



**The Abdus Salam  
International Centre for Theoretical Physics**



**2253-11**

**Workshop on Synergies between Field Theory and Exact Computational  
Methods in Strongly Correlated Quantum Matter**

*24 - 29 July 2011*

**General, realistic, and interesting models of correlated quantum systems**

L. Balents

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U.S.A.*

# General, realistic, and interesting models of correlated quantum systems

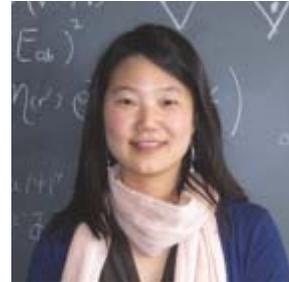
Leon Balents, KITP, UCSB

Synergies between Field Theory and Exact Computational Methods in Strongly Correlated  
Quantum Matter, Trieste, July 2011

# Collaborators



Lucile Savary  
UCSB/ENS Lyon



SungBin Lee  
UCSB



Shigeki Onoda  
RIKEN



Gang Chen  
U. Colorado



Kate Ross



Bruce Gaulin

McMaster



Theory institute

# Models!

- Def for this talk: “model” = a Hamiltonian significantly simpler than realistic electrons + atoms

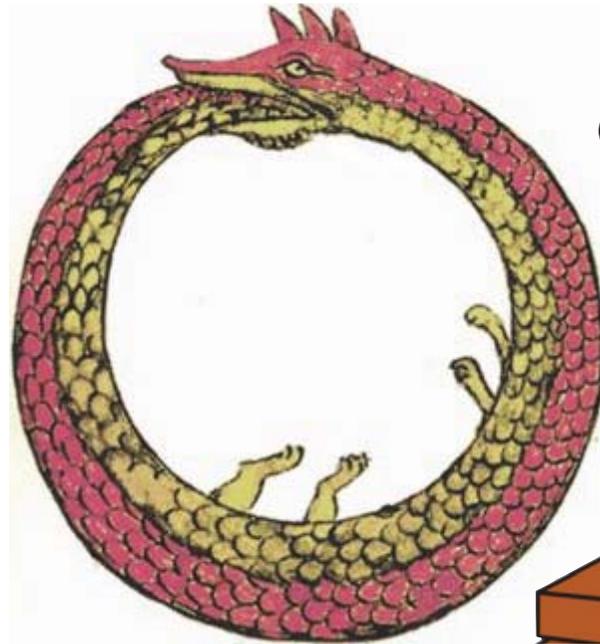
# Models!

- Models are wonderful:
  - Glorious history: Ising model, Bethe chain,  $O(n)$  model...
  - Enable our primitive classical brains to understand something
  - Less degrees of freedom means more chance of successful simulation
  - Not shackled by the constraints of the real world

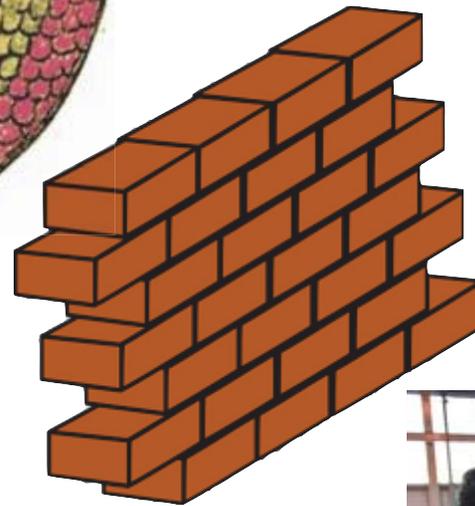
# Just playing around



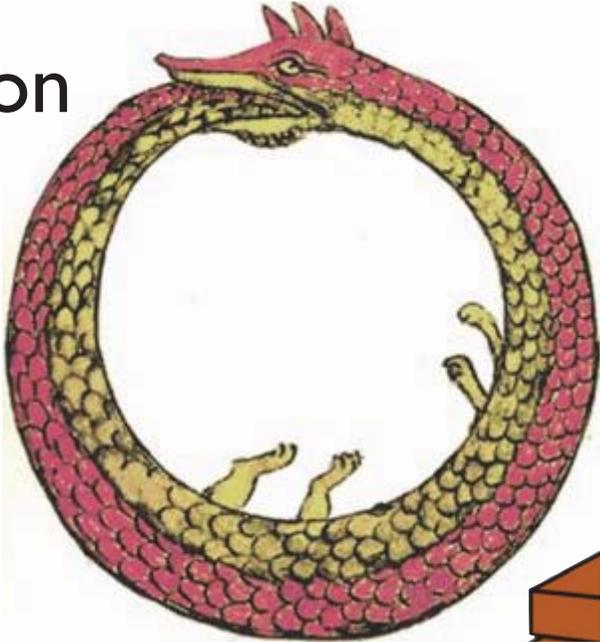
field theory



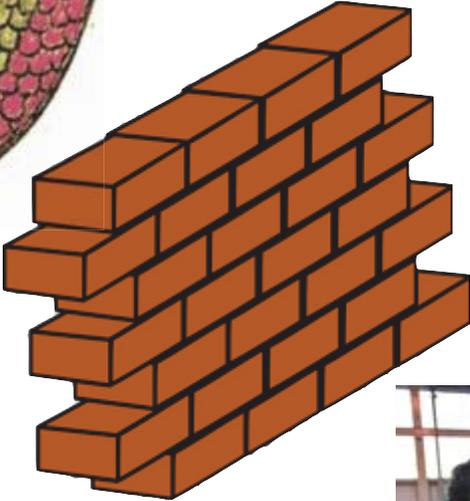
exact computation



exact computation

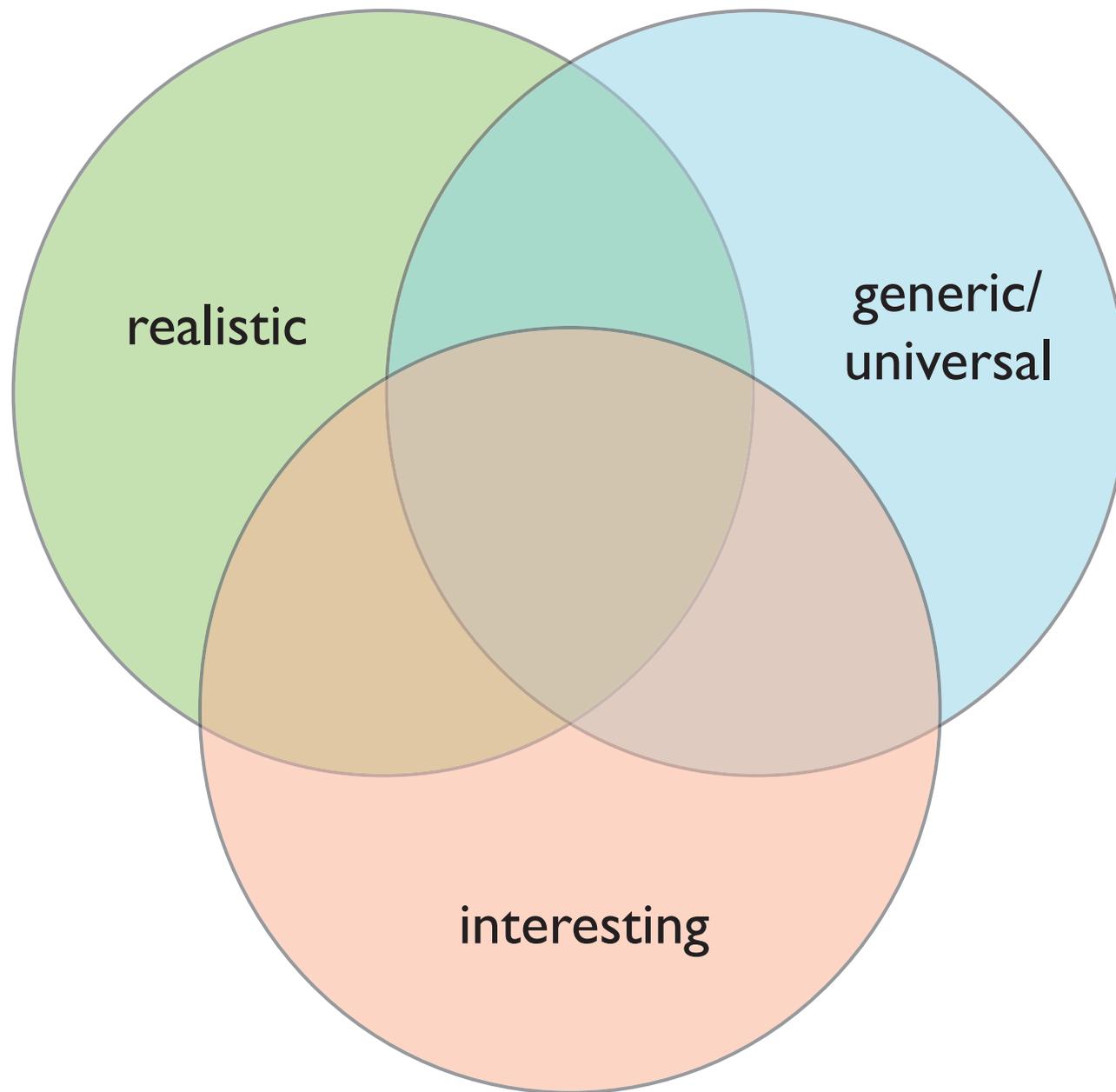


field theory



# How to choose?

- Some criteria
  - universal
  - realistic
  - interesting



# How to choose?

- Some criteria
  - universal
  - realistic
  - interesting
- Better: addresses a significant scientific problem

# Problems

- QCPs in itinerant fermi systems
  - Learning to simulate these is an important goal for numerics
  - c.f. F.Assaad's talk this afternoon

# Problems

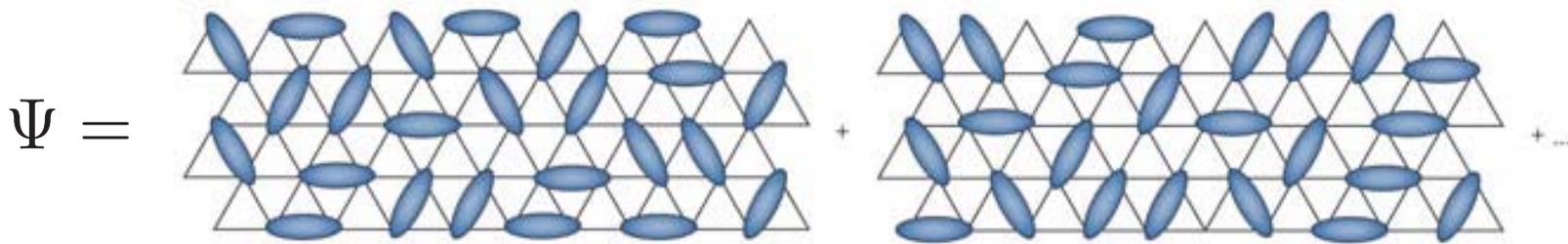
- QCPs in itinerant fermi systems
- Quantum spin liquids (QSLs)
- Mott insulators with strong spin-orbit coupling

# Quantum Spin Liquid

- A system of interacting local moments with a non-magnetic ground state breaking no symmetries
- A ground state exhibiting an emergent gauge structure that supports exotic excitations with fractional quantum numbers and/or non-local emergent statistical interactions

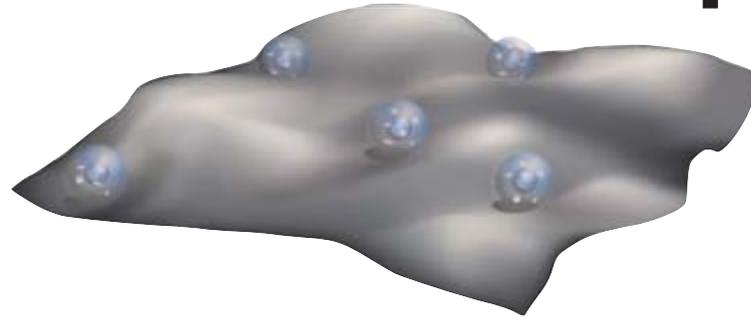
# Theoretical phenomenology

- Resonating valence bonds: singlet pairs



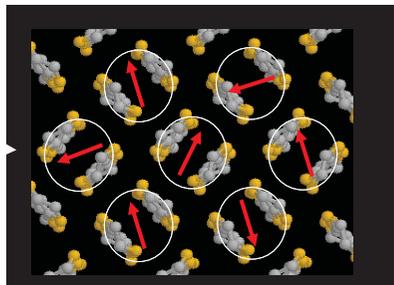
- “long range entanglement”
- Effective field theories of many such states can be constructed from slave particle methods, and constitute a large family of lattice gauge theories

# The “landscape”

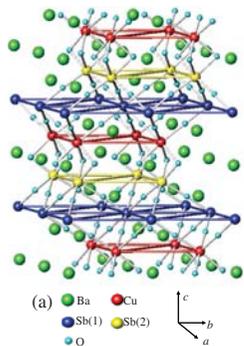
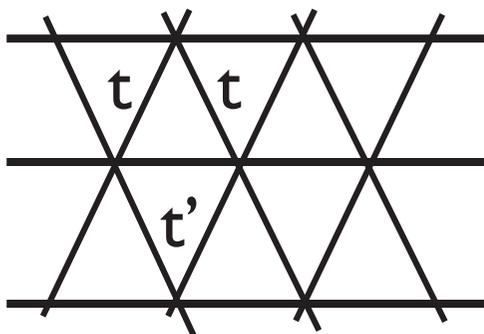


- The number of distinct Quantum Spin Liquid (QSL) *phases* is huge
- e.g. X.G.Wen has classified *hundreds* of different QSL states all with the same symmetry on the square lattice (and this is *not* a complete list!)
- In principle we should have lots of states to compare with experiment
- most of these states are “understood” at least as far as their qualitative low temperature thermodynamics

# $d > 1$ QSL materials

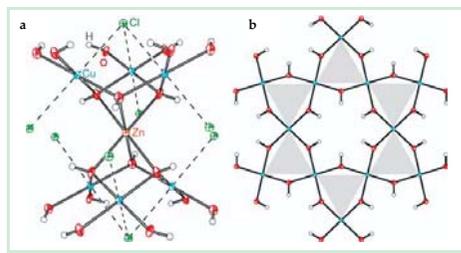


$\text{K}-(\text{BEDTTTF})_2\text{Cu}_2(\text{CN})_3$   
 $\text{EtMe}_3\text{Sb}[\text{Pd}(\text{dmit})_2]_2$

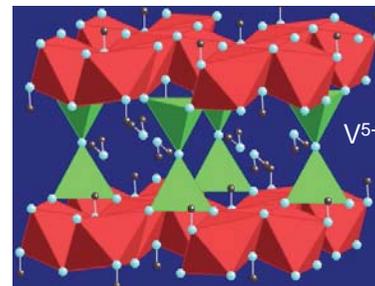


$\text{Ba}_3\text{CuSb}_2\text{O}_9$

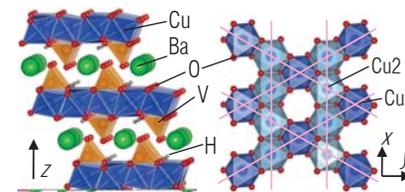
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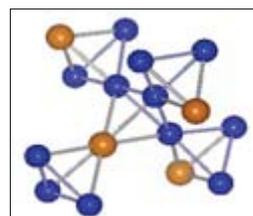
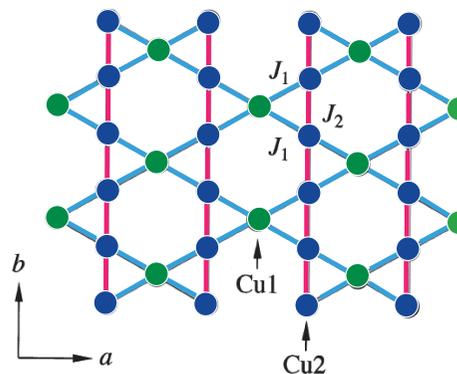
herbertsmithite



volborthite



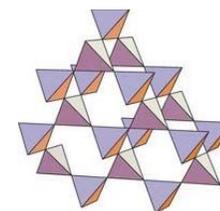
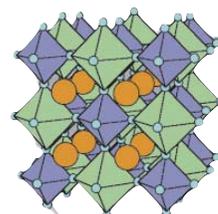
vesignieite



