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**CIMPA/ICTP Geometric Structures and Theory of Control**

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**Progress and Outstanding Challenges in Tokamak Research**

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# **Progress and Outstanding Challenges** **in Tokamak Research**

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*presented to the*

**Joint ICTP-IAEA College on Plasma Physics**

**International Center for Theoretical Physics, Trieste**

**October 2012**

# Topics and Preamble

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- Tokamak fundamentals
- Tokamak stability
- Confinement and transport
- DT experiments in TFTR and JET
- The leap to ITER

## ***Disclaimer:***

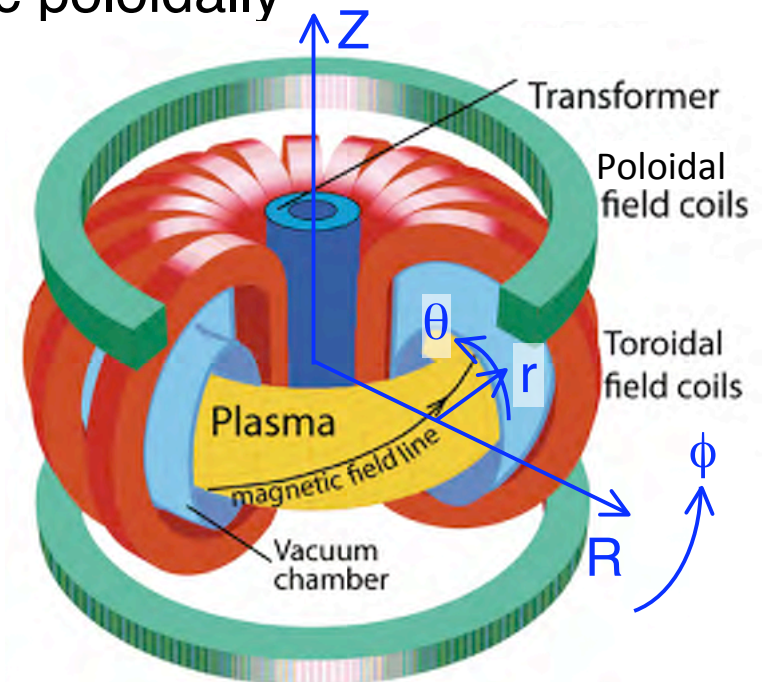
- A single lecture cannot encompass all areas of tokamak physics
  - Tokamaks have been intensely studied for almost 50 years
- Even within the subset of topics, I have had to be very selective

## ***Acknowledgements:***

R. Fonck, T. Luce, G. Matthews, J. Menard, H. Qin, J. VanDam

# Essential Features of the Tokamak

- Toroidal configuration *symmetric about its major axis* formed by a strong applied *toroidal field* plus a *poloidal field* generated by both toroidal plasma current and external coil currents
  - The poloidal field is necessary for compensating particle drifts
  - The toroidal field is necessary for plasma stability
- The configuration need not be symmetric poloidally
  - External poloidal field coils can modify the shape of the minor cross-section
- A tokamak plasma can be described by many different coordinate systems
  - relative to the *major axis* ( $R, Z, \phi$ )
  - relative to the *minor axis* ( $r, \theta, \phi$ )
  - various magnetic coordinates which can simplify calculations





# Tokamak MHD safety factor $q$

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- $q$  = number of toroidal transits of a field line around the major axis to complete one poloidal transit around the minor axis
- In a stable tokamak plasma, magnetic field lines trace out nested *flux surfaces* each characterized by a **poloidal flux**  $\psi$  ( $\propto I_p$ ), an enclosed **toroidal flux**  $\chi$  ( $\propto B_T$ ) and value of  $q$

$$q = \frac{d\chi}{d\psi} \qquad q_{edge} \propto \frac{RB_T}{\mu_0 I_p} f(a/R, \text{boundary shape, profiles})$$

- For magnetohydrodynamic (MHD) stability  $q$  must be  $> 1$  everywhere
- This places an upper bound on the plasma current for a given toroidal field, plasma size and cross-section shape
- A tokamak plasma has a “*last closed flux surface*” beyond which the field lines intersect some material surface
- In practice,  $q$  must be  $> \sim 2$  near the last closed flux surface

# Tokamak MHD Equilibrium

- On timescales  $>$  Alfvén timescale  $a/v_A$ ,  $v_A = B/(\mu_0 \rho)^{1/2}$ , equilibrium is determined by static pressure balance

$$\mathbf{J} \times \mathbf{B} = \nabla p$$

- In a tokamak, axisymmetry reduces this to the 2D “**Grad-Shafranov**” eqn.

$$\Delta^* \Psi = \mu_0 R J_\phi = -[\mu_0 R^2 dp/d\Psi + F dF/d\Psi]$$

where  $\Delta^* \Psi = R^2 \nabla \cdot (\nabla \Psi / R^2)$

$\Psi$  is the poloidal flux  $= R A_\phi$ , ( $A$  the magnetic vector potential)

$p(\Psi)$  is the plasma pressure

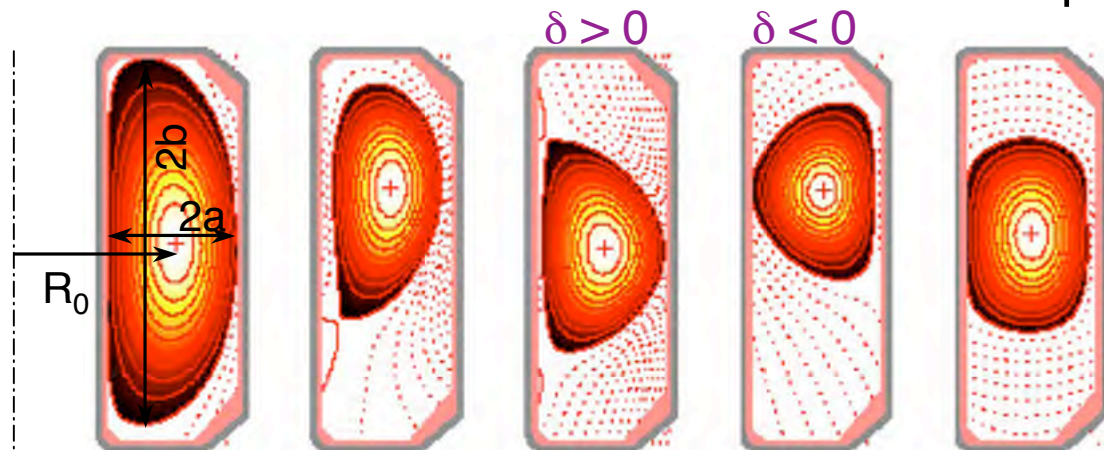
$F(\Psi)$  the poloidal current  $= R B_\phi$ , ( $B_\phi$  the toroidal magnetic field)

- In principle, there is an infinite number of solutions
- In practice, the solutions are constrained by experimental data
  - Total plasma current and coil currents
  - External magnetic measurements (fluxes, field components)
  - Internal measurements of plasma pressure and magnetic field
  - Geometry of surrounding structures
- Important MHD parameter:  $\beta$  = plasma pressure/magnetic pressure ( $B^2/2\mu_0$ )

# Controlling and Shaping the Plasma Cross-section in a Tokamak

- A tokamak requires a major axial (usually vertical) magnetic field to resist major radial expansion forces on the plasma
  - Electromagnetic: a current loop tries to maximize its area
  - Hydrodynamic: plasma pressure tries to expand the torus
- A uniform axial field produces a nearly circular cross-section
- In modern tokamaks, the equilibrium field is generated by many nearby coils to push and pull on the plasma and shape its cross-section
  - **Aspect ratio**:  $R_0/a$  ( $R_0$ : major radius of toroidal axis,  $a$ : minor radius on R)
  - **Elongation**  $\kappa$ : axial height / width =  $b/a$
  - **Triangularity**  $\delta$ : (inward) displacement of top, bottom points from axis
- Feedback control of coil currents is needed to maintain desired equilibrium

*Equilibrium control  
in the TCV tokamak  
(EPFL, Lausanne)*



# Creating a Magnetic Separatrix to Produce a Divertor in a Tokamak

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- Between two parallel conductors carrying current in the same direction there is a magnetic null point:  $B_{\perp} = 0$
- Through the null there is a surface (**separatrix**) which separates flux surfaces which encircle only one conductor from those that encircle both
  - the null point forms an **X-point** in a cross-section of the separatrix
- In a tokamak **divertor**, a separatrix is formed between the plasma, carrying toroidal current, and a poloidal field coil with current in the *same* direction
  - on the separatrix  $q \rightarrow \infty$  because  $B_{\text{pol}} \rightarrow 0$
- Particles diffusing from the plasma across this separatrix are then tied to field lines which are diverted away from the main plasma
  - these field lines are made to intersect some more distant material surface
- Divertors were originally incorporated in tokamaks to reduce the influx of impurities ejected by plasma impinging on surrounding material surfaces
  - the divertor plate can also be angled to spread the heat over a wider area
- Divertors are now used primarily because they allow easier access to the **H-mode of confinement**

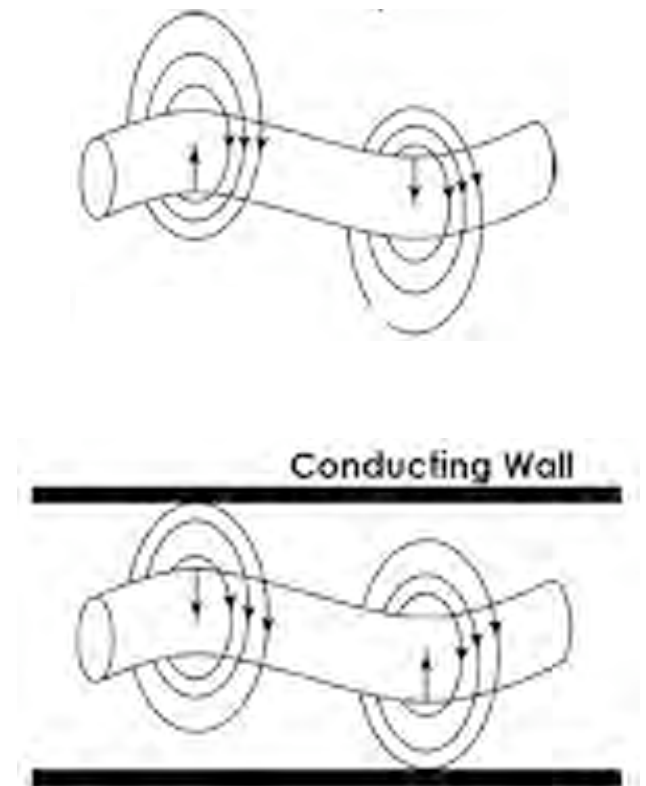
# Topics

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- Tokamak fundamentals
- **Tokamak stability**
- Confinement and transport
- DT experiments in TFTR and JET
- The leap to ITER

# Tokamak Equilibria Can Be Unstable to Many Modes

- An (axially) elongated tokamak plasma is unstable to axisymmetric major-axial displacement
  - Divertor coils strongly attract the plasma
  - Stability requires fast feedback on radial field
- A current-carrying plasma may be subject to a kink instability
  - Higher poloidal field on inside of bend reinforces initial displacement
  - In a tokamak, the strong toroidal field helps to stabilize the kink
  - A surrounding perfectly conducting wall can also stabilize the kink because poloidal field is compressed on the outside of the bend
  - A wall with finite conductivity of the wall slows growth of the instability unless the plasma is moving relative to the wall






