



*The Abdus Salam*  
International Centre for Theoretical Physics



2246-17

**Workshop on Cosmic Rays and Cosmic Neutrinos: Looking  
at the Neutrino Sky**

***20 - 24 June 2011***

**Cosmogenic neutrino backgrounds: present status and future  
prospects**

Luca MACCIONE  
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Germany*



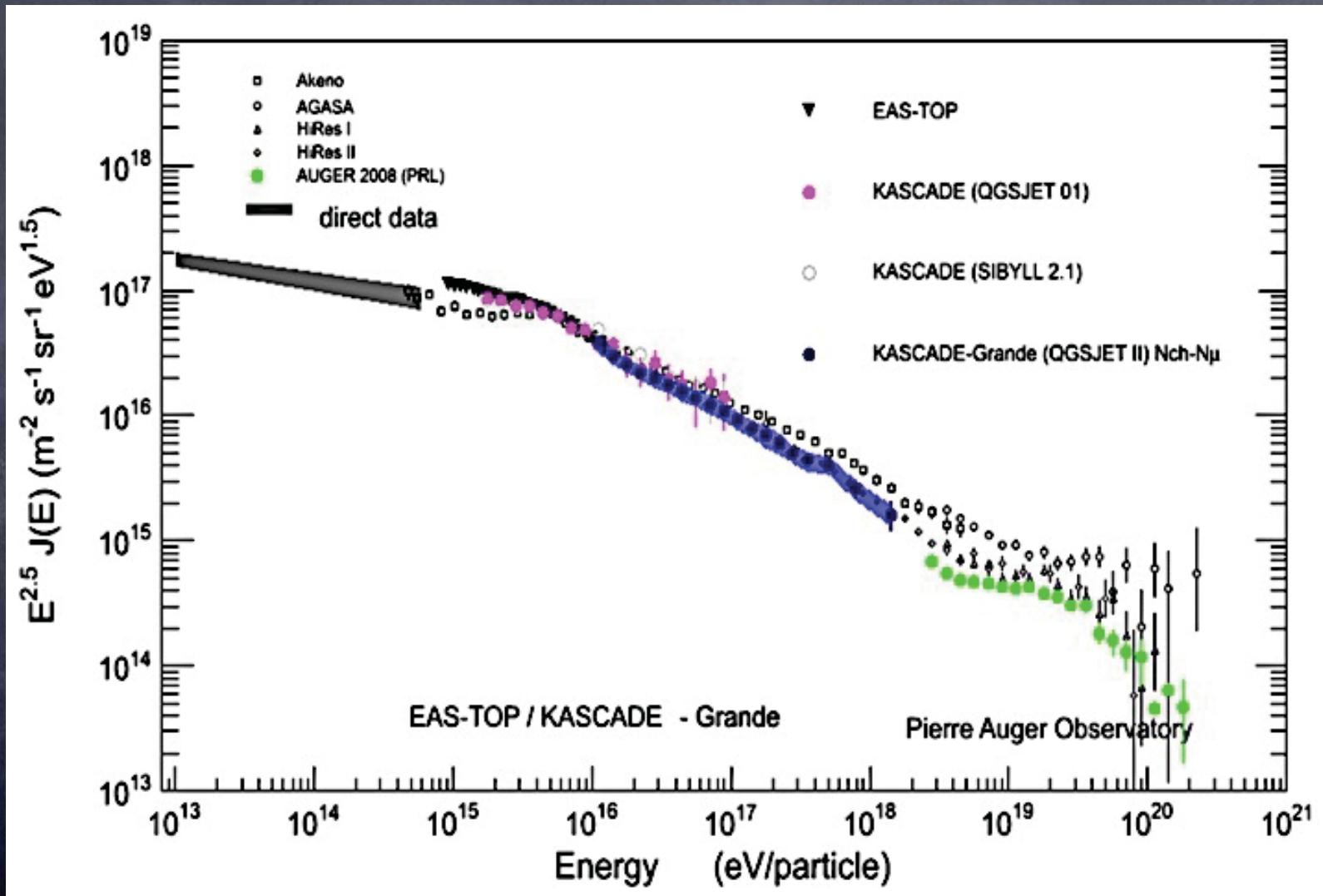
Universität Hamburg  
DER FORSCHUNG | DER LEHRE | DER BILDUNG



# Ultra High Energy neutrinos: status and future prospects

Luca Maccione (on behalf of G. Sigl)  
NUSKY - ICTP - 22.06.2011

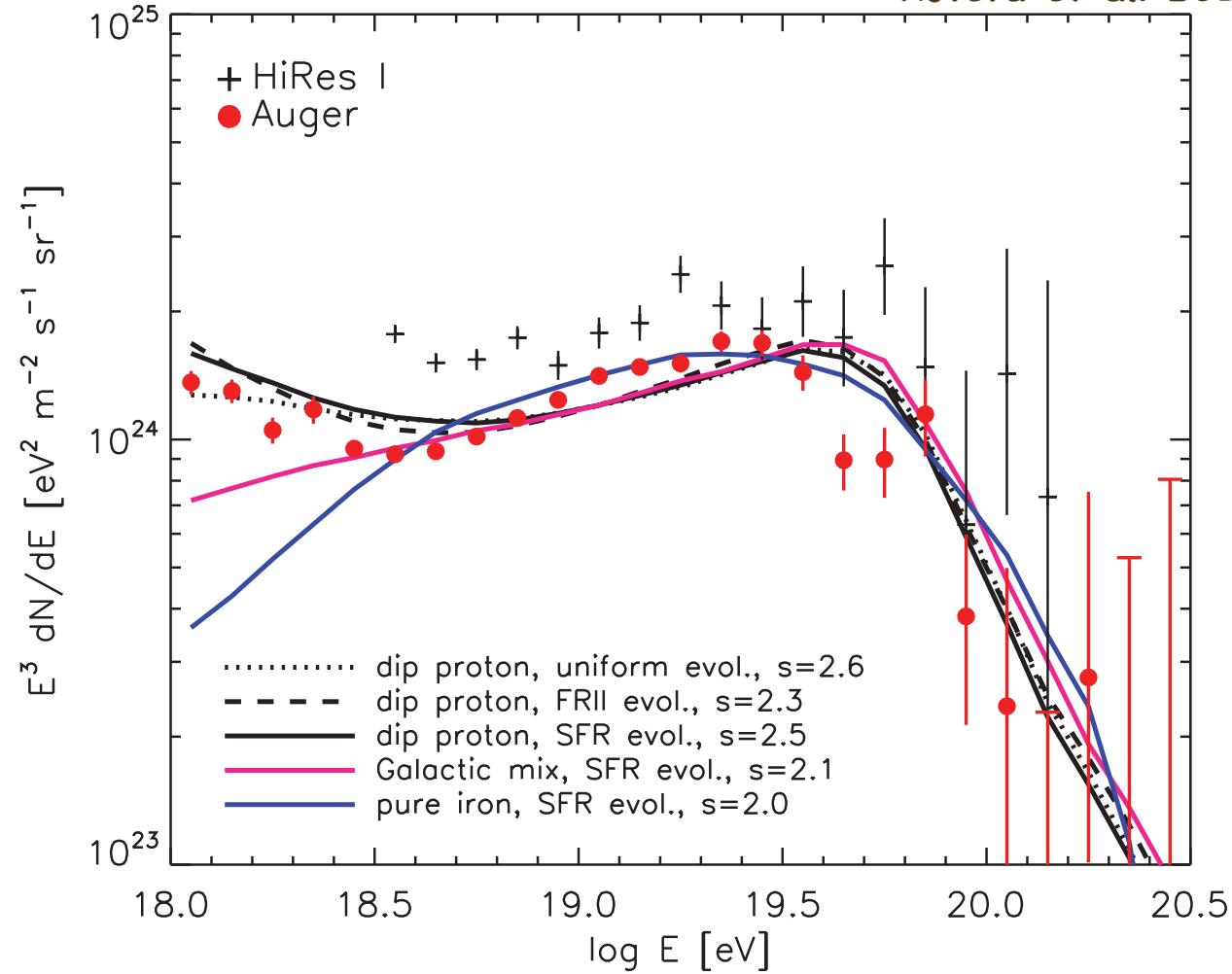
# CR Spectrum



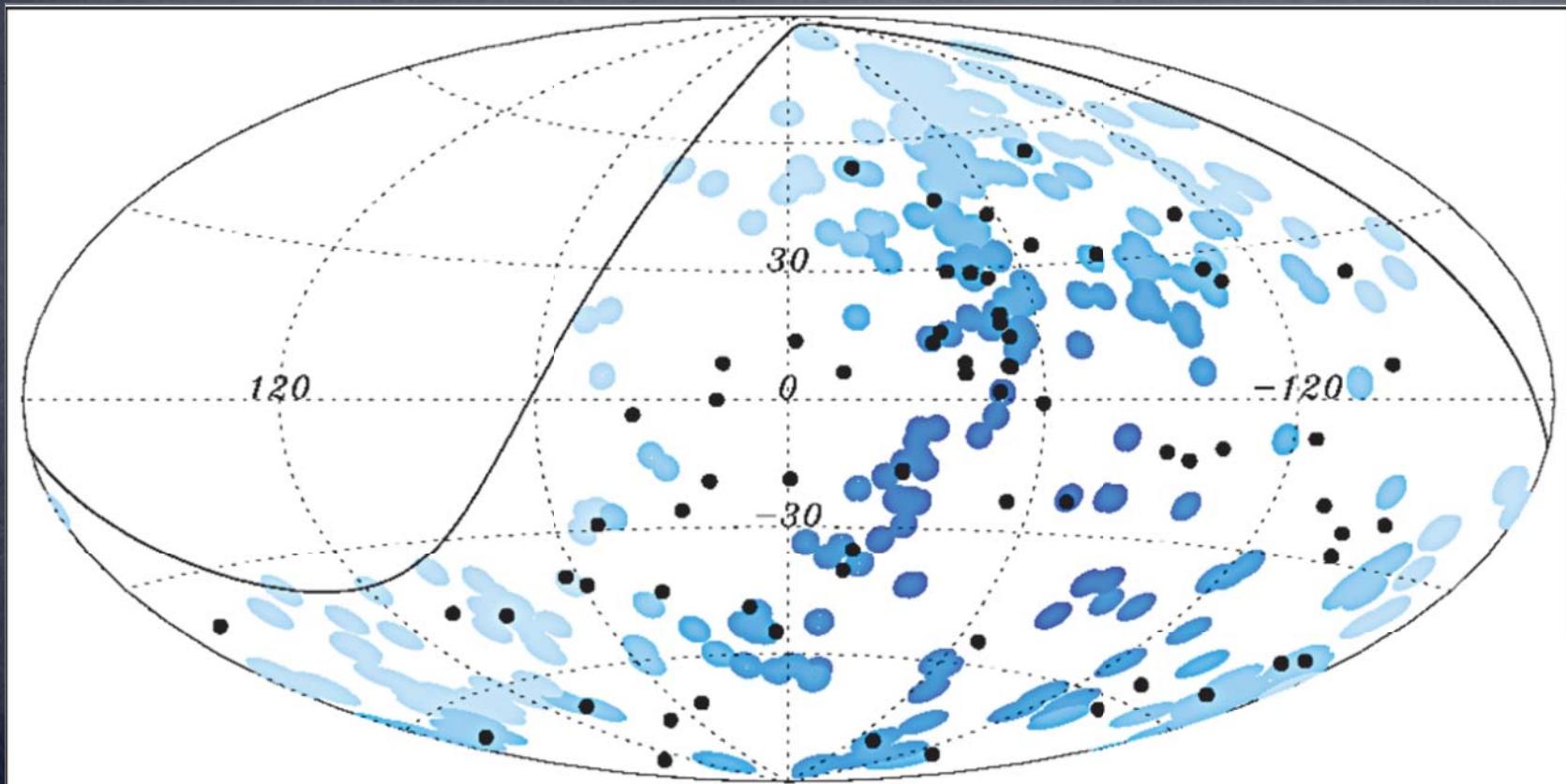
KASCADE-Grande collaboration arXiv:1009.4716

# AUGER and HiRes spectra

Kotera et al. 2010

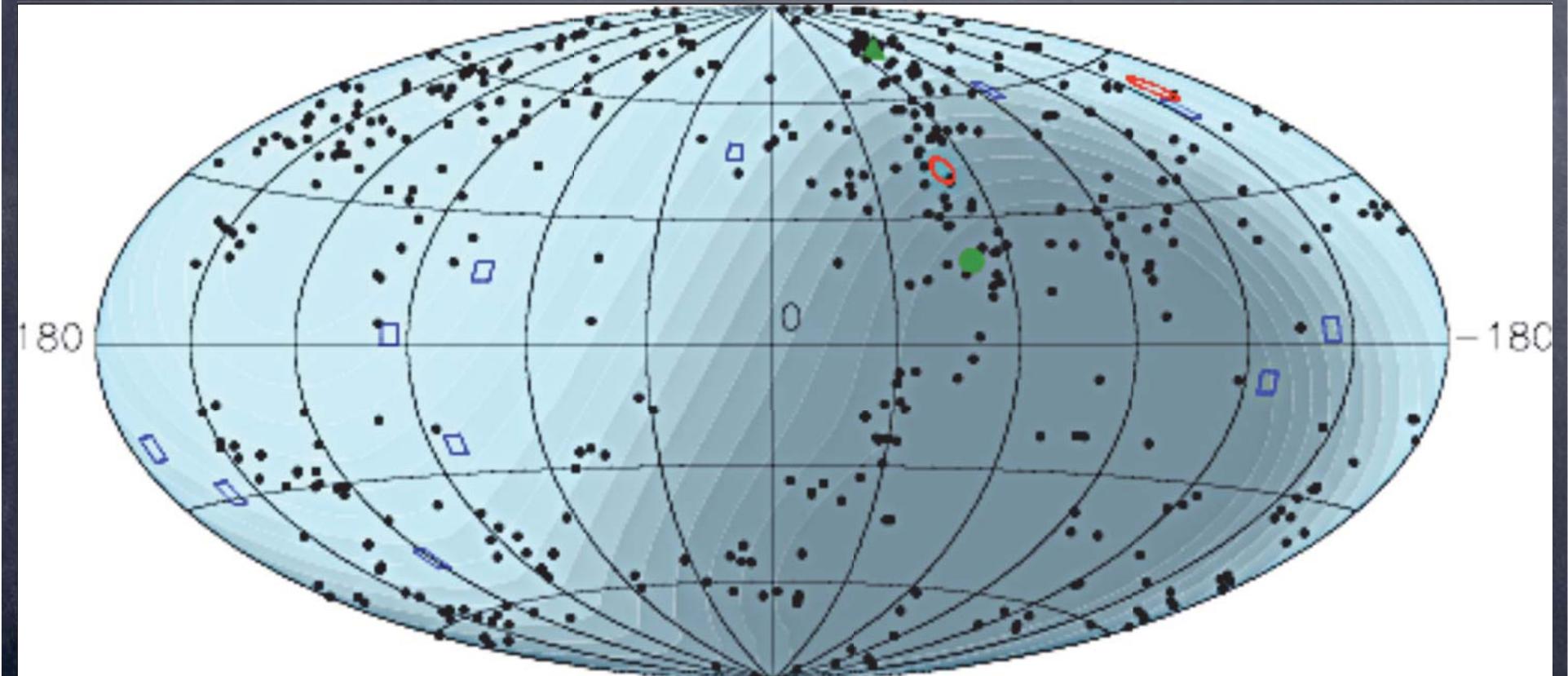


# Anisotropy ?



Blue 3.1 deg. circles = 318 AGNs from the Veron Cetty catalogue within 75 Mpc  
(exposure weighted color); black dots = 69 events above 55 EeV.  
29 events correlated within  $3.1^\circ$ , 14.5 expected for isotropy