$\text{Na}_4\text{Ir}_3\text{O}_8$



$\text{Ba}_2\text{YMoO}_6$



$\text{A}_2\text{B}_2\text{O}_7$

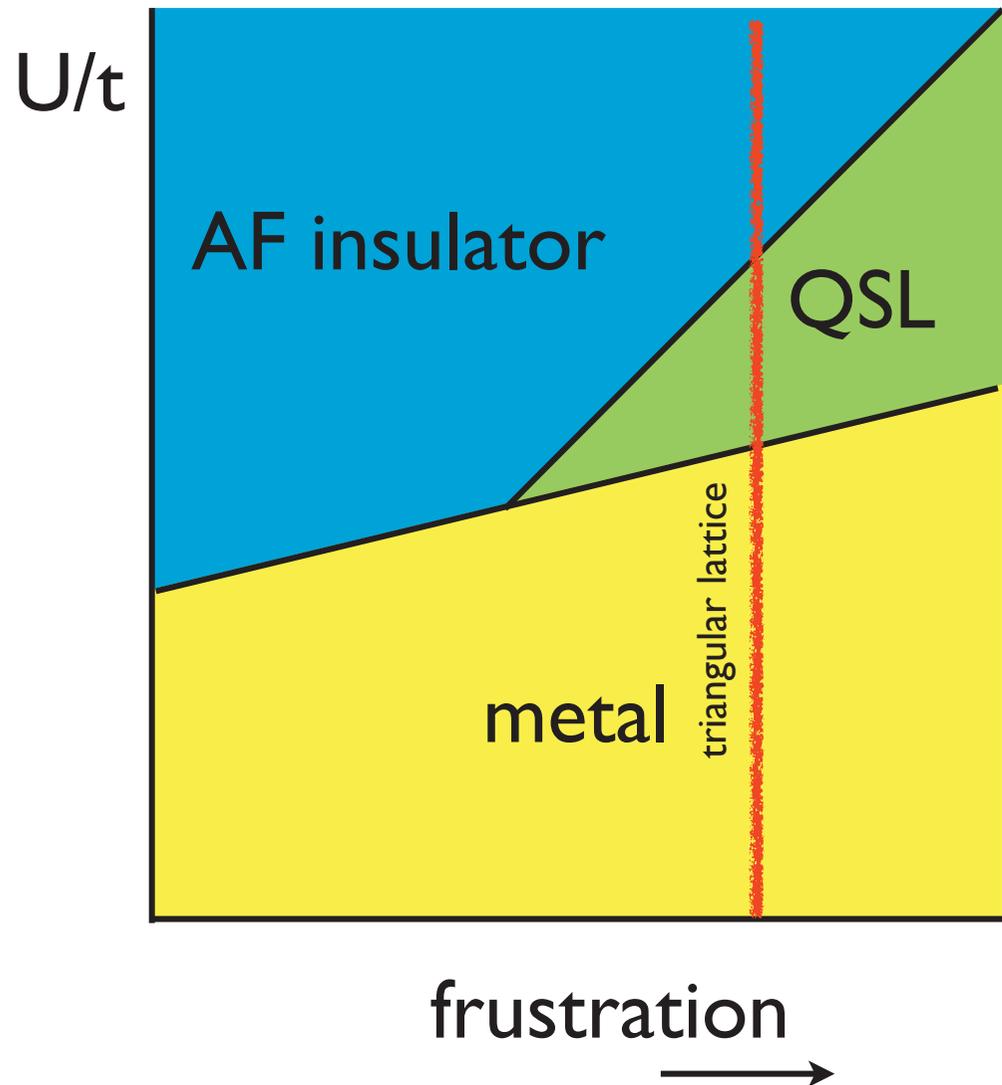
# QSL Models

- Proof of principle examples
  - dimers (Moessner/Sondhi, ...)
  - XXZ (BFG, Melko *et al*, ...)
  - bosons (Motrunich/Senthil, ...)

# QSL Models

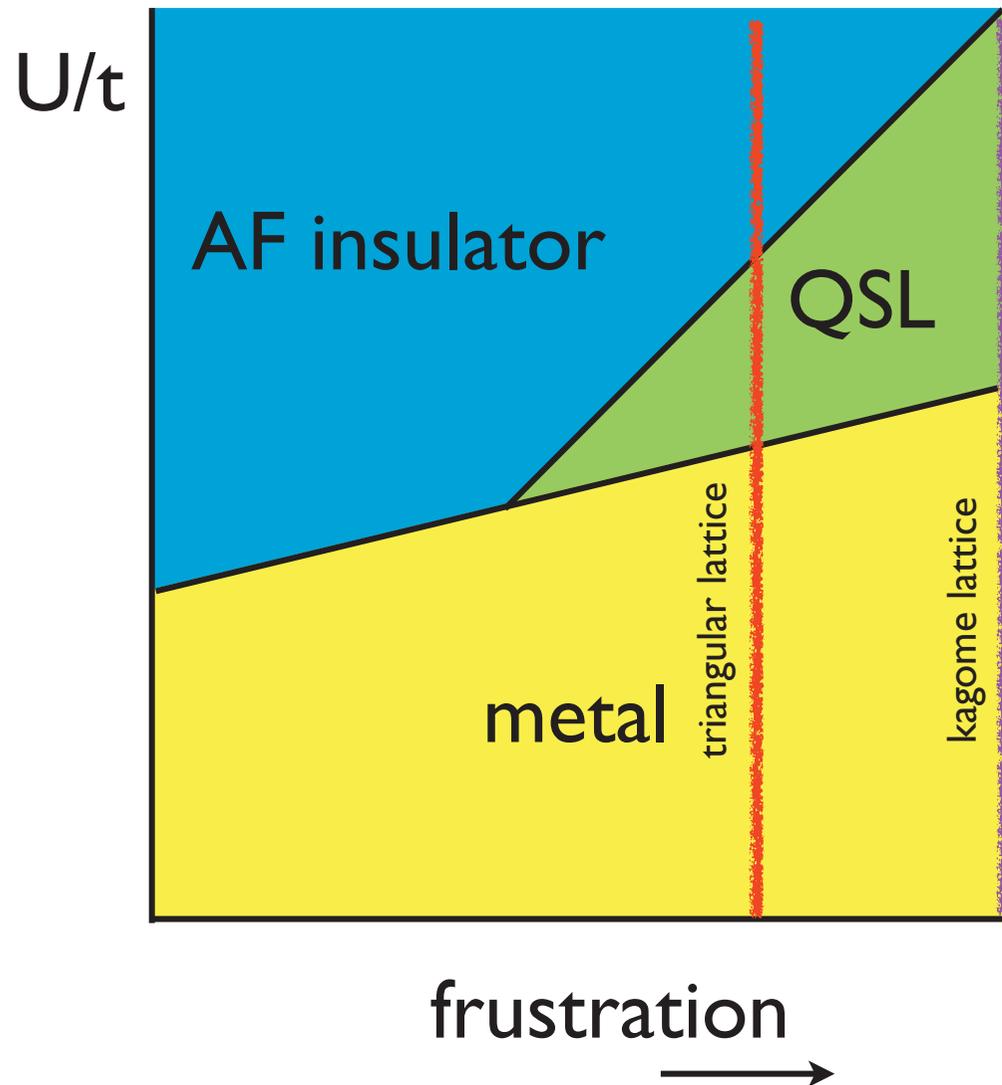
- More realistic
  - Heisenberg antiferromagnet
    - popular theories for kagome lattice: predicts gapped  $Z_2$  or gapless Dirac “algebraic spin liquid” state
  - Hubbard/ring exchange models
    - popular theory associates spinon Fermi surface state with semi-itinerant regime near the Mott transition on the triangular lattice

# “Standard” view



c.f. Thursday  
morning talks

# “Standard” view



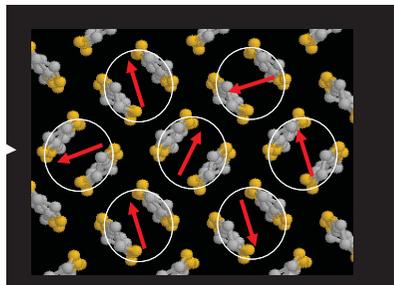
# Status

- *None* of the theoretical QSLs is a compelling match to experiment, e.g:
  - little connection to intermediate to high energy physics of experiment
  - problems with aspects of low energy response
  - recent experiments on strong insulator  $\text{Ba}_3\text{CuSb}_2\text{O}_9$  suggest behavior previously linked to itinerancy may not be

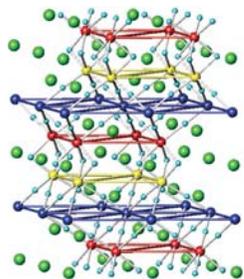
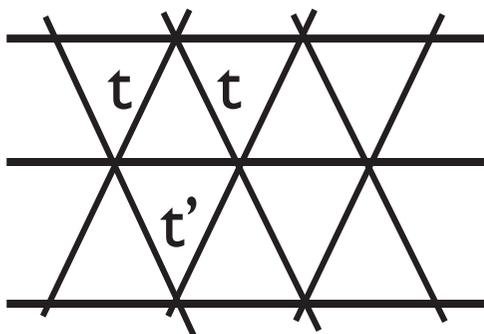
# Status

- Role for numerics+field theory:
  - *Relevant* models of QSLs and/or QSL candidate materials
  - *Quantitative* comparisons to experiment to sharpen the questions

# $d > 1$ QSL materials

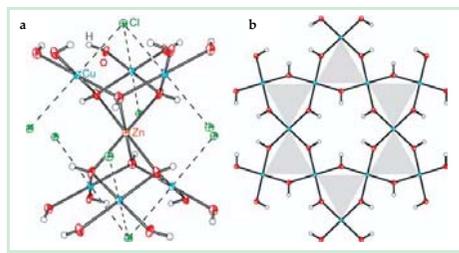
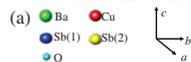


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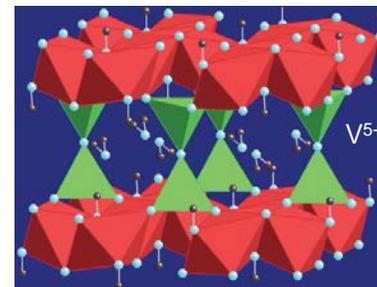


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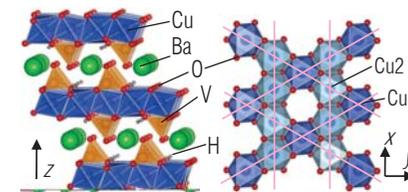
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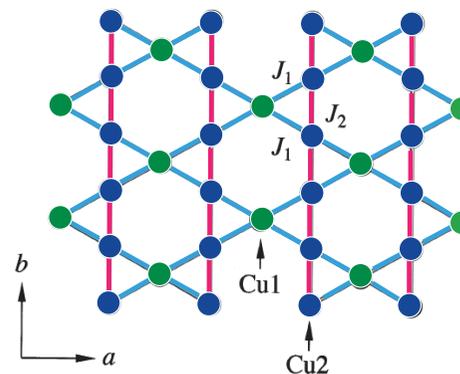
herbertsmithite



volborthite



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Do we really understand the models  
and materials?

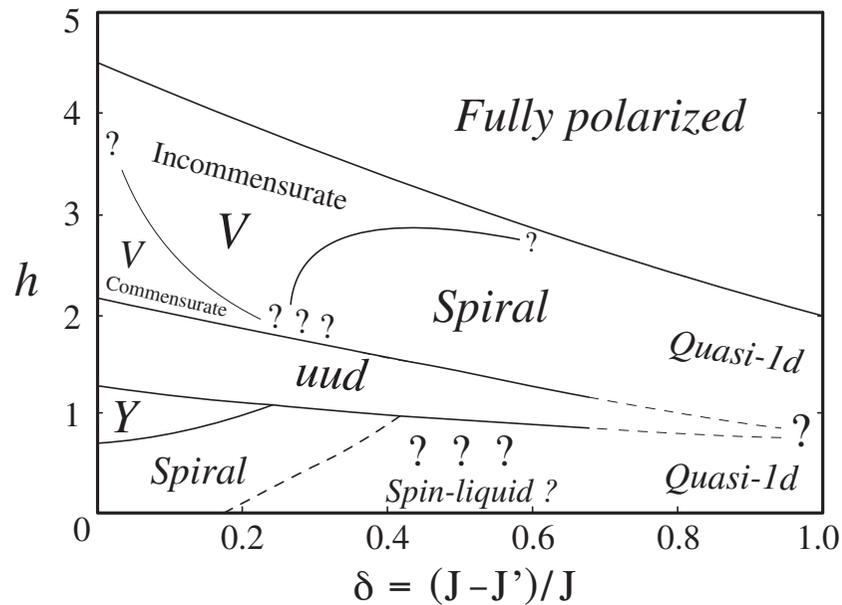
# Model Issues

- Unknowns:
  - spatial anisotropy
  - 3d coupling
  - Dzyaloshinskii-Moriya
  - itinerancy
  - disorder
- Dialog between theory and experiment is necessary to sharpen the comparison

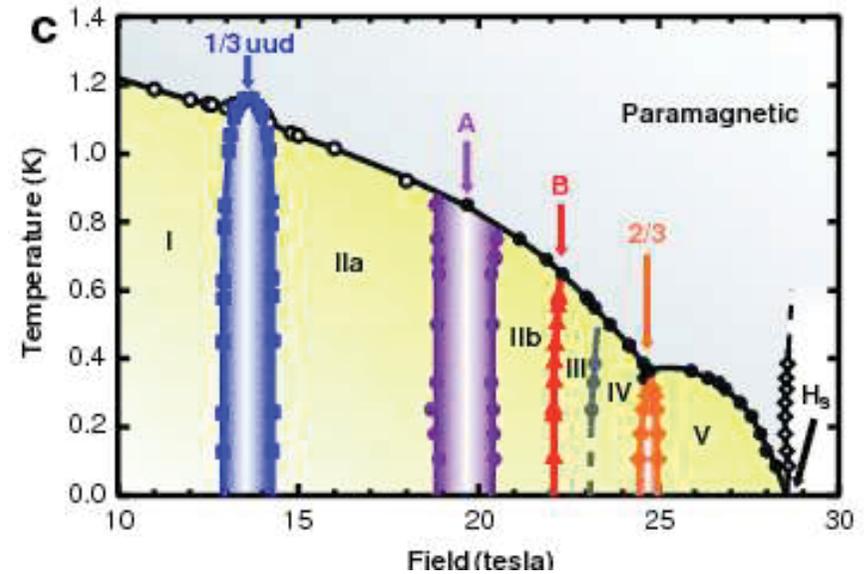
# Connecting to experiment

- Apart from obviously trying to search for and study QSL ground states, one can try to make other very useful comparisons
  - Magnetization process
  - Neutron scattering (here we are mostly lacking experimental data)

