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**Innovations in Strongly Correlated Electronic Systems: School and Workshop**

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**From Renormalization Group to Emergent Gravity:  
holographic description of quantum many-body systems**

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From Renormalization Group to  
Emergent Gravity :  
holographic description of quantum many-  
body systems

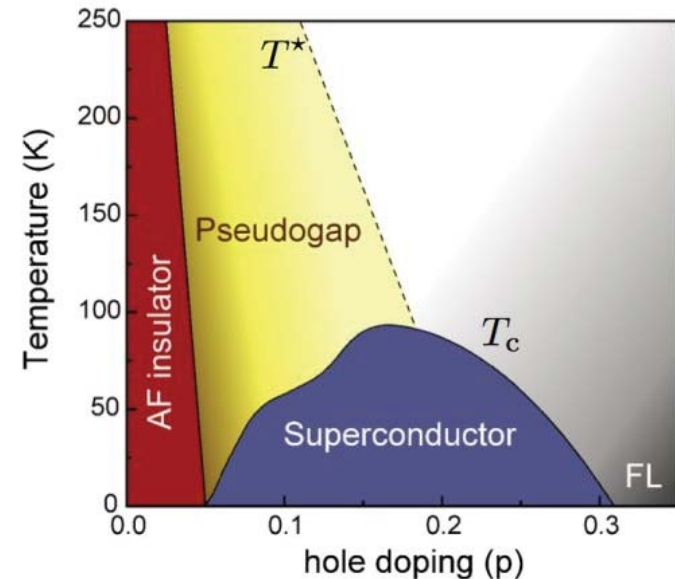
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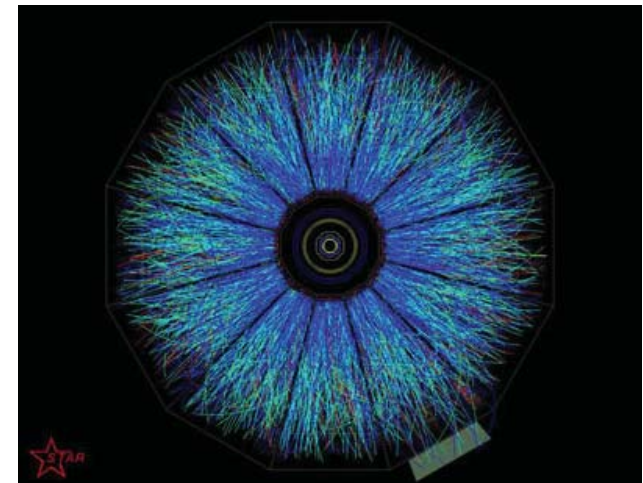
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# Strongly Correlated Systems

- Interactions change physical properties qualitatively
- Ubiquitous in physics
  - Condensed matter systems : high  $T_c$ , heavy fermion systems near QCP, ...
  - QCD; quark-gluon plasma
- Long distance physics is described by strongly interacting QFT
- One may identify a QFT that captures the low energy physics, but it is hard to solve it
- No systematic way to incorporate strong correlations



[image from <http://www.toulouse.lncmi.cnrs.fr/>]



# Duality : change of variable

- One theory can be mapped into another theory
  - 3D XY model = U(1) gauge theory w/ charged boson
  - Electromagnetic duality in 4D gauge theory
- Sometimes, strongly coupled theories are mapped into **weakly coupled theories** under duality
  - Original `particles' remain strongly coupled and have short life time, yet they are organized into long-lived (weakly coupled) collective excitations
  - Non-trivial dynamical information contained in the change of variable
  - Dual variable may carry new (sometimes fractional) quantum numbers : fractionalization
  - Dual variable may live in different space : **holography**

# Holography : AdS/CFT correspondence

[Maldacena]