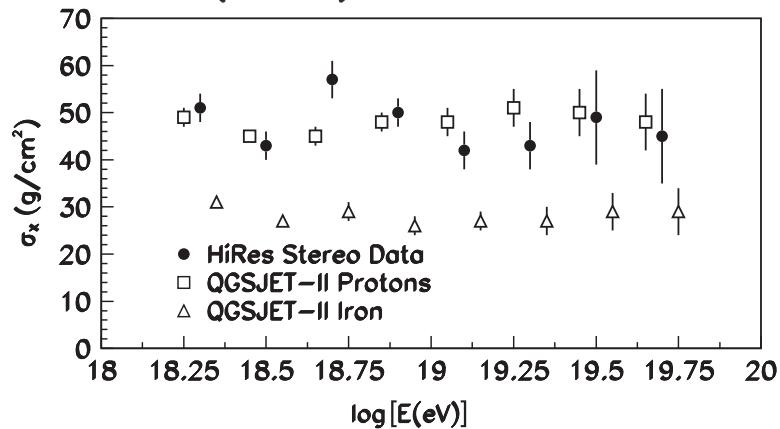
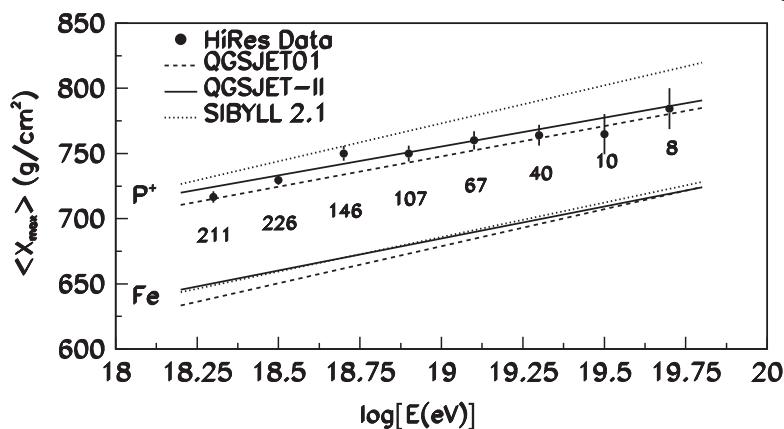
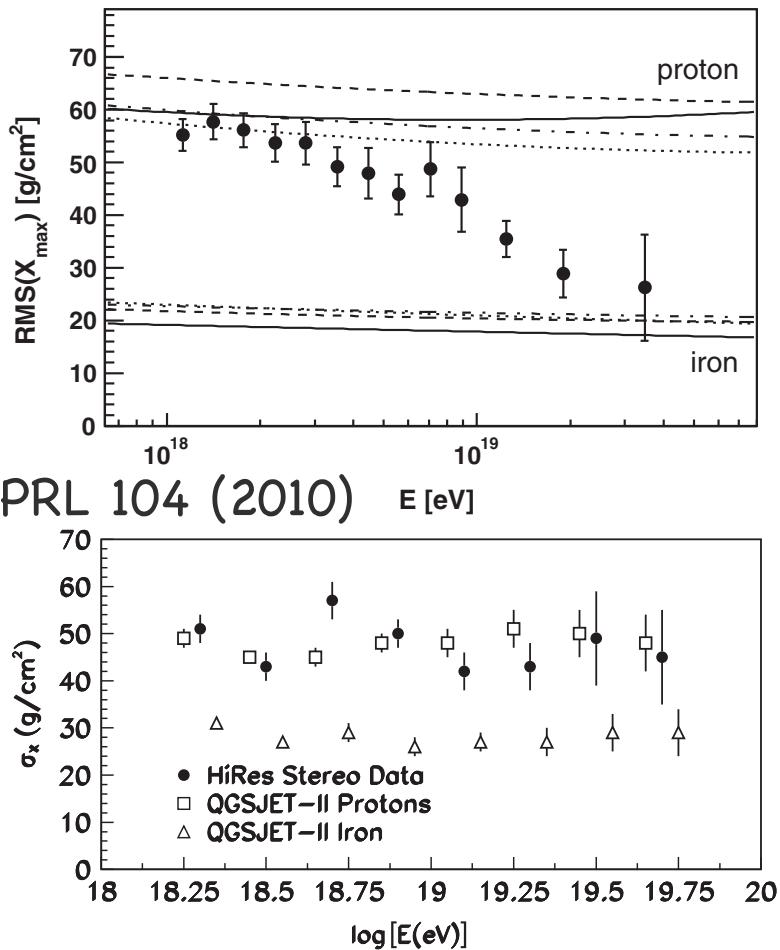
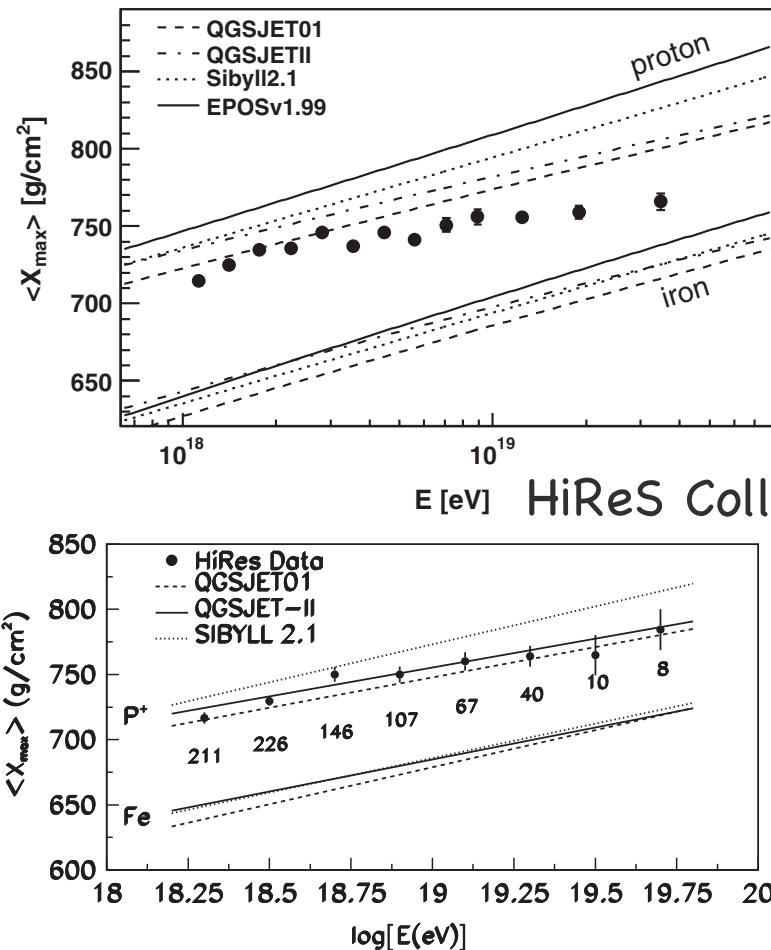
# Anisotropy ?



Black dots = 457 AGNs + 14 QSOs from the Veron Cetty catalogue for  $z < 0.018$   
red circles = 2 correlated events above 56 EeV within  $3.1^\circ$ ,  
blue squares = 11 uncorrelated events

# Also nuclei?

PAO Coll, PRL 104 (2010)



# A tension?

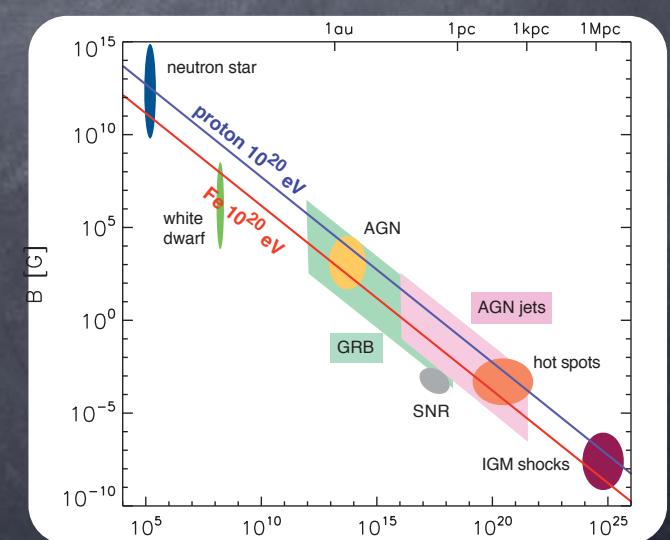
IF deflection is small and IF sources follow LSS

THEN

primaries should be protons (small deflections in galactic field)

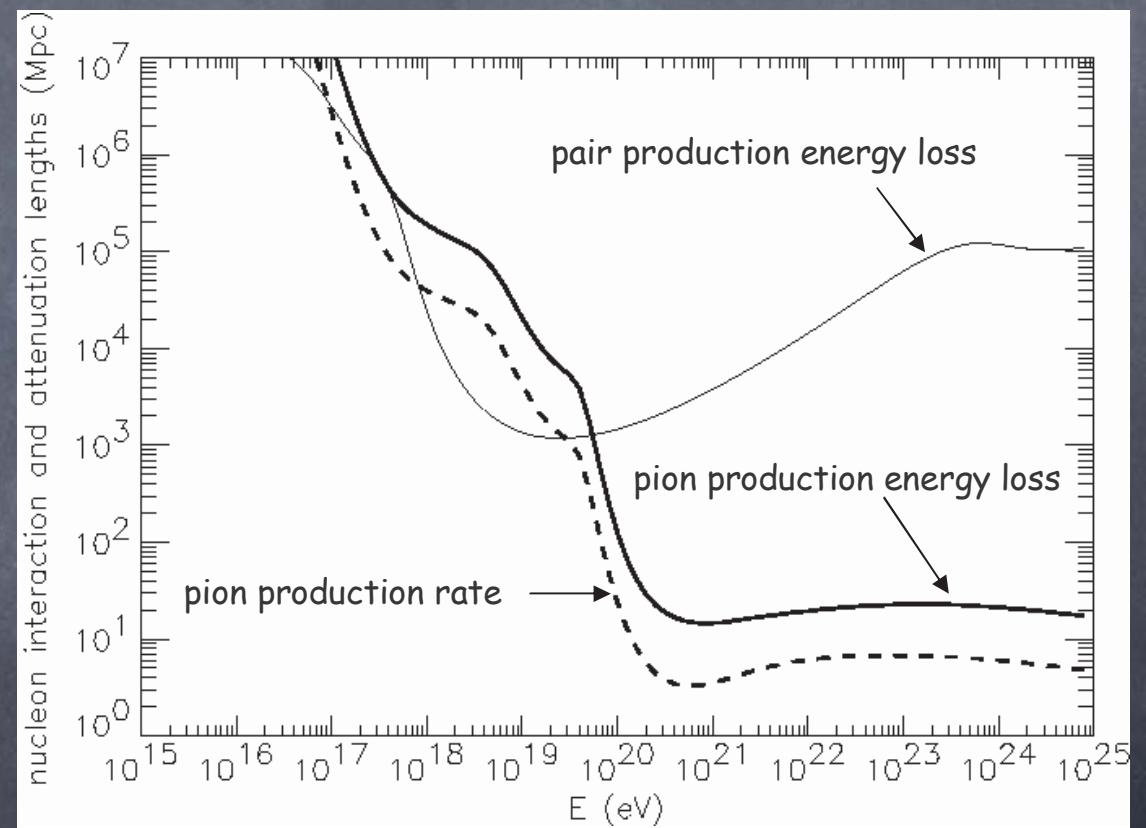
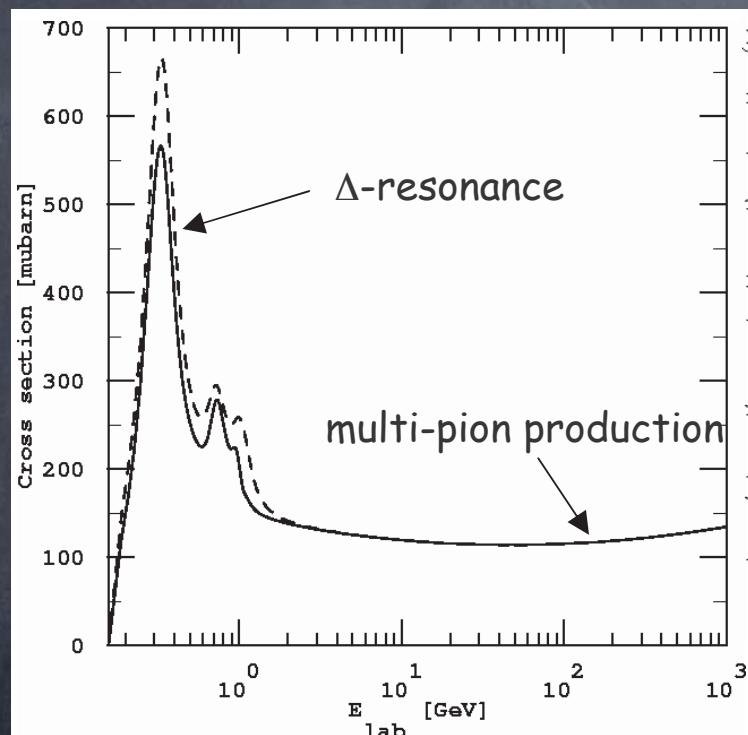
BUT

air shower measurements by PAO  
(but not by HiRes) favor mixed/heavy  
composition



# The Greisen-Zatsepin-Kuzmin effect

$$p + \gamma \rightarrow N + \pi \quad E_{\text{th}} = \frac{2m_p m_\pi + m_\pi^2}{4\epsilon} \sim 4 \cdot 10^{19} \text{ eV} \text{ on CMB}$$



UHECRs at  $E > 10^{20}$  eV must be produced within 100 Mpc from us.  
OR there is violation of Lorentz symmetry for boosts  $> 10^{11}$ .

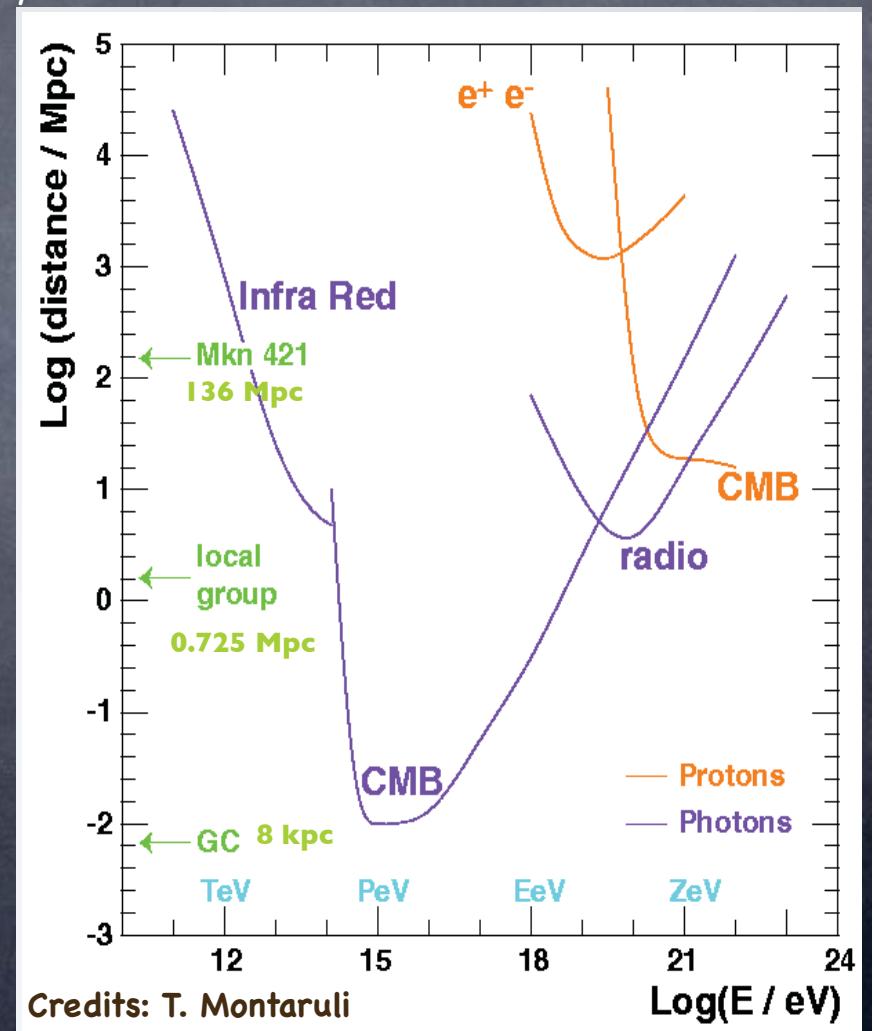
# GZK effect and secondary production

GZK interactions     $\pi^0 \rightarrow \gamma\gamma$

lead to

$$\pi^\pm \rightarrow \mu\nu_\mu \rightarrow e\nu_\mu\bar{\nu}_\mu\nu_e$$

- Due to isospin symmetry we have a roughly equal amount of energy in photons and neutrinos
- Neutrinos do not interact further: their spectrum on Earth is the production one
- Photons experience pair production! They pile up below the pair production spectrum on CMB at  $10^{14}$  eV.
- The Universe acts as a calorimeter for the total energy injected in electromagnetic flux above the pair production threshold.
- This constrains the neutrino flux