# Triangular



Tay, Motrunich 2010

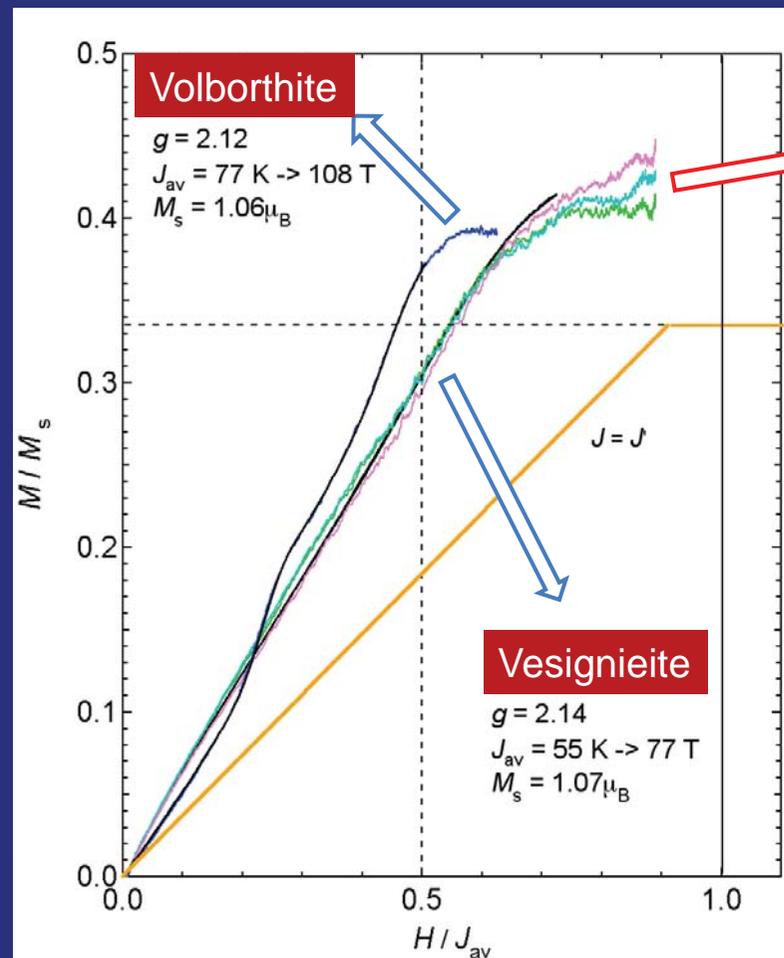


$\text{Cs}_2\text{CuBr}_4$ : Fortune *et al*, 2009

Rich intermediate field features put strong constraints on anisotropy, DM

# Kagome

## Magnetization plateau at over 1/3



Commonly to two kagomes:

- Plateau or a vicinal slope at  $\sim 0.4M_s$  above  $M_s/3$
- Small plateau fields

Probably not due to spatial anisotropy but ...

Intrinsic for the S-1/2 KAFM?

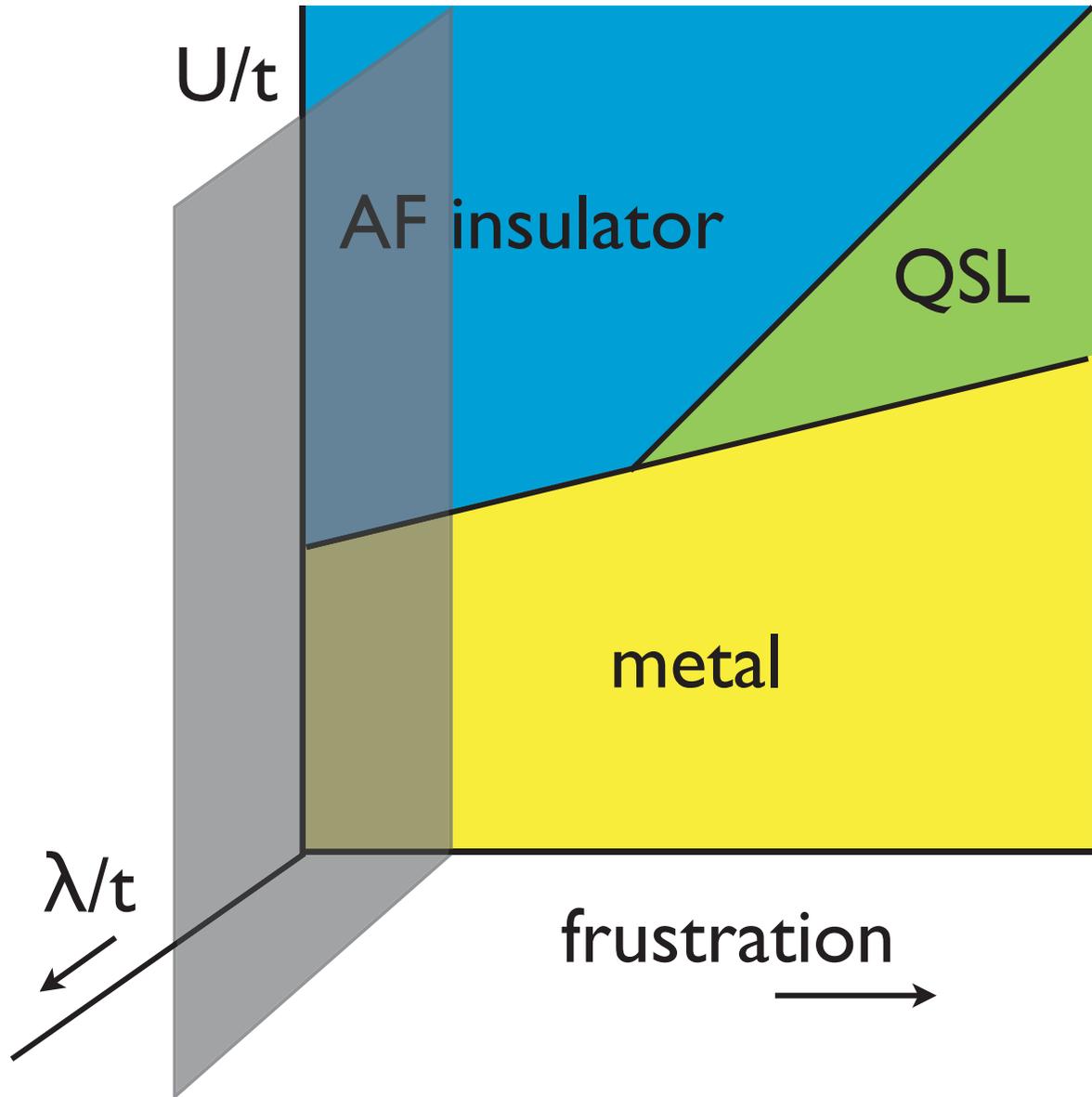
Z. Hiroi

$H < 70 \text{ T}$

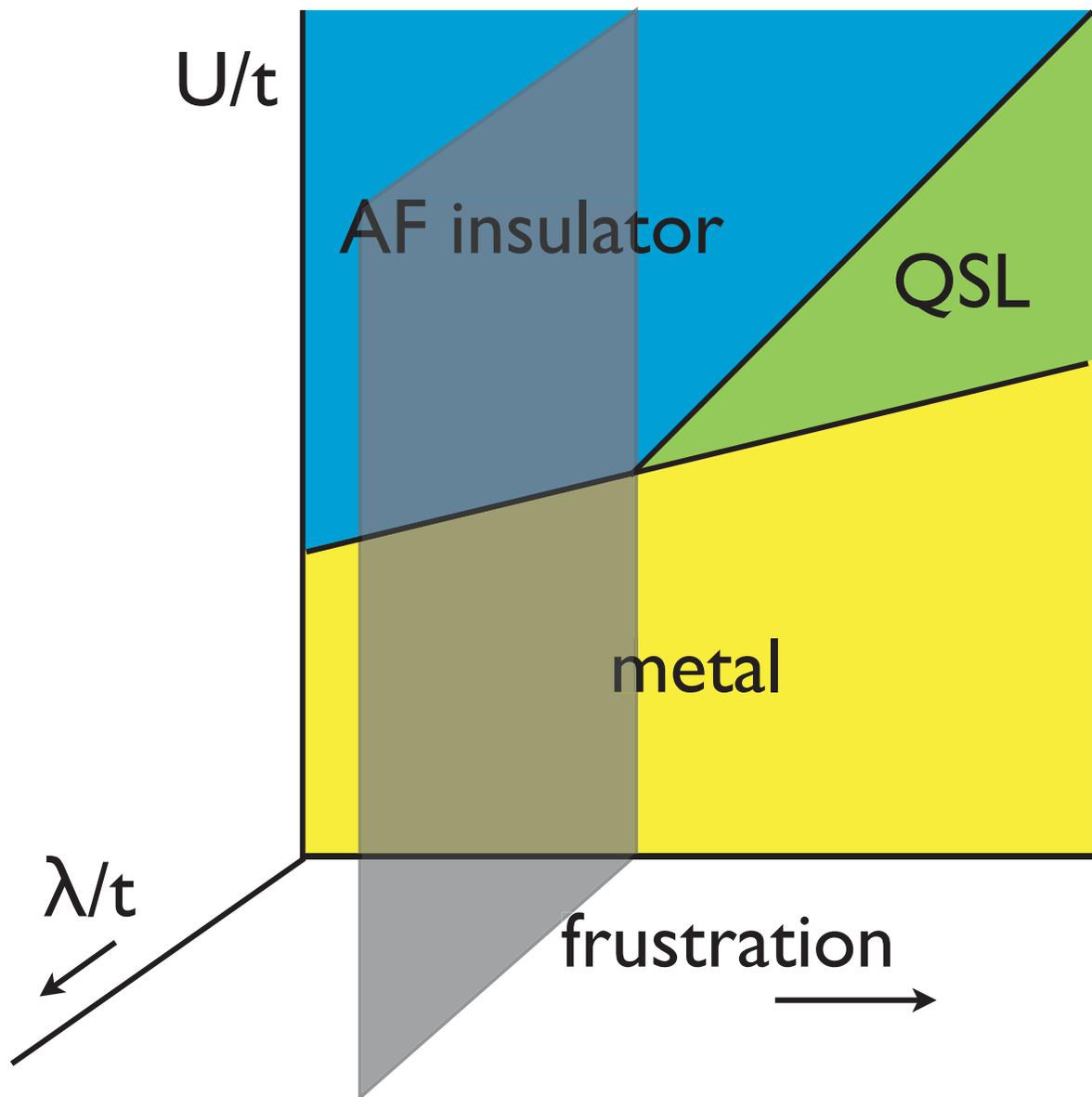
# Problems

- QCPs in itinerant fermi systems
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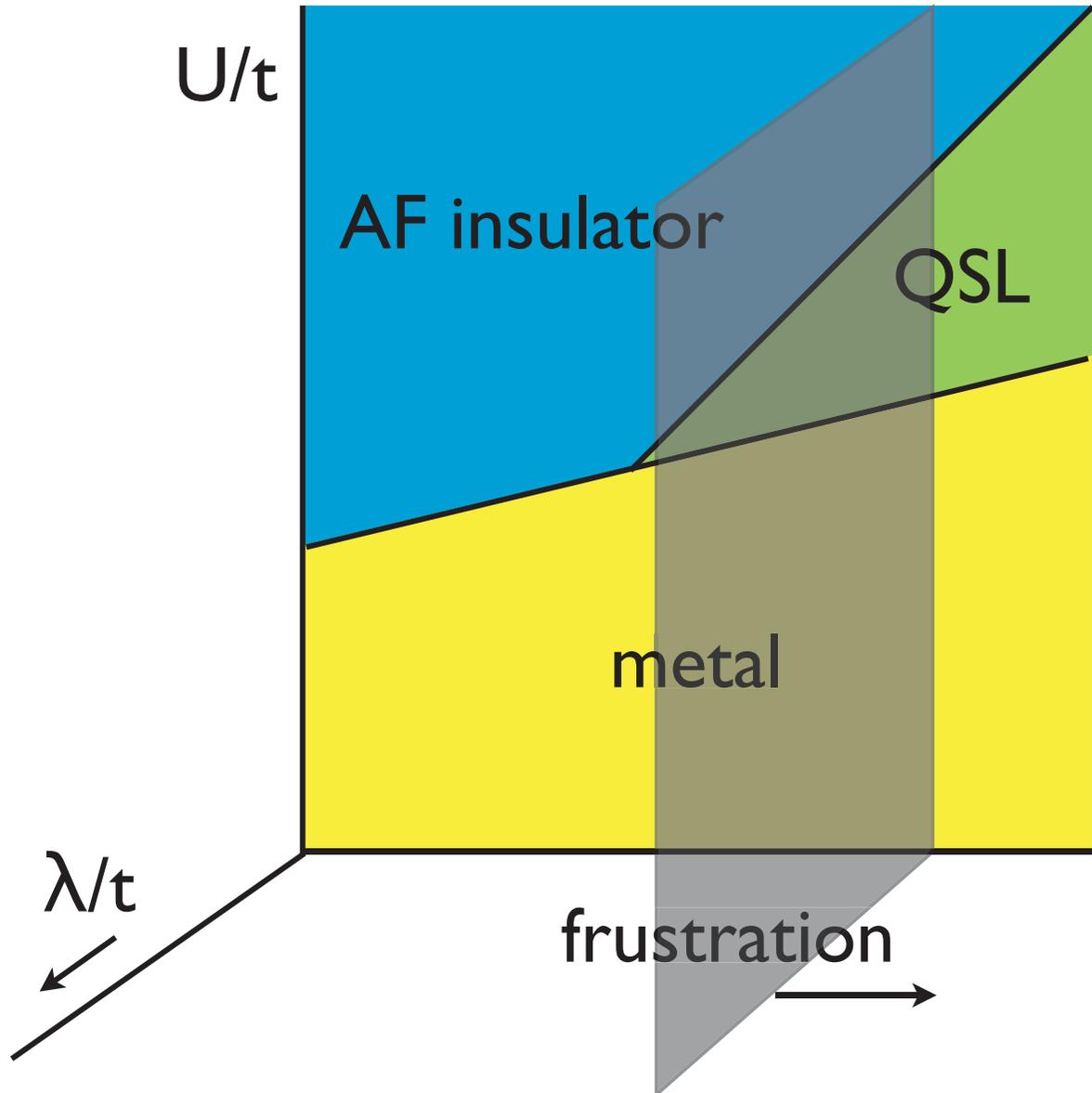
# Another axis



