



**The Abdus Salam
International Centre for Theoretical Physics**



2246-8

**Workshop on Cosmic Rays and Cosmic Neutrinos: Looking at the
Neutrino Sky**

20 - 24 June 2011

Physics of cosmic ray acceleration and its implications for the origin of cosmic rays

Pasquale BLASI

*Osservatorio Astrofisico di Arcetri
Italy*

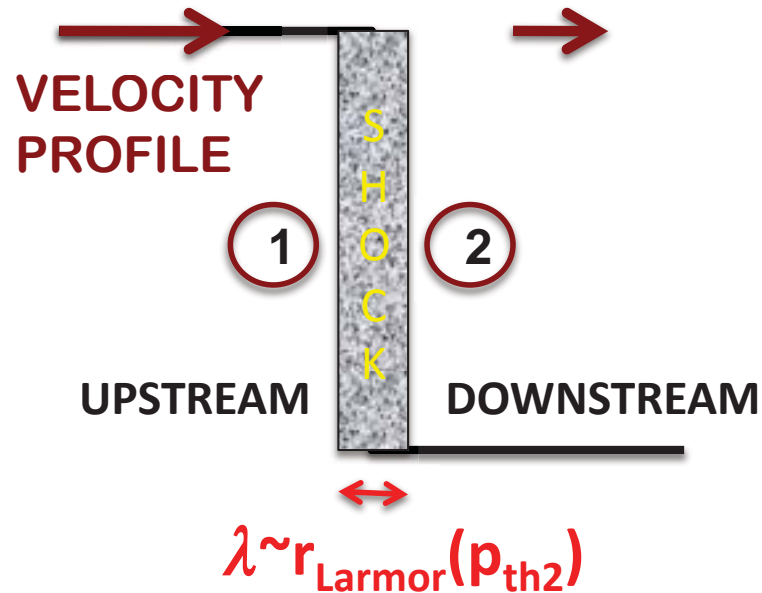
PHYSICS OF PARTICLE ACCELERATION AND THE ORIGIN OF COSMIC RAYs

Pasquale Blasi

INAF/Arcetri Astrophysical Observatory

NUSKY – Trieste June 2011

DIFFUSIVE ACCELERATION AT COLLISIONLESS NEWTONIAN SHOCKS

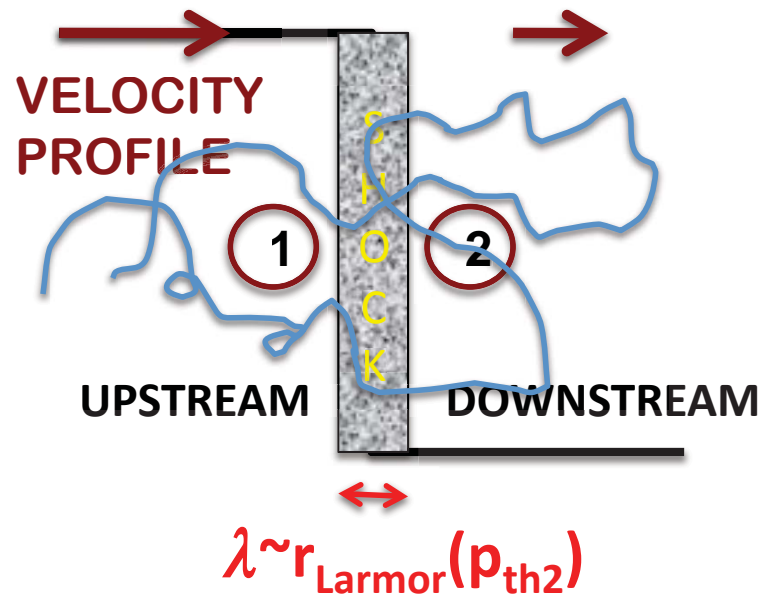


COLLISIONLESS → MEDIATED BY ELECTROMAGNETIC INSTABILITIES

IN GENERAL ONE EXPECTS:

- Different heating for e and p
- Finite thickness of the shock
- Instabilities responsible for the shock formation also responsible for first particles returns (injection)

DIFFUSIVE ACCELERATION AT COLLISIONLESS NEWTONIAN SHOCKS 'test particles'



In test particle theory, all approaches lead to:

- POWER LAW SPECTRA
- SLOPE ONLY FUNCTION OF COMPRESSION
- INDEPENDENT OF $D(E)$
- NO CLEAR RECIPE FOR E_{MAX}
- NO DESCRIPTION OF WHY PARTICLES RETURN TO THE SHOCK (SCATTERING)
- NO DESCRIPTION OF INJECTION

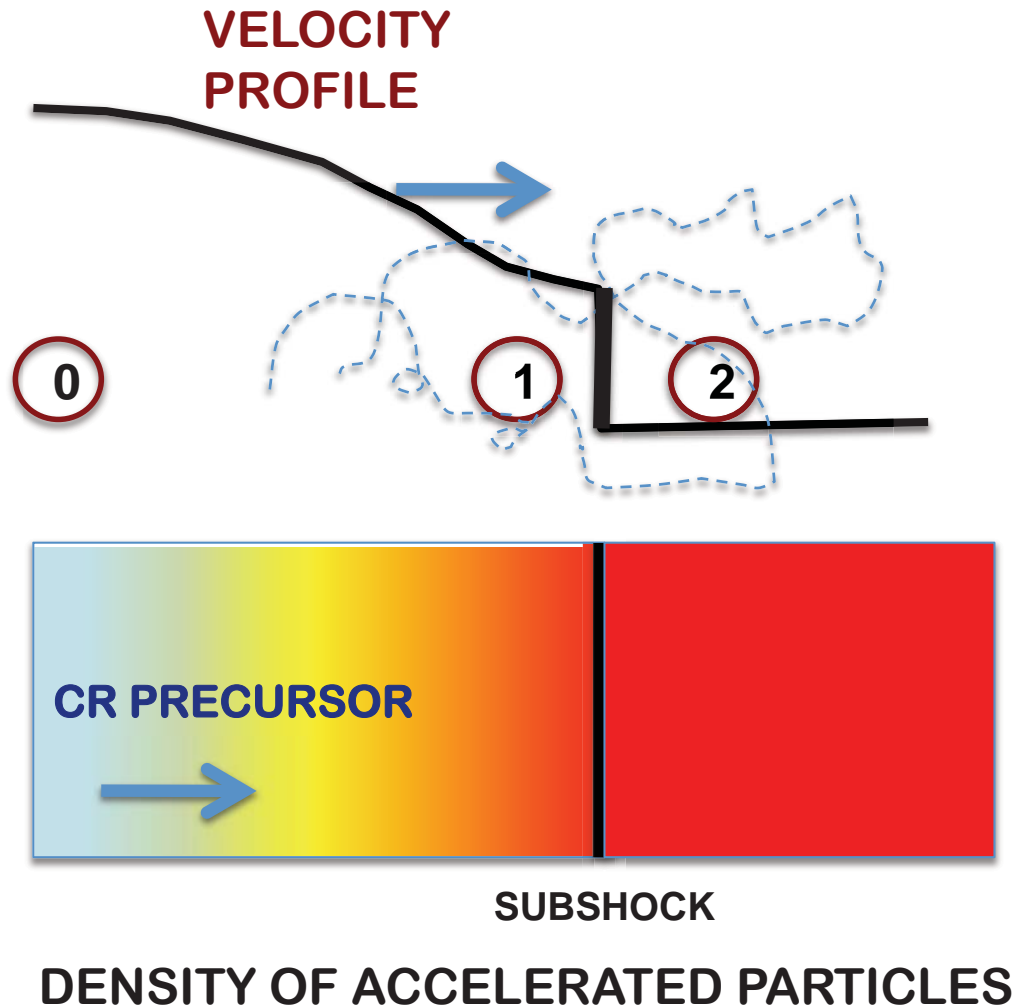
NON LINEAR THEORY

A theory of particle acceleration that allows one to describe:

- 1. Dynamical reaction of accelerated particles*
- 2. Streaming instability CR-induced B-field*
- 3. Dynamical reaction of amplified fields*
- 4. Phenomenological recipe for injection (self-regulation of the system)*
- 5. Escape of particles from boundaries (Cosmic Rays)*

DIFFUSIVE ACCELERATION AT COLLISIONLESS NEWTONIAN SHOCKS

non linear theory



$$\frac{\partial \rho}{\partial t} = - \frac{\partial (\rho u)}{\partial x}$$

**MASS
CONSERVATION**

$$\frac{\partial (\rho u)}{\partial t} = - \frac{\partial}{\partial x} [\rho u^2 + P_g + P_c + P_W]$$

MOMENTUM CONSERVATION

$$\frac{\partial}{\partial t} \left[\frac{1}{2} \rho u^2 + \frac{P_g}{\gamma_g - 1} \right] = - \frac{\partial}{\partial x} \left[\frac{1}{2} \rho u^3 + \frac{\gamma_g P_g u}{\gamma_g - 1} \right]$$

**ENERGY
CONSERVATION**

$$- u \frac{\partial}{\partial x} [P_c + P_W] + \Gamma E_W$$

$$\frac{\partial f(t, x, p)}{\partial t} + \tilde{u}(x) \frac{\partial f(t, x, p)}{\partial x} =$$

$$\frac{\partial}{\partial x} \left[D(x, p) \frac{\partial f(t, x, p)}{\partial x} \right] + \frac{p}{3} \frac{\partial f(t, x, p)}{\partial p} \frac{d\tilde{u}(x)}{dx}$$

