



**The Abdus Salam
International Centre for Theoretical Physics**



2248-1

**Workshop and School on Topological Aspects of Condensed Matter
Physics**

27 June - 8 July, 2011

GAPLESS TOPOLOGICAL MATTER AND FLAT BANDS

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Gapless topological matter and flat bands

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RUSSIAN ACADEMY OF SCIENCES

L. D. Landau
INSTITUTE FOR
THEORETICAL
PHYSICS



Trieste, July 2011

1. Gapless & gapped topological media as momentum-space topological objects
2. Fermi surface as topological object
3. Fermi points in 3D (Weyl, Majorana & Dirac points)
 - * superfluid $^3\text{He-A}$, topological **semimetals**, vacuum of Standard Model of particle physics in massless phase
 - * QED, QCD and gravity as emergent phenomena; quantum vacuum as 4D graphene
4. From Weyl point to fully gapped 2D topological media
 - * $^3\text{He-A}$ film, 2D topological insulators, chiral superconductors
 - * bulk-surface correspondence, edge states
 - * 1D flat band in the vortex core and Fermi-arc on the surface of Weyl system
5. Dirac points in 2D & nodal lines in 3D
 - * topological **semimetals**, **cuprate superconductors**, **graphene**, graphite
 - * exotic fermions: quadratic, cubic & quartic dispersion; 2D flat band
 - * towards room-temperature superconductivity
6. Fully gapped 3D topological media
 - * superfluid $^3\text{He-B}$, 3D **topological insulators**, **chiral superconductors**, vacuum of Standard Model of particle physics in present massive phase
 - * edge states & Majorana fermions in the vortex core

3+1 sources of effective Quantum Field Theories in many-body system & quantum vacuum

Lev Landau

I think it is safe to say that no one understands **Quantum Mechanics**

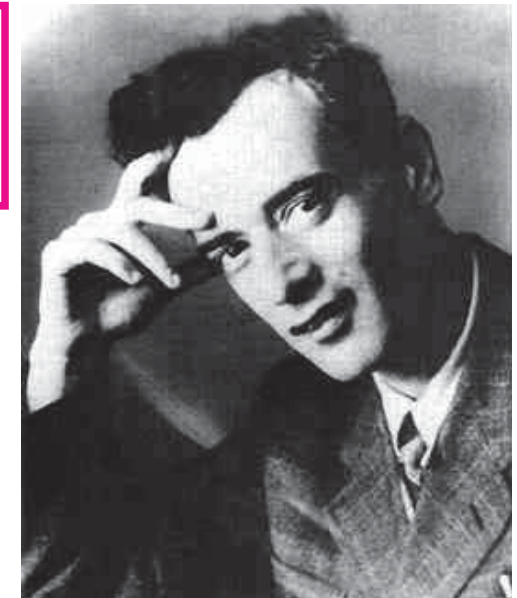
Richard Feynman

Thermodynamics is the only physical theory of universal content

Albert Einstein

Symmetry: conservation laws, translational invariance,
spontaneously broken symmetry, Grand Unification, ...

Topology: you can't comb the hair on a ball smooth,
anti-Grand-Unification



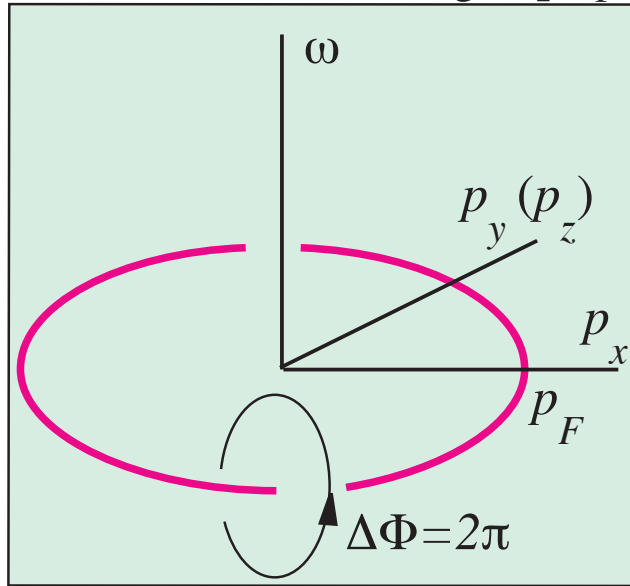
effective theories
of quantum liquids:
two-fluid hydrodynamics
of superfluid ^4He
& Fermi liquid theory of
liquid ^3He

missing ingredient
in Landau theories

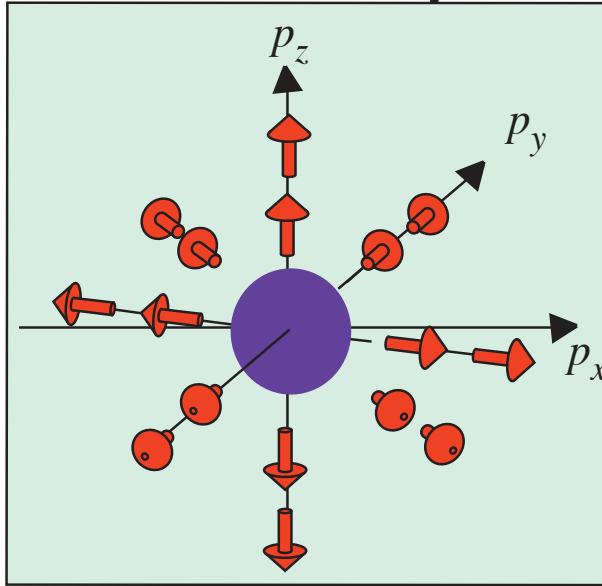


classes of topological matter in terms of momentum-space objects

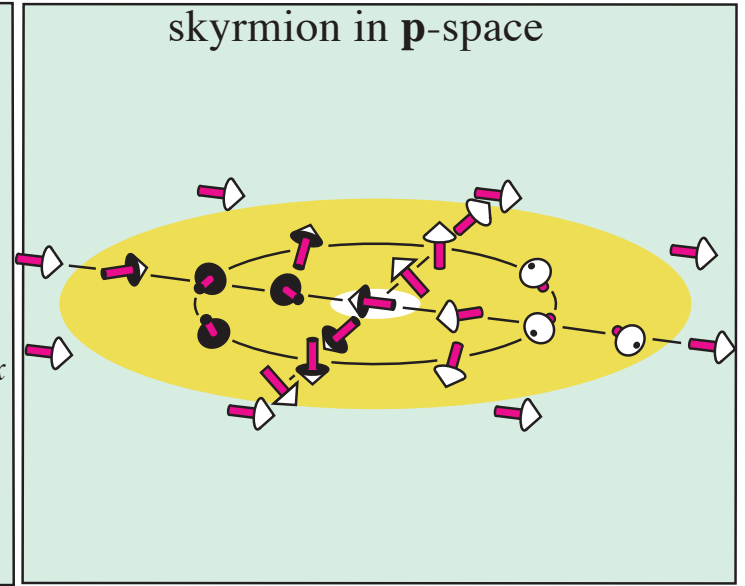
Fermi surface: vortex ring in \mathbf{p} -space



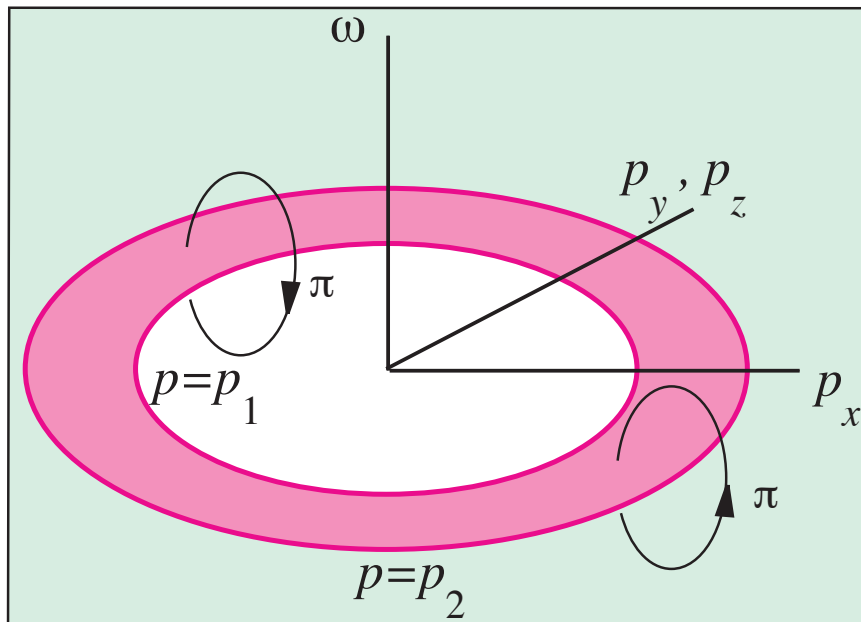
$$H = +c \boldsymbol{\sigma} \cdot \mathbf{p}$$



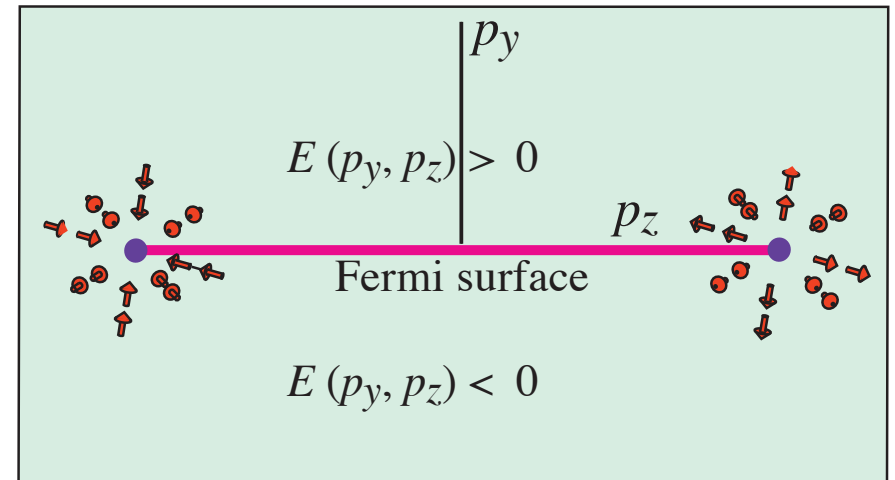
topological insulator:
skyrmion in \mathbf{p} -space



Weyl point: hedgehog in \mathbf{p} -space



flat band: half-quantum vortex in \mathbf{p} -space



Fermi arc: Dirac string in \mathbf{p} -space
(vortex in \mathbf{p} -space terminating on monopole)

bulk-surface correspondence

2D Quantum Hall insulator

chiral edge states

3D topological insulator

Dirac fermions on surface

superfluid $^3\text{He-B}$

Majorana fermions on surface

superfluid $^3\text{He-A}$

Fermi arc on surface

graphene

1D flat band on the surface

semimetal with Fermi lines

2D flat band on the surface

bulk-vortex correspondence

superfluid $^3\text{He-A}$

dispersionless zero mode in the core

2. Effective theory of vacuum with Fermi surface

two major universality classes of gapless fermionic vacua

Landau theory of Fermi liquid

vacuum with Fermi surface:
normal ^3He

Standard Model + gravity

vacuum with Fermi point:
 $^3\text{He-A}$, planar phase

gravity emerges from
Fermi point
analog of
Fermi surface

$$g^{\mu\nu}(p_\mu - eA_\mu - e\boldsymbol{\tau} \cdot \mathbf{W}_\mu)(p_\nu - eA_\nu - e\boldsymbol{\tau} \cdot \mathbf{W}_\nu) = 0$$

Topological stability of Fermi surface

Energy spectrum of non-interacting gas of fermionic atoms

$$\varepsilon(p) = \frac{p^2}{2m} - \mu = \frac{p^2}{2m} - \frac{p_F^2}{2m}$$

$\varepsilon > 0$
empty levels

$\varepsilon < 0$
occupied levels:
Fermi sea

Fermi surface

$$\varepsilon = 0$$

$$p = p_F$$



*is Fermi surface a domain wall
in momentum space?*

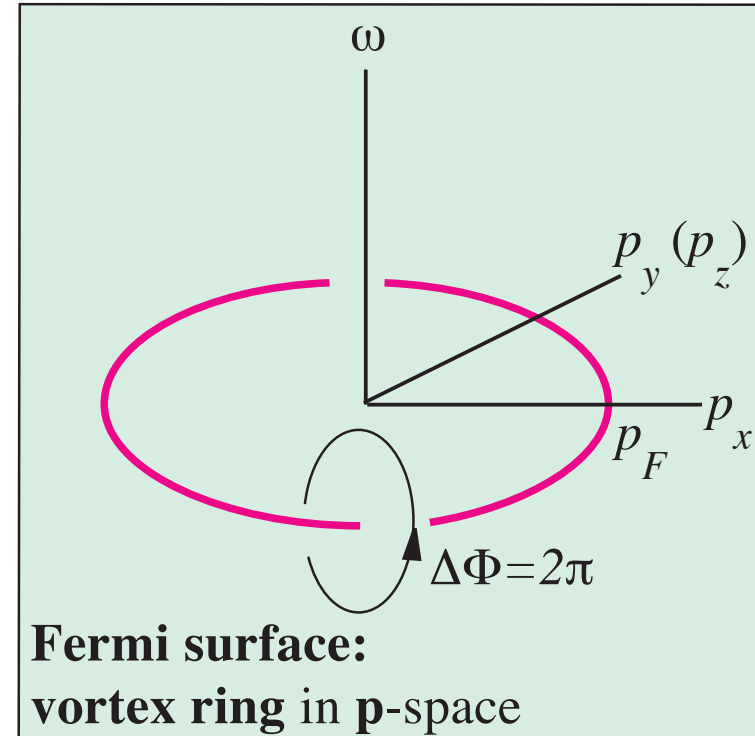


no!
it is a vortex ring



Green's function

$$G^{-1} = i\omega - \varepsilon(p)$$



phase of Green's function

$$G(\omega, \mathbf{p}) = |G| e^{i\Phi}$$

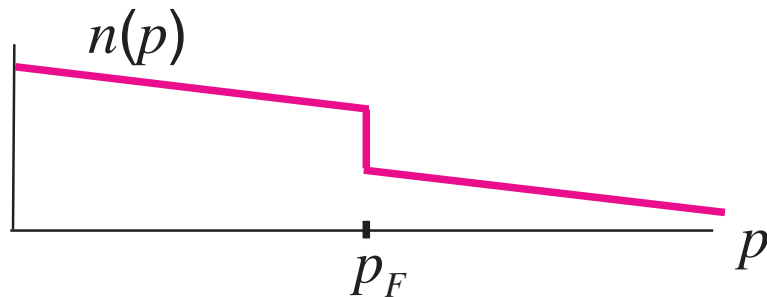
has winding number $N = 1$

Route to Landau Fermi-liquid

* Fermi surface is robust to interaction:

winding number $N=1$ cannot change continuously, interaction cannot destroy singularity

* Typical singularity: Migdal jump



* Other types of singularity: Luttinger Fermi liquid, marginal Fermi liquid, pseudo-gap ...

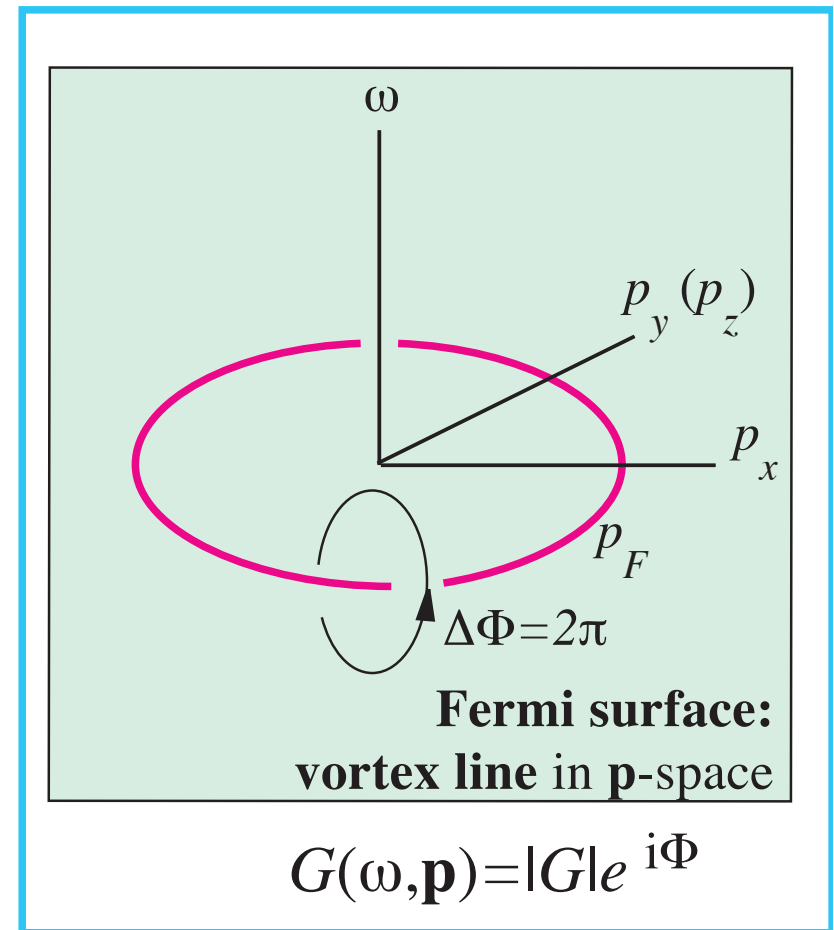
$$G(\omega, \mathbf{p}) = \frac{Z(p, \omega)}{i\omega - \varepsilon(p)}$$

$$Z(p, \omega) = (\omega^2 + \varepsilon^2(p))^\gamma$$

* Zeroes in Green's function instead of poles (for $\gamma > 1/2$) have the same winding number $N=1$

* Fermi surface in superconductors

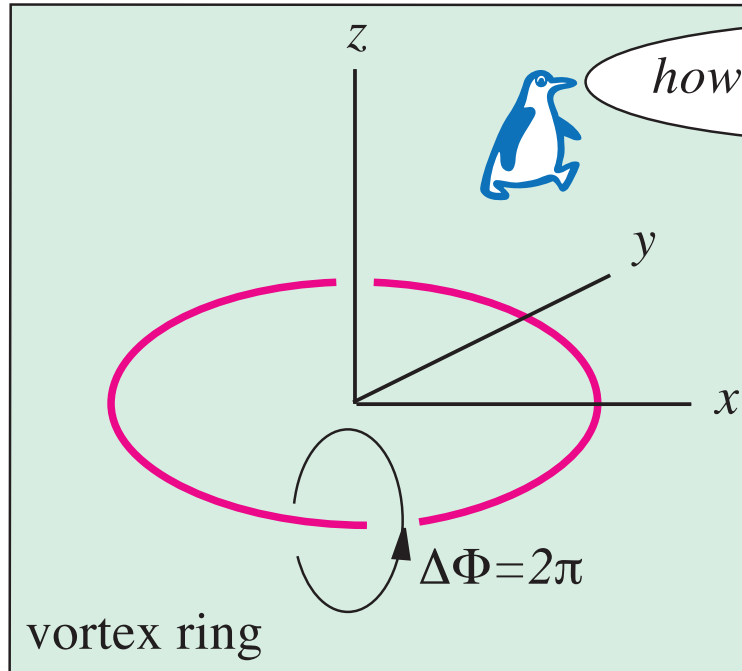
Gubankova-Schmitt-Wilczek, *Phys.Rev.* **B74** (2006) 064505



quantized vortex in \mathbf{r} -space \equiv Fermi surface in \mathbf{p} -space

homotopy group π_1

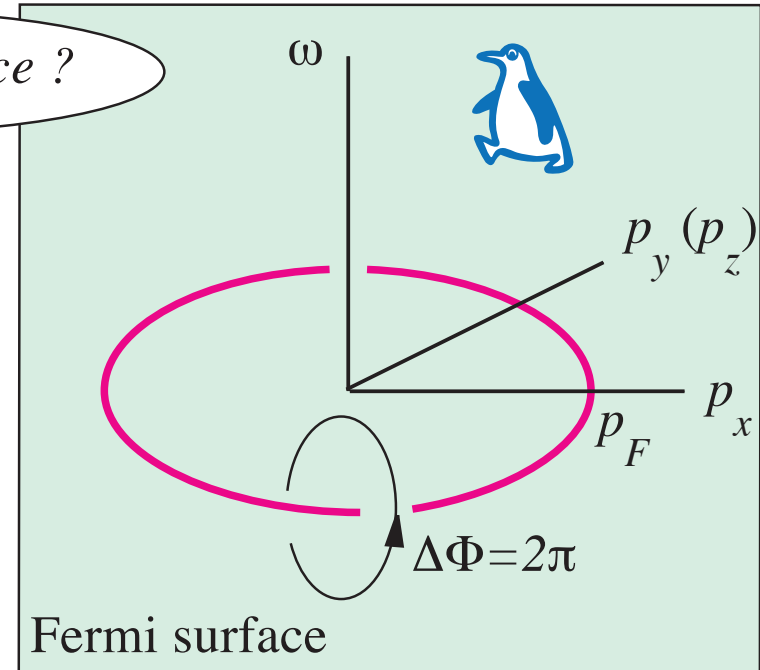
Topology in \mathbf{r} -space



how is it in \mathbf{p} -space ?

winding
number
 $N_1 = 1$

Topology in \mathbf{p} -space



$$\Psi(\mathbf{r}) = |\Psi| e^{i\Phi}$$

scalar order parameter
of superfluid & superconductor

classes of mapping $S^1 \rightarrow U(1)$
manifold of
broken symmetry vacuum states

$$G(\omega, \mathbf{p}) = |G| e^{i\Phi}$$

Green's function (propagator)

classes of mapping $S^1 \rightarrow GL(n, \mathbb{C})$
space of
non-degenerate complex matrices

non-topological flat bands due to interaction

Khodel-Shaginyan fermion condensate

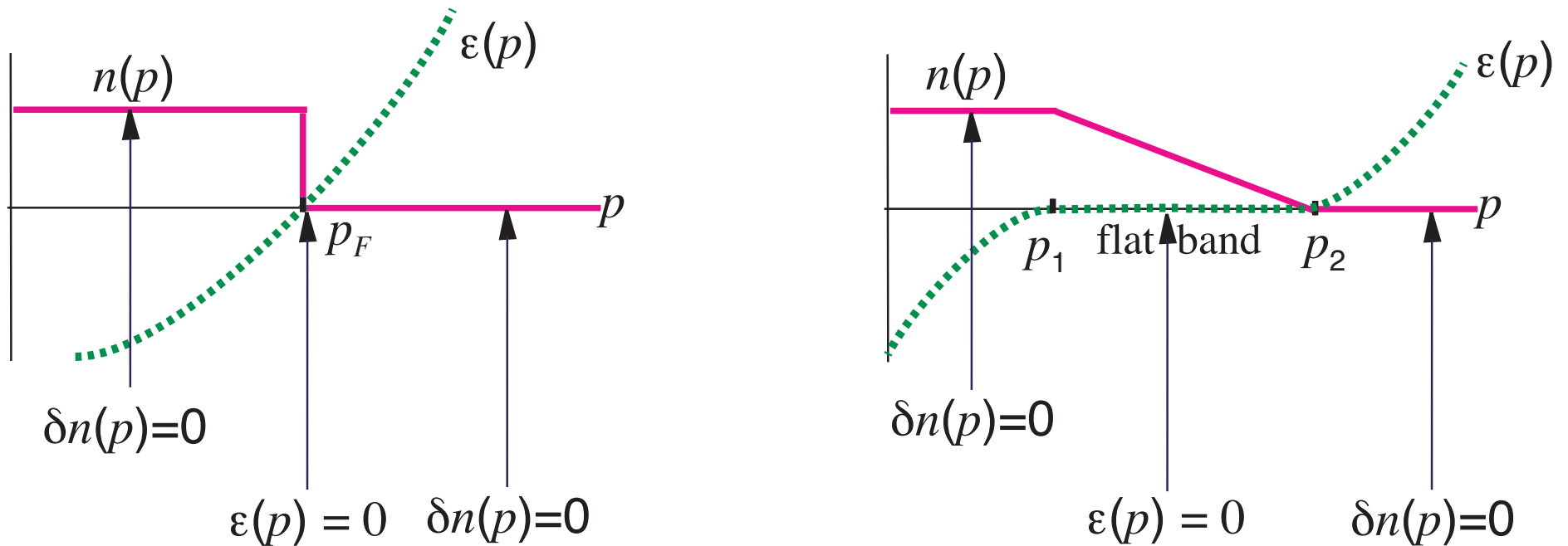
JETP Lett. **51**, 553 (1990)

GV, JETP Lett. **53**, 222 (1991)

Nozieres, J. Phys. (Fr.) **2**, 443 (1992)

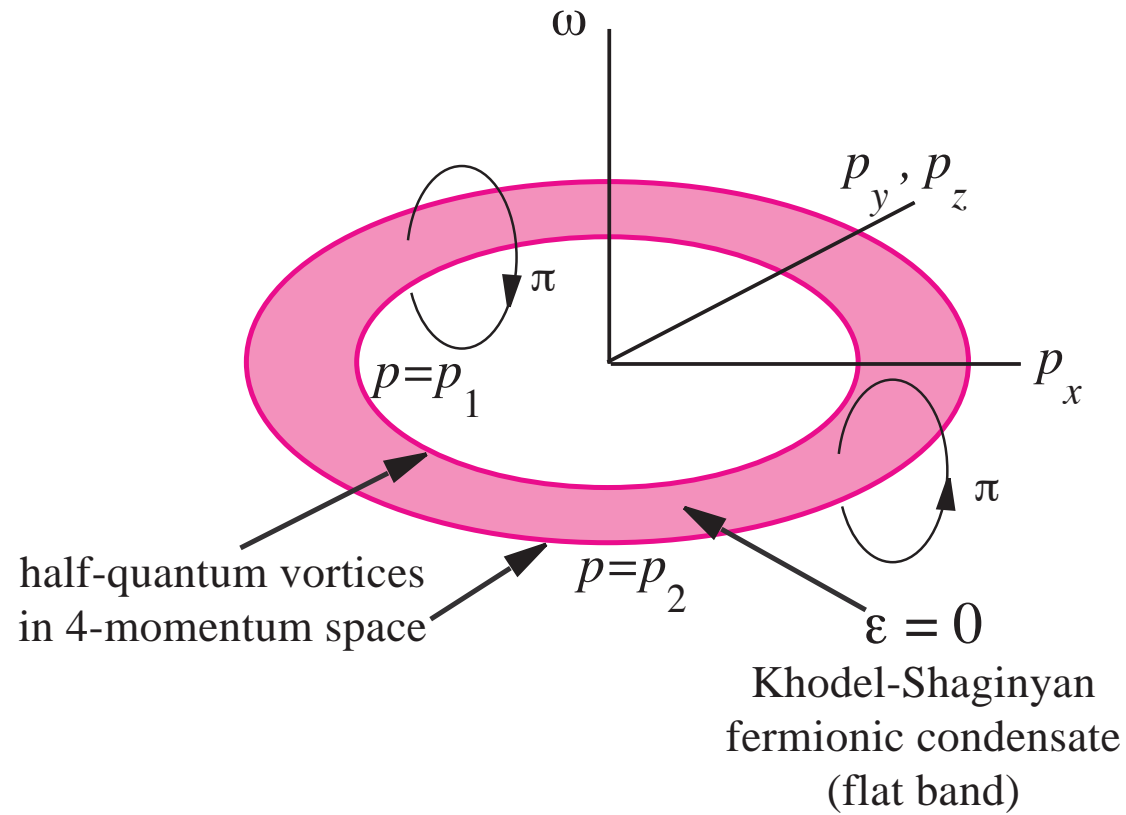
$$E\{n(p)\} \quad \delta E\{n(p)\} = \int \varepsilon(p) \delta n(p) d^d p = 0$$

solutions: $\varepsilon(p) = 0$ or $\delta n(p) = 0$



splitting of Fermi surface to flat band

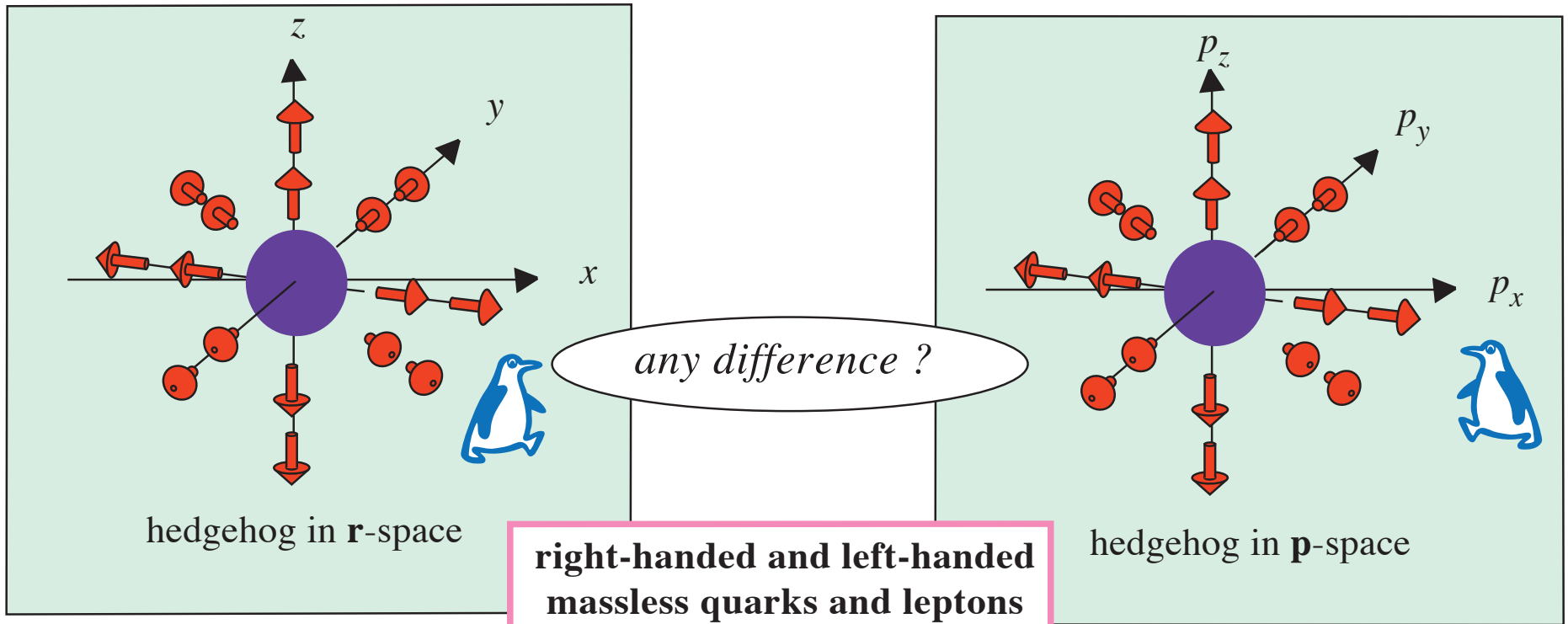
Flat band as momentum-space dark soliton terminated by half-quantum vortices



phase of Green's function changes by π across the "dark soliton"

