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Achievements and Mysteries**

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**Thermal Conductance of Uniform Quantum Wires**

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# Thermal conductance of uniform quantum wires

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*Trieste, October 18, 2012*

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# Outline

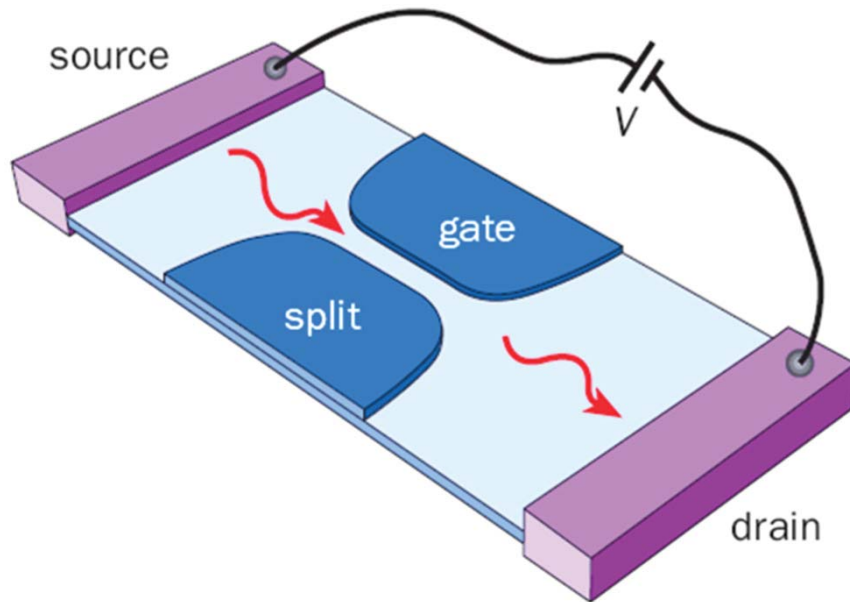
**Motivation:** Experiments with quantum wires, quantized conductance

**Theory:** Thermal conductance of quantum wires

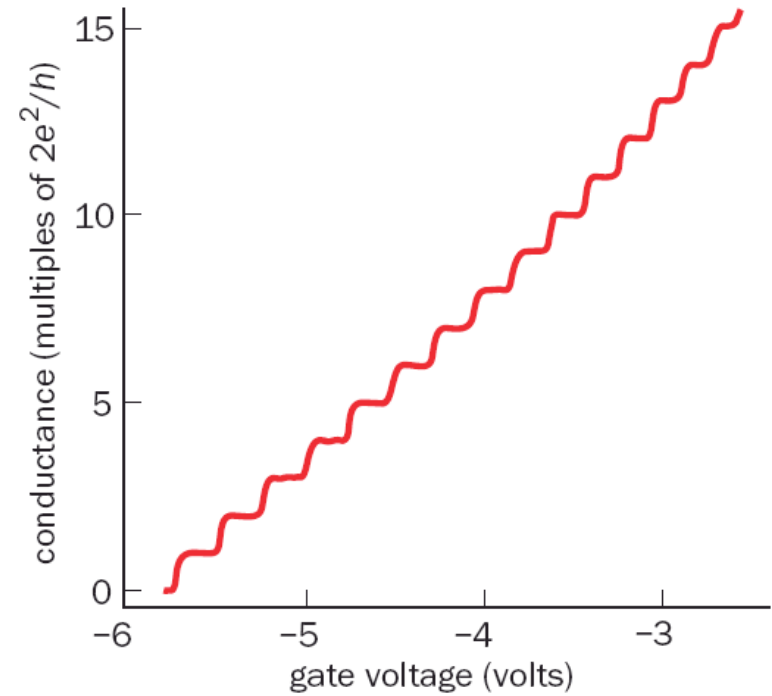
- Non-interacting wires, Wiedemann-Franz law;
- Classification of electron-electron scattering processes;
- Small corrections to thermal conductance in **short** wires;
- Strong suppression of thermal conductance in **long** wires.



# Quantum wires



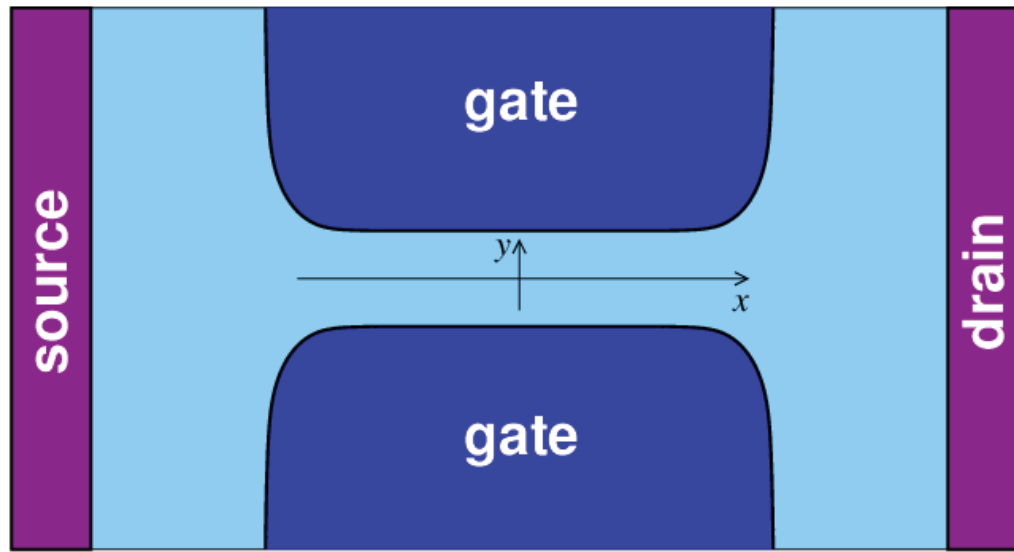
From Berggren & Pepper, 2002



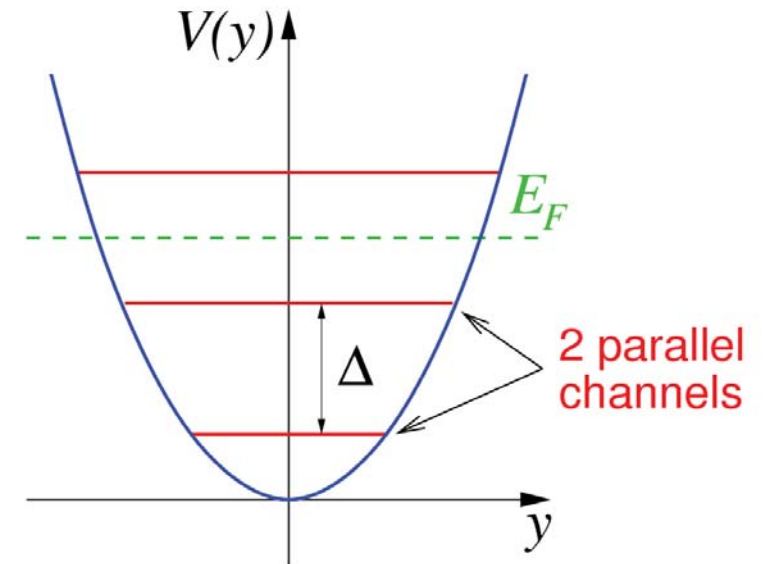
As a function of gate voltage conductance shows multiple steps of height  $G_0 = \frac{2e^2}{h}$



