

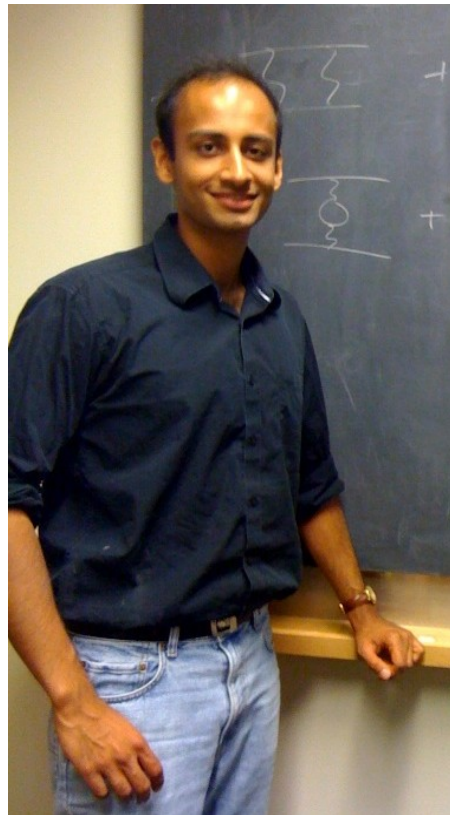
**Correlated states with broken time
reversal symmetry in graphene**
(i) monolayer doped to saddle point
(ii) bilayer at charge neutrality

Leonid Levitov (MIT)

ICTP, Trieste, 06/29/11

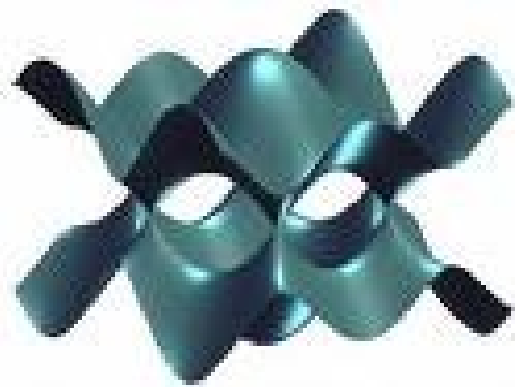
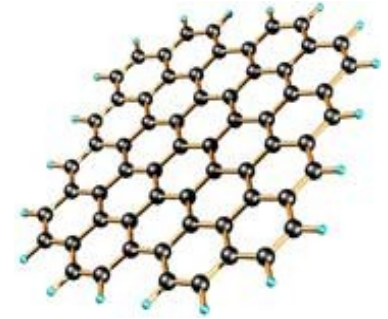
Collaboration

Rahul Nandkishore (MIT)
Andrey Chubukov (Wiskonsin)

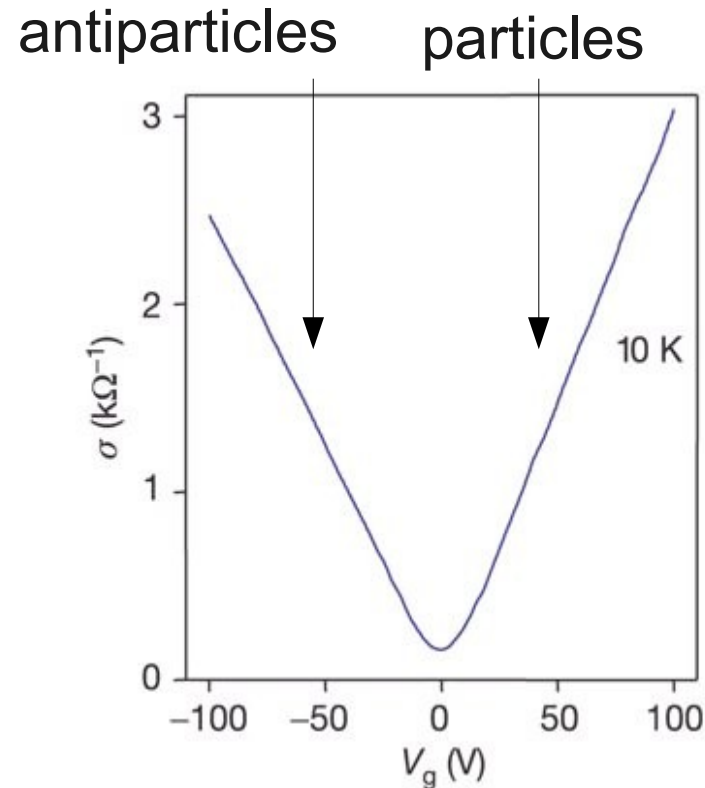


Electronic states in a single carbon layer

- A. Field-effect enabled by gating:
tunable carrier density,
- B. high mobility, no temperature dependence
- C. conductivity linear in density

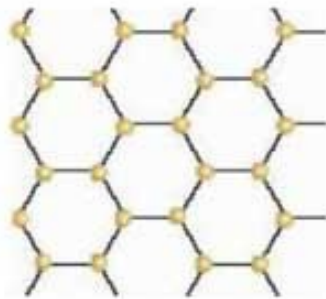


Novoselov et al, 2004,
Zhang et al, 2005

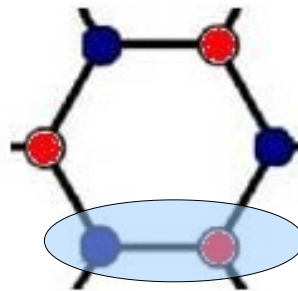


Electronic states in graphene

Two sublattices

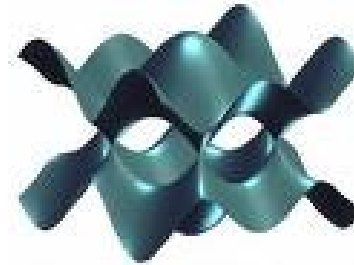


$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$



Pseudo-spin
(sublattice)

unit cell



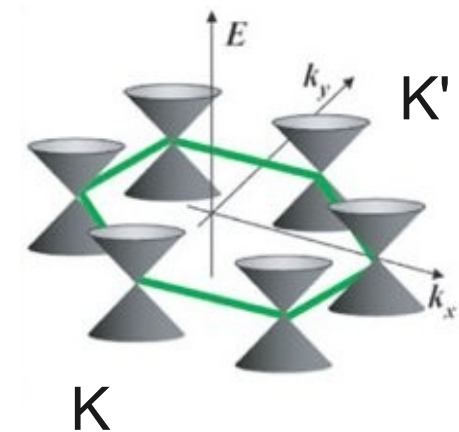
$$\hat{H} = v_F \hat{\sigma} \mathbf{p}$$

$$E = \sqrt{c^2 p^2 + \cancel{m^2 c^4}}$$

No gap (cond-mat) \equiv No mass (hep)

$$v_F \approx 10^6 \text{ m/s} = \frac{c}{300}$$

Slow, but ultrarelativistic Dirac fermions



4-fold degeneracy
Four flavors
(spin + KK')

The tight-binding model

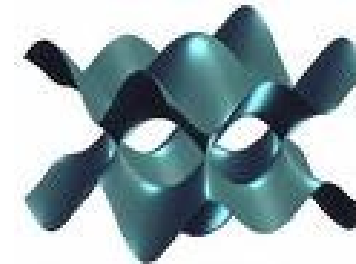
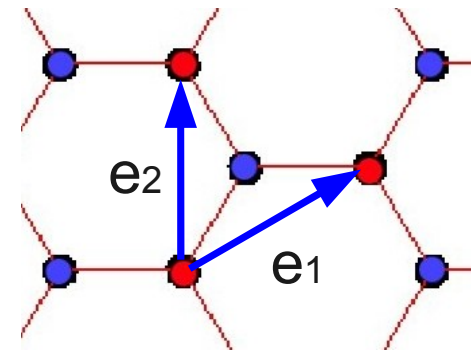
Tight-binding Hamiltonian with nearest-neighbor hopping $t_0 \approx 3.1 \text{ eV}$

