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International Centre for Theoretical Physics**



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Zooming in an excitonic solar cell: An ab initio investigation of the optically active site

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ZOOMING IN AN EXCITONIC SOLAR CELL: AN AB INITIO INVESTIGATION OF THE OPTICALLY ACTIVE SITE

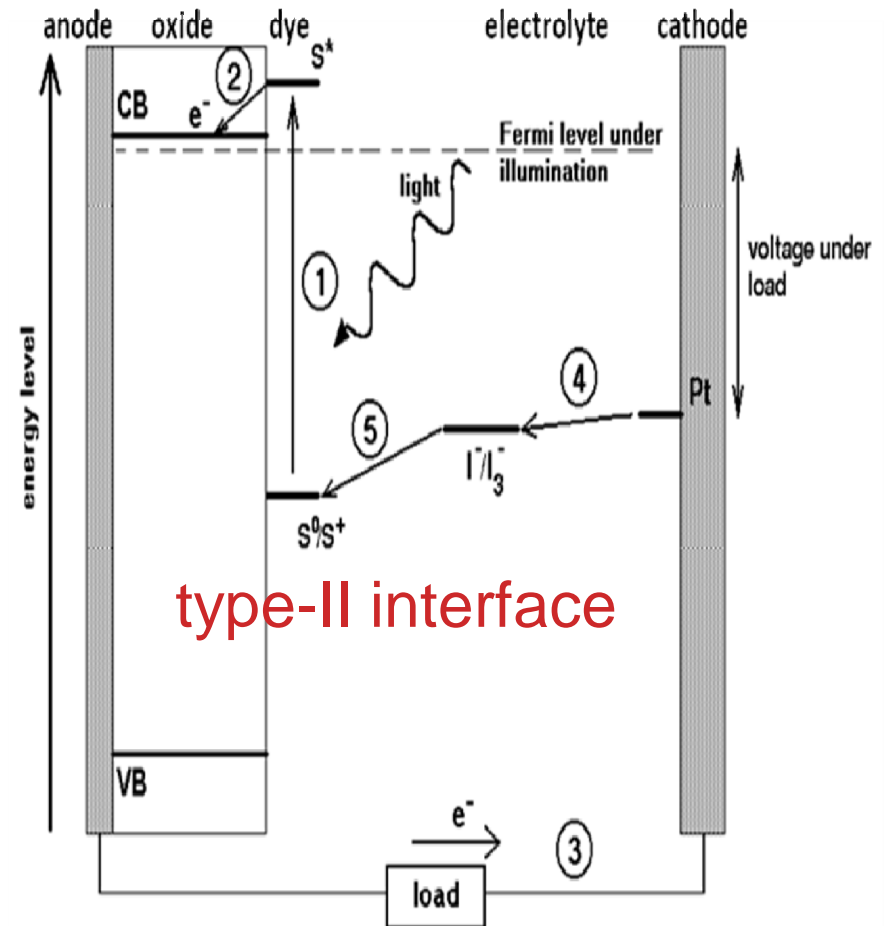
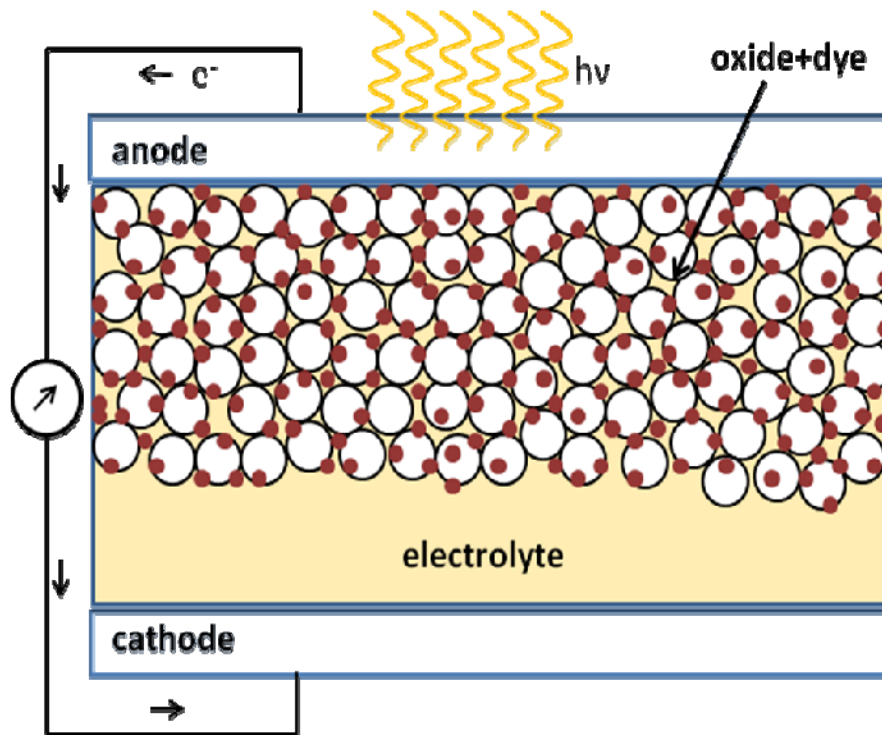
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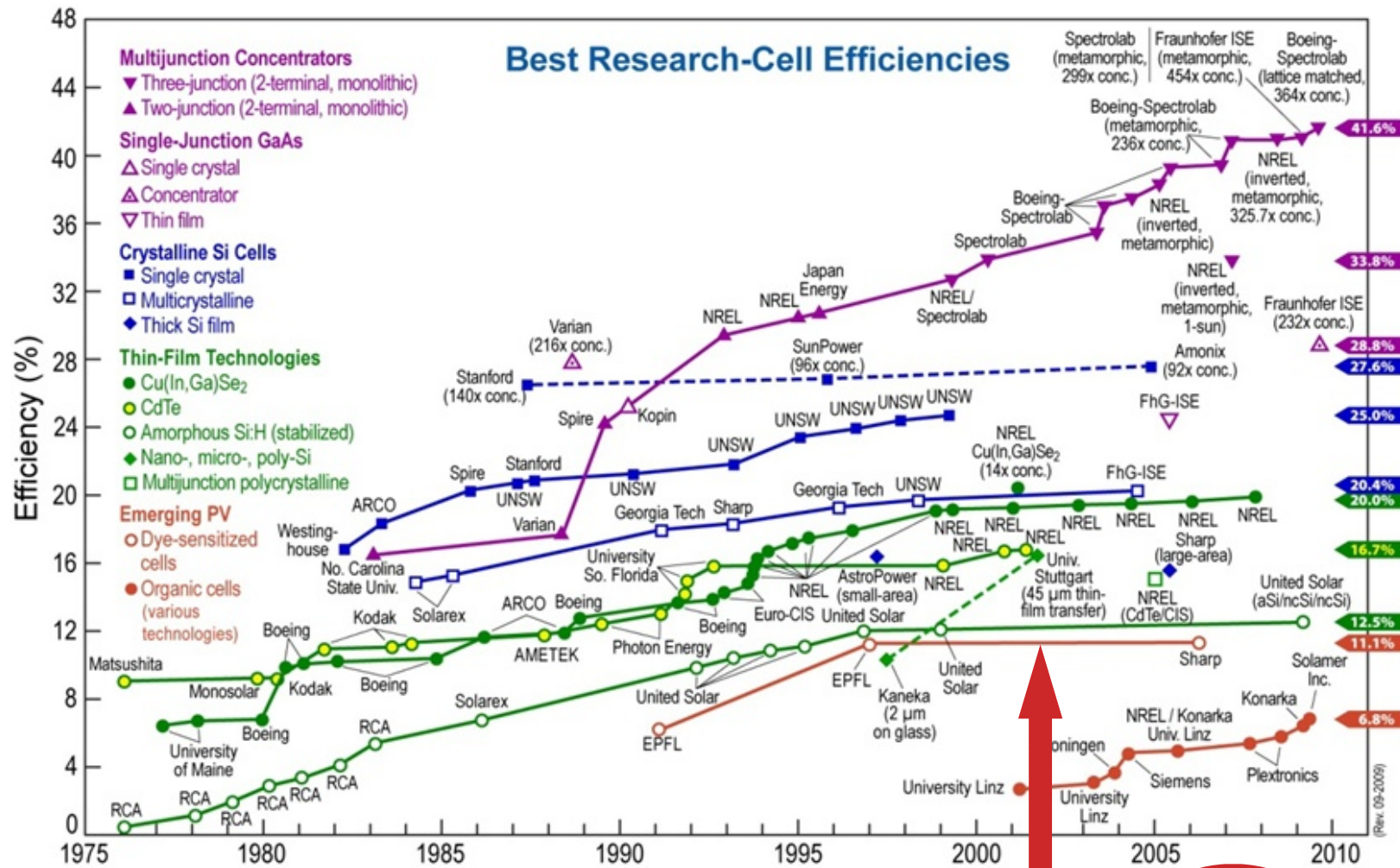
dye sensitized solar cells

Metal-oxide nanoparticles (especially TiO_2 , ZnO , SnO_2) may be sensitized to harvest visible radiation in working solar cells, upon molecular dye functionalization →
GRAETZEL or DYE SENSITIZED SOLAR CELLS (DSSCs)*



Energy diagram for electronic working process.

reported efficiencies



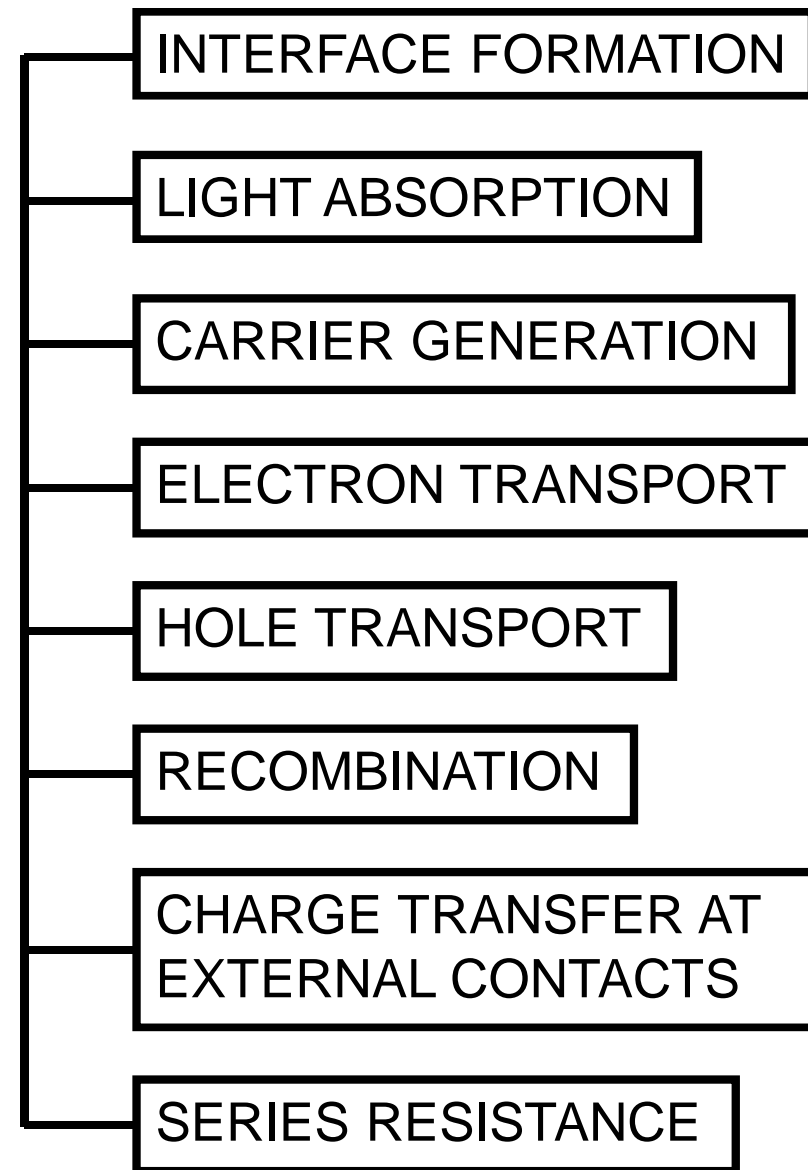
No progressive evolution in DSSC efficiencies

$$\eta = \frac{I_{max}}{I_{in}} = \frac{i_{sc} V_{oc} FF}{I_{in}}$$

basic processes

PROCESSES TO CONTROL/OPTIMIZE

NO MICROSCOPIC
CONTROL OF THESE
PROCESSES AT THE
NANOSCALE



(long term) goal for theory

GOAL: Our aim is *not* the direct enhancement of the DSSC efficiency, but the theoretical assessment of a representative DSSC model from **first principles**, with the net **interest in the microscopic understanding** of the mechanisms that rule the **optically active site** and of the fundamental interactions with the rest of the cell (electrolyte, external contacts, etc).

PROBLEM: **NO unique theoretical approach** to simulate the optoelectronic processes in a working DCCS, from first principles

Several skills are required !!!

ab initio methods

PROPOSED TECHNIQUES

Ground state configurations & dynamics

- GGA-DFT + total energy and forces (ground state)
- ab initio molecular dynamics (Car-Parrinello) at finite temperature



www.quantum-espresso.org

Excited states

- optical spectra – *turbo*-TDDFT
- band-alignment, excitonic spectra – GW+BSE
- atomic evolution of excited state – Ehrenfest dynamics