# Why steps?

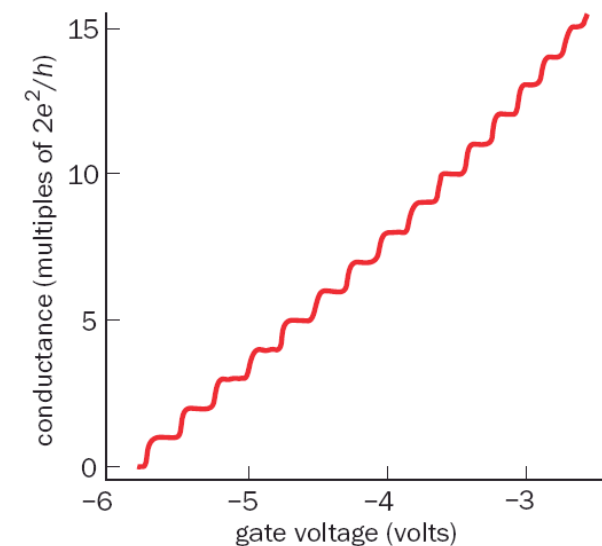


Top view

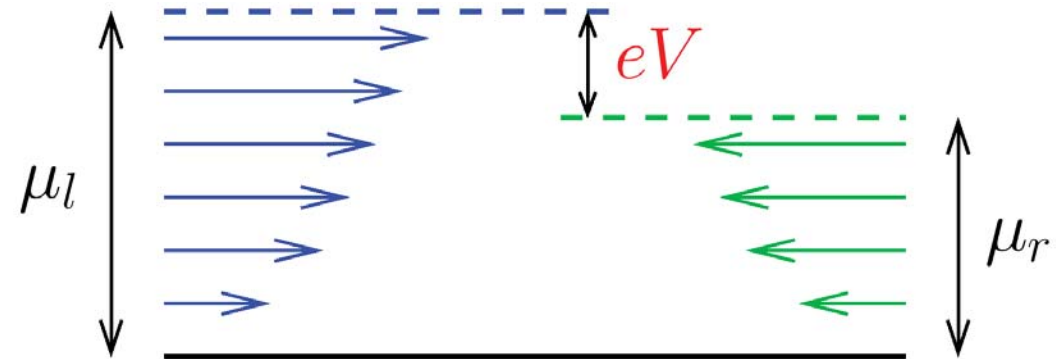
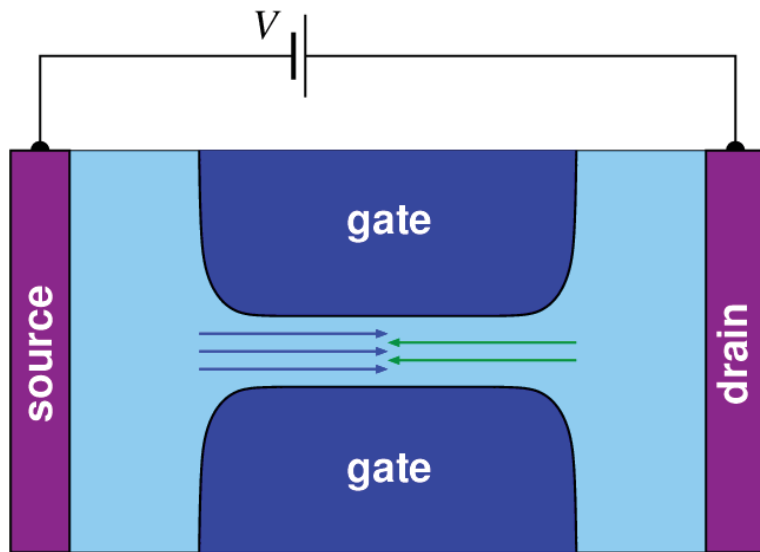


Electron motion across the channel

- Gate voltage changes the number of parallel channels in the wire
- Each channel has conductance  $G_0 = 2e^2/h$



# Conductance of a single channel



Current:

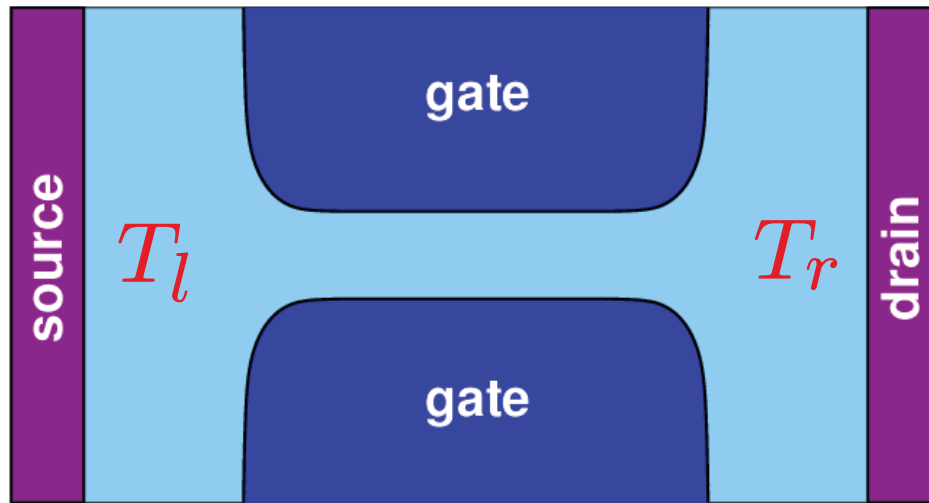
$$\begin{aligned}
 I &= 2e \int_0^\infty \frac{dp}{h} v_p [n_F(\epsilon_p - \mu_l) - n_F(\epsilon_p - \mu_r)] \\
 &= \frac{2e}{h} (\mu_l - \mu_r) \int_0^\infty d\epsilon \left( -\frac{\partial n_F}{\partial \epsilon} \right) \\
 &= \frac{2e^2}{h} V n_F(0)
 \end{aligned}$$

Conductance:

$$\begin{aligned}
 G &= \frac{2e^2}{h} \frac{1}{1 + e^{-\mu/T}} \\
 G &= \frac{2e^2}{h} \text{ at } \mu \gg T
 \end{aligned}$$