In momentum representation:

$$H = \sum_{\mathbf{k}} t_{\mathbf{k}} \psi_{\mathbf{k},A}^+ \psi_{\mathbf{k},B} + c.c.$$

$$t_{\mathbf{k}} = t_0 (1 + e^{-i\mathbf{k} \cdot \mathbf{e}_1} + e^{-i\mathbf{k} \cdot \mathbf{e}_2})$$

Tight-binding Hamiltonian: $H_K = \begin{pmatrix} 0 & t_{\mathbf{k}} \\ t_{-\mathbf{k}} & 0 \end{pmatrix}$



Expand in the vicinity of points K , $K'=-K$:
massless Dirac Hamiltonian

$$t_{\mathbf{k}} \approx v_F (\mp k_x - i k_y)$$

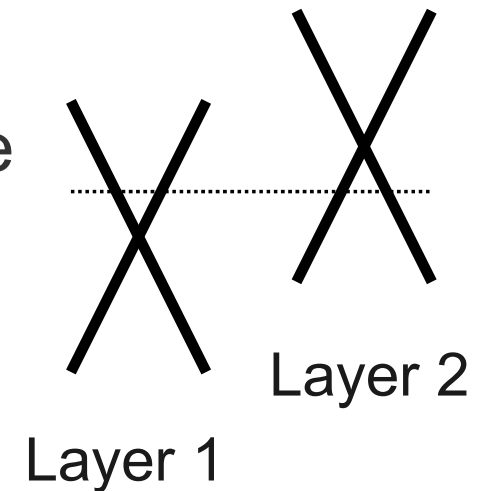
$$v_F = \frac{3}{2} t_0 a$$

Effects of interaction

- Wanted: kinetic energy \ll potential energy
- Large fine structure constant $\alpha = e^2 / \hbar v_F \approx 2.5$
- But: low density of states at the Dirac point
- Interaction effects weak in undoped graphene,
both a blessing and a curse
- Ways to strengthen effects of interaction:
 - (i) alter electronic states using external field;
 - (ii) single layer doped to saddle point,
 - (iii) bilayer at charge neutrality

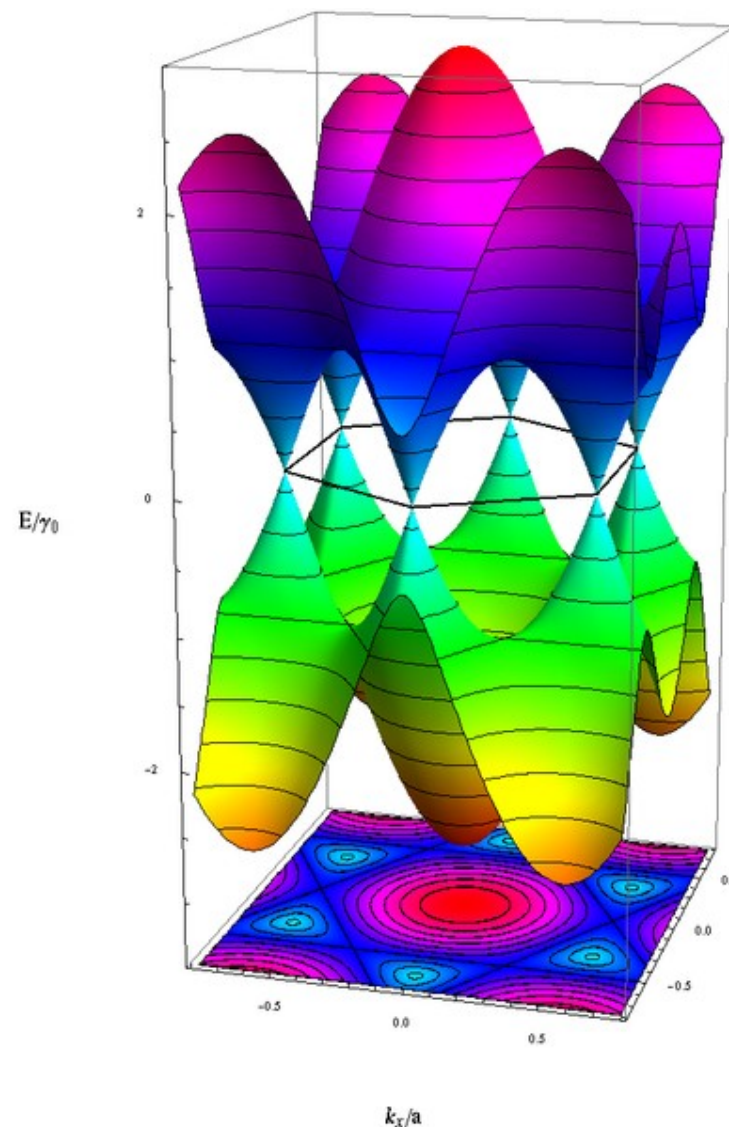
Proposals for gapped/ordered states

- In a single layer, gapped states can be induced by B field: M-catalysis (Gusynin, Miransky et al 1994), QHFM (Nomura & MacDonald 2006), has been observed (Checkelsky et al 2008)
- BCS-like excitonic instability in a pair of single layers (Min et al 2008, Kharitonov et al 2008): the energy scales Δ, T_c exponentially small b/c small DOS near the Dirac point
- BCS pairing in weakly doped monolayer (Uchoa, Castro Neto 2007, Kopnin, Sonin 2010)
- Graphene on lattice matched SiC or BN substrate
- Peierls instability and sublattice ordering of adatoms (Abanin, Shytov & LL 2010, Cheianov et al 2010)

