



**The Abdus Salam  
International Centre for Theoretical Physics**



**2328-10**

**Preparatory School to the Winter College on Optics and the Winter College on  
Optics: Advances in Nano-Optics and Plasmonics**

*6 - 17 February, 2012*

**Spectra of Molecules - Vibrational nanospectroscopy for biomolecules and  
nanomaterials**

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Tunisia*

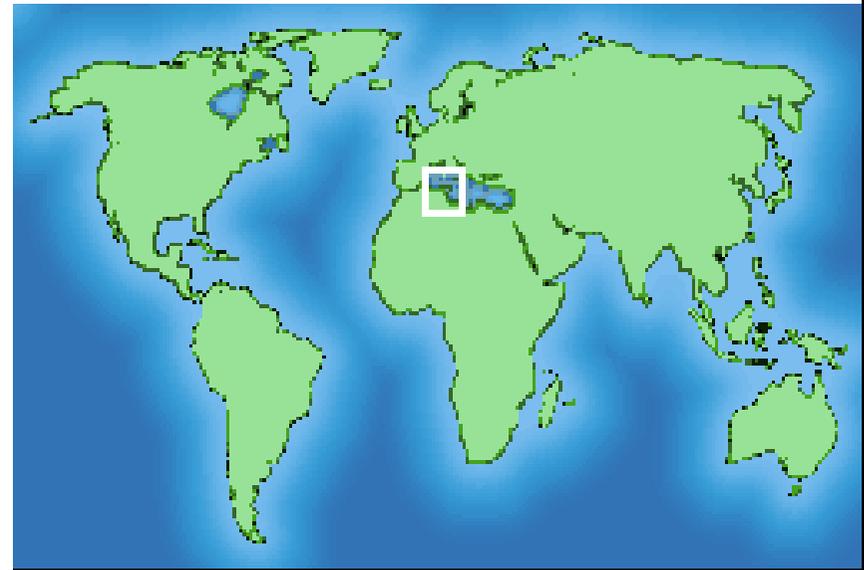


Winter College on Optics  
Advances in Nano-Optics and Plasmonics  
ICTP-Trieste-Italy 6-17 February 2012

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# **Raman & Fluorescence Spectroscopy coupled with scanning tunnelling microscopy**

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I come from Tunisia, from a revolution ,  
A new kind of revolution- It is conducted by new technology!  
Using radiation as messenger!  
as atoms & molecules

Through radiation, they communicate their state of energy, describe their situation, their environment,.....

# Topics

**-Atoms and molecules behaviour**

**-Scales for molecules studies**

**-Example of Molecular structure and dynamics-**

**Spectroscopy of Atoms and molecules**

**Energy states-spectra-**

**environment and spectra**

**Structures & symetry**

**-Nanoplasmonics**

**-STM and Raman & fluorescence spectroscopy**

# Atoms and Molecules behavior

Atoms and molecules as human

sometimes like or attract each other sometimes don't?

This love and hate dynamic in atoms , molecules society

- Why substances in different shapes and phases
- How substances transform to other substances.
- Atoms and Molecules behavior?

&

We have to understand their language, their spectra

To analyse radiation-interaction, collisions,..

&

To access to this Behavior, to this Functioning

**How??**

*“If you want to understand function, study structure”*  
*(Francis Crick)*

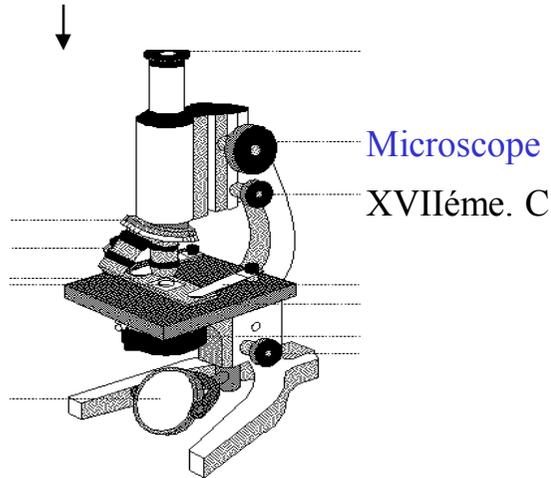
**Function??**

**Function= sequence of events over time, characterized  
by structural modifications**

*“If you want to understand function,  
study time-dependent structures”*

**How??**

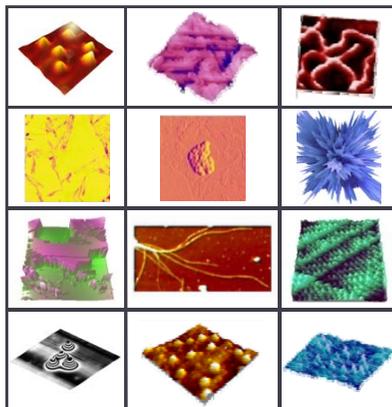
# Observation structure



to studying the living cells



Molecular biology and genetics



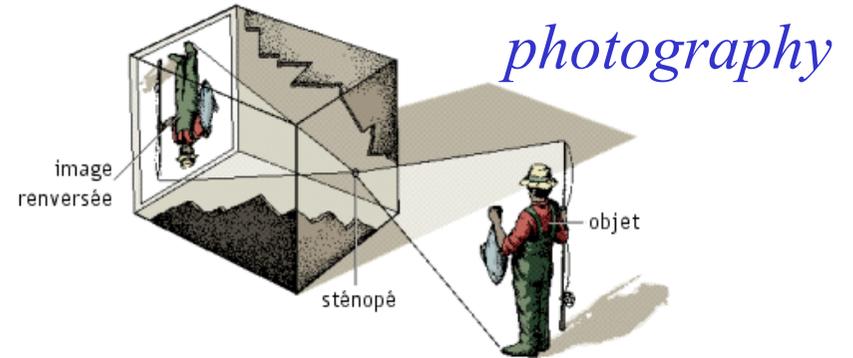
# Registration events Functionning

Telescope  
Begining of  
XVIIème.C



Telescope  
approaches the  
very far

**How** Chronophotography  
molecule-reation??



Camera obscura  
Xeme.C

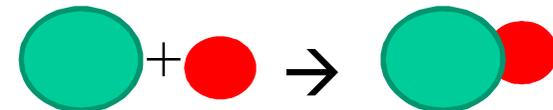


*Registration of  
ephemeral  
phenomena*

# Chronophotography



E.J.Marey (1886 )  
( ~7 positions /photo)



There is a need to bring the world of atoms and molecules

- very close for observation

but also

- to freeze them in time so we can take snapshots

in chemical reactions ,

atoms move along  $\sim$  few Å–



**Spatial resolution needed  $\sim$  0,1 Å**

atoms move at  $\sim 10^6$  m/s

$$(0,1 \text{ \AA}) / (10^6 \text{ m/s}) = 10^{-14} \text{ s} = 10 \text{ fs}$$



**Time resolution needed  $\sim$  1 fs**

## Scale for molecules studies

**Spatial**



nanoscale

**Time**



femtosecond



**Nanoplasmonics at atomic scale**



**Raman & Fluorescence Spectroscopy coupled with  
Scanning Tunnelling Microscopy**

# Increasing Importance of Molecular Physics

**Dev. of New Experimental  
Technology**



increase in

- Sensitivity
- Spectral resolution(space, times cale)



Measurement of structure of  
molecules & dynamics even for  
large molecules



Studies of chemical reactions and  
biological process on molecular  
level---→ **New Technolgies**

**Dev. of Fast Computer  
& Sophisticated Software**



- structure
- Dynamics +
- Kinetic of reaction , ....

with

- Visualization on computer in slow  
mov.



**Understanding**

# **Molecular structure and Dynamics spectroscopy**

# Spectroscopy

Spectroscopy: analysis of various types of e.m radiation (abs. or em. by the atom or molecule → understanding of the system)

The spectroscopic information can be in various kind of the energy analysis-

The choice of spectroscopic method is primarily determined by **the energy range** of the phenomenon to be studied

## Radio & micro<sup>waves</sup>

Molecular rot<sup>ations</sup>  
Hyperfine struc<sup>ture</sup>  
Isotopic shifts

MHz,  $\text{cm}^{-1}$

## IR

Molec. Vib<sup>rations</sup>  
Fine struc<sup>ture</sup>

$\mu\text{m}$ ,  $\text{cm}^{-1}$

## Visible - UV

outer electron  
transition

nm, Å

## X rays

inner electron  
transition

keV

## Spectroscopic phenomena and Energy scales

**Energy**       $\Delta E = h\nu = (h/2\pi)\omega = hc/\lambda$

**Wavelength**       $\lambda = c/\nu$

**Wavenumber**       $1/\lambda = \nu/c$

**Frequency**       $\nu = c/\lambda$

1eV  $\longleftrightarrow$  8000cm<sup>-1</sup>  $\longleftrightarrow$  12000Å)

# Atoms

Nucleus ( neutrons+protons), electrons; Mn/Me~ 2000  
 size of the atom~ $10^5$  size of the nucleus

Electron Distribution on orbitals ( s, p, d,.. ...) filled by  
 a maximum of ( 2, 6, 10,.. ) electrons

- ${}^1\text{H}$   ${}^2\text{He}$
- ${}^3\text{Li}$   ${}^4\text{Be}$   ${}^5\text{B}$   ${}^6\text{C}$   ${}^7\text{N}$   ${}^8\text{O}$   ${}^9\text{F}$   ${}^{10}\text{Ne}$
- ${}^{11}\text{Na}$   ${}^{12}\text{Mg}$   ${}^{13}\text{Al}$   ${}^{14}\text{Si}$   ${}^{15}\text{P}$   ${}^{16}\text{S}$   ${}^{17}\text{Cl}$   ${}^{18}\text{Ar}$
- ${}^{19}\text{K}$   ${}^{20}\text{Ca}$   ${}^{21}\text{Sc}$   ${}^{22}\text{Ti}$   ${}^{23}\text{V}$   ${}^{24}\text{Cr}$   ${}^{25}\text{Mn}$   ${}^{26}\text{Fe}$   ${}^{27}\text{Co}$   ${}^{28}\text{Ni}$   ${}^{29}\text{Cu}$   ${}^{30}\text{Zn}$   ${}^{31}\text{Ga}$   ${}^{32}\text{Ge}$   ${}^{33}\text{As}$   ${}^{34}\text{Se}$   ${}^{35}\text{Br}$   ${}^{36}\text{Kr}$
- ${}^{37}\text{Rb}$   ${}^{38}\text{Sr}$   ${}^{39}\text{Y}$   ${}^{40}\text{Zr}$   ${}^{41}\text{Nb}$   ${}^{42}\text{Mo}$   ${}^{43}\text{Tc}$   ${}^{44}\text{Ru}$   ${}^{45}\text{Rh}$   ${}^{46}\text{Pd}$   ${}^{47}\text{Ag}$   ${}^{48}\text{Cd}$   ${}^{49}\text{In}$   ${}^{50}\text{Sn}$   ${}^{51}\text{Sb}$   ${}^{52}\text{Te}$   ${}^{53}\text{I}$   ${}^{54}\text{Xe}$
- ${}^{55}\text{Cs}$   ${}^{56}\text{Ba}$  \*  ${}^{72}\text{Hf}$   ${}^{73}\text{Ta}$   ${}^{74}\text{W}$   ${}^{75}\text{Re}$   ${}^{76}\text{Os}$   ${}^{77}\text{Ir}$   ${}^{78}\text{Pt}$   ${}^{79}\text{Au}$   ${}^{80}\text{Hg}$   ${}^{81}\text{Tl}$   ${}^{82}\text{Pb}$   ${}^{83}\text{Bi}$   ${}^{84}\text{Po}$   ${}^{85}\text{At}$   ${}^{86}\text{Rn}$
- ${}^{87}\text{Fr}$   ${}^{88}\text{Ra}$  +
- Lanthanides  ${}^{57}\text{La}$   ${}^{58}\text{Ce}$   ${}^{59}\text{Pr}$   ${}^{60}\text{Nd}$   ${}^{61}\text{Pm}$   ${}^{62}\text{Sm}$   ${}^{63}\text{Eu}$   ${}^{64}\text{Gd}$   ${}^{65}\text{Tb}$   ${}^{66}\text{Dy}$   ${}^{67}\text{Ho}$   ${}^{68}\text{Er}$   ${}^{69}\text{Tm}$   ${}^{70}\text{Yb}$   ${}^{71}\text{Lu}$
- +Actinides  ${}^{89}\text{Ac}$   ${}^{90}\text{Th}$   ${}^{91}\text{Pa}$   ${}^{92}\text{U}$   ${}^{93}\text{Np}$   ${}^{94}\text{Pu}$   ${}^{95}\text{Am}$   ${}^{96}\text{Cm}$   ${}^{97}\text{Bk}$   ${}^{98}\text{Cf}$   ${}^{99}\text{Es}$
-

# Structure of Atoms

Cu I Ground State  $1s^2 2s^2 2p^6 3s^2 3p^6 3d^{10} 4s$

Ag I Ground State  $1s^2 2s^2 2p^6 3s^2 3p^6 3d^{10} 4s^2 4p^6 4d^{10} 5s$

Au I Ground State  $1s^2 2s^2 2p^6 3s^2 3p^6 3d^{10} 4s^2 4p^6 4d^{10} 4f^{14} 5s^2 5p^6 5d^{10} 6s$

