

Joint ICTP-IAEA Workshop on Advanced
Synchrotron Radiation Based X-ray
Spectrometry Techniques, Trieste 2013

Synchrotron Radiation based X-Ray Spectrometry for nanoscaled materials

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PTB

- analytical challenges for nanotechnology – a motivation
- reference-free x-ray spectrometry based on synchrotron radiation
- grazing incidence x-ray fluorescence analysis
- calibration standards for sub-monolayer surface contaminations
- nanolayer analysis
- depth profiling and chemical speciation



Nanoscaled reference materials may be required when

- *critical dimensions* (CD) of specimens and / or
- the analytical *information depths* are in the 1 nm to 100 nm range.

Applications:

- (buried) nanolayered systems to be analyzed by GIXRF or XRF
- low energy ion implantations in silicon or advanced materials by GIXRF
- analysis of nanoscaled objects (Nanoparticles, CNTs, etc.) by GIXRF
- lateral resolution of XRF reaching 100 nm at 3rd generation SR facilities

... and below 1 nm CD:

- analysis of surface contamination (< 0.4 nm) by TXRF
- analysis of buried interfaces and contamination by GIXRF

www.ptb.de/cms/en/fachabteilungen/abt7/fb-72/ag-724.html

Typical XRF quantification variants

α - coefficients

- empirical coefficients
- interpolation regime for main matrix elements or traces in constant matrices
- restricted extrapolation

reference material based

- pre-calibration of instrumentation e.g. by thin standards
- additional calibration by reference materials for specific *applications*
- flexible interpolation by knowledge on FP data

reference-free methodology

- knowledge on both instrumental and fundamental parameters
- increasing relevance for complex sample systems, e.g. nano-scaled specimens
- reason: lack of appropriate reference materials and calibration standards

→ *Less or even no reference materials required*

Example: nanolayerd materials for semiconductor devices

- X-Ray Fluorescence analysis (XRF) for semiconductors:
 - ✓ non-destructive and non-preparative
 - ✓ fast qualitative results
 - ✓ high sensitivity (ppm), sub-monolayers (fg/cm²)
 - ✓ quantitative results (based on reference materials)
- Semiconductor substrates of interest: Si, Ge, GaAs, InGaAs, InP, InAlAs, etc.
- Hundreds of combinations for nanoscaled thin films
- Lack of appropriate reference materials for nanoscaled systems

Analytical challenges for nanotechnologies

- dozens of **new nanoscaled materials** appear every month
- **technology R&D cycles** for new materials down to 4 months
- **need for correlation** of material properties with functionality
- **requirements** on sensitivity, selectivity and information depth
- most **analytical methodologies** rely on **reference materials** or calibration standards but there are only few at the nanoscale
- usage of **calibrated instrumentation** and knowledge on atomic data enables **reference-free techniques** such as SR based XRS

Sherman equation for K fluorescence

$$I_{i,j} = I_0(E_k) \varepsilon_{eff}(E_{i,j}) \frac{d\Omega}{4\pi} \cdot e^{-\mu_s(E_k) \rho x / \cos(\Psi_1)} \cdot W_i \frac{r_i - 1}{r_i} \tau_i(E_k) \omega_i T_{i,j} \frac{1}{\cos(\Psi_1)} \Delta x \cdot e^{-\mu_s(E_{i,j}) \rho x / \cos(\Psi_2)}$$

absorption

fluorescence production

absorption

instrumental parameters:

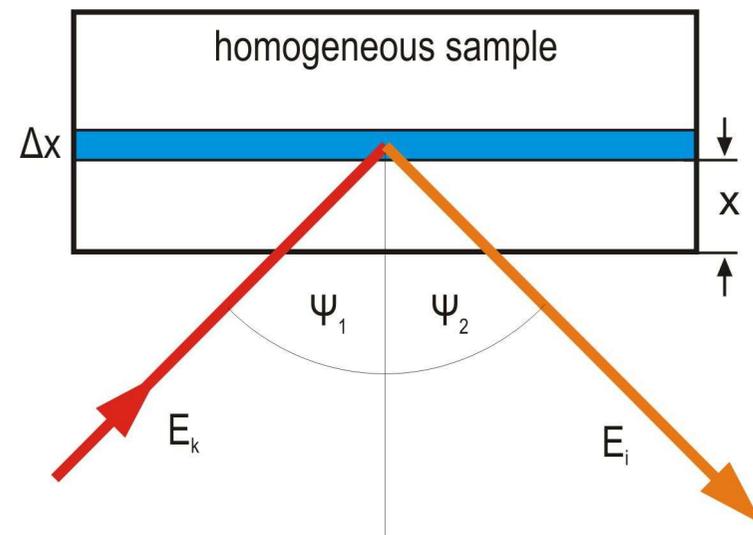
$\varepsilon_{eff}(E_{i,j}), d\Omega/4\pi$

atomic fundamental parameters:

$\mu_s, r_i, \tau, \omega_i, T_i, \rho$

specimen composition

W_i weight fraction of element i



Reference-free X-Ray Fluorescence Analysis **PTB**

(T)XRF excitation channel

well-known spectral distribution
and radiant power

PTB resources:

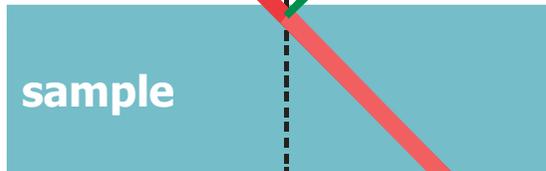
- characterized beamlines
- calibrated photo diodes
- calibrated apertures
- calibrated energy-dispersive detectors

