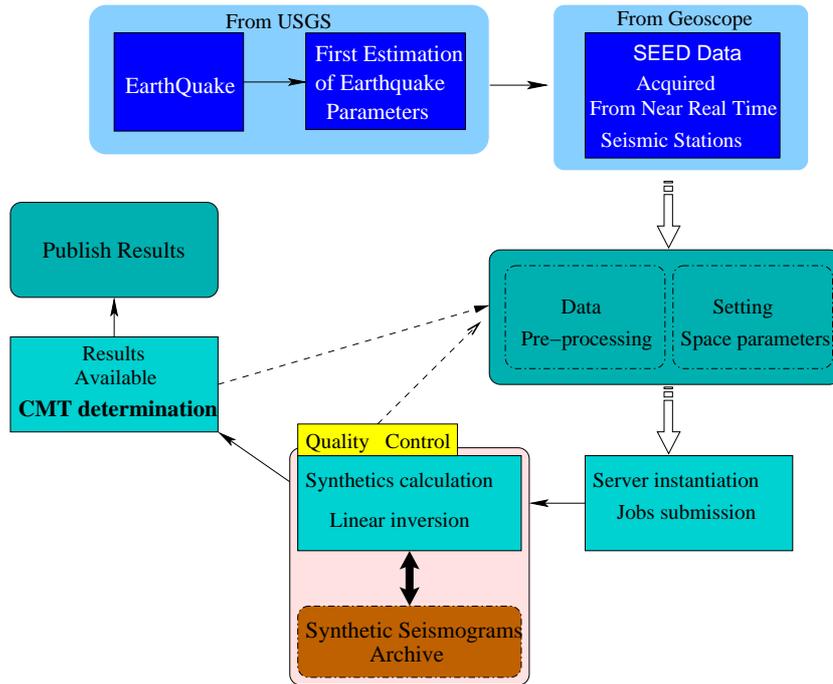


Figure 2: Workflow used for the determination of the seismic moment tensor at the centroid of an earthquake

3. Using the preliminary moment tensor solution, a synthetic seismogram is computed for each seismogram. A cross-correlation with the real trace is performed in order to determine a time centroid for each station.
4. Taking into account the local shift in time at each station, a final linear inversion is performed, giving a moment tensor solution for the fixed location.
5. The synthetic seismograms are constructed using the moment tensor solution and the value of the cost function for this point of the parameter space estimated.

### 3.2.1 CMT determination Typical Workflow

A typical workflow is shown Figure 2 : the user receives an alert from the USGS (or from another organization devoted to the same task) when an earthquake occurs. He/She can then look for the seismic stations available depending on the distance to the earthquake location and the time scale phenomenon relevant to the algorithm. The user gets the data related to the earthquake on the GEOSCOPE center website under the form of a SEED<sup>7</sup>