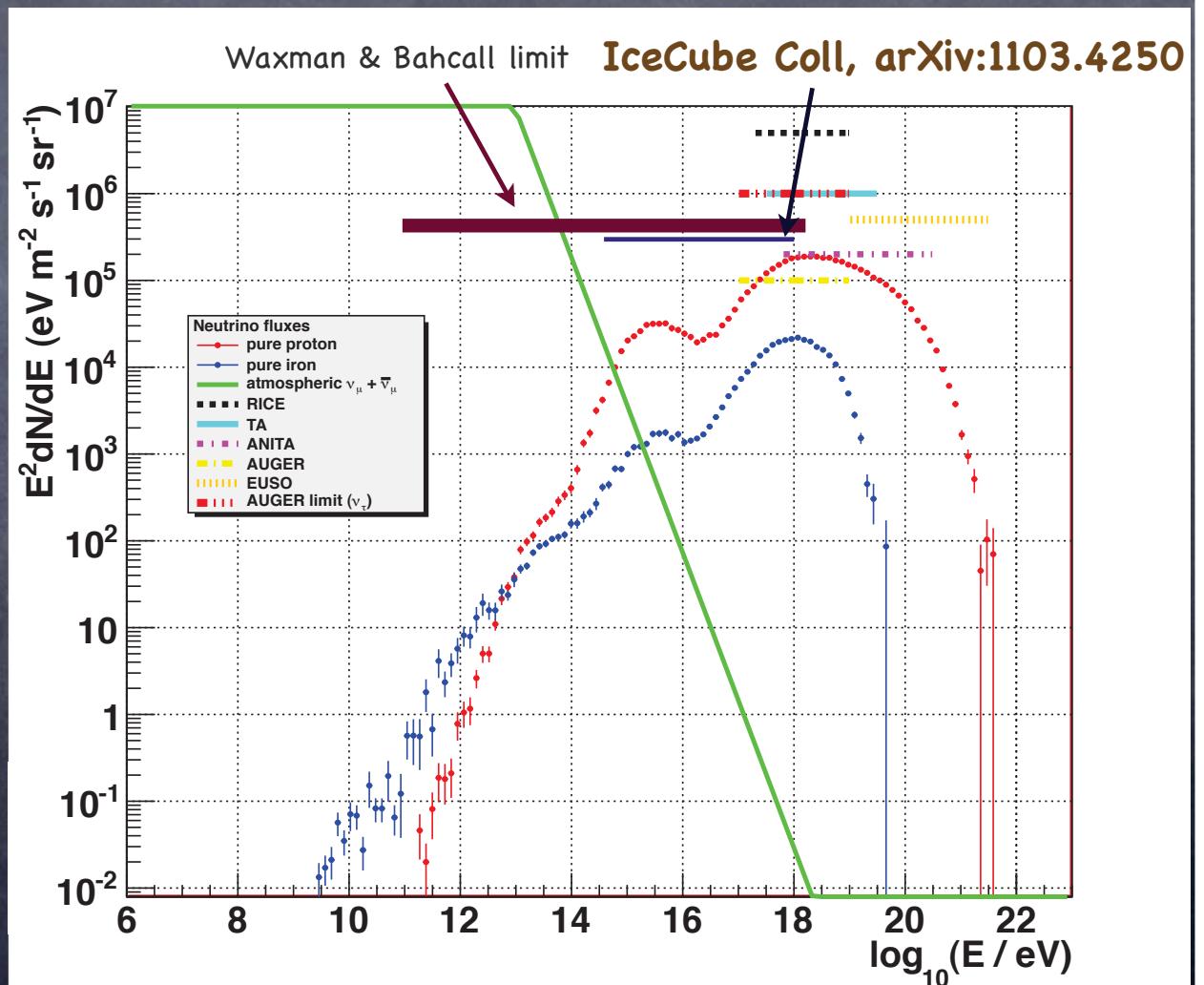


# Secondary neutrinos

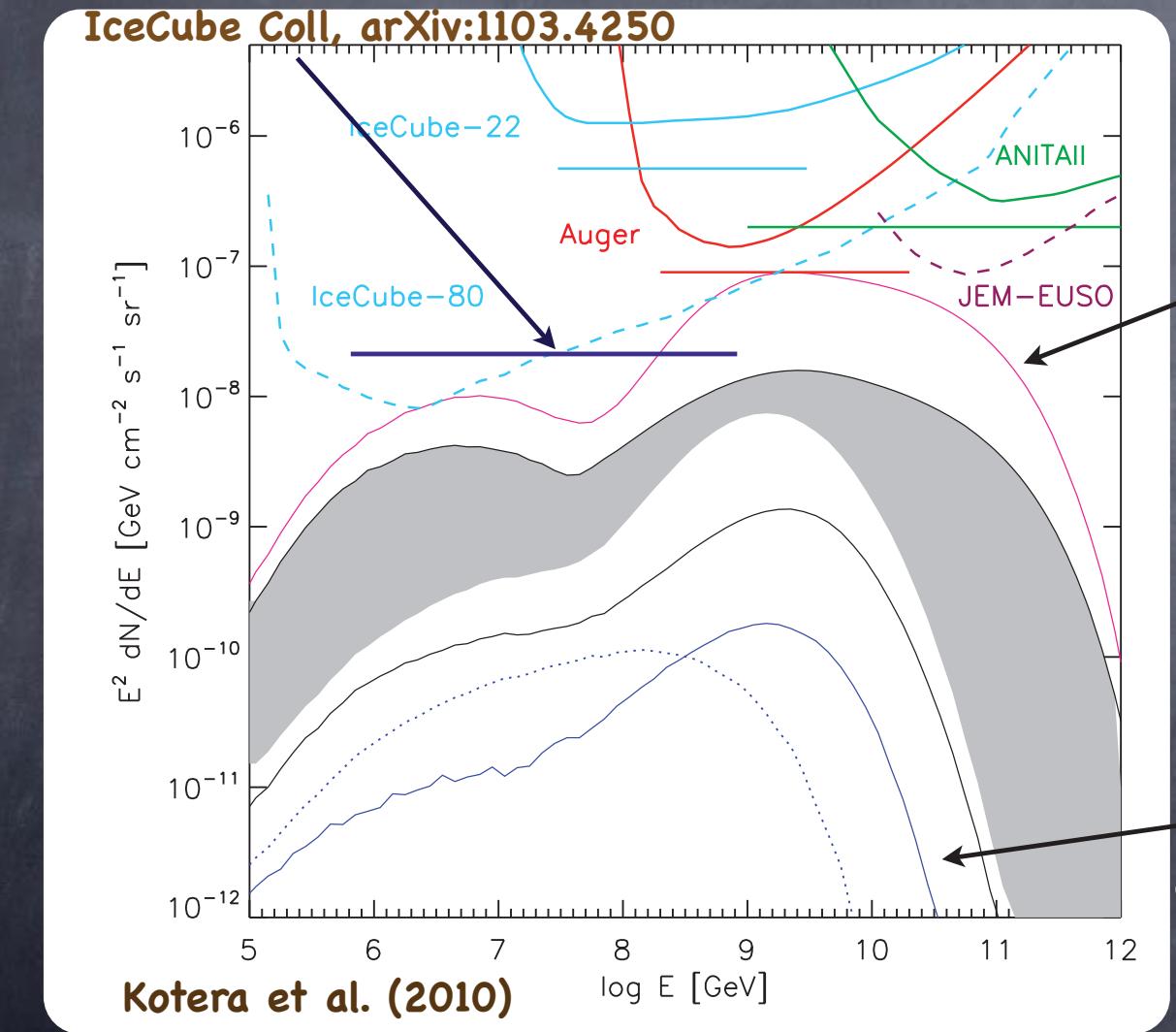
$$p + \gamma \rightarrow \pi^{\pm,0} \rightarrow \nu, \gamma, e^{\pm}$$

Pure proton composition leads to larger fluxes. Depending on the scenario, such fluxes might be detected at PeV energies

obtained with CRPropa 2.0  
to be released soon!!

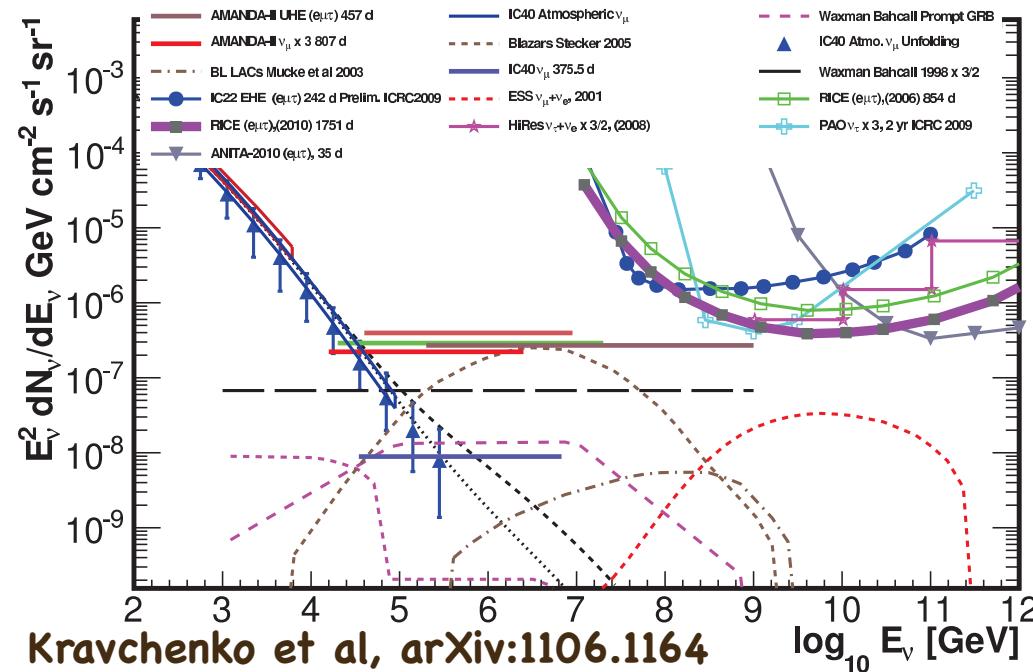
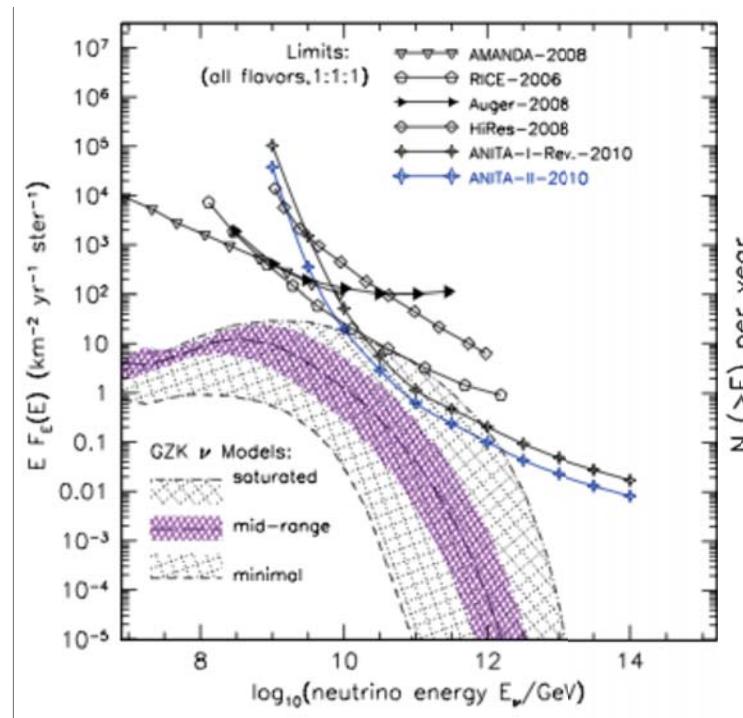


# Overview of neutrino fluxes



pure proton,  
strong evolution,  
 $E_{\max} = 3000 \text{ EeV}$

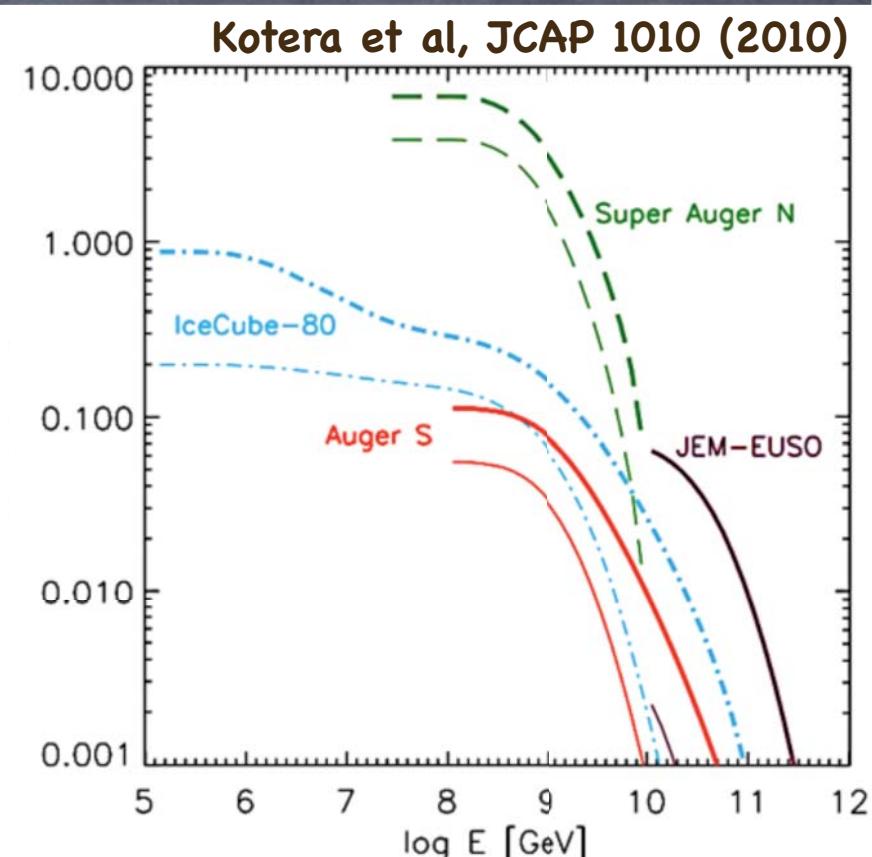
pure Fe, uniform  
evolution,  $E_{\max} = Z \times$   
100 EeV