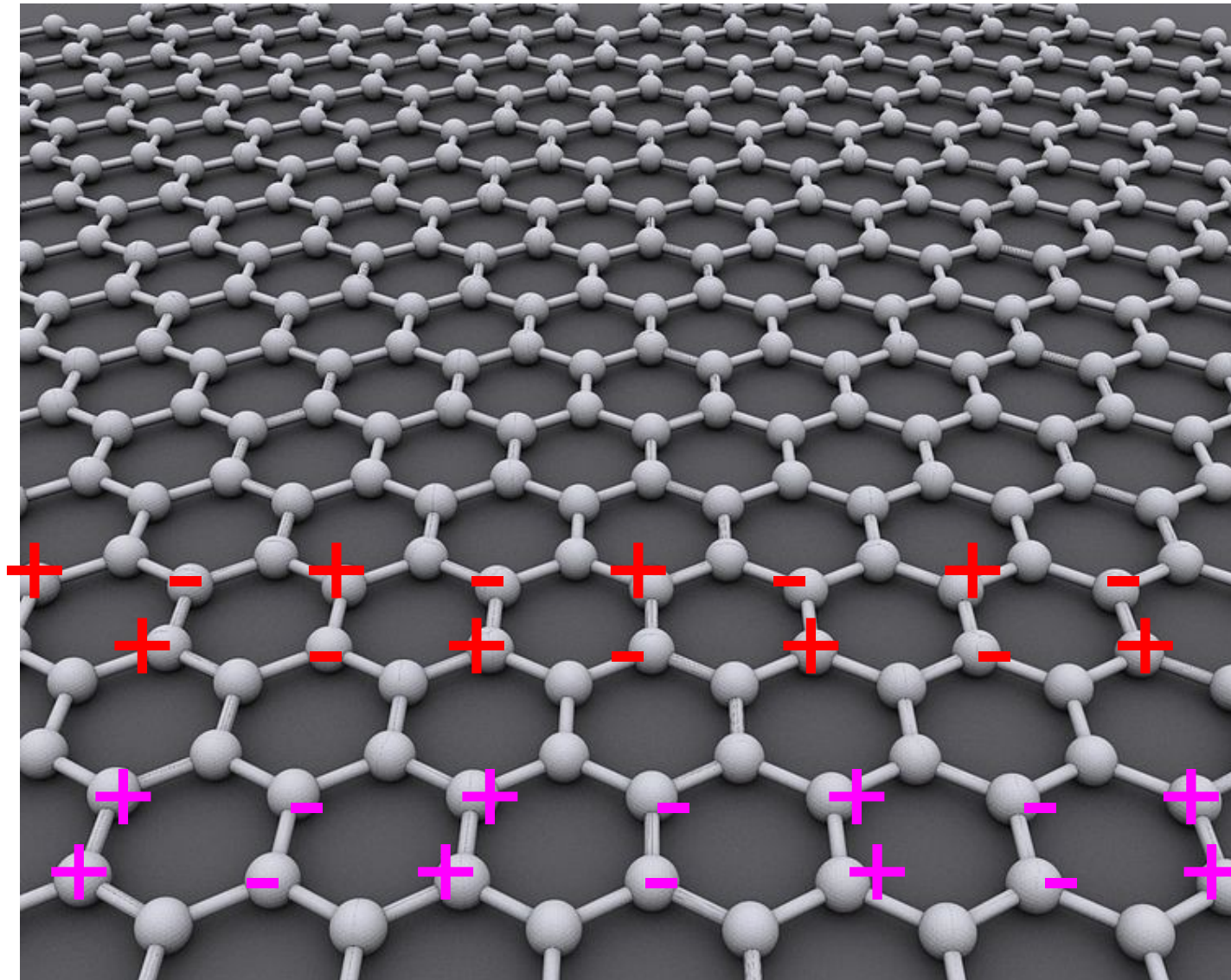


Electronic states in strongly doped graphene

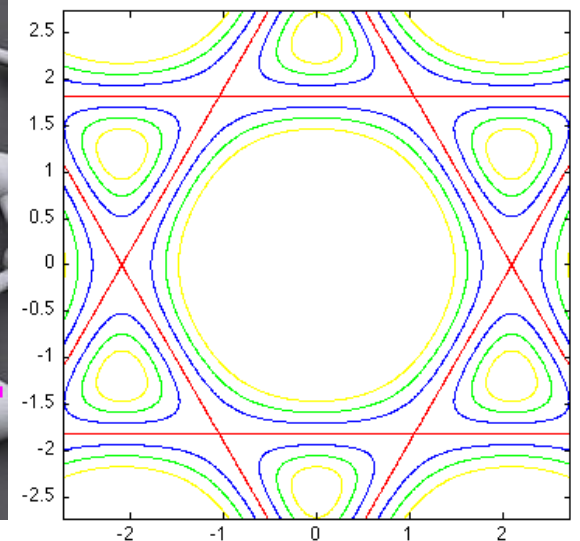
- ◆ Quadratic dispersion near saddle points at $E = \pm t_0$
- ◆ Logarithmic V-H singularity
- ◆ Hexagonal FS @ $n = 3/8, 5/8$
- ◆ Nesting, enhancement of interaction effects
- ◆ *a la* square lattice @ half-filling
- ◆ Various competing orders: CDW, SDW, superconductivity, nematic order (Pomeranchuk)



Hexagonal Fermi surface: stripe states

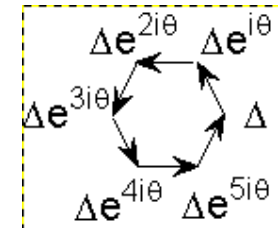


- Translational invariance, hexagonal FS
- 6 equivalent groups
- *a la* stripes @ square lattice



Chiral superconductivity from repulsive interactions

- Pairing gap winds in phase by multiples of 2π around the Fermi surface
- Induced by (weak) repulsive interactions
- d-wave pairing wins over s-wave pairing
- d+id state with *broken time reversal symmetry*
- Once a candidate for high T_c , long abandoned
- Very rich phenomenology, as for p+ip states in ^3He films, SrRuO, FQHE $\nu=5/2$ (Volovik 1988, Laughlin 1998, Fu, Kane 2008, SC Zhang 2009):
 - (i) charge QHE at $B=0$; (ii) spin and thermal QHE
 - (iii) boundary charge current in B field
 - (iv) Majorana states @ vortices and boundaries
 - (v) Kerr effect, interesting Andreev states, etc



Previous work

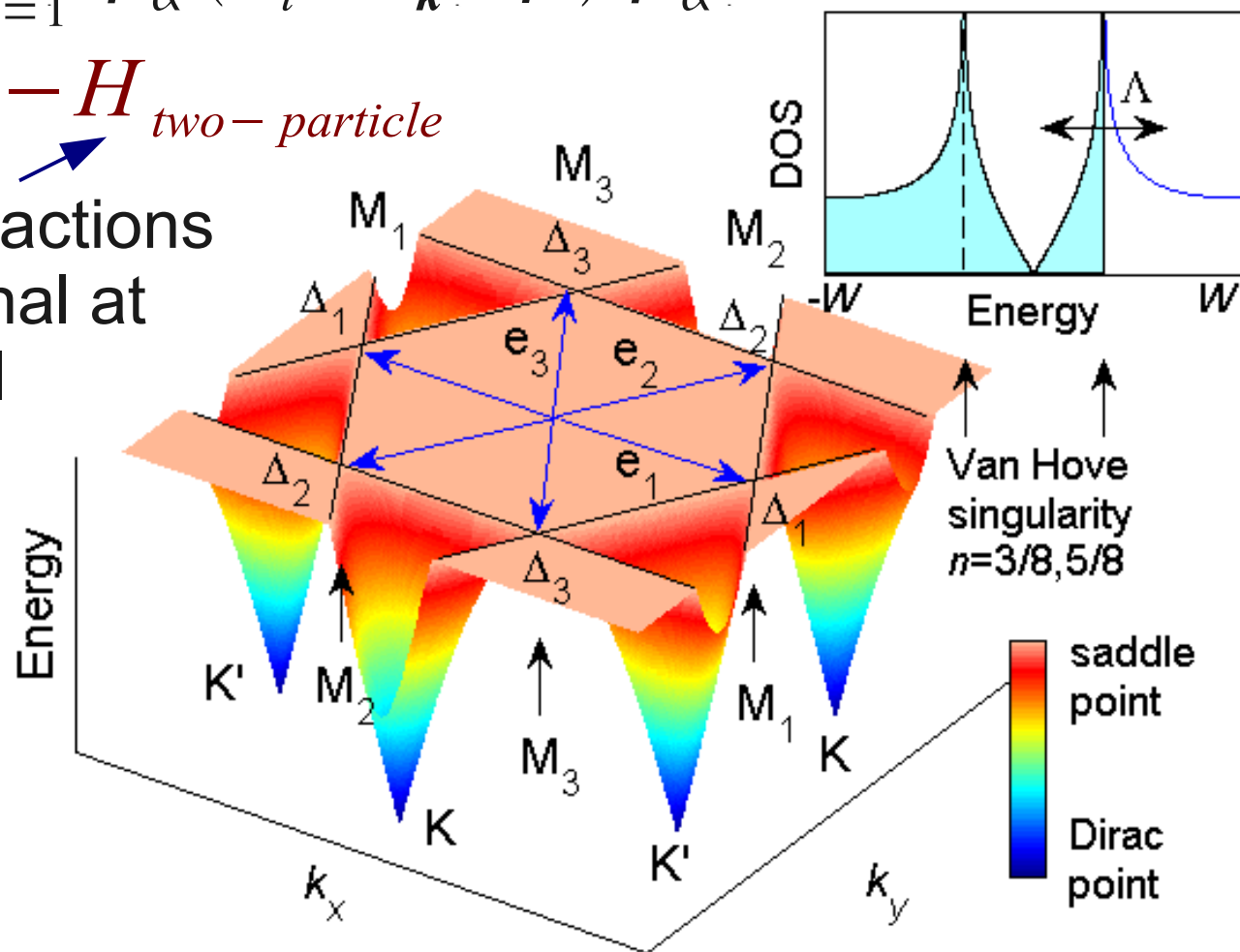
- d-wave pairing, Kohn-Luttinger framework (Gonzalez 2008)
- Pomeranchuk (nematic) order, mean field (Valenzuelo, Vozmediano 2008)
- SDW order, mean field (Li arxiv:1103.2420, Makogon et al arxiv:1104.5334)
- Legitimate mean-field states: superconductor, metal, insulator
- Need RG to compare these orders on equal footing