Vibrational spectra (IR, Raman) and heat propagation

- density functional perturbation theory

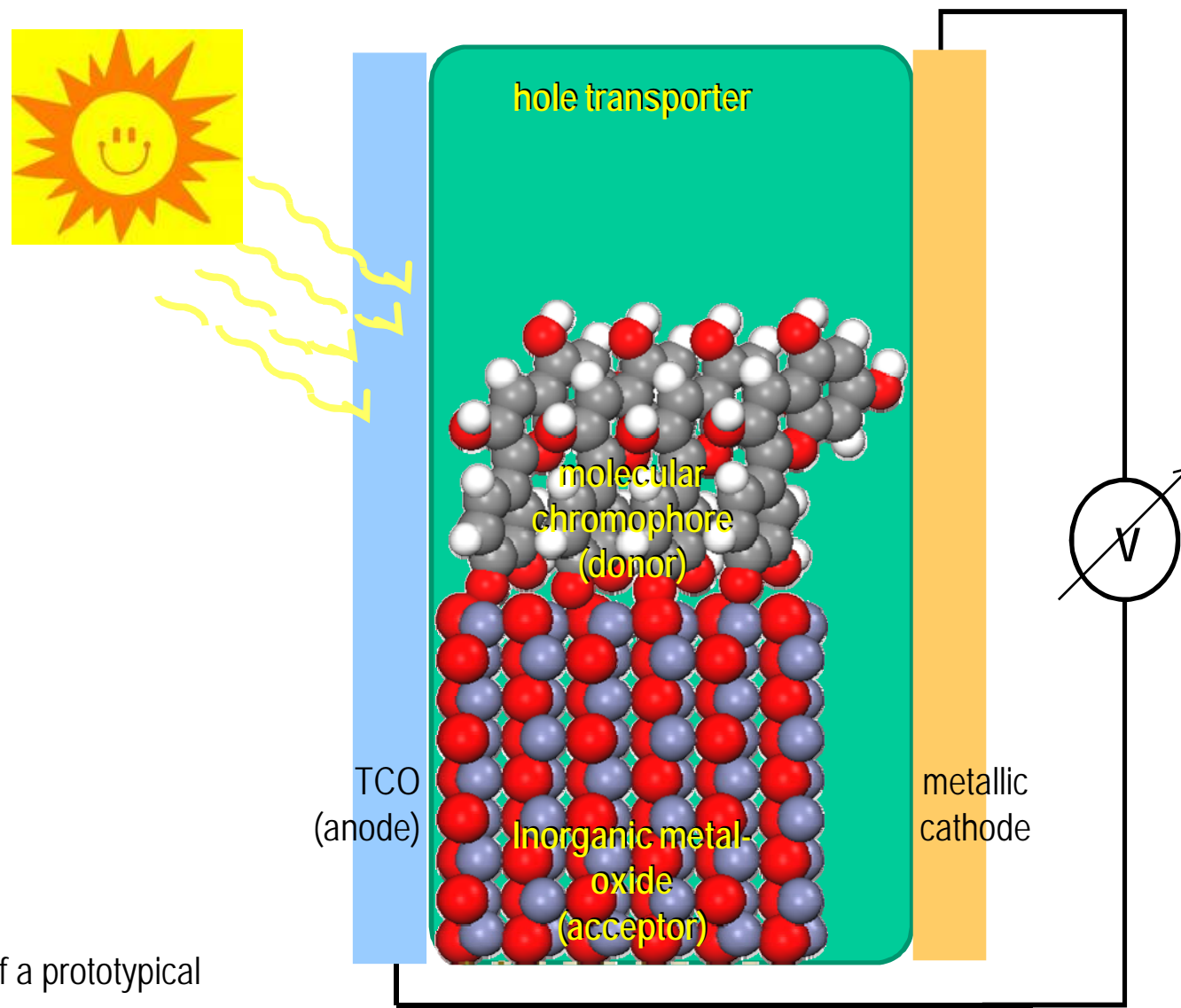
Electron Transport

- DFT-Landauer for two terminal model devices



www.wannier-transport.org

model system



Scheme of a prototypical DSSC

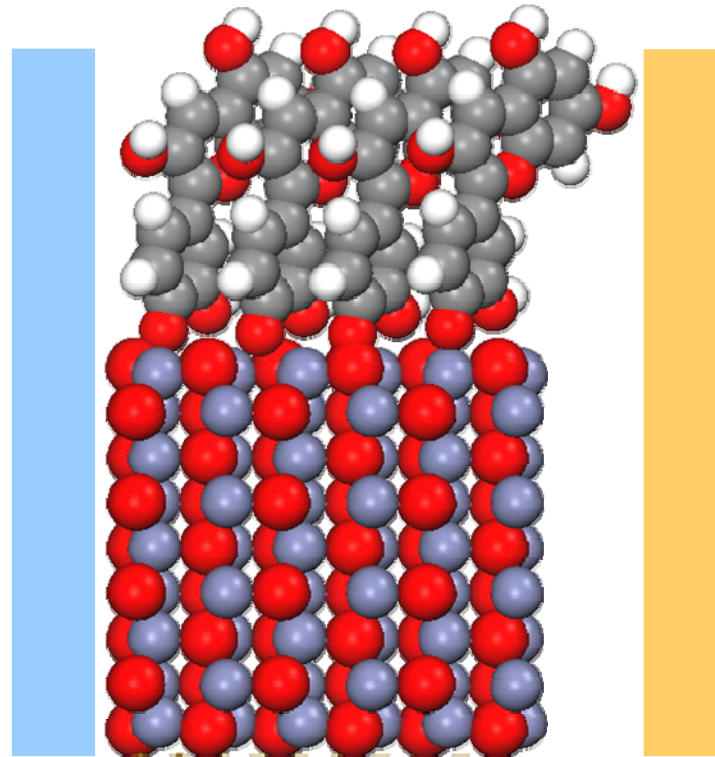
model system

dye/metal-oxide/TCO
hybrid interfaces

Dye →
natural anthocyanin
(cyanin)

Substrate →
non-polar ZnO (10 $\bar{1}0$)
surface

TCO →
Al doped ZnO (AZO)



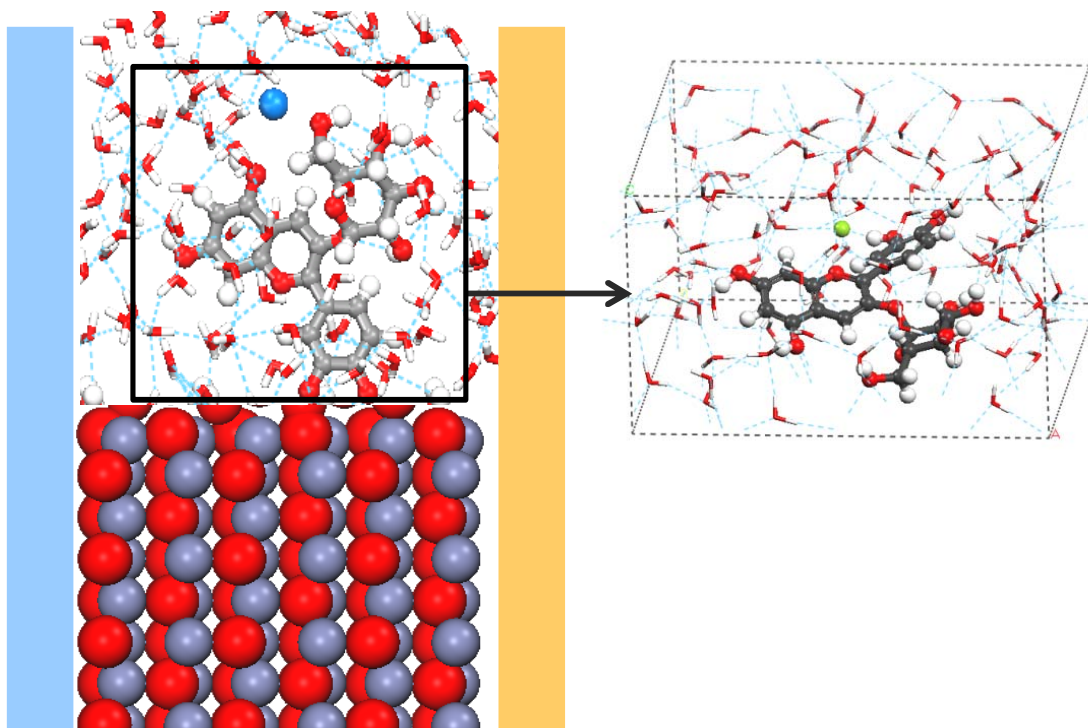
model system – hybrid interfaces

WARNING!

- 1) In biological systems, natural dyes exist ONLY in liquid (typically water) solution
- 2) Most experiments are made in humid environments

The presence of liquid solvent and counterions may modify both the properties of the molecule and the coupling with the surface.

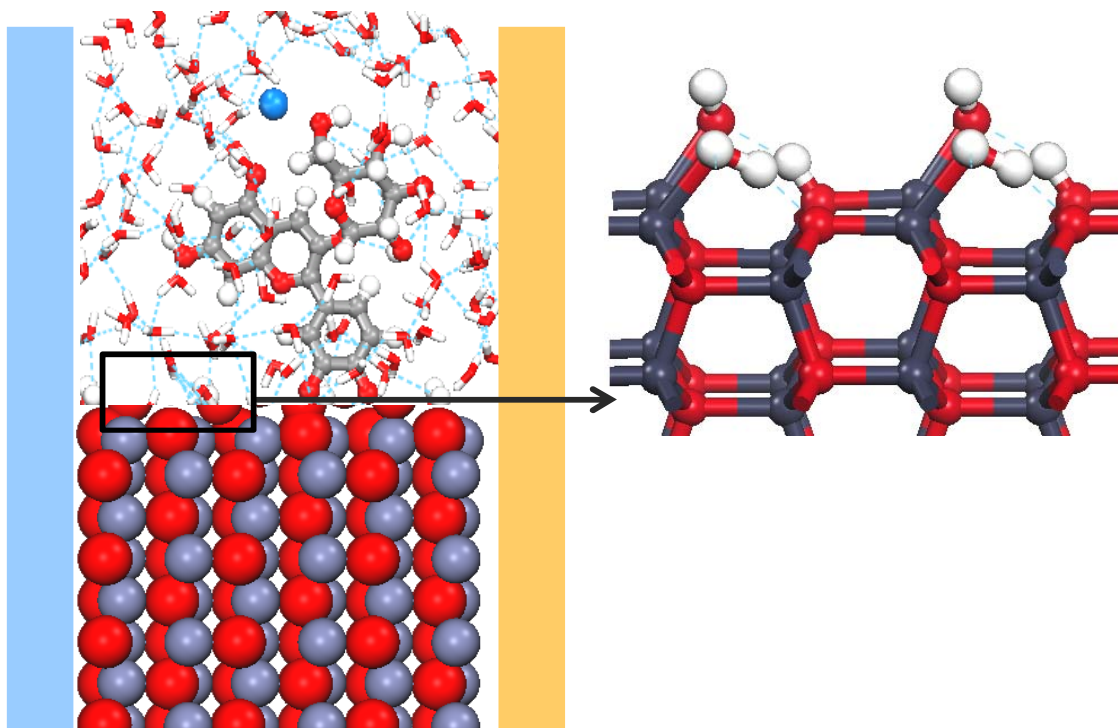
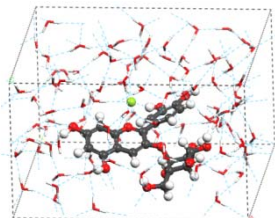
zooming in the cell



Optoelectronic properties of the dye in solution

- A. Calzolari, et al, JPCA **113** 8801 (2009)
A. Calzolari, et al. JCP **132**, 114304 (2010)
O.B. Malcioglu, et al, JACS **133**, 15425 (2011)
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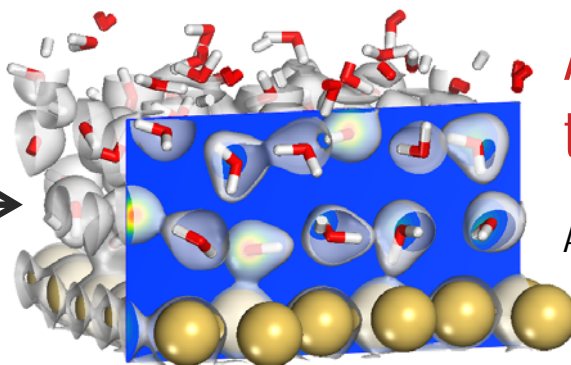
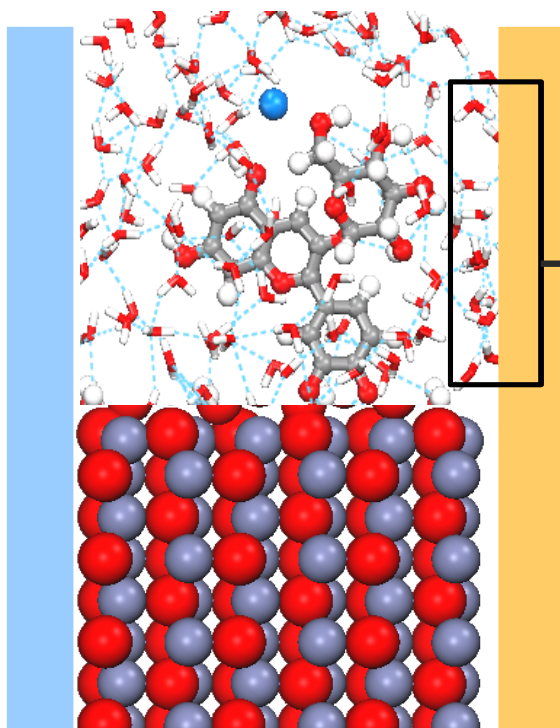
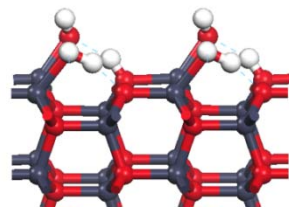
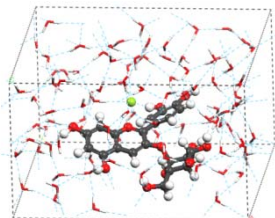
zooming in the cell



Early stage water adsorption
on non-polar ZnO surface

A. Calzolari, et al, JPCC 113, 289 (2009)

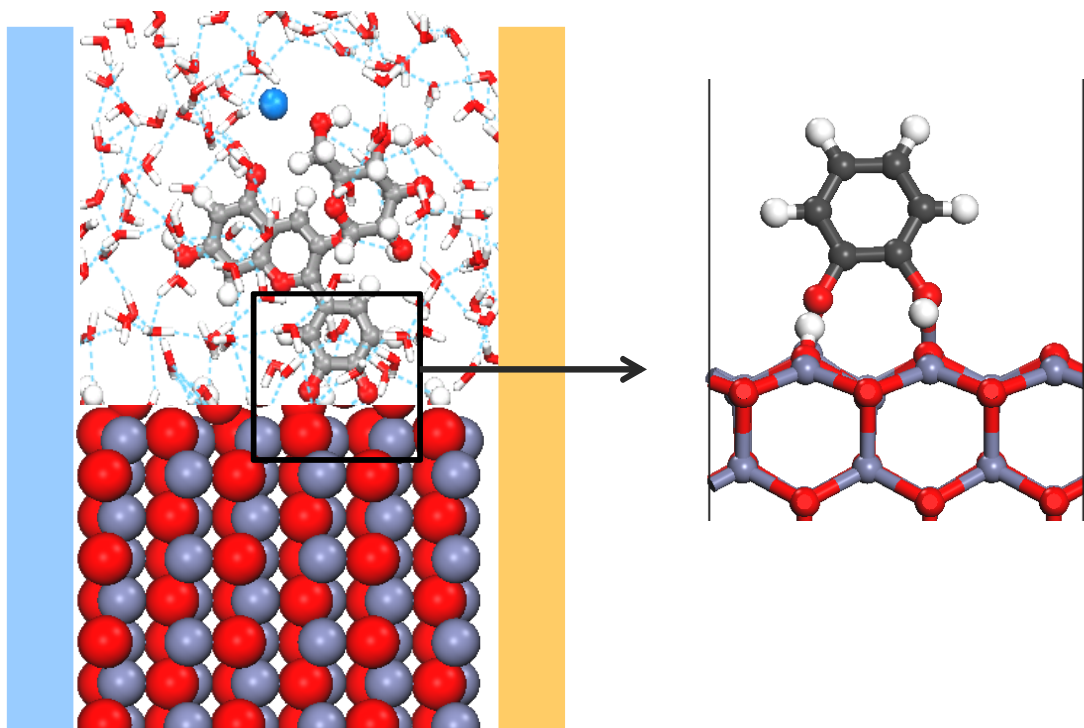
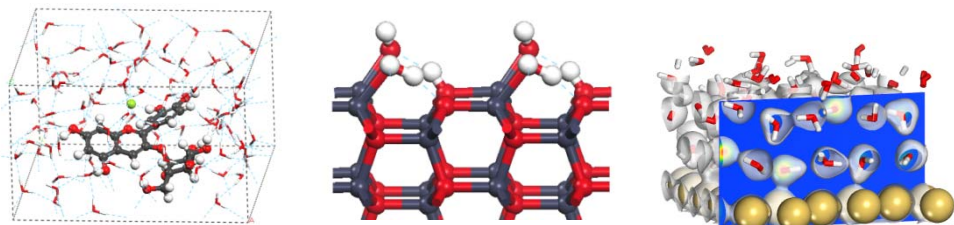
zooming in the cell



Anomalous Wetting Layer at
the Au(111) Surface

A. Calzolari, et al, JPC Lett. 2, 2582 (2011)

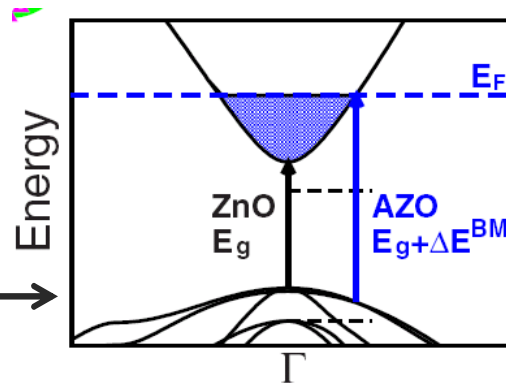
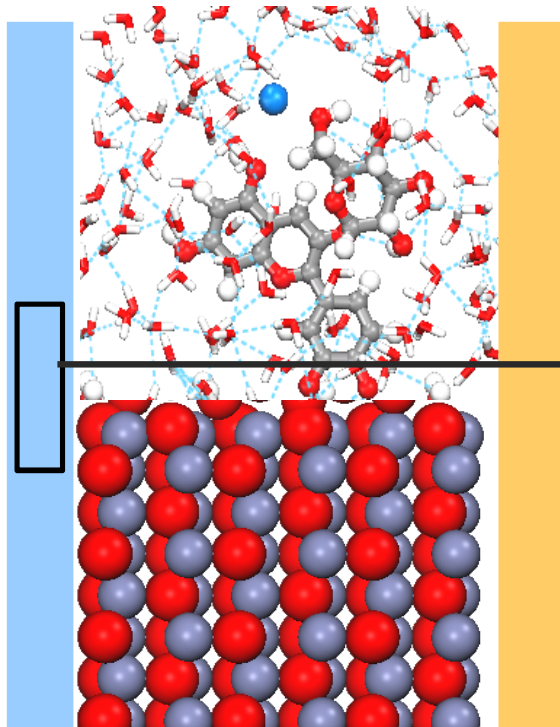
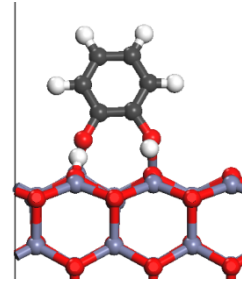
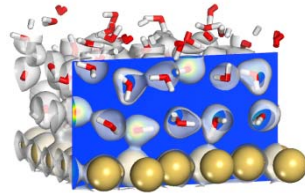
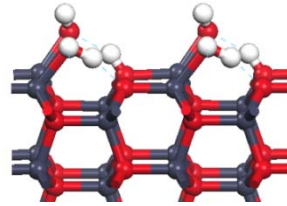
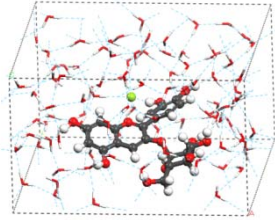
zooming in the cell



