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Turbulence: a Cross-Fertilization**

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**High-gradient Operators and Anderson Localization**

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# High-gradient operators and Anderson localization

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**S. Ryu**, A. Furusaki, A. W. W. Ludwig, and C. Mudry, Nucl. Phys. **B780**, 105 (2007)

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# Outline

- 1 Goal (elusive)
- 2 Two examples of symmetry classes in one dimension
- 3 Diffusive regime and universality classes in thick quantum wires
- 4 Non-linear-sigma-models ( $NL\sigma M$ ): Definition
- 5 Non-linear-sigma-models ( $NL\sigma M$ ): High-gradient operators
- 6 Random Dirac fermions in two-dimensions: Definition
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# Goal (elusive)

What are the conductance fluctuations at an Anderson metal-insulator transition when dimensionality of space  $d$  is larger than or equal to 2?

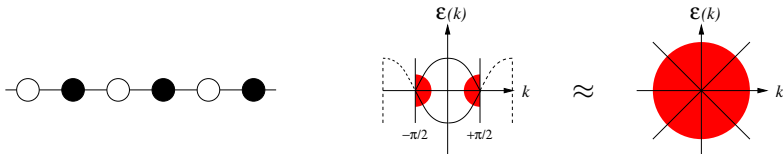
Pandora box was opened with the prediction of universal conductance fluctuations (UCF): [Altshuler \(1985\)](#); [Stone and Lee \(1985\)](#).

Key words that need to be explained:

- Symmetry classes (of Anderson localization)
- Universality classes (of Anderson localization)
- $NL\sigma M$
- High-gradient operators

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# Definition of the (weakly) random Hamiltonian



Hamiltonian for **non-interacting** and **spinless** fermions hopping along an **open** chain at **half-filling** with **weak** static disorder:

$$H := -\tau_3 i \frac{d}{dx} - \sum_{\mu=0}^2 \tau_{\mu} v_{\mu}(x), \quad v_F = \hbar = 1;$$

$$\langle v_{\mu}(x) \rangle = 0, \quad \langle v_{\mu}(x) v_{\nu}(x') \rangle = \ell_{\mu}^{-1} \delta_{\mu\nu} \delta(x - x').$$

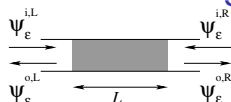
For any realization of the random potential, the symmetries are:

(AI)  $\sigma_1 H^* \sigma_1 = H$ , i.e., **time-reversal symmetry** holds generically,

(BDI)  $\sigma_1 H \sigma_1 = -H$  if  $\ell_0 = \ell_1 = \infty$ , i.e., **chiral symmetry** holds if

$\ell_0 = \ell_1 = \infty$ .

## The scattering matrix $\mathcal{S}_\varepsilon$ , is defined by



$$\begin{pmatrix} \psi_\varepsilon^{o,L} \\ \psi_\varepsilon^{o,R} \end{pmatrix}_\varepsilon = \mathcal{S}_\varepsilon \begin{pmatrix} \psi_\varepsilon^{i,L} \\ \psi_\varepsilon^{i,R} \end{pmatrix}_\varepsilon \equiv \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}_\varepsilon \begin{pmatrix} \psi_\varepsilon^{i,L} \\ \psi_\varepsilon^{i,R} \end{pmatrix}_\varepsilon.$$

It is **unitary** from which follows the (non-unique) polar decomposition

$$\mathcal{S}_\varepsilon = \begin{pmatrix} v'^* & 0 \\ 0 & u \end{pmatrix}_\varepsilon \begin{pmatrix} -\tanh x & \operatorname{sech} x \\ \operatorname{sech} x & \tanh x \end{pmatrix}_\varepsilon \begin{pmatrix} v & 0 \\ 0 & u'^* \end{pmatrix}_\varepsilon.$$

The constraints on the scattering matrix are:

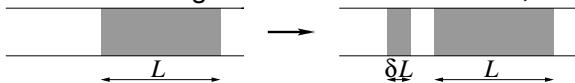
$$\mathcal{S}_\varepsilon = (\mathcal{S}_\varepsilon)^T \quad \text{due to time-reversal symmetry,}$$

$$\mathcal{S}_{+\varepsilon} = (\mathcal{S}_{-\varepsilon})^\dagger \quad \text{due to the chiral symmetry if } \ell_0^{-1} = \ell_1^{-1} = 0.$$

The dimensionless (Landauer) conductance is:

$$g_\varepsilon := 1 - |r_\varepsilon|^2 = 1 - \tanh^2 x_\varepsilon = \frac{1}{\cosh^2 x_\varepsilon}.$$