Low energy description: three inequivalent patches

$$L = \sum_{\alpha=1}^3 \psi_{\alpha}^{\dagger} (\partial_t - \epsilon_k + \mu) \psi_{\alpha}$$

$-H_{\text{two-particle}}$

four interactions
(i) marginal at tree level
(ii) log's



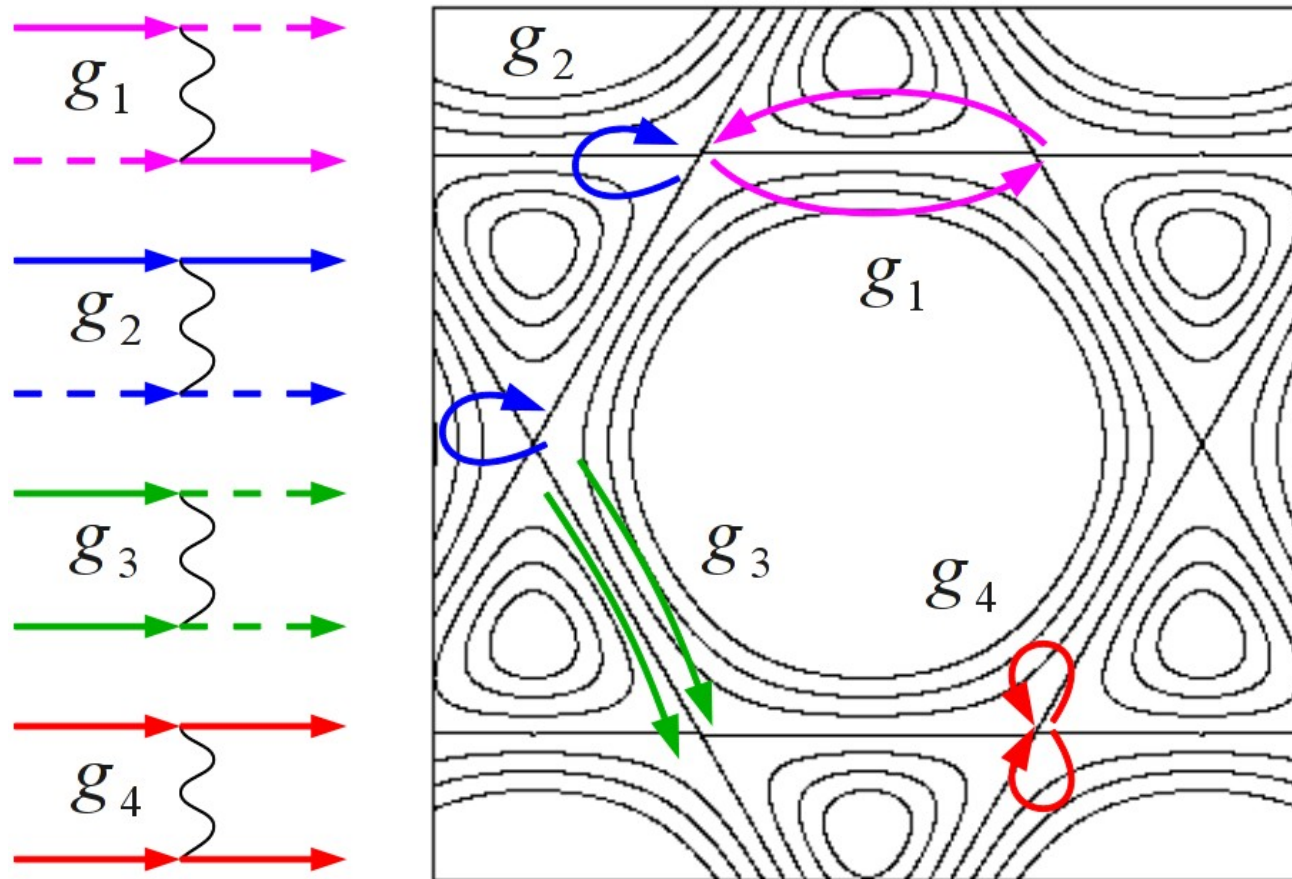
Weak coupling theory

- Two sources of log divergence: DOS (Van Hove divergence), pairing susceptibility (BCS divergence)
- \log^2 divergent diagrams
- One-loop RG summation of leading log-divergent contributions
- Similar to square lattice at half filling: Shulz 1987, Dzyaloshinskii 1987, Furukawa, Rice 1998
- Different scenario for competition of SDW and SC

$$L = \sum_{\alpha=1}^3 \psi_{\alpha}^{\dagger} \left(\partial_t - \epsilon_k + \mu \right) \psi_{\alpha} - H_{two-particle}$$

Two-particle inter-patch scattering processes

$$H_{two-particle} = \sum_{\alpha, \beta=1}^3 \frac{g_1}{2} \psi_{\alpha}^{\dagger} \psi_{\beta}^{\dagger} \psi_{\alpha} \psi_{\beta} + \frac{g_2}{2} \psi_{\alpha}^{\dagger} \psi_{\beta}^{\dagger} \psi_{\beta} \psi_{\alpha} + \frac{g_3}{2} \psi_{\alpha}^{\dagger} \psi_{\alpha}^{\dagger} \psi_{\beta} \psi_{\beta} \\ + \sum_{\alpha=1}^3 \frac{g_4}{2} \psi_{\alpha}^{\dagger} \psi_{\alpha}^{\dagger} \psi_{\alpha} \psi_{\alpha}$$




Diverging susceptibilities

SC pairing (spin-up, spin-down)

$$\Pi_{pp}(0) = \frac{\nu_0}{4} \ln \frac{\Lambda}{\max(\mu, T)} \ln \frac{\Lambda}{T}$$

SDW susceptibility

$$\Pi_{ph}(Q_i) = \frac{\nu_0}{4} \ln \frac{\Lambda}{\max(\mu, T)} \ln \frac{\Lambda}{\max(\mu, T, t_3)}$$


Lesser susceptibilities:

Imperfect nesting

$$\Pi_{pp}(Q_i), \Pi_{ph}(0) = \frac{\nu_0}{4} \ln \frac{\Lambda}{\max(\mu, T)}$$

RG flow of couplings for n patches

$$\frac{dg_1}{dy} = 2d_1 g_1 (g_2 - g_1) \quad \frac{dg_2}{dy} = 2d_1 (g_2^2 + g_3^2) \quad \frac{dg_4}{dy} = -(n-1)g_3^2 - g_4^2$$