# Another axis

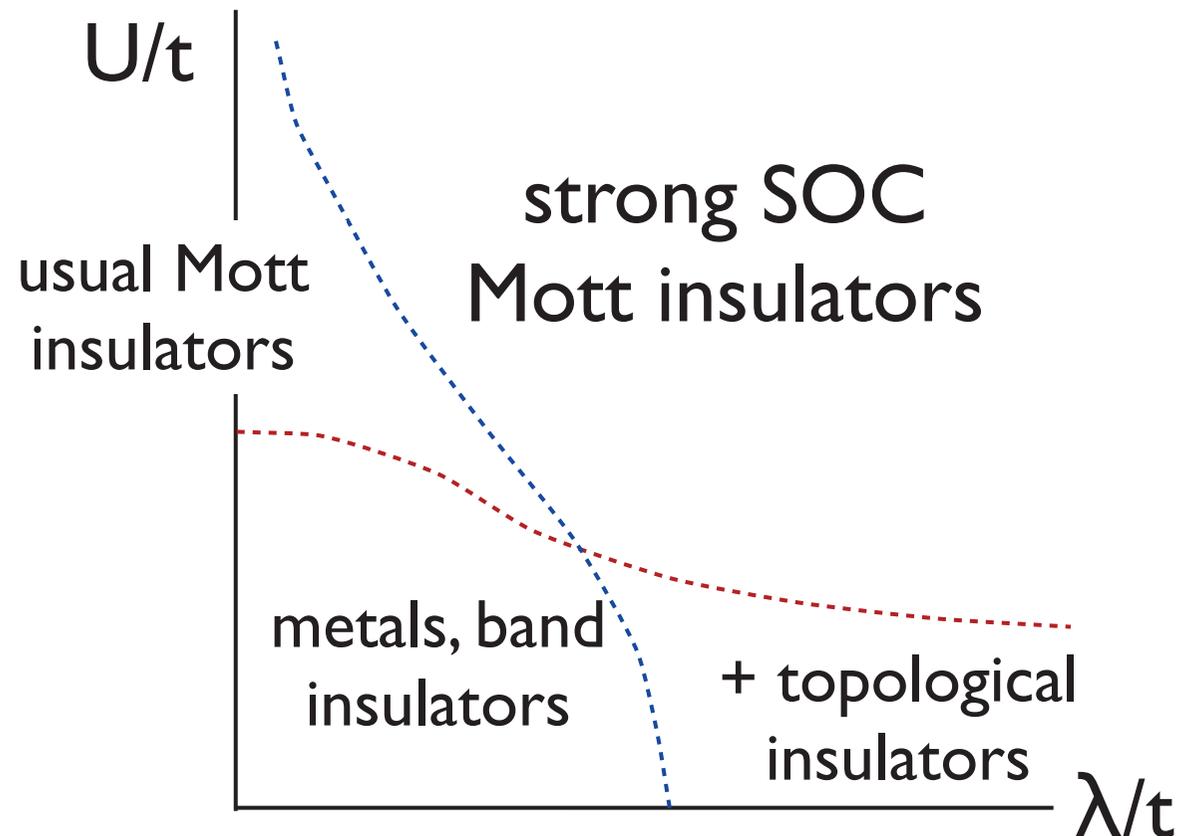


# Another axis



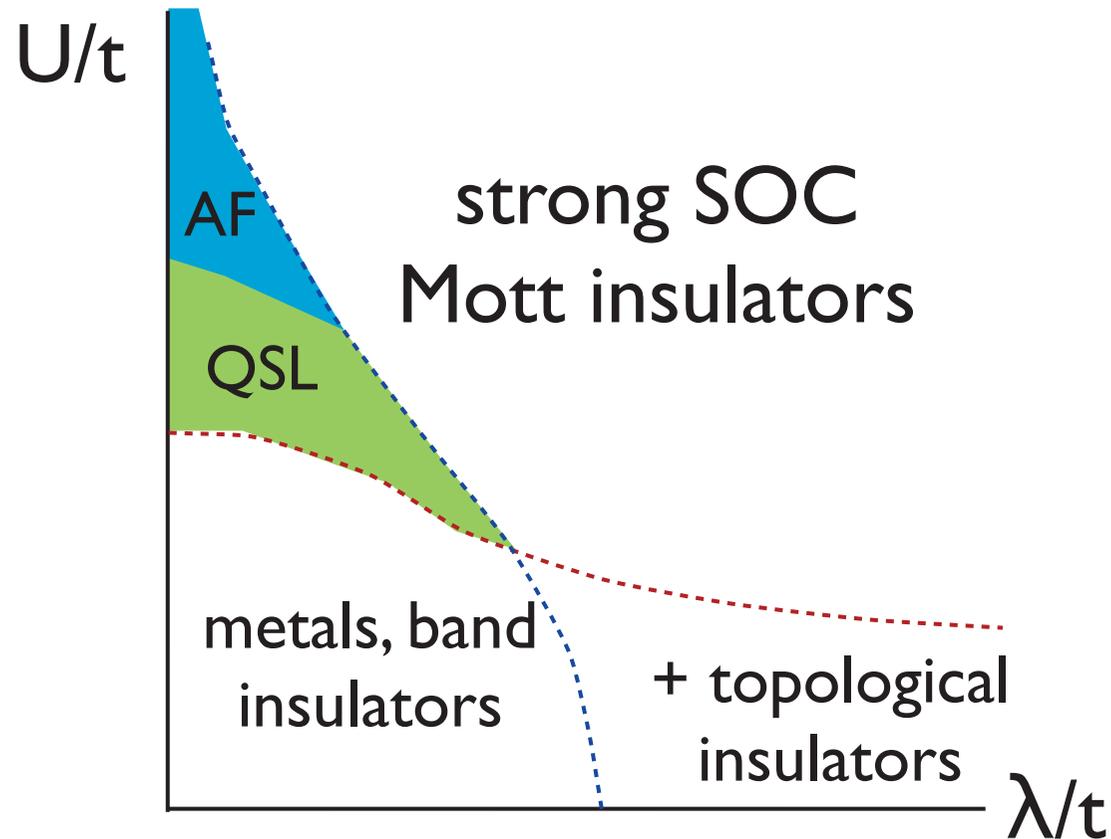
# Another axis

- Schematic phase diagram from Pesin + Balents, 2010



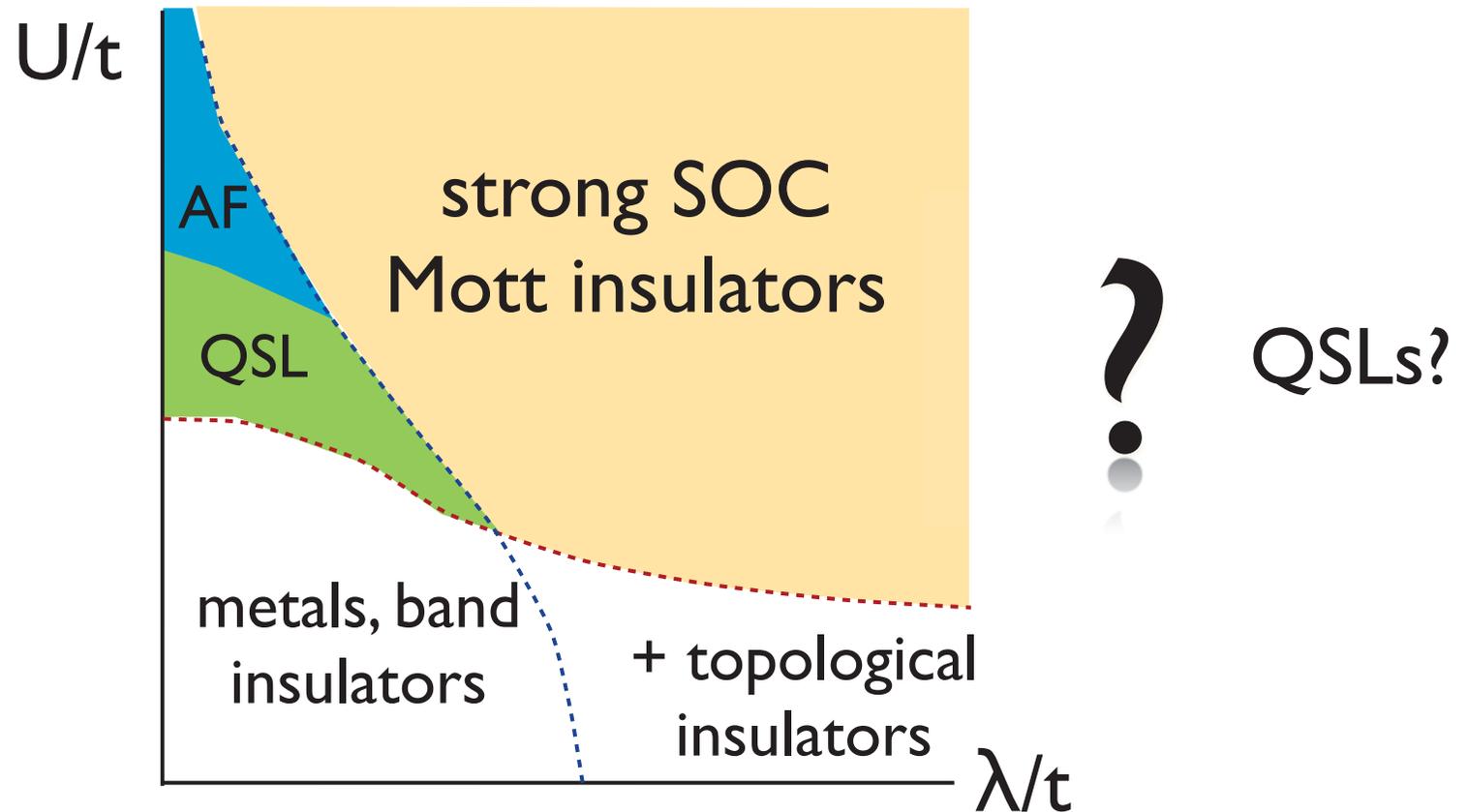
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# Another axis

- Schematic phase diagram from Pesin + Balents, 2010

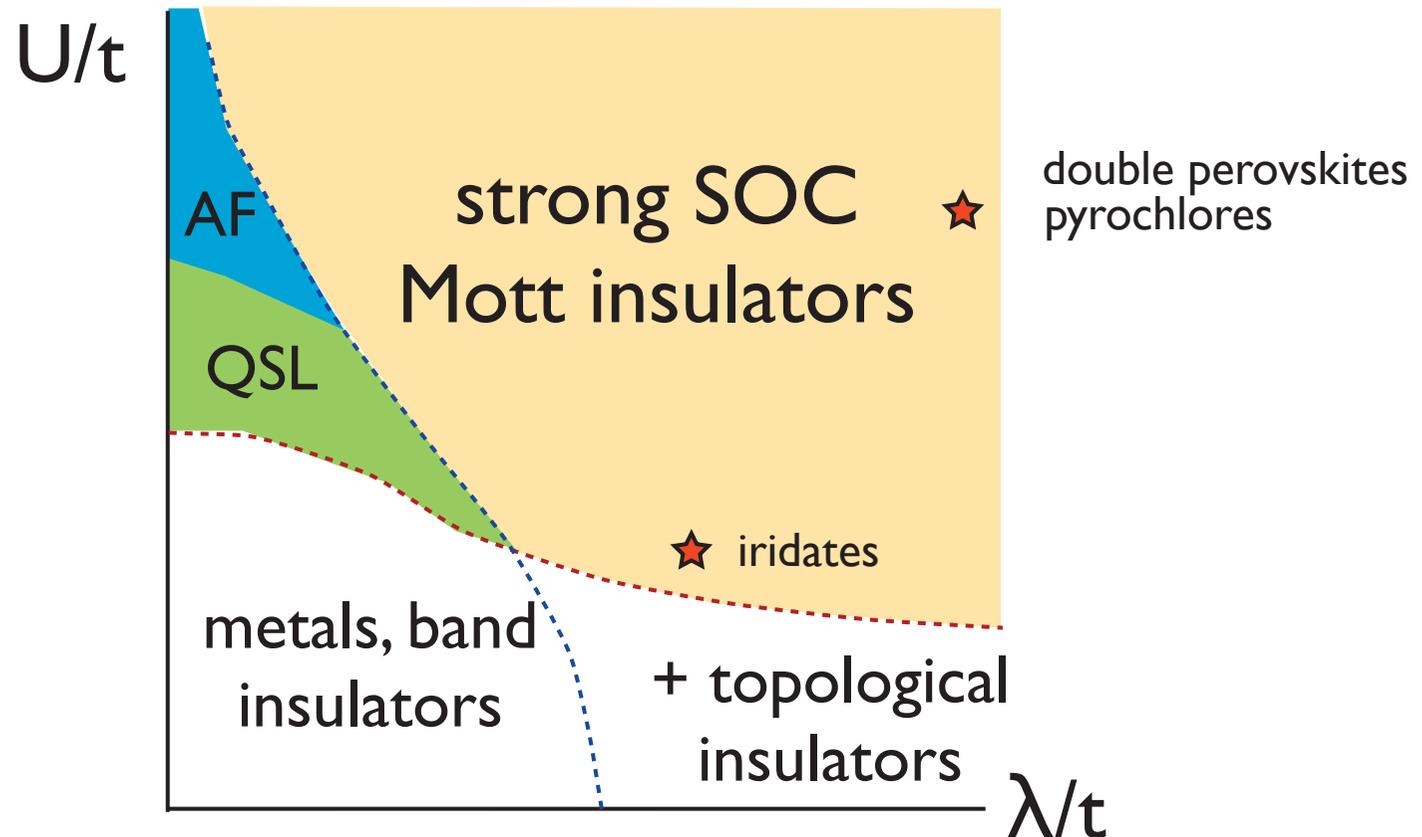


# Strong SOC

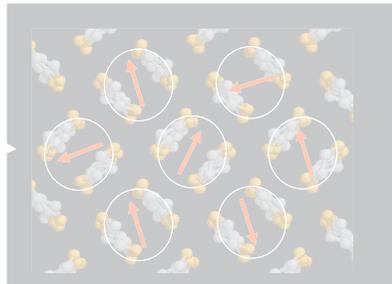
- Strong SOC may sometimes encourage quantum spin liquid behavior by
  - inducing strong multipolar interactions that enhance quantum fluctuations
  - creating specific Ising-like couplings that are extremely frustrated
- Even more novel spin-orbit coupled states are possible in the intermediate correlation regime, near the Mott transition

# Another axis

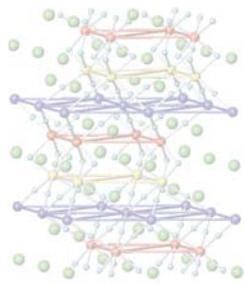
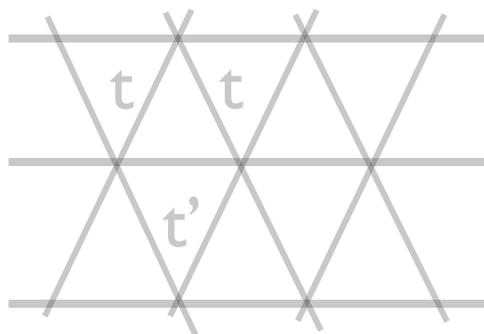
- Schematic phase diagram from Pesin +Balents, 2010



# $d \geq 1$ QSL materials

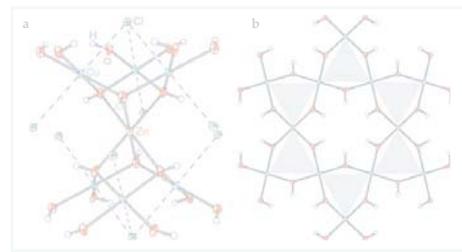
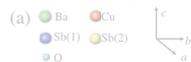


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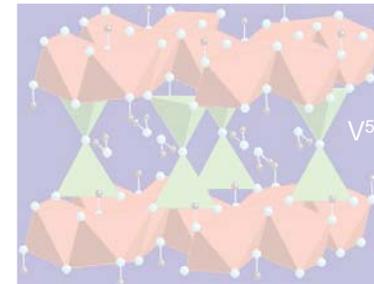