(T)XRF detection channel

absolute detection efficiency
and response behavior

defined
solid angled $d\Omega$

fluorescence-
radiation



fundamental parameters

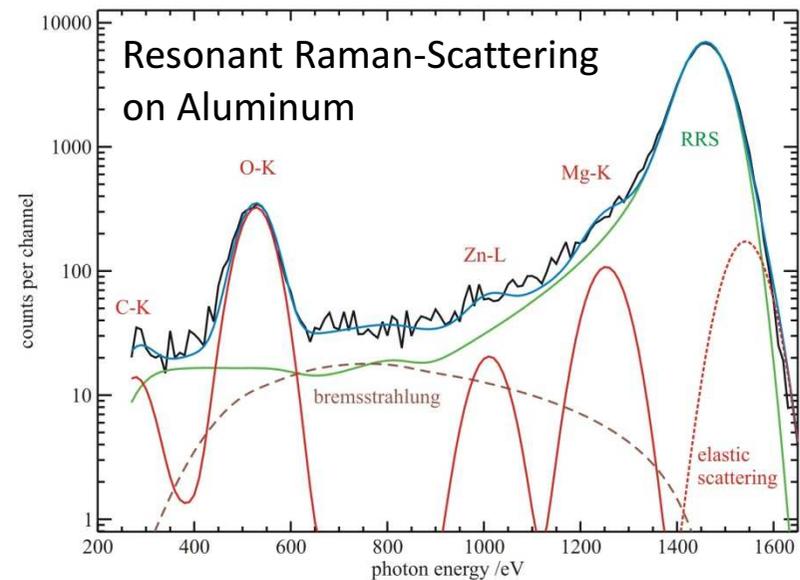
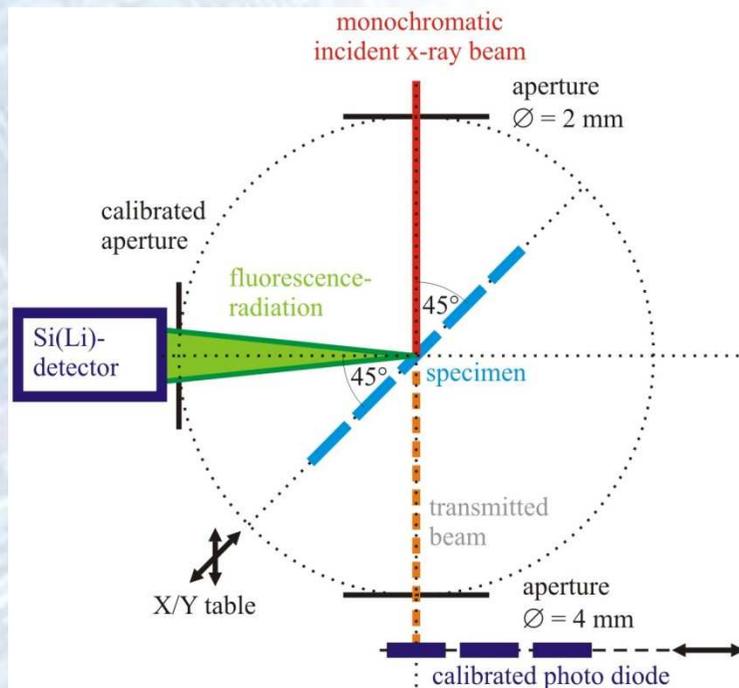
knowledge of all parameters

transmission measurements

absorption correction factors

XRF employing calibrated instrumentation

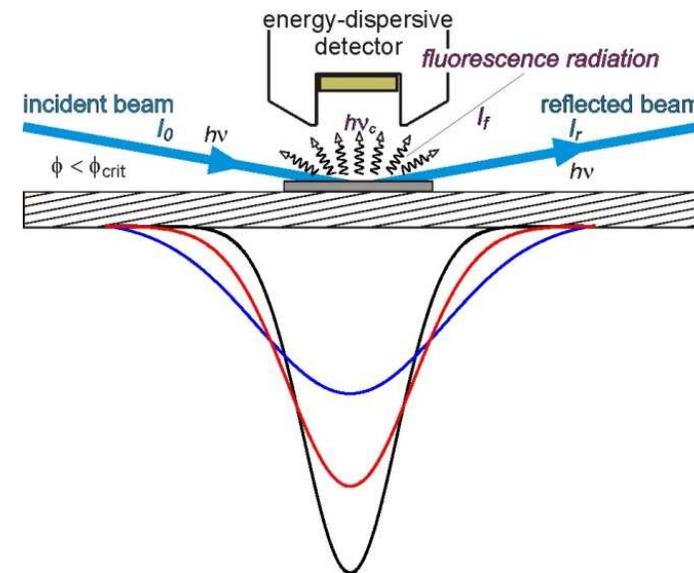
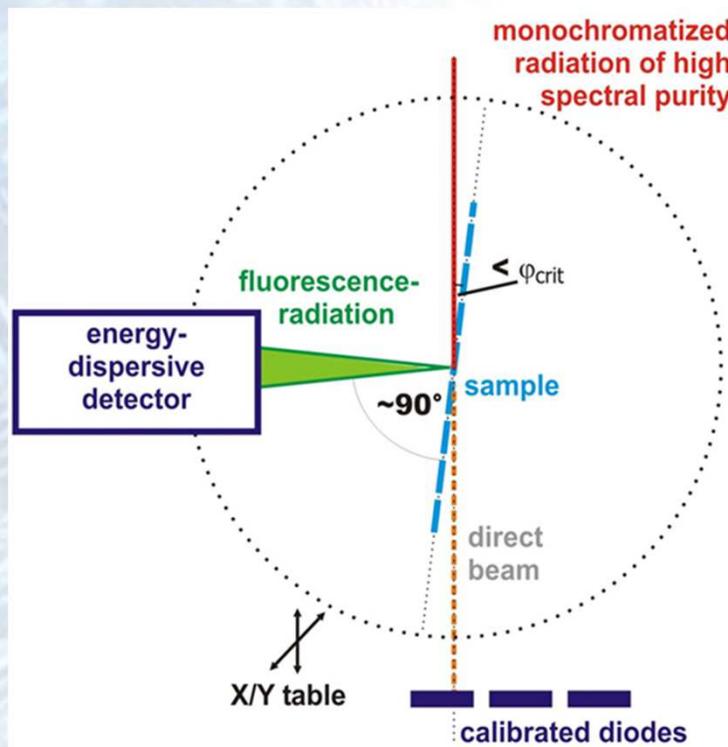
- 45°/45° geometry: aperture – solid angle of detection
- synchr. rad.: high spectral purity -> low background in XRF and NEXAFS spectra
- incident photon flux: calibrated photo diode - spectral responsivity
- fluorescence radiation: calibrated energy-dispersive detectors, e.g. Si(Li) or SDD
-> accurate spectral deconvolution and detector efficiency



spectral deconvolution XRF spectrum

Grazing incidence geometry (TXRF, GI-XRF)

- grazing incidence -> high sensitivity at surface, nanolayers and interfaces
- characterized beam profile allows for solid angle determination
- calibrated instrumentation -> reference-free GI-XRF measurements
- X-ray Standing Wave (XSW) field have to be taken into account!

