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Disordered Systems**

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**Dynamical Theory of Superfluidity in One Dimension**

Miguel Angel Cazalilla  
*Centro de Fisica de Materiales CSIC EHU  
San Sebastian  
Spain*

# A Dynamical Theory of Superfluidity in 1D

Miguel A. Cazalilla

Spanish National Research Council (CFM-CSIC) & DIPC  
San Sebastian, Spain



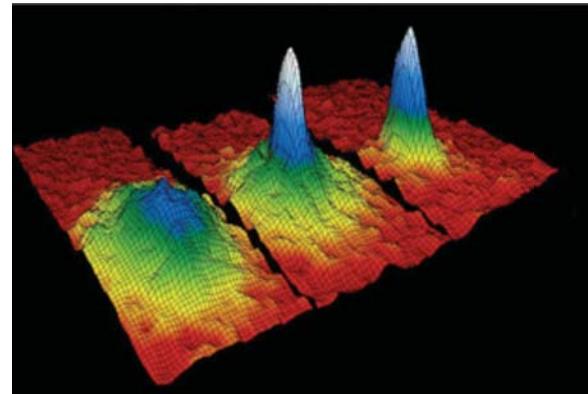
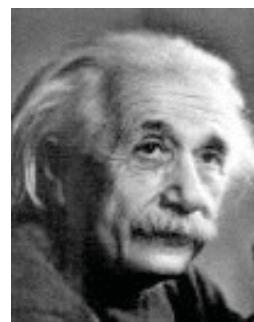
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May 23, 2011

**Reference:** T Eggel, MAC & M Oshikawa arxiv:1104.0175 (2011)

Criteria for Superfluidity to occur  
are hard to define:

- \* It needs interactions
- \* Few low energy states
- \* BEC is not required

# Superfluid $\neq$ Bose-Einstein Condensate (BEC)



$$\lim_{|\mathbf{r}-\mathbf{r}'| \rightarrow +\infty} \langle \Psi^\dagger(\mathbf{r}) \Psi(\mathbf{r}') \rangle = |\Psi_0|^2 \neq 0$$

Worth a Nobel Prize (2001)

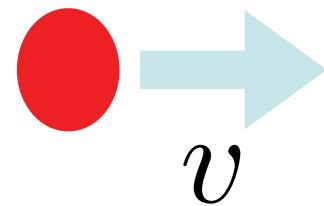


But BEC is **not the same** as Superfluidity!!  
(Although in 3D BEC and SF are intimately related...)

# Landau's criterion



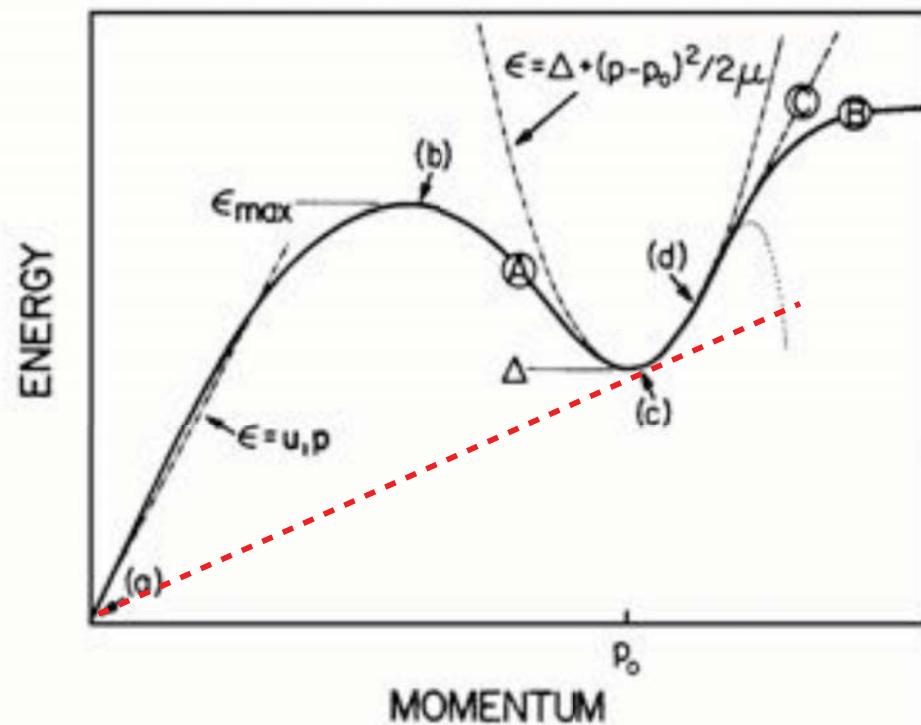
Consider a moving object:



Finite critical velocity

$$\min \left\{ \frac{\epsilon(p)}{p} \right\} = v_{\text{Landau}} > 0$$

Spectrum of liquid  ${}^4\text{He}$

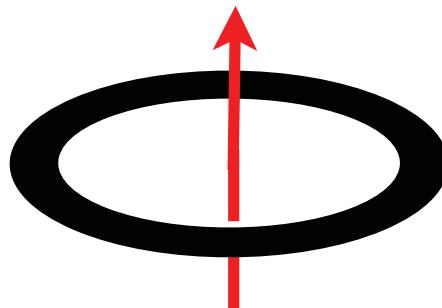


Problem: e.g. How to define the SF properties at  $T > 0$ ?

# Fisher's criterion

Thermodynamics:

Superfluidity = non-vanishing Helicity Modulus



Twisted BC's

$$\hat{\Psi}(x + L, y, z) = e^{i\varphi} \hat{\Psi}(x, y, z)$$



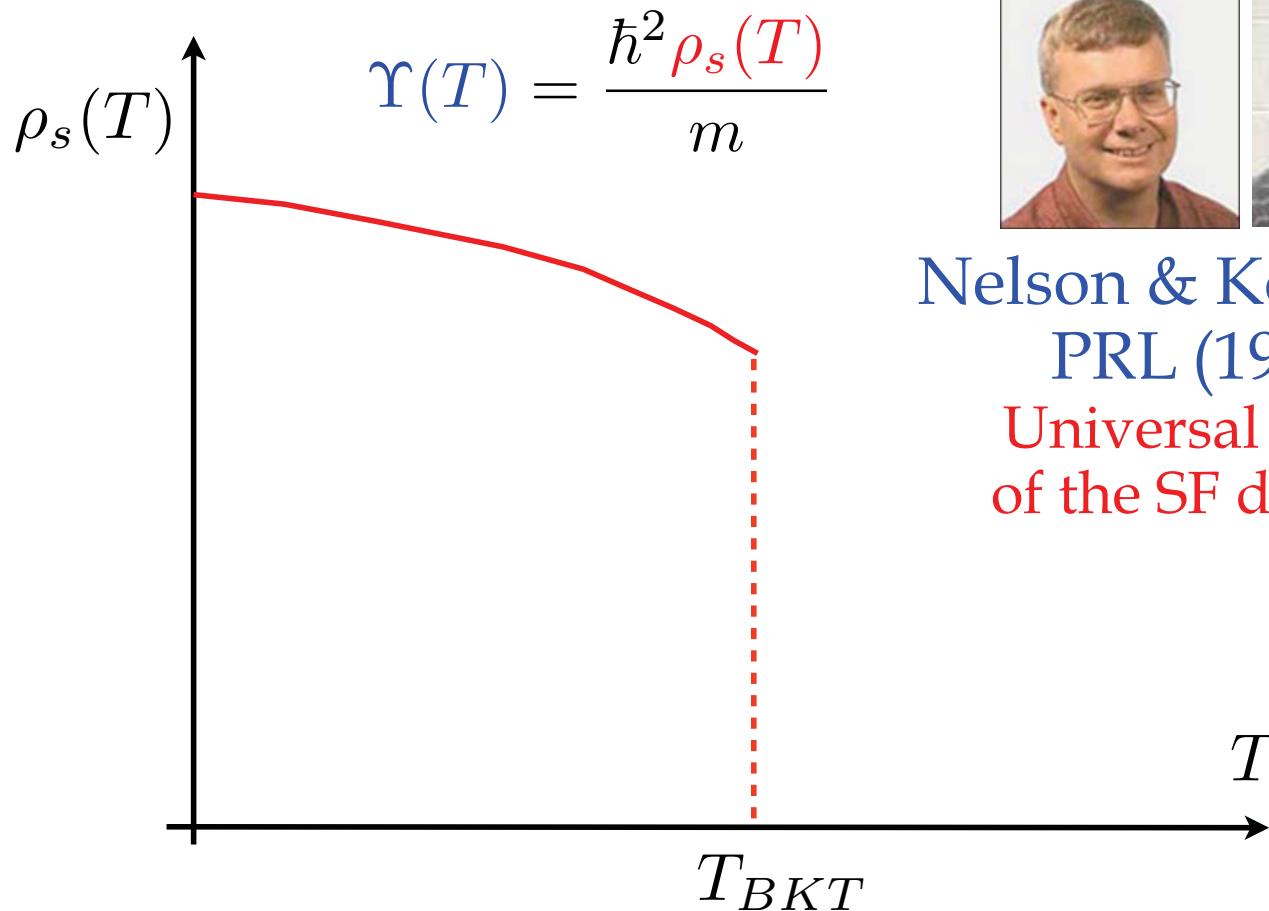
$$\Upsilon(T) = \lim_{L \rightarrow +\infty} \frac{L}{S} \left( \frac{\partial^2 F(\varphi)}{\partial \varphi^2} \right) \Bigg|_{\varphi=0} \neq 0$$

ME Fisher  
*et al* PRA (1973)

Superfluid density:  $\Upsilon(T) = \frac{\hbar^2 \rho_s(T)}{m}$

# Interacting Bose fluids (BEC) in 2D

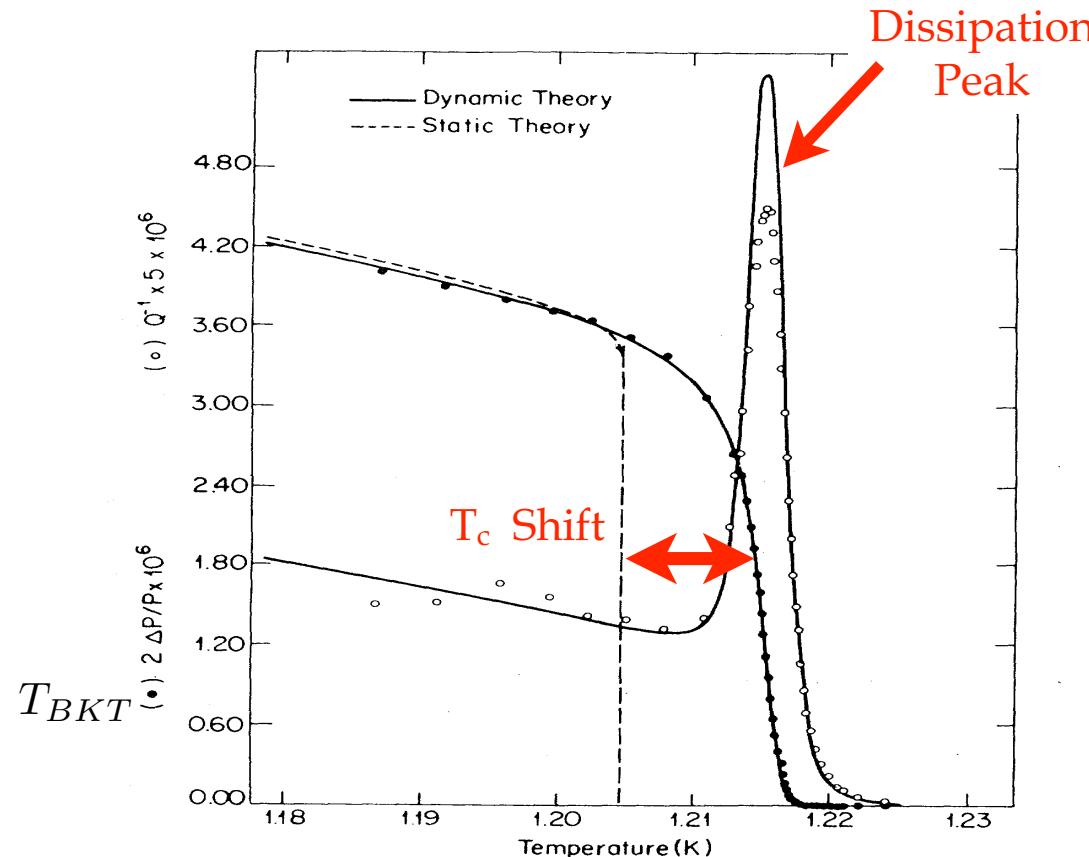
Absence of BEC ( $T > 0$ )  $\langle \Psi^\dagger(\mathbf{r})\Psi(\mathbf{r}') \rangle \sim |\mathbf{r} - \mathbf{r}'|^{-\frac{1}{2K(T)}} \rightarrow 0$



Nelson & Kosterlitz  
PRL (1977)  
Universal Jump  
of the SF density

# Superfluidity in 2D (Experiments)

2D  ${}^4\text{He}$  films: Torsional oscillator measurements



Experiment: DJ Bishop & JD Reppy PRL (1978)