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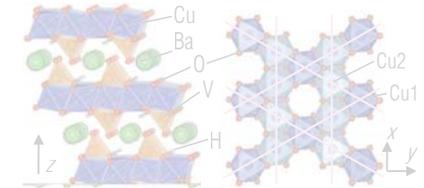
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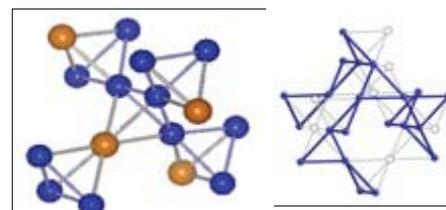
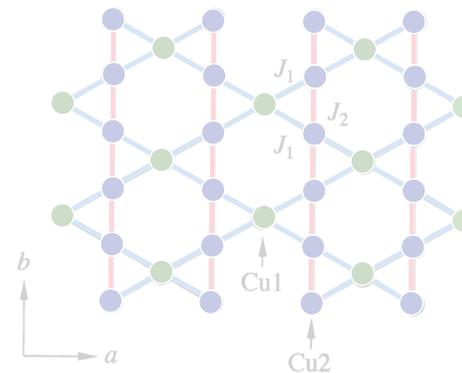
herbertsmithite



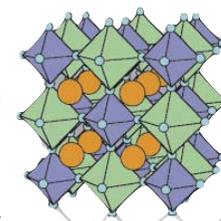
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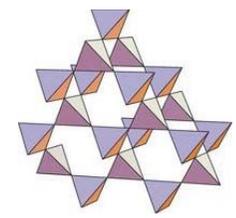
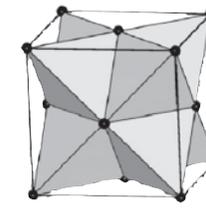
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$\text{Na}_4\text{Ir}_3\text{O}_8$

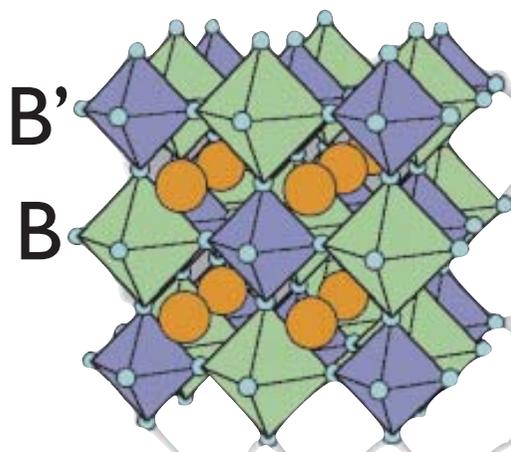


$\text{Ba}_2\text{YMoO}_6$

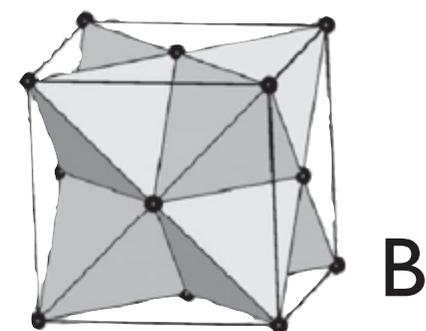


$\text{A}_2\text{B}_2\text{O}_7$

# Rock salt double perovskites



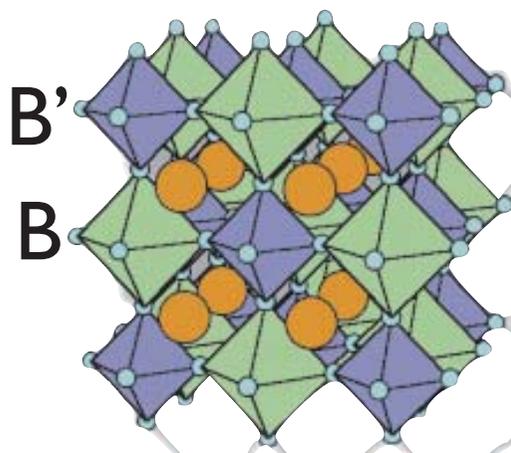
fcc lattice



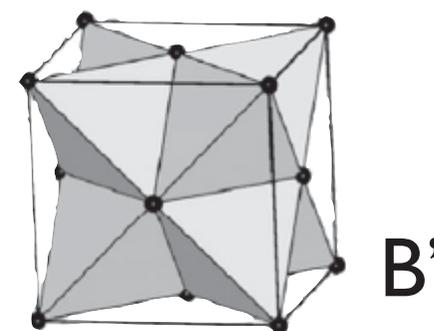
Material	B'	$\Theta_{CW}$
$Ba_2YMoO_6$	$Mo^{5+} (4d^1)$	-90K to -219K
$La_2LiMoO_6$	$Mo^{5+} (4d^1)$	-45K
$Sr_2MgReO_6$	$Re^{6+} (5d^1)$	-426K
$Sr_2CaReO_6$	$Re^{6+} (5d^1)$	-443K
$Ba_2CaReO_6$	$Re^{6+} (5d^1)$	-40K
$Ba_2LiOsO_6$	$Os^{7+} (5d^1)$	-40K
$Ba_2NaOsO_6$	$Os^{7+} (5d^1)$	-10K to -32K

*Cussen et al (2006)*  
*de Vries et al (2010)*  
*Aharen et al (2010)*  
*Wiebe et al (2003)*  
*Wiebe et al (2002)*  
*Yamamura et al (2006)*  
*Stitzer et al (2002)*  
*Erickson et al (2007)*

# Rock salt double perovskites



fcc lattice

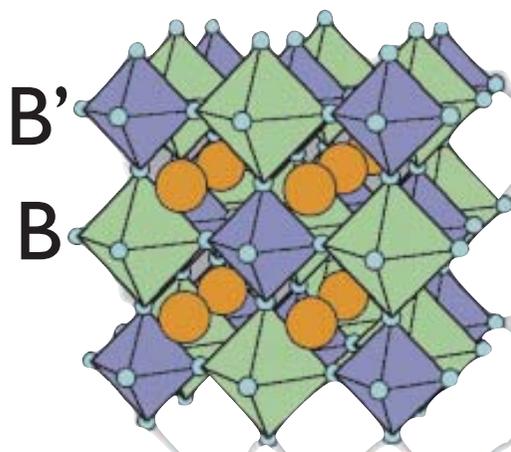


Material	B'	$\Theta_{CW}$
Ba <sub>2</sub> YMoO <sub>6</sub>	Mo <sup>5+</sup> (4d <sup>1</sup> )	-90K to -219K
La <sub>2</sub> LiMoO <sub>6</sub>	Mo <sup>5+</sup> (4d <sup>1</sup> )	-45K
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Ba <sub>2</sub> LiOsO <sub>6</sub>	Os <sup>7+</sup> (5d <sup>1</sup> )	-40K
Ba <sub>2</sub> NaOsO <sub>6</sub>	Os <sup>7+</sup> (5d <sup>1</sup> )	-10K to -32K

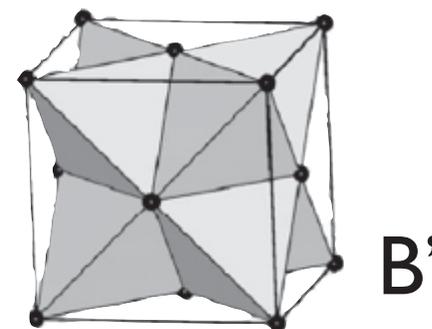
$\lambda \sim 100\text{meV}$

$\lambda \sim 400\text{meV}$

# Rock salt double perovskites



fcc lattice



Material	B'	$\Theta_{CW}$
Ba <sub>2</sub> YMoO <sub>6</sub>	Mo <sup>5+</sup> (4d <sup>1</sup> )	-90K to -219K
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no order  
down to 2K

$\lambda \sim 400\text{meV}$

# Single ion physics

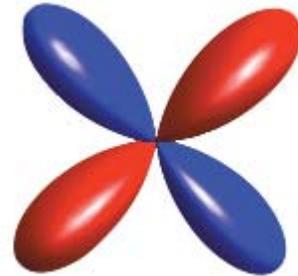
$t_{2g}$  orbitals

= effective  $l=1$  orbital angular momentum

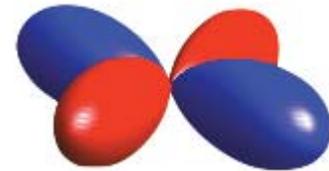
$$P_{t_{2g}} L_{l=2} P_{t_{2g}} = -L_{l=1}$$



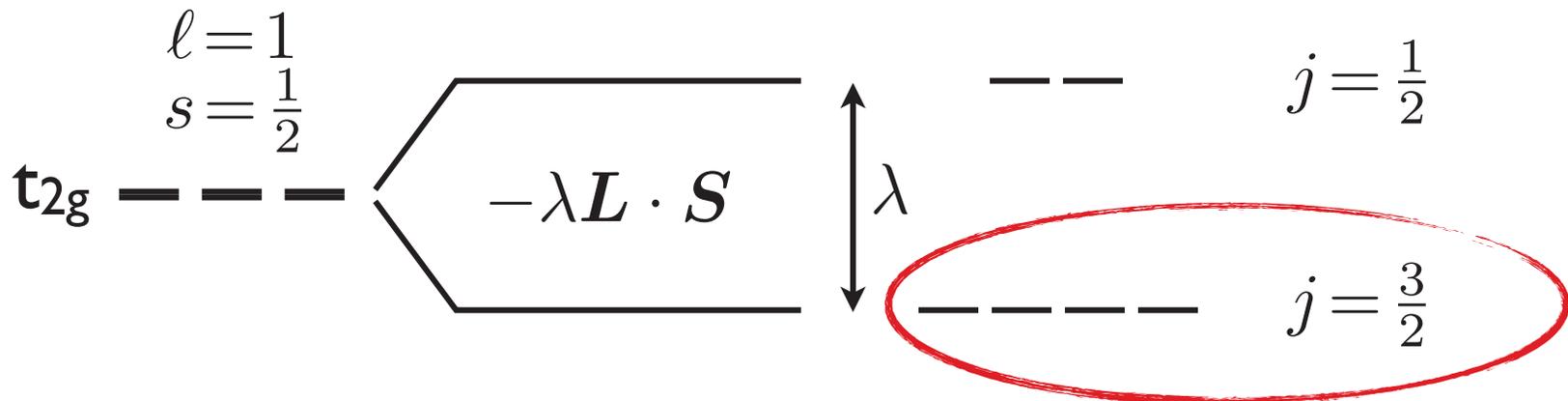
$yz$



$xz$



$xy$



# Quantum fluctuations

- Usually  $s > 1$  spins are expected to be rather classical - why?

$$\mathbf{j}_i \cdot \mathbf{j}_j = j_i^z j_j^z + \frac{1}{2}(j_i^+ j_j^- + j_i^- j_j^+)$$

- Exchange induces only  $j^z = \pm 1$  transitions

$$m = \begin{array}{cccc} -\frac{3}{2} & -\frac{1}{2} & \frac{1}{2} & \frac{3}{2} \\ \hline \end{array}$$




Spin wavefunction is peaked around classical state

# Quantum fluctuations

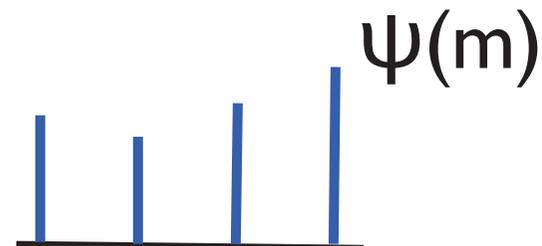
- Higher order exchange

c.f. G. Chen *et al*, PRB  
**80**,174440 (2010).

$$H \sim j_i^+ j_j^- + (j_i^+)^2 (j_j^-)^2 + (j_i^+)^3 (j_j^-)^3 + \dots$$

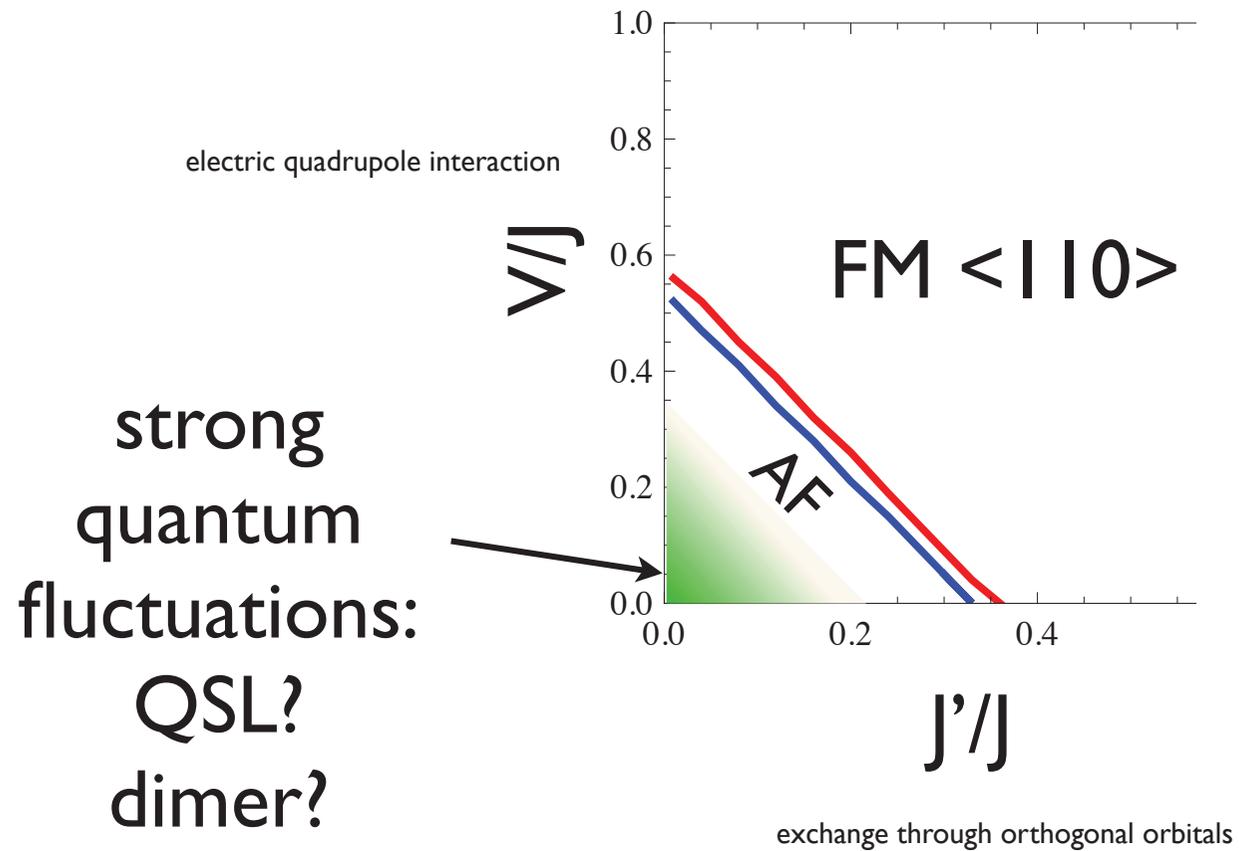
- Exchange induces  $\Delta j^z = \pm 1, \pm 2, \pm 3$  transitions

$$m = \quad -\frac{3}{2} \quad -\frac{1}{2} \quad \frac{1}{2} \quad \frac{3}{2}$$