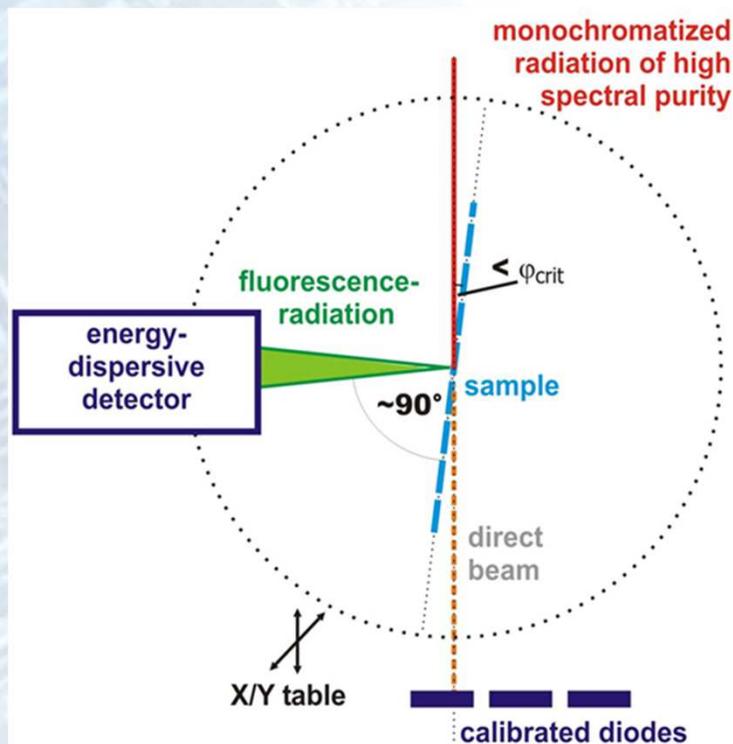


lateral intensity profile effective solid angle of detection

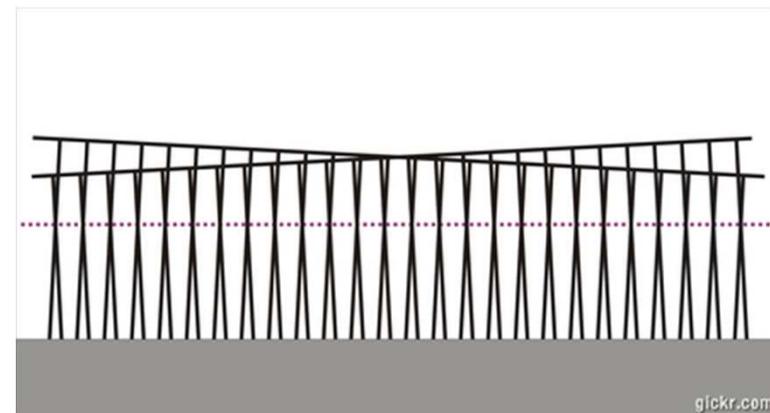
Anal. Chem. 79, 7873–7882 (2007)

X-ray standing wave field

- constructive interference of incident and reflected beam
- varying intensity with depth can be used for depth profiling
- accurate knowledge needed for reliable quantification

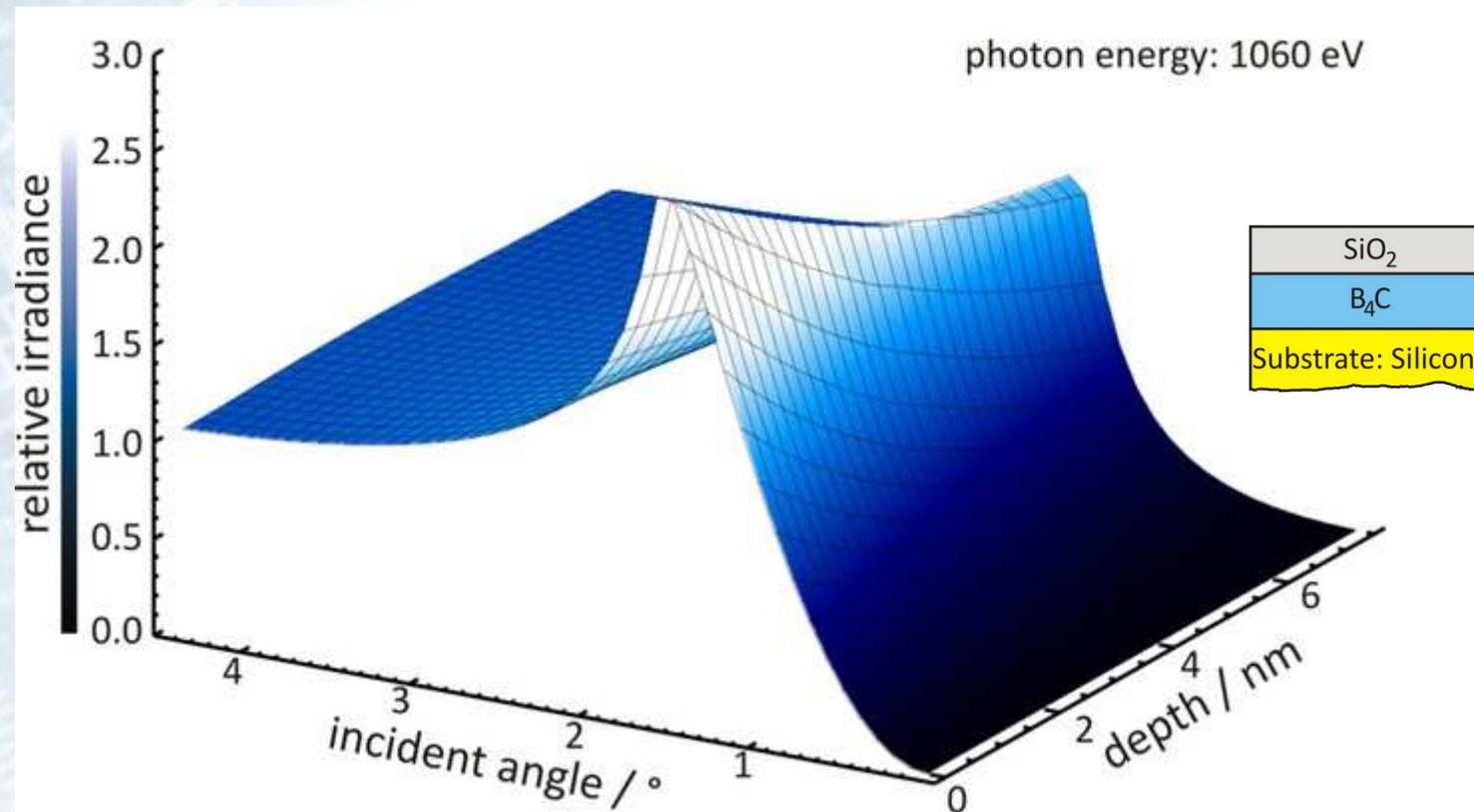


Position (depth) of interference fringes depends on the angle of incidence



X-ray standing wave field (TXRF, GI-XRF)