Weyl points: superfluid $^3\text{He-A}$, Standard Model, topological semimetals

magnetic hedgehog vs Weyl point



$$\sigma(\mathbf{r}) = \hat{\mathbf{r}}$$

**right-handed and left-handed
massless quarks and leptons
are elementary particles
in Standard Model**

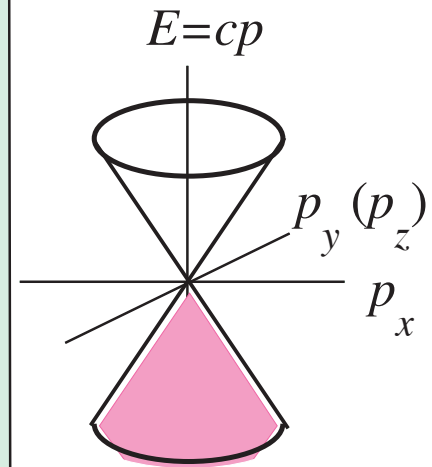
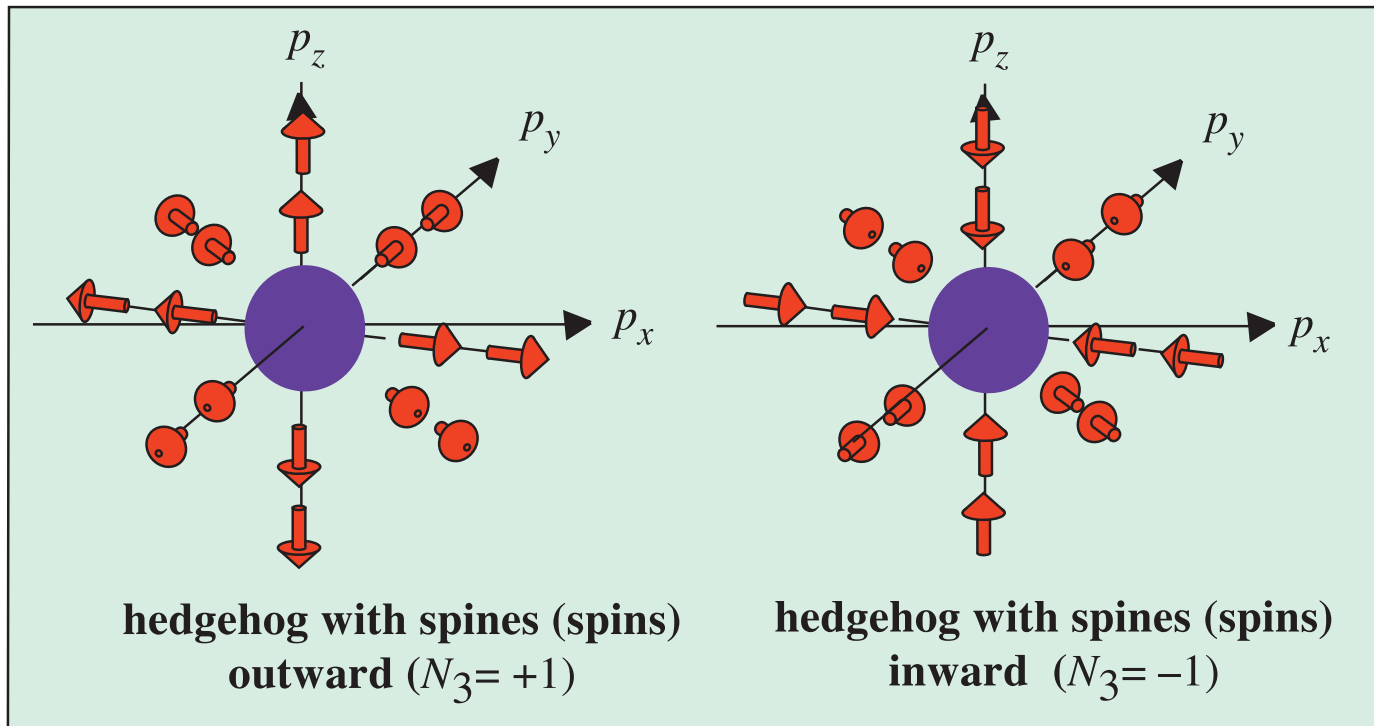
$$\sigma(\mathbf{p}) = \hat{\mathbf{p}}$$

close to Fermi point

$$H = +c \boldsymbol{\sigma} \cdot \mathbf{p}$$

right-handed Weyl electron =
hedgehog in \mathbf{p} -space with spines = spins

Topological invariant for right-handed and left-handed elementary particles



right
neutrino

$$H = +c \boldsymbol{\sigma} \cdot \mathbf{p}$$

$$\mathbf{g}(\mathbf{p}) = +c\mathbf{p}$$

$$H = \boldsymbol{\sigma} \cdot \mathbf{g}(\mathbf{p})$$

$$H = -c \boldsymbol{\sigma} \cdot \mathbf{p}$$

$$\mathbf{g}(\mathbf{p}) = -c\mathbf{p}$$

left
neutrino

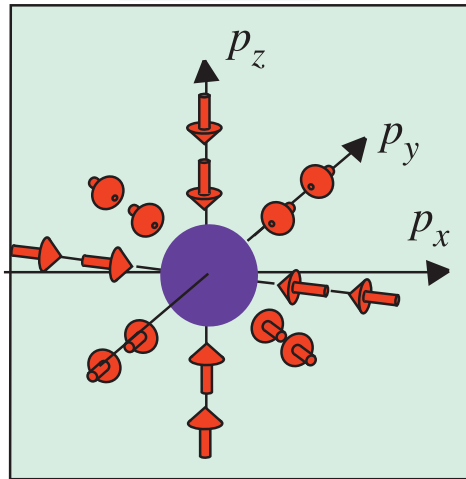
$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface around Fermi point}} dS^i \hat{\mathbf{g}} \cdot (\partial^j \hat{\mathbf{g}} \times \partial^k \hat{\mathbf{g}})$$



Chiral Weyl fermions in Standard Model

Family #1 of quarks and leptons

left particles



hedgehog with
spines (spins)
inward ($N_3 = -1$)

$+2/3$ u_L $+1/6$	$-1/3$ d_L $+1/6$
$+2/3$ u_L $+1/6$	$-1/3$ d_L $+1/6$
$+2/3$ u_L $+1/6$	$-1/3$ d_L $+1/6$

quarks

$SU(3)_C$

$SU(2)_L$

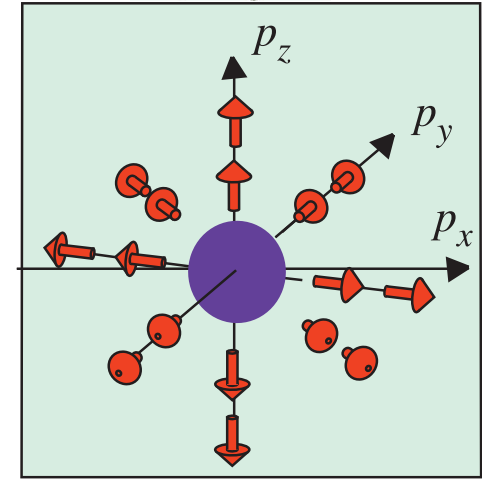
0 ν_L $-1/2$	-1 e_L $-1/2$
--------------------------	-------------------------

leptons

$+2/3$ u_R $+2/3$	$-1/3$ d_R $-1/3$
$+2/3$ u_R $+2/3$	$-1/3$ d_R $-1/3$
$+2/3$ u_R $+2/3$	$-1/3$ d_R $-1/3$

0 ν_R 0	-1 e_R -1
-----------------------	-----------------------

right particles



hedgehog with
spines (spins)
outward ($N_3 = +1$)

$$H = -c \sigma \cdot \mathbf{p}$$

$$N_3 = -1$$

$$H = +c \sigma \cdot \mathbf{p}$$

$$N_3 = +1$$

$$N_3 = \frac{1}{24\pi^2} \epsilon_{\mu\nu\lambda\gamma} \text{tr} \int_{\text{over 3D surface S in 4D momentum space}} dS^\gamma \mathbf{G}^\mu \mathbf{G}^{-1} \mathbf{G}^\nu \mathbf{G}^{-1} \mathbf{G}^\lambda \mathbf{G}^{-1}$$

general topological invariant
in terms of Green's function



*life exists at low T
because Fermi points are stable ?*

right !

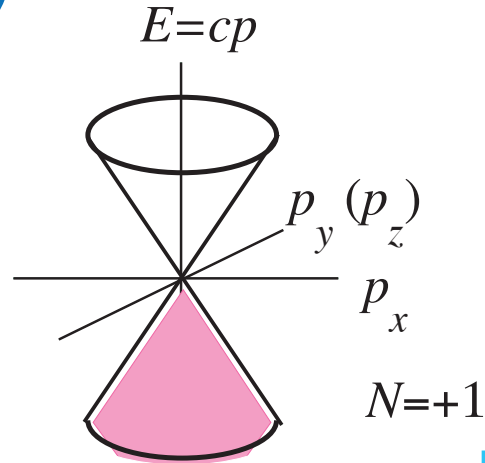


From massless Weyl particles to massive Dirac particles

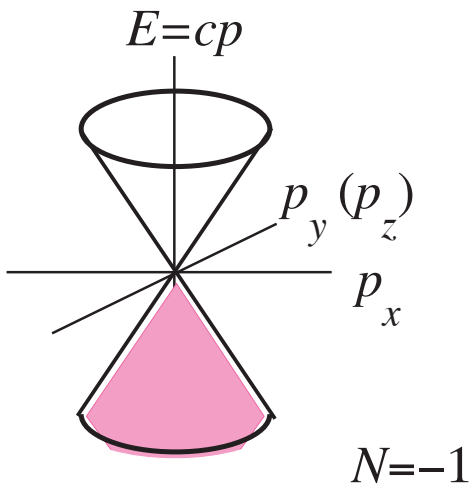
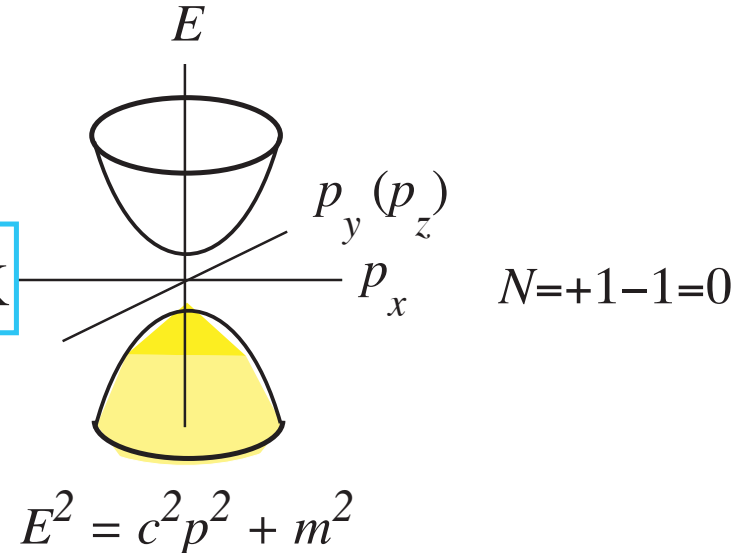
where are massive Dirac particles?



Dirac particle - composite object:
mixture of left and right Weyl particles



$$T_{\text{ew}} \sim 1 \text{ TeV} \sim 10^{16} \text{ K}$$



is Dirac vacuum topologically trivial?



fully gapped vacua
can be also topologically nontrivial
($^3\text{He-B}$, topological insulators, ...)



Weyl fermions in 3+1 gapless topological cond-mat

topologically protected Weyl points in:

topological semi-metal (Abrikosov-Beneslavskii 1971),
³He-A (1982), triplet Fermi gases

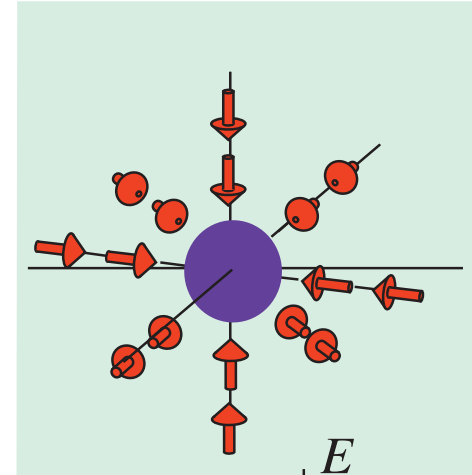
$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface S in 3D p-space}} dS^k \hat{\mathbf{g}} \cdot (\partial_{p_i} \hat{\mathbf{g}} \times \partial_{p_j} \hat{\mathbf{g}})$$

$$p^2 = p_x^2 + p_y^2 + p_z^2$$

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix}$$

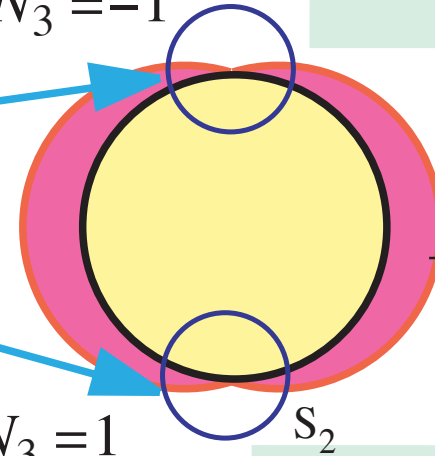
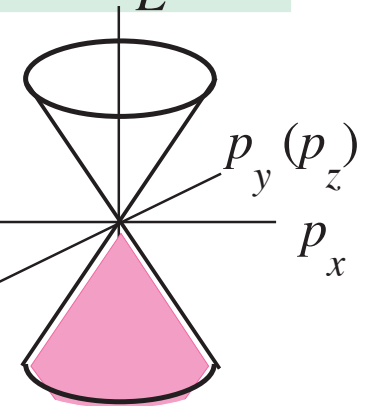
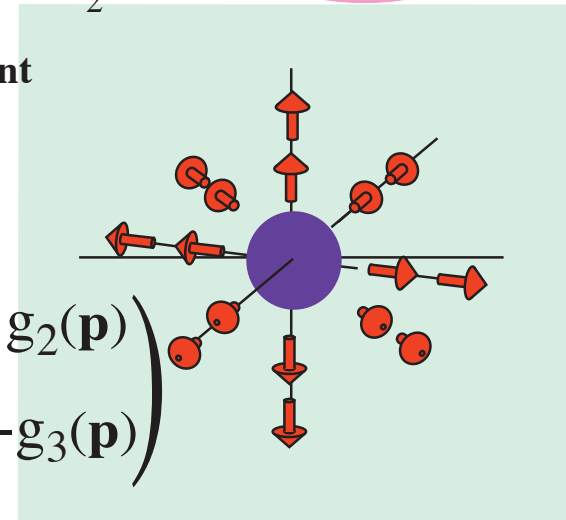
Gap node - Weyl point
(anti-hedgehog)

$$N_3 = -1$$



$$N_3 = 1$$

Gap node - Weyl point
(hedgehog)



emergence of relativistic QFT near Fermi (Dirac) point

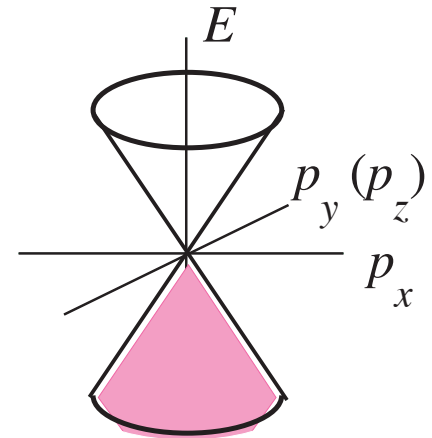
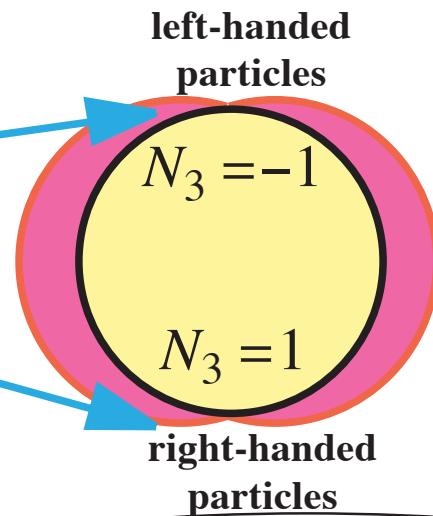
original non-relativistic Hamiltonian

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix} = \boldsymbol{\tau} \cdot \mathbf{g}(\mathbf{p})$$

close to nodes, i.e. in low-energy corner
relativistic chiral fermions emerge

$$H = N_3 c \boldsymbol{\tau} \cdot \mathbf{p}$$

$$E = \pm cp$$



chirality is emergent ??

top. invariant determines chirality in low-energy corner


what else is emergent ?

relativistic invariance as well



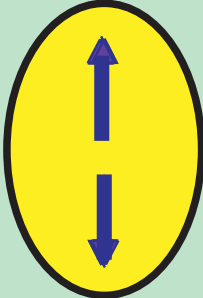
bosonic collective modes in two generic fermionic vacua

Landau theory of Fermi liquid



Fermi surface

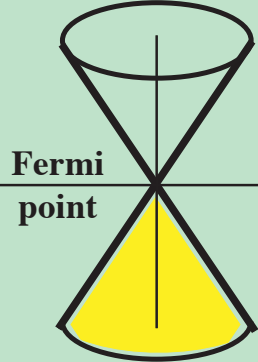
collective Bose modes of fermionic vacuum:
propagating oscillation of **shape** of Fermi surface



Landau, ZhETF **32**, 59 (1957)

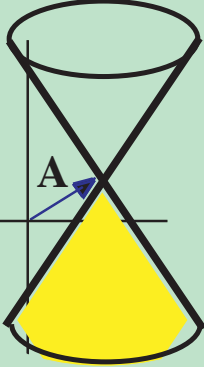
Standard Model + gravity

collective Bose modes:

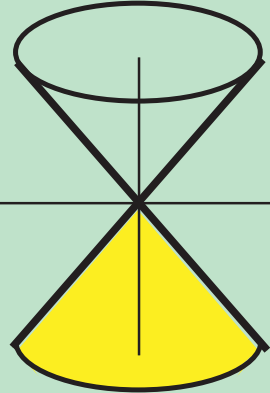


Fermi point

propagating oscillation of **position** of Fermi point
 $\mathbf{p} \rightarrow \mathbf{p} - e\mathbf{A}$
form effective dynamic **electromagnetic field**




\mathbf{A}



propagating oscillation of **slopes**

$E^2 = c^2 p^2 \rightarrow g^{ik} p_i p_k$

form effective dynamic **gravity field**



two generic quantum field theories of interacting bosonic & fermionic fields

relativistic quantum fields & gravity emerging near Weyl point

Atiyah-Bott-Shapiro construction:

linear expansion of Hamiltonian near the nodes in terms of Dirac Γ -matrices

$$E = v_F (p - p_F) \quad \text{emergent relativity}$$

linear expansion near
Fermi surface

$$H = e_a^k \Gamma^a \cdot (p_k - p_k^0)$$

linear expansion near
Weyl point

primary object:

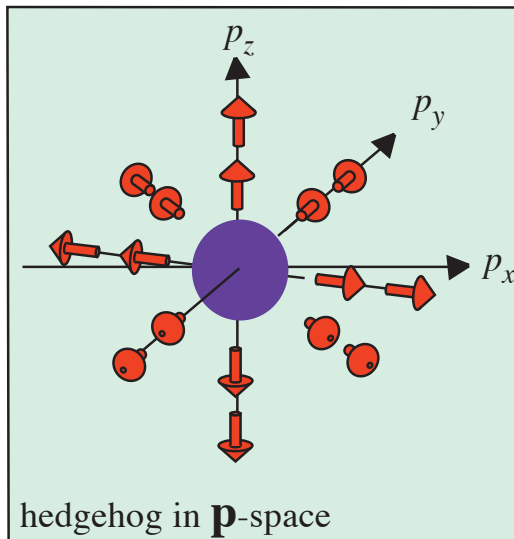
tetrad

$$e_a^\mu$$

secondary object:

metric

$$g^{\mu\nu} = \eta^{ab} e_a^\mu e_b^\nu$$



$$g^{\mu\nu} (p_\mu - eA_\mu - e\tau \cdot \mathbf{W}_\mu) (p_\nu - eA_\nu - e\tau \cdot \mathbf{W}_\nu) = 0$$

effective metric:
emergent gravity

effective
 $SU(2)$ gauge
field

effective
isotopic spin

effective
electromagnetic
field

effective
electric charge

$$e = +1 \text{ or } -1$$

all ingredients of Standard Model :
chiral fermions & gauge fields
emerge in low-energy corner

together with spin, Dirac Γ -matrices, gravity & physical laws:
Lorentz & gauge invariance, equivalence principle, etc

*gravity & gauge fields
are collective modes
of vacua with Weyl point*



crossover from Landau 2-fluid hydrodynamics to Einstein general relativity

they represent two different limits of hydrodynamic type equations

equations for $g^{\mu\nu}$ depend on hierarchy of ultraviolet cut-off's:
Planck energy scale E_{Planck} vs Lorentz violating scale E_{Lorentz}



$$E_{\text{Planck}} \gg E_{\text{Lorentz}}$$

**emergent Landau
two-fluid hydrodynamics**

$$E_{\text{Planck}} \ll E_{\text{Lorentz}}$$

**emergent general covariance
& general relativity**



$^3\text{He-A}$ with Fermi point

$$E_{\text{Lorentz}} \ll E_{\text{Planck}}$$

$$E_{\text{Lorentz}} \sim 10^{-3} E_{\text{Planck}}$$

Universe

$$E_{\text{Lorentz}} \gg E_{\text{Planck}}$$

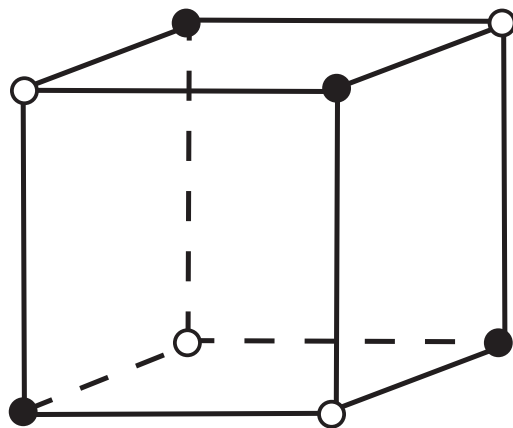
$$E_{\text{Lorentz}} > 10^9 E_{\text{Planck}}$$

quantum vacuum as crystal



4D graphene

Michael Creutz JHEP 04 (2008) 017



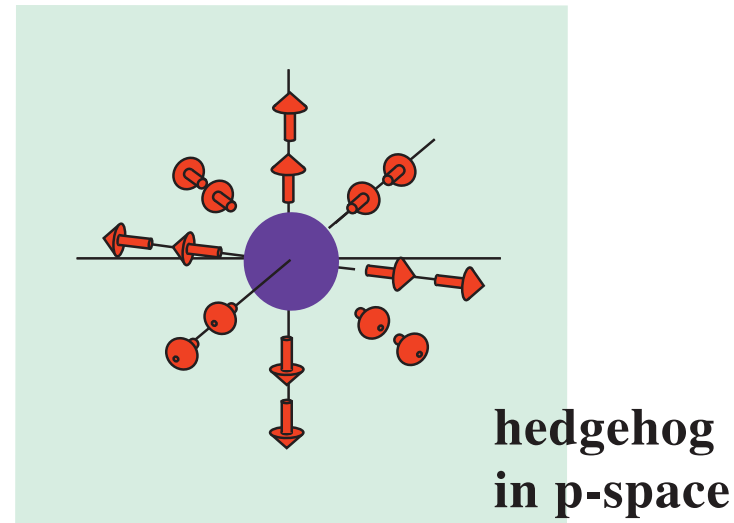
- Fermi (Dirac) points with $N_3 = +1$
- Fermi (Dirac) points with $N_3 = -1$

4. From Fermi point to intrinsic QHE & topological insulators

$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int dS^k \hat{\mathbf{g}} \cdot (\partial_{p_i} \hat{\mathbf{g}} \times \partial_{p_j} \hat{\mathbf{g}})$$

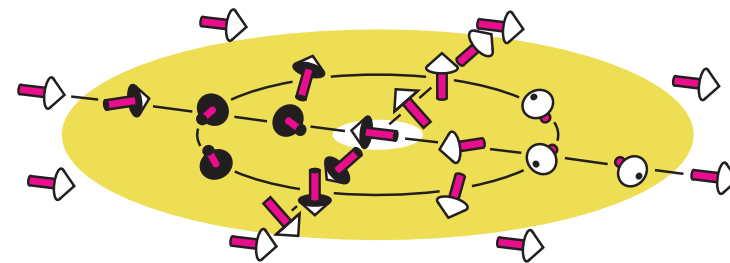
over 2D surface S
in 3D momentum space

3+1 vacuum with Fermi point



↓ dimensional reduction ↓

Fully gapped 2+1 system



$$\tilde{N}_3 = \frac{1}{4\pi} \int dp_x dp_y \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}})$$

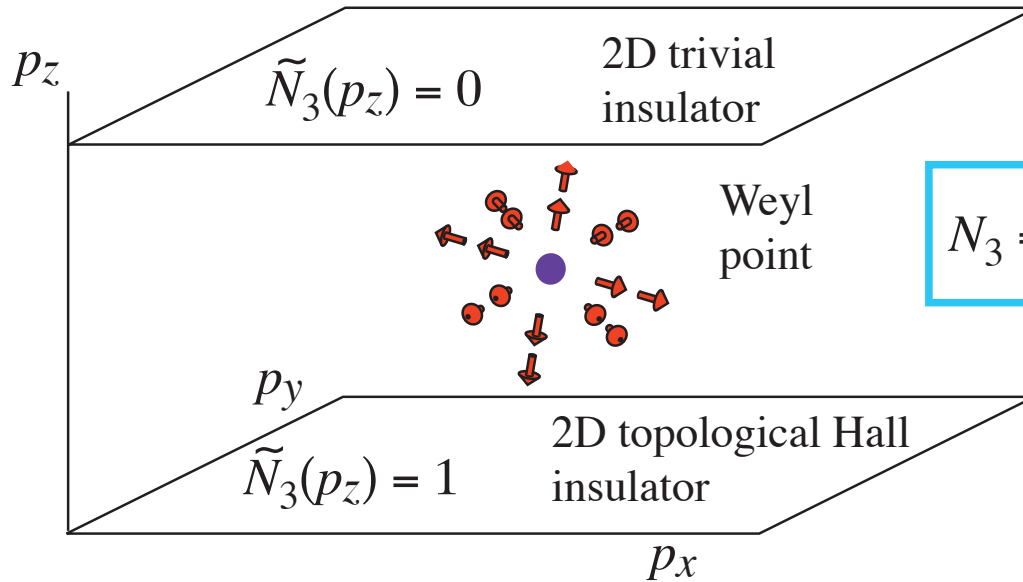
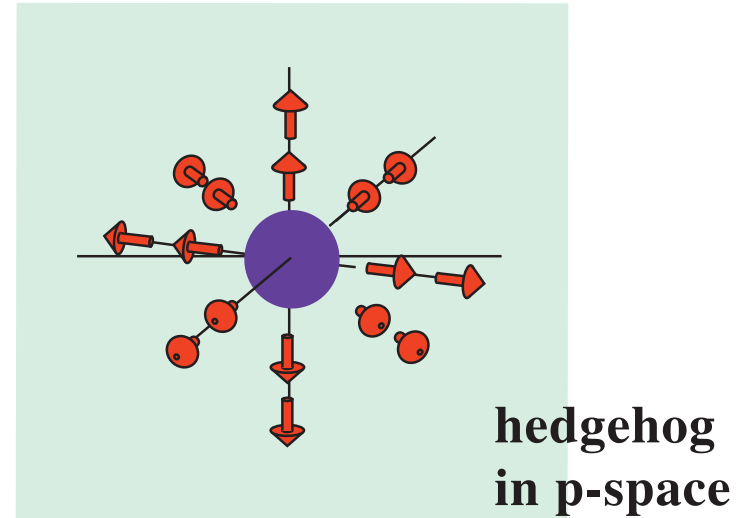
over the whole 2D momentum space
or over 2D Brillouin zone

skyrmion
in p-space

From Weyl point to quantum Hall topological insulators

$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface S in 3D momentum space}} dS^k \hat{\mathbf{g}} \cdot (\partial_{p_i} \hat{\mathbf{g}} \times \partial_{p_j} \hat{\mathbf{g}})$$

top. invariant for Weyl point in 3+1 system

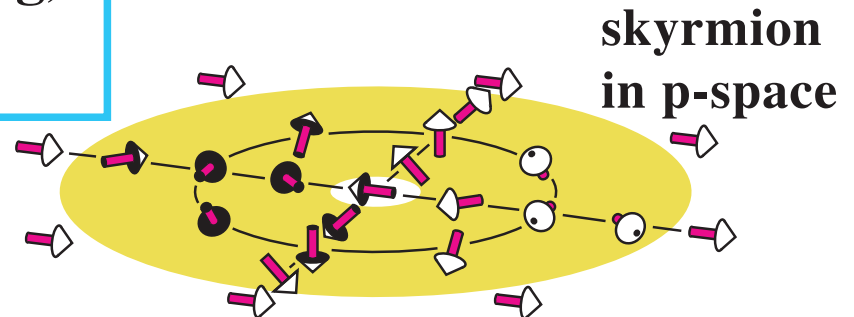


$$N_3 = \tilde{N}_3(p_z < p_0) - \tilde{N}_3(p_z > p_0)$$

at each p_z one has 2D insulator or fully gapped 2D superfluid

$$\tilde{N}_3(p_z) = \frac{1}{4\pi} \int_{\text{over the whole 2D momentum space or over 2D Brillouin zone}} dp_x dp_y \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}})$$

top. invariant for fully gapped 2+1 system



topological insulators & gapped superconductors in 2+1

topological insulator =
bulk insulator
with topologically protected
gapless states on the boundary

topological gapped superconductor =
superconductor with gap in bulk
but with topologically protected
gapless states on the boundary

p-wave 2D superconductor (Sr₂RuO₄ ?), ³He-A thin film,
CdTe/HgTe/Cd insulator quantum well, planar phase of 2D triplet superfluid

generic example:
³He-A thin film

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} \quad p^2 = p_x^2 + p_y^2$$

fully gapped for $\mu \neq 0$

Topological invariant in momentum space

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix}$$

$$H = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix} = \boldsymbol{\tau} \cdot \mathbf{g}(\mathbf{p})$$

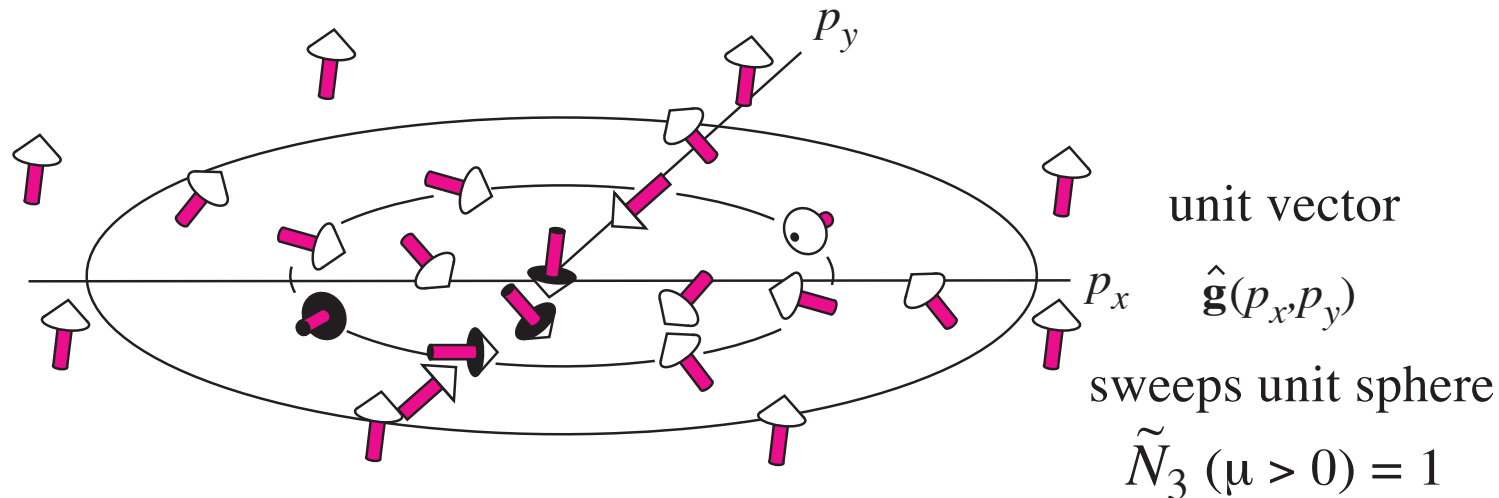
$$p^2 = p_x^2 + p_y^2$$

fully gapped 2D state at $\mu \neq 0$

$$\tilde{N}_3 = \frac{1}{4\pi} \int d^2p \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}})$$

GV, JETP **67**, 1804 (1988)

