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General Overview of the INPRO Methodology

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General Overview of the INPRO Methodology

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IAEA International Atomic Energy Agency

Outline of presentation

- History of INPRO methodology
- Concept of sustainable development and INPRO methodology
- Holistic nature of INPRO methodology
- Overview on methodology
- Potential users
- Conclusion



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- 2000: Launching of the International Project on Innovative Nuclear Reactors and Fuel Cycles (INPRO) based on IAEA General Conference resolution (GC(44)/RES/21).
- 2001 2006 : Development of the Methodology as a tool for <u>N</u>uclear <u>Energy</u> System <u>A</u>ssessment (NESA).
- 2004 2008 : Six national and one multinational NESA leading to several collaborative projects.
- 2010 2011 : NESA in Belarus



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- Effort to develop INPRO methodology between 2001 to 2005:
 - Contribution by ~ 150 experts from ~ 30 countries:
 ~ 10 person years.
 - Contribution by ~ 50 IAEA staff from several IAEA departments:
 - ~ 30 person years (mainly CFE).



INPRO Objectives:

- To help ensure that nuclear energy is available to contribute, in a sustainable manner, to the energy needs of the 21st century.
- To bring together technology holders and users to consider jointly national and international actions required for achieving desired innovations in nuclear reactors and fuel cycles.





6 Key issues that influence the acceptability and sustainability of nuclear power addressed by INPRO :

- **1.** Cost.
- 2. Nuclear waste.
- 3. Proliferation.
- 4. Protection from sabotage.
- 5. Impact on resources and the environment.
- 6. Safety.

Improved stakeholder/public communication and continuous technical improvements necessary for these key issues.





- Six areas to address these six key issues selected by INPRO :
 - **1.** Economics
 - **2.** Waste management
 - **3.** Proliferation resistance
 - 4. Physical protection
 - 5. Environment (impact of stressors, availability of resources)
 - 6. Safety of reactors and fuel cycle facilities
- One additional area called Infrastructure (legal frame work and institutional measures)





Concept of Sustainable Development

Concept of Sustainable Development Societal, economical, environmental, institutional aspects



History 1987: Brundtland report defines Sustainable Development: "development that meets the needs of the present without compromising the ability of future generations to meet their own needs".

1992: Agenda 21, how to achieve development in the 21st century that is socially, environmentally, and economically sustainable.

1997: Kyoto protocol, reduction of GHG (limited use of NP).

1998: World Energy Assessment report deals with issues of sustainable energy supply.

2002: World summit on sustainable development (WSSD). Role of energy supply in fighting poverty.
2009: Copenhagen conference
2010: Copenhagen conference

2010: Cancun conference



INPRO International Project on Innovative Nuclear Reactors and Fuel Cycles 8







INPRO

Holistic Nature of NESA

Nuclear Energy System Assessment (NESA) using the INPRO methodology:

- Covers innovative and evolutionary designs of all reactor types and Nuclear Fuel Cycle facilities.
- Covers all components (or facilities) of a Nuclear Energy System (no matter where located).
- All phases of a Nuclear Energy System, i.e. cradle to grave.





Holistic nature of NESA

NES includes all components (Facilities)



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Architecture of INPRO requirements







Architecture of INPRO requirements

Basic Principles

User Requirements

Basic Principles :

goals for development of sustainable NES.

User Requirements:

what should be done by designer, operator, industry and/or State to meet goal defined in Basic Principle.

Criteria



Criteria:

Assessor's tools to check whether a User Requirement has been met.

- Main messages in each area of the INPRO Methodology:
- **1. Economics:** Nuclear energy products must be competitive against alternative energy sources available in the country.
- 2. Waste management: Nuclear waste must be managed so that human health and environment are protected and undue burdens on future generations are avoided.





- Main messages in each area of the INPRO Methodology:
- **3.** Proliferation resistance: Future NES must remain unattractive for a NW program by a combination of intrinsic features and extrinsic measures.
- **4.** Physical protection: Efficient and effective regime to be implemented for whole life cycle of NES.