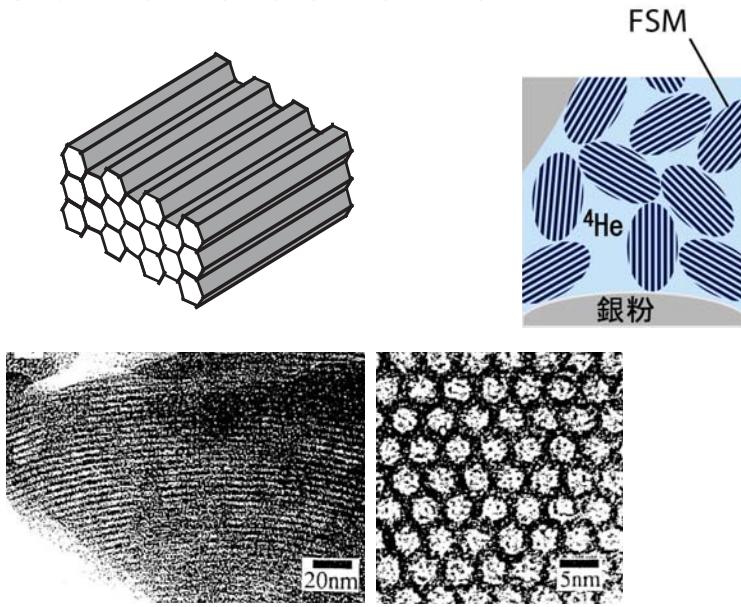
Theory: Ambegaokar, Halperin, Nelson & Siggia PRL (1978)

# Superfluidity in 1D & Fisher's criterion

Brought to you by



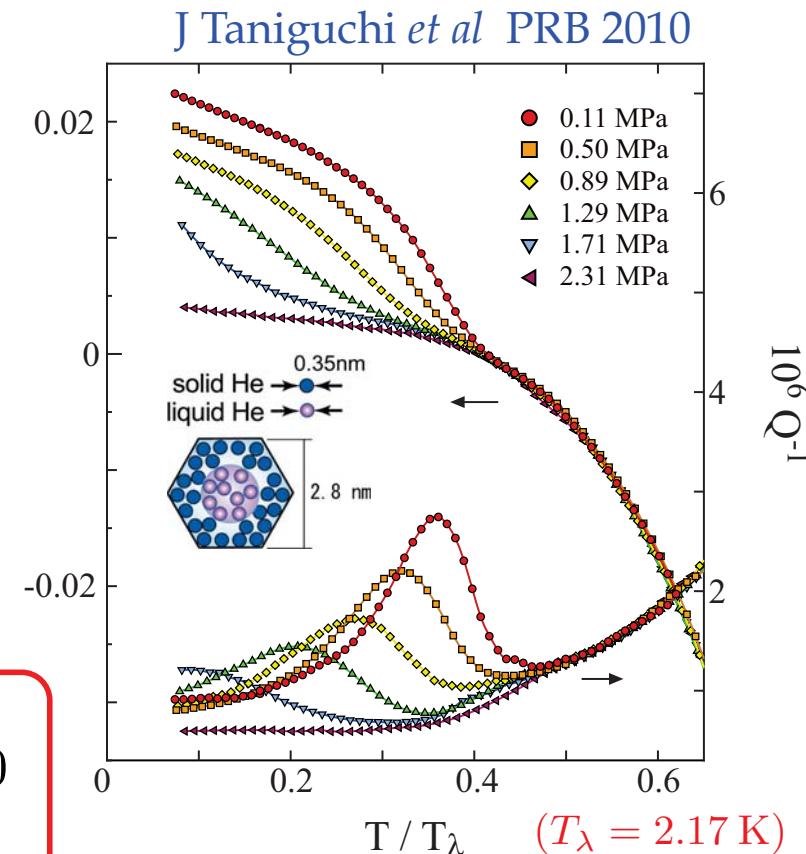
FSM-16 Pore size: 2.8 nm



TEM of FSM16 (2.8 nm)

The helicity modulus vanishes in 1D

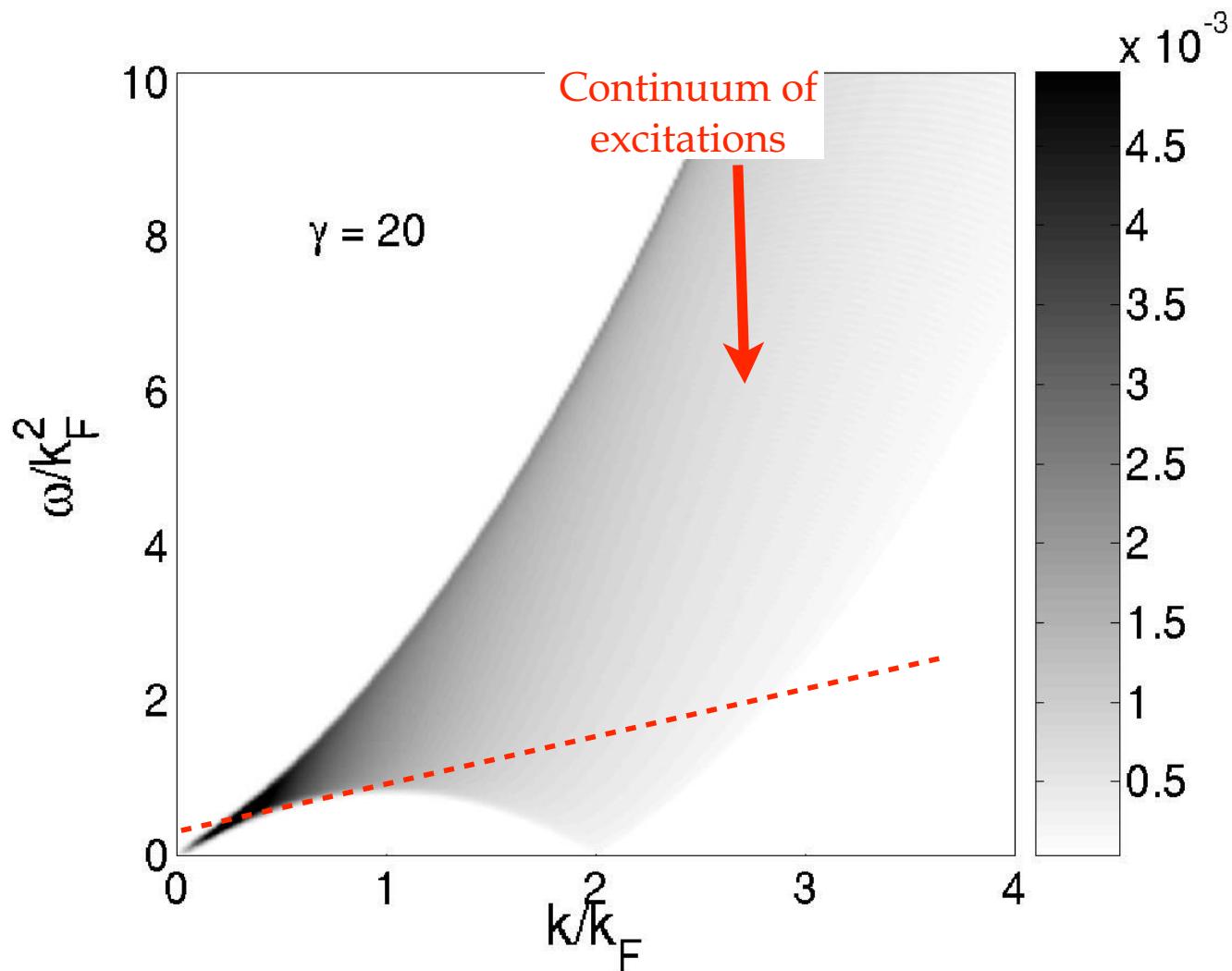
$$\Upsilon_{1D}(T) = \lim_{L \rightarrow +\infty} L \left( \frac{\partial^2 F(\varphi)}{\partial \varphi^2} \right) \Big|_{\varphi=0} = 0$$



So what is the origin of this SF signal?