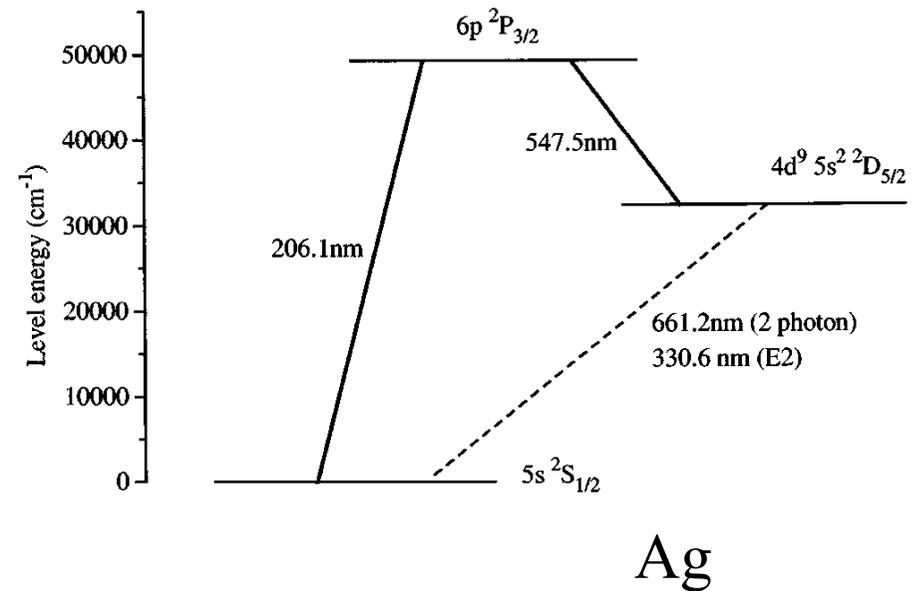
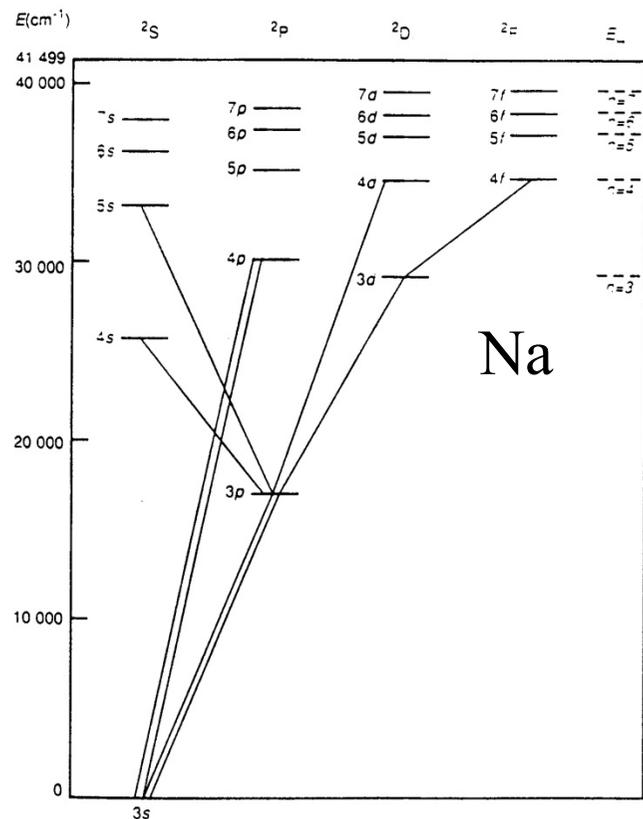
**Ex: in plasmonics , Metal atom Cu, Ag, Au as alcalin**

• Isotope	Mass	Abundance	Spin	Magn Moment	Ionization energy
• $_{29}\text{Cu}$	63	69,1%	3/2	2,22	7,72638 eV
	65	30,1	3/2	2,38	
• $_{47}\text{Ag}$	106.905092	51.84%	1/2	-0.1135	7.57623 eV
	108.904757	48.16%	1/2	-0.1305	
• $_{79}\text{Au}$	196.966543	100%	3/2	0,14	9.22553 eV

# Atomic energy states

Different energy levels- Each energy state has a precise dynamic due to orbital moment  $L$ , spin  $S$  and  $L,S$  Interaction

Interaction with an electric field will be with the outer electrons first



## Molecule

A molecule is formed by the binding of 2 or more atoms in such a way that the total energy is lower than the sum of the energies of the constituents. The bonds are normally of ionic or covalent nature. Particularly weak bonds occur in van der Waals molecules

Classically light is emitted by a system only if **its electric dipole moment is changed**-This rule valid classically for atoms is also valid by QM and is also valid for molecule

The dynamic of a molecule is connected to movement of electrons and nucleus with  $E_e, E_v, E_r$ ,

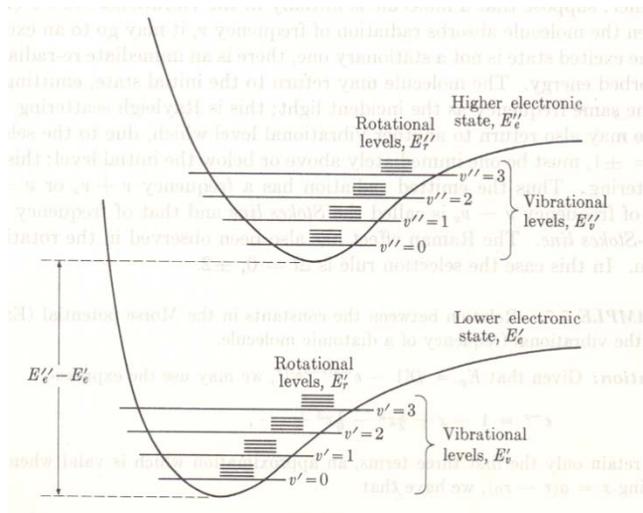
# Molecular energy states

In all chemical, biological and physical processes,

primary events: Electronic structure change



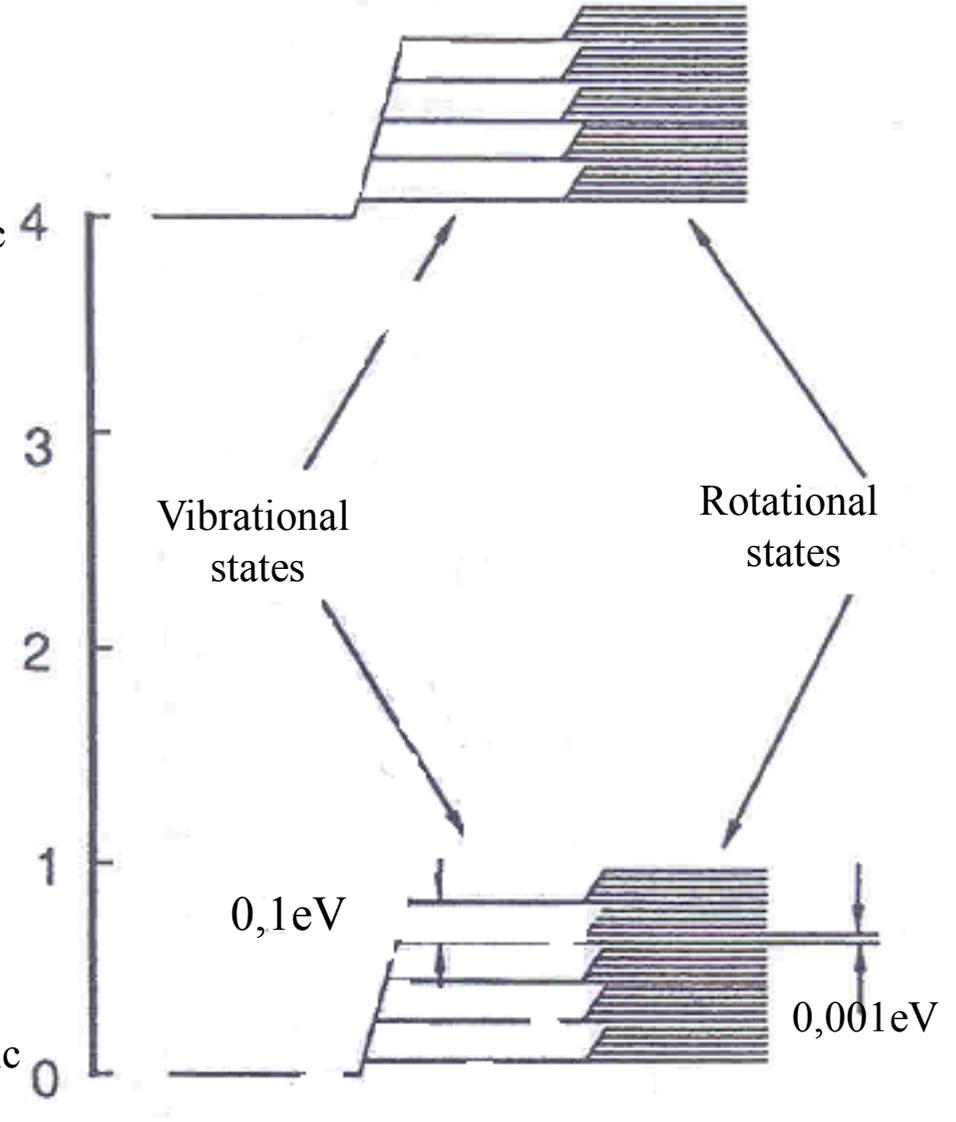
geometric structural changes,  
i.e.  
nuclear motion



## Diatomic molecule Energy States

Excited  
electronic  
states

Energy (eV)