# Finite Plasma Pressure and Non-Ideal Plasma Behavior Introduce Other Instability Modes

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- Can assess MHD stability by perturbing equilibrium fluid elements searching for displacement vectors  $\xi$  (perpendicular to the magnetic surfaces) which reduce the potential energy of the system

$$\xi = \xi_0 \exp[i(n\phi + m\theta)]$$

where  $n$ ,  $m$  are toroidal and poloidal mode numbers

- For kink-like modes ( $n < \sim 10$ ) need full 3D displacement
- For high toroidal mode number/short radial wavelength, calculation reduces to ODE  $\Rightarrow$  “**ballooning**” **modes** on low field side
- Flux surfaces where  $q = m/n$  are susceptible to instability
- In “ideal” (infinite conductivity) plasma, flux surface topology is preserved
- Finite plasma conductivity allows reconnection of field inside plasma to form **magnetic islands** 
  - Radial excursion of field lines in magnetic islands “short circuits” the isolation of perfect surfaces
  - Causes radial transport and flattens profiles

# Studies in 1980s Produced a Simple Criterion for Stability to Pressure-Driven Instabilities

- Across a range of tokamak shapes, theory showed

$$\langle \beta \rangle_{\max} = C \cdot I_p / a B_T$$

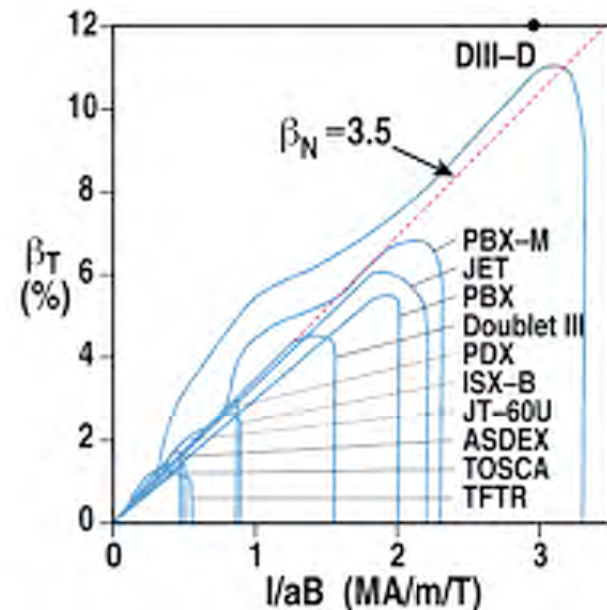
where  $\langle \beta \rangle = 2\mu_0 \langle p \rangle / \langle B^2 \rangle$  [ $\langle \rangle$  indicates volume average] and  $C$  is a constant:  $C \approx 3.5 \text{ mT/MA}$

- This expression was usually approximated by experimentalists as

$$\beta_{T,\max} (= 2\mu_0 \langle p \rangle / B_{T0}^2) = C \cdot I_p / a B_{T0}$$

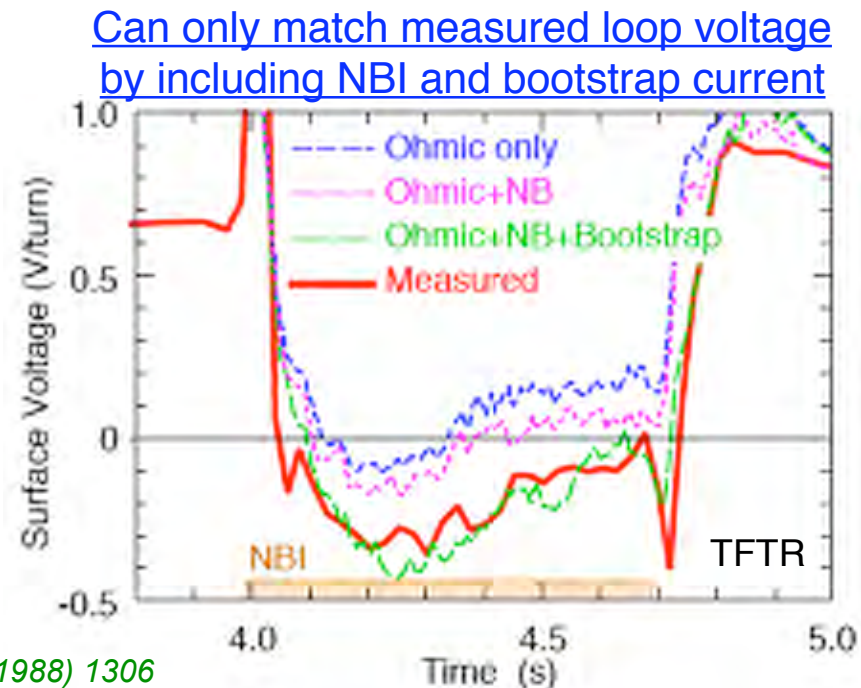
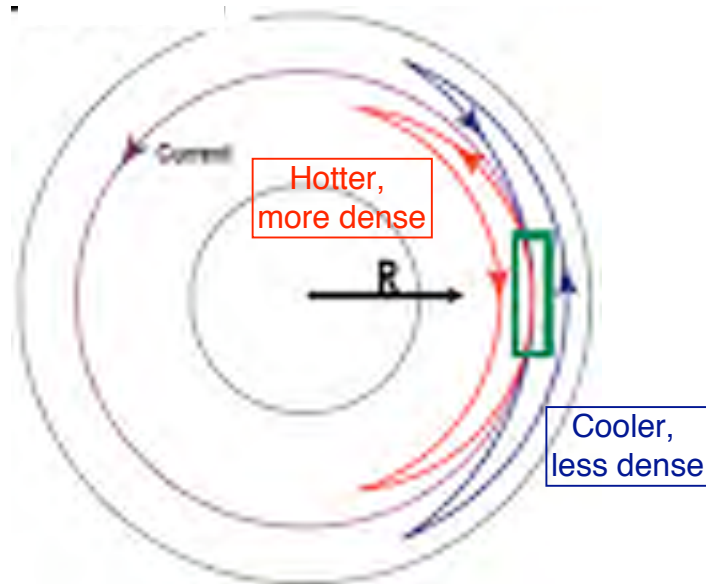
where  $B_{T0}$  is the applied toroidal field at the minor axis

- The **normalized beta**  $\beta_N = \beta_T / (I_p / a B_{T0})$  could then be compared to the constant  $C$
- Scaling was confirmed across many tokamaks with auxiliary heating
- To maximize  $\beta_T \Rightarrow$  operate at lowest  $q$  stable to current-driven kink
- Pushed tokamak design to achieve **high elongation and triangularity**



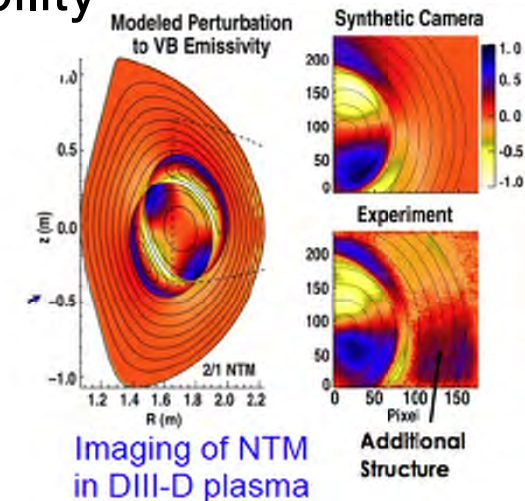
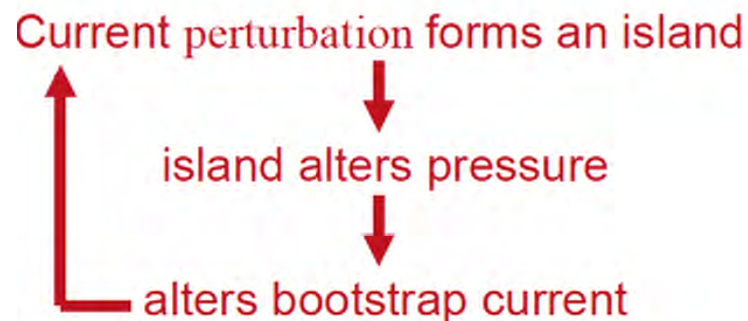
# A Consequence of Toroidicity with Important Practical Applications is the “Bootstrap” Current

- In a tokamak, only untrapped (passing orbit) electrons carry toroidal current
- **Bootstrap current** arises from differential friction between untrapped electrons and trapped particles on co-parallel (larger  $r$ ) and counter-parallel (smaller  $r$ ) legs of their orbits in presence of a radial pressure gradient
- $I_B/I_{\text{tot}} \approx \varepsilon^{1/2} \beta_P$ ;  $\varepsilon = a/R_0$  - inverse aspect ratio,  $\beta_P = 2\mu_0 \langle p \rangle / B_P^2(a)$  - poloidal- $\beta$
- “Supershots” in TFTR achieved sufficiently high  $\beta_P$  to confirm the effect
- Important for possibility of a steady-state tokamak reactor

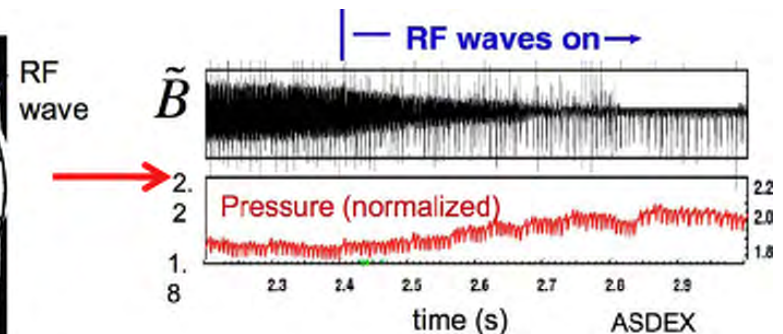
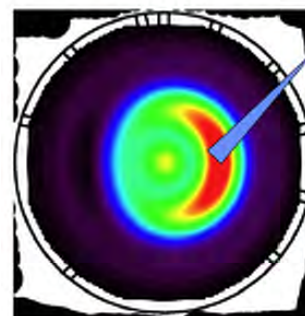


# While Potentially Beneficial, Bootstrap Current Can Destabilize High-Pressure Plasmas

- Local perturbations to the bootstrap current cause growth of the **Neoclassical Tearing Mode** (NTM) instability



- NTMs of concern to ITER because there is evidence that their threshold for instability decreases with tokamak size
- NTMs can be controlled by feedback stabilization using local heating in the island to counteract the perturbation to bootstrap current



# Although We Have Learned to Avoid Many MHD Modes, Two Important Instabilities Persist

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- **Disruption**: a significant rapid ( $\sim$ ms) loss of plasma confinement followed by termination of the plasma current (0.01 – 0.1s)
  - Ubiquitous feature of tokamak operation
    - First described over 40 years ago
  - May be triggered by many different conditions
    - low  $q_{\text{edge}}$
    - too high or too low density
    - high  $\beta$
    - impurity influx
    - unfavorable pressure or current profiles
- **Edge-Localized Mode (ELM)**: periodic, rapid losses of energy from the edge of plasmas in the “high confinement” mode of operation (**H-mode**)