# Thermal conductance



$$T_l - T_r = \Delta T$$

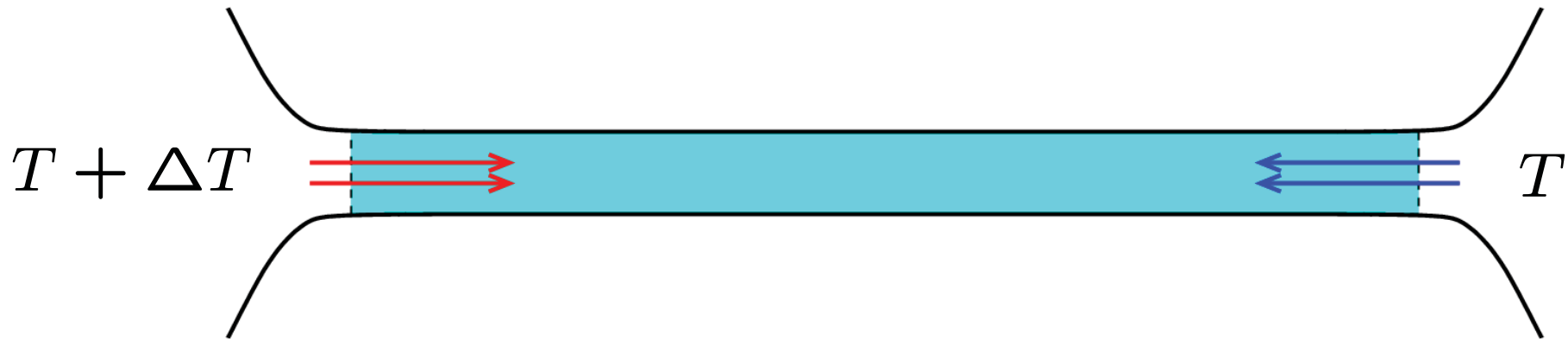
Heat current: 
$$j = 2 \int_0^\infty \frac{dp}{h} v_p (\epsilon_p - \mu) \left[ n_F \left( \frac{\epsilon_p - \mu}{T_l} \right) - n_F \left( \frac{\epsilon_p - \mu}{T_r} \right) \right]$$
$$= \frac{2\Delta T}{h} \int_{-\infty}^\infty d\xi \xi \left( -\frac{\partial n_F}{\partial T} \right) = \frac{2\pi^2 T}{3h} \Delta T$$

Thermal conductance: 
$$K = \frac{2\pi^2 T}{3h} \quad \text{cf. } G = \frac{2e^2}{h}$$

Wiedemann-Franz law: 
$$K = \frac{\pi^2 T}{3e^2} G$$



# The problem



Uniform quantum wire:

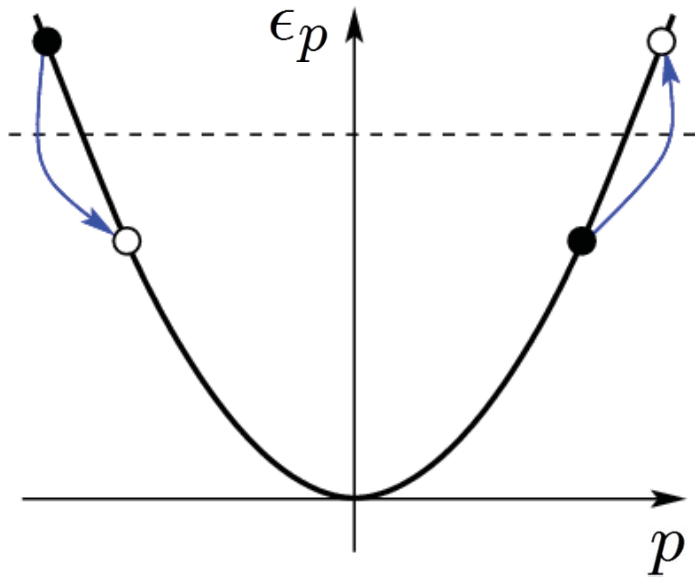
- No disorder
- Interactions inside the wire
- No interactions in the leads

What is the thermal conductance?