Closing the system with waves and CR

$$u \frac{\partial P_g}{\partial x} + \gamma_g P_g \frac{du}{dx} = (\gamma_g - 1) \Gamma E_W$$

GAS PRESSURE AND WAVES

$$\frac{\partial}{\partial x} \left[\frac{1}{2} \rho u^3 + \frac{\gamma_g P_g u}{\gamma_g - 1} + \frac{\gamma_c P_c \tilde{u}}{\gamma_c - 1} + F_W - \bar{D}(x) \frac{\partial E_c}{\partial x} \right] = 0$$

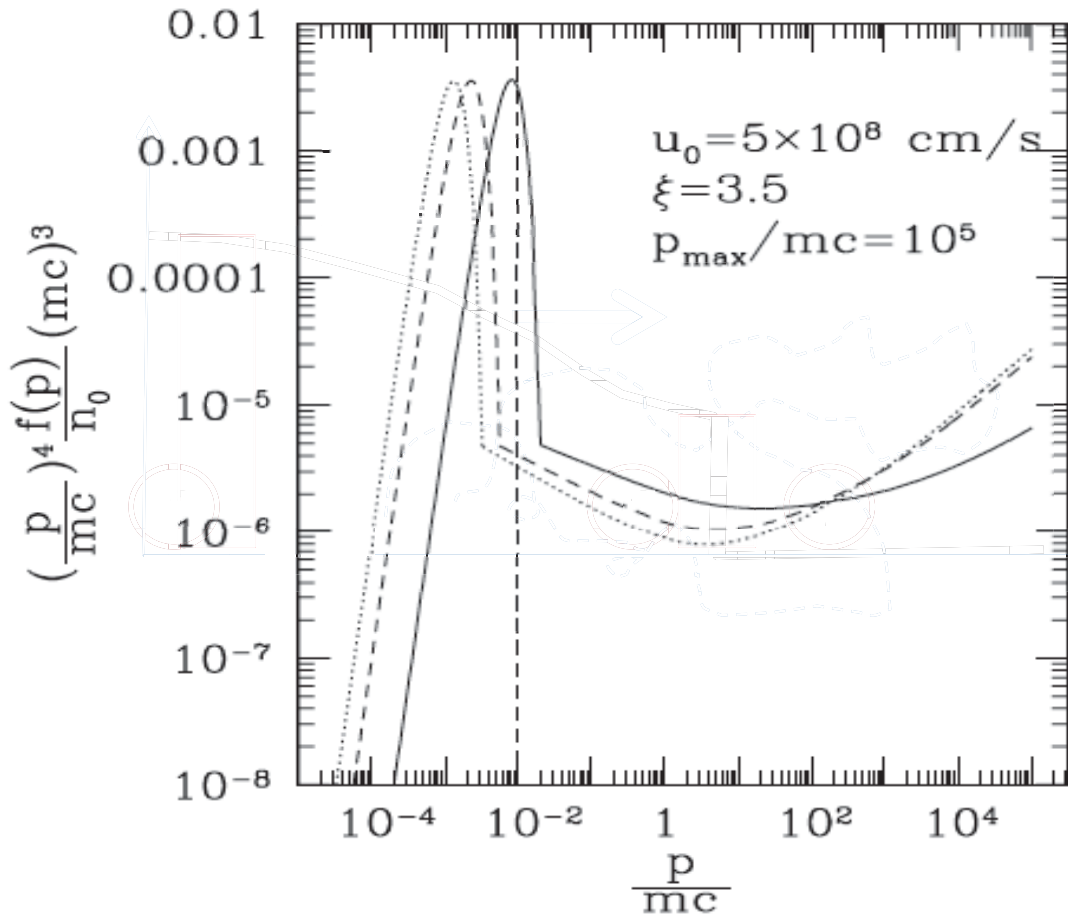
$$\frac{\partial F_W}{\partial x} = u \frac{\partial P_W}{\partial x} + \sigma E_W - \Gamma E_W$$

ADVECTION, GROWTH AND DAMPING OF WAVES

$$\sigma E_W = v_A \frac{\partial P_c}{\partial x}$$

**ONLY FOR ALFVEN WAVES!!!
AMPLIFICATION OF B-FIELD AS DUE TO
CR STREAMING INSTABILITY**

DIFFUSIVE ACCELERATION AT COLLISIONLESS NEWTONIAN SHOCKS *non linear theory: BASIC PREDICTIONS*



COMPRESSION FACTOR BECOMES FUNCTION OF ENERGY

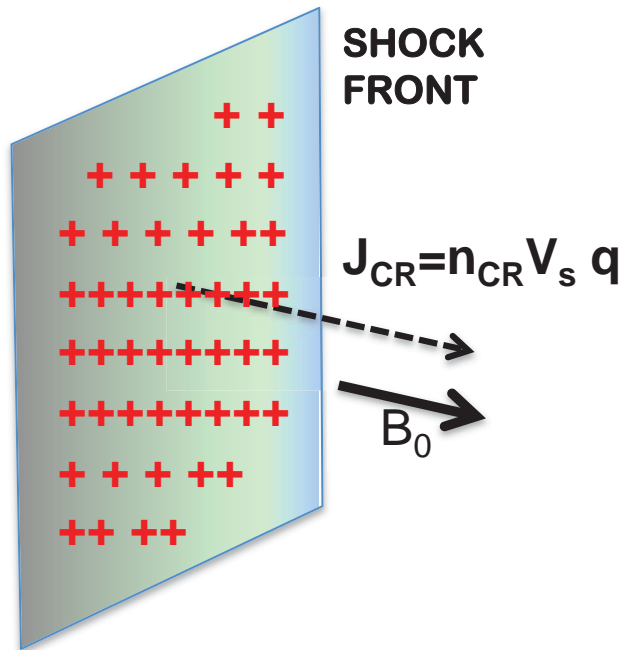
**SPECTRA ARE NOT PERFECT
POWER LAWS (CONCAVE)**

GAS BEHIND THE SHOCK IS COOLER FOR EFFICIENT SHOCK ACCELERATION

SYSTEM SELF REGULATED

EFFICIENT GROWTH OF B-FIELD IF ACCELERATION EFFICIENT

Basics of CR streaming instability



THE UPSTREAM PLASMA REACTS TO THE UPCOMING CR CURRENT BY CREATING A RETURN CURRENT TO COMPENSATE THE POSITIVE CR CHARGE

THE SMALL INDUCED PERTURBATIONS ARE **UNSTABLE** (ACHTERBERG 1983, ZWEIBEL 1978, BELL 1978, BELL 2004, AMATO & PB 2009)

CR MOVE WITH THE SHOCK SPEED ($\gg V_A$). THIS UNSTABLE SITUATION LEADS THE PLASMA TO REACT IN ORDER TO SLOW DOWN CR TO $< V_A$ BY SCATTERING PARTICLES IN THE PERP DIRECTION (B-FIELD GROWTH)

Particle Diffusion \leftrightarrow Wave Growth

$$n_{CR}mv_D \rightarrow n_{CR}mv_w \Rightarrow \frac{dP_{CR}}{dt} = \frac{n_{CR}m(v_D - v_w)}{\tau}$$

$$\frac{dP_w}{dt} = \gamma_W \frac{\delta B^2}{8\pi} \frac{1}{v_w}$$

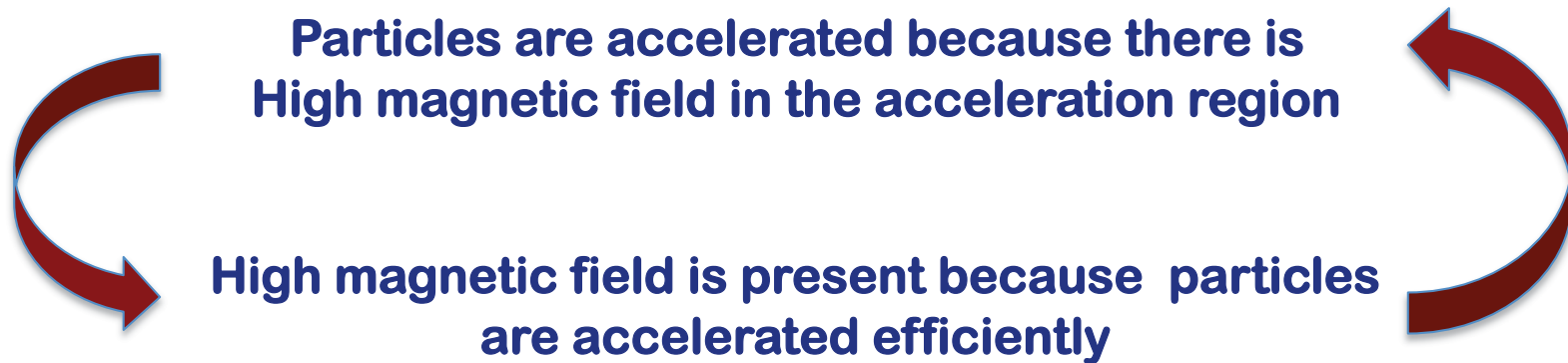
$$\gamma_W = \sqrt{2} \frac{n_{CR}}{n_{gas}} \frac{v_D - v_w}{v_w} \Omega_{cyc}$$

In the ISM this is $\sim 10^{-3} \text{ yr}^{-1}$ but close to a shock front the growth can be much larger!!!