# Disruptions are Particularly Dangerous for Burning Plasmas: Must be Minimized and Mitigated

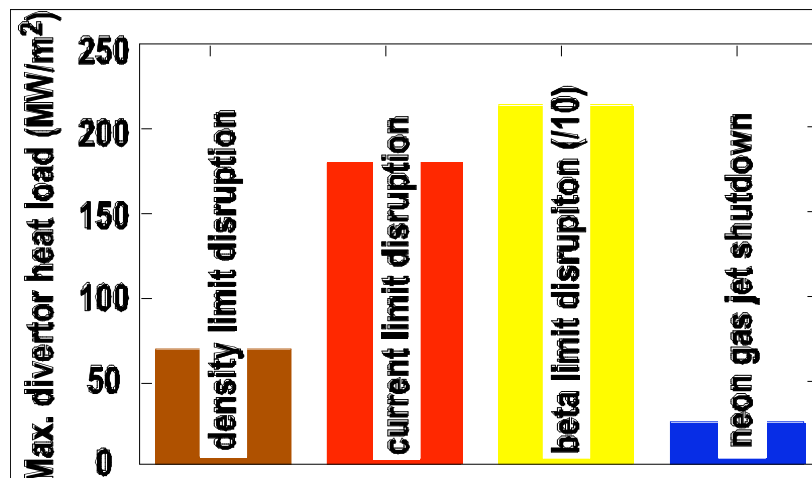
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- In ITER, thermal energy in plasma and poloidal field energy  $\sim 1$  GJ
  - In current tokamaks  $\sim 10$  MJ
  - “Thermal quench” can damage **plasma-facing components** (PFCs)
    - Difficult to make PFCs handle both steady-state and transient heat loads
  - “Current quench” can produce damaging electromagnetic forces
    - Currents can be induced in conducting elements surrounding plasma
    - These currents may be non-axisymmetric:  $\mathbf{J} \times \mathbf{B} \neq 0$
  - Can create large population of energetic ( $>10$  MeV) “**runaway electrons**”
- ITER will need to achieve disruption frequency  $\sim 1\%$  of discharges
  - Identify disruption precursors in real time and take avoidance actions
    - e.g. reduce  $\beta$  (heating power) or density (fueling), apply MHD mode control
  - Once a disruption starts, use measures to mitigate harmful effects
    - Dissipate plasma energy through radiation over entire first wall
    - Increase density with massive gas injection, liquid jet or pellet injection

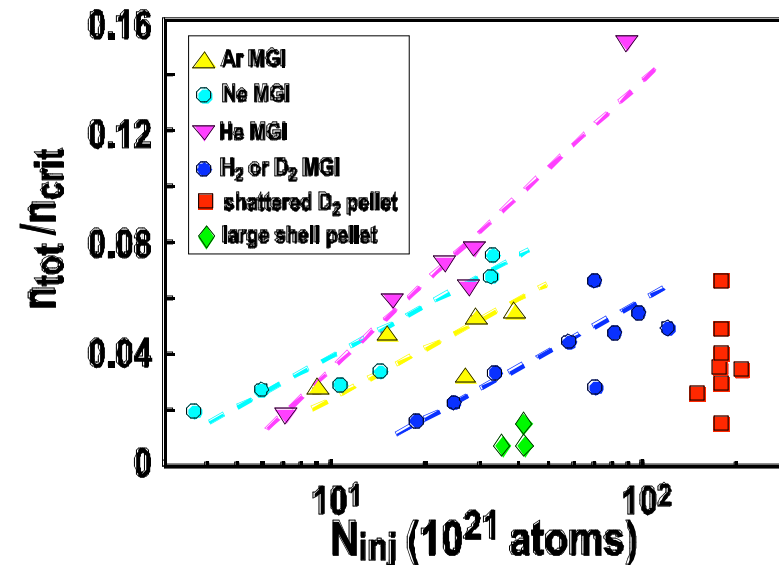


# Several Tokamaks Have Demonstrated Mitigation of Disruption Heat Loads, Vessel Currents and Forces

- MGI with argon provoked disruptions in Alcator C-Mod, *but*
- Resulting divertor heat loads were significantly reduced  
*[Whyte, APS 09]*



- Large density increases with Massive Gas Injection (MGI), shattered pellets and shell pellets in DIII-D, *but*
- Critical density for runaway electron suppression not yet reached  
*[Hollman, APS 09]*

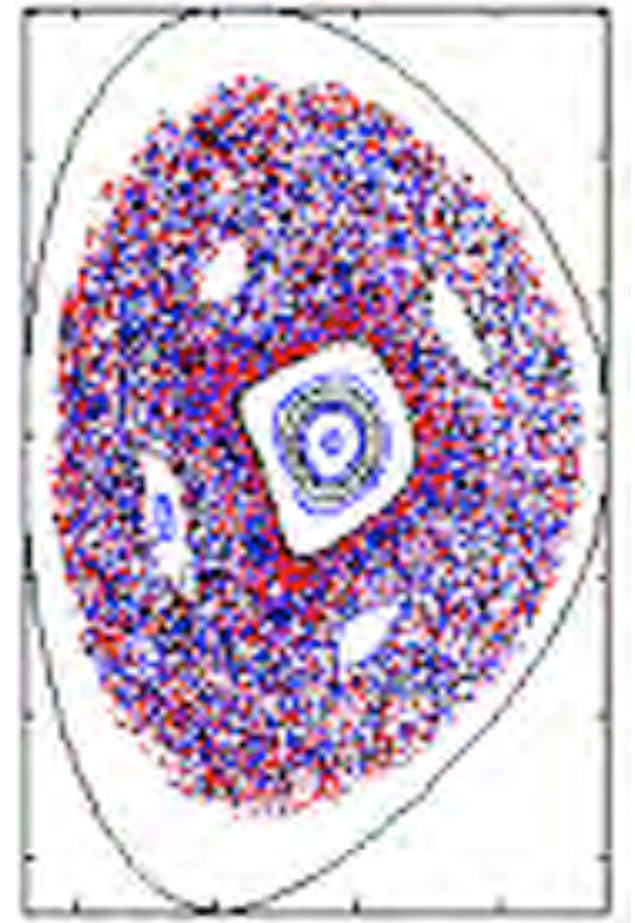


- Method adopted for ITER will need achieve minimal number of false negatives ( $\rightarrow$  damage) and positives ( $\rightarrow$  wasted shots)

# Formation of Stochastic Field Structure Following MGI May Inhibit Runaway Electron Avalanche

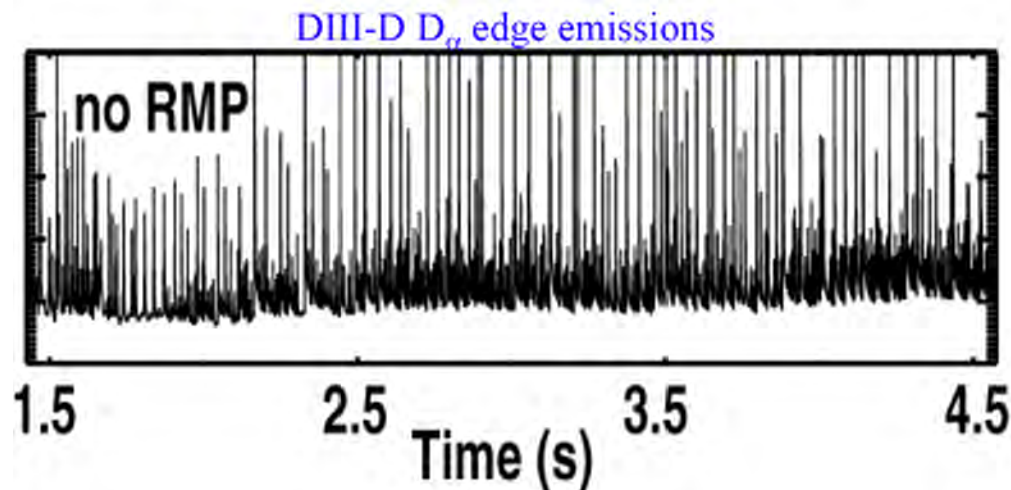
- Runaway electrons are generated initially by the Dreicer mechanism
  - In presence of sufficient electric field, some thermal electrons can be accelerated faster than they lose energy by collisions ( $\propto v^{-3}$ )
- Runaways can multiply by direct “knock-on” collisions  $\Rightarrow$  **runaway avalanche**
- Suppressing runaway avalanche by collisions alone would require a critical (Connor-Hastie-Rosenbluth) density equivalent to several hundred grams of gas in ITER
- 3D resistive MHD modeling shows that formation of **stochastic fields** triggered by MGI can cause rapid loss of runaways
- May not be necessary to attain CHR density limit to avoid runaway damage in ITER

Simulation with NIMROD code of Alcator C-Mod following MGI

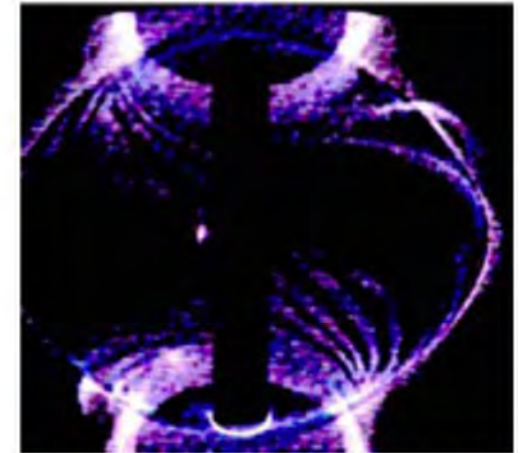


# Steep Pressure and Density Gradients in H-mode Plasmas Destabilize Edge Localized Modes (ELMs)

- ELMs readily observed as “spikes” in  $D_\alpha$  line emission from plasma edge

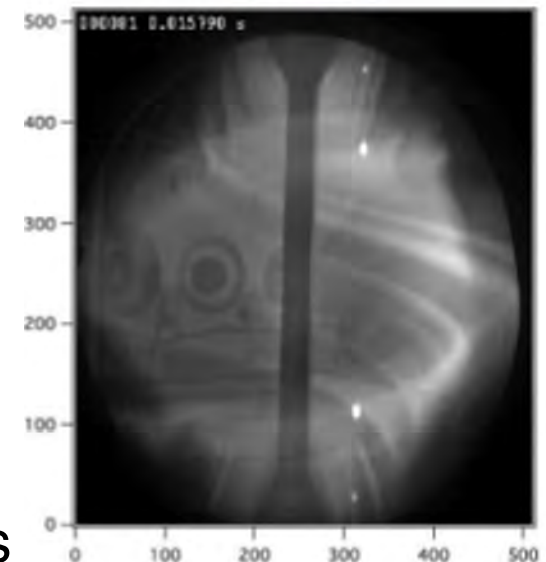


- Each spike is correlated with large, coherent filamentary instability at edge
  - Periodic ELMs represent a relaxation instability
- Many different types of ELM have been found
- Can reduce impulsive load by operating in regimes with (or triggering) more frequent ELMs



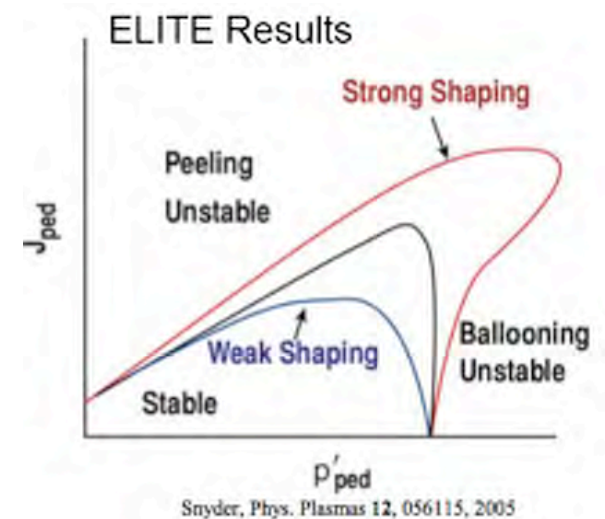
Kirk, 2004 Proc. 20th Int. Conf. on Fusion Energy 2004