# Landau's criterion is violated in 1D

Support of the dynamic structure factor  $S(k, \omega)$



[For the Lieb-Liniger model, JS Caux and P Calabrese, PRA(R) (2006)]

# Laughlin's criterion

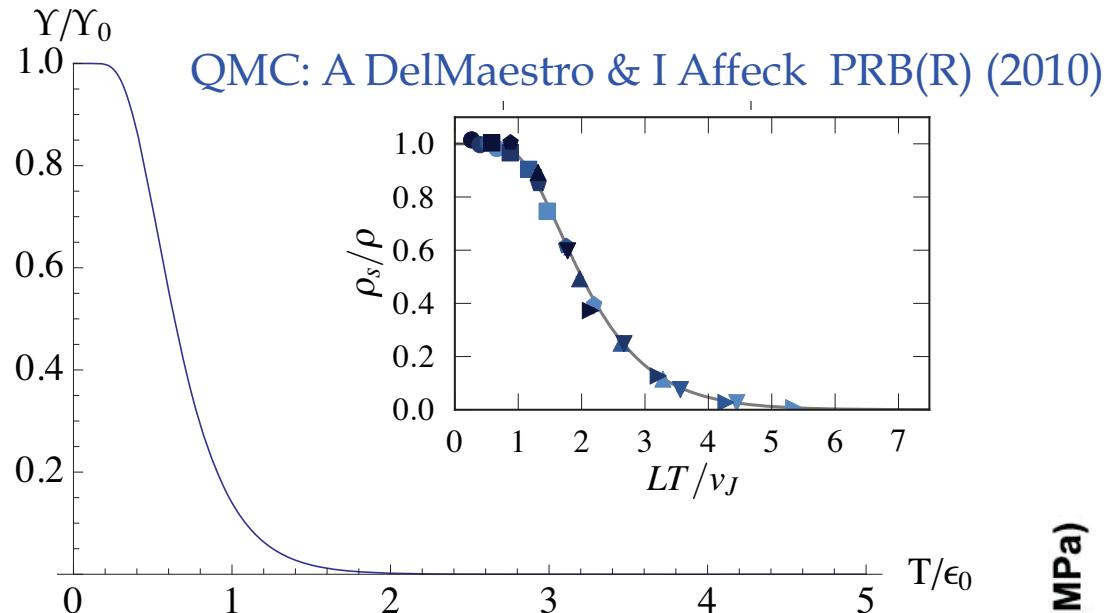


“Superfluidity... it’s like pornography  
I can’t define it but I know it when I see it.”

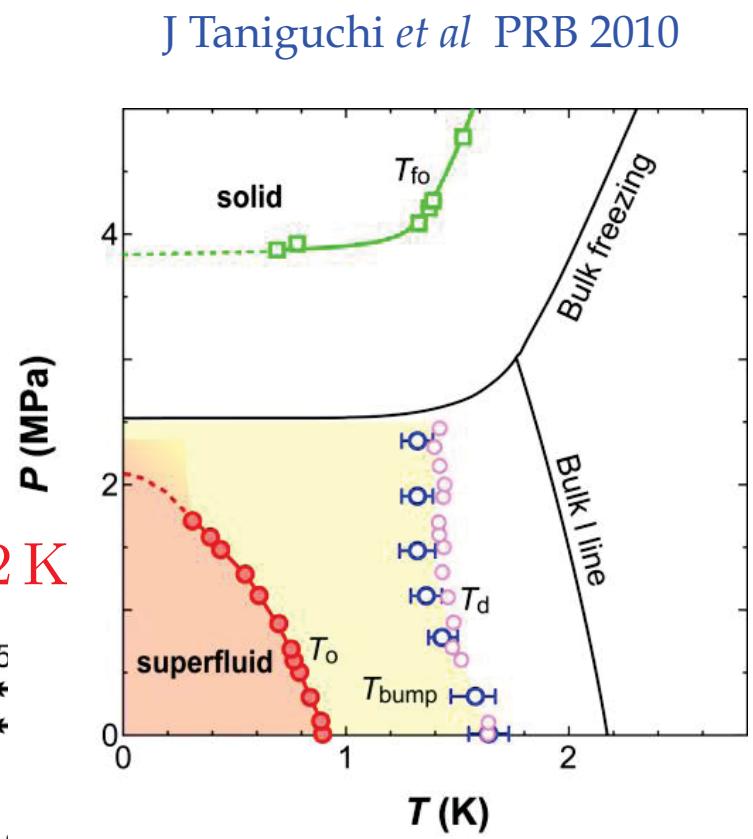
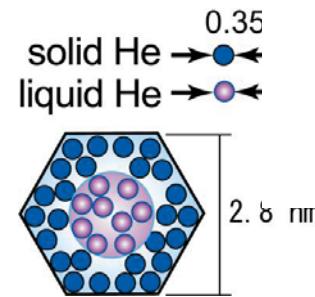
R. B. Laughlin in “Mesoscopic Protectorates”,  
talk at KITP (2000)

# A detective story

Is it a finite size effect?

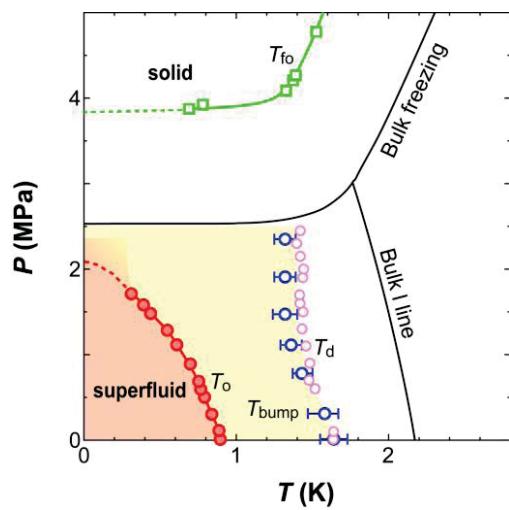
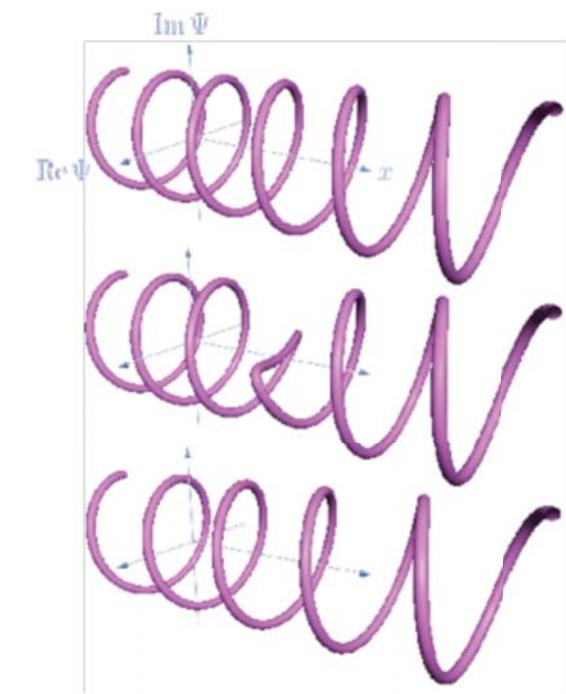