Kravchenko et al, arXiv:1106.1164



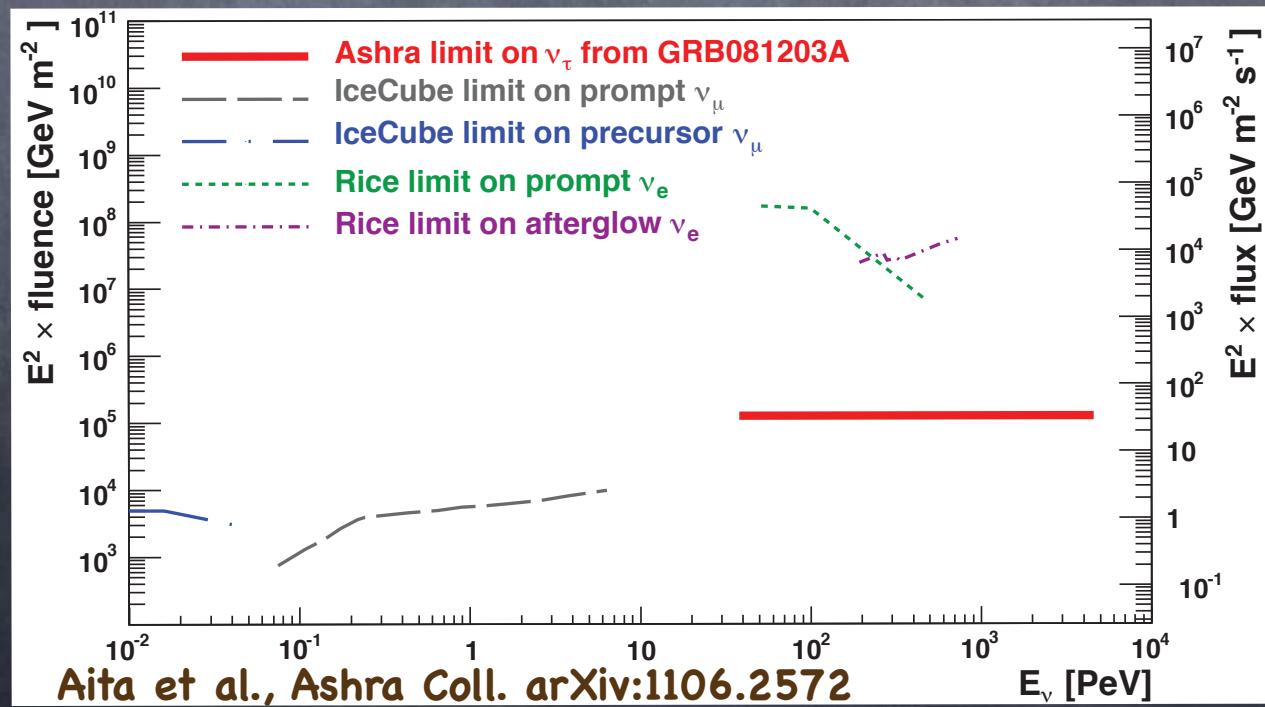
Gorham et al, PRD 82 (2010)



Kotera et al, JCAP 1010 (2010)

# Searches from GRBs

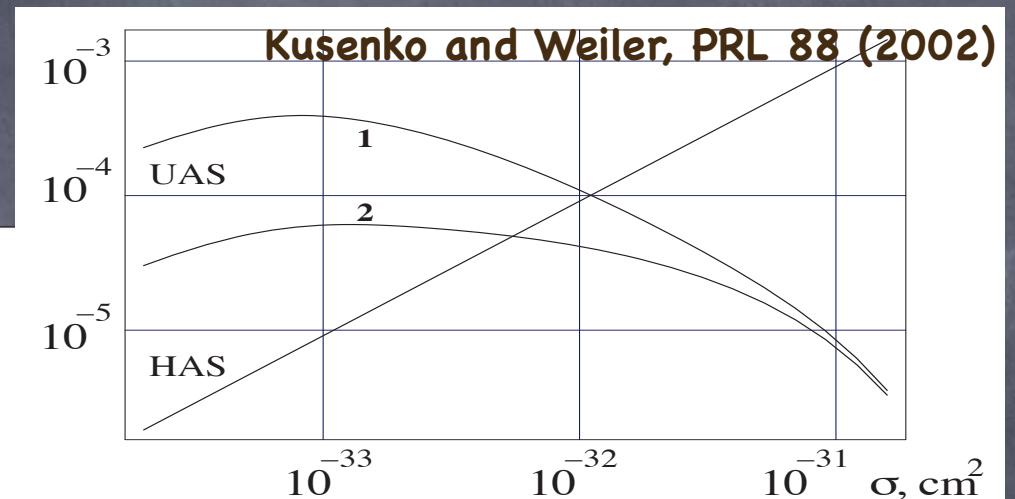
Limits on tau neutrinos from GRB081203A  
using Earth skimming neutrinos



# Cross sections

- ⦿ For  $10^{20}$  eV neutrinos:
  - production cross section “well known”
  - source function unknown
  - detection cross section needs extrapolation of SM over at least 2 orders of magnitude ---> Not known!!
- ⦿ How to disentangle source effects from cross section effects upon detection?

# Earth skimming neutrinos



Deeply penetrating (horizontal)

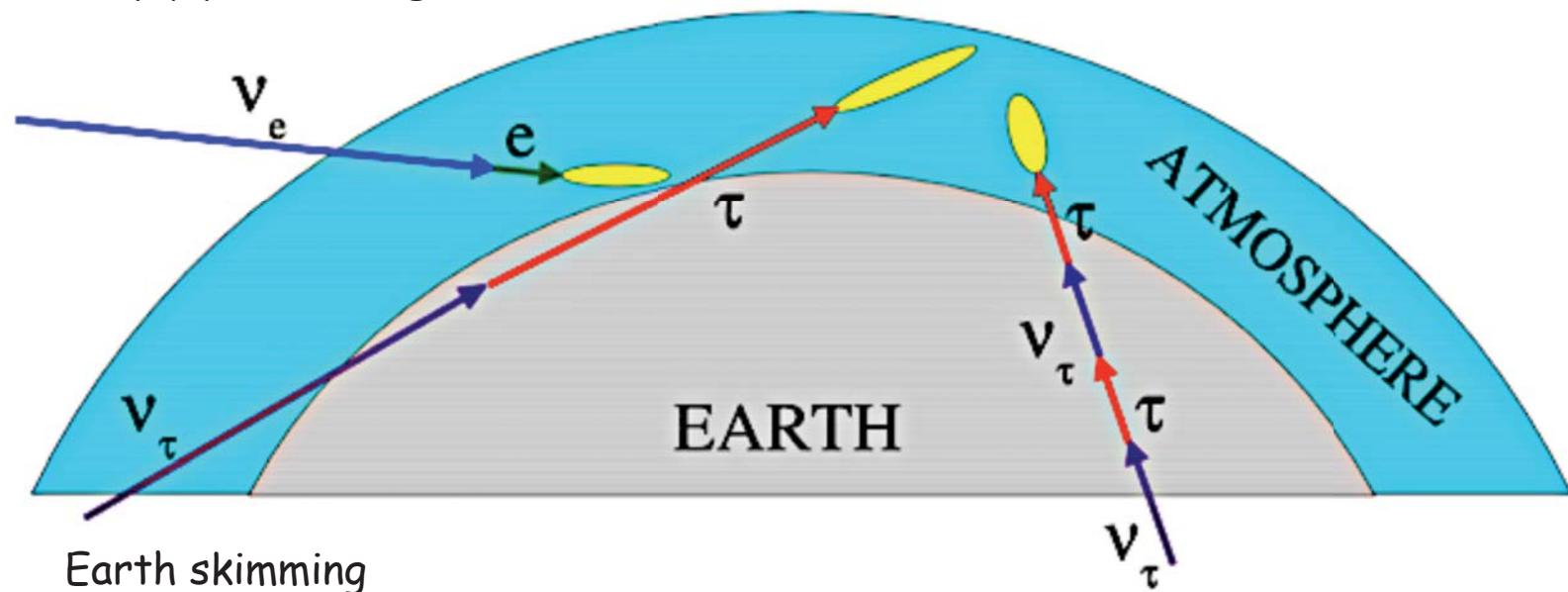


Figure from Cusumano

Can infer neutrino cross section  
“directly”

# Neutrinos as probes of Planck scale physics

# Why LV?

Known theories of gravity rest on  
Einstein's equivalence principle



local Lorentz invariance

Principle of  
relativity

Implies the group structure

Isotropy

Implies reciprocity together  
with Principle of Relativity

Homogeneity

Implies linearity of  
coordinate transformations

Pre-causality

Implies a notion of  
past and future

Lorentz  
invariance

von Ignatowski (1910-1911)

# Challenging Lorentz invariance

Lorentz invariance relates, through **homogeneity**,  
**short to long distances**.

What happens if we have a **minimum length scale**?

$$l_{\text{Pl}} = \sqrt{\hbar G_N / c^3} \sim 1.6 \times 10^{-35} \text{ m}$$

$$M_{\text{Pl}} = \sqrt{\hbar c / G_N} \sim 1.22 \times 10^{19} \text{ GeV}$$

Homogeneity likely to be broken



Boost invariance likely to be broken

# Modified dispersion relations

Many QG models have led to modified dispersion relations

From a purely phenomenological point of view, the general form of Lorentz invariance violation (LIV) is encoded into the dispersion relations

$$E^2 = p^2 + m^2 + \Delta(p, M)$$

$M$  = spacetime structure scale, generally assumed  $\approx M_{\text{Planck}} = 10^{19}$  GeV

Assuming rotation invariance  
we can expand this as

$$E^2 = p^2 + m^2 + M\eta^{(1)}|p| + \eta^{(2)}p^2 + \eta^{(3)}|p|^3/M \dots$$

# Exotic physics and UHECRs

- UHECRs can be probes of Lorentz symmetry violation, e.g. induced by Quantum Gravity
- Lorentz violation is expressed through modified dispersion relations

$$E^2 = c^2 p^2 \left( 1 + \frac{m^2 c^2}{p^2} + \sum_n \eta^{(n)} \frac{p^{n-2}}{M_{\text{Pl}}^{n-2}} \right)$$

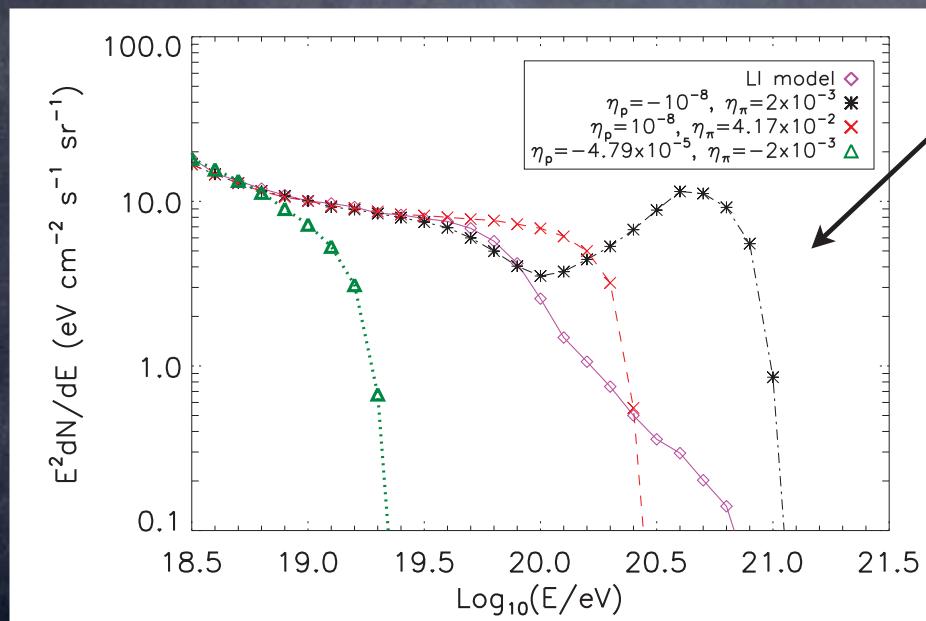
- An estimate of the critical momentum at which LV effects are important is

$$\frac{m^2}{p^2} \approx \frac{p^{n-2}}{M^{n-2}} \Rightarrow p_{\text{crit}} \approx \sqrt[n]{m^2 M^{n-2}}$$

n	$p_{\text{crit}}$ for $\nu_e$	$p_{\text{crit}}$ for $e^-$	$p_{\text{crit}}$ for $p^+$
2	$p \approx m_\nu \sim 1 \text{ eV}$	$p \approx m_e = 0.5 \text{ MeV}$	$p \approx m_p = 0.938 \text{ GeV}$
3	$\sim 1 \text{ GeV}$	$\sim 10 \text{ TeV}$	$\sim 1 \text{ PeV}$
4	$\sim 100 \text{ TeV}$	$\sim 100 \text{ PeV}$	$\sim 3 \text{ EeV}$

# Lorentz invariance violations

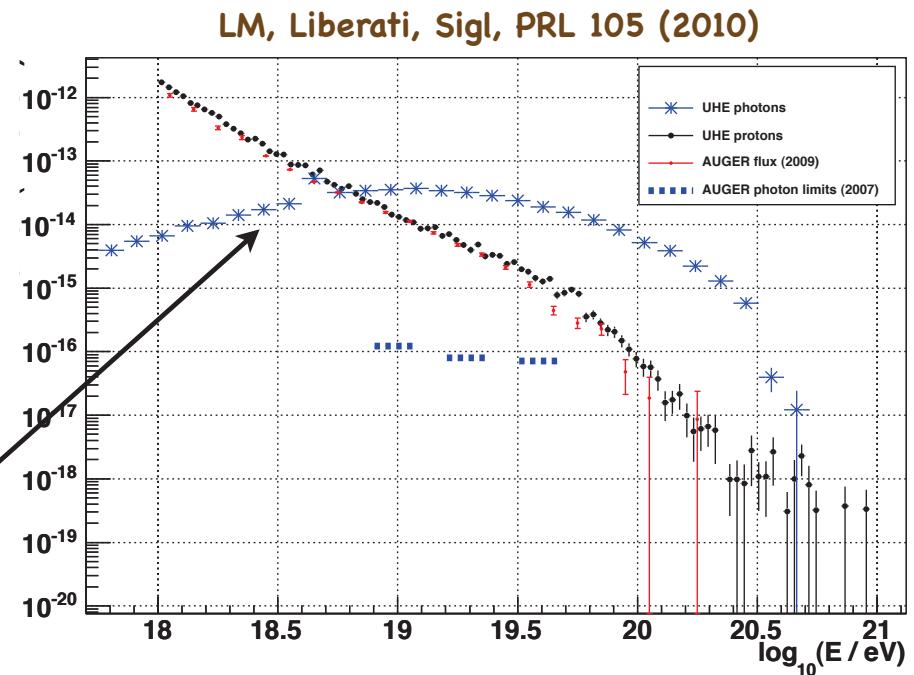
Propagated (simulated) LIV spectra



modified GZK effect

LM, Taylor, Mattingly, Liberati, JCAP 0904 (2009)

