

Next Generation Photon Sources for Grand Challenges in Science and Energy

A REPORT OF A SUBCOMMITTEE TO THE BASIC ENERGY SCIENCES ADVISORY COMMITTEE | MAY 2009



Report of the Workshop on Solving Science and Energy Grand Challenges with Next-Generation Photon Sources

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A Report of the Basic Energy Sciences Advisory Committee Submitted to DOE

The next generation of sustainable energy technologies will revolve around new materials and chemical processes that convert energy efficiently among photons, electrons, and chemical bonds. New materials that tap sunlight, store electricity, or make fuel from splitting water or recycling carbon dioxide will need to be much smarter and more functional than today's commodity-based energy materials. To control and catalyze chemical reactions or to convert a solar photon to an electron requires coordination of multiple steps, each carried out by customized materials and interfaces with designed nanoscale structures. Such advanced materia's fabricated using principles revealed by basic science are not found in nature the way we find fossil fuels; they must be designed. Success in this endeavor requires probing, and ultimately controlling, the interactions among photons, electrons, and chemical bonds on their natural length and time scales.

Nanostructures Interfaces

Bottom-up approach Systems for the sustainable production, storage, and utilization of energy, such as solid-state lighting, photovoltaics, batteries, and fuel cells, depend critically on the development of new materials. Key challenges include observing the formation mechanisms of desired material and molecular phases, determining the nanoscale structure of nucleation sites, and following the dynamics of structural and electronic changes on attosecond to second time scales. Developing a capability to observe in situ the atomistic mechanisms of materials synthesis is essential if we are to achieve the fundamental understanding that will enable transformation of empirical trial-and-error efforts at synthesis into science-based materials and process design. A second area of emphasis is the need for understanding the effect of specific defects on the performance of a material or device. The ability to observe the evolution of defects and the nanostructural changes they induce in a material during device operation will enable the understanding and control of such features in real devices. Moreover, electronic properties crucially determine the device performance. Generation, transport, transfer and trapping of charges are key parameters that need to be observed in real time and with sufficient spatial and temporal resolution to characterize their behavior at interfaces, grain boundaries, and nanostructures controlling the macroscopic performance.

This report identifies two aspects of energy science in which synchrotron light sources have the deepest and broadest impact:

• The temporal evolution of electrons, spins, atoms, and chemical reactions, down to the femtosecond time scale.

• Spectroscopic and structural imaging of nano-objects with nanometer spatial resolution and ultimate spectral resolution.

Instrumentation and recent implementations

For many decades, X-ray tubes were at the leading edge of materials research. The application of X-rays in the study of materials had not only revolutionized our knowledge of matter on the fundamental level of atoms, electrons, and spins but also redefined entire fields of physics, chemistry, biology, and medicine.

The transformations enabled by laboratory-scale sources were profound and inspired the development of larger-scale user facilities (synchrotron). There have now been three generations of synchrotron-based ultraviolet and X-ray light sources. With each light source generation, new performance parameters have emerged. Typically, the performance of these light sources has improved by about four orders of magnitude from one generation to the next, leading to qualitatively new capabilities and to an enormous growth in the user community.

The third generation conditioned the electron beam with magnetic insertion devices to produce unprecedented spectral brilliance, which allowed spectroscopy with high energy resolution and microscopy and crystallography with high spatial resolution.





Laboratory sources:

- rare gas discharge lamps
- x-ray tubes

Synchrotron radiation:

- tunable, **hv** = 10 eV...10 keV
- polarized (linear and circular)
- brilliant
- temporal structure

More properties on the Synchrotron Light



1. Intensity - many orders of magnitude higher than a conventional lab. source: fast experiments allowed on small sample



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Hard X-rays with energies from about 3 keV to 100 keV image complex structures on sub-nanometer length scales. Soft X-rays with energies from about 10 eV to 3 keV probe the electronic structure of matter using near-edge X-ray absorption fine structure (NEXAFS), X-ray emission spectroscopy (XES), and photoemission spectroscopy (PES).