$$\frac{\epsilon_0}{k_B} = \frac{\hbar v K}{L} < \frac{\hbar^2 \pi \rho_0}{m({}^4He)L} = T_{\text{onset}}^{\max} \simeq 0.2 \text{ K}$$



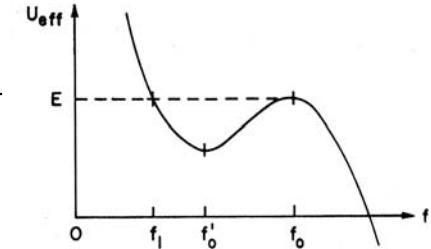
# Could it be a dynamical effect? Phase Slips

## Thermal Phase Slips (from GL theory)



$$\Gamma_{\text{TPS}} = \Omega(T) e^{-\frac{\Delta F(T)}{k_B T}}$$

$$|T - T_c|/T_c \ll 1$$



Langer-Ambegaokar PR (1967)  
McCumber-Halperin PR (1970)

## Quantum Phase Slips:

$$S = \int dx d\tau [i\rho \partial_\tau \theta + \dots] = \int dx [i\rho_0 \partial_\tau \theta + \dots]$$

Non-trivial Berry phase!

$$\Gamma_{\text{QPS}} \sim e^{-\frac{\hbar \pi v \rho_0}{k_B T}}$$

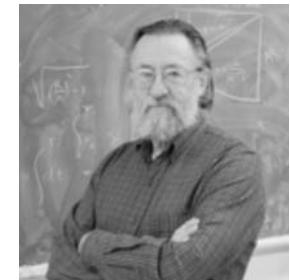
$\Gamma_{\text{PS}}$  should be very small at low temperatures but it is contradicted by the experiment!  
J Taniguchi *et al* PRB 2010

Khlebnikov PRA (2005)

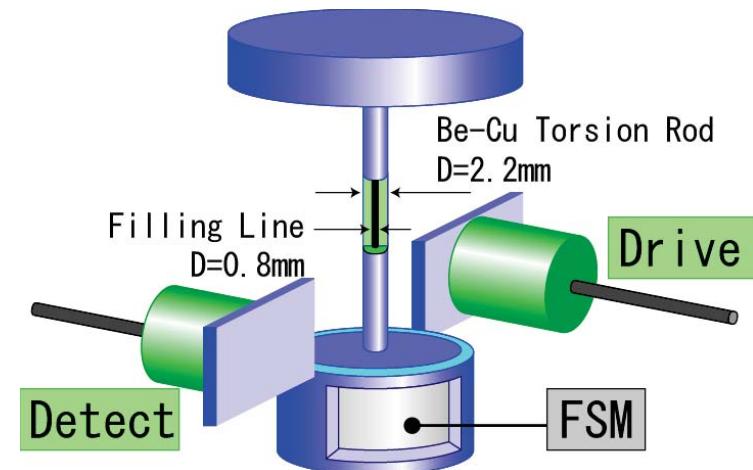
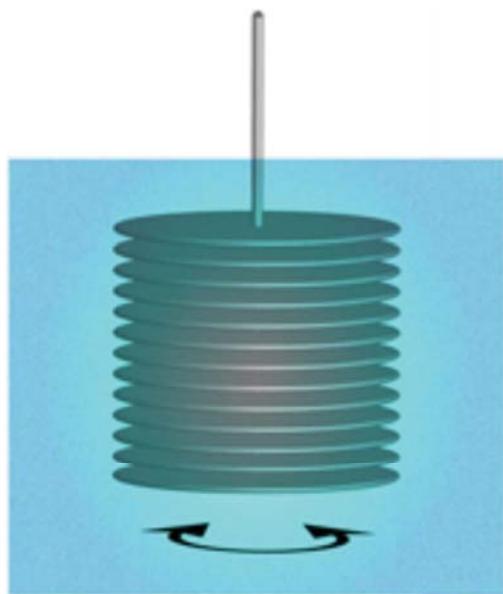
# Torsional Oscillator (TO)



Modern torsional oscillator  
(As devised by JD Reppy)



Andronikashvili's Experiment  
(As suggested by Landau)



# What is probed by the TO?

Rate of change of angular momentum

$$\overbrace{\frac{d}{dt} [I_0 \dot{\varphi}(t) + \mathcal{L}_z(t)]}^{\text{Empty TO}} = -k\varphi(t) - \eta\dot{\varphi}(t) + \tau_{\text{ext}}(t)$$

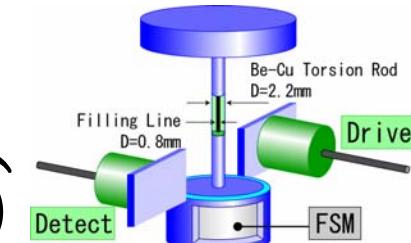
Normal Fluid

Torques

Restoring Torque

Friction

Driving Torque



Linear response theory

$$\mathcal{L}_z(t) = \int d\mathbf{r} (\hat{\mathbf{z}} \times \mathbf{r}) \cdot \langle \Pi(\mathbf{r}, t) \rangle$$

$$\Pi(\mathbf{r}) = \frac{\hbar}{2i} [\Psi^\dagger(\mathbf{r}) \nabla \Psi(\mathbf{r}) - \nabla \Psi^\dagger(\mathbf{r}) \Psi(\mathbf{r})]$$

TO response function

$$\chi_n(\omega; T) = \sum_{\mu, \nu} \int d\mathbf{r} d\mathbf{r}' (\hat{\mathbf{z}} \times \mathbf{r})_\mu (\hat{\mathbf{z}} \times \mathbf{r}')_\nu \chi_{\mu\nu}(\mathbf{r}, \mathbf{r}', \omega; T)$$

$$\chi_{TO}^{-1}(\omega) = \omega^2 [I_0 - \boxed{\chi_n(\omega; T)}] - k + i\eta\omega.$$

