

the **3+3** Neutrino Mixing Scheme & Electromagnetic Dipole Moments

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- ♣ Motivation for **sterile** neutrino physics
- ♣ A description of the **6×6** mixing matrix
- ♣ CP violation from **non-unitarity** effects
- ♣ Seesaw-induced enhancement of **EDM**

Xing, 1110.0083 (PRD); Xing, Zhou, 1201.2543 (PLB)

@ Behind the Neutrino Mass, ICTP, 17-21/9/2012

What's Behind ν Mass?

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Flavor Symmetry

Texture zeros

Element correlations

GUT relations

They reduce the number of free parameters, and thus lead to predictions for **3** flavor mixing angles in terms of either the **mass ratios** or **constant numbers**.

Example (Fritzsch ansatz)

$$M_{l,\nu} = \begin{pmatrix} 0 & \times & 0 \\ \times & 0 & \times \\ 0 & \times & \times \end{pmatrix}$$

Dependent on **mass ratios**

Example (Discrete symmetries)

$$M_\nu = \begin{pmatrix} b+c & -b & -c \\ -b & a+b & -a \\ -c & -a & a+c \end{pmatrix}$$

Dependent on **simple numbers**



PREDICTIONS



Way 1: Constant + Perturbation 2

1st generation:

Cabibbo (78)

$$\begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} & \omega & \frac{\omega^2}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} & \frac{\omega^2}{\sqrt{3}} & \frac{\omega}{\sqrt{3}} \end{pmatrix}$$

Wolfenstein (78)

$$\begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{\sqrt{2}}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{3}} & \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

2nd generation:

Democratic (96)

$$\begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{6}} & \frac{\sqrt{2}}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \end{pmatrix}$$

Bimaximal (97/98)

$$\begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ \frac{-1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \\ \frac{1}{2} & \frac{-1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

3rd generation:

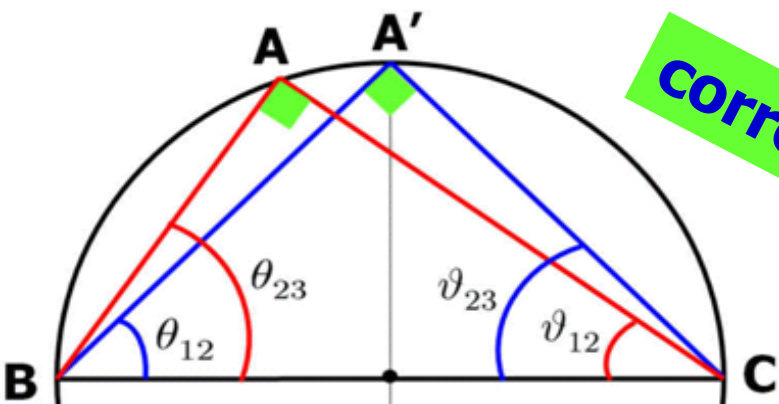
Tri-bimaximal (02)

$$\begin{pmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{-1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Golden-ratio (07)

$$\begin{pmatrix} \frac{\sqrt{2}}{\sqrt{5-\sqrt{5}}} & \frac{\sqrt{2}}{\sqrt{5+\sqrt{5}}} & 0 \\ \frac{-1}{\sqrt{5+\sqrt{5}}} & \frac{1}{\sqrt{5-\sqrt{5}}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{5+\sqrt{5}}} & \frac{-1}{\sqrt{5-\sqrt{5}}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

shift



correlation

$$\begin{aligned} \theta_{12} &= \pi/4 & \vartheta_{12} &= \pi/4 - \theta_* \\ \theta_{23} &= \pi/4 + \theta_* & \vartheta_{23} &= \pi/4 \end{aligned}$$

Democratic

Tri-bimaximal

Xing,
arXiv:1011.2954

$$\theta_{13} = \theta_* = \theta_{23} - \theta_{12} \simeq 9.7^\circ$$

Way 2: Texture Zeros

3

The flavor mixing angles are simple functions of **4 lepton mass ratios**.



1977

2×2 3×3

Texture zeros

1977,78



$$\theta_{ij} = f \left(\frac{m_\alpha}{m_\beta}, \frac{m_k}{m_l}, \dots \right)$$

$$M_{l,\nu} = \begin{pmatrix} 0 & \times & 0 \\ \times & 0 & \times \\ 0 & \times & \times \end{pmatrix}$$

Texture zeros of a fermion mass matrix dynamically mean that some matrix elements are **strongly suppressed** (in comparison with those weakly suppressed or unsuppressed elements) and may stem from a **flavor symmetry** (e.g., the Froggatt-Nielsen mechanism **1979**)

The charged-lepton sector

$$\sqrt{\frac{m_e}{m_\mu}} \simeq 0.069 \Leftrightarrow 4^\circ, \quad \sqrt{\frac{m_\mu}{m_\tau}} \simeq 0.24 \Leftrightarrow 14^\circ$$

$$\theta_{12} \sim 34^\circ, \quad \theta_{23} \sim 40^\circ, \quad \theta_{13} \sim 9^\circ$$

So the **neutrino sector** plays a primary role. e.g. the **Fritzsch** texture works (Xing **2002**)

Lessons from Chemistry

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Guiding principle

Flavor structures

Flavor properties

Good
idea?

Linus Pauling: The best way ... But so far we have had ...

Sterile Neutrino Physics

Neutrinos

The white paper
arXiv:1204.5379

sub-eV

active
neutrinos

sub-eV

sterile
neutrinos

**LSND + MiniBooNE + reactor
anomalies** **CMB + BBN hints**

**LHC
motivated**

keV

sterile
neutrinos

TeV

Majorana
neutrinos

\geq EeV

Majorana
neutrinos

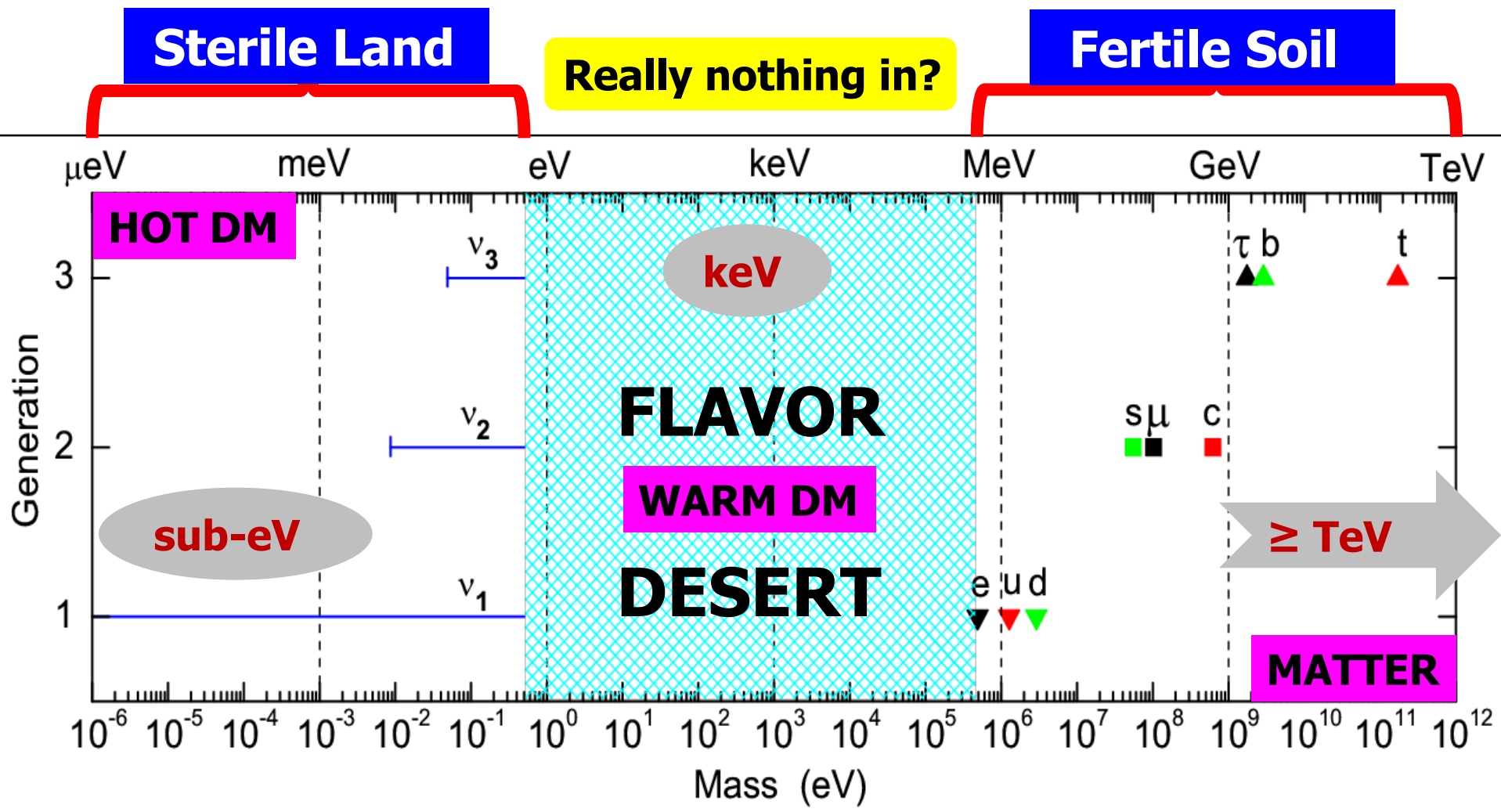
standard
weak
interaction

oscillation

cosmic
messenger

**warm
dark
matter**

classical seesaws + GUTs



Weinberg's 3rd law of progress in theoretical physics (83):

You may use any degrees of freedom you like to describe a physical system, but if you use the wrong ones, you will be sorry **What could be better?**

