

Introduction to X ray diffraction

Gaston Garbarino European Synchrotron Radiation Facility Grenoble, France

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Outline

- Introduction
- History
- How Diffraction Works
 - Demonstration
 - Analyzing Diffraction Patterns
- Neutron diffraction?
- Summary and Conclusions



Introduction

To see the invisible



Introduction to X-ray diffraction

THE ELECTROMAGNETIC SPECTRUM



- X-rays are used to probe the atomic scale
- Why are x-rays used? $\lambda \sim \mathring{A}$
- How do the x-rays probe the crystal structure?

X-rays interact with the electrons surrounding the molecule and "reflect". The way they are reflected will be prescribed by the orientation of the electronic distribution (Electron Density)

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Introduction to X-ray diffraction

Motivation:

- X-ray diffraction is a non-destructive analytical technique for identification and quantitative determination of the various crystalline forms, known as 'phases'.
- Identification is achieved by comparing the X-ray diffraction pattern
- Bridge the gaps between physics, chemistry, biology.....

X-ray diffraction is important for:

- Solid-state physics
- Biology Biophysics
- Medical physics
- Chemistry and Biochemistry
- Geophysics



X-ray Diffractometer

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1895 X-rays discovered by Wilhelm Conrad Röntgen

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Wilhelm Conrad Röntgen discovered 1895 the X-rays. 1901 he was honoured by the Noble prize for physics. In 1995 the German Post edited a stamp, dedicated to W.C. Röntgen.





The first X-ray

History of X-ray Diffraction

- 1895 X-rays discovered by Roentgen
- 1914 First diffraction pattern of a crystal made by Knipping and von Laue
- 1915 Theory to determine crystal structure from diffraction pattern developed by Bragg.
- 1953 DNA structure solved by Watson and Crick
- Now Diffraction improved by computer technology; methods used to determine atomic structures and in medical applications



The first X-ray

History of X-ray Diffraction

Laue's Experiment in 1914 Single Crystal X-ray Diffraction



Figure 2. A schematic of X-ray diffraction.

http://mrsec.wisc.edu/edetc/modules/xray/X-raystm.pdf

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History of X-ray Diffraction

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Figure 2. A schematic of X-ray diffraction.

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X-Ray generation: Synchrotron radiation



Interaction between X-ray and Matter



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What is X-ray diffraction?

XRD able to determine :

- Which phases are present?
- At what concentration levels?
- What are the amorphous content of the sample?



How does XRD Works???

 Every crystalline substance produce its own XRD pattern, which because it is dependent on the internal structure, is characteristic of that substance.



•The XRD pattern is often spoken as the "*FINGERPRINT*" of a mineral or a crystalline substance, because it differs from pattern of every other mineral or crystalline substances.



Some formulas and definitions

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•A crystal lattice is a regular three-dimension distribution (cubic, tetragonal, etc.) of atoms in space. These are arrange so that they form a series of parallel planes separated from one another by a distance d, which varies according to the nature of the material. For any crystal planes exist in a number of different orientations- each with its own specific d-spacing





- Similar principle to multiple slit experiments
- Constructive and destructive interference patterns depend on lattice spacing (d) and wavelength of radiation (λ)
- By varying wavelength and observing diffraction patterns, information about lattice spacing is obtained

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How Diffraction Works: Bragg's Law



 $dSin\theta + dSin\theta = n\lambda$

• The path difference between ray 1 and ray $2 = 2d \sin \theta$

• For constructive interference $n\lambda = 2d \operatorname{Sin}\theta$

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How Diffraction Works: Bragg's Law



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- Bragg's equation is a negative law
 - > If Bragg's eq. is NOT satisfied \rightarrow NO reflection can occur
 - > If Bragg's eq. is satisfied \rightarrow reflection *MAY* occur
- Diffraction = Reinforced Coherent Scattering

Diffraction	
Occurs throughout the bulk	
Takes place only at Bragg angles	
Small fraction of intensity is diffracted	