Add a slice of disordered region with the thickness  $\delta L$ ,  $\alpha \ll \delta L \ll \ell$ :



$r_{\varepsilon,L} = \tanh |x_{\varepsilon,L}| e^{i\phi_{\varepsilon,L}}$  obeys the **continuous Langevin process**

$$\frac{dx_{\varepsilon,L}}{dL} = +v_1 \sin \phi_{\varepsilon,L} - v_2 \cos \phi_{\varepsilon,L} + \mathcal{O}(v_\mu^2),$$

$$\frac{d\phi_{\varepsilon,L}}{dL} = 2(\varepsilon + v_0) + \frac{2}{\tanh 2x_{\varepsilon,L}} (v_1 \cos \phi_{\varepsilon,L} + v_2 \sin \phi_{\varepsilon,L}) + \mathcal{O}(v_\mu^2).$$

This follows from the composition law (Ryu, Mudry, and Furusaki 2004)

$$r_{\varepsilon,L+\delta L} = r_{\varepsilon,\delta L} + t'_{\varepsilon,\delta L} (1 - r_{\varepsilon,L} r'_{\varepsilon,\delta L})^{-1} r_{\varepsilon,L} t_{\varepsilon,\delta L},$$

when the width  $\delta L$  of the slice is much larger than the lattice spacing  $\alpha \sim k_F^{-1}$  but much smaller than the mean free path  $\ell$ , here defined by

$$1 \gg \langle r_{\varepsilon,\delta L} r_{\varepsilon,\delta L}^* \rangle =: \frac{\delta L}{\ell}, \quad \ell^{-1} = \ell_1^{-1} + \ell_2^{-1}.$$



**One-parameter scaling wrt  $l := \frac{L}{\ell}$  is, here, not the rule.** One-parameter scaling **only** holds under the **non-generic** assumptions:

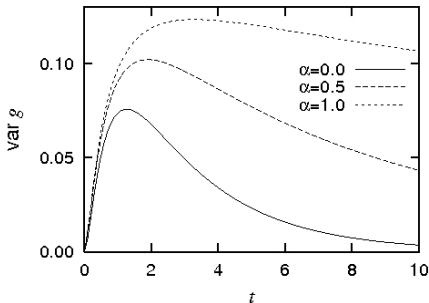
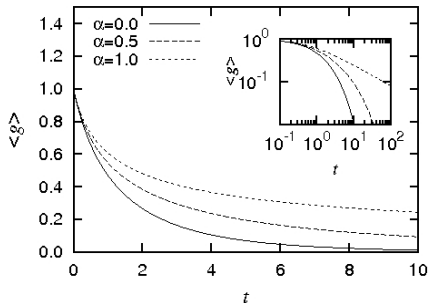
**AI (orthogonal symmetry class):**  $\phi_\varepsilon$  is initially uniformly distributed in  $[0, 2\pi[$  and independent of  $x_\varepsilon$  in which case the probability distribution for the dimensionless conductance is ([Abrikosov 1981](#))

$$\mathcal{G}(g_\varepsilon; l) = \sqrt{\frac{4}{\pi l^3 g_\varepsilon^3}} \int_{y_{g_\varepsilon}}^{+\infty} dy \frac{y e^{-(l/4) - (y^2/l)}}{(g_\varepsilon \cosh^2 y - 1)^{1/2}},$$

$$y_{g_\varepsilon} := -\frac{1}{2} \ln \left[ 2g_\varepsilon^{-1} \left( 1 - \sqrt{1 - g_\varepsilon} \right) - 1 \right].$$

**BDI (chiral-orthogonal symmetry class):**  $\varepsilon = l_0^{-1} = l_1^{-1} = 0$  while  $\phi_{\varepsilon=0}$  is initially equally likely to be 0 or  $\pi$  and independent of  $x_{\varepsilon=0}$  in which case the probability distribution for the dimensionless conductance is ([Stone+Joannopoulos 1981](#))

$$\mathcal{G}(g_{\varepsilon=0}; l) = \frac{1}{\sqrt{2\pi l(1 - g_{\varepsilon=0}) g_{\varepsilon=0}}} e^{-\frac{(\operatorname{arccosh} 1/\sqrt{g_{\varepsilon=0}})^2}{2l}}.$$



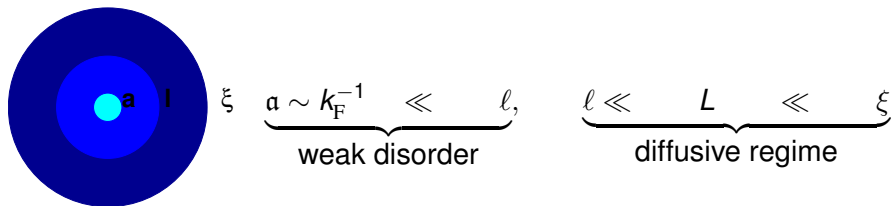
Approximate crossover of the mean and variance of the conductance at the band center  $\varepsilon = 0$  from the AI symmetry class  $\alpha = 0$  to the BDI symmetry class  $\alpha = 1$  as a function of  $t = L/\ell$  after [Ryu, Mudry, and Furusaki 2004](#).

