



**The Abdus Salam  
International Centre for Theoretical Physics**



**IAEA**  
International Atomic Energy Agency



**2265-7**

**Advanced School on Understanding and Prediction of Earthquakes  
and other Extreme Events in Complex Systems**

*26 September - 8 October, 2011*

**EARTHQUAKE PREDICTION AND PREVENTION FOR A DISASTER  
RESILIENT SOCIETY**

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*University of Trieste/SAND Group ICTP  
Trieste*

# EARTHQUAKE PREDICTION AND PREVENTION FOR A DISASTER RESILIENT SOCIETY

G.F. Panza and the SAND group



CHINA EARTHQUAKE ADMINISTRATION

Accademia Nazionale dei Lincei

Accademia Nazionale delle Scienze (5-20-06-11)



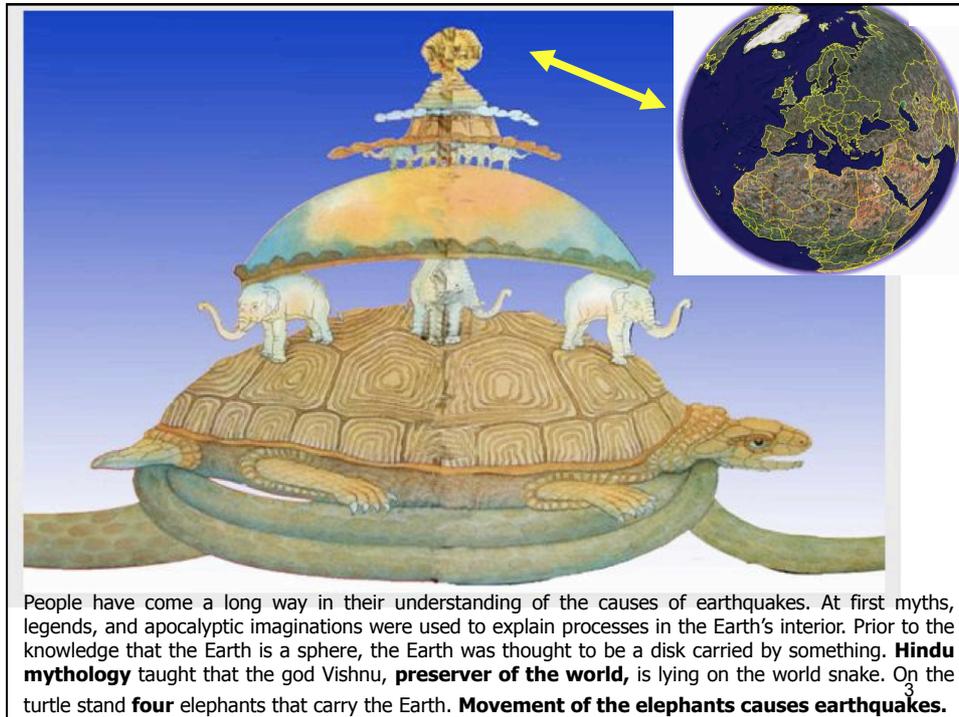
## Advanced School on Understanding and Prediction of Earthquakes and other Extreme Events in Complex Systems

Trieste, September 26 – October 8, 2011

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# INTRODUCTION

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Progresses in the mitigation of hazard can rely upon the control of the dance of the elephants!???

Certainly not !!

Seismic risk can be reduced only with the joint exploitation of advanced seismic engineering techniques and reliable methodologies for the assessment of seismic hazard.

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In **1660** *Robert Hooke*  
formulates de fundamental law  
of elasticity

***Ut tensio sic vis***

*the base of the Physics of  
Seismology*

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TERRA  
TREMANTE.  
O V E R O  
CONTINVAZIONE  
DE' TERREMOTI

*Dalla Creatione del Mondo fino al tempo presente,*  
I N C U I

S'AMMIRANO METAMORFOSI DELLA NATURA, INGOTI-  
amenti di Paefi, aperture, e voragini della Terra, afforbimenti  
d'Ifole, defoliationi di Provincie, difperzioni d'Imperii,  
translationi di Città, di Monti, e di Territorii, di-  
ftaccamenti di Regni, torcimenti di Fiumi, for-  
giva, e dilteccamenti di effi,

CITTA R'DOTTE IN LAGHI, ED IN CENERE, INONDA-  
zioni di Mare, e di Fiumi, erigimenti di Colli, productioni d'Ifole,  
precipitii, e profundationi di Monti, fcaurigni di Pano,  
Tempefte, Sterilità, Fame, e Pefte, Incendii, Spaventii,  
e Guerre, Parti moftruoiffimi,

PIOGGIE DI SANGUE, DI PIETRE, DI TERRA, DI LANA,  
di Animali, di Latte, di Manna, di Grano, d'Orgio, di Vito-  
voglie, di Ceneri, di Fiamme, di Pefci, di Rane, e di  
Catne, Prodigii, Moltri, ed altre firavaganze,  
tutte da' Terremoti prodotte.

D E L S I G N O R  
D. MARCELLO BONITO  
MARCHESE DI S. GIOVANNI  
Cavaliere dell'Ordine di Calatrava.



IN NAPOLI, Nella Nuova Stampa delli foeti  
Dom. Aut. Parrino, e Michele Luigi Murri M. DC. LXXXVI.

CON LICENZA DE' SUPERIORI.  
Ad iftanza di Dom. Aut. Parrino.

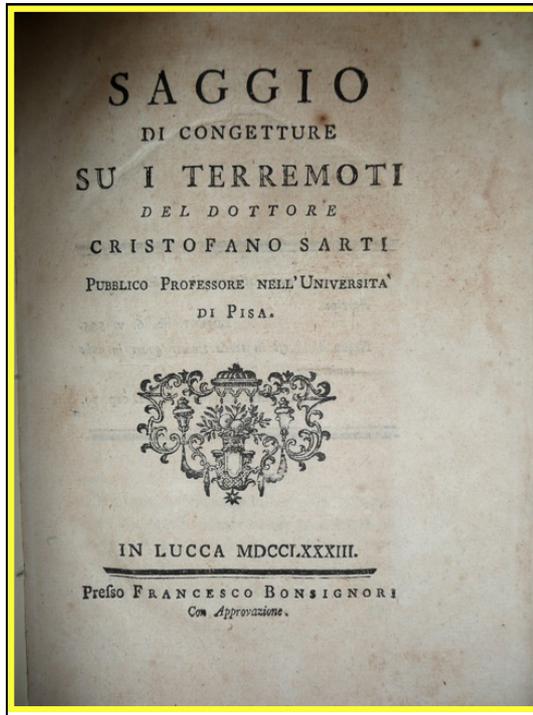
In 1691 the Author  
describes the effects  
of the catastrophic  
earthquake that  
December 5, 1456  
shook L'Aquila and  
neighbors and  
produced severe  
damages to a region  
very similar to the one  
affected by the  
catastrophic event of  
April 6, 2009.

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**Only in 1760, the scientific community, thanks to the work of *John Michell*, recognized that earthquakes and volcanic eruptions are endogenous natural phenomena of the Earth.**

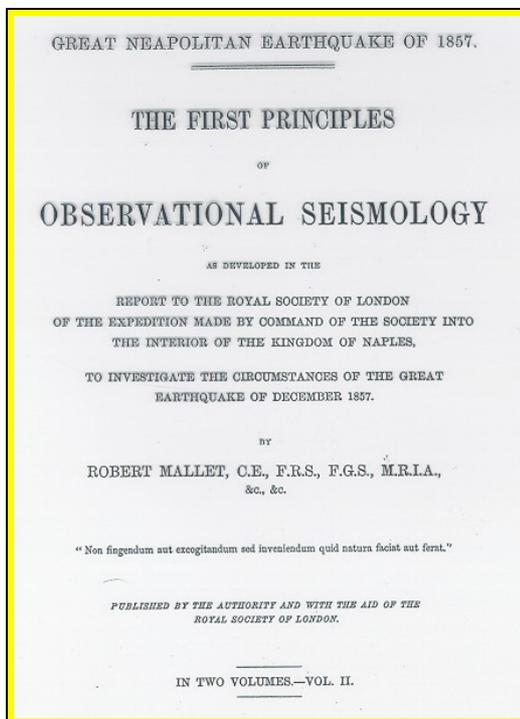


In the late 1700, stimulated by the study on electricity, electroseismic theories are formulated and in analogy with Benjamin Franklin (lightning rod), Pierre Bertholon conceives the idea of an “earthquake rod” (Journal de physique, 1779). Giovanni Vivenzio in his book “Historia e Teoria de tremuoti in generale ed in particolare di quelli della Calabria e di Messina del 1783”, published the same year, says that: “...i tremuoti non sono altro che tuoni sotterranei siccome Plinio l’ ha conosciuto anticamente; e poichè è dimostrato che il tuono è effetto di elettricità, non si può far di meno di riconoscere la materia elettrica per cagione dei tremuoti”.

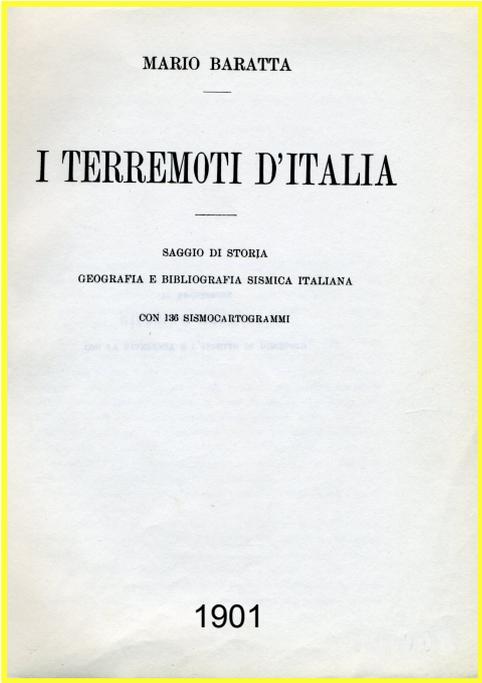


Cristofano Sarti, in his book, published in 1788 works out a series of conjectures about the endogenous origin of the earthquake and he has the great merit to point out that earthquakes and volcanoes are natural phenomena not necessarily correlated.

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The Irish engineer Robert Mallet, with a grant of 150 pounds from the Royal Society of London, invited by Francesco II di Borbone, made a scientific survey of the most damaged area by the 1857 earthquake, that hit South Italy. Mallet collected all his observations in a monumental publication (1862) the Royal Society of London that represents the first attempt to apply systematically the basic principles of Physics to the effects of earthquakes.



MARIO BARATTA

**I TERREMOTI D'ITALIA**

SAGGIO DI STORIA  
GEOGRAFIA E BIBLIOGRAFIA SISMICA ITALIANA  
CON 136 SISMOCARTOGRAMMI

1901

**1902** *Giuseppe Mercalli* publishes the macrosesimic Intensity scale.

**1907** *Vito Volterra* publishes the theory of dislocation, based on Somigliana solution of Navier equations and he opens the way to the study of the Physics of seismic sources.

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Italy is the forefront of seismological research and seismic hazard mitigation till the beginning of 1900. There is a severe and guilty decline, mostly due to governmental deficiencies, that is reversed, for the first time, after the 1976 Friuli earthquake. This constructive trend seems to continue in 2003 after the San Giuliano di Puglia earthquake of 2002 and after the 2009 l' Aquila earthquake. The activity of ICTP started in the 80s, in collaboration with MITPAN-Moscow scientists, is certainly a part of this Renaissance, with a special attention to prevention.

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*Building a culture of prevention is not easy. While the **costs of prevention** have to be paid in the **present**, its **benefits** lie in a **distant future**. Moreover, the benefits are not tangible; they are the disasters that did **NOT** happen.*

- Kofi Annan, 1999

The evaluation of seismic hazard is often based on the traditional Probabilistic Seismic Hazard Analysis, i.e. on the probabilistic analysis of earthquake catalogues and of ground motion, from macroseismic observations and instrumental recordings. This leads to severe bias in the estimation of seismic hazard, because the mathematical model of PSHA, as it is in use today, is inaccurate and leads to systematic errors in the calculation process.

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This approach has been proven unreliable in providing seismic hazard assessment by many events occurred in the recent past, possibly due to the insufficient information about historical seismicity, which can introduce relevant errors in the purely statistical approach mainly based on the seismic history.

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**? GSHAP ?**

**Checking forecasted values against observations**

The probabilistic analysis supplies indications that can be useful but not sufficiently reliable to characterize seismic hazard.

