

2369-3

CIMPA/ICTP Geometric Structures and Theory of Control

1 - 12 October 2012

Plasma confinement and turbulent transport in tokamak

Mitsuru Kikuchi
*Japan Atomic Energy Agency
Naka-Gun, Tokai-mura Ibaraki 319-1195
JAPAN*

Joint-ICTP-IAEA College on Plasma Physics
International Center for Theoretical Physics, Trieste, Oct. 4, 2012

Plasma Confinement and Turbulent transport in Tokamak

M. Kikuchi, JAEA

3-1. Dynamical system

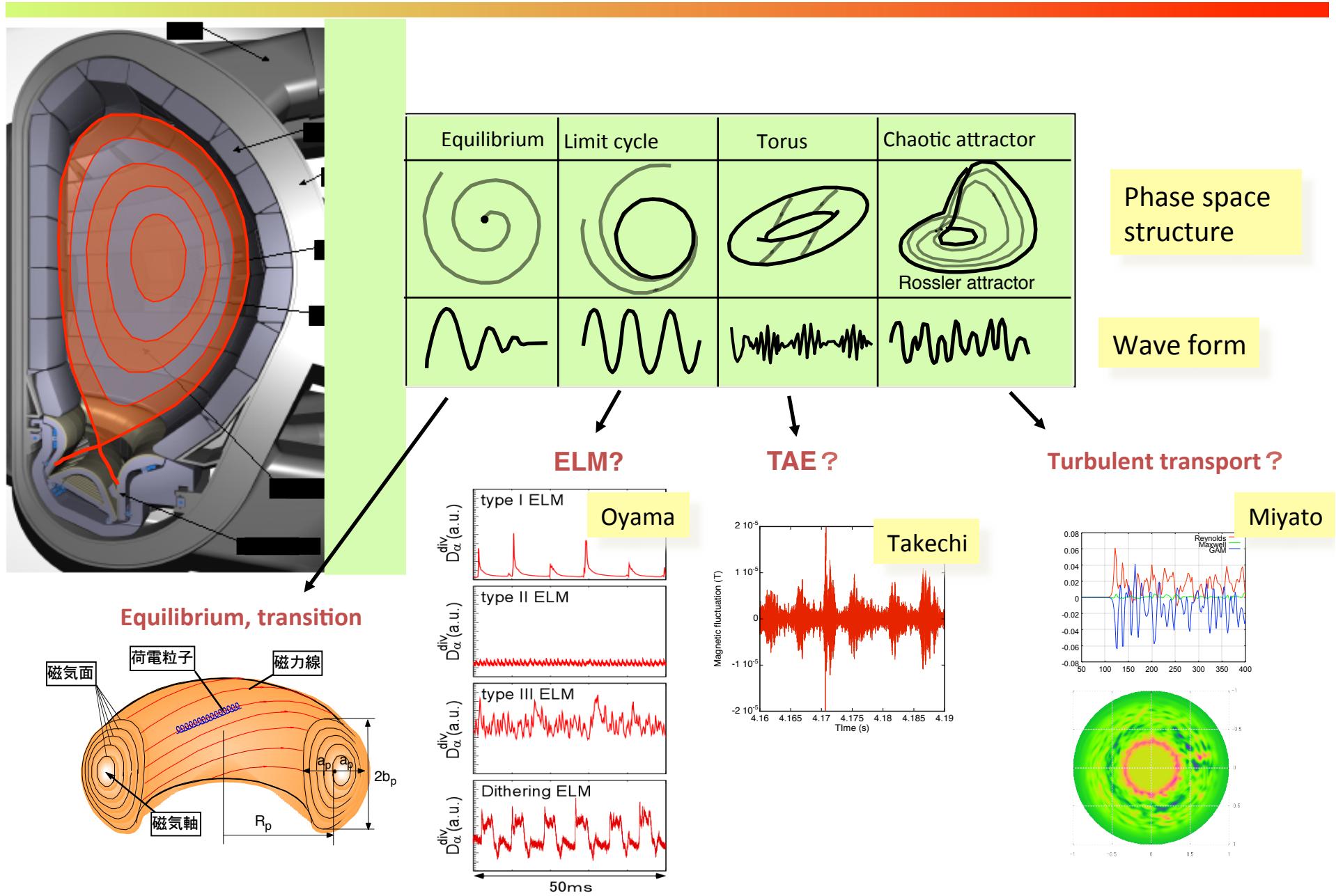
Concept of “attractor” in dynamical system is interesting to understand turbulence in high temperature plasma.

$$\dot{\mathbf{x}} = \mathbf{F}(\mathbf{x}, \mu)$$

dynamical systems with some conserved quantity is called “**conservative system**” while the system with some quantity is being dissipated is called “**dissipative system**”. Motion of the dissipative system is settled down to a specific trajectory or point after enough time. Stable state after the transient is called attractor. There are

- [1] Equilibrium point: the motion converges to a point in phase space.
- [2] Limit cycle: repeat the periodic motion in phase space.
- [3] Torus: Motion in phase space wound the torus.
- [4] Chaotic attractor: phase space orbit does not close forever.

3-2. To achieve good Plasma Confinement, suppression of turbulence is necessary



3-2 Self-organized critical transport (SOC)

Equilibrium statistical physics \leftrightarrow Complexity Science
Gauss distribution \leftrightarrow Power law

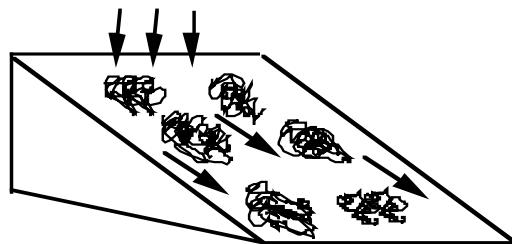


Scanned at the American Institute of Physics

We frequently see power law in Nature.

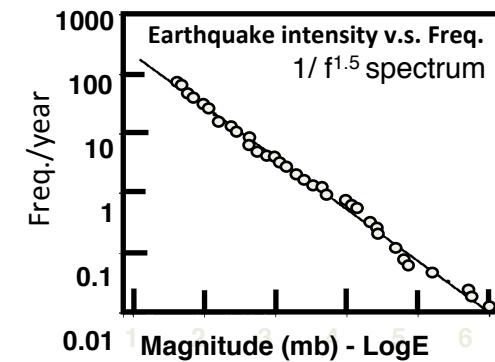
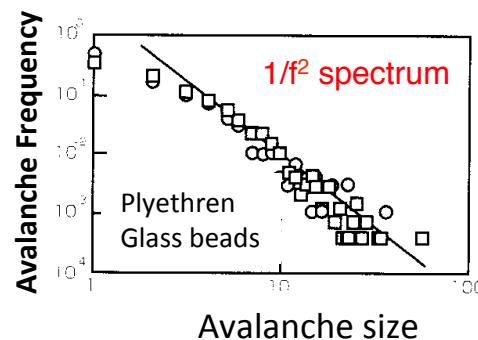
P. Bak, Phys. Rev.
A38(1988)364

Physics of Sand pile: critical gradient



SOC : Self-organized criticality

Bak et.al. Phys. Rev. A 38, 364 1988



Gutenberg-Lichter Law

$1/f$ noise

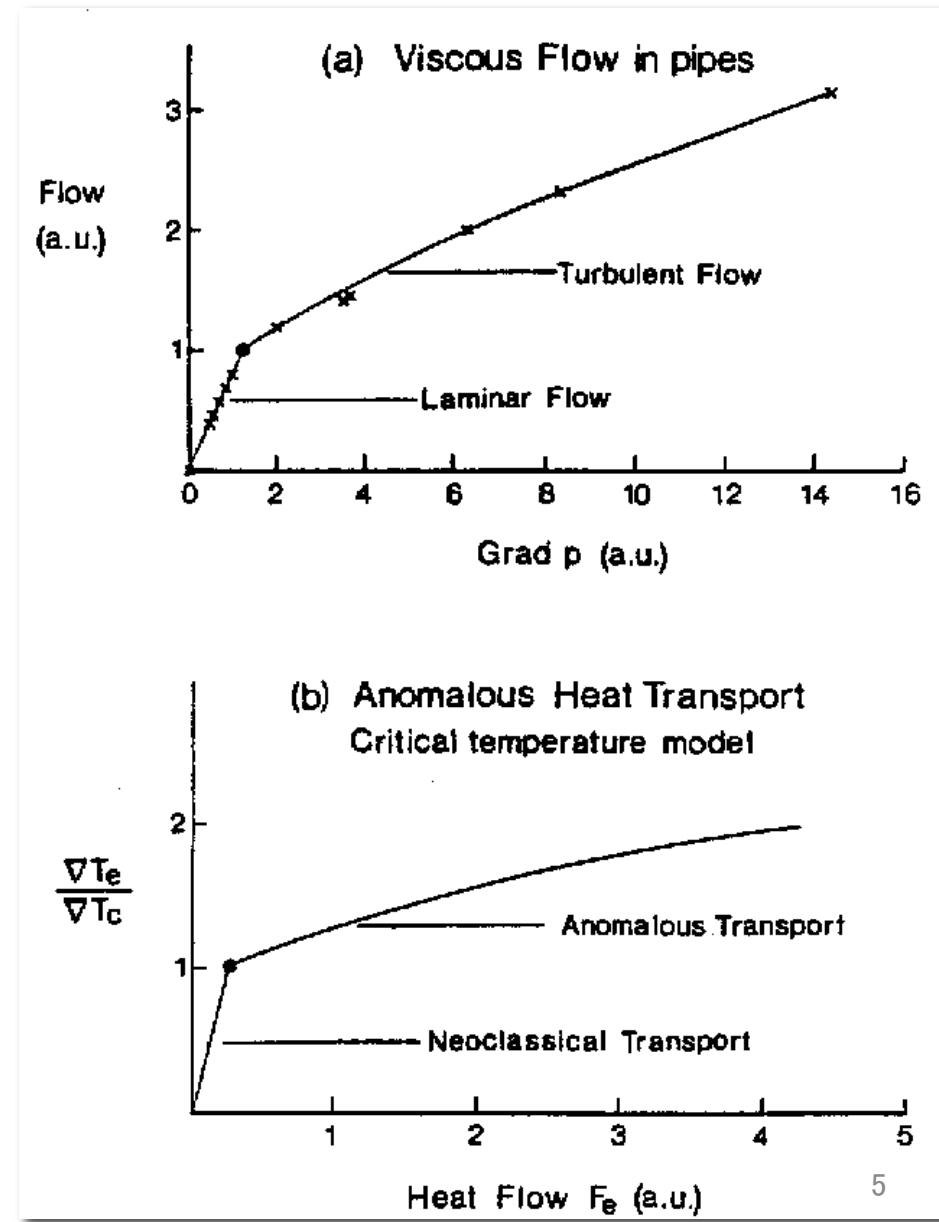
Self-organized criticality : system will become critical by itself(Per Bak)

3-3. Self-organized critical transport (Rebut)

Laminar flow and turbulent flow



P.H. Rebut(former JET director) is first to explain turbulent plasma transport by critical temperature gradient transport (1988).