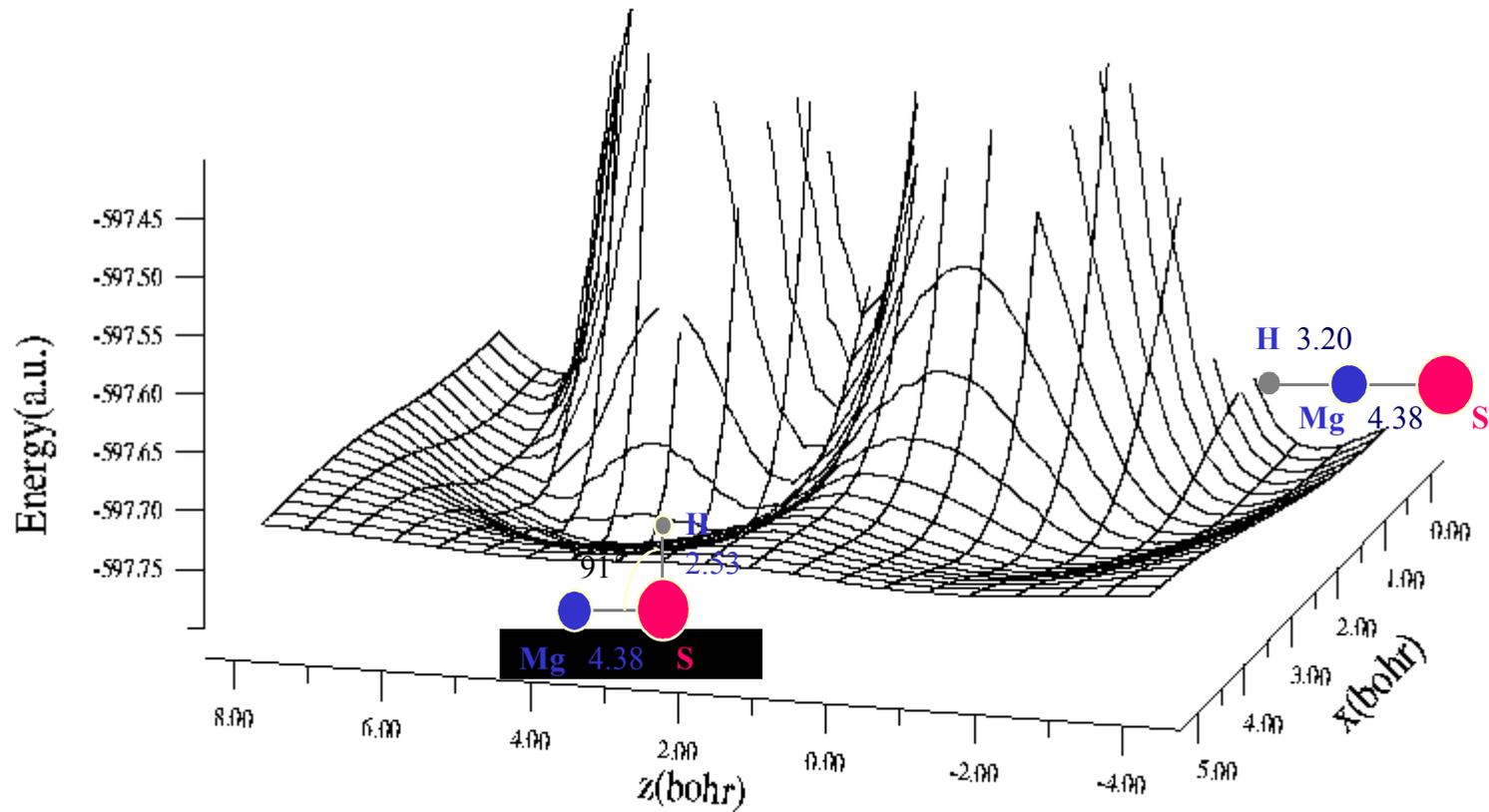


with  $E_e \gg E_v \gg E_r$

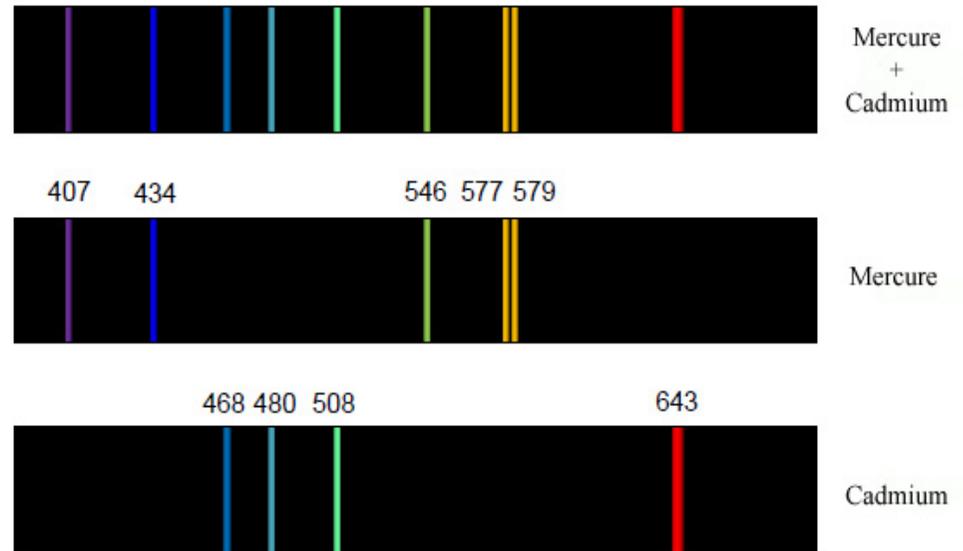
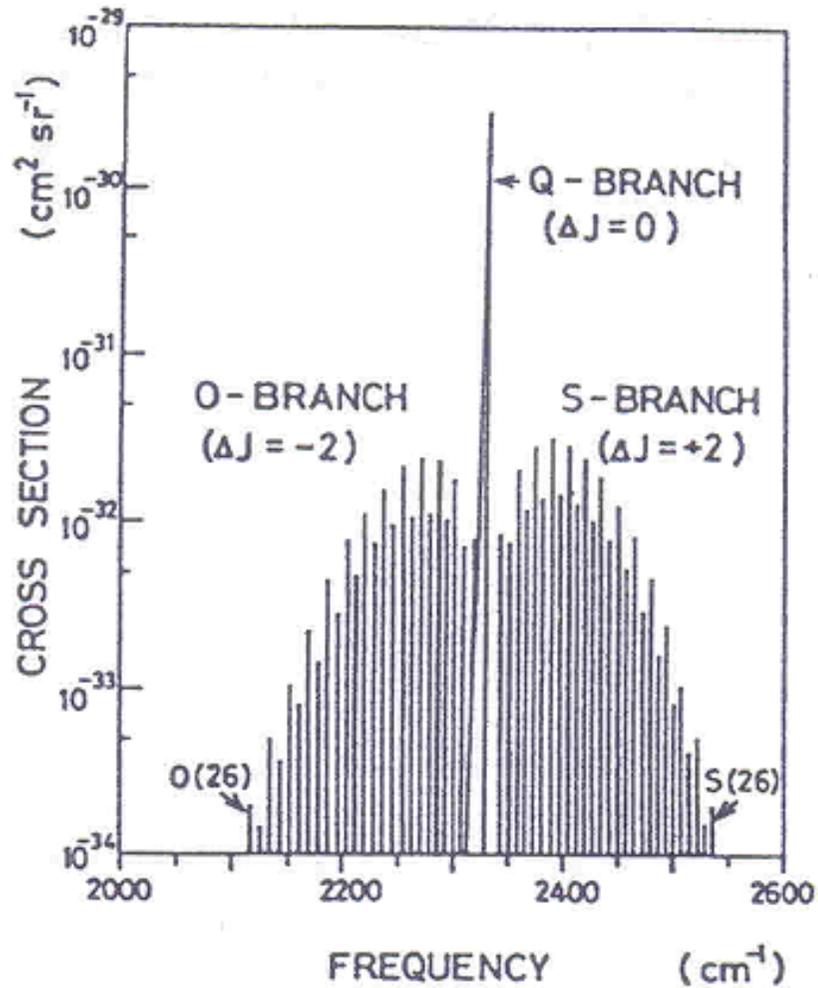
# Example of Energy State for 3 atomic- molecule

## Surface for $X^2A'$ State

### molecule MgSH

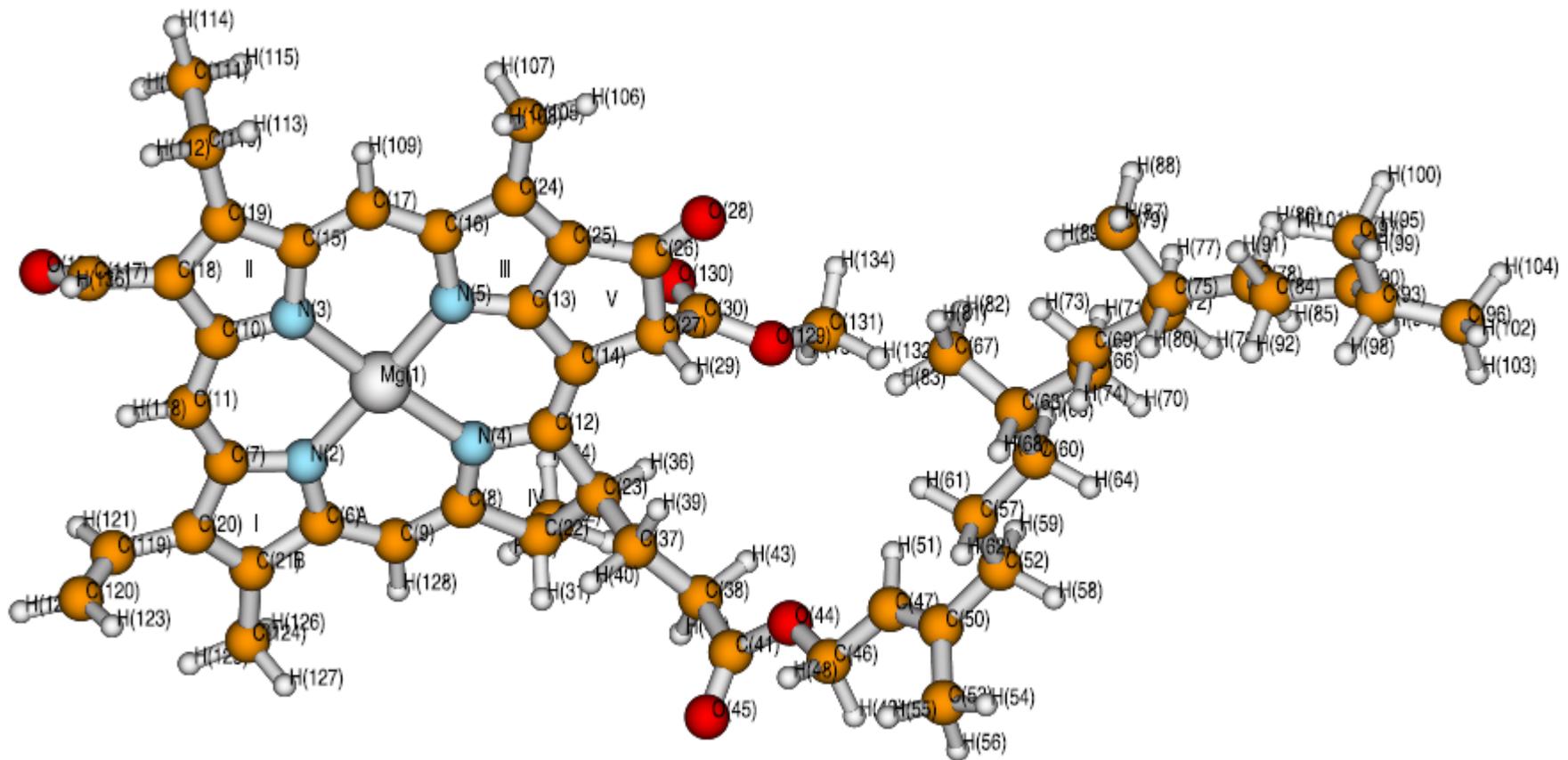


# Ex of molecular & atomic Spectra



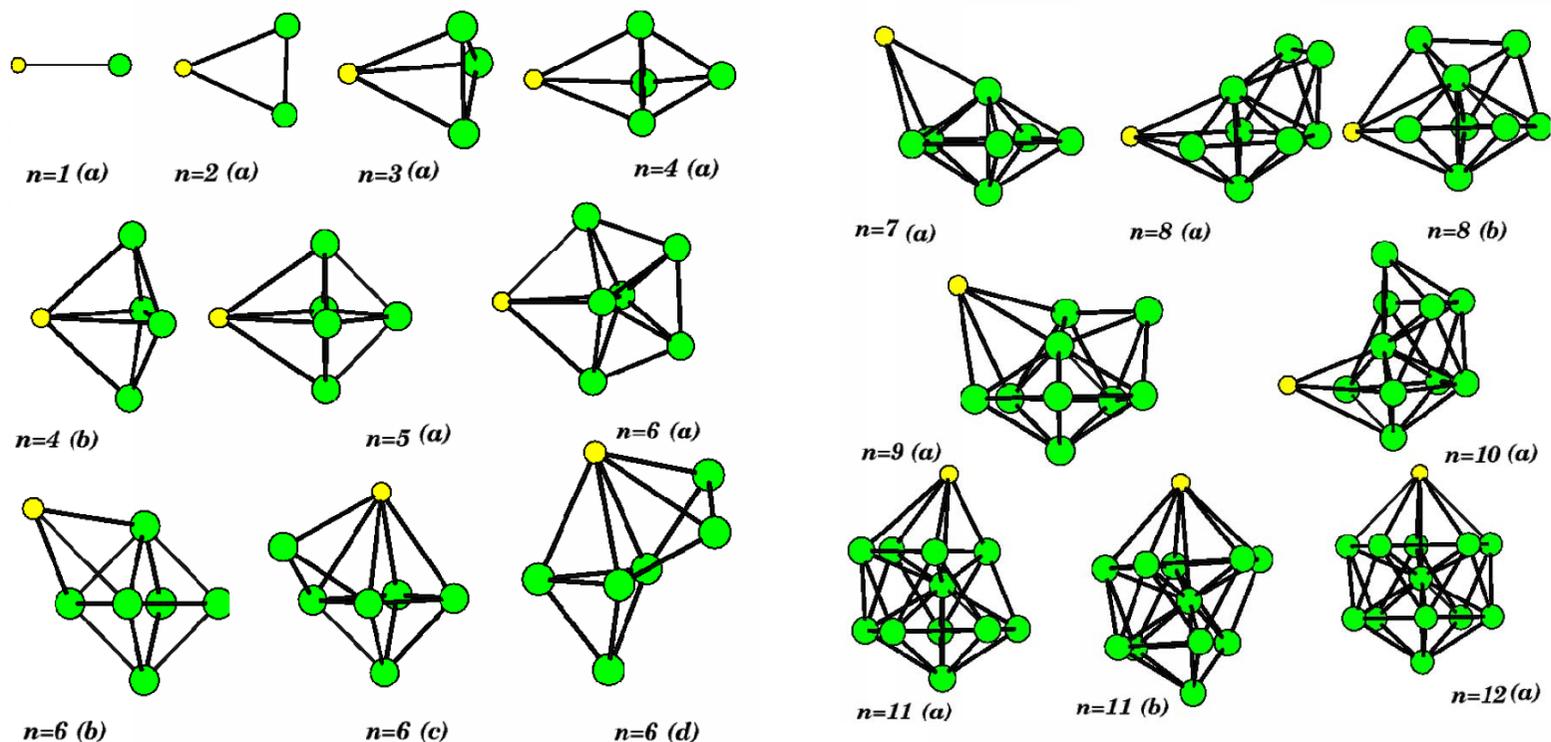
Vibrational-rotational raman spectrum of N<sub>2</sub>

# Structure



chlorophyll

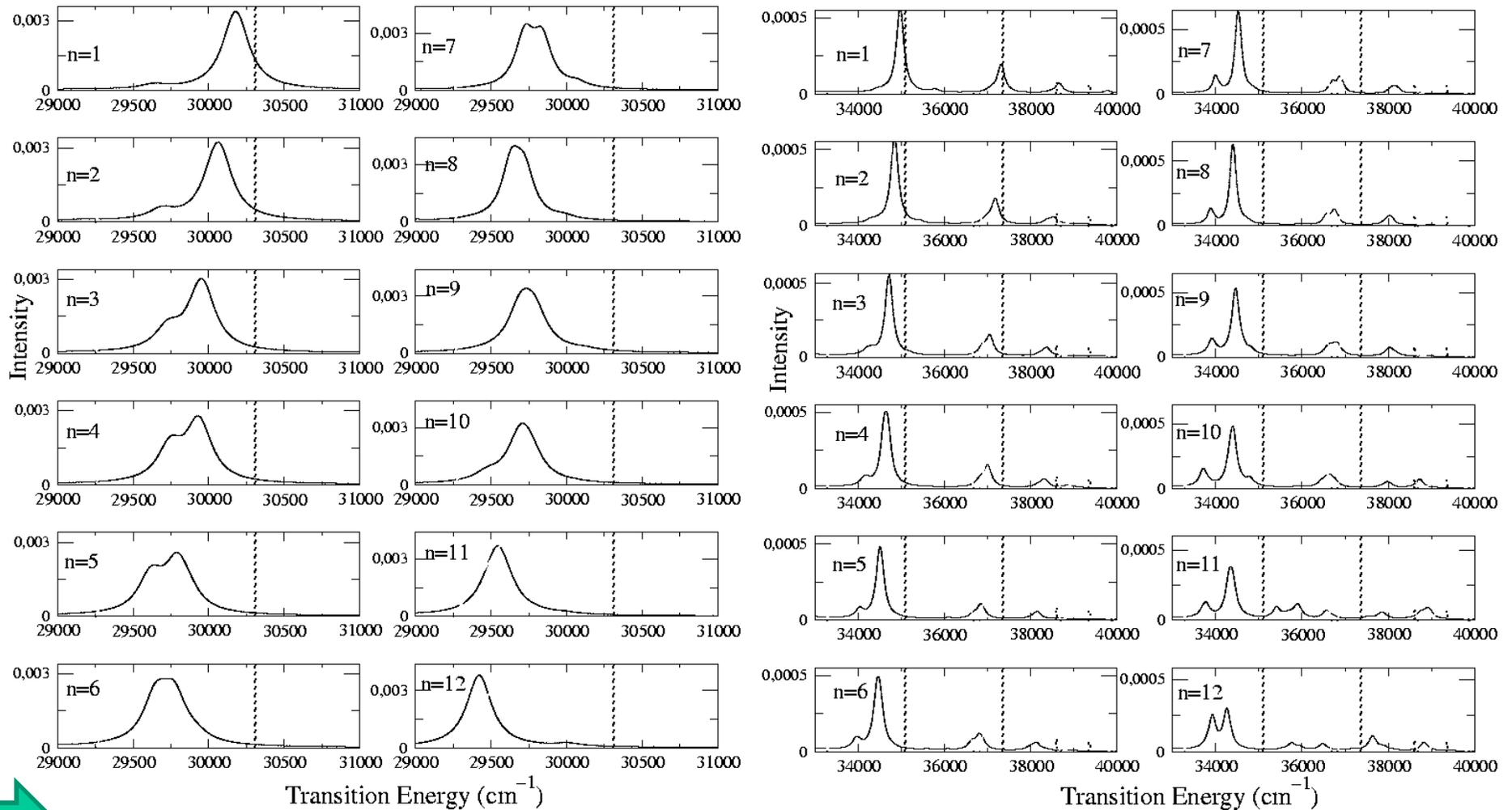
# Isomers' Structures of $\text{NaAr}_n$ Agregates