Chromophore adsorption
on non-polar ZnO surface

A. Calzolari, et al, JACS 133, 5893 (2011)

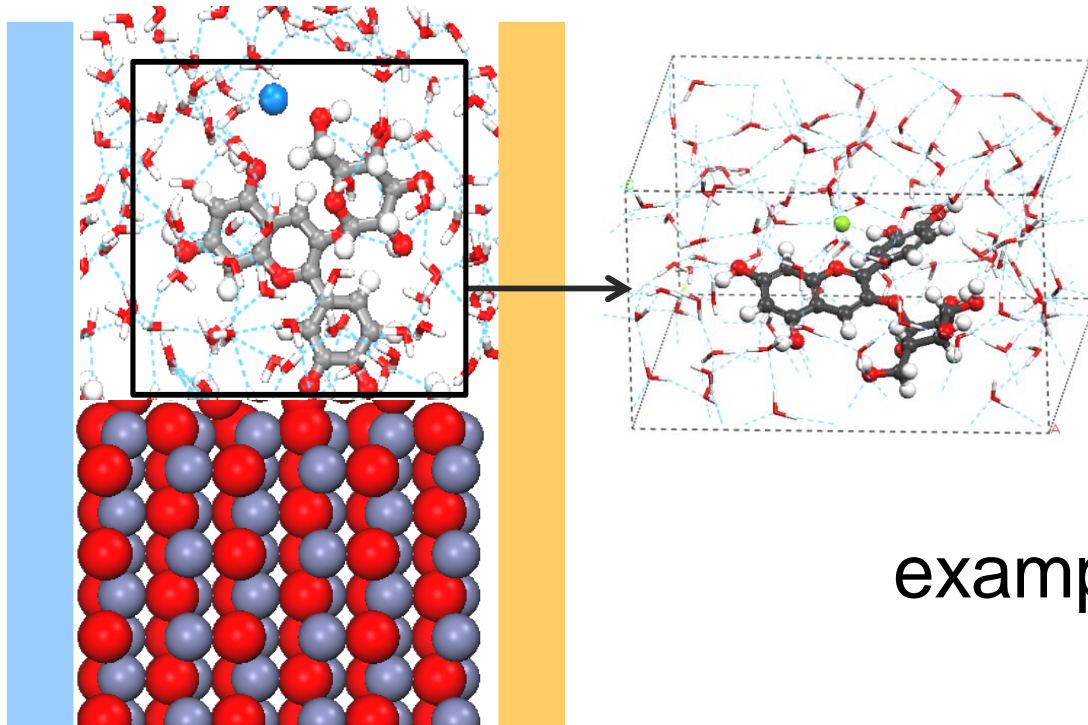
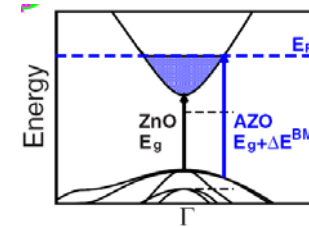
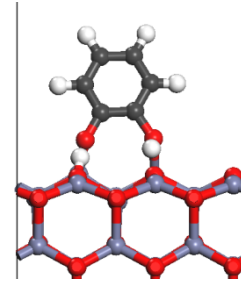
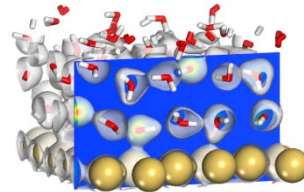
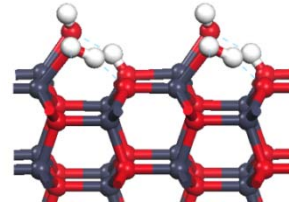
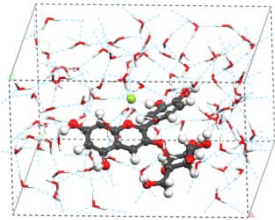
zooming in the cell



Optoelectronic properties of
Al doped ZnO (AZO)

M. Bazzani, et al, APL 98 121907 (2011)

zooming in the cell



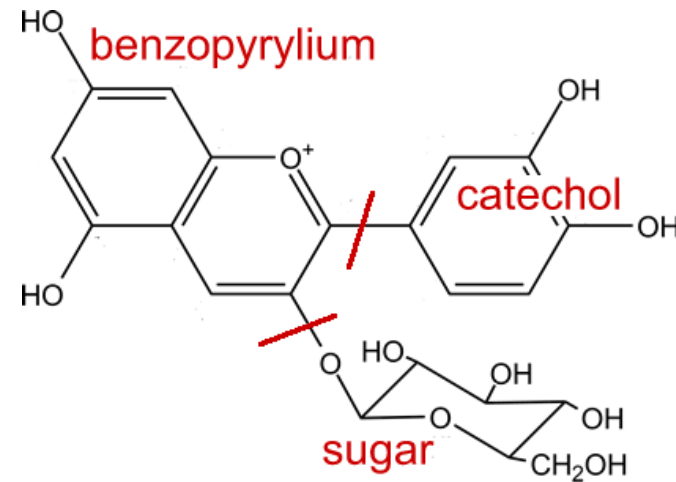
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- O.B. Malcioglu, et al, JACS **133**, 15425 (2011)

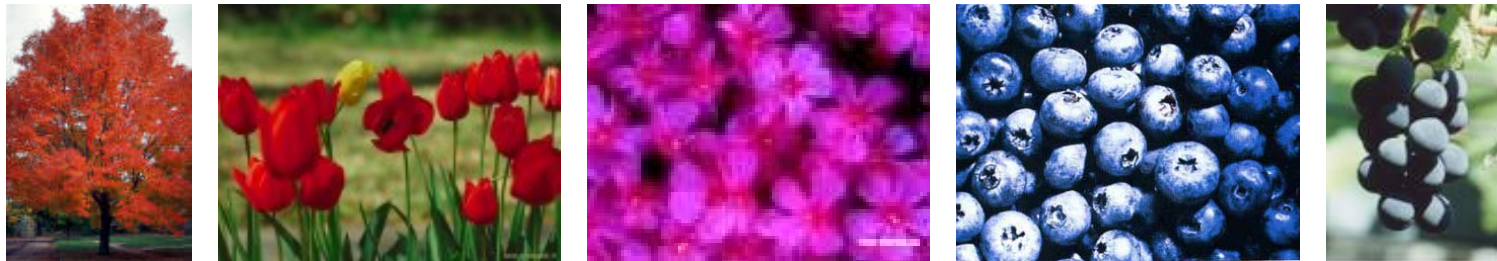
example 1

introduction

- **CYANIN (cyanidin-3-glucoside)** is a natural **water-soluble** anthocyanin, positively **charged** in the ground state configuration (**flavylium cations**)



- Cyanins are main **NATURAL DYES** - from **red** to **blue**- in plants, flowers and fruits



- further properties:
absorption of UV and visible radiation / antioxydant activity in cells / metal ions
chelators (e.g. Al, Zn) **self-assembly** and **stacking** configurations →

Food industry, pharmaceutical, medical, solar cell applications

cyanin – gas phase*

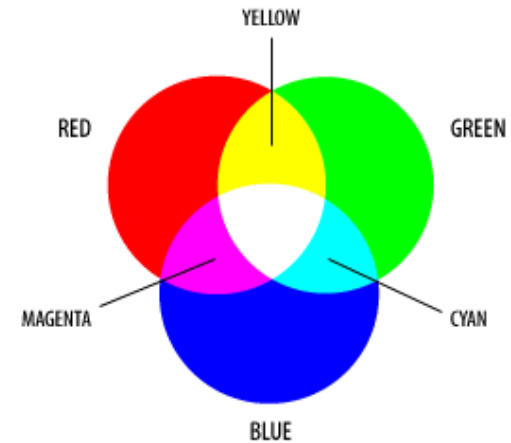
IS THE SPECTRAL POSITION OF THE LOWEST ENERGY TRANSITIONS ENOUGH TO SIMULATE THE MAIN OPTICAL PROPERTIES OF THE MOLECULE???

WHAT ABOUT COLOR??

ab initio colorimetry

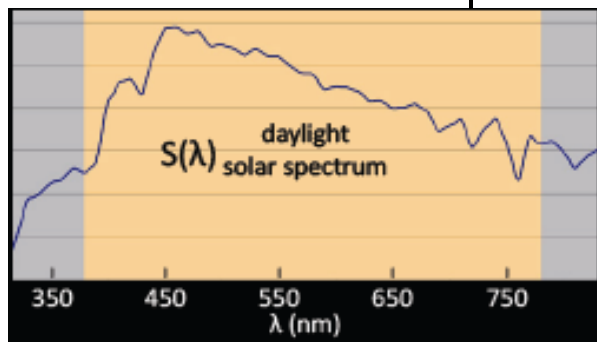
color perceived by human eye

$$\mathbf{rgb} = N \int I_T(\lambda) \mathbf{RGB}(\lambda) d\lambda$$

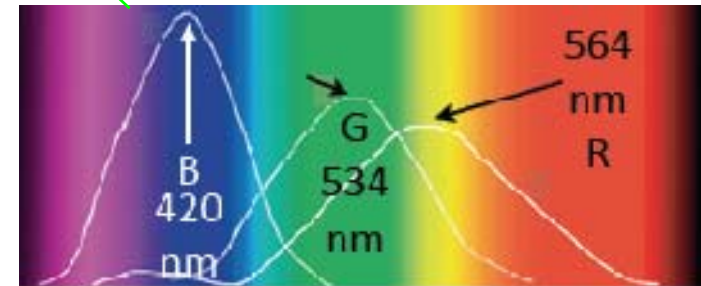


transmitted radiation

$$I_T(\lambda) = I_0(\lambda) e^{-\kappa(\lambda)x}$$



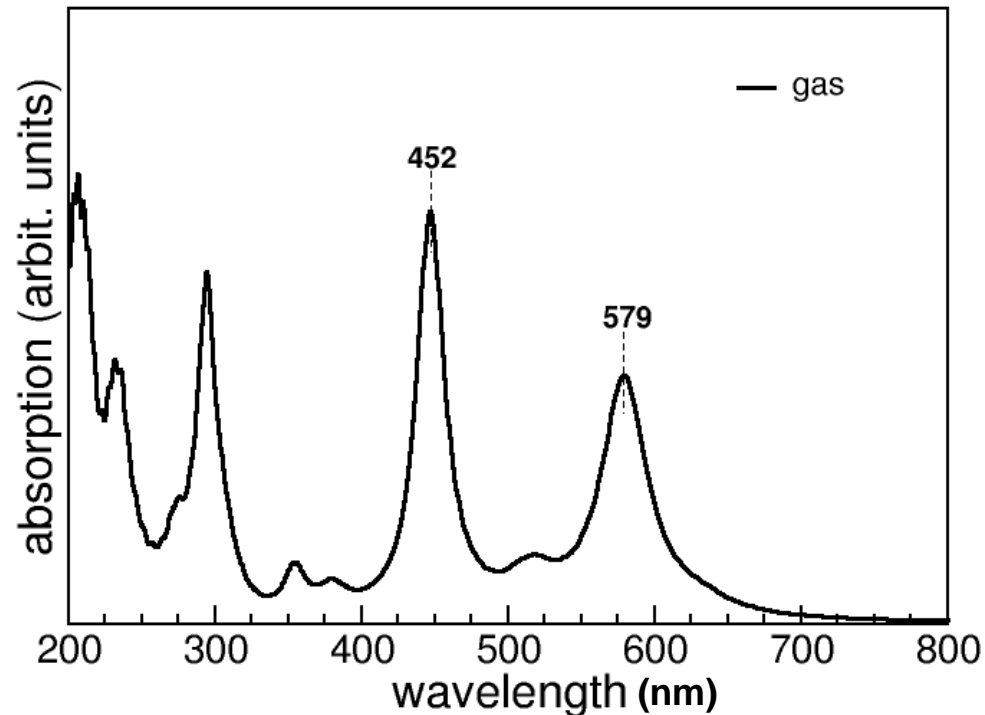
incident radiation (*illuminant*)



color matching functions (*tristimulus*)

absorption spectrum
(calculated)

simulated color*

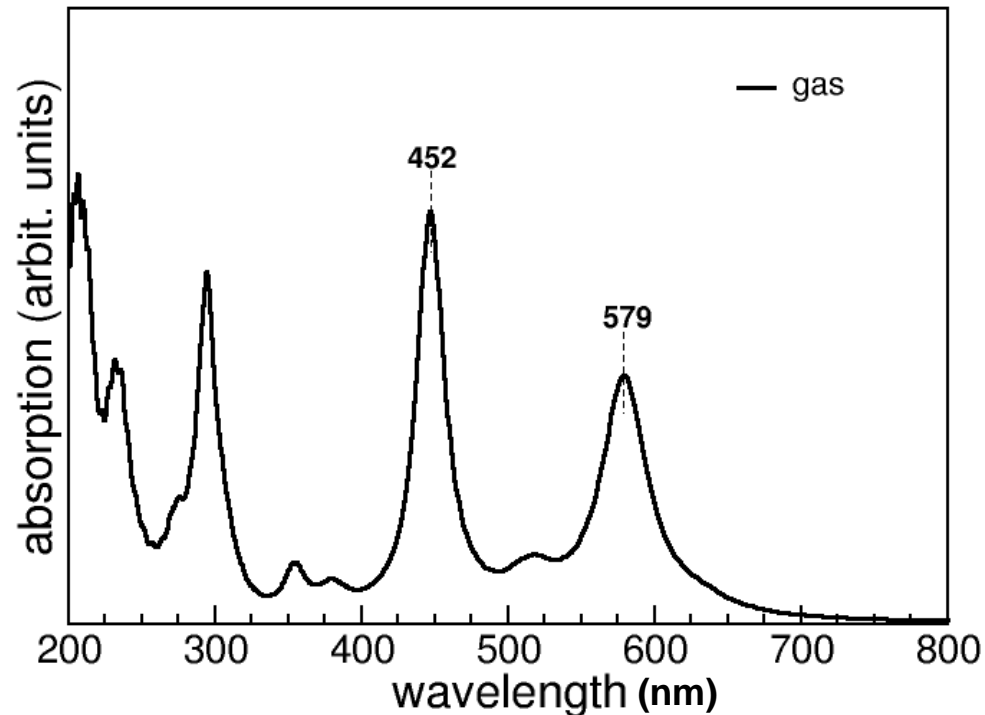


simulated color



STATIC ABSORPTION SPECTRUM FOR ISOLATED
MOLECULE **DOES NOT REPRODUCE** RED/PURPLE
COLOR OBSERVED IN NATURAL SYSEMS
(e.g. berries, eggplants)