**Lessons from recent earthquakes:** Kobe (17.1.1995), Gujarat (26.1.2001), Boumerdes (21.5.2003), Bam (26.12.2003) and Eastern Sichuan (12.5.2008), Haiti (12.1.2010)

	PGA(g)	
	Expected	Observed
	with a probability of exceedence of 10% in 50 years (return period 475 years)	
Kobe	0.40-0.48	0.7-0.8
Gujarat	0.16-0.24	0.5-0.6
Boumerdes	0.08-0.1	0.3-0.4
Bam	0.16-0.24	0.7-0.8
E-Sichuan	0.16-0.24	0.6->0.8
Haiti	0.08-0.16	0.35-0.4

**MORE LESSONS in 2011**

Location	Date	Magnitude	Intensity difference	Casualties
Sendai (Japan)	11.03.2011	9.0	3.3 (III)	> 20.000 ??
Port-au-Prince (Haiti)	12.01.2010	7.3	2.2 (II)	222.570
Padang (Southern Sumatra, Indonesia)	30.09.2009	7.5	1.8 (II)	1.117
Wenchuan (Sichuan, China)	12.05.2008	8.1	3.2 (III)	87.587
Yogyakarta (Java, Indonesia)	26.05.2006	6.3	0.3 (0)	5.749
Kashmir (North India and Pakistan border region)	08.10.2005	7.7	2.3 (II)	86.000
Nias (Sumatra, Indonesia)	28.03.2005	8.6	3.3 (III)	1.313
Sumatra-Andaman (Indian Ocean)	26.12.2004	9.0	4.0 (IV)	227.898
Bam (Iran)	26.12.2003	6.6	0.2 (0)	31.000
Boumerdes (Algeria)	21.05.2003	6.8	2.1 (II)	2.266
Bhuj (Gujarat, India)	26.01.2001	8.0	2.9 (III)	20.085

List of the Top Eleven deadliest earthquakes occurred during the period 2000-2011, and the corresponding intensity differences,  $DI_o = I_o(M) - I_o(mPGA)$ , among the observed values and predicted by GSHAP.  $I_o(M)$  and  $I_o(mPGA)$  are computed from the observed magnitude M and the maximum GSHAP PGA around the observed epicentre, respectively, using existing relationships (after Kossobokov and Nekrasova (2010)).

**If we include in the list the 1995 Kobe event, we see that Japan has been hit by two surprises in the last 25 years!!**

Earthquake	Date	Latitude	Longitude	Depth, km	USGS Magnitude	GSHAP PGA, m/s <sup>2</sup>	GSHAP Magnitude	dM
Bhuj, India	2001/01/26	23.42°N	70.23°E	16	8.0	2.050	6.1	1.9
Boumerdes, Algeria	2003/05/21	36.96°N	3.63°E	10	6.9	0.729	5.2	1.7
Bam, Iran	2003/12/26	29.10°N	58.35°E	4	6.8	3.780	6.6	0.2
Nias, Sumatra	2005/03/28	2.09°N	97.11°E	25	8.6	2.897	6.4	2.2
Kashmir, Pakistan	2005/10/08	34.54°N	73.59°E	10	7.7	2.111	6.1	1.6
Yogyakarta, Indonesia	2006/05/26	7.96°S	110.45°E	16	6.3	2.030	6.1	0.2
Wenchuan, China	2008/05/12	31.00°N	103.32°E	10	8.1	1.686	5.9	2.2
Padang, Sumatra	2009/09/30	0.72°S	99.87°E	81	7.6	2.580	6.3	1.3
Haiti	2010/01/12	18.44°N	72.57°W	10	7.0	1.456	5.8	1.5
Qinghai, China	2010/04/13	33.22°N	96.67°E	17	7.0	1.112	5.6	1.4
Sumatra-Andaman	2004/12/26	3.30°N	95.98°E	30	9.1	2.768	6.4	2.7
Tōhoku, Japan	2011/03/11	38.30°N	142.37°E	32	9.0	4.895	6.8	2.2

Table 1: Source parameters (<http://earthquake.usgs.gov/earthquakes/eqinthenews/>) of the earthquakes studied. Columns seven and eight list the largest peak ground accelerations given by GSHAP within a box 11 km on a side around the epicenter of the disastrous earthquakes, and the magnitude of an earthquake at that location, which would generate the PGA given by GSHAP, respectively. dM is the difference between the magnitude observed and the magnitude implied by the GSHAP map.

Wyss et al., 2011

Earthquake	Fatalities				Settlements affected			Population affected		
	observed	estimate	GSHAP	Ratio	estimate	GSHAP	Ratio	estimate	GSHAP	Ratio
Bhuj, India	20,000	19,500	300	65	2,930	880	3	84,991,000	1,328,000	64
Boumerdes, Algeria	2,300	2,200	1	2200	1,350	330	4	11,197,000	1,855,000	6
Bam, Iran	30,000	13,500*	11,500*	1	8,990	6,790	1	1,676,000	951,000	2
Nias, Sumatra	1,600	1,800	1	1800	3,880	370	10	13,291,000	6,000	2,215
Kashmir, Pakistan	86,000	71,600#	3,800#	19	9,040	3,170	3	63,726,000	4,723,000	13
Yogyakarta, Indonesia	5,700	6,200	3,000	2	12,170	220	55	19,100,000	16,338,000	1
Wenchuan, China	87,000	86,800#	500#	174	4,560	1,270	4	57,230,000	8,544,000	7
Padang, Sumatra	1,100	1,100	1	1100	3,070	1,020	3	10,859,000	563,830	19
Haiti	100,000	98,500#	5,800#	17	9,620	6,860	1	10,449,000	5,271,000	2
Qinghai, China	3,000	2,700*	20*	135	1,390	220	6	2,405,000	543,000	4
Sumatra-Andaman	NA	9,800	1	9800	3,330	1	3,330	10,416,000	1	>10 <sup>7</sup>
Tohoku, Japan	NA	3,200	1	3200	1,030	50	21	59,913,000	2,804,000	21

Table 2: Comparison of observed numbers of fatalities with those calculated by QLARM for the reported magnitude (estimate) and the magnitude implied by GSHAP. The columns entitled "Settlements affected" and "Population affected" list the respective numbers for settlements with at least slight damage (blue to black dots in Fig. 1) calculated for the observed magnitude (estimate) and the magnitude implied by GSHAP.

Tohoku estimated fatalities about 20000

Wyss et al., 2011

To overcome the mentioned limitations and, above all, to improve the pre-seismic information which may lead to an effective mitigation of seismic risk, we are following an innovative approach, that combines Earth Observation (EO) data and new advanced approaches in seismological and geophysical data analysis.

## **ASI Pilot Project - SISMA** **“Seismic Information System for Monitoring and Alert”**

R. Sabadini<sup>(1)</sup>, R. Barzaghi<sup>(2)</sup>, G.F. Panza<sup>(3,6)</sup>, M. Fermi<sup>(4)</sup>, B. Crippa<sup>(1)</sup>,  
A. Peresan<sup>(3)</sup>, F. Vaccari<sup>(3)</sup>, A. Aoudia<sup>(6)</sup>, F. Romanelli<sup>(3)</sup>, R. Riva<sup>(1)</sup>,  
A. M. Marotta <sup>(1)</sup>, S. Zoffoli<sup>(5)</sup> and R. Guzzi<sup>(5)</sup>

(1) Dipartimento di Scienze della Terra, Università di Milano, Italy

(2) Dipartimento di Scienze della Terra, Università di Trieste, Italy

(3) Politecnico di Milano, Italy

(4) Galileian Plus, Italy

(5) Agenzia Spaziale Italiana, Sezione Osservazione della Terra

(6) The Abdus Salam International Centre for Theoretical Physics,  
Trieste, Italy



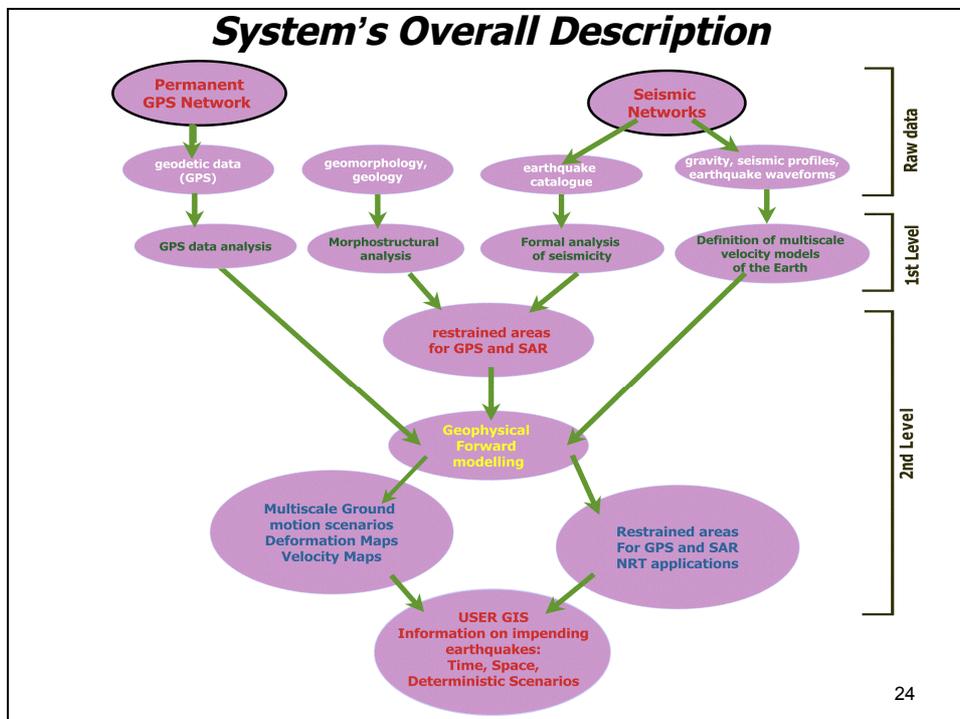
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The system we are developing is based on the neodeterministic approach for the estimation of seismic ground motion, integrated with the space and time dependent information provided by EO data analysis through geophysical forward modeling.

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The need of integration of different geophysical observables is obvious when the process of earthquake preparation and occurrence is analysed: the lithosphere - a hierarchical system of interacting blocks - accumulates stress, according to strain and strain rates fields due to tectonics, which is partly released during the earthquake occurrence.

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## Seismological data analysis

### • INPUT

Data on seismicity (earthquake catalogues), geomorphology and geodynamics and Earth structure (velocity, gravity data);

Worldwide tested pattern recognition algorithms for middle-range intermediate-term earthquake prediction and for identification of areas prone to damaging earthquakes;

Robust and tested codes for the Earth structure retrieval and numerical modelling of lithosphere block dynamics.

## Seismological data analysis

### ■ OUTPUT (1)

Regional alerted areas by the near real time monitoring of seismicity (TIPs for the occurrence of earthquakes with  $M \geq M_0$ );

Maps of the morphostructural zonation and selection of seismogenic nodes prone to earthquakes with  $M \geq 6.0$  &  $M \geq 6.5$  within the alerted regions;

## **Seismological data analysis**

### **■ OUTPUT (2)**

Restrained local alerted areas for  
GPS and SAR investigations;

Multiscale velocity models of the  
Earth structure for geophysical  
forward modelling;

Preferred models for the dynamics of  
the lithosphere at a regional scale.

**Real-time monitoring  
of the seismic flow:  
CN and M8S  
algorithms**

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CHIESA PARROCCHIALE  
SAN BIAGIO IN COSINA  
DIOCESI E COMUNE DI FAENZA  
(PROV. DI RAVENNA)

il 15 settembre 1950

Certifico io sottoscritto che Giuliano  
Parza di Giusepp  
e di Giuseppina Liveran' nato a S. Biagio  
il 27 aprile 1945 ad ore 7.15  
fu Battezzato a questo S. Fonte il 23 aprile 1945  
dal M. R. D. Giusepp Bernoni  
essendo madrina la sig. Lola Colomba Liveran'.

Tanto risulta dai registri dei Battezzati esistenti in questo  
Archivio Parrocchiale, pag. 15 n. 43

IL PARROCO  
L. S. Giusepp Bernoni

Curia Vescovile di Faenza

Visto per l'autenticazione della firma del M. R.

L. S. IL CANCELLIERE

My earliest credential  
about prediction

This is my certificate  
of baptism, drafted on  
September 15, 1950,  
stating that I was  
**born** on April **27**,  
1945 and I was  
**christened** on April  
**23**, 1945

## Intermediate-term middle-range earthquake prediction experiment

**CN algorithm** (Keilis-Borok et al., 1990; Peresan et al., 2005)  
**M8S algorithm** (Kossobokov et al, 2002)

### Main features:

- **Fully formalized algorithms and computer codes available for independent testing;**
- **Use of published & routine catalogues of earthquakes;**
- **Worldwide tests ongoing for more than 10 years permitted to assess the significance of the issued predictions**  
(Kossobokov et al., 1999; Rotwain and Novikova, 1999)

## **Intermediate-term middle-range earthquake prediction experiment in Italy**

**CN and M8S algorithms are based on a set of empirical functions of time to allow for a quantitative analysis of the premonitory patterns which can be detected in the seismic flow:**

- **Variations in the seismic activity**
- **Seismic quiescence**
- **Space-time clustering of events**

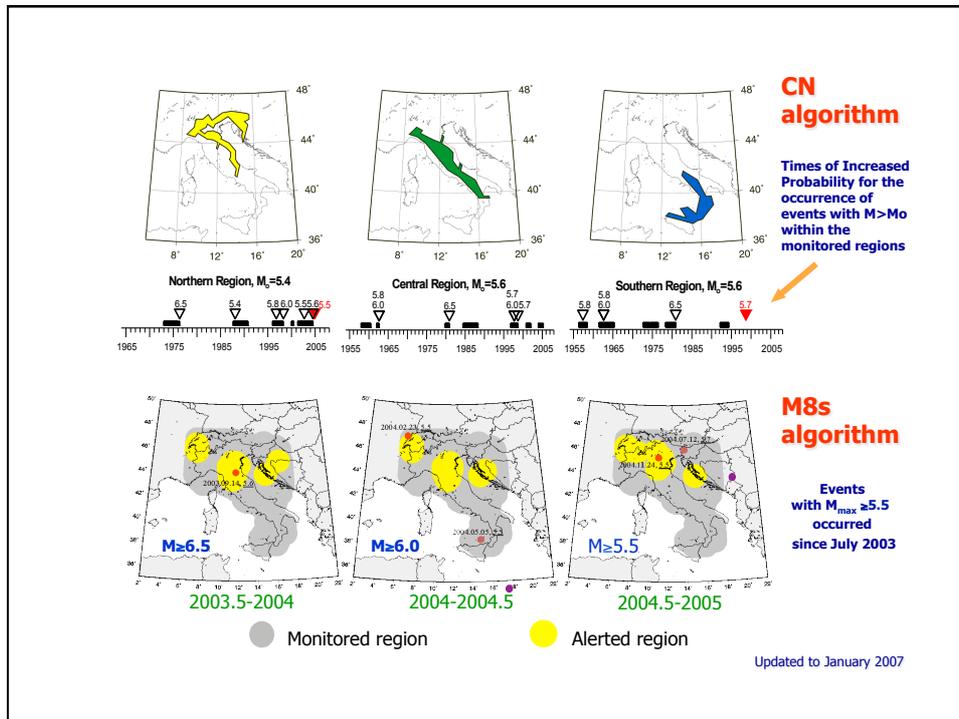
**They allow to identify the TIPs  
(Times of Increased Probability)  
for the occurrence of a strong earthquake  
within a delimited region**