3.4 Drift wave : universal wave in confined plasma.

Sound wave ($\omega^2 = k_{\parallel}^2 C_s^2$) coupled to drift motion (ω_*) is called “Drift Wave”.

$$\omega(\omega - \omega_*) = k_{\parallel}^2 C_s^2 \quad \begin{aligned} \omega_* &= -(k_{\perp} T/eB)(d \ln n_e / dr) \\ C_s &= ((Z_i T_e + \gamma_i T_i)/m_i)^{1/2} \end{aligned}$$

Including polarization drift $v_{pa} = \frac{m_a}{e_a B^2} \frac{dE}{dt}$, dispersion relation becomes

$$\omega^2(1 + \tau k_{\perp}^2 \rho_i^2/2) - \omega \omega_* = k_{\parallel}^2 C_s^2$$

If we include ion/e temperature gradient, we obtain following dispersion relation.

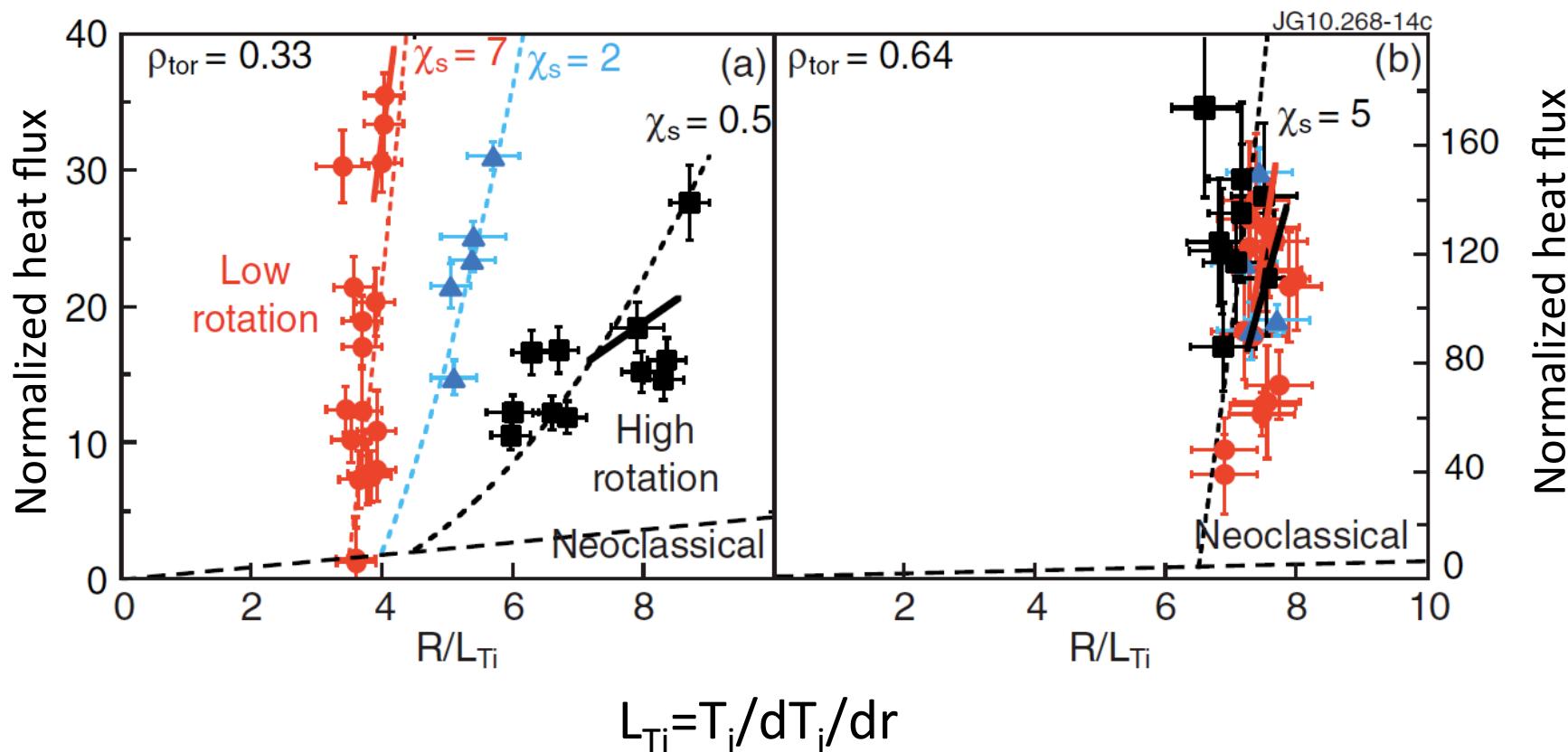
$$\omega(\omega - \omega_*) = k_{\parallel}^2 C_s^2 \left[1 + \frac{\omega_*}{\omega} \frac{Z_i}{\gamma_i \tau + Z_i} \left(\eta_i - \frac{\gamma_i - Z_i}{Z_i} \right) \right]$$

For the case of $Z_i = 1$, $\gamma_i = 5/2$, $\omega \sim k_{\parallel} C_s \ll \omega_*$,

$$\omega^2 \sim -\frac{k_{\parallel}^2 C_s^2}{2.5\tau + 1} \left(\eta_i - \frac{2}{3} \right) \quad \text{Critical temperature gradient}$$

3.5 Critical temperature gradient transport (ion)

Ion heat transport is governed by critical temperature gradient transport.
Note : high toroidal rotation (shear) reduce ion thermal diffusivity.



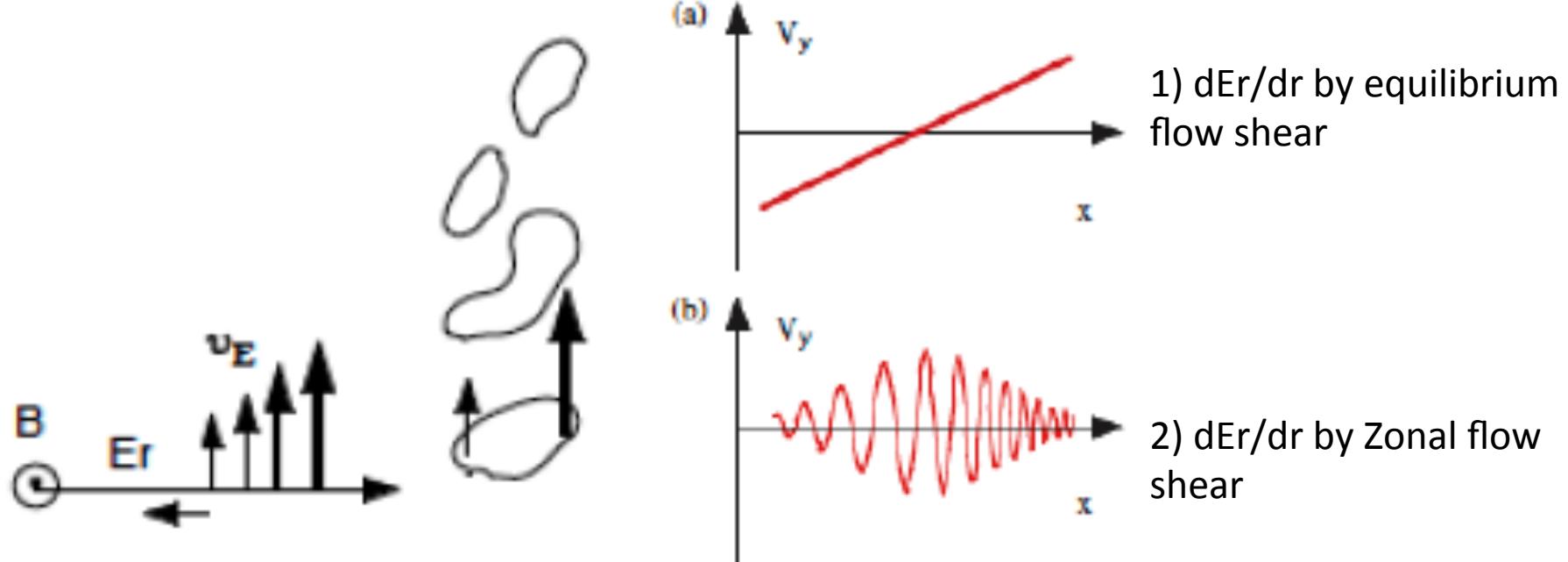
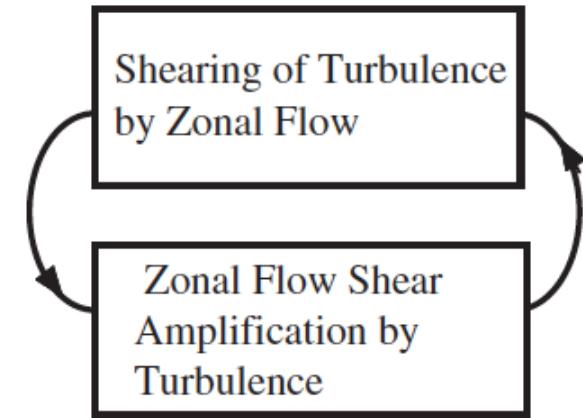
3.6 Turbulence suppression by $E \times B$ drift and Zonal flow

Shear in $E_r \times B$ drift can stabilize turbulence.