Bravais Lattice





Bravais Lattice

Crystal systems	Axes system
cubic	$a=b=c$, $\alpha=\beta=\gamma=90^\circ$
Tetragonal	$\mathbf{a} = \mathbf{b} \neq \mathbf{c}$, $\boldsymbol{\alpha} = \boldsymbol{\beta} = \boldsymbol{\gamma} = 90^{\circ}$
Hexagonal	$a = b \neq c$, $\alpha = \beta = 90^{\circ}$, $\gamma = 120^{\circ}$
Rhomboedric	$\mathbf{a} = \mathbf{b} = \mathbf{c}$, $\alpha = \beta = \gamma \neq 90^{\circ}$
Orthorhombic	$a \neq b \neq c$, $\alpha = \beta = \gamma = 90^{\circ}$
Monoclinic	$a \neq b \neq c$, $\alpha = \gamma = 90^{\circ}$, $\beta \neq 90^{\circ}$
Triclinic	$a \neq b \neq c$, $\alpha \neq \gamma \neq \beta^{\circ}$

Reflection Planes (Miller indices)



Allow to "name" different plane where the Bragg law is satisfied \rightarrow diffraction peaks

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Relationship between d-value and the Lattice Constants

$$\lambda = 2 dsin \theta$$

Bragg's law

- The wavelength is known
- Theta is the half value of the peak position
- d will be calculated

$$1/d^2 = (h^2 + k^2)/a^2 + l^2/c^2$$

Equation for the determination of the d-value of a tetragonal elementary cell

•h,k and I are the Miller indices of the peaks

•a and c are lattice parameter of the elementary cell

•if a and c are known it is possible to calculate the peak position

•if the peak position is known it is possible to calculate the lattice parameter



How does it work?

Laue's Experiment in 1914 Single Crystal X-ray Diffraction



Figure 2. A schematic of X-ray diffraction.

http://mrsec.wisc.edu/edetc/modules/xray/X-raystm.pdf



Powder X-ray Diffraction



Figure 2. A schematic of X-ray diffraction.



Basic component of XRD machine

Therefore any XRD machine will consist of three basic component.

- Monochromatic X-ray source (λ)
- <u>Sample</u>
- Data collector



By varying the angle θ , the Bragg's Law conditions are satisfied by different d-spacing in polycrystalline materials. Plotting the angular positions and intensities of the resultant diffraction peaks produces a pattern which is characterised of the sample



Basic component of XRD machine



Therefore any XRD machine will consist of three basic component.

Monochromatic X-ray source (λ)

• <u>Sample</u>

• Data collector: 1D 2D such as film, strip chart or magnetic medium/storage, CCD camera, image plate









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Which Information does

a powder pattern offer?

- peak position
- peak intensity
- peak broadening
- scaling factor
- diffuse background
- modulated background close order

dimension of the elementary cell content of the elementary cell strain/crystallite size quantitative phase amount false order close order



Powder Pattern and Structure



- 1. The d-spacings of lattice planes depend on the size of the elementary cell and determine the position of the peaks.
- 2. The intensity of each peak is caused by the crystallographic structure, the position of the atoms within the elementary cell and their thermal vibration.
- 3. The line width and shape of the peaks may be derived from conditions of measuring and properties like particle size of the sample material.



2. The intensity of each peak

Intensity of the Scattered electrons

Scattering by a crystal




2.1 Scattering by an Atom





2.1 Scattering by an Atom

	Coherent scattering	Incoherent (Compton) scattering
Z↑	\uparrow	\checkmark
$Sin(\theta) / \lambda \downarrow$	1	\checkmark



2.2 Scattering by the Unit cell (uc)

- If atom B is different from atom A → the amplitudes must be weighed by the respective atomic scattering factors (f)
- The resultant amplitude of all the waves scattered by all the atoms in the UC gives the scattering factor for the unit cell
- The unit cell scattering factor is called the Structure Factor (F)

Scattering by an unit cell = f(position of the atoms, atomic scattering factors)

E – Structure Factor –	Amplitude of wave scattered by all atoms in uc	
		Amplitude of wave scattered by an electron

$$I \propto F^2$$

$$F_n^{hkl} = \sum_{j=1}^n f_j \quad e^{i\varphi_j} = \sum_{j=1}^n f_j \quad e^{i[2\pi(h x'_j + k y'_j + l z'_j)]}$$