simulated color*

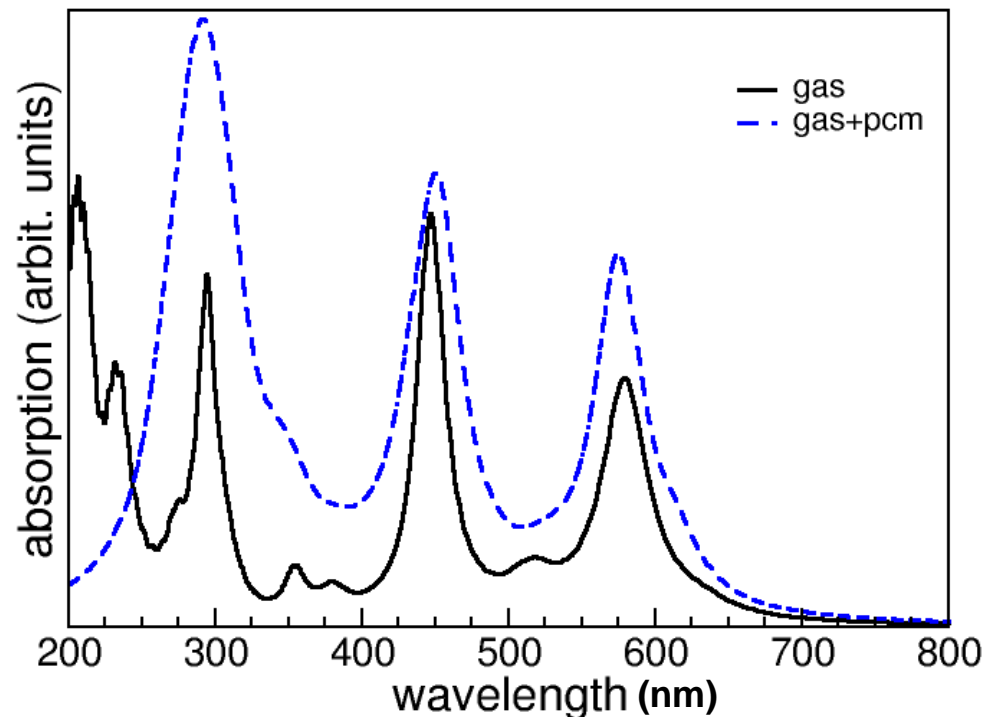


simulated color

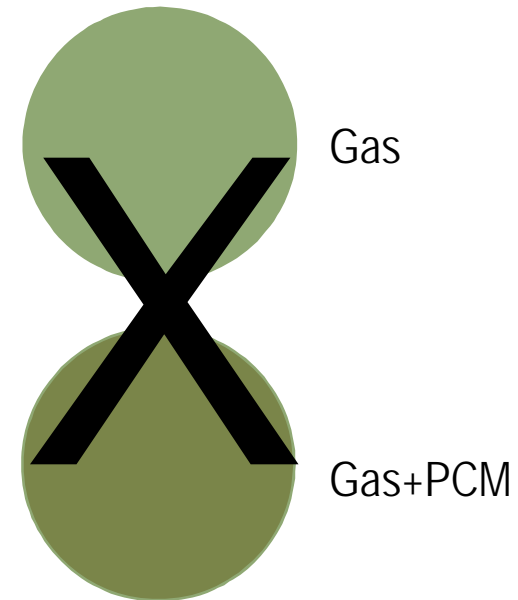


IN NATURAL SYSTEMS CYANINS ARE **NOT** IN THEIR **GROUND STATE** IN VACUUM, BUT ARE IMMERSED IN **LIQUID SOLUTION** (e.g. WATER) AND AT **ROOM TEMPERATURE**

solvation effect - implicit solvent (PCM)*



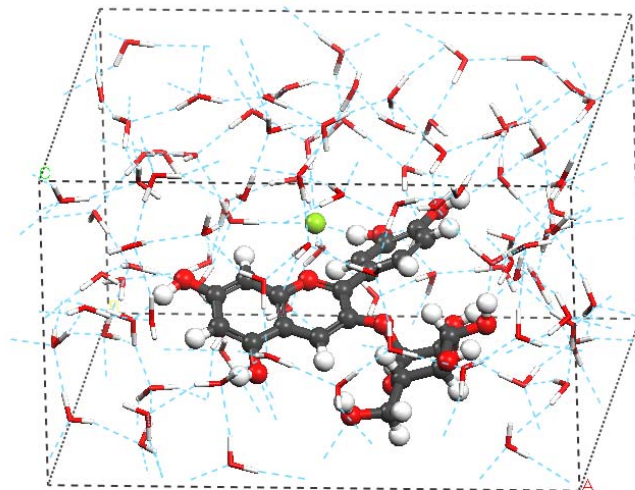
simulated color



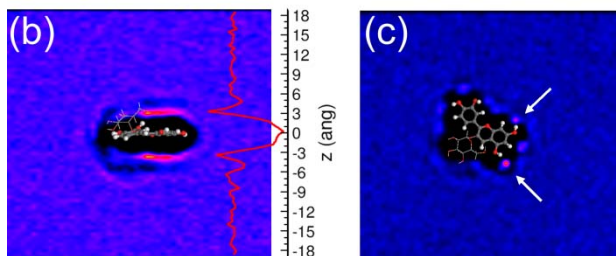
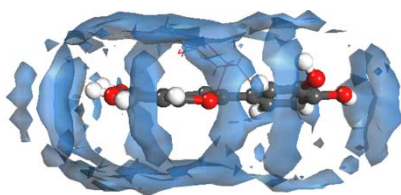
- *Polarizable continuum model* (PCM) + ground state geometry (gaussian 09)
- Slight bathochromic (red shift) effect and hyperchromism
- NO drastic modification of the spectrum.

DARKER BUT STILL GREENISH COLOR

solvation effect – explicit solvent at RT



(a)



SDF of oxygen atoms of the solvent wrt the benzopyrylium ring

globally neutral system

- 1 cyanin (cation)
- 1 Cl⁻ (counter ion)
- solvent (95 H₂O molecules)

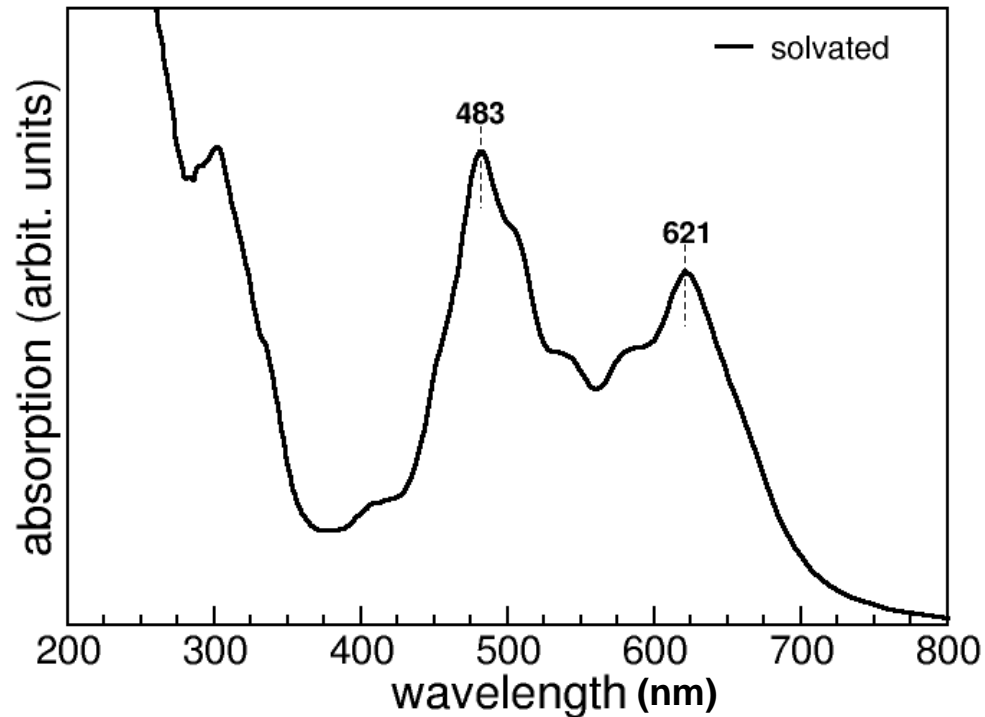
technical details

- $\mu=340$ au, $\delta t=0.075$ fs, Γ -only
- 288 atoms (939 valence electrons) → **large system for *ab initio* simulations**
- 3.5 ps of thermal equilibration at T=300K + 20 ps of production time (microcanonical ensemble)

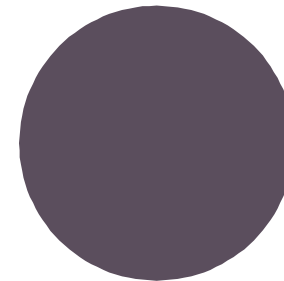
Hydration properties and electronic structure of solvated cyanin

A. Calzolari et. al JCP **132**, 114304 (2010)

time averaged spectra – explicit solvent at RT*



simulated color

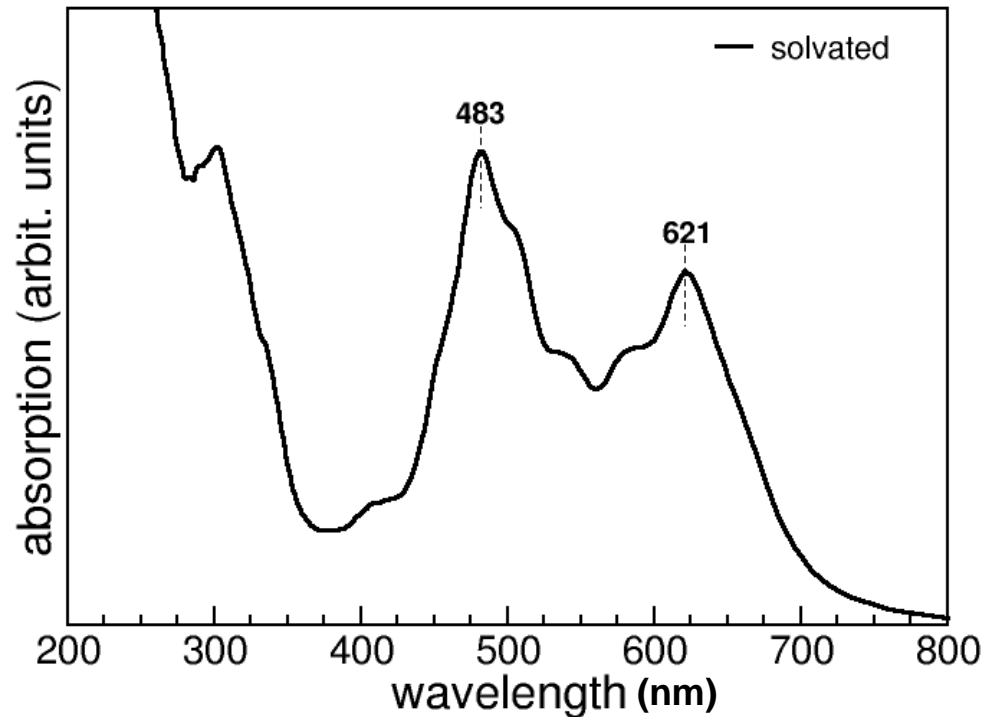


Solvated

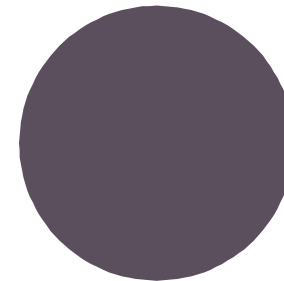


- Fully solvated molecule → **broader absorption band** ($\lambda = 400\text{-}700\text{ nm}$), characterized by two main peaks at $\lambda = 621\text{ nm}$ and $\lambda = 483\text{ nm}$ and several shoulders in the middle
- Single snapshot spectra differ in the number of peaks and maxima position

time averaged spectra – explicit solvent at RT*



simulated color



Solvated



- Thermal dynamics changes the optical properties of molecule in liquid solution → Thermal effects cannot be neglected in solar cells
- Good light absorption properties → **CYANIN SUITABLE FOR DSSCs (??)**

DSSCs using cyanin/TiO₂

Table 1 List of the natural dyes used and photoelectrochemical properties of TiO₂/natural dye cells.

| Dye | Dye content (%) | Additives ^a (%) | λ_{\max}^b (nm) | λ_{ad}^b (nm) | LHE ^c (%) | IPCE ^c (%) | APCE ^c (%) | I_{sc} (mA/cm ²) | V_{oc} (V) | <i>F.F.</i> (%) | η (%) |
|---------------------------|-----------------|----------------------------|-------------------------|------------------------------|----------------------|-----------------------|-----------------------|---------------------------------------|---------------------|-----------------|------------|
| (i) Red cabbage | 36 | CA(2), GS(62) | 547(571) | 647(707) | 61 | 25 | 41 | 4.70 | 0.525 | 61 | 1.51 |
| (ii) Cochineal | 80 | DX(20) | 492(480) | 582(702) | 39 | — | — | 6.00 | 0.397 | 52 | 1.24 |
| (iii) Purple sweet potato | 43 | CA(7), DX(50) | 535(574) | 626(630) | 41 | — | — | 5.68 | 0.393 | 51 | 1.13 |
| (iv) Curcumin | 100 | — | 427(485) | 507(621) | 58 | 16 | 28 | 5.35 | 0.410 | 50 | 1.10 |
| (v) Kaoliang | 76 | SC(0.8), DX(23.2) | 497(495) | 588(607) | 37 | 19 | 51 | 3.64 | 0.452 | 61 | 1.00 |
| (vi) Gardenia yellow | 60 | DX(40) | 441(438) | 512(520) | 34 | 15 | 44 | 3.20 | 0.570 | 49 | 0.90 |
| (vii) Carthamus yellow | 40 | DX(60) | 406(432) | 481(650) | 29 | 11 | 38 | 1.90 | 0.465 | 68 | 0.60 |
| (viii) Beet red | 80 | SA(8), SMP(1), DX(11) | 539(494) | 626(620) | — | — | — | 2.90 | 0.400 | 38 | 0.44 |
| (ix) Monascus | 50 | DX(50) | 491(529) | 607(630) | 31 | — | — | 1.58 | 0.396 | 53 | 0.33 |
| (x) Annatto | 10 | LA(90) | 455(438) | 538(608) | — | — | — | 2.45 | 0.294 | 38 | 0.27 |
| (xi) Gardenia blue | 90 | LA(10) | 597(600) | 683(720) | 44 | 1.5 | 3.4 | 1.33 | 0.387 | 45 | 0.23 |
| (xii) Lac | 100 | — | 478(498) | 588(636) | — | — | — | 1.56 | 0.349 | 39 | 0.21 |
| (xiii) Cacao | 90 | DX(10) | 446(570) | 600(750) | 32 | 1.3 | 4.1 | 1.17 | 0.312 | 44 | 0.16 |
| (xiv) Tamarind | 90 | DX(10) | 447(570) | 623(757) | 36 | 2.7 | 7.5 | 1.36 | 0.280 | 42 | 0.16 |
| (xv) Spirulina | 66 | — | 616(666) | 680(750) | — | — | — | 0.89 | 0.363 | 40 | 0.13 |
| (xvi) Strawberry | — | — | (534) | (707) | — | — | — | 2.86 | 0.405 | 53 | 0.61 |
| (xvii) Blueberry | — | — | (590) | (742) | — | — | — | 4.29 | 0.360 | 34 | 0.52 |
| (xviii) Coffee | — | — | (442) | (690) | — | — | — | 2.55 | 0.409 | 39 | 0.41 |
| (xix) Grape | — | — | (550) | (692) | — | — | — | 1.81 | 0.427 | 43 | 0.33 |
| (xx) Green tea | — | — | (445) | (660) | — | — | — | 0.98 | 0.412 | 47 | 0.19 |
| (xxi) Lemon | — | — | (606) | (750) | — | — | — | 1.41 | 0.416 | 29 | 0.17 |
| (xxii) Orange | — | — | (608) | (748) | — | — | — | 1.02 | 0.412 | 31 | 0.13 |

^a; CA = citric acid, GS = glutinous starch syrup, DX = dextrin, SC = sodium carbonate, SA = sodium L-ascorbate, SMP = sodium metaphosphate, Their contents are indicated in parenthesis. ^b; Measured for aqueous solutions of natural dyes except for (iv) for which ethanol was used as solvent. In parenthesis are the values for the same dyes adsorbed on TiO₂ films. ^c; Measured at λ_{\max} of adsorbed dyes.

DSSCs using cyanin/ZnO

Table 2 Photoelectrochemical properties of ZnO/natural dye cells.