- calculation of the XSW field -> free codes available (e.g. IMD*)
- XSW depends on the sample system; e.g. composition, layer thickness, etc.
- iterative XSW calculations for optimization of the sample composition

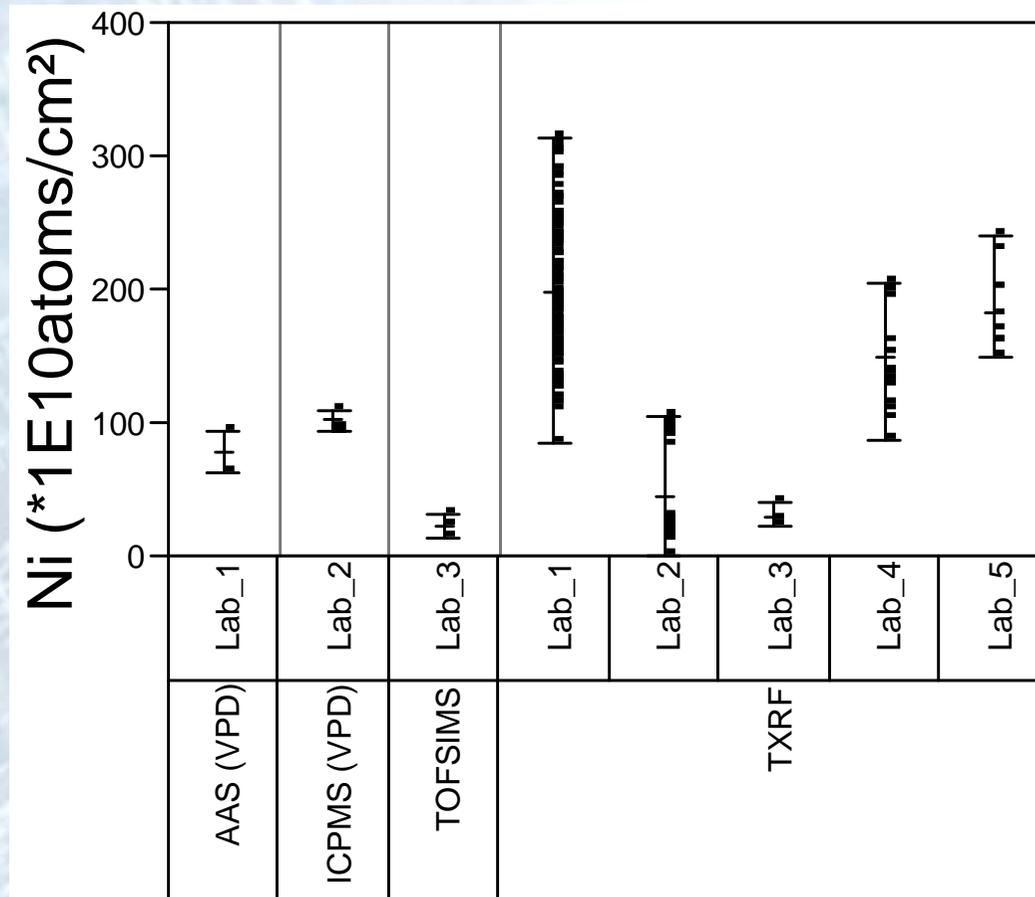


*D.L. Windt, *Computers in Physics* **12**, 360 (1998)

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TXRF for surface analysis

Comparison of various analytical laboratories



Quantitative analysis of nickel surface contamination on 300 mm silicon wafers.

Controlled contamination by spin-coating, nominal level 10^{12} at/cm².

NB: The numbering of the labs and of the analytical methods is not correlated.

Range of analytical results at various installations

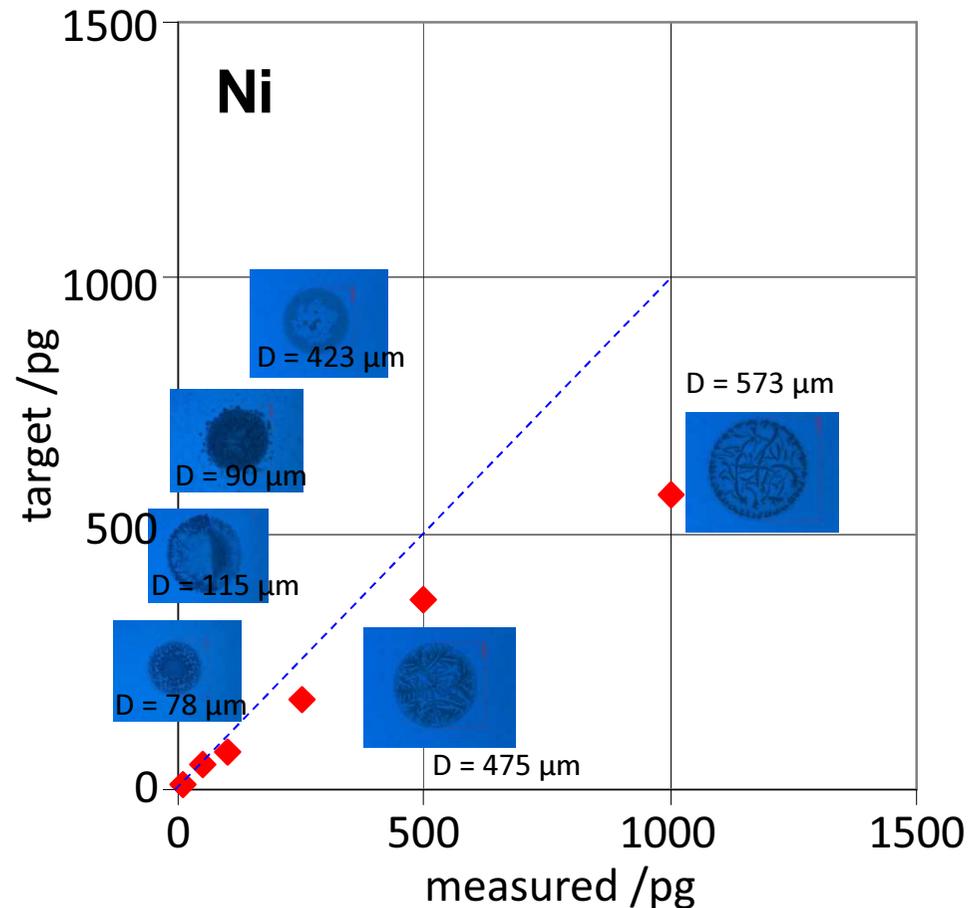
Impact of droplet quality on quantification