For *n* atoms in the UC



Powder Pattern and Structure ESRE Alight C- centred Orthorhombic Atom at (0,0,0) & $(\frac{1}{2}, \frac{1}{2}, 0)$ and equivalent positions B $F = f_{i} e^{i\varphi_{j}} = f_{i} e^{i[2\pi(h x'_{j} + k y'_{j} + l z'_{j})]}$ $F = f e^{i[2\pi(h\cdot 0+k\cdot 0+l\cdot 0)]} + f e^{i[2\pi(h\cdot \frac{1}{2}+k\cdot \frac{1}{2}+l\cdot 0)]}$ $= f e^{0} + f e^{i[2\pi(\frac{h+k}{2})]} = f[1 + e^{i\pi(h+k)}]$ Real (h + k) even $F = 2f \longrightarrow F^2 = 4f^2$ Both even or both odd $F = f[1 + e^{i\pi(h+k)}]$ *e.g.* (001), (110), (112); (021), (022), (023) Mixture of odd and even (h+k) odd $+F^2=0$ F = 0 \Rightarrow *F* is independent of the 'l' index

e.g. (100), (101), (102); (031), (032), (033)

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⇒ Presence of additional atoms/ions/molecules in the UC can alter the intensities of some of the reflections



Selection / Extinction Rules

Bravais Lattice	Reflections which <i>may be</i> present	Reflections necessarily absent
Simple	all	None
Body centred	(h + k + l) even	(h + k + l) odd
Face centred	h, k and l unmixed	h, k and l mixed
End centred	h and k unmixed <i>C centred</i>	h and k mixed <i>C centred</i>

Bravais Lattice	Allowed Reflections
SC	All
BCC	(h + k + l) even
FCC	h, k and l unmixed
DC	h, k and l are all odd Or all are even & $(h + k + l)$ divisible by 4



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Crystallite size and Strain

- Bragg's equation assumes:
 - Crystal is perfect and infinite
 - > Incident beam is perfectly parallel and monochromatic
- Actual experimental conditions are different from these leading various kinds of deviations from Bragg's condition
 - \succ Peaks are not 'δ' curves → Peaks are broadened
- There are also deviations from the assumptions involved in the generating powder patterns
 - \succ Crystals may not be randomly oriented (*textured sample*) \rightarrow Peak intensities are altered
- In a powder sample if the crystallite size < 0.5 µm
 ➤ there are insufficient number of planes to build up a sharp diffraction pattern
 ⇒ *peaks are broadened*



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XRD Line Broadening



- Non-monochromaticity of the source (finite width of α peak)
- Imperfect focusing

\rightarrow Crystallite size B_c

B_s

Stacking fault

Other defects

Strain

Instrumental

B_i

- In the vicinity of θ_B the –ve of Bragg's equation not being satisfied
- 'Residual Strain' arising from dislocations, coherent precipitates etc. leading to broadening

In principle every defect contributes to some broadening

$$B(FWHM) = B_i + B_c + B_s + B_{SF} + \dots$$





$$B(FWHM) = B_i + B_c + B_s + B_{SF} + \dots$$

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Crystallite size Powder Pattern and Structure

- Size $\in (10, 0.5) \mu$ > Smooth continuous ring pattern
- Size $\in (0.5, 0.1) \mu$ > Rings are broadened
- Size $< 0.1 \,\mu$ > No ring pattern

(irradiated volume too small to produce a diffraction ring pattern & diffraction occurs only at low angles)





Subtracting Instrumental Broadening

 Instrumental broadening has to be subtracted to get the broadening effects due to the sample

1

Mix specimen with known coarse-grained (~ 10µm), well annealed (*strain free*)
 → does not give any broadening due to strain or crystallite size (*the only broadening is instrumental*). A brittle material which can be ground into powder form without leading to much stored strain is good.