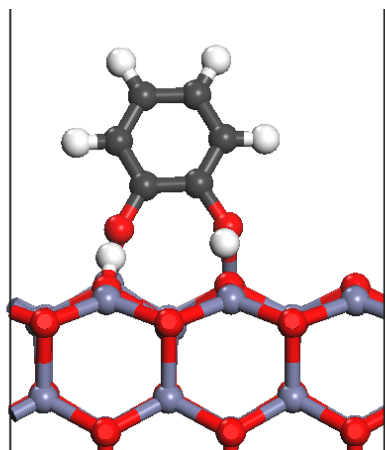
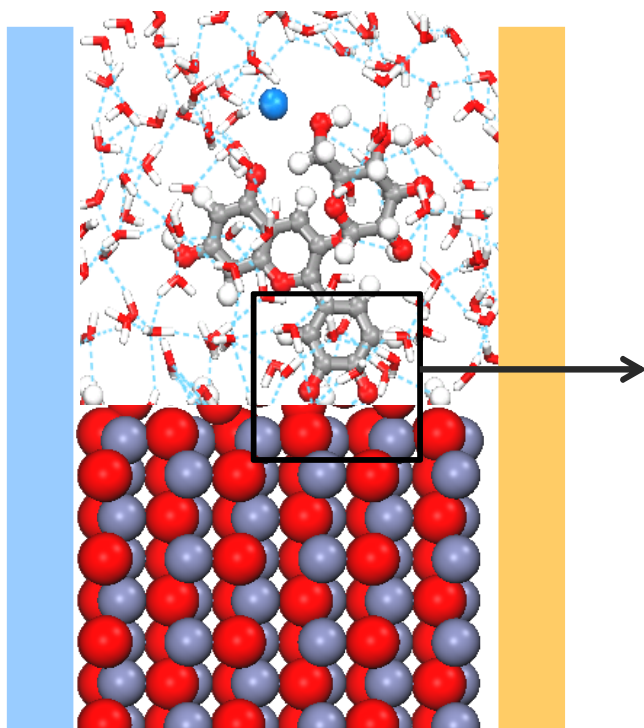
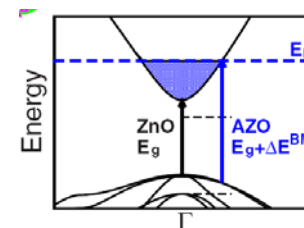
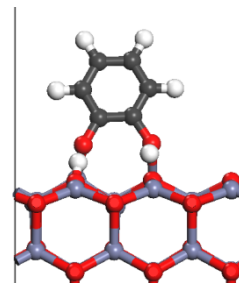
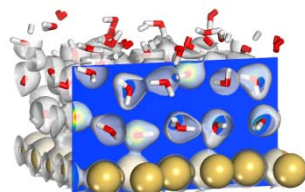
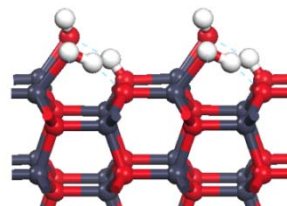
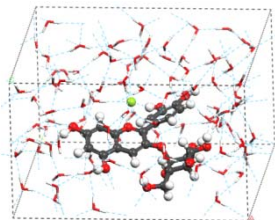
| Dye | λ_{\max} [*] (nm) | λ_{thd} [*] (nm) | LHE (%) | IPCE (%) | APCE (%) | I_{sc} (mA/cm ²) | V_{oc} (mV) | <i>F.F.</i> (%) | η (%) |
|---------|---------------------------------------|---|------------|-------------|-------------|--|-------------------------|--------------------|---------------|
| (i) | 592 | 701 | 54 | — | — | 0.55 | 286 | 31 | 0.050 |
| (ii) | 588 | 700 | 40 | — | — | 0.049 | 38 | — | — |
| (iii) | 523 | 649 | 46 | — | — | 0.12 | 170 | 33 | 0.0068 |
| (iv) | 433 | 601 | 52 | 3.0 | 5.8 | 0.92 | 273 | 28 | 0.070 |
| (v) | 430 | 615 | 43 | — | — | 0.47 | 282 | 33 | 0.051 |
| (vi) | 433 | 500 | 27 | 0.9 | 3.3 | 0.29 | 307 | 63 | 0.057 |
| (vii) | 429 | 550 | 31 | — | — | 0.69 | 353 | 43 | 0.10 |
| (viii) | 493 | 621 | 46 | — | — | 0.12 | 136 | 29 | 0.014 |
| (ix) | 523 | 648 | 44 | — | — | 0.69 | 323 | 51 | 0.11 |
| (x) | 437 | 608 | 28 | — | — | 0.64 | 285 | 41 | 0.075 |
| (xi) | 596 | 692 | 48 | 3.2 | 6.7 | 0.97 | 286 | 45 | 0.13 |
| (xii) | 543 | 730 | 50 | — | — | 0.22 | 276 | 32 | 0.019 |
| (xiii) | 459 | 650 | 41 | 0.6 | 1.5 | 0.19 | 254 | 29 | 0.014 |
| (xiv) | 458 | 800 | 13 | 1.0 | 7.7 | 0.17 | 153 | 31 | 0.0081 |
| (xv) | 632 | 734 | 34 | — | — | 0.26 | 299 | 39 | 0.030 |
| (xviii) | 530 | 700 | 51 | — | — | 0.55 | 149 | 27 | 0.022 |

*: Measured for the dyes adsorbed on ZnO. Dyes were adsorbed from aqueous solutions except for (i) and (iv) for which ethanolic solutions were used.

DSSCs using cyanin/ZnO

despite the good absorption properties of the dye
the efficiencies of these cells are very low (< 1%)

zooming in the cell



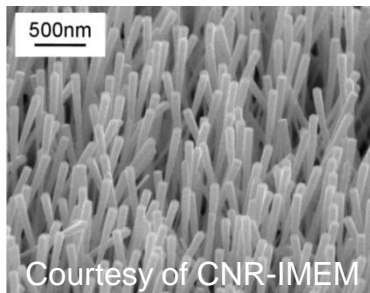
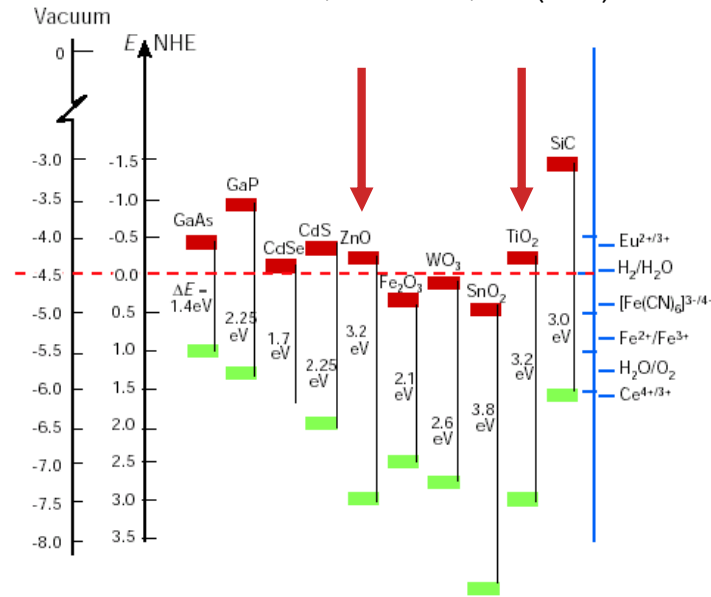
Chromophore adsorption
on non-polar ZnO surface

A. Calzolari, et al, JACS 133, 5893 (2011)

example 2

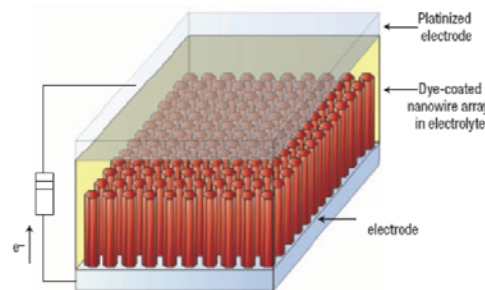
ZnO – general properties

M. Graetzel, Nature 414, 338 (2001)



Courtesy of CNR-IMEM
Experimental SEM image of ZnO nanopillars

Scheme of a DSSC based on ZnO nanowires.



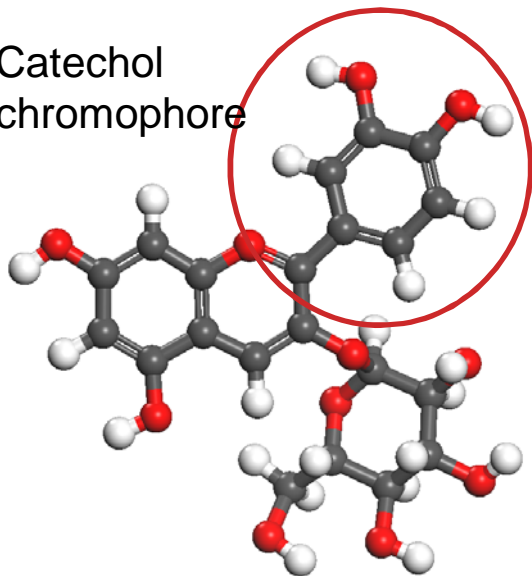
M. Law, *et al.*, Nat. Mater. 4, 455 (2005)

ZnO appealing properties for optoelectronic applications

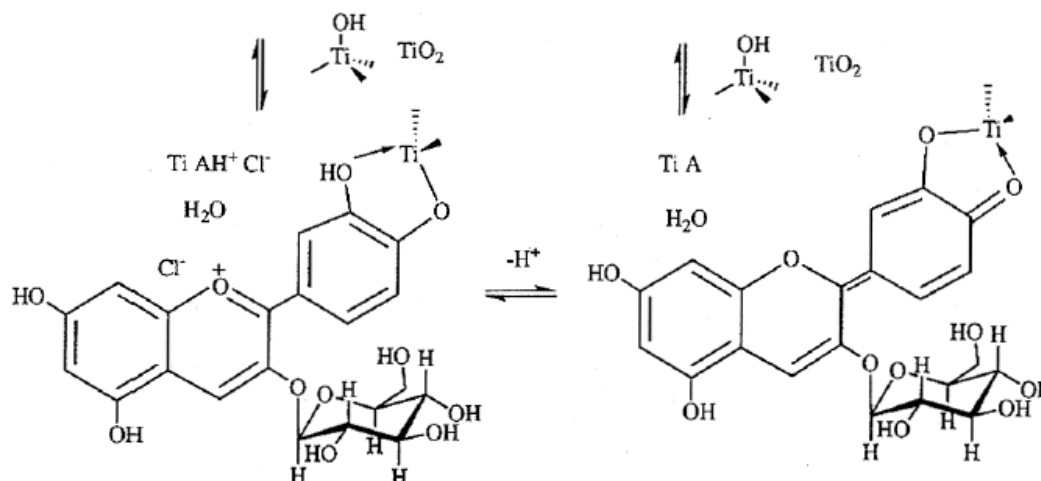
- High thermal conductivity, efficient luminescence and strong excitonic effects even at room temperature.
- High electrical conductivity → ZnO has **band properties similar to TiO₂**
- Easily grown in **ordered array of nanostructures** such as wires and tetrapods, that may be applied in new optoelectronic devices
- Many devices proposed: LED, field emitters, lasers, sensors, **solar cells...**

chromophore adsorption on TiO₂ substrate

Catechol
chromophore



Experiments suggest adsorption on TiO₂ nanoparticles through **catechol** binding at Ti metal sites



N.J. Chepery et al. JPCB, 101, 9342 (1997)

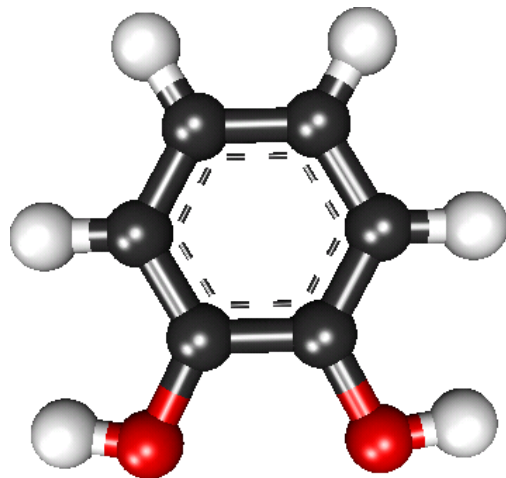
Meng, S.; Ren, J.; Kaxiras, E. *Nano Lett.* **2008**, 8, 3266.

Hao, S.; et al *J. Sol. Energy* **2006**, 80, 209.

ZnO(10 $\bar{1}$ 0) has different spatial and stoichiometric metal distribution on surface wrt TiO₂ → OH-OH distance in **catechol fits the surface dimer separation** (i.e. Zn-Zn)

catechol/ZnO – goal for theory

UNDERSTANDING THE BASIC PHYSICAL PROPERTIES OF THE PROTOTYPICAL CATECHOL/ZnO INTERFACE



CATECHOL (1,2-dihydroxyphenyl)

it is simultaneously a **linker** for molecular binding and an efficient **chromophore**.

[Li, S.-H.; et al. JACS 131, 980 (2009)]

[Li, S.-H.; et al. JACS 133, 7816 (2011)]

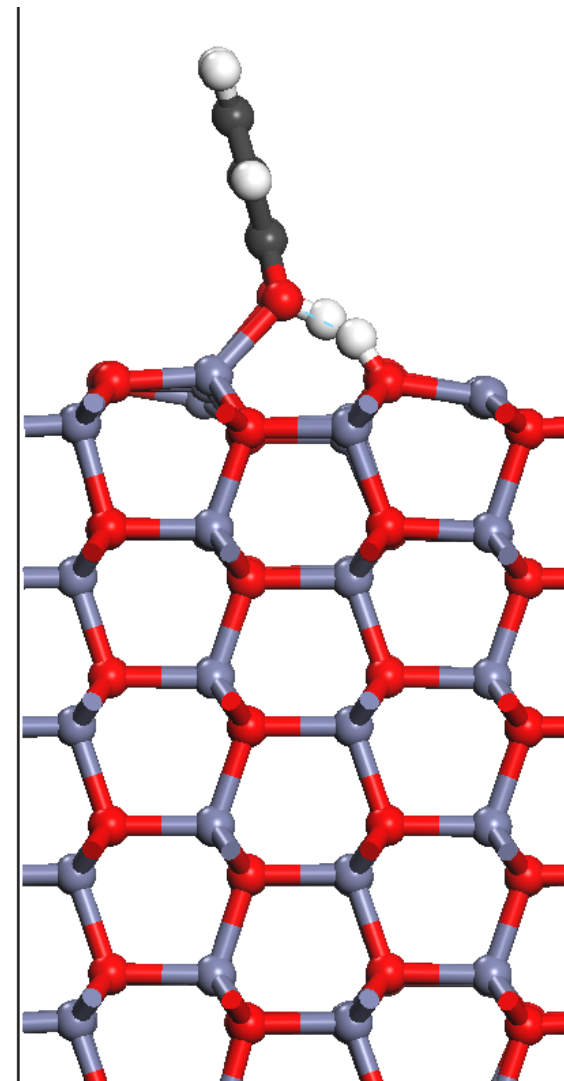
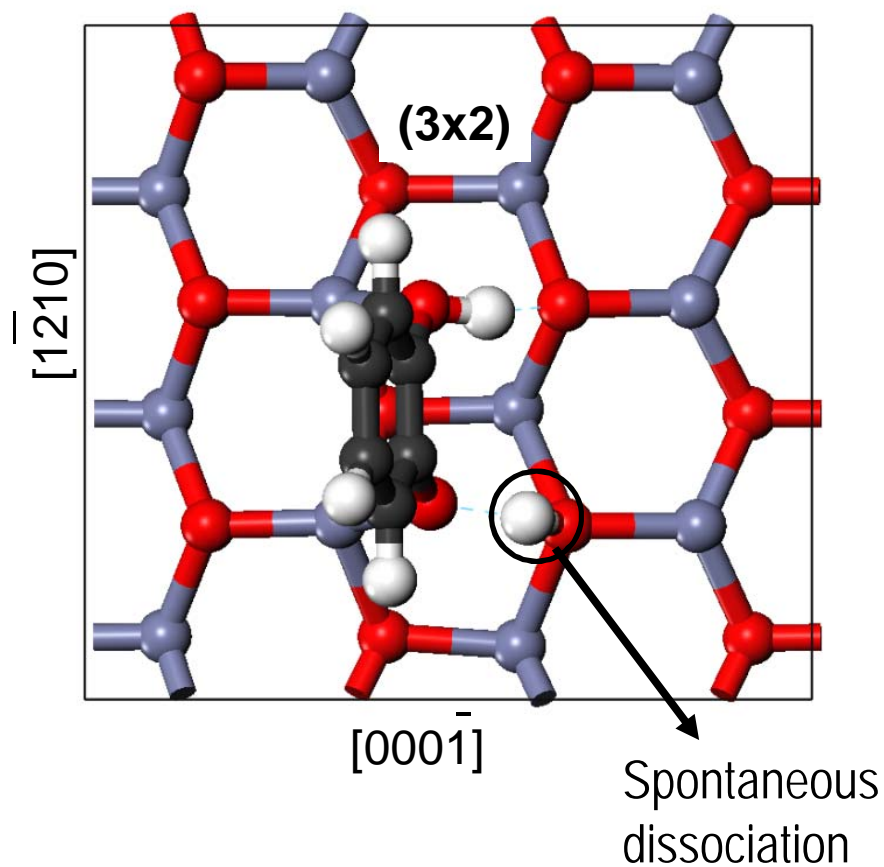
→ prototypical **sensitizer for ZnO** and TiO_2

[K. Maeda et al. JACS 127, 8286 (2005).]