## **Intermediate-term middle-range earthquake prediction experiment in Italy**

- **Stability tests with respect to several free parameters of the algorithms** (*e.g. Costa et al., 1995; Peresan et al., GJI, 2000; Peresan et al., PEPI, 130, 2002*);
- **CN predictions are regularly updated every two months since January 1998;**
- **M8S predictions are regularly updated every six months since January 2002;**

**Real time prediction experiment started in July 2003**





### Intermediate-term middle-range earthquake prediction experiment in Italy

**Prediction experiment: launched starting on July 2003, is aimed at a *real-time test* of CN and M8S predictions in Italy. Updated predictions are regularly posted at:**

**"[http://www.ictp.trieste.it/www\\_users/sand/prediction/prediction.htm](http://www.ictp.trieste.it/www_users/sand/prediction/prediction.htm)"**

**A complete archive of predictions is made accessible to a number of scientists, with the goal to accumulate a collection of correct and wrong predictions, that will permit to validate the considered methodology.**

**Current predictions are protected by password. Although these predictions are intermediate-term and by no means imply a "red alert", there is a legitimate concern about maintaining necessary confidentiality.**

## Intermediate-term middle-range earthquake prediction

### Space-time volume of alarm in **M8S** application in Italy

Experiment	M6.5+		M6.0+		M5.5+	
	Space-time volume, %	n/N	Space-time volume, %	n/N	Space-time volume, %	n/N
Retrospective (1972-2001)	36	2/2	40	1/2	39	9/14
Forward (2002-2009)	35	0/0	39	0/1	20	5/9
All together (1972-2009)	36	2/2	40	1/3	35	14/23

Algorithm **M8s** predicted **60%** of the events occurred in the monitored zones in Italy, i.e. **17** out of **28** events occurred within the area alerted for the corresponding magnitude range. The confidence level of M5.5+ predictions since 1972 has been estimated to be above 98%; no estimation is yet possible for other magnitude levels.

(updated to July 1 2009;  
next updating January 1 2010)

A complete archive of M8S predictions in Italy can be viewed at:  
[http://www.ictp.trieste.it/www\\_users/sand/prediction/prediction.htm](http://www.ictp.trieste.it/www_users/sand/prediction/prediction.htm)  
<http://www.mitp.ru/prediction.htm>

e-mail: [lina@mitp.ru](mailto:lina@mitp.ru)

## Intermediate-term middle-range earthquake prediction

### Space-time volume of alarm in **CN** application in Italy

Experiment	Space-time volume of alarm (%)	n/N	Confidence level (%)
Retrospective* (1954 – 1963)	41	3/3	93
Retrospective (1964 – 1997)	27	5/5	>99
Forward (1998 – 2009)	27	4/6	95
All together (1954 – 2009)	29	12/14	>99

\* Central and Southern regions only

Algorithm **CN** predicted **12** out of the **14** strong earthquakes occurred in the monitored zones of Italy, with less than **30%** of the considered space-time volume occupied by alarms.

(updated to September 1 2009;  
next updating November 1 2009)

A complete archive of CN predictions in Italy can be viewed at:  
[http://www.ictp.trieste.it/www\\_users/sand/prediction/prediction.htm](http://www.ictp.trieste.it/www_users/sand/prediction/prediction.htm)

e-mail: [aperesan@units.it](mailto:aperesan@units.it)

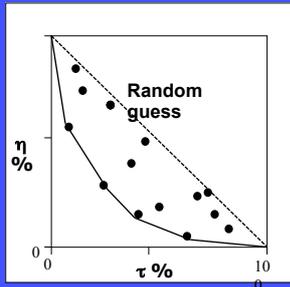
# Intermediate-term middle-range earthquake prediction

## Evaluation of prediction results

The quality of prediction results can be characterised by using two prediction parameters (Molchan, 1997) :

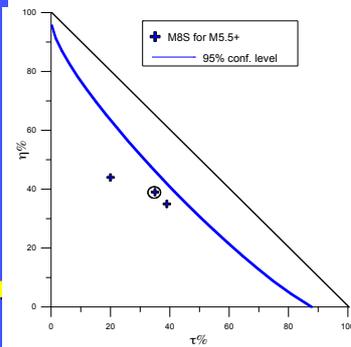
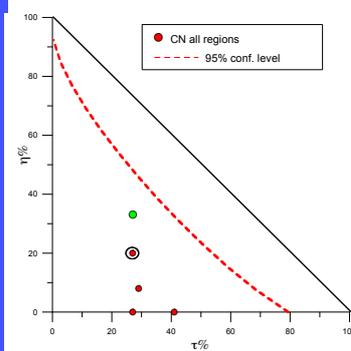
$\eta$  : the rate of failures-to-predict ( $n/N$ )

$\tau$  : the space-time volume of alarm

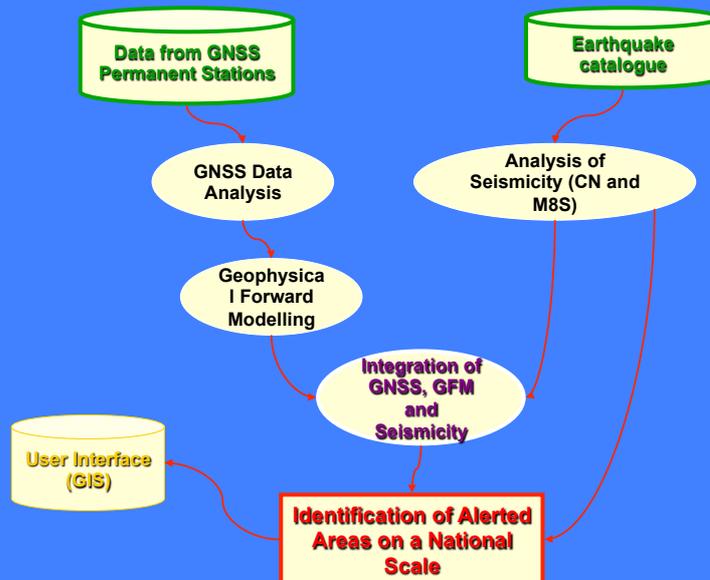


**CN and M8S predictions in Ital**

Updated to Spetember 1 2009 (next updating November 1 2009)

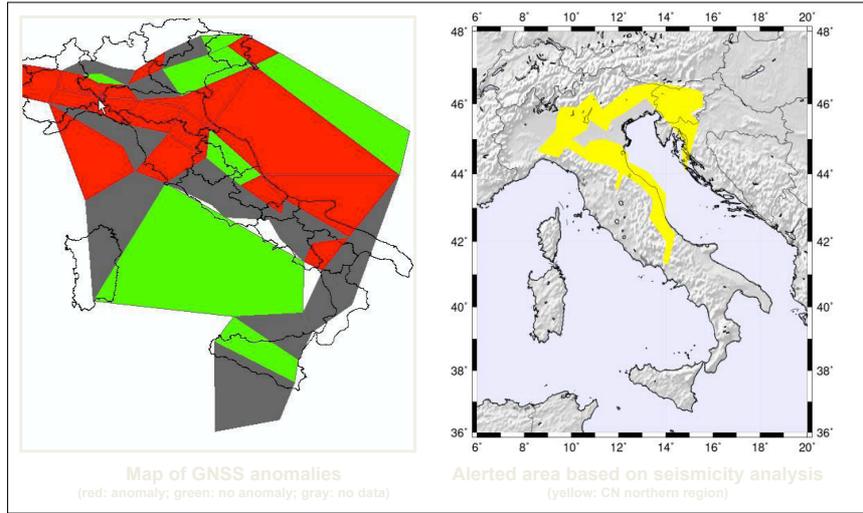


## SISMA: National scale alert



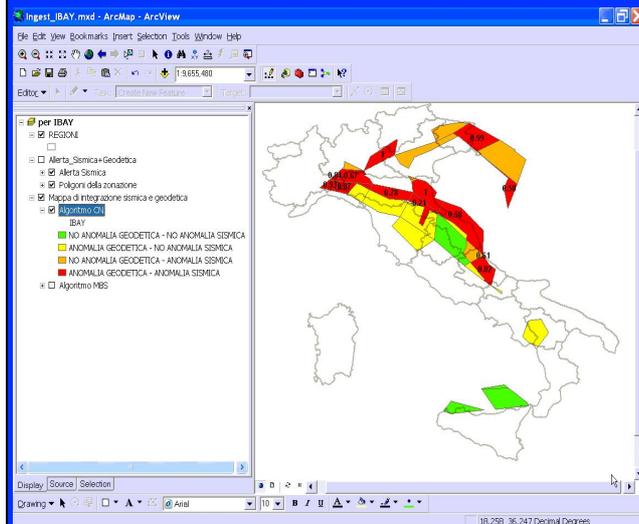
## Alerted Areas at National scale

Integrating information from GNSS anomalies identified at national scale and alerted areas identified by the pattern recognition analysis of seismicity



## Alerted Areas at National scale

### Integrated map of alerted areas



- First fully formalized system for the **joint analysis of strain field and seismic stress release**

- Well controlled **prospective testing and validation** of the proposed methodologies over the Italian territory.

- Operational GIS interface:** maps routinely updated and delivered to the Civil Defence every two months

## **Morphostructural zonation and pattern recognition of earthquake prone areas**

### **Morphostructural zonation and pattern recognition of earthquake prone areas**

- The Morphostructural Zonation method, MSZ (*Alekseevskaya et al., 1977*), allows to identify, **independently from earthquake catalogues information**, the sites where strong earthquakes are likely to occur.

## Pattern Recognition of Earthquake Prone areas

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- Pattern recognition technique is used to identify, **independently from seismicity information** the sites where strong earthquakes are likely to occur
- **Assumption:** strong events nucleate at the **nodes** specific structures that are formed around intersections of fault zones.

## Pattern Recognition of Earthquake Prone areas

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The **nodes** are defined by the  
**Morphostructural Zonation Method**

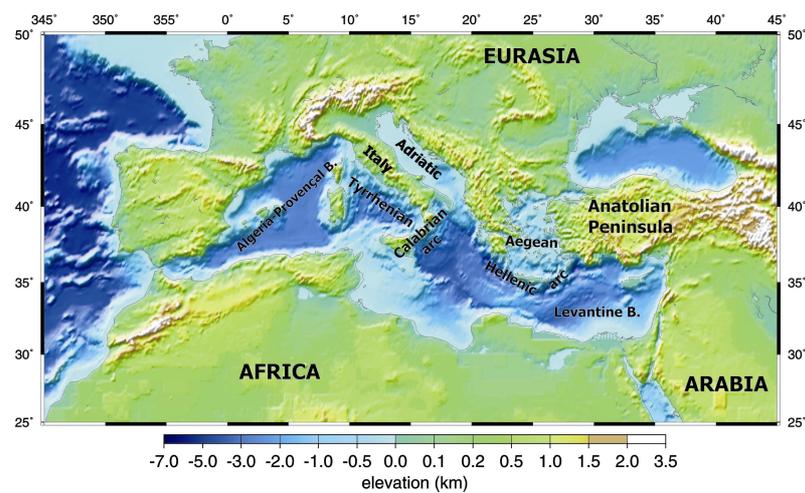


delineates a hierarchical block structure  
of the studied region, based on:

- topography
- tectonic data
- geological data

## Pattern Recognition of Earthquake Prone areas

This approach has been applied to many regions of the world. The predictions made in the last 3 decades have been followed by many events (~ **85% of the total**) that occurred in some of the nodes previously recognized to be the potential sites for the occurrence of strong events.



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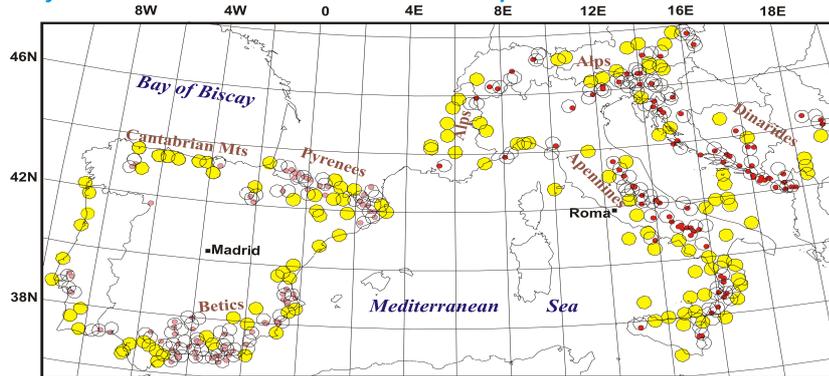
## Recognition of nodes where strong earthquakes may nucleate in the Mediterranean area

Target magnitudes:  $M \geq 6.0$  - Alps, Apennines and Dinarides  
 $M \geq 5.0$  - Iberia

*circles show earthquake-prone nodes*

*dots mark target earthquakes*

*yellow marks the nodes where such earthquakes are still unknown*



### References

Gorshkov A.I., Panza G.F., Soloviev A.A. & Aoudia A. (2002). Morphostructural zoning and preliminary recognition of seismogenic nodes around the Adria margin in peninsular Italy and Sicily. *JSEE: Spring 2002*, 4, No.1, 1-24.

