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#### Joint ICTP-IAEA School of Nuclear Energy Management

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#### THE ROLE OF THE REGULATOR

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### IAEA

Developing a Nuclear Power Program

# THE ROLE OF THE REGULATOR

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- 1 The Regulatory Framework
- 2 Ready to make a knowledgeable commitment
- Ready to invite bids for NPP
- 4 Ready to commission and operate first NPP

#### Need

- Crucial to the long term success of a national nuclear power program is the existence of an independent and competent nuclear regulatory body.
- The confidence of the public and the international community depends on an effective regulatory body.
  - The essential need for a competent and effective regulatory body should be understood and given high priority by the NEPIO in close consultation with the existing regulatory body for the control of radiation sources.

#### Competence

The development of competent human and physical resources for the expanded, or new, regulatory body is as important as it is for the owner/operator organization.

The technical training, knowledge and capabilities of the regulator should be adequate for competent interaction with the owner/operator, supplier organizations and consultants.

### Independence

Experience has shown that safety and credibility are best served by a complete separation of the regulatory body from the promotional and implementing organizations and the political process. While not all governments have begun their nuclear programs with this provision, virtually all are adopting this approach.

#### Infrastructure

• Member States embarking on a nuclear power program should consider the efficiencies of building on the national infrastructure already in place for radiation, waste and transport safety.

Expanding the existing regulatory body to take on the role as regulator for a nuclear power plant would seem to offer significant advantages in terms of utilizing resources (facilities and human).

#### **INSAG-22**

The safety-

Phase 1

Safety
infrastructure
before deciding
to launch a
nuclear power
programme

1~3 years

Negative decision

related elements of the rationale for the negative decision have to be clearly identified and stated.