MAST

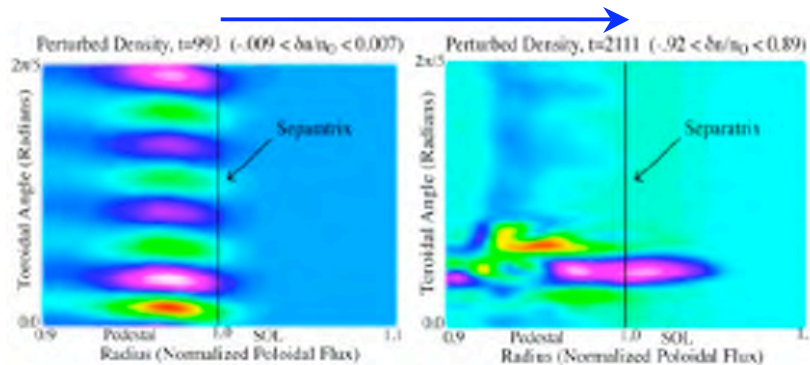


# Edge Localized Modes are Well Described by Theory of “Peeling-ballooning” Modes

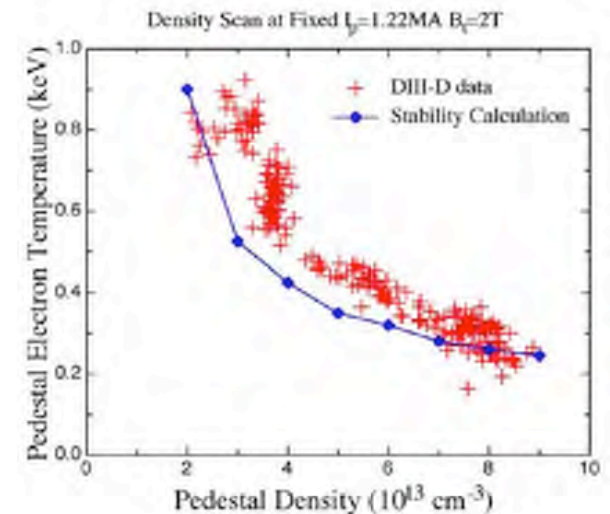
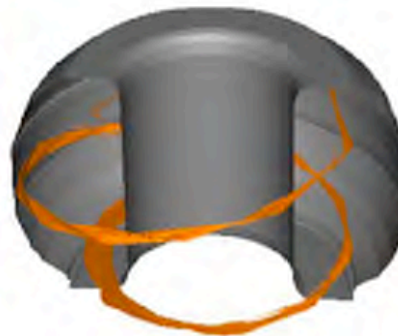
- High edge current density drives “peeling”
- High edge pressure drives “ballooning”
- Bootstrap current plays crucial role linking pressure and current
- ELM then relaxes unstable gradients
- Theory describing peeling-ballooning modes reproduces ELM threshold and observed mode structure



Simulation of time evolution



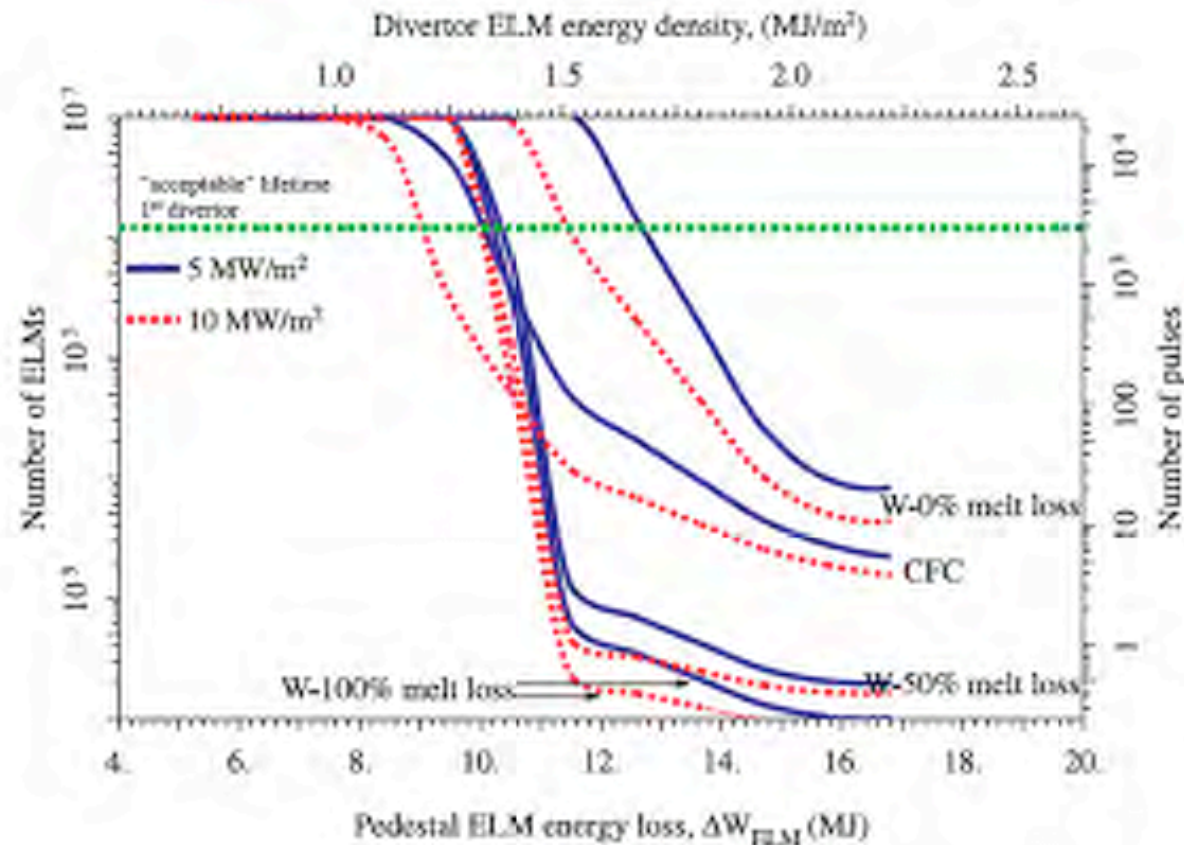
P.B. Snyder et al, Phys. Plasmas 12 056115 (2005).



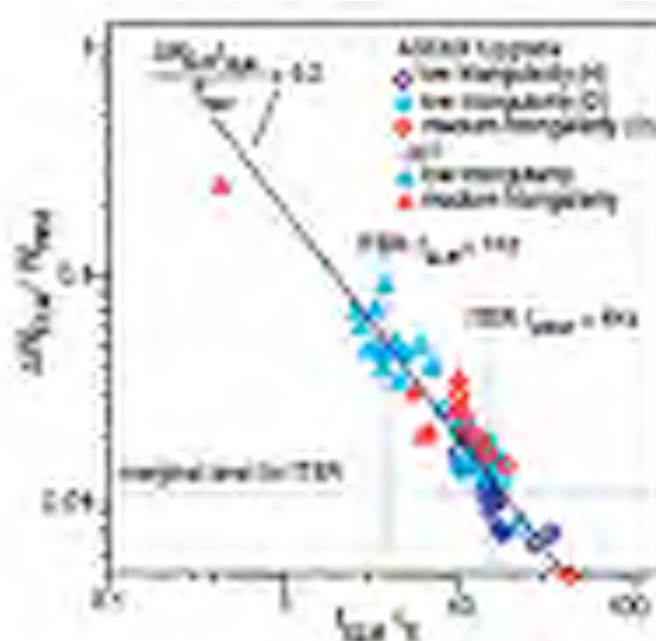
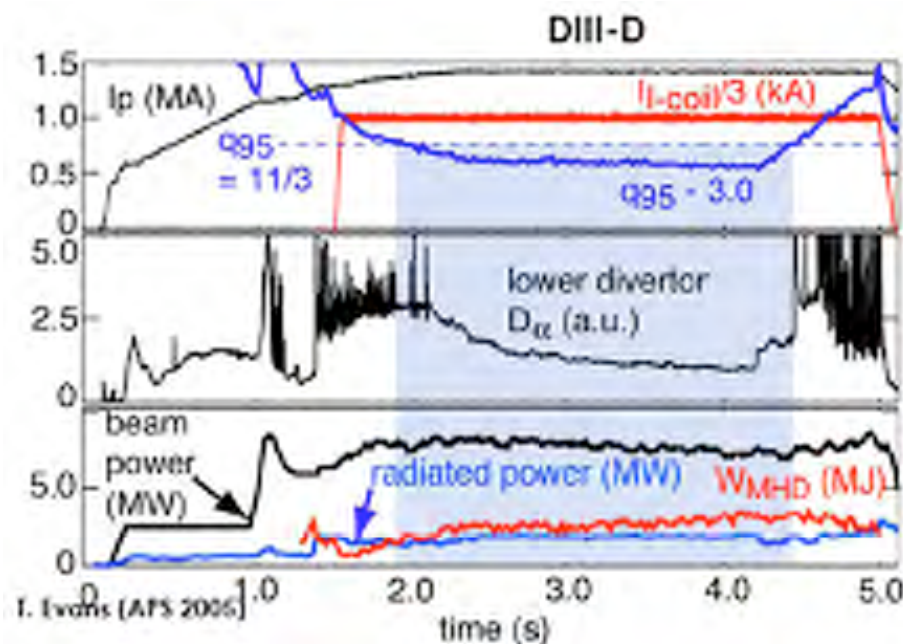


# Repeated Large ELMs Will Damage the Divertor Target in ITER and Limit Its Lifetime

- Calculated erosion lifetime of a tungsten target (10mm thick) or CFC target (20mm) as a function of ELM energy loss from the pedestal
- Heat loads between ELMs are 5 MW/m<sup>2</sup> (—) and 10 MW/m<sup>2</sup> (...)
- Curves are shown for different fractions of tungsten lost by melting



# Several Tokamaks are Investigating ELM Control Methods for ITER

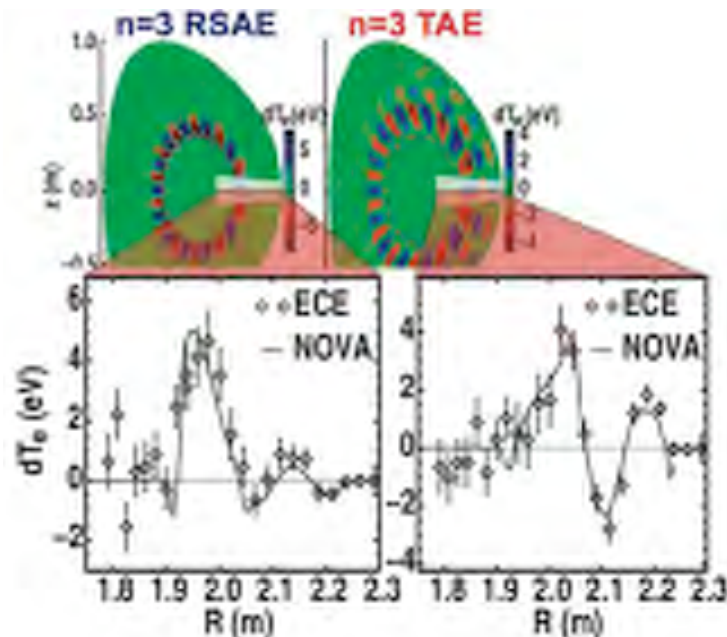


- Applying Resonant Magnetic Perturbation (RMP) with **non-axisymmetric external coils** can suppress ELMs in certain conditions
  - RMP creates region with stochastic field lines (overlapping islands) at edge
  - Additional transport relaxes edge pressure gradient
- **ITER will be equipped with non-axisymmetric coils to control ELMS**
- Repetitively injecting small solid H, D pellets can trigger ELMs
  - ELM size reduces with frequency
  - **Issues:** minimum pellet size and penetration; compatibility with fueling requirements