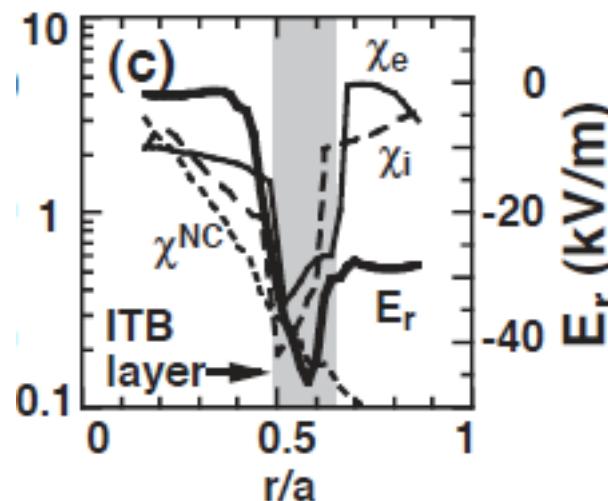
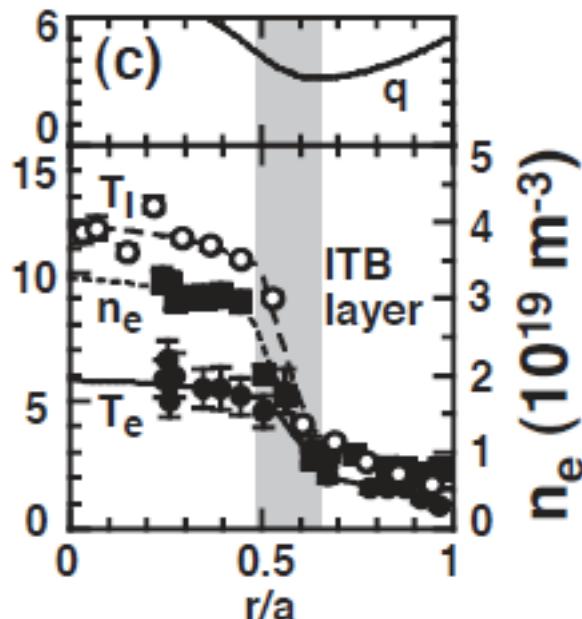
Basic picture is shown below.

There are two mechanisms to create E_r .

- One is equilibrium radial electric field E_r
- Other is Zonal flow created by turbulence.



3.6 Turbulence reduction by equilibrium flow shear



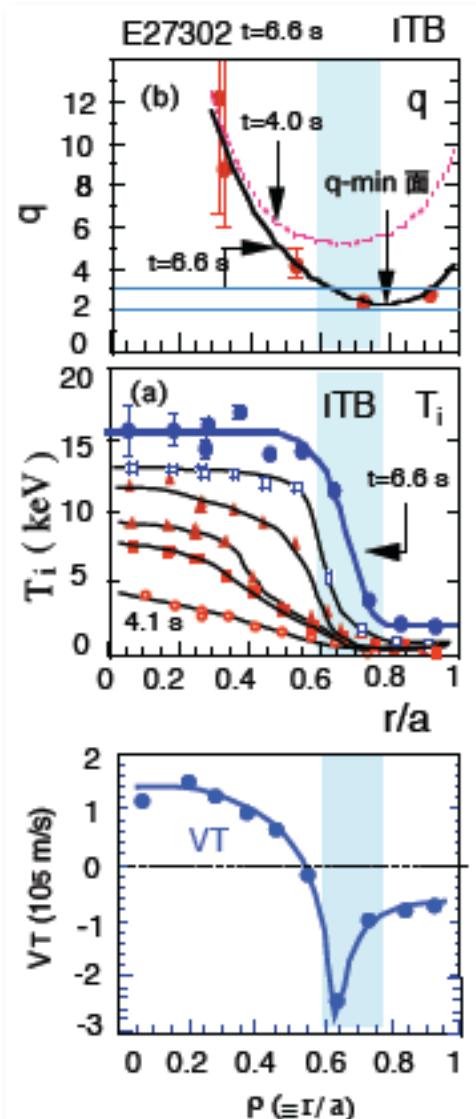
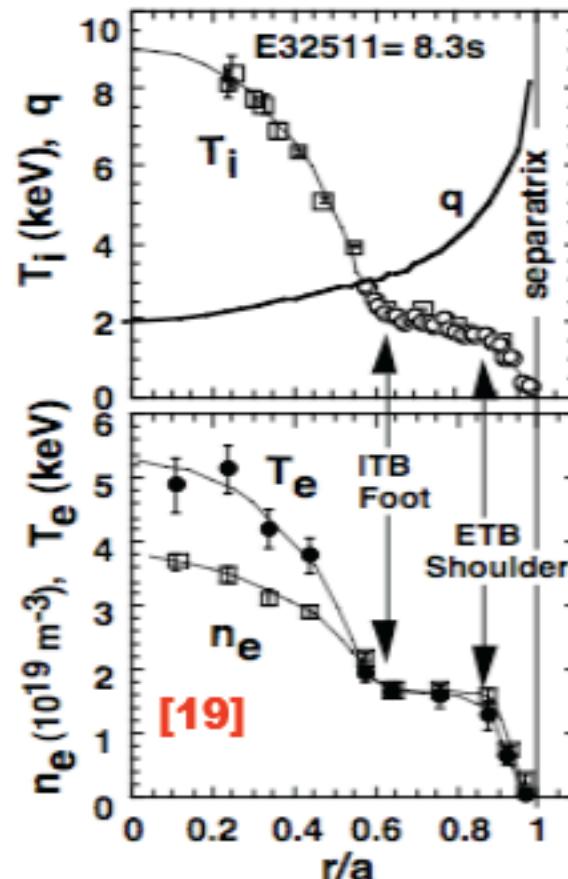
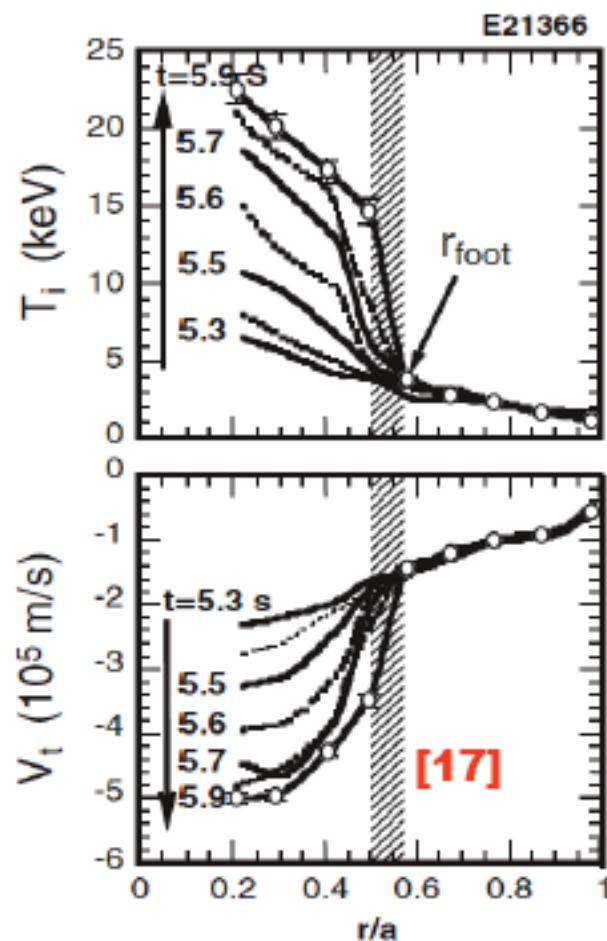
Shirai, Kikuchi, NF 1999

E_r can be estimated from measurements.
Existence of E_r shear at ITB (Internal transport barrier)
ITB: thin thermal insulation layer inside the plasma.

$$E_r = -\frac{d\Phi}{d\rho} = \frac{1}{\sum_{j=1}^4 \alpha_{I,j}^*} \left(-\frac{\langle B^2 \rangle}{(RB_\phi)^2} \frac{d\psi}{d\rho} R u_{I\phi} - \sum_{j=1}^4 \alpha_{I,j}^* \frac{1}{e_j n_j} \frac{dP_j}{d\rho} + \sum_{j=1}^3 \alpha_{I,j+4}^* \frac{1}{e_j} \frac{dT_j}{d\rho} \right)$$