Sample information

- 150 mm wafer
- droplets 10, 50, 100, 500, 1000 pg of Mn, Fe, Co, Zn, Ni and Zn

Optical Microscopy

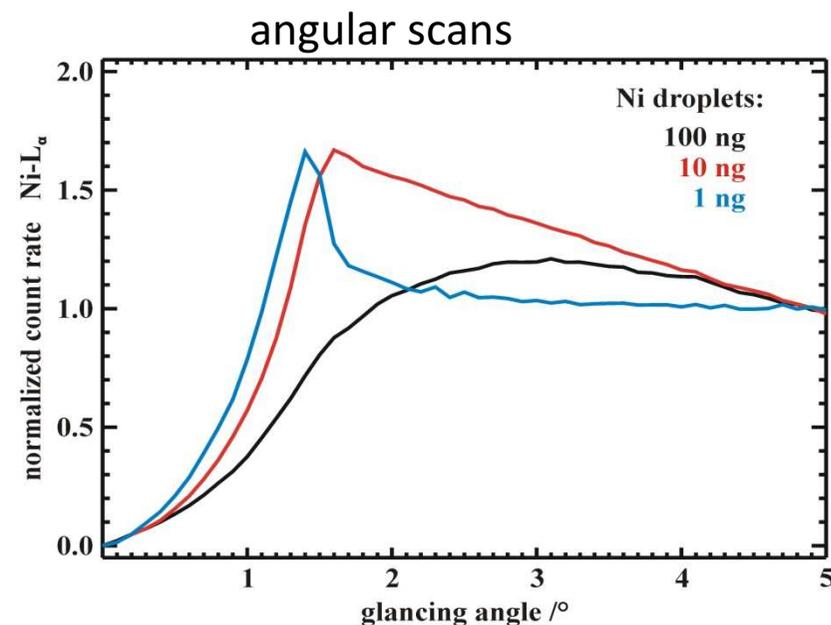
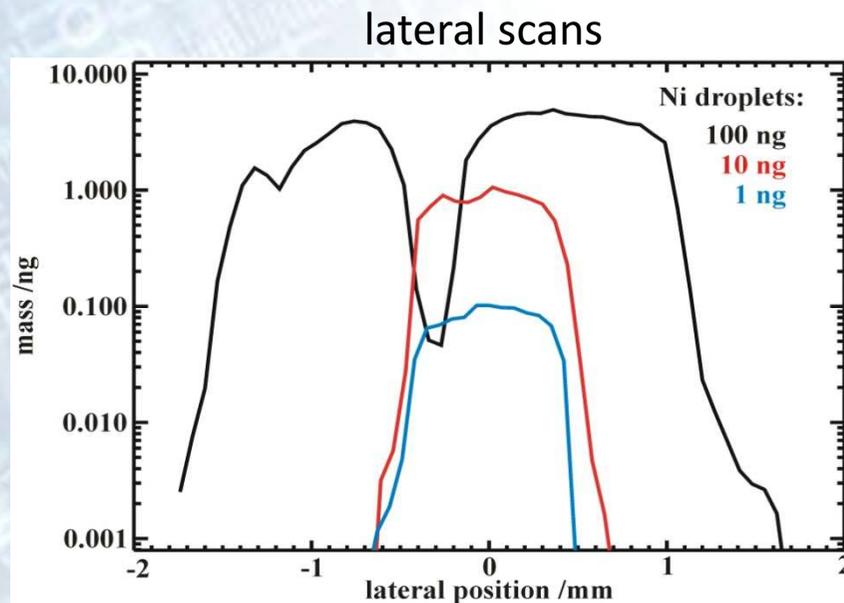
- droplet size scales with Ni amount
- crystallization observed



Courtesy of Andreas Nutsch (form. IISB Erlangen)

Characterization of calibration droplets using a small beam profile

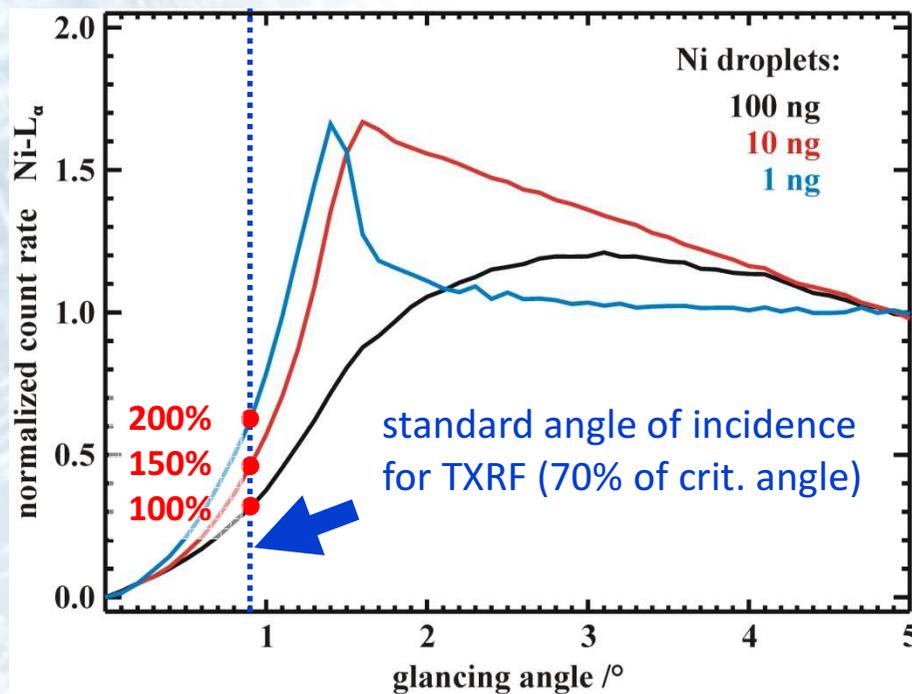
- inhomogeneities and absorption saturation associated with calibration droplets in TXRF analysis
- different shapes of the angular scans