Gorshkov A.I., Panza G.F., Soloviev A.A., Aoudia A. (2004). Identification of seismogenic nodes in the Alps and Dinarides. *Boll.Soc.Geol.Ital.* 123, 3-18.

## Multiscale Neo-deterministic Hazard Scenarios

### Regional seismic hazard scenarios (ground motion at bedrock)

- Scenarios associated to alerted CN and M8S regions (+ time)
- Scenarios associated to seismogenic nodes

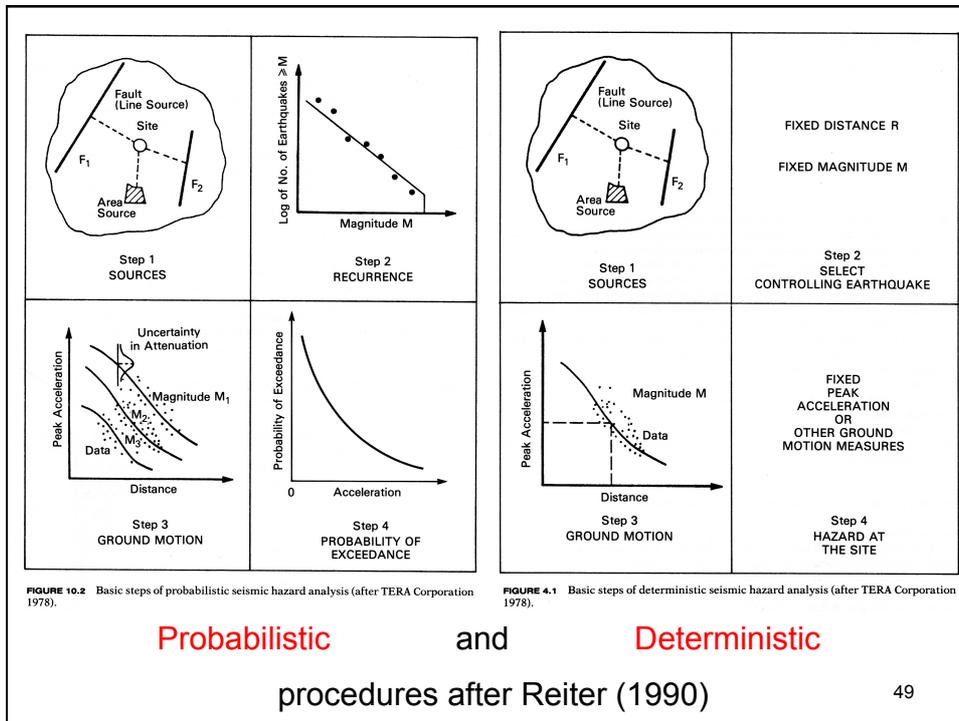
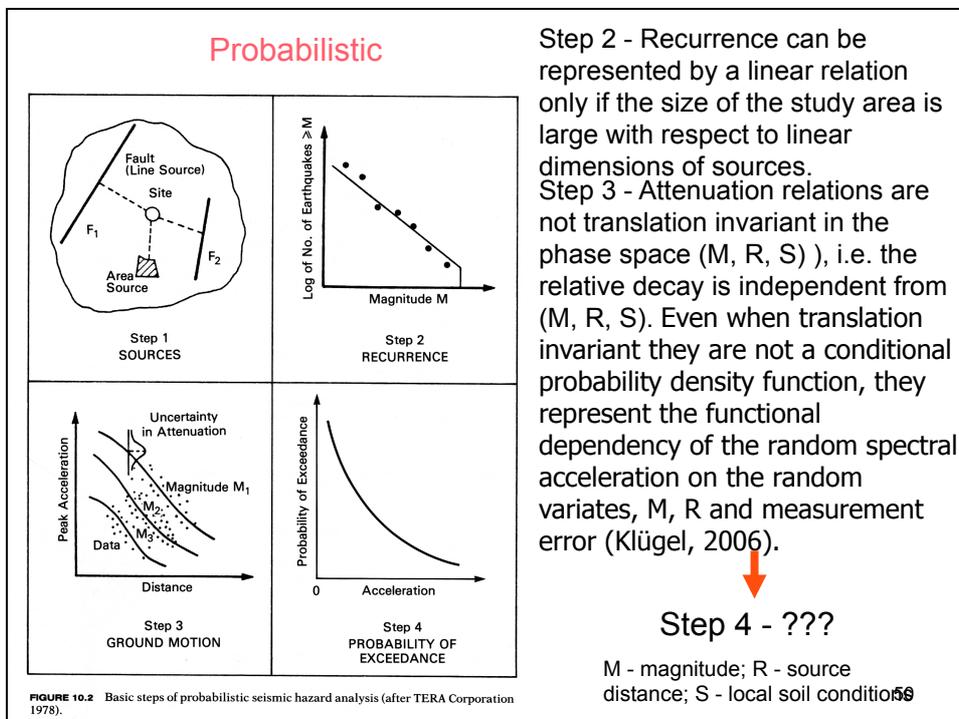
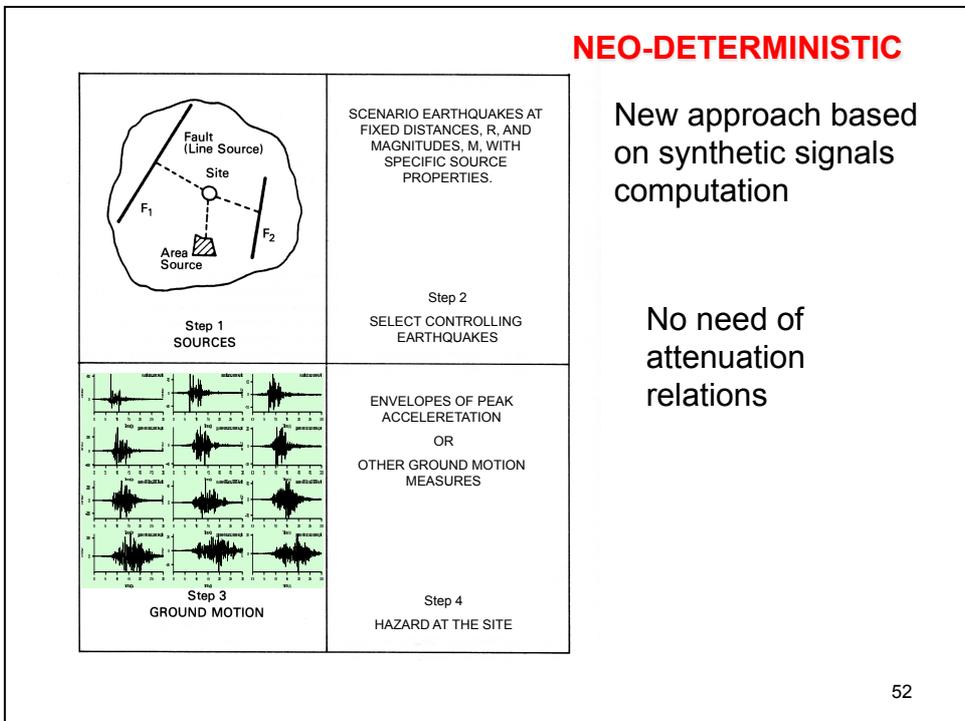
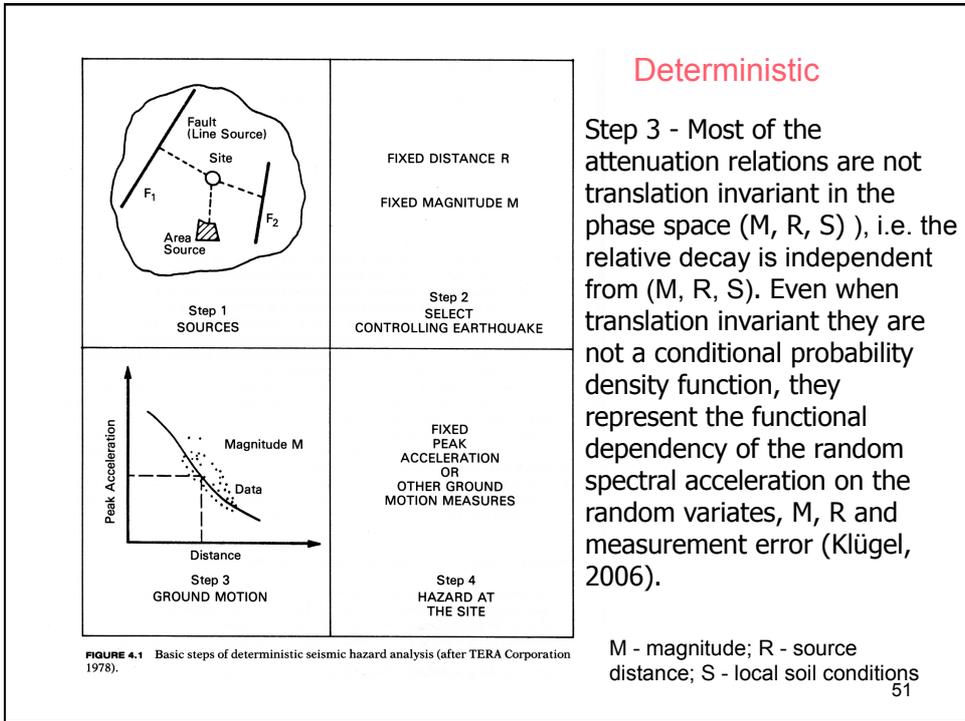
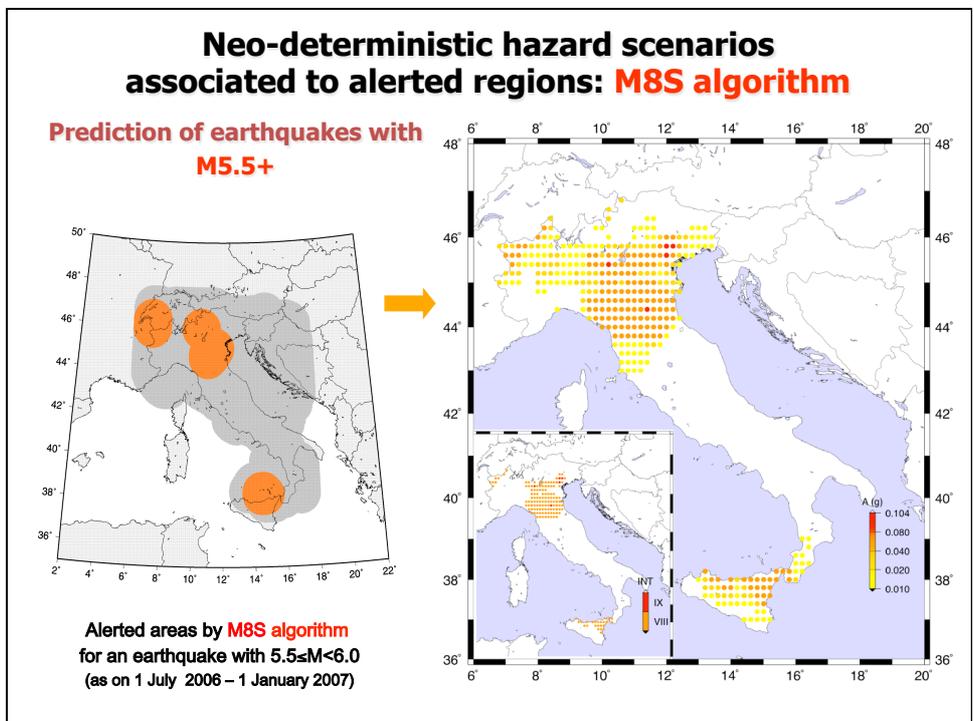
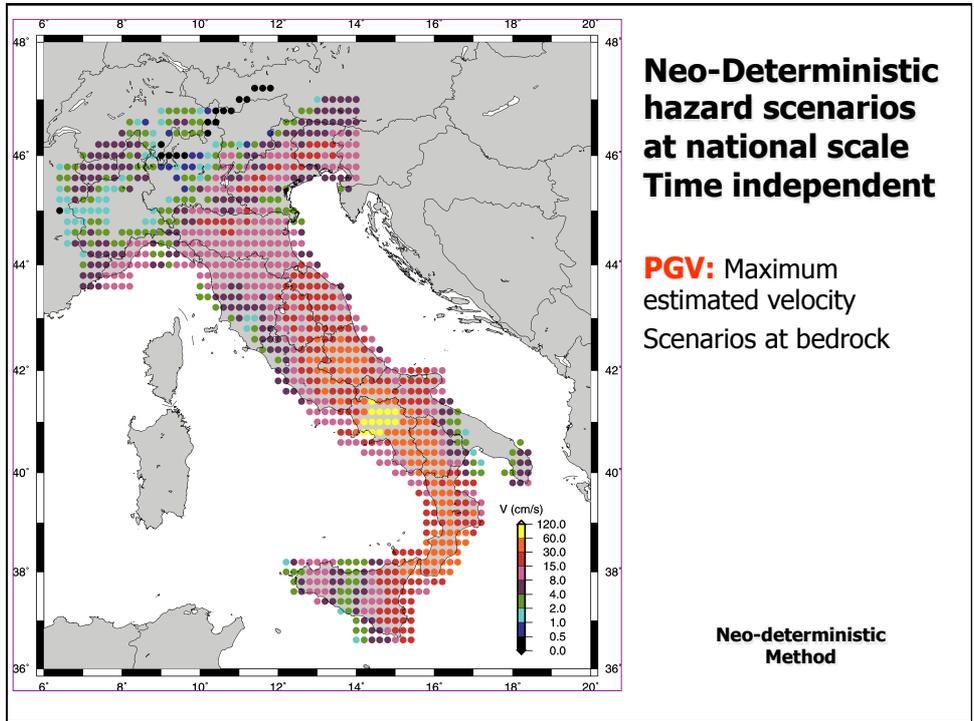


FIGURE 10.2 Basic steps of probabilistic seismic hazard analysis (after TERA Corporation 1978).