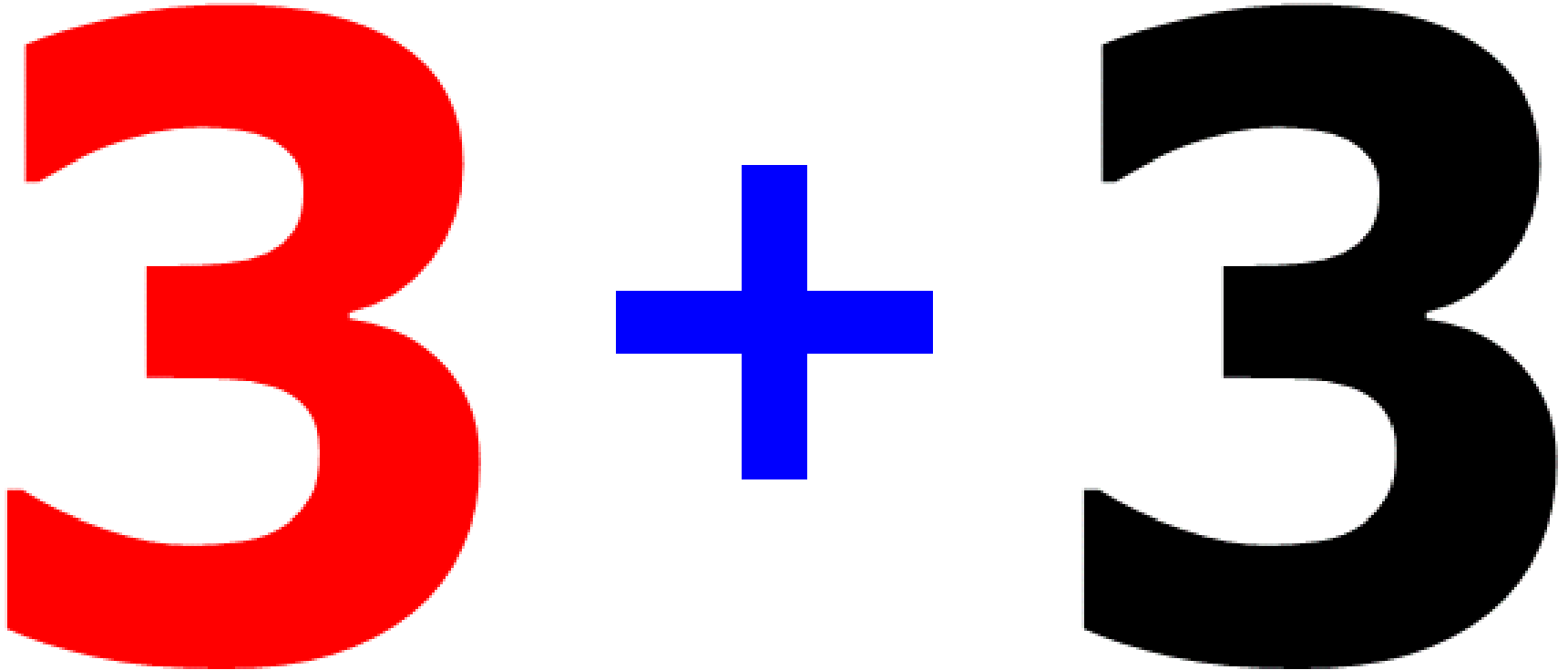


How Many?

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Observational hints (really true? harmless in cosmology?)

Theoretical motivation (seesaw + leptogenesis scenarios)



Or just for fun? "3" is a strange and interesting number!

Then Nontrivial...

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The generic (3+3) flavor mixing scheme can be simplified to the (3+2) or (3+1) flavor mixing scheme, **but**

$$3 + 2 \neq 3 + 3 - 1$$
$$3 + 1 \neq 3 + 2 - 1 \neq 3 + 3 - 2$$

by forgetting the **heavy** neutrino(s) when discussing **light neutrino oscillation** phenomenology. The **reason** is

---- the **heavy** sterile neutrino(s) can result in the **indirect**

---- the **light** sterile neutrino(s) will give rise to the **direct**

violation of **unitarity** of the standard 3×3 active neutrino mixing matrix! They are distinguishable in ν -oscillations.

Flavor Mixing

**active
flavor**

ν_e

ν_μ

ν_τ

**sterile
flavor**

ν_x

ν_y

ν_z

$$= \mathcal{U}$$

ν_1

ν_2

ν_3

ν_4

ν_5

ν_6

**mass
state**

Why a Full Parametrization?

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Is this a donkey?

Seesaw

Leptogenesis

Lepton flavour violation

New species, anomalies, cosmology, ...

Lepton number violation

m_1

m_2

m_3

δ

σ

θ_{23}

θ_{13}

θ_{12}

ρ

No, an elephant!

A Possible Way

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$$\mathcal{U} = \underbrace{\begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & U_0 \end{pmatrix}}_{\text{sterile part}} \underbrace{\begin{pmatrix} A & R \\ S & B \end{pmatrix}}_{\text{interplay}} \underbrace{\begin{pmatrix} V_0 & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{pmatrix}}_{\text{active part}}$$

$$\begin{pmatrix} V_0 & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{pmatrix} = O_{23} O_{13} O_{12} ,$$

Full parametrization:

15 rotation angles

15 phase phases

(Xing, 1110.0083)

$$\begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & U_0 \end{pmatrix} = O_{56} O_{46} O_{45} ,$$

$$\begin{pmatrix} A & R \\ S & B \end{pmatrix} = O_{36} O_{26} O_{16} O_{35} O_{25} O_{15} O_{34} O_{24} O_{14}$$

Unitarity

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active part

$$V_0 = \begin{pmatrix} c_{12}c_{13} & \hat{s}_{12}^*c_{13} & \hat{s}_{13}^* \\ -\hat{s}_{12}c_{23} - c_{12}\hat{s}_{13}\hat{s}_{23}^* & c_{12}c_{23} - \hat{s}_{12}^*\hat{s}_{13}\hat{s}_{23}^* & c_{13}\hat{s}_{23}^* \\ \hat{s}_{12}\hat{s}_{23} - c_{12}\hat{s}_{13}c_{23} & -c_{12}\hat{s}_{23} - \hat{s}_{12}^*\hat{s}_{13}c_{23} & c_{13}c_{23} \end{pmatrix}$$

sterile part

$$U_0 = \begin{pmatrix} c_{45}c_{46} & \hat{s}_{45}^*c_{46} & \hat{s}_{46}^* \\ -\hat{s}_{45}c_{56} - c_{45}\hat{s}_{46}\hat{s}_{56}^* & c_{45}c_{56} - \hat{s}_{45}^*\hat{s}_{46}\hat{s}_{56}^* & c_{46}\hat{s}_{56}^* \\ \hat{s}_{45}\hat{s}_{56} - c_{45}\hat{s}_{46}c_{56} & -c_{45}\hat{s}_{56} - \hat{s}_{45}^*\hat{s}_{46}c_{56} & c_{46}c_{56} \end{pmatrix}$$

unitarity:

$$\begin{aligned} AA^\dagger + RR^\dagger &= BB^\dagger + SS^\dagger = 1 \\ AS^\dagger + RB^\dagger &= A^\dagger R + S^\dagger B = 0 \\ A^\dagger A + S^\dagger S &= B^\dagger B + R^\dagger R = 1 \end{aligned}$$

$$\begin{aligned} VV^\dagger &= AA^\dagger = 1 - RR^\dagger \\ U^\dagger U &= B^\dagger B = 1 - R^\dagger R \end{aligned}$$

Relations between flavor and mass eigenstates:

$$V \equiv AV_0 \quad U \equiv U_0B \quad \hat{S} \equiv U_0SV_0$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = V \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} + R \begin{pmatrix} \nu_4 \\ \nu_5 \\ \nu_6 \end{pmatrix} \iff \begin{pmatrix} \nu_x \\ \nu_y \\ \nu_z \end{pmatrix} = U \begin{pmatrix} \nu_4 \\ \nu_5 \\ \nu_6 \end{pmatrix} + \hat{S} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

A Blue-Collar Job (1)

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Exact results in a **triangular** pattern (**9** angles + **9** phases)
 They describe small **departures** of V_0 and U_0 from **unitarity**.

$$A = \begin{pmatrix}
 c_{14}c_{15}c_{16} & 0 & 0 \\
 -c_{14}c_{15}\hat{s}_{16}\hat{s}_{26}^* - c_{14}\hat{s}_{15}\hat{s}_{25}^*c_{26} & c_{24}c_{25}c_{26} & 0 \\
 -\hat{s}_{14}\hat{s}_{24}^*c_{25}c_{26} & & \\
 -c_{14}c_{15}\hat{s}_{16}c_{26}\hat{s}_{36}^* + c_{14}\hat{s}_{15}\hat{s}_{25}^*\hat{s}_{26}\hat{s}_{36}^* & -c_{24}c_{25}\hat{s}_{26}\hat{s}_{36}^* - c_{24}\hat{s}_{25}\hat{s}_{35}^*c_{36} & c_{34}c_{35}c_{36} \\
 -c_{14}\hat{s}_{15}c_{25}\hat{s}_{35}^*c_{36} + \hat{s}_{14}\hat{s}_{24}^*c_{25}\hat{s}_{26}\hat{s}_{36}^* & -\hat{s}_{24}\hat{s}_{34}^*c_{35}c_{36} & \\
 +\hat{s}_{14}\hat{s}_{24}^*\hat{s}_{25}\hat{s}_{35}^*c_{36} - \hat{s}_{14}c_{24}\hat{s}_{34}^*c_{35}c_{36} & &
 \end{pmatrix}$$

$$B = \begin{pmatrix}
 c_{14}c_{24}c_{34} & 0 & 0 \\
 -c_{14}c_{24}\hat{s}_{34}^*\hat{s}_{35} - c_{14}\hat{s}_{24}^*\hat{s}_{25}c_{35} & c_{15}c_{25}c_{35} & 0 \\
 -\hat{s}_{14}^*\hat{s}_{15}c_{25}c_{35} & & \\
 -c_{14}c_{24}\hat{s}_{34}^*c_{35}\hat{s}_{36} + c_{14}\hat{s}_{24}^*\hat{s}_{25}\hat{s}_{35}^*\hat{s}_{36} & -c_{15}c_{25}\hat{s}_{35}^*\hat{s}_{36} - c_{15}\hat{s}_{25}^*\hat{s}_{26}c_{36} & c_{16}c_{26}c_{36} \\
 -c_{14}\hat{s}_{24}^*c_{25}\hat{s}_{26}c_{36} + \hat{s}_{14}^*\hat{s}_{15}c_{25}\hat{s}_{35}^*\hat{s}_{36} & -\hat{s}_{15}^*\hat{s}_{16}c_{26}c_{36} & \\
 +\hat{s}_{14}^*\hat{s}_{15}\hat{s}_{25}^*\hat{s}_{26}c_{36} - \hat{s}_{14}^*c_{15}\hat{s}_{16}c_{26}c_{36} & &
 \end{pmatrix}$$