Characterization of calibration droplets using a small beam profile

Potential reason for deviations in results of pre-calibrated TXRF instruments

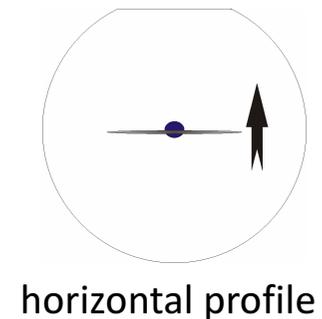
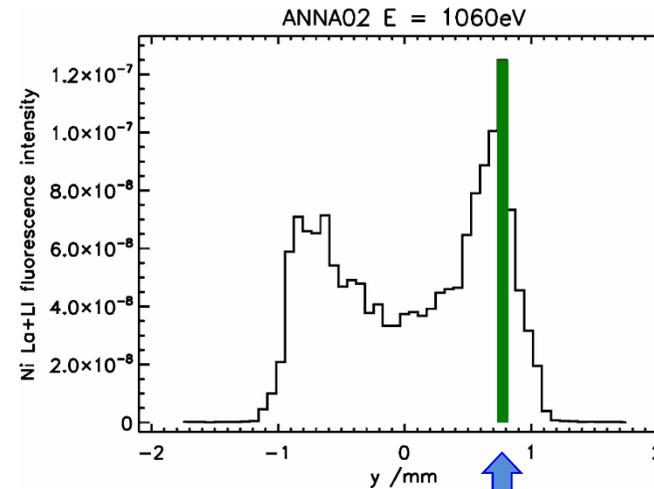
Solution: reference-free validation by “lateral slicing” and “angular scanning”
of droplets possible determination of correction factors



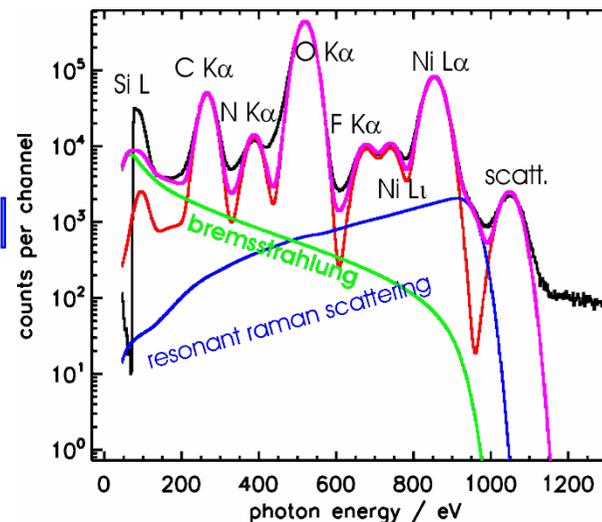
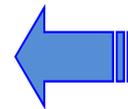
Solide State Phenom. 187, p291 (2012)

Reference-free analysis of a calibration droplet

- 1 ng Ni droplet (nominal)
- radiometrically calibrated instrumentation (PTB laboratory)
- scanning mass deposition profile with 70 μm beam

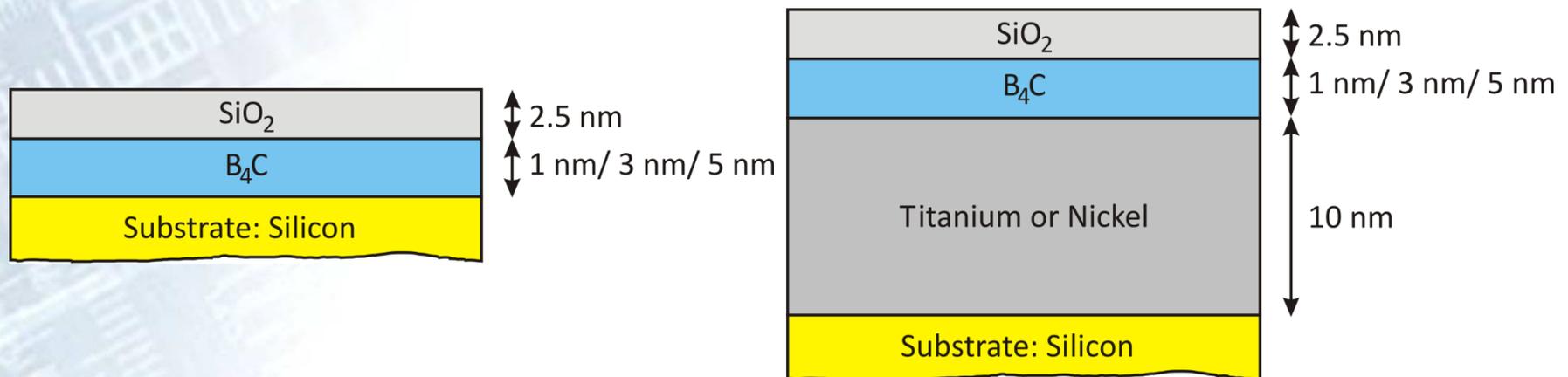


- mass in beam $69 \text{ pg} \pm 14 \text{ pg}$
- total droplet mass $890 \text{ pg} \pm 180 \text{ pg}$