Na on the surface of the Ar aggregate

$$E_{\text{NaAr}} = 40 \text{ cm}^{-1} < E_{\text{Ar-Ar}} = 100 \text{ cm}^{-1}$$

# Transition Spectra to 4p et 5p of NaAr<sub>n</sub>

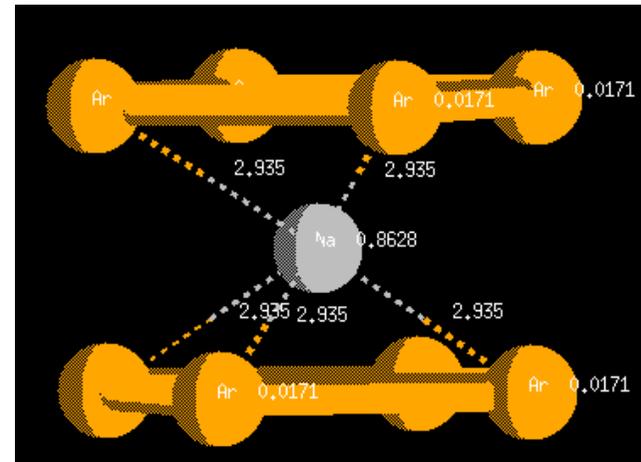
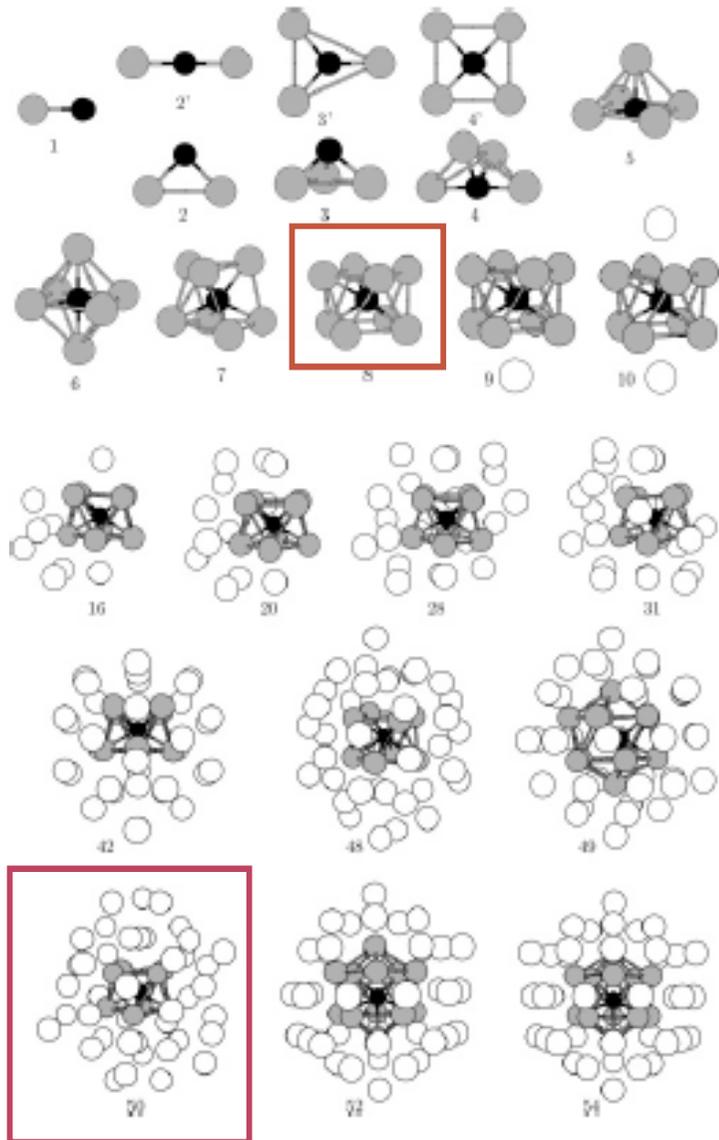


**transitions to excited states 4p et 5p depend on the structure of aggregates**

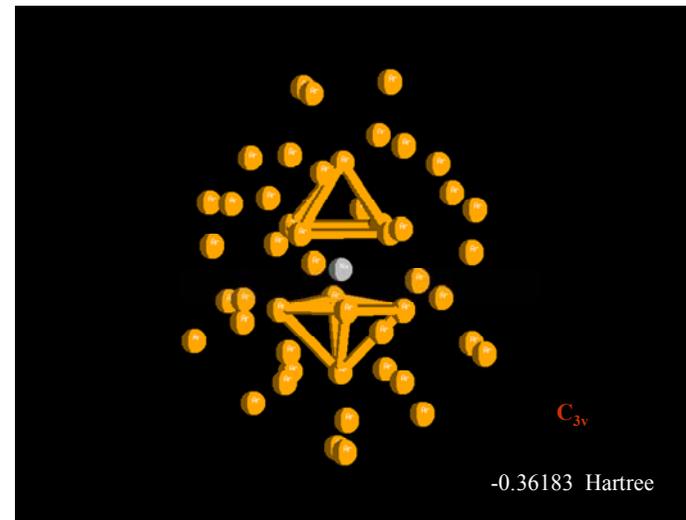
# agregate

## $\text{Na}^+\text{Ar}_n$

confinement change and symetry group change for increasing n in the from n=8 ( first confinement) to the second confinement (n=50)

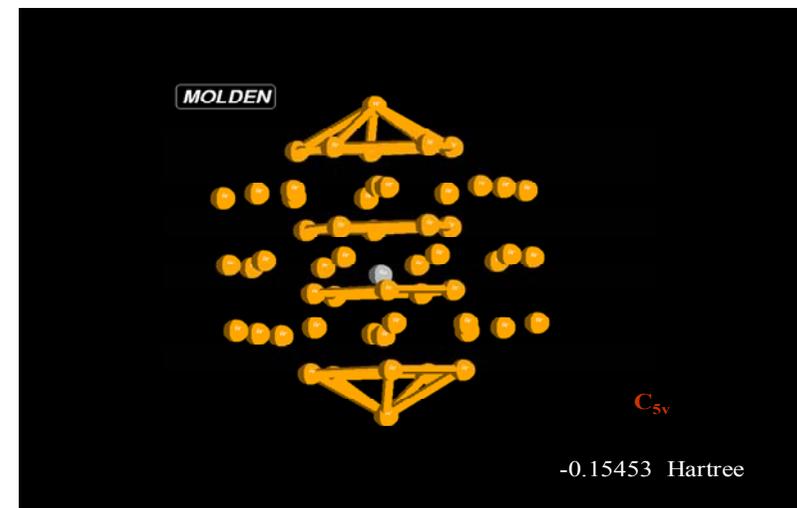
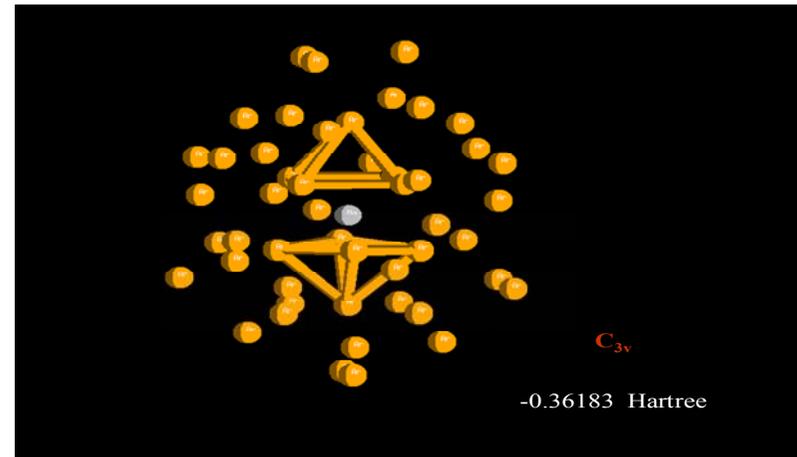
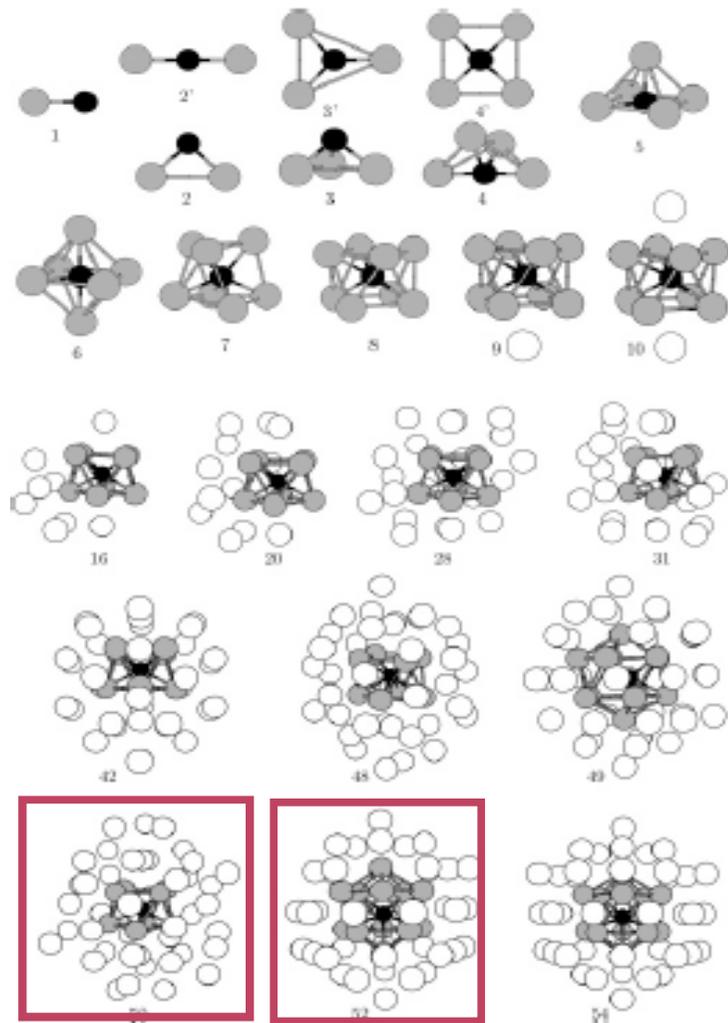


$D_{4d}$   
n=8



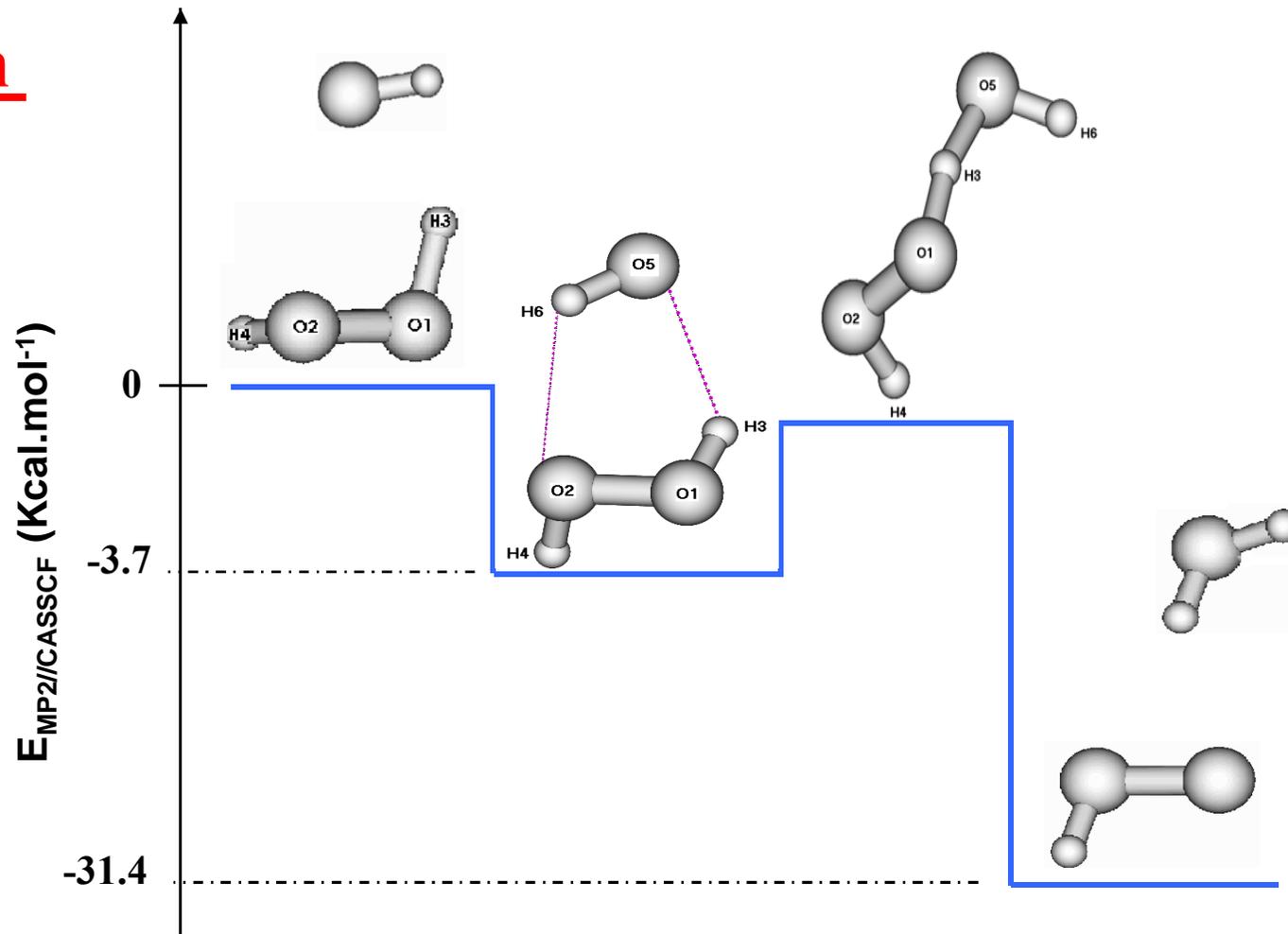
$C_{3v}$

# Phase Transition from square antiprism to new confinement (icosaedric) for $n=51$ .



When the number of Ar atoms increases, Ar-Ar interactions lead to stable structures (poly-icosaedric), energy decreases