# Scattering of electrons in one dimension

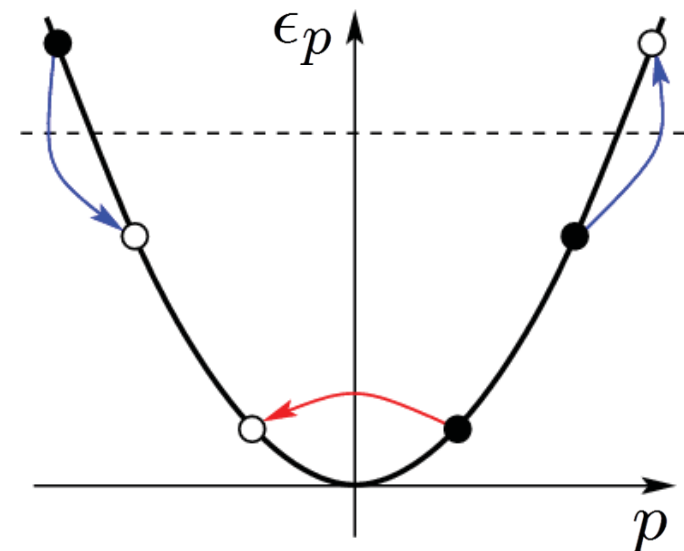


Non-trivial two particle collisions are forbidden by the conservation laws

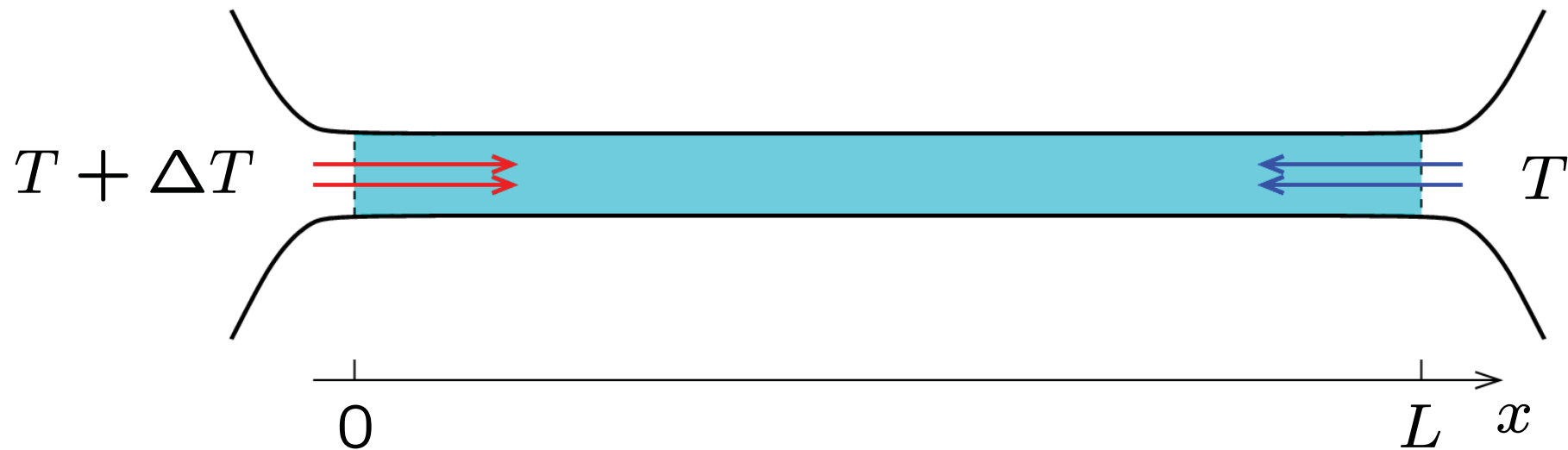
$$\Delta E = 0, \quad \Delta P > 0$$

Three particle collisions conserve both energy and momentum

$$\Delta E = 0, \quad \Delta P = 0$$



# The Boltzmann equation



$$\frac{p}{m} \partial_x f(x, p) = I[f(p, x)]$$

$$f(0, p > 0) = \frac{1}{e^{(\epsilon_p - \mu)/(T + \Delta T)} + 1}$$

$$f(L, p < 0) = \frac{1}{e^{(\epsilon_p - \mu)/T} + 1}$$

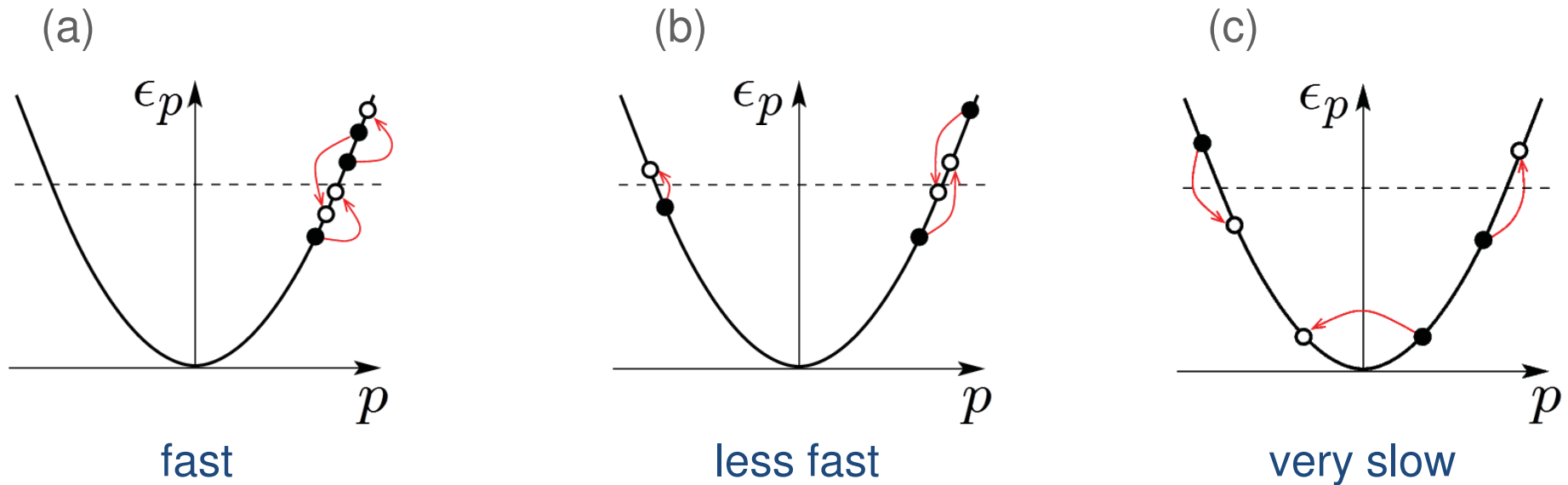
## Collision integral

$$I[f_1] = - \sum_{\substack{p_2, p_3 \\ p_1', p_2', p_3'}} W_{123}^{1'2'3'} [f_1 f_2 f_3 (1 - f_1') (1 - f_2') (1 - f_3') - f_1' f_2' f_3' (1 - f_1) (1 - f_2) (1 - f_3)]$$

can be linearized in  $\delta f(x, p) = f(x, p) - f^{(0)}(p)$  at  $\Delta T \ll T$



# Three types of scattering processes



Scattering rates:

$$\tau_a^{-1} \propto T^\alpha$$

$$\tau_b^{-1} \propto T^\beta$$

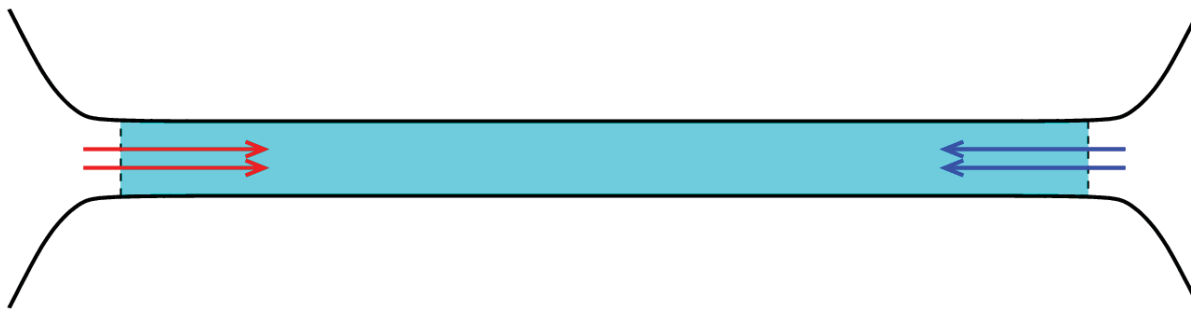
$$\tau_c^{-1} \propto e^{-\mu/T}$$

These scattering processes relax the electron gas toward different equilibrium states



# Equilibrium distribution of electrons

Gibbs distribution:  $w_i \propto \exp\left(-\frac{1}{T}E_i + \frac{\mu}{T}N_i\right) \Rightarrow f_p = \frac{1}{\exp\left(\frac{\epsilon_p - \mu}{T}\right) + 1}$



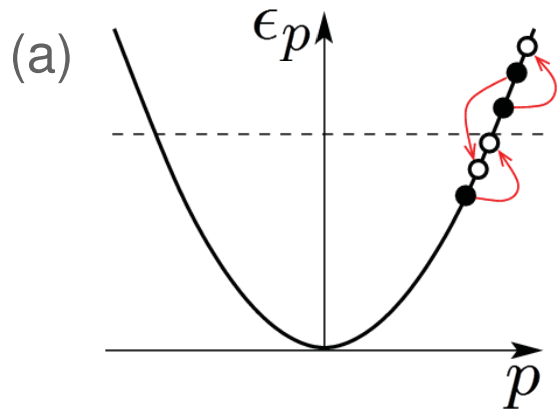
In a uniform wire momentum is conserved, and

$$w_i \propto \exp\left(-\frac{1}{T}E_i + \frac{u}{T}P_i + \frac{\mu}{T}N_i\right) \Rightarrow f_p = \frac{1}{\exp\left(\frac{\epsilon_p - up - \mu}{T}\right) + 1}$$

