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Developing a Long-Term Nuclear Energy Strategy: the INPRO Methodology for Nuclear Energy System Assessment

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IAEA Nuclear Energy Policy Management School

Developing a Long-Term Nuclear Energy Strategy: the INPRO Methodology for Nuclear Energy System Assessment

IAEA/INPRO Group



Outline of presentation

- History of INPRO methodology
- Holistic nature of NESA using INPRO methodology
- Concept of sustainable development and NESA
- Using NESA to support development of a longterm nuclear energy strategy
- Practical approach to performing NESA
- Conclusion



- 2000: Launching of the International Project on Innovative Nuclear Reactors and Fuel Cycles (INPRO) based on IAEA General Conference resolution (GC(44)/RES/21)
- 2001 2006: Development of the Methodology as a tool for Nuclear Energy System Assessment (NESA)
- 2004 2008: Six national and one multinational NESA leading to several collaborative projects (CPs)
- 2010 2011 : NESA in Belarus
- 2011 : NESA in Ukraine, Indonesia



- Effort to develop INPRO methodology between 2001 to 2005:
 - Contribution by ~ 150 experts from ~ 30 countries:
 - ~ 10 person years
 - Contribution by ~ 50 IAEA staff from several IAEA departments:
 - ~ 30 person years (mainly CFE).
 - Total effort for development:
 - ~ 40 person years





INPRO Objectives:

- To help ensure that sustainable nuclear energy is available to contribute to the energy needs of the 21st century.
- To bring together technology suppliers and users to jointly consider national and international actions to achieve innovations in nuclear reactors, fuel cycles and related institutions.



6 key issues influence the acceptability and sustainability of nuclear power:

- 1. Cost
- 2. Nuclear waste
- 3. Proliferation
- 4. NM security and protection from sabotage
- 5. Impact on resources and the environment
- 6. Safety



Improved stakeholder/public communication and continuous technical improvements necessary for progress on these key issues





- Six assessment areas to address these six key issues in the INPRO methodology:
 - 1. Economics
 - 2. Waste management
 - 3. Proliferation resistance
 - 4. Physical protection
 - 5. Environment (impact of stressors, availability of resources)
 - 6. Safety of reactors and fuel cycle facilities
- One additional area called Infrastructure (legal frame work and institutional measures)



Architecture of INPRO requirements

Basic Principles

User Requirements

Criteria

Basic Principles:

goals for development of sustainable NES

User Requirements:

what should be done by designer, operator, industry and/or State to meet goal defined in Basic Principle

Criteria:

Assessor's tools to check whether a User Requirement has been met





Architecture of INPRO requirements





Holistic Nature of NESA

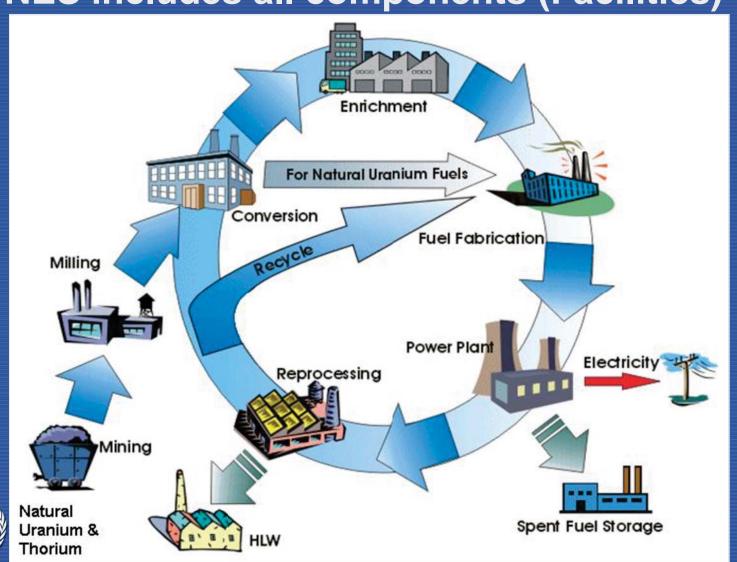
Nuclear Energy System Assessment (NESA) using the INPRO methodology:

- Covers innovative and evolutionary designs of all reactor types and Nuclear Fuel Cycle facilities
- Covers all components (or facilities) of a Nuclear Energy System (no matter where located)
- All phases of a Nuclear Energy System, i.e. cradle to grave



Holistic Nature of NESA

NES includes all components (Facilities)



Concept of Sustainable Development

Concept of Sustainable Development

Societal, economical, environmental, institutional aspects



Need for sustainable Energy Supply

History

1987: Brundtland report defines Sustainable
Development: "development that meets the needs
of the present without compromising the ability of
future generations to meet their own needs".

1992: Agenda 21, how to achieve development in the 21st century that is socially, environmentally, and economically sustainable.

1997: Kyoto protocol, reduction of GHG (limited use of NP).

1998: World Energy Assessment report deals with issues of sustainable energy supply.

2002: World summit on sustainable development (WSSD). Role of energy supply in fighting poverty.

2009: Copenhagen conference

2010: Cancun conference



Concept of sustainable development and energy system planning

Concept of Sustainable Development

Societal, economical, environmental, institutional aspects



Performance of energy system planning

On a national, regional, global level, covering all energy sources



Need for sustainable Energy Supply



Definition of the role of nuclear power in sustainable energy system

IAEA tools for energy system planning (PESS assistance, e.g. MESSAGE, MAED, etc.)

- Reference energy demand scenarios:
 - Expected population growth.
 - GDP per capita.
 - Electricity intensity per GDP.
- Evaluation of supply options:
 - Driving forces (e.g. cost of electricity).
 - Constraints (e.g. availability of domestic fuels).
- Role of nuclear power in energy supply mix.



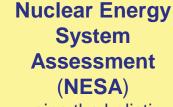
Concept of sustainable development, energy system planning and NESA

Concept of sustainable development

societal, economical, environmental, institutional aspects

Performance of energy system planning

On a national, regional, global level, covering all energy sources



using the holistic INPRO Methodology

(INPRO assistance)



Need for **Sustainable Energy Supply**



Definition of the **role of nuclear power** in sustainable
energy supply mix



Follow up actions to achieve sustainable nuclear energy system





NESA to Support Long-Term Strategy

- Long-term nuclear energy strategy is important. Characteristic timescale of drivers and implications are long-term:
 - Environmental and resource impacts (~100 yrs +)
 - Technology lifecycles (50 to 100 yrs)
 - Waste management (100 yrs to indefinite)
 - Integration of 'embarking countries' into the 'nuclear family' of nations (~10 yrs, often more)
 - Large investment volume, high financial risk (~\$5B/unit)
 - Development of competent authorities, institutions particularly institutional innovations in the future





- 1. Economics: Nuclear energy products must be competitive with alternative energy sources available in the country, and serve as a complementary part of the energy mix
- 2. Waste management: Nuclear waste must be managed so that human health and environment are protected and undue burdens on future generations are avoided





- Proliferation resistance: Future NES must remain unattractive for a nuclear weapon program through a combination of intrinsic features and extrinsic measures
- 4. Physical protection: Best practice defence in depth regime implemented for whole life cycle of NES





- 5. Environment: Impact of stressors from future NES must be within performance envelope of current NES or better.

 Resources must be available to run NES until end of 21st century or longer.
- 6. Safety: Contemplated NES facilities should have equal or better safety performance than recent, comparable installations.