Skyrmion (coreless vortex) in momentum space at $\mu > 0$

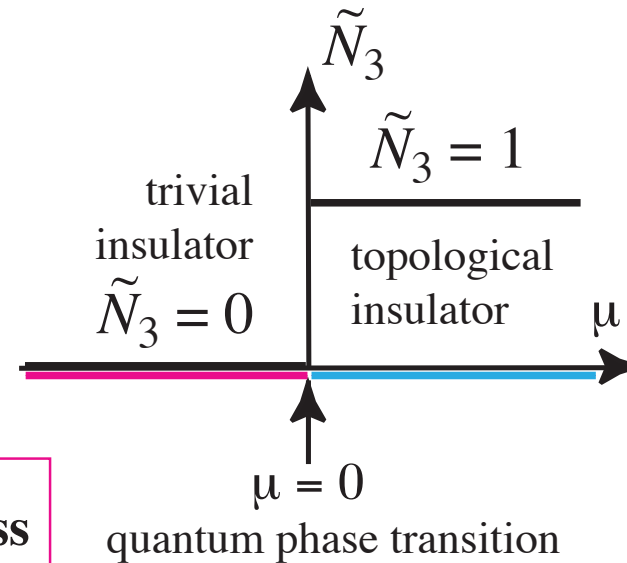


**quantum phase transition:
from topological to non-topological insulator/superconductor**

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix} = \tau \cdot \mathbf{g}(\mathbf{p})$$

Topological invariant in momentum space

$$\tilde{N}_3 = \frac{1}{4\pi} \int d^2p \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}})$$



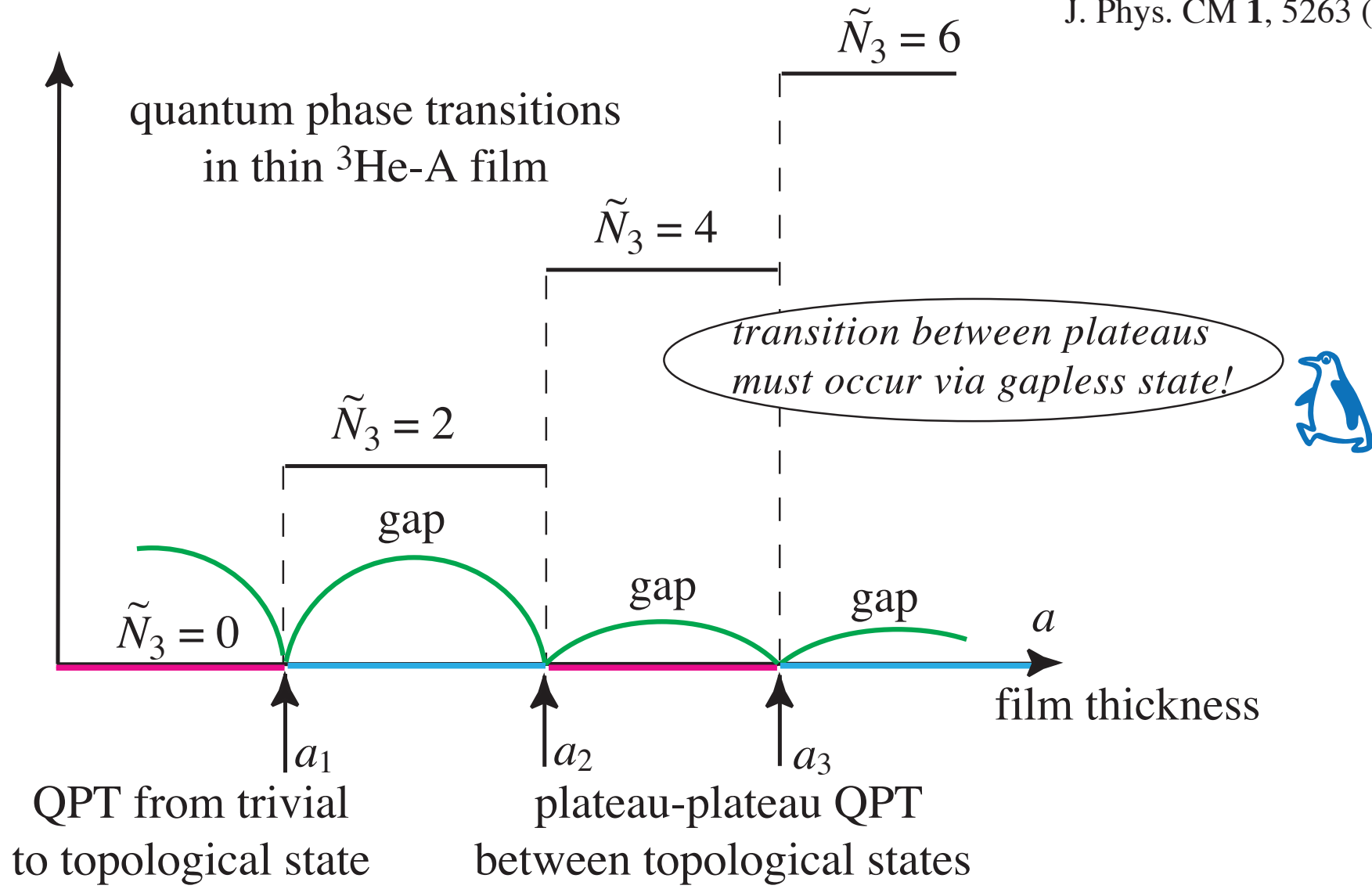
intermediate state at $\mu = 0$ must be gapless

$\Delta\tilde{N}_3 \neq 0$ is origin of fermion zero modes
at the interface between states with different \tilde{N}_3

p -space invariant in terms of Green's function & topological QPT

$$\tilde{N}_3 = \frac{1}{24\pi^2} \epsilon_{\mu\nu\lambda} \text{tr} \int d^2p d\omega \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1}$$

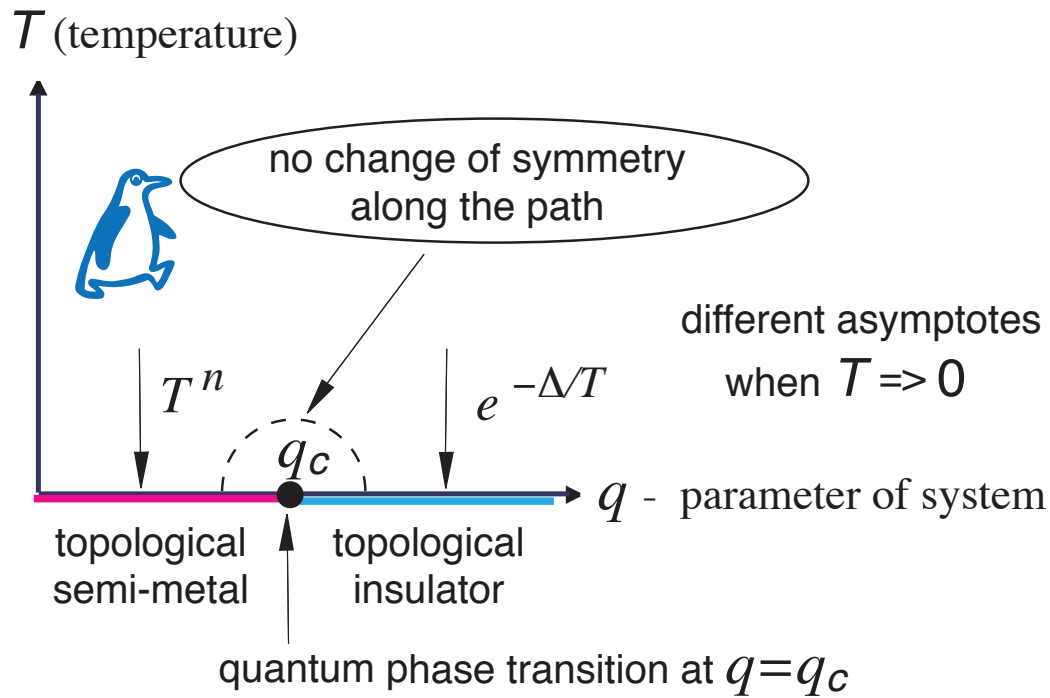
GV & Yakovenko
 J. Phys. CM 1, 5263 (1989)



topological quantum phase transitions

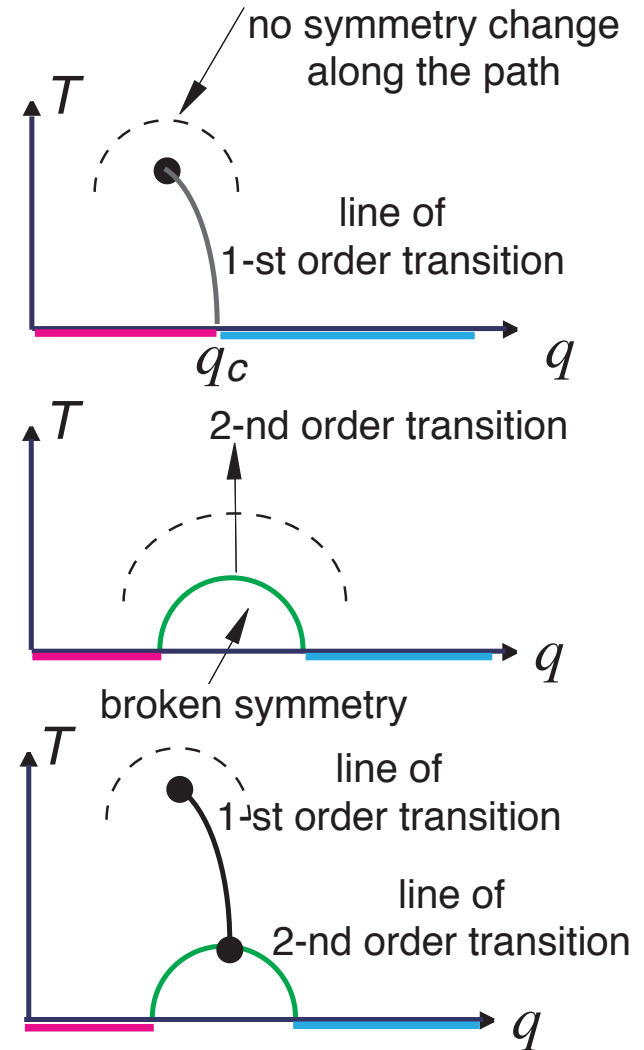
transitions between **ground states (vacua)** of the **same symmetry**,
but **different topology** in **momentum space**

example: QPT between gapless & gapped matter

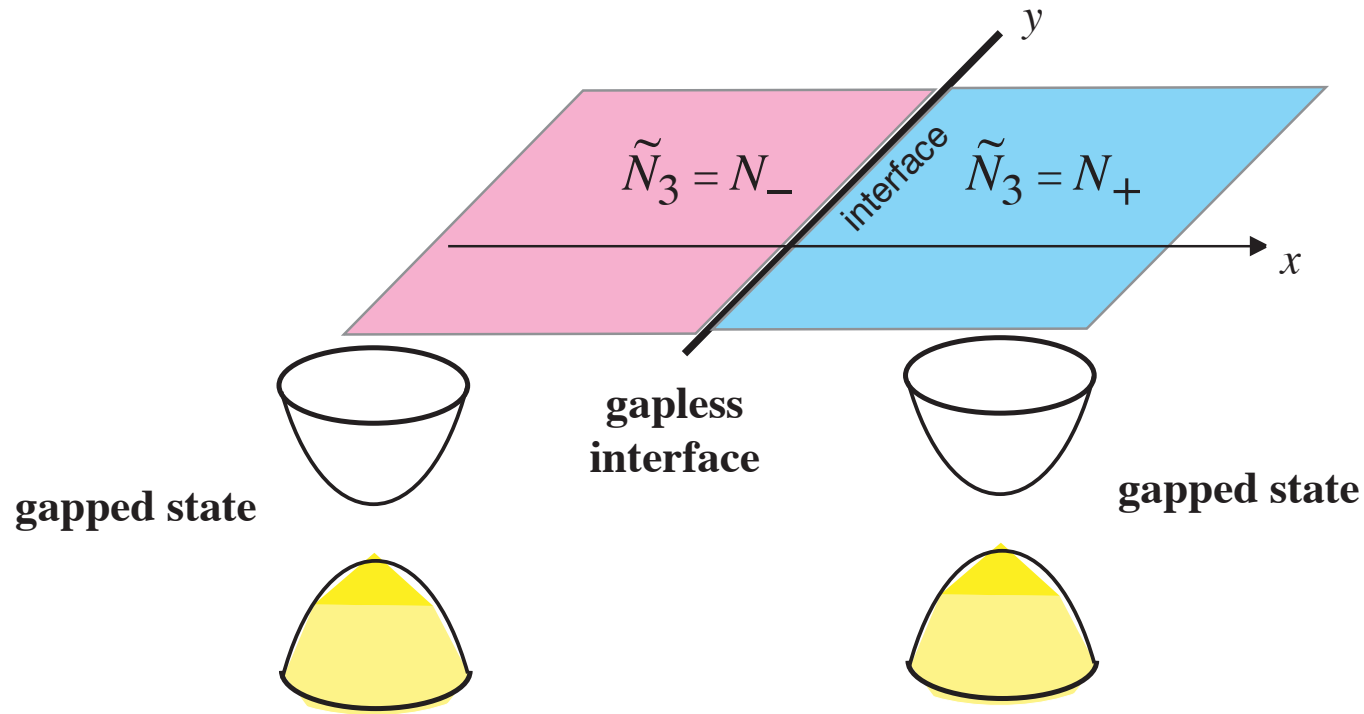


other topological QPT:
Lifshitz transition,
transition between topological and nontopological superfluids,
plateau transitions,
confinement-deconfinement transition, ...

QPT interrupted
by thermodynamic transitions

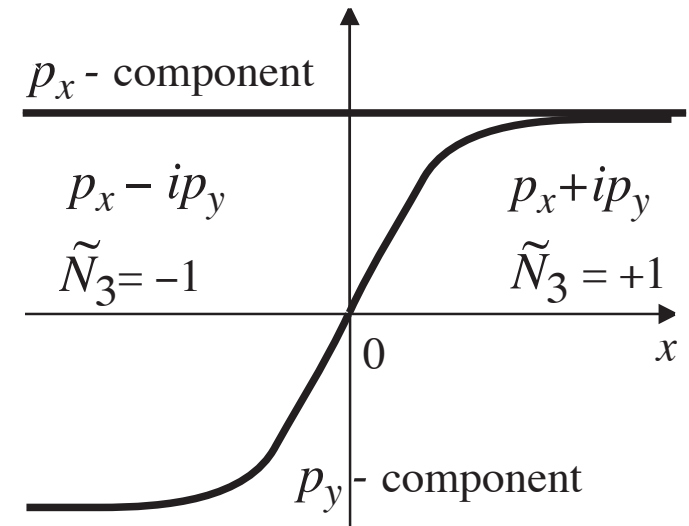


interface between two 2+1 topological insulators or gapped superfluids

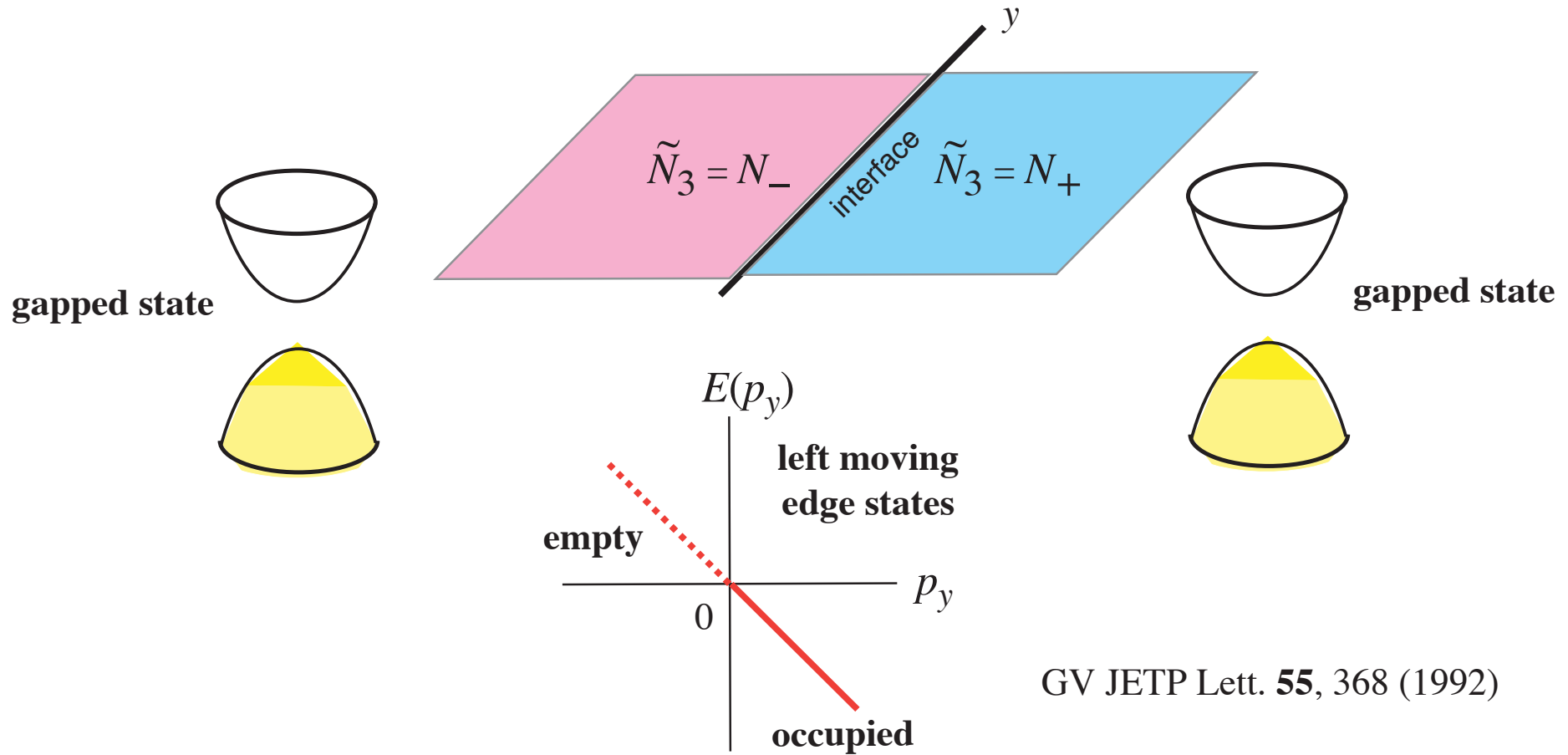


* domain wall in 2D chiral superconductors:

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + i p_y \tanh x) \\ c(p_x - i p_y \tanh x) & -\frac{p^2}{2m} + \mu \end{pmatrix}$$



Edge states at interface between two 2+1 topological insulators or gapped superfluids

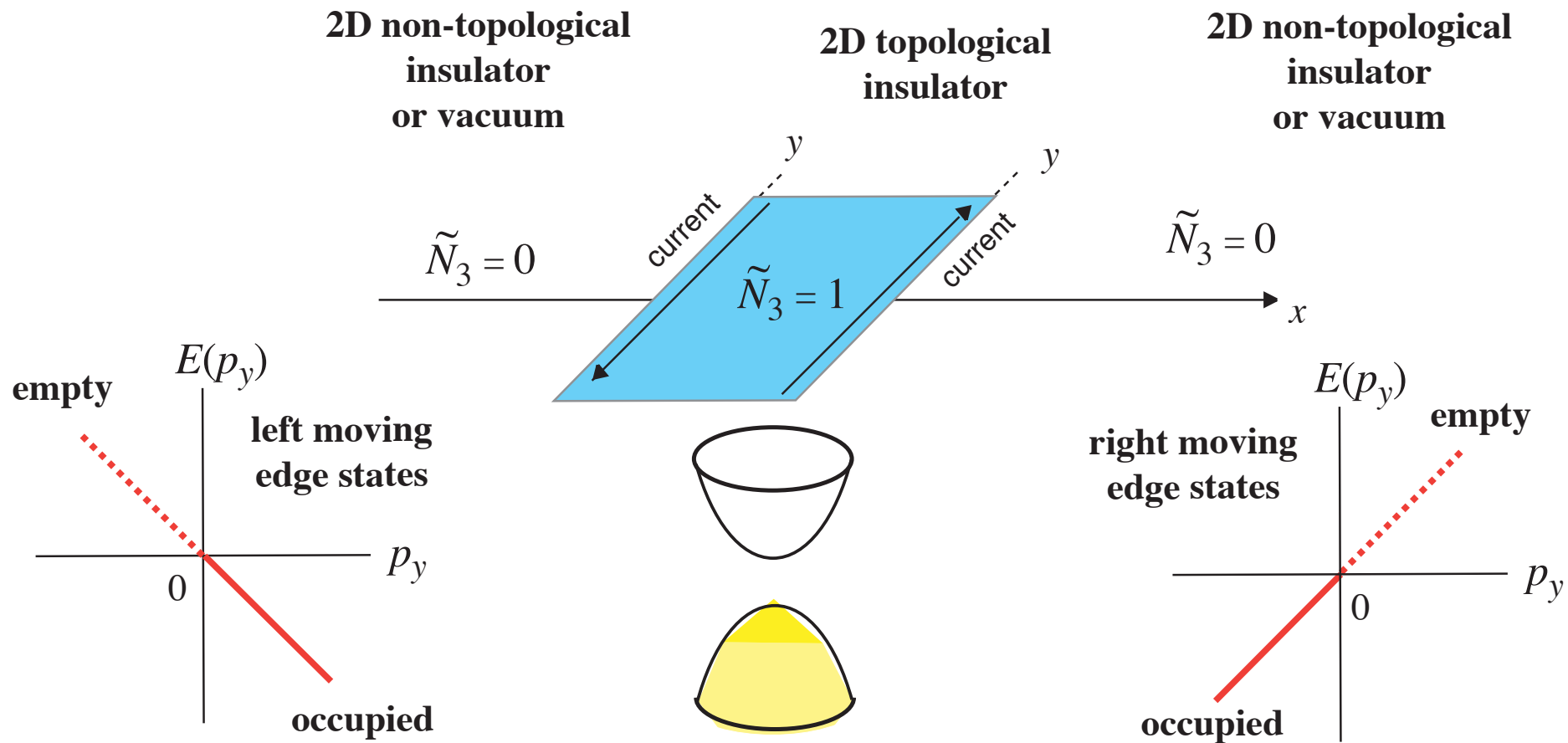


GV JETP Lett. **55**, 368 (1992)

**Index theorem:
number of fermion zero modes
at interface:**

$$\nu = N_+ - N_-$$

Edge states and currents



current $J_y = J_{\text{left}} + J_{\text{right}} = 0$

Intrinsic quantum Hall effect & momentum-space invariant

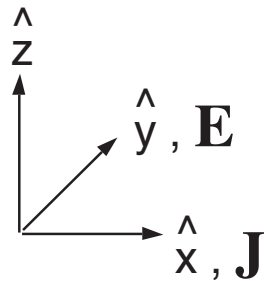
$$S_{CS} = \frac{e^2}{16\pi} \tilde{N}_3 \int d^2x dt A_\mu F_{\nu\lambda}$$

\tilde{N}_3 - **p-space invariant**

$\int d^2x dt$ - **r-space invariant**

A_μ - electromagnetic field

electric current $J_x = \delta S_{CS} / \delta A_x = \frac{e^2}{4\pi} \tilde{N}_3 E_y$

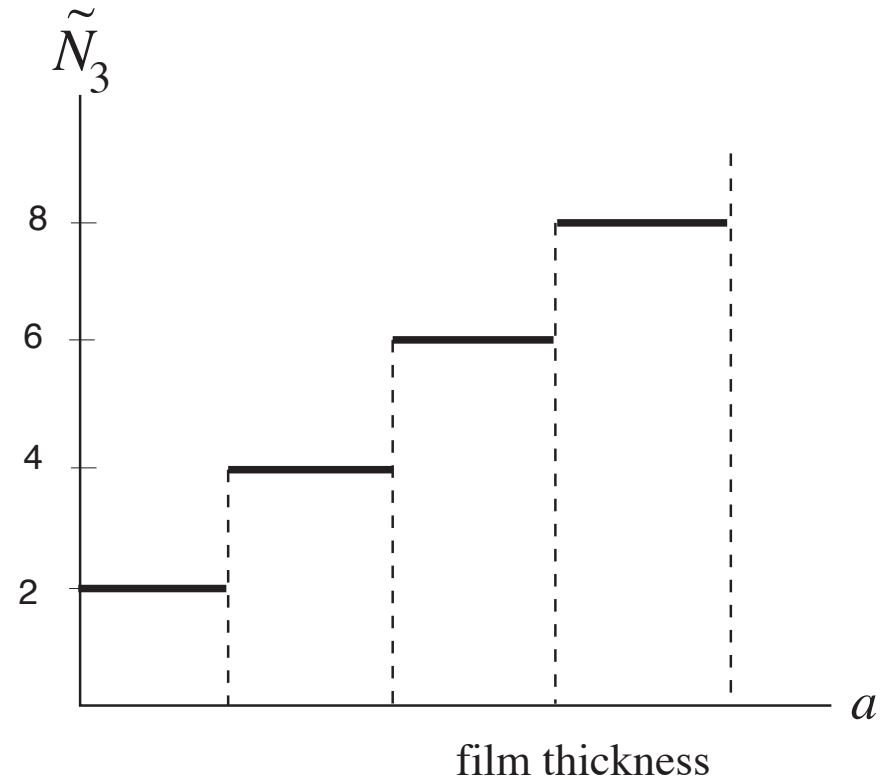


quantized intrinsic Hall conductivity
(without external magnetic field)

$$\sigma_{xy} = \frac{e^2}{4\pi} \tilde{N}_3$$

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film of topological quantum liquid



general Chern-Simons terms & momentum-space invariant

(interplay of r -space and p -space topologies)

$$S_{\text{CS}} = \frac{1}{16\pi} \tilde{N}_{3\text{IJ}} e^{\mu\nu\lambda} \int d^2x dt A_{\mu}^{\text{I}} F_{\nu\lambda}^{\text{J}}$$

r -space invariant

p -space invariant protected by symmetry

$$\tilde{N}_{3\text{IJ}} = \frac{1}{24\pi^2} e_{\mu\nu\lambda} \text{tr} \left[\int d^2p d\omega K_{\text{I}} K_{\text{J}} \mathbf{G} \partial^{\mu} \mathbf{G}^{-1} \mathbf{G} \partial^{\nu} \mathbf{G}^{-1} \mathbf{G} \partial^{\lambda} \mathbf{G}^{-1} \right]$$

K_{I} - charge interacting with gauge field A_{μ}^{I}

$K=e$ for electromagnetic field A_{μ}

$K=\hat{\sigma}_z$ for effective spin-rotation field A_{μ}^z ($A_0^z = \gamma H^z$)

$$id/dt - \gamma \hat{\sigma} \cdot \mathbf{H} = id/dt - \hat{\sigma} \cdot \mathbf{A}_0$$

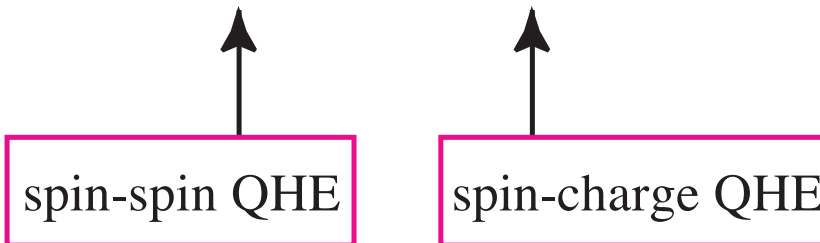
applied Pauli magnetic field plays the role of components of effective SU(2) gauge field A_{μ}^i

gauge fields can be real, artificial or auxiliary



Intrinsic spin-current quantum Hall effect & momentum-space invariant

$$\text{spin current } J_x^z = \frac{1}{4\pi} (\gamma N_{ss} dH^z/dy + N_{se} E_y)$$



2D singlet superconductor:

$\sigma_{xy}^{\text{spin/spin}} = \frac{N_{ss}}{4\pi}$	<i>s</i> -wave:	$N_{ss} = 0$
	$p_x + ip_y$:	$N_{ss} = 2$
	$d_{xx-yy} + id_{xy}$:	$N_{ss} = 4$

film of planar phase of superfluid ^3He

$\sigma_{xy}^{\text{spin/charge}} = \frac{N_{se}}{4\pi}$
--

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J. Phys. CM **1**, 5263 (1989)

planar phase film of ^3He & 2D topological insulator

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + i p_y \sigma_z) \\ c(p_x - i p_y \sigma_z) & -\frac{p^2}{2m} + \mu \end{pmatrix}$$

$$\tilde{N}_3 = \frac{1}{24\pi^2} e_{\mu\nu\lambda} \text{tr} \left[\int d^2p d\omega \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1} \right] = 0$$

$$\tilde{N}_{se} = \frac{1}{24\pi^2} e_{\mu\nu\lambda} \text{tr} \left[\int d^2p d\omega \sigma_z \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1} \right]$$

$$\tilde{N}_3^+ = +1 \quad \tilde{N}_3^- = -1$$

$$\tilde{N}_3 = \tilde{N}_3^+ + \tilde{N}_3^- = 0 \quad \tilde{N}_{se} = \tilde{N}_3^+ - \tilde{N}_3^- = 2$$

spin quantum Hall effect

$$\text{spin current } J_x^z = \frac{1}{4\pi} N_{se} E_y$$

spin-charge QHE

$$\sigma_{xy}^{\text{spin/charge}} = \frac{N_{se}}{4\pi}$$

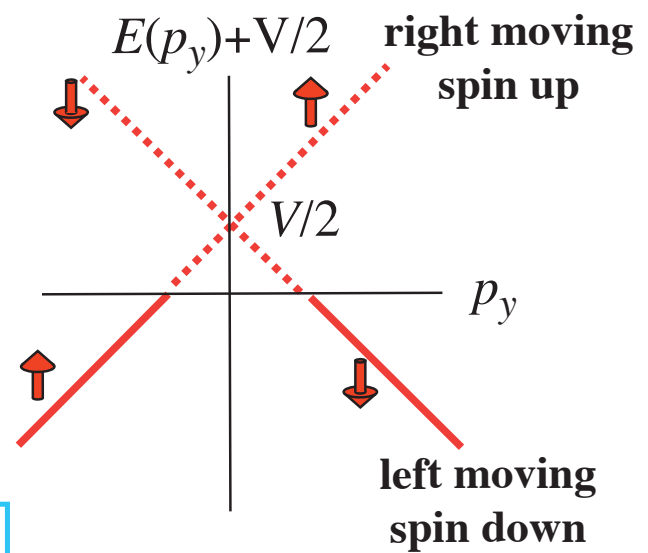
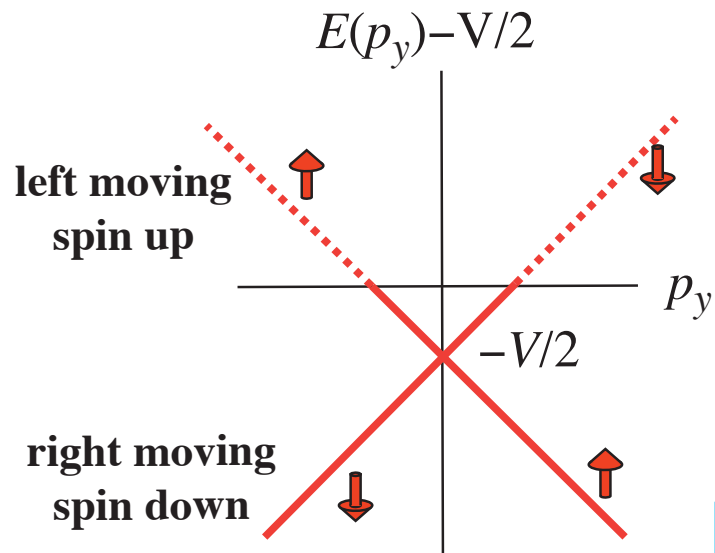
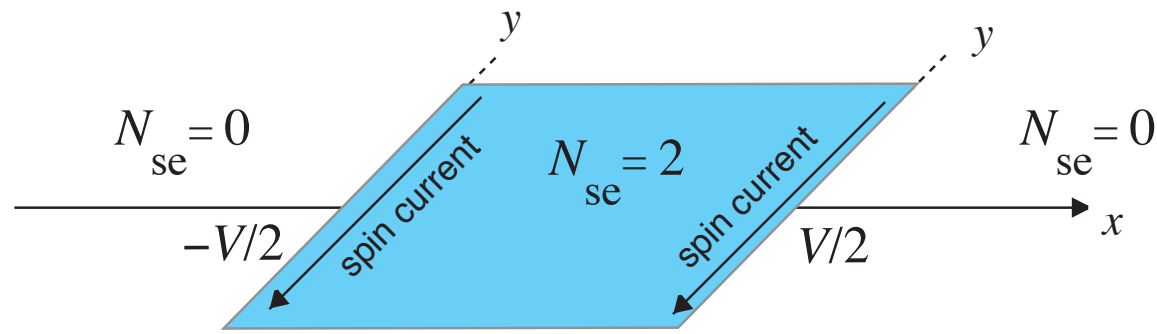
$$N_{se} = 2$$