In general, all conserved quantities enter the exponent of the Gibbs distribution



# Conserved quantities and the Fermi distribution (a)



$$N^R, N^L, P^R, P^L, E^R, E^L$$

Numbers, momenta, and energies of the left- and right-moving particles are conserved separately

**Equilibrium distribution:**

$$f_p = \frac{\theta(-p)}{e^{(\epsilon_p - u^L p - \mu^L)/T^L} + 1} + \frac{\theta(p)}{e^{(\epsilon_p - u^R p - \mu^R)/T^R} + 1}$$



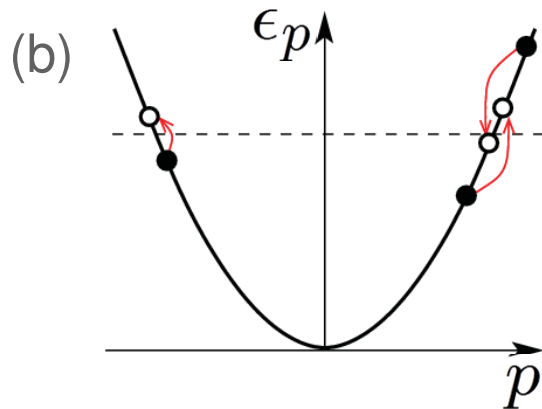
The distribution supplied by the leads belongs to this class:

$$f_p = \frac{\theta(-p)}{e^{(\epsilon_p - \mu)/T} + 1} + \frac{\theta(p)}{e^{(\epsilon_p - \mu)/(T + \Delta T)} + 1}$$

**No effect on thermal transport**



# Conserved quantities and the Fermi distribution (b)



$$N^R, N^L, P = P^R + P^L, E = E^R + E^L$$

Numbers of the left- and right-moving particles are conserved separately, in addition to the total momentum and energy

**Equilibrium distribution:**

$$f_p = \frac{\theta(-p)}{e^{(\epsilon_p - up - \mu^L)/T} + 1} + \frac{\theta(p)}{e^{(\epsilon_p - up - \mu^R)/T} + 1}$$



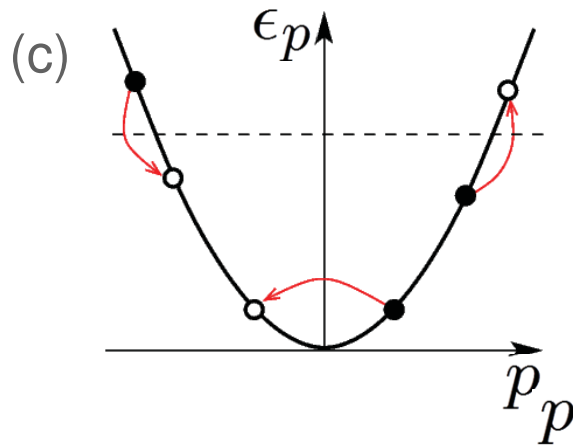
The distribution supplied by the leads does not belong to this class:

$$f_p = \frac{\theta(-p)}{e^{(\epsilon_p - \mu)/T} + 1} + \frac{\theta(p)}{e^{(\epsilon_p - \mu)/(T + \Delta T)} + 1}$$

**Type (b) processes affect the electron distribution and thermal transport**



# Conserved quantities and the Fermi distribution (c)

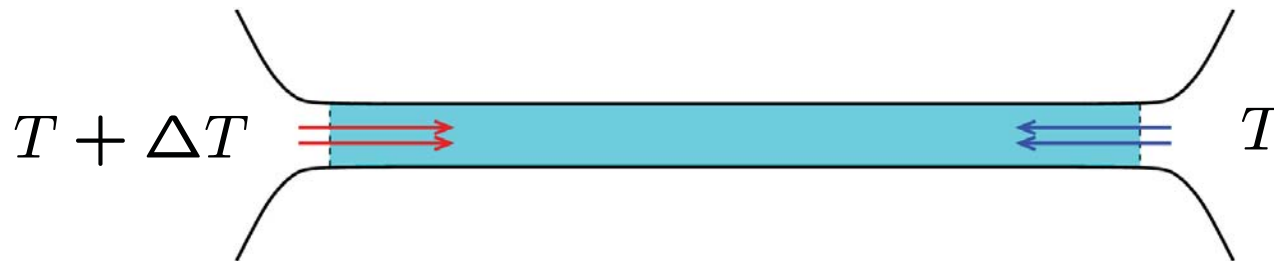


$N, P, E$

Only the total number of particles, momentum, and energy are conserved

Equilibrium distribution:

$$f_p = \frac{1}{e^{(\epsilon_p - up - \mu)/T} + 1}$$

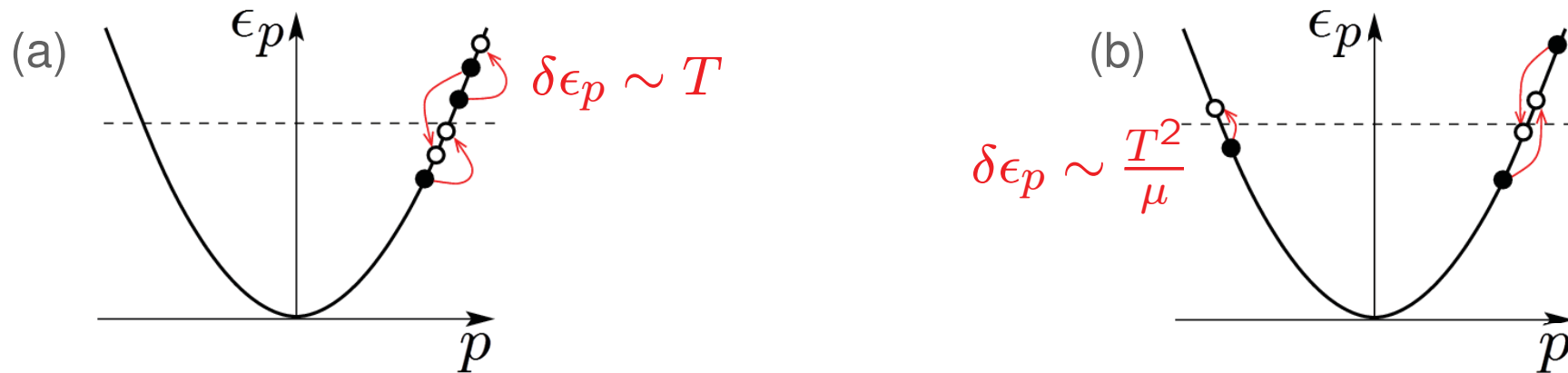


In wires longer than  $l_c \propto e^{\mu/T}$  type (c) processes ensure complete equilibration of the electron distribution and **dramatically affect thermal transport**