- 7. Infrastructure: Assure adequate infrastructure and reduce effort to create and maintain it
 - Legal and institutional frame work
 - Industrial and economic infrastructure
 - Socio-political infrastructure (public acceptance, human resources)



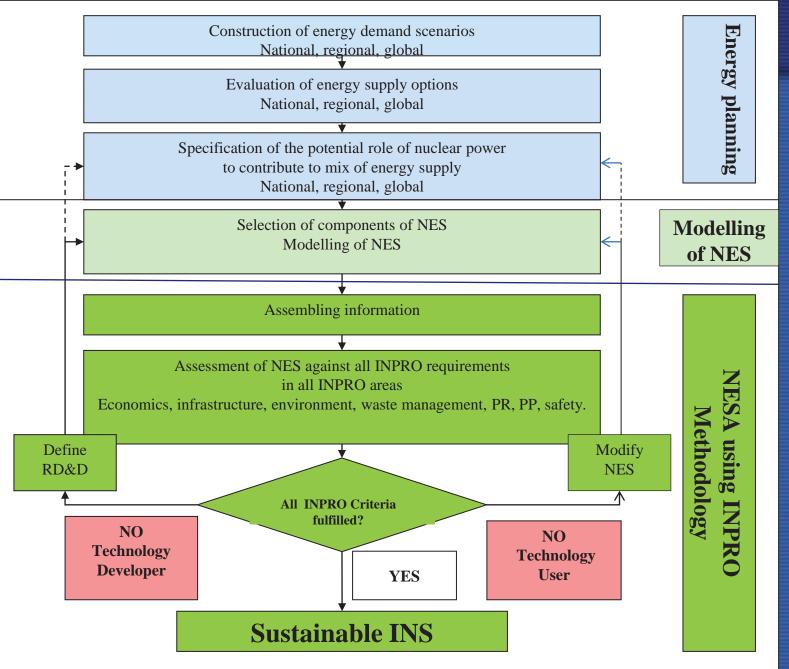


Characteristics of INPRO Requirements

- INPRO User Requirements are directed at:
 - Designer or developer of nuclear facilities
 - State (government institutions)
 - Operator of nuclear facilities
 - National industry (involved in nuclear power program)
- Input data needed for evaluation of INPRO User Requirements to be provided by responsible organization



Energy system inning, Modelling,





Potential Users of a NESA

Benefit for 3 potential users of INPRO Methodology in a NESA:

- Technology developers/suppliers:
 - → Assistance for planning/executing RD&D
- Technology users:
 - → Assistance for decision making when considering initial/additional deployment of NES components
- Prospective first time users:
 - → Assistance with becoming knowledgeable technology consumers/owners



Types of NESA

- Different levels of depth and scope in a NESA:
 - NESA as learning tool: Increase of awareness of long term nuclear issues (newcomer).
 - NESA with limited scope: Selected areas of INPRO methodology and/or selected components of NES (developer).
 - Full scope NESA: All areas of INPRO methodology, full depth of assessment, complete NES.
 Long term sustainability



Benefits of NESA

- Main benefits to developer from applying holistic INPRO methodology in a NESA:
 - · Identification of critical issues, i.e. gaps.
 - Ensure that development will close identified "gaps".
 - Balanced design, i.e. avoidance of undesirable consequences in one area caused by development in another area.
 - Assistance in selection of preferred option.
 - Increased assurance that proposed NES (component) will be deployed once developed.



Benefits of NESA

- Main benefits to experienced user from applying holistic INPRO methodology in a NESA:
 - Identification of issues ("gaps") at early stage of deployment of additional units.
 - Follow up actions to close "gaps" to move NES towards sustainability.
 - Identification of potential advantages of different NES options.



Benefits of NESA

- Main benefits to newcomer from applying a "graded approach" to holistic INPRO Methodology in a NESA:
 - Increase of awareness of all nuclear issues, i.e. educational tool.
 - Development of cadre of knowledgeable individuals.
 - Assistance in planning and decision making process.



Prerequisites:

- Energy system planning study performed (e.g., PESS' energy system planning assistance).
- NESA team established (e.g., TSOs, National Academies, etc.)
- Scope and purpose of NESA defined
- Nuclear Energy System (NES) specified



- Step 1: Familiarization with the INPRO Methodology:
 - Study of INPRO documentation and relevant references.
 - Training by IAEA/INPRO experts.
- Step 2: Identification of sources of input needed for a NESA:
 - Designer and/or operator of facilities of NES
 - National industry involved in nuclear power program
 - Government agencies
 - IAEA organizations and data bases
 - INPRO NESA support package: Input tables (Waste Management, Economics, Infrastructure, on CD-ROM)