↓
Impurity Charge-Exchange RS

3.6 Typical examples of ITB



[17] Y. Koide, S. Ishida et al., IAEA Seville vol1(1994)199

[18] Y. Koide, T. Takizuka et al., PPCF38(1996)1011: Citation=48

[19] Y. Kamada, PPCF42(2000)A65

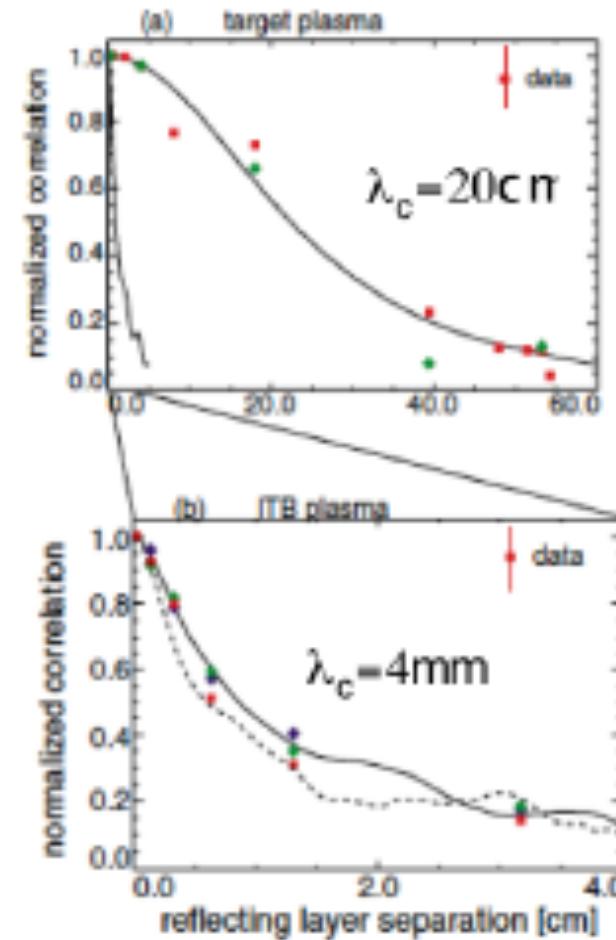
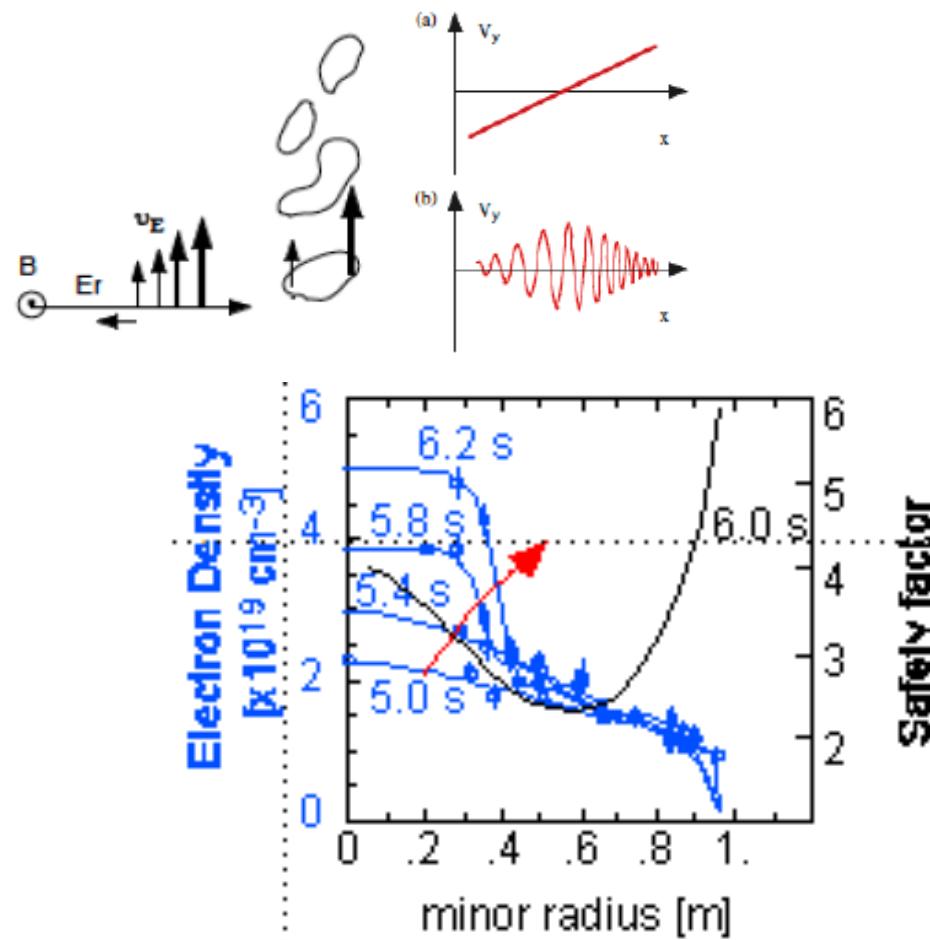
: Citation=31

[20] Y. Koide, M. Mori et al., PPCF40(1998)641

: Citation=23

3.6 Reduction of turbulent radial correlation

as measured by correlation reflectometer

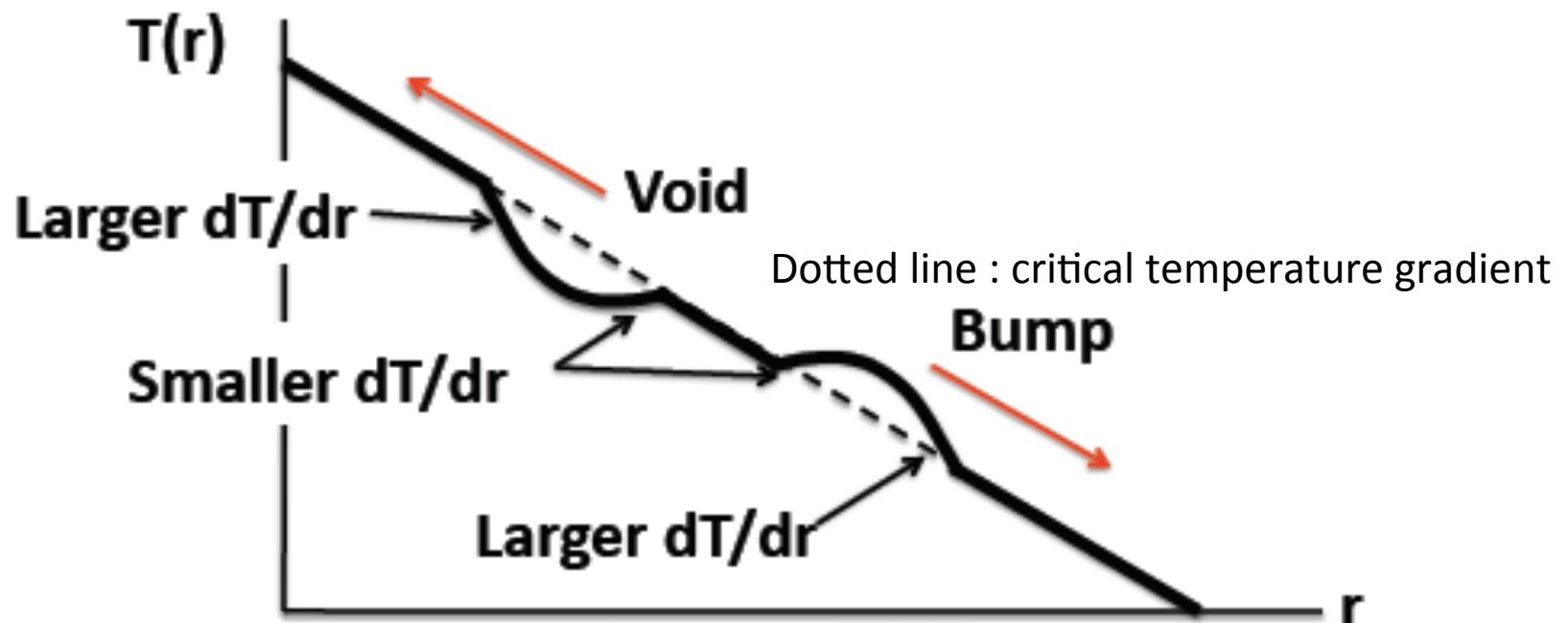


3.7 Avalanche dynamics of $(dT/dr)_c$ transport

T (ST)

Hwa's Joint Reflection Symmetry:

Flux $\Gamma(\delta P)$ is invariant for $x \rightarrow -x$, $\delta P \rightarrow -\delta P$ transformation.



Profile resilience: Temperature gradient stays near critical value

3.7 Avalanche dynamics with Er shear

$$0^{\text{th}} \text{ order force balance equation } u_{\zeta i} = \frac{1}{B_\theta} \left[E_r - \frac{1}{eZ_i n_i} \frac{dP_i}{dr} - \frac{K_1}{eZ_i} \frac{dT_i}{dr} \right]$$

When we have perturbation in T, Er will change.

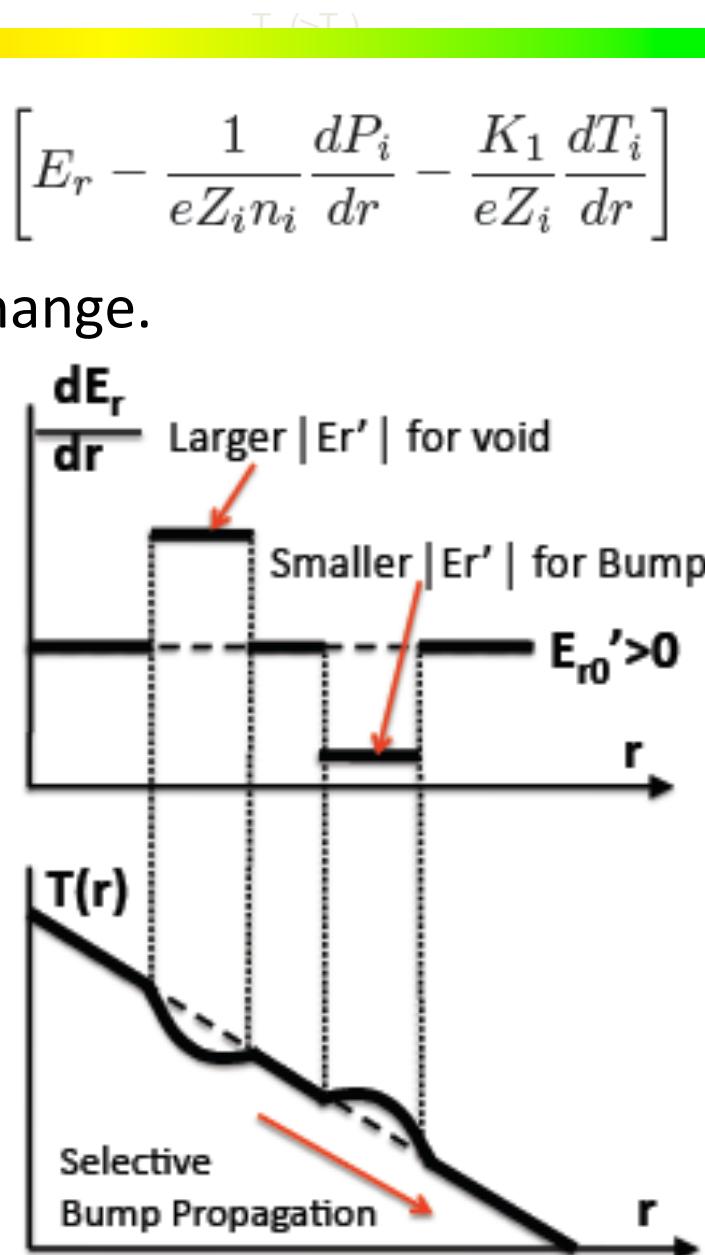
$$dE_r/dr \sim c d^2 T_i / dr^2$$

$$\text{Void : } d^2 T_i / dr^2 > 0$$

Larger Er shear \Rightarrow turbulence is stabilized.

$$\text{Bump : } d^2 T_i / dr^2 < 0$$

Lower Er shear \Rightarrow turbulence is destabilized.