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# Diffusive regime and universality classes

When one-parameter scaling in a symmetry class (of Anderson localization) becomes **generic at sufficiently long length scales**, then one gets a **universality class (of Anderson localization)**.

A **sufficient** condition for a universality class (of Anderson Localization) in a quantum wire is the emergence of the **diffusive** regime **in the thick quantum wire limit**:



## The thick quantum wire limit

$$\begin{pmatrix} \Psi_\epsilon^{o,L} \\ \Psi_\epsilon^{o,R} \end{pmatrix}_\epsilon = \mathcal{S}_\epsilon \begin{pmatrix} \Psi_\epsilon^{i,L} \\ \Psi_\epsilon^{i,R} \end{pmatrix}_\epsilon \equiv \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}_\epsilon \begin{pmatrix} \Psi_\epsilon^{i,L} \\ \Psi_\epsilon^{i,R} \end{pmatrix}_\epsilon,$$

In a quantum wire, the **diffusive regime** is defined by

$$\ell \ll L \ll N\ell$$

with  $N$  the number of transverse channels. For thin wires,  $N$  of order 1, there is no diffusive regime.

The **thick quantum wire limit** is the scaling limit

$$L \rightarrow \infty, \quad N \rightarrow \infty, \quad \frac{L}{N\ell} \text{ fixed.}$$

The limit  $N \rightarrow \infty$  arises as a **semiclassical limit**, when  $\lambda_F$  is much smaller than the diameter of the wire, or by increasing the diameter of the wire. In the latter case, the diameter should not exceed the transverse localization length.

## Example 1: Class D superconducting quantum wire

Define the static random quasi-one-dimensional Hamiltonian

$$H := K + V, \quad K := \sigma_0 \otimes \gamma_0 \otimes \tau_3 \otimes I_N i \partial_x, \quad V := \begin{pmatrix} v & \Delta \\ -\Delta^* & -v^T \end{pmatrix}$$

with the **static** disorder of vanishing means and covariances

$$\langle v_{ij}(x) v_{kl}^*(x') \rangle = \frac{1}{8N\ell_v} \delta_{ik} \delta_{jl} \delta(x - x'),$$

$$\langle \Delta_{ij}(x) \Delta_{kl}^*(x') \rangle = \frac{1}{8N\ell_\Delta} (\delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk}) \delta(x - x').$$

**Claim:** The difference  $\delta\ell \equiv |\ell_\Delta - \ell_v|$  is irrelevant in the thick quantum wire limit

$$L \rightarrow \infty, \quad N \rightarrow \infty, \quad \frac{L}{N\ell} \text{ fixed with } \ell^{-1} := \ell_v^{-1} + \ell_\Delta^{-1}.$$

Justification: Let (Brouwer, Mudry, Furusaki 2003)

$$l^{-1} := l_v^{-1} + l_{\Delta}^{-1}, \quad \xi_{\Delta} := \sqrt{\frac{\ell \ell_{\Delta}}{8}}.$$

In the **symmetry class A** defined by  $0 = l_{\Delta}^{-1}$ ,

$$\langle g \rangle = \frac{4N\ell}{L} + 0 + \mathcal{O}\left(\frac{L}{N\ell}\right), \quad \langle g \rangle \propto e^{-L/(8N\ell)}, \quad \langle \ln g \rangle \propto -\frac{L}{2N\ell} + \mathcal{O}(1).$$

In the **symmetry class D** defined by  $l_v^{-1} = l_{\Delta}^{-1}$ ,

$$\langle g \rangle = \frac{4N\ell}{L} + \frac{1}{3} + \mathcal{O}\left(\frac{L}{N\ell}\right), \quad \langle g \rangle = \sqrt{\frac{8N\ell}{\pi L}}, \quad \langle \ln g \rangle = -\sqrt{\frac{2L}{\pi N\ell}}.$$

In the diffusive regime, the crossover is

$$\langle g \rangle = \frac{4N\ell}{L} + \frac{1}{3} + \frac{\xi_{\Delta}^2}{L^2} - \frac{\xi_{\Delta}}{L} \coth \frac{L}{\xi_{\Delta}} + \mathcal{O}\left(\frac{L}{N\ell}\right).$$

In the thick quantum wire limit,  $\xi_{\Delta} \ll L \ll N\ell$  is always permissible so that the crossover to the diffusive regime of class D follows.