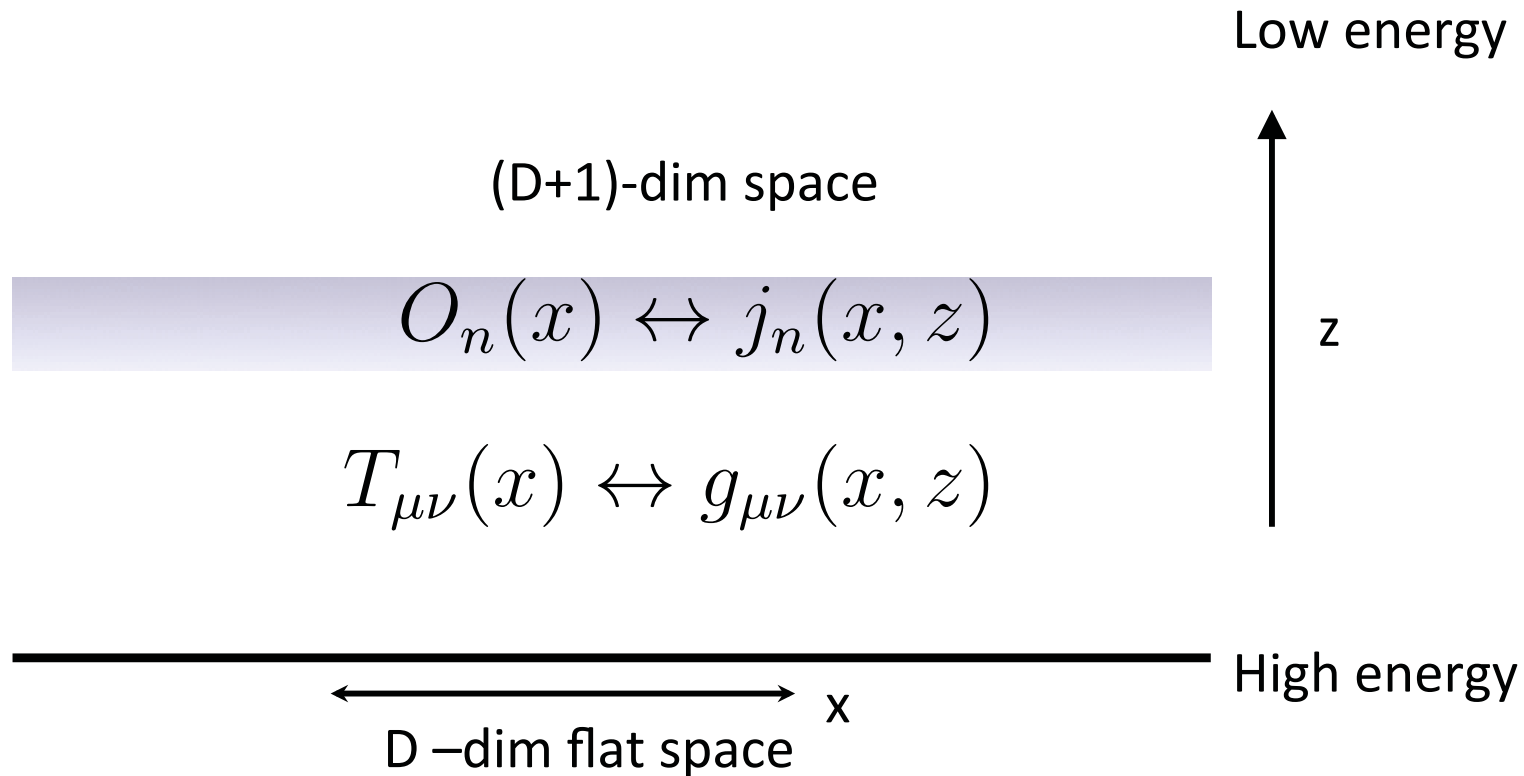
- D-dim QFT is dual to (D+1)-dim gravitational theory
  - N=4 SU(N) gauge theory in 4D = IIB superstring theory in  $AdS_5 \times S^5$
  - Weak coupling description for strongly coupled QFT for a large N
- Believed to be a general framework for a large class of QFT's

[Das, Jevicki; Gopakumar; Heemskerk, Penedones, Polchinski; Lee; Faulkner, Liu, Rangamani; Douglas, Mazzucato, Razamat,...]

- The correspondence has been used to reproduce many phenomena in condensed matter systems : hydrodynamics, superconductivity, non-Fermi liquid ( mostly phenomenological with a few exceptions )
- No first-principle derivation for the conjecture : no systematic way to derive the dual theory for a given QFT / quantum many-body system

# Dictionary in the duality

[Gubser, Klebanov, Polyakov; Witten]



$$\int D\phi(x) e^{iS_D[\phi(x)] + i \int J_n(x) O_n} = \int D j(x, z) e^{iS_{D+1}[j(x, z)]} \Big|_{j_n(x, z=0) = J_n(x)}$$

$$\rightarrow e^{iS_{D+1}[\bar{j}(x, z)]} \Big|_{\bar{j}_n(x, z=0) = J_n(x)}$$

# This talk :

- Q : Can one explicitly construct the gravitational theory from a general QFT/quantum many-body system ?
- A : Yes. Quantize beta function !

# Step 0 : Partition function is functional of spacetime dependent sources

$$Z[J(x)] = \int D\phi e^{i \int dx \mathcal{L}}$$

$$\mathcal{L} = -J_n(x)O_n + J_{mn}(x)O_m O_n + \dots$$

- $O_n$  : set of 'fundamental' operators
- Any local operator allowed by symmetry can be written as a polynomial of fundamental operators and their derivatives

e.g.  $(\phi \partial_{\mu_1} \partial_{\mu_2} \dots \partial_{\mu_i} \phi)$  for Ising field,

$\sum_a (\phi_a \partial_{\mu_1} \partial_{\mu_2} \dots \partial_{\mu_i} \phi_a)$  for vector field

$\text{tr}(\phi \partial_{\mu_1} \partial_{\mu_2} \dots \partial_{\mu_i} \phi \partial_{\nu_1} \partial_{\nu_2} \dots \partial_{\nu_j} \phi \dots)$  for matrix field