# Reaction



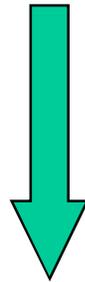
# Process for analysis: potential calculation

$$H\psi = E\psi$$



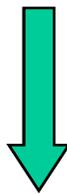
Characterize the molecules diatomic & triatomic

- wave Functions ...
- Ro-vibronic levels
- Spectroscopic Constants.



Born-Oppenheimer  
Approximation

Separation of electronic  
movement & nuclear movement



I) electronic Schrödinger  
Equation



II) nuclear Schrödinger  
Equation



# Résolution de l'équation de Schrödinger électronique par des méthodes de calcul ab-initio

$$\hat{H}_{el} \Psi_{el,n}(\vec{r}, \vec{R}) = E_{nn}(\vec{R}) \Psi_{el,n}(\vec{r}, \vec{R})$$

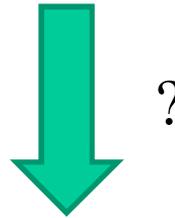
- △ Method HF-SCF (Hartree-Fock Self Consistent Field)  
Approximation monoelectronic



- △ Traitment of electronic correlation

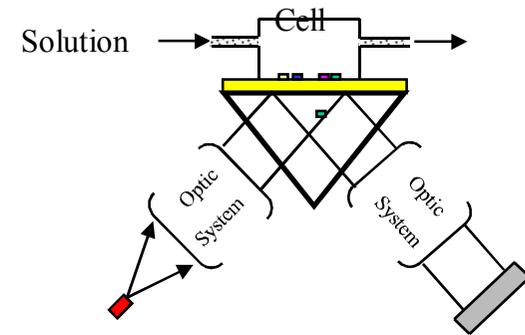
- Interaction of configuration (IC)
- Couples Clusters (CC)
- Multiconfiguration Self Consistent Field (MCSCF)
- Multireference Configuration Interaction (MRCI)

# Nanoplasmonics

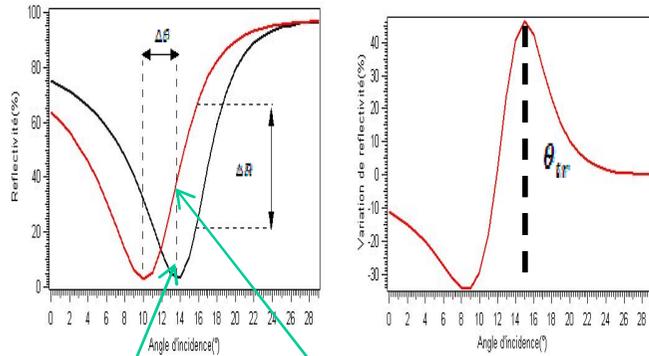


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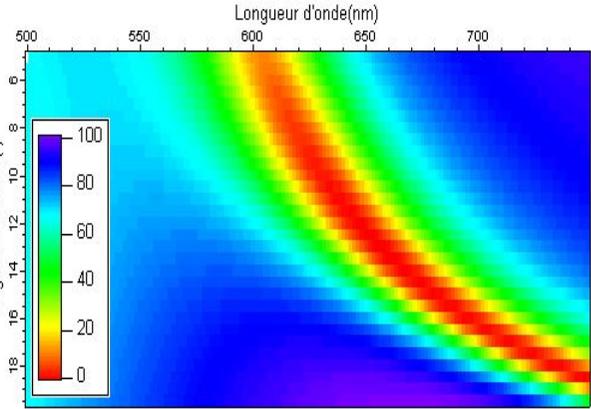
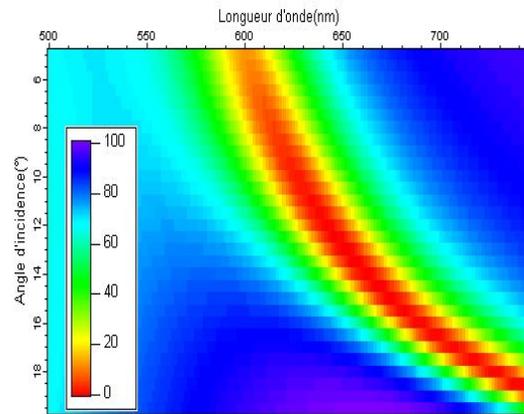
A device for preparation and  
molecule analysis



# Simulation: of reflectivity & its variation for 3 layers :

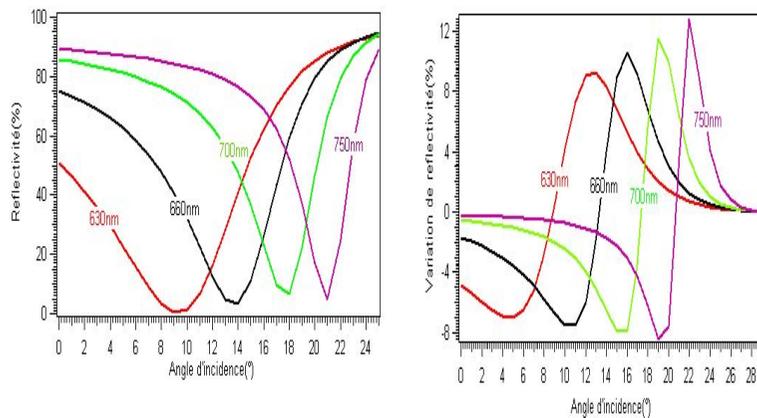


prism BK7; Au(47 nm) water +10 nm diélectric (DNA=1.46)  
& water at  $\lambda = 660 \text{ nm}$  for # angles

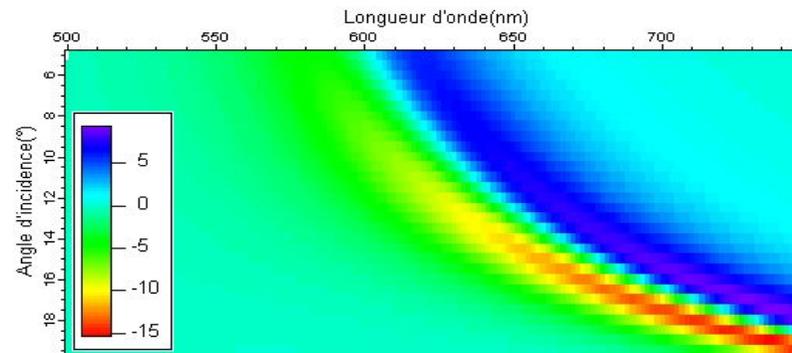


prismBK7+(2nmChr  
& 47 nm Au) +water

prismBK7+chip(2nmChr  
& 47 nm Au)+ DNA (2 nm, n=1.46)  
+water



prism BK7; Au(47 nm) water +10 nm diélectric (DNA=1.46)  
& water at #  $\lambda$  & for # angles

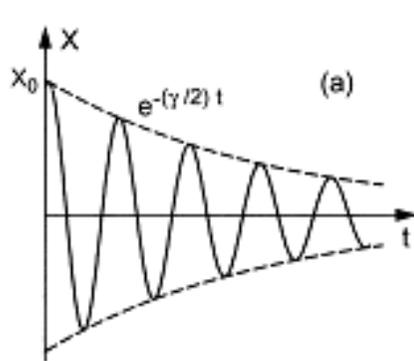


*Difference in reflectivity for addition of the biological layer DNA (  $e=2 \text{ nm}$  ,  $n=1.46$  )*

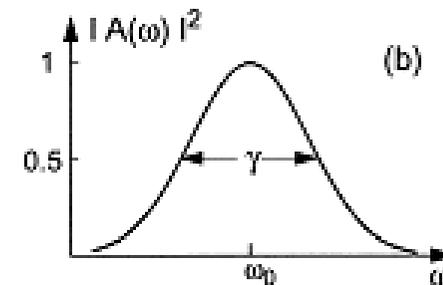
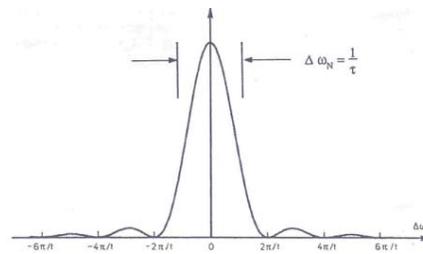
The central problem of nanoplasmonics is

## -controlling and monitoring (based on resonance)

- the localization of optical energy in the plasmonic region on the nanometer scale



Damped oscillation



$\tau$

$-\tau = 1/2\gamma$ , time localization, on the femto-second scale. (ultra fast plasmonics)

( $\tau$  calculated is in the range 10-60fs for silver

1-10fs for gold

various applications, especially :

ultrafast computations, data control & storage on the nanoscale,

**fs pulsed light source**

biomolecular structures, dynamics, kinetic reactions... medical science, ...

Atoms-Molecules  
energy states, spectra, reactions  
Plasmonic

**STM coupled with spectroscopy**

**Raman and fluorescence spectroscopy**

# Sub diffraction limit

- For conventional microscope, the resolution is about half of the observation wavelength, resolution is limited because of the diffraction limit of light (250nm for 500nm- *in the case of VIS*)
- to overcome the diffraction limit



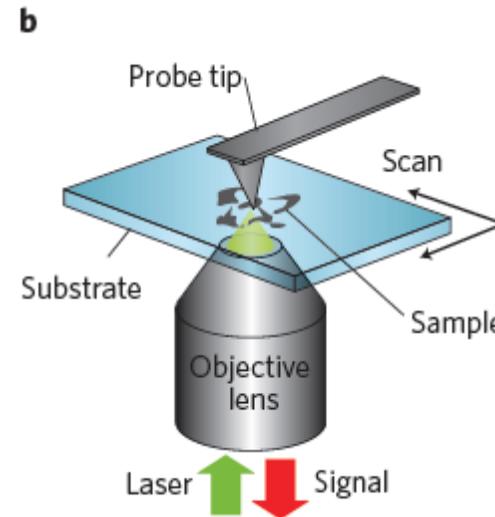
-(SNOM)+Raman spectr.

spatial resolution defined by the

aperture tip.  $\rightarrow$  spatial resolution  $\sim 50\text{nm}$

-(AFM) + Raman spectr. When the light is radiated on tip metal & surface  $\rightarrow$  large enhancement of Raman scattering with spatial resolution  $\rightarrow \sim 15\text{nm}$

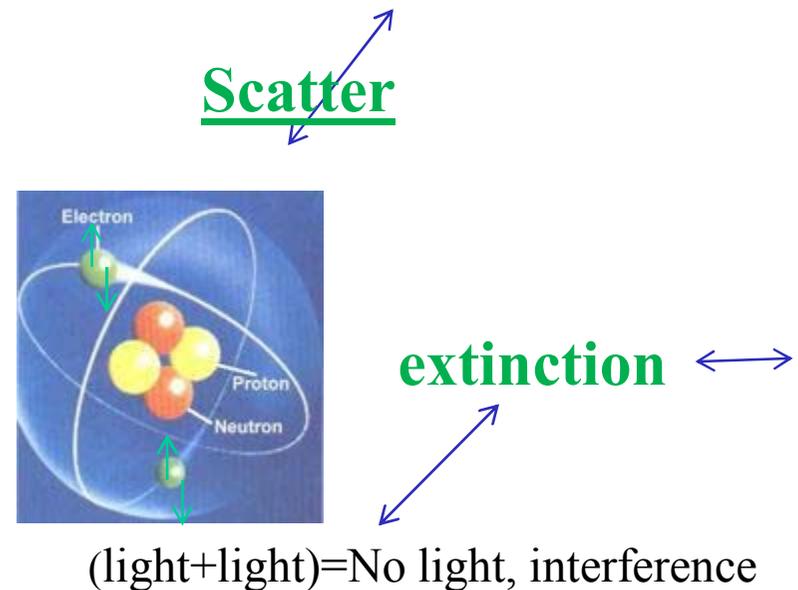
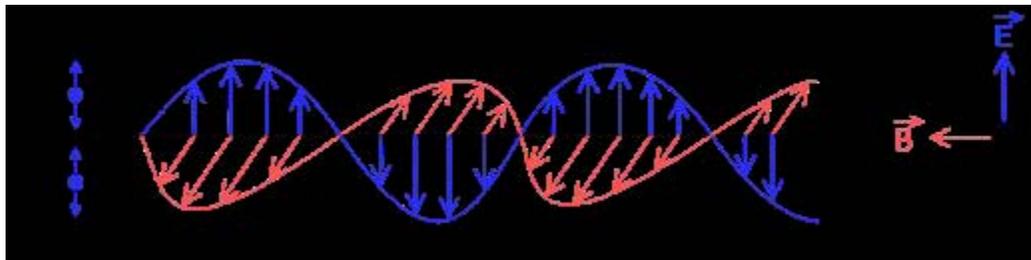
-(STM)+ spectroscopy  $\rightarrow$  Resolution at ultimate atomic scale



# Scattering light by atoms, molecules

The electric field on atom or molecule \*leads to oscillations of electrons –

-Atom as microscopic antenna



## Rayleigh Scattering

## Raman Spectroscopy

Light is *inelastically scattered* by molecules and, owing to molecular vibration excitation or de-excitation, light with higher or lower energy is observed.