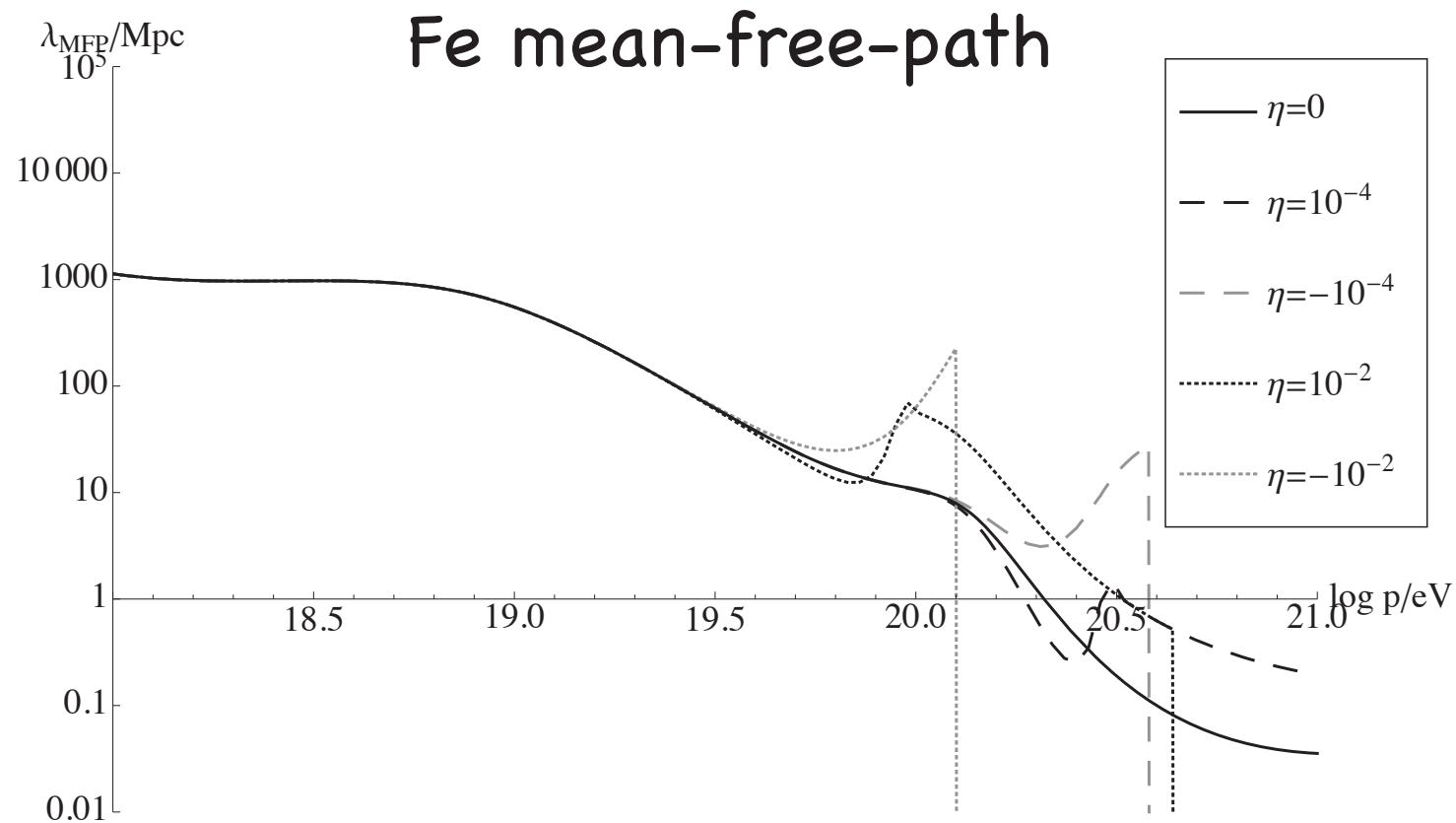
absence of pair production



LM, Liberati, Sigl, PRL 105 (2010)

# Lorentz invariance violations

A. Saveliev, LM, G. Sigl, JCAP 2011



# LV in the neutrino sector

Effects on oscillations

$$E_{cr} \approx M_{Pl} \left( \frac{\Delta m^2}{M_{Pl}^2 \eta_\nu^n} \right)^{1/n}$$

0.2 GeV (n=3)
20 TeV (n=4)

Strong constraints already from neutrino experiments!

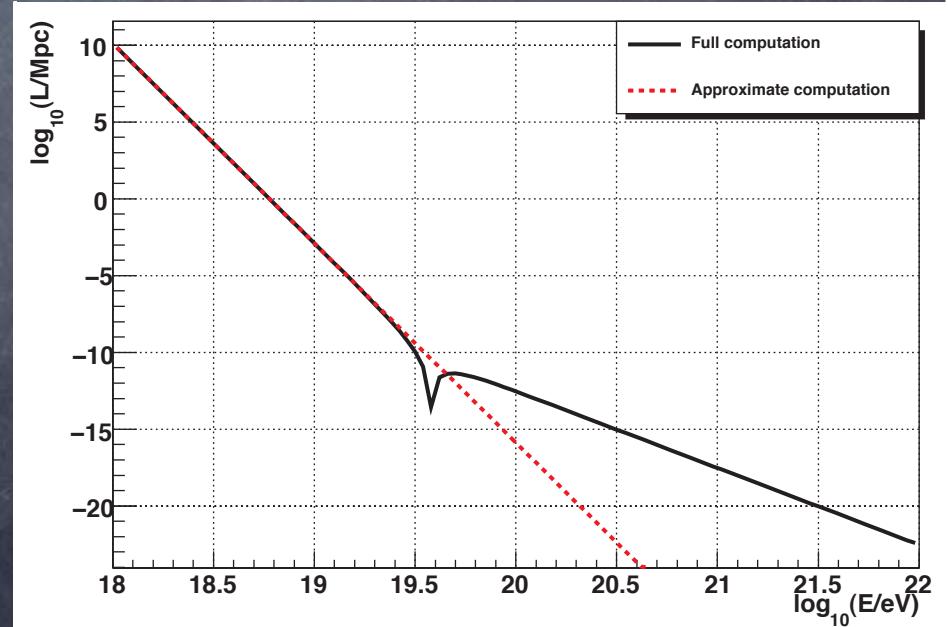
Oscillations:  $\delta c/c \lesssim 10^{-27}$

Also quantum decoherence effects alter oscillation patterns

# LIV: prospects for the UHE neutrino sector

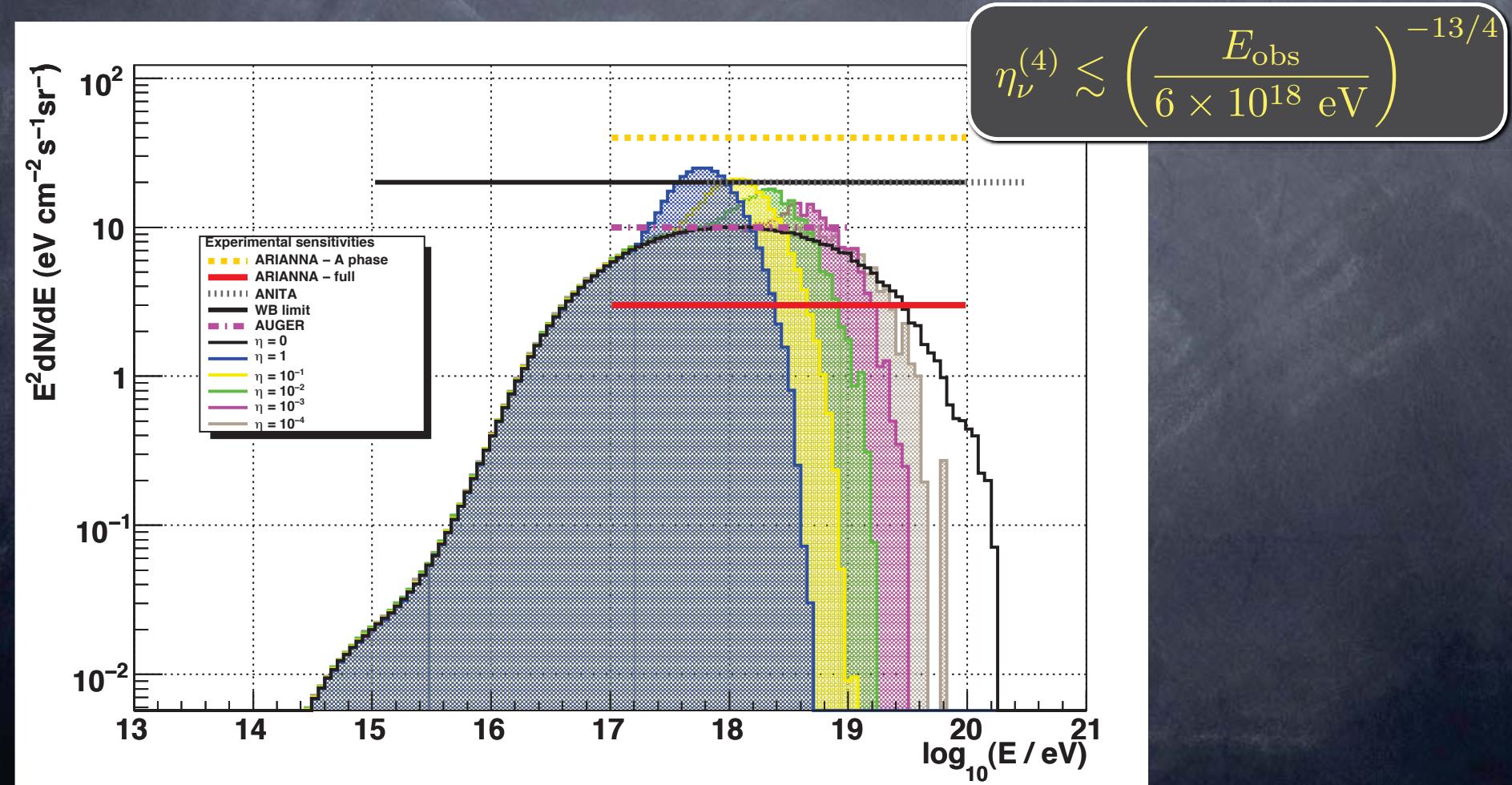
Mattingly, LM, Galaverni, Liberati, Sigl, JCAP 1002:007,2010

- ⦿ Neutrino Cherenkov emission  $\nu \rightarrow \nu\gamma(\nu g)$   
very low rate, irrelevant on Hubble scales
- ⦿ Neutrino splitting  $\nu \rightarrow \nu\nu\bar{\nu}$   
very fast rate above  $10^{19}$  eV
- ⦿ Neutrino decay  $\nu \rightarrow \nu q\bar{q}$   
can mimic a Z-burst effect  
--> effect at only 5% on  
the UHECR spectrum,  
not visible yet



# LIV: prospects for the UHE neutrino sector

Mattingly, LM, Galaverni, Liberati, Sigl, JCAP 1002:007,2010



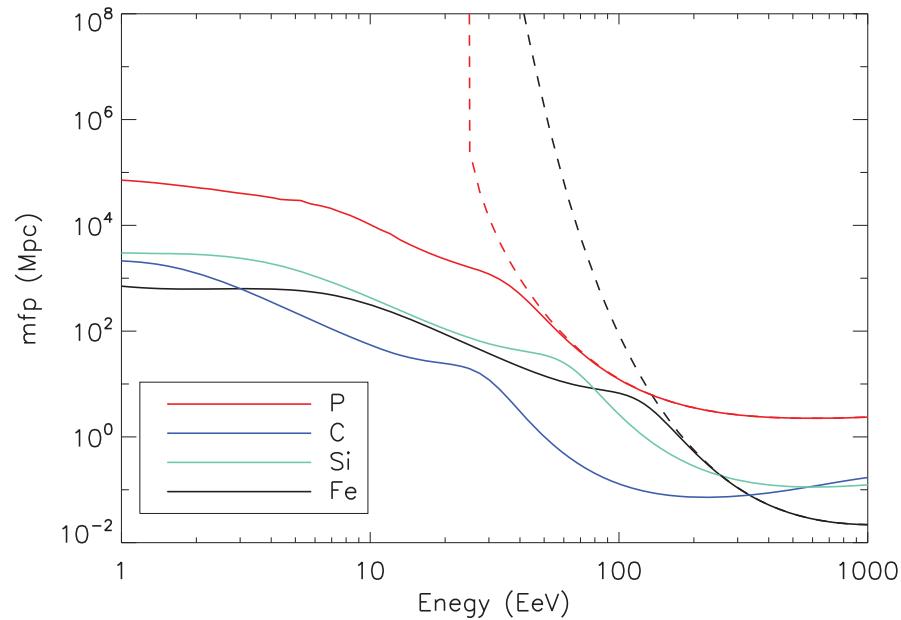
# Conclusions

- ⦿ UHE neutrinos can provide relevant information on UHECRs (compositions, interactions)
- ⦿ UHE neutrinos can also allow to access new physics beyond SM
- ⦿ UHE neutrino fluxes already constrained at some level from gamma-ray observations. More careful estimates in the presence of large deflections are ongoing (CRPropa 2.0, <https://crpropa.desy.de/>)
- ⦿ Experimentally hard to detect. Experimental sensitivity still to be improved to expect detection. Interesting prospects for IceCube at PeV

# The “future”: CRPropa 2.0 nuclei interactions

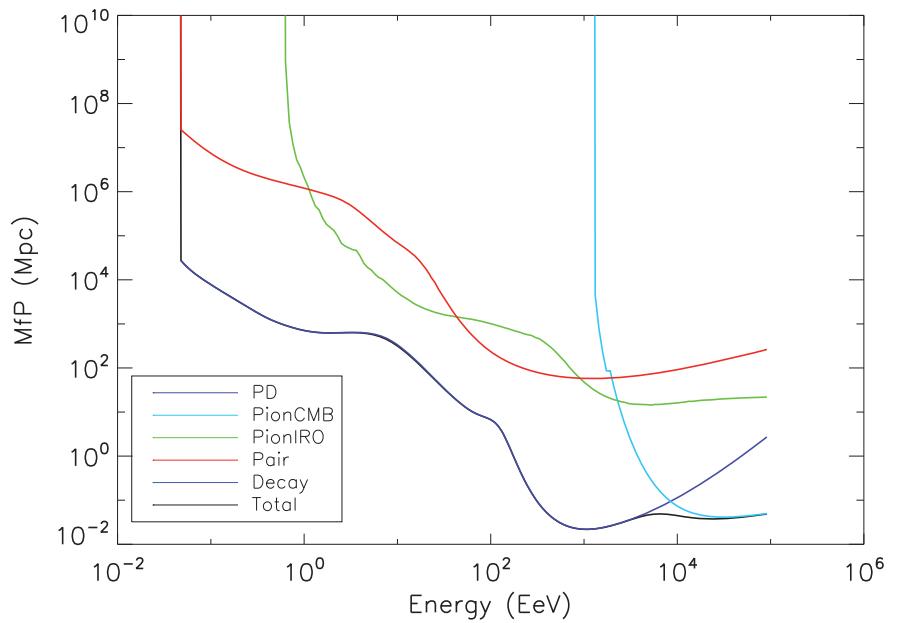
- ⦿ Pion production (baryon resonance of the constituent nucleons)
- ⦿ Photodisintegration (fragmentation/giant dipole resonance)
- ⦿ pair production (continuous energy loss)
- ⦿ nuclear decay (decay tables + Lorentz boost)
- ⦿ More info under <https://crpropa.desy.de/>