Step 1 : remove non-fundamental operators by introducing auxiliary fields

$$Z[J(x)] = \int D j_n^{(1)} D p_n^{(1)} D \phi e^{i \int dx \mathcal{L}'}$$

$$\mathcal{L}' = j_n^{(1)} (p_n^{(1)} - O_n) - J_n p_n^{(1)} + J_{nm} p_n^{(1)} p_m^{(1)} + \dots$$

- $J_n^{(1)}$  : Lagrangian multiplier that plays the role of dynamical source that enforces the constraint  $p_n^{(1)} = O_n$
- $P_n^{(1)}$  : dynamical operator

## Step 2 : Integrate out high energy mode

$$\phi_{<} : |k| < \Lambda e^{-dz}, \quad \phi_{>} : \Lambda e^{-dz} < |k| < \Lambda$$

$$Z[J(x)] = \int D j_n^{(1)} D p_n^{(1)} D \phi_{<} e^{i \int dx \mathcal{L}''}$$

$$\begin{aligned} \mathcal{L}'' = & J_{nm} p_n^{(1)} p_m^{(1)} + p_n^{(1)} (j_n^{(1)} - J_n) + dz \mathcal{L}_c[j^{(1)}] \\ & - (j_n^{(1)} + dz A_n[j^{(1)}]) O_n + dz B_{nm}[j^{(1)}] O_n O_m \end{aligned}$$

- Casimir energy
- Quantum correction for fundamental operators
- Quadratic term of fundamental operators

# Step 3 : remove non-fundamental operators by introducing a second set of auxiliary fields

$$Z[J(x)] = \int D j_n^{(1)} D p_n^{(1)} D j_n^{(2)} D p_n^{(2)} D \phi_{<} e^{i \int dx \mathcal{L}'''}$$

$$\begin{aligned} \mathcal{L}''' = & J_{nm} p_n^{(1)} p_m^{(1)} + p_n^{(1)} (j_n^{(1)} - J_n) + dz \mathcal{L}_c[j_n^{(1)}] \\ & + j_n^{(2)} (p_n^{(2)} - O_n) - (j_n^{(1)} + dz A_n[j^{(1)}]) p_n^{(2)} + dz B_{nm}[j^{(1)}] p_n^{(2)} p_m^{(2)} \end{aligned}$$

- Low energy fields has only fundamental operators
- Quadratic term in  $p_n$

# Step 4 : repeat 2-3 again and again

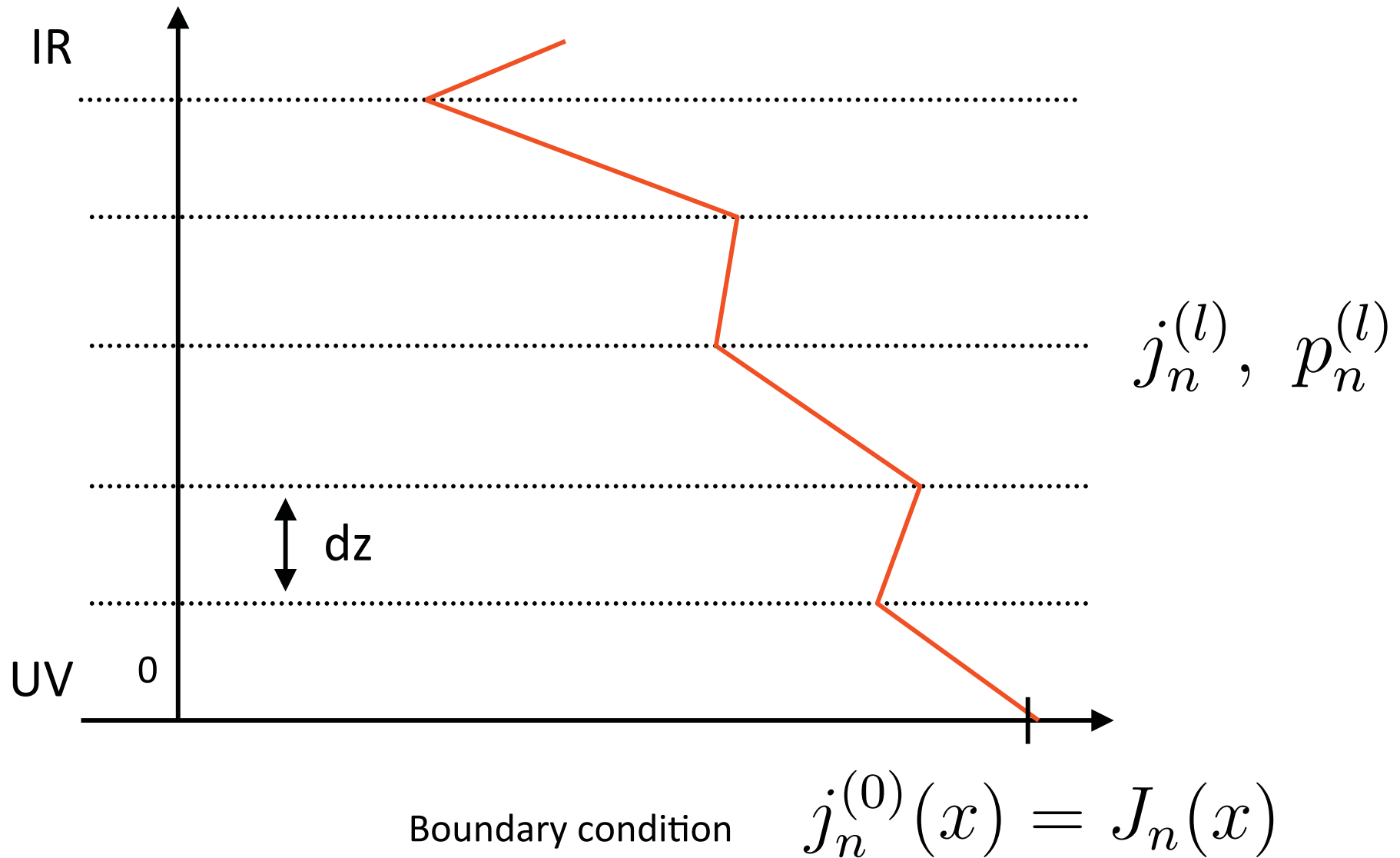
$$Z[J(x)] = \int \prod_{l=1}^{\infty} D j_n^{(l)}(x) D p_n^{(l)}(x) e^{i \int d^D x \mathcal{L}}$$