- Step 3: Performance of assessment with the goal to identify "gaps", i.e. issues that need follow up actions:
 - Work in different areas of the INPRO methodology can be performed in parallel
 - Keep continuous contact within the NESA team
 - Maintain contact to IAEA/INPRO group to answer questions



- Step 4: Documentation of assessment results:
 - Objective and scope of NESA
 - Reference energy plan and role of NP
 - NES selected for assessment
 - Sources of information *
 - Result of the assessment, i.e. judgment on potential of NES to fulfil the criteria and rationale for judgement *
 - Summary and conclusion of the assessment *
 - Follow up actions *
 - Feedback on INPRO methodology *
- * in each area of INPRO methodology





- Step 5 (recommendation): Peer review of the NESA by the IAEA/INPRO secretariat
 - Use of internal and (if needed) external experts



Main Output of NESA

- Confirmation of sustainability of NES, or identification of gaps*
- Definition of follow up actions to close gaps*
- Note: Even if gaps are found, NES may be a good interim solution, if path to sustainable system has been defined

* "Gap" = INPRO Methodology Criterion not met





Organization of NESA

- Options for organization of national NESA performed by Technology User (TU):
 - OPTION 1 : TU performs NESA "alone"
 - OPTION 2: TU performs NESA with support by technology holder (i.e. supply of input data)
 - OPTION 3: TU performs NESA in close cooperation with technology holder (e.g., at the offices of technology holder)



NESA by Technology User – OPTION-1

NESA team of Technology User

(Experts from responsible national organizations)

Input for **country related**user requirements

Input for <u>design related</u> user requirements

Performance of NESA

Documentation

IAEA/INPRO
NESA support team

Training in INPRO methodology

Coordination of project

Review of NESA results

Publication of NESA



NESA by Technology User – OPTION-2

NESA team of Technology User

(Experts from responsible national organizations)

IAEA/INPRO
NESA support team

Training in INPRO methodology

Support team of Technology Holder

Designer/supplier of reactor and NFCF)

Collection of **country related**

user requirements

Coordination of project

Collection of Input for design related user requirements

Performance of NESA

Documentation

Review of NESA results



INPRO International Project on Innovative Nuclear Reactor and Fuel Cycles **Publication of NESA**

NESA by Technology User – OPTION-3

NESA team of **Technology User**

(Experts from responsible national organizations)

Collection of **Input** for country related User Requirements (UR)

Performance of NESA for country related UR in home office

Documentation

IAEA/INPRO **NESA** support team

Training in INPRO methodology

Coordination of project

Review of NESA results

Publication of NESA

Support team of **Technology Holder**

(Designer/supplier of reactor and NFCF)

Access to **Input** for design related User Requirements (UR)

Performance of NESA for design related UR e.g., in office of technology holder

Experience with NESA

- 6 national assessments
 - Argentina, Brazil, India, Republic of Korea as technology developer.
 - Armenia, and Ukraine as technology user.
- Results documented in IAEA report TECDOC-1636

IAEA-TECDOC-1636

Lessons Learned from Nuclear Energy System Assessments (NESA) Using the INPRO Methodology. A Report of the International Project on Innovative Nuclear Reactors and Fuel Cycles (INPRO)







Experience with NESA

- 1 multinational assessment ("Joint Study"):
 - Canada, China, France, India, Japan, Republic of Korea, Russian Federation, and Ukraine.
 - Development of NES of sodium cooled Fast Reactor with Closed NFC.
- Results documented in IAEA report TECDOC-1639

IAEA-TECDOC-1639

Assessment of Nuclear Energy Systems Based on a Closed Nuclear Fuel Cycle with Fast Reactors

> A report of the International Project on Innovative Nuclear Reactors and Fuel Cycles (INPRO)







Experience with NESA

- NESA in Belarus
 - Full scope assessment of all INPRO methodology areas
 - Simplified NES consisting of power plant and waste management facilities
 - To be published as IAEA TECDOC in 2011
- NESA on-going in Ukraine
 - Limited scope: economics, infrastructure, WM
 - To be finished in 2012
- NESA Indonesia started late 2011