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- Main messages in each area of the INPRO Methodology:
- 5. Environment: Impact of stressors from future NES must be within performance envelope of current NES. Resources must be available to run NES until end of 21st century.
- 6. Safety: Future NES facilities should be so safe that they can be located on the same site as non nuclear industrial installations.





- Main messages in each area of the INPRO Methodology:
- 7. Infrastructure: Assure adequate infrastructure and reduce effort to create and maintain it.
 - Legal and institutional frame work.
 - Industrial and economic infrastructure.
 - Socio-political infrastructure (Public acceptance, Human resources)





Characteristics of INPRO Requirements

• INPRO User Requirements are directed at:

- Designer or developer of nuclear facilities.
- State (government institutions).
- Operator of nuclear facilities.
- National industry (involved in nuclear power program).

 Input data needed for evaluation of INPRO User Requirements to be provided by responsible organization.



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General Method of Assessment

- Step 1: Familiarize with INPRO methodology
- Step 2: Collect input data
- Step 3: Check whether designers and/or national organizations meet all INPRO User Requirements (UR).

 If all UR are met the NES is sustainable.
 If one Criterion is not met follow-up actions are to be defined.





Types of NESA

- Different levels of depth and scope in a NESA:
 - NESA as learning tool: Increase of awareness of long term nuclear issues.
 - NESA with limited scope: Selected areas of INPRO methodology and/or selected components of NES.
 - Full scope NESA: All areas of INPRO methodology, full depth of assessment, complete NES.





Graded Approach

Application of INPRO Methodology by all potential users

Awareness Building	Limited Scope NESA	Full Scope NESA
Training Tool:	Focussed Assessment:	Holistic Assessment:
 Familiarization with key issues of long term sustainability. Human Resources development. 	 Developer: Determination of R&D needs. User: Selection of options. Newcomers: Bid related issues. 	 Confirmation of sustainability. Identification of actions to achieve long term sustainability.

Progress Towards Sustainable Nuclear Power Program



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Users of NESA

- Different types of users performing a NESA:
 - Developer/ designer of nuclear technology.
 - User (experienced) of nuclear technology.
 - Newcomer (first time nuclear technology user).
- Type of user influences benefit of NESA.





NESA by Technology User – OPTION-2



NESA by Technology Holder – OPTION-3



Benefits of NESA

- Main benefits to developer from applying holistic INPRO methodology in a NESA:
 - Identification of critical issues, i.e. gaps.
 - Ensure that development will close identified "gaps".
 - Balanced design, i.e. avoidance of undesirable consequences in one area caused by development in another area.
 - Assistance in selection of preferred option.
 - Increased assurance that proposed NES (component) will be deployed once developed.





Benefits of NESA

- Main benefits to experienced user from applying holistic INPRO methodology in a NESA:
 - Identification of issues ("gaps") at early stage of deployment of additional units.
 - Follow up actions to close "gaps" to move NES towards sustainability.
 - Identification of potential advantages of different NES options.





- Main benefits to newcomer from applying a "graded approach" to holistic INPRO Methodology in a NESA:
 - Increase of awareness of all nuclear issues, i.e. educational tool.
 - Development of cadre of knowledgeable individuals.
 - Assistance in planning and decision making process.



Steps in a NESA

• Prerequisites:

- Energy system planning study performed.
- Assessment team established.
- Scope and purpose of NESA defined.
- Nuclear Energy System specified.



Steps in a NESA

Step 1: Familiarization with the INPRO Methodology:

- Study of INPRO documentation and relevant references.
- Training by IAEA/INPRO experts.

Step 2: Identification of sources of input needed for a NESA:

- Designer and operator of facilities of NES.
- National industry involved in nuclear power program.
- Government agencies.
- IAEA organizations and data bases.
- INPRO NESA support package: Input tables (Waste Management, Economics, Infrastructure, on CD-ROM)





- Step 3: Performance of assessment with the goal to identify "gaps", i.e. issues that need follow up actions:
 - Work in different areas of the INPRO methodology can be performed in parallel.
 - Keep continuous contact within the NESA team.
 - Maintain contact to IAEA/INPRO group to deal with questions.