# Universality classes in the thick quantum wire limit

$$\begin{pmatrix} \Psi_\epsilon^{o,L} \\ \Psi_\epsilon^{i,L} \end{pmatrix} = \mathcal{M}(\epsilon, L) \begin{pmatrix} \Psi_\epsilon^{i,R} \\ \Psi_\epsilon^{o,R} \end{pmatrix}.$$

The eigenvalues of  $\mathcal{M}(\epsilon, L)\mathcal{M}^\dagger(\epsilon, L)$  come in  $D$ -degenerate pairs  $e^{\pm 2x_j(\epsilon, L)}$ . The dimensionless (Landauer) conductance is

$$g(\epsilon, L) = \sum_{j=1}^{N^*} \frac{1}{\cosh^2 x_j(\epsilon, L)}, \quad N^* = \frac{2N}{D}.$$

The transformation law

$$\mathcal{M}(\epsilon, L + \delta L) = \mathcal{M}(\epsilon, \delta L)\mathcal{M}(\epsilon, L)$$

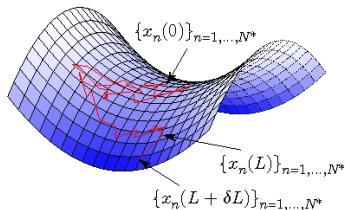
implies that the Lyapunov exponents  $x_j(\epsilon, L)$  undergo the **following Brownian motion on a symmetric space** (unique in the thick quantum wire limit):

$$\frac{\partial P}{\partial L} = \frac{1}{2\gamma\ell} \sum_{j=1}^{N^*} \frac{\partial}{\partial x_j} J \frac{\partial}{\partial x_j} J^{-1} P, \quad \text{[known as the DMPK (82-88) equation in the physics literature]}$$

$$J = \prod_j \sinh^{m_l}(2x_j) \prod_{k < j} \prod_{\pm} \sinh^{m_{o\pm}}(x_j \pm x_k),$$

$$\gamma = (m_{o+} + m_{o-})(N^* - 1)/2 + 1 + m_l.$$





The table lists the multiplicities of the ordinary and long roots  $m_{o\pm}$  and  $m_l$  of the symmetric spaces associated with the transfer matrix. Except for the three chiral classes, one has  $m_{o+} = m_{o-} = m_o$ . For the chiral classes, one has  $m_{o+} = 0$ ,  $m_{o-} = m_o$ . The table also lists the degeneracy  $D$  of the transfer matrix eigenvalues, as well as the symbols for the symmetric spaces associated to the transfer matrix  $\mathcal{M}$  and the Hamiltonian  $\mathcal{H}$ . The last three columns list theoretical results for the weak-localization correction  $\delta g$  for  $\ell \ll L \ll N\ell$ , the average of  $\ln g$  at  $L \gg N\ell$ . The results for  $\langle \ln g \rangle$  in the chiral classes refer to the case of  $N$  even. For odd  $N$ ,  $\langle \ln g \rangle$  are the same as in class D.

Disorder	Class	TRS	SRS	$m_o$	$m_l$	$D$	$\mathcal{M}$	$\mathcal{H}$	$\delta g$	$\langle -\ln g \rangle$
generic	O	Y	Y	1	1	2	CI	AI	$-2/3$	$2L/(\gamma\ell)$
	S	Y	N	4	1	2	DIII	AII	$+1/3$	$2L/(\gamma\ell)$
	U	N	Y(N)	2	1	2(1)	AIII	A	0	$2L/(\gamma\ell)$
sublattice	chO	Y	Y	1	0	2	AI	BDI	0	$2m_o L/(\gamma\ell)$
	chS	Y	N	4	0	2	AII	CII	0	$2m_o L/(\gamma\ell)$
	chU	N	Y(N)	2	0	2(1)	A	AIII	0	$2m_o L/(\gamma\ell)$
particle-hole	CI	Y	Y	2	2	4	C	CI	$-4/3$	$2m_l L/(\gamma\ell)$
	C	N	Y	4	3	4	CII	C	$-2/3$	$2m_l L/(\gamma\ell)$
	DIII	Y	N	2	0	2	D	DIII	$+2/3$	$4\sqrt{L/(2\pi\gamma\ell)}$
	D	N	N	1	0	1	BDI	D	$+1/3$	$4\sqrt{L/(2\pi\gamma\ell)}$

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# Why $NL\sigma M$ ?