A Blue-Collar Job (2)

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Exact results which also depend on **9** angles and **9** phases.
They describe the interplay between **active** and **sterile** ν 's.

$$R = \begin{pmatrix} \hat{s}_{14}^* c_{15} c_{16} & \hat{s}_{15}^* c_{16} & \hat{s}_{16}^* \\ -\hat{s}_{14}^* c_{15} \hat{s}_{16} \hat{s}_{26}^* - \hat{s}_{14}^* \hat{s}_{15} \hat{s}_{25}^* c_{26} & -\hat{s}_{15}^* \hat{s}_{16} \hat{s}_{26}^* + c_{15} \hat{s}_{25}^* c_{26} & c_{16} \hat{s}_{26}^* \\ +c_{14} \hat{s}_{24}^* c_{25} c_{26} & & \\ -\hat{s}_{14}^* c_{15} \hat{s}_{16} c_{26} \hat{s}_{36}^* + \hat{s}_{14}^* \hat{s}_{15} \hat{s}_{25}^* \hat{s}_{26} \hat{s}_{36}^* & -\hat{s}_{15}^* \hat{s}_{16} c_{26} \hat{s}_{36}^* - c_{15} \hat{s}_{25}^* \hat{s}_{26} \hat{s}_{36}^* & c_{16} c_{26} \hat{s}_{36}^* \\ -\hat{s}_{14}^* \hat{s}_{15} c_{25} \hat{s}_{35}^* c_{36} - c_{14} \hat{s}_{24}^* c_{25} \hat{s}_{26} \hat{s}_{36}^* & +c_{15} c_{25} \hat{s}_{35}^* c_{36} & \\ -c_{14} \hat{s}_{24}^* \hat{s}_{25} \hat{s}_{35}^* c_{36} + c_{14} c_{24} \hat{s}_{34}^* c_{35} c_{36} & & \end{pmatrix}$$

$$S = \begin{pmatrix} -\hat{s}_{14} c_{24} c_{34} & -\hat{s}_{24} c_{34} & -\hat{s}_{34} \\ \hat{s}_{14} c_{24} \hat{s}_{34}^* \hat{s}_{35} + \hat{s}_{14} \hat{s}_{24}^* \hat{s}_{25} c_{35} & \hat{s}_{24} \hat{s}_{34}^* \hat{s}_{35} - c_{24} \hat{s}_{25} c_{35} & -c_{34} \hat{s}_{35} \\ -c_{14} \hat{s}_{15} c_{25} c_{35} & & \\ \hat{s}_{14} c_{24} \hat{s}_{34}^* c_{35} \hat{s}_{36} - \hat{s}_{14} \hat{s}_{24}^* \hat{s}_{25} \hat{s}_{35}^* \hat{s}_{36} & \hat{s}_{24} \hat{s}_{34}^* c_{35} \hat{s}_{36} + c_{24} \hat{s}_{25} \hat{s}_{35}^* \hat{s}_{36} & -c_{34} c_{35} \hat{s}_{36} \\ +\hat{s}_{14} \hat{s}_{24}^* c_{25} \hat{s}_{26} c_{36} + c_{14} \hat{s}_{15} c_{25} \hat{s}_{35}^* \hat{s}_{36} & -c_{24} c_{25} \hat{s}_{26} c_{36} & \\ +c_{14} \hat{s}_{15} \hat{s}_{25}^* \hat{s}_{26} c_{36} - c_{14} c_{15} \hat{s}_{16} c_{26} c_{36} & & \end{pmatrix}$$

Non-unitarity ν Mixing?

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Example A: light sterile neutrinos ---- some preliminary hints with ??

Example B: heavy Majorana neutrinos ---- popular seesaw scenarios.

Example C: whole tower of KK states ---- in extra dimension models.

The scheme of **Minimal Unitarity Violation** (Antusch *et al* 06):

---- Only **3** light neutrino species are considered;

---- Sources of non-unitarity are allowed only in those terms of the SM Lagrangian which involve neutrinos.

Unitarity of the neutrino mixing matrix: good or bad at the **1%** level.

Constraint on the 3×3 ν -mixing matrix V ---- data on ν -oscillations, W and Z decays, the LFV modes and leptonic universality tests (Antusch *et al* 06).

$$|VV^\dagger| \approx \begin{pmatrix} 0.994 \pm 0.005 & < 7.0 \cdot 10^{-5} & < 1.6 \cdot 10^{-2} \\ < 7.0 \cdot 10^{-5} & 0.995 \pm 0.005 & < 1.0 \cdot 10^{-2} \\ < 1.6 \cdot 10^{-2} & < 1.0 \cdot 10^{-2} & 0.995 \pm 0.005 \end{pmatrix}$$

$$|V^\dagger V| \approx \begin{pmatrix} 1.00 \pm 0.032 & < 0.032 & < 0.032 \\ < 0.032 & 1.00 \pm 0.032 & < 0.032 \\ < 0.032 & < 0.032 & 1.00 \pm 0.032 \end{pmatrix}$$

Approximation

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9 active-sterile mixing angles are constrained to be at most of $\mathbf{O(0.1)}$.

$$A \simeq \mathbf{1} - \begin{pmatrix} \frac{1}{2}(s_{14}^2 + s_{15}^2 + s_{16}^2) & 0 & 0 \\ \hat{s}_{14}\hat{s}_{24}^* + \hat{s}_{15}\hat{s}_{25}^* + \hat{s}_{16}\hat{s}_{26}^* & \frac{1}{2}(s_{24}^2 + s_{25}^2 + s_{26}^2) & 0 \\ \hat{s}_{14}\hat{s}_{34}^* + \hat{s}_{15}\hat{s}_{35}^* + \hat{s}_{16}\hat{s}_{36}^* & \hat{s}_{24}\hat{s}_{34}^* + \hat{s}_{25}\hat{s}_{35}^* + \hat{s}_{26}\hat{s}_{36}^* & \frac{1}{2}(s_{34}^2 + s_{35}^2 + s_{36}^2) \end{pmatrix}$$

$$B \simeq \mathbf{1} - \begin{pmatrix} \frac{1}{2}(s_{14}^2 + s_{24}^2 + s_{34}^2) & 0 & 0 \\ \hat{s}_{14}^*\hat{s}_{15} + \hat{s}_{24}^*\hat{s}_{25} + \hat{s}_{34}^*\hat{s}_{35} & \frac{1}{2}(s_{15}^2 + s_{25}^2 + s_{35}^2) & 0 \\ \hat{s}_{14}^*\hat{s}_{16} + \hat{s}_{24}^*\hat{s}_{26} + \hat{s}_{34}^*\hat{s}_{36} & \hat{s}_{15}^*\hat{s}_{16} + \hat{s}_{25}^*\hat{s}_{26} + \hat{s}_{35}^*\hat{s}_{36} & \frac{1}{2}(s_{16}^2 + s_{26}^2 + s_{36}^2) \end{pmatrix}$$

$$R \simeq \mathbf{0} + \begin{pmatrix} \hat{s}_{14}^* & \hat{s}_{15}^* & \hat{s}_{16}^* \\ \hat{s}_{24}^* & \hat{s}_{25}^* & \hat{s}_{26}^* \\ \hat{s}_{34}^* & \hat{s}_{35}^* & \hat{s}_{36}^* \end{pmatrix} \quad \Bigg| \quad S \simeq \mathbf{0} - \begin{pmatrix} \hat{s}_{14} & \hat{s}_{24} & \hat{s}_{34} \\ \hat{s}_{15} & \hat{s}_{25} & \hat{s}_{35} \\ \hat{s}_{16} & \hat{s}_{26} & \hat{s}_{36} \end{pmatrix} \quad \Bigg| \quad \boxed{R \simeq -S^\dagger}$$

Standard weak charged-current interactions:

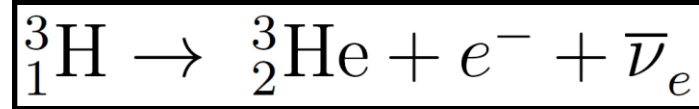
$$-\mathcal{L}_{\text{cc}} = \frac{g}{\sqrt{2}} \overline{(e \ \mu \ \tau)_L} \gamma^\mu \left[V \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}_L + R \begin{pmatrix} \nu_4 \\ \nu_5 \\ \nu_6 \end{pmatrix}_L \right] W_\mu^- + \text{h.c.}$$

Application (1)

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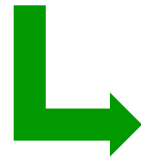
In the assumption of **3** light sterile neutrinos, the effective masses of the **tritium beta decay** / **neutrinoless double-beta decay** get modified.