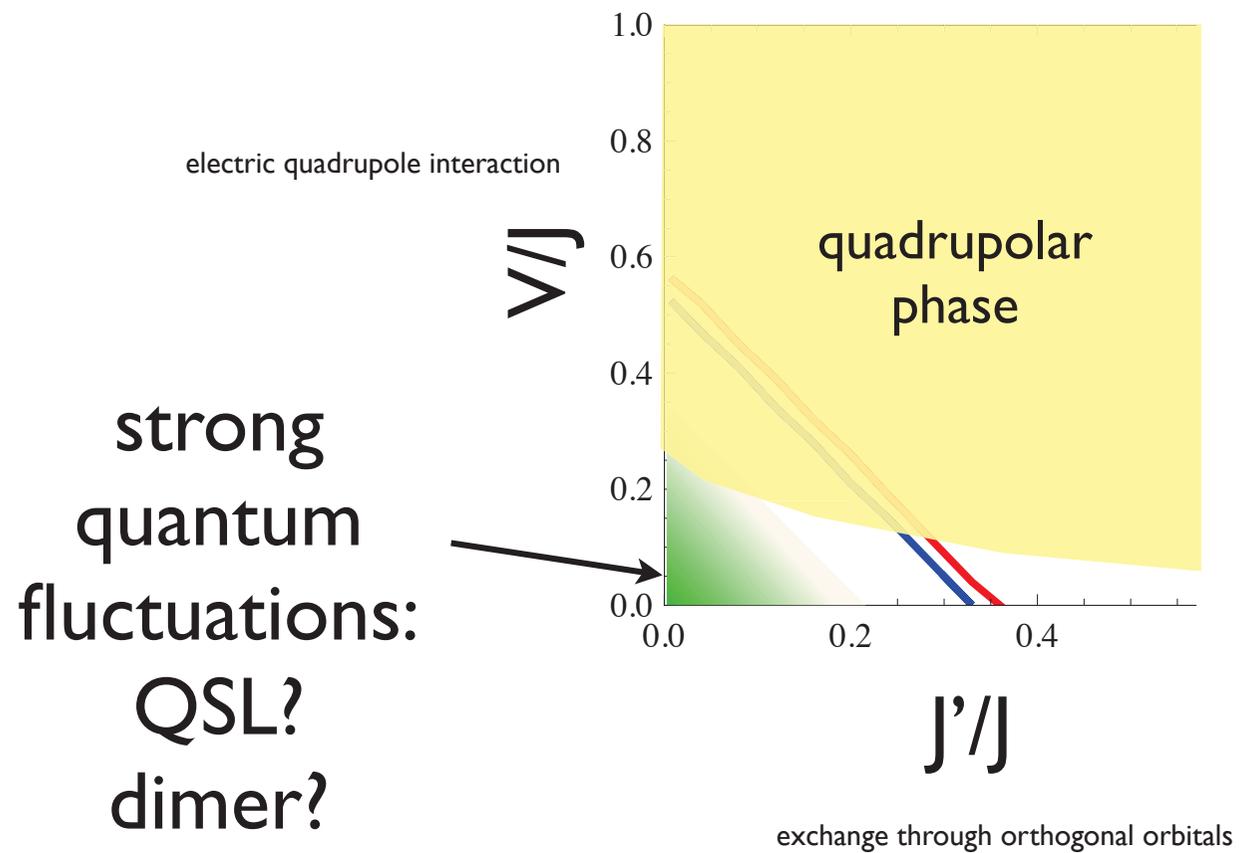


May have much less  
classical state

# T=0 Phase diagram



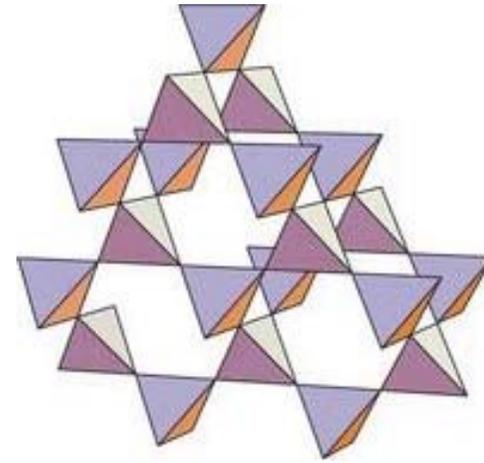
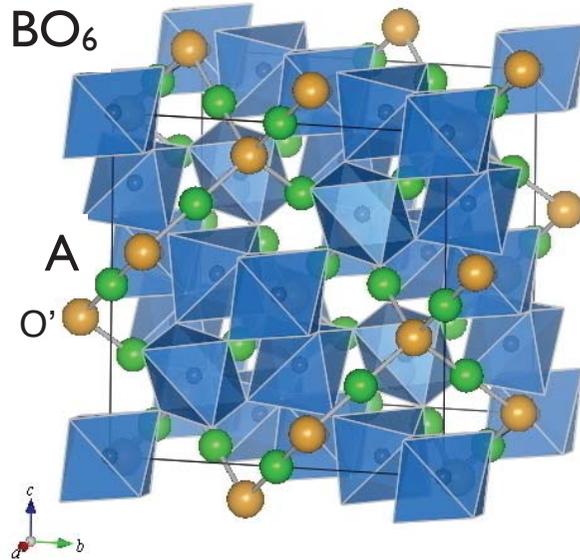
# $T > 0$ Phase diagram



# Experiments

- $\text{Ba}_2\text{YMoO}_6$  appears the best candidate for a QSL in these materials, but the situation is unclear at present
- Very recent experiments [JP Carlo *et al*, arXiv:1105.3457] claim to have observed a singlet-triplet gap of 29meV suggesting some sort of singlet “RVB” ground state

# Rare earth pyrochlores



A (B) sublattice

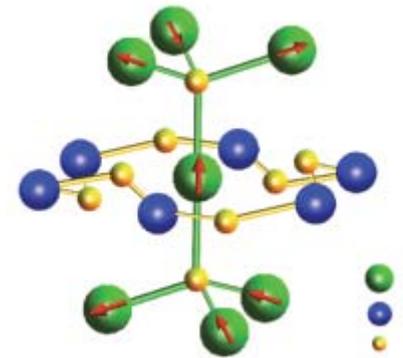
Possible A-site elements  
and B site elements



Period	1	2	3	4	5	6	7	8	9	10	11	12	13/III	14/IV	15/V	16/VI	17/VII	18/VIII												
1	H 1.008																	He 4.003												
2	Li 6.941	Be 9.012											B 10.81	C 12.01	N 14.01	O 16.00	F 19.00	Ne 20.18												
3	Na 22.99	Mg 24.30	Al 26.98	Si 28.09	P 30.97	S 32.07	Cl 35.45	Ar 39.95					K 39.10	Ca 40.08	Sc 44.96	Ti 47.88	V 50.94	Cr 52.00	Mn 54.94	Fe 55.85	Co 58.93	Ni 58.69	Cu 63.55	Zn 65.39	Ga 69.72	Ge 72.61	As 74.92	Se 78.96	Br 79.90	Kr 83.80
4	Rb 85.47	Sr 87.62	Y 88.91	Zr 91.22	Nb 92.91	Mo 95.94	Tc 98.91	Ru 101.1	Rh 101.07	Pd 106.42	Ag 107.87	Cd 112.41	In 114.82	Sn 118.71	Sb 121.76	Te 127.6	I 126.9	Xe 131.3												
5	Cs 132.9	Ba 137.3	La 138.9	Hf 178.5	Ta 180.9	W 183.8	Re 186.2	Os 190.2	Ir 192.2	Pt 195.1	Au 197.0	Hg 200.6	Tl 204.4	Pb 207.2	Bi 209.0	Po 210.0	At 210.0	Rn 222.0												
6	Fr 223.0	Ra 226.0	Ac-Lr	Unq	Unp	Unh	Uns	Uno	Une	.....																				
7																														
	s block		d block										p block																	
	Lanthanides		57 La 138.9	58 Ce 140.1	59 Pr 140.9	60 Nd 144.2	61 Pm 144.9	62 Sm 150.4	63 Eu 152.0	64 Gd 157.2	65 Tb 158.9	66 Dy 162.5	67 Ho 164.9	68 Er 167.3	69 Tm 168.9	70 Yb 173.0	71 Lu 175.0													
	Actinides		89 Ac 227.0	90 Th 232.0	91 Pa 231.0	92 U 238.0	93 Np 237.0	94 Pu 239.1	95 Am 243.1	96 Cm 247.1	97 Bk 247.1	98 Cf 252.1	99 Es 252.1	100 Fm 257.1	101 Md 256.1	102 No 259.1	103 Lr 260.1													
	f block																													

From Gardner,  
Gingras, Greedan,  
RMP 2010.

# Local Physics



- 4f electrons are well localized: textbook example of Hund's rules
- Strong SOC: local J eigenstates split by crystal fields
- Result: typically the ground state is a doublet
- So there is typically an effective  $S=1/2$  description - with natural local quantization axis

# Hamiltonian

$$H = J_{zz} \sum_{\langle i,j \rangle} S_i^z S_j^z$$

classical NN spin ice

$$- J_{\pm} \sum_{\langle i,j \rangle} (S_i^+ S_j^- + S_i^- S_j^+)$$
$$+ J_{z\pm} \sum_{\langle i,j \rangle} [S_i^z (\zeta_{ij} S_j^+ + \zeta_{ij}^* S_j^-) + i \leftrightarrow j]$$

+ quantum fluctuations

$$+ J_{\pm\pm} \sum_{\langle i,j \rangle} (\gamma_{ij} S_i^+ S_j^+ + \gamma_{ij}^* S_i^- S_j^-)$$

= “quantum spin ice”

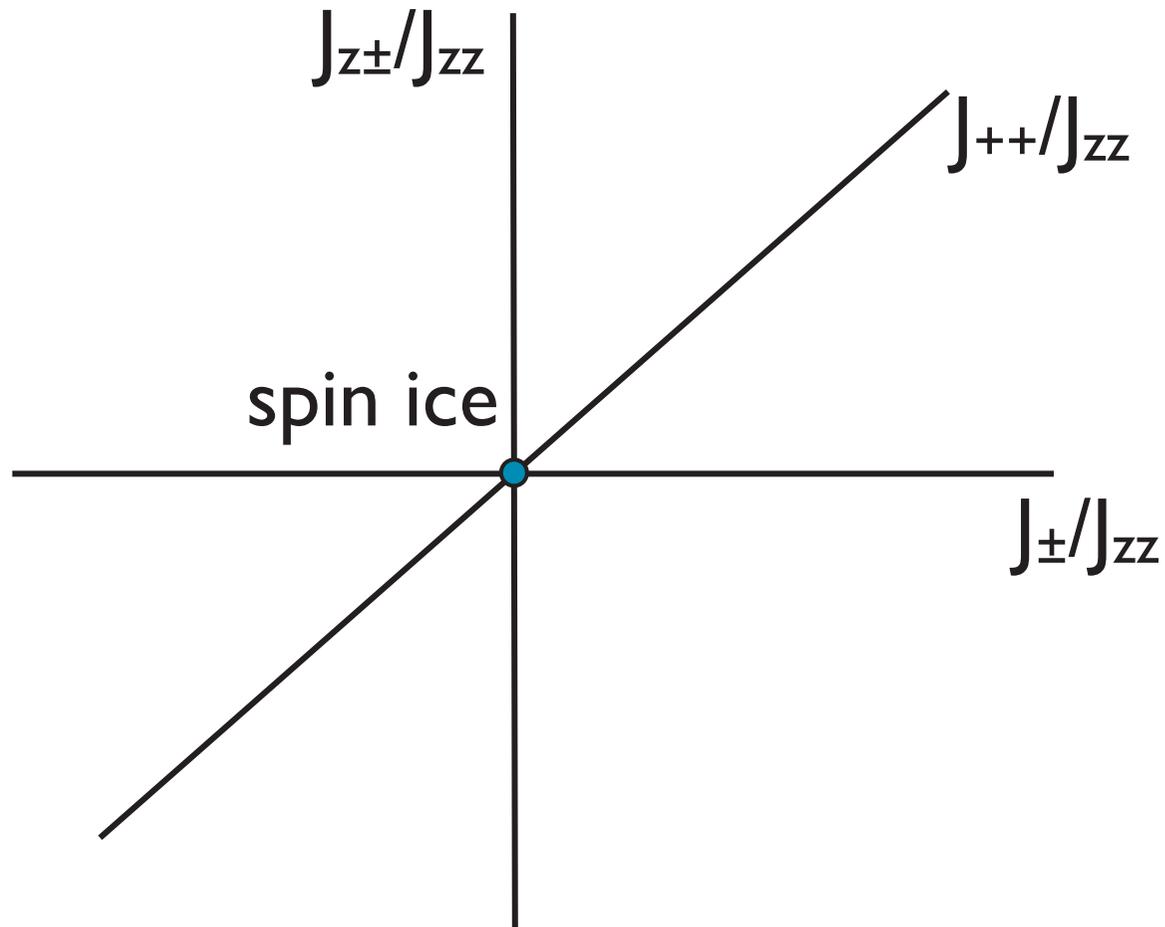
+ dipolar

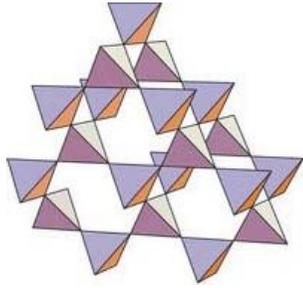
# Hamiltonian

$$\begin{aligned} H = & J_{zz} \sum_{\langle i,j \rangle} S_i^z S_j^z && \text{classical NN spin ice} \\ & - J_{\pm} \sum_{\langle i,j \rangle} (S_i^+ S_j^- + S_i^- S_j^+) \\ & + J_{z\pm} \sum_{\langle i,j \rangle} [S_i^z (\zeta_{ij} S_j^+ + \zeta_{ij}^* S_j^-) + i \leftrightarrow j] && \text{+ quantum} \\ & && \text{fluctuations} \\ & + J_{\pm\pm} \sum_{\langle i,j \rangle} (\gamma_{ij} S_i^+ S_j^+ + \gamma_{ij}^* S_i^- S_j^-) \\ & && = \text{“quantum spin ice”} \end{aligned}$$

exchange terms dominate in  $\text{Yb}_2\text{Ti}_2\text{O}_7$ ,  
 $\text{Er}_2\text{Ti}_2\text{O}_7$ ,  $\text{Tb}_2\text{Ti}_2\text{O}_7$ ,  $\text{Pr}_2\text{Sn}_2\text{O}_7$  + ???