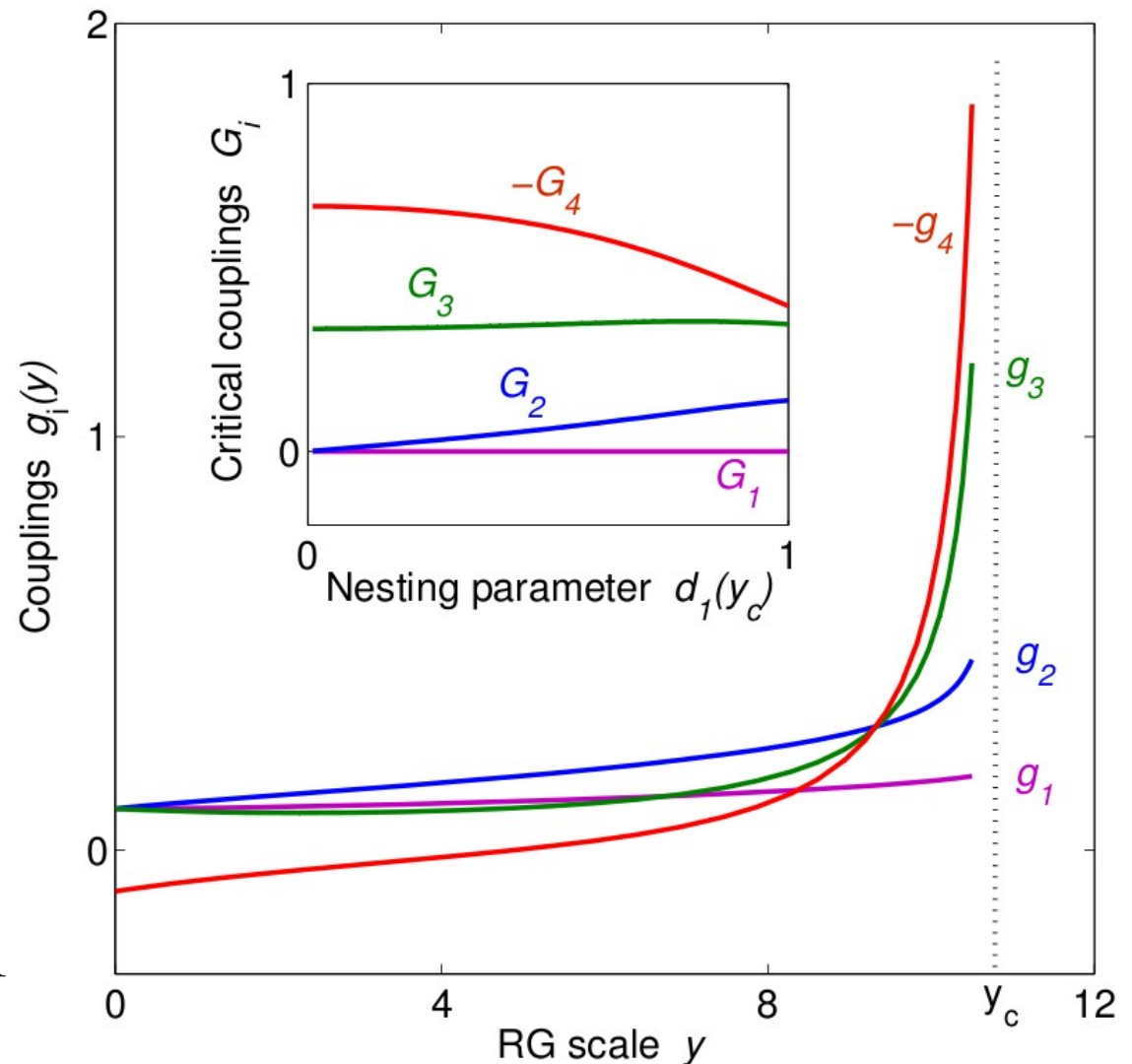
$$\begin{aligned} \frac{dg_3}{dy} = & -(n-2)g_3^2 - 2g_3 g_4 \\ & + 2d_1 g_3 (2g_2 - g_1) \end{aligned}$$

RG time $y = \Pi_{pp}(0)$

Nesting parameter $d_1 = \frac{d \Pi_{ph}(Q)}{d \Pi_{pp}(0)} < 1$

Critical couplings $g_i(y) \approx \frac{G_i}{y_c - y}$

Initial values $g_i(y=0) \approx 0.1$



RG flow features

- agrees with the square lattice ($n=2$)
- one stable fixed point
- g_1, g_3, g_2 cannot change sign, stay positive
- g_4 decreases & reverses sign
- g_3 - g_4 large & positive, drives SC instability
- positive g_3 penalizes s-wave,
favors d-wave SC
- Analyze susceptibilities:
 χ_{sc} diverges faster than χ_{sdw}
- In contrast to the square lattice,
SC a clear winner

Enhancement of T_c at weak coupling

$$T_c \approx \Lambda e^{-\frac{A}{\sqrt{g_0 \nu_0}}}$$

Enhancement of T_c at weak coupling

$$T_c \approx \Lambda e^{-\frac{A}{\sqrt{g_0 \nu_0}}}$$

Enhancement of T_c at weak
coupling

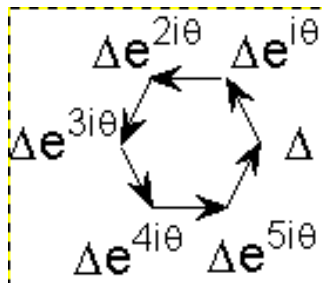
$$T_c \approx \Lambda e^{-\frac{A}{\sqrt{g_0 \nu_0}}}$$

Competition of d-wave orders below T_c

- By symmetry, two degenerate d-wave states
- Ginzburg-Landau analysis of competition

$$\Delta = \Delta_a (x^2 - y^2) + \Delta_b 2xy$$

$$F(\Delta_a, \Delta_b) = \alpha (T - T_c) (|\Delta_a|^2 + |\Delta_b|^2) + K_1 (|\Delta_a|^2 + |\Delta_b|^2)^2 + K_2 |\Delta_a^2 + \Delta_b^2|^2$$



- Calculation of GL functional yields $K_2 > 0$
- d+id and d-id ground states $\Delta_a = \pm \Delta_b$
- Superconductivity with TRS breaking

Summary: chiral superconductivity in highly doped graphene

- Interaction driven instability in graphene doped at saddle points
- Weak repulsive interaction stabilizes chiral superconducting state $d+id$ or $d-id$
- Enhanced T_c
- Topological superconductor with broken TRS
- Zoo of interesting phenomena
- Graphene is exceptionally easy to combine with other materials into hybrid structures and heterostructures: pathway to applications of chiral superconductivity

we're not
done yet

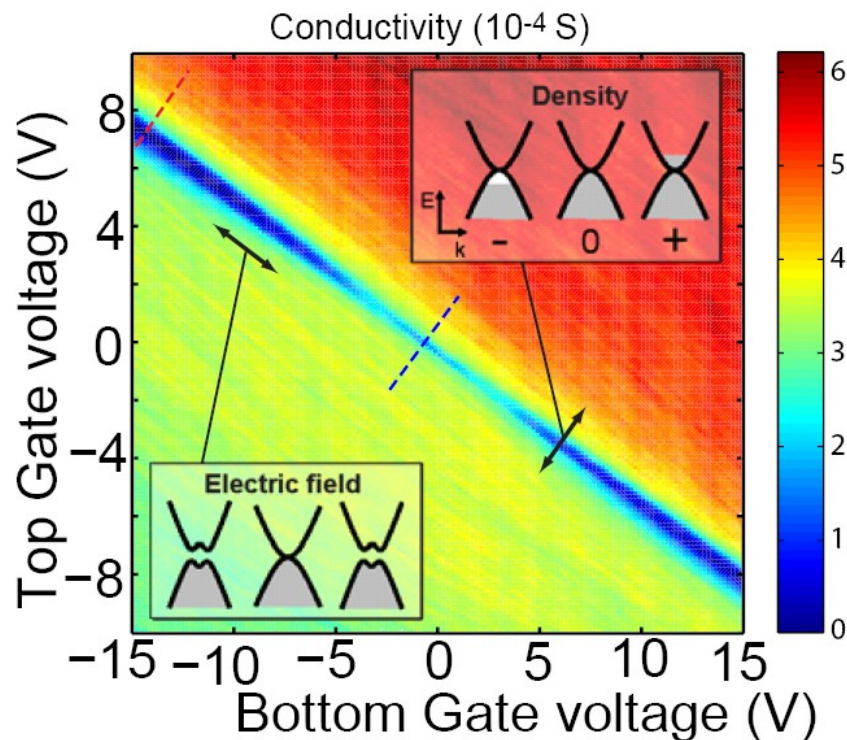
Spontaneously ordered states in bilayer graphene

- Excitonic instability in bilayer graphene
- SU(4) flavor symmetry and relation between different proposals
- Time Reversal Symmetry Breaking at $E=B=0$ (Anomalous Hall Insulator)
- Recent measurements (Yacoby's group)