Positive decision

Phase 2

Safety infrastructure before bidding process

*3~7 years* 

Phase 3

Safety infrastructure before commissioning and operation

7~10 years

Phase 4

Safety
infrastructure
during
operation of the
NPP

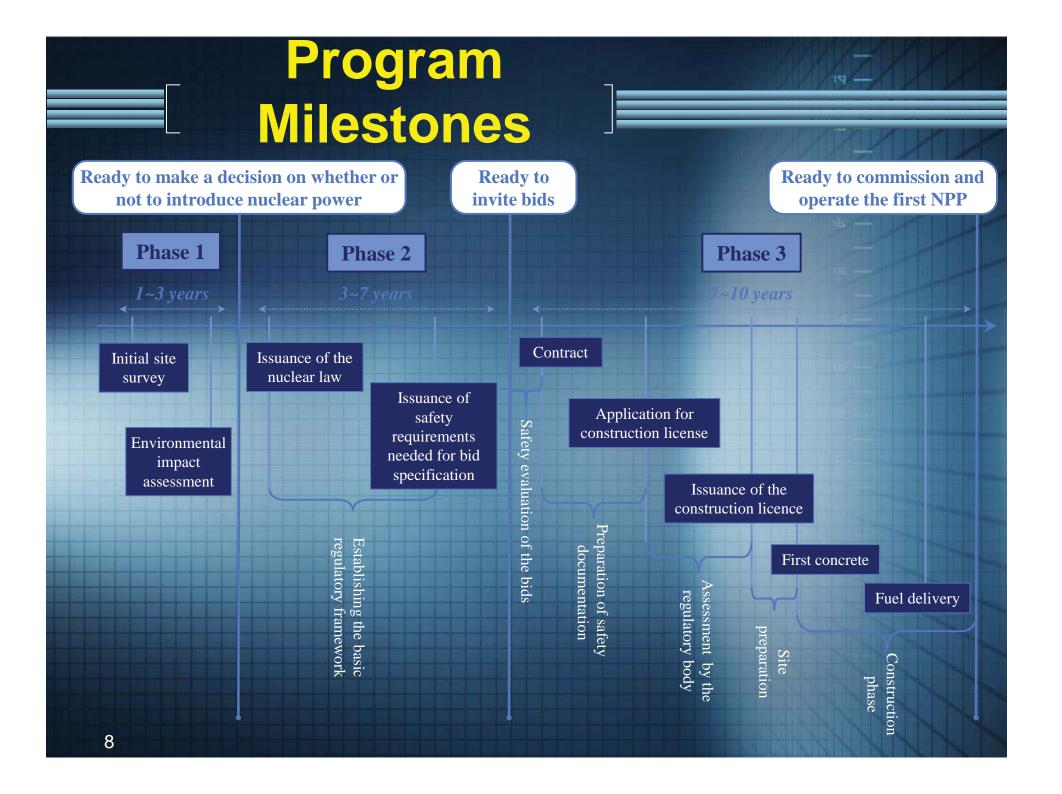
40~60 years

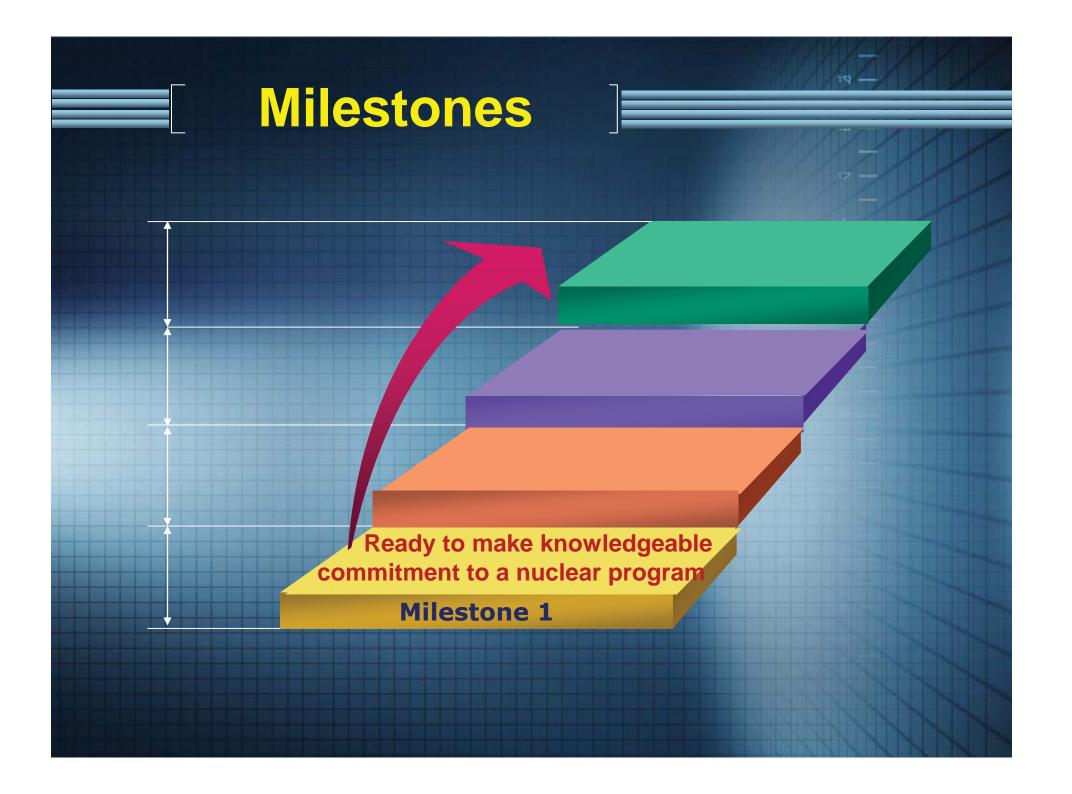
Phase 5

Safety
infrastructure
during
decommissioning and waste
management
phases of a NPP

20~100+ years

Safety Infrastructure Guide (DS424) constitutes a "Road-map" to apply the entire set of IAEA safety principles and requirements progressively during Phases 1, 2 and 3 of the implementation of a nuclear power programme.





# Milestone 1 focus

- Designation of an effectively independent regulatory body, with clear authority and adequate human and financial resources;
- Assignment of core regulatory functions for the development of regulations, for licensing, review and assessment, inspection, enforcement and public information;
- Authority to obtain technical support as needed;
  - Clear definition of the relationship of the regulatory body to other organizations;
  - Establishment of the rights and responsibilities of licensees;
    - Authority to implement international obligations, including IAEA safeguards;
- Authority to engage in international cooperation;
- Provisions to protect proprietary, confidential and security information;
- Provisions for stakeholder and public information and interactions;
- Compatibility with the existing regulatory framework for radiation, waste nd transport safety.



# Milestone 2 focus

- Overall organization, staffing and training of licensee
- Safeguards;
- Security;
- Nuclear and radioactive materials transportation, handling and storage;

Radiation protection;

Formal licensing process;

Regulations, codes and standards for siting, design, construction, and operation necessary for licensing a nuclear power plant, including the management system;

- Emergency preparedness requirements (site, off-site, national);
- Establishment of international relationships,
- Waste management, including disposal considerations.

## Milestone 2 focus contd.

### Appropriate regulations, codes and standards have been developed or amended and issued for:

- The import/export, transshipment, transportation, storage and handling of nuclear and radioactive material;
  - Radiation protection;
  - Site environmental assessment and licensing;
  - Nuclear plant siting, design, construction and commissioning;
- Security and safeguards;
- Waste management;
- Emergency planning.

### Milestone 2 focus contd.

#### Competent staff is in place to:

- Perform licensing of sites;
- Review, assess and license nuclear plant designs;
  - Develop programs for the inspection and oversight of nuclear
  - construction;
- Develop requirements for operator training and certification;
- Prepare for operational inspection and oversight



# Milestone 3 focus

- All regulations, codes and standards for nuclear plant construction are in place and staffing is sufficient for the efficient and effective review and licensing of a nuclear facility.
  - Regulatory requirements for plant operator training and certification have been developed.
- The regulatory body has confirmed that the licensee has demonstrated compliance with the relevant regulatory requirements.

#### Involvement

Ready to make a decision on whether or not to introduce nuclear power

Ready to invite bids

Ready to commission and operate the first NPP

Phase 1

Phase 2

Phase 3

1~3 years

3~7 year:

10 years

**Involvement of the Government** 

Involvement of the Regulatory Body in nuclear power activities

establishment

**Involvement of the Operating Organization** 

establishment