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Intrinsic spin-current quantum Hall effect & edge state

spin current $J_x^z = \frac{1}{4\pi} (\gamma N_{ss} dH^z/dy + N_{se} E_y)$

spin-charge QHE



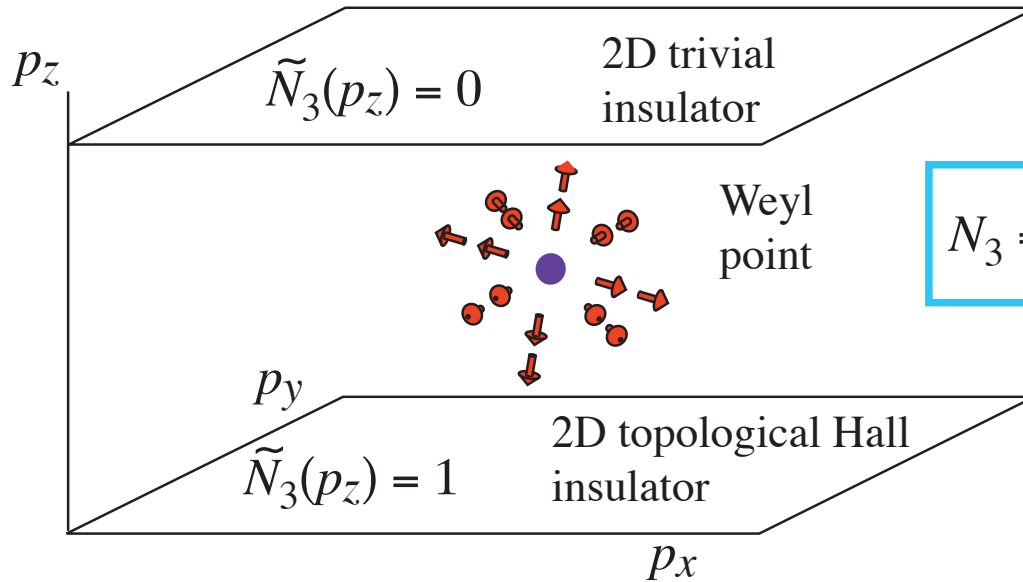
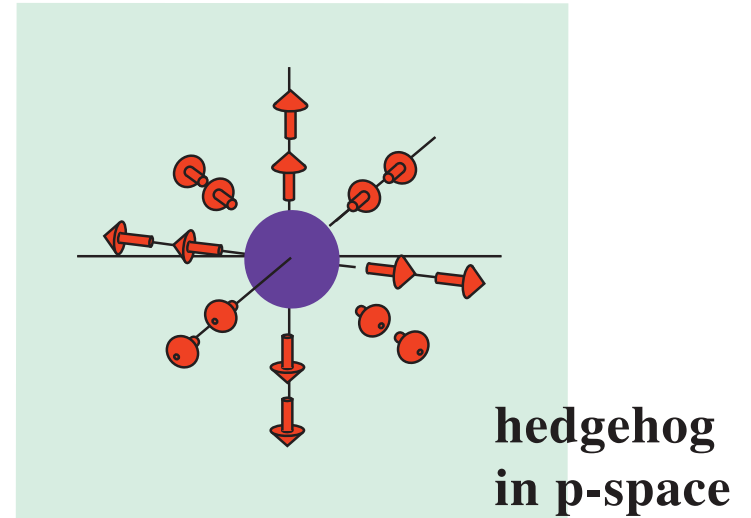
$\sigma_{xy}^{\text{spin/charge}} = \frac{N_{se}}{4\pi}$

electric current is zero
spin current is nonzero

From Weyl point to quantum Hall topological insulators

$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface S in 3D momentum space}} dS^k \hat{\mathbf{g}} \cdot (\partial_{p_i} \hat{\mathbf{g}} \times \partial_{p_j} \hat{\mathbf{g}})$$

top. invariant for Weyl point in 3+1 system

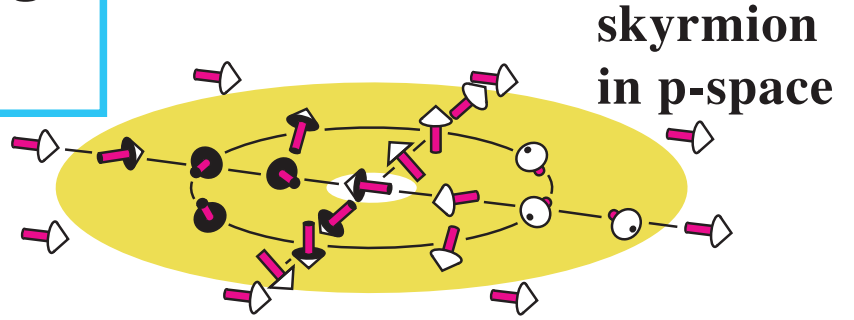


$$N_3 = \tilde{N}_3(p_z < p_0) - \tilde{N}_3(p_z > p_0)$$

at each p_z one has 2D insulator or fully gapped 2D superfluid

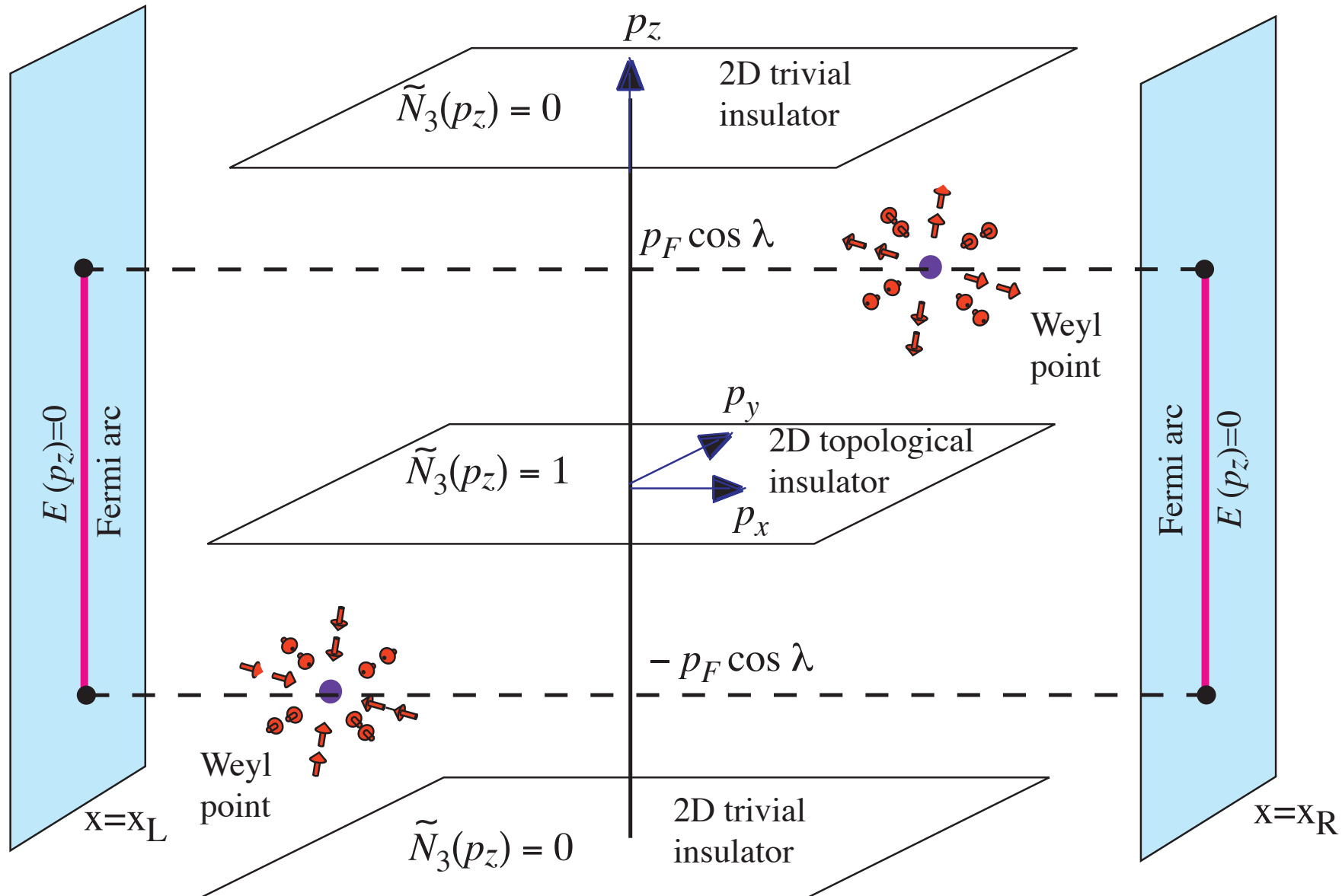
$$\tilde{N}_3(p_z) = \frac{1}{4\pi} \int_{\text{over the whole 2D momentum space or over 2D Brillouin zone}} dp_x dp_y \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}})$$

top. invariant for fully gapped 2+1 system

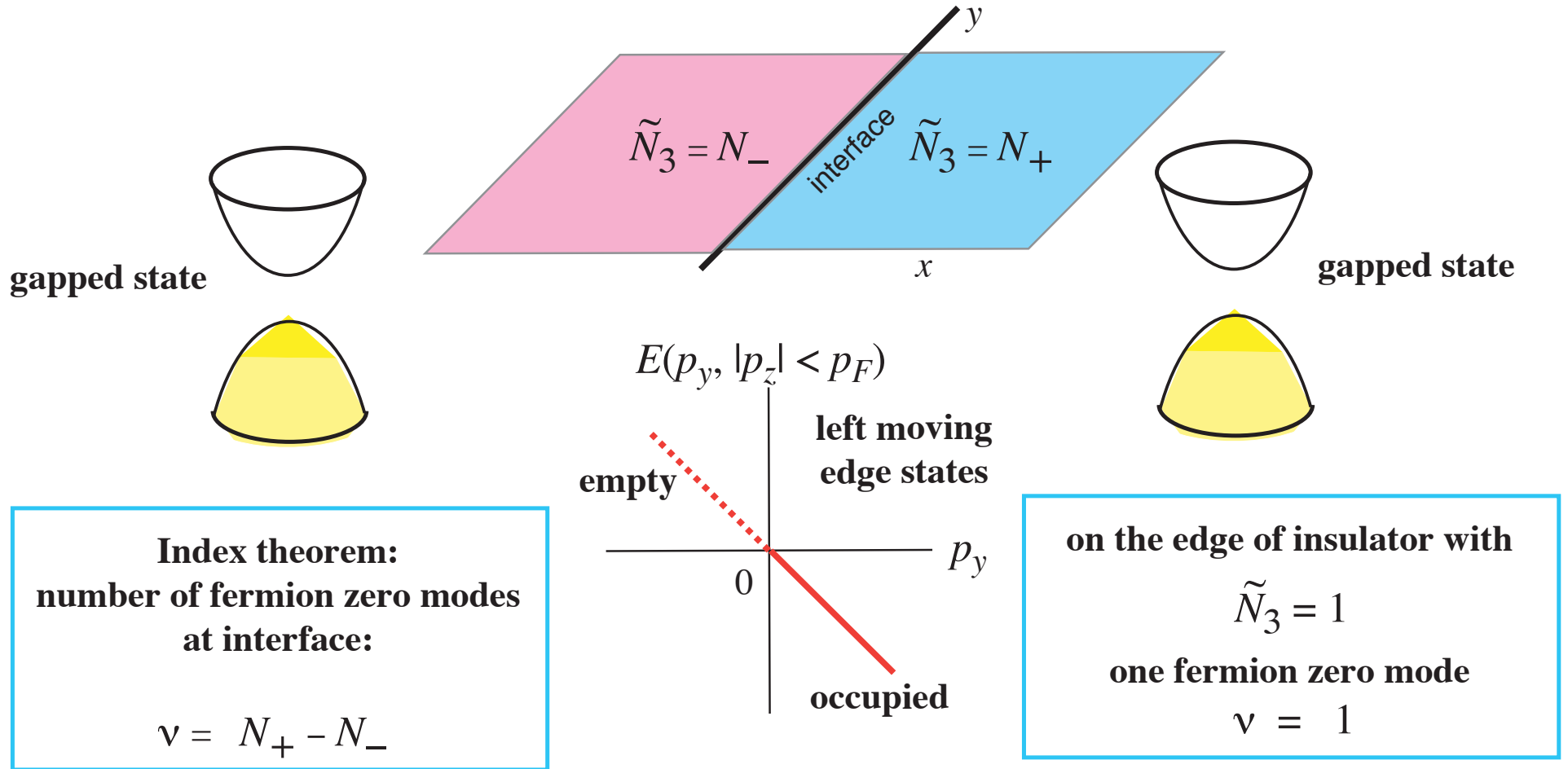


3He-A with Weyl points: Topologically protected Fermi arc on the surface

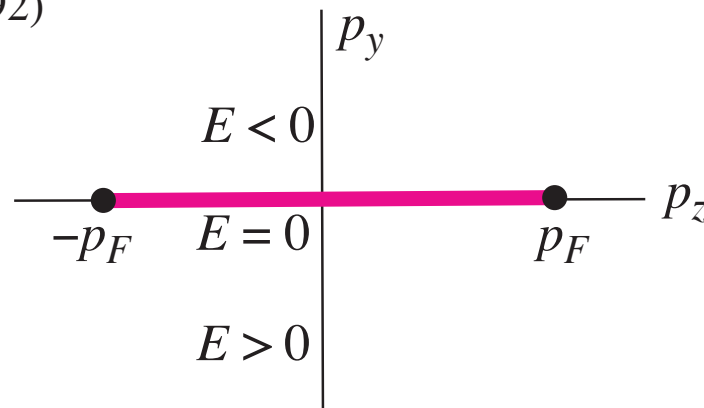
for each $|p_z| < p_F \cos \lambda$
one has 2D topological Hall insulator with
zero energy edge states $E(p_z)=0$
(Dirac valley or **Fermi arc** PRB 094510, PRB 205101)



Edge states at interface between effective two 2+1 topological insulators & Fermi arc



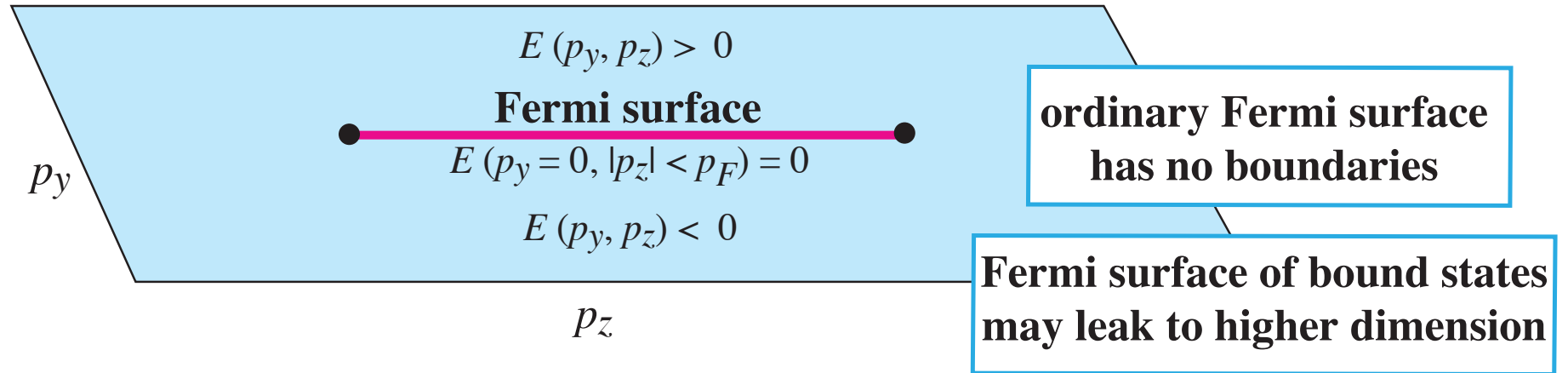
GV JETP Lett. **55**, 368 (1992)



**Fermi arc in 2D:
Fermi surface which terminates
on two points:
projections of Weyl points**

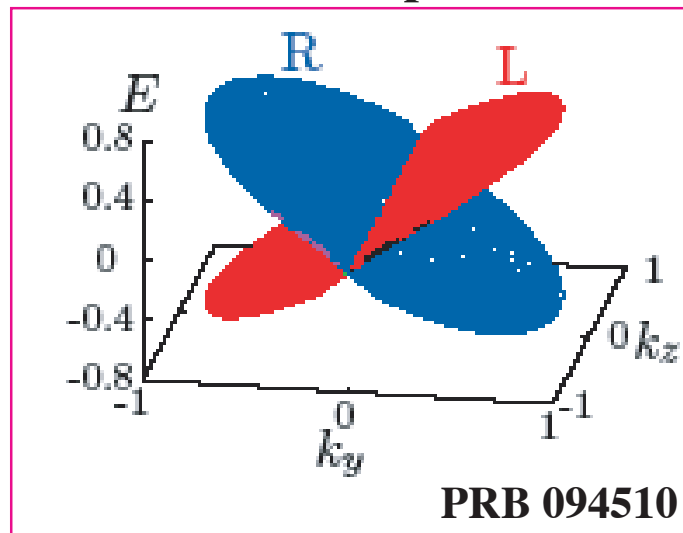
Fermi arc:

Fermi surface separates positive and negative energies, but has boundaries



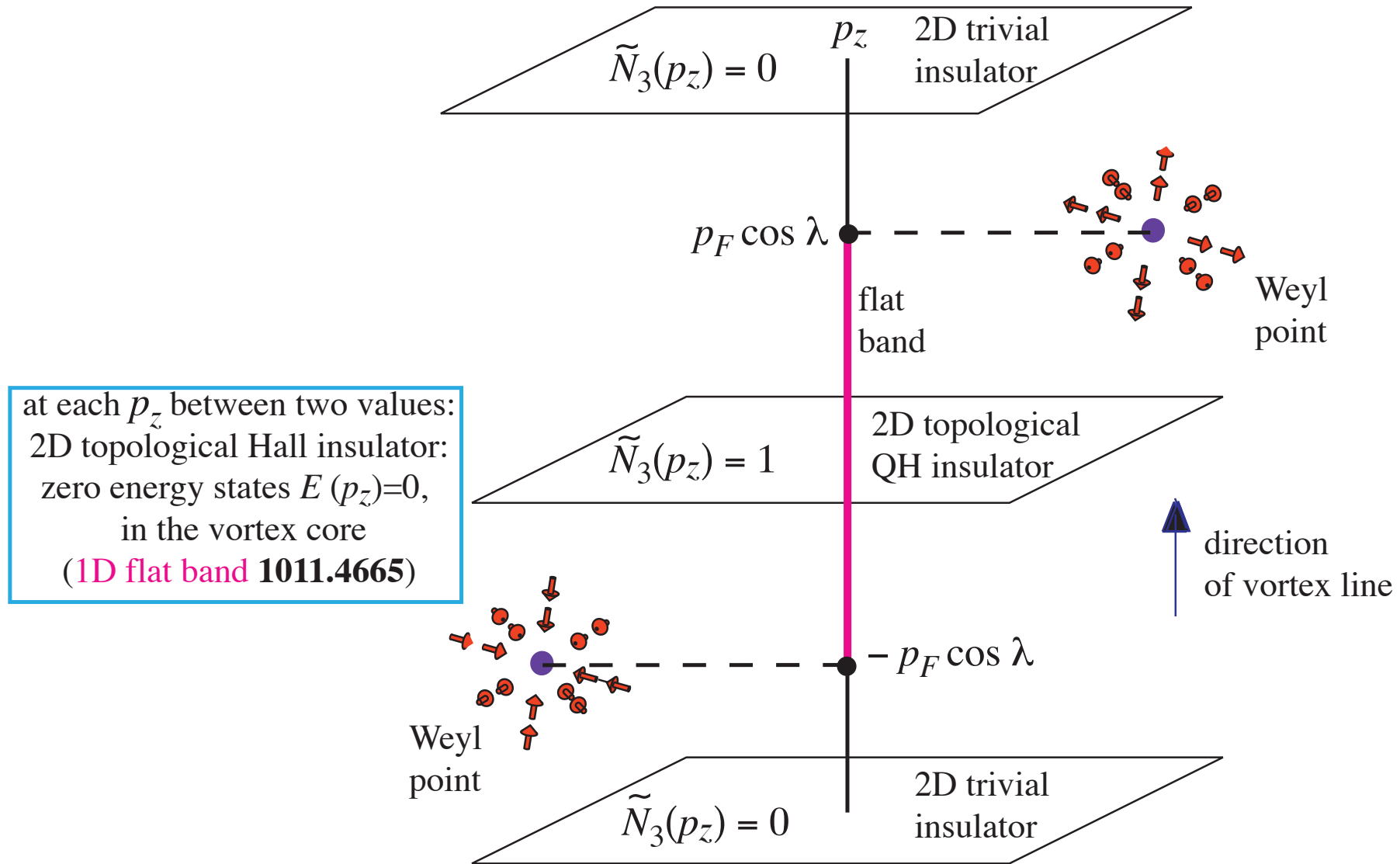
Fermi surface of localized states is terminated by projections of Weyl points when localized states merge with continuous spectrum

L spectrum of edge states on left wall



R spectrum of edge states on right wall

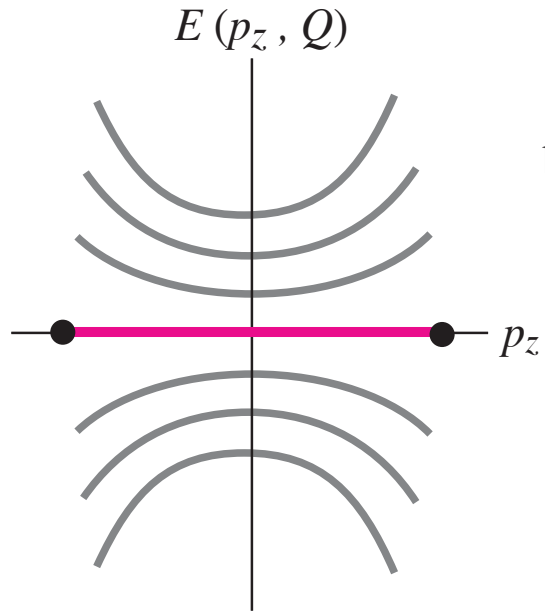
3D matter with Weyl points: Topologically protected flat band in vortex core



$$\tilde{N}_3(p_z) = \frac{1}{4\pi^2} \text{tr} \int dp_x dp_y d\omega \mathbf{G} \partial_\omega \mathbf{G}^{-1} \mathbf{G} \partial_{p_x} \mathbf{G}^{-1} \mathbf{G} \partial_{p_y} \mathbf{G}^{-1}$$

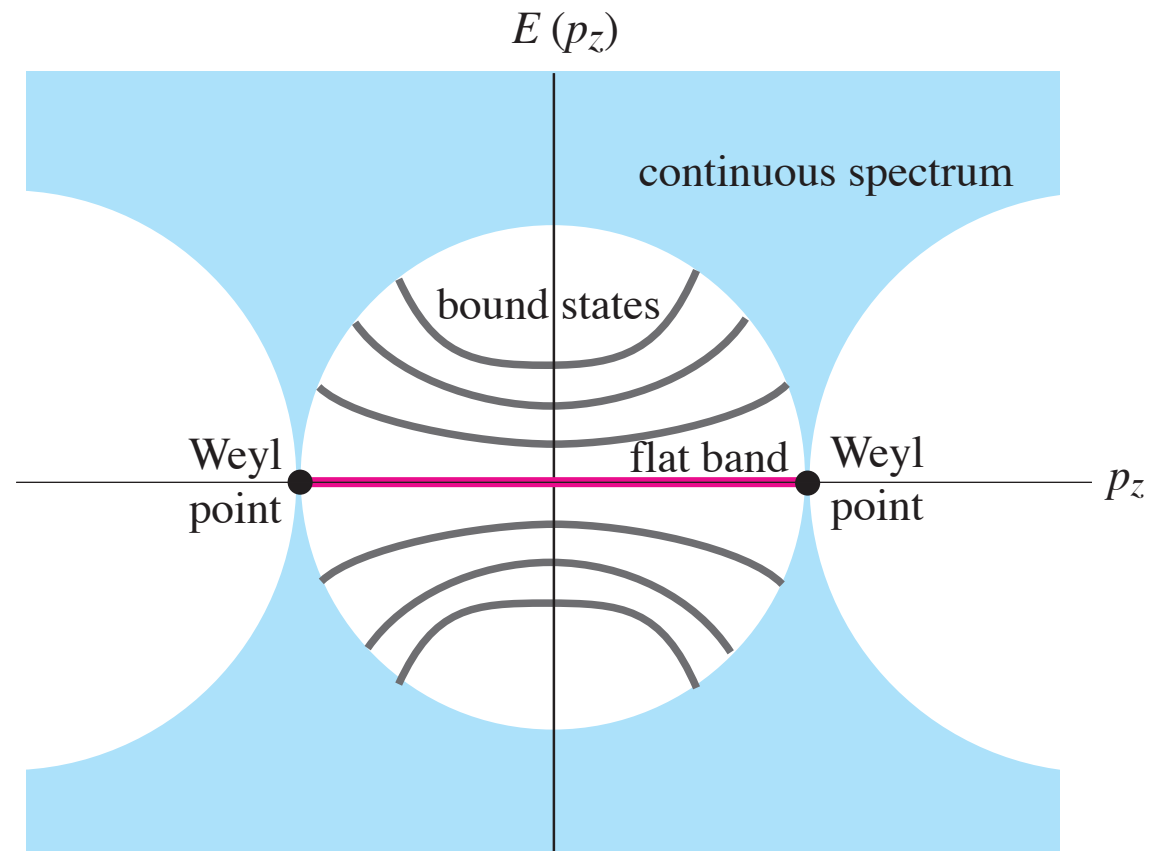
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(1989)

Topologically protected flat band in vortex core of superfluids with Weyl points



flat band
in spectrum of fermions
bound to core of $^3\text{He-A}$ vortex
(Kopnin-Salomaa 1991)

flat band of bound states
terminates on zeroes
of continuous spectrum
(i.e on Weyl points)



topology of graphene nodes

$$N = \frac{1}{4\pi i} \text{tr} [\mathbf{K} \oint dl \mathbf{H}^{-1} \partial_l \mathbf{H}]$$

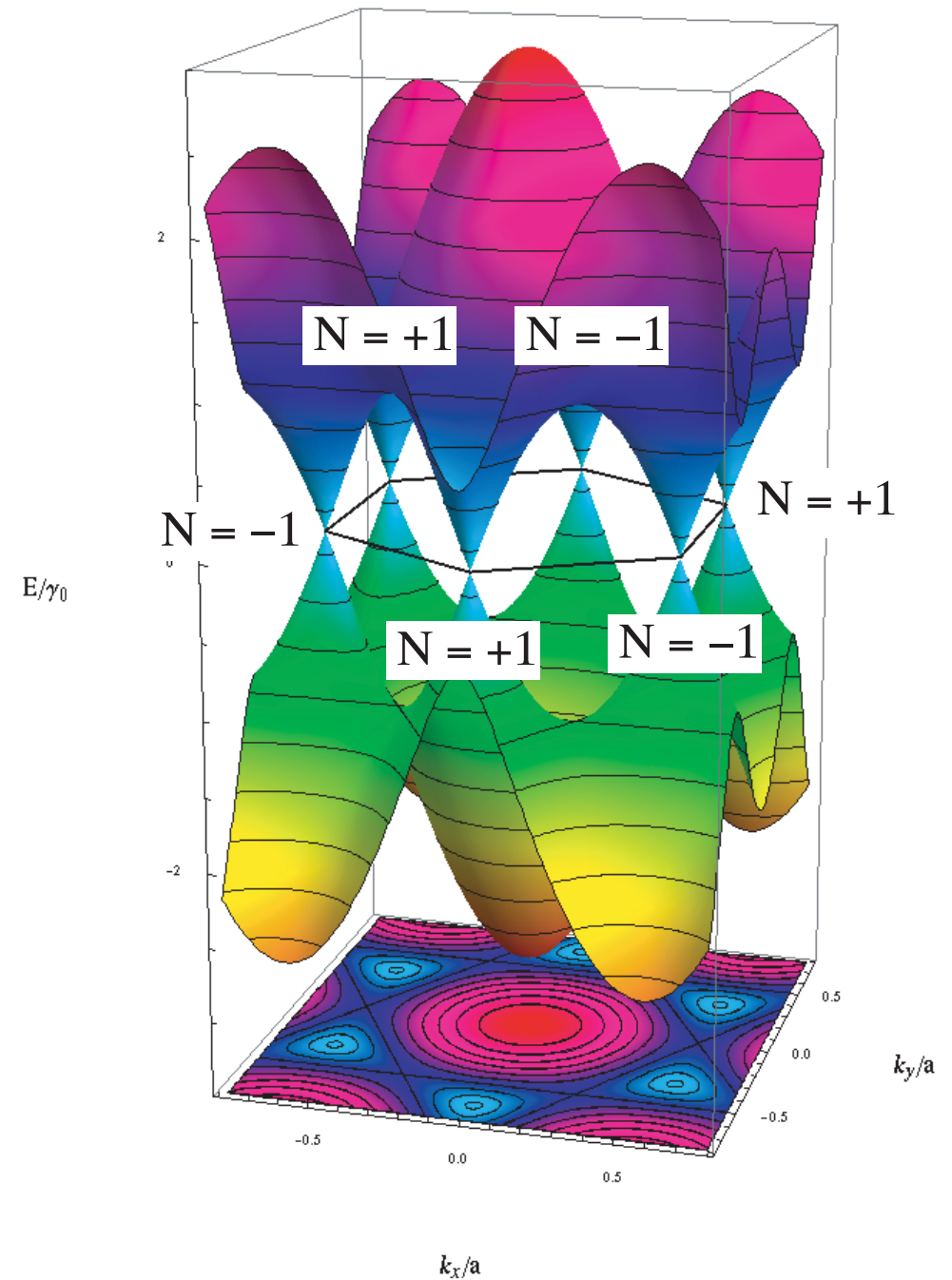
\mathbf{K} - symmetry operator,
commuting or anti-commuting with \mathbf{H}

close to nodes:

$$\mathbf{H}_{N=+1} = \tau_x p_x + \tau_y p_y$$

$$\mathbf{H}_{N=-1} = \tau_x p_x - \tau_y p_y$$

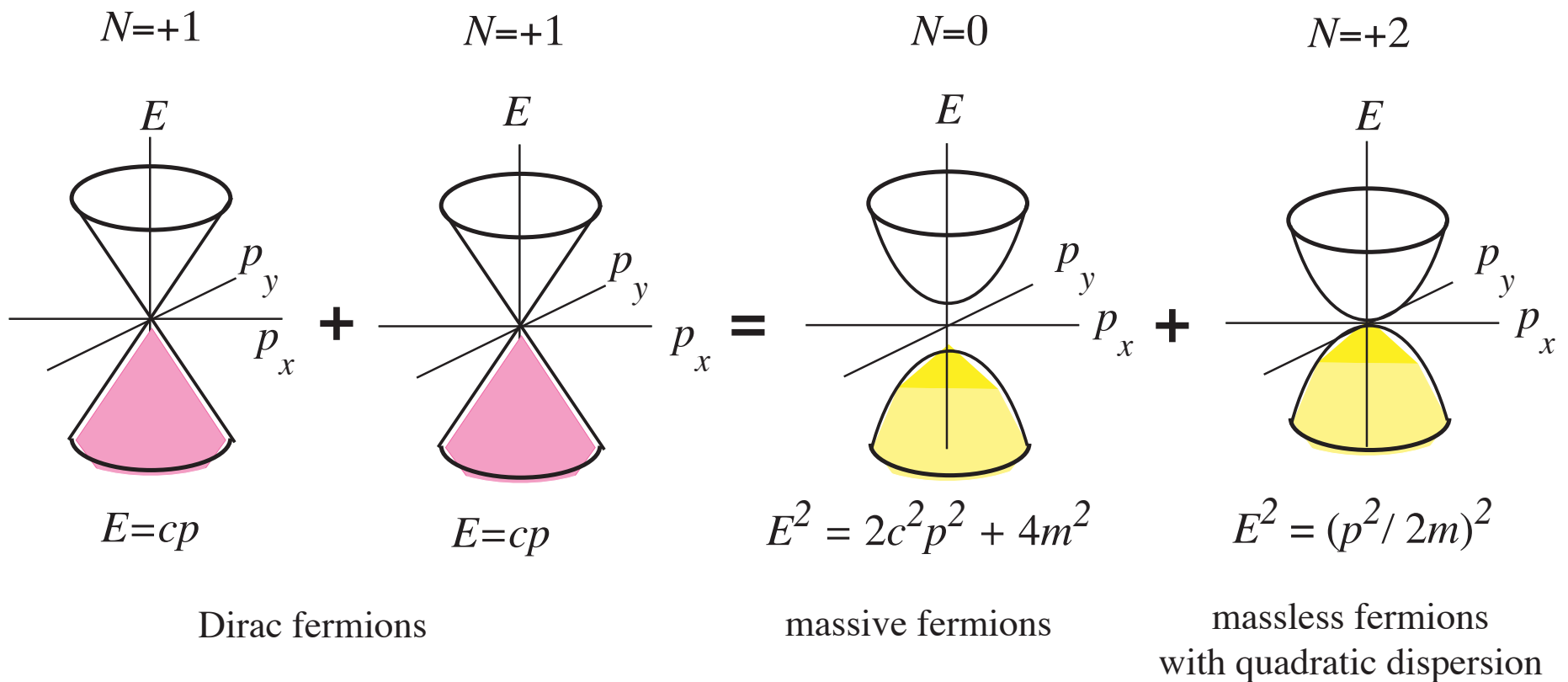
$$\mathbf{K} = \tau_z$$



exotic fermions:
 massless fermions with quadratic dispersion
 semi-Dirac fermions
 fermions with cubic and quartic dispersion