**Fluctuations** play a key role in sufficiently low-dimensional systems, whether classical or quantum, as they can preempt spontaneous symmetry breaking.

When the symmetry is both **global** and **continuous**, the tool of choice to address the role of fluctuations in low-dimensional systems is the non-linear sigma model ( $NL\sigma M$ ).

However, the usefulness of  $NL\sigma Ms$  has come to **transcend** situations in which a pattern of symmetry breaking is immediately obvious; as is the case in the context of **Anderson localization** to access the transition from a metallic to an insulating phase induced by weak disorder or to compute probability distributions of spectral, wavefunction, and transport characteristics in chaotic metallic grains.

Let  $x^\mu$  ( $\mu = 1, \dots, d$ ) be the coordinate of a point in  $d$ -dimensional Euclidean space and let a point on a connected Riemannian manifold  $\mathfrak{M}$  of finite dimension  $n$  have the coordinates  $\phi^i(x)$  ( $i = 1, \dots, n$ ). The action of the  $NL_\sigma M$  is

$$S := \frac{1}{4\pi t} \int \frac{d^d x}{a^{d-2}} (\partial_\mu \phi^i)(x) G_{ij}[\phi(x)] (\partial_\mu \phi^j)(x)$$

where  $t$  is the dimensionless coupling constant,  $a$  is the short-distance cutoff, and  $G_{ij}[\phi]$  is a component of the metric tensor on  $\mathfrak{M}$ ,

**Example 2:** The  $O(3)/O(2)$   $NL_\sigma M$  has the action

$$S = \frac{1}{4\pi t} \int \frac{d^d x}{a^{d-2}} (\partial_\mu \mathbf{n})^2 \quad \text{with } 1 = \mathbf{n}^2 \equiv \sigma^2 + \phi_1^2 + \phi_2^2$$

$$= \frac{1}{4\pi t} \int \frac{d^d x}{a^{d-2}} (\partial_\mu \phi^i) \left( \frac{\phi_i \phi_j}{1 - \phi_1^2 - \phi_2^2} + \delta_{ij} \right) (\partial_\mu \phi^j).$$

It encodes the fate of the classical ferromagnetic phase under thermal fluctuations ( $t$  is the bare dimensionless temperature) (interacting spin waves  $\phi \equiv (\phi_1, \phi_2)$ ).

### Example 3: Anderson localization with the help of fermionic replicas

RMT	Class	TRS	SRS	ChS	BdG	$\mathfrak{M}$ with $M, N \rightarrow 0$	Topology of $\mathfrak{M}$ could matter
O	AI	✓	✓	×	×	$\text{Sp}(M+N)/\text{Sp}(M) \times \text{Sp}(N)$	×
S	AII	✓	×	×	×	$\text{O}(M+N)/\text{O}(M) \times \text{O}(N)$	✓ $\pi = \theta$ term (Ryu et al)
U	A	×	-	×	×	$\text{U}(M+N)/\text{U}(M) \times \text{U}(N)$	✓ $u(1) \ni \theta$ term (Pruisken)
chO	BDI	✓	✓	×	×	$\text{U}(2N)/\text{Sp}(N)$	×
chS	CII	✓	×	✓	×	$\text{U}(N)/\text{O}(N)$	✓ $\pi = \theta$ term (Ryu et al.)
chU	AIII	×	-	✓	×	$\text{U}(N) \times \text{U}(N)/\text{U}(N)$	✓ WZW term (Guruswamy et al.)
-	CI	✓	✓	×	✓	$\text{Sp}(N) \times \text{Sp}(N)/\text{Sp}(N)$	✓ WZW term (Nersisyan et al.)
-	C	×	✓	×	✓	$\text{Sp}(N)/\text{U}(N)$	✓ $u(1) \ni \theta$ term (Senthil et al.)
-	DIII	✓	×	×	✓	$\text{O}(N) \times \text{O}(N)/\text{O}(N)$	✓ WZW term (Fendley)
-	D	×	×	×	✓	$\text{O}(2N)/\text{U}(N)$	✓ $u(1) \ni \theta$ term (Bocquet et al.)

Wegner (1979); Efetov, Larkin, and Khemlitskii (1980); Gade and Wegner (1993); Senthil, Fisher, Balents, and Nayak (1998)

Sketch:

- 1 For any fixed static random potential, represent the product of  $M$  advanced and  $N$  retarded single-particle Green functions as a path integral over a Boltzmann weight.
- 2 Perform the disorder average. For Gaussian white-noise correlated static disorder, this induces a quartic interaction.
- 3 Introduce a matrix-valued Hubbard-Stratonovich field to decouple the disorder-induced quartic interaction.
- 4 In the diffusive regime, do the saddle-point approximation (spontaneous symmetry breaking for finite  $M$  and  $N$ ).
- 5 Do a gradient expansion of the fermion (boson) determinant (or superdeterminant).