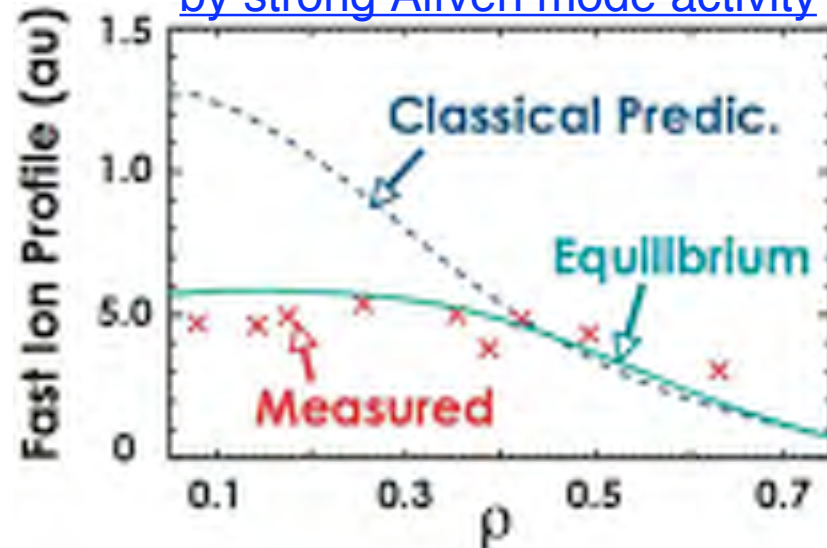


# Energetic Ions Including $\alpha$ -Particles Can Destabilize Alfvén Wave Eigenmodes in Toroidal Plasmas

- In a torus, the shear Alfvén wave ( $\omega = kv_A$ ,  $v_A = B/(\mu_0\rho)^{1/2}$ ) develops an eigenmode structure as a result of toroidal and poloidal periodicity
- Fusion  $\alpha$ -particles with  $v_\alpha > v_A$  can excite Toroidal Alfvén Eigenmodes (TAEs) which then affect the  $\alpha$ -particle orbits and cause losses
- Theory of Alfvénic modes is now highly developed and successful
  - Many modes beyond basic TAEs have been found in shaped, high- $\beta$  plasmas
- Existing tokamaks can use NBI ions to excite modes at low magnetic field



Fast-ion profile becomes flattened by strong Alfvén mode activity



# Topics

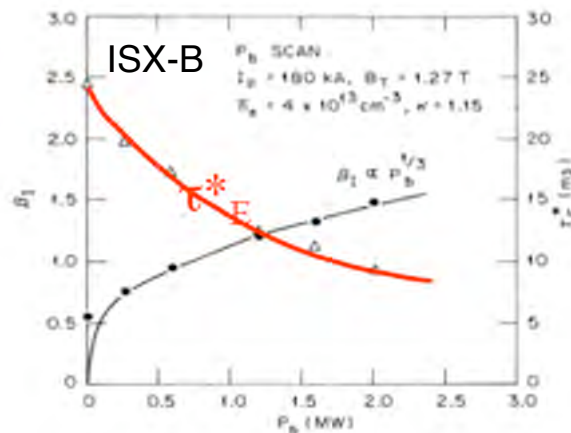
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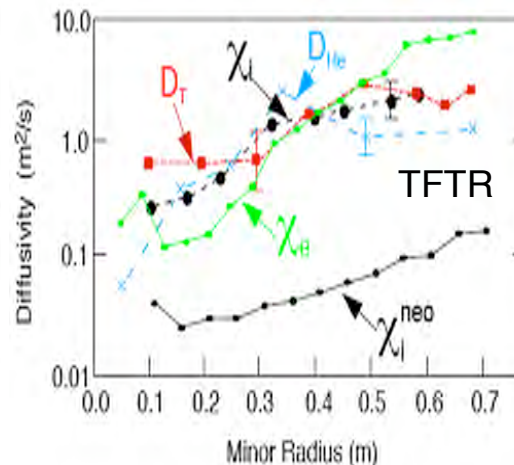
# For Plasmas Stable to Large-Scale MHD Modes, Transport From Micro-Turbulence is Dominant

- Until 1990s, transport understanding was largely empirical
- Despite better confinement in tokamaks, transport was anomalous
  - Diffusion exceeded predictions of “**neoclassical**” (toroidal) theory
- Turbulence was blamed but theoretical and simulation tools were not yet sufficiently developed to tackle the problem quantitatively
- Measured fluctuations were reduced when plasma underwent transitions from low (L-mode) to high (H-mode) confinement

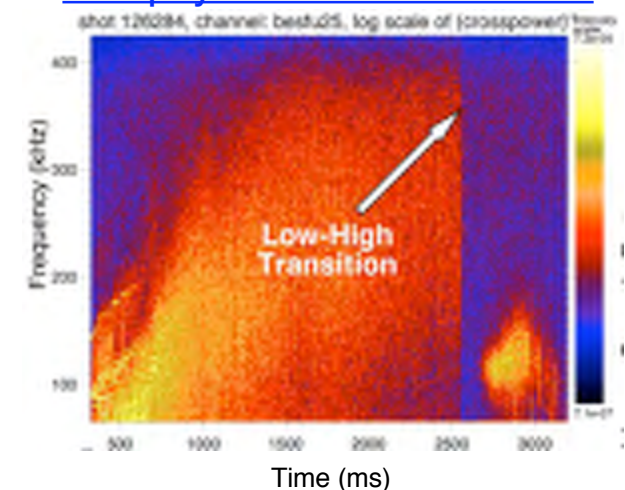
Confinement degraded as NBI power increased



Transport coefficients exceeded neoclassical

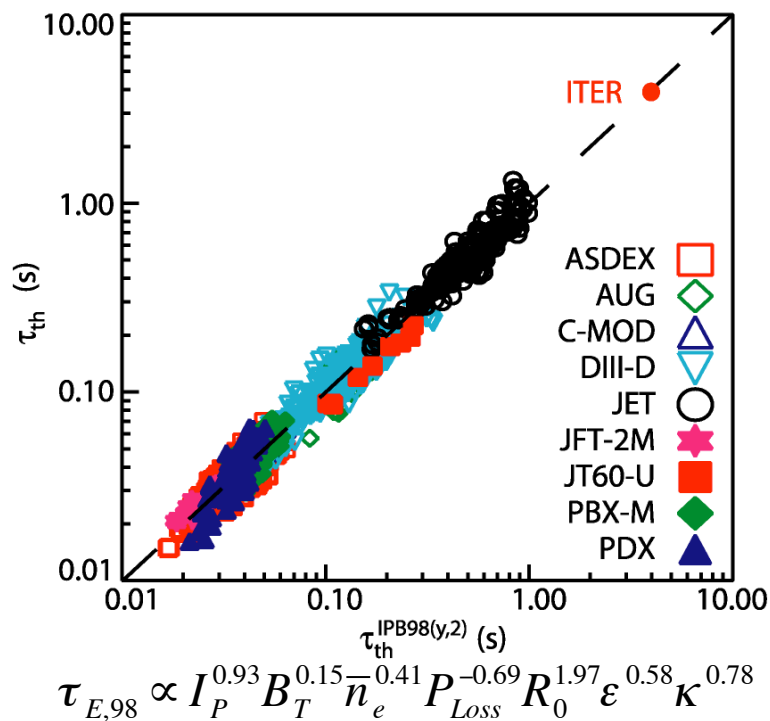


Broadband turbulent fluctuations abruptly fall at L-H transition

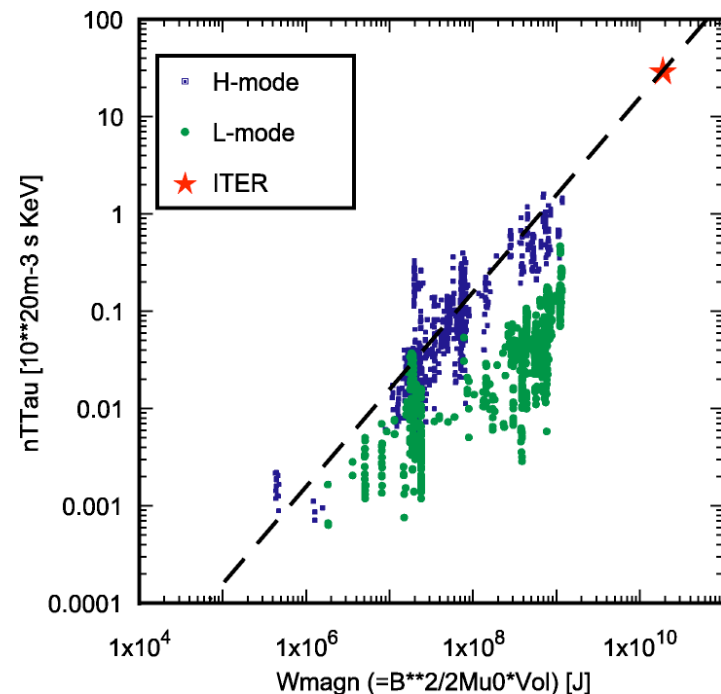


# Data from Many Experiments Combined to Produce an Empirical Scaling for Design of ITER

- ITER needs a confinement time of  $\sim 4$ s to achieve  $Q \approx 10$
- 1998 data from H-mode divertor plasmas with “Type I” ELMs



- Can also examine scaling of “fusion triple product”  $nT\tau$  with tokamak size and magnetic field

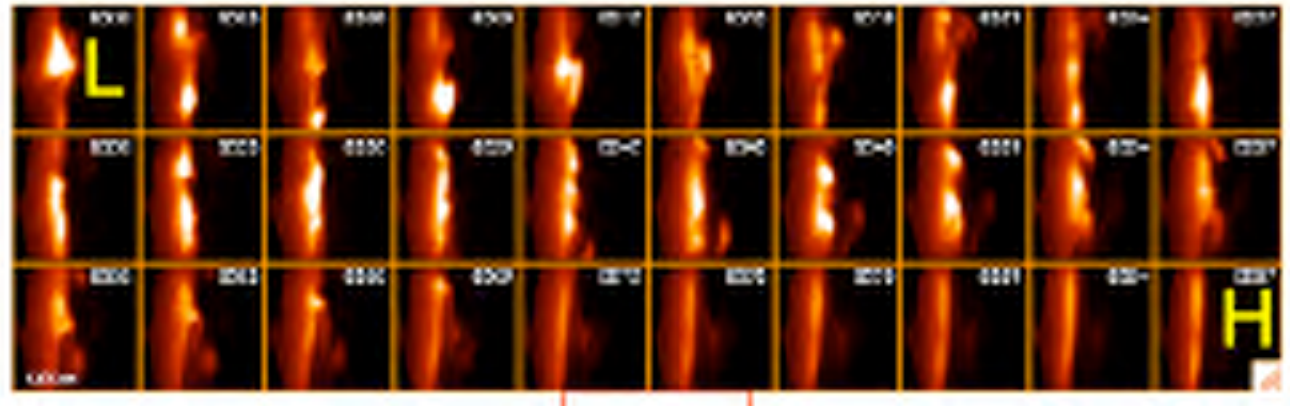
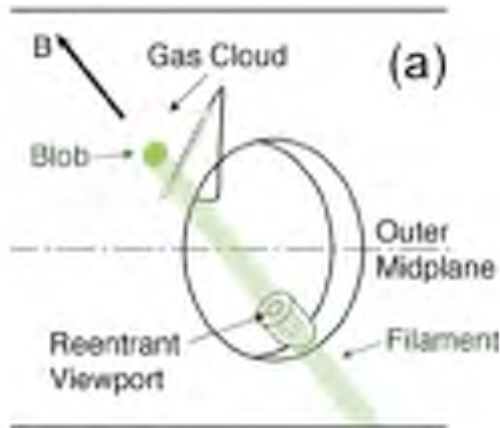