δB IS AMPLIFIED BY PARTICLES

MAGNETIC FIELD AMPLIFICATION

SMALL PERTURBATIONS IN THE LOCAL B-FIELD CAN BE
AMPLIFIED BY THE SUPER-ALFVENIC STREAMING OF THE
ACCELERATED PARTICLES



**Without this non-linear process, no acceleration of CR
to High energies (and especially not to the knee!)**

BUT...

...MAGNETIC FIELD CAN BE AMPLIFIED BY

1. RESONANT STREAMING (Bell 78, Achterberg 83, Zweibel 78)

Fast generation, fast scattering ... saturation?

2. NON RESONANT STREAMING (Bell 04, Amato & PB 09)

Probably more efficient generation rate but inefficient scattering

3. SHOCK CORRUGATION (DOWNSTREAM) Giacalone & Jokipii 07

Not CR induced!

It happens downstream only, it does not help with particle acceleration unless perpendicular shock

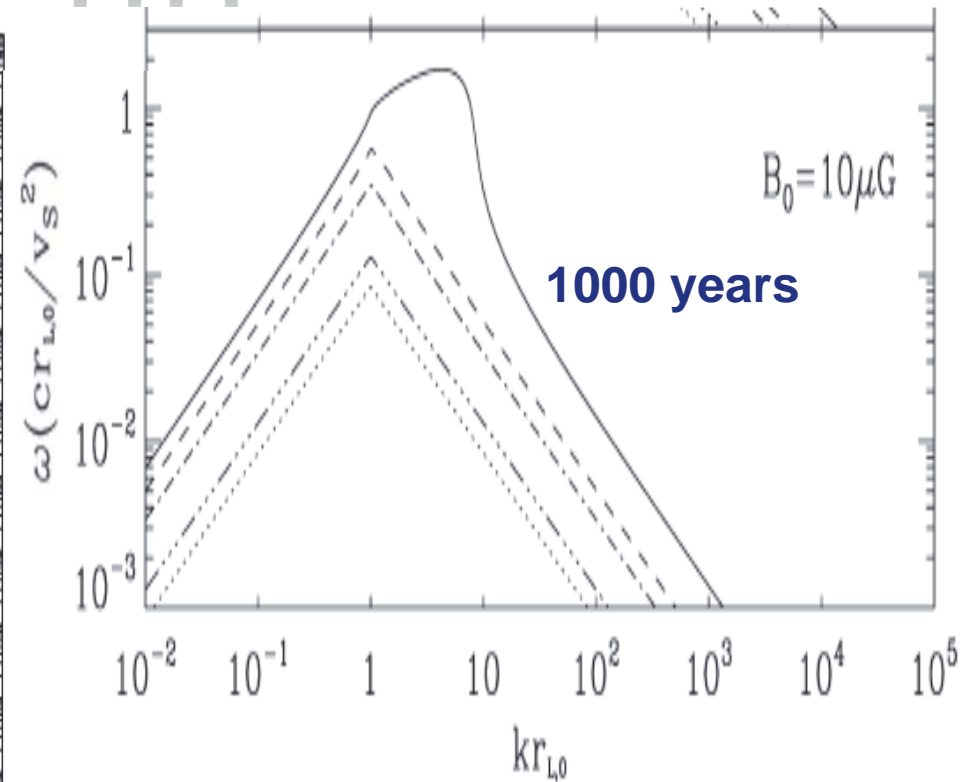
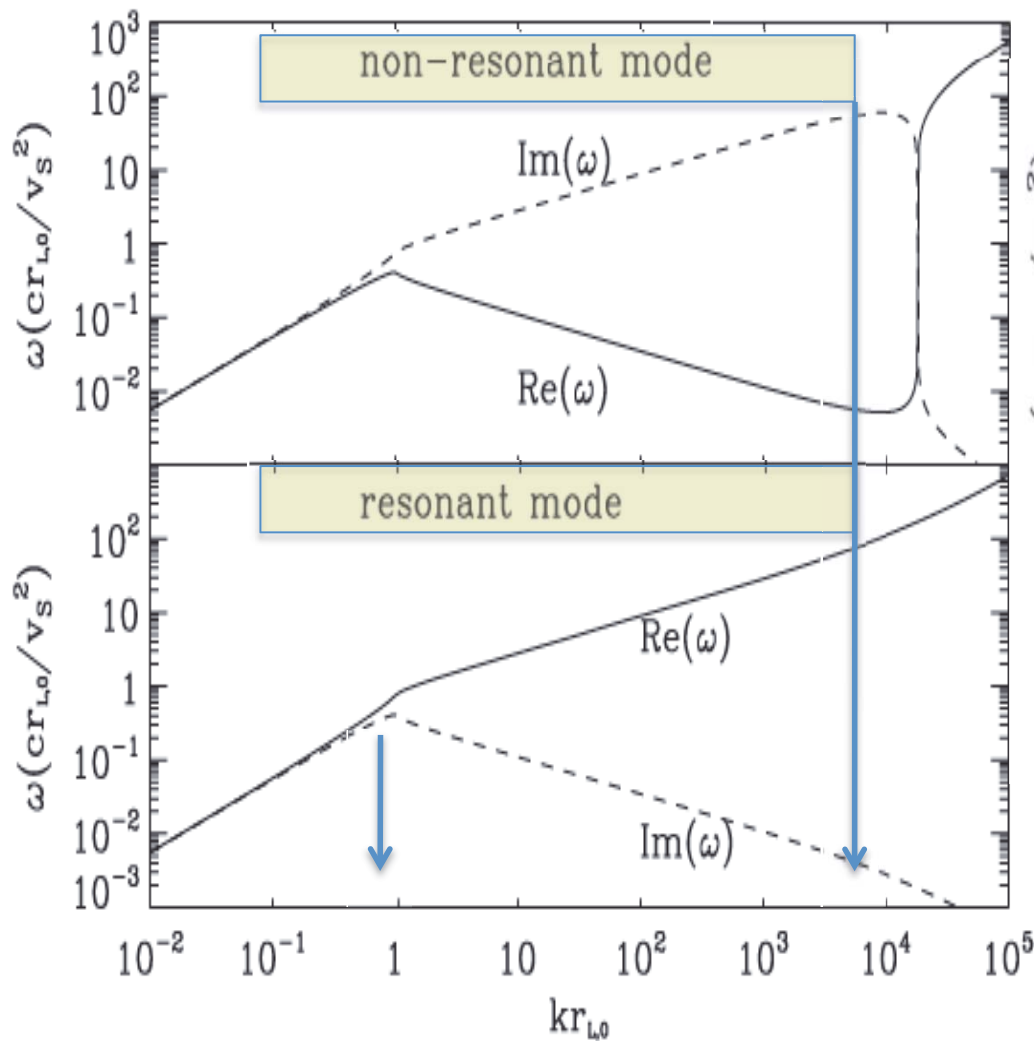
4. VORTICITY IN THE PRECURSOR (PB, Matthaeus, et al. 11)

Potentially very interesting, power on large scales

5. FIREHOSE INSTABILITY (Shapiro et al. 98)

Potentially very interesting, power on large scales

GROWING MODES in CR STREAMING INSTABILITY



**NON RESONANT MODES GROW FASTER
BUT THEY DO NOT SCATTER PARTICLES
EFFECTIVELY UNLESS FAST INVERSE
CASCADE**

SATURATION OF GROWTH

Extremely uncertain. It depends on:

- a) Damping (type of waves?)
- b) Backreaction of fields on the CR current
- c) Coupling between large and small spatial scales

A NAÏVE EXTRAPOLATION OF QLT WOULD LEAD TO:

$$\frac{\delta B^2}{8\pi} = \frac{1}{M_A} \rho V_s^2 \xi_{CR}$$

IN THE RESONANT CASE, UPSTREAM
(OR POSSIBLY $\delta B/B \sim 1$ BECAUSE
RESONANCE GETS LOST)

$$\frac{\delta B^2}{4\pi} = \frac{1}{2} \rho V_s^2 \xi_{CR} \frac{V_s}{c}$$

ESTIMATED ANALYTICALLY FROM
SATURATION CONDITION OF NON RESONANT
MODES (BELL 2004)

X-ray rims and B-field amplification

TYPICAL THICKNESS OF FILAMENTS: $\sim 10^{-2}$ pc

The synchrotron limited thickness is:

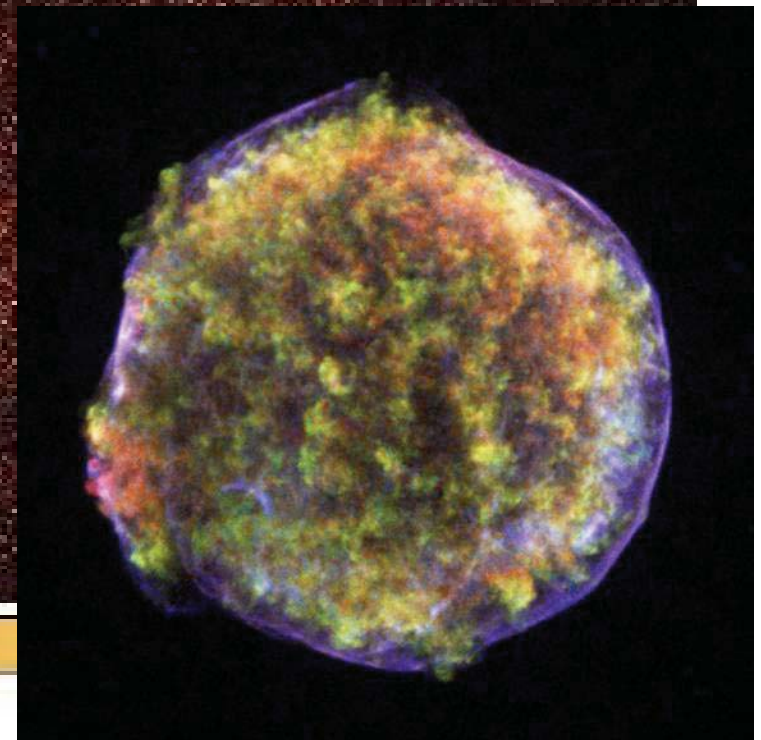
$$\Delta x \approx \sqrt{D(E_{max})\tau_{loss}(E_{max})} \approx 0.04 B_{100}^{-3/2} \text{ pc}$$


$$B \approx 100 \mu\text{Gauss}$$

$$E_{max} \approx 10 B_{100}^{-1/2} u_8 \text{ TeV}$$

$$\nu_{max} \approx 0.2 u_8^2 \text{ keV}$$

In some cases the strong fields are confirmed
by time variability of X-rays
Uchiyama & Aharonian, 2007



SPECTRA

THE SPECTRA OF ACCELERATED PARTICLES ARE IN GENERAL CONCAVE AND FLATTER THAN E^{-2} AT HIGH ENERGY

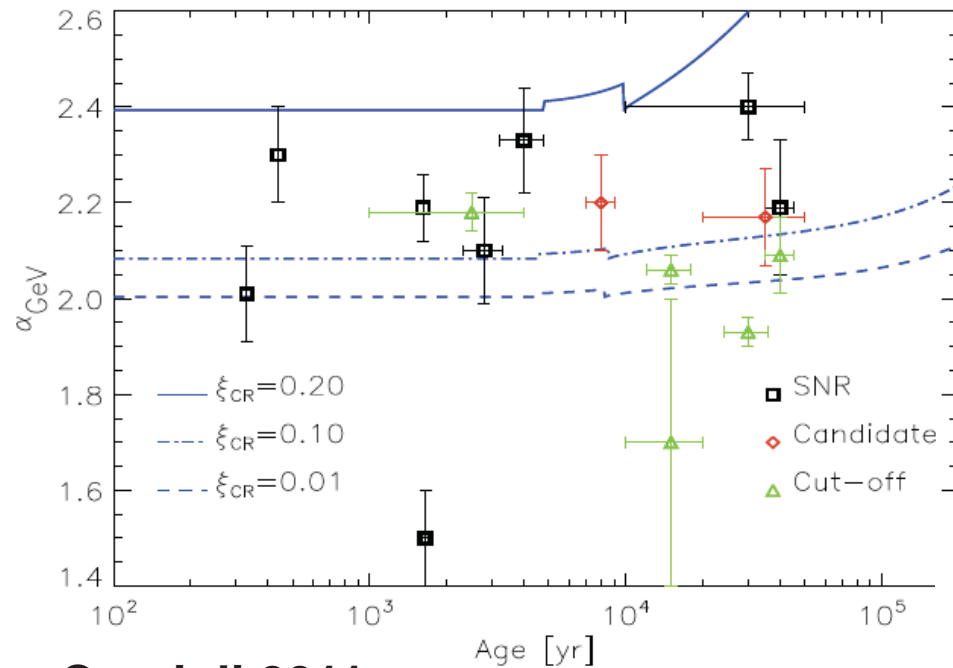
THE MAXIMUM ENERGY WITH B-FIELD AMPLIFICATION REACHS UP TO $\sim 10^{15}$ eV FOR PROTONS (Z TIMES HIGHER FOR NUCLEI)

THESE SPECTRA SHOULD REFLECT IN THE GAMMA RAY SPECTRA (IF DUE TO PP SCATTERING) AND OF NEUTRINOS

BUT THE OBSERVED SPECTRA OF GAMMAS ARE TYPICALLY $\sim E^{-2.3}$

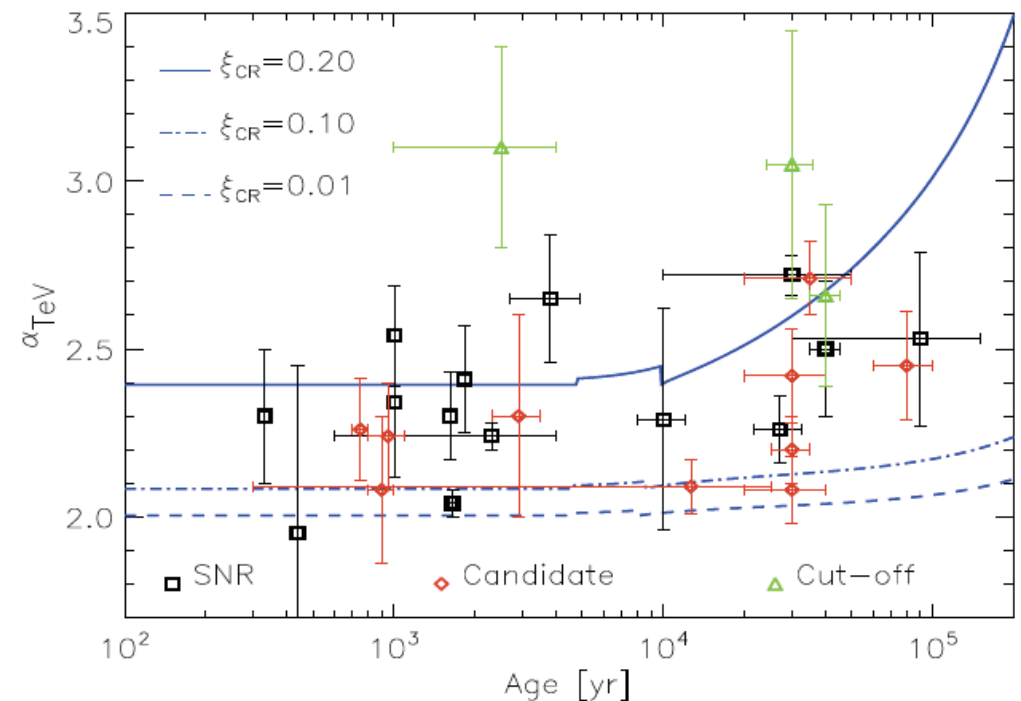
CLEARLY INCOMPATIBLE WITH LEPTONIC MODELS! BUT ALSO NOT COMPATIBLE WITH THE SIMPLEST PREDICTION OF NLDSA

TROUBLE WITH SLOPES ?