The inverse  $1/t$  of the coupling constant  $t$  in the  $NL\sigma M$  represents the **bare** value of the (mean) **conductance** in the **diffusive** regime.

The  $NL\sigma M$  encodes the fate of the **diffusive metallic fixed point** in the presence of disorder-induced fluctuations.

The **signature of Anderson localization transition** is to be found in the flow of  $t$  under the rescaling

$$\alpha \rightarrow (1 + dl)\alpha, \quad dl > 0,$$

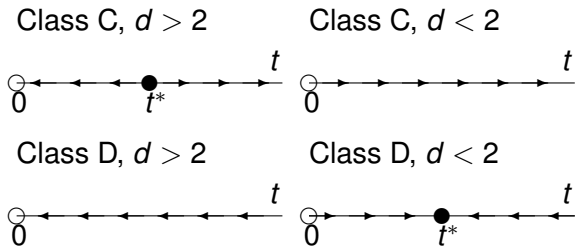
i.e., one absorbs all the changes induced to the partition function

$$Z = \int \mathcal{D}[\phi] e^{-\frac{1}{4\pi t} \int \frac{d^d x}{\alpha^{d-2}} (\partial_\mu \phi^i)(x) G_{ij}[\phi(x)] (\partial_\mu \phi^j)(x)}$$

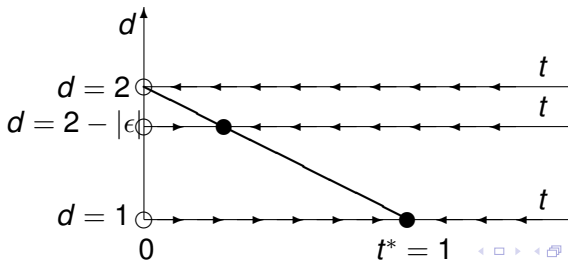
by  $\alpha \rightarrow (1 + dl)\alpha$  into the **one-parameter infrared flow**

$$\frac{dt}{dl} = \beta(t) \approx \beta_1 t + \beta_2 t^2 + \dots$$

**Example 4:** The superconducting classes with TRS-breaking C and D



For class D, this is consistent with the **DMPK** equation in the thick quantum wire limit:



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The DMPK equation yields informations about the conductance distribution. **What does the  $2 - |\epsilon|$  expansion of the class D  $NL\sigma M$  tells us about conductance fluctuations?**

According to **Altshuler, Kravtsov, and Lerner (mid 1980's)**, the scaling of high-gradient operators controls the scaling of the cumulants of the conductance distribution.

**A high-gradient operator  $\mathcal{O}_s(x)$  of order  $2s$  ( $s > 1$ )** is any local product of the fields that contains  $2s$  gradients and transforms like a scalar. Its **scaling dimension  $x_s$**  at the critical point

$$\langle \mathcal{O}_s(x) \mathcal{O}_s^\dagger(x') \rangle \sim \left( \frac{a}{|x - x'|} \right)^{2x_s}, \quad x_s = x_s^{(0)} + \gamma_s,$$

is made of the **engineering dimension  $x_s^{(0)} = 2s$**  (which is larger than 2 for  $s > 1$ ) and of the **anomalous dimension**

$$\gamma_s = -(s^2 - s)|\epsilon| + \mathcal{O}(\epsilon^2) \text{ for class D.}$$

**Ryu, Furusaki, Ludwig, and Mudry (2007)**

If we apply the analysis of the conductance fluctuations of [Altshuler, Kravtsov, and Lerner \(mid 1980's\)](#) to the stable fixed point in symmetry class D in  $d = 2 - |\epsilon| < 2$  spatial dimensions, there follows the conductance cumulants

$$\langle\langle g^s \rangle\rangle \sim \begin{cases} (\bar{g})^{2-s}, & \text{if } s < s_0, \\ A_s (L/\ell)^{d-x^{(s)}}, & \text{if } s > s_0, \end{cases}$$

$$s_0 \approx \frac{2}{|\epsilon|} [1 + \mathcal{O}(\epsilon)],$$

$$d - x^{(s)} = |\epsilon|s^2 - (2 + |\epsilon|)s + (2 - |\epsilon|) + \mathcal{O}(\epsilon^2).$$