*Can we put confinement on a firmer footing than a purely empirical scaling?*

# New Instruments and Computational Tools Are Revolutionizing the Study of Turbulence

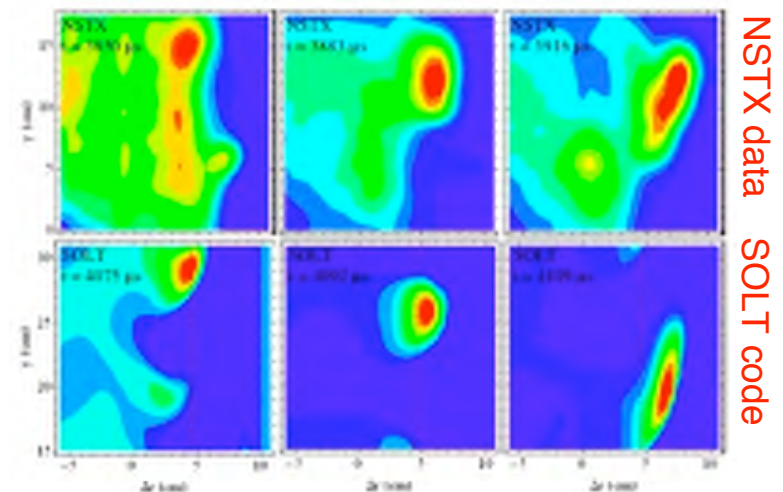
- Fast cameras (up to  $10^6$  fps) can visualize turbulent structures

Over  $100\mu\text{s}$ , turbulent edge becomes quiescent at L-H transition



- Developments in theory have improved computation schemes
- Massively parallel computers allow realistic simulations of turbulence from first-principles
- Codes incorporate “synthetic diagnostics” to compare simulations with measurements

Simulations of “blob” propagation in NSTX



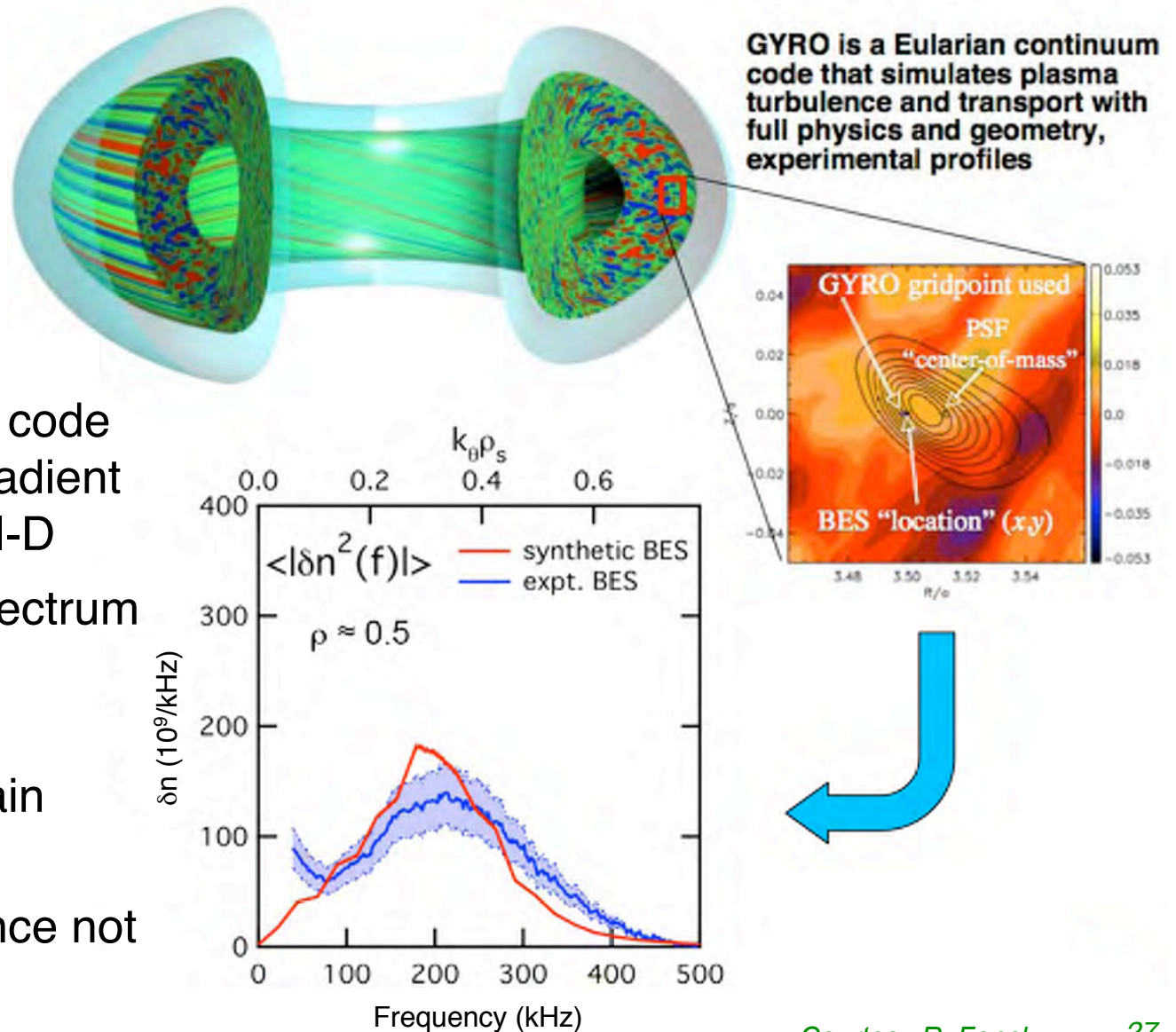


# Simulations and Measurements of Ion-Scale Turbulence Have Attained Excellent Agreement

- In last 15 years, a “standard model” of ion turbulence and transport has emerged

## Example

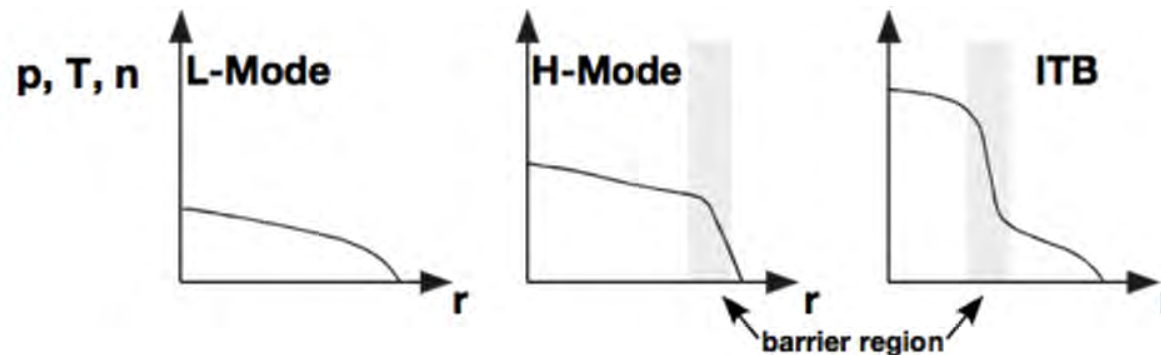
- Simulation with GYRO code of Ion Temperature Gradient (ITG) turbulence in DIII-D
- Matches fluctuation spectrum from Beam Emission Spectroscopy (BES)
- But, some details remain unresolved *and*
- Electron-scale turbulence not yet accessible



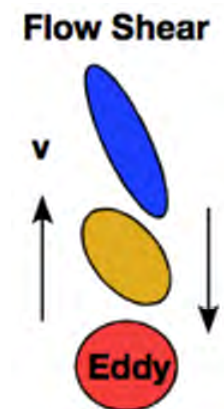


# Understanding and Controlling Transport Have Led to Improved Confinement

- **Transport barrier**: region of locally reduced transport in radial profile
  - Edge transport barrier → “H mode” (high confinement)
  - Internal transport barrier (ITB) in core of plasma



- Transport barriers form with suppression of turbulence by
  - **Flow shear** ( $\partial v / \partial r$ ): driven by plasma gradients and external momentum sources
  - **Negative magnetic shear** ( $\partial q / \partial r < 0$ ): created by current drive including bootstrap current
  - **Zonal flows**: flows created by fluctuations themselves



# Dependence of Tokamak Confinement on Plasma-Wall Interactions is Not Well Understood

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- Many techniques have been applied in tokamaks to modify the interactions between a plasma and its surroundings
  - Limiters (object defining the last closed flux surface) vs divertors
  - Refractory metallic surfaces (high-Z) vs carbon (graphite, low-Z)
  - Baking PFCs and the vacuum chamber (reduces adsorbed H<sub>2</sub>O)
  - Discharge cleaning (pulsed or glow discharge) by noble gases
  - Surface coatings: titanium (gettering), boron, silicon, lithium
- All have been claimed to produce benefits!
  - Reduced impurities in the plasma – *fairly obvious connection*
  - Better confinement – *how?*

# Dependence of Tokamak Confinement on Plasma-Wall Interactions is Not Well Understood

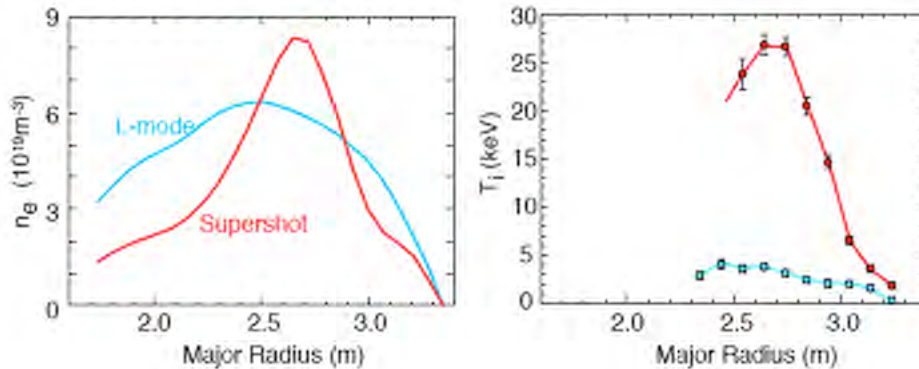
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- Many techniques have been applied in tokamaks to modify the interactions between a plasma and its surroundings
  - Limiters (object defining the last closed flux surface) vs divertors
  - Refractory metallic surfaces (high-Z) vs carbon (graphite, low-Z)
  - Baking PFCs and the vacuum chamber (reduces adsorbed H<sub>2</sub>O)
  - Discharge cleaning (pulsed or glow discharge) by noble gases
  - Surface coatings: titanium (gettering), boron, silicon, lithium
- All have been claimed to produce benefits!
  - Reduced impurities in the plasma – *fairly obvious connection*
  - Better confinement – *how?*
- A common thread in the claims related to “conditioning” is that confinement improves with reduced “**recycling**” from walls
- **Recycling** describes ions which diffuse from the plasma, impinge on the PFCs, become neutralized and return to the plasma edge

# Effects of Wall Conditioning Were Dramatic in TFTR

- Originally used repeated tokamak discharges in helium to deplete the graphite limiter surface of adsorbed hydrogen isotopes  $\Rightarrow$  *lower recycling*
  - With centrally deposited NBI, density profile became peaked
  - Ion temperature increased by factor  $>5$  and became very peaked
- Injecting lithium into the plasma edge further improved confinement
  - Benefits of lithium have since reproduced in tokamaks T-11, NSTX (divertor), EAST and stellarator TJ-II

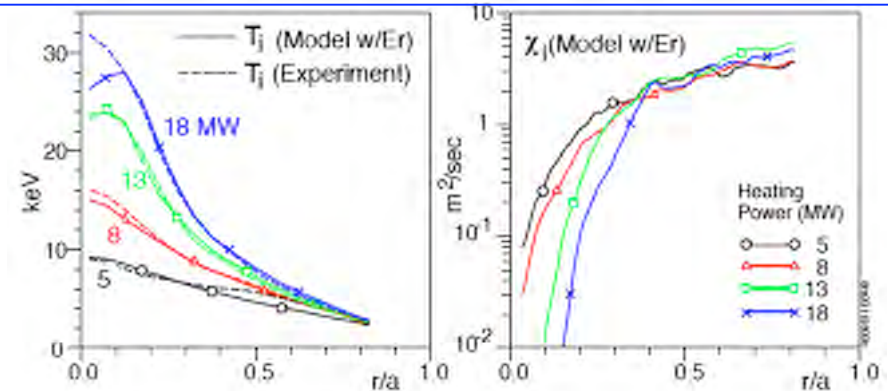
Theory-based model with ITG turbulence suppressed by self-consistent flow shear matches data in supershot power scan



$$\tau_E(\text{s}): 0.06 \rightarrow 0.18$$

$$n_e T_i \tau_E: 0.15 \rightarrow 4.3$$

( $10^{20} \text{m}^{-3} \text{keVs}$ )