FIGURE 4.1 Basic steps of deterministic seismic hazard analysis (after TERA Corporation 1978).

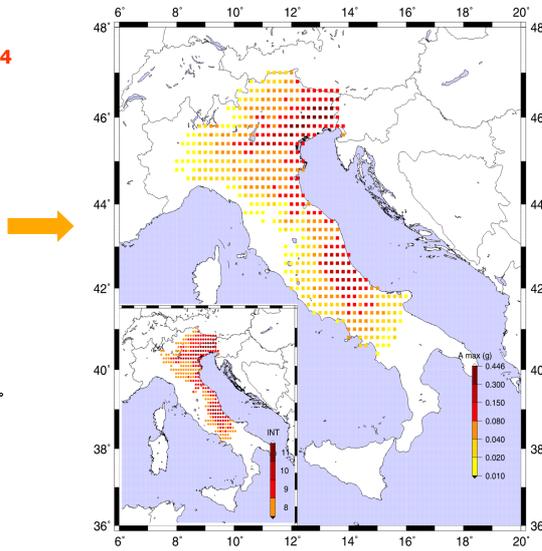
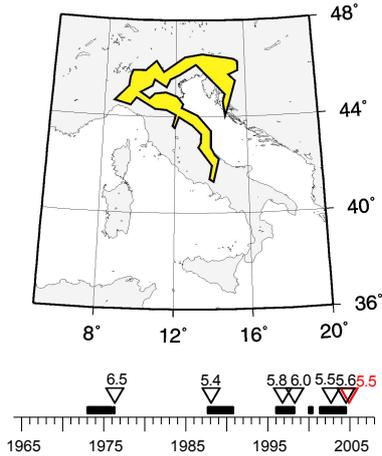






## Neo-deterministic hazard scenarios associated to alerted regions: **CN algorithm**

### Northern Region Prediction of earthquakes with $M \geq 5.4$

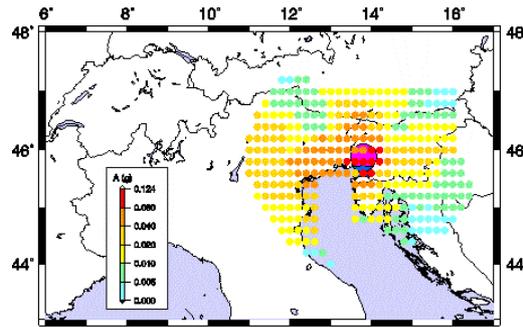
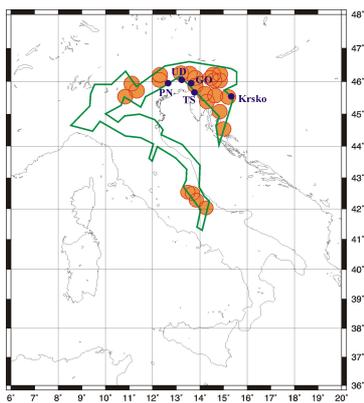


## Scenario associated to earthquake prone nodes Example: node determining the maximum ground motion in the city of

### Trieste

corresponding to an earthquake with  $M=6.5$   
(compatible with seismic history and seismotectonics)

### $M \geq 6.5$



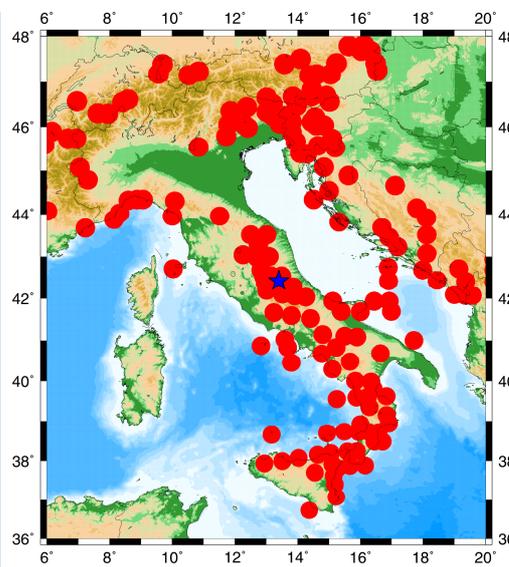
PGD (cm)	PGV (cm/s)	DGA (g)	$I_{max}$ computed	$I_{max}$ observed
			ING	ISG
2.0 – 3.5	4.0 – 8.0	0.08-0.15	IX	VIII
				VII

Peak Ground Displacement (PGD), Peak Ground Velocity (PGV), Design Ground Acceleration (DGA) and maximum computed intensity ( $I_{max}$  computed), estimated using the conversion tables proposed by Panza et al. (2001). The observed intensity in the city of Trieste is the same in the ING and ISG data sets.

## *April 6, 2009 L'Aquila earthquake*

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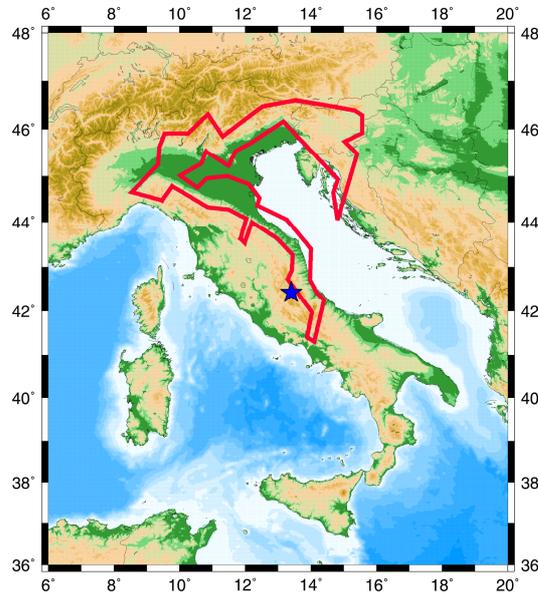
The epicenter (★) of L'Aquila earthquake of April 6, 2009 is within an area (red circles) previously identified as capable of earthquakes with  $M \geq 6.0$ , by pattern recognition analysis of morfostructural zonation (MSZ).



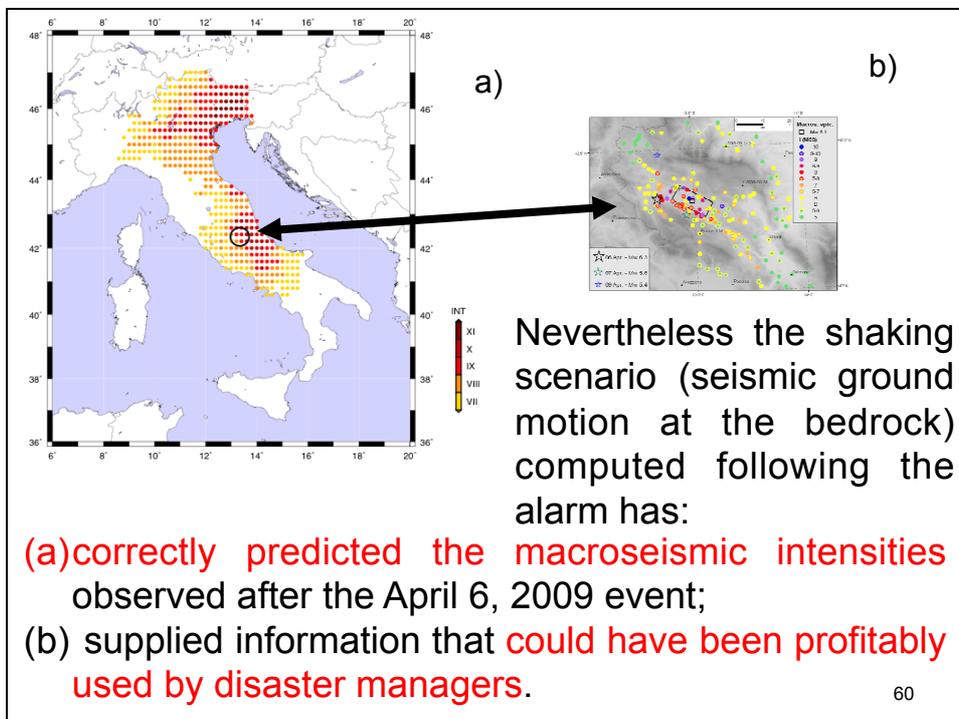
58

On April 6, 2009 a CN alarm was active in the region delineated by the red line; The epicenter of the earthquake falls just outside (about 10 km) the alarmed region.

The earthquake occurred outside of the areas alarmed either by CN or M8S: thus **formally** it is **a failure to predict**.



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Nevertheless the shaking scenario (seismic ground motion at the bedrock) computed following the alarm has:

- (a) correctly predicted the macroseismic intensities observed after the April 6, 2009 event;
- (b) supplied information that could have been profitably used by disaster managers.

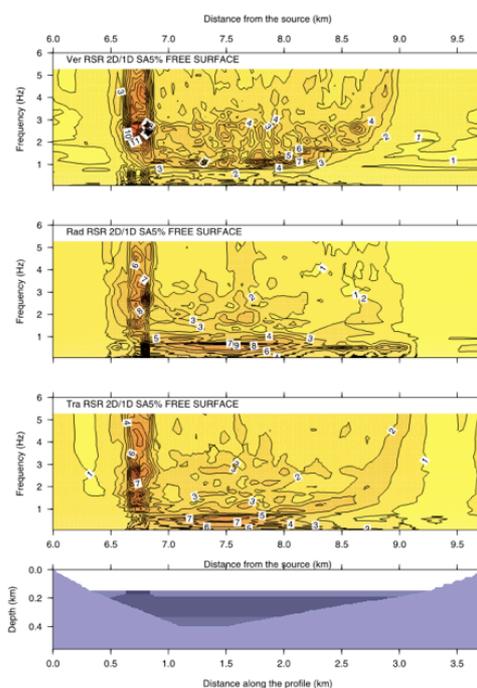
60

# Multiscale Neo-deterministic Hazard Scenarios

Detailed scenarios of ground motion including local site effects

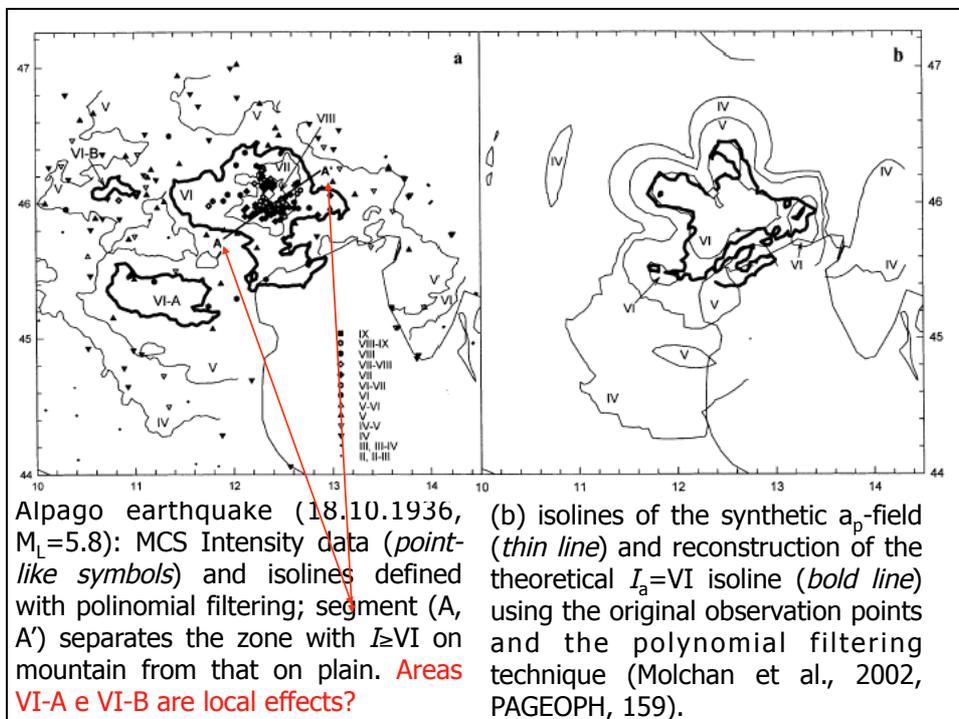
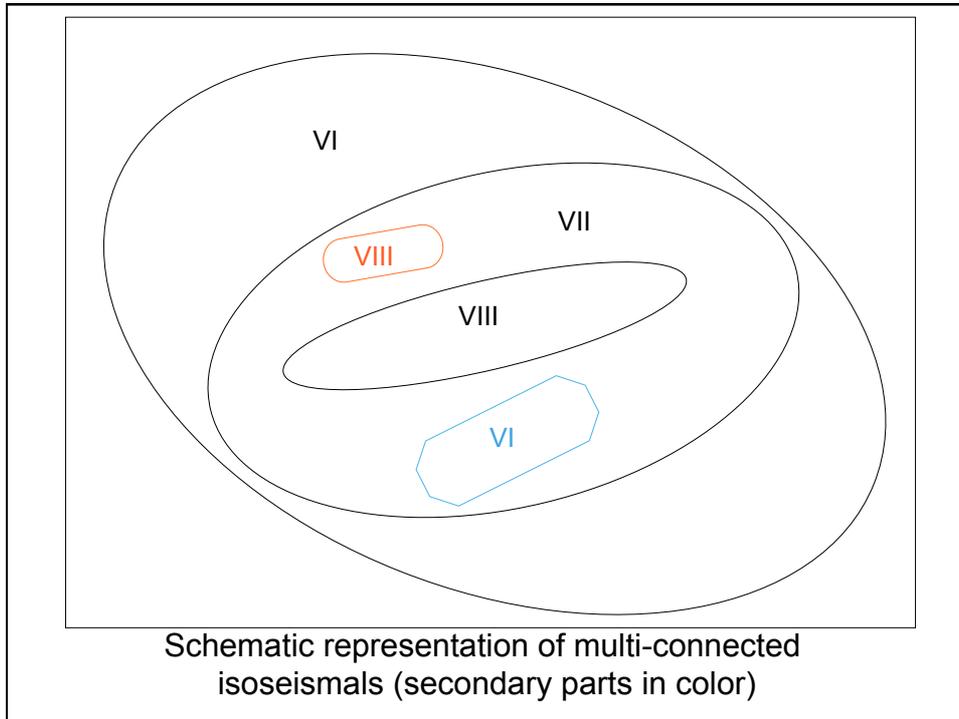
Modellazioni, basate su di un profilo disponibile in letteratura, mostrano amplificazioni del moto del suolo anche di 10 volte in corrispondenza dei sedimenti alluvionali del fiume Aterno.