# T=0 Phase Diagram?

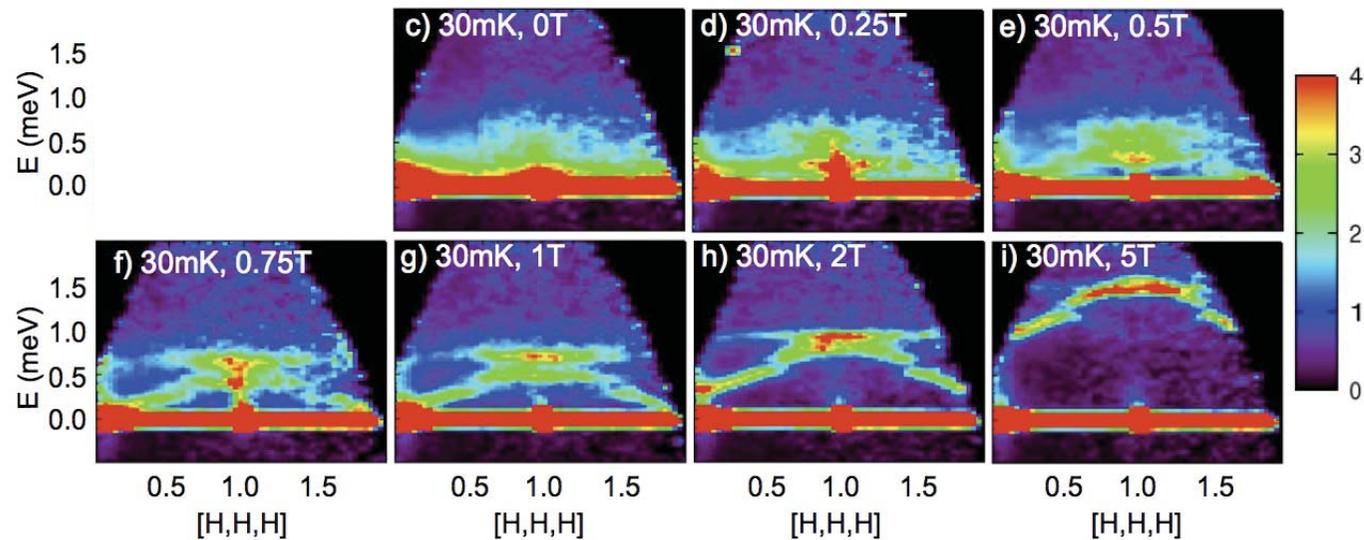




# Yb<sub>2</sub>Ti<sub>2</sub>O<sub>7</sub>

pyrochlore lattice

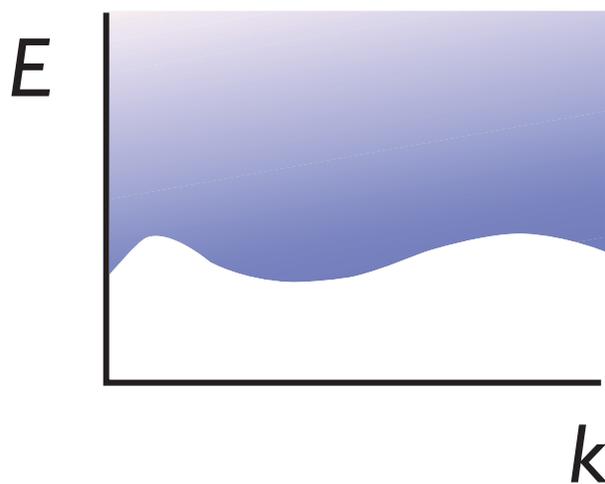
K.A. Ross *et al* (2009)



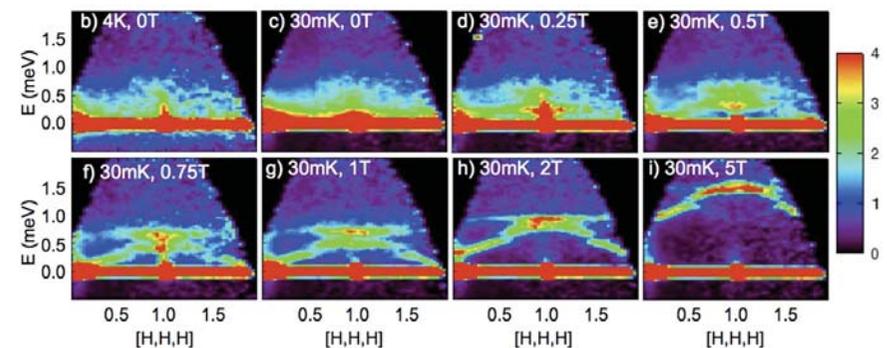
- Spin waves appear absent in low field, but emerge for  $B > 0.5\text{T}$
- a low field spin liquid state?

# Fractionalization

- Generally, a neutron excites *two* monopoles/spinons in the QSL
- This results in two-particle continuum scattering, rather than sharp magnons or triplons

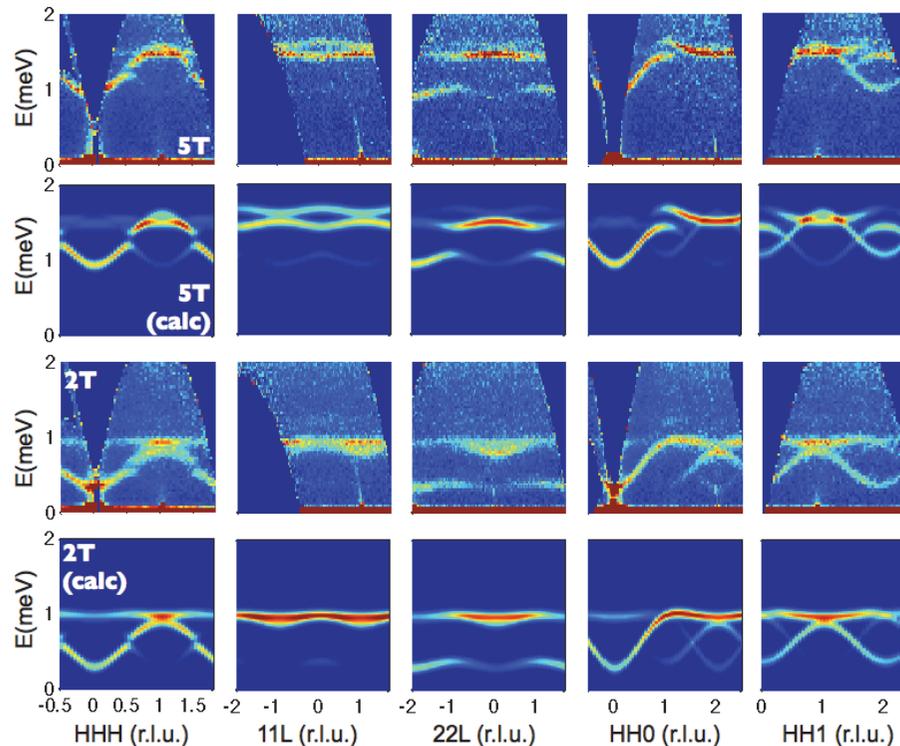


c.f. diffuse scattering  
in  $\text{Yb}_2\text{Ti}_2\text{O}_7$ ?



# Spin interactions

- Complete phenomenological Hamiltonian extracted from INS with B=5T



K. Ross, L. Savary,  
B. Gaulin, and LB

$$J_{zz} = 0.17 \pm 0.04 \text{ meV}$$

$$J_{\pm} = 0.05 \pm 0.01 \text{ meV}$$

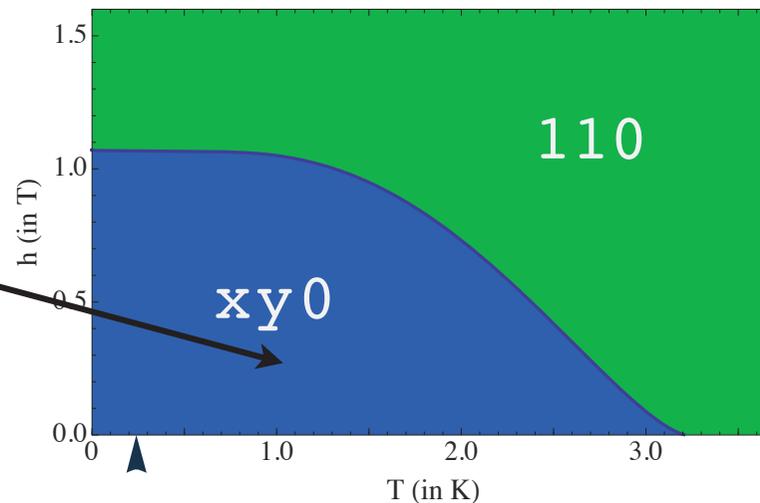
$$J_{z\pm} = 0.14 \pm 0.01 \text{ meV}$$

$$J_{\pm\pm} = 0.05 \pm 0.01 \text{ meV}$$

# Fluctuations

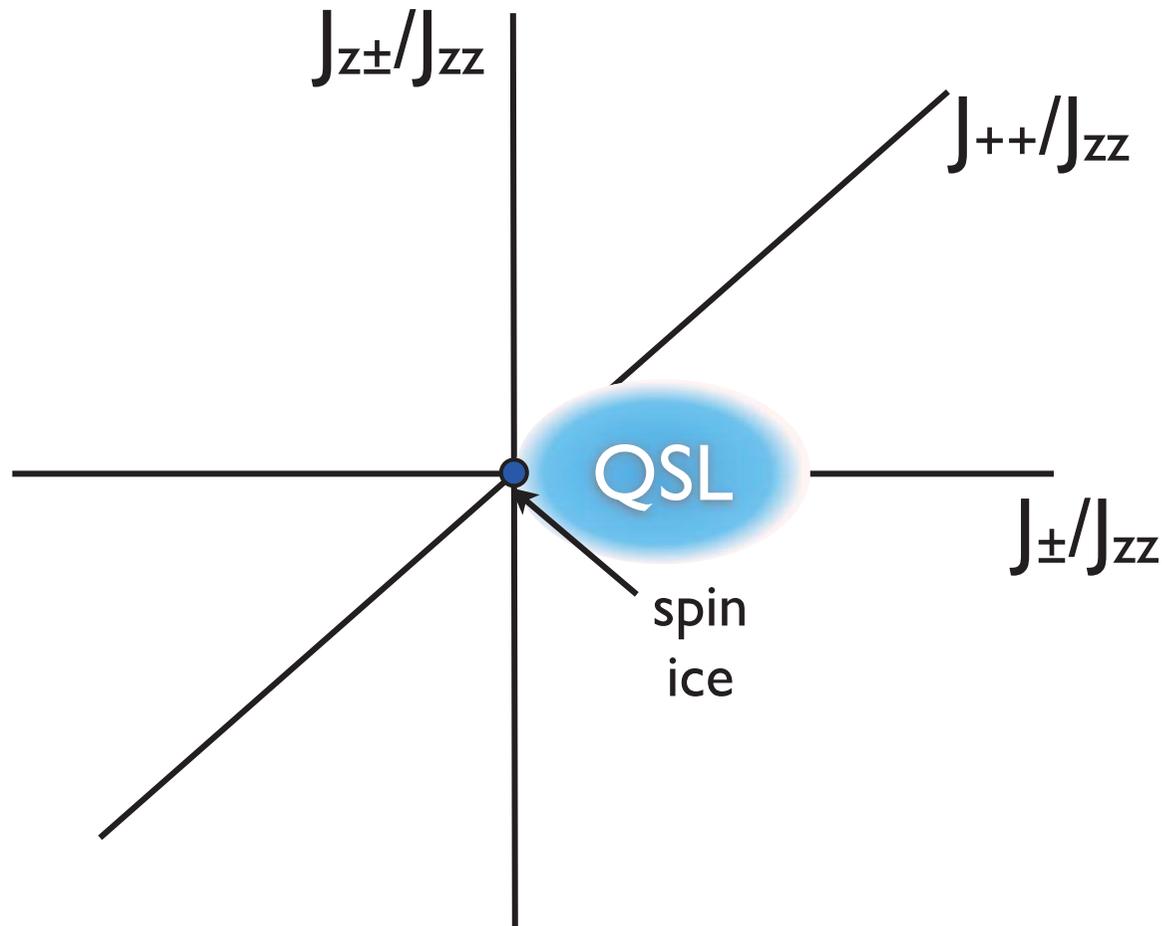
- Comparison with mean field theory *fails badly* at low field

MFT predicts  
an ordered  
ferromagnet  
here



thermodynamic phase  
transition observed here:  
14 times lower temperature  
than mean field  $T_c$

# T=0 Phase Diagram



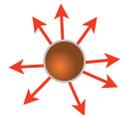
U(1) QSL = emergent compact QED  
M. Hermele, MPA Fisher, L. Balents, 2004



# ICE AGE 2 THE QUANTUM

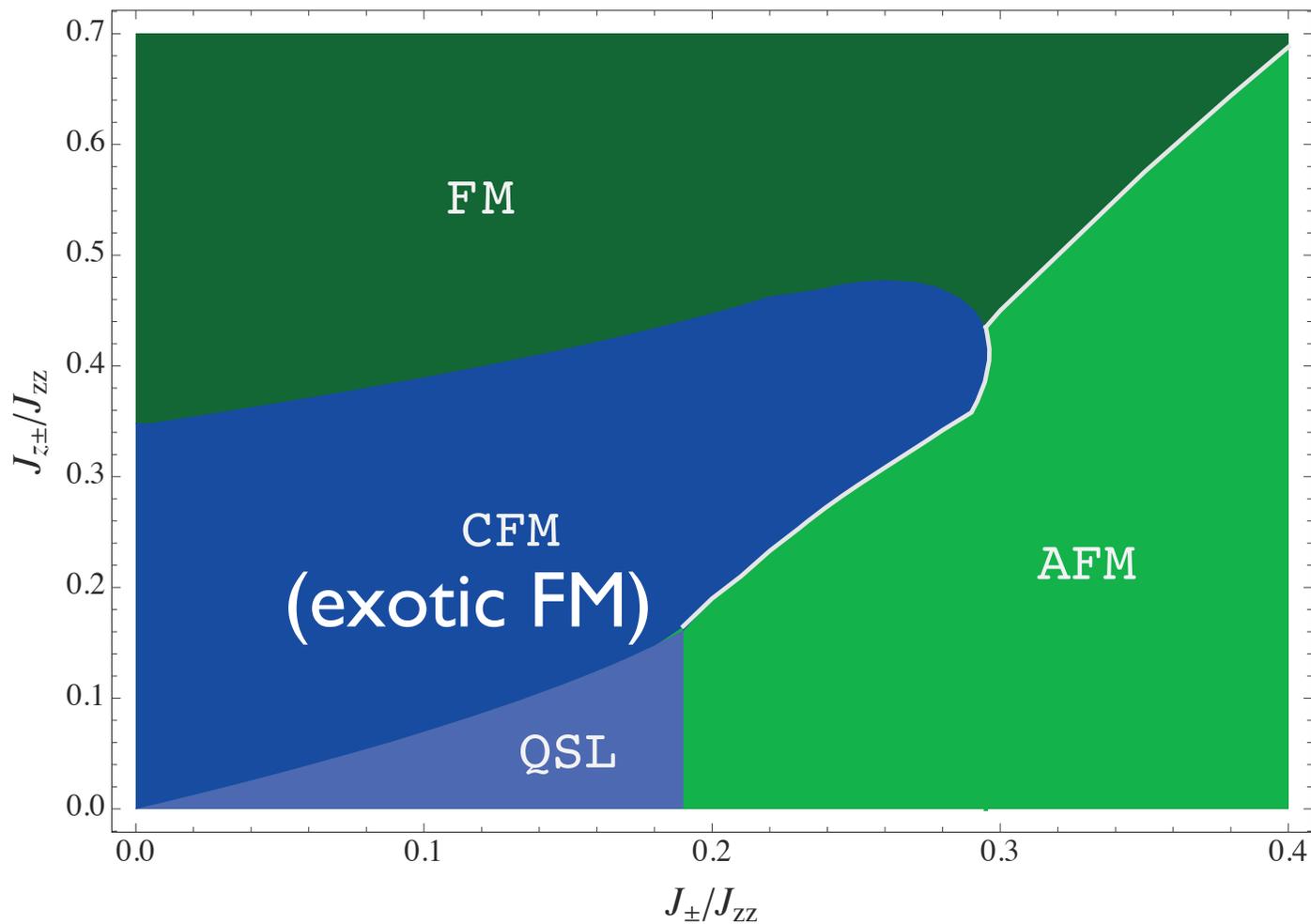
# Excitations

- Where spin ice realizes “emergent magnetostatics”, the QSL is “emergent compact quantum electrodynamics”
- *coherent propagating* monopoles = “spinons”
- dual (electric) monopoles
- artificial photon