2. Brightness and tunability - Allow to focalize the beam up to nanometers

3. Polarization - all kinds of polarizations

4. Pulsed time structure - The light is produced by electron packets: the distance between the packets is the time scale

We are presently on the brink of a fourth generation light sources. These sources will bring two new capabilities, femtosecond time resolution and laser-like coherence, along with many orders- of-magnitude increase in peak and average brilliance.

Polarization Change



Probing Charge orientations and Spin directions

Techniques

- Photoemission Spectroscopy

Core level (XPS) Photoelectron diffraction Valence band (UPS) Resonant photoemission

- X-ray absorption Spectroscopy Near edge X-ray absorption Spectroscopy EXAFS X-ray Magnetic Circular Dichroism (XMCD)

- Auger Spectroscopy

- X-ray emission Spectroscopy





Useful to have information on:

Electronic structure Elemental (chemical) analysis Chemical bonding & catalysis Magnetism Geometric structure Dynamics (future!!)







Nano-optimized fuel cells for automobiles and transport vehicles

Nanomembranes for separation of carbon dioxide in CCS (Carbon Capture and Storage) power plants

Nanocrystalline magnetic materials for efficient components in current transformation and supply (e.g. transformers, electric meters etc.)



Nanostructured wear protection layers for machine components with a high mechanical load (e.g. engines, bearings, drilling equipment)

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Carbon nanotubes as high-tensile construction materials e.g. for rotor blades of wind power stations or as material for lowloss cables/power lines

Polymer solar cells for large-scale applications in buildings or for mobile electronics



Dye solar cells as decorative facade elements in buildings

Nanostructured thermoelectric materials for power supply of mobile electronics



OLED for largescale displays and lighting devices

Source : Hessen Nanotech 2008

The application of knowledge at the frontier of science to control phenomena and create new functionality - realized through the generation of ultraviolet and X-ray photon sources - has the potential to be transformational for sustainable energy. The opportunity is for third- and fourth-generation light sources to extend these insights to the control of dynamic phenomena through ultrafast pump-probe experiments, time-resolved coherent imaging, and high-resolution spectroscopic imaging. Similarly, control of spin and charge degrees of freedom in complex functional materials has the potential not only to reveal the fundamental mechanisms of high-temperature superconductivity, but also to lay the foundation for knowledge in future generation materials.

EXPERIMENTAL EXAMPLES



Porphyrins and fullerenes: supramolecular engineering for solar cells and molecular magnets





Magnetic molecules self-organized on a magnetic surface





When the polarization is perpendicular to the surface, the π^* are at maximum, while when it is on the surface the π^* are almost zero.





Resonant photoemission across the C1s threshold



LUMO+2(3) feature is reduced. This indicates that when the electron is excited into the LUMO+2(3) states it remains localized on the molecule for a time bigger but comparable (competing) to the core-hole lifetime (~ 5 fs for the C 1s) and therefore this excited states contribute only partly to the core-hole decay as spectator state. On the contrary, in the LUMO and LUMO+1 states the electron remains localized for a time much bigger than the core-hole lifetime.





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Y.-B. Wang & Z. Lin, JACS 125, 6072 (2003)



Figure S4. Molecular electrostatic potentials of 5,10,15,20-tetraphenylporphyrinatozinc, mapped from -0.02 to +0.10 e/4 π e₀a₀, onto 0.02 e Å⁻³m isosurface of the electron density at the PBE/6-31G(d,p) level

Figure S2. Molecular electrostatic potentials of C70 from difference perspective projections, mapped from -0.0004 to +0.007 e/ $4\pi e_0 a_0$, onto 0.001 e Å⁻³m isosurface of the electron density at the PBE/6-31G(d,p) level

Resonant Photoemission



Already from a first sight in comparison with the ZnTPP multilayer there is a general reduction of the intensity enhancement at resonance (a factor of 1.5). This global reduction of the resonant intensity indicates that in the ZnTPP/C₇₀ double layer system the electron excited on a ZnTPP molecule is transferred away on a time scale of ~ 7 fs.





The transition to the LUMO +2(3) is completely quenched: the charge transfer from these states is much faster than the corehole lifetime (5 fs)







Small charge transfer from porphyrin to $C_{70} \sim 0.013$ el/molecule



Substantial disorder, but tendency of the π^* of porphyrins to stay at ~ 47°

It turns out that C_{70} long axis is almost parallel to the ZnTPP macrocycle.