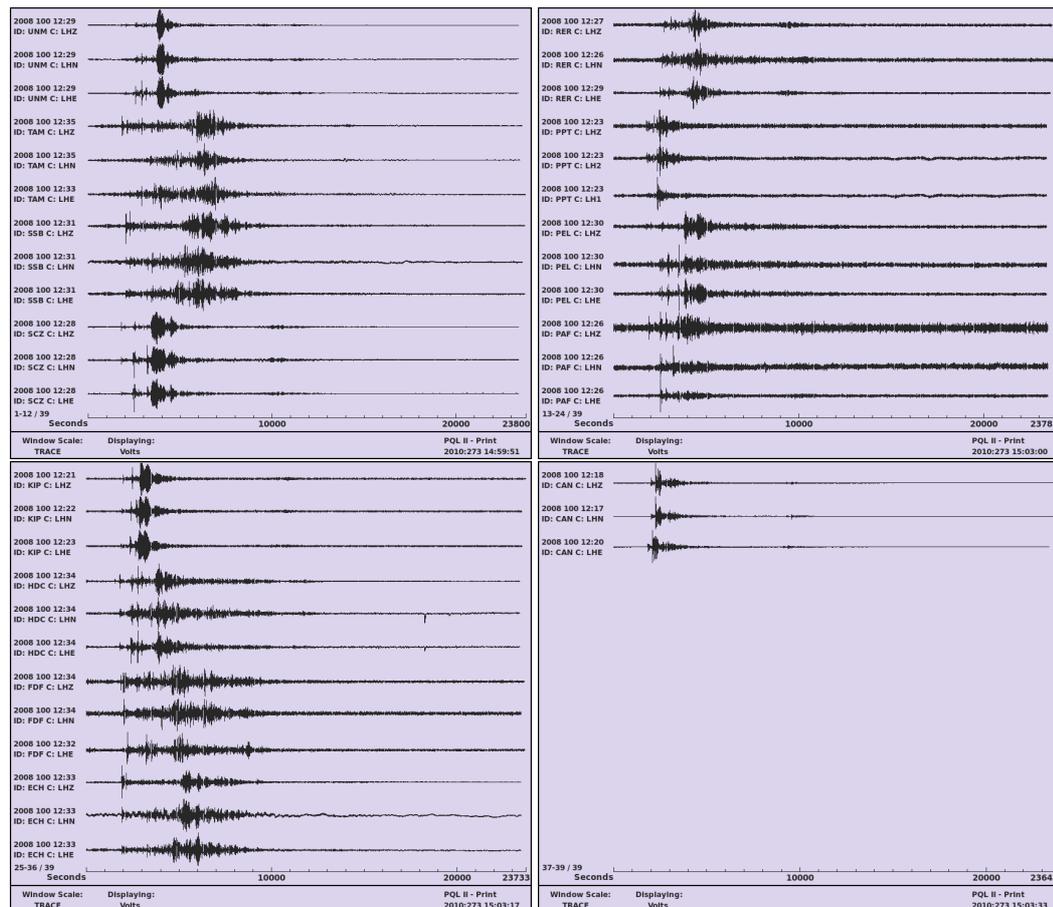
<sup>7</sup>The Standard for the Exchange of Earthquake Data (SEED) is an international standard format for the exchange of digital seismological data developed by the International Federation of Digital Seismograph Networks (FDSN)

volume. Those data usually come from the GEOSCOPE seismic stations, however data from other networks could be included. Once the data are acquired, some pre-processing of the SEED files is needed to ensure their quality and to convert them to a suitable format for the program (cutting in time, rotating the data to local polar coordinates, etc... which demand some (minor) user interaction) so that the data matches the application needs. The user then transfers an data archive to one of the grid access points (*i.e.* any machine equipped with the compulsory software to submit jobs) he/she has access to. Finally, after instantiation of the server the user submits the created jobs to the grid. The synthetic Green functions are calculated if needed for each parameter space cell. They are automatically stored in order to avoid re-computation in case of results improvement triggered by unsatisfying outcome –dash arrows on Fig 2– or for a probable aftershock (more about this in next section). Early and final quality control are assisted by a color 3D moveable representation of the variance between simulated and recorded data. Finally, solved problems are published on the GEOSCOPE website.