The tritium β decay:



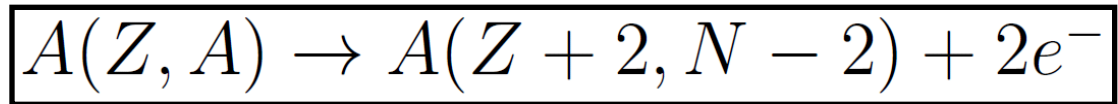
$$\langle m \rangle'_e \equiv \left[\sum_{i=1}^6 m_i^2 |V_{ei}|^2 \right]^{1/2} = \sqrt{\langle m \rangle_e^2 c_{14}^2 c_{15}^2 c_{16}^2 + m_4^2 s_{14}^2 c_{15}^2 c_{16}^2 + m_5^2 s_{15}^2 c_{16}^2 + m_6^2 s_{16}^2}$$

$$\boxed{\langle m \rangle'_e \geq \langle m \rangle_e}$$



$$\langle m \rangle_e = \sqrt{m_1^2 c_{12}^2 c_{13}^2 + m_2^2 s_{12}^2 c_{13}^2 + m_3^2 s_{13}^2}$$

The $0\nu 2\beta$ decay:



$$\langle m \rangle'_{ee} \equiv \sum_{i=1}^6 m_i V_{ei}^2 = \langle m \rangle_{ee} (c_{14} c_{15} c_{16})^2 + m_4 (\hat{s}_{14}^* c_{15} c_{16})^2 + m_5 (\hat{s}_{15}^* c_{16})^2 + m_6 (\hat{s}_{16}^*)^2$$



$$\langle m \rangle_{ee} = m_1 (c_{12} c_{13})^2 + m_2 (\hat{s}_{12}^* c_{13})^2 + m_3 (\hat{s}_{13}^*)^2$$

Both $\langle m \rangle_{ee} = 0$ and $\langle m \rangle'_{ee} = 0$ possible due to **CP-violating phases**.

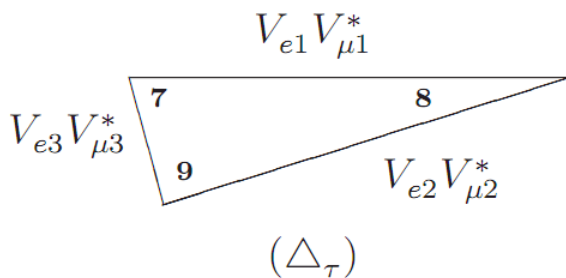
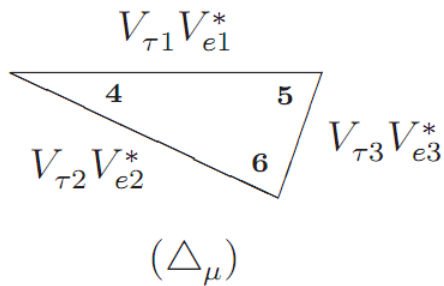
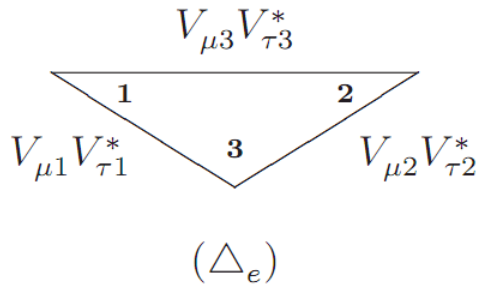
Application (2)

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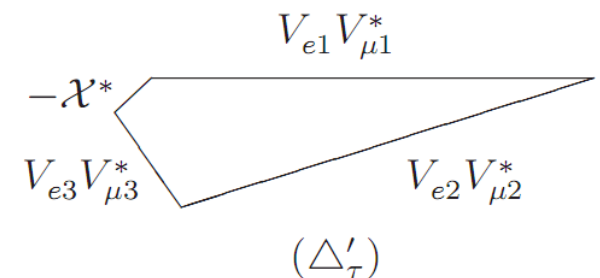
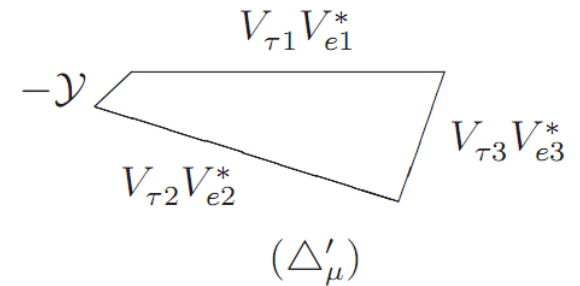
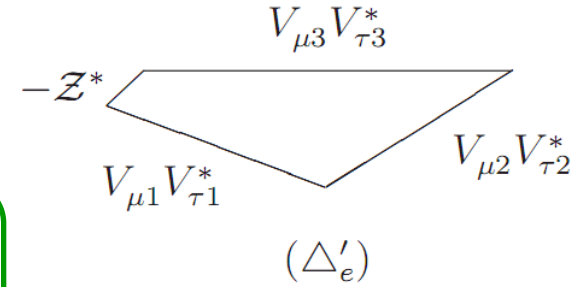
$$\begin{aligned} \Delta_e &: V_{\mu 1} V_{\tau 1}^* + V_{\mu 2} V_{\tau 2}^* + V_{\mu 3} V_{\tau 3}^* = 0 \\ \Delta_\mu &: V_{\tau 1} V_{e 1}^* + V_{\tau 2} V_{e 2}^* + V_{\tau 3} V_{e 3}^* = 0 \\ \Delta_\tau &: V_{e 1} V_{\mu 1}^* + V_{e 2} V_{\mu 2}^* + V_{e 3} V_{\mu 3}^* = 0 \end{aligned}$$

**Deformed
unitarity
triangles**

$$\begin{aligned} \Delta'_e &: V_{\mu 1} V_{\tau 1}^* + V_{\mu 2} V_{\tau 2}^* + V_{\mu 3} V_{\tau 3}^* \simeq -\mathcal{Z}^* \\ \Delta'_\mu &: V_{\tau 1} V_{e 1}^* + V_{\tau 2} V_{e 2}^* + V_{\tau 3} V_{e 3}^* \simeq -\mathcal{Y} \\ \Delta'_\tau &: V_{e 1} V_{\mu 1}^* + V_{e 2} V_{\mu 2}^* + V_{e 3} V_{\mu 3}^* \simeq -\mathcal{X}^* \end{aligned}$$



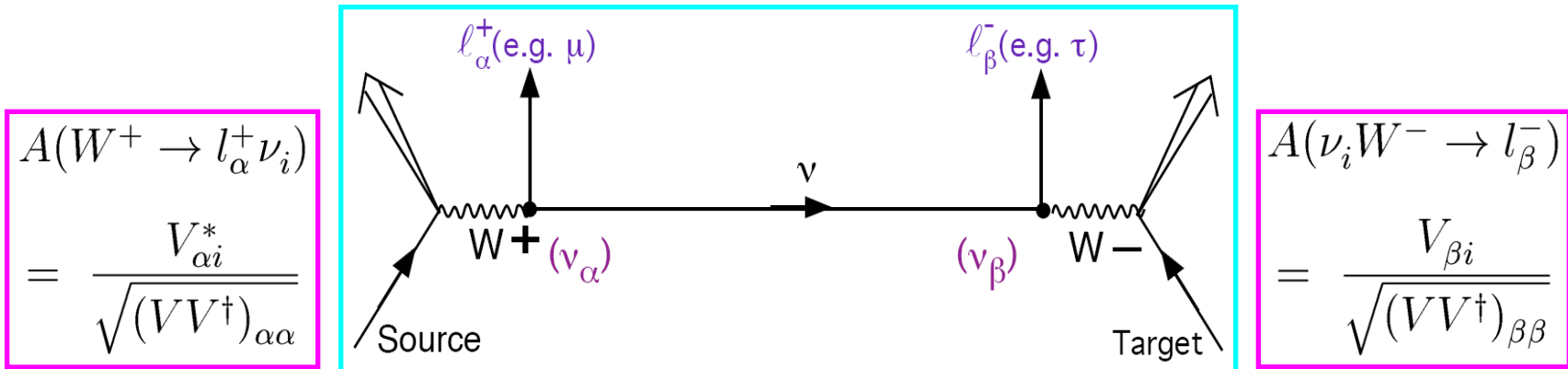
$$\begin{aligned} \mathcal{X} &\equiv \hat{s}_{14} \hat{s}_{24}^* + \hat{s}_{15} \hat{s}_{25}^* + \hat{s}_{16} \hat{s}_{26}^* \\ \mathcal{Y} &\equiv \hat{s}_{14} \hat{s}_{34}^* + \hat{s}_{15} \hat{s}_{35}^* + \hat{s}_{16} \hat{s}_{36}^* \\ \mathcal{Z} &\equiv \hat{s}_{24} \hat{s}_{34}^* + \hat{s}_{25} \hat{s}_{35}^* + \hat{s}_{26} \hat{s}_{36}^* \end{aligned}$$



**New effects
of
CP violation**

Neutrino Oscillations

Production + detection of a neutrino beam via **CC** weak interactions:



$$A(W^+ \rightarrow l_\alpha^+ \nu_i) = \frac{V_{\alpha i}^*}{\sqrt{(VV^\dagger)_{\alpha\alpha}}}$$

$$A(\nu_i W^- \rightarrow l_\beta^-) = \frac{V_{\beta i}}{\sqrt{(VV^\dagger)_{\beta\beta}}}$$

$$\sum_i |A(W^+ \rightarrow l_\alpha^+ \nu_i)|^2 = 1$$

$$\text{Prop}(\nu_i) = \exp\left(-i \frac{m_i^2}{2E} L\right)$$

$$\sum_i |A(\nu_i W^- \rightarrow l_\beta^-)|^2 = 1$$

$$A(\nu_\alpha \rightarrow \nu_\beta) = \sum_i \left[A(W^+ \rightarrow l_\alpha^+ \nu_i) \cdot \text{Prop}(\nu_i) \cdot A(\nu_i W^- \rightarrow l_\beta^-) \right]$$

$$= \frac{1}{\sqrt{(VV^\dagger)_{\alpha\alpha} (VV^\dagger)_{\beta\beta}}} \sum_i \left[V_{\alpha i}^* \exp\left(-i \frac{m_i^2}{2E} L\right) V_{\beta i} \right]$$

Like the case of the **non-standard** interactions in initial + final states.