Effort to Perform a NESA

- Optimistic estimation of effort to produce full scope NESA of single NES (no options)
- NESA team: One expert per INPRO Methodology area (eight areas)
- Effort of one expert in NESA team:
 - Familiarization with one area : ~ 2 weeks
 - Collection of input data per area: ~ 10 weeks
 - Performance of assessment per area: ~ 4 weeks
- Total effort for 8 areas ≈ 130 person weeks
 ≈ 1 ½ person years
- Duration of NESA: ≤ 1 year



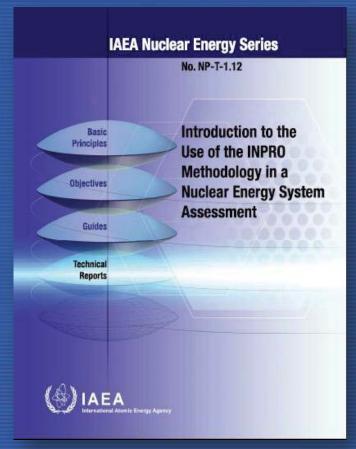


- NESA Support Package:
 - Based on feedback from assessors (IAEA-TECDOC-1636).
 - Training on the INPRO Methodology.
 - Continuous access to IAEA and SM expertise via INPRO group.
 - Examples of input data for INPRO assessment Economics, Infrastructure and Waste management
 - NEST tool for economic analysis (on CD-ROM).
 - List of design data to be provided by designer (on CD-ROM).



Documents to be used in NESA: IAEA NE series report NP-T-1.12

- Title: Introduction to the use of the INPRO methodology in a NESA.
- User's Guide how to perform a NESA.
- To be used as introduction to and overview of TECDOC-1575 Rev.1.





Some documents to be used in NESA: IAEA-TECDOC-1575 Rev.1:

- Title: Guidance for the application of an assessment methodology for innovative NES.
- INPRO Manual –
 Overview of the
 Methodology.
- Detailed description of INPRO Methodology.
- 9 Volumes.

IAEA-TECDOC-1575 Rev. 1

Guidance for the Application of an Assessment Methodology for Innovative Nuclear Energy Systems

> INPRO Manual — Overview of the Methodology

Volume 1 of 9 of the Final Report of Phase 1 of the International Project on Innovative Nuclear Reactors and Fuel Cycles (INPRO) including a CD-ROM comprising all volumes







NESA Support package: INPRO area of INFRASTRUCTURE

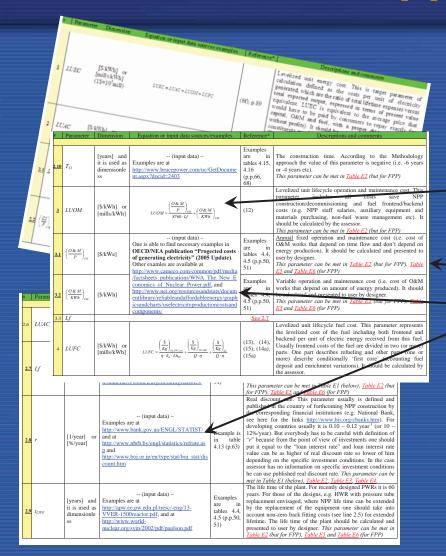


Introduction

The following Table II list the necessary input for an INPRO assessment of a nuclear energy system (NES) and examples ¹ of such input data. The examples in Table II, i.e. the links to websites, primarily define the format of the information and not so much the content and may be used primarily by any country as examples of information. The examples demonstrate the fact of existence and availability of needed input data in other countries. Non existence of such input data in the assessed country leads to a negative judgement on the potential of the infrastructure of the