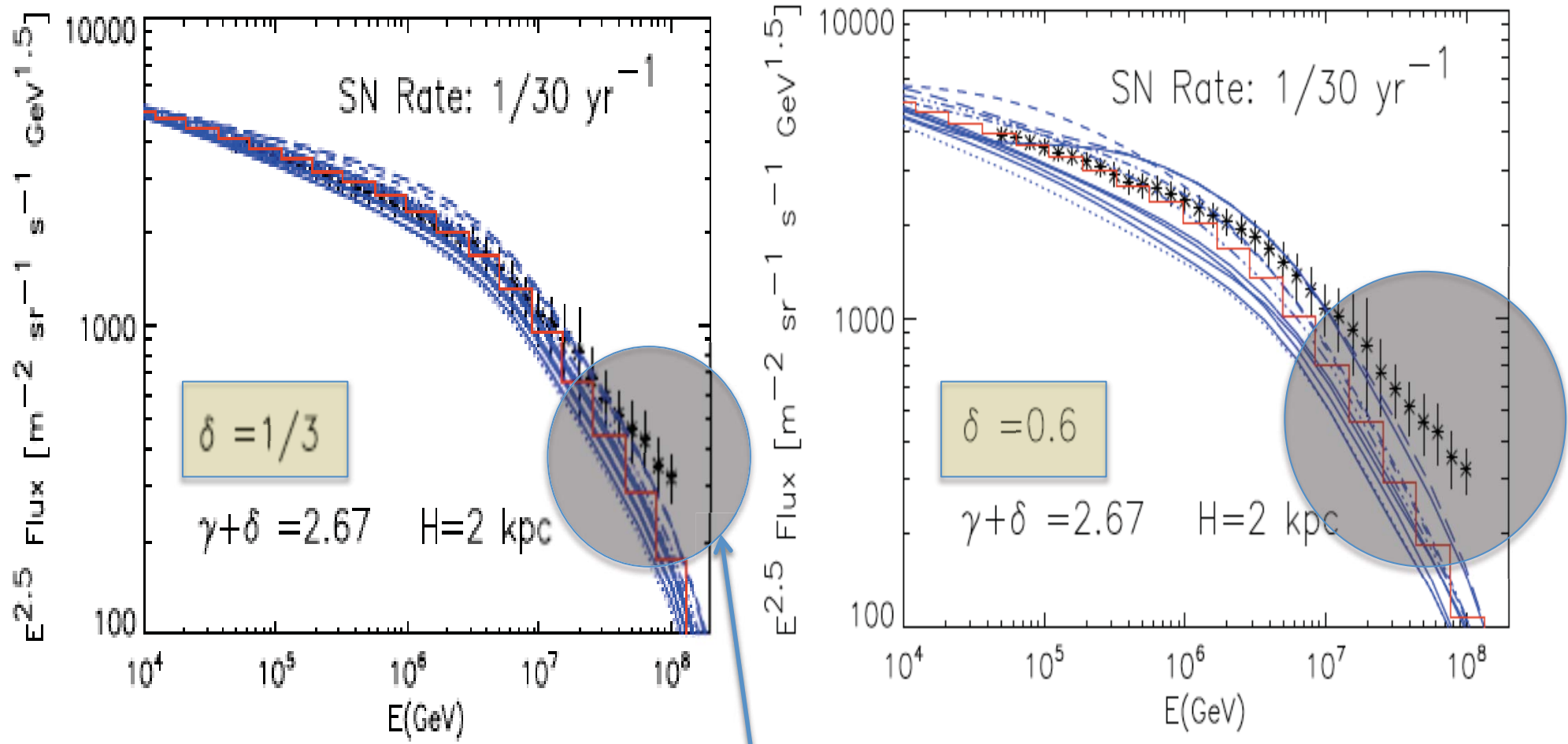
Caprioli 2011

VERY SURPRISING TO SEE THAT THE REQUIRED ACCELERATION EFFIC. ARE HIGH BUT THE SPECTRA ARE STEEP



CR spectra and SNRs

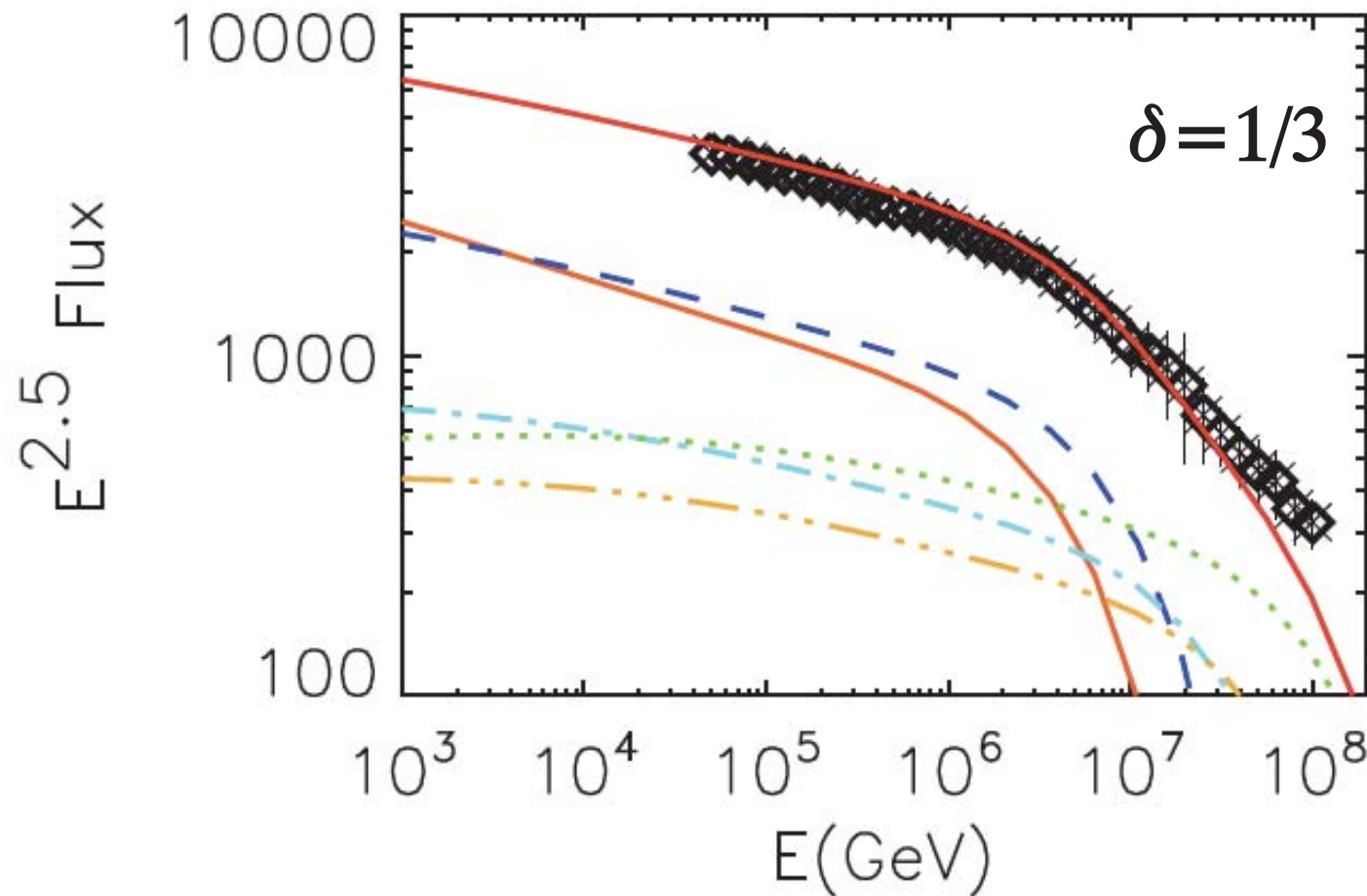
Blasi & Amato 2011



Deficit compensated
by extragalactic CRs

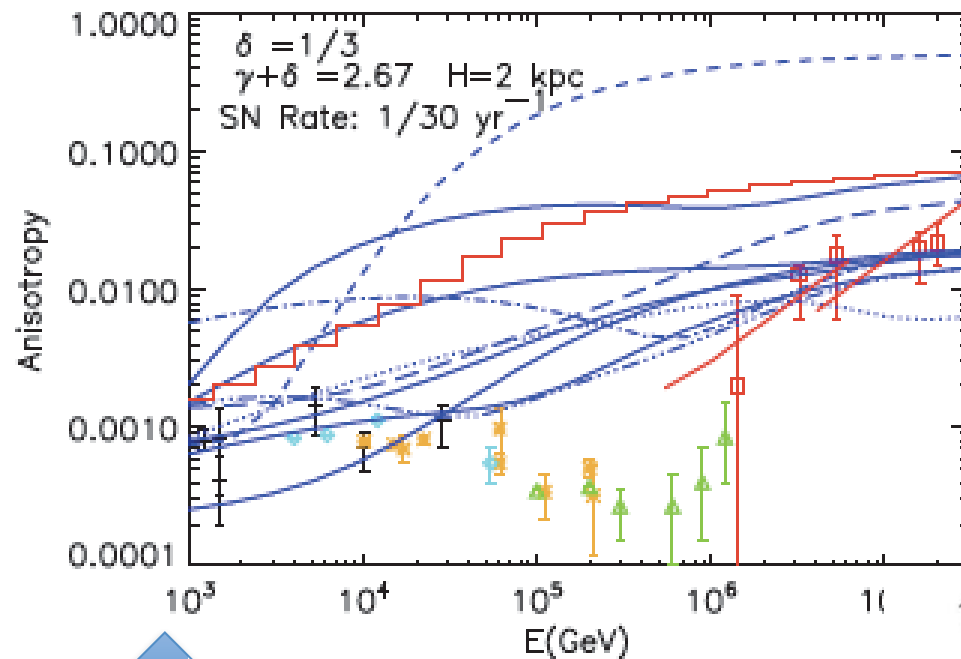
Chemicals and the KNEE

Blasi & Amato 2011



**ONLY FOR $\delta=1/3$ SPECTRUM OF He HARDER THAN SPECTRUM OF PROTONS
AS A RESULT OF SPALLATION**

CR Anisotropy



Naïve expectation:

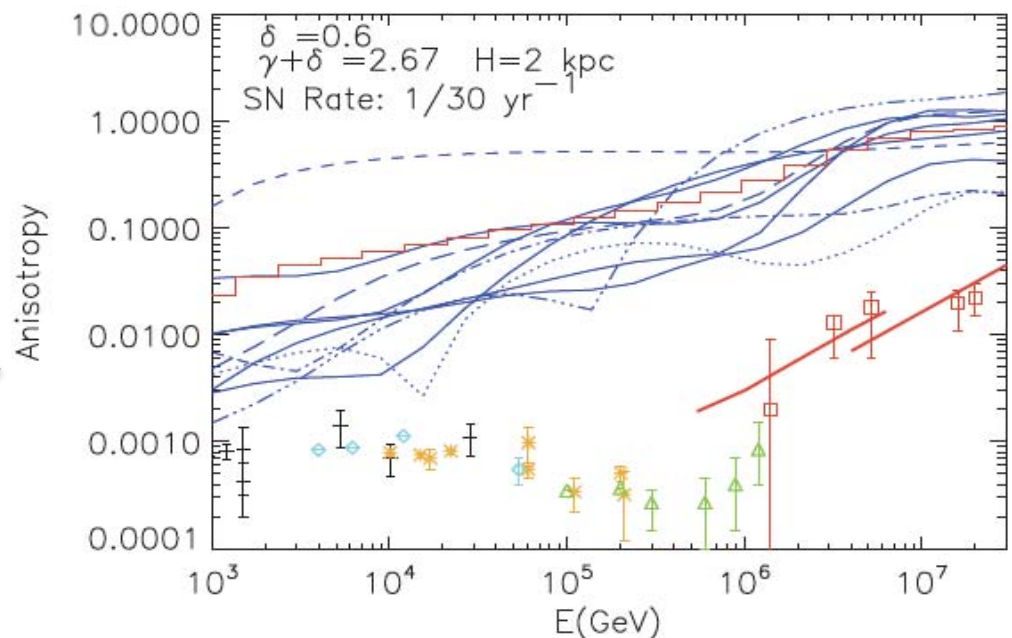
$$\delta_A = \frac{3}{2^{3/2}} \frac{1}{\pi^{1/2}} \frac{D(E)}{Hc}$$

proportional to E^δ

$\delta = 1/3$
 $\gamma \sim 2.35$

Blasi & Amato 2011

$\delta = 0.6$
 $\gamma \sim 2.05$



BEYOND THE SIMPLEST APPROACH

1. DYNAMICAL REACTION OF THE B-FIELD

$P_w = B^2/8\pi > P_{\text{gas}}$ the eq. of state becomes dominated by B and
The compression factor gets smaller \rightarrow steeper spectra (Caprioli, PB, Amato & Vietri 2008, 2009)

2. SCATTERING CENTERS WITH LARGE VELOCITY

All but trivial (spectra depend on type and helicity of waves) but
if $v_w \sim v_A(\delta B) \gg v_A$, then:

$$\tilde{r} = \frac{u_1 + v_{A,1}}{u_2 + v_{A,2}} \quad \alpha = \frac{\tilde{r} + 2}{\tilde{r} - 1} > 2$$

3. ESCAPE FLUX OF CR IS DIFFERENT FROM THE SPECTRUM OF ACCELERATED PARTICLES (CAPRIOLI, PB, AMATO 2009)

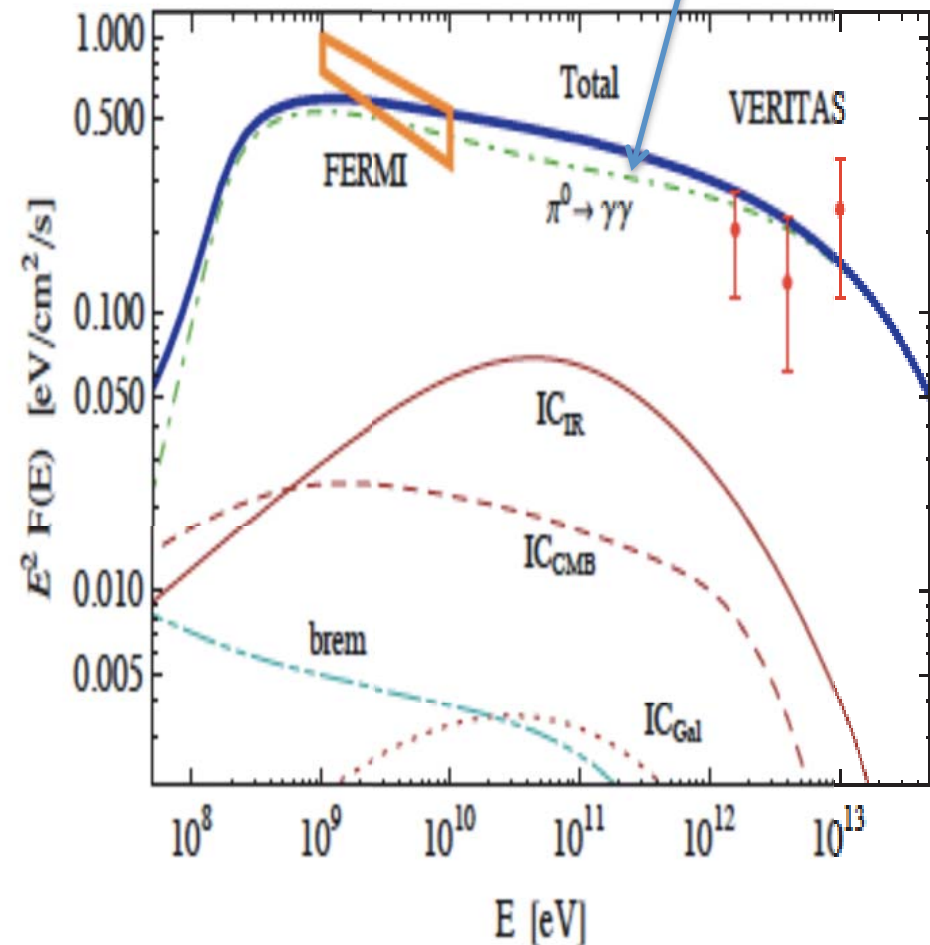
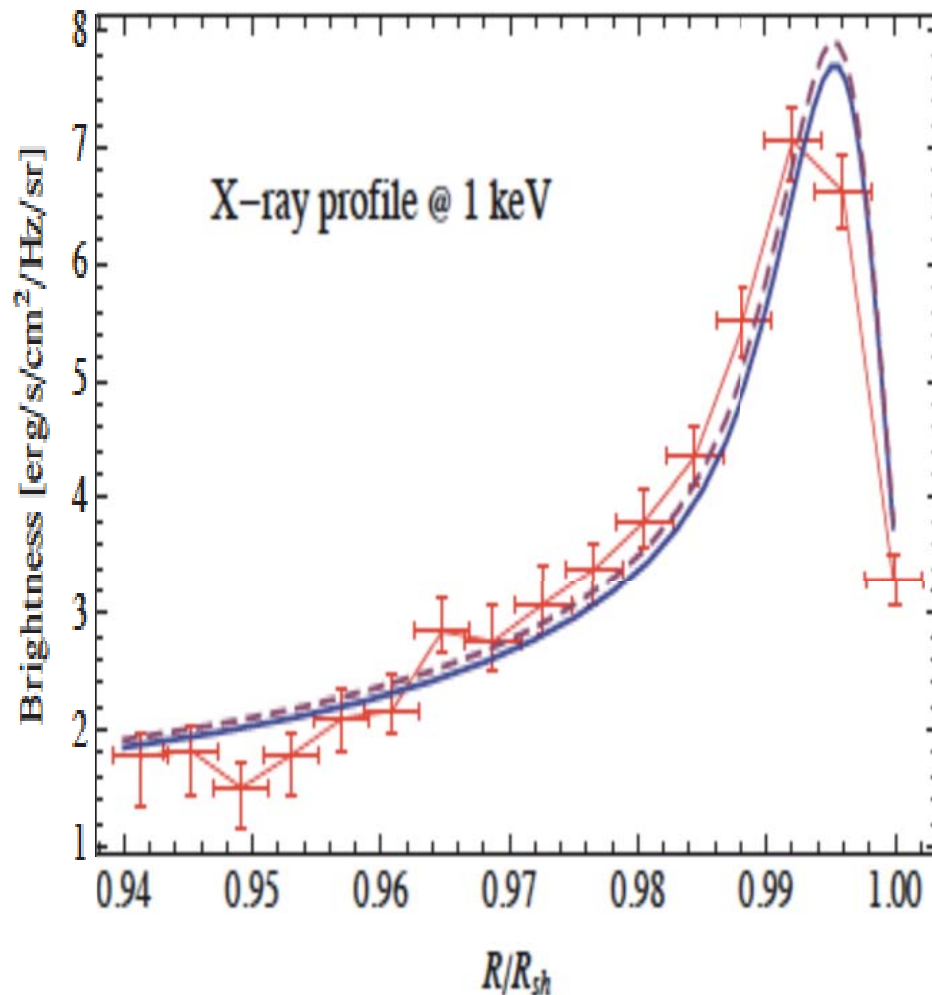
4. PRESENCE OF NEUTRALS

Charge exchange with ions leads to weakening of the shock
Strength (PB et al. 2011)