$$\mathcal{L} = J_{nm} p_n^{(1)} p_m^{(1)} + \sum_{i=1}^{\infty} \left[ p_n^{(i)} (j_n^{(i)} - j_n^{(i-1)}) + dz \mathcal{L}_c[j_n^{(i)}] \right. \\ \left. - dz A_n[j^{(i)}] p_n^{(i+1)} + dz B_{nm}[j^{(i)}] p_n^{(i+1)} p_m^{(i+1)} \right]$$

$$j_n^{(0)}(x) = J_n(x)$$

- A set of dynamical sources and dynamical operators are introduced at each step of RG at the expense of decimating high energy mode bit by bit

# Extra dimension as a length scale



# Continuous extra dimension

$$\begin{aligned} j_n^{(l)}(x) &\rightarrow j_n(x, z) \\ p_n^{(l)}(x) &\rightarrow p_n(x, z) \end{aligned} \quad z = l dz$$

$$Z[J(x)] = \int D j_n(x, z) D p_n(x, z) e^{i S_{D+1}} \Big|_{j(x, 0) = J_n(x)}$$

$$\begin{aligned} S_{D+1} = & \int dx J_{nm} p_n(x, 0) p_m(x, 0) + \int_0^\infty dz \int d^D x \left[ p_n(x, z) \partial_z j_n(x, z) \right. \\ & \left. + \mathcal{L}_c[j_n(x, z)] - A_n[j(x, z)] p_n(x, z) + B_{nm}[j(x, z)] p_n(x, z) p_m(x, z) \right] \end{aligned}$$

- The length scale becomes an extra coordinate [Verlinde]

# Key features

- An exact change of variables
  - D-dimensional partition function can be written as (D+1)-dimensional functional integration for dynamical sources and operator fields
  - General scheme : can be applied to any QFT
    - For general theory, the holographic description is not useful
    - When all symmetry allowed operators are large( large N ), the holographic theory become classical
- $$(\phi_a \partial_{\mu_1} \partial_{\mu_2} \dots \partial_{\mu_i} \phi_a), \quad \text{tr}(\phi \partial_{\mu_1} \partial_{\mu_2} \dots \partial_{\mu_i} \phi)$$
- Holographic theory always includes gravity
    - Energy momentum tensor  $\rightarrow$  spin-2 sources

# Quantum beta function

$$Z = \lim_{T \rightarrow \infty} \langle \Psi_f | e^{-iT\hat{H}} | \Psi_i \rangle$$