- Model reproduces observed *inverse* dependence of ion thermal diffusivity on ion temperature

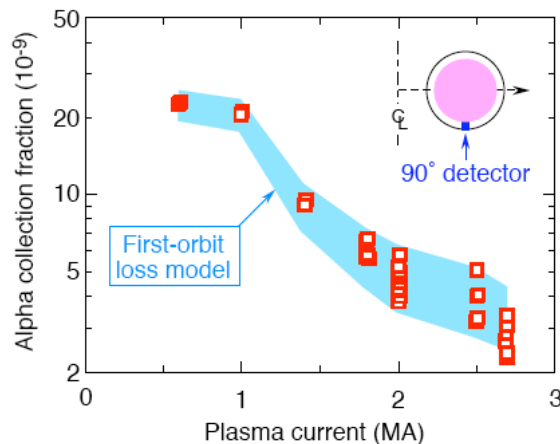
# Topics

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- Tokamak fundamentals
- Tokamak stability
- Confinement and transport
- **DT experiments in TFTR and JET**
- The leap to ITER

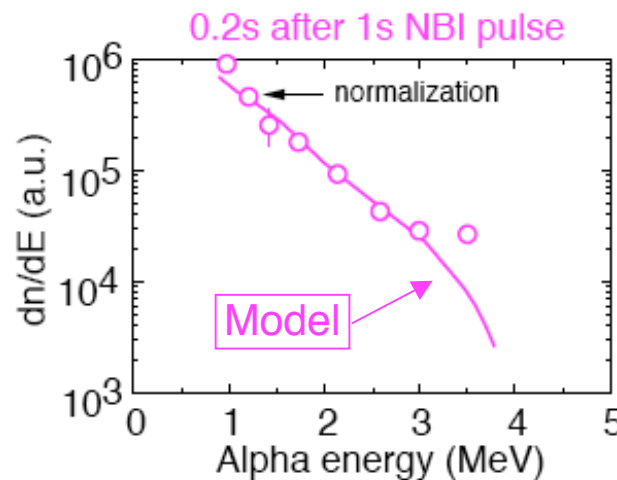
# TFTR Measured Confinement and Thermalization of Fusion Alphas in DT Plasmas

Flux of  $\alpha$ -particles to detector agrees with calculated loss for unconfined orbits



- Shading shows result from an orbit-following code based on calculated alpha-particle birth and plasma current profiles
- At 2.5MA, ~3% of alphas lost on first orbit after birth

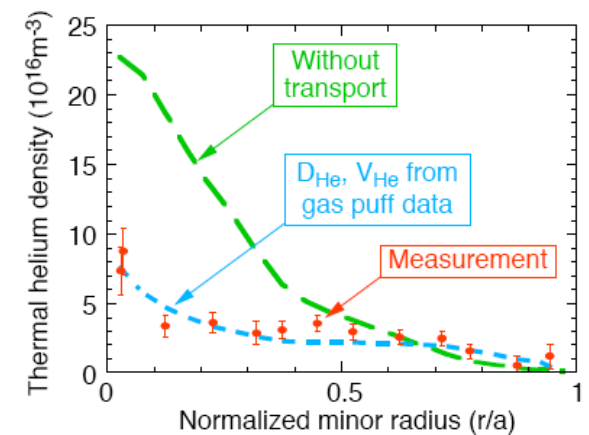
Confined  $\alpha$ -particles show classical slowing-down energy spectrum



- Calculated spectrum from Fokker-Planck calculation using measured plasma parameters

- In TFTR and JET, the achieved fusion power was modeled quite accurately based on measured plasma parameters and classical ion thermalization

Profile of thermalized  $\alpha$  particles matches model for helium puff



- Concern was that with central source,  $\alpha$ 's might accumulate in core and dilute fuel

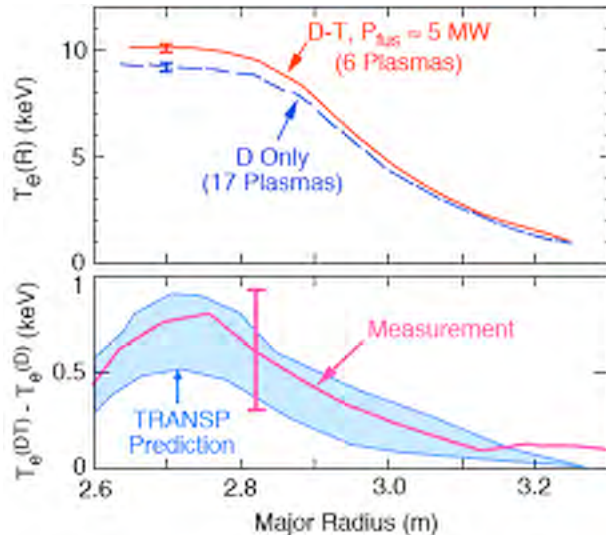


# TFTR and Later JET Confirmed Electron Heating by DT Alpha Particles

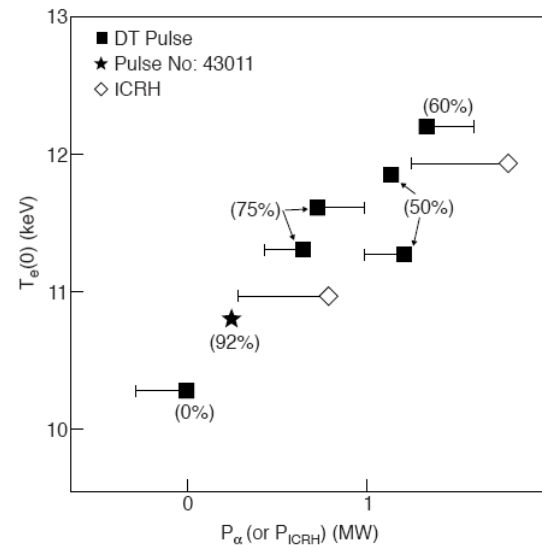
**DT plasmas** in TFTR showed an increase in electron temperature compared to **D-only plasmas**

With higher Q, JET provided a more definitive demonstration of  $\alpha$ -particle heating

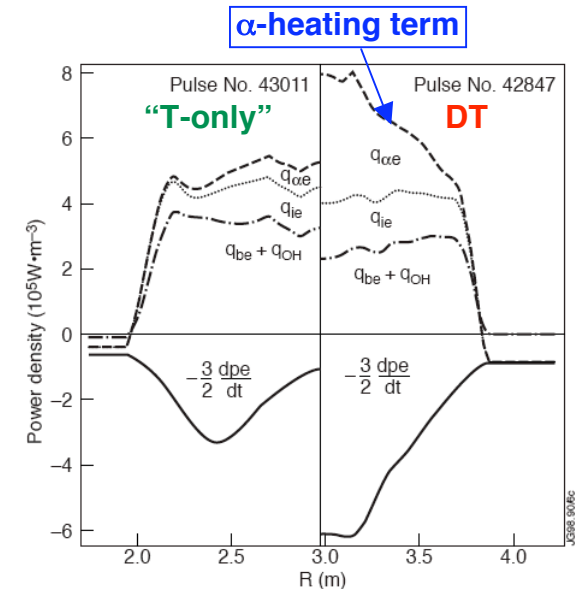
• **D**  $\rightarrow$  **DT**  $\rightarrow$  **T** variation



- Prediction includes model for isotopic dependence of electron thermal transport



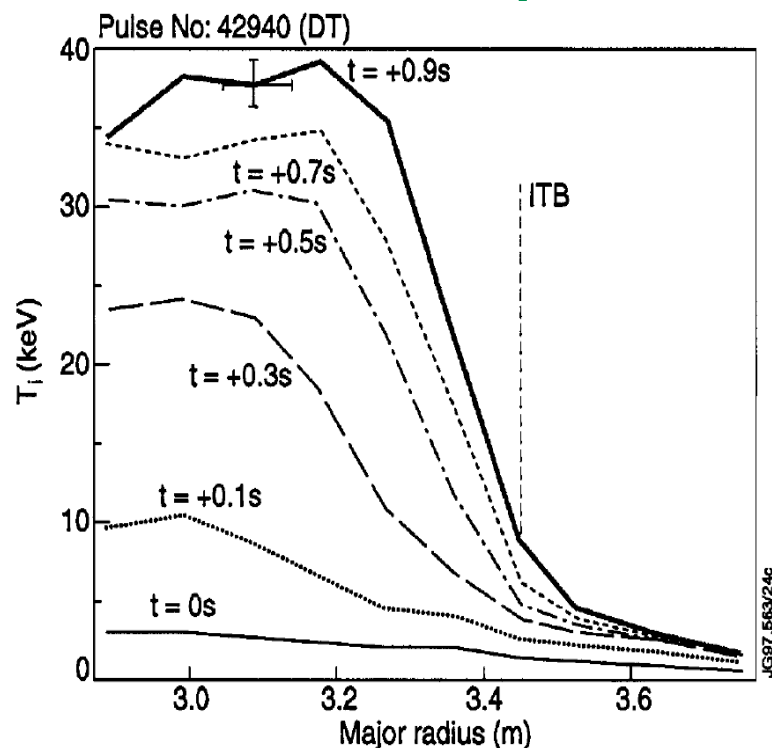
- JET experiment also included a comparison discharge in which electrons were heated by energetic ions from ICRH to mimic  $\alpha$ -heating



# “Advanced Operating Modes” Also Achieved in DT Plasmas

- Both **JET** and **TFTR** investigated DT plasmas with q-profile modified to produce  $q(0) > 1$  and low magnetic shear

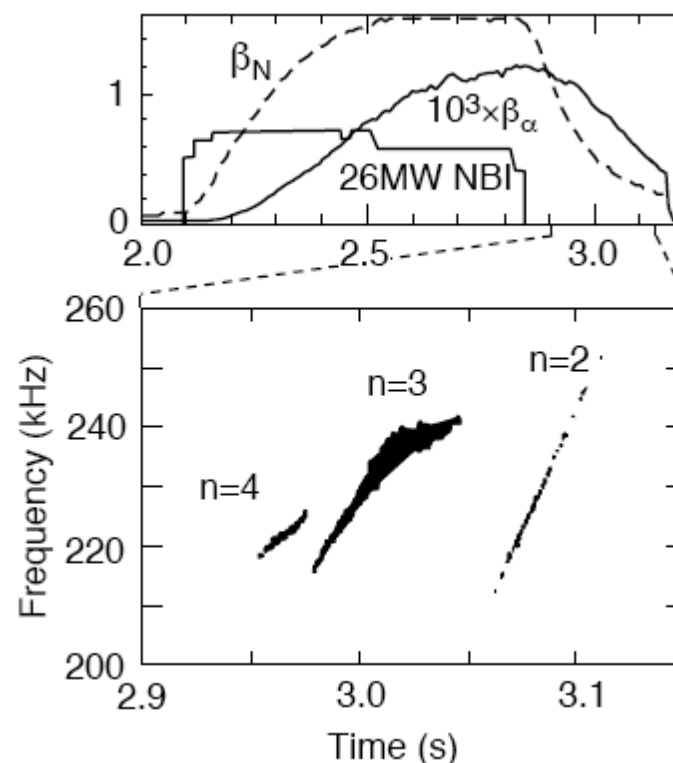
## JET “Hybrid Mode” DT plasma with Internal Transport Barrier