**Raman scattering** is emitted together with a vast amount of elastically scattered light, **the Rayleigh scattering**, which generally makes the measurement of Raman spectra difficult.

With a Raman intensity  $\sim 1/1000$  intensity of Rayleigh line Raman spectra are, however, very valuable because we can obtain directly the vibration frequencies of bonds contained in matter by using visible light.

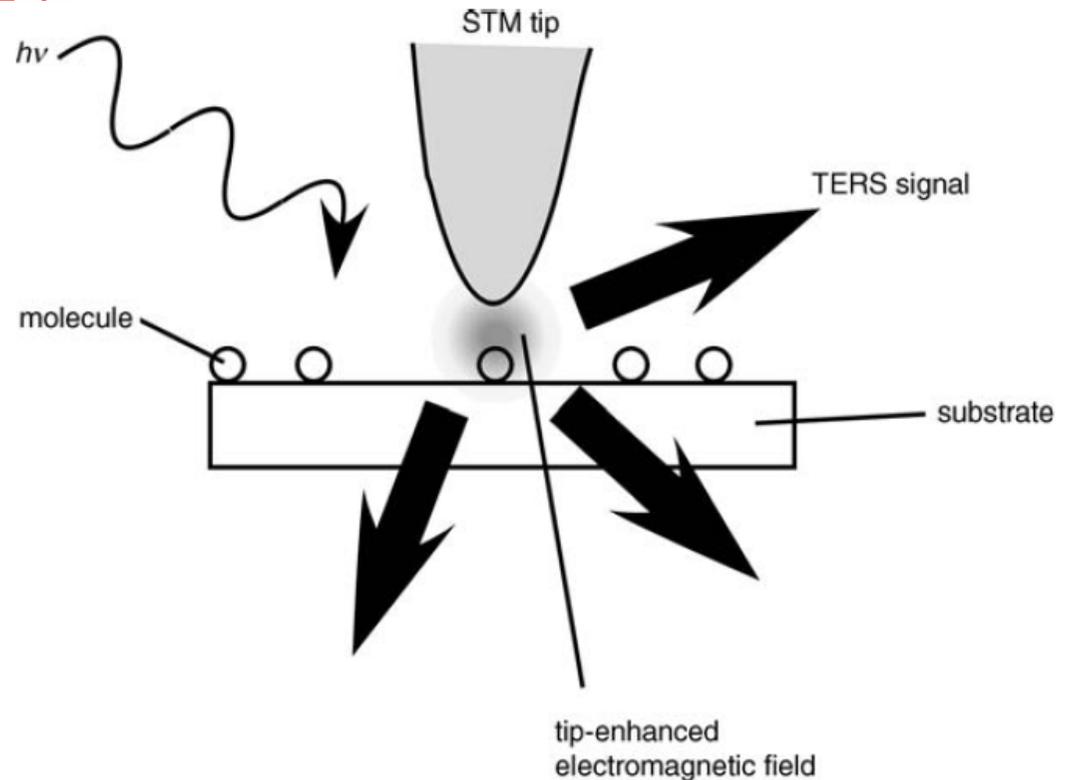
**surface enhanced Raman scattering**( SERS) provide a far greater detection sensitivity than conventional Raman spectroscopy –

it is further shown that under special conditions nanoparticulate silver films allow for fine rearrangement of their local structure under protein deposition



new way to perform protein sensing and can be applied in micro arrays protein.

# STM-Raman spectroscopy



STM Raman spectroscopy process

The enhancement factor of Raman intensity is  $M^4$ , where  $M$  factor indicating by how much the electric field is enhanced by nanostructure. By change of shape, size, material, surrounding medium  $\rightarrow$  the wavelength dependence of the enhancement efficiency changes. For silver, enhancement  $\times \sim 10^6$   
----- with a single molecule  $\times \sim 10^{14}$

# Fundamentals of Raman Scattering-R.S

**R.S. originates from a change in the polarizability of molecules**

or the susceptibility of crystals by the excited quasi-particles. The optical phonons are the most often investigated species.

In contrast to absorption spectroscopy it **is the modulation of the response by the vibrations which is important**, rather than the contribution of the vibronic oscillators themselves.

**Resonant transitions** can be obtained if an atomic or molecular system is irradiated by light with an energy corresponding to a transition between two levels

Even if the light is not of a resonant frequency , weak scattering effects are still obtained : **Rayleigh scattering and Raman scattering**

This scattering can be explained classically as well as quantum mechanically

If a molecule is subject to an electric field  $E \rightarrow$  an electric dipole is induced

$$\mathbf{P} = \alpha \mathbf{E}$$

$\mathbf{P}$  is not directly  $\parallel \mathbf{E}$  , the molecule is generally asymmetric and  $\alpha$  is then a polarization tensor

For  $E = E_0 \sin 2\pi \nu t$ , the polarization will vary at the frequency  $\nu$  resulting in a re-radiation of light at the same frequency  $\rightarrow$

### Rayleigh scattering ( elastic nature)

For the total radiated energy from the oscillating dipole

$$I = \frac{2}{3} c^3 \langle (d^2P/dt^2) \rangle \rightarrow I = [(16\pi^4 c) / (3\lambda^4)] \alpha^2 E^2$$

**If a molecule vibrates, its polarisability varies.** Further it depends of the orientation of the molecule with regard to the field-

**Thus polarizability varies as the molecule rotates.**

We can write:

$$\alpha = \alpha_0 + \alpha_{1v} \sin 2\pi\nu_{\text{vib}} t \quad \alpha_{1v} \ll \alpha_0$$

$$\alpha = \alpha_0 + \alpha_{1r} \sin 2\pi\nu_{\text{rot}} t \quad \alpha_{1r} \ll \alpha_0$$

There will be coupling between the applied and the internal oscillation



**For vibrational motion**

$$P = \alpha_0 E_0 \sin 2\pi \nu t + \left( \frac{1}{2} \right) \alpha_{1\nu} E_0 [\cos 2\pi(\nu - \nu_{\text{vib}} t) - \cos 2\pi(\nu + \nu_{\text{vib}} t)]$$

**For rotational motion**

$$P = \alpha_0 E_0 \sin 2\pi \nu t + \left( \frac{1}{2} \right) \alpha_{1r} E_0 [\cos 2\pi(\nu - \mathbf{2}\nu_{\text{rot}} t) - \cos 2\pi(\nu + \mathbf{2}\nu_{\text{rot}} t)]$$



Rayleigh



Stokes



Anti-Stokes



A side band appear on both sides of the Raleigh line.  
The side bands are shifted from the rayleigh frequency by

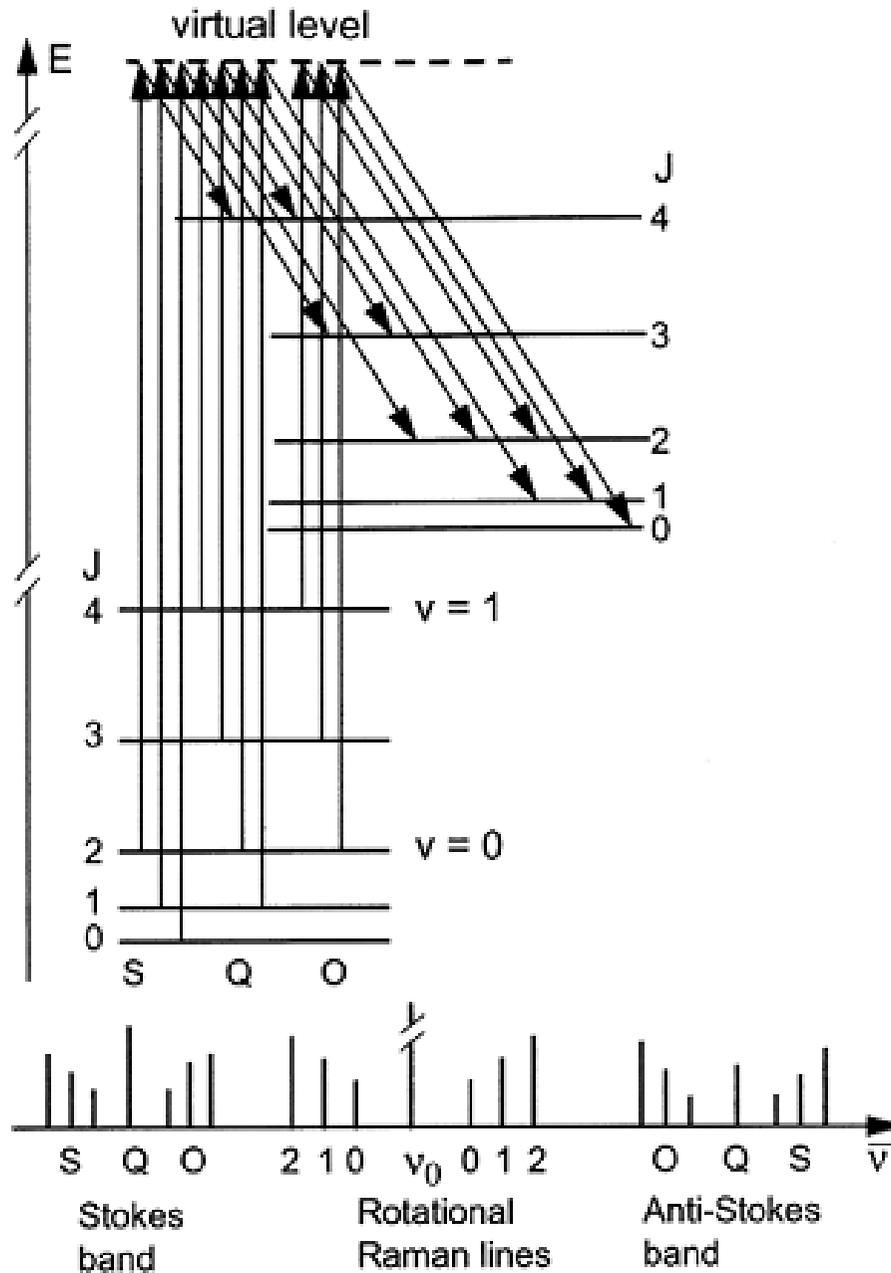
- the vibrational frequency
- twice the rotational frequency

The intensity of the stokes & antistokes lies are  
 $\sim 1/1000$  intensity of Rayleigh line

The phenomen is called **Raman effect and was first observed by C.V Raman (Nobel price 1930)**

The Raman effect represent inelastic scattering.

In the quantum mechanics theory on Raman scattering, virtual levels are introduced which mediate the scattering



**Stokes Raman spectrum of vibration – rotation transitions**

**The anti-stokes transitions are obtained by reversing all arrow directions**

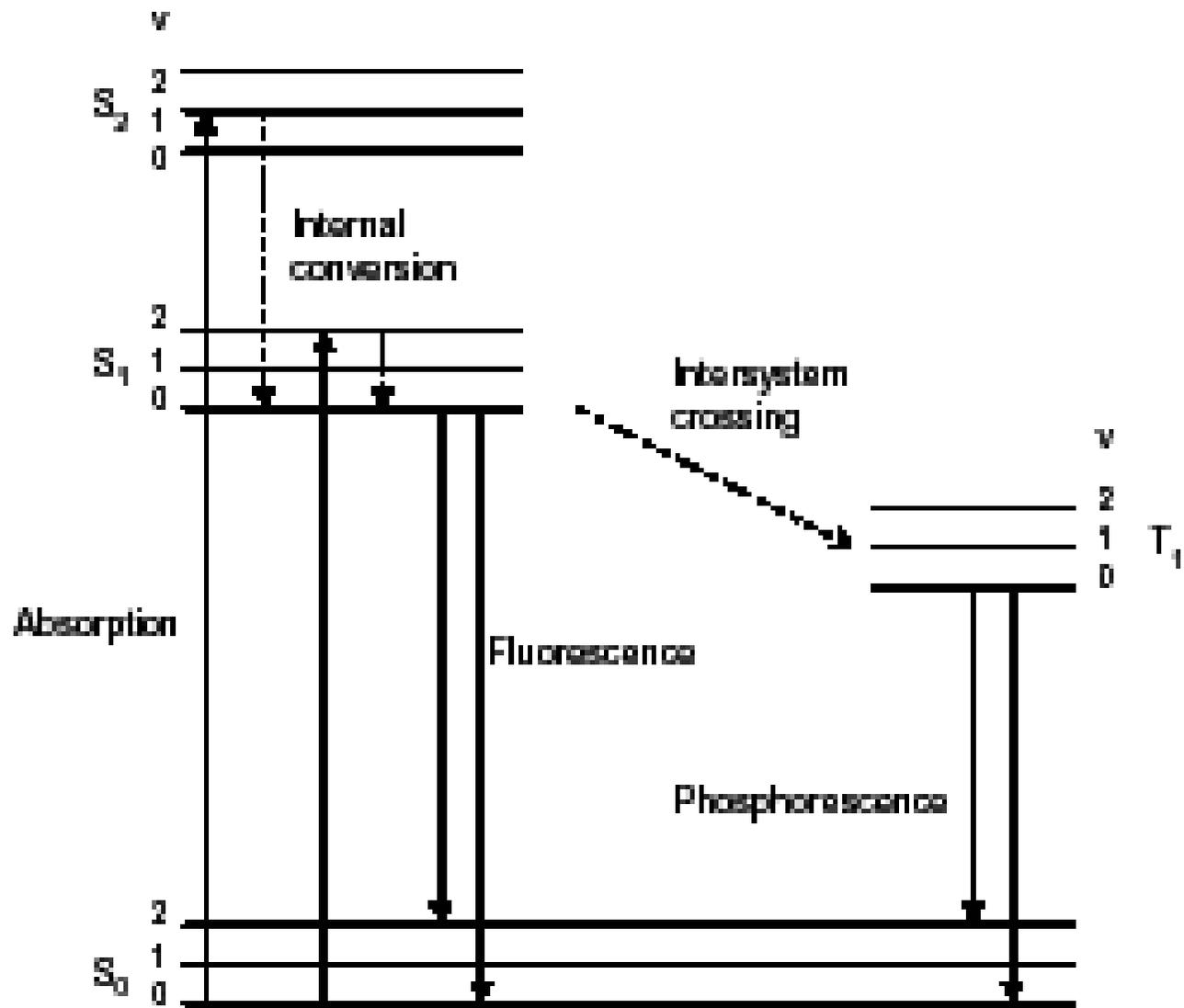
# Fluorescence spectroscopy

Light is absorbed by molecules and the molecules are excited to the electronically excited state, resulting in the emission of fluorescence when the molecules relax to the ground state.