T Eggel, MAC & M Oshikawa  
arxiv:1104.0175 (2011)

$$\delta\omega(T) \Leftrightarrow \text{Re } \chi_n(\omega_0; T)$$

$$\delta Q^{-1}(T) \Leftrightarrow \text{Im } [-\chi_n(T; \omega_0)]$$

$$\omega_0 \approx 2000 \text{ Hz}$$

# Momentum Response in $d > 1$

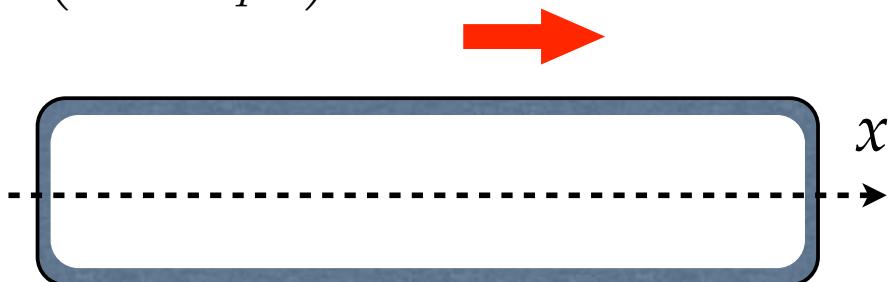
Response to the moving walls of the container

$$\chi_{\mu\nu}(\mathbf{q}, \omega) = -\frac{i}{\hbar} \int_0^{+\infty} dt \int d\mathbf{r} e^{i(\omega t - i\mathbf{q}\cdot\mathbf{r})} \langle [\Pi_\mu(\mathbf{r}, t), \Pi_\nu(\mathbf{0}, 0)] \rangle$$

$$\chi_{\mu\nu}(q, \omega) = \left( \frac{q_\mu q_\nu}{q^2} \right) \chi_L(q, \omega) + \left( \delta_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) \chi_T(q, \omega)$$

Longitudinal response

$$\lim_{q_x \rightarrow 0} \lim_{q_y, q_z \rightarrow 0} \chi_{xx}(q, \omega = 0) = -m\rho_0$$



Transverse response

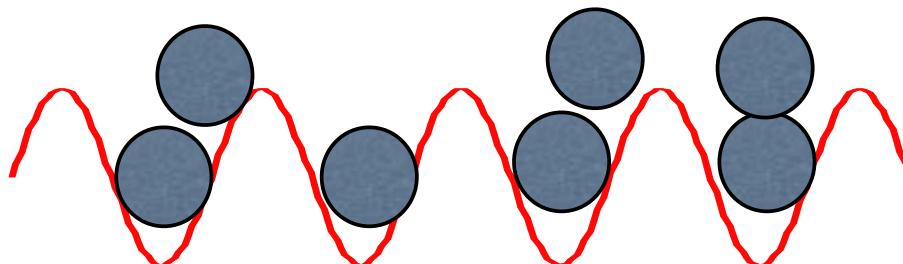
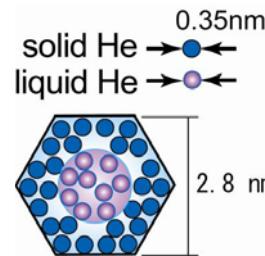
$$\lim_{q_y, q_z \rightarrow 0} \lim_{q_x \rightarrow 0} \chi_{xx}(q, \omega = 0) = -m\rho_n(T)$$



# Momentum Response in $d = 1$

$$\chi(x, t) = -\frac{i}{\hbar} \theta(t) \langle [\Pi(x, t), \Pi(0, 0)] \rangle$$

- No obvious transverse - longitudinal separation
- Depends on the wall-fluid potential



$$H(t) = H_0 + \sum_{i=1}^N V_{\text{ext}}(x_i - X(t))$$

$$H'(t) = H_0 + \sum_{i=1}^N V_{\text{ext}}(x_i) - \dot{X}(t)\Pi$$

Calculation of momentum  
response akin to conductivity

T Eggel, MAC & M Oshikawa arxiv:1104.0175 (2011)

# Harmonic Fluid Description

FDM Haldane PRL (1981)

RG fixed point Hamiltonian

$$H_* = \sum_{q \neq 0} \hbar v |q| b^\dagger(q) b(q) + \dots + \frac{\hbar v}{2\pi} \int dx \left[ K^{-1} (\partial_x \phi)^2 + K (\partial_x \theta)^2 \right] = \int dx \epsilon(x)$$

$$P = \sum_{q \neq 0} \hbar q b^\dagger(q) b(q) + \dots = \frac{\hbar}{\pi} \int dx \partial_x \phi \partial_x \theta = \frac{1}{v^2} \int dx j_\epsilon(x) \propto \text{Energy current}$$

$$J = \frac{mvK}{\pi} \int dx \partial_x \theta(x, t) = \int dx j(x, t) \quad \text{Particle mass current}$$

Momentum current  
(including the leading irrelevant operator)

$$\Pi = J + \frac{vK}{v_F} P$$

$J$  and  $P$  separately conserved by the fixed-point Hamiltonian

$$[H_*, J] = [H_*, P] = 0$$

# Phase slips and Memory matrix

Phase Slips (for a periodic wall potential) Leading irr. operators

$$H_{PS} = \sum_{n>0,m} \frac{\hbar v g_{nm}}{\pi a_0^2} \int dx \cos(2n\phi(x) + \Delta k_{nm}x) \quad \Delta k_{mn} = (2n\pi\rho_0 - 2mG)\hbar$$