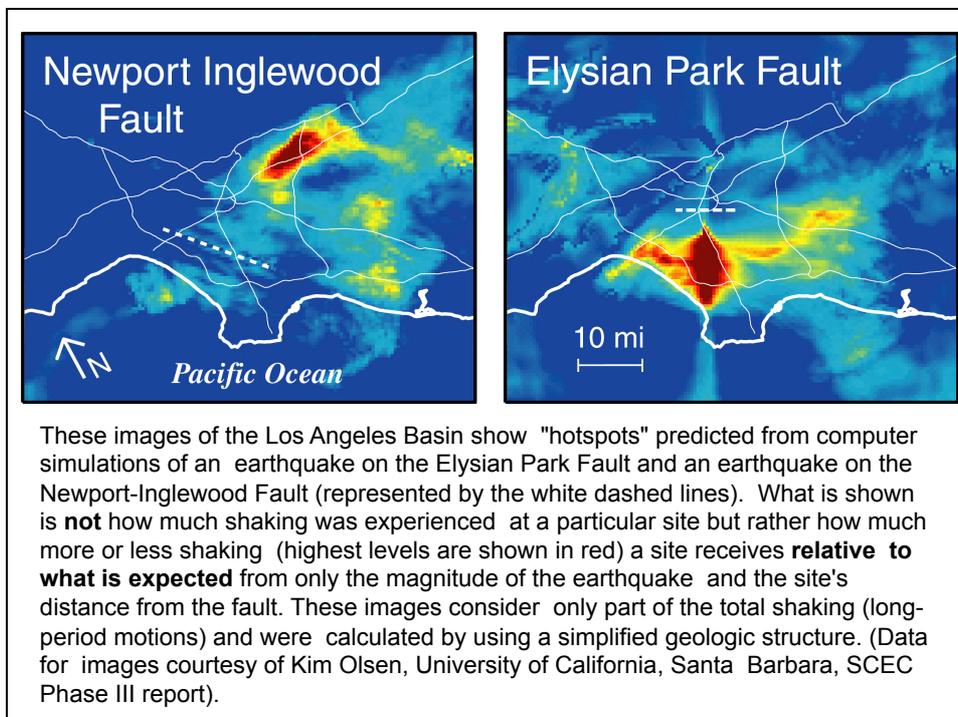
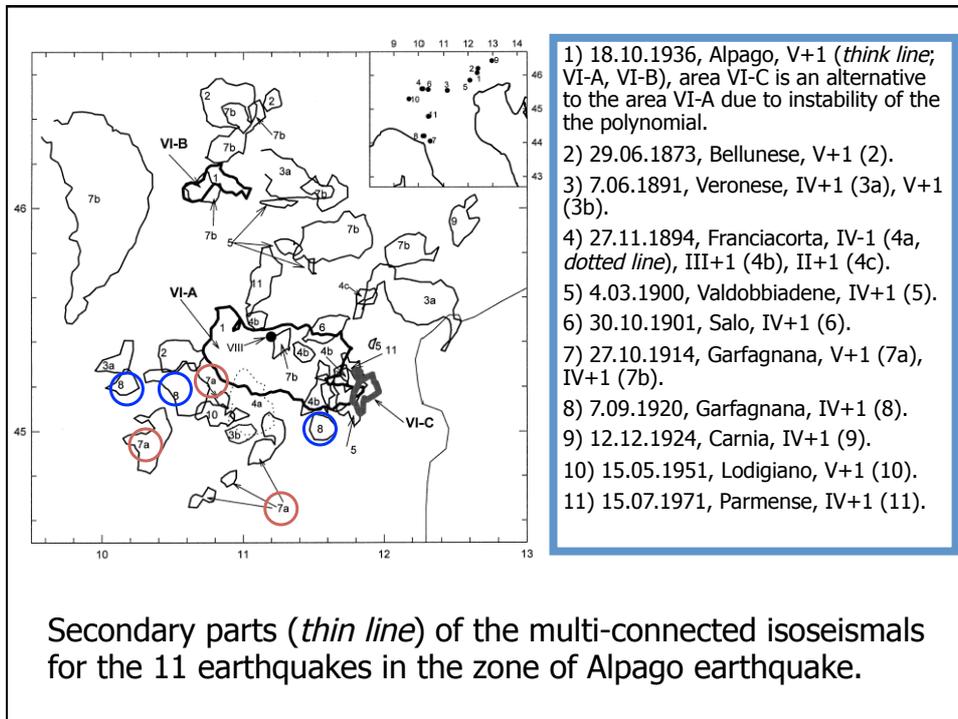
Le amplificazioni si manifestano in un ampio intervallo spettrale, ponendo quindi a rischio varie classi di edifici, e spiegano, almeno in parte, la distribuzione a macchia di leopardo dei danni.

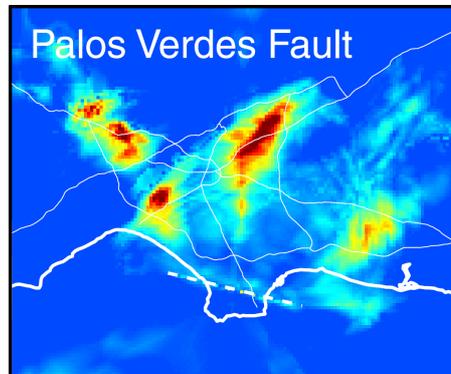
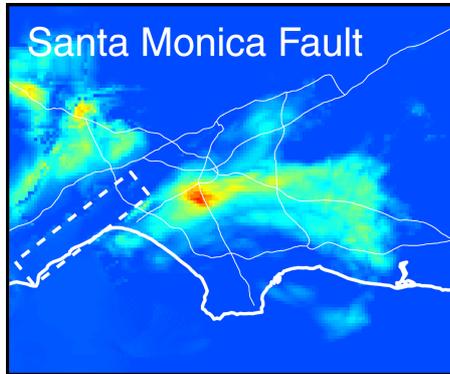


**Effects of local soil  
conditions**

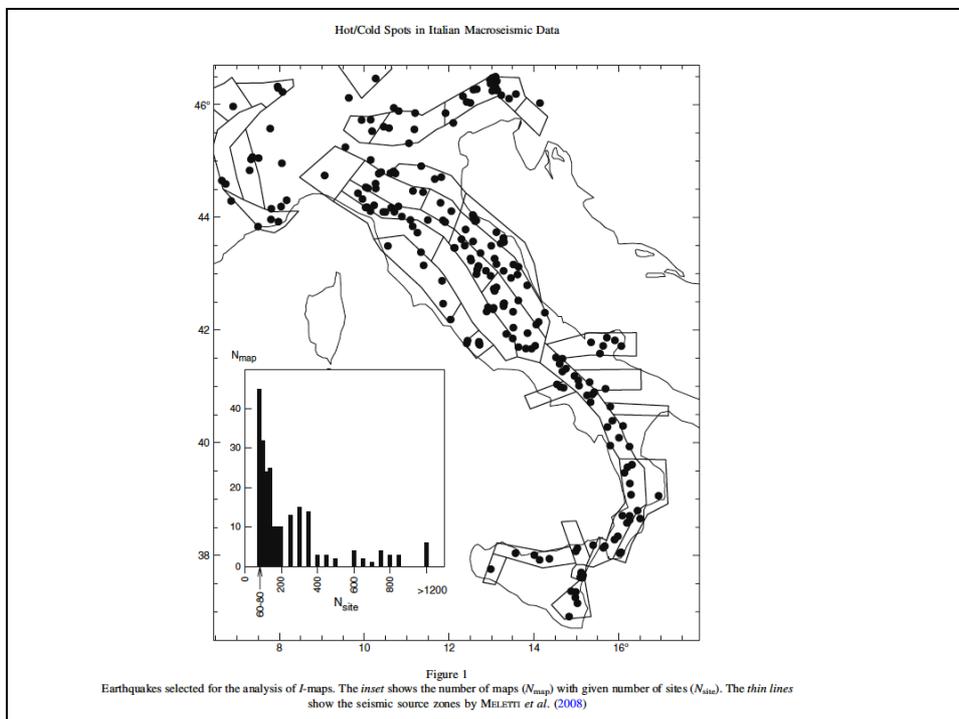
**Isoseismals shape**







"hotspots" predicted from computer simulations of an earthquake on the Santa Monica Fault and an earthquake on the Palos Verdes Fault (represented by the white dashed lines). SCEC Phase III report, Field, 2000, BSSA, see also <http://www.scec.org/phase3/>



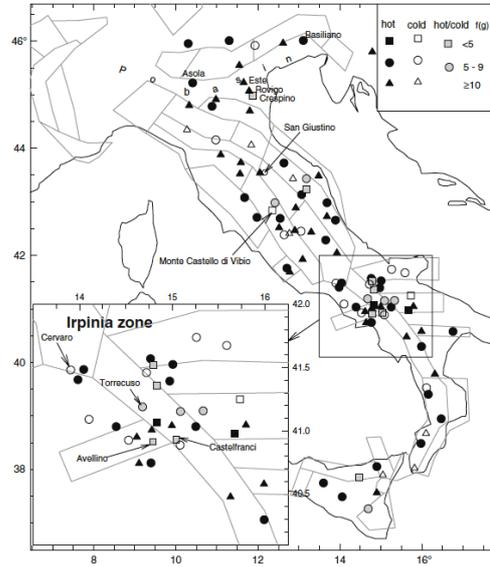


Figure 4 Anomalous sites of three types (hot, cold, hot/cold) and their characteristic  $f(g)$ ; the thin lines show the seismic source zones by MELIOTTI et al. (2008)

Hot/Cold Sites in Italian Macroseismic Data

Table 1  
Anomalous sites and their characteristics

#	Site $f_0$ (province)	Coordinates		Type $f(g)$	$f(g) = N_{max}/N_0$	$fMap^*$	EQ date	$t_0$	Dist	$R_{(g)}$	$\Delta R_{(g)}$
		Lat	Long								
1	Accadia (FG)	41.58	15.33	+	142	1990.05.05	7	49	4.5	+	1
2	Aquapendente (TR)	42.90	12.56	+	102	1984.05.07	8	137	5	-	1
3	Alatrinella Irpina (AV)	41.06	14.77	+	101	1984.05.07	8	130	5	+	1
4	Anglioni (AR)	43.36	12.04	+	131	1920.09.07	9.5	175	5	+	1
5	Adamo Irpina (AV)	41.53	12.08	+	101	1456.12.05	11	32	4.5	+	1
6	Ausili (MC)	43.23	10.43	+	102	1920.09.07	9.5	132	5.5	+	1
7	Ausili (TV)	43.80	14.79	+	121	1987.05.02	6	137	4	+	1
8	Avellino (AV)	40.84	14.79	+	148	1723.11.29	9.5	26	9	+	1
9	Bagnoli (FC)	39.08	13.61	-	131	1889.12.08	7	128	2.5	-	1
10	Baioli (AV)	40.93	14.61	+	110	1930.06.07	9	60	7	-	1
11	Banisci (SA)	40.76	14.77	+	91	1930.06.07	9	54	7	+	1
12	Basilice (BN)	41.39	14.97	+	91	1980.05.26	7	118	4.5	+	1
13	Battuliano (CB)	40.03	13.09	+	81	1988.02.01	6	27	5.5	+	1
14	Bene (BS)	45.56	10.03	+	91	1920.03.18	9	161	6	+	1
15	Brienza (FR)	40.78	13.62	+	101	1900.09.08	11	210	6	+	1
16	Cabinata (CL)	37.48	14.07	+	81	1980.12.13	2.5	89	5.5	+	1
17	Camerota (MC)	41.33	13.06	+	283	1980.11.23	9.5	338	5	+	1
18	Campit (TE)	42.76	13.66	+	131	1984.05.11	7	189	4	+	1
19	Candela (FG)	42.46	12.94	+	131	1984.05.07	8	128	2	+	1
20	Capri (ME)	37.88	14.47	+	91	1987.07.03	7	87	2	+	1
21	Capri (MO)	44.78	10.85	+	132	1900.09.08	11	157	6	-	1
22	Canosa allo Ionio (CS)	39.78	16.37	+	101	1930.09.07	9.5	77	6	+	1
23	Cast. Giorgio (TR)	42.78	11.97	+	71	1990.06.05	6	49	5	+	1
24	Castellana (AV)	40.93	15.04	+	81	1980.05.05	7.0	37	6.5	-	1.5
25	Cerretignone (CB)	41.46	14.72	+	91	1990.05.26	6.5	76	1	-	2
26	Cesena (FR)	41.48	13.94	+	81	1688.06.05	11	21	6	-	1
27	Collagna (RE)	44.47	10.25	+	101	1915.02.13	11	79	5	-	1.5
28	Colle Sanna (BN)	41.56	14.83	+	132	1920.09.07	9.5	175	7	+	1
29	Concozzo sulla Secchia (MO)	44.94	10.81	+	102	1984.05.11	7	83	6	+	1
30	Conigliano (RE)	42.41	12.36	-	141	1891.06.07	9	72	6	+	1
31	Conigliano (AR)	42.83	13.68	+	71	1971.02.15	7.5	46	7	+	1
32	Campio (BO)	44.92	11.85	+	71	1979.09.19	8	39	4.5	-	1
33	Davina (PG)	42.92	12.04	+	142	1915.02.13	11	106	7.5	+	1
34	Fiav (FR)	42.52	13.66	+	151	1987.05.02	6	93	5	+	1
35	Gianna (TA)	40.78	16.58	+	71	1980.03.30	8.5	93	5	+	1
36	Granarola (CT)	41.09	14.02	+	81	1986.03.26	6.5	57	4	-	1
37	Iola (CB)	41.58	14.76	+	81	1915.02.13	11	129	6.5	-	1.5
						1980.11.23	9.5	90	4	+	1