# Mean free paths



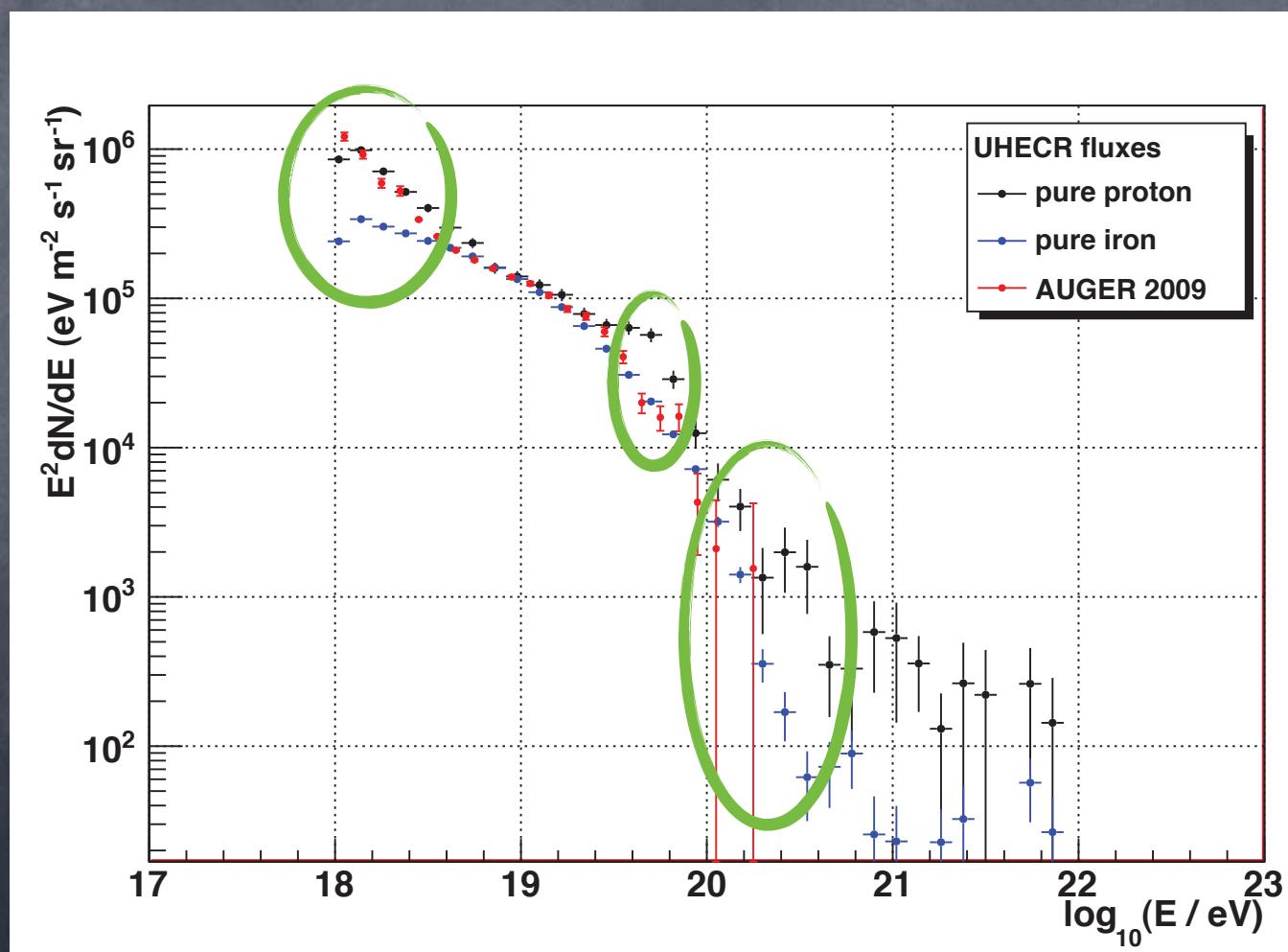
Relative contribution of  
different interactions to  
the total mfp

mfp for different  
nuclear species

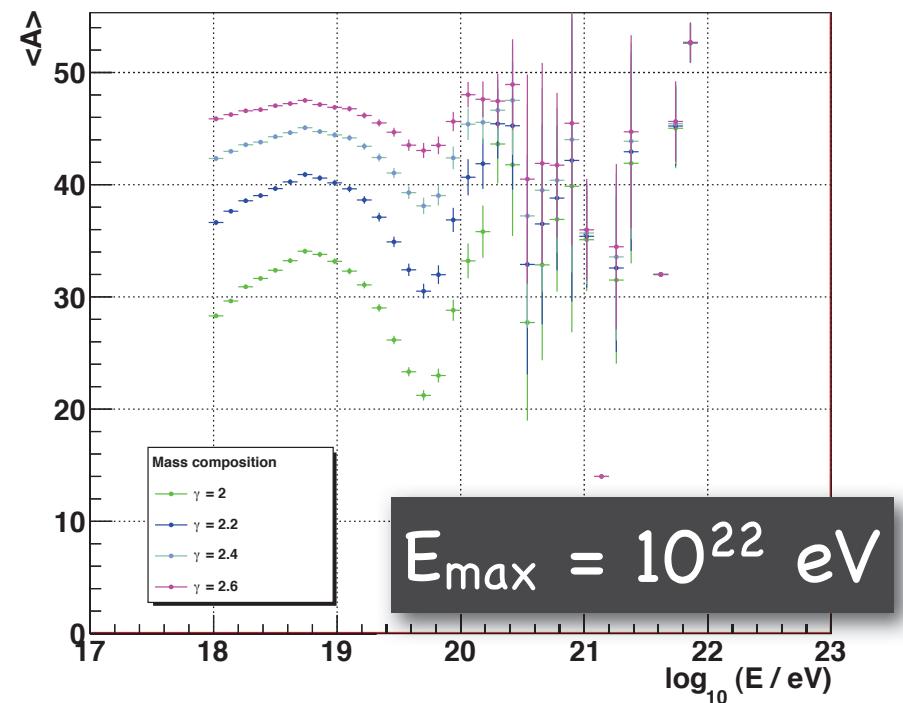
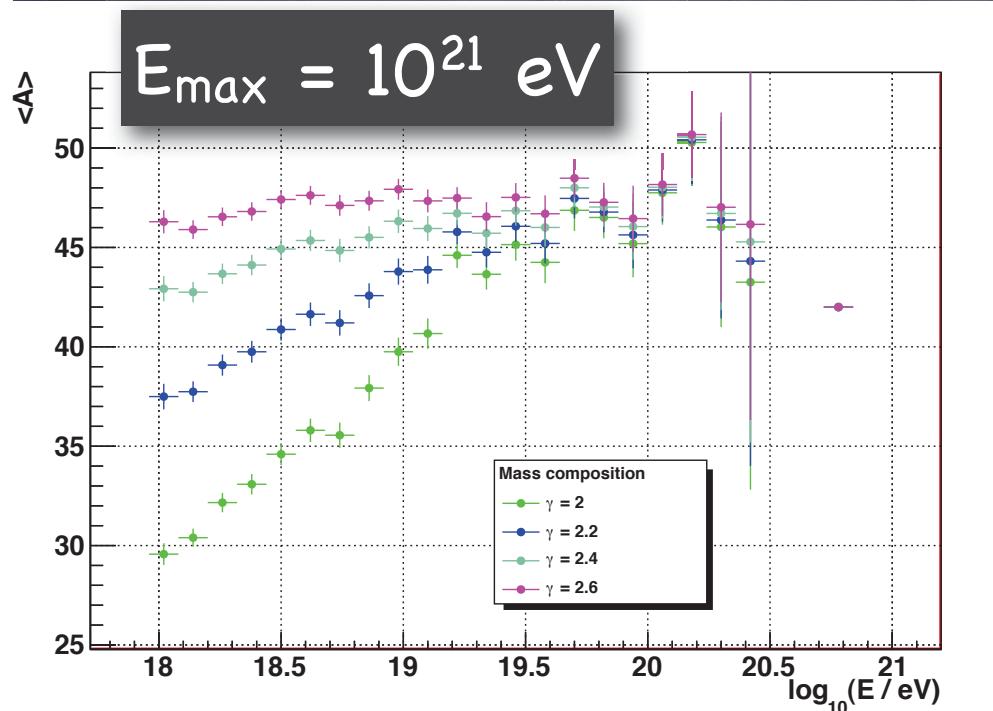


# UHECR spectra

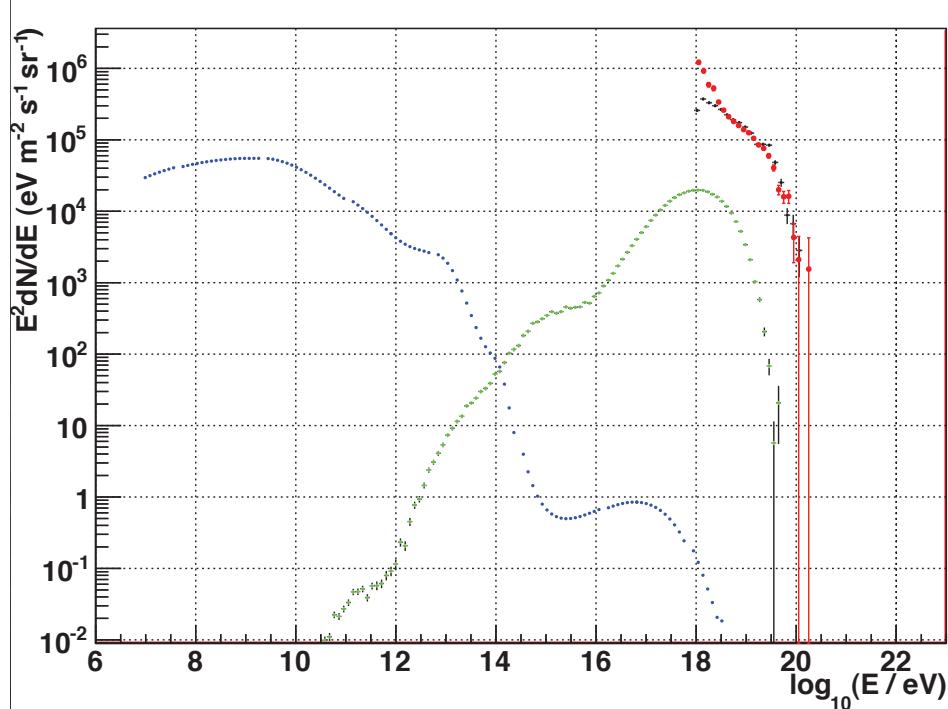
Are there distinctive features?



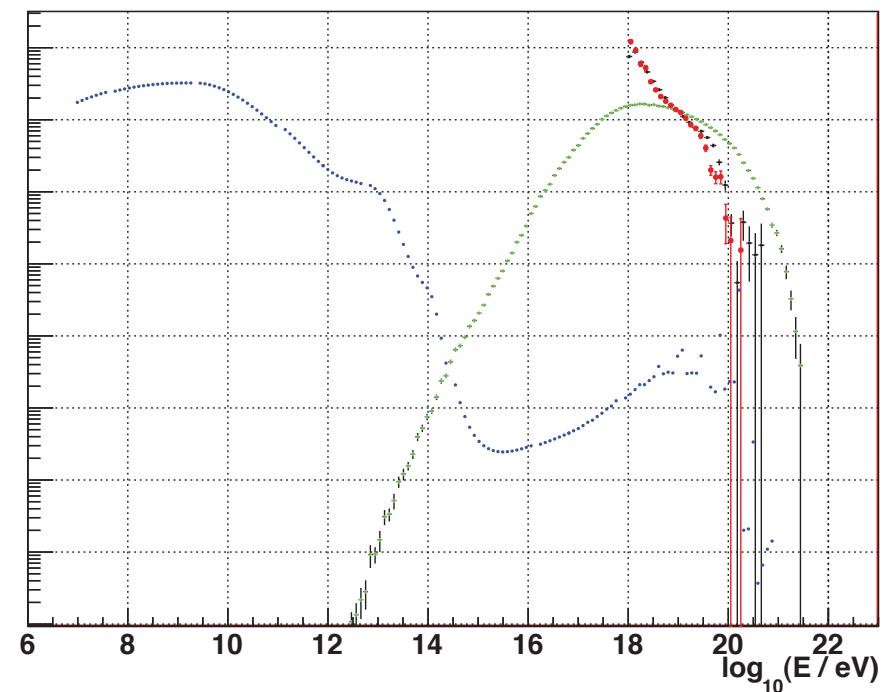
# Mass composition



# Proton vs Iron ?



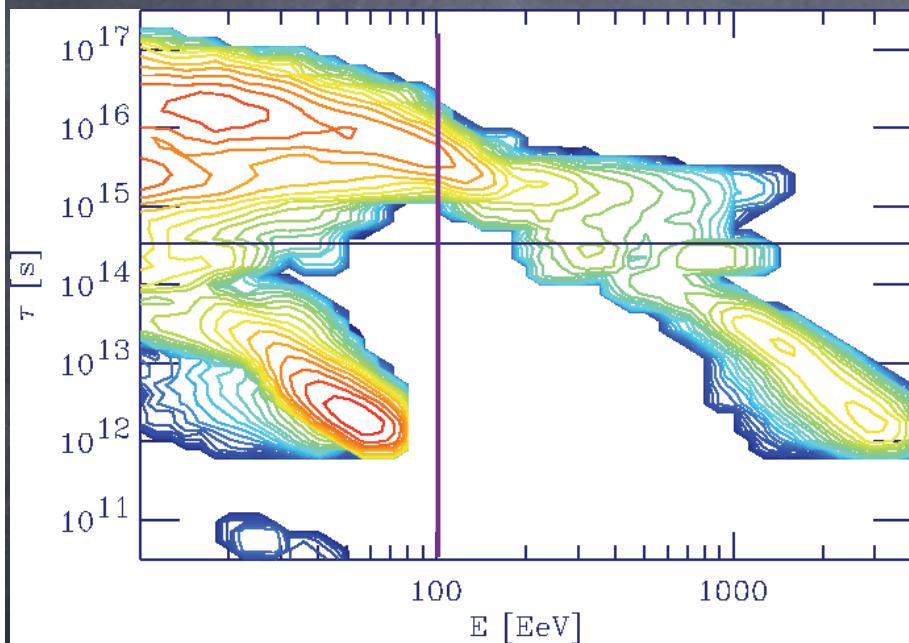
Iron



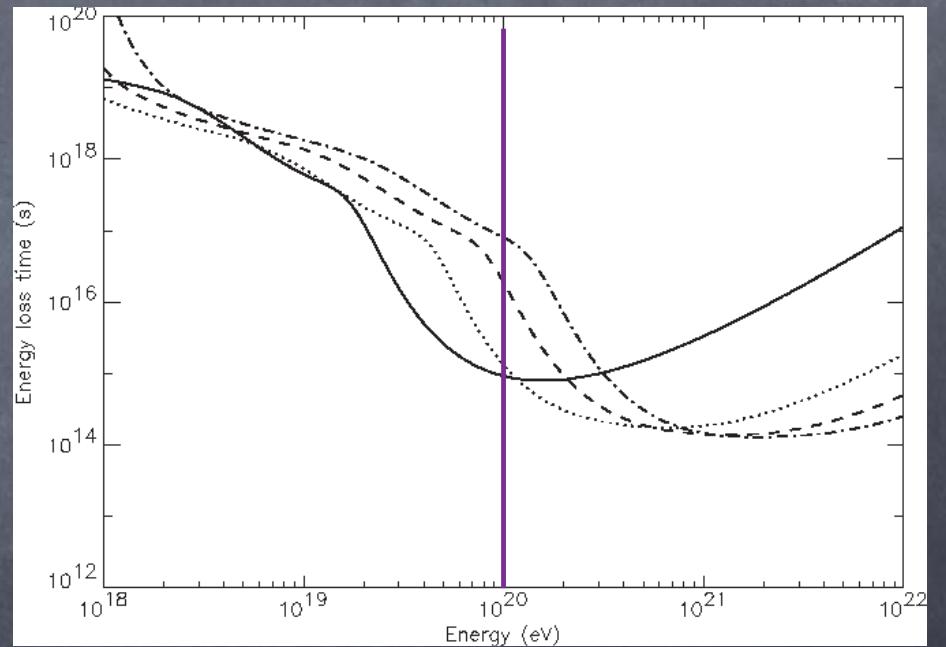
Proton

# How relevant are magnetic fields?

Importance of deflections highlighted by comparing propagation time to energy loss time



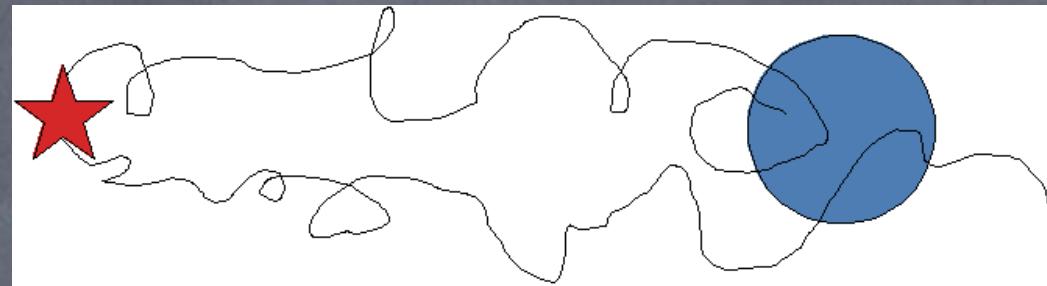
horizontal line=straight line propagation time  
low delay-time spike at ~50 EeV due to spallation nucleons produced outside source field.



Energy loss times for helium (solid), carbon (dotted), silicon (dashed), and iron (dash-dotted).