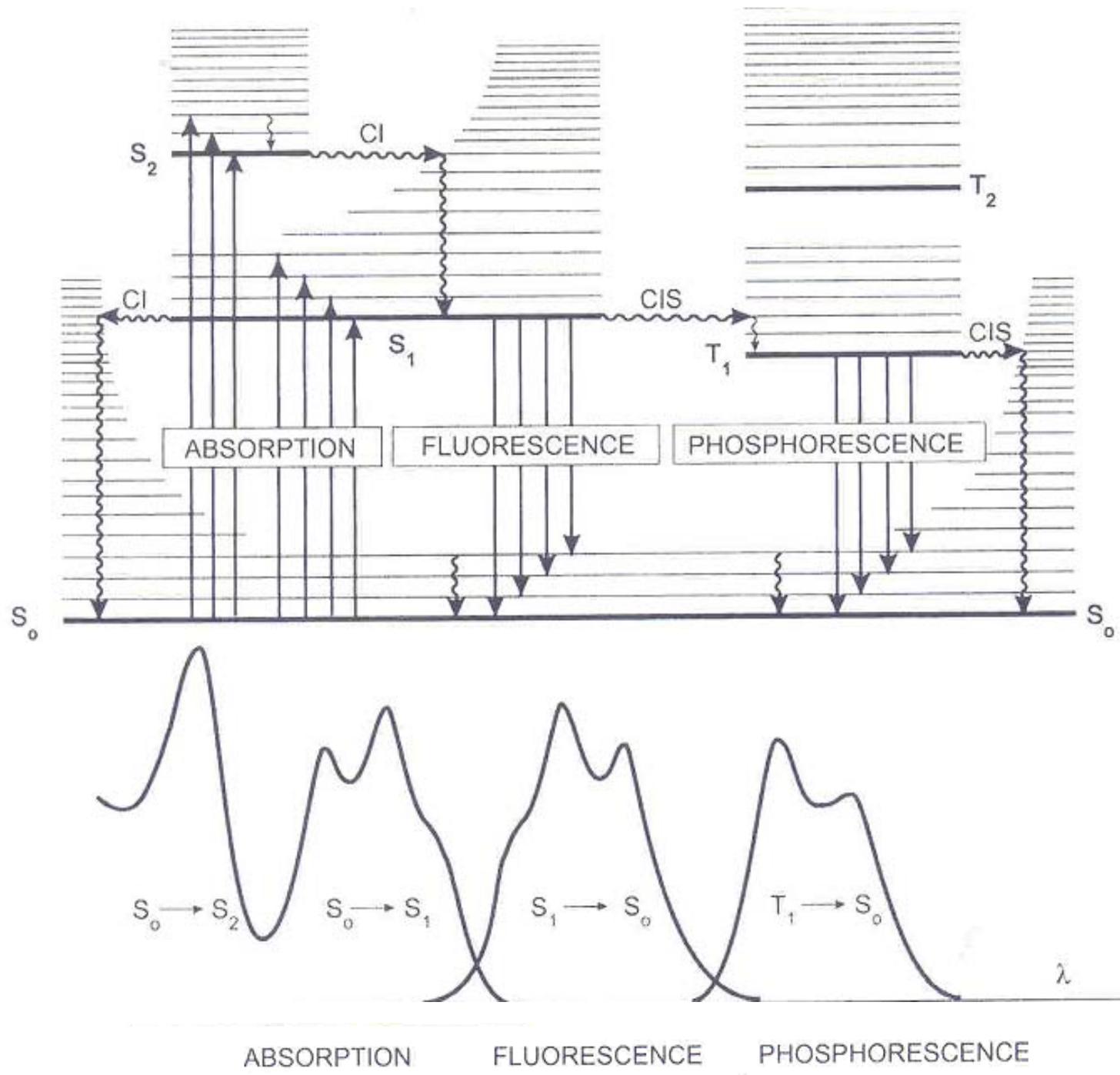
Fluorescence spectra and decay processes are generally sensitive to intermolecular interactions in excited states.

By the use of such optical spectroscopy,

- chemical species can be identified and
- the average environment surrounding target molecules can be deduced.



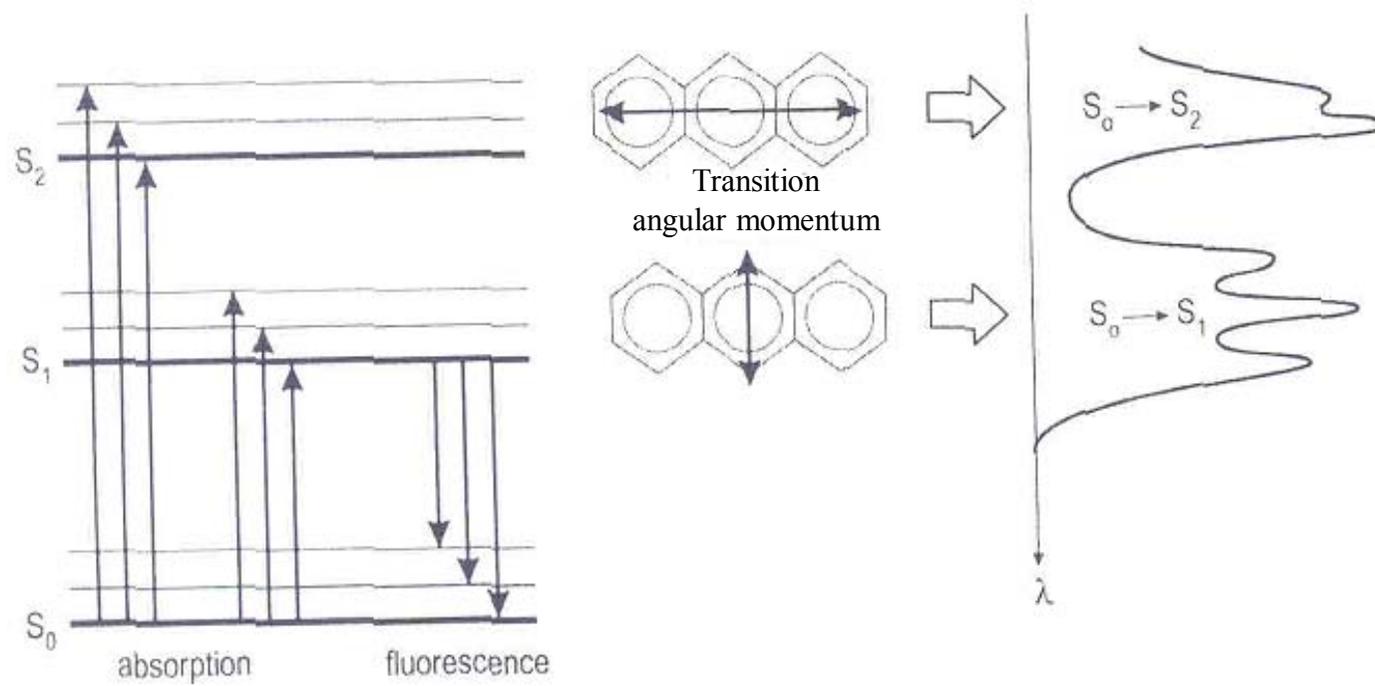
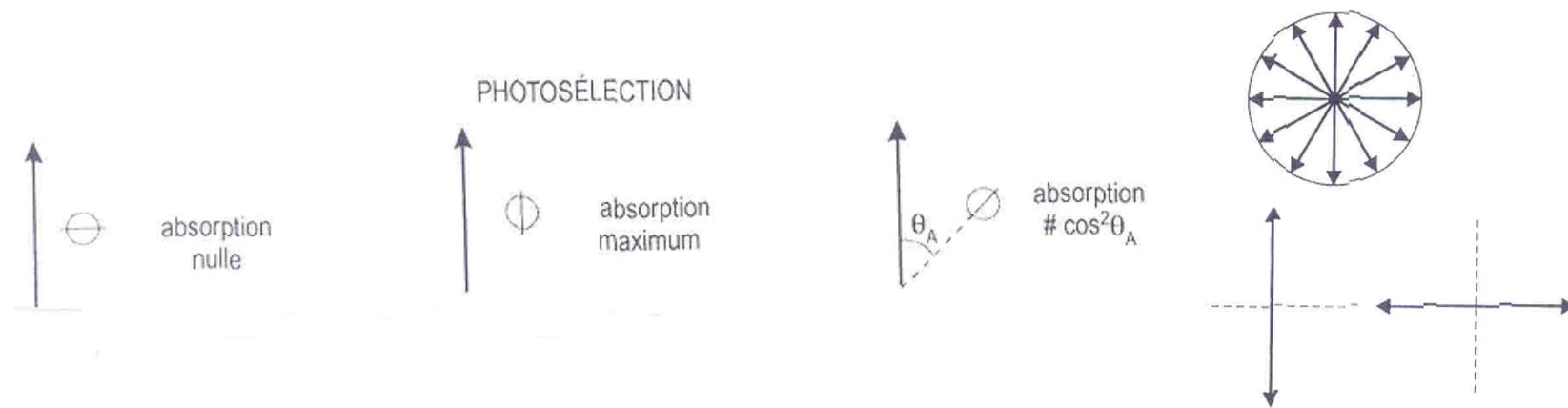
Jablonski Diagram