On the other hand, in the thick quantum wire limit of class D [[Brouwer, Furusaki, Gruzberg, Mudry, \(2000\)](#)]

$$\langle\langle g^s \rangle\rangle \propto (L/\ell)^{-1/2}, \quad s = 1, 2, \dots,$$

$$\langle\langle \ln g \rangle\rangle \propto -(L/\ell)^{1/2}, \quad \text{var } \ln g \sim L/\ell.$$

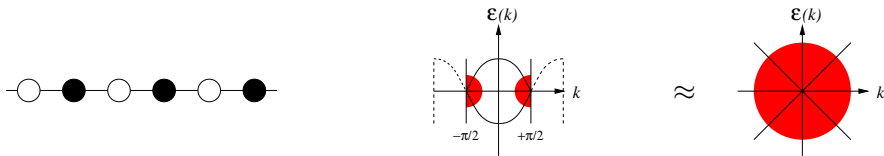
**Discussion:** Class DIII behaves similarly to class D. [Lamacraft, Simons, and Zirnbauer \(2004\)](#) using the one-dimensional  $NL\sigma M$  (which is a principal chiral model for symmetry class DIII) and instanton methods reproduced the DMPK results from [Brouwer, Furusaki, Gruzberg and Mudry \(2000\)](#). So it is **not** the  $NL\sigma M$  which is called into question **but** the  $2 - |\epsilon|$  expansion.

**Assume** that the  $2 - |\epsilon|$  expansion is smoothly connected to the thick quantum wire limit. Then

- **either** the one-loop relevance of high-gradient operators is an artifact of the  $\epsilon$ -expansion. These operators are in fact *irrelevant* once all orders in the  $\epsilon$ -expansion are taken into account [[Ludwig \(1990\)](#); [Brezin and Hikami \(1997\)](#)],
- **or** high-gradient operators are truly relevant, however they are not independent, for they are non-linearly coupled through their full one-loop RG flow. Functional renormalization techniques are required to study their flow [[Mudry, Ryu, Furusaki \(2003\)](#)].

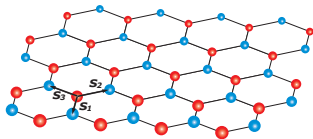
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- 8 Summary

Dirac fermions in one-dimension is the rule:

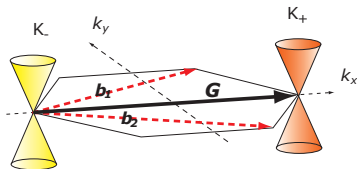
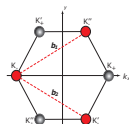


Although Fermi surfaces are the rule in two and more dimensions, there are counterexamples. **Example 5:** Graphene

## Direct space



## Reciprocal space



For spinless fermions at the Dirac point, i.e., half-filling,

$$\nu(\varepsilon) \propto |\varepsilon| + \mathcal{O}(\varepsilon^2), \quad g = 2 \times \pi^{-1}.$$

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We begin with the assumption that the static disorder enters only through real-valued and uncorrelated nearest-neighbor hoppings: **the symmetry class is BDI**. For **weak** disorder and at **long** wave length, **the product of  $N$  single-particle Green functions averaged over disorder** follows from

$$Z := \int \mathcal{D}[\psi^\dagger, \psi, \bar{\psi}^\dagger, \bar{\psi}] \exp(-S),$$

$$S := \sum_{\ell=1}^k \int \frac{d\bar{z}dz}{2\pi i} \left( \psi_{A_\ell}^{A\dagger} \bar{\partial} \psi_{A_\ell} + \bar{\psi}_{A_\ell}^{A\dagger} \partial \bar{\psi}_{A_\ell} \right) + \int \frac{d\bar{z}dz}{2\pi i} \left( \frac{g_A}{2\pi} \mathcal{O}_A + \frac{g_M}{2\pi} \mathcal{O}_M \right),$$

$$\mathcal{O}_A := -J_A^A (-1)^A \bar{J}_B^B (-1)^B \equiv -\text{str } J \text{ str } \bar{J}, \quad J_A^B := \sum_{\ell=1}^k : \psi_{A_\ell} \psi_{A_\ell}^{B\dagger} :,$$

$$\mathcal{O}_M := -J_A^B \bar{J}_B^A (-1)^A \equiv -\text{str } (J \bar{J}), \quad \bar{J}_A^B := \sum_{\ell=1}^k : \bar{\psi}_{A_\ell} \bar{\psi}_{A_\ell}^{B\dagger} :$$

where  $A, B = 1, \dots, 2N$  with  $k = 1$ , in which case  $g_A \geq 0$  and  $g_M \geq 0$  can be thought of as the covariances of the disorder in class BDI. We can however treat the case of generic integer  $k$  and generic  $g_M \in \mathbb{R}$ . Finally,  $\text{grade}(A)$  is 0 (bosons) for  $A = 1, \dots, N$  and 1 (fermions) for  $A = N + 1, \dots, 2N$ .