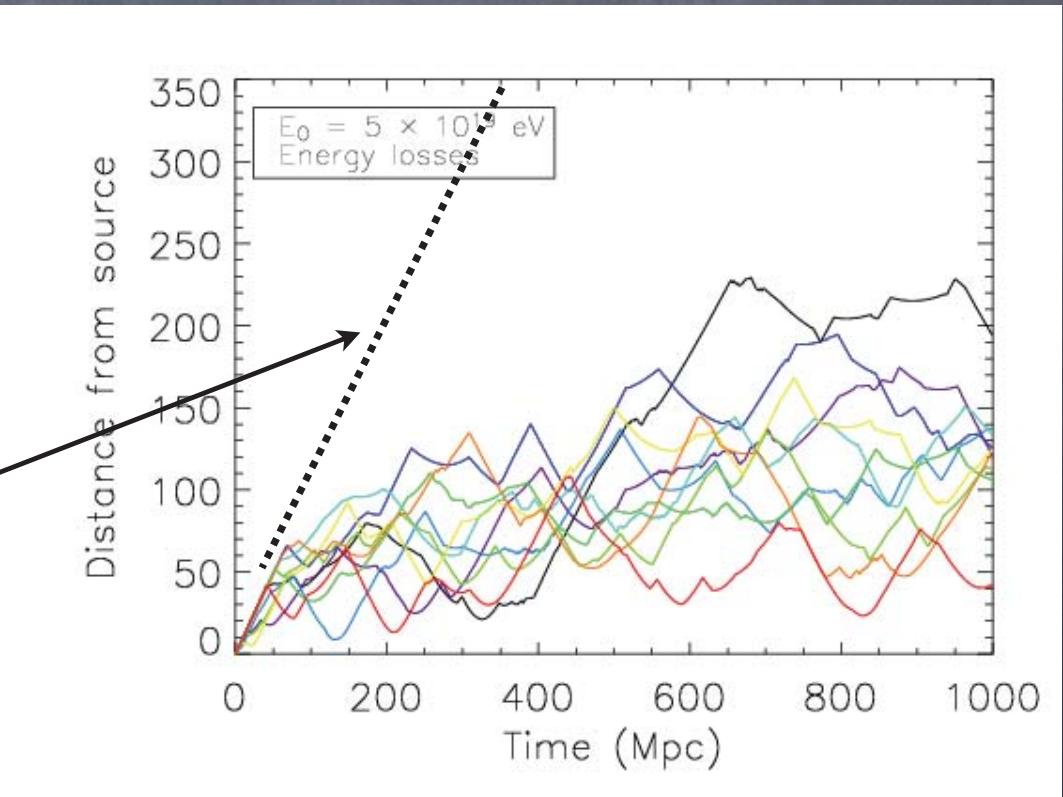
# 3-D simulations

Many deflections between source and the observer (modeled as a sphere)



Time consuming computation, many trajectories are lost and do not reach the observer

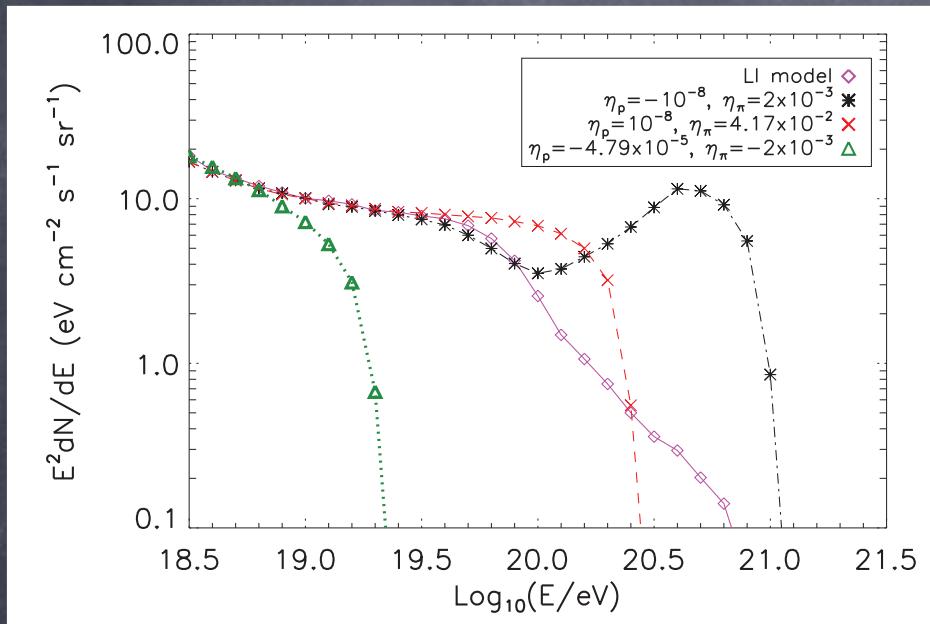
case of ballistic propagation



# LV in the spectrum

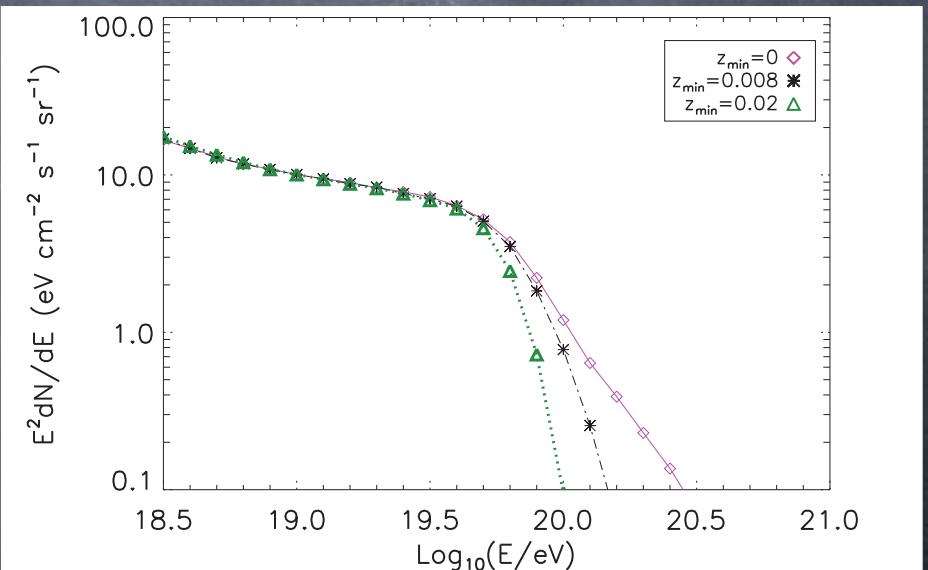
LM, Taylor, Mattingly, Liberati, arXiv:0902.1756

## Propagated (simulated) LIV spectra



- Effect of sources:  
where is the closest UHECR source?  
We don't know, but the effect is  
different from the one due to LIV

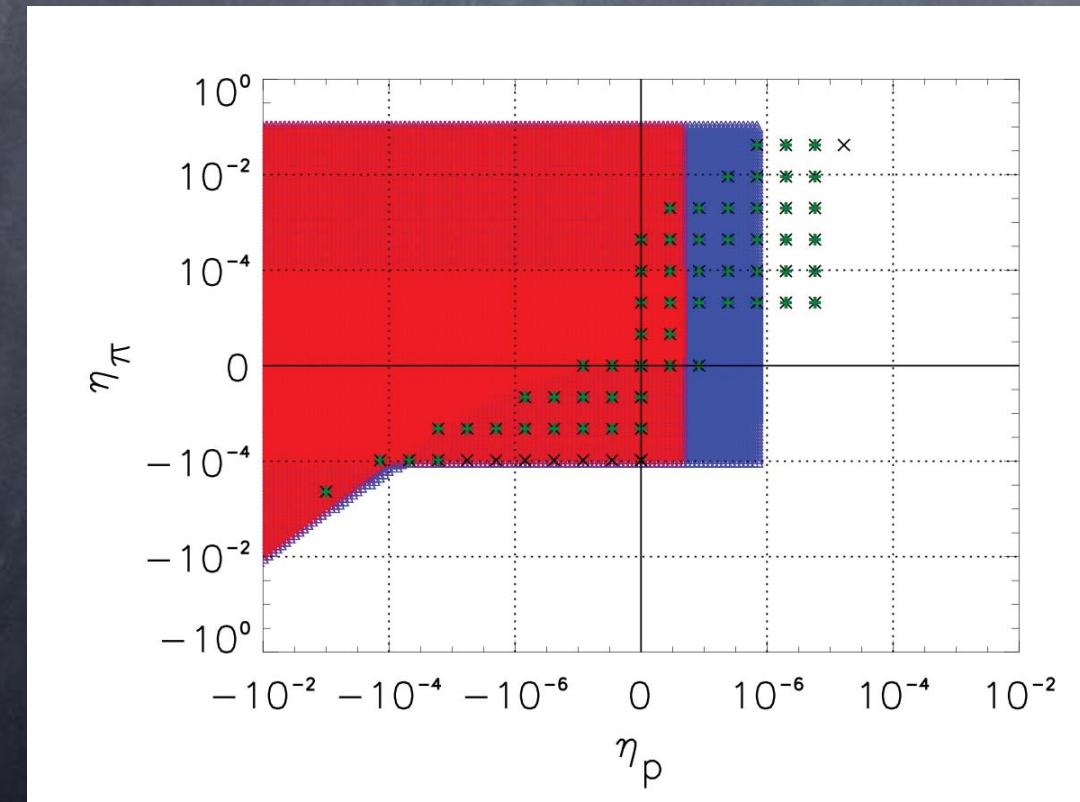
- Effect of LIV: modify absorption of protons on the CMB (increases/decreases the photon energy needed to interact for  $\eta_p < 0/0>$ )
- Recovery of flux at high energy, due to reduced inelasticity



# LV in the spectrum

LM, Taylor, Mattingly, Liberati, arXiv:0902.1756

Final constraints in case n=4



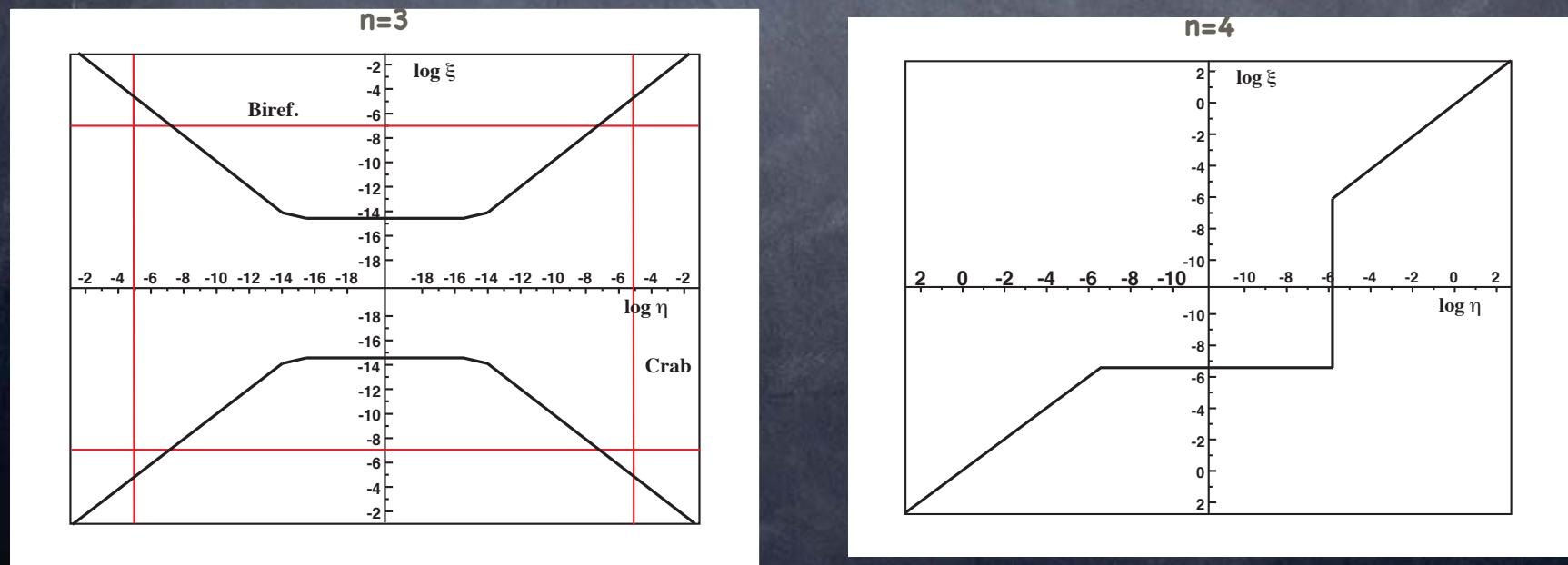
**red/blue regions:**  
allowed by absence of VC up  
to  $\sim 10^{20}$  eV

**green points/black  
crosses:**  
in agreement with observed  
spectrum within 95% and  
99% CL resp.

# LV in the photon spectrum

Galaverni, Sigl, PRL 100, 021102 (2008)  
LM, S. Liberati, JCAP 0808, 027 (2008)  
Galaverni, Sigl, PRD 78, 063003 (2008)

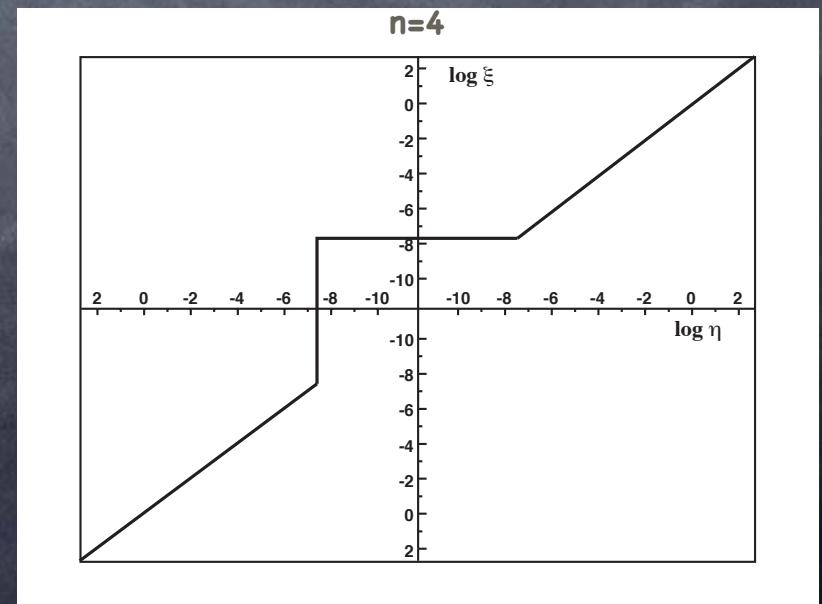
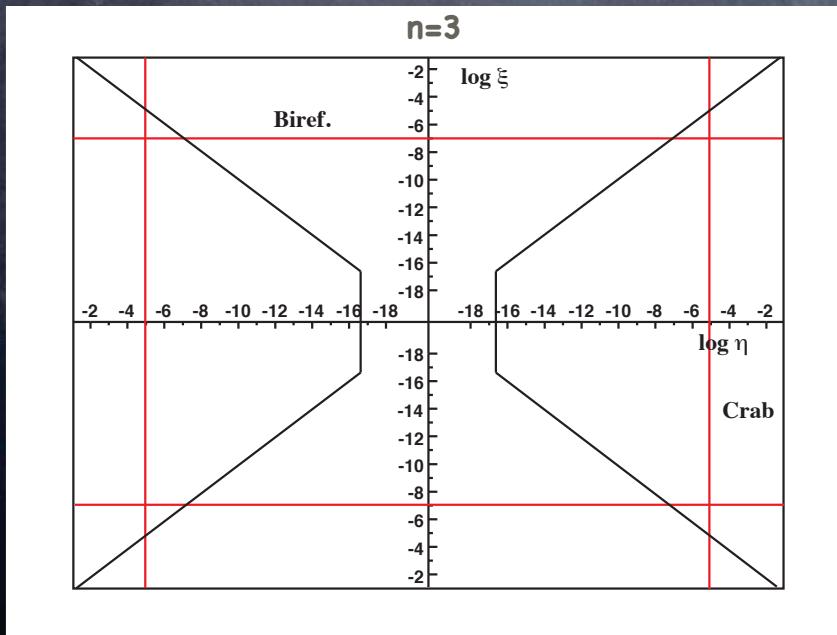
- ★ GZK photons are produced by the decay of  $\pi^0$ s due to pion production
- ★ In LI theory they are attenuated mainly by pair production onto CMB and URB leading to a theoretically expected photon fraction < 1% at  $10^{19}$  eV and < 10% at  $10^{20}$  eV.
- ★ Present limits on photon fraction: 2.0%, 5.1%, 31%, 36% (95% CL) at 10, 20, 40, 100 EeV
- ★ LIV strongly affects the threshold of this process: lower and also upper thresholds.
- ★ If  $k_{up} < 10^{20}$  eV then photon fraction in UHECR much larger than present upper limits
- ★ LIV also introduces competitive processes:  $\gamma$ -decay