Quantification: conventional XRF vs GI-XRF

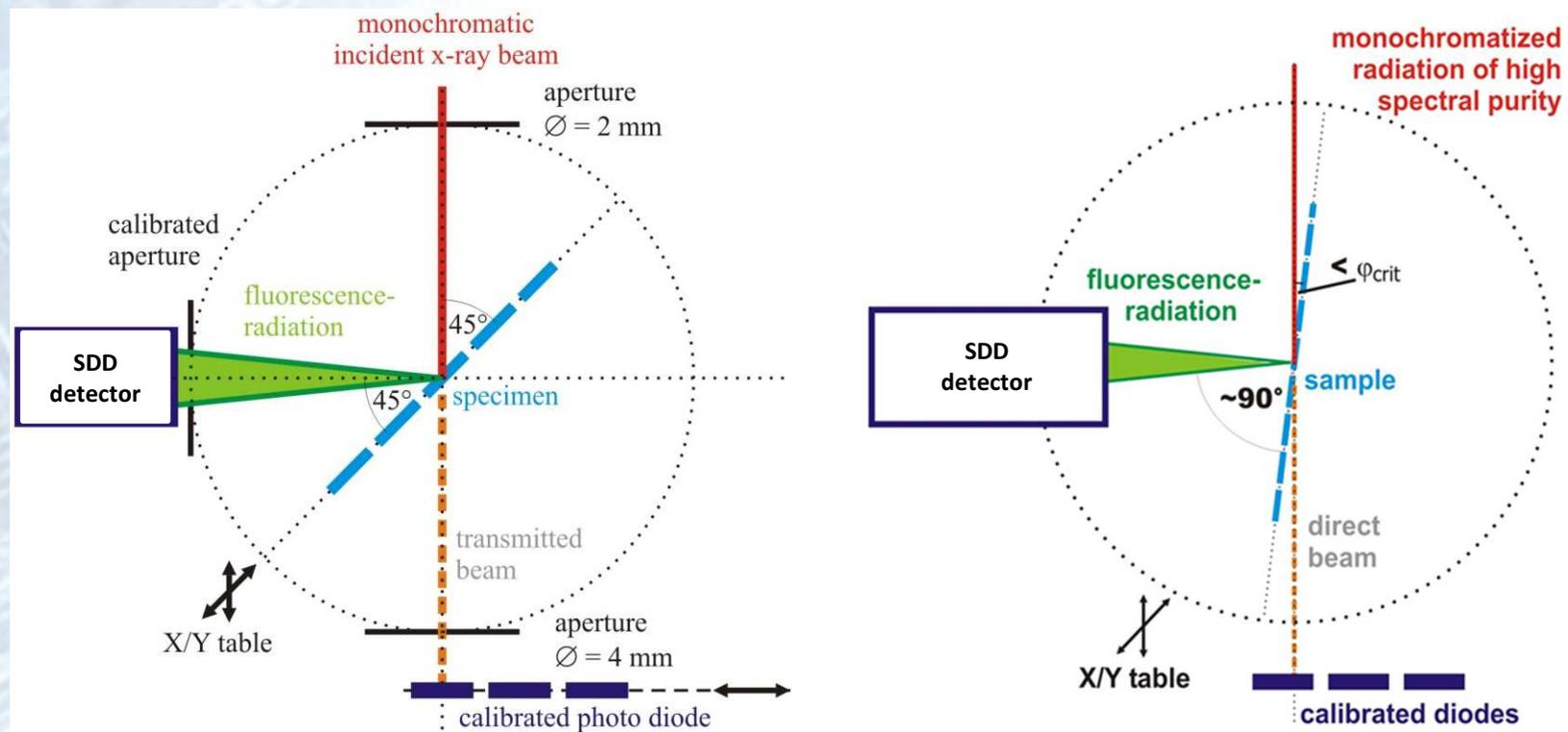
- nanolayered system, with and without buried metal layer
- the metal layer increases the XSW intensities in the B_4C layer
- Objectives:
 - determination of the boron carbide layer thickness
 - validation of the XSW calculations



Anal. Chem. 83, 8623-8 (2011)

XRF vs GI-XRF

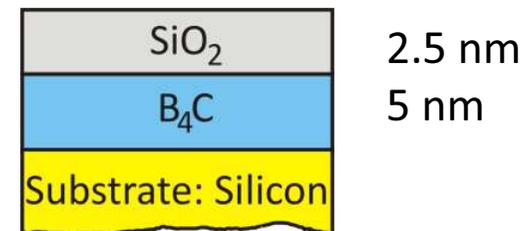
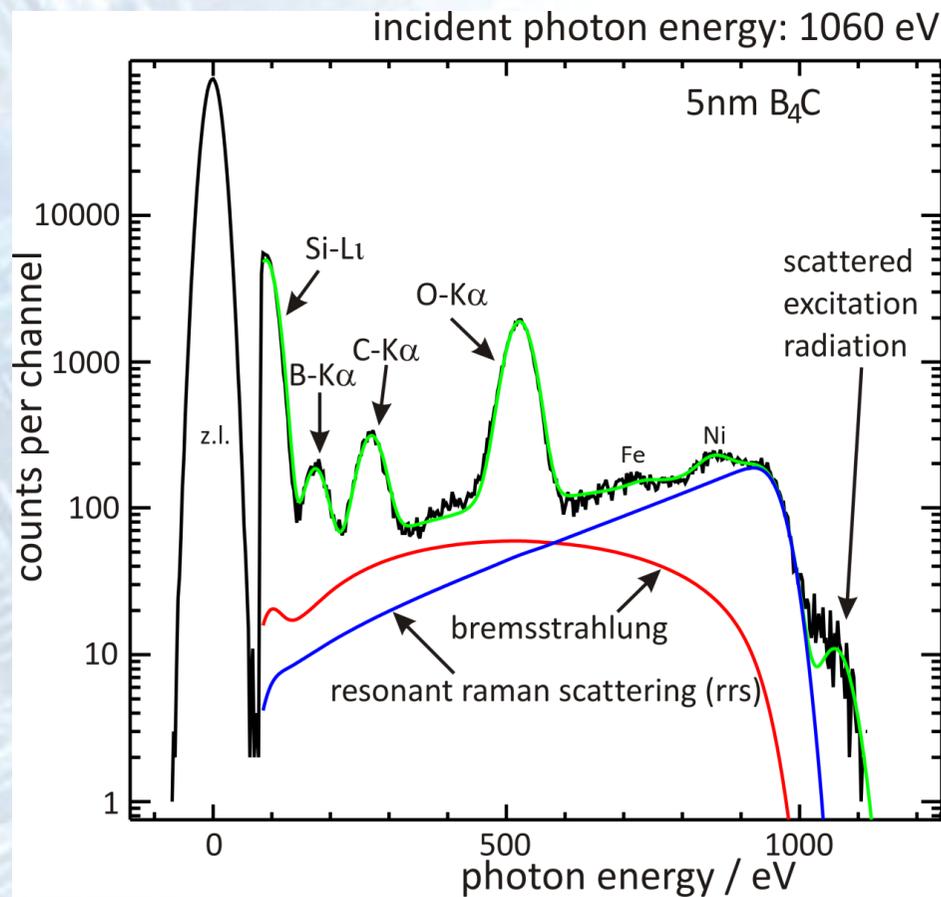
- UHV chamber allows for variable beam geometries: TXRF, GI-XRF and 45°/45°
- same instrumentation have been used for both XRF and GI-XRF geometry
- energy-dispersive SDD with calibrated response behavior and efficiency