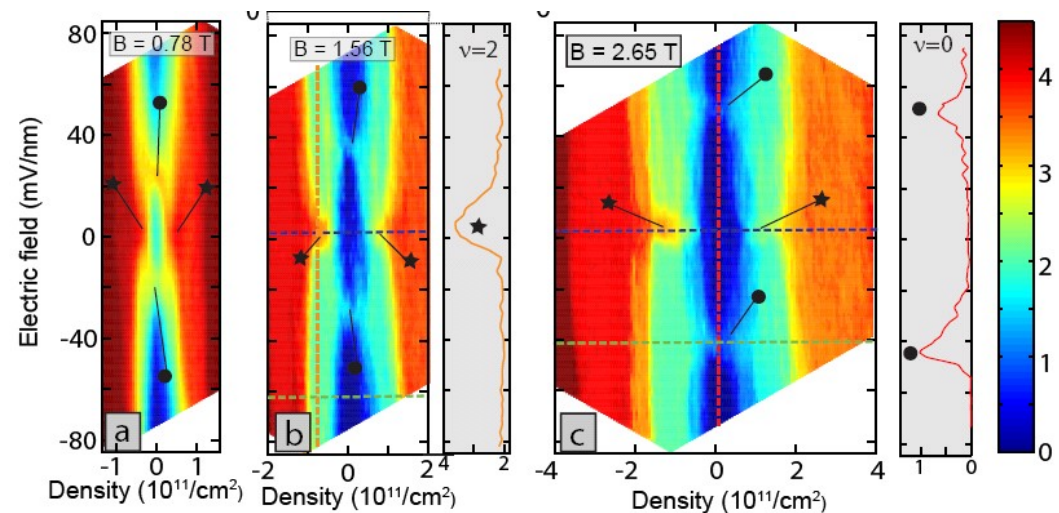
Nandkishore & LL, PRL 104, 156803 (2010), PRB 82, 115124 (2010)

Gapped states in double gated suspended bilayer

Different states realized at different E and B field values:
QHFM at high B/E, layer-polarized state at high E/B



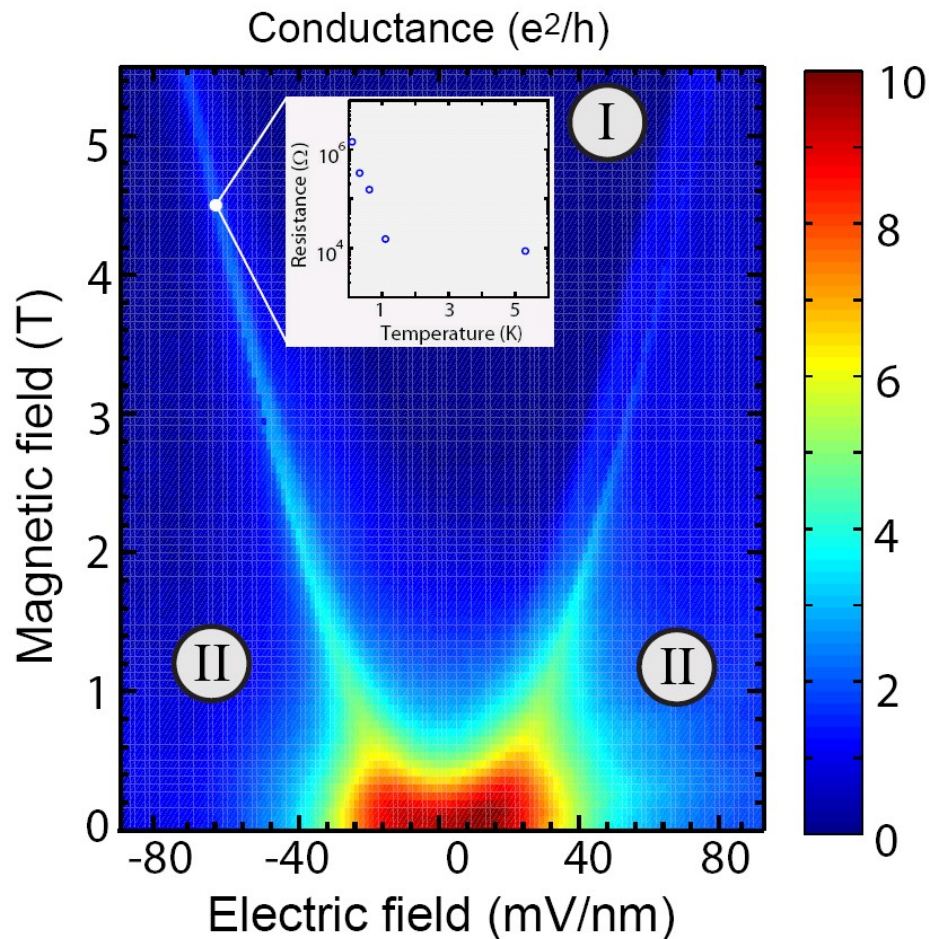
Feldman et al 2009, Allen et al 2010



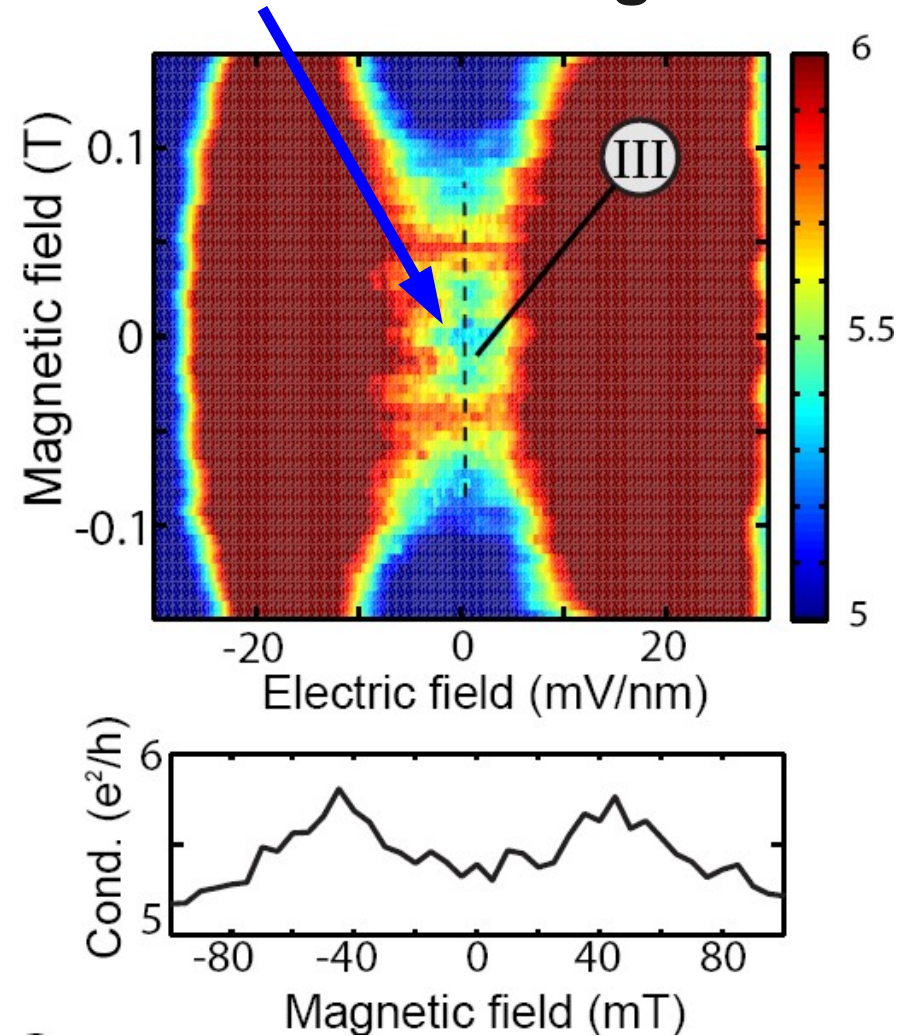
Gap at $\nu=0$ for $B > 0.1$ Tesla; also, suspended monolayer (X. Du et al 2010)

Measured phase diagram

- Distinct gapped states at $B=0$ and high B
- Phase boundary: linear E vs. B



New insulating state?