Direct/Indirect New Effects

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Neutrino oscillation probability in vacuum (Antusch et al **06**, Xing **08**):

$$P(\nu_\alpha \rightarrow \nu_\beta) = \frac{\sum_i |V_{\alpha i}|^2 |V_{\beta i}|^2 + 2 \sum_{i < j} \text{Re} (V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*) \cos \Delta_{ij} - 2 \sum_{i < j} J_{\alpha\beta}^{ij} \sin \Delta_{ij}}{(VV^\dagger)_{\alpha\alpha} (VV^\dagger)_{\beta\beta}}$$

$$\Delta_{ij} \equiv \Delta m_{ij}^2 L / (2E) \text{ with } \Delta m_{ij}^2 \equiv m_i^2 - m_j^2 \quad |\Delta m_{13}^2| \approx |\Delta m_{23}^2| \gg |\Delta m_{12}^2|$$

If **(3+3)** → **(3+2)** or **(3+1)**, both direct and indirect non-unitary effects occur.

Jarlskog invariants of CP violation: $J_{\alpha\beta}^{ij} \equiv \text{Im}(V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*)$

Unitary: universal Jarlskog invariant = 2 area of each unitarity triangle.

Non-unitary: 9 different Jarlskog invariants, and **triangles** → **polygons**.

**“Zero-distance”
(near-detector)
effect at $L = 0$:**

$$P(\nu_\alpha \rightarrow \nu_\beta) |_{L=0} = \frac{|(VV^\dagger)_{\alpha\beta}|^2}{(VV^\dagger)_{\alpha\alpha} (VV^\dagger)_{\beta\beta}}$$

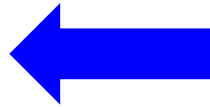
In the standard case:

$$J_0 \equiv J_{e\mu}^{12} = J_{e\mu}^{23} = J_{e\mu}^{31} = J_{\mu\tau}^{12} = J_{\mu\tau}^{23} = J_{\mu\tau}^{31} = J_{\tau e}^{12} = J_{\tau e}^{23} = J_{\tau e}^{31} = c_{12}s_{12}c_{13}^2s_{13}c_{23}s_{23} \sin \delta$$

In the presence of **non-unitary** effects:

$$J_{\alpha\beta}^{ij} \equiv \text{Im}(V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*)$$

$$J_{e\mu}^{23} \simeq J_{e\mu}^{31} \simeq J_{\tau e}^{23} \simeq J_{\tau e}^{31} \simeq J_0$$



$$J_{e\mu}^{12} \simeq J_0 + c_{12}s_{12}c_{23} \text{Im}X,$$

$$J_{\tau e}^{12} \simeq J_0 + c_{12}s_{12}s_{23} \text{Im}Y,$$

$$J_{\mu\tau}^{12} \simeq J_0 + c_{12}s_{12}c_{23}s_{23} (s_{23} \text{Im}X + c_{23} \text{Im}Y),$$

$$J_{\mu\tau}^{23} \simeq J_0 + c_{12}c_{23}s_{23} (s_{12}s_{23} \text{Im}X + s_{12}c_{23} \text{Im}Y + c_{12} \text{Im}Z)$$

$$J_{\mu\tau}^{31} \simeq J_0 + s_{12}c_{23}s_{23} (c_{12}s_{23} \text{Im}X + c_{12}c_{23} \text{Im}Y - s_{12} \text{Im}Z)$$

$$X \equiv \mathcal{X} e^{-i\delta_{12}}$$

$$Y \equiv \mathcal{Y} e^{-i(\delta_{12} + \delta_{23})}$$

$$Z \equiv \mathcal{Z} e^{-i\delta_{23}}$$

For heavy sterile ν 's

CPV: $\underline{A_{\alpha\beta} \equiv P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)} = \frac{4}{(AA^\dagger)_{\alpha\alpha} (AA^\dagger)_{\beta\beta}} \sum_{i<j} J_{\alpha\beta}^{ij} \sin \Delta_{ij}$

$$\Delta_{ij} \equiv \Delta m_{ij}^2 L / (2E)$$

$$\simeq 4 \left[J_{\alpha\beta}^{12} \sin \Delta_{21} + (J_{\alpha\beta}^{13} + J_{\alpha\beta}^{23}) \sin \Delta_{32} \right]$$

CP Violation

CP-violating asymmetries:

$$\mathcal{A}_{\mu e} \simeq -4 (J_0 + c_{12}s_{12}c_{23}\text{Im}X) \sin \frac{\Delta m_{21}^2 L}{2E},$$

$$\mathcal{A}_{e\tau} \simeq -4 (J_0 + c_{12}s_{12}s_{23}\text{Im}Y) \sin \frac{\Delta m_{21}^2 L}{2E},$$

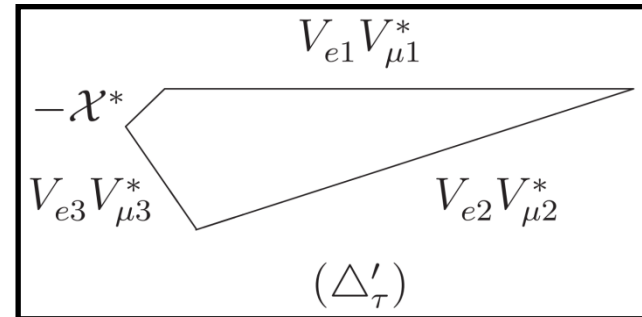
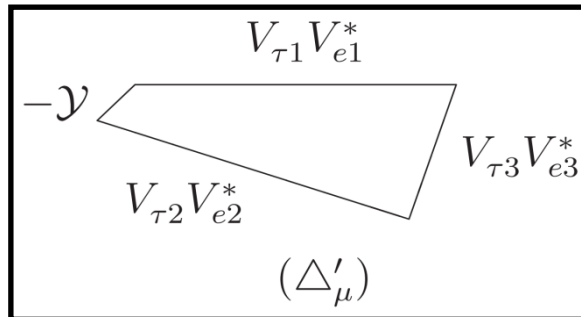
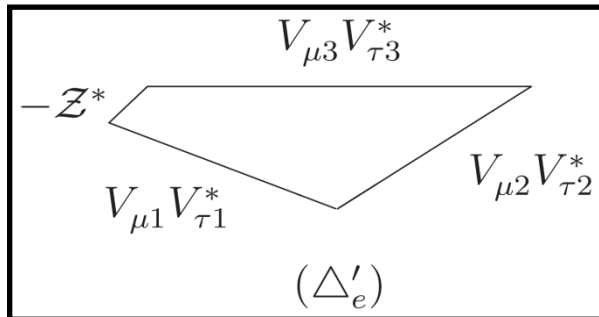
$$\mathcal{A}_{\mu\tau} \simeq +4 [J_0 + c_{12}s_{12}c_{23}s_{23} (s_{23}\text{Im}X + c_{23}\text{Im}Y)] \sin \frac{\Delta m_{21}^2 L}{2E} + 4c_{23}s_{23}\text{Im}Z \sin \frac{\Delta m_{32}^2 L}{2E}$$

$$\mathcal{A}_{\alpha\beta} \equiv P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$$

Correlation:

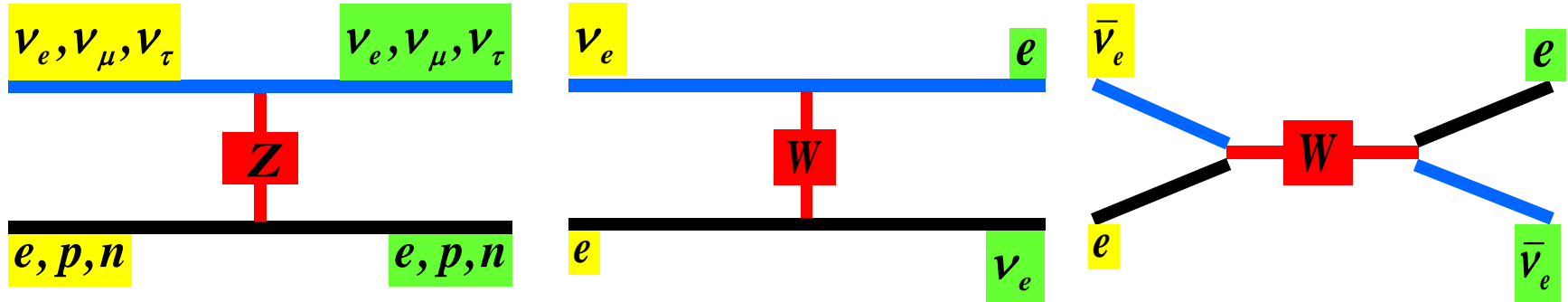
$$\mathcal{A}_{\mu\tau} + (s_{23}^2 \mathcal{A}_{\mu e} + c_{23}^2 \mathcal{A}_{e\tau}) \simeq 4c_{23}s_{23}\text{Im}Z \sin \frac{\Delta m_{32}^2 L}{2E}$$

Testing the unitarity of the PMNS matrix at low energies: a window to possible new physics at superhigh energies.



MSW Matter Effects

Illustration: 3 heavy Majorana neutrinos and **constant** matter density.



$$P(\nu_\mu \rightarrow \nu_\tau) \approx \sin^2 \frac{\Delta_{23}}{2} - \sum_{l=4}^6 s_{2l}s_{3l} [\sin(\delta_{2l} - \delta_{3l}) + A_{\text{NC}}L \cos(\delta_{2l} - \delta_{3l})] \sin \Delta_{23}$$

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_\tau) \approx \sin^2 \frac{\Delta_{23}}{2} + \sum_{l=4}^6 s_{2l}s_{3l} [\sin(\delta_{2l} - \delta_{3l}) + A_{\text{NC}}L \cos(\delta_{2l} - \delta_{3l})] \sin \Delta_{23}$$

(Goswami, Ota 08; Luo 08; Xing 09)

$$A_{\text{NC}} = G_{\text{F}} N_n / \sqrt{2}$$

$$\sim 2.6 \times 10^{-4} \text{ km}$$

Genuine CPV

Matter effect

The same matter term appears in disappearance $\nu_\mu \rightarrow \nu_\mu$ oscillation.