Maximization of the electrostatic interactions







Excited state interactions are present in the contiguous chromophores and this system acts as a *donor/acceptor* junction where the excited charges at the porphyrin macrocycle can fast delocalize to the fullerene. The time scale of the ultra-fast charge transfer is smaller than 1-2 *fs*.



NEXAFS: 2H-TPP/Ag(111)



 H_2 -TPP molecules in monolayer and multilayer have the macrocycle parallel to the Ag(111) surface. The phenyl rings are disordered (approx. as in gas phase) in the multilayer, flat as the macrocycle in the monolayer.

STM & Simulations





The chiral configuration (left) seems to fit well the experimental data than the RT adsorbed monolayer (right)

Giovanni Di Santo, et al. Chem. European J. 17, 14354 (2011)

The interaction and molecular conformation depend on the presence or not of a metal in the macrocycle





Study and Manipulation of Spin and Magnetic Properties

Spin and charge are the basic ingredients for storing and processing information. Circular magnetic X-ray dichroism allow mapping of the spatially resolved changes in the spin and orbital momentum. This reveals new details about the transfer of spin momentum in the storage materials with the highest data density known, quantum dots or metalloorganic layers, which enables the change in magnetization. Needed: high intensity synchrotron X-ray circularly polarized source with a photon energy tuned to individual absorption edges of magnetic metals.



-XMCD (x-ray magnetic circular dichroism)-Element specific-Spin and orbital moments

The magnetism of 3d transition metals and 4f rare earths is key to the operation of many magnetic materials, catalysts, and metallo-proteins. Their magnetic states are probed by photoexcitation of core electrons in the 500–1500 eV energy range, such as the Fe 2p level shown





Polarization of a molecular magnet over a magnetic material







Fig. 1: Structure of the MnTPPCI molecule (left) and scheme of the electron configuration of the Mn³⁺ ion in MnTPPCI (right)



1 ML of Cl-Mn-TPP on Fe substrate at RT





Highly dilute solutions of important metallo-proteins, such as the Fe-containing heme or the Mn-containing photosystem II, provide small spectroscopic signals. New, ultrafast, tunable X-ray sources are required to directly probe the excitation of 3d and 4f levels with suitable sensitivity. Transition metals are important not only for magnetism, but also for catalysis.

CATALYSIS AND THE ENERGY CHALLENGE: STUDYING AND CONTROLLING CHEMICAL REACTIONS

Catalysis—the essential technology for accelerating and directing chemical transformation —is the key to realizing environmentally friendly, economical processes to supply sustainable chemical fuels for our growing energy needs. Producing such fuels as hydrogen from solar water splitting, alcohols from the cellulose of plants, and hydrocarbons from recycled carbon dioxide requires the design and optimization of a diversity of novel catalytic processes.

Third generation photon sources provide the possibility of obtaining a complete picture of chemical reaction dynamics, thereby enabling the rational design of chemical transformations. The electronic and geometric structures of catalytic materials under reaction conditions determine their efficiency, selectivity, and lifetime. Catalyst structure and morphology typically change under reaction conditions and over time. Even extended solid surfaces, traditionally thought to be static, may undergo thermal fluctuations and rearrangement, in addition to undergoing substantial changes in morphology as the catalyst makes and breaks bonds with the reactants and products. Because the geometric and electronic structures of catalysts are inextricably linked, a comprehensive knowledge of both is central to controlling catalytic efficiency and selectivity.

Designing a model catalyst system



Complexing

Approach - Simplify the problem without losing relevance

G.A. Somorjai et. Al., "Surface Science Approach to Modeling Supported Catalysts" Catal. Rev. Sci. Eng. 39, 77-168, 1997.

J.W. Niemantsverdriet et. al. , Eds. Modeling Supported Catalysts in Surface Science, Top. Catal. Vol. 13

Knowledge of the reaction mechanism is central to understanding catalytic reactions. The atomic structure, energies, and electronic structure of the initial reactant state, the final product state, and the activated complex transition state must be characterized for each elementary step.