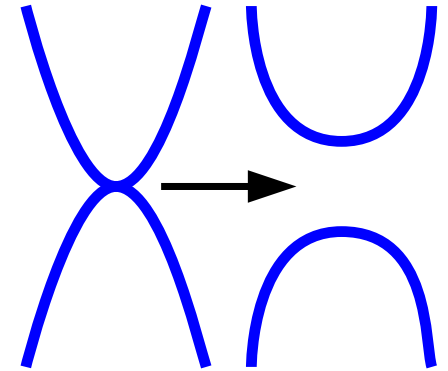
Allen et al 2010

Natural (indigenous) Excitonic Insulator at $B, E=0$

- Particle-hole pairing instability
- BCS-like condensate, no superfluidity, phase locking:
- Gapped spectrum
- Another candidate: “nematic” order, gapless spectrum, broken rotational symmetry

Min et al 2008;
Nandkishore & LL 2010
Zhang et al 2010

$$\Delta = \pm \Delta_0$$



Vafeek, Yang 2010;
Lemonik et al 2010

$$H_{nema} = \begin{pmatrix} 0 & \frac{p_-^2}{2m} + \Delta \\ \frac{p_+^2}{2m} + \Delta & 0 \end{pmatrix}$$

$$H_{gaped} = \begin{pmatrix} \Delta & \frac{p_-^2}{2m} \\ \frac{p_+^2}{2m} & -\Delta \end{pmatrix}$$

Excitonic order induced by 1/r interaction

Dynamically generated UV cutoff (characteristic “Rydberg energy” and “Bohr radius”)

$$E_0 = \frac{m e^4}{\kappa^2} = \frac{1.47}{\kappa^2} eV \quad a_0 = \frac{\kappa}{m e^2} = \kappa \times 1.1 \text{ nm}$$

- Semimetal with parabolic dispersion, finite DOS at low energies
- Weak interactions can trigger instability: gap opening, 'which-layer' symmetry breaking
- The gap Δ scales as a power law of interaction
- Δ may reach 10-20 K in a clean system

$$\Delta \approx 10^{-3} E_0$$

Analysis for 1/r interaction in:
Nandkishore & LL, PRL 104, 156803 (2010)

Large variety of possible states

$$H_K = \begin{pmatrix} \Delta_K & p_-^2/2m \\ p_+^2/2m & -\Delta_K \end{pmatrix} \quad H_{K'} = \begin{pmatrix} \Delta_{K'} & p_+^2/2m \\ p_-^2/2m & -\Delta_{K'} \end{pmatrix}$$

$$\Delta_{K,\sigma} = \pm \Delta_{K',\sigma} = \pm \Delta_{K,-\sigma} = \pm \Delta_{K',-\sigma} \quad p_{\pm} = p_1 \pm i p_2$$

- Four-fold spin/valley degeneracy
- Many gapped states: valley “antiferromagnet”, ferromagnetic, ferrimagnetic, ferroelectric, etc (Min et al 2008, Nandkishore & LL 2010, Zhang et al 2010)
- Degeneracy on a mean field level: instability threshold **the same for all states**: short-range interaction, screened long-range interaction models
- SU(4) symmetry?

Opposite chirality of two valleys conceals SU(4) symmetry, made manifest by performing unitary transformation

$$H_0 = \frac{p_+^2}{2m} \tilde{\tau}_- + \frac{p_-^2}{2m} \tilde{\tau}_+,$$

Approximate SU(4) symmetry (weakly broken by trigonal warping and capacitor energy)

$$H = \sum_{\mathbf{p}} \psi_{\mathbf{p}}^\dagger H_0 \psi_{\mathbf{p}} + \frac{1}{2} \sum_{\mathbf{q}} V_+(q) \rho_{\mathbf{q}} \rho_{-\mathbf{q}} + V_- \lambda_{\mathbf{q}} \lambda_{-\mathbf{q}},$$

Strategy: Diagonalise SU(4) invariant Hamiltonian and incorporate anisotropies perturbatively

General mean field description of gapped states

$$H = \frac{p_+^2 \tau_- + p_-^2 \tau_+}{2m} + \Delta \tau_3 Q,$$

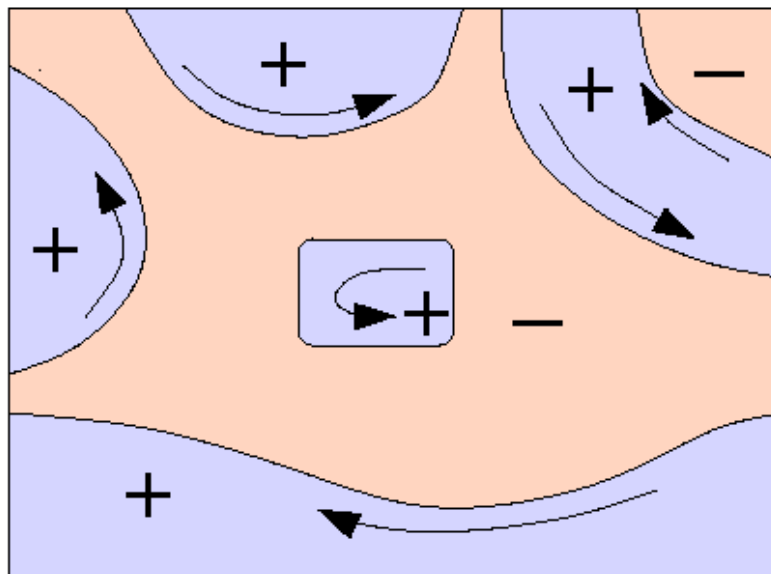
Classification into manifolds (4,0), (3,1), (2,2)
and distinction between symmetry protected
and accidental degeneracies

$$\sigma_{xy} = (M_{>} - M_{<}) \frac{e^2}{h},$$

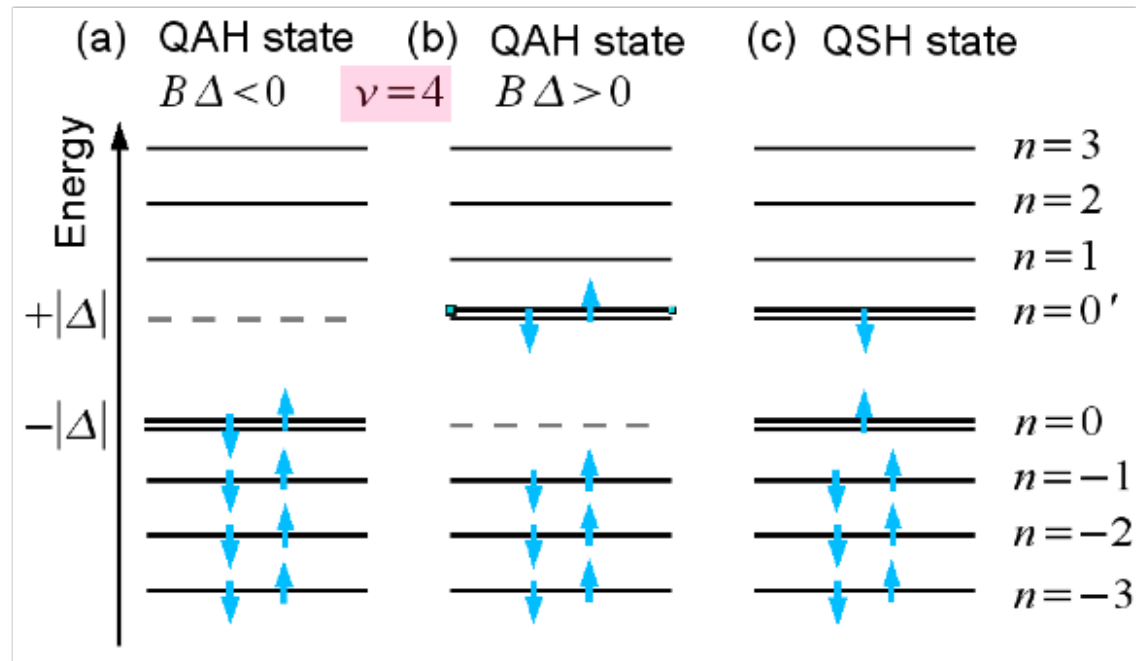
Therefore, (4,0) and (3,1) are QAH states,
exhibit QHE at B=0

Edge state picture of various states

- 'Which-layer' symmetry breaking
- Domains of + and – polarization in a uniform system, domain size controlled by long-range dipole interactions
- Charge, valley or spin polarized current along domain boundaries, QHE, VQHE, SQHE, etc



Inducing QAH state with external B field



Broken T symmetry at $B=0$, broken particle-hole symmetry at finite B. Therefore, at small non zero B and $\nu=4$, the QAH state is preferred.