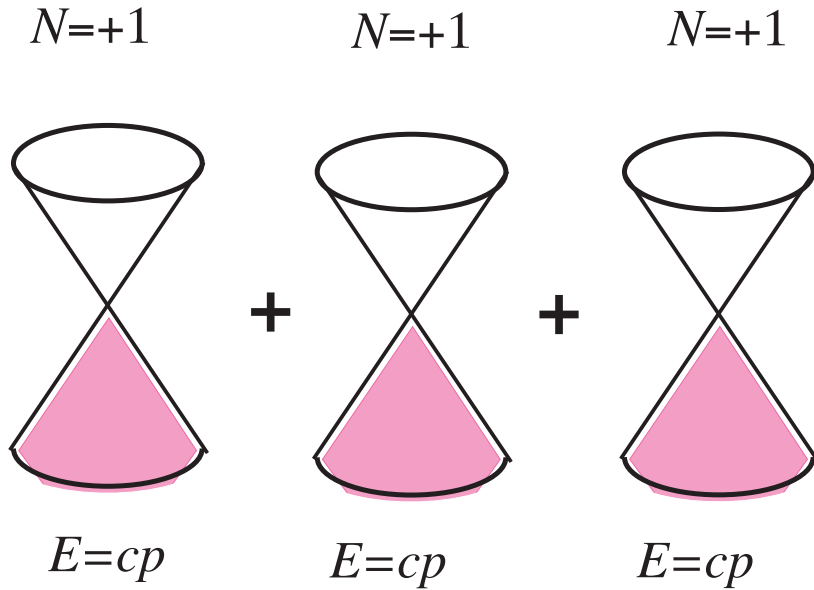
bilayer graphene
double cuprate layer
surface of top. insulator
neutrino physics

$$N = \frac{1}{4\pi i} \text{tr} \left[\mathbf{K} \oint dl \mathbf{H}^{-1} \partial_l \mathbf{H} \right]$$

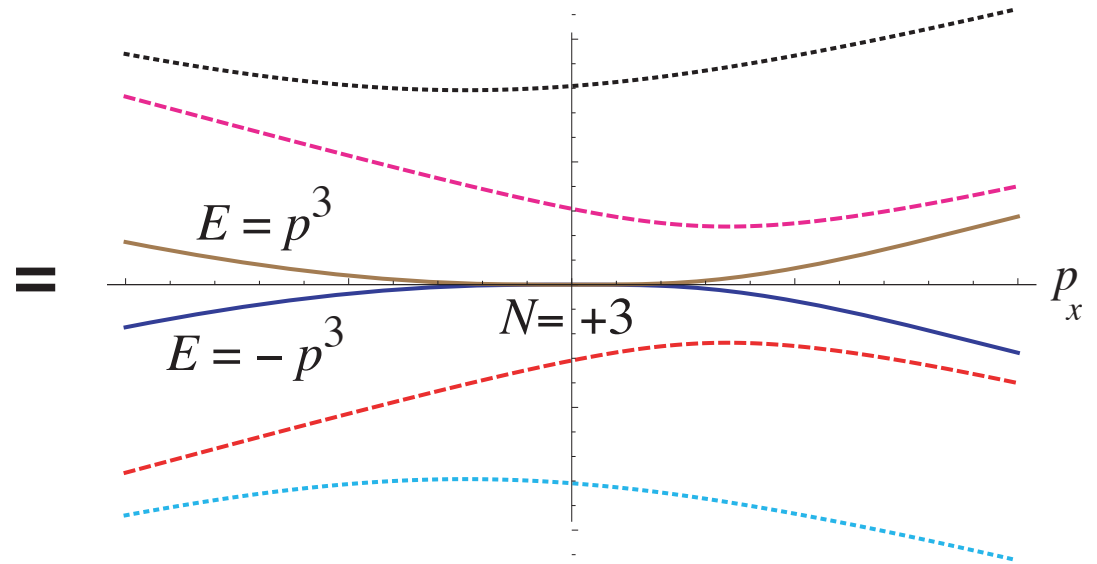


multiple Fermi point

cubic spectrum in trilayer graphene



$$N = 1 + 1 + 1 = 3$$



multilayered graphene

$$N = 1 + 1 + 1 + \dots$$

spectrum in the outer layers

$$E = p^N$$
$$E = -p^N$$

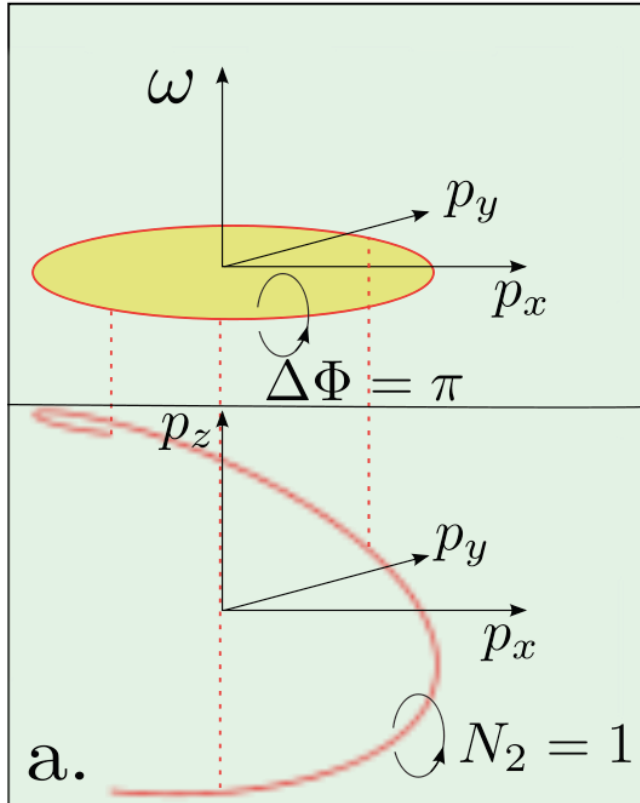
what kind of induced gravity emerges near degenerate Fermi point?



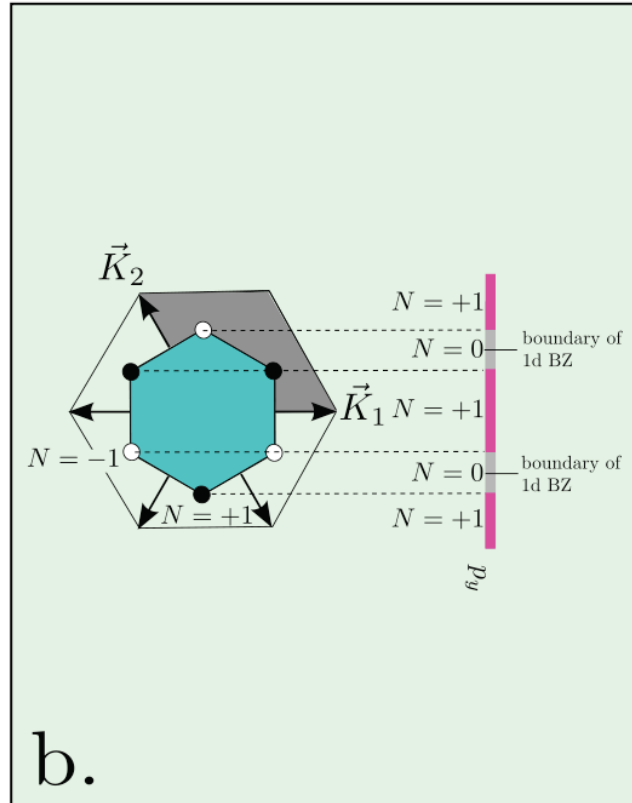
route to topological flat band on the surface of 3D material

Flat bands in topological matter

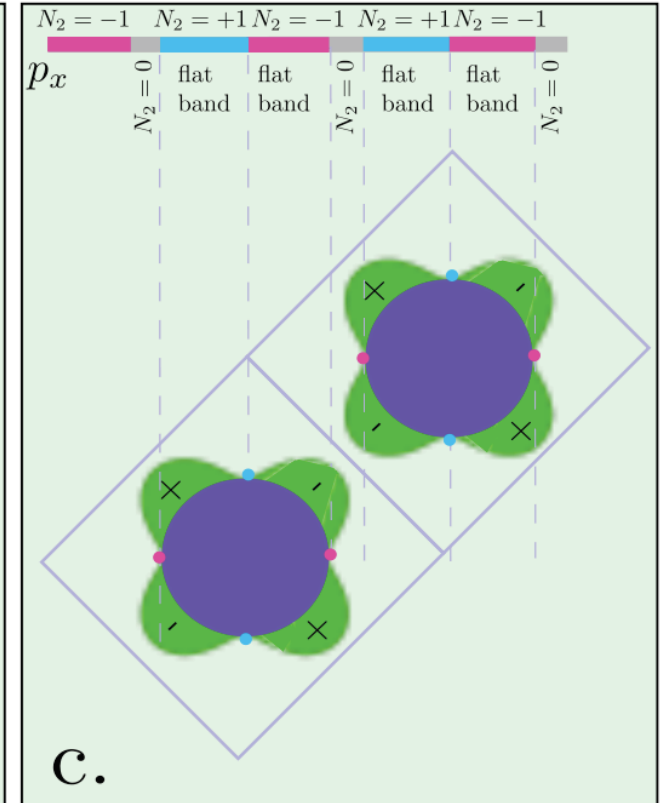
flat band: half-quantum vortex in \mathbf{p} -space



nodal spiral in multilayered graphene
generates flat band with zero energy
in the top and bottom layers

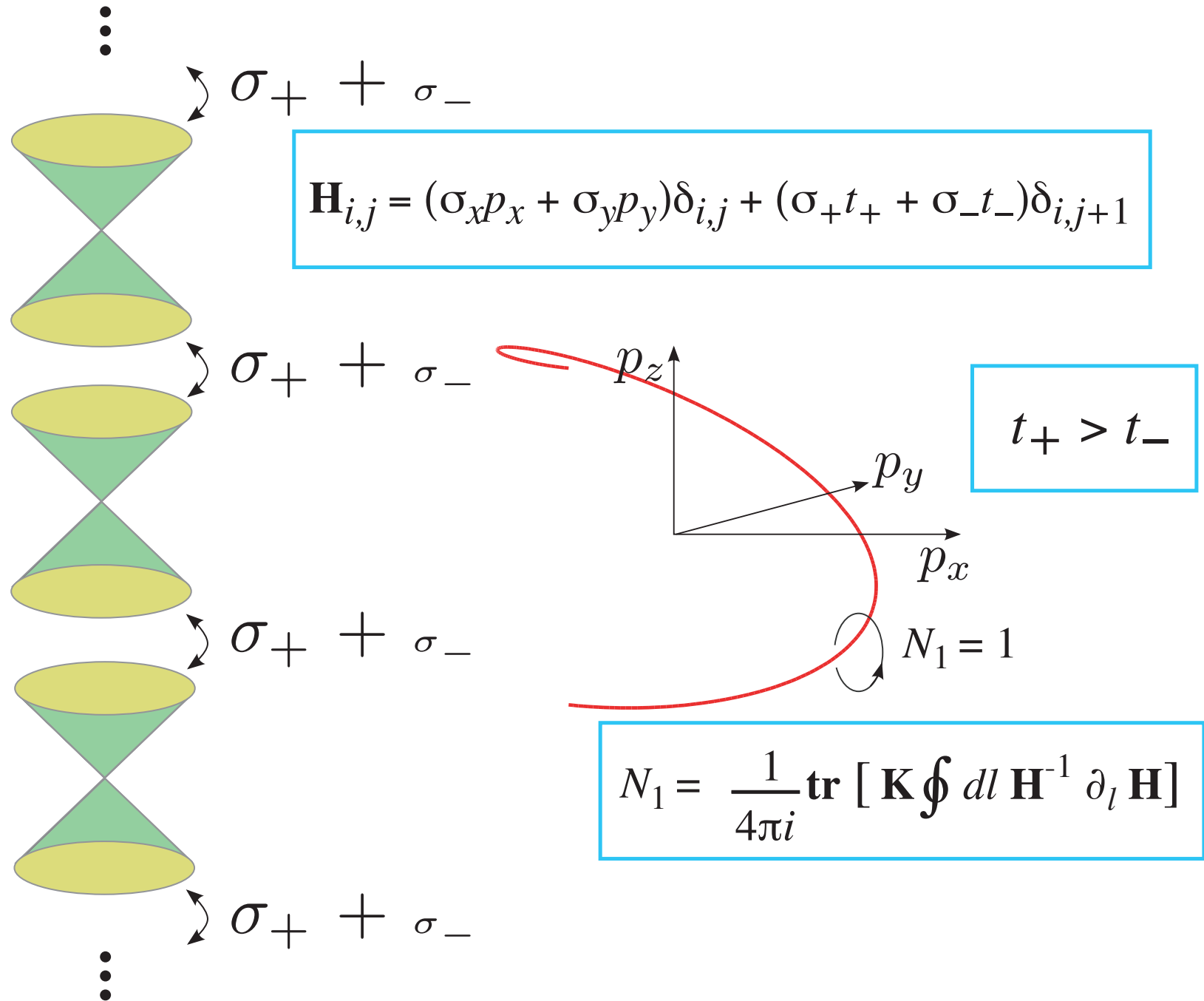


nodes in graphene
generate flat band on zigzag edge

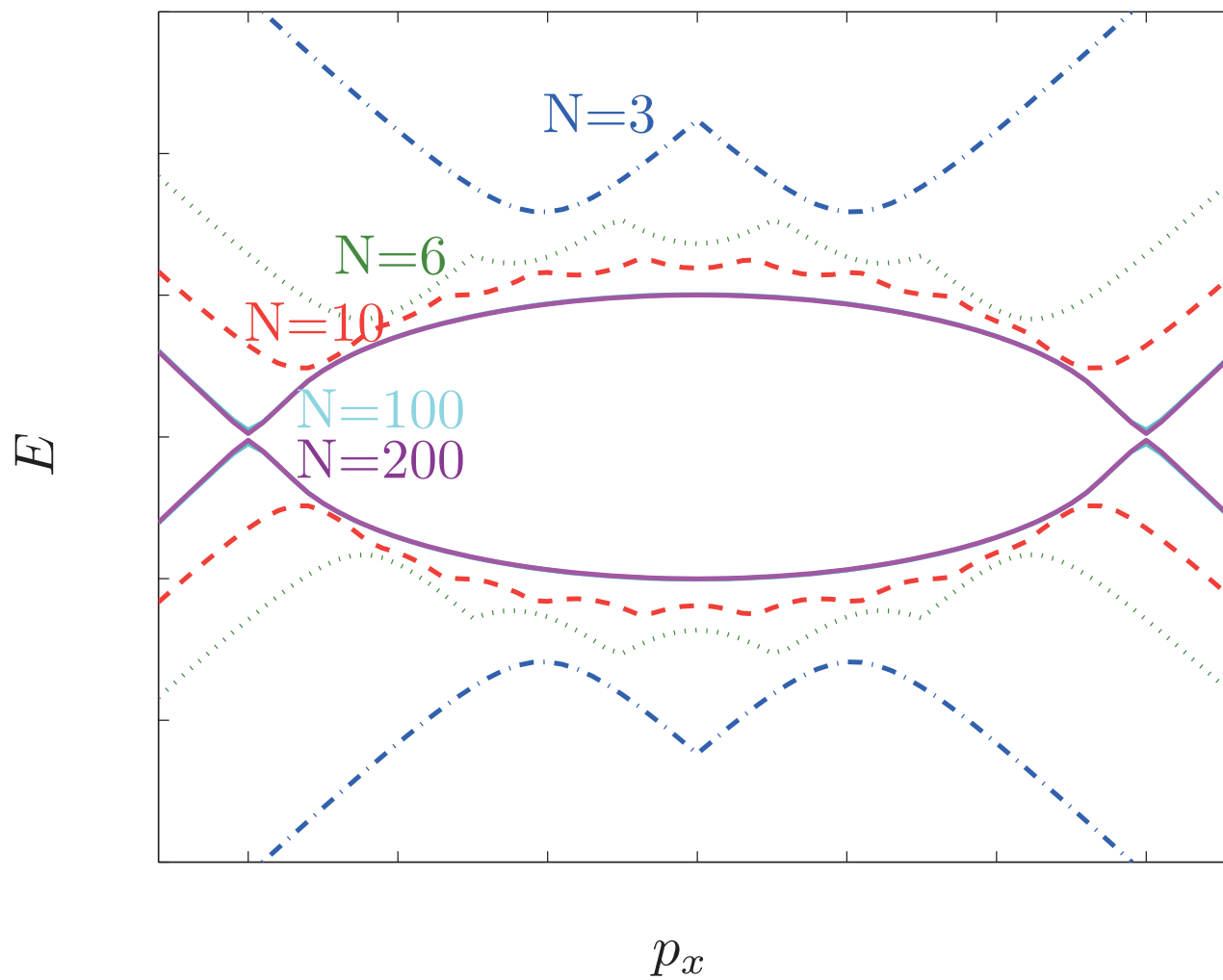


nodal lines
in cuprate superconductors
generate flat band on side surface

**formation of nodal spiral in bulk (together with flat band on the surface)
by stacking of graphene layers**

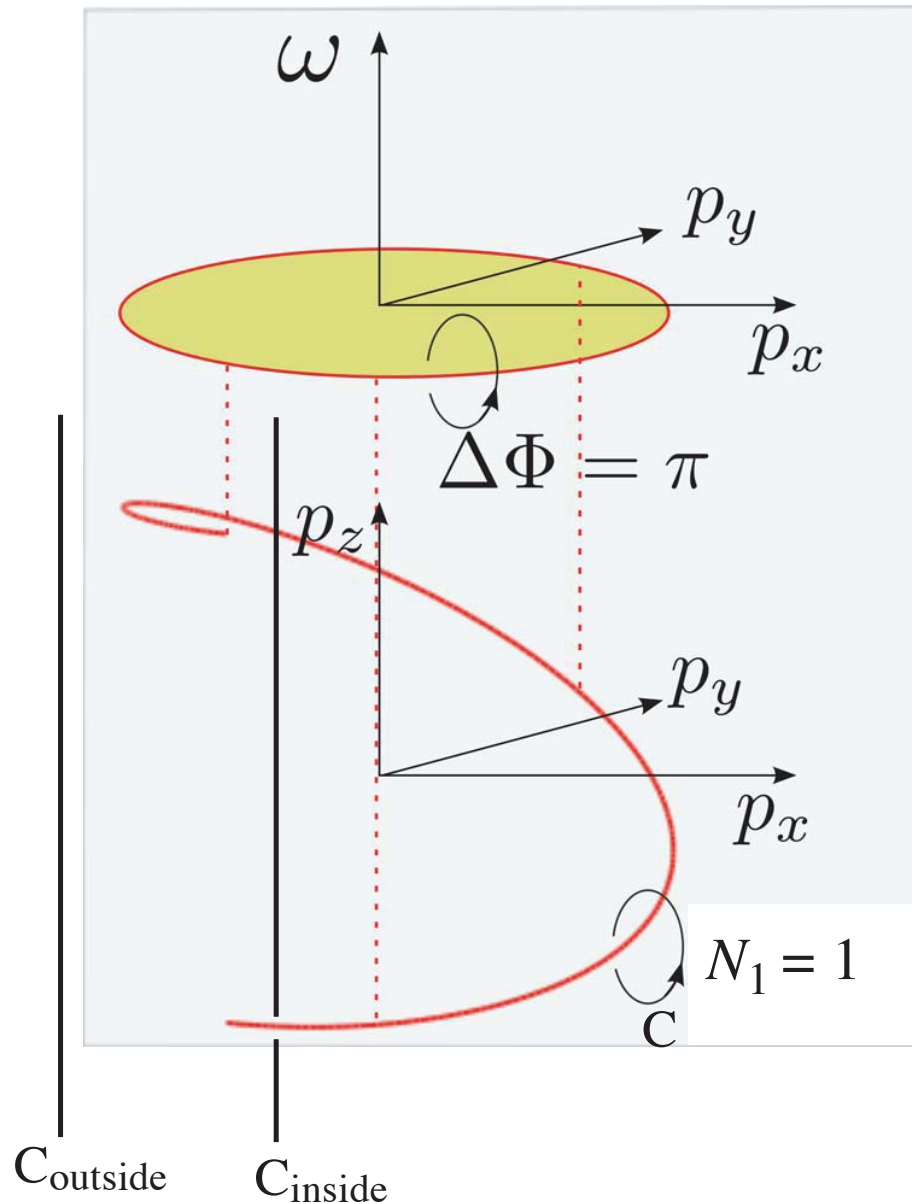


Emergence of nodal line from gapped branches by stacking graphene layers



Nodal spiral generates flat band on the surface

projection of spiral on the surface determines boundary of flat band



$$N_1 = \frac{1}{4\pi i} \text{tr} \left[\mathbf{K} \oint_C dl \mathbf{H}^{-1} \partial_l \mathbf{H} \right]$$

at each (p_x, p_y) except the boundary of circle one has 1D gapped state (insulator)

$N_{\text{outside}} = 0$ trivial 1D insulator

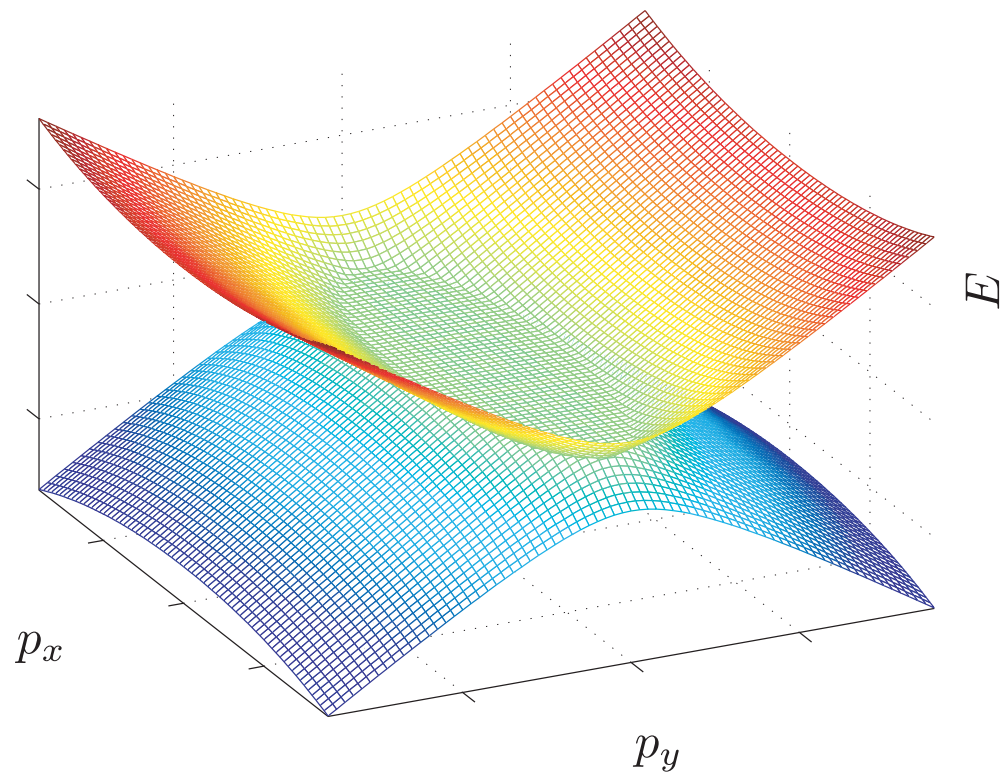
$N_{\text{inside}} = 1$ topological 1D insulator

at each (p_x, p_y) inside the circle one has 1D gapless edge state
this is flat band

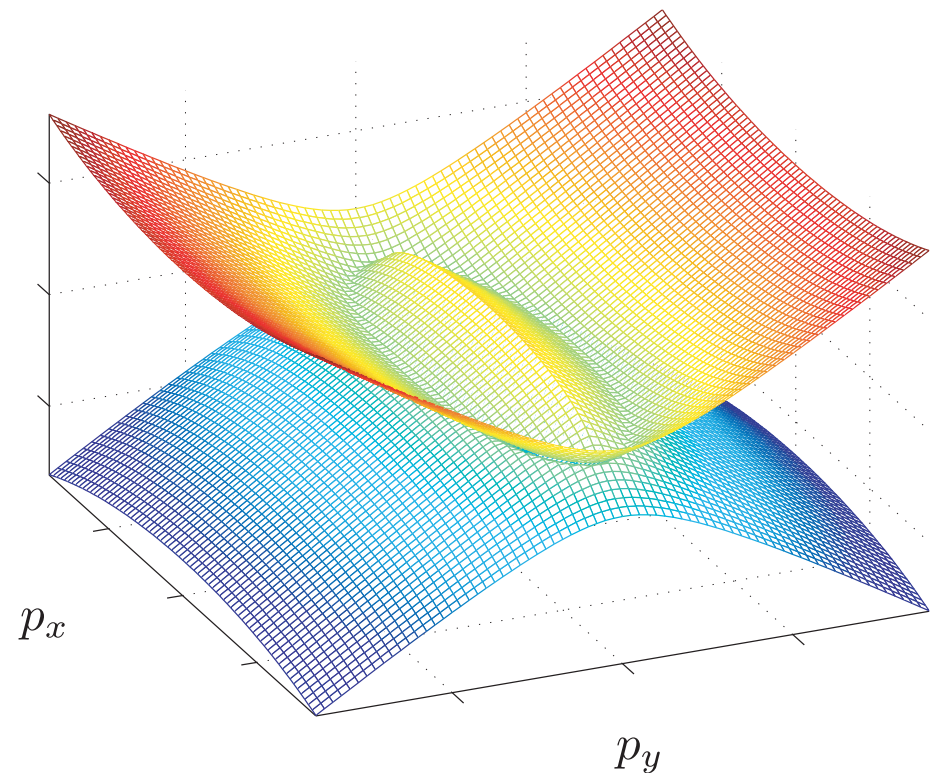
Nodal spiral generates flat band on the surface

projection of nodal spiral on the surface determines boundary of flat band

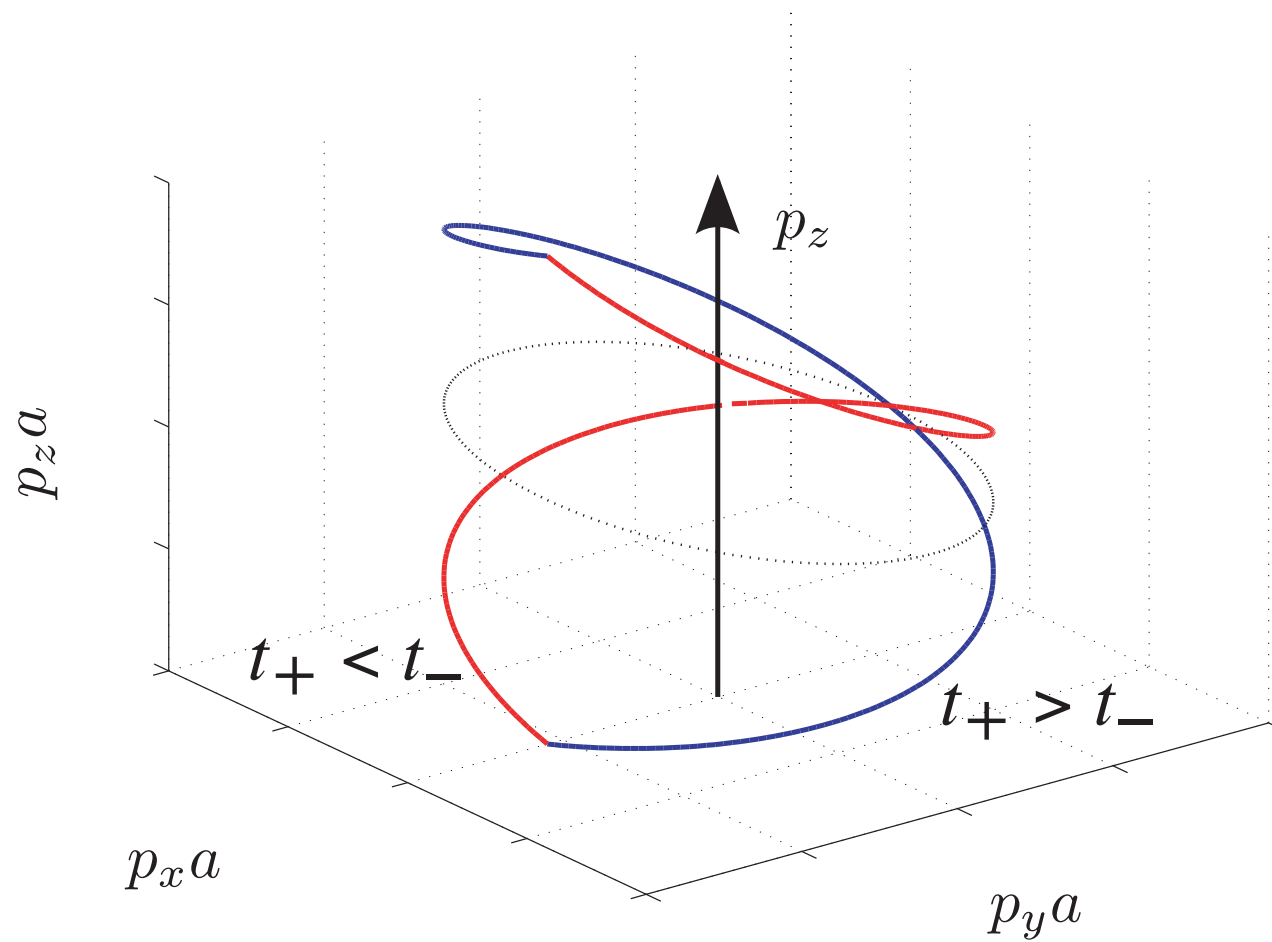
lowest energy states:
surface states form the flat band



energy spectrum in bulk
(projection to p_x, p_y plane)



Helicity of nodal spiral



Spiral in rhombohedral graphite (McClure 1969)

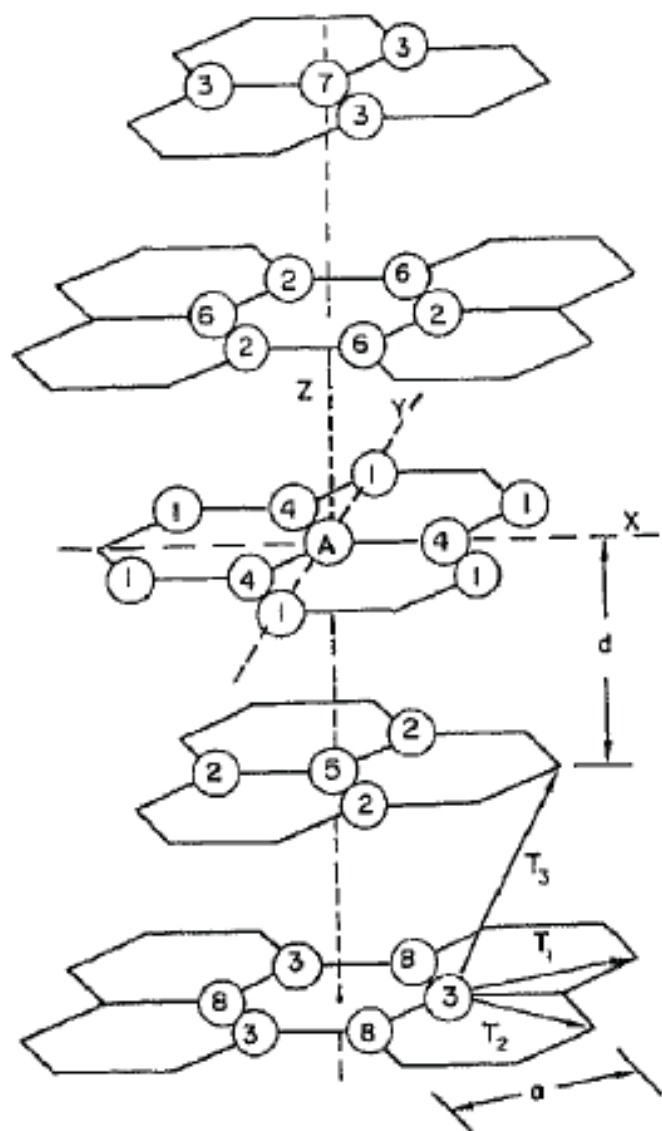


Fig. 1. The crystal lattice of rhombohedral graphite. The numbering of the groups of neighbors of the central *A* atom is explained in the text.