# Equilibration lengths

In a long wire electron distribution reaches the equilibrium form corresponding to the dominant scattering process



Equilibration due to type (a) processes is more efficient:  $l_b \sim \frac{\mu}{T} l_a \gg l_a$

In wires shorter than  $l_b$ , type (b) processes can be accounted for perturbatively

At  $L \sim l_b \gg l_a$  the distribution function has the form

$$f_p = \frac{\theta(-p)}{e^{(\epsilon_p - u^L p - \mu^L)/T^L} + 1} + \frac{\theta(p)}{e^{(\epsilon_p - u^R p - \mu^R)/T^R} + 1}$$

The 6 parameters  $u^L, u^R, \mu^L, \mu^R, T^L, T^R$  depend on position  $x$





# Simplification of the Boltzmann equation

$$\frac{p}{m} \partial_x f(x, p) = I[f(p, x)] \quad \text{Integro-differential equation:} \quad I[f] = \iiint W \dots$$



6 ordinary differential equations:

$$\left. \begin{aligned} \partial_x \mu^{R(L)} &= \mp p_F \left( 1 - \frac{\pi^2 T^2}{24 \mu^2} - \frac{7 \pi^4 T^4}{384 \mu^4} \right) \partial_x u^{R(L)} \\ \partial_x (T^R \pm T^L) &= -\frac{T}{v_F} \left( 1 + (25 \pm 4) \frac{\pi^2 T^2}{120 \mu^2} \right) \partial_x (u^R \mp u^L) \end{aligned} \right\} \begin{array}{l} \text{4 conservation laws} \\ N^R, N^L, P, E \end{array}$$

$$\left. \begin{aligned} \partial_x (T^R - T^L) &= -\frac{1}{l_b} \frac{T}{v_F} (u^R - u^L) \\ \partial_x (u^R - u^L) &= -\frac{1}{l_b} \frac{v_F}{T} (T^R - T^L) \end{aligned} \right\} \text{Easily solvable!}$$

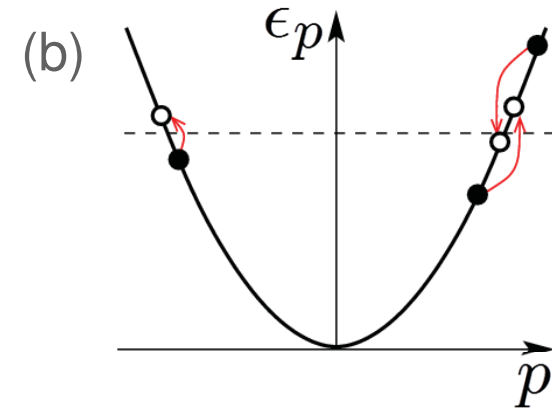


# Correction to the thermal conductance

$$K = K_0 \left[ 1 - \frac{\pi^2 T^2}{30\mu^2} (1 - e^{-L/l_b}) \right]$$

The correction is due to the curvature of electron spectrum near the Fermi level.

Even in long wires it remains small as  $(T/\mu)^2$



The equilibration length  $l_b$  is model-specific. For unscreened Coulomb interactions we found

without spins  $l_b^{-1} \propto (T/\mu)^3$

with spins  $l_b^{-1} \propto (T/\mu) \ln^2(\mu/T)$

[Levchenko, Micklitz, Ristivojevic & KM, PRB **84**, 115447 (2011)]

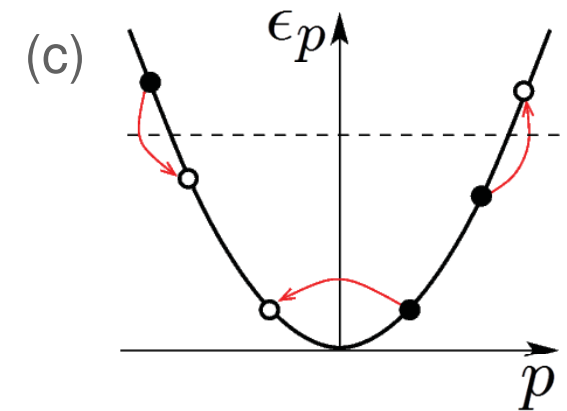


# Thermal conductance of a long wire

$$l_{a,b} \ll L \sim l_c \propto e^{\mu/T}$$

Electron distribution:

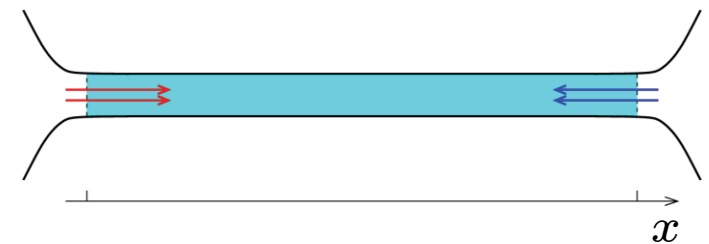
$$f_p = \frac{\theta(-p)}{e^{(\epsilon_p - up - \mu^L)/T} + 1} + \frac{\theta(p)}{e^{(\epsilon_p - up - \mu^R)/T} + 1}$$



Heat current

$$\begin{aligned} j &= 2 \int_0^\infty \frac{dp}{h} v_p(\epsilon_p - \mu) \left[ n_F(\epsilon_p - up - \mu^R) - n_F(\epsilon_p + up - \mu^L) \right] \\ &= \frac{2}{h} \int d\xi \xi n'_F(\xi) [-2up - \mu^R + \mu^L] \end{aligned}$$

depends only on the velocity  $u$



$$j = \frac{2\pi}{3\hbar} \frac{T^2}{v_F} u \quad \longrightarrow \quad u = \text{const} = ?$$