If the pattern of the test sample (standard) is recorded separately then the experimental conditions should be identical (*it is preferable that one or more peaks of the standard lies close to the specimen's peaks*)

2

Use the same material as the standard as the specimen to be X-rayed but with large grain size and well annealed



Scherrer's formula For Gaussian line profiles and cubic crystals

$$B_c = \frac{k \,\lambda}{L \, Cos(\theta_B)}$$

- $\lambda \rightarrow Wavelength$
- $L \rightarrow$ Average crystallite size
- $k \rightarrow 0.94 \ [k \in (0.89, 1.39)]$
 - ~ 1 (the accuracy of the method is only 10%)





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Strain broadening



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Separating crystallite size broadening and strain broadening

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Preferred Orientation (texture)

- Preferred orientation of crystallites can create a systematic variation in diffraction peak intensities
 - can qualitatively analyze using a 1D diffraction pattern
 - a pole figure maps the intensity of a single peak as a function of tilt and rotation of the sample







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In-situ XRD can yield quantitative analysis to study reaction pathways, rate constants, activation energy, and phase stability



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Not always X rays is the best tool, neutron are also very useful

- Resolution of X rays instruments is generally better
- Cheaper to produce X rays than neutrons

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 Neutron cross section varies much less than with Z than X-rays, it is pseudo-random (Atomic scattering factor f)



Not always X rays is the best tool, neutron are also very useful

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- Neutron cross section varies much less than with Z than X-rays, it is pseudo-random
- Neutron scatters from the nucleus

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 (X ray from e⁻ cloud surrounds nucleus)
 so scattering is aprox constant with increasing 2θ.



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- Neutron cross section varies much less than with Z than X-rays, it is pseudo-random
- Neutron scatters from the nucleus (X ray from e⁻ cloud surrounds nucleus)
 so scattering is aprox constant with increasing 2θ.
- The neutron is a particle with a spin of one-half. It is the interaction of this spin with the spin state of the nucleus of an atom that determines the scattering property of a neutron with that atom. So we can use this property to analyze magnetic structure.

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Issue RF	Conventional laboratory X-rays ^a	High- resolution laboratory X-rays ^b	Synchrotron X-rays	Low- resolution neutrons ^c	High- resolution neutrons ^d
Intensity at sample	1	no	1	no	some third generation instruments
Unit cell determination	fair	1	1	poor	1
Heavy X-ray absorber	short λ reflection geometry	short λ reflection geometry	short λ	1	1
Light atom in presence of heavy atom	no	no	no	-	-
Hydrogen atoms	no	maybe	maybe	when deuterated	when deuterated
Large unit cell (complex structure)	no	1	1	no	
Magnetic structure	no	no	no	1 48	1
Thermal parameters	poor	1	1	poor	1
Line-broadened sample	1	compromised	compromised	/	compromised
Availability at low cost	1	1	competitive access	competitive access	competitive access
Very small sample size	1	-	1	1	no
Non-ambient environment	maybe	maybe	1	1	1





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For comments, suggestions, support request etc... contacts:

Dr Gaston Garbarino

e-mail: gaston.garbarino@esrf.fr

address: European Synchrotron Radiation Facility (ESRF)

6 Rue Jules Horowitz, 38043, Grenoble, France



- If the blue planes are scattering in phase then on C- centering the red planes will scatter out of phase (with the blue planes- as they bisect them) and hence the (210) reflection will become extinct
- This analysis is consistent with the extinction rules: (h + k) odd is absent





These (310) planes form a translationally equivalent set: pass through all lattice points

C-Centred Orthorhombic lattice [001] projection



No new planes are to be added to form a translationally equivalent set of planes on C-centering

- In case of the (310) planes no new translationally equivalent planes are added on lattice centering ⇒ this reflection cannot go missing.
- This analysis is consistent with the extinction rules: (h + k) even is present



e.g. (100), (001), (111); (210), (032), (133)