The case of Tycho

Morlino&Caprioli 2011

**STEEP SPECTRUM
BASICALLY IMPOSSIBLE TO
EXPLAIN WITH LEPTONS**

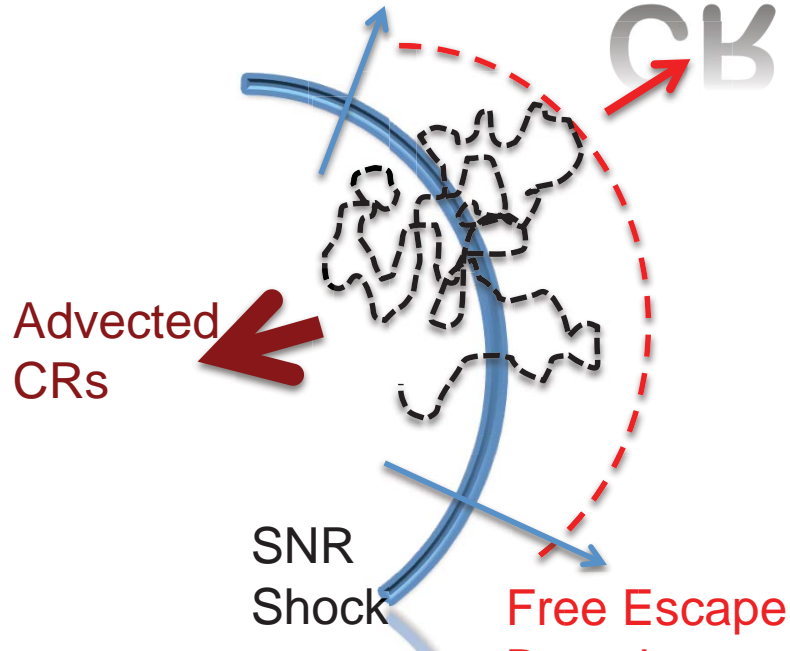


SEE ALSO POSTER 135, 146 FOR FERMI DATA ON TYCHO

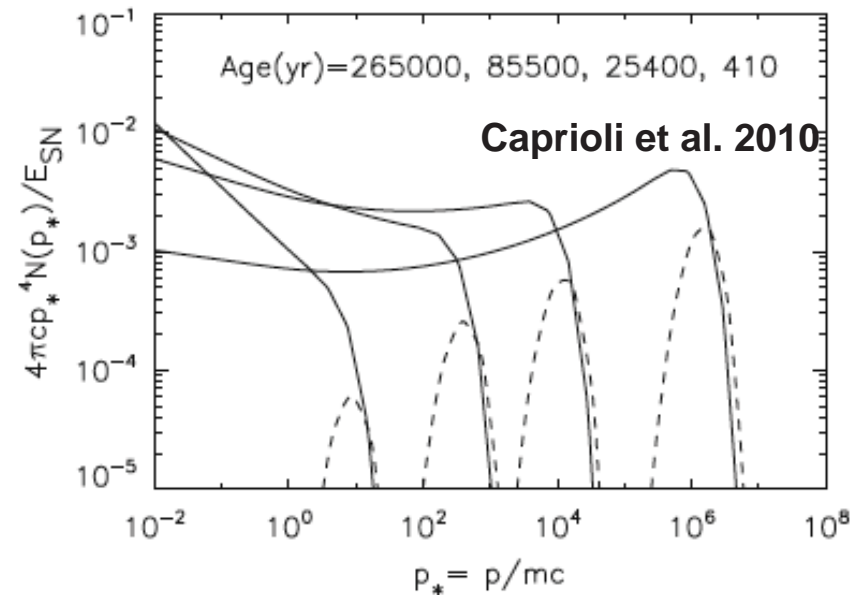
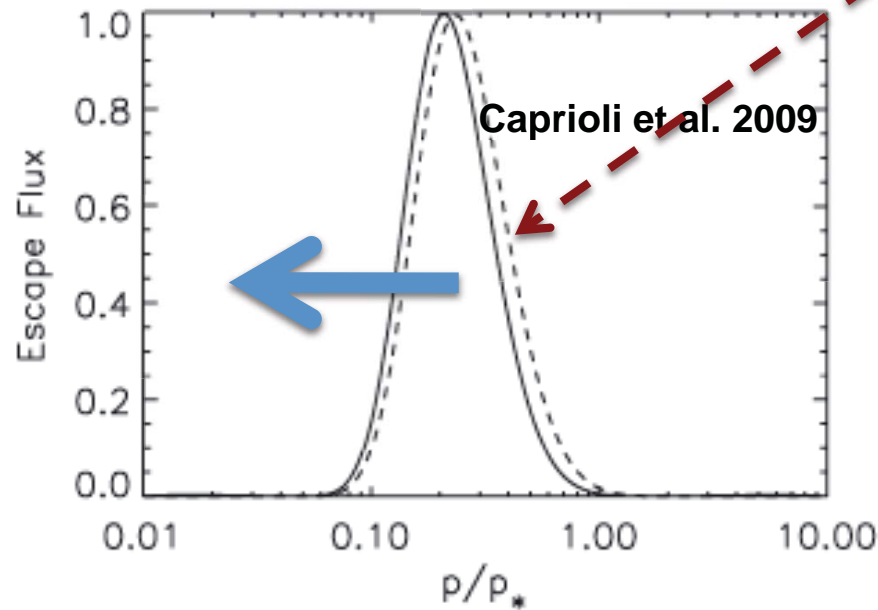
CR ESCAPE

CR ESCAPE

The escape flux can be calculated using the transport equation IF one assumes a free escape boundary surface **(DURING ST PHASE)**



$$\Phi_{esc}(E, x) = D(E) \left(\frac{\partial f(E, x)}{\partial x} \right)_{x=x_{fe}}$$



CR ESCAPE AND CLOUDS

TWO SCENARIOS:

SNR SHOCK ENTERS THE MC

Collisionless shock only involves the small fraction of
Ions (low density)

Ion-neutral density kills waves \rightarrow low E_{max}

MC IS ILLUMINATED BY CR FROM SNR

The mc only acts as a target for pp

Gamma ray flux depends on

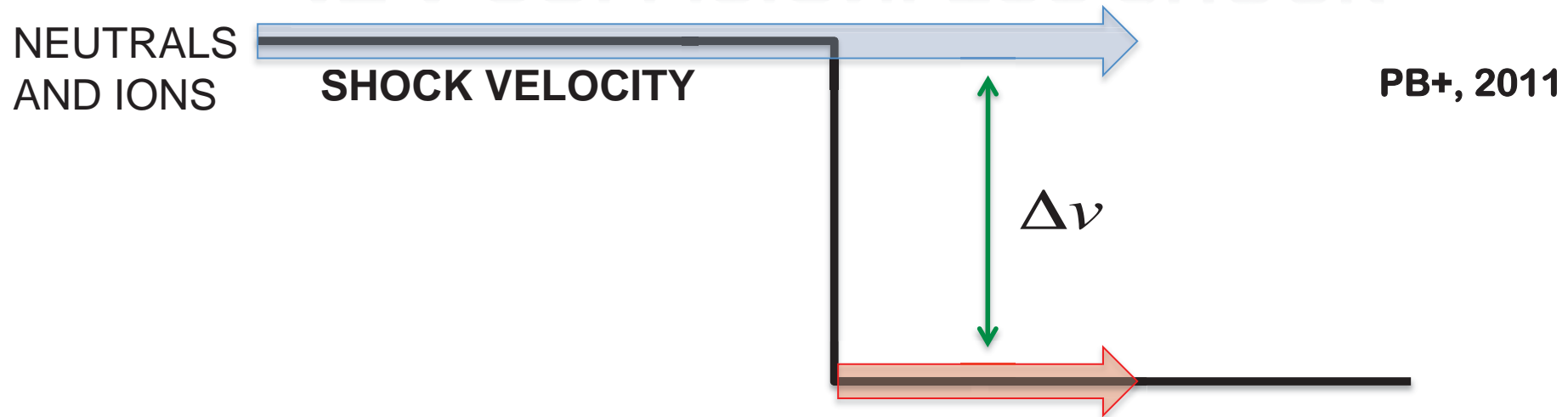
- Age of SNR
- Diffusion coefficient around the SNR
- Escape physics