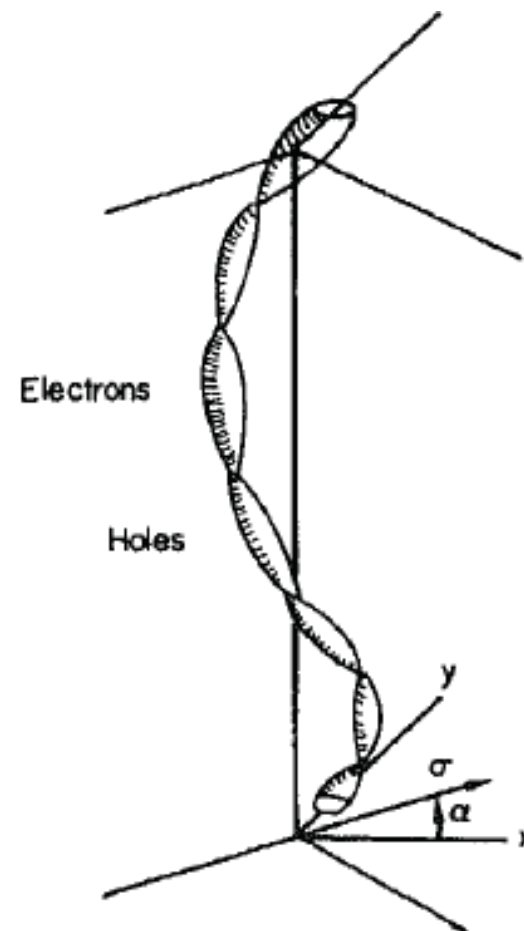
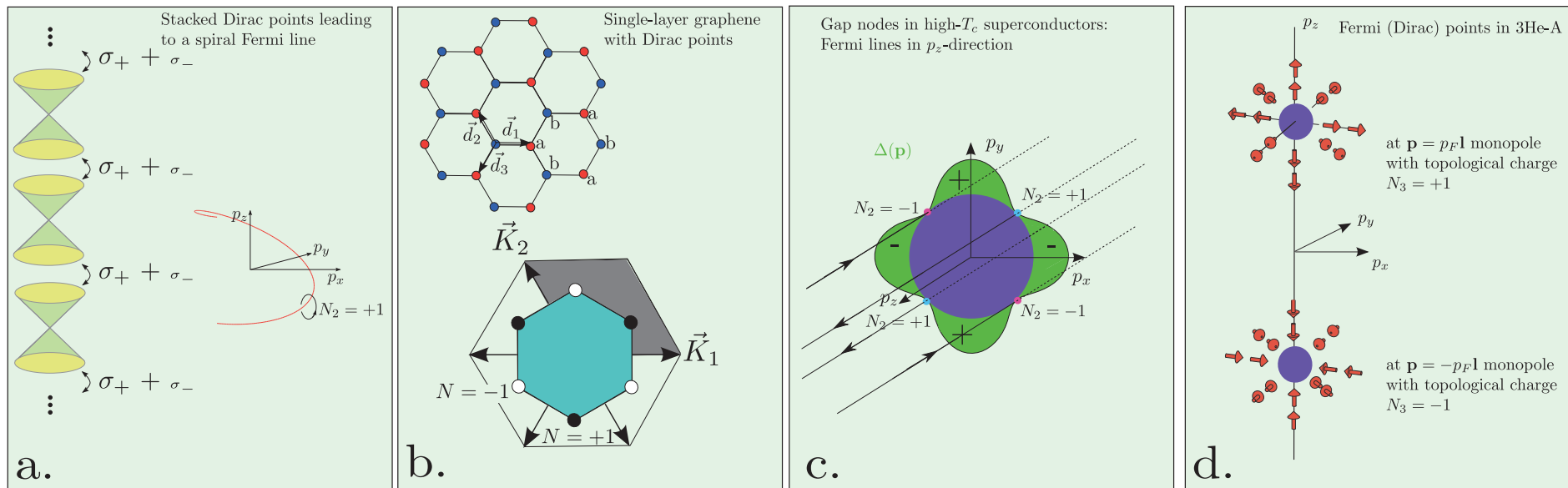


Fig. 2. The Fermi surface of rhombohedral graphite. The surface is centered on one of the six vertical zone edges. The widths of the surfaces have been magnified by more than an order of magnitude.

Gapless topological matter with protected flat band on surface or in vortex core



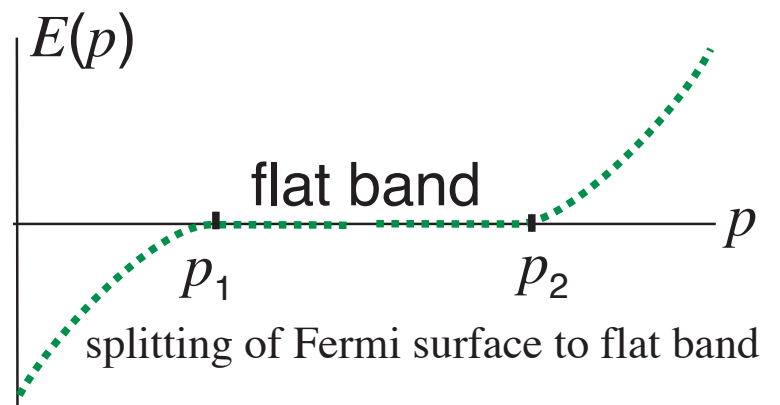
non-topological flat bands due to interaction

Khodel-Shaginyan fermion condensate

JETP Lett. **51**, 553 (1990)

GV, JETP Lett. **53**, 222 (1991)

Nozieres, J. Phys. (Fr.) **2**, 443 (1992)



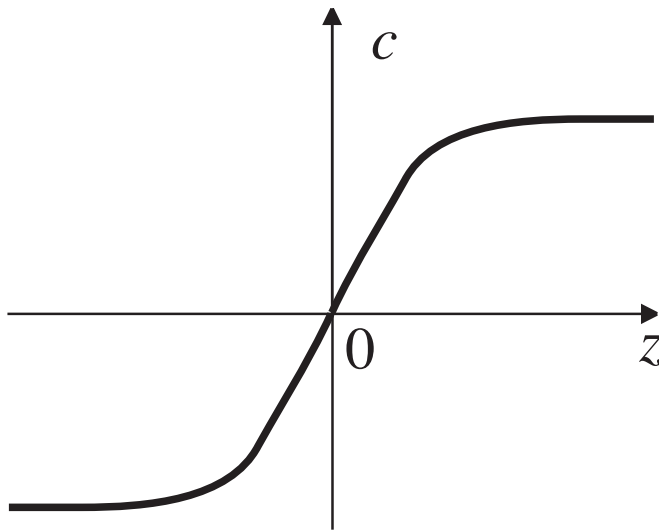
flat band in soliton

$$H = \tau_3 (p_x^2 + p_z^2 - p_F^2) / 2m + \tau_1 c(z) p_z$$

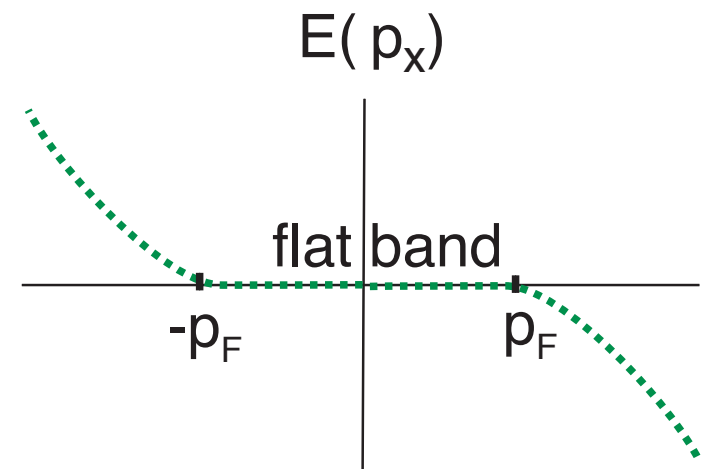
nodes at $p_z = 0$ and $p_x^2 = p_F^2$

$$N = \frac{1}{4\pi i} \text{tr} \left[\mathbf{K} \oint dl \mathbf{H}^{-1} \partial_l \mathbf{H} \right]$$

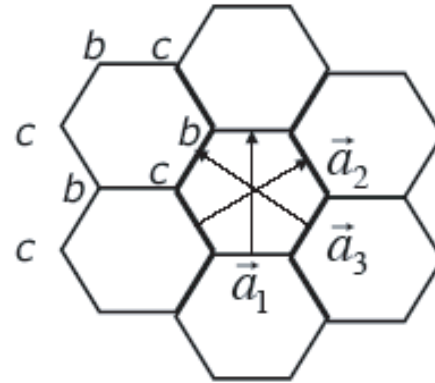
soliton



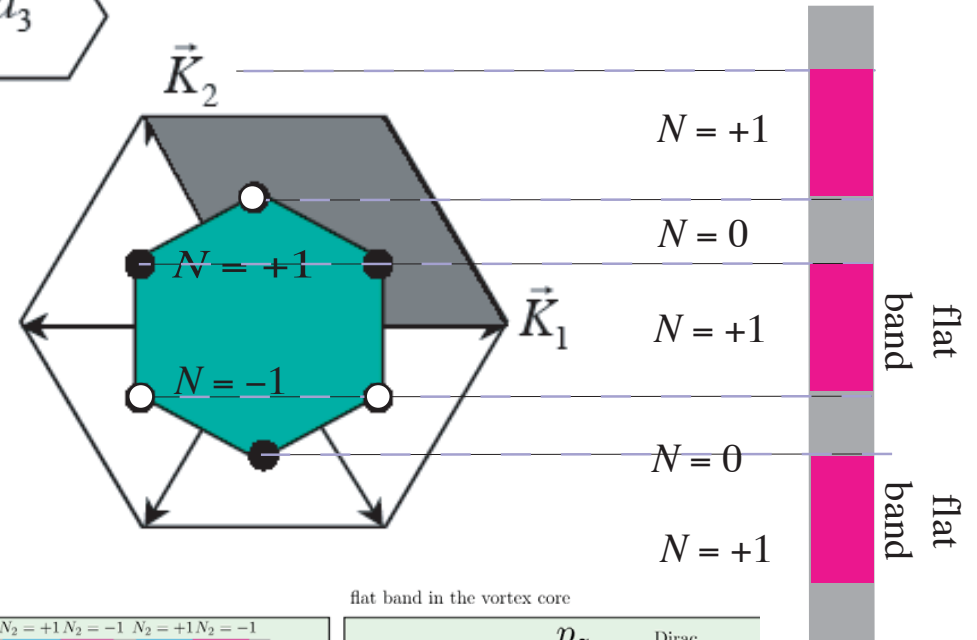
spectrum in soliton



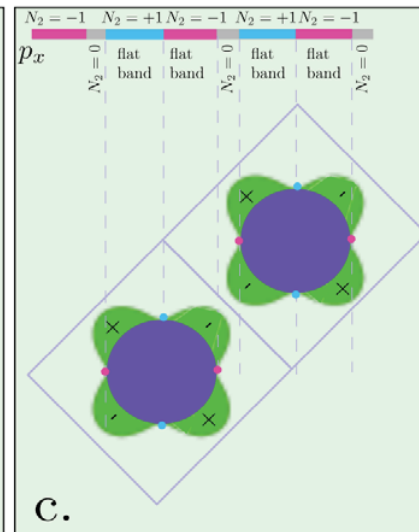
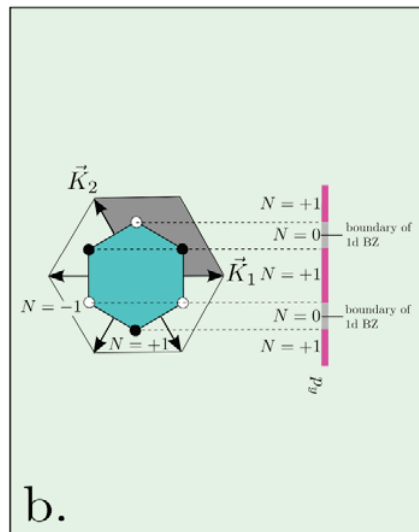
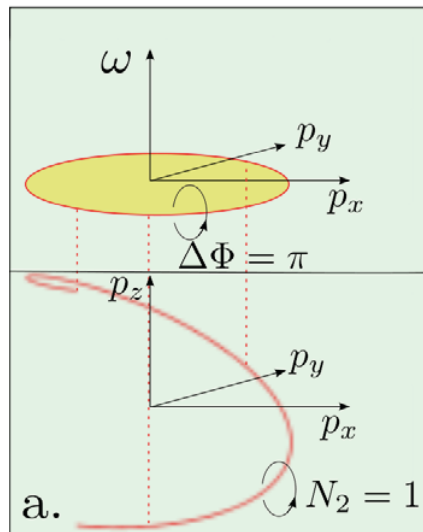
Flat band on the graphene edge



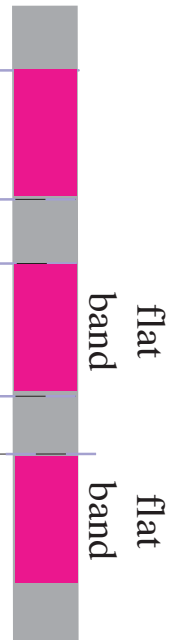
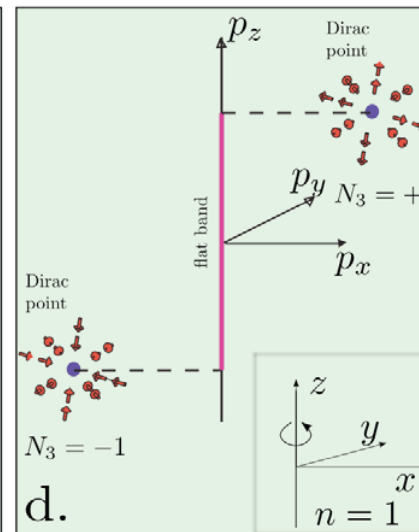
$$N = \frac{1}{4\pi i} \text{tr} \left[\mathbf{K} \oint dl \mathbf{H}^{-1} \partial_l \mathbf{H} \right]$$



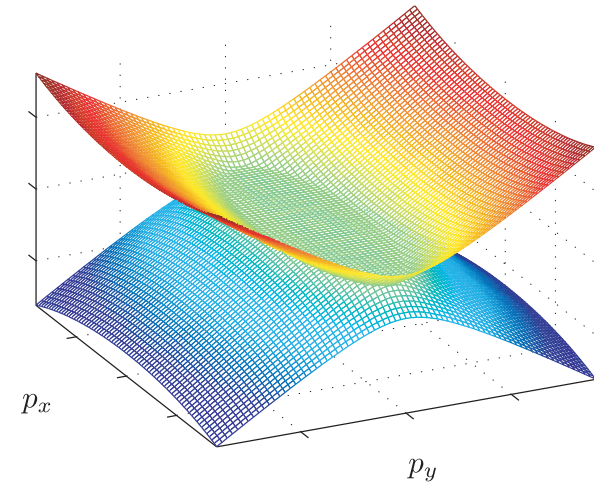
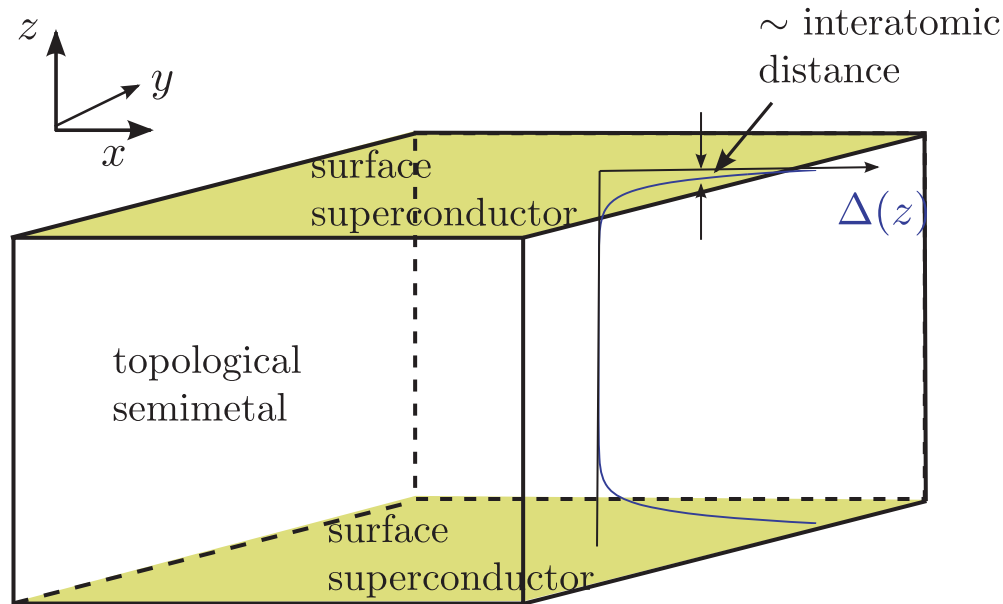
flat band: half-quantum vortex in \mathbf{p} -space



flat band in the vortex core



Surface superconductivity in topological semimetals: route to room temperature superconductivity



Extremely high DOS of flat band gives high transition temperature:

normal superconductors:
exponentially suppressed
transition temperature

$$1 = g \int \frac{d^2 p}{2h^2} \frac{1}{E(p)}$$

flat band superconductivity:
linear dependence
of T_c on coupling g

$$T_c = T_F \exp(-1/g\nu)$$

interaction ↑ ↑ *DOS*

"Recent studies of the correlations between the internal microstructure of the samples and the transport properties suggest that superconductivity might be localized at the interfaces between crystalline graphite regions of different orientations, running parallel to the graphene planes." PRB. 78, 134516 (2008)

$$T_c \sim gS_{\text{FB}}$$

interaction ↑ ↑ *flat band area*



Stripes of increased diamagnetic susceptibility in underdoped superconducting $\text{Ba}(\text{Fe}_{1-x}\text{Co}_x)_2\text{As}_2$ single crystals: Evidence for an enhanced superfluid density at twin boundaries

B. Kalisky,^{1,2,*,\dagger} J. R. Kirtley,^{1,2,3} J. G. Analytis,^{1,2,4} Jiun-Haw Chu,^{1,2,4} A. Vailionis,^{1,4}
I. R. Fisher,^{1,2,4} and K. A. Moler^{1,2,4,5,*,\ddagger}

Kathryn Moler:
possible 2D superconductivity of twin boundaries

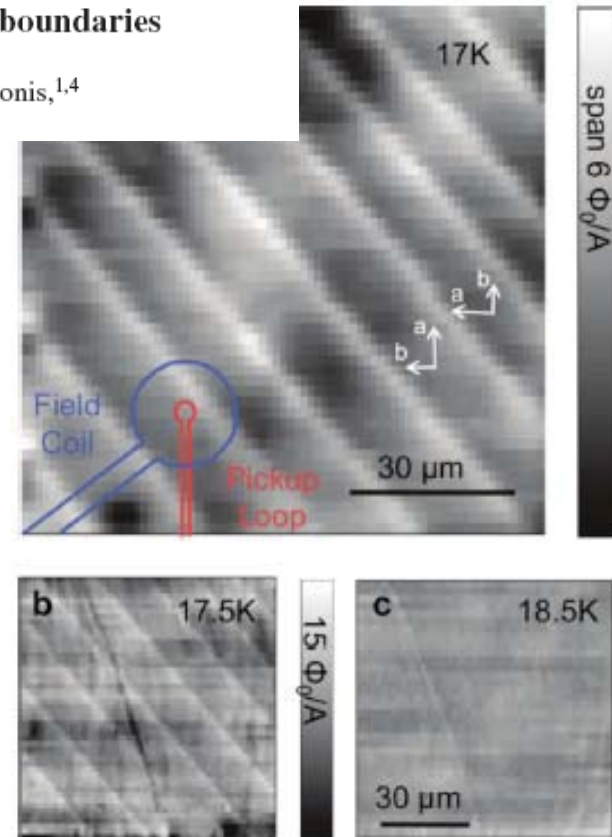


FIG. 1. (Color online) Local susceptibility image in underdoped $\text{Ba}(\text{Fe}_{1-x}\text{Co}_x)_2\text{As}_2$, indicating increased diamagnetic shielding on twin boundaries. (a) Local diamagnetic susceptibility, at $T=17$ K, of the ab face of sample UD1 ($x=0.051$ and $T_c=18.25$ K), showing stripes of enhanced diamagnetic response (white). In addition there is a mottled background associated with local T_c variations that becomes more pronounced as $T \rightarrow T_c$. Overlay: sketch of the scanning SQUID's sensor. The size of the pickup loop sets the spatial resolution of the susceptibility images. [(b) and (c)] Images of the same region at (b) $T=17.5$ K and (c) at $T=18.5$ K show that the stripes disappear above T_c . A topographic feature (scratch) appears in (b) and (c).

relativistic quantum fields and gravity emerging near Weyl point

Atiyah-Bott-Shapiro construction:

linear expansion of Hamiltonian near the nodes in terms of Dirac Γ -matrices

$$H = e_i^k \Gamma^i \cdot (p_k - p_k^0)$$

linear expansion near Weyl point

effective tetrad:
emergent gravity

emergent Γ -matrices

$$g^{\mu\nu} (p_\mu - eA_\mu - e\tau \cdot \mathbf{W}_\mu) (p_\nu - eA_\nu - e\tau \cdot \mathbf{W}_\nu) = 0$$

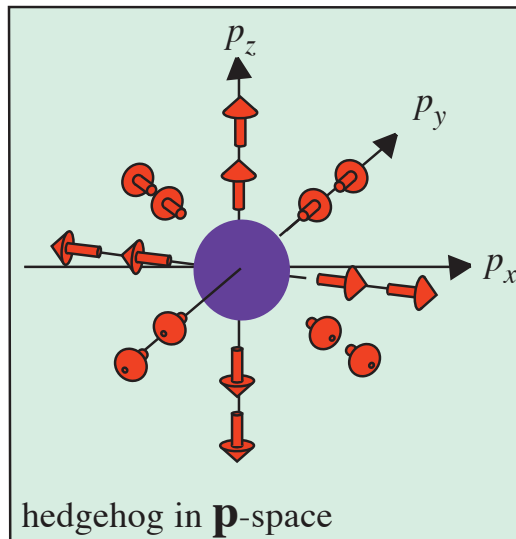
effective metric:
emergent gravity

effective
 $SU(2)$ gauge
field

effective
isotopic spin

effective
electromagnetic
field

effective
electric charge
 $e = +1$ or -1



*what gravity & gauge fields
emerge in vacua with quadratic
Dirac point in bilayer graphene ?*



all ingredients of Standard Model :
chiral fermions & gauge fields
emerge in low-energy corner

together with spin, Dirac Γ -matrices, gravity & physical laws:
Lorentz & gauge invariance, equivalence principle, etc

Fermions in 2+1 bylayer graphene

single layer

$$H = \begin{pmatrix} 0 & p_x + ip_y \\ p_x - ip_y & 0 \end{pmatrix} = \sigma_x p_x + \sigma_y p_y = \begin{pmatrix} 0 & \text{zweibein} \\ \text{zweibein} & 0 \end{pmatrix} = \begin{pmatrix} 0 & (\mathbf{e}_1(\mathbf{p}) + i \mathbf{e}_2) \cdot (\mathbf{p} - \mathbf{A}) \\ (\mathbf{e}_1(\mathbf{p}) - i \mathbf{e}_2) \cdot (\mathbf{p} - \mathbf{A}) & 0 \end{pmatrix}$$

double layer

$$H = \begin{pmatrix} 0 & (p_x + ip_y)^2 \\ (p_x - ip_y)^2 & 0 \end{pmatrix} = \begin{pmatrix} 0 & \text{zweibein} \\ \text{zweibein} & 0 \end{pmatrix} = \begin{pmatrix} 0 & [(\mathbf{e}_1(\mathbf{p}) + i \mathbf{e}_2) \cdot (\mathbf{p} - \mathbf{A})]^2 \\ [(\mathbf{e}_1(\mathbf{p}) - i \mathbf{e}_2) \cdot (\mathbf{p} - \mathbf{A})]^2 & 0 \end{pmatrix}$$

anisotropic scaling: $x = b x'$, $t = b^2 t'$

2+1 anisotropic QED emerging in bylayer graphene

$$H = \begin{pmatrix} 0 & (p_x + ip_y)^2 \\ (p_x - ip_y)^2 & 0 \end{pmatrix} = \begin{pmatrix} 0 & [(\mathbf{e}_1(\mathbf{p}) + i \mathbf{e}_2)(\mathbf{p} - \mathbf{A})]^2 \\ [(\mathbf{e}_1(\mathbf{p}) - i \mathbf{e}_2)(\mathbf{p} - \mathbf{A})]^2 & 0 \end{pmatrix}$$

anisotropic scaling: $x = b x'$, $t = b^2 t'$, $B = b^{-2} B'$, $E = b^{-3} E'$, $S = S'$

$$S_{\text{QED}} = \int d^2x dt \left(\begin{matrix} B^2 & - E^{4/3} \\ b^2 & b^2 & b^{-4} & b^{-4} \end{matrix} \right)$$

3+1 isotropic QED emerging in Weyl semimetal

isotropic scaling: $x = b x'$, $t = b t'$, $B = b^{-2} B'$, $E = b^{-2} E'$, $S = S'$

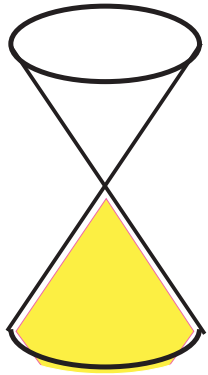
$$S_{\text{QED}} = \int d^3x dt \left(\begin{matrix} B^2 & - E^2 \\ b^3 & b & b^{-4} & b^{-4} \end{matrix} \right)$$

2+1 isotropic QED emerging in single layer graphene

$$S_{\text{QED}} = \int d^2x dt \left(\begin{matrix} B^2 & - E^2 \\ b^2 & b & b^{-3} \end{matrix} \right)^{3/4}$$

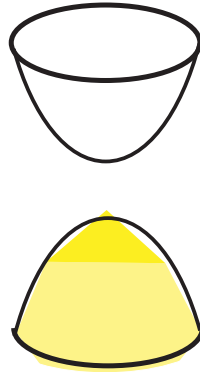
3D topological superfluids / insulators / semiconductors / vacua

gapless topologically
nontrivial vacua



3He-A,
Standard Model
above electroweak transition,
semimetals,
4D graphene
(cryocrystalline vacuum)

fully gapped topologically
nontrivial vacua

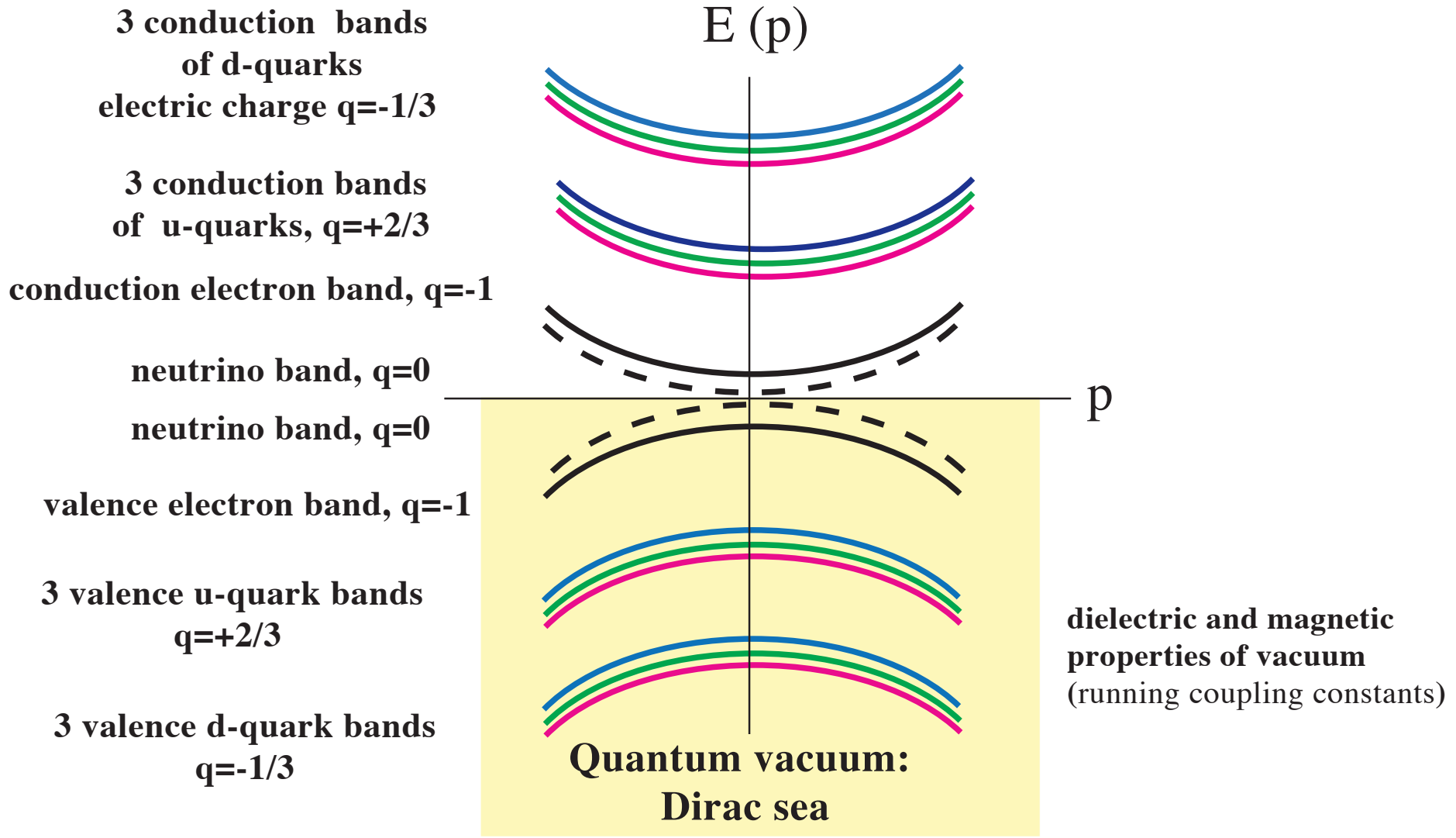


3He-B,
Standard Model
below electroweak transition,
topological insulators, →
triplet & singlet
chiral superconductor, ...