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LM, S. Liberati, JCAP 0808, 027 (2008)  
Galaverni, Sigl, PRD 78, 063003 (2008)

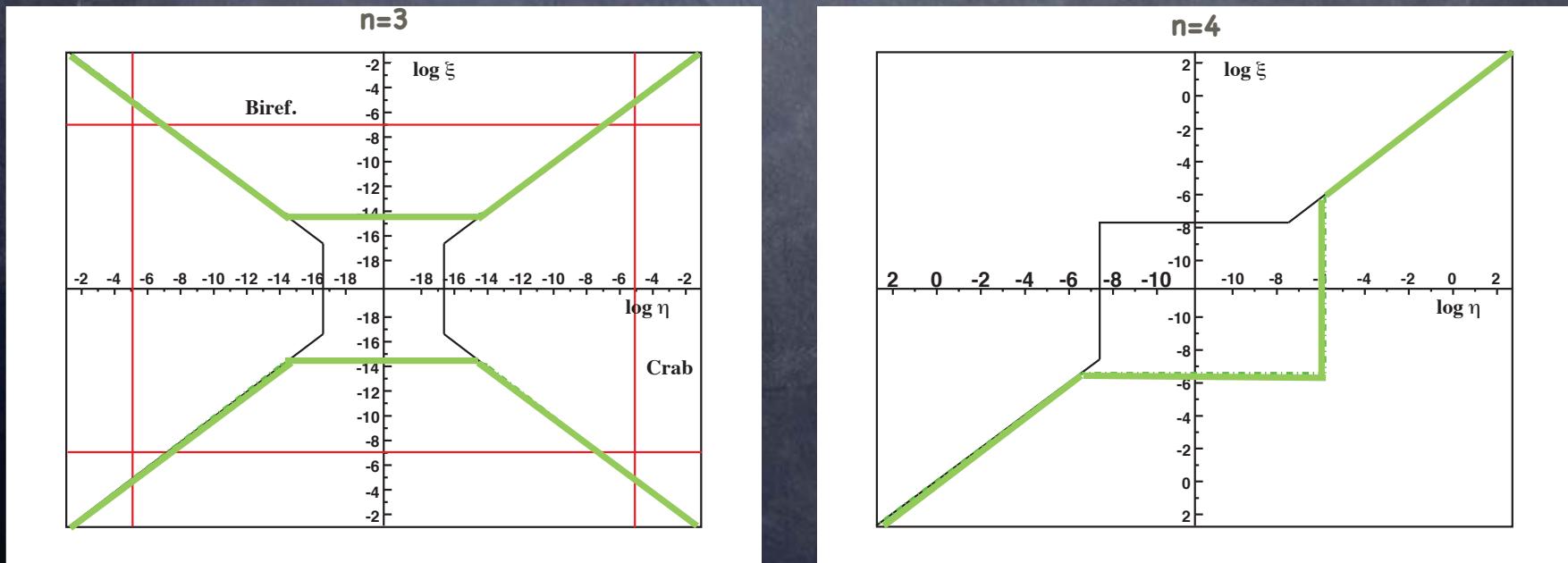
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- ★ If photons above  $10^{19}$  eV are detected then  $\gamma$ -decay threshold  $> 10^{19}$  eV



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# Secondary gamma-rays

Spectra strongly depend on magnetic fields:

- energy losses (electron synchrotron cascades)
- deflections (increased energy losses of primary nuclei)

Evaluation of spectra in full 3D required

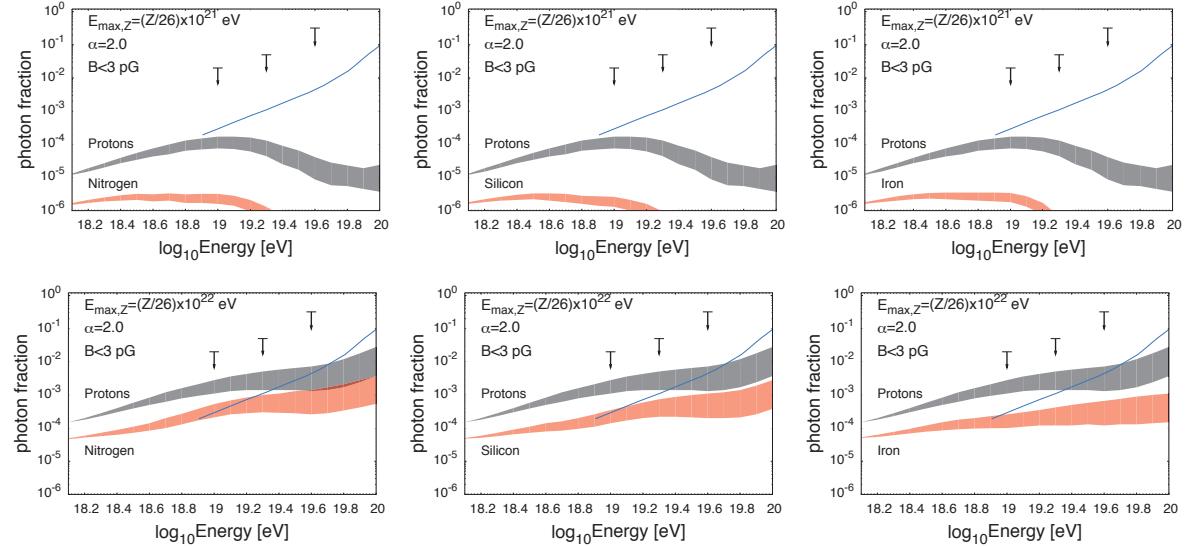
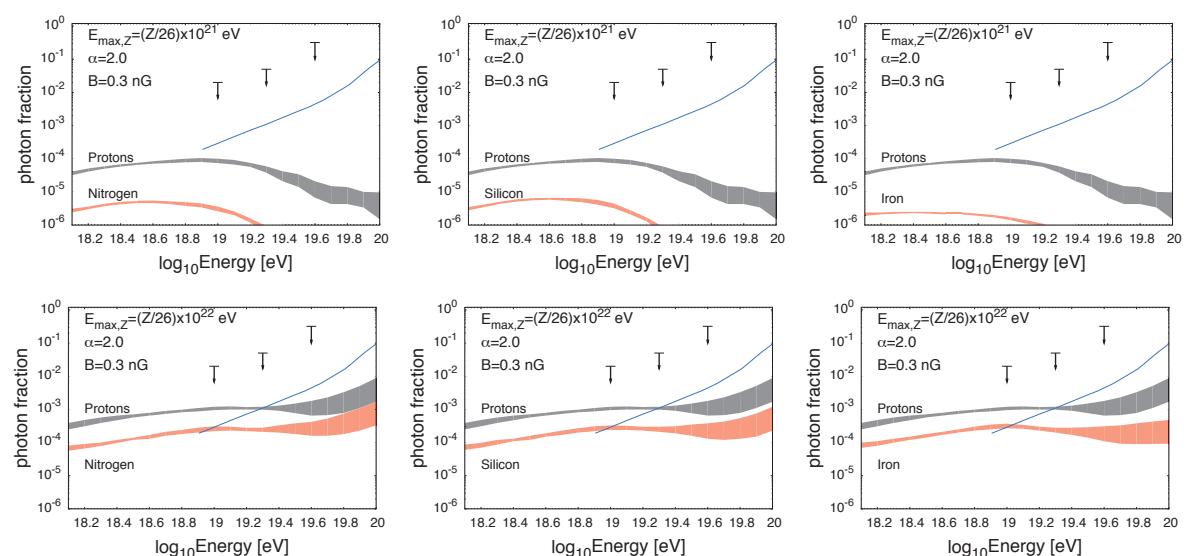


FIG. 1: The fraction of ultra-high energy cosmic rays that are photons as a function of energy for the case of weak extragalactic magnetic fields ( $< 3 \times 10^{-12}$  G). Results are shown for two choices of the maximum injected energy and for models in which the cosmic ray sources inject uniquely protons, nitrogen, silicon, or iron nuclei. The bands reflect the range of the extragalactic radio backgrounds considered. Also shown are the upper limits on the photon fraction from the Pierre Auger Observatory [9] and its ultimate projected reach (blue line) [10].



Hooper, Taylor, Sarkar, 2010

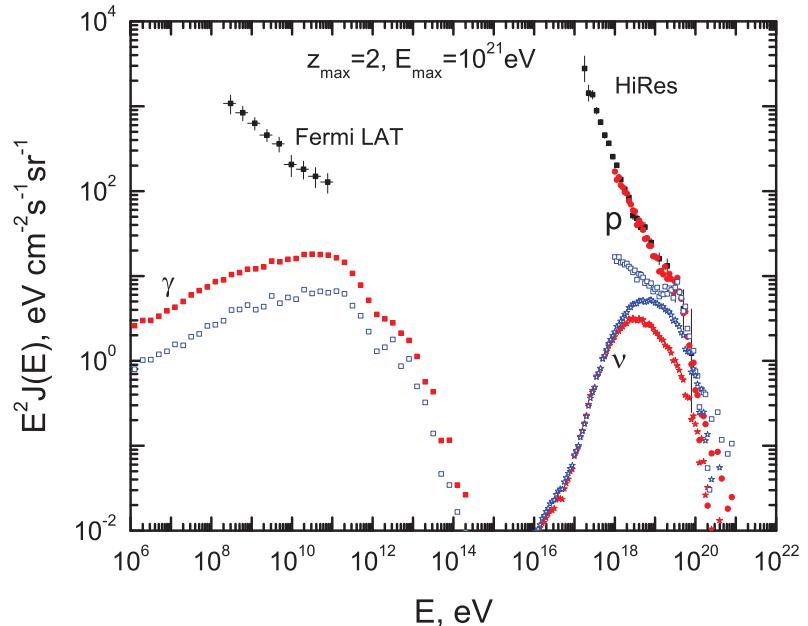
FIG. 2: The same as in Fig. 1, but for the case of 0.3 nG extragalactic magnetic fields.

# Gamma-ray fluxes constrain neutrino fluxes

Berezinsky et al, 2010

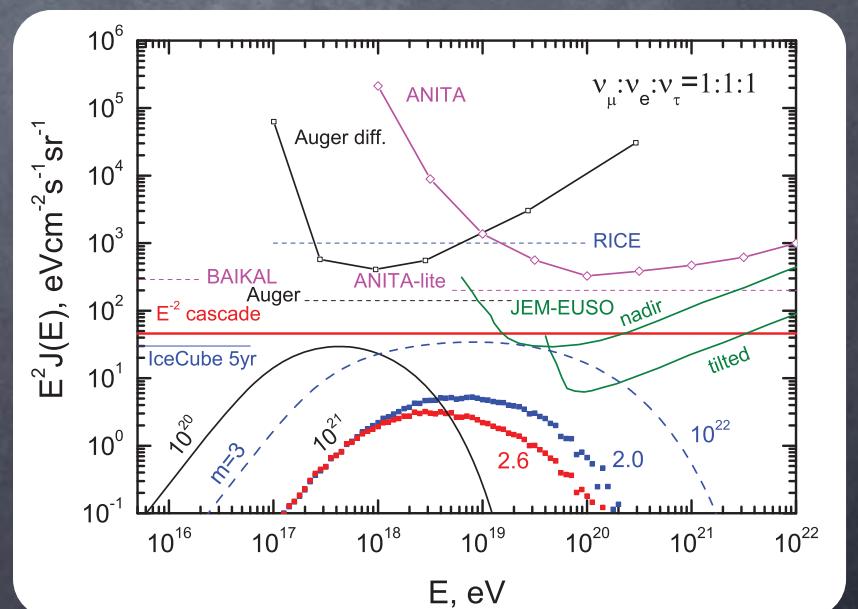
Ahlers et al, 2010

Cosmogenic neutrino flux constrained by FERMI gamma-ray observations



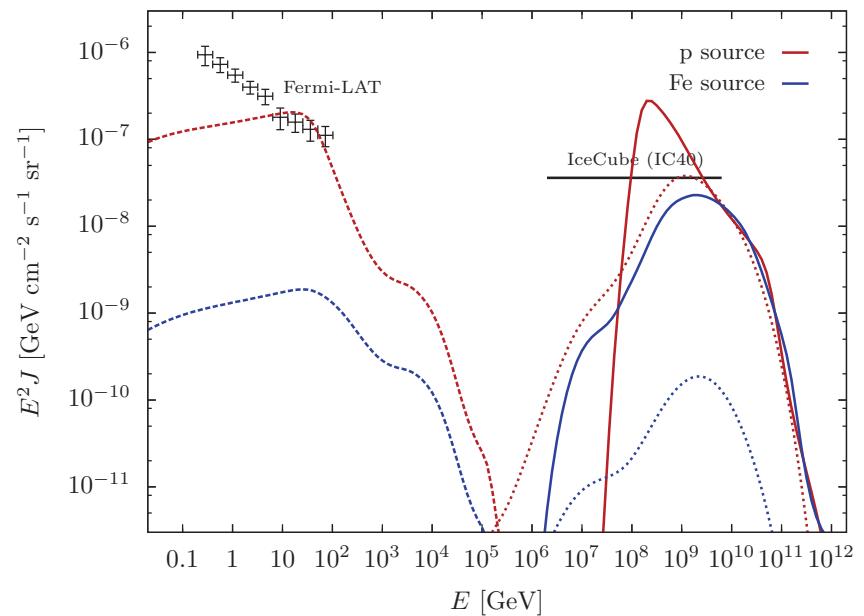
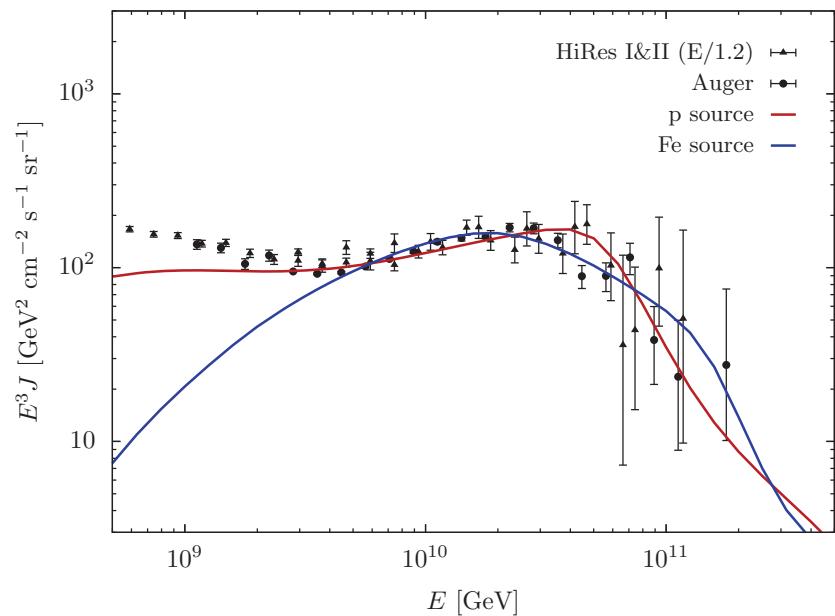
How does the presence of magnetic fields modify these conclusions?

To be investigated with CRPropa 2.0



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Ahlers and Salvado, arXiv:1105.5113



$$\frac{dN}{dE} \propto (1+z)^5$$

for pure proton injection