The theory, a two-dimensional  $\widehat{\mathfrak{gl}}(M|M)_k$  Thirring model, has whenever  $g_A = g_M = 0$  the global  $GL(M|M)$  graded symmetry with currents that realize the  $\widehat{\mathfrak{gl}}(M|M)_k$  current algebra.

Can high-gradient operators become **relevant** in the family of two-dimensional  $\widehat{\mathfrak{gl}}(M|M)_k$  Thirring models with  $M$  and  $k$  positive integers **due to the current-current interactions**?

The strategy that we followed has three steps.

- 1 The first step consists of identifying all the independent “**classical**” high-gradient operators of order  $s$ .
- 2 The second step consists of **normal-ordering** all independent “classical” high-gradient operators of order  $s$ . This step depends **crucially on the level  $k$**  of the non-Abelian Thirring model. The inverse level  $1/k$  plays the role of a “**quantum parameter**” that vanishes in the limit  $k \rightarrow \infty$ . **The level  $k = 1$  is thus the most “quantum”**.
- 3 The computation of the **linearized** RG flows for the high-gradient operators is the final step.

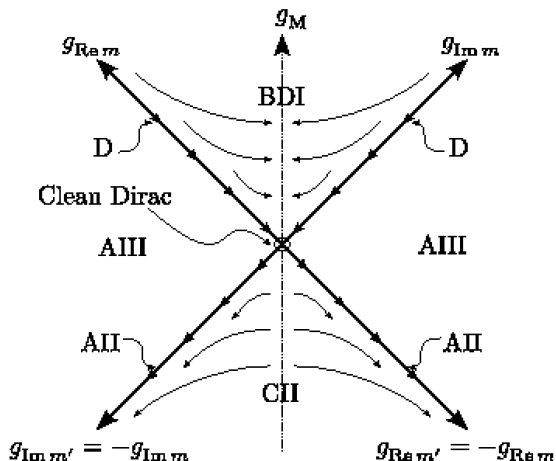
We could not solve the first step in its full generality. We were nevertheless able to construct two sets of high-gradient operators in the **extreme “classical” limit**  $\widehat{\mathfrak{gl}}(M|M)_k$  with  $M, k \rightarrow \infty$  and the **extreme “quantum” limit**  $\widehat{\mathfrak{gl}}(M|M)_k$  with  $M$  a positive integer and  $k = 1$ , respectively, and carry out the second and third steps consistently.

In the extreme “classical” case, anomalous one-loop scaling dimensions for high-gradient operators of order  $s$  are distributed in a **symmetric** fashion about zero with **the minimum and the maximum both depending** quadratically on the order  $s$ , very much like for the family of  $NL_\sigma$ Ms on the target spaces  $U(M+N)/U(M) \times U(N)$  with  $M$  and  $N$  positive integers. Hence, high-gradient operators must become **(one-loop) relevant** for both signs of the current-current interaction with increasing order  $s$  very much in the same way as their cousins do in both the compact family  $U(M+N)/U(M) \times U(N)$  and the non-compact family  $U(M, N)/U(M) \times U(N)$  with  $M, N > 1$ .

In the extreme quantum case  $k = 1$ , the spectrum of anomalous one-loop scaling dimensions of order  $s$  is **always one-sided**, i.e., positive for one sign of the current-current interaction. For  $\widehat{gl}(2N|2N)_{k=1}$  with  $N$  a positive integer the sign of the current-current interaction for which high-gradient operators are always irrelevant corresponds to the interpretation of the  $\widehat{gl}(2N|2N)_{k=1}$  Thirring model as a problem of Anderson localization in the symmetry class BDI. We have thus shown that **the high-gradient operators in these random tight-binding models are irrelevant at one-loop order**:

# Phase diagram projected onto the critical sector

$$\text{PSL}(2N|2N) \sim \text{GL}(2N|2N)/\text{U}(1) \times \text{U}(1)$$



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- 8 **Summary**

- Whether the apparent breakdown of one-parameter scaling due to the relevance of high-gradient operators at one loop is an artifact of the  $2 + \epsilon$  expansion or has a deeper meaning **remains an outstanding problem** for the description of Anderson localization using the  $NL\sigma M$  approach.
- We have shown that graphene with real-valued nearest-neighbor random hopping only is, at the band center, **an example of a critical theory for Anderson localization in two-dimensions with no relevant one-loop high-gradient operators.**