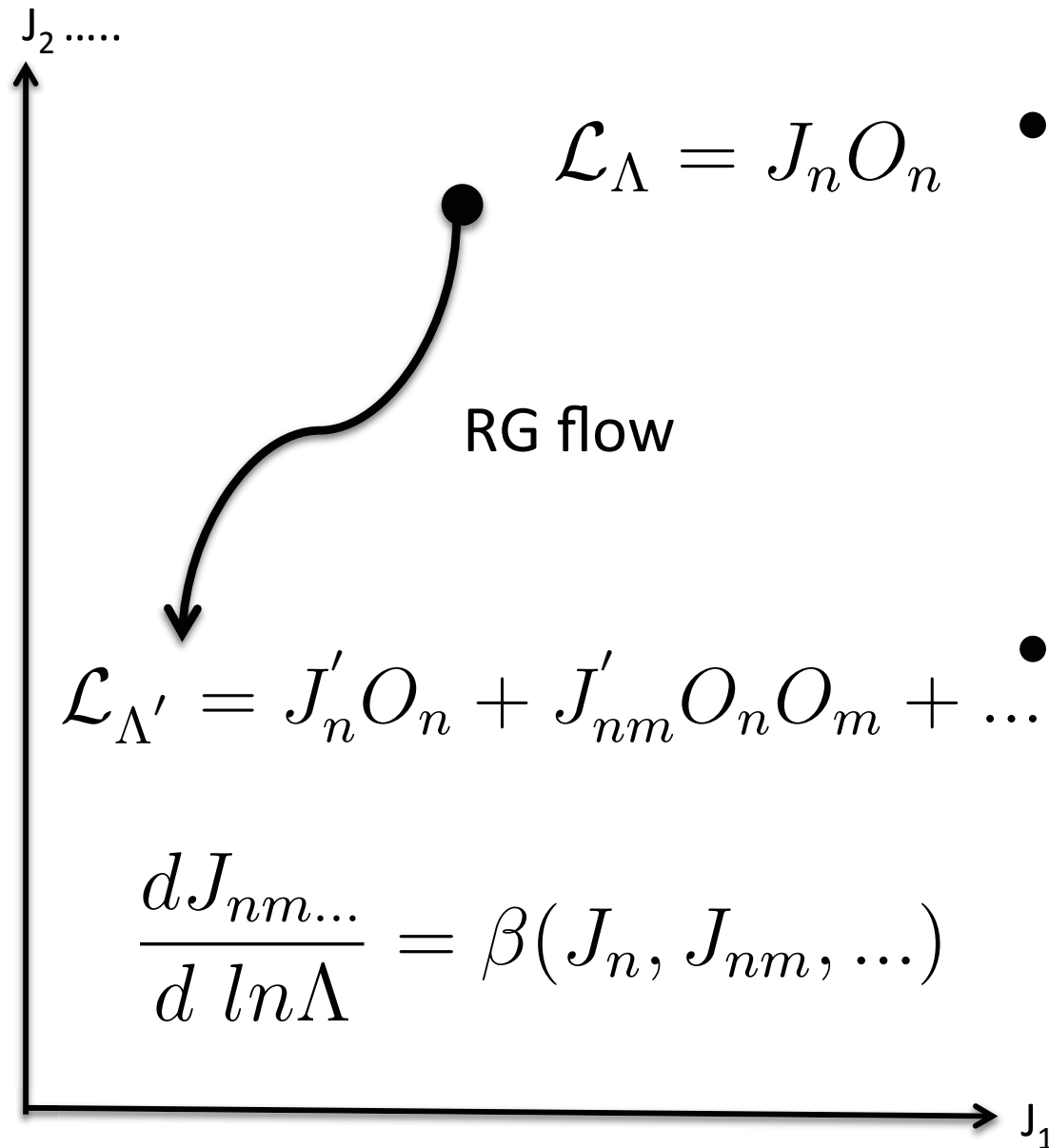
Wavefunction for D - dimensional spacetime dependent sources

- It is useful to view the scale parameter  $z$  as 'time'
- Dynamical sources and dynamical operators are conjugate to each other  $[\hat{j}_l(x), \hat{p}_m(x')] = \delta_{l,m} \delta(x - x')$
- Partition function is written as a transition amplitude of D-dimensional quantum wavefunction of coupling constants
- The Hamiltonian generates scale transformation for dynamical couplings
- The Heisenberg equation : quantum beta function