#	g	IN and AL or EP with AC	Ref	Input	Examples of input data sources	Link to Table I2
1	legal aspects	nuclear materials, safeguards of nuclear	p.19 p.20	Text of national nuclear law OR result of independent assessment of nuclear law by expert organization such as IAEA	To assess EP1.1.1 and EP1.1.2 full text of nuclear legislation is usually available from the official site of regulatory body or government. The national nuclear law can be compared to the following examples of full scope and adequate nuclear law: http://www.nrc.gov/about-nrc/governing-laws.html (US), http://www.nrclearsafety.gc.ca/eng/lawsregs/index.cfm (Canada), http://www.stuk.fi/julkaisut_maaraykset/en_GB/lainsaadanto/ (Finland). Many national nuclear laws of most of the countries are available from the site of Nuclear Energy Agency (issue date later 2000) http://www.nea.fr/html/law/nlb/index.html or (issue date in the range 1968-2000)	<u>so to tab</u> <u>[2</u>
2	CRI.11s	EP1.1.2: Adequacy of nuclear law. AC1.1.2: Evidence is available to the INPRO assessor that the 6 questions on page 20 of Volume 3 of TECDOC-1575 have been answered satisfactorily, i.e. an affirmative answer (YES) for questions 1, 3 (first part), 4, and 6; and, a negative answer (NO) to the questions 2, 3 (second part) and 5	p.20		assessment of nuclear law by expert organization such as IAEA	http://www.nea.fr/html/law/nlb/nlb-1968-2000.html. Demonstration of the overriding considerations usually can be found among main principles of the laws, e.g. (in the case of Ukraine) at page 6 of http://www.nea.fr/html/law/nlb/NLB-56-SUP.pdf. As well, institutional responsibilities are at p.12 to 15 (the same reference), most important terms and definitions are at.p.3,4, issues of waste management – p.31, physical protection – p.33, safeguards – p.36, export & Stimport – p.43, etc. Alternatively EP1.1.1 and EP1.1.2 can be assessed using results of an independent assessment of the national nuclear law (see also EP1.2.3).

- Area of Infrastructure & Waste Management
- Sources and Examples of Input data for assessment.
- Available on CD-ROM and in eNESA



Area of **Economics**:

- Algorithmic table with detailed list of equations, parameters, remarks and links to examples of input data necessary to perform all economics calculations.
- Table and examples on CD-ROM.
- and ...



53.4546

0.1836

0.25073

0.19474

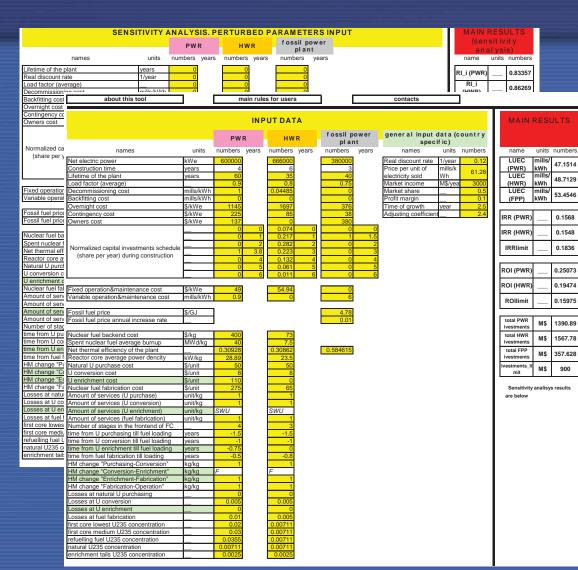
0.15975

M\$ 1390.89

M\$ 1567.78

M\$ 357.628

M\$



Excel based tool called:

"NESA **Economics** Support Tool" (NEST)

- All calculations to produce input for economics assessment
- **NEST on CD-ROM.**