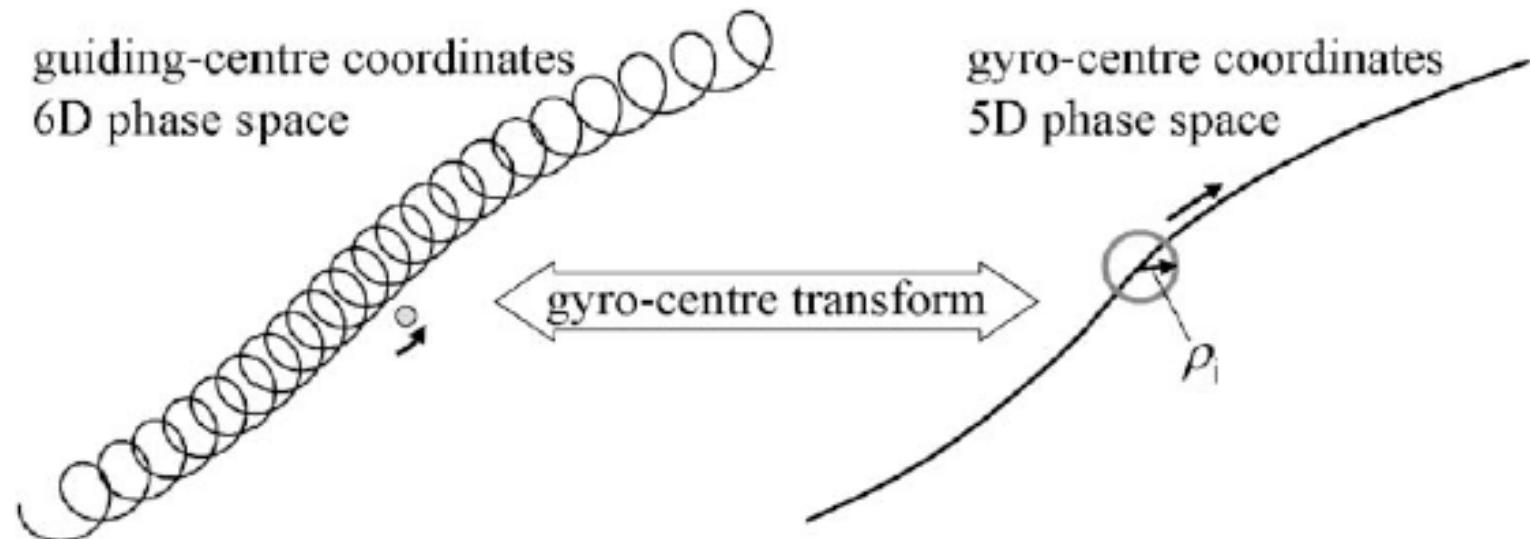
3.8 Gyrokinetic simulations



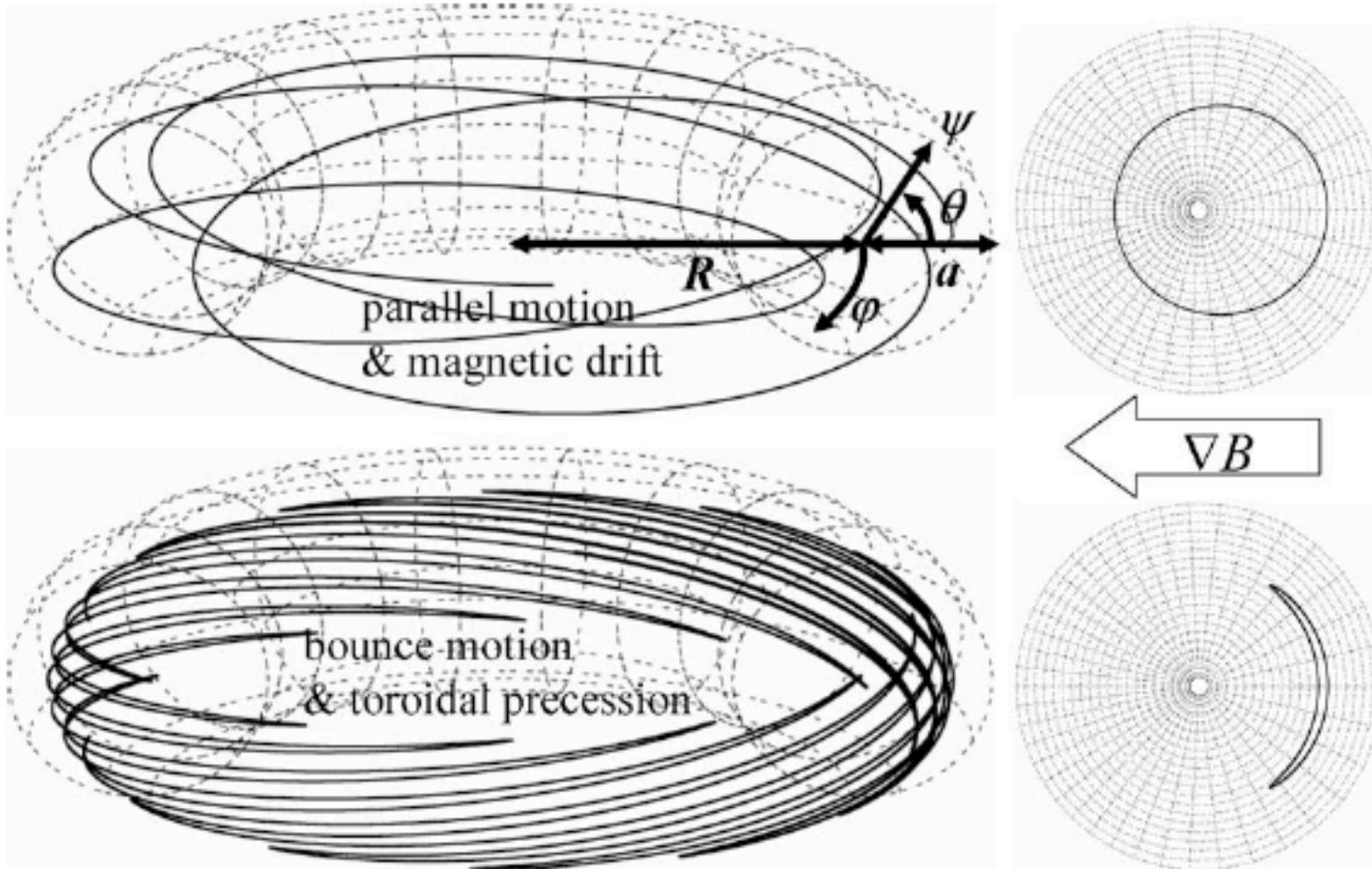
Gyro kinetic/fluid simulation clarified self-organized criticality in ITG

Lagrange-Hamilton mechanics of charged particle motion : see my Springer book

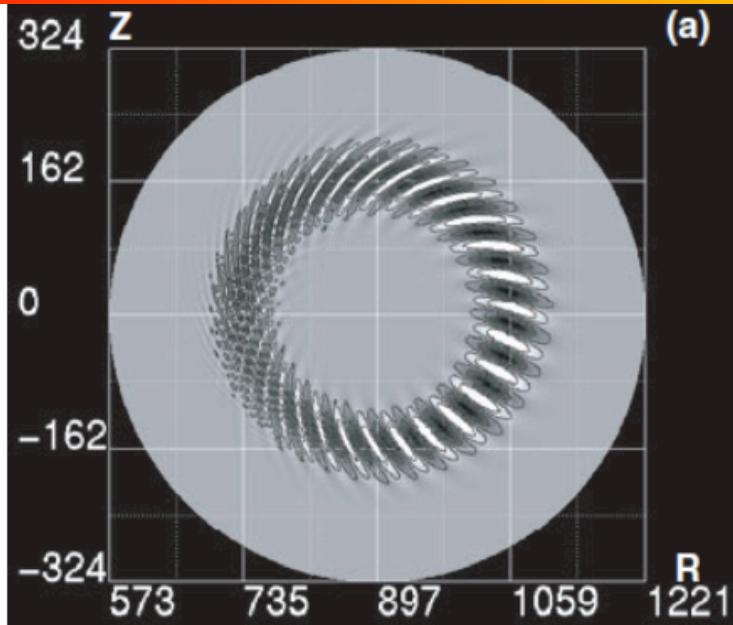
$$\frac{D\bar{f}_s}{Dt} \equiv \frac{\partial \bar{f}_s}{\partial t} + \{\bar{f}_s, \bar{H}_s\} = \frac{\partial \bar{f}_s}{\partial t} + \{\bar{\mathbf{R}}, \bar{H}\} \cdot \frac{\partial \bar{f}_s}{\partial \bar{\mathbf{R}}} + \{\bar{u}, \bar{H}\} \frac{\partial \bar{f}_s}{\partial \bar{u}} = 0$$



3.8 Gyrokinetic simulation



3.9 Ion Temperature Gradient (ITG) Mode

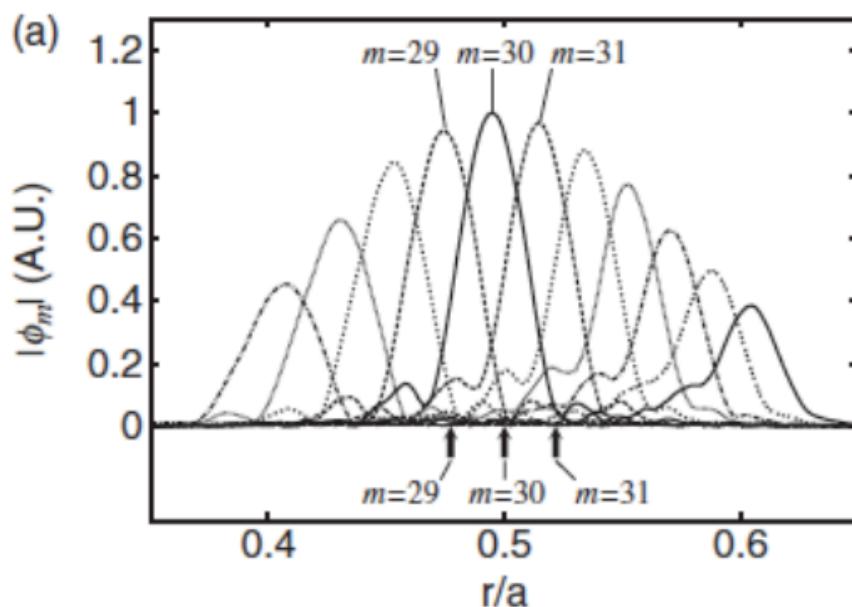


Equi-contour of electro static potential

Linea Eigenmode structure of toroidal ITG mode

Mode is radially elongated and tilted in poloidal direction.