Anal. Chem. 83, 8623-8 (2011)

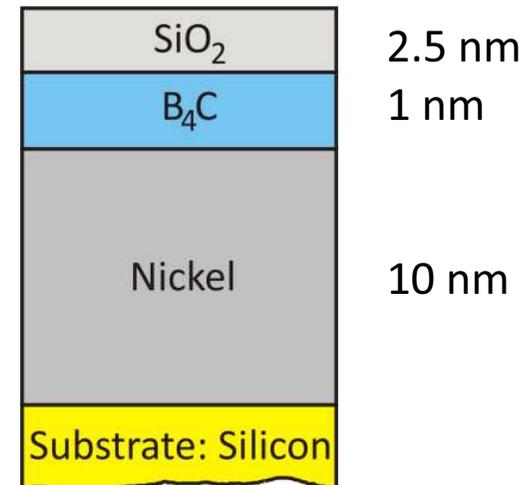
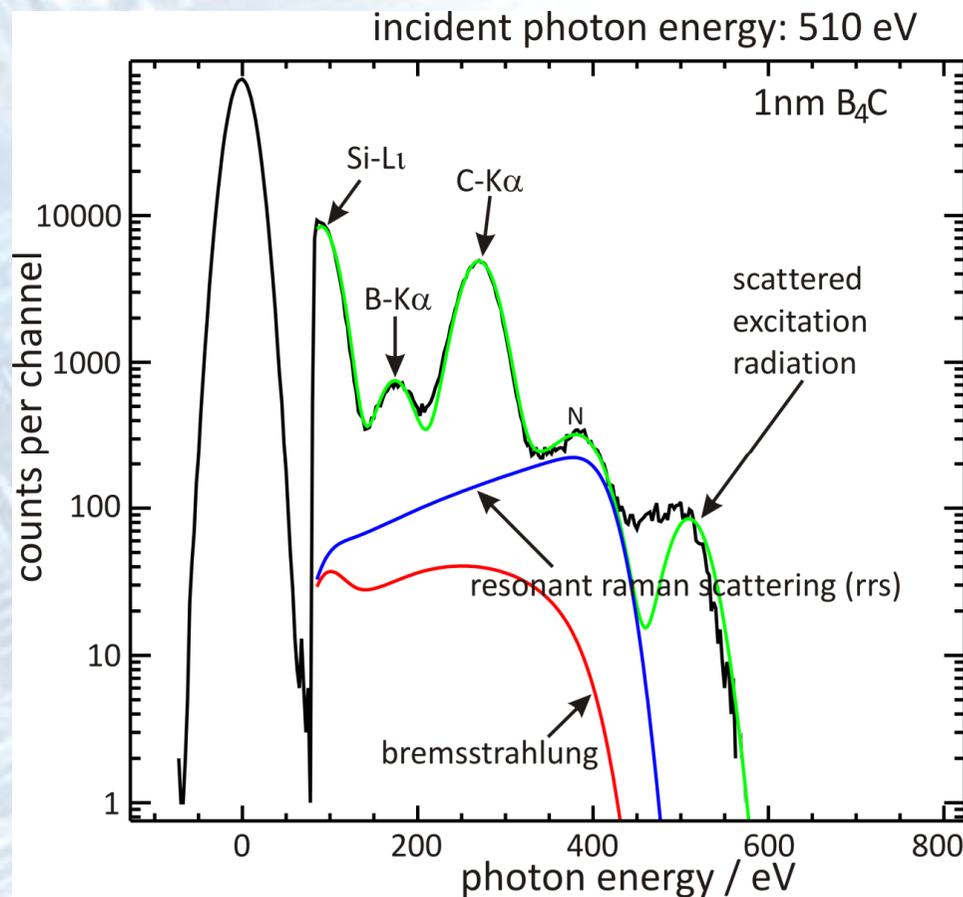
Results conventional XRF

- example: fluorescence spectrum of the sample without metal layer



Results conventional XRF

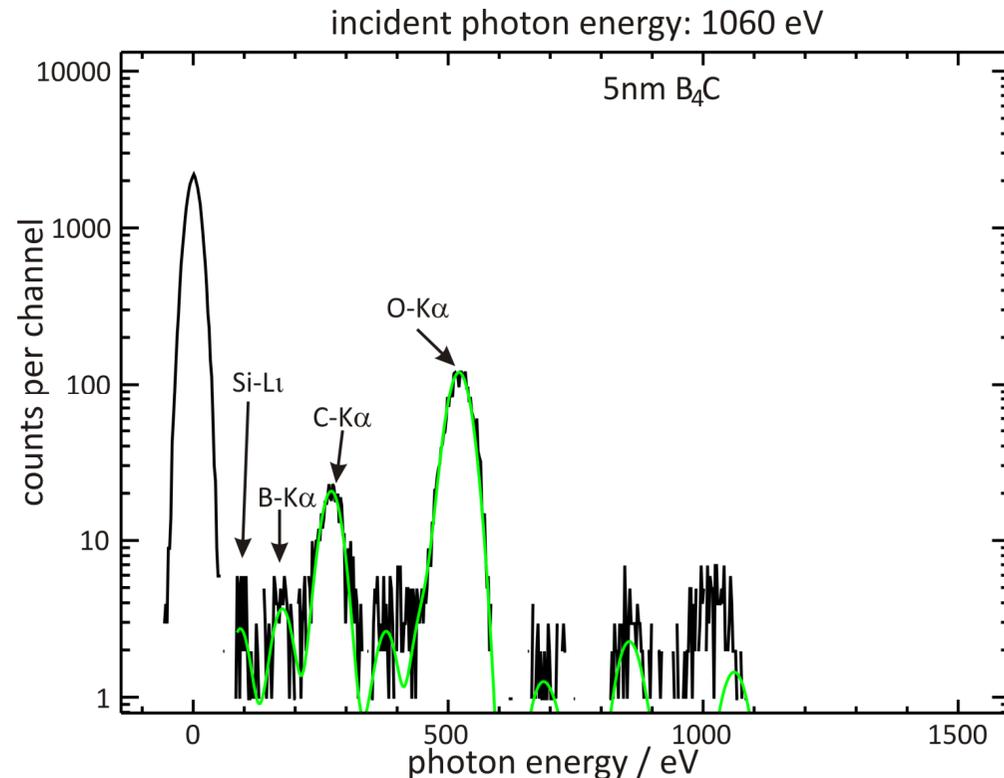