Bi_2Te_3

Present vacuum as semiconductor or insulator



3 conduction bands
of d-quarks
electric charge $q=-1/3$

3 conduction bands
of u-quarks, $q=+2/3$

conduction electron band, $q=-1$

neutrino band, $q=0$

neutrino band, $q=0$

valence electron band, $q=-1$

3 valence u-quark bands
 $q=+2/3$

3 valence d-quark bands
 $q=-1/3$

electric charge of quantum vacuum

$$Q = \sum_a q_a = N [-1 + 3 \times (-1/3) + 3 \times (+2/3)] = 0$$

fully gapped 3+1 topological matter

superfluid $^3\text{He-B}$, topological insulator Bi_2Te_3 , present vacuum of Standard Model

* **Standard Model vacuum as topological insulator**

Topological invariant protected by symmetry

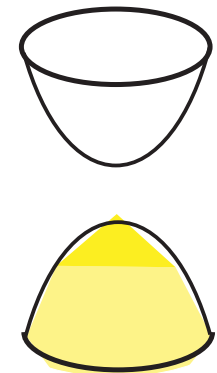
$$N_{\mathbf{K}} = \frac{1}{24\pi^2} \epsilon_{\mu\nu\lambda} \text{tr} \int_{\text{over 3D momentum space}} dV \mathbf{K} \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1}$$

\mathbf{G} is Green's function at $\omega=0$, \mathbf{K} is symmetry operator $\mathbf{G}\mathbf{K} = +/\- \mathbf{K}\mathbf{G}$

Standard Model vacuum: $\mathbf{K}=\gamma_5$ $\mathbf{G}\gamma_5 = -\gamma_5\mathbf{G}$

$$N_{\mathbf{K}} = 8n_g$$

8 massive Dirac particles in one generation



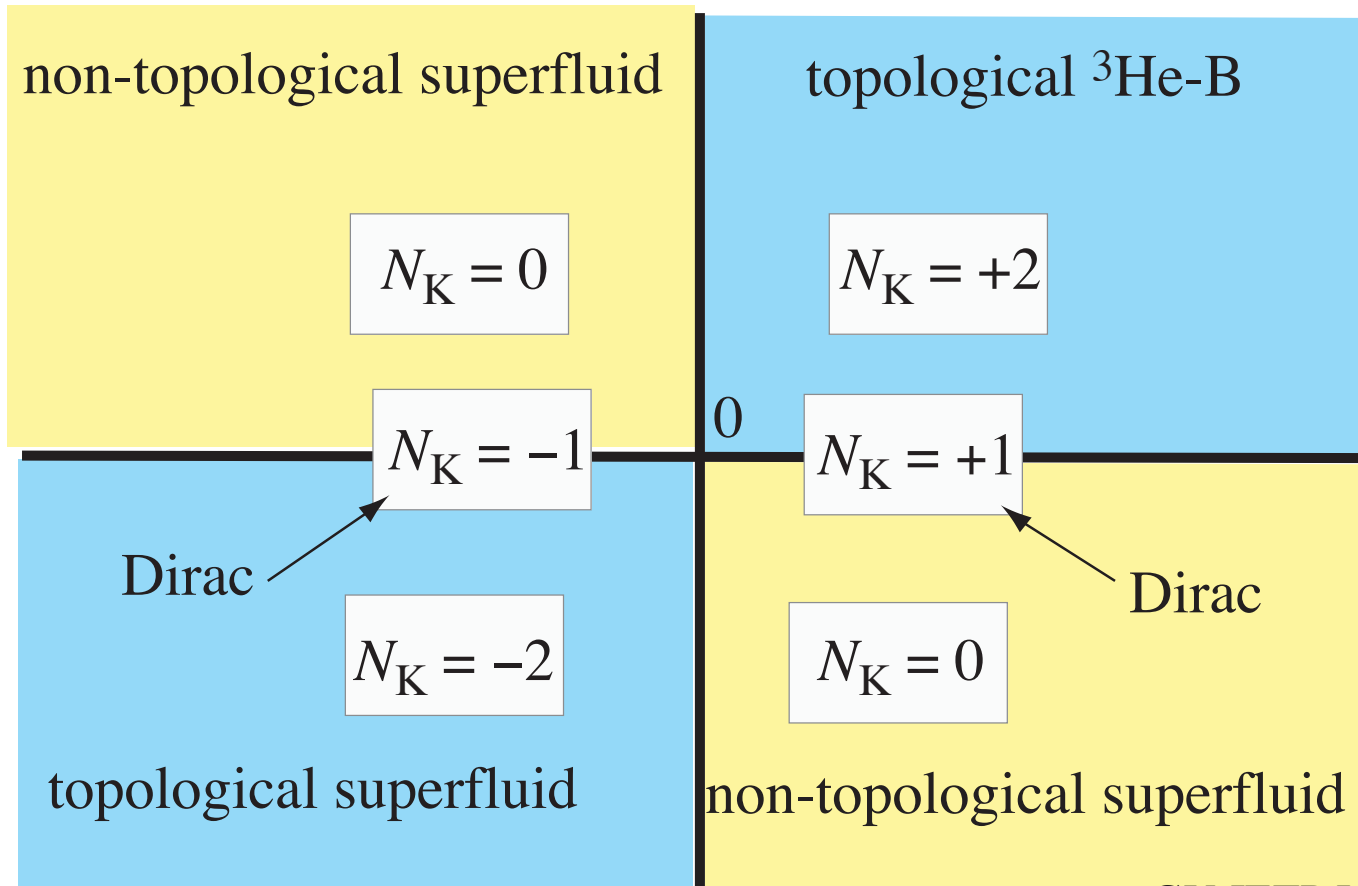
topological superfluid $^3\text{He-B}$

$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu & c_B \boldsymbol{\sigma} \cdot \mathbf{p} \\ c_B \boldsymbol{\sigma} \cdot \mathbf{p} & -\frac{p^2}{2m^*} + \mu \end{pmatrix} = \left(\frac{p^2}{2m^*} - \mu \right) \tau_3 + c_B \boldsymbol{\sigma} \cdot \mathbf{p} \tau_1$$

$$H \tau_2 = - \tau_2 H$$

$$K = \tau_2$$

$1/m^*$

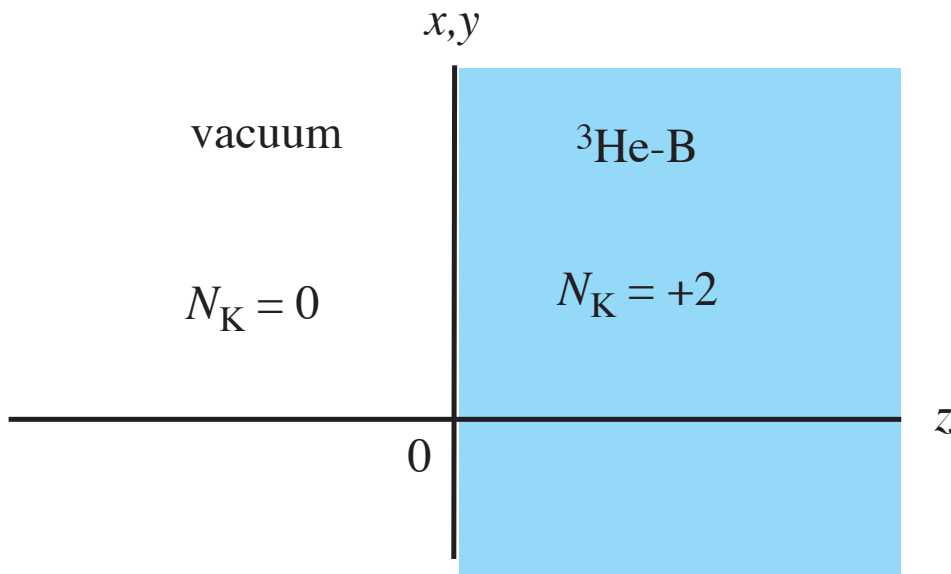


Dirac vacuum

$$1/m^* = 0$$

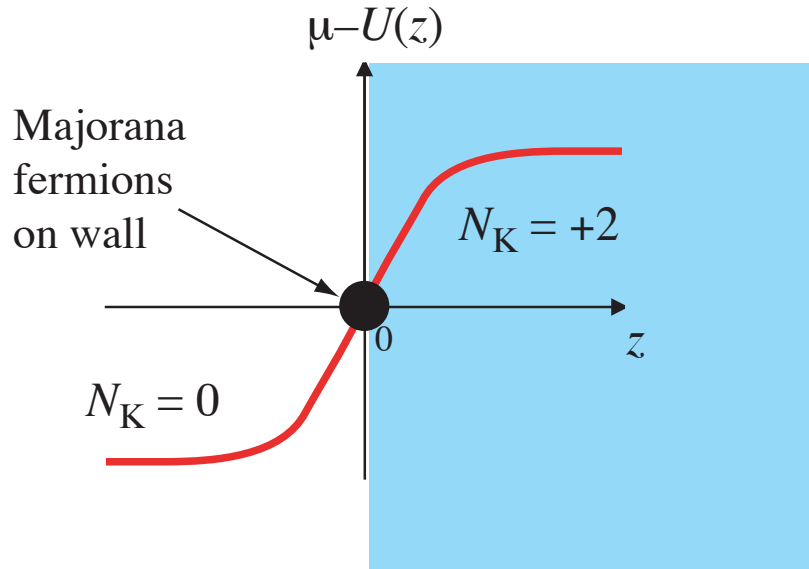
$$H = \begin{pmatrix} -M & c_B \boldsymbol{\sigma} \cdot \mathbf{p} \\ c_B \boldsymbol{\sigma} \cdot \mathbf{p} & +M \end{pmatrix}$$

Boundary of 3D gapped topological superfluid



$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu + U(z) & c_B \boldsymbol{\sigma} \cdot \mathbf{p} \\ c_B \boldsymbol{\sigma} \cdot \mathbf{p} & -\frac{p^2}{2m^*} + \mu - U(z) \end{pmatrix}$$

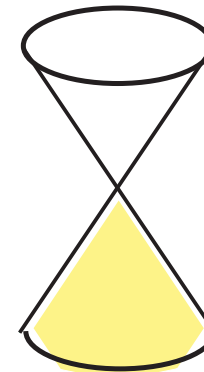
Majorana particle = Majorana anti-particle
 1/2 of fermion: $\mathbf{b} = \mathbf{b}^\dagger$



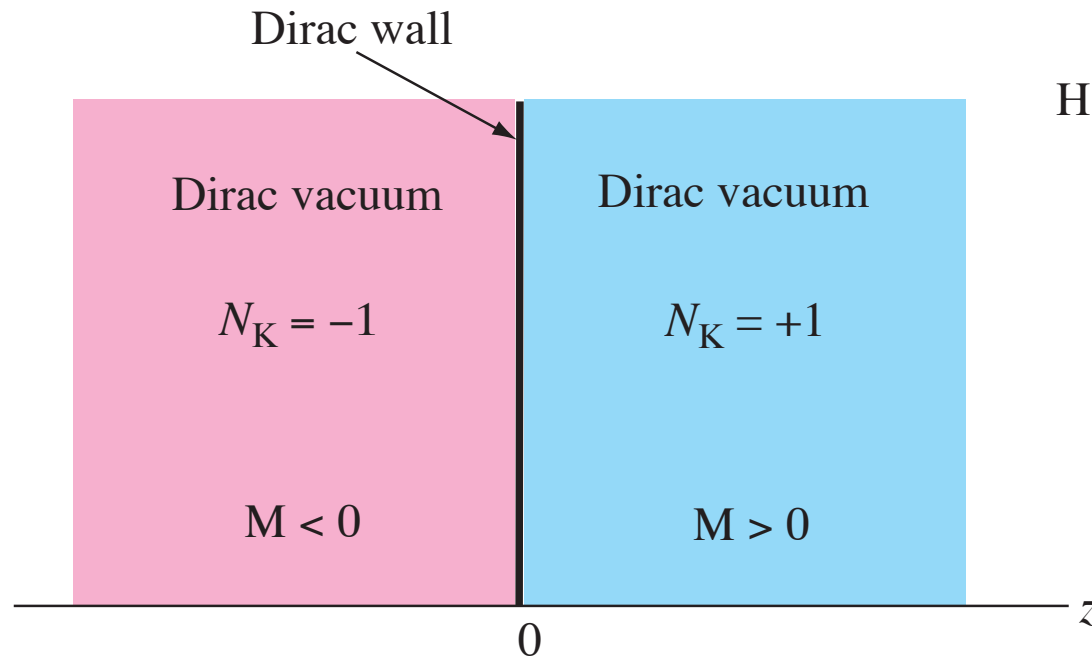
spectrum of Majorana zero modes

$$H_{ZM} = c_B \hat{\mathbf{z}} \cdot \boldsymbol{\sigma} \times \mathbf{p} = c_B (\sigma_x p_y - \sigma_y p_x)$$

helical fermions

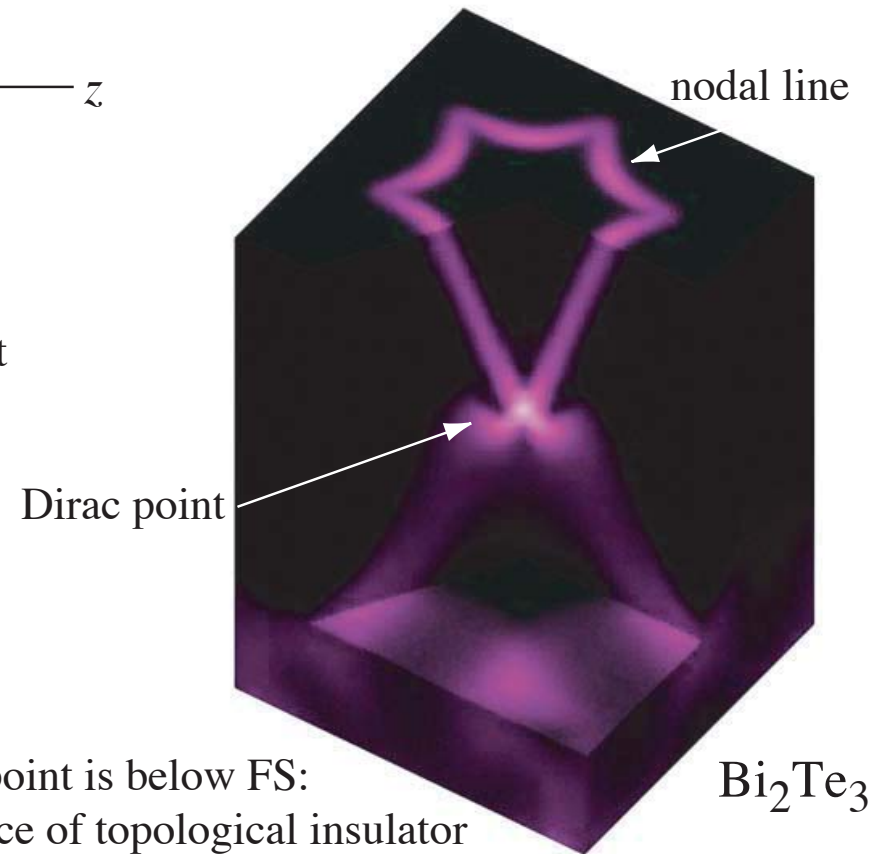
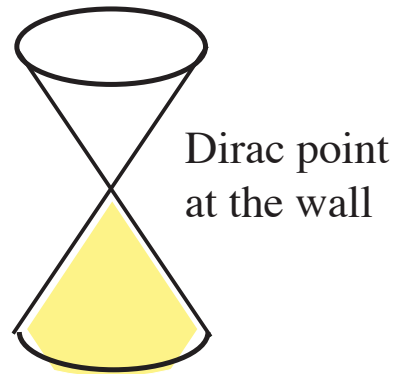
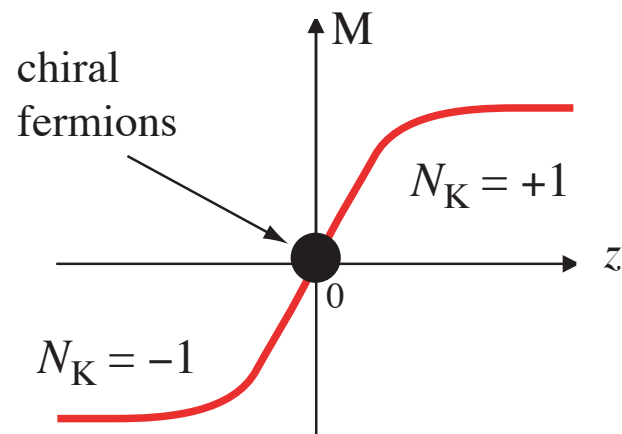


fermion zero modes on Dirac wall



$$H = \begin{pmatrix} -M(z) & c\sigma \cdot \mathbf{p} \\ c\sigma \cdot \mathbf{p} & +M(z) \end{pmatrix}$$

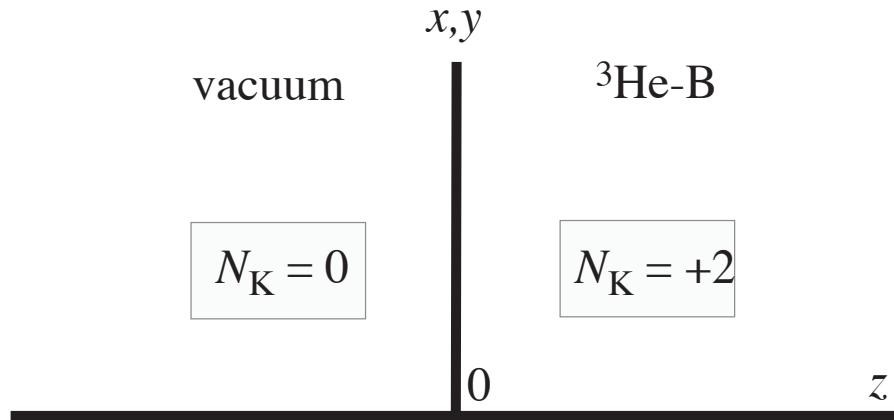
Volkov-Pankratov,
2D massless fermions
in inverted contacts
JETP Lett. **42**, 178 (1985)



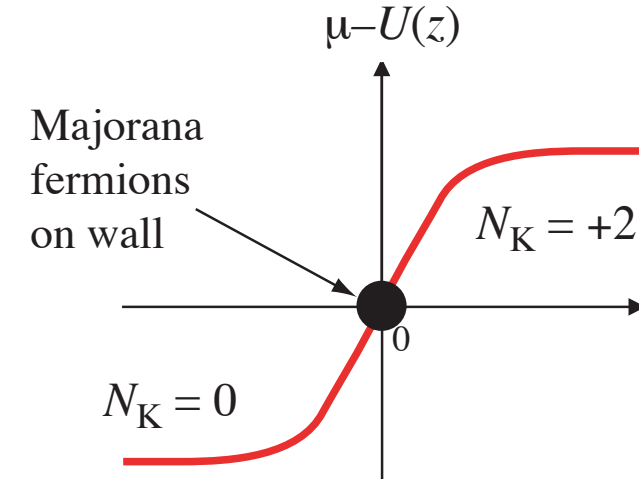
in Bi_2Te_3 Dirac point is below FS:
nodal line on surface of topological insulator

Majorana fermions: edge states on the boundary of 3D gapped topological matter

* boundary of topological superfluid $^3\text{He-B}$



$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu + U(z) & c\sigma \cdot \mathbf{p} \\ c\sigma \cdot \mathbf{p} & -\frac{p^2}{2m^*} + \mu - U(z) \end{pmatrix}$$

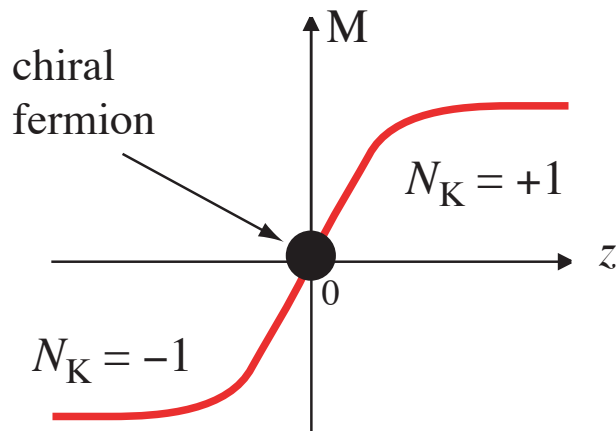


spectrum of fermion zero modes

$$H_{zm} = c (\sigma_x p_y - \sigma_y p_x)$$

helical fermions

* Dirac domain wall

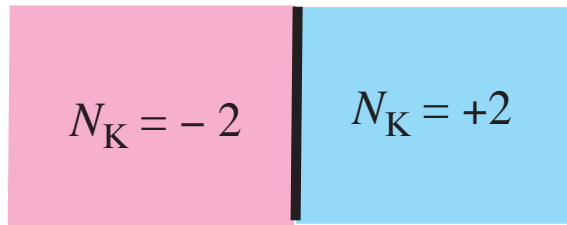


$$H = \begin{pmatrix} -M(z) & c\sigma \cdot \mathbf{p} \\ c\sigma \cdot \mathbf{p} & +M(z) \end{pmatrix}$$

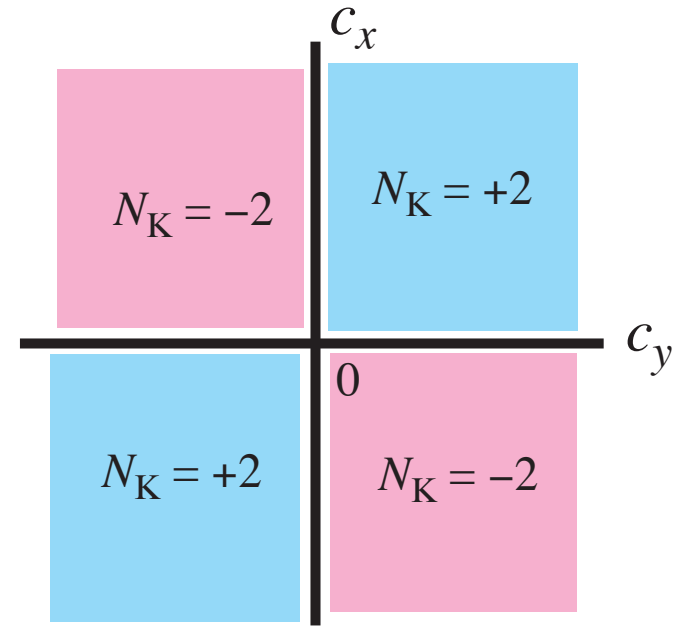
Volkov-Pankratov,
2D massless fermions
in inverted contacts
JETP Lett. **42**, 178 (1985)

Majorana fermions on interface in topological superfluid $^3\text{He-B}$

$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu & \sigma_x c_x p_x + \sigma_y c_y p_y + \sigma_z c_z p_z \\ \sigma_x c_x p_x + \sigma_y c_y p_y + \sigma_z c_z p_z & -\frac{p^2}{2m^*} + \mu \end{pmatrix}$$

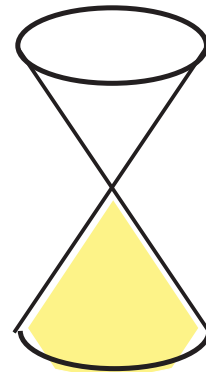
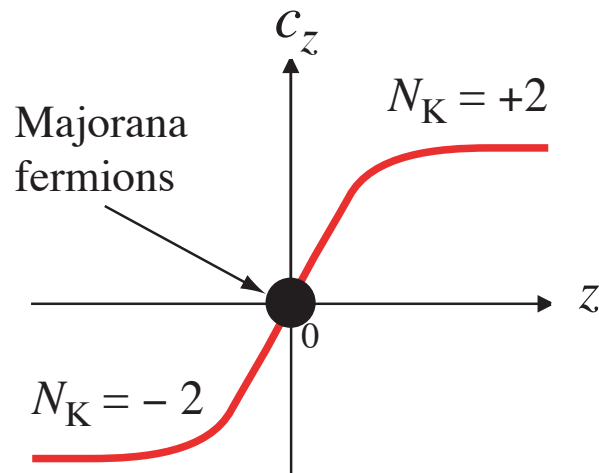


domain wall



phase diagram

one of 3 "speeds of light" changes sign across wall



spectrum of fermion zero modes

$$H_{zm} = c (\sigma_x p_y - \sigma_y p_x)$$

Zero energy states in the core of vortices in topological superfluids

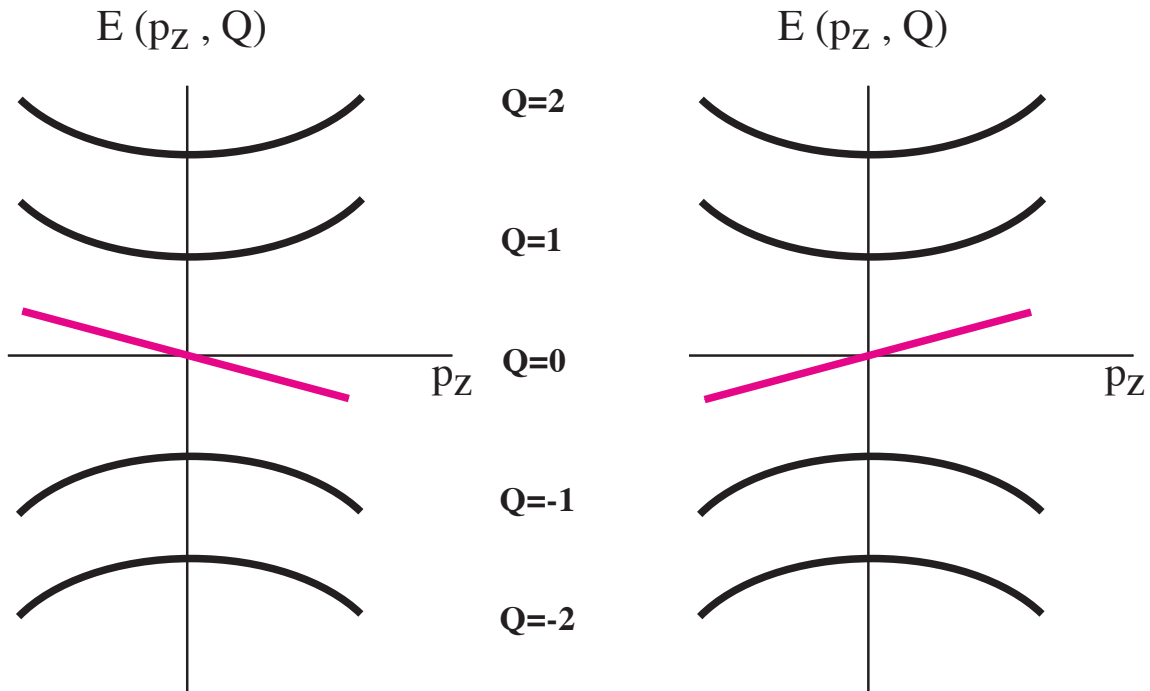
vortices in fully gapped 3+1 system

fermion zero modes in vortex core

Bound states of fermions on cosmic strings and vortices

Spectrum of quarks in core of electroweak cosmic string

quantum numbers: Q - angular momentum & p_z - linear momentum



$E(p_z) = -cp_z$ for d quarks

$E(p_z) = cp_z$ for u quark

asymmetric branches cross zero energy

Index theorem:

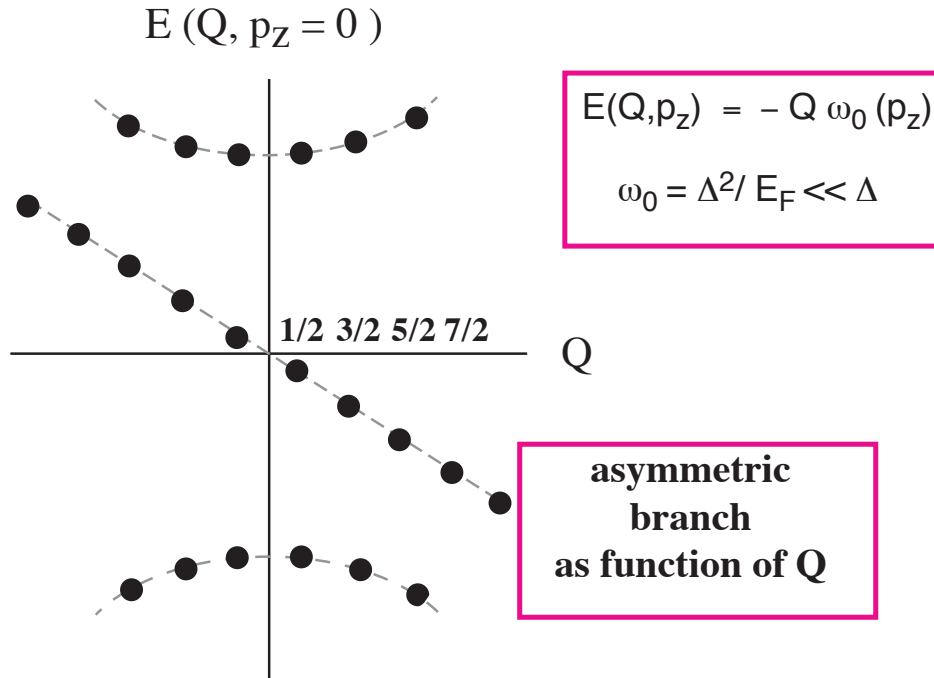
Number of asymmetric branches = N
 N is vortex winding number

Jackiw & Rossi
 Nucl. Phys. B**190**, 681 (1981)

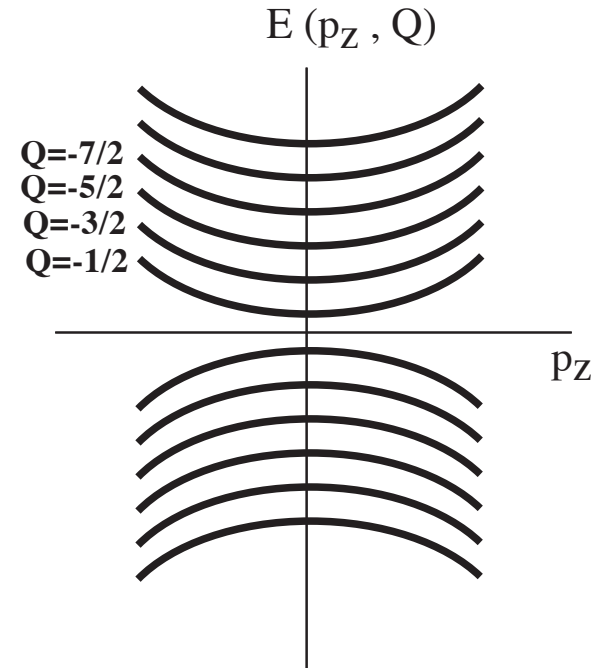
Bound states of fermions on vortex in s-wave superconductor

Caroli, de Gennes & J. Matricon, Phys. Lett. **9** (1964) 307

$$N_K = 0$$



Angular momentum Q is half-odd integer
in s-wave superconductor



**no true fermion zero modes:
no asymmetric branch as function of p_z**

Index theorem for approximate fermion zero modes:

Number of asymmetric Q-branches = $2N$
 N is vortex winding number

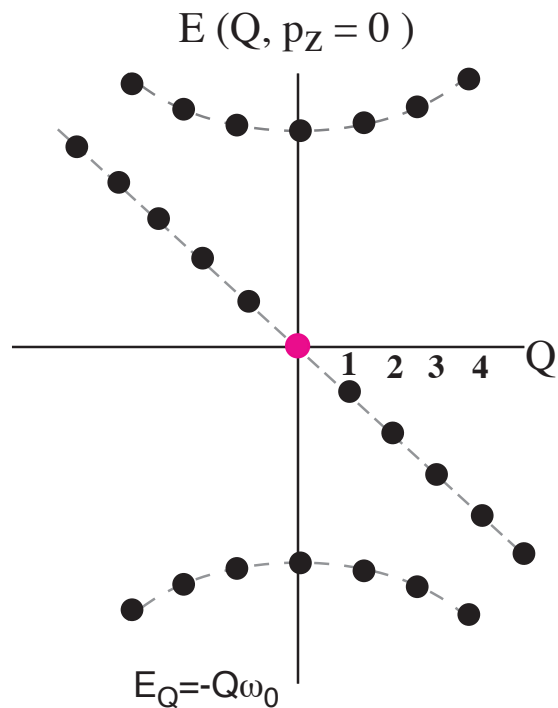
GV JETP Lett. **57**, 244 (1993)

Index theorem for true fermion zero modes?

is the existence of fermion zero modes
related to topology in bulk?