The mode can be decomposed as overlapping of many poloidal harmonics.



3.10 Critical temperature gradient transport (electron)

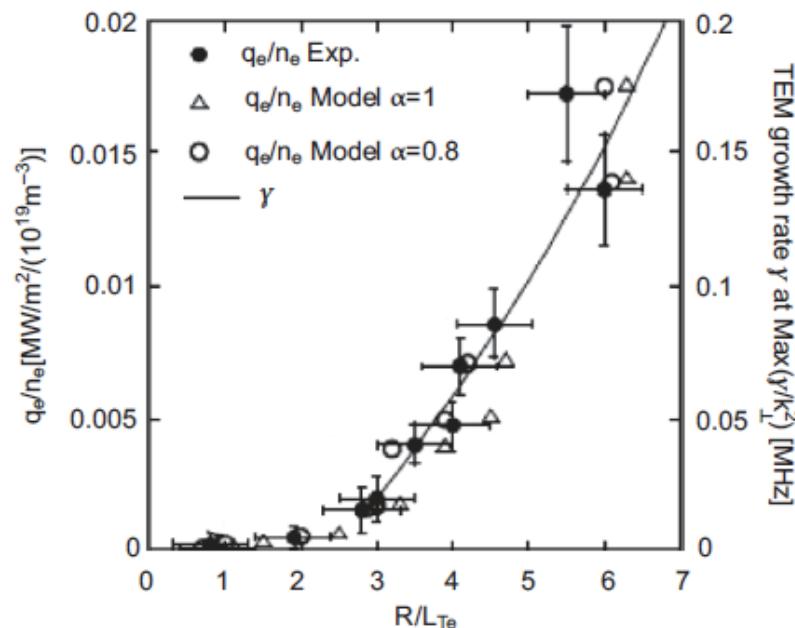
Existence of dT_{ec}/dr

$$\frac{R}{L_c} = 5(\pm 1) + 10(\pm 2) \frac{|s|}{q}$$

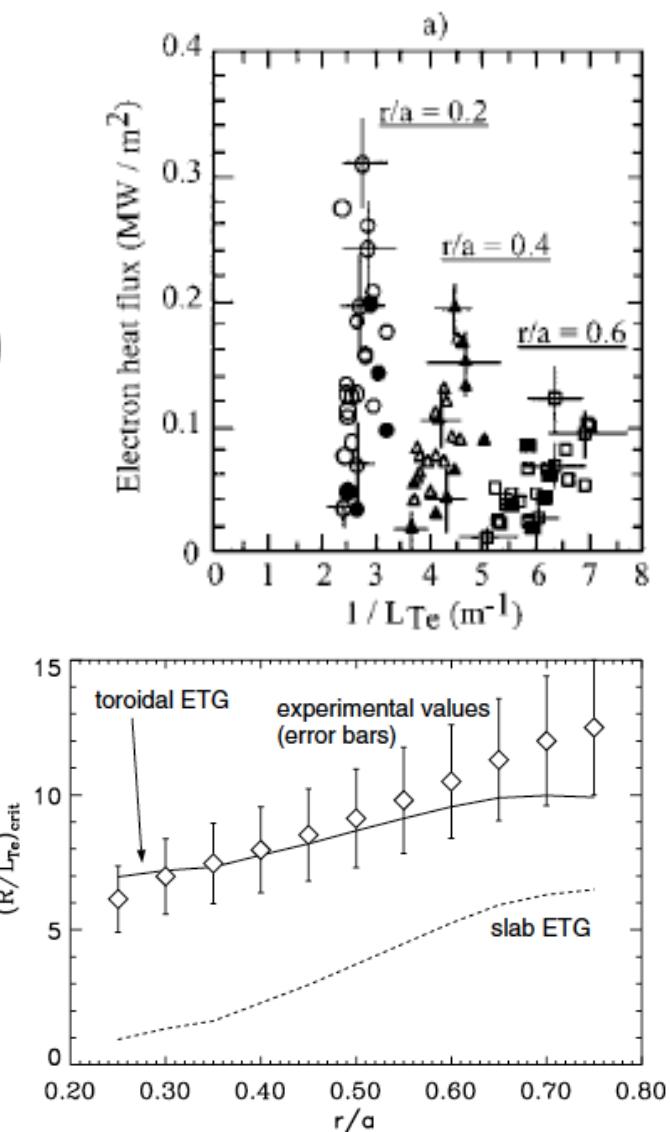
ETG: Jenko formula on shear dependence

$$(R/L_{Te})_{crit} = (1 + \tau_e)(1.33 + 1.91s/q)$$

$$\tau_e = Z_{eff} T_e / T_i;$$

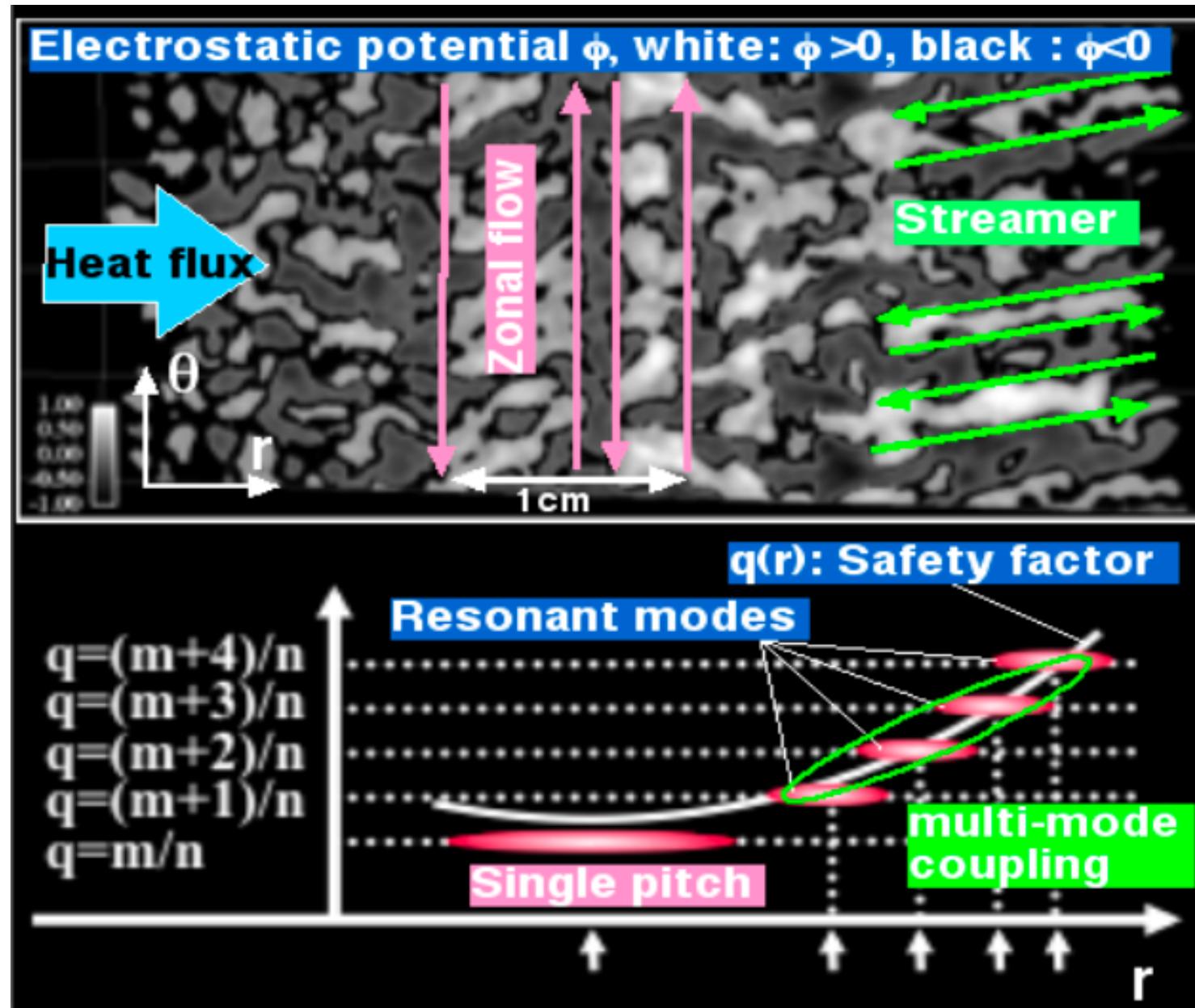


Ryter et al. , PRL95(2005)085001 (TEM)