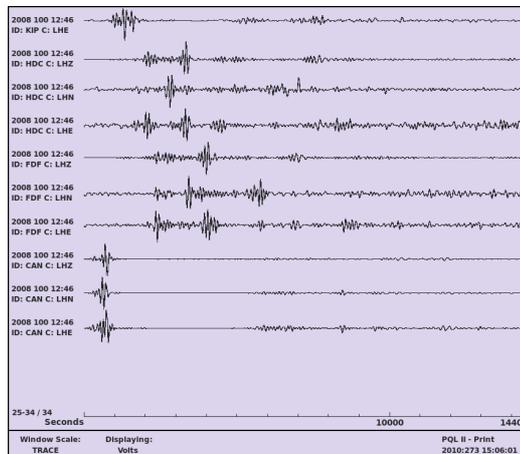
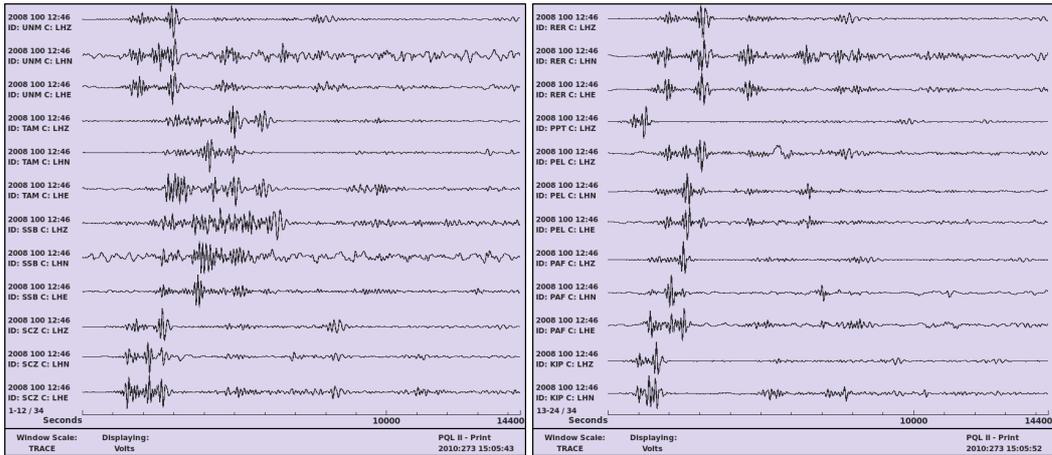
## 4 An example: The Loyalty Islands 2008/04/09 event

The Loyalty Islands 2008/04/09 event was reported by the USGS as having magnitude  $m_b = 6.3$  and  $M_s = 7.3$ . The PDE location is: latitude= $-20.07^\circ$ , longitude =  $168.89^\circ$ , with a depth= $33.0km$ .

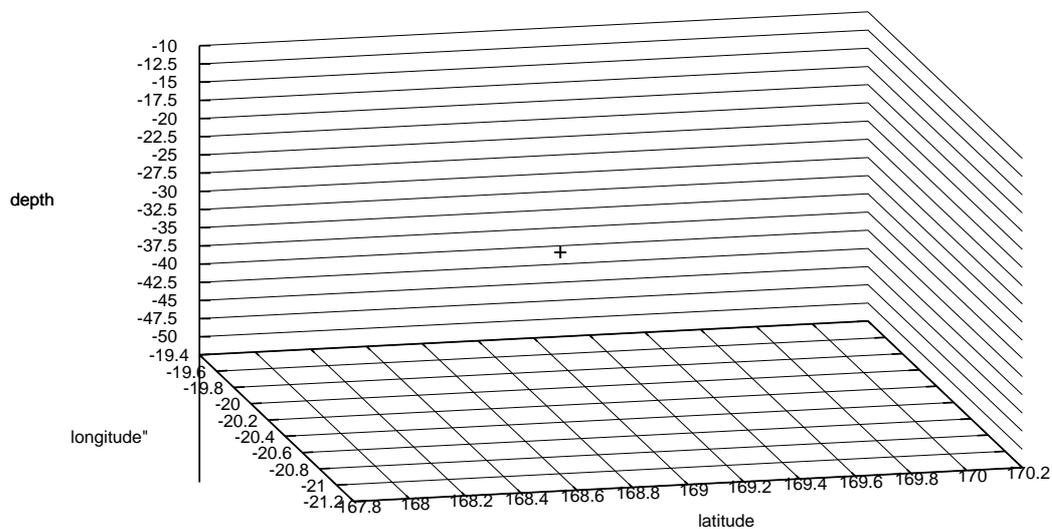
LH (sample rate= 1s) 3-component data from 13 stations of the GEOSCOPE network are obtained:



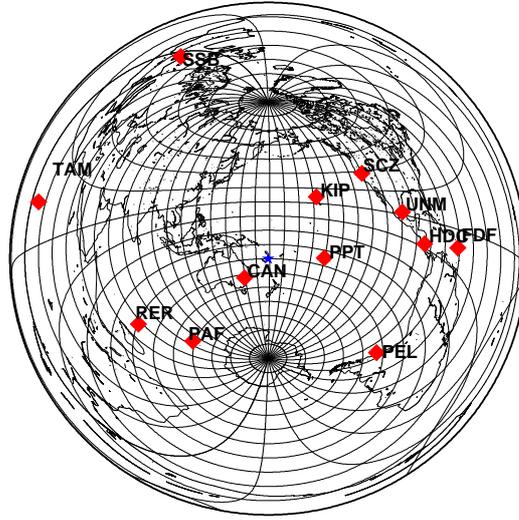
After a first visual selection of the raw data, the traces are band-pass filtered between 1 and  $12.5mHz$  (80 to 1000s) in order to select time windows for the inversion:



A grid in space (latitude, longitude, depth) is designed. In this case, the grid is  $2^\circ$  by  $2^\circ$  with a  $0.2^\circ$  in latitude and longitude, and from 10 to 50km with a step of 2.5km in depth. Each point of this grid is considered as a centroid location, and data are inverted to retrieve a moment tensor for this virtual centroid.

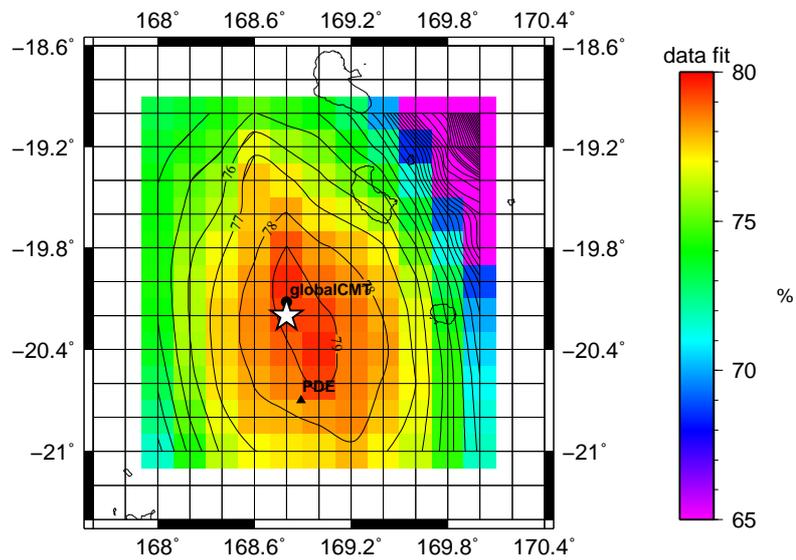
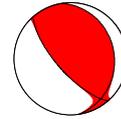


Finally, the centroid moment tensor giving the best fit to the data is:  
 $M_{rr}=0.687$   $M_{tt}=-0.064$   $M_{pp}=-0.623$   $M_{rt}=0.618$   $M_{rp}=-0.593$   $M_{tp}=0.345$  ( $10^{20} N.m$ )



Centroid location: depth=25 km  
 latitude=-20.2° longitude=168.8°  
 Magnitude  $M_w=7.3$  half-duration=10 s  
 Plane 1: strike=349° dip=17° rake=114°  
 Plane 2: strike=144° dip=74° rake=83°

Focal Mechanism





## References

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- [Tsuruoka *et al.* (2008)] Tsuruoka H., H. Kawakatsu, and T. Urabe, GRiD MT (Grid-based Realtime Determination of Moment Tensor) monitoring the long-period seismic wavefield, *Phys. Earth Planet. Int.*, in press (2008).

A detailed description of the technical aspects of the procedure can be found in:

Distributed jobs on EGEE Grid infrastructure for an Earth science application: moment in tensor computation at the centroid of an earthquake, *Earth Science Informatics*, 2:97-106, 2009.

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