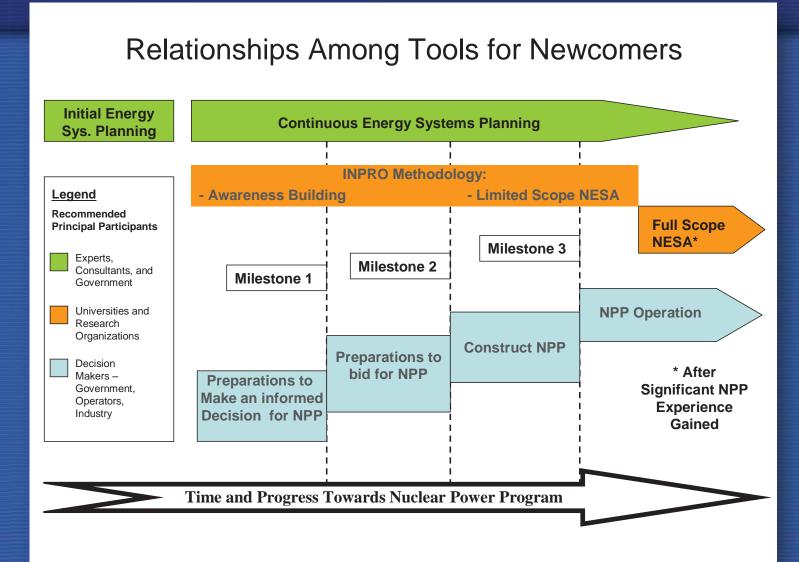
INPRO requirements and role of designer in a NESA performed by a technology user

INPRO	Basic	User	Role of technology holder in NESA performed by	
Area	principle BP	Requirement UR	technology user	
	BP1: Generation of radioactive waste in an INS shall be kept to the minimum practicable	UR1.1: Reduction of waste at the source: The INS should be designed to minimize the generation of waste at all stages, with emphasis on waste containing long-lived toxic components that would be mobile in a repository environment	Provide information (presentation and report) on all wastes produced by all nuclear facilities considered in NESA, i.e. a list of alpha emitters and long lived radioactive nuclides in the waste, and characteristic values of the waste such as activity, mass, and volume (per GWa).	WM1
			Provide information (presentation and report) on all chemically toxic elements as part of radioactive waste (per GWa) of facilities considered in NESA.	WM2
management			Provide information (presentation and report) for each facility considered in NESA describing the strategy to minimize waste, evidence of its implementation, and the results of an independent peer review of this waste minimization study of such facilities.	WM3
Waste mana	BP2: Protection of human health and the environment: Radioactive waste in an INS shall be managed in such a way as to secure an acceptable level of protection for human health and the environment, regardless of the time or place at which impacts may occur	UR2.1: Protection of human health: Exposure of humans to radiation and chemicals from INS waste management systems should be below currently accepted levels and protection of human health from exposure to radiation and chemically toxic substances should be optimized	For all waste management facilities considered in NESA provide information (presentation and report) that contains: - for a reference site estimated dose rate to an individual of the critical group (public dose); - radiological exposure of workers (occupational dose); and - estimated concentrations of chemical toxins in working areas of such facilities.	WM4

• List of input to be provided by designer (technology holder): available on CD-ROM.



IAEA Tools for embarking countries





Getting Started

Recommendations for a newcomer:

- Assume a simple NES reactor(s), necessary waste management facilities and purchase of fuel (start simple!).
- Use the NESA to get familiar with all nuclear issues, i.e. perform assessment at User Requirement level.
- Later in the project, assess areas in detail where country is responsible: economics, waste management, and infrastructure.
- Expand the assessment to include all INPRO areas.
- Expand the assessment to include additional facilities or facilities located in other countries that provide services.





Conclusions

Application of INPRO Methodology by all potential users

Awareness Building	Limited Scope NESA	Full Scope NESA
Training Tool:	Focussed Assessment:	Holistic Assessment:
 Familiarization with key issues of long term sustainability. Human Resources development. 	 Developer: Determination of R&D needs. User: Selection of options, educated consumer. Newcomers: Bid related issues. 	 Confirmation of sustainability. Identification of actions to achieve long-term sustainability.

Progress Towards Sustainable Nuclear Power Program





Conclusions

- NESA applying the INPRO methodology can be used to:
 - Confirm sustainability of nuclear energy systems (NES) at least until the end of the 21st century
 - Identify actions to be taken to achieve sustainable NES
 - Support long-term strategic planning of NES





Thank you for your attention!



INPRO International Project on Innovative Nuclear Reactor and Fuel Cycles