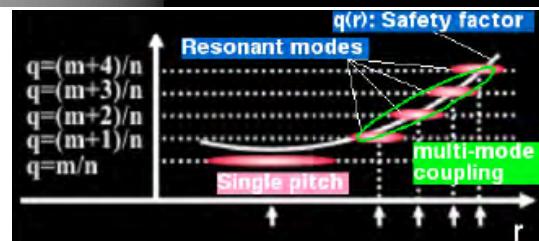
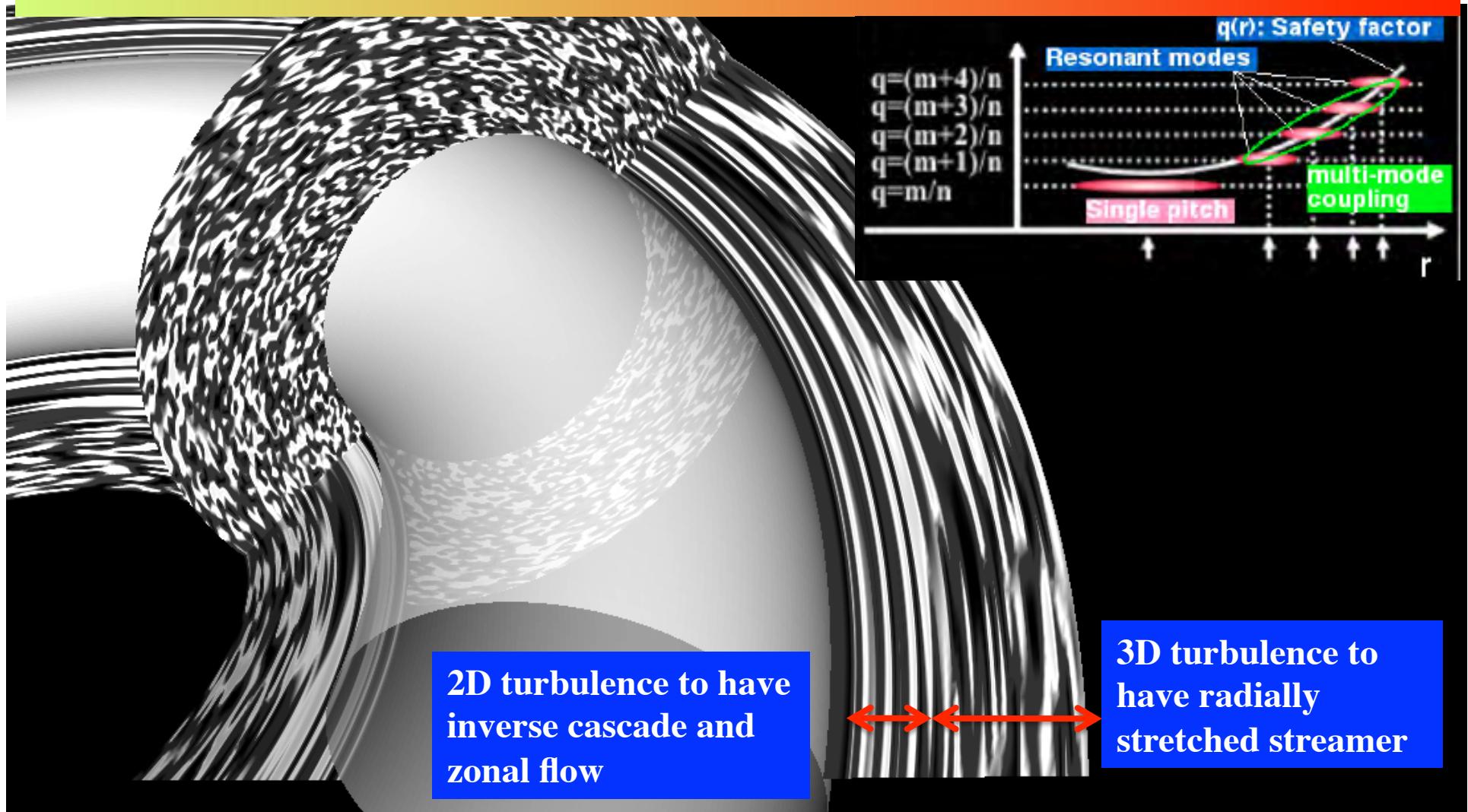


Hoang et al., PRL87(2001)125001(ETG)
Jenko, PRL 89(2002)

3.10 Zonal flow and streamer in ETG turbulence



3.10 2D and 3D turbulences in tokamak w and w/o mag. shear



3.11 Difference between 2D and 3D turbulences

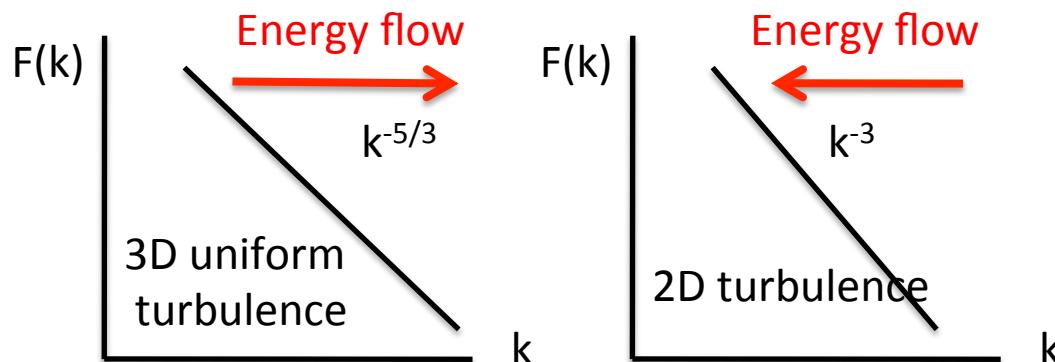
3D turbulence : $\mathbf{u} \cdot \nabla \mathbf{u}$ produces wave with wave number 2 times larger.

So, energy flow is in the direction of high k .
And energy dissipates at high k regime.

For isotropic 3D turbulence, Kolmogolov spectrum $F(k) \sim k^{-5/3}$.

2D turbulence : Energy flow is from high k to low k , opposite to 3D turbulence.

Spectrum in isotropic 2D turbulence : spectrum $F(k) \sim k^{-3}$.



See : P. Diamond, SI Itoh, K. Itoh
Modern plasma physics : volume 1,
Physical kinetics of turbulent plasmas

For your reference, M. Kikuchi "Frontier in Fusion Research", Springer, London, 2011

Mitsuru Kikuchi
Frontiers in Fusion Research
Physics and Fusion

Frontiers in Fusion Research provides a systematic overview of the latest physical principles of fusion and plasma confinement. It is primarily devoted to the principle of magnetic plasma confinement, that has been systematized through 50 years of fusion research.

Frontiers in Fusion Research begins with an introduction to the study of plasma, discussing the astronomical birth of hydrogen energy and the beginnings of human attempts to harness the Sun's energy for use on Earth. It moves on to chapters that cover a variety of topics such as:

- charged particle motion,
- plasma kinetic theory,
- wave dynamics,
- force equilibrium, and
- plasma turbulence.

The final part of the book describes the characteristics of fusion as a source of energy and examines the current status of this particular field of research.

Anyone with a grasp of basic quantum and analytical mechanics, especially physicists and researchers from a range of different backgrounds, may find *Frontiers in Fusion Research* an interesting and informative guide to the physics of magnetic confinement.

Engineering

ISBN 978-1-84996-410-4



9 781849 964104

► springer.com

Kikuchi



Frontiers in Fusion Research

Mitsuru Kikuchi

Frontiers in Fusion Research

Physics and Fusion

Springer

End of 3rd lectures

Below : appendix

Hasegawa-Mima equation (2D turbulence)



A Hasegawa and K. Mima

2D turbulence: Hasegawa-Mima equation

Turbulence is assumed electrostatic
 \mathbf{B} and T_e are uniform

Density gradient to drive drift wave

Boltzmann relation for electron

Continuity equation

$$\frac{\partial}{\partial t} \frac{\tilde{n}}{n_0} + \mathbf{V}_\perp \cdot \frac{\nabla n_0}{n_0} + \nabla \cdot \mathbf{V}_\perp + \frac{1}{n_0} \nabla \cdot (\tilde{n} \mathbf{V}_\perp) = O(\varepsilon^3)$$

Ion flow is $\mathbf{E} \times \mathbf{B}$ and polarization drifts $\mathbf{V}_\perp = \mathbf{V}_E + \mathbf{V}_{pi}$

$$\mathbf{E} \times \mathbf{B} \text{ drift} \quad \mathbf{V}_E = -\frac{\nabla_\perp \phi \times \mathbf{B}}{B^2}$$

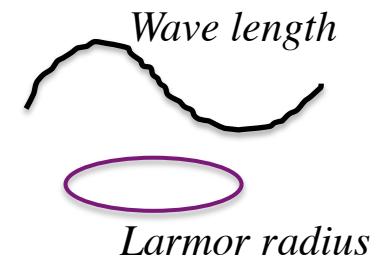
$$\text{Polarization drift} \quad \mathbf{V}_p = \frac{m_a}{e_a B^2} \frac{d\mathbf{E}}{dt} \quad (\text{important for ion})$$

Fluctuation is small and low frequency

$$\frac{e\phi}{T_e} = O(\varepsilon), \frac{1}{\Omega_i} \frac{\partial}{\partial t} = O(\varepsilon)$$

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \mathbf{V}_E \cdot \nabla_\perp \quad \rightarrow \quad \mathbf{V}_{pi} = -\frac{m_i}{eB^2} \left[\frac{\partial}{\partial t} \nabla_\perp \phi + (\mathbf{V}_E \cdot \nabla_\perp) \nabla_\perp \phi \right] \quad \text{Doppler shift}$$

P. Diamond,
A Hasegawa,
K. Mima
Alfven Prize
winner 2011.



2D turbulence: Hasegawa-Mima equation

$$\frac{\partial}{\partial t} \frac{\tilde{n}}{n_0} + \mathbf{V}_\perp \cdot \frac{\nabla n_0}{n_0} + \nabla \cdot \mathbf{V}_\perp + \frac{1}{n_0} \nabla \cdot (\tilde{n} \mathbf{V}_\perp) = 0$$