[L.G. Rego et al. JACS 125, 7989 (2003)]

[W. Duncan, OV Prezhdo, JPCB, 109, (2005)]

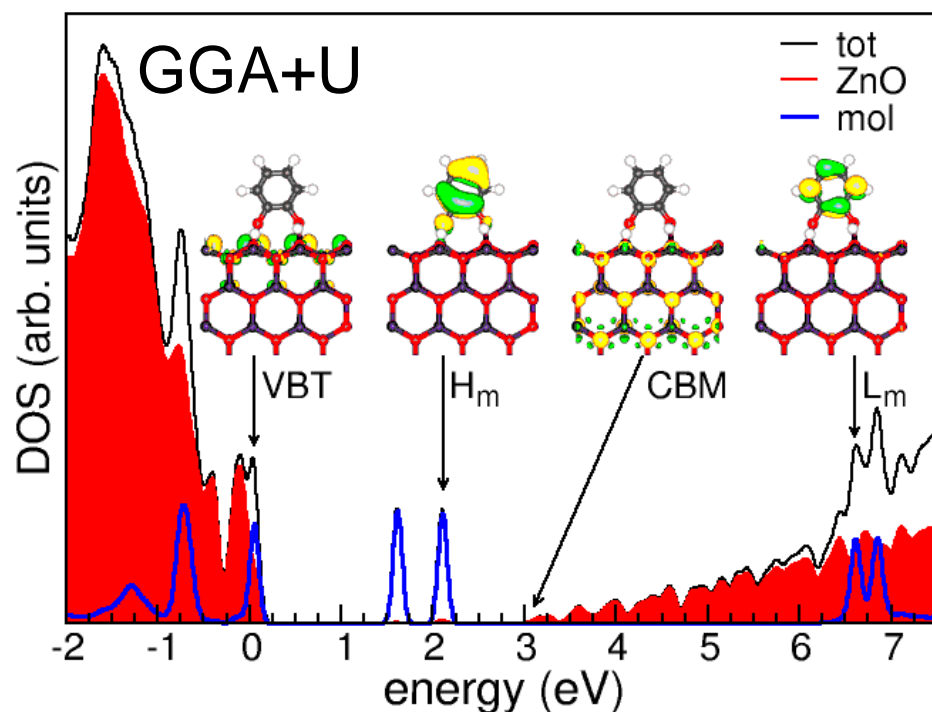
catechol/ZnO – structural properties



Catechol@ZnO(10-10)-(3x2)

- spontaneous H dissociation
- bidentate bonding at two Zn sites
- formation of 2 H-bonds with surface

catechol/ZnO – electronic properties



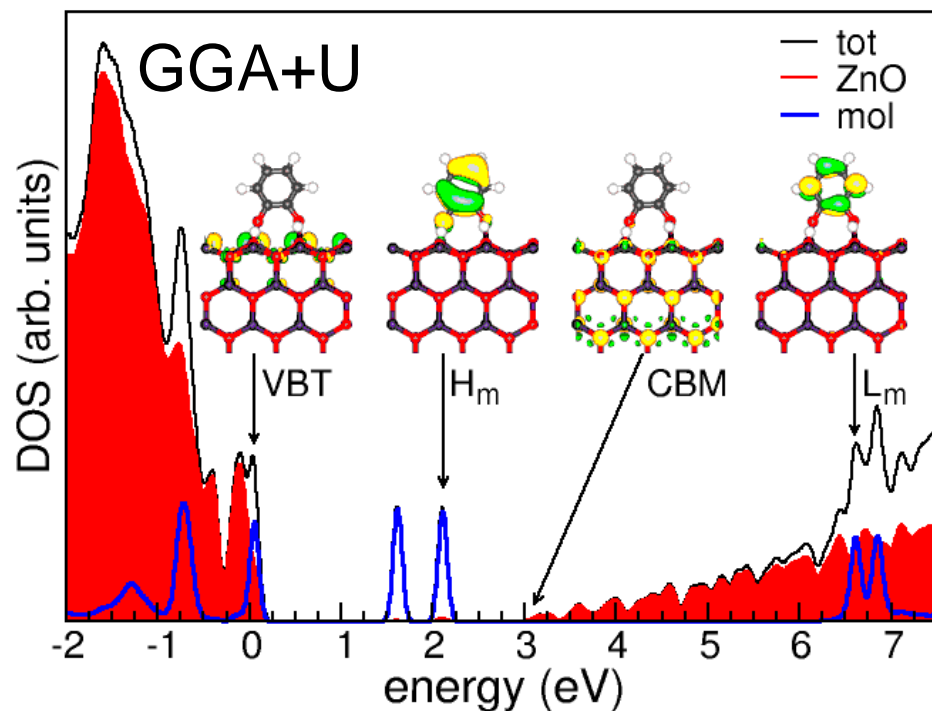
-Valence band top (VBT) and the conduction band minimum (CBM) of the surface remains similar to the clean case

-Zn-O binding orbitals are detected at lower energy (ca. -1.5 eV) in the valence band → **dative charge transfer** from Zn dimer atoms to catechol oxygens.

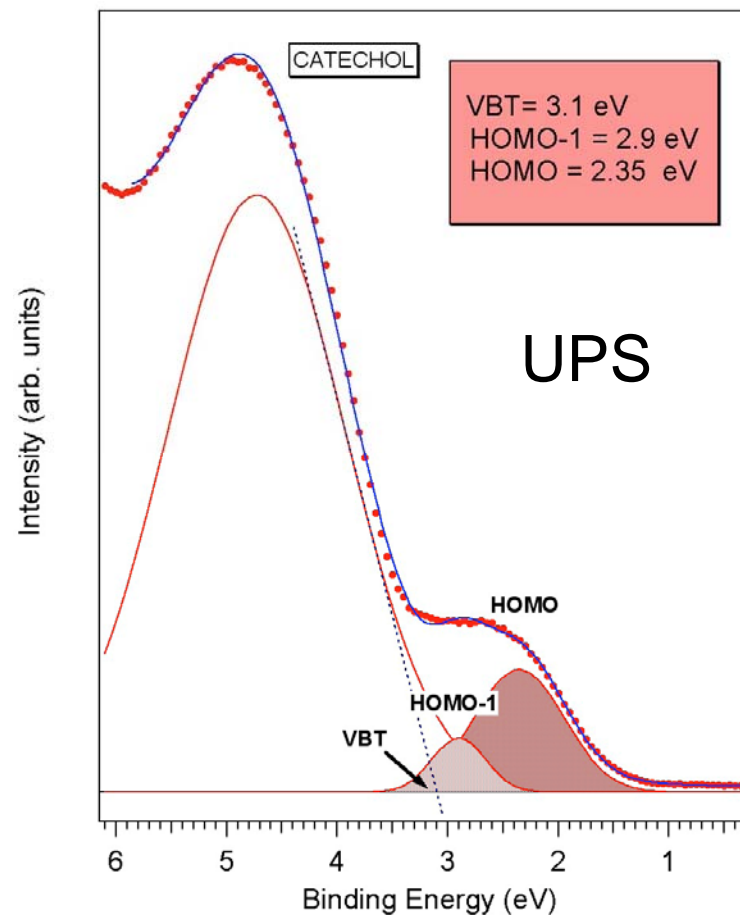
- The gap area → the presence of two **occupied molecular states**, which derive from the HOMO (H_m) and HOMO-1 orbitals of the radical catechol. Corresponding LUMO state (L_m) of the dye is set at higher energy (~6.5 eV) in the conduction band.

-The resulting effective gap of the interface (H_m -CMB) is reduced to 0.9 eV, which is consistent with an optical excitation in the visible range, as observed in the experiments

catechol/ZnO – electronic properties

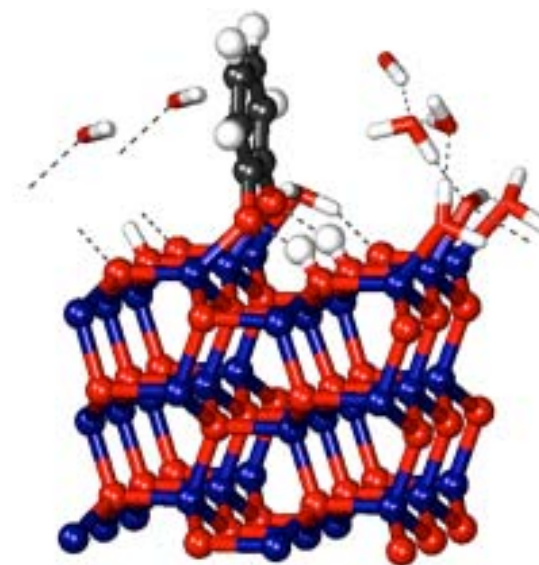
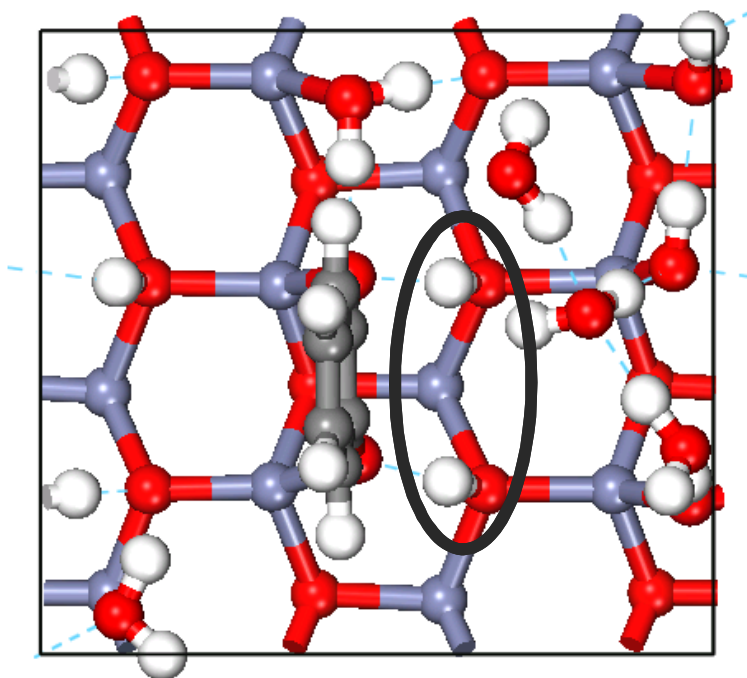


Presence of molecular state
in the ZnO gap confirmed by
photoemission results



Courtesy of V. De Renzi et al. (2011)
UniMoRe & CNR-NANO-S3
Modena

codeposition of catechol + water @ ZnO

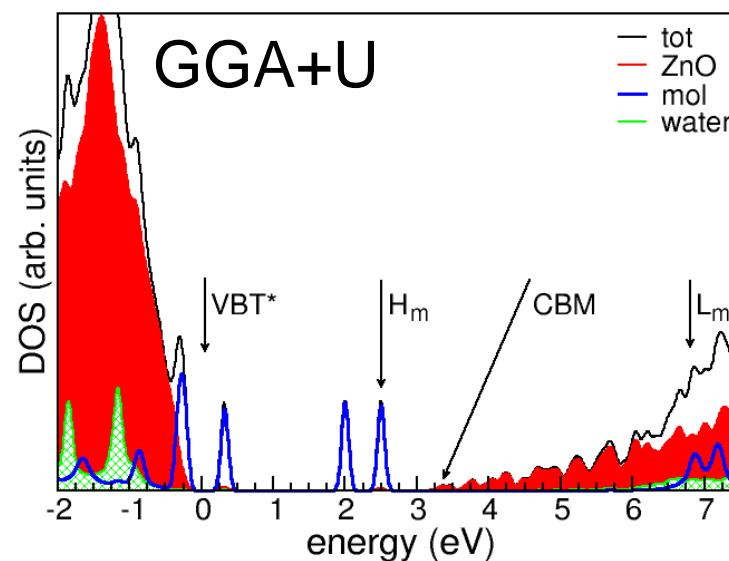


Effect of water

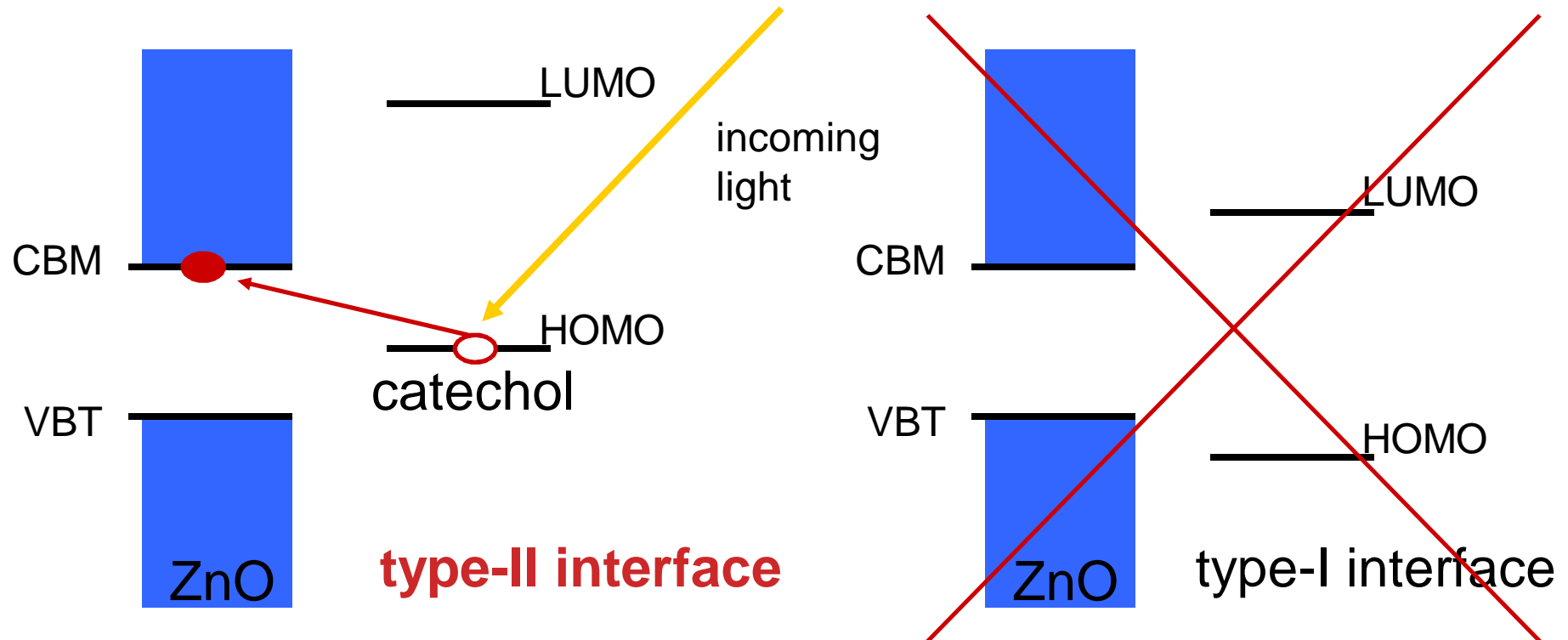
- Double de-protonation
- Surface dimer saturation
- Shift of molecular state in ZnO gap