Steps in a NESA

- **Step 4:** Documentation of assessment results:
 - Objective and scope of NESA.
 - Reference energy plan and role of NP.
 - NES selected for assessment.
 - Sources of information *.
 - Result of the assessment, i.e. judgment on potential of NES to fulfil the Criteria and rationale for judgement *.
 - Summary and conclusion of the assessment *.
 - Follow up actions *.
 - Feedback on INPRO methodology *.

* in each area of INPRO methodology





Step 5 (recommendation): Peer review of the NESA by the IAEA/INPRO secretariat.
Use of internal and (if needed) external experts.



Main Output of NESA

- Confirmation of sustainability of NES, or identification of gaps*.
- Definition of follow up actions to close gaps*.
- <u>Note:</u> Even if "gaps" are found, NES may be a good interim solution, if path to sustainable system has been defined.

* "Gap" = INPRO Methodology Criterion not met.



IAEA Tools for Newcomers

Relationships Among Tools for Newcomers



Experience with NESA

• NESA on going in Belarus.

- Full scope assessment of all INPRO methodology areas.
- Simplified NES consisting of power plant and waste management facilities.
- To be completed in 2011.



Effort to Perform a NESA

- Optimistic estimation of effort to produce full scope NESA of single NES (no options).
- One expert per INPRO Methodology area (eight areas).
- Effort per INPRO Methodology area:
 - Familiarization with area : ~ 2 weeks.
 - Collection of input data : ~ 4 weeks.
 - Performance of assessment : ~ 10 weeks.
- Total effort ≈ 130 person weeks
- Duration of NESA: ≤ 1 year.





• NESA Support Package:

- Based on feedback from assessors (IAEA-TECDOC-1636).
- Training on the INPRO Methodology.
- Continuous access to IAEA and MS expertise via INPRO group.
- Examples of input data for INPRO assessment (on CD-ROM).

• Economics, Infrastructure and Waste management

- **NEST** Tool for economic analysis (on CD-ROM).
- List of design data to be provided by designer (on CD-ROM).





Documentation of the INPRO Methodology

Documentation of the INPRO methodology: www.IAEA.org/INPRO



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NESA Support package: INPRO area of INFRASTRUCTURE



Introduction

The following Table II list the necessary input for an INPRO assessment of a nuclear energy system (NES) and examples¹ of such input data. The examples in Table II, i.e. the links to websites, primarily define the format of the information and not so much the content and may be used primarily by any country as examples of information. The examples demonstrate the fact of existence and availability of needed input data in other countries. Non existence of such input data in the assessed country leads to a negative judgement on the potential of the infrastructure of the

ŧ	ñ	IN and AL or EP with AC	Ref	Input	Examples of input data sources	Link to Table I2	
2	CR1.1 legal aspects	EP1.1.1: Scope of the nuclear law. Areas of nuclear law: Regulatory body; Radiation and Environmental protection; Safety of nuclear installations; Nuclear liability and coverage; Export and import of controls of nuclear materials; Safeguards of nuclear materials and Security; and physical protection of nuclear material and nuclear facilities. AC1.1.1: Evidence is available to the INPRO assessor that all areas listed above are covered by nuclear law.	p.19 p.20	Text of national nuclear law OR result of independent assessment of nuclear law by expert organization such as IAEA	Text of national nuclear law OR result of result of result of independent	To assess EP1.1.1 and EP1.1.2 full text of nuclear legislation is usually available from the official site of regulatory body or government. The national nuclear law can be compared to the following examples of full scope and adequate nuclear law: <u>http://www.nrc.gov/about-nrc/governing- laws.html</u> (US), <u>http://www.muclearsafety.gc.ca/eng/lawsregs/index.cfm</u> (Canada), <u>http://www.stuk.fi/julkaisut_maaraykset/en_GB/lainsaadanto/</u> (Finland). Many national nuclear laws of most of the countries are available from the site of Nuclear Energy Agency (issue date later 2000) <u>http://www.nea.fr/html/law/nlb/index.html</u> or (issue date in the range 1968- 2000)	<u>eo to tab</u> 1 <u>2</u>
		EP1.1.2: Adequacy of nuclear law. AC1.1.2: Evidence is available to the INPRO assessor that the 6 questions on page 20 of Volume 3 of TECDOC-1575 have been answered satisfactorily, i.e. an affirmative answer (YES) for questions 1, 3 (first part), 4, and 6; and, a negative answer (NO) to the questions 2, 3 (second part) and 5	p.20		http://www.bea.fr/html/iaw/hilo/1908-2000.ntml. Demonstration of the overriding considerations usually can be found among main principles of the laws, e.g. (in the case of Ukraine) at page 6 of http://www.nea.fr/html/iaw/hilo/NLB-56-SUP.pdf. As well, institutional responsibilities are at p.12 to 15 (the same reference), most important terms and definitions are at.p.3,4, issues of waste management – p.31, physical protection – p.33, safeguards – p.36, export &timport –p.43, etc. Alternatively EP1.1.1 and EP1.1.2 can be assessed using results of an independent assessment of the national nuclear law (see also EP1.2.3).	<u>go to tab</u> <u>12</u>	