# Energy conservation

- Consider the energy current of right-moving electrons

$$j^R(r) = j^R(l) + \dot{E}^R$$

- The total energy current

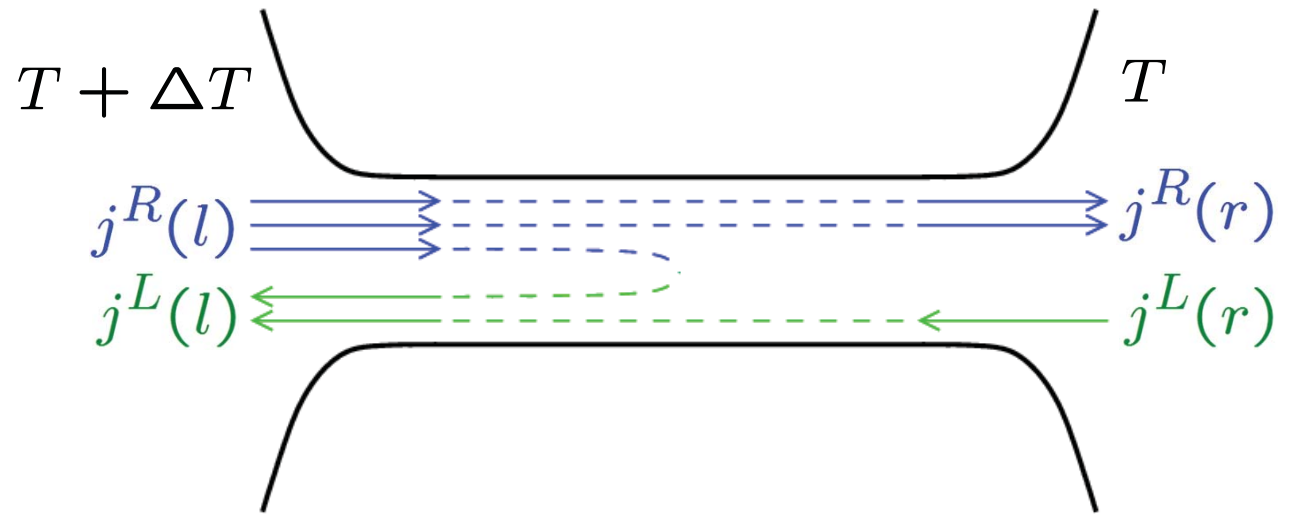
$$j = j^R(r) + j^L(r)$$

- Exclude the outgoing current  $j^R(r)$

$$j = j^R(l) + j^L(r) + \dot{E}^R$$

- Substitute the known expression for the incoming currents

$$j = K_0 \Delta T + \dot{E}^R$$



# Momentum conservation

Momentum of the electron gas

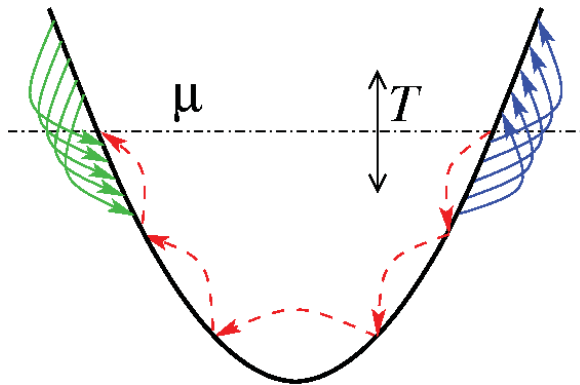
$$f_p = \frac{\theta(-p)}{e^{(\epsilon_p - up - \mu^L)/T} + 1} + \frac{\theta(p)}{e^{(\epsilon_p - up - \mu^R)/T} + 1}$$

$$P = p_F(N^R - N^L) + \frac{2\pi}{3\hbar} \frac{T^2}{v_F^3} Lu$$

The total momentum is conserved,  $\dot{P} = 0$



$$\dot{N}^R = -\frac{\pi}{3\hbar} \frac{T^2}{v_F^3 p_F} L\dot{u}$$



Momentum change:

$$\Delta p^L + \Delta p^R = 2p_F$$

Energy change:

$$-v_F \Delta p^L + v_F \Delta p^R = 0$$

$$\Delta p^L = \Delta p^R = p_F$$

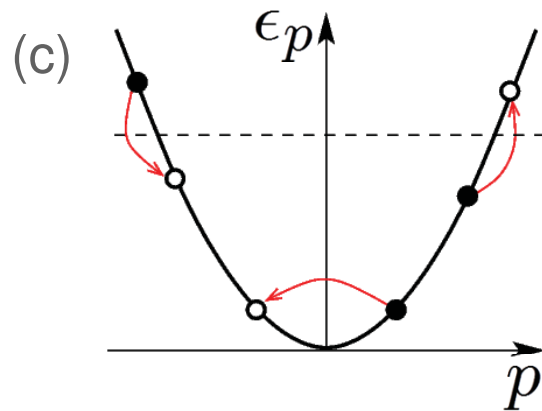
$$\Delta E^R = -v_F p_F \Delta N^R$$

Rate of energy change due to backscattering:

$$\dot{E}^R = \frac{\pi}{3\hbar} \frac{T^2}{v_F^2} L\dot{u}$$



# Relaxation towards complete equilibrium



$$f_p = \frac{\theta(-p)}{e^{(\epsilon_p - up - \mu^L)/T} + 1} + \frac{\theta(p)}{e^{(\epsilon_p - up - \mu^R)/T} + 1}$$

In a system without net electric current the electron distribution relaxes towards the usual Fermi function

$$\mu^L - \mu^R \rightarrow 0, \quad u \rightarrow 0$$

Standard relaxation law:  $\dot{u} = -\frac{u}{\tau_c}, \quad \tau_c^{-1} \propto e^{-E_F/T}$

$$\dot{E}^R = -\frac{\pi}{3\hbar} \frac{T^2}{v_F^2} L \frac{u}{\tau_c}$$

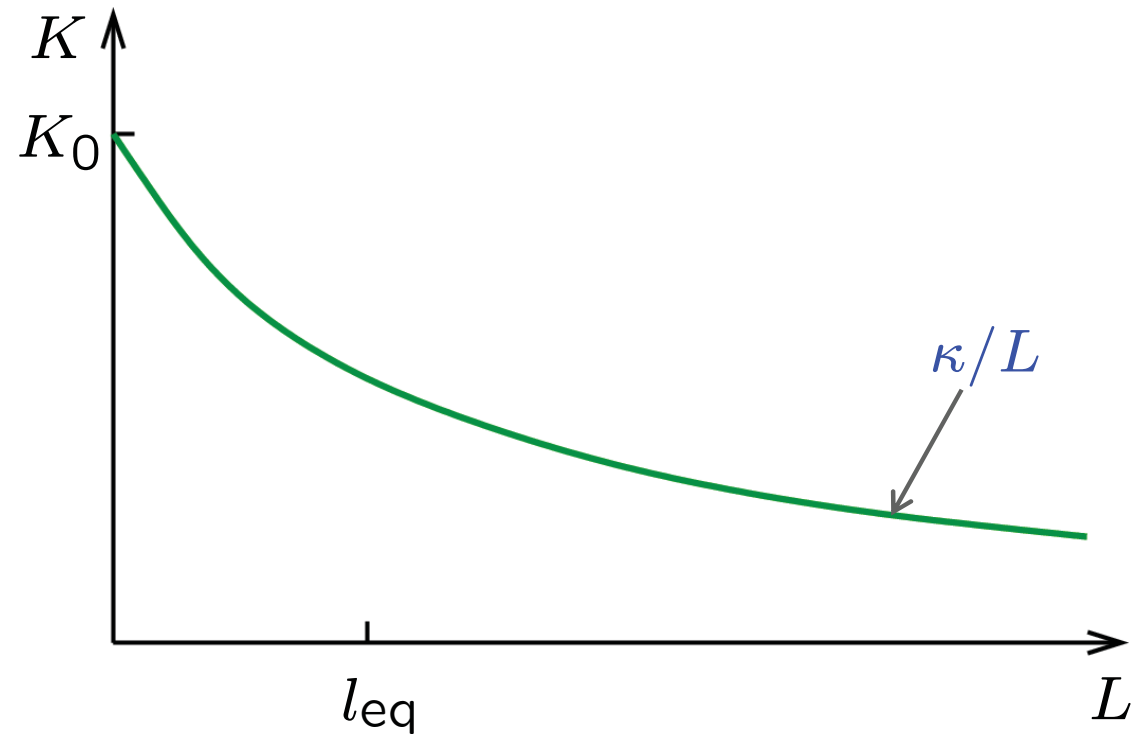
Combining with  $j = K_0 \Delta T + \dot{E}^R$  and  $j = \frac{2\pi}{3\hbar} \frac{T^2}{v_F} u$  we find

$$j = \frac{K_0 \Delta T}{1 + L/l_{\text{eq}}}, \quad l_{\text{eq}} = 2v_F \tau_c$$