Dipole Moments

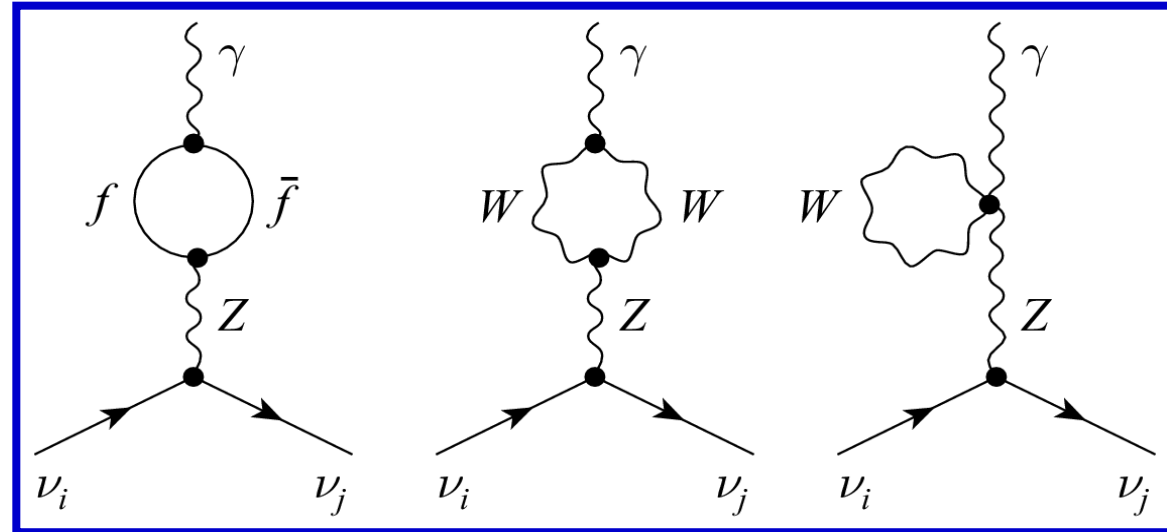
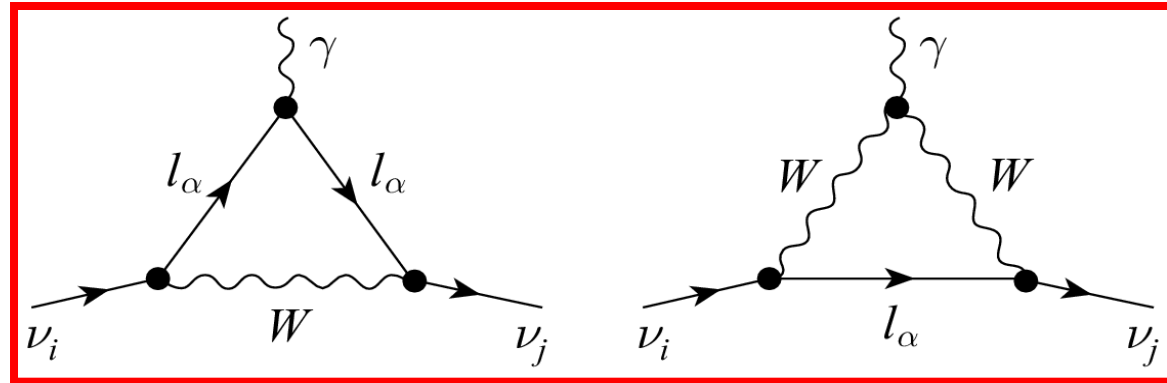
Given the SM interaction, a massive **Dirac** neutrino can have a tiny magnetic dipole moment $\leq 10^{-20} \mu_B$.

A **Majorana** neutrino can **not** have the magnetic & electric dipole moments.

EX bound: \leq a few $\times 10^{-11} \mu_B$

Both **Dirac** and **Majorana** neutrinos have **transition** dipole moments:

- neutrino decays
- scattering with electrons
- interaction with external magnetic fields
- **correlation** to the origin of neutrino mass



No net contribution to the DMs

the canonical seesaw

Xing, Zhou, 1201.2543

Canonical Seesaw

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The canonical seesaw mechanism:

$$-\mathcal{L}_\nu = \overline{\ell}_L Y_\nu \tilde{H} N_R + \frac{1}{2} \overline{N}_R^c M_R N_R + \text{h.c.}$$

$$\mathcal{U}^\dagger \begin{pmatrix} \mathbf{0} & M_D \\ M_D^T & M_R \end{pmatrix} \mathcal{U}^* = \begin{pmatrix} \widehat{M}_\nu & \mathbf{0} \\ \mathbf{0} & \widehat{M}_N \end{pmatrix}$$

$$\mathcal{U} = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & U_0 \end{pmatrix} \begin{pmatrix} A & R \\ S & B \end{pmatrix} \begin{pmatrix} V_0 & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{pmatrix}$$

The basis transformation:

Physical masses: $\widehat{M}_\nu \equiv \text{Diag}\{m_1, m_2, m_3\}$ and $\widehat{M}_N \equiv \text{Diag}\{M_1, M_2, M_3\}$

Charged-current interactions:

$$-\mathcal{L}_{\text{cc}} = \frac{g}{\sqrt{2}} \overline{(e \ \mu \ \tau)}_L \gamma^\mu \left[V \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}_L + R \begin{pmatrix} N_1 \\ N_2 \\ N_3 \end{pmatrix}_L \right] W_\mu^- + \text{h.c.}$$

Exact seesaw: $V \widehat{M}_\nu V^T + R \widehat{M}_N R^T = \mathbf{0}$

$$V V^\dagger + R R^\dagger = \mathbf{1}$$

Comment (1): neutrino masses and mixing parameters are entangled;

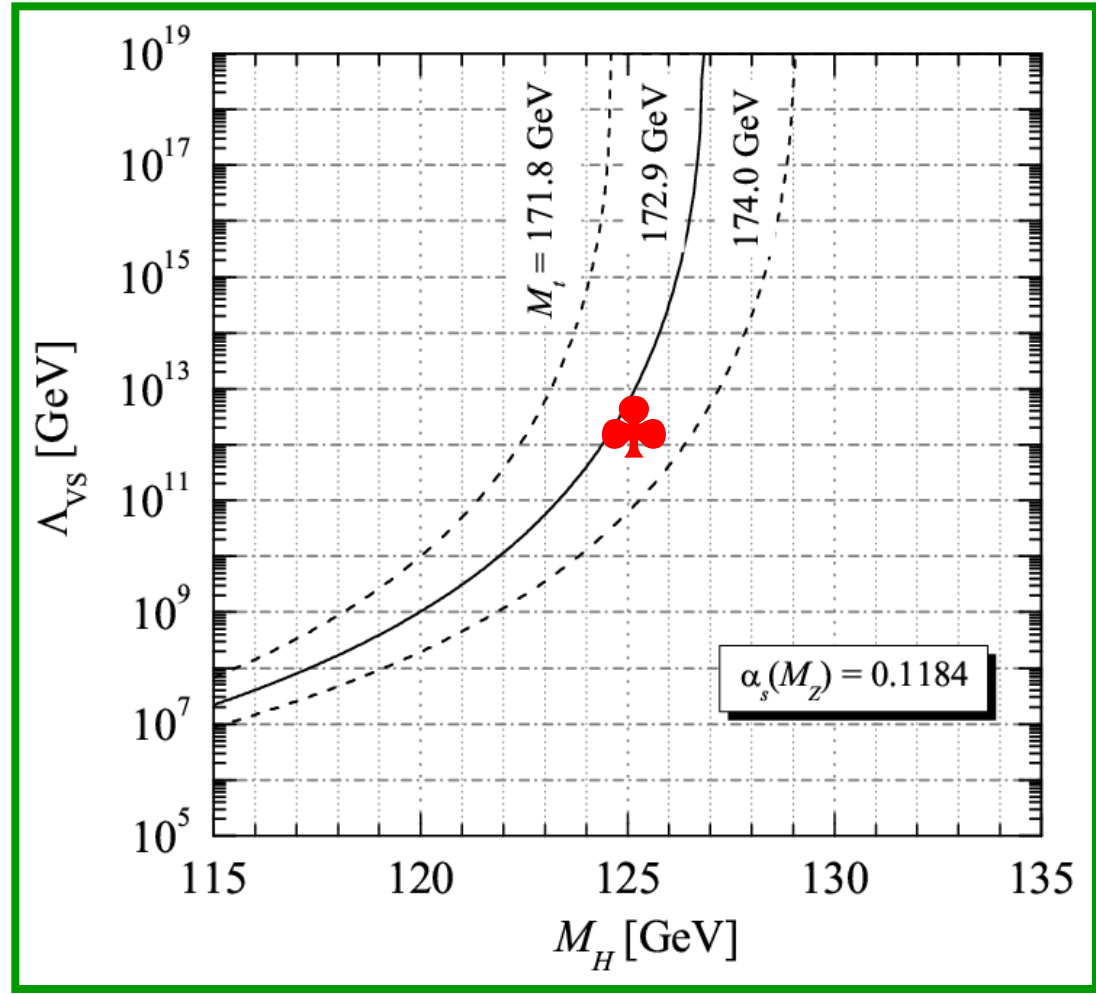
Comment (2): the crucial question is where the seesaw mass scale is;

Comment (3): lower the seesaw scale and magnify non-unitarity of \mathbf{V} .

TeV Scale?

