Birkhoff sums for interval exchange maps: the Kontsevich-Zorich cocycle (II)

Carlos Matheus / Jean-Christophe Yoccoz

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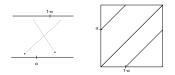
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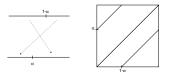
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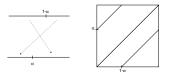


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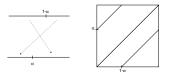


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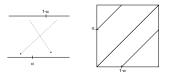


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Let $\lambda = (\lambda_A, \lambda_B) \in \mathbb{R}^2_+$ and let T_{λ} be the associated transformation of $(0, \lambda_A + \lambda_B)$.

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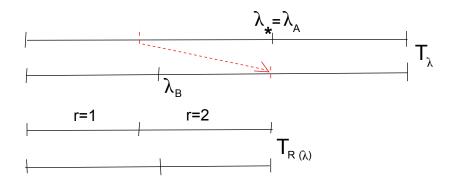
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We can thus define a *renormalization map*: $\Re : \mathbb{R}^2_+ - \{\lambda_A = \lambda_B\} \to \mathbb{R}^2_+$

$$\Re(\lambda_{\mathcal{A}}, \lambda_{\mathcal{B}}) = \begin{cases} (\lambda_{\mathcal{A}} - \lambda_{\mathcal{B}}, \lambda_{\mathcal{B}}) & \text{if } \lambda_{\mathcal{A}} > \lambda_{\mathcal{B}}, \\ (\lambda_{\mathcal{A}}, \lambda_{\mathcal{B}} - \lambda_{\mathcal{A}}) & \text{if } \lambda_{\mathcal{A}} < \lambda_{\mathcal{B}}. \end{cases}$$

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The case $\lambda_A > \lambda_B$



We have seen that $T_{(\lambda_A,\lambda_B)}$ is a scaled version of R_{α} , $\alpha = \frac{\lambda_B}{\lambda_A + \lambda_B}$.



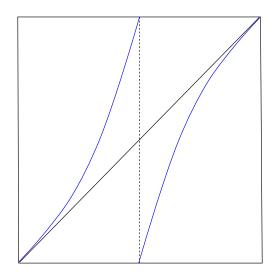
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$$\Re(\alpha) = \begin{cases} \frac{\alpha}{1-\alpha} & \text{if } \alpha \in (0, \frac{1}{2}), \\ \frac{2\alpha-1}{\alpha} & \text{if } \alpha \in (\frac{1}{2}, 1). \end{cases}$$



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- The map \Re commutes with the involution $\iota(x) = 1 x$.

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In view of the symmetry of \mathcal{R} , it is sufficient to consider the map $G_0 := \iota \circ E_{\mathcal{R}}$ from $(0, \frac{1}{2})$ to itself,

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In view of the symmetry of \Re , it is sufficient to consider the map $G_0 := \iota \circ E_{\Re}$ from $(0, \frac{1}{2})$ to itself, where E_{\Re} is the *first entry map* of \Re into $(\frac{1}{2}, 1)$: for $x \in (0, \frac{1}{2})$

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Actually, it is classical and more convenient to transfer G_0 to (0, 1) through the conjugacy $h(x) = \frac{x}{1-x}$: the map $G := h \circ G_0 \circ h^{-1}$ is the *Gauss map*

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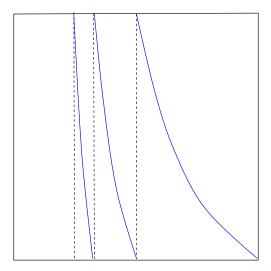
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$$G(x) = \{\frac{1}{x}\}, \forall x \in (0, 1).$$

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Graph of the Gauss map



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For an irrational number $x \in (0, 1)$ and $n \ge 0$, we set $x_n := G^n(x)$ and $a_{n+1} = \lfloor \frac{1}{x_n} \rfloor$, so that $x_n = \frac{1}{a_{n+1}+x_{n+1}}$.