$$\frac{d\hat{j}_n}{dz} = [\hat{H}, \hat{j}_n]$$

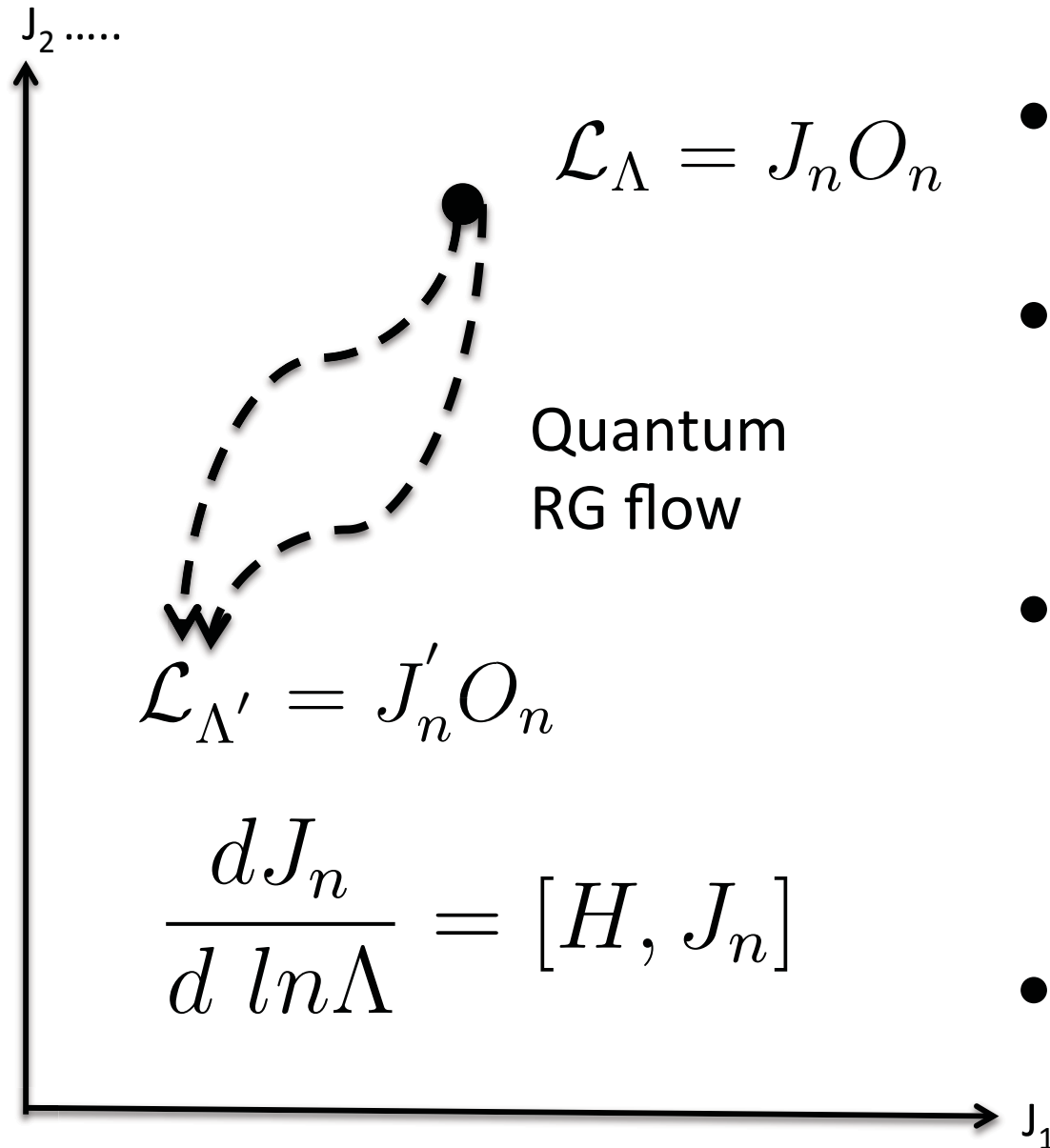


# Conventional RG



- Even though one starts with the fundamental operators at a given scale, non-primary operators are generated
- For a given initial condition, there is a unique trajectory = RG trajectory is classical (no fluctuations)

# Holography



- Only fundamental operators appear
- The sources for fundamental operators become dynamical
- Quantum fluctuations in the RG trajectory : sources become operators!
- RG flow is governed by a quantum `Hamiltonian`

# Local RG prescription

- Spacetime dependent coarse graining

$$-\phi \left( \nabla^2 + \frac{\nabla^4}{\Lambda^2} + \dots \right) \phi \rightarrow -\phi \left( \nabla^2 + \frac{\nabla^4}{\Lambda(x)'^2} + \dots \right) \phi$$