# Result for the thermal conductance

$$K = \frac{K_0}{1 + L/l_{eq}}$$



- Old result  $K = K_0$  in a short wire,  $L \ll l_{eq}$ .
- Small thermal conductance, but finite **thermal conductivity** in a long wire,  $L \gg l_{eq}$ .

$$K = \frac{\kappa}{L}, \quad \kappa = K_0 l_{eq}$$

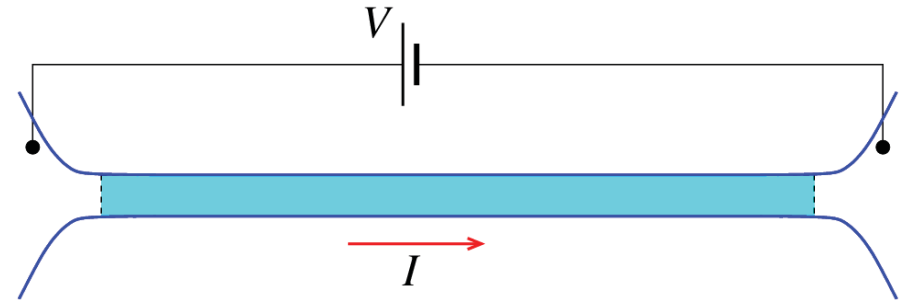
[Micklitz, Rech & KM, PRB **81**, 115313 (2010)]



# Electrical vs. thermal conductance

In long wires the electrical conductance saturates

$$G \rightarrow \frac{2e^2}{h} \left[ 1 - \frac{\pi^2}{3} \left( \frac{T}{v_F p_F} \right)^2 \right] \simeq \frac{2e^2}{h}$$



Momentum conservation results in infinite conductivity

But the thermal conductance is small:  $K = \frac{\kappa}{L}$

Strong violation of the Wiedemann-Franz law:  $K \ll \frac{\pi^2 T}{3e^2} G$

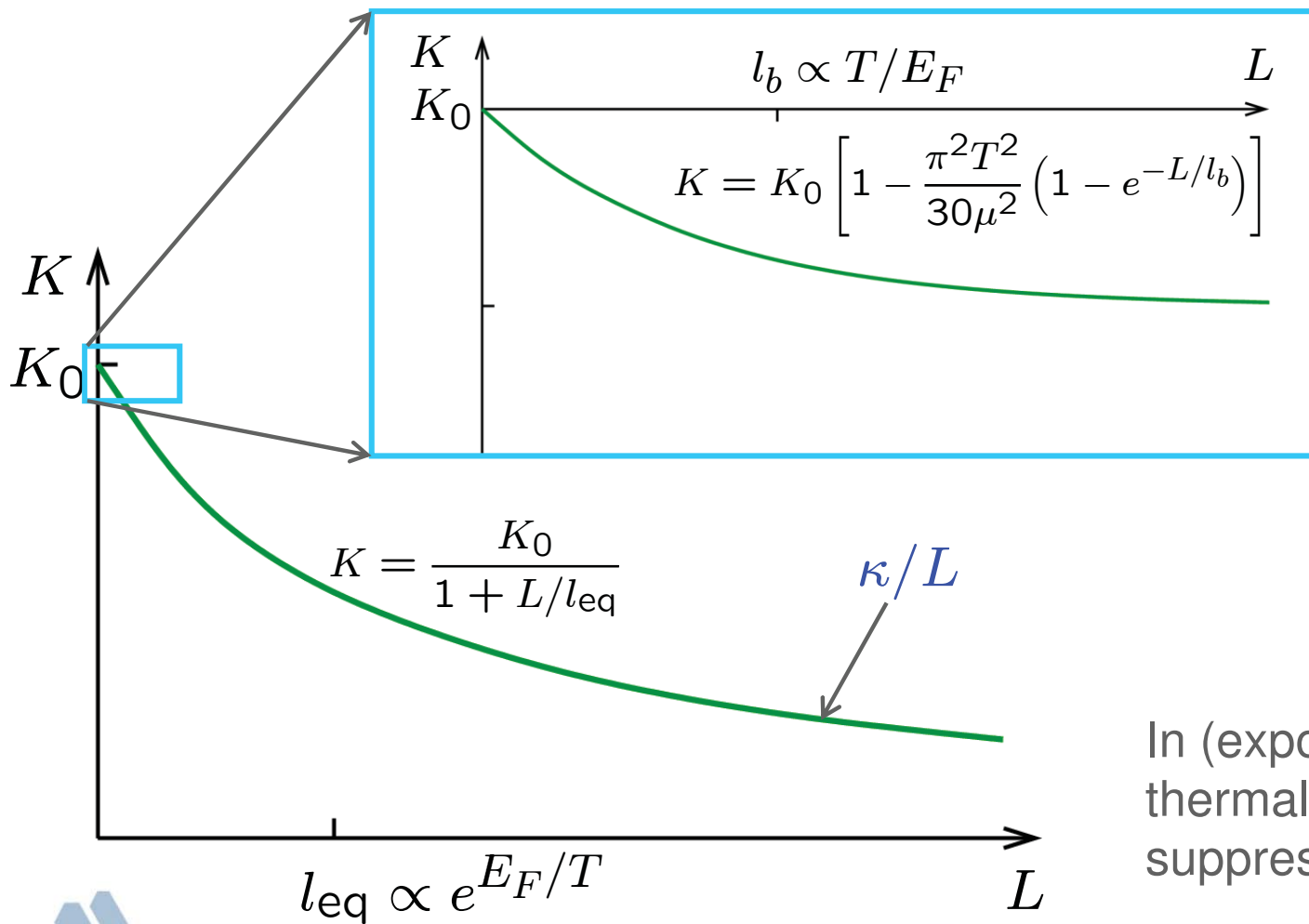
Thermoelectric figure of merit:  $ZT = \frac{GS^2 T}{K} \propto L \rightarrow \infty$





# Summary

Electron-electron scattering reduces thermal conductance of quantum wires



Small reduction  $\sim T^2$  of thermal conductance in short wires

In (exponentially) long wires the thermal conductance is strongly suppressed