Planck scale	$\Lambda \sim 10^{19} \text{ GeV}$
GUT scale?	$\Lambda \sim 10^{16} \text{ GeV}$
Seesaw scale?	$\Lambda \sim 10^{12} \text{ GeV}$
TeV / SUSY?	$\Lambda \sim 10^3 \text{ GeV}$
Fermi scale	$\Lambda \sim 10^2 \text{ GeV}$
QCD scale	$\Lambda \sim 10^2 \text{ MeV}$

The SM vacuum stability for a light Higgs



e.g., Holthausen et al.; Masina, Notari; Elias-Miro et al.; Xing, Zhang, Zhou **12**

Lift the GIM

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After a careful treatment of infinities + non-unitary effects, we obtain

$$\Gamma_{ij}^\mu(0) = \mu_{ij} (i \sigma^{\mu\nu} q_\nu) + \epsilon_{ij} (\sigma^{\mu\nu} q_\nu \gamma_5)$$

The same as Shrock's result (82) but contains non-unitary effects.

$$\mu_{ij} = \frac{ieG_F}{4\sqrt{2}\pi^2} (m_i + m_j) \sum_\alpha F_\alpha \text{Im} (V_{\alpha i} V_{\alpha j}^*)$$

$$\epsilon_{ij} = \frac{eG_F}{4\sqrt{2}\pi^2} (m_i - m_j) \sum_\alpha F_\alpha \text{Re} (V_{\alpha i} V_{\alpha j}^*)$$

Non-unitary effects:

$$V = AV_0 \simeq V_0 - TV_0$$

$$F_\alpha = \frac{3}{4} \left[\frac{2 - \xi_\alpha}{1 - \xi_\alpha} - \frac{2\xi_\alpha}{(1 - \xi_\alpha)^2} + \frac{2\xi_\alpha^2 \ln \xi_\alpha}{(1 - \xi_\alpha)^3} \right]$$

$$\xi_\alpha \equiv m_\alpha^2 / M_W^2 \quad (\text{for } \alpha = e, \mu, \tau)$$

Lift the GIM suppression:

$$\sum_\alpha F_\alpha (V_{\alpha i} V_{\alpha j}^*) \simeq -\frac{3}{2} \sum_\alpha [(V_0)_{\alpha i} (TV_0)_{\alpha j}^* + (TV_0)_{\alpha i} (V_0)_{\alpha j}^*] - \frac{3}{4} \sum_\alpha [\xi_\alpha (V_0)_{\alpha i} (V_0)_{\alpha j}^*]$$

new term / non-unitary effect

conventional term

The **1st term** is the seesaw-induced effect which may be comparable with or even larger than the **2nd term**.

$$\xi_\alpha \lesssim 4.9 \times 10^{-4}$$

Parameters

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Input parameters:

$$\Delta m_{12}^2 \approx +7.6 \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{23}^2 \approx \pm 2.5 \times 10^{-3} \text{ eV}^2$$

$$\theta_{12} \approx 34^\circ$$

$$\theta_{13} \approx 9^\circ$$

$$\theta_{23} \approx 45^\circ$$

$$V_0 = \begin{pmatrix} c_{12}c_{13} & \hat{s}_{12}^*c_{13} & \hat{s}_{13}^* \\ -\hat{s}_{12}c_{23} - c_{12}\hat{s}_{13}\hat{s}_{23}^* & c_{12}c_{23} - \hat{s}_{12}^*\hat{s}_{13}\hat{s}_{23}^* & c_{13}\hat{s}_{23}^* \\ \hat{s}_{12}\hat{s}_{23} - c_{12}\hat{s}_{13}c_{23} & -c_{12}\hat{s}_{23} - \hat{s}_{12}^*\hat{s}_{13}c_{23} & c_{13}c_{23} \end{pmatrix}$$

$$T = \begin{pmatrix} \frac{1}{2} \sum_{k=4}^6 s_{1k}^2 & 0 & 0 \\ \sum_{k=4}^6 \hat{s}_{1k}\hat{s}_{2k}^* & \frac{1}{2} \sum_{k=4}^6 s_{2k}^2 & 0 \\ \sum_{k=4}^6 \hat{s}_{1k}\hat{s}_{3k}^* & \sum_{k=4}^6 \hat{s}_{2k}\hat{s}_{3k}^* & \frac{1}{2} \sum_{k=4}^6 s_{3k}^2 \end{pmatrix}$$

In addition,

$$\min\{m_1, m_2, m_3\} = 5 \text{ meV}$$

$$M_1, M_2, M_3 \approx O(1) \text{ TeV}$$

Current constraints (Antusch et al. 06)

$$\begin{aligned} T_{11} &< 5.5 \times 10^{-3}, & |T_{21}| &< 7.0 \times 10^{-5} \\ T_{22} &< 5.0 \times 10^{-3}, & |T_{31}| &< 1.6 \times 10^{-2} \\ T_{33} &< 5.0 \times 10^{-3}, & |T_{32}| &< 1.0 \times 10^{-2} \end{aligned}$$

Arbitrary CP phases to satisfy:

$$V\widehat{M}_\nu V^T + R\widehat{M}_N R^T = 0$$

$$VV^\dagger + RR^\dagger = \mathbf{1}$$

Seesaw + unitarity

Conventional Case

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Comment (a): almost degenerate **3** heavy neutrinos to assure that the radiative correction to the masses of **3** light neutrinos are sufficiently small (Pilaftsis **1992**); e.g., smaller than **0.5 meV**.

Comment (b): to present numerical results in a convenient way, let us define a parameter to measure the strength of unitarity violation of **V**:

$$\epsilon_{\text{uv}} \equiv \left[\sum_{k=4}^6 \left(s_{1k}^2 + s_{2k}^2 + s_{3k}^2 \right) \right]^{1/2} \quad 0 \leq s_{ik} < 0.15 \quad (\text{for } i = 1, 2, 3 \text{ and } k = 4, 5, 6)$$

The conventional case (0 non-unitary effects)

$$\mu_{\text{eff}} \equiv \sqrt{|\mu_{ij}|^2 + |\epsilon_{ij}|^2}$$

$$\mu_{\text{eff}} \simeq \begin{cases} (0.8 \sim 3.0) \times 10^{-25} \mu_{\text{B}} & (\nu_2 \rightarrow \nu_1 + \gamma) \\ (0.8 \sim 1.5) \times 10^{-24} \mu_{\text{B}} & (\nu_3 \rightarrow \nu_1 + \gamma) \\ (1.1 \sim 2.1) \times 10^{-24} \mu_{\text{B}} & (\nu_3 \rightarrow \nu_2 + \gamma) \end{cases}$$

$$m_1 \simeq 5 \text{ meV}$$

EX bound: $\leq \text{a few} \times 10^{-11} \mu_{\text{B}}$

$$\mu_{\text{eff}} \simeq \begin{cases} (0.01 \sim 2.0) \times 10^{-24} \mu_{\text{B}} & (\nu_2 \rightarrow \nu_1 + \gamma) \\ (0.8 \sim 1.5) \times 10^{-24} \mu_{\text{B}} & (\nu_3 \rightarrow \nu_1 + \gamma) \\ (1.3 \sim 2.0) \times 10^{-24} \mu_{\text{B}} & (\nu_3 \rightarrow \nu_2 + \gamma) \end{cases}$$

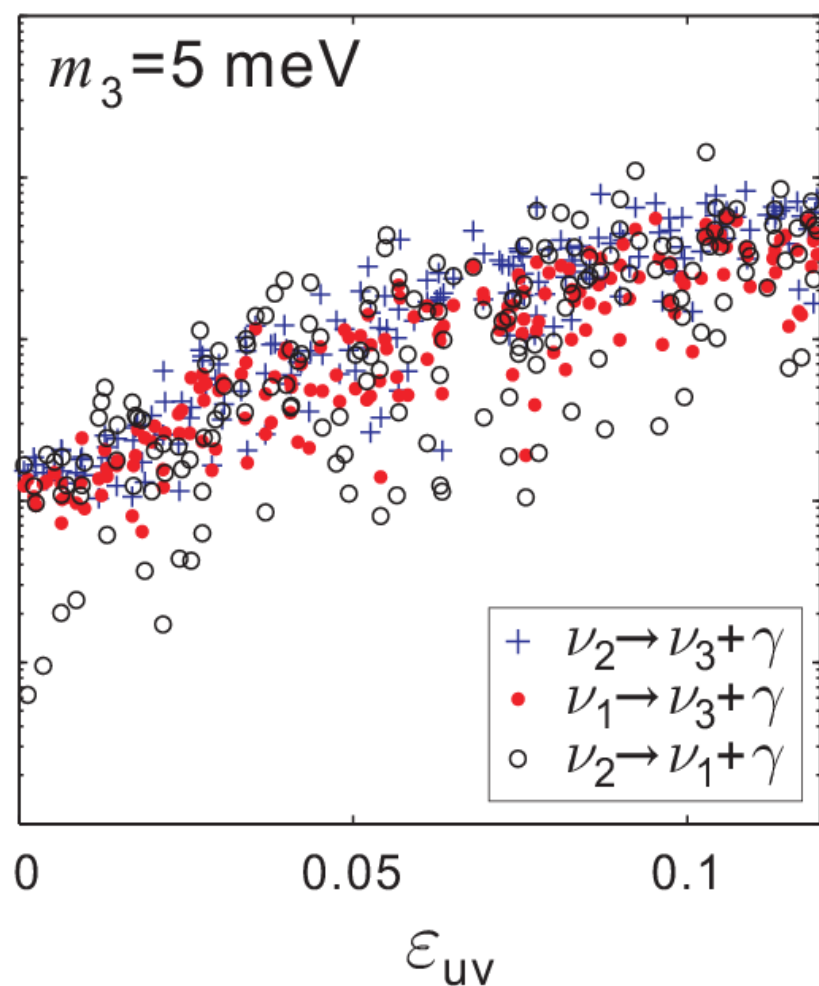
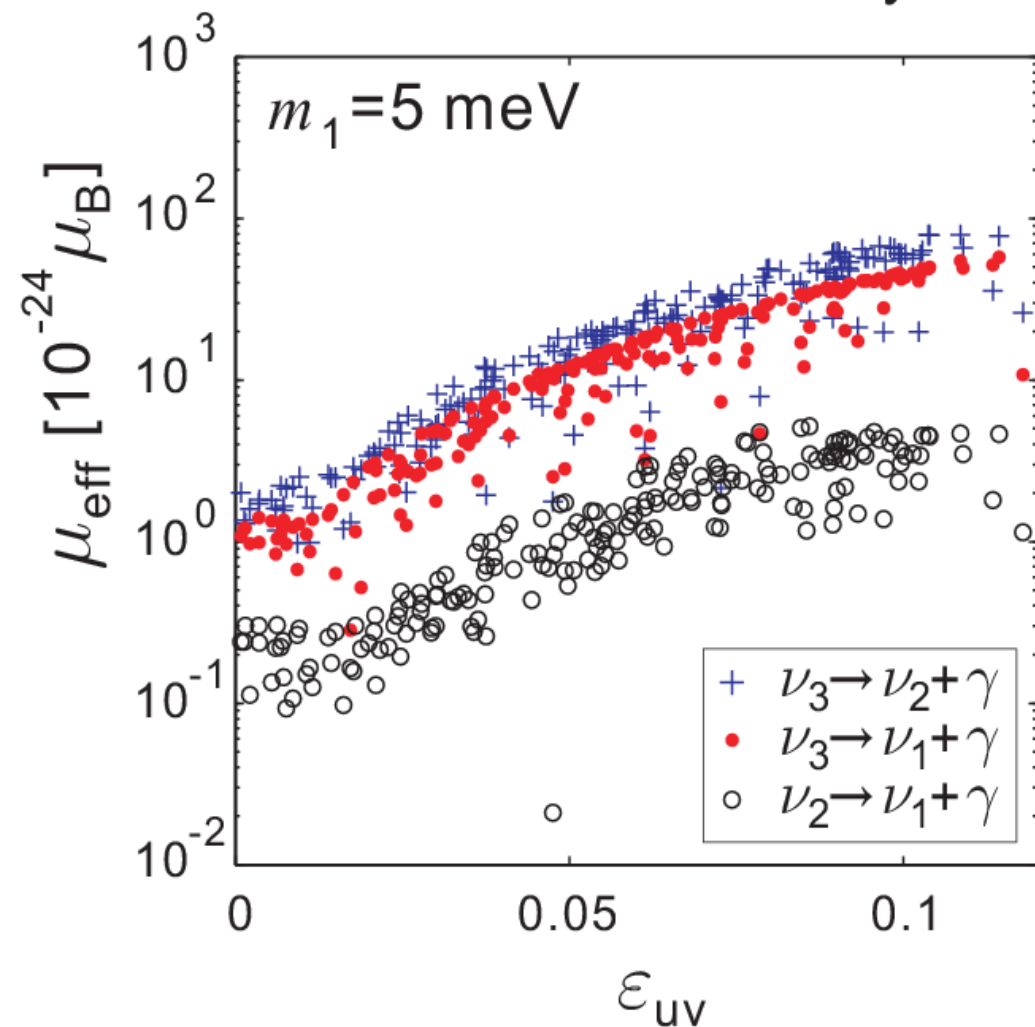
$$m_3 \simeq 5 \text{ meV}$$