$$\Lambda(x)' = \Lambda e^{-\alpha(x)dz}$$

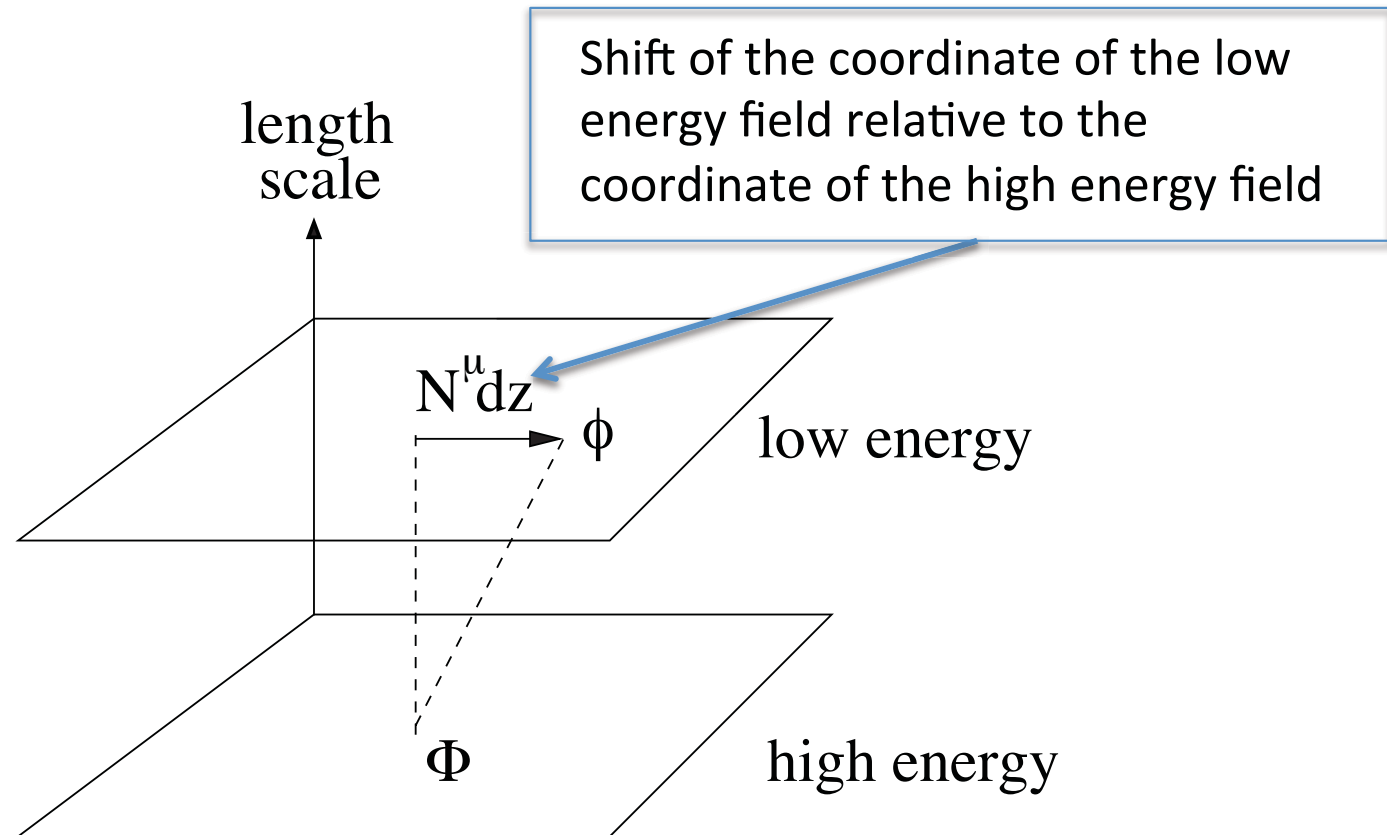
Speed of coarse graining



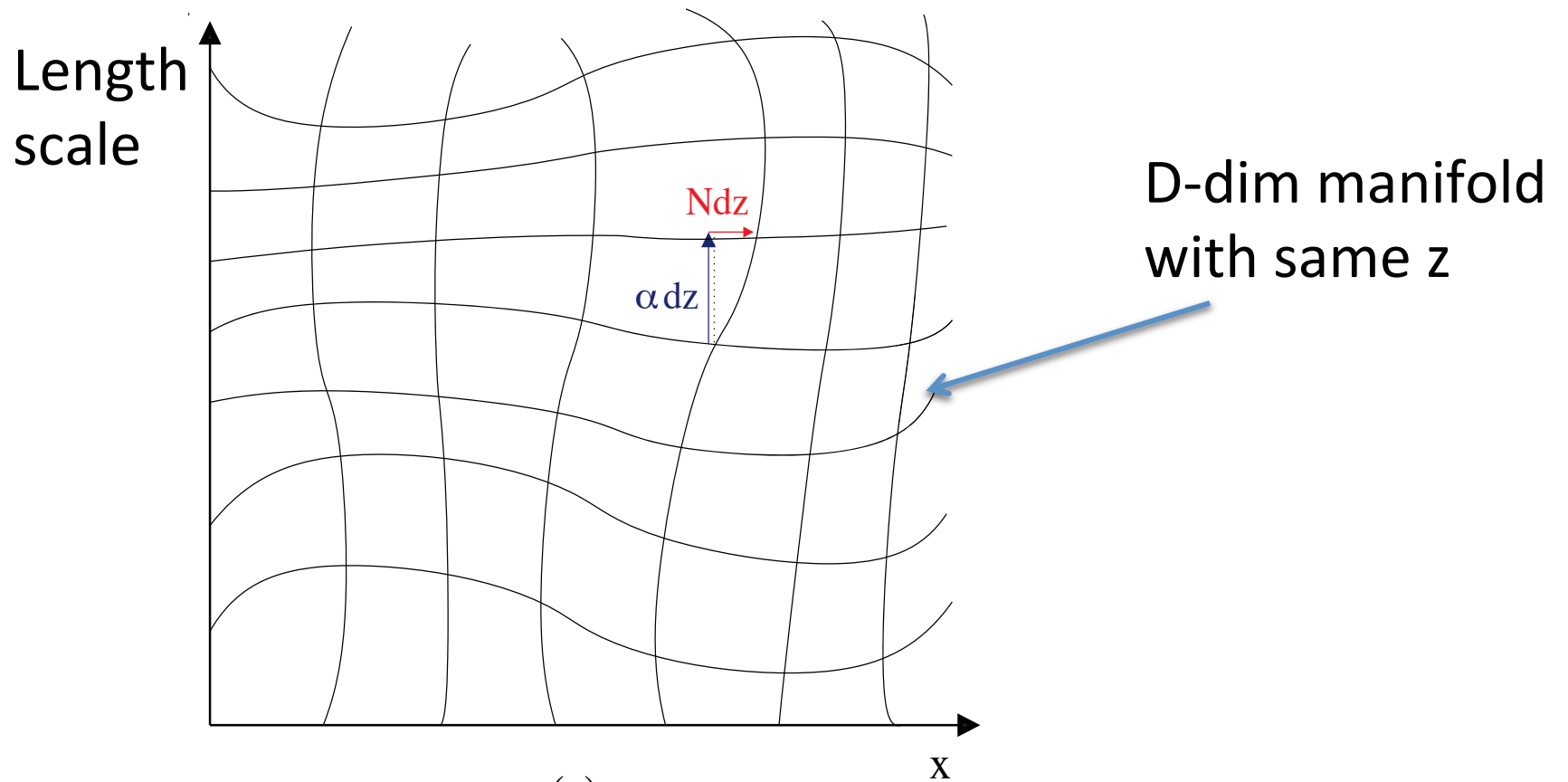
- By construction, Z is independent of  $\alpha(x,z)$
- Choosing different RG scheme  $\alpha(x,z)$  : choosing different gauge