$$[H_{PS}, \mathcal{J}] \neq 0 \quad [H_{PS}, \mathcal{P}] \neq 0$$

$\mathcal{J}$  and  $P$  become coupled and acquire a finite decay rate

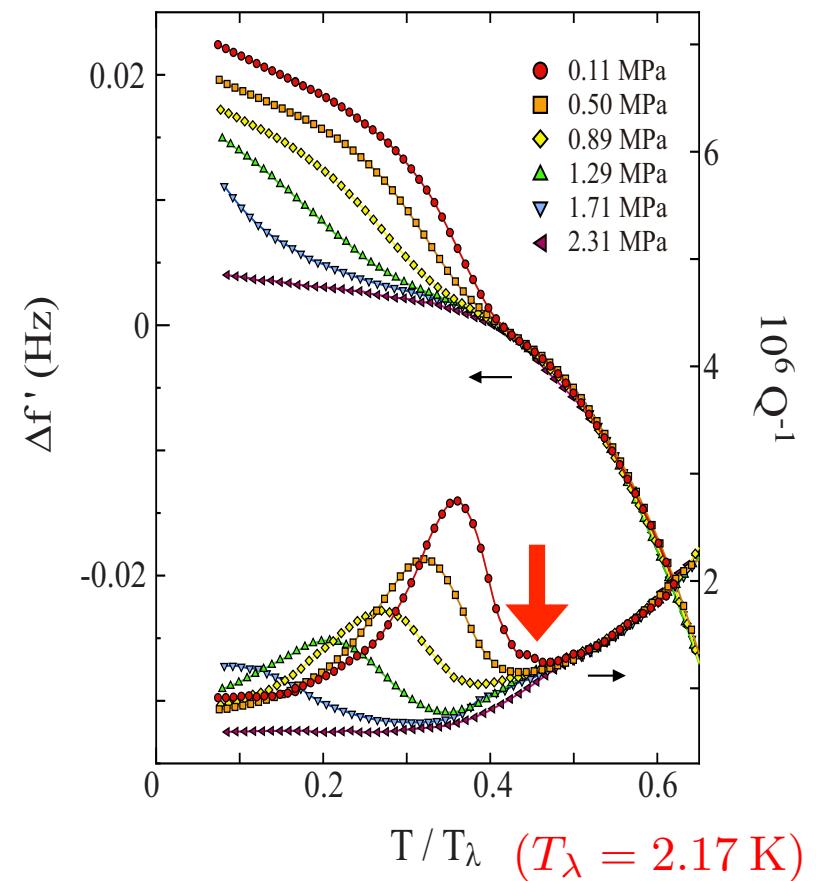
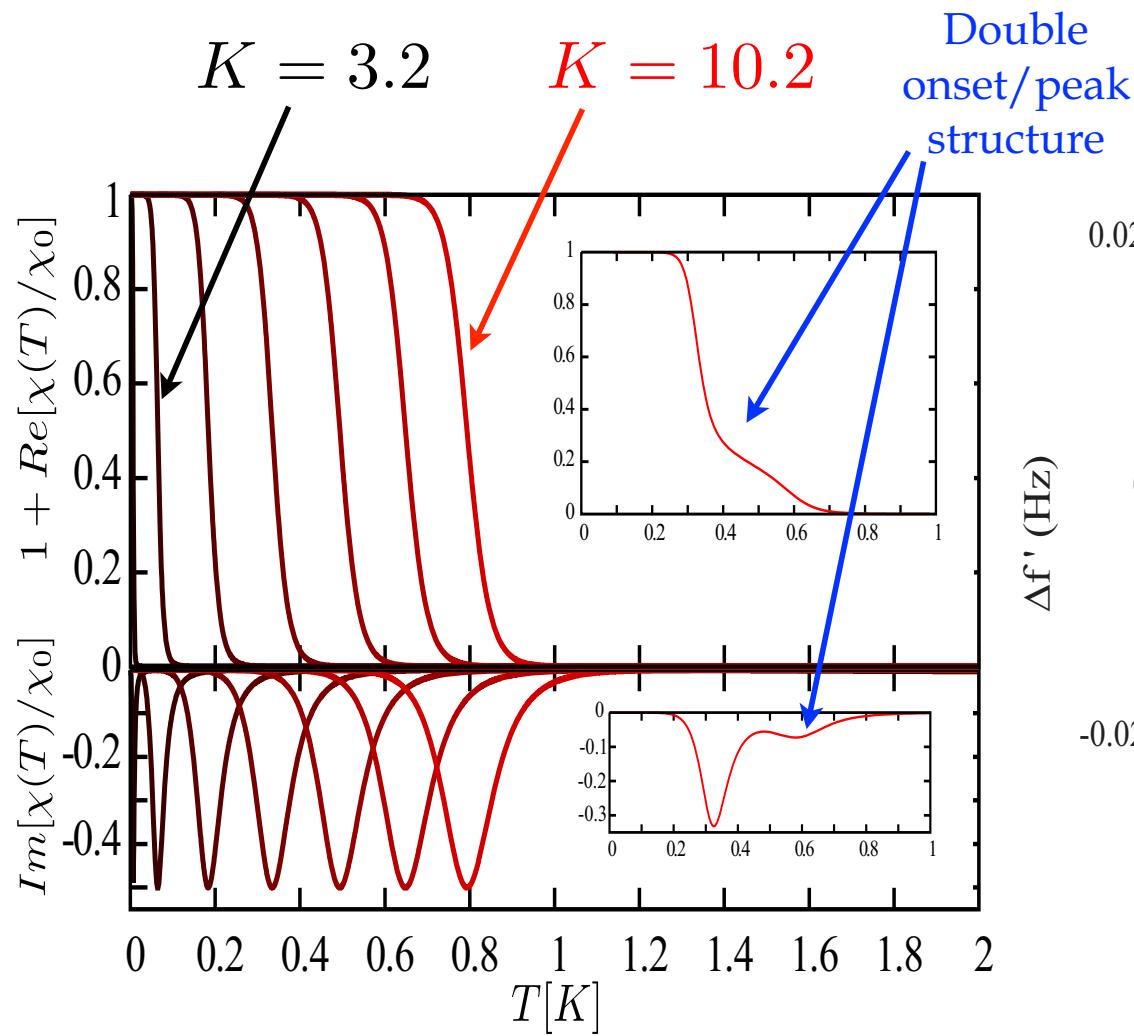
$$\chi(\omega; T) = \text{Tr} \left\{ V [\omega \mathbf{1} + iM(\omega; T)]^{-1} iM(\omega; T) \hat{\chi}(T) \right\}$$
$$\hat{\chi}(T) \simeq \text{diag}\{\chi_{JJ}, \chi_{PP}(T)\} = -\text{diag}\left\{\frac{M^2 v K}{\hbar\pi}, \frac{\pi(k_B T)^2}{6\hbar v^3}\right\} + \dots$$

$M(\omega, T)$  is a  $2 \times 2$  matrix whose eigenvalues are the decay rates  
(it can be evaluated perturbatively in  $H_{PS}$ )

D Forster “Hydrodynamic fluctuations, broken symmetry,  
and correlation functions”, W. A. Benjamin (1975)  
A Rosch and N Andrei PRL (2005)