The design of improved catalysts and photocatalysts requires:

Temporal measurements on time scales ranging from 10⁻⁴ - 10⁻¹⁸ s for electronic excitations and atomic rearrangements, to 10⁴s for macroscopic morphological changes.
Nanometer spatial resolution, since catalysts are by design inhomogeneous materials.

Photoemission microscopy will provide a key approach to this challenge, requiring the highest possible average brilliance of the source in the soft X-ray range.

IMAGING STRUCTURE AND REACTIVITY OF INDIVIDUAL NANOPARTICLES



The nanoparticles/nanofilm possess different oxidation/reduction ability than the micro particle
Reducing rate: Micro-part.> Nano-crystalline film > Nano-particles
Micro-particles of similar sizes show variation in the reactive properties: different structure, local environ.

Rh(111): evolution of Rh 3d_{5/2} during O₂ dosing Top views Photoemission Intensity (arb. units) Oxygen coverage= 0.000 p= 6.329e-10 mbar



Movies of a Chemical Reaction: Capturing and Controlling Transition States

Catalyst dynamics during surface-bound carbon nanotube nucleation



For SWNT we observe crystalline phase contrast from the transition metal catalyst nano-particles. The 0.20 nm reflection observed is expected for metallic fcc Ni(111), but also for $Ni_2O_3(200)$ and Ni_3C (113).

We have highlighted some of the progress made towards an atomistic CNT growth model by combining in-situ TEM and XPS. Selective acetylene chemisorption and the formation of a carbonrich surface layer were observed on otherwise crystalline transition metal nano-particles. Structural selectivity is determined by the dynamic interplay between carbon network formation and catalyst particle deformation.

The catalyst is active in its metallic state; Fe and Ni films that were deliberately oxidised before annealing showed a lower/no nanotube yield on C_2H_2 exposure.



Splitting of water into **Hydrogen** and **Oxygen**

Thermodinamic and Kinetic challenges

$$D_2 + 4H^+ + 4e^- - - 2H_2O$$

 $2H_2O \longrightarrow 2H_2 + O_2$



- Thermal splitting of water requires temperatures above 2500°C -Electrochemical splitting of water (ΔE= 1.23 V/e-)

the catalytic 2H₂O/O₂ half reaction means

- \checkmark the removal of 4-electrons from 2 H₂O molecules
- v the removal and translocation of 4 protons
- v the formation of a new oxygen-oxygen bond.

Water would be the ideal and most sustainable source for H_2 and this H_2 generation concept dates back two centuries. The power for water electrolysis could come from a virtually inexhaustible and freely available energy source like solar energy.

One-step catalysis process

2 H₂O→2H₂+O₂ Δ V=1.23V, Δ G=238kJ/mol

Step 1: Photon with energy above 1.23eV (λ
 1000 nm) is absorbed.
 Step 2: Photoexcited electrons and holes separate and migrate to surface.
 Step 3: Adsorbed species (water) is reduced and oxidized by the electrons and holes.



Photocatalyst material requirements

Band gap: Band gap>1.23eV but sufficiently small to make efficient use of solar spectrum (<3.5 eV). Band levels suitable for water splitting.

High Crystallinity: Defects can act as recombination sites.

•Long term stability: Charge transfer used for water splitting and not corrosion.





Linsebigler, Lu, Yates, Chem. Rev. 95, 735 (1995), Grätzel, Lewis, Domen, Li

- Oxides
 - Stable but efficiency is low (large gap)
- III-Vs
 - Efficiency is good but surfaces corrode
- Approaches
 - Dye sensitization (lifetime issues): Porphyrins, phtalocyanins, ferrocenes, etc..
 - Surface catalysis







organic/organic and organic/inorganic interfaces. Problems: Bands alignment Adsorption geometry



Ru₄POM@CNTs: water splitting electrode



Boosting effect in O_2 evolution with CNTs: TOF (turn over frequency) up to 350 h⁻¹, applied overpotential as low as 0.35V and TON (turn over number) ~ 40

Nature Chem. 2010, 2, 82, 831; Chem Sus Chem 2011, Early View



Fig. 1. a) Structure of the Ru4-POM in the resting state S_0 . b-f) Octahedral environment around one Ru center of Ru4-POM, ligands involved in water oxidation and schemes of the corresponding electronic structure of the highest occupied molecular orbitals for the Ru-ligand moveties.