# Shift

- One does not have to choose the coordinate of the low energy field as the coordinate of the high energy mode



# Diffeomorphism = Freedom to choose different local RG schemes



# D-dimensional matrix field theory

single-trace operators

$$O_{[q+1; \{\mu_j^i\}]} = \frac{1}{N} \text{tr} \left[ \Phi \left( \partial_{\mu_1^1} \partial_{\mu_2^1} \dots \partial_{\mu_{p_1}^1} \Phi \right) \left( \partial_{\mu_1^2} \partial_{\mu_2^2} \dots \partial_{\mu_{p_2}^2} \Phi \right) \dots \left( \partial_{\mu_1^q} \partial_{\mu_2^q} \dots \partial_{\mu_{p_q}^q} \Phi \right) \right]$$

Spacetime dependent  
sources

$\phi$  : N x N traceless symmetric  
real matrix field

$$Z[\mathcal{J}] = \int D\Phi \exp \left[ iN^2 \int d^D x \left( -\mathcal{J}^m O_m + V[O_m; \mathcal{J}^{\{m_i\}, \{\nu_j^i\}}] \right) \right]$$

multi-trace deformation

$$V[O_m; \mathcal{J}^{\{m_i\}, \{\nu_j^i\}}] = \sum_{q=1}^{\infty} \mathcal{J}^{\{m_i\}, \{\nu_j^i\}} O_{m_1} \left( \partial_{\nu_1^1} \dots \partial_{\nu_{p_1}^1} O_{m_2} \right) \left( \partial_{\nu_1^2} \dots \partial_{\nu_{p_2}^2} O_{m_3} \right) \dots \left( \partial_{\nu_1^q} \dots \partial_{\nu_{p_q}^q} O_{m_{q+1}} \right)$$

# (D+1)-dimensional gravity

$$Z[\mathcal{J}] = \int \mathcal{D}J(x, z) \mathcal{D}\pi(x, z) e^{i \left( S_{UV}[\pi(x, 0)] + S[J(x, z), \pi(x, z)] + S_{IR}[J(x, \infty)] \right)} \Big|_{J(x, 0) = \mathcal{J}(x)}$$

**Bulk action :** 
$$S = N^2 \int d^D x dz \left[ (\partial_z J^n) \pi_n - \alpha(x, z) \mathcal{H} - N^\mu(x, z) \mathcal{H}_\mu \right]$$

**Hamiltonian constraint :** 
$$\mathcal{H} = \tilde{A}^{\mu\nu}[J(x)] \pi_{[2, \mu\nu]} - \frac{\tilde{B}^{\mu\nu\lambda\sigma}[J(x)]}{\sqrt{|G|}} \pi_{[2, \mu\nu]} \pi_{[2, \lambda\sigma]} - \sqrt{|G|} \left\{ C_0[J(x)] + C_1[J(x)] \mathcal{R} \right\} + \dots,$$

**Momentum constraint :**

$$\mathcal{H}_\mu = -2 \nabla^\nu \pi_{[2, \mu\nu]} - \sum_{[q, \{\mu_j^i\}] \neq [2, \mu\nu]} \left[ \sum_{a, b} \nabla_\nu \left( J^{[q, \{\mu_1^1 \mu_2^1 \dots \mu_{b-1}^a \nu \mu_{b+1}^a \dots\}]} \pi_{[q, \{\mu_1^1 \mu_2^1 \dots \mu_{b-1}^a \mu \mu_{b+1}^a \dots\}]} \right) + (\nabla_\mu J^{[q, \{\mu_j^i\}]}) \pi_{[q, \{\mu_j^i\}]} \right].$$

# Summary

- D-dimensional QFT can be explicitly mapped into a  $(D+1)$ -dimensional quantum theory of gravity based on a local RG
- Quantum beta function
- Example of emergent gravity
- Condensed matter example ?