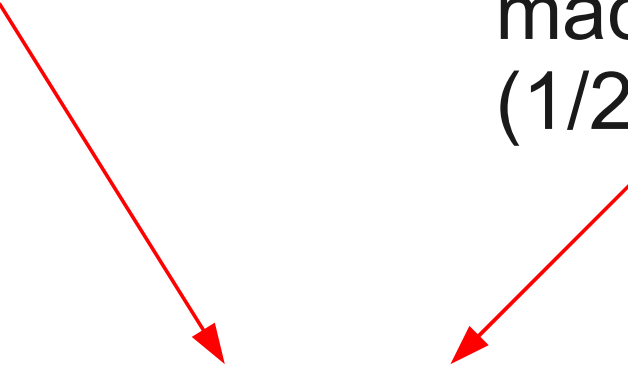
Generic mechanism/However, no spontaneous breaking of T symmetry at finite B...

- Investigate lifting of accidental degeneracies by thermal and zero point fluctuations, a 'saddle point plus quadratic fluctuations' approximation.
- Thermal fluctuations favor (2,2) states (entropic reasoning)
- Zero point fluctuations favor QAH state (influence of nematic fluctuations which are unique to BLG).
- Therefore, QAH favored below critical temperature (of order Δ). *Possible realization of QAH state in BLG.*
- Caveat: Fluctuation analysis is uncontrolled.

Signatures of AHI state

Two anomalous
Landau levels per
flavor: total $2 \times 4 = 8$

Each zero-energy state
filled (unfilled) contributes
 $+1/2$ ($-1/2$) of an electron
macroscopically:
 $(1/2) \times \text{LL density}$



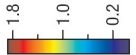
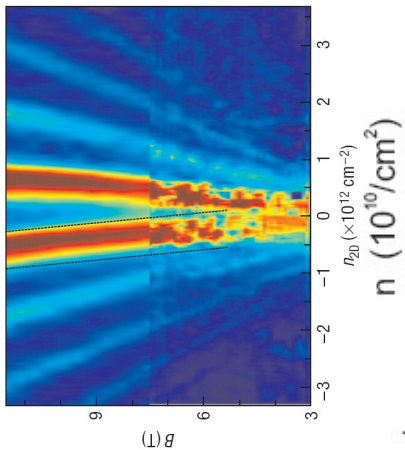
Expect incompressible
states at $\nu = +4$ and $\nu = -4$
even @ $B=0$

Local compressibility measurement

Martin et al 2010

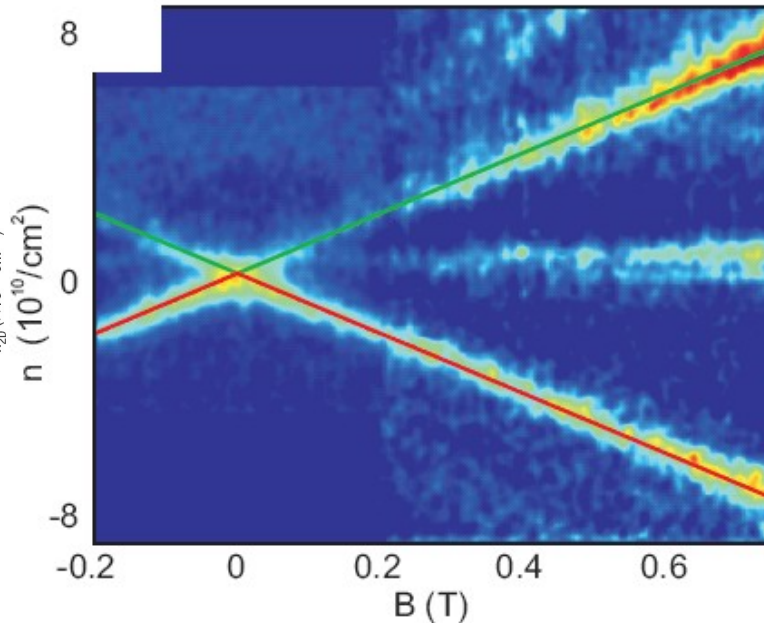
High field

$\partial\mu/\partial n_{2D}$ (meV \cdot 10^{-10} cm $^{-2}$)

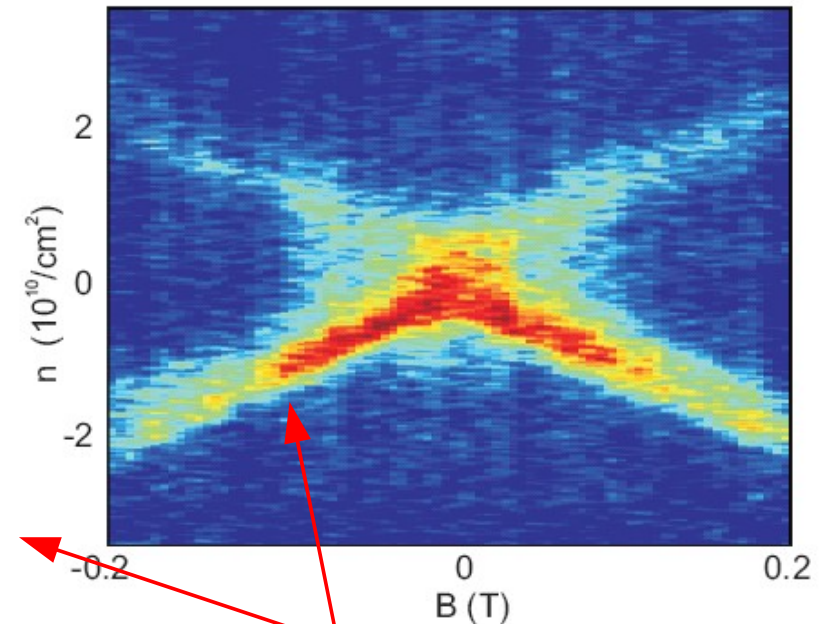
Low field

inverse compressibility $d\mu/dn$



Zero field

inverse compressibility $d\mu/dn$



- Incompressible $\nu=4$ states at **very low B**: $n = \pm 4 \times eB/h$
- Suggests anomalous quantized Hall effect in the $B=E=0$ state with

$$\sigma_{xy} = \pm 4 e^2/h$$

Experimental signatures

- Gold standard: measurement of QHE at $B=0$; requires four-probe measurement on suspended BLG at low T
- Contactless, optical detection of TRS breaking (prediction of large polar Kerr effect in QAH state, Nandkishore & LL arxiv: 1105.5142)
- TRS breaking via violation of Onsager symmetry $B, -B$ in a four-probe measurement

QAH state not yet observed

Experiments compatible with QAH state

- Incompressible (bulk gap)+finite two-probe conductivity; distinguishes QAH state from (2,2) state but not from nematic state or trigonal warping
- Phase transition at zero ν , finite B to (2,2) QHFM state (likewise)
- Incompressible regions at low B , $n=4$ (if field induced), $n=+4$ and $n=-4$ (if intrinsic); no such feature at higher filling factor (unlike nematic or other states)
- Phase transition at finite E to trivial insulator (Ising universality class)

Summary

- Inducing QAH state with B field Rich pattern of phases, $SU(4)$ classification
- Possibility of realizing QAH state at low T
- Inducing QAH state with B field
- Experimental signatures