Modification of surface acidity

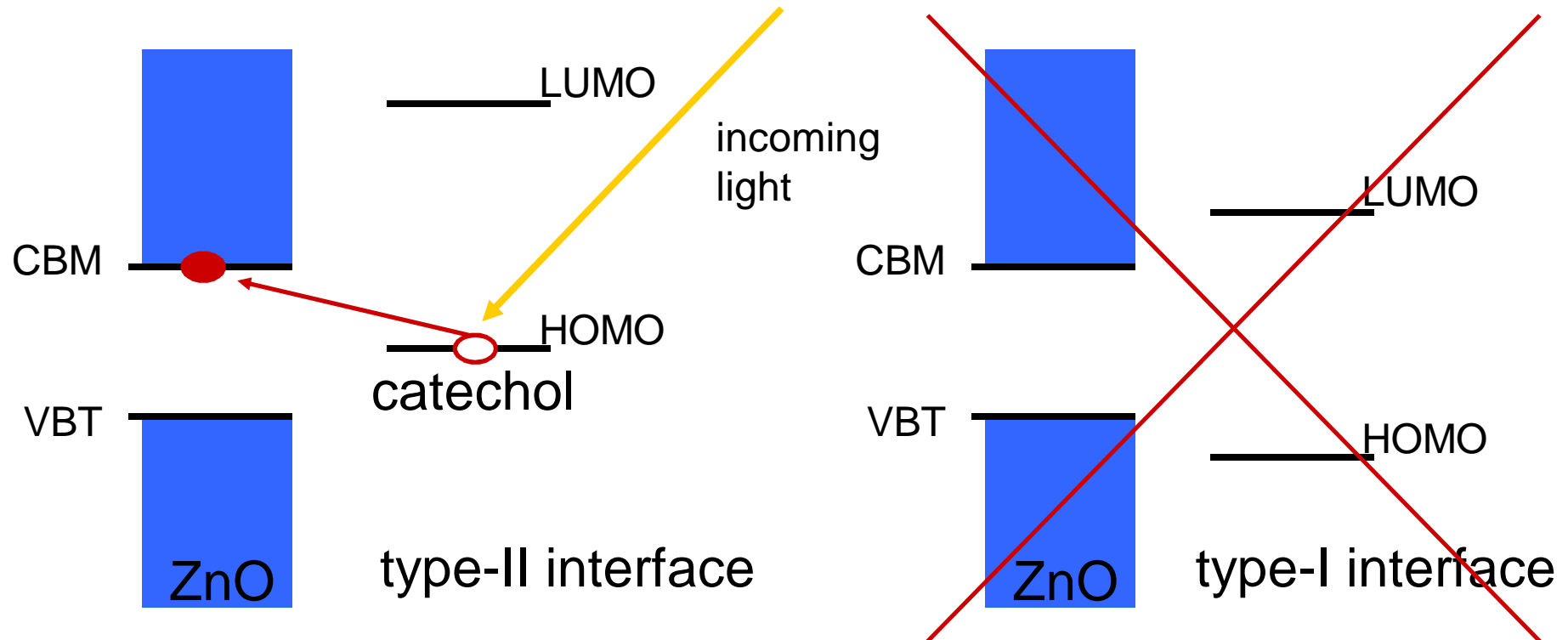


catechol/ZnO – summary



Adsorption of catechol translates the intrinsic optical properties of ZnO to those of a **staggered type-II interface**, which is able to absorb light across the UV-vis range and may separate electrons and holes across the interface, fast injecting photoelectrons from the dye to the metal-oxide.

catechol/ZnO – summary



Open questions:

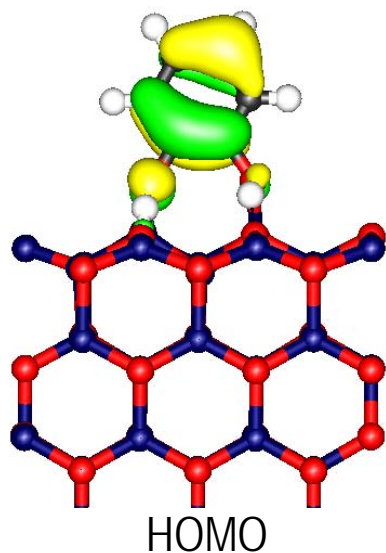
- What pins the molecular **HOMO inside the ZnO gap** → type-II interface?
- How can we **modify energy position** of the molecular orbitals, in order to modulate the band alignment of the interface?

band alignment problem

OBSERVATION:

After adsorption the H m state is still a purely molecular state not affected by the interaction with the surface (binding states are ~ 3.5 eV lower in energy).

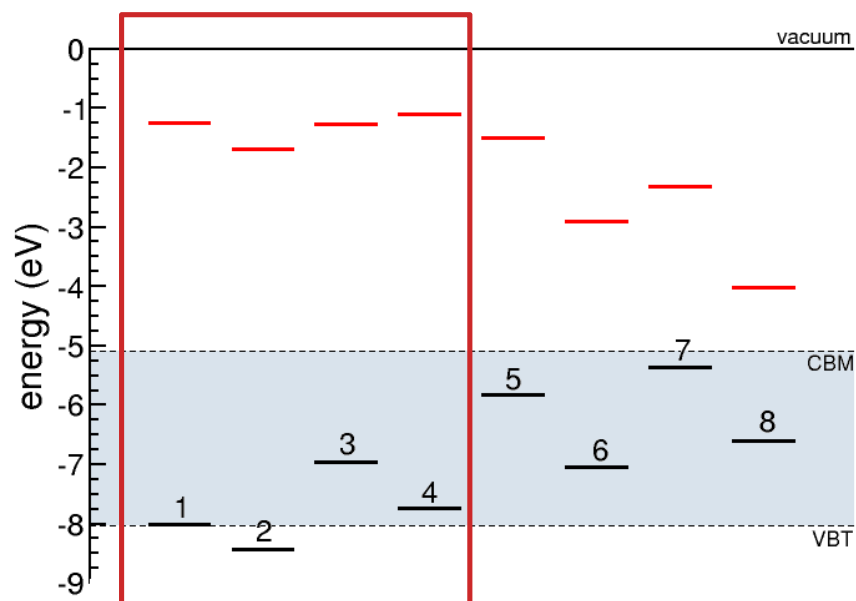
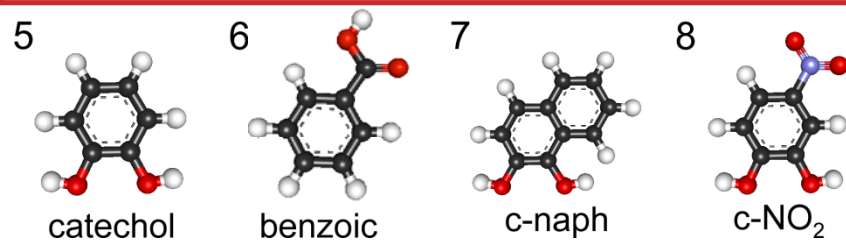
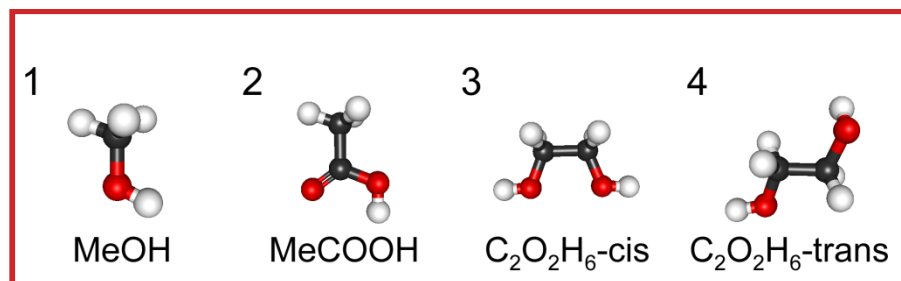
→ The energy position of the catechol HOMO within the ZnO gap is mainly dictated by its intrinsic **low ionization potential wrt the ZnO one**



Catechol HOMO has contributions on both **anchor group** and **aromatic ring**

How do they affect the energy position of HOMO state?

band alignment problem

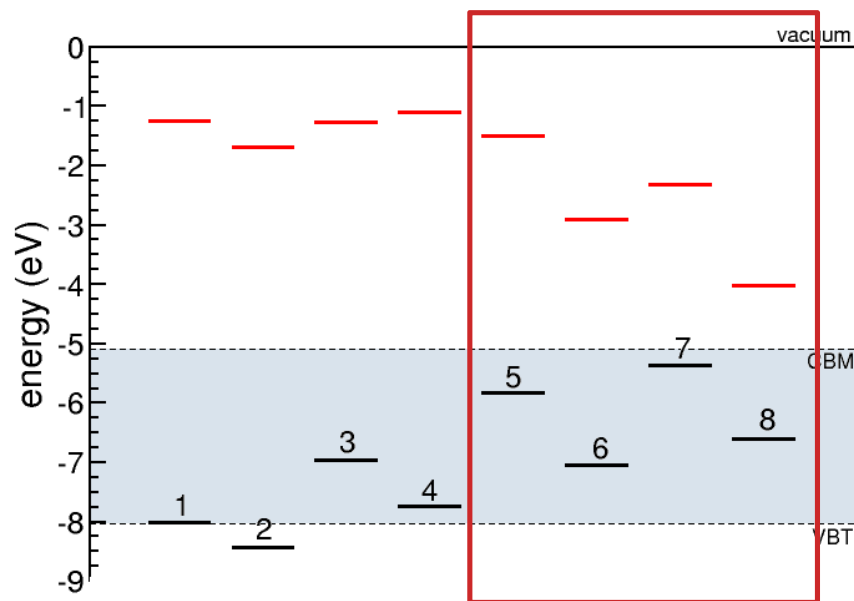
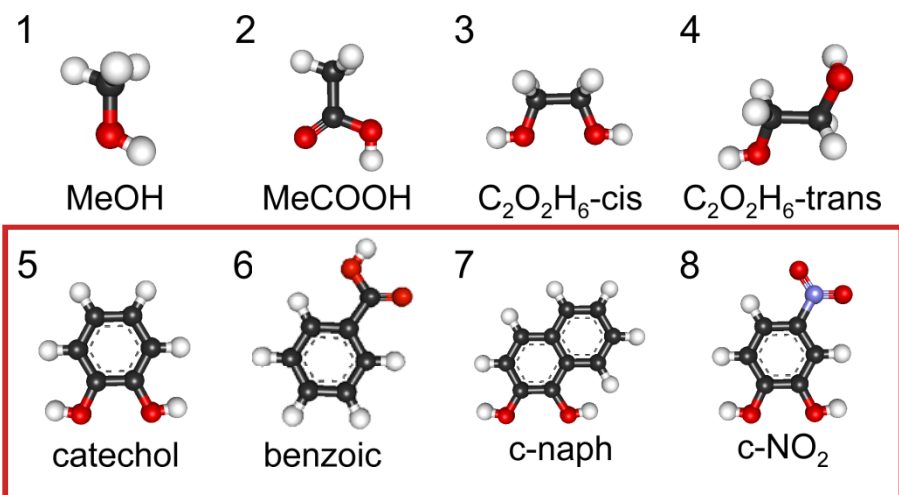


ANCHOR GROUPS

- 3 groups: -OH, COOH, C₂O₂
- Methanol and acetic acid do not introduce states in the ZnO gap

• C₂O₂H₆-cis fragment sets the HOMO level deep inside the ZnO gap → C₂O₂H₆-cis has **two close lone pairs that repulse each other, reducing the ionization potential**, i.e. **shifting HOMO to higher energies**.

band alignment problem



A. Calzolari, A. Ruini, A. Catellani, JACS **2011** 133, 5893.

ANCHOR GROUPS

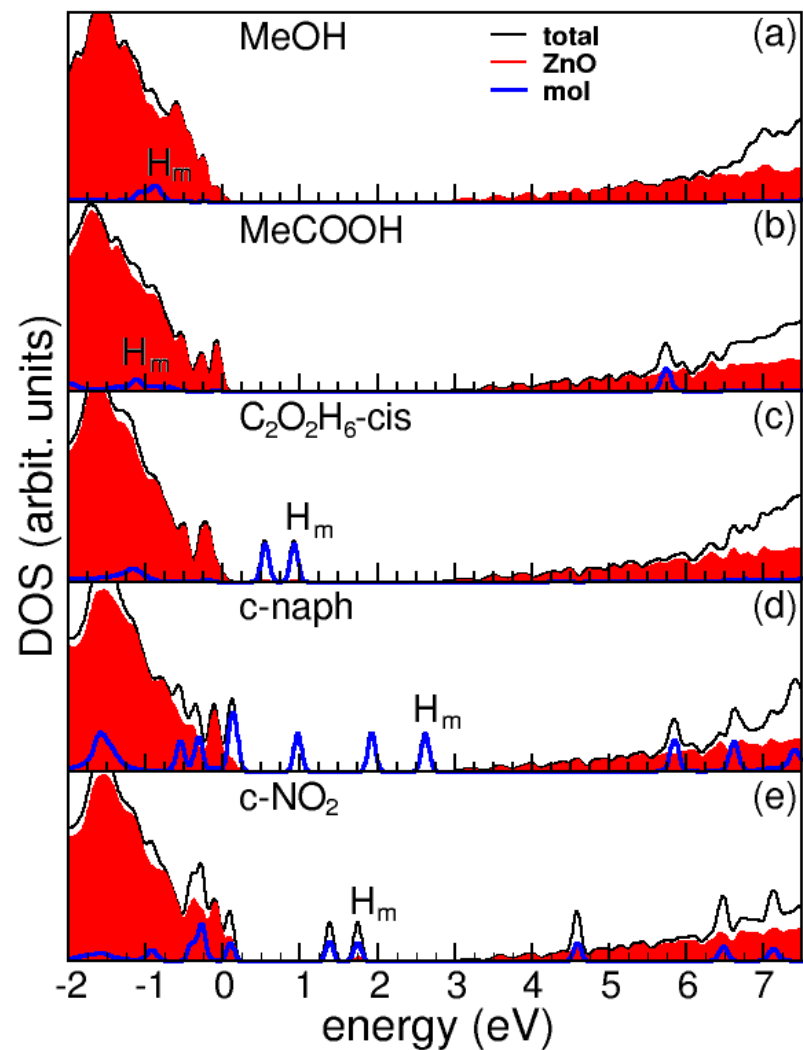
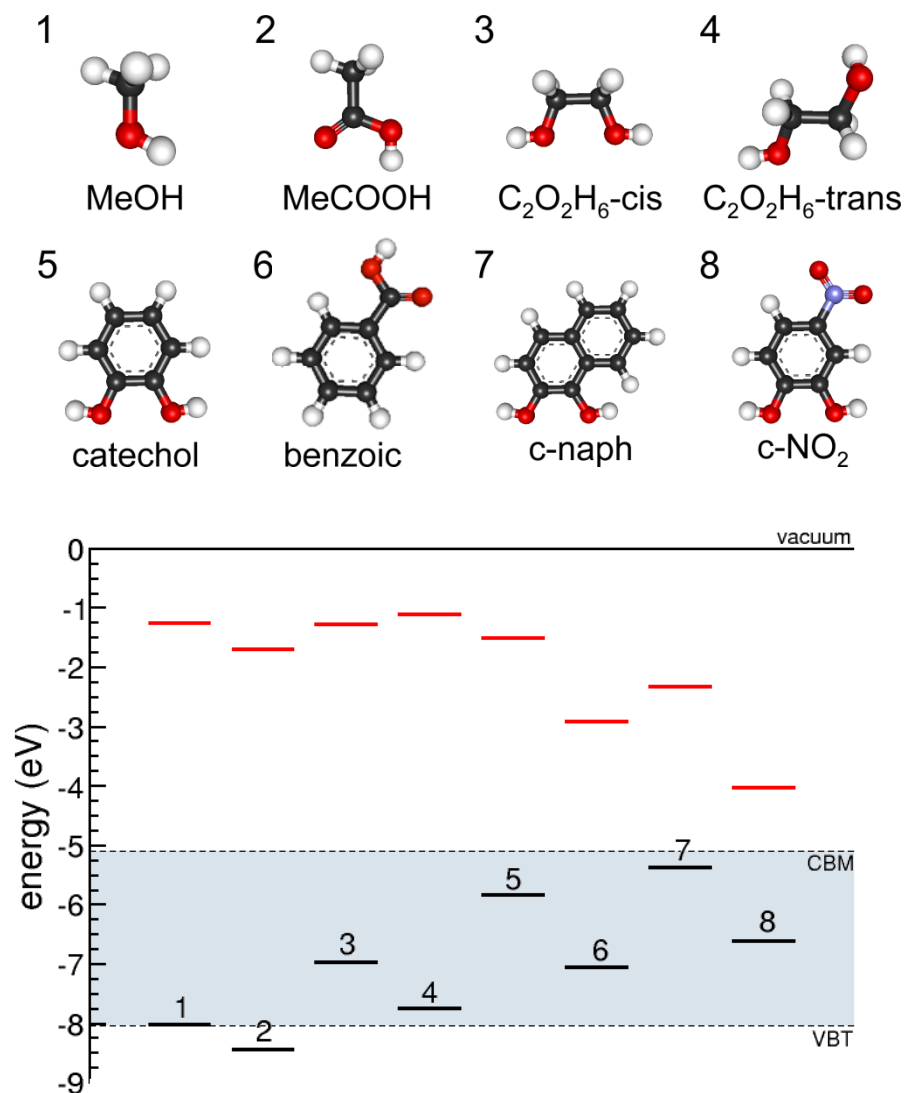
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CONJUGATION

- Both conjugated chains and aromatic rings **shift the HOMO to higher energies**, even in the presence of COOH anchor group.
- **Effects of anchor groups and charge conjugation sum almost linearly**
- Inclusion of strong electron acceptor (NO₂) reduces the repulsion between oxygen of anchor groups shifting down the HOMO state.