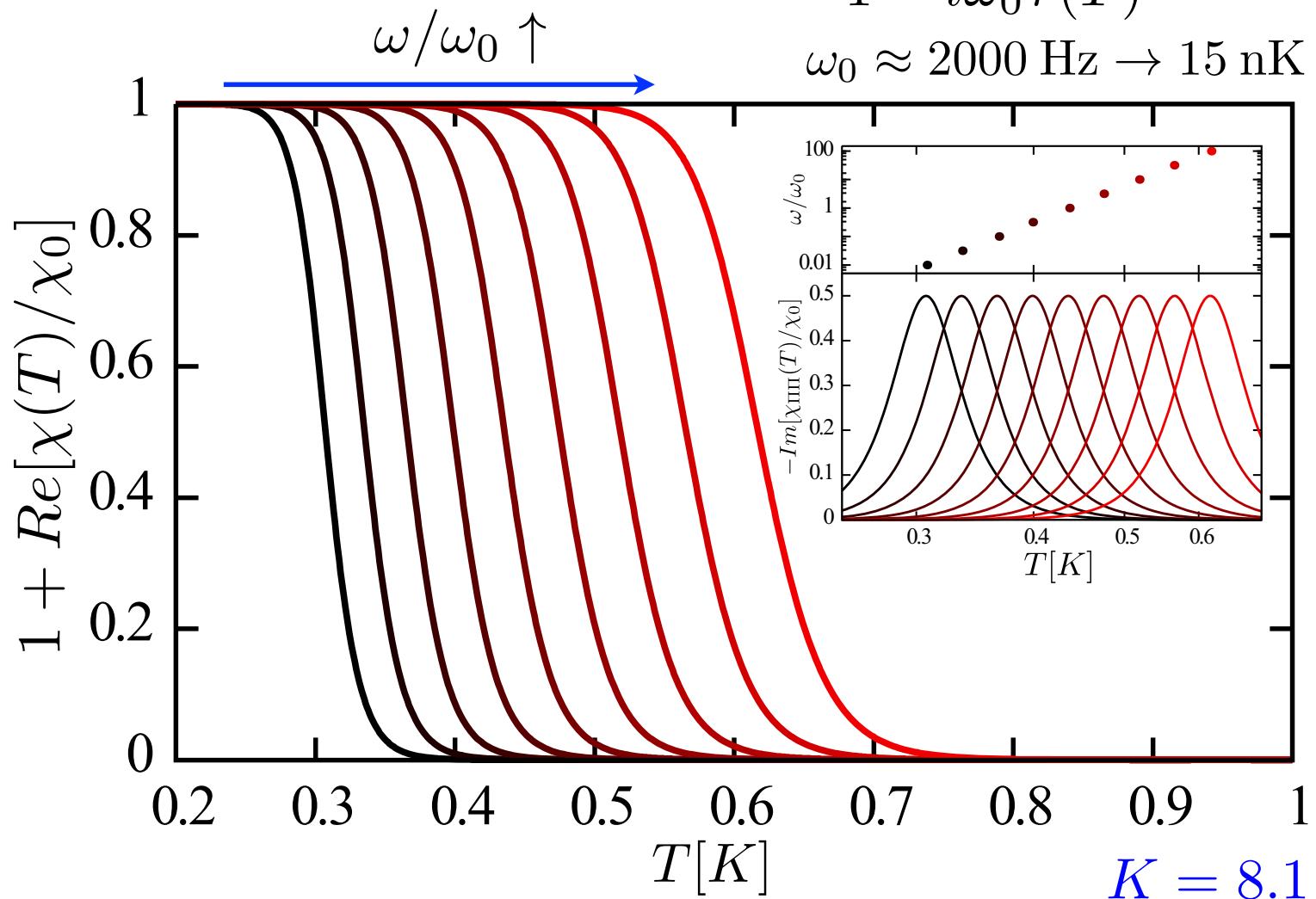
# Results

Luttinger parameter dependence (Compressibility  $\propto K$ )



# Prediction: Frequency dependence

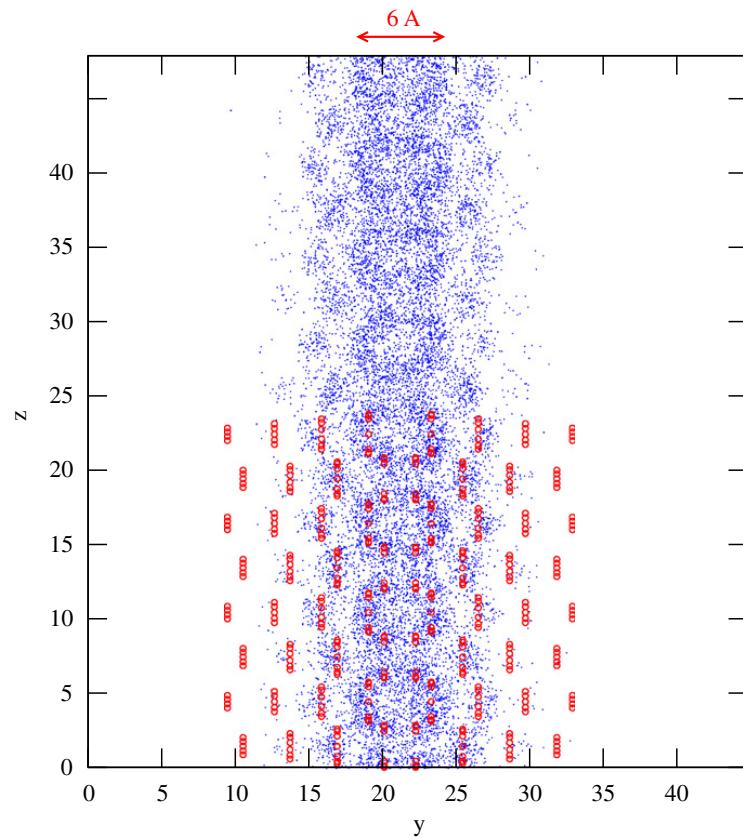
Simplified model  $\chi(\omega_0; T) \approx -\frac{|\hat{\chi}(T)|}{1 - i\omega_0\tau(T)}$   $\tau(T_{\text{onset}}) \sim \frac{1}{\omega_0}$



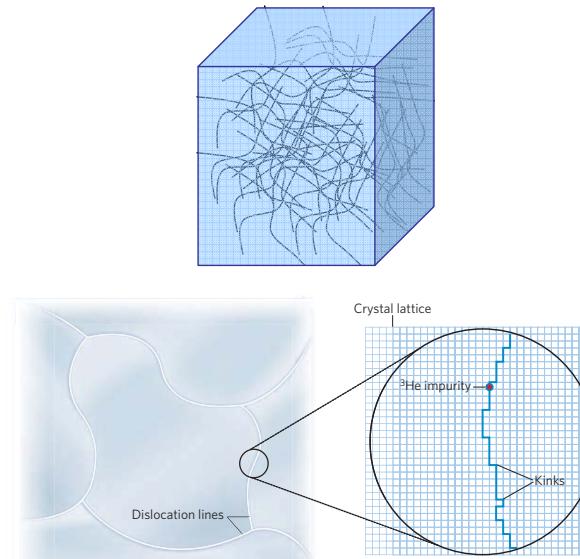
# Further applications: Super-Solid $^4\text{He}$ ?

Skew dislocations behave as Tomonaga-Luttinger liquids

Luttinger parameter (QMC)  $K \simeq 5$



Dislocation network  
(Shevchenko state)



S Balibar *Nature* (2010)

M Boninsegni *et al* PRL (2008)

# Conclusions

- The helicity modulus in 1D vanishes
- Superfluidity is a dynamical effect in 1D
- Importance of Phase slips
- Importance of coupling between particle and energy currents
- Possible relevance to the Shevchenko state in solid  $^4\text{He}$
- Possible relevance to ultracold atoms