Two odd and one even (e.g. 112); two even and one odd (e.g. 122)

$$\begin{aligned} \mathbf{F} &= \int_{Na^{+}} \operatorname{tr} (0,0,0) + \operatorname{Face Centering Translations} \to (1/2, 1/2, 0), (1/2, 0, 1/2), (0, 1/2, 1/2) \\ \operatorname{Cl^{-} at} (1/2, 0, 0) + \operatorname{FCT} \to (0, 1/2, 0), (0, 0, 1/2), (1/2, 1/2, 1/2) \\ \end{array} \\ &= \int_{Na^{+}} \left[e^{i[2\pi(0)]} + e^{i[2\pi(\frac{h+k}{2})]} + e^{i[2\pi(\frac{k+l}{2})]} + e^{i[2\pi(\frac{l+h}{2})]} + e^{i[2\pi(\frac{l+h}{2})]} \right] \\ &+ \int_{Cl^{-}} \left[e^{i[2\pi(\frac{h}{2})]} + e^{i[2\pi(\frac{k}{2})]} + e^{i[2\pi(\frac{l}{2})]} + e^{i[2\pi(\frac{h+k+l}{2})]} \right] \\ &+ \int_{Cl^{-}} \left[e^{i\pi(h+k)} + e^{i\pi(k+l)} + e^{i\pi((l+h))} \right] \\ &+ \int_{Cl^{-}} \left[e^{i\pi(h+k)} + e^{i\pi(k+l)} + e^{i\pi((l+h))} \right] \\ &+ \int_{Cl^{-}} \left[e^{i\pi(h+k+l)} \left[e^{i\pi(-k-l)} + e^{i\pi(-l-h)} + e^{i\pi(-h-k)} + 1 \right] \right] \\ &+ \int_{Cl^{-}} e^{i\pi(h+k+l)} \left[e^{i\pi(h+k+l)} \right] \left[1 + e^{i\pi(h+k)} + e^{i\pi((l+h))} + e^{i\pi((l+h))} \right] \\ &+ \int_{Cl^{-}} e^{i\pi(h+k+l)} \left[e^{i\pi(h+k+l)} \right] \left[1 + e^{i\pi(h+k)} + e^{i\pi(k+l)} + e^{i\pi(k+l)} + e^{i\pi(k+l)} + e^{i\pi(k+l)} \right] \end{aligned}$$



$$F = [f_{Na^{+}} + f_{Cl^{-}} e^{i\pi(h+k+l)}][1 + e^{i\pi(h+k)} + e^{i\pi(k+l)} + e^{i\pi(l+h)}]$$

$$F = [Term - 1][Term - 2]$$

Zero for mixed indices

Minudia	Mixed indices	CASE	h	k	1
Mixed indices		А	Ο	Ο	e
		В	0	e	e

CASE A:
$$Term - 2 = [1 + e^{i\pi(e)} + e^{i\pi(o)} + e^{i\pi(o)}] = [1 + 1 - 1 - 1] = 0$$

CASE B: $Term - 2 = [1 + e^{i\pi(o)} + e^{i\pi(e)} + e^{i\pi(o)}] = [1 - 1 + 1 - 1] = 0$

(h, k, l) mixed
$$F = 0 \longrightarrow F^2 = 0$$
 e.g. (100), (211); (210), (032), (033)



Anode	(kV)	Wavelength λ (Å)	Filter
		Κα1: 0,70926	Zr
Мо	20,0	Κα2: 0,71354	0.08mm
		Κβ1 : 0,63225	•,•••
Cu		Κα1 : 1,5405	Ni
Cu	9,0	Κα2: 1,54434	0,015mm
		Kβ1: 1,39217	
		Κα1 : 1,78890	
Co	7,7	Κα2: 1,79279	Fe 0.012mm
		Κβ1: 1,62073	0,01211111
_		Κα1 : 1,93597	
Fe	7,1	Κα2 : 1,93991	Mn
		Kβ1: 1,75654	0,01111111

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History (5): P. P. Ewald



P. P. Ewald 1916 published a simple and more elegant theory of X-ray diffraction by introducing the reciprocal lattice concept. Compare Bragg's law (left), modified Bragg's law (middle) and Ewald's law (right).

$$d = \frac{n \cdot \lambda}{2 \cdot \sin \theta} \qquad \qquad \sin \theta = \frac{1}{2 \cdot \frac{1}{\lambda}} \qquad \qquad \sin \theta = \frac{\sigma}{2 \cdot \frac{1}{\lambda}}$$



The Ewald sphere