Table 1 continued

#	Site $f_0$ (province)	Coordinates		Type $f(g)$	$f(g) = N_{max}/N_0$	$fMap^*$	EQ date	$t_0$	Dist	$R_{(g)}$	$\Delta R_{(g)}$
		Lat	Long								
38	Lavello (PZ)	41.06	15.75	+	101	1857.12.16	10.5	75	4	+	1
39	Lecore Frilli (PA)	37.74	13.63	+	71	1906.12.23	11	180	7	+	1
40	Levico Terme (TN)	46.01	11.30	+	81	1975.12.13	7	38	6	+	1
41	Marciano (CT)	41.03	14.95	+	71	1915.01.13	11	139	7	+	1
42	Miglianico (BS)	42.45	13.04	-	91	1915.01.13	11	63	5	-	1
43	Miglianico (CT)	38.47	16.47	+	71	1990.05.05	7	237	4	+	1
44	Migiano Monte Largo (CR)	41.64	13.95	+	81	1980.11.23	9.5	132	7	+	1
45	Miano (CT)	37.36	14.81	+	102	1900.09.08	11	186	3	-	1.5
46	Mirabella Elicona (AV)	41.02	14.96	+	131	1980.01.23	5.5	43	2	-	1
47	Moio Alcantara (ME)	37.89	15.01	+	110	1900.09.08	11	177	3	-	1
						1980.12.26	11	57	5	-	1
						1975.01.16	7	53	1	-	1
						1988.12.28	11	152	5	-	1
						1990.05.05	7	174	5.5	+1.5	
						1991.06.05	6	39	1	-	1
						1997.09.26	9	45	4	-	1
48	Marganuto (CB)	39.26	16.11	-	71	1988.03.27	11	43	6.5	-	1
49	Monte U. Elio (CB)	39.40	16.15	+	91	1900.05.05	7	174	5.5	+1.5	
50	Monte Castello di Vibio (PG)	42.84	12.32	-	81	1991.06.05	6	39	1	-	1
51	Moradromo, (VV) Vibo Valentia	38.75	16.02	-	101	1988.03.27	11	43	6.5	-	1
52	Mottosano (PG)	42.97	15.91	+	142	1900.09.08	11	181	6	+	1
53	Muravichli (AR)	43.23	11.38	+	181	1988.11.23	9.5	95	7	+	1
54	Narsi (TR)	42.57	12.51	+	191	1915.01.13	11	246	6	+2	
55	Nocera Inferiore (SA)	40.74	14.62	+	131	1990.08.15	6	67	4	+	1
56	Nola (NA)	40.26	14.59	-	132	1991.05.26	6.5	100	4.5	+	1
						1688.06.05	11	27	5	-	1
						1991.05.26	6.5	117	1	-	1.5
57	Nocera (TE)	42.67	13.84	+	91	1981.05.07	8	112	6	+2	
58	Nocera (AV)	40.87	15.05	-	81	1930.07.23	10	25	3	-	1
59	Onano (AN)	43.85	13.83	+	231	174.06.24	9	39	8	+1	
60	Ortona (FR)	44.01	10.29	+	251	1889.10.03	4	35	3	+3	
61	Pannò (CT)	37.56	14.92	+	131	1900.09.08	11	151	6	+	1
62	Parsipoli (AR)	43.07	11.67	+	81	1991.06.05	6	81	3	+3	
63	Pizzoli (AQ)	42.35	13.03	+	110	1933.09.26	9	78	7	+	1
64	Pozzu (PG)	42.64	12.61	+	161	1975.05.06	8.5	46	8	+	1
65	Puzos (BO)	44.56	10.76	-	132	1900.11.23	9.5	74	3	-	1
66	Purmagliano (FE)	44.97	11.80	+	131	1920.09.07	9.5	60	4	+	1
67	Prato (PT)	43.79	11.06	+	231	1983.11.09	6.5	123	5	+	1
68	Quero (BL)	45.01	11.91	-	71	1900.04.14	8.5	169	4	-	1
69	Rasaja (ME)	38.05	14.91	+	71	1900.09.08	11	115	7	+	1
70	Rapella (FR)	40.76	15.05	+	71	1990.05.05	7	37	7	+	1
						1991.05.26	6.5	41	6.5	+	1
71	Rignano Garganico (FG)	41.75	15.97	-	81	1962.08.21	9	99	4	-	2
72	Rocca di Papa (RM)	41.90	12.71	+	132	1981.06.27	7.5	71	3	+	1
						1990.06.12	5.5	34	5	+	1
73	Rocca San Casciano (FC)	44.00	11.82	-	131	1990.09.07	8.5	131	1	-	2
74	Romano (RC)	38.47	15.97	+	91	1894.11.16	8.5	23	8	+	1
75	Rovigo (RO)	45.07	12.90	+	151	1981.11.09	6.5	114	6	+	1
76	San Marco la Capria (FG)	41.52	15.06	+	91	1990.09.30	6	52	5.5	+	1
77	San Martino Sanna (BN)	41.06	14.87	+	71	1895.07.26	10	60	8	+	1
						1990.05.05	7	65	4.5	+	1
78	San Paolo di Civitate (FG)	41.79	15.26	-	81	1980.11.23	9.5	101	4	-	1
79	San Pio delle Camere (AQ)	42.26	13.64	+	71	1990.05.05	7	242	4	+	1

Hot/Cold Spots in Italian Macroseismic Data

Table 1 continued

#	Site $g_0$ (province)	Coordinates		Type $f(g_0)$	$f(g) = N_{total}/N_i$	I-Map*				
		Lat	Long			EQ date	$I_0$	Dist	$f(g_0)$	$\Delta f(g_0)$
80	San Severino Marche (MC)	43.229	13.177	±	184	1972.11.26	7.5	44	4	-1
						1980.11.23	9.5	341	5	+1
						1987.07.03	7	40	6	+1
						1993.06.05	6	39	2	-1
81	Sassoferrato (AN)	43.434	12.858	-	22/1	1984.04.29	7	32	4	-1
						1987.07.03	7	59	5	+1
82	Sellano (PG)	42.888	12.926	-	20/1	1987.07.03	7	18	5.5	-1
83	Staffolo (AN)	43.432	13.186	±	12/2	1979.09.19	8	78	6	+1
84	Sturnarella (PG)	41.256	15.731	-	8/2	1913.10.04	7.5	96	1	-2.5
						1991.05.26	6.5	71	3	-1
85	Subiaco (RM)	41.925	13.095	+	19/1	1979.09.19	8	91	6	+1
86	Sulmona (AQ)	42.047	13.928	+	23/2	1933.09.26	9	18	8	+1
						1987.07.03	7	127	6	+2
87	Tom (CB)	41.570	14.766	-	7/1	1990.05.05	7	114	4.5	+1
88	Toreccano (BN)	41.189	14.679	±	10/2	1991.05.26	6.5	121	5	+2
						1990.05.05	7	82	1	-3
89	Trivigno (PZ)	40.580	15.990	+	10/1	1905.09.08	11	213	6	+1
90	Urbino (PU)	43.726	12.636	+	35/4	1873.03.12	7.5	78	6.5	+1
						1904.11.17	7	122	3	+2
						1907.01.23	5.5	154	3.5	+2
						1915.01.13	11	212	6	+1
91	Vacone (RI)	42.384	12.644	-	8/1	1979.09.19	8	47	4	-1
92	Vallata (AV)	41.034	15.253	+	8/1	1991.05.26	6.5	69	5.5	+1
93	Vallombrosa (FI)	43.731	11.588	+	10/1	1914.10.27	7	94	6	+1
94	Velletri (RM)	41.688	12.778	+	13/1	1990.05.05	7	255	3.5	+1.5
95	Venafrò (IS)	41.485	14.044	+	15/2	1997.03.19	6	65	3	+2
						1990.05.05	7	149	6.5	+1.5
96	Viterbo (VT)	45.549	11.549	+	14/1	1983.11.09	6.5	119	5	+1

\* EQ earthquake;  $I_0$  intensity at epicenter, dist distance from  $g_0$  in km

- The use of modelling is necessary because, contrary to the common practice, the so-called local site effects cannot be modelled by a convolutive method, since they can be **strongly dependent upon the properties of the seismic source.**

- The wide use of realistic synthetic time histories, **which model the waves propagation from source to site**, allows us to easily construct scenarios based on significant ground motion parameters (**acceleration, velocity and displacement**).

**WHY?**

## Formal problem

### **About convolutive/deconvolutive methods**

In the far field (and in the point source approximation, i.e. in the simplest possible case) the displacement (the seismogram) is:

$$u_k(t) = \sum_{ij} M_{ij}(t) * G_{ki,j}(t)$$

$k$ ,  $i$  and  $j$  are indices and  $,j$  means derivative,  $*$  means convolution,  $G$  is the Green's function and  $M_{ij}$  are moment tensor rate functions.

If we constrain the independence of  $M_{ij}$  and ask for a constant mechanism (even unconstrained one, i.e. the full moment tensor), i.e. if we impose the constraint

$$M_{ij}(t) = M_{ij} \cdot m(t)$$

the problem becomes **non-linear**.

In fact in the product  $M_{ij} \cdot m(t)$  on the right-hand side of:

$$u_k(t) = M_{ij} \cdot m(t) * G_{ki,j}(t)$$

both  $M_{ij}$  and  $m(t)$  are model parameters controlling source properties. There is no problems if the source force is a singlet.

In the frequency domain it may seem simpler because the above convolution is converted to pure multiplication:

$$u_k(\omega) = M_{ij}(\omega) \cdot G_{ki,j}(\omega)$$

and the equation is solved for each frequency separately. Within linearity we get  $M_{ij}(\omega)$  but to split the source time function and the mechanism again a non-linear constraint is needed, so the advantage of the frequency domain is fictitious only.

### Good news towards implementation - 1

An agreement has been renewed among the Abdus Salam International Centre for Theoretical Physics, **ICTP**, and the **Civil Defence** of the Friuli Venezia Giulia Region (NE Italy) for the practical implementation of the integrated neo-deterministic hazard procedure.

Routinely updated time dependent seismic hazard maps are made available to the Civil Defence (end user).



## **Good news towards implementation - 2**

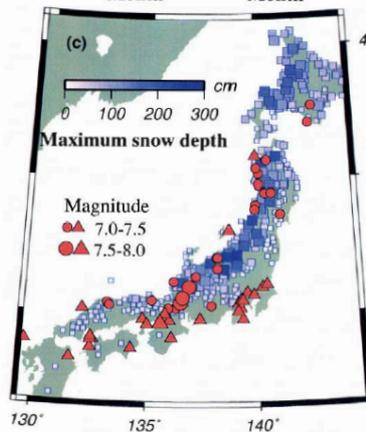
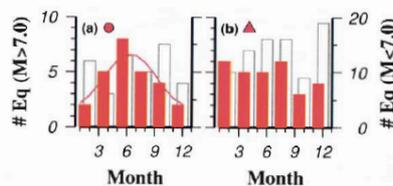
Resolution of Italian Parliament n. 8/00124, Legislatura 16, approved on 8 June 2011, Bollettino della Camera dei Deputati, n. 491, All. 5, pp. 388-393, that endorses the use of NDSHA as a validation tool of PSHA.