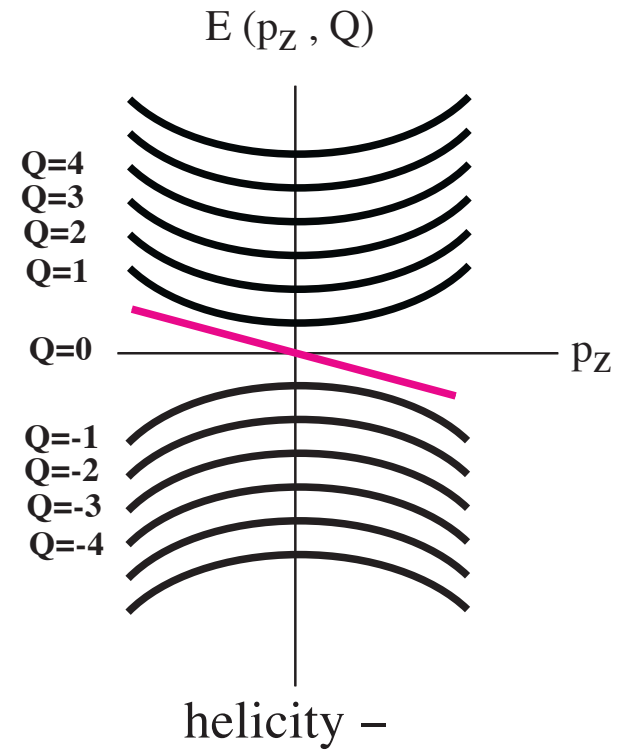
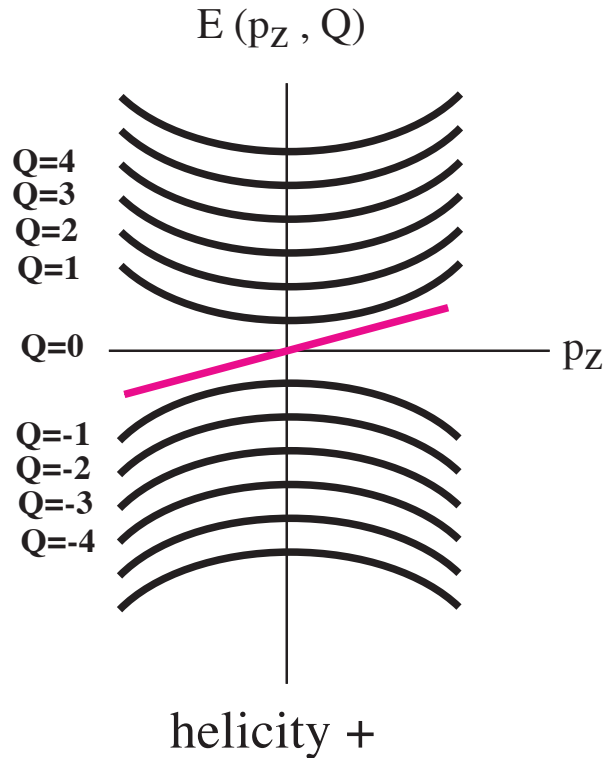
fermions zero modes on symmetric vortex in $^3\text{He-B}$

topological $^3\text{He-B}$ at $\mu > 0$: $N_K = 2$



$$\omega_0 = \Delta^2/E_F \ll \Delta$$

Q is integer
for p-wave superfluid $^3\text{He-B}$



gapless fermions on $Q=0$ branch form

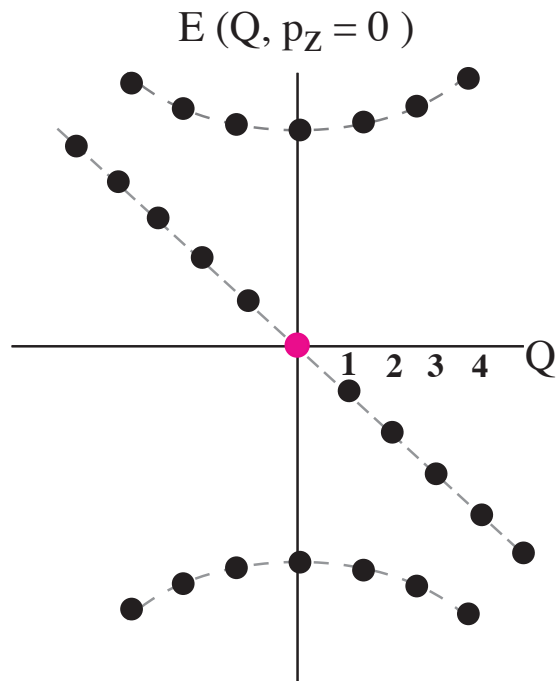
1D Fermi-liquid

Misirpashaev & GV

Fermion zero modes in symmetric vortices in superfluid ^3He ,
Physica B **210**, 338 (1995)

fermions zero modes on symmetric vortex in $^3\text{He-B}$

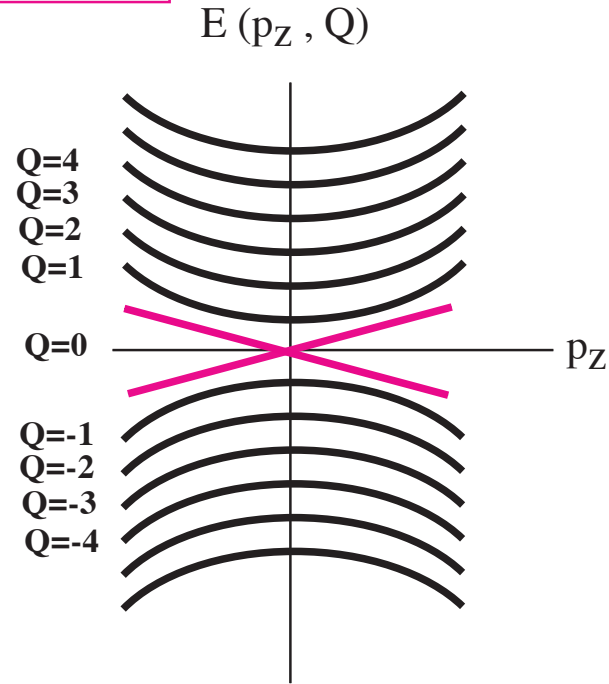
topological $^3\text{He-B}$ at $\mu > 0$: $N_{\text{K}} = 2$



$$E_Q = -Q\omega_0$$

$$\omega_0 = \Delta^2 / E_F \ll \Delta$$

Q is integer
for p-wave superfluid $^3\text{He-B}$



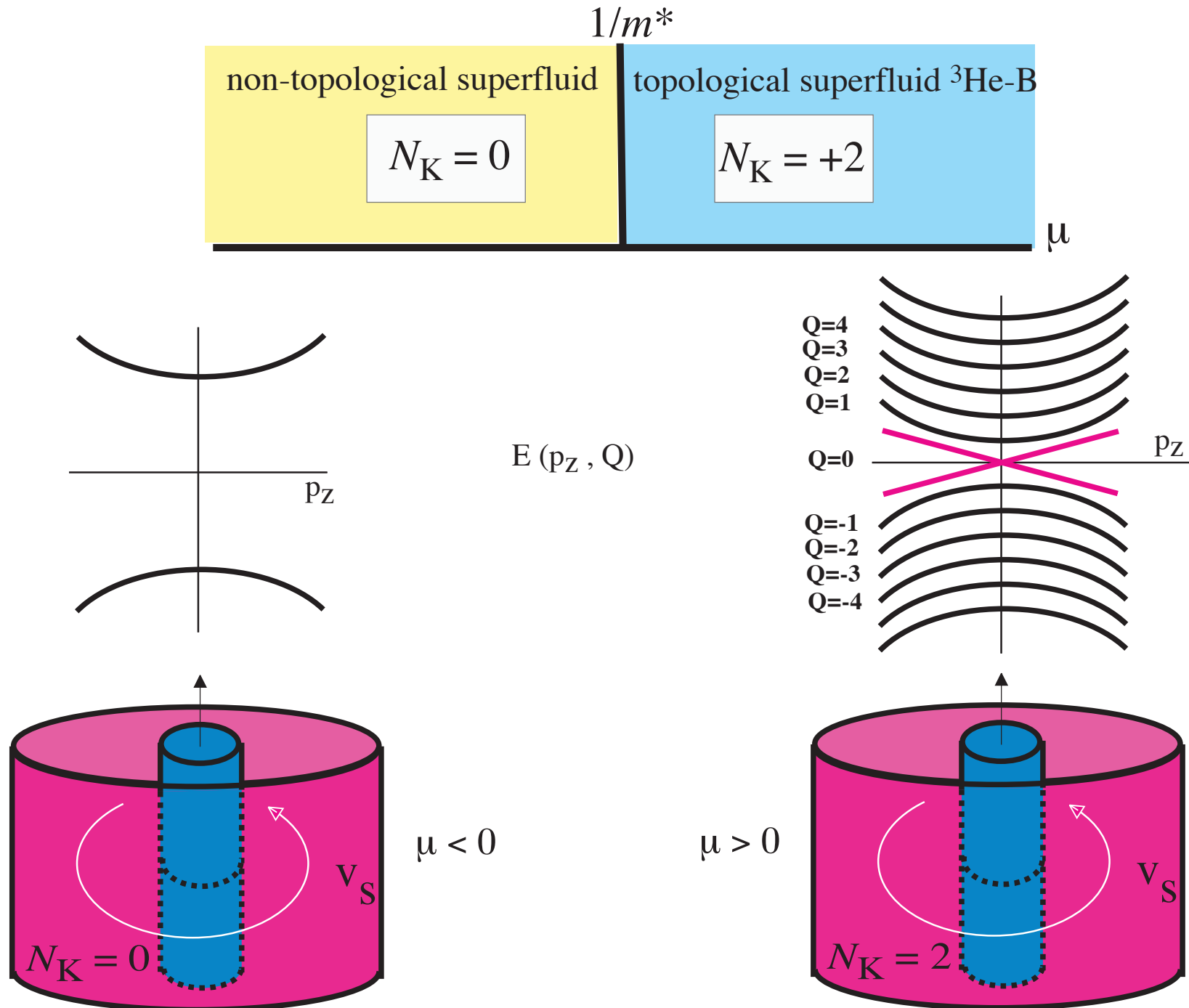
gapless fermions on $Q=0$ branch form

1D Fermi-liquid

Misirpashaev & GV

Fermion zero modes in symmetric vortices in superfluid ^3He ,
Physica B **210**, 338 (1995)

topological quantum phase transition in bulk & in vortex core



superfluid ${}^3\text{He-B}$ as non-relativistic limit of relativistic triplet superconductor

$$H = \begin{pmatrix} c\boldsymbol{\alpha}\cdot\mathbf{p} + \beta M - \mu_R & \gamma_5\Delta \\ \gamma_5\Delta & -c\boldsymbol{\alpha}\cdot\mathbf{p} - \beta M + \mu_R \end{pmatrix}$$

relativistic triplet superconductor

$$\downarrow \begin{array}{l} cp \ll M \\ \mu \ll M \end{array}$$

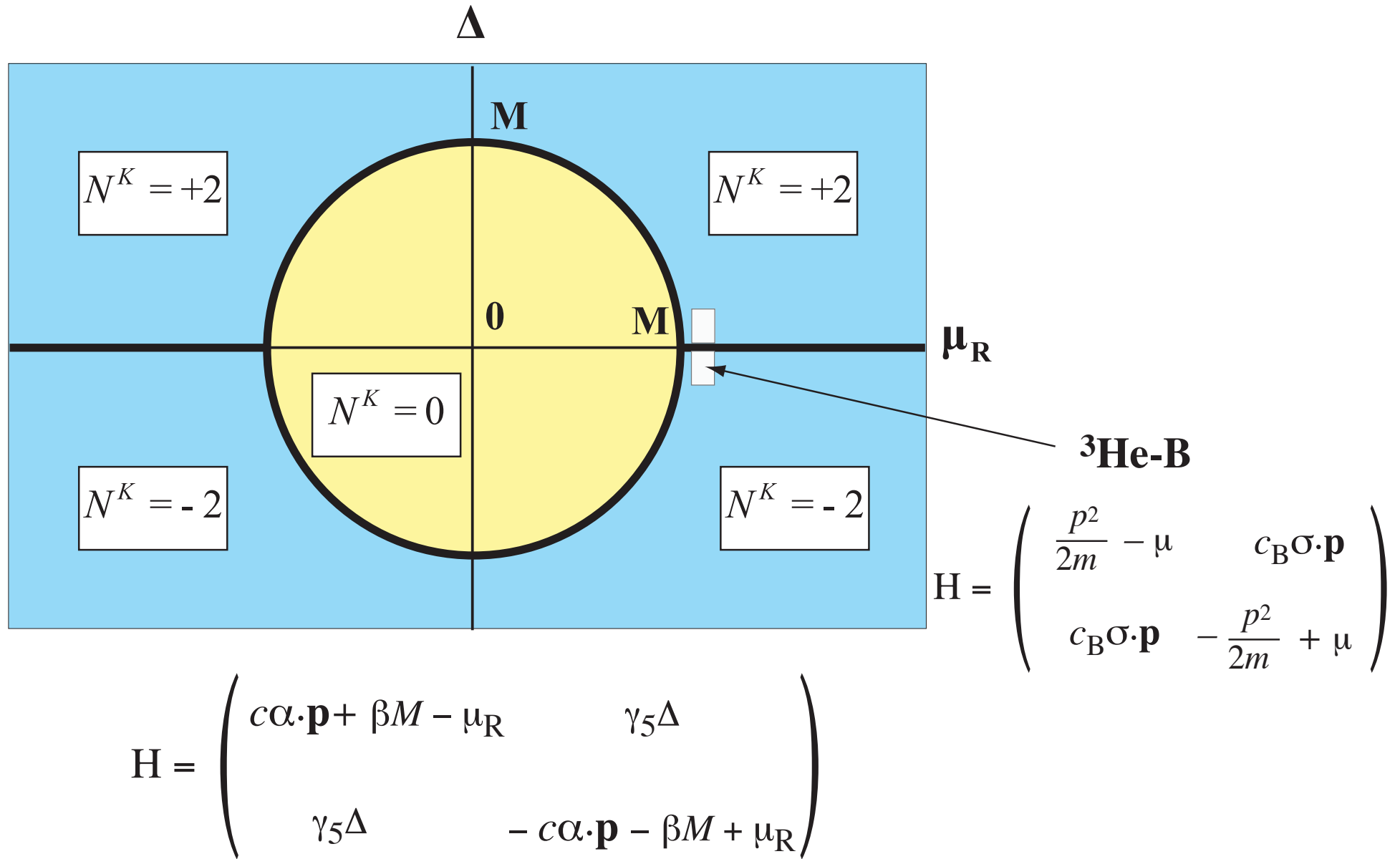
$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c_B\boldsymbol{\sigma}\cdot\mathbf{p} \\ c_B\boldsymbol{\sigma}\cdot\mathbf{p} & -\frac{p^2}{2m} + \mu \end{pmatrix}$$

superfluid ${}^3\text{He-B}$

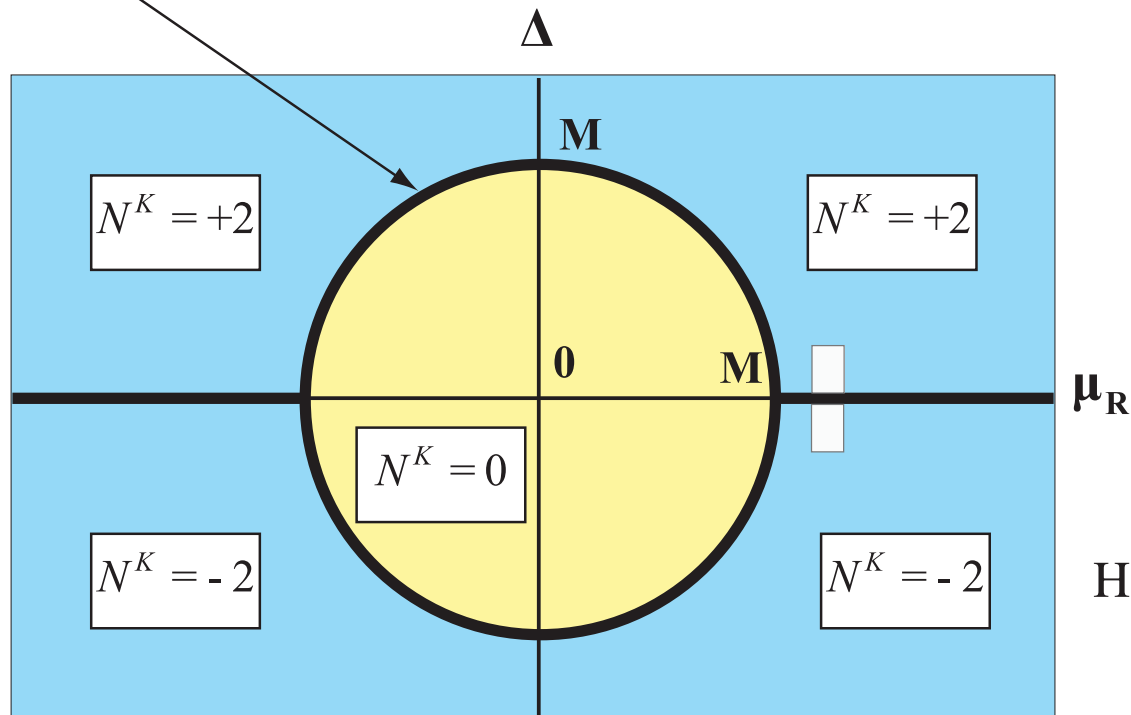
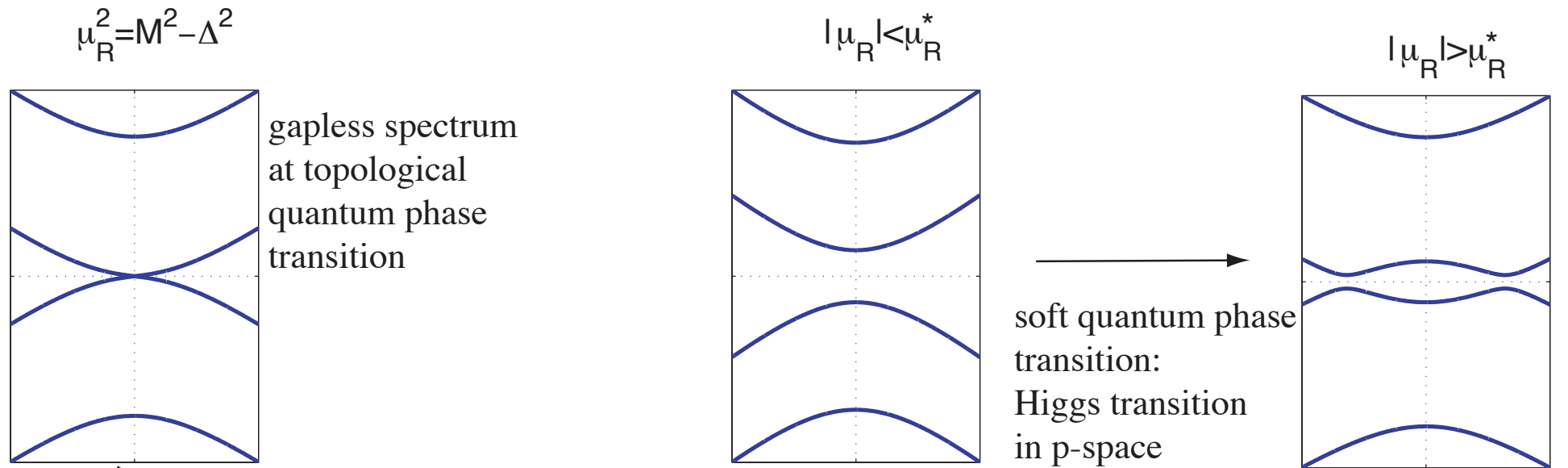
$$c_B = c \Delta / M \quad m = M / c^2$$

$$(\mu + M)^2 = \mu_R^2 + \Delta^2$$

phase diagram of topological states of relativistic triplet superconductor

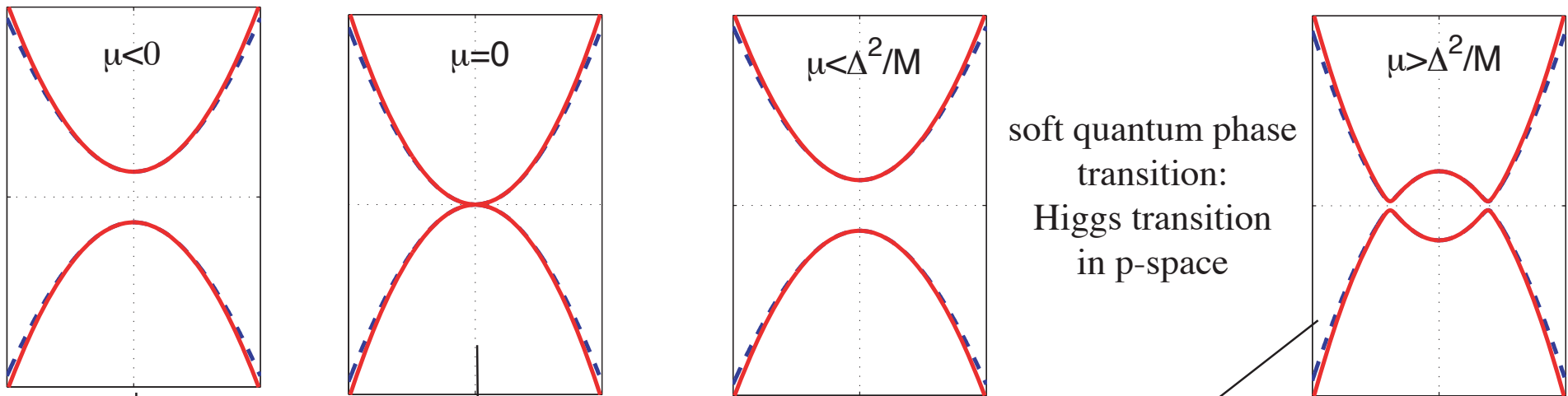


energy spectrum in relativistic triplet superconductor



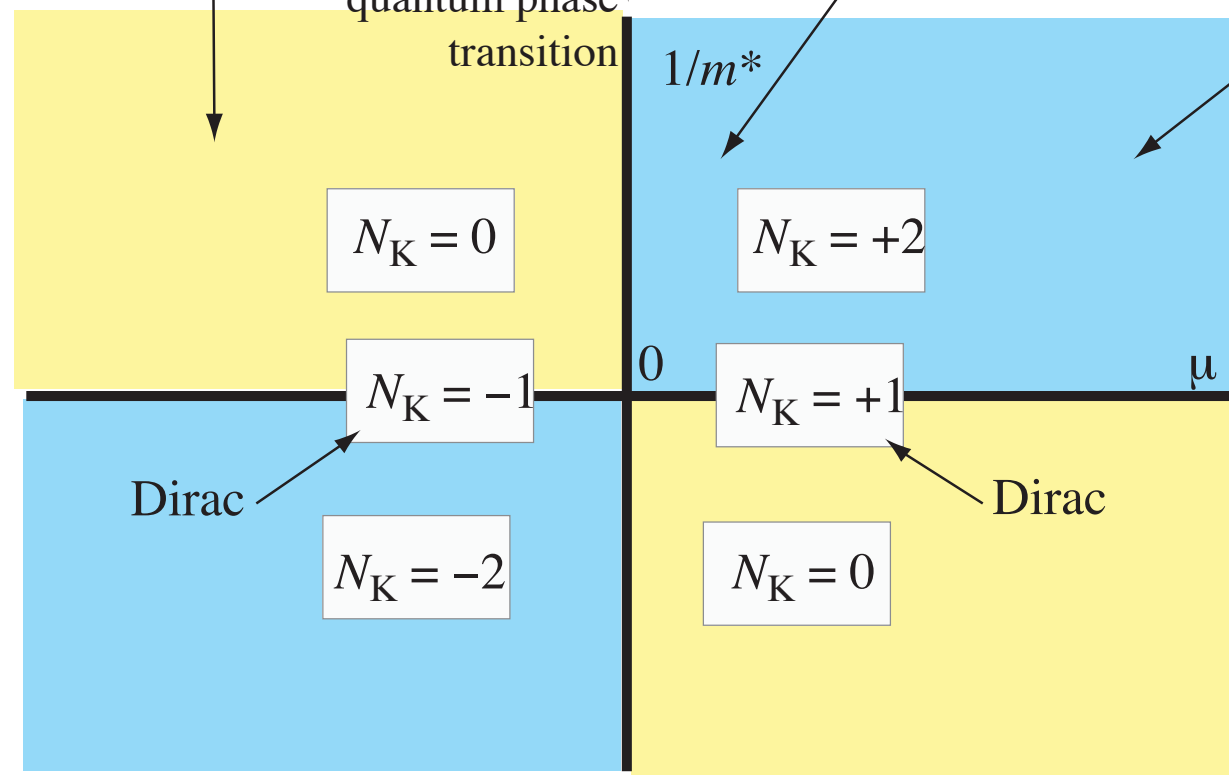
$$H = \begin{pmatrix} c\alpha \cdot \mathbf{p} + \beta M - \mu_R & \gamma_5 \Delta \\ \gamma_5 \Delta & -c\alpha \cdot \mathbf{p} - \beta M + \mu_R \end{pmatrix}$$

spectrum of non-relativistic $^3\text{He-B}$



soft quantum phase transition:
Higgs transition
in p-space

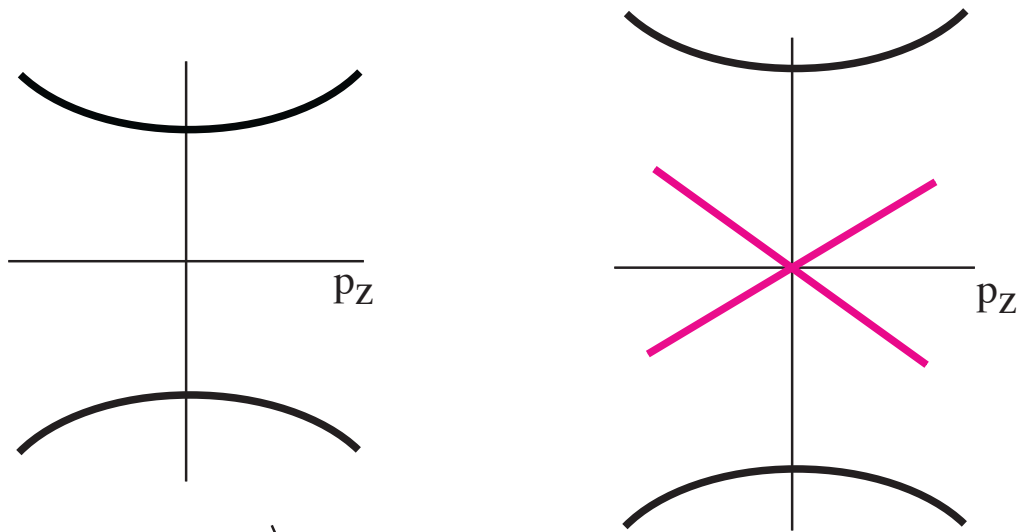
gapless spectrum
at topological
quantum phase
transition



$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu & c_B \boldsymbol{\sigma} \cdot \mathbf{p} \\ c_B \boldsymbol{\sigma} \cdot \mathbf{p} & -\frac{p^2}{2m^*} + \mu \end{pmatrix}$$

M.A. Silaev & GV
arXiv:1005.4672.

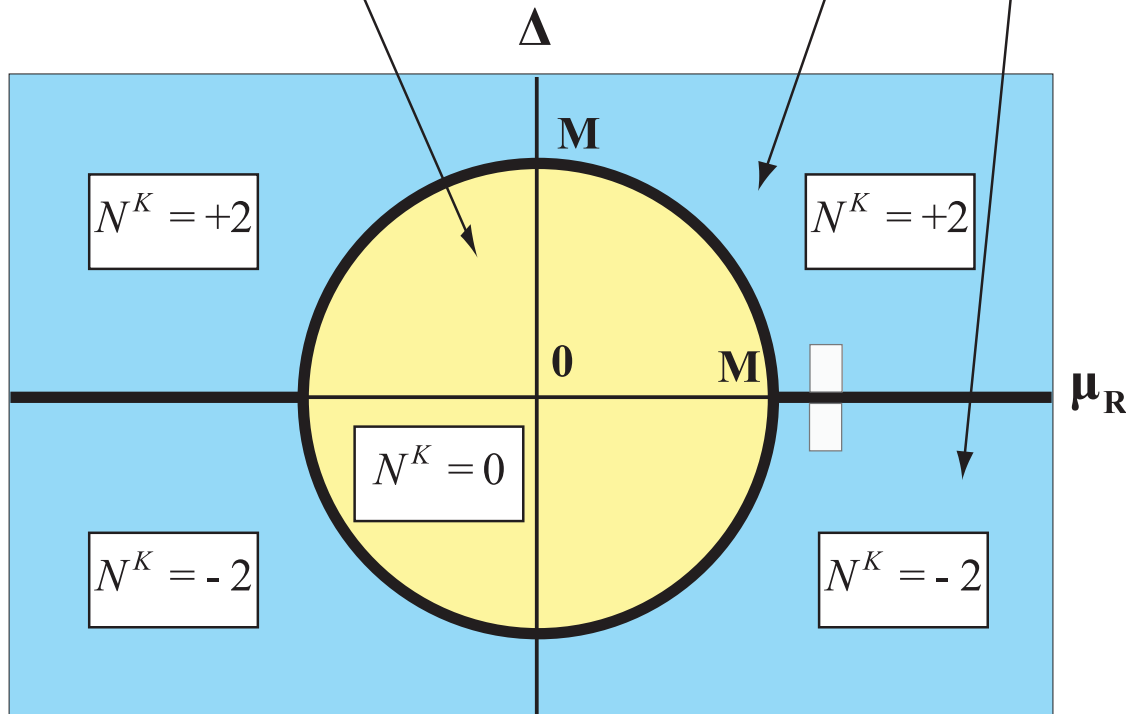
fermion zero modes in relativistic triplet superconductor



$$H = \begin{pmatrix} c\alpha \cdot \mathbf{p} + \beta M - \mu_R & \gamma_5 \Delta \\ \gamma_5 \Delta & -c\alpha \cdot \mathbf{p} - \beta M + \mu_R \end{pmatrix}$$

vortices in topological superconductors have fermion zero modes

generalized index theorem ?



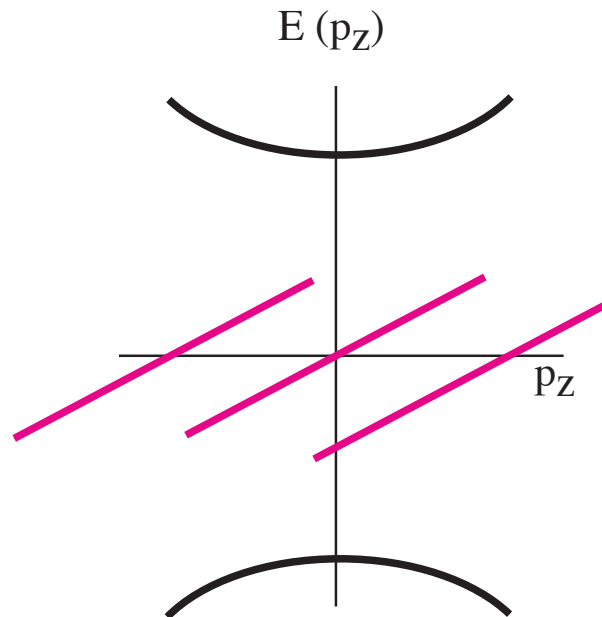
possible index theorem for fermion zero modes on vortices

(interplay of \mathbf{r} -space and \mathbf{p} -space topologies)

$$N_5 = \frac{1}{4\pi^3 i} \text{tr} \left[\int d^3 p \, d\omega \, d\phi \, \mathbf{G} \partial_\omega \mathbf{G}^{-1} \mathbf{G} \partial_\phi \mathbf{G}^{-1} \mathbf{G} \partial_{p_x} \mathbf{G}^{-1} \mathbf{G} \partial_{p_y} \mathbf{G}^{-1} \mathbf{G} \partial_{p_z} \mathbf{G}^{-1} \right]$$

for vortices in Dirac vacuum

$$N_5 = N \quad \text{winding number}$$



Conclusion

Momentum-space topology determines:

universality classes of quantum vacua

effective field theories in these quantum vacua

topological quantum phase transitions (Lifshitz, plateau, etc.)

quantization of Hall and spin-Hall conductivity

topological Chern-Simons & Wess-Zumino terms

quantum statistics of topological objects

bulk-surface & bulk-vortex correspondence

fermion states on walls & quantum vortices: Majorana fermions, flat band, Fermi arc, etc

chiral anomaly & vortex dynamics, etc.

flat band & room-temperature superconductivity

superfluid phases ^3He serve as primer for topological matter: quantum vacuum of Standard Model, topological superconductors, topological insulators, topological semimetals, etc.

we need: low T, high H, miniaturization, atomically smooth surface, nano-detectors, ...
and fabrication of samples with room-temperature surface superconductivity

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