band alignment problem



Trend confirmed in full interfaces

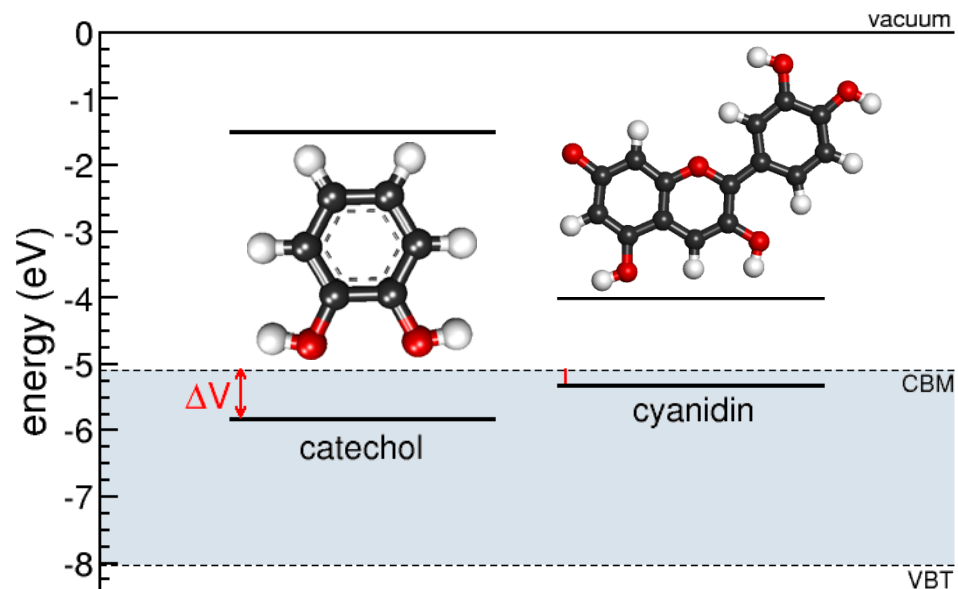
band alignment problem

RATIONAL FOR THE BEST SUITED SURFACE FUNCTIONALIZATION

- In the case of applications that require a reasonably **high ΔV /voltages** (e.g. solar cells) or **p-like behavior**, the inclusion of electron **acceptor functional** groups is preferred.
- On the contrary, in order to have **n-like materials** (e.g. transparent conducting oxides) or for devices working in the **infrared regime**, the inclusion of **aromatic** systems and/or **electron donor** groups is preferred.
- A proper combination of the two allows for **intermediate configurations**.

To be confirmed by experiments in collaboration with
V. De Renzi et al (UniMORE and CNR-NANO-S3, Modena)

what can we expect in the case of cyanin?



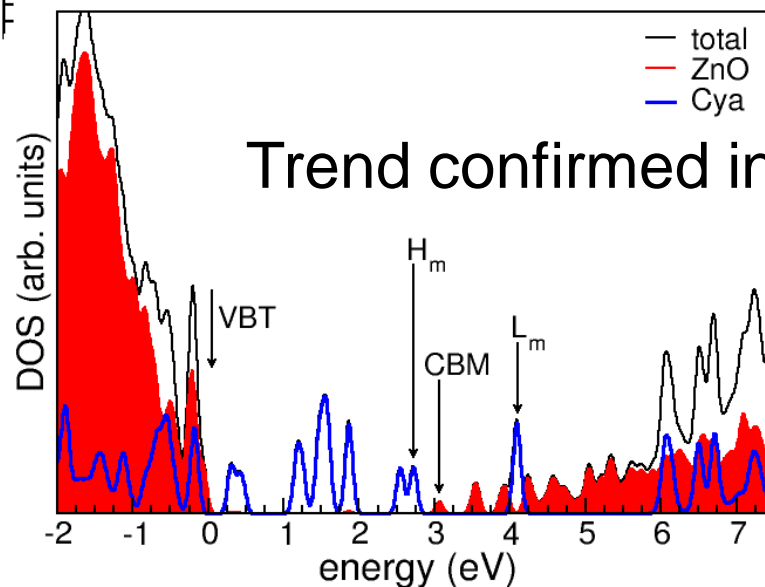
Good light absorption

Type-II interface but

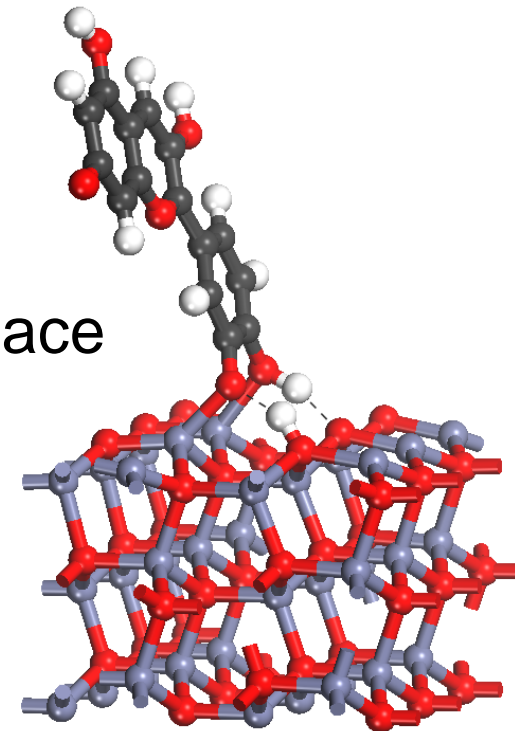
→ low extracted photovoltage ΔV

→ low efficiency

$$\eta = \frac{I_{max}}{I_{in}} = \frac{i_{sc} V_{oc} F F}{I_{in}}$$



Trend confirmed in full interface



conclusions

- We characterized the **structural, electronic and optical properties** of the main components of the active part of a prototypical DSSC
 - **molecular dye (cyanin)**
 - **metal-oxide substrate (ZnO)**
 - **TCO anode (Al:ZnO)**

and their interaction with the surrounding **water environment**

- Single **subsystems** have intrinsic properties **suitable for solar cell applications**
- The formation of hybrid dye/substrate/TCO **interfaces may change the intrinsic properties** of the single subsystem
- Theoretical simulations as **predicting tool** for rationale DSSC design

acknowledgments

Theory

Alice Ruini - CNR-NANO, Modena IT

Alessandra Catellani - CNR-IMEM, Parma IT

Stefano Baroni – SISSA, Trieste IT

Ralph Gebauer – ICTP, Trieste IT

Susanna Monti – CNR-IPCF, Pisa IT

Daniele Varsano – Università' La Sapienza, Roma IT

Experiments

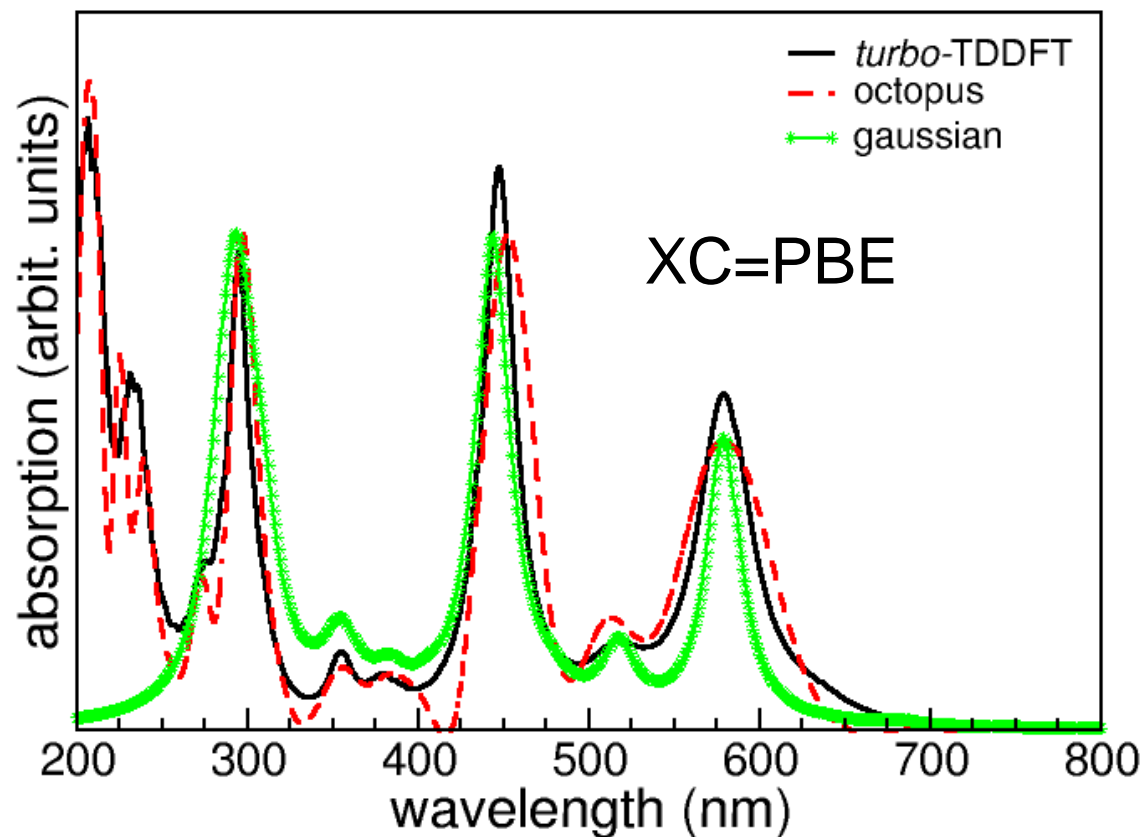
Valentina De Renzi and Paola Luches – UniMoRe and CNR-NANO-S3, Modena IT

Itamar Willner - The Hebrew University of Jerusalem, Israel

!! POSTDOC POSITIONS AVAILABLE !!

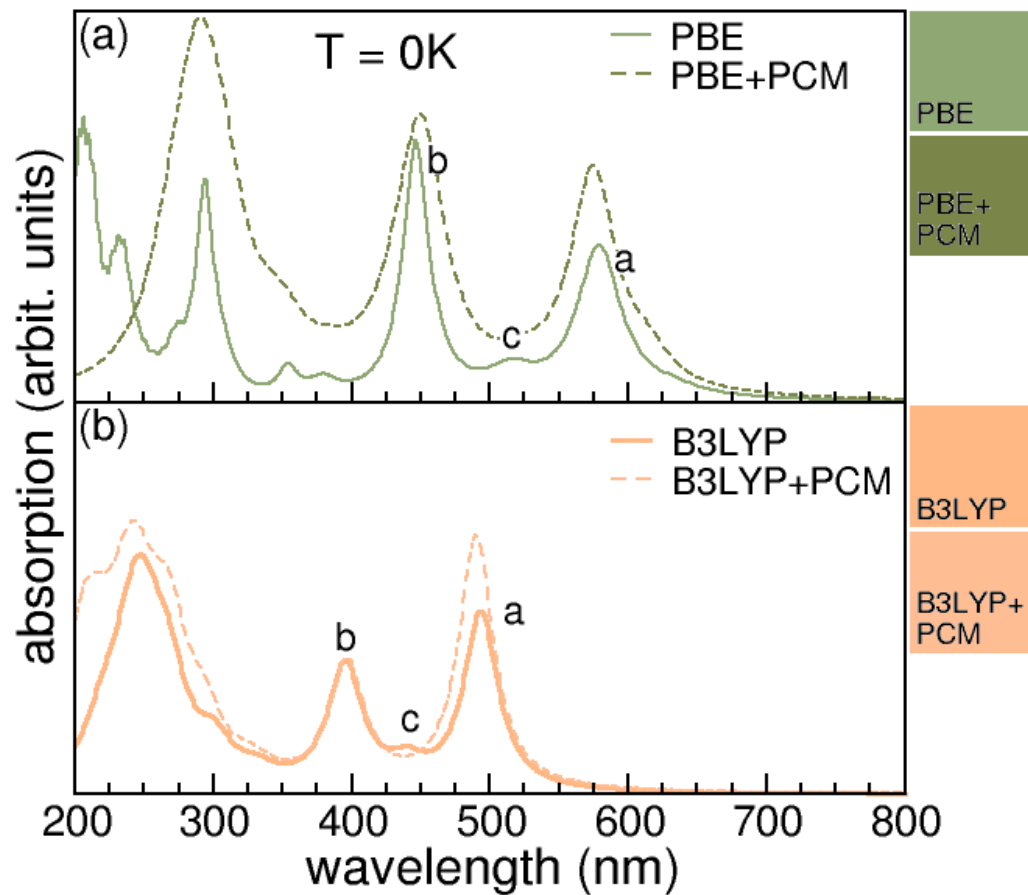
contact: arrigo.calzolari@nano.cnr.it

benchmark tests

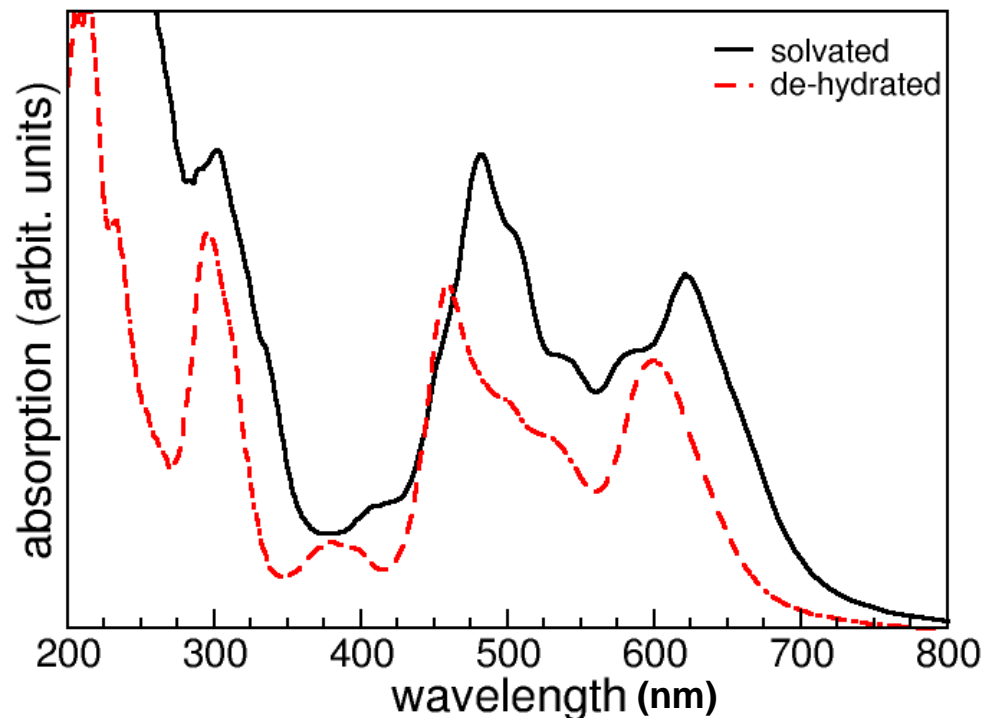


Absorption spectra for the cyanin molecule in the charged flavylum configuration, calculated in vacuum and zero temperature with different TDDFT codes, namely *turbo-TDDFT*, *octopus* and *gaussian09*.

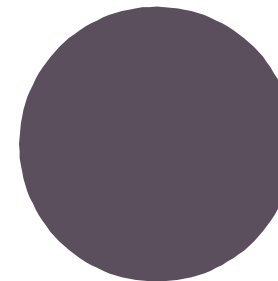
Effect of XC functional



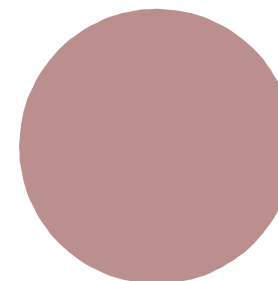
time averaged spectra – de-hydrated system*



simulated color



Solvated



De-hydrated

- Same snapshots, NO water
- Same spectral features as fully solvated →

Dielectric Effect → bato- and hyper-chromism (similar to PCM)

Effect of thermal fluctuations → broadening of absorption spectrum

gap opening

DFT+U* used to correct the bandgap of ZnO

U parameter fitted to reproduce the experimental bandstructure of ZnO bulk.

→ Gap comparison: $\Delta E_g(\text{exp}) = 3.3 \text{ eV}$ $\Delta E_g(\text{DFT}) = 0.7 \text{ eV}$ $\Delta E_g(\text{DFT+U}) = 3.1 \text{ eV}$