## **Glaciation and earthquakes**

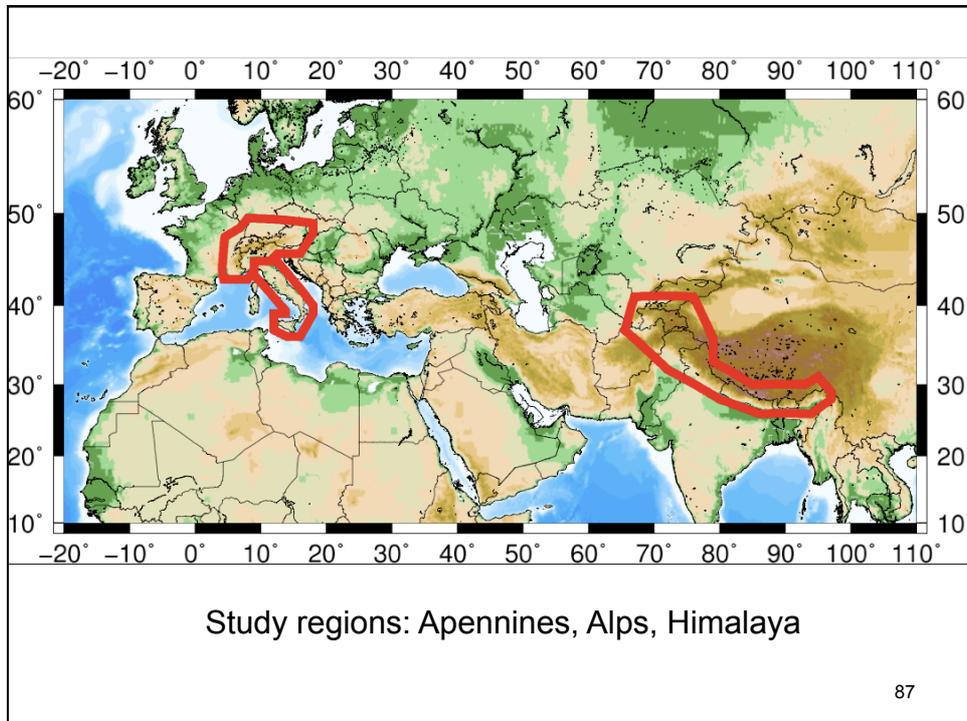
## Snow load effect on seismicity

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Number of earthquakes occurred in 2-month intervals, within (a) and outside (b) the snowy region. Red and white histograms show  $M \geq 7.0$  (left) and  $7.0 > M \geq 6.0$  (right) events, respectively. In (c) blue squares show maximum snow depths in a winter at AMeDAS stations (only points with snows deeper than 20.0 cm are shown). Epicenters of  $M \geq 7.0$  earthquakes are shown in (c) as circles (snowy region) and triangles (outside). Red curve in (a) is the best-fit probability density function of the earthquake occurrence based on the two-component (stationary and annual) model (Heki, EPSSL, 2003).

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Seismicity is quantified by means of  $N$  (number of events) and  $\Sigma$ .  $\Sigma$  is based on Benioff strain release (Benioff, 1951)  $S_i$ , computed for each earthquake  $i$  with magnitude  $M_i$ , and normalized to the strain  $S_{min}$  of the minimum magnitude  $M_{min}$  considered for the analysis, that is:

$$\Sigma = \sum_i \frac{S_i}{S_{min}} = \sum_i 10^{\frac{d}{2}(M_i - M_{min})}$$

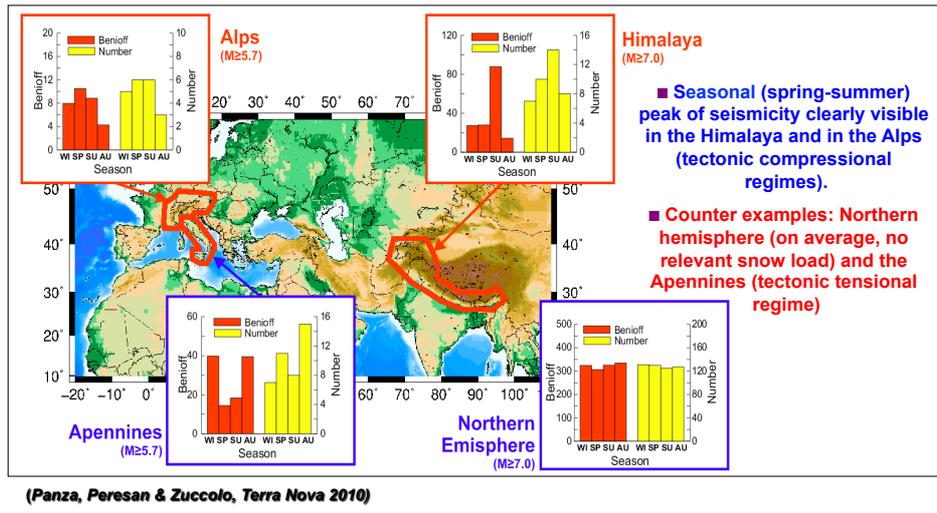
where for the constant  $d$  we use the value  $d=1.5$  given by Gutenberg and Richter (1956).

## Seasonal modulation of seismicity

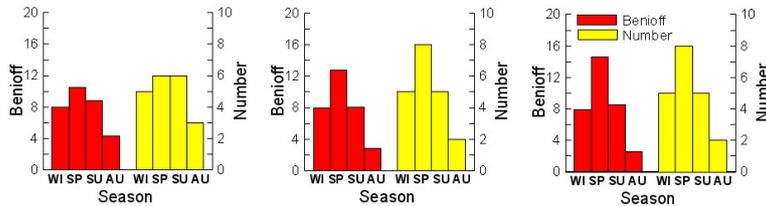
Tectonic forces responsible for mountain building in compressional regimes must overcome, among others, gravity



Possibility for competing effects of tectonic forces and the load due to snow and ice cover



### Alps M<sub>2.7</sub> (1850-2008)



### Apennines M<sub>2.7</sub> (1850-2008)

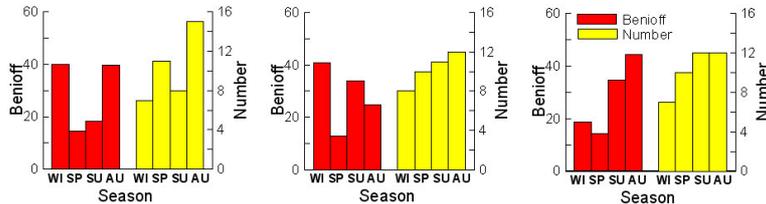
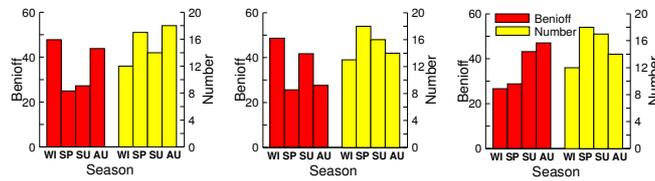


Figure 1 - Histograms of  $\Sigma$  and  $N$  for the crustal events which occurred in the b) Alps and d) Apennines. The three columns correspond to winter starting on 1 December, 15 December and 31 December, respectively.

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## ALPS + APENNINES



### b) CUMULATIVE – SHIFT IN APENNINES

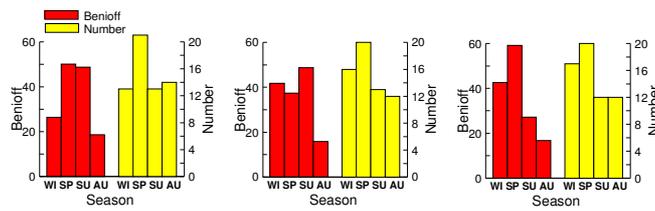


Figure 2 - Histograms of  $\Sigma$  and  $N$  for the crustal events, which occurred in the Alps and in the Apennines. The three columns correspond to winter starting on 1 December, 15 December and 31 December, respectively.

Values correspond, in each column, to the average sum of  $\Sigma$  and  $N$  from Alps and Apennines, as given in Fig. 1. The values are computed: a) without seasonal shift between Alps and Apennines; b) with seasonal shift in the Apennines, so as the following intervals are summed up:

Alps	SU	AU	WI	SP
Apennines:	WI	SP	SU	AU

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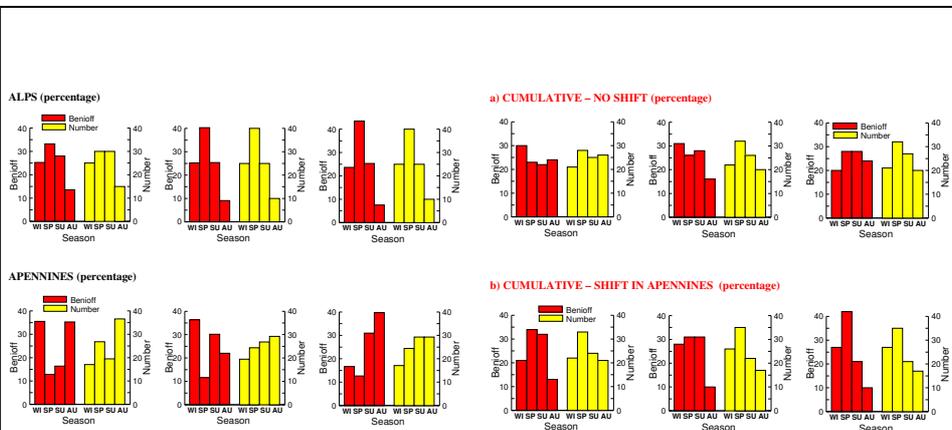


Figure 3 - Histograms of  $\Sigma$  and  $N$  for the crustal events which occurred in the b) Alps and d) Apennines. The three columns correspond to winter starting on 1 December, 15 December and 31 December, respectively. Values are expressed in percentage of the total  $\Sigma$  and  $N$  during the year.

Figure 4 - Histograms of  $\Sigma$  and  $N$  for the crustal events, which occurred in the Alps and in the Apennines. The three columns correspond to winter starting on 1 December, 15 December and 31 December, respectively.

Values correspond, in each column, to the average (sum/2) of the percentages from Alps and Apennines, as given in Fig. 2. The values are computed: a) without seasonal shift between Alps and Apennines; b) with seasonal shift in the Apennines, so as the following intervals are summed up:

Alps	SU	AU	WI	SP
Apennines:	WI	SP	SU	AU

Normalized quantities are used to give the same weight to Alps and Apennines seismicity

# Effect of past temperatures and climate changes on seismicity

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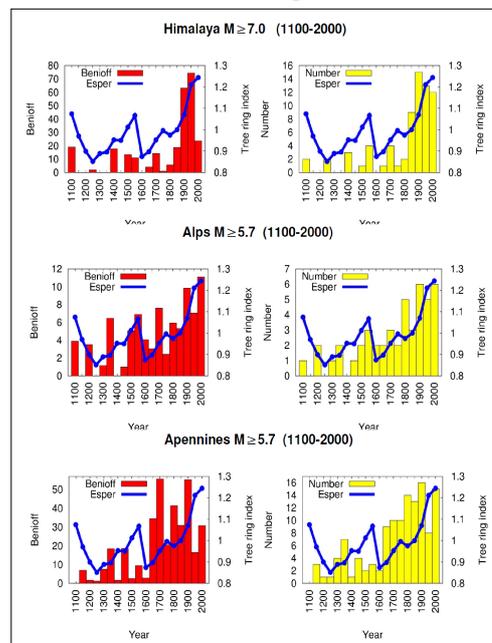
## Secular modulation of seismicity

■ A significant secular modulation of seismicity is detected in the Himalaya and the Alps, two regions characterized by present day mountain building and relevant glaciers retreat.

■ Seismicity modulation can be correlated with surface atmosphere temperature changes in Northern hemisphere over the last ten centuries.

Histograms of seismicity and average surface atmosphere temperature variation in the Northern hemisphere (Esper et al., 2002).

(Panza, Peresan & Zuccolo, Terra Nova 2010)



**Quantitative estimate of the statistical significance of the correlation between seismicity and temperature variation.**

Spearman correlation coefficient between seismicity ( $\Sigma$  and N) and average surface atmosphere temperature estimated for different time intervals. The confidence level is  $\geq 95\%$  (p-value, given in parenthesis, is  $\leq 0.05$ ) in the Alps and Himalaya.

Region	$\Sigma$ since 1100	N since 1100	$\Sigma$ since 1500	N since 1500
Himalaya	0.79 (<0.01)	0.69 (<0.01)	0.79 (0.01)	0.78 (0.01)
Alps	0.51 (0.03)	0.49 (0.03)	0.66 (0.03)	0.60 (0.05)
Apennines	0.20 (0.41)	0.29 (0.24)	-0.14 (0.69)	0.21 (0.54)

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## Conclusions

- The neo-deterministic seismic hazard procedure makes it possible the combined use of wide geophysical and geological data sets, knowledge of the physical process of earthquake generation and wave propagation in realistic anelastic media, and does not need to rely only on macroseismic observations, the key basis for most earthquake catalogues.**

## Conclusions

- **The neo-deterministic hazard assessment and the recognition of earthquake prone areas procedures are especially useful as a mean of prevention in areas where historical and instrumental information is scarce.**

## Conclusions

- **The seismic input (complete seismograms) provided by the realistic modeling of ground motion permits the engineering non-linear dynamic analysis of relevant structures (e.g. bridges, hospitals, dams) (*Field et al., 2000*).**

## Conclusions

- Fully formalized algorithms for intermediate-term middle range earthquake predictions are currently available for the routine monitoring of seismicity. The **real-time monitoring of seismic flow** allows for the forward testing of CN and M8S predictions.

## Conclusions

- Pattern recognition techniques, earth observations and neo-deterministic seismic hazard procedures can be integrated, blending together the available information in a set of time-dependent neo-deterministic **scenarios of ground motion** regional and local scale.

## Conclusions

- **One of the advantages of the proposed approach consists in the **time information** provided by intermediate-term predictions, that supply decision makers an objective tool indicating priorities for timely mitigation actions (e.g. retrofiting of critical structures).**

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THE END

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