Area of Infrastructure Waste Management

- Sources and Examples of Input data for assessment.
- Available on CD-ROM



INPRO

International Project on Innovative Nuclear Reactor and Fuel Cycles

Area of **Economics**:

- Algorithmic table with detailed list of equations, parameters, remarks and links to examples of input data necessary to perform all economics calculations.
- Table and examples on CD-ROM.

• and ...



INPRO nternational Project on nnovative Nuclear Reactor and Fuel Cycles

Excel based tool called: "NESA **Economics** Support Tool" (NEST)

All calculations to produce input for economics' assessment. **NEST on CD-ROM.**

INPRO requirements and role of designer in a NESA performed by a technology user

INPRO Area	Basic principle BP	User Requirement UR	Role of technology holder in NESA performed by technology user	
	BP1: Generation of radioactive waste in an INS shall be kept to the minimum	UR1.1: Reduction of waste at the source: The INS should be designed to minimize the generation of waste	Provide information (presentation and report) on all wastes produced by all nuclear facilities considered in NESA, i.e. a list of alpha emitters and long lived radioactive nuclides in the waste, and characteristic values of the waste such as activity, mass, and volume (per GWa).	WM1
	practicable at all stages, with emphasis on waste containing long-lived toxic components that would be mobile in a repository environment	Provide information (presentation and report) on all chemically toxic elements as part of radioactive waste (per GWa) of facilities considered in NESA.	WM2	
gement		Provide information (presentation and report) for each facility considered in NESA describing the strategy to minimize waste, evidence of its implementation, and the results of an independent peer review of this waste minimization study of such facilities.	WM3	
Waste manag	BP2: Protection of human health and the environment: Radioactive waste in an INS shall be managed in such a way as to secure an acceptable level of protection for human health and the environment, regardless of the time or place at which impacts may occur	UR2.1: Protection of human health: Exposure of humans to radiation and chemicals from INS waste management systems should be below currently accepted levels and protection of human health from exposure to radiation and chemically toxic substances should be optimized	For all waste management facilities considered in NESA provide information (presentation and report) that contains: - for a reference site estimated dose rate to an individual of the critical group (public dose); - radiological exposure of workers (occupational dose); and - estimated concentrations of chemical toxins in working areas of such facilities.	WM4

 List of input to be provided by designer (technology holder): available on CD-ROM.





Getting Started

- Assume a simple NES reactors, necessary waste management facilities, and domestic fuel supply, except for enrichment.
 - Do not look at facilities in other countries
- Assess, to start, economics, waste management, and infrastructure in detail.
- Expand the assessment to include all INPRO areas.
- Expand the assessment to include facilities in other countries.



Conclusion

- Performing a NESA applying the INPRO methodology can be used to:
 - Confirm sustainability of nuclear energy systems (NES) at least until the end of the 21st century.
 - Identify actions to be taken to achieve sustainable NES.
 - Support long time planning of NES.





Thank you for your attention r.beatty@iaea.org