SOME RECENT PROGRESS AND POSSIBLY FUTURE DEVELOPMENTS

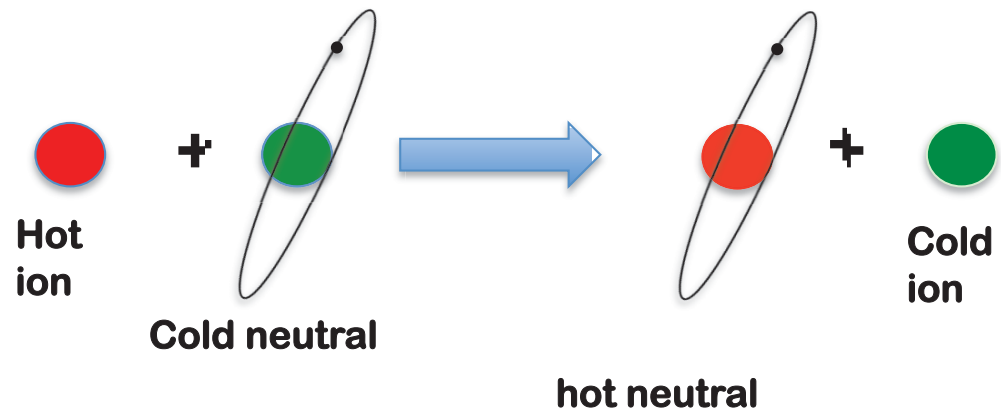
***COLLISIONLESS SNR SHOCKS IN
PARTIALLY IONIZED MEDIA:***

Anomalous width of Balmer lines

SUBTLE ASPECTS OF ACCELERATION AT A COLLISIONLESS SHOCK

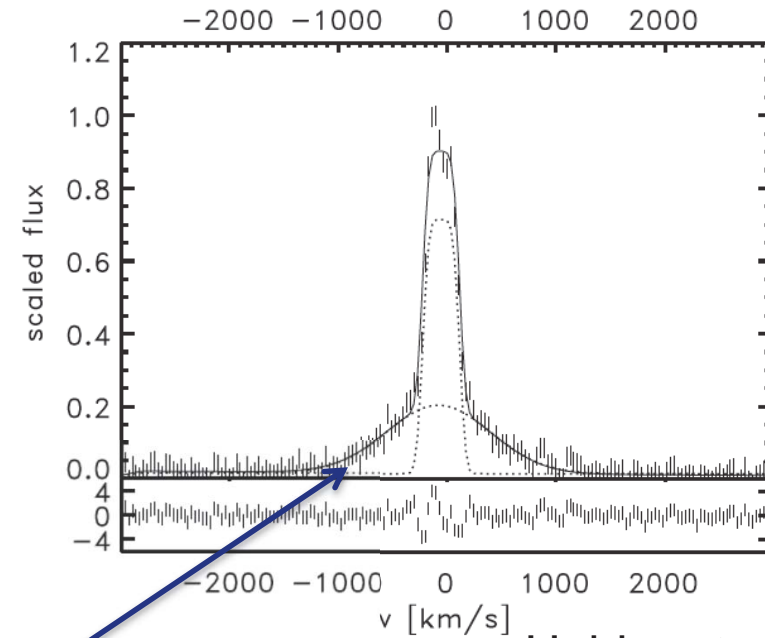
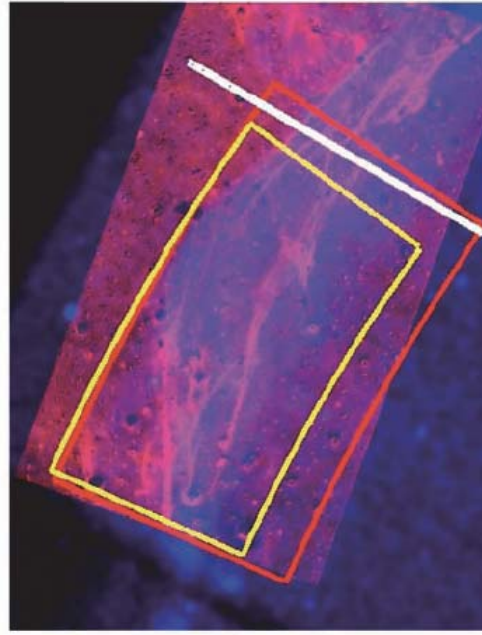
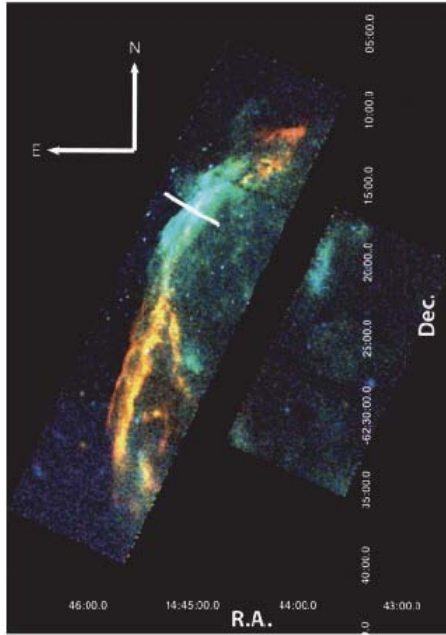


**CHARGE EXCHANGE → BROAD
BALMER LINE (NEUTRALS
THAT MADE CHARGE
EXCHANGE) REFLECTING
THE TEMPERATURE OF IONS...**



BUT THE LATTER AFFECTED BY EFFICIENT CR ACCELERATION

BROAD BALMER LINES NARROWER THAN FOR UNMODIFIED SHOCKS



Helder et al. 2009

$$W_{broad} = \sqrt{8 \ln 2 \frac{kT_2}{m}} \approx 1.02 v_{sh}$$

$$W_{broad} = 1100 \pm 63 \text{ km/s} \rightarrow T_2 = 2.3 \pm 0.3 \text{ keV}$$

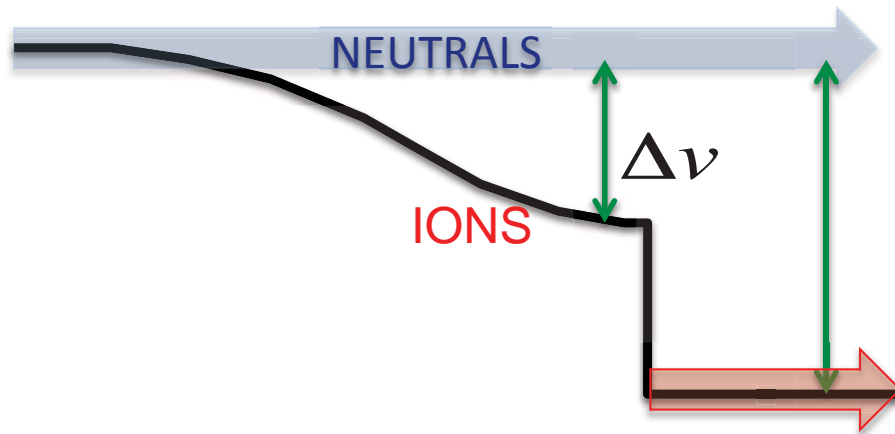
Shock speed from proper motion

$$v_{shock} = 6000 \pm 2800 \text{ km/s} \left(\frac{d}{2.5 \pm .5 \text{ kpc}} \right) \left(\frac{\dot{\theta}_{obs}}{0.5 \pm .2'' \text{ yr}^{-1}} \right) \rightarrow T_2 = \begin{array}{l} 20-150 \text{ keV (no equilibration)} \\ 12-90 \text{ keV (equilibration)} \end{array}$$

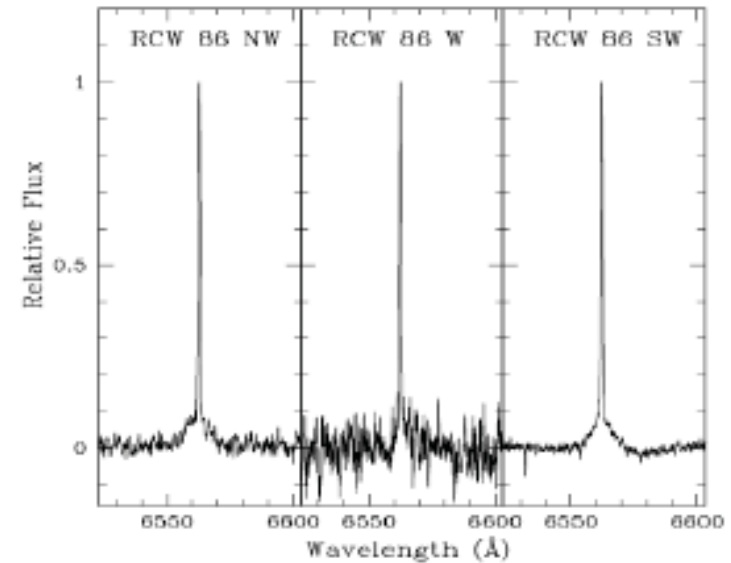
INFERRED EFFICIENCY of CR ACCELERATION 50-60% !!! (BUT model dependent)

NARROW BALMER LINES BROADER THAN FOR UNMODIFIED SHOCKS

Sollerman et al. 2003



CHARGE EXCHANGE OCCURS
NOW IN THE CR INDUCED
PRECURSOR



$$W_{broad} = \sqrt{8 \ln 2 \frac{kT_0}{m}} \approx 21 \text{ km/s} \left(\frac{T_0}{10^4 K} \right)^{1/2}$$

$$W_n \sim 30 - 50 \text{ km/s} \rightarrow T \sim 2 - 6 \cdot 10^4 K$$

NARROW BALMER LINE BROADER
THAN FOR AN UNMODIFIED SHOCK

CONCLUSIONS

BASIC PRINCIPLES OF ACCELERATION IN SNR WELL POSED – HINT TO END OF GALACTIC CR AT $\sim \text{FEW } 10^{17} \text{ eV}$

BUT HARD TO MOVE AHEAD IN THE DETAILS (WE OBSERVE LARGE SCALES BUT THEY ARE DETERMINED BY VERY SMALL SCALES)

EFFICIENT ACCELERATION \neq BRIGHT GAMMA OR NEUTRINO SOURCE
(e.g. HIGH EFF. AND LARGE P_{MAX} FOR A SNII IN TENUOUS BUBBLE)

MAX ENERGY AT THE BEGINNING OF SEDOV: USUALLY INSIDE BUBBLE
(NOT EASY TO SEE PEVATRONS UNLESS SNIa)

B-FIELD AMPLIFICATION BUT UNCLEAR DETAILS (SATURATION, SCALES – OBSERVATIONALLY HARD TO ACCESS)

STRONG EVIDENCE FOR STEEP SPECTRA (CAN'T BE LEPTONIC) $\sim E^{-2.2}$
(RECALL ESCAPE SPECTRUM \neq ACCELERATED SPECTRUM)

BIG DEVELOPMENTS FROM BALMER DOMINATED SHOCKS AS INDICATORS OF CR ACCELERATION EFFICIENCY