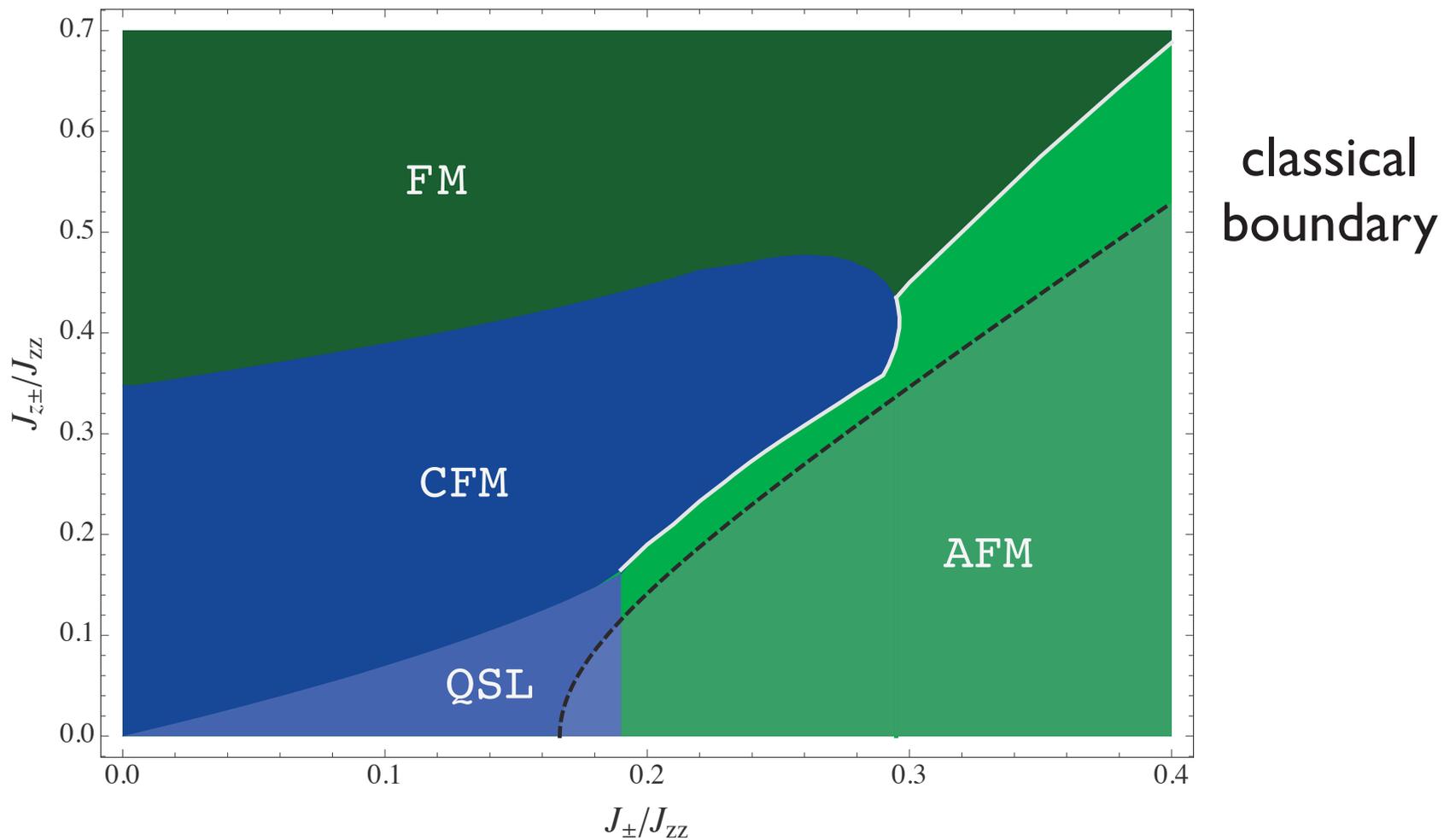


# Phase Diagram

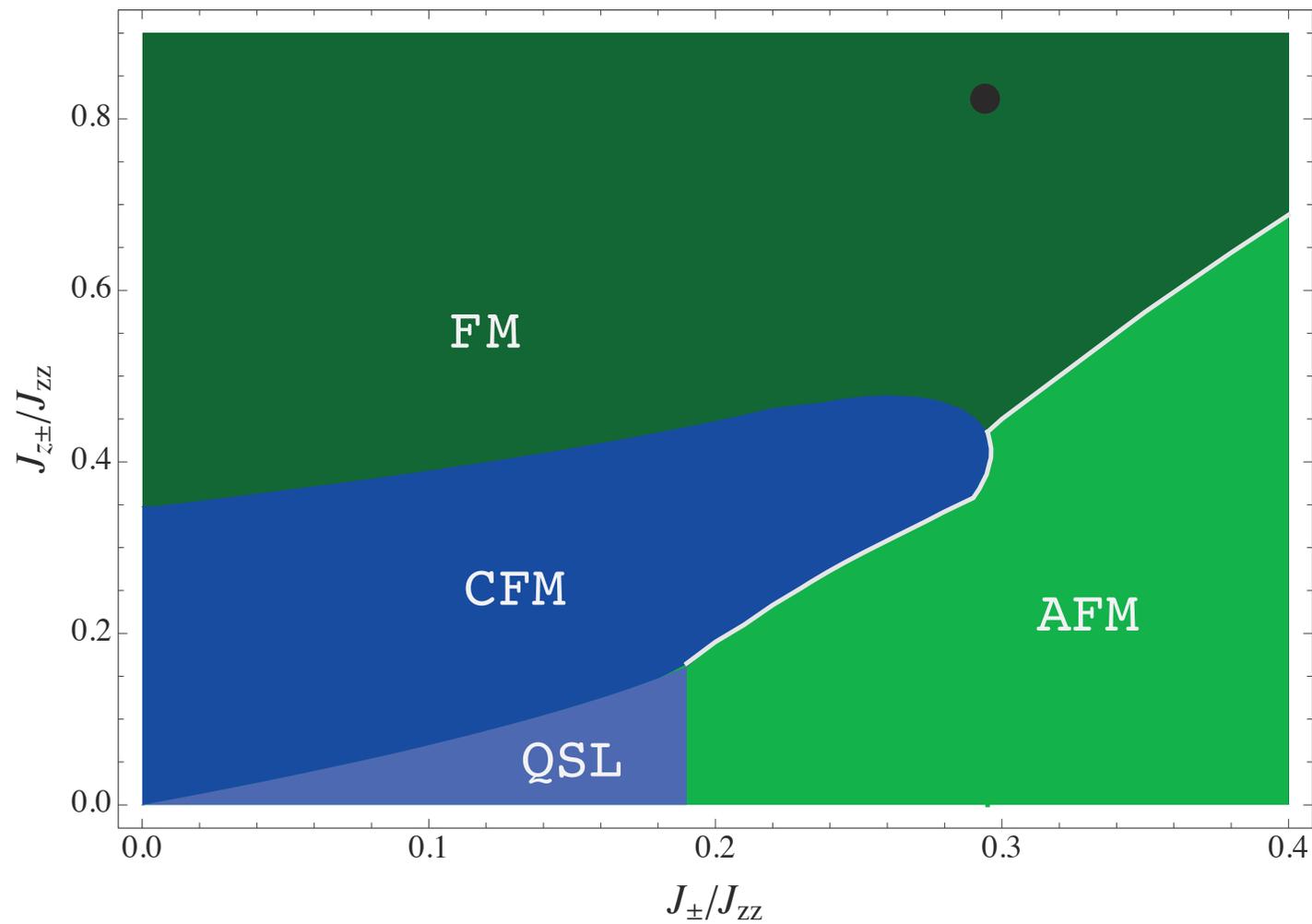
(gauge MFT)



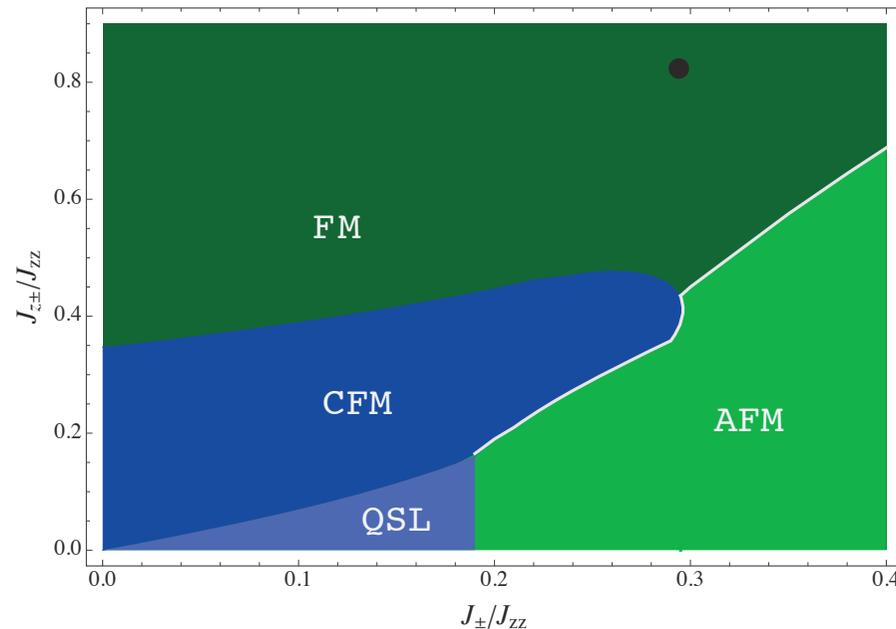
# Phase Diagram



# Phase Diagram



# Phase Diagram

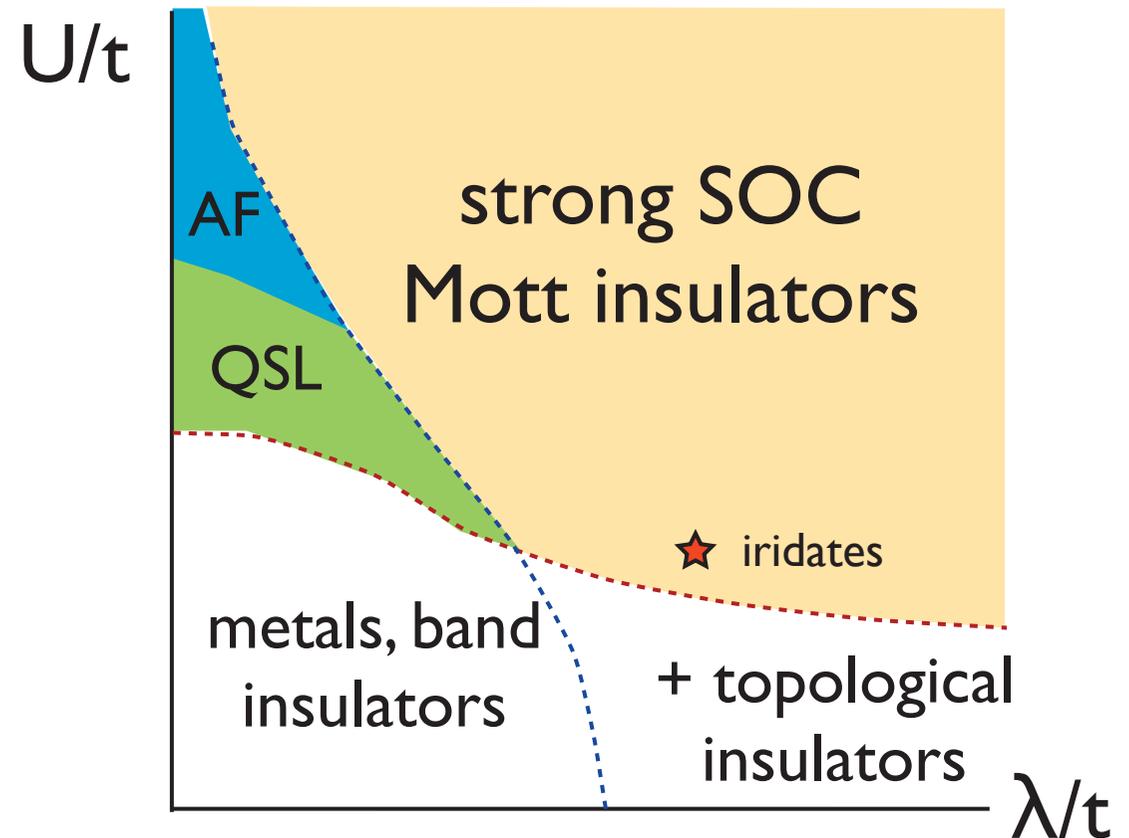


- Interesting to place other pyrochlores on this phase diagram
- And to *check* it by numerics!

# Intermediate Correlation

- Many 5d transition metal compounds are close to Mott transitions, where  $U \sim t \sim \lambda$

$\text{Ln}_2\text{Ir}_2\text{O}_7$   
 $\text{Sr}_2\text{IrO}_4$   
 $\text{Na}_2\text{IrO}_3$   
...

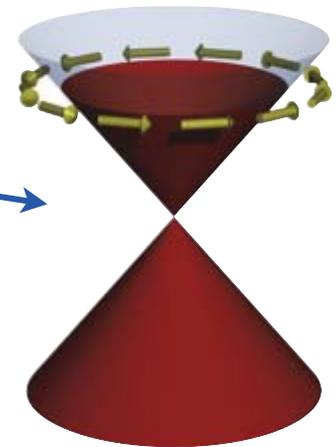
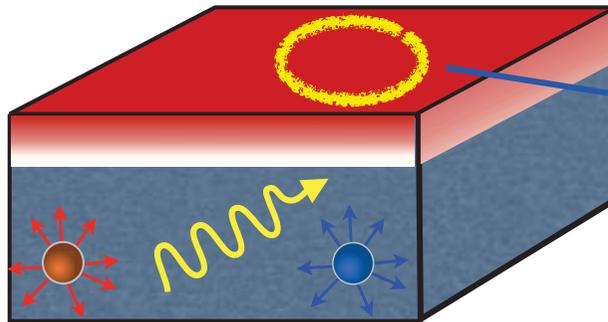


# From TBI to MI

- How does a topological insulator evolve into a Mott insulator with increasing correlations?
  - Might a QSL still be favored for intermediate correlations?
  - If so, it could share some of the character of the topological insulator
- One such state is a “topological Mott insulator” [Pesin + Balents, (2010)]

# Topological Mott Insulator

$c_{ia}^\dagger \sim b_i^\dagger f_{ia}$  neutral fermionic “spinon”  
inherits band topology



gapless surface states are  
*neutral*: an insulating surface  
with metal-like spin transport

# From TBI to MI

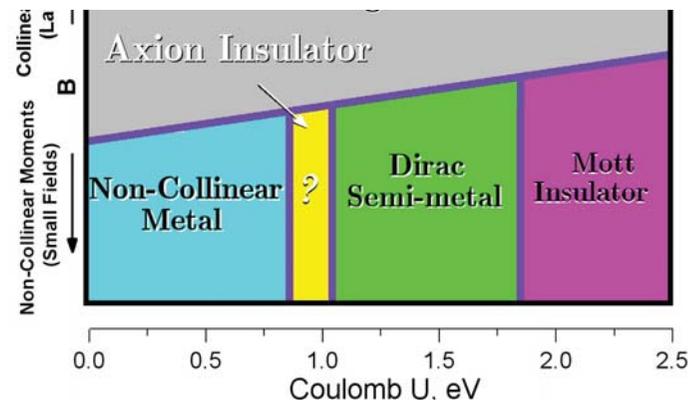
- Many scenarios are possible, and will depend upon the details



Hartree-Fock



slave rotor



LDA+U  
X.Wan *et al* (2010)



**Thank you for your attention**