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$$x = \frac{p_n + p_{n-1} x_n}{q_n + q_{n-1} x_n}, \quad x_n = -\frac{q_n x - p_n}{q_{n-1} x - p_{n-1}},$$
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where p_n , q_n are inductively defined by the recurrence relation

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The rational numbers $\left(\frac{p_n}{q_n}\right)$ are called the *convergents* of *x*.

Theorem: Let x be an irrational number in (0, 1). Let n be a nonnegative integer.

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and equality holds iff $q = q_n$, $p = p_n$.

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Absolutely continuous invariant measure for the Gauss map

Proposition: The probability measure $(\log 2)^{-1} \frac{dx}{1+x}$ on (0, 1) is invariant under *G*.

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Proposition: The probability measure $(\log 2)^{-1} \frac{dx}{1+x}$ on (0, 1) is invariant under *G*. It is ergodic.

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Equivalently, the convergents of *x* satisfy $q_{n+1} = O(q_n^{1+\tau})$.

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Equivalently, the convergents of x satisfy $q_{n+1} = O(q_n^{1+\tau})$.

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It has full Lebesgue measure in \mathbb{R} (or \mathbb{T}).

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Birkhoff sums of smooth functions for diophantine rotations

Theorem: Let α be a diophantine number in \mathbb{T} and let $\varphi \in C^{\infty}(\mathbb{T})$. There exists a smooth function $\psi \in C^{\infty}(\mathbb{T})$ such that

$$arphi = \int_{\mathbb{T}} arphi(t) \, dt + \psi \circ \pmb{R}_{lpha} - \psi \; .$$

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Corollary: The Birkhoff sums of φ w.r.t. R_{α} satisfy

$$S_n \varphi(x) = n \int_{\mathbb{T}} \varphi(t) dt + O(1) ,$$

uniformly in $x \in \mathbb{T}$.

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Consider as above a scaled version T_{λ} of a rotation, determined by parameters $\lambda_A, \lambda_B > 0$.



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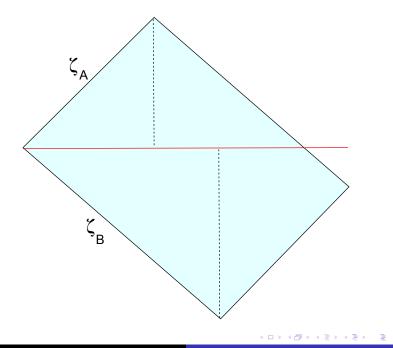
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Let L_{ζ} be the lattice in \mathbb{R}^2 spanned by ζ_A, ζ_B . Denote by \mathbb{T}_{ζ} the 2-dimensional torus \mathbb{R}^2/L_{ζ} .

The return map on the horizontal segment $(0, \lambda_A + \lambda_B)$ of the flow on \mathbb{T}_{ζ} generated by the vertical vectorfield $\frac{\partial}{\partial y}$ is the transformation T_{λ} .

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Lemma: Let *L* be an irrational lattice of covolume 1 in \mathbb{R}^2 . There exists a **unique** basis $\zeta_A = (\lambda_A, \tau_A), \zeta_B = (\lambda_B, \tau_B)$ of *L* such that either $\lambda_A \ge 1 > \lambda_B > 0$, $0 < \tau_A < -\tau_B$ or $\lambda_B \ge 1 > \lambda_A > 0$, $0 < -\tau_B < \tau_A$.

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The proof is left as an exercise.

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The action by left multiplication of the diagonal one-parameter subgroup $g^t := \operatorname{diag}(e^t, e^{-t})$ on $SL(2, \mathbb{R})/SL(2, \mathbb{Z})$ is the geodesic flow on the modular surface, endowed with its metrics of constant negative curvature inherited from \mathbb{H} .

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Let L_0 be an irrational lattice of covolume 1.

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Carlos Matheus / Jean-Christophe Yoccoz Birkhoff sums for interval exchange maps: the Kontsevich-Z

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One recognizes a fast homogeneous version of the renormalization algorithm!