Enhanced electromagnetic DMs:

$$\mu_{ij} = \frac{ieG_F}{4\sqrt{2}\pi^2} (m_i + m_j) \sum_{\alpha} F_{\alpha} \text{Im} (V_{\alpha i} V_{\alpha j}^*) , \quad \epsilon_{ij} = \frac{eG_F}{4\sqrt{2}\pi^2} (m_i - m_j) \sum_{\alpha} F_{\alpha} \text{Re} (V_{\alpha i} V_{\alpha j}^*)$$

Normal Hierarchy

Inverted Hierarchy

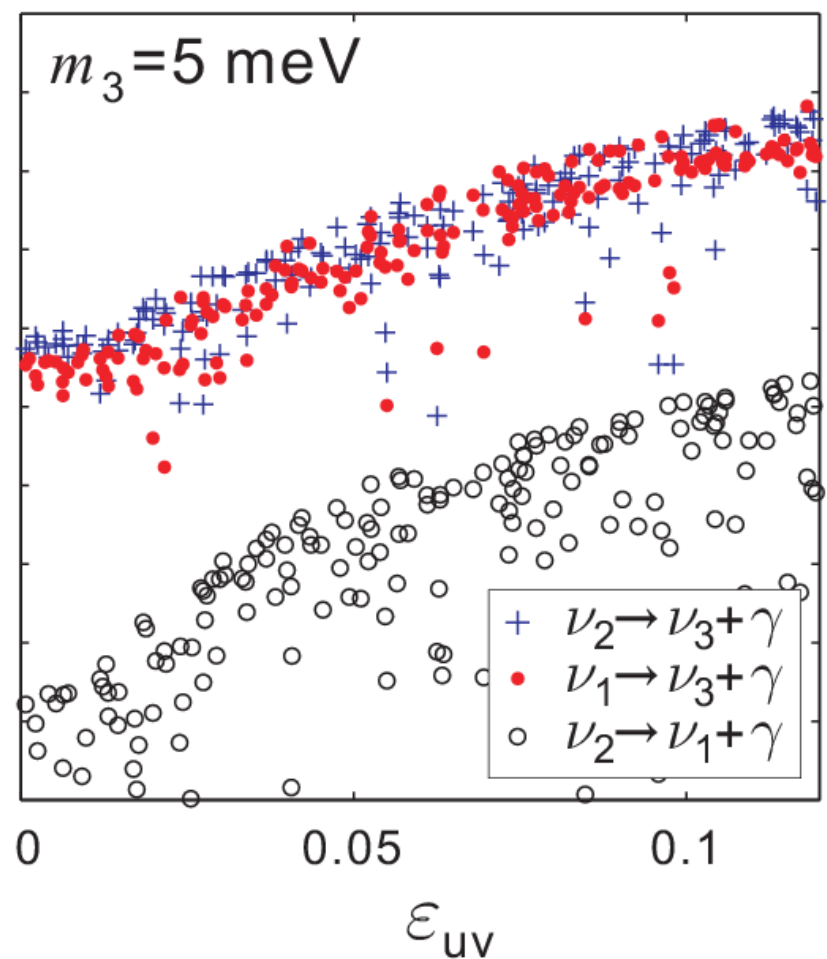
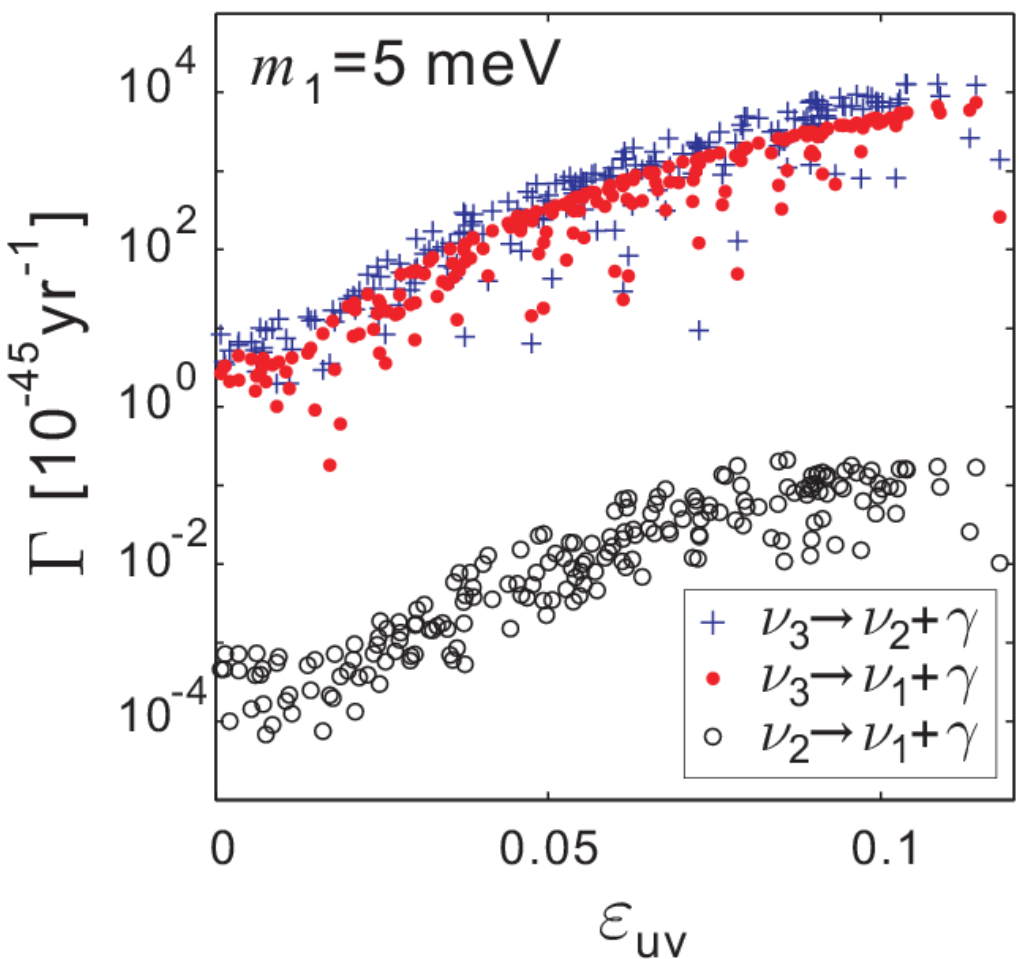


Enhanced decay rates: (too small to have impact on the **CIB**)

$$\Gamma_{\nu_i \rightarrow \nu_j + \gamma} = \frac{(m_i^2 - m_j^2)^3}{8\pi m_i^3} (|\mu_{ij}|^2 + |\epsilon_{ij}|^2) \simeq 5.3 \times \left(1 - \frac{m_j^2}{m_i^2}\right)^3 \left(\frac{m_i}{1 \text{ eV}}\right)^3 \left(\frac{\mu_{\text{eff}}}{\mu_B}\right)^2 \text{ s}^{-1}$$

Normal Hierarchy

Inverted Hierarchy



Summary: Open Questions

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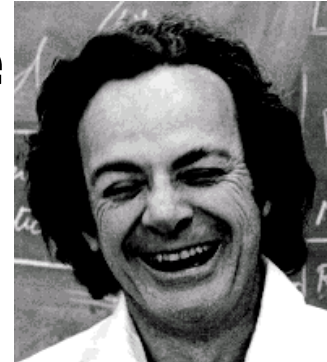
1) Do we feel **happy**/**painful**/**sorry** to add sterile neutrinos into the SM (Weinberg's theorem)?

2) **How many** species of sterile neutrinos should be taken into account for this or that purpose?

3) If all the current experimental and observational **hints disappear**, will the sterile neutrino physics still survive?

4) How strong is the **correlation** between electromagnetic properties of neutrinos and the origin of their masses?

5) How about the **flavor structures** of charged and neutral fermions, including the extra species? (**Flavor Theory**)



R. Feynman

THANK YOU FOR YOUR ATTENTION!