$\underline{=O(\varepsilon^3)}$

$$\mathbf{E} \times \mathbf{B} \text{ nonlinearity is zero.} \quad \nabla_\perp \cdot (\tilde{n} \mathbf{V}_E) = 0 \quad \text{Since } \nabla_\perp \cdot (\phi \nabla_\perp \phi \times \mathbf{z}) = 0$$

$$2^{\text{nd}} \text{ order polarization term is small} \quad \nabla_\perp \cdot (\tilde{n} \mathbf{V}_{pi}) = O(\varepsilon^3)$$

$$\frac{\partial}{\partial t} \frac{e\phi}{T_e} - \frac{\nabla_\perp \phi \times \mathbf{z}}{B} \cdot \frac{\nabla n_0}{n_0} + \nabla_\perp \cdot \left[-\frac{\nabla_\perp \phi \times \mathbf{z}}{B} - \frac{m_i}{eB^2} \left(\frac{\partial}{\partial t} \nabla_\perp \phi + \left(\frac{\nabla_\perp \phi \times \mathbf{z}}{B} \cdot \nabla_\perp \right) \nabla_\perp \phi \right) \right] = 0$$

$C_s = (T_e/m_i)^{1/2}$, $\rho_s = C_s/\Omega_i$, $V_{de} = -T_e(\partial n_0/\partial x)/eBn_0$ E × B convection of Polarization drift is key to nonlinear drift wave coupling (See DII Appendix A)

$$\hat{\phi} = \frac{e\phi}{T_e} \quad \left[1 - \rho_s^2 \nabla_\perp^2 \right] \frac{\partial \hat{\phi}}{\partial t} + V_{de} \frac{\partial \hat{\phi}}{\partial y} + \rho_s^3 c_s \nabla_\perp \cdot \left[(\nabla_\perp \hat{\phi} \times \mathbf{z} \cdot \nabla_\perp) \nabla_\perp \hat{\phi} \right] = 0$$

Since $\nabla_\perp \cdot [(\nabla_\perp \hat{\phi} \times \mathbf{z} \cdot \nabla_\perp) \nabla_\perp \hat{\phi}] = \nabla_\perp \hat{\phi} \times \mathbf{z} \cdot \nabla_\perp \nabla_\perp^2 \hat{\phi}$ in 2D, we obtain following **H-M equation**.

$$\left[1 - \rho_s^2 \nabla_\perp^2 \right] \frac{\partial \hat{\phi}}{\partial t} + V_{de} \frac{\partial \hat{\phi}}{\partial y} + \rho_s^3 c_s \nabla_\perp \hat{\phi} \times \mathbf{z} \cdot \nabla_\perp \nabla_\perp^2 \hat{\phi} = 0$$

MHD Vorticity Equation

MHD fluid eq. $m_i \left[\frac{\partial \mathbf{V}}{\partial t} + \mathbf{V} \cdot \nabla \mathbf{V} \right] = eZ_i (\mathbf{E} + \mathbf{V} \times \mathbf{B}) - \frac{1}{n} \nabla P$

We define MHD vorticity as $\omega_m = \omega + \frac{eZ_i}{m} \mathbf{B} = \nabla \times (\mathbf{V} + \frac{eZ_i}{m} \mathbf{A})$

MHD fluid eq. $\frac{\partial \mathbf{V}}{\partial t} = \frac{eZ_i}{m_i} \mathbf{E} + \mathbf{V} \times \omega_m - \frac{1}{2} \nabla V^2 - \frac{1}{m_i n} \nabla P$ Since $\mathbf{V} \cdot \nabla \mathbf{V} = \frac{1}{2} \nabla V^2 - \mathbf{V} \times (\nabla \times \mathbf{V})$

Take its rotation $\nabla \times$

$$\frac{\partial \boldsymbol{\omega}}{\partial t} = -\frac{eZ_i}{m_i} \frac{\partial \mathbf{B}}{\partial t} + \nabla \times (\mathbf{V} \times \omega_m) - 0 + \frac{1}{m_i n^2} \nabla n \times \nabla P$$

Since $\nabla \times (\mathbf{V} \times \omega_m) = \mathbf{V} \nabla \cdot \omega_m - \omega_m \nabla \cdot \mathbf{V} + (\omega_m \cdot \nabla) \mathbf{V} - (\mathbf{V} \cdot \nabla) \omega_m$

$$\frac{d\omega_m}{dt} + \omega_m \nabla \cdot \mathbf{V} = (\omega_m \cdot \nabla) \mathbf{V} + \frac{1}{m_i n^2} \nabla n \times \nabla P$$

or

$$n \frac{d}{dt} \left(\frac{\omega_m}{n} \right) = (\omega_m \cdot \nabla) \mathbf{V} + \frac{1}{m_i n^2} \nabla n \times \nabla P \quad \text{Since } \nabla \cdot \mathbf{V} = -\frac{dn}{dt} / n$$

2D turbulence: Vorticity Equation

$$\frac{d\omega_m}{dt} + \omega_m \nabla \cdot \mathbf{V} = (\omega_m \cdot \nabla) \mathbf{V} + \frac{1}{m_i n^2} \nabla n \times \nabla P$$

2D turbulence

Only z component is important for 2D turbulence: $\omega_m = \omega_m \mathbf{z} = (\omega + \Omega_i) \mathbf{z}$, $\Omega_i = eB/m_i$

Vorticity $\omega = \omega \cdot \mathbf{z} = \nabla \times \mathbf{V} \cdot \mathbf{z} \sim \nabla_{\perp} \times \mathbf{V}_E \cdot \mathbf{z} = -\left(\nabla_{\perp} \times \frac{\nabla_{\perp} \phi \times \mathbf{z}}{B} \right) \cdot \mathbf{z} = \frac{1}{B} \nabla_{\perp}^2 \phi$

$$\hat{\phi} = \frac{e\phi}{T_e}$$

$$\frac{d\omega}{dt} = \frac{\partial \omega}{\partial t} + \frac{1}{B} \nabla_{\perp} \phi \times \mathbf{z} \cdot \nabla_{\perp} \omega = \rho_s^2 \Omega_i \underbrace{\left[\frac{\partial}{\partial t} \nabla_{\perp}^2 \hat{\phi} + \rho_s C_s \left(\nabla_{\perp} \hat{\phi} \times \mathbf{z} \cdot \nabla_{\perp} \right) \nabla_{\perp}^2 \hat{\phi} \right]}_{1+2}$$

$$\omega_m \nabla \cdot \mathbf{V} = -(\omega + \Omega_i) \frac{dn/dt}{n} \sim -\Omega_i \frac{dn/dt}{n} \sim -\Omega_i \left(\frac{dn_0/dt}{n_0} + \frac{d}{dt} \frac{e\phi}{T_e} \right)$$

$$\omega_m \nabla \cdot \mathbf{V} \sim -\Omega_i \left(\frac{1}{n_0 B} \nabla_{\perp} \phi \times \mathbf{z} \cdot \nabla_{\perp} n_0 + \frac{\partial}{\partial t} \hat{\phi} \right) = -\Omega_i \left[\frac{\partial}{\partial t} \hat{\phi} + \frac{T_e (\partial n_0 / \partial x)}{en_0 B} \frac{\partial \hat{\phi}}{\partial y} \right]$$

HM eq.
$$\underbrace{\left[1 - \rho_s^2 \nabla_{\perp}^2 \right] \frac{\partial \hat{\phi}}{\partial t} + V_{de} \frac{\partial \hat{\phi}}{\partial y}}_1 + \underbrace{\rho_s^3 c_s \nabla_{\perp} \hat{\phi} \times \mathbf{z} \cdot \nabla_{\perp} \nabla_{\perp}^2 \hat{\phi}}_2 = 0$$

2D turbulence: Vorticity Equation

$$\frac{d\omega_m}{dt} + \omega_m \nabla \cdot \mathbf{V} = (\omega_m \cdot \nabla) \mathbf{V} + \frac{1}{m_i n^2} \nabla n \times \nabla P$$

2D turbulence

Only z component is important for 2D turbulence: $\omega_m = \omega_m \mathbf{z} = (\omega + \Omega_i) \mathbf{z}$, $\Omega_i = eB/m_i$

Vorticity $\omega = \omega \cdot \mathbf{z} = \nabla \times \mathbf{V} \cdot \mathbf{z} \sim \nabla_{\perp} \times \mathbf{V}_E \cdot \mathbf{z} = -\left(\nabla_{\perp} \times \frac{\nabla_{\perp} \phi \times \mathbf{z}}{B} \right) \cdot \mathbf{z} = \frac{1}{B} \nabla_{\perp}^2 \phi$

$$\hat{\phi} = \frac{e\phi}{T_e}$$

$$\frac{d\omega}{dt} = \frac{\partial \omega}{\partial t} + \frac{1}{B} \nabla_{\perp} \phi \times \mathbf{z} \cdot \nabla_{\perp} \omega = \rho_s^2 \Omega_i \underbrace{\left[\frac{\partial}{\partial t} \nabla_{\perp}^2 \hat{\phi} + \rho_s C_s \left(\nabla_{\perp} \hat{\phi} \times \mathbf{z} \cdot \nabla_{\perp} \right) \nabla_{\perp}^2 \hat{\phi} \right]}_2$$

$$\omega_m \nabla \cdot \mathbf{V} = -(\omega + \Omega_i) \frac{dn/dt}{n} \sim -\Omega_i \frac{dn/dt}{n} \sim -\Omega_i \left(\frac{dn_0/dt}{n_0} + \frac{d}{dt} \frac{e\phi}{T_e} \right)$$

$$\omega_m \nabla \cdot \mathbf{V} \sim -\Omega_i \left(\frac{1}{n_0 B} \nabla_{\perp} \phi \times \mathbf{z} \cdot \nabla_{\perp} n_0 + \frac{\partial}{\partial t} \hat{\phi} \right) = -\Omega_i \left[\frac{\partial}{\partial t} \hat{\phi} + \frac{T_e (\partial n_0 / \partial x)}{en_0 B} \frac{\partial \hat{\phi}}{\partial y} \right]$$

HM eq.
$$\underbrace{\left[1 - \rho_s^2 \nabla_{\perp}^2 \right] \frac{\partial \hat{\phi}}{\partial t} + V_{de} \frac{\partial \hat{\phi}}{\partial y}}_1 + \rho_s^3 c_s \nabla_{\perp} \hat{\phi} \times \mathbf{z} \cdot \nabla_{\perp} \nabla_{\perp}^2 \hat{\phi}}_2 = 0$$