Achieved  $P_{DT}=8\text{MW}$ ,  $\beta_N=1.9$ ,  $H=1.5$

## First observation of $\alpha$ -driven TAE

- Mode develops in core when damping by sub-Alfvén NBI-ions decays

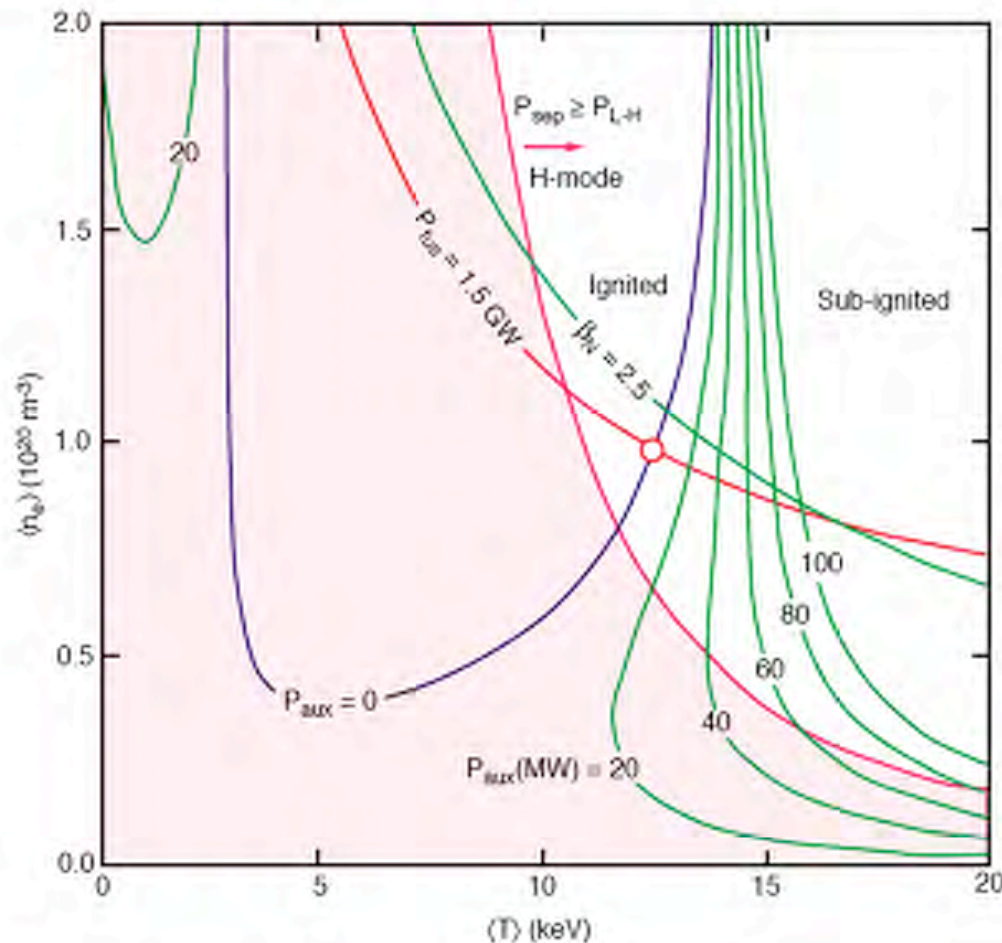


# Topics

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- Tokamak fundamentals
- Tokamak stability
- Confinement and transport
- DT experiments in TFTR and JET
- **The leap to ITER**

# Can Use the Empirical Scaling to Assess Fusion Burn Control and Thermal Stability in ITER



- Vary plasma temperature and density to generate Plasma Operation Contours (POpCon)
- Sustained fusion ignition ( $P_{\text{aux}}=0$ ) and finite-Q ( $P_{\text{aux}} > 0$ ) are accessible
- Need to achieve H-mode ( $P_{\text{sep}} \geq P_{\text{L-H}}$ ) and stay below the beta limit
- Plasma burn will be stable since ITER operates near the stable (right) branch of the ignition curve
  - Power loss increases faster than fusion power as temperature rises

Contours depend on  $I_p$ ,  $B_T$ , scaling of confinement and assumed profile shapes

# What New Physics Should We Anticipate in ITER?

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- ITER requires high energy NBI to penetrate its large plasma
    - $\sim 1\text{MeV}$  NBI will dominantly heat electrons (like  $\alpha$ -particles)
    - JT-60U has demonstrated good performance with  $0.4\text{MeV}$  NBI
    - Will good confinement in plasmas with  $T_i > T_e$  (hot-ion modes) persist?
    - Will TAE activity affect confinement of NB injected ions?
  - Physics of wave heating (ICRH, ECRH, LHH) is reasonably well understood but there are practical issues
    - Coupling power to the plasma is often the limiting factor
    - Wave couplers must operate in a more hostile environment
    - Large  $\alpha$ -particle population may affect wave absorption
  - Dominant self-heating by fusion  $\alpha$ -particles creates challenges, particularly for achieving and maintaining high-confinement modes
    - Equilibrated ion & electron temperatures
    - Low rotation (reduced momentum input)
    - Profiles ( $n$ ,  $T$ ,  $q$ ) become self-organized
- } All factors involved in controlling confinement and MHD stability

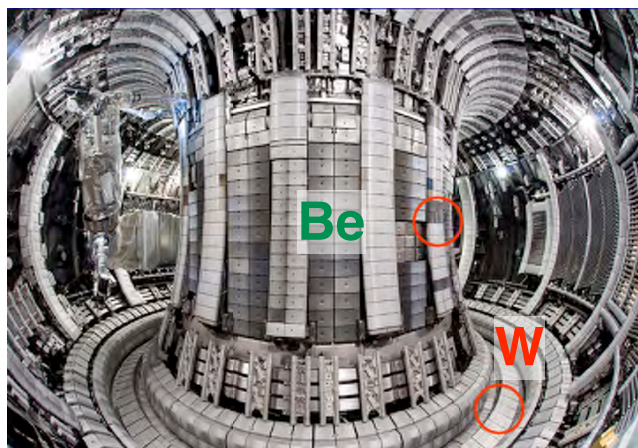


# Choice of Plasma Facing Materials is Critical

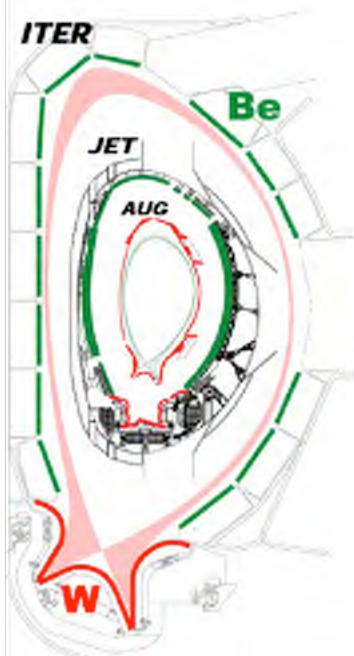
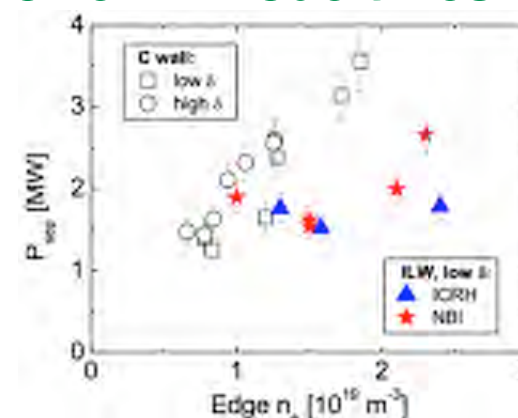
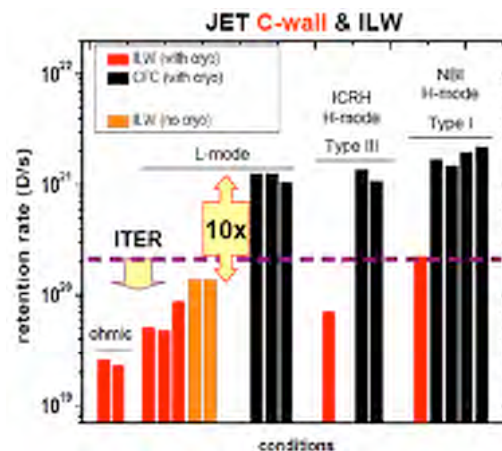
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- Until recently, most high-power, high-performance tokamaks operated with carbon PFCs in high-heat flux regions
  - Carbon is extremely “forgiving” of transient heat loads and low-Z
- Carbon retains too much tritium for use in ITER
  - Experience in TFTR, JET showed retention of up to 50% of T fuel
- ITER planned to use tungsten for its divertor targets during DT
  - Other areas would be covered with beryllium tiles (JET experience)
  - Concerns about damage to tungsten and tungsten impurities (high-Z)
- Several tokamaks are now investigating metal divertor PFCs
  - Alcator C-Mod has operated with Mo walls and will soon switch to W
  - ASDEX-U has applied W coating on all its graphite PFCs
  - JET is now operating with an “ITER-Like Wall”: W divertor, Be elsewhere
- Other tokamaks also investigating liquid metals, *e.g.* lithium in NSTX, for future beyond ITER

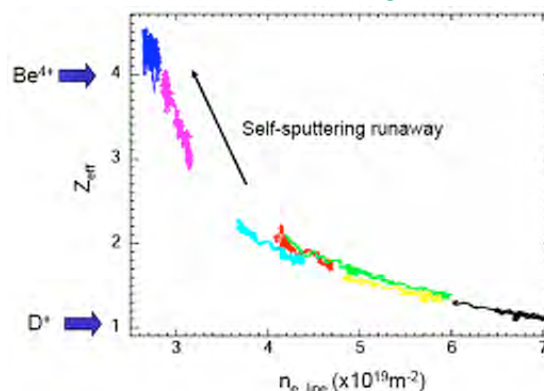
# JET has Completed the First Year of Operation With Its ITER-Like Wall (ILW)



Lower D retention      Lower H-mode threshold



Low  $Z_{\text{eff}}$



- Similar results in ASDEX-U
- Concerns**
- higher disruption loads,
- narrower window for good H-mode

# Tokamaks Remain the Most Successful Confinement Concept

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- They emerged because they demonstrated better confinement *and*
- They were simpler than stellarators – a few, axisymmetric coils
  - Allowed larger devices with auxiliary heating and good diagnostics
- We have made great strides in understanding confinement & stability
  - Advances in diagnostic techniques allowed much of this progress
- We are developing the capability to predict tokamak plasma behavior from first principles: *theory* → *computation* → *experimental test*
- Some of the original simplicity of tokamaks has had to be sacrificed to operate them with high power heating and near stability limits
  - Many poloidal field coils are needed for plasma shaping and divertors
  - They require advanced feedback involving magnets, heating and fueling systems and real-time measurements of many plasma parameter
  - Even axisymmetry has been modified for MHD mode (including ELM) control
- The first experiments with DT fusion fuel were a resounding success
  - We learned how to operate tokamaks in a fusion nuclear environment
  - The fusion rates were consistent with our understanding and simulations
  - The alpha particles behaved as expected and heated the plasma effectively

# Tokamaks are Ready for the “Leap to ITER”

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- The knowledge we have gained from several generations of tokamaks has given us confidence to proceed to ITER, *but* ...
- There remain unresolved issues in some areas
  - Validation of the choice of PFC material
    - Alcator C-Mod, ASDEX-U and JET-ILW provide grounds for optimism
  - Adequacy of the auxiliary heating systems to achieve the H-mode in order to reach plasma self-heating
  - Adequacy of the schemes proposed for ELM control
  - Ability to eliminate damaging disruptions reliably
- The ultimate success of ITER still depends on research underway now in many tokamaks
  - We cannot rely on just one experiment to answer critical questions
- Existing tokamaks also need to train the next generation of physicists and engineers who will operate ITER