



2257-17

Joint ICTP-IAEA School of Nuclear Energy Management

8 - 26 August 2011

Safety and Security Interface

S. Koenick IAEA, Vienna Austria

Safety and Security Interface

IAEA/ICPT School of Nuclear Energy Management

Stephen Koenick

Senior Safety Officer Regulatory Activities Section Nuclear Safety and Security Department 16 August 2011



IACA International Atomic Energy Agency

Security and Safety Interface

- Introduction
- Responsibilities for Safety and Security
- Basic Principles
- Safety and Security at the NPP Lifetime



Introduction



Specificity of Nuclear Power

NPP operation



Radiation hazards to workers, public and the environment

Protect people and environment against the risks of radiation

Inventory of

radioactive

materials

Common Purpose

Safeguards

Proliferation Issues



Nuclear Safety

& Nuclear Security

Definitions

• Nuclear Safety

 The achievement of proper operating conditions, prevention of accidents or mitigation of accident consequences, resulting in protection of workers, the public and the environment from undue radiation hazards.

Nuclear Security

 The prevention and detection of, and response to, theft, sabotage, unauthorized access, illegal transfer or other malicious acts involving nuclear material, other radioactive substances or their associated facilities."



Events on Each Sphere



Safety

Security

Risks arsing from unintended events

Natural occurrences (earthquake, tornadoes,etc)
Hardware failures (pipe break, I&C defects, etc)
Internal events (flood, fire, etc)
Human mistakes (incorrect alignment, etc.)

<u>Risks arising from malicious acts</u> with the intent of steal material or cause damage



Interface Safety - Security

Synergies

 Elements or actions in one area enhances also the other area



Containment structure

Safety: serves to prevent a significant release of radioactive material to the environment in the event of an accident

Security: provides a robust structure that protects the reactor from a terrorist assault.



Interface Safety - Security

Challenges

• Elements or actions in one area may be antagonistic to the other area



Delay barriers for security reasons can limit rapid access to respond to a safety event or can limit emergency egress by plant personnel



Responsibilities for Safety and Security



Government

- The State must set up an appropriate legislative and regulatory framework to ensure control of nuclear power plants and thus require safety and security provisions
- The State must designate a regulatory authority or authorities in both the safety and security fields and provide the regulator(s) with the authority, competence and the financial and human resources



Regulatory Body

 The regulator (or regulators) must define the requirements to be satisfied by the operator for both safety and security

Question:

(1) Would you prefer for your country a single or two different regulators for safety and security?

(2) Can you identify the advantages and disadvantages of each option?



Operators

- The operating organization has the prime responsibility for the safety and security of the nuclear power plant
- In the case of security, the operator's responsibility may be limited to defence against a Design Basis Threat (DBT)

DBT outlines the set of adversary characteristics for which the Operators and State organizations together have protection responsibility and accountability

A clear description of this threat is an essential prerequisite for assured and effective physical protection



Basic Principles



Defense-in-Depth

Several lines of protection

- **1. Prevention**
- **2.** Early Detection
- 3. Mitigation
- 4. Emergency Planning





Defense-in-Depth

Safety

1. Prevention

• Robust Design, safety margins

2. Early Detection

- I&C systems
- 3. Mitigation
 - Safety Systems
- 4. Emergency Planning
 - For unintended events

Security

1. Prevention

 Deterrence steps to discourage the aggressor

2. Early Detection

- Security systems (alarms)
- 3. Mitigation
 - Recovery actions
- 4. Emergency Planning
 - For malicious acts



Leadership and Management

- Leadership and management has to be demonstrated at the highest levels
- Effective management system to ensure proper balance and coordination

Issues:

-Handling information (transparency against restricted)

- Safety and security cultures



Optimization of Protection

 Radiation risks must be kept as low as reasonably achievable, taking social and economic factors into account

All risks should be assesses and re-assessed both in the design phase and in operation

-Risks from natural events, equipment failure or human errors relies on deterministic and probabilistic methods
- Risks on the security domain relies on deterministic methods only



Safety and Security at the NPP Lifetime



Siting

Safety

- Assess the frequency and severity of various external natural and human induced events that could affect the safety of the NPP
- Assess the feasibility of an emergency plan

Security

- Assess the vulnerability to assault of the site. For certain types of threat, the location and layout of the plant site may limit the likelihood that particular onsite areas will be affected
- Assess the areas of the country that are more prone to terrorists or activists or near the border with an unfriendly country



Design

Synergies

- Passive Systems
- Single failure criteria
- Doors and barriers

Antagonisms

- Heavy bunkering
- Doors and barriers



Construction

- Oversight of the construction is intended to ensure that the plant is constructed as designed, thereby serving both safety and security purposes
- For security, the oversight is aimed at preventing the inadvertent or intentional introduction of weaknesses that could result in a radiological release during operation. Such oversight can present a major challenge because of the large number and diversity of workers entering the site during a construction period.



Operation

Synergies

- Feedback from operating experience (sharing of information may differ)
- Access and control measures

Antagonisms

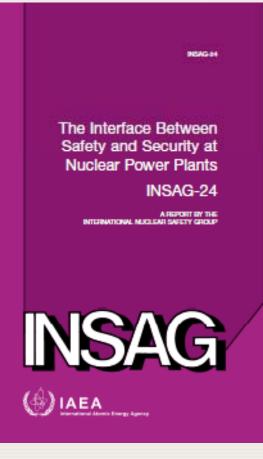
- Maintenance, surveillance and inspections
 - Disabling systems in one area may impact the other (e.g. electric supply)
 - External man-power to conduct the activities



Reference Document

The International Nuclear Safety Group (INSAG) is a group of experts with high professional competence in the field of safety working in regulatory organizations, research and academic institutions and the nuclear industry

http://goto.iaea.org/insag





International Atomic Energy Agency



Thank you for your attention



s.koenick@iaea.org