ABSORPTION

FLUORESCENCE

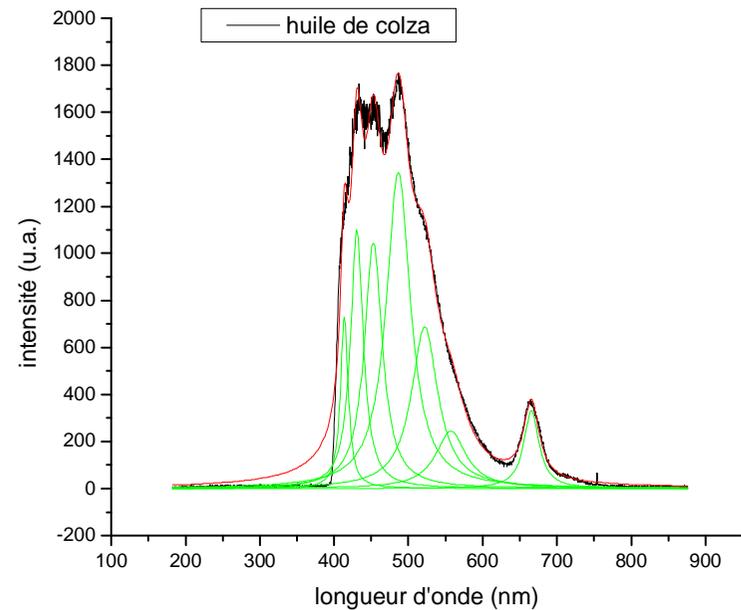
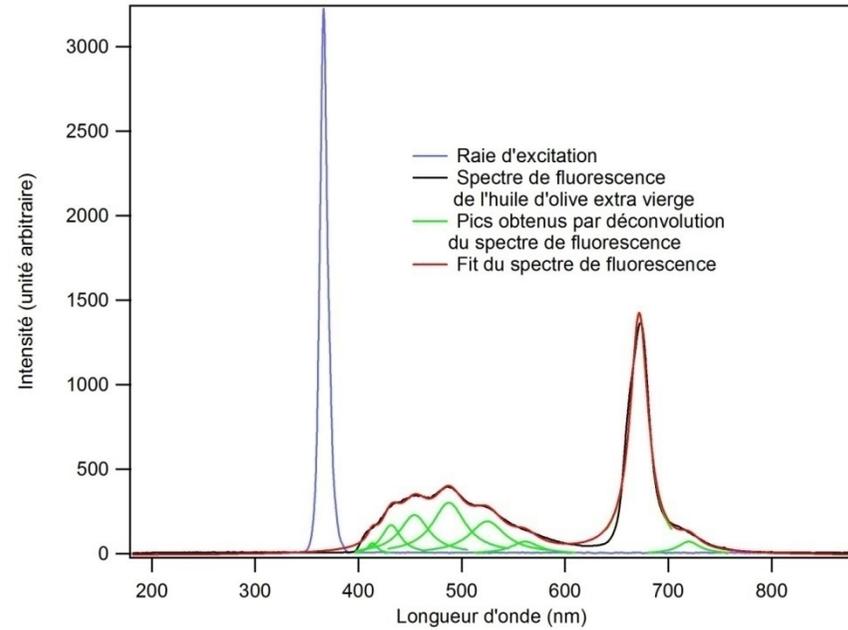
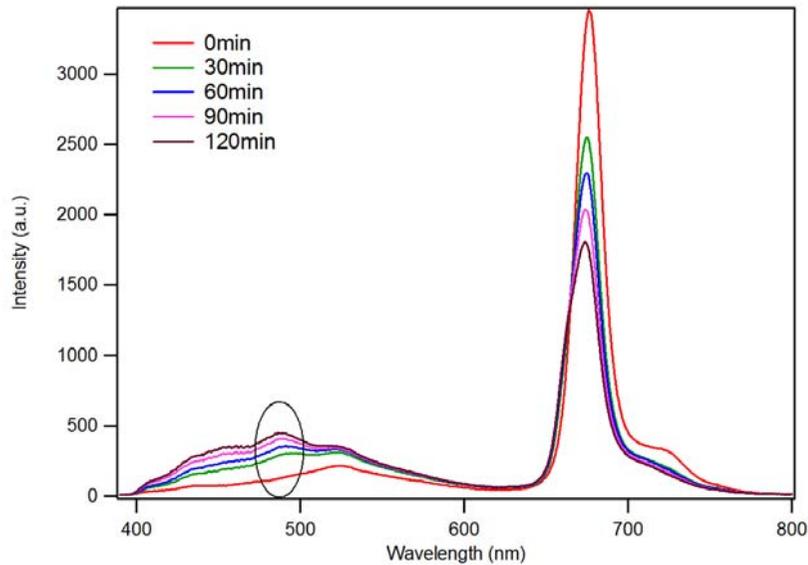
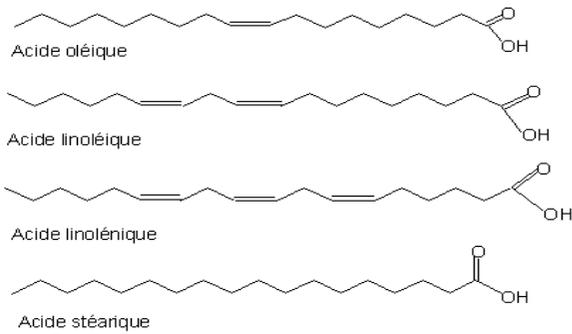
PHOSPHORESCENCE



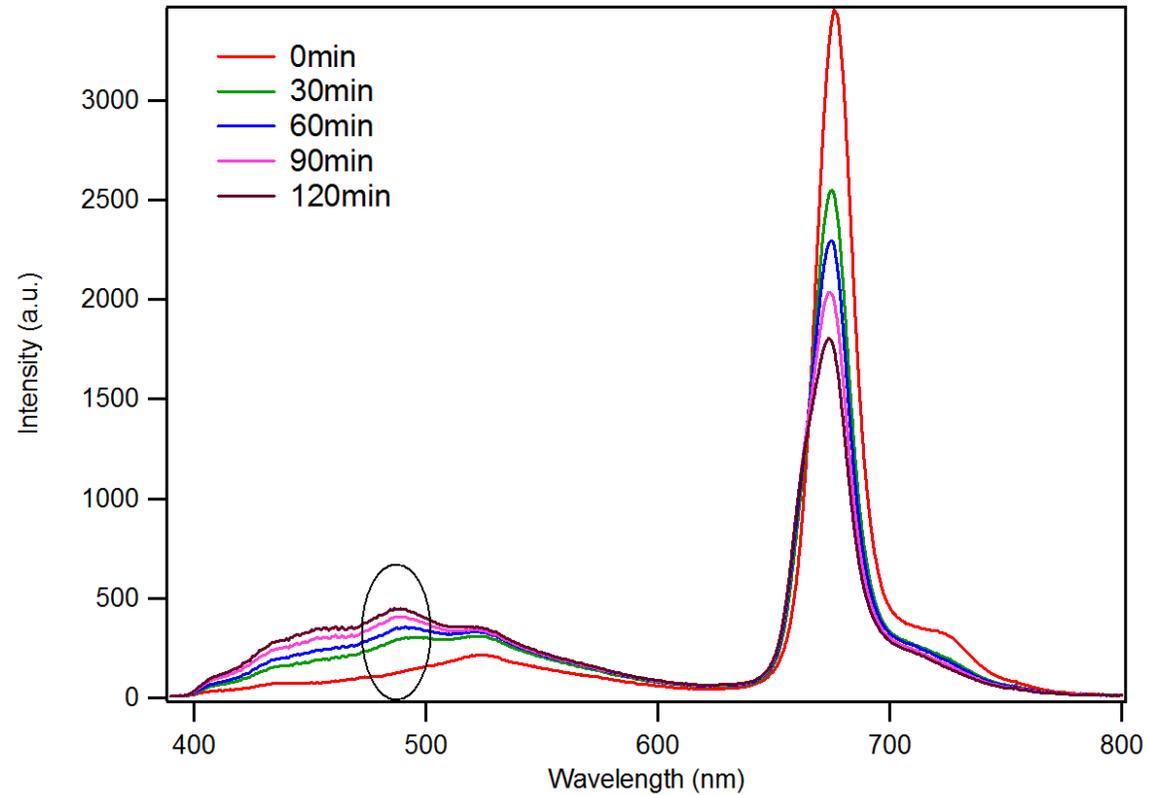
# Ex of Fluorescence application:

## Analysis of oils oxydation

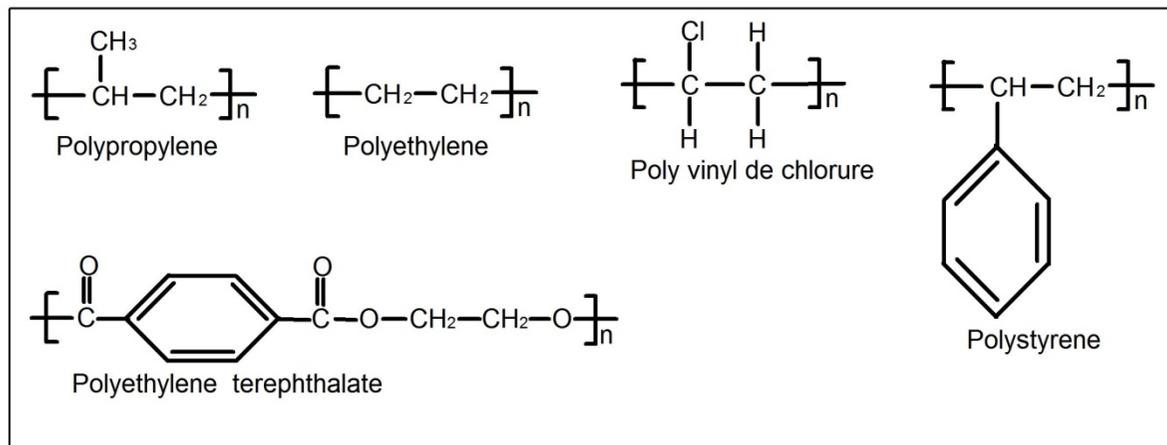
### Which molecule(s) is( are) more sensible to oxydation?



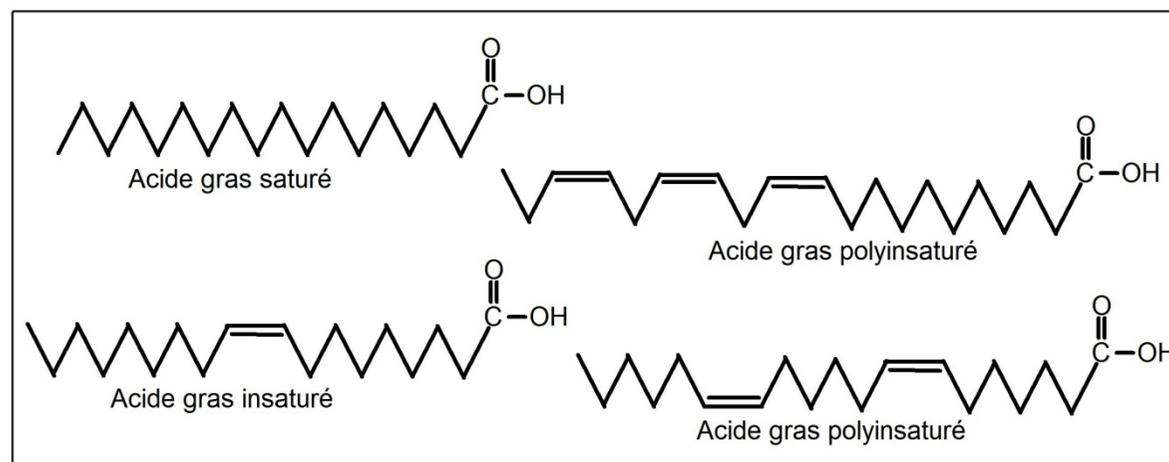
# fluorescence



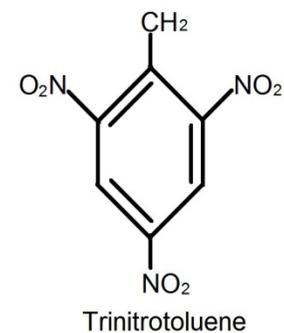
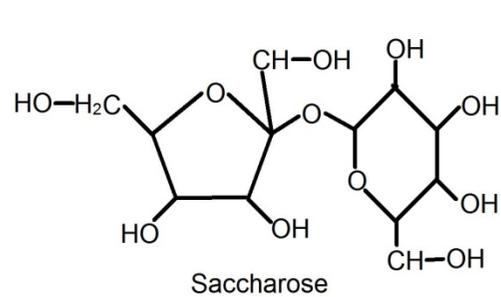
*Increase of olive oil oxydation with  $T=180^{\circ}\text{C}$  at different time*



Polymers



Constituants d'huiles végétales



## STM-Fluorescence spectroscopy

The effect of tunneling tip on fluorescence is complicated:

-there is a quenching of fluorescence in the region close to

tip, by approaching or in contact the tip; but enhancement stay

important at distance  $> 5\text{nm}$

With



## Possible origin of quenching of fluorescence:

-energy transfer

(at  $\sim 5\text{nm}$  tip-molecule)

-electron transfer

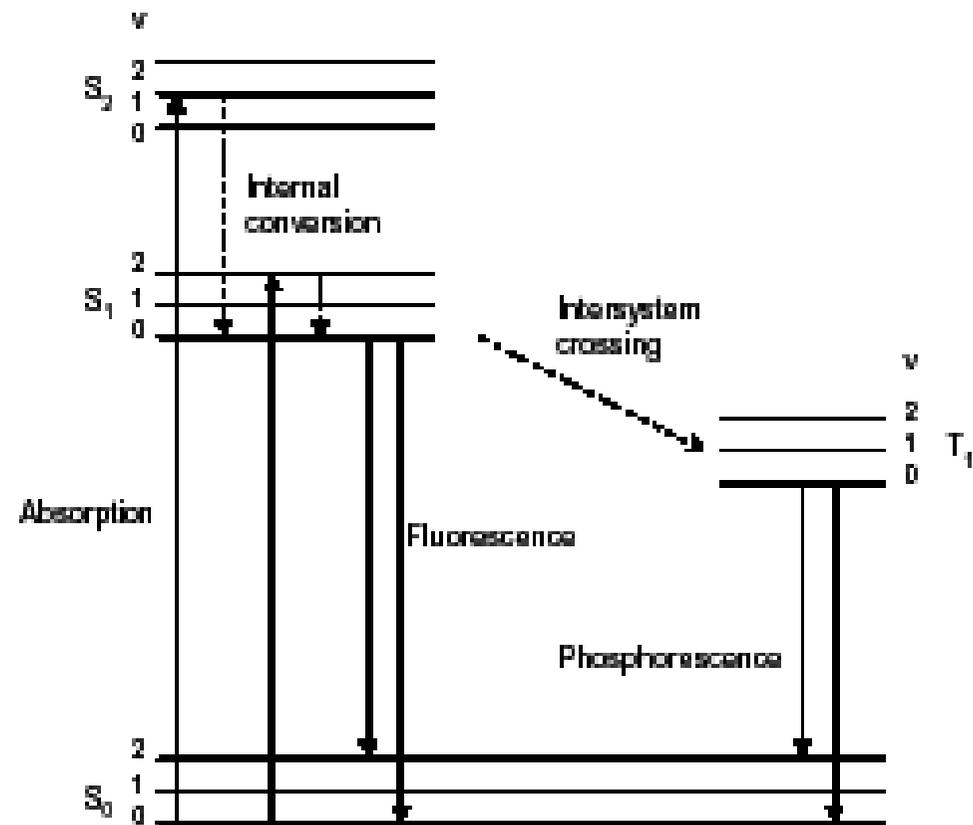
( $\sim 1\text{nm}$ , tip-molecule)

-intersystem crossing

( triplet-singlet )

by approaching heavy atoms  
to singlet states molecule

-internal conversion



# Conclusions

- Atoms and molecules behaviour
- Scales for molecules studies
- Example of Molecular structure and dynamics-  
Spectroscopy of Atoms and molecules  
Energy states-spectra-  
environment and spectra  
Structures & symetry
- Nanoplasmonics
- STM and Raman & fluorescence spectroscopy

## Question

- What can be learned about molecular structure, and interaction with environment from spectra & spectral line shapes analysis?

Thank you

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