Another use of EXAFS for oxygen storage Origin of Enhanced Oxygen Storage Capacity of $Ce_{1-x}Ti_xO_2$ Compared to CeO_2

oxygen storage capacity (OSC) = The amount of oxygen that can be released under reducing conditions and can be taken up under oxidizing conditions

Found a chemical origin for the increase in the OSC of CeO₂ upon Ti substitution, Ce_{1-x}Ti_xO₂ (x = 0.0-0.4)

EXAFS study of mixed oxides at the Ti K-egde showed that the local coordination of Ti is 4:4, with Ti-O distances of 1.9 and 2.5 Å (strongly and weakly bonded oxygen with different valencies)





Bond valence analysis of the microscopic structure and energetics determined from first principles is used to evaluate the strength of binding of different oxygen atoms and corresponding vacancies. Weakly bound oxygens in $Ce_{1-x}Ti_xO_2$, have lower valency and are responsible for the higher oxygen storage capacity in the mixed oxides than in pure CeO₂



Photoemission from molecules: Small system big problem



DFT calculation (energy scale compressed by a factor 1.3)

DFT when applied to isolated molecular systems in general gives good results for the description of neutral excitations and for the calculation of optical spectra



but for photoemission spectra (N-1 excited system)...



DFT method using different exchangecorrelation functionals







DFT method using different exchangecorrelation functionals



Photoemission on a small molecule



First-principles methods based on many-body perturbation theory, such as those resulting from the so-called GW approximation in which the selfenergy operator is expressed as the convolution in frequency of the dynamically screened Coulomb interaction (W) and Green' s function (G).



Photoemission on big molecules



GW ionization potential IP=6.05 eV for TPP and IP=5.7 eV for OEP, Eg=4.4 eV for both DFT-PBE ionization potential IP =4.8 eV for TPP and IP =4.5 eV for OEP, Eg=1.9 eV Experimental: IP =6.4 eV for TPP and IP =6.24 eV for OEP

Photoemission on big molecules



Disagreement between the DFT-PBE valence density of states and the experimental PES: any approximation applying an artificial stretching of the energy axis to the DFT spectra would also fail to reproduce the experimental spectrum.

Photoemission on big molecules



The failure of the DFT-PBE approach to describe even the valence energies in such molecule and the relevance of GW calculations in molecules even not presenting localized d or f states, indicate that electron correlations in the TPP and OEP molecules are important. CONCLUSIONS

Table 1 Correlation Matrix: Science Beyond Third-Generation Light Sources

	Photon Attributes							
Science Opportunities	Coherence	Brilliance (average)	Spatial Resolution (<1 nm)	Time Resolution (<1 ps)	Peak Brilliance	Energy Resolution	Polarization (circular, linear)	
Nanoparticle spectroscopy for solar cells	Nano-XPS			Charge-carrier dynamics spectroscopy				
Charge-transfer dynamics in photosynthesis				Scattering and spectroscop and control individu		y to identify 1 steps		
Battery stress and degradation	Functional	imaging and	spectroscopy			x		
Magnetic quantum dot materials			Imaging femtosecond magnetization dynamics				х	
Understanding and development of novel superconductors		Spatiall electronic c Nano-AF	y resolved haracterization RPES, RIXS			x		
Chemistry at the surface of mineral particles	Imaging structure and function of mineral particles in a wet environment					x		
Catalysis and chemistry		Monitor o atomic res process	atalysts with olution under conditions	"Movies of a chemical reaction," femtosecond spectroscopy and scattering				
Life sciences			Flash imagin	g of function at the cellular level				
	3-D mapping of DNA conformations Imaging and spectroscopy of enzyme					x	X	
Nano-materials	x	chemistry EXAFs of clusters			Spectroscopic characterization and imaging of individual clusters			
Quantum control		Resolving				3 and controlling electron dynamics		
Extreme environments				X-ray imaging of plasma processes				





Example: analysis of photovoltaic device by XPS depth profiling



Conductive Oxide- SnO,

p-type a-SiC

a-Si

The profile indicates a reduction of the SnO₂ occurred at the interface during deposition. Such a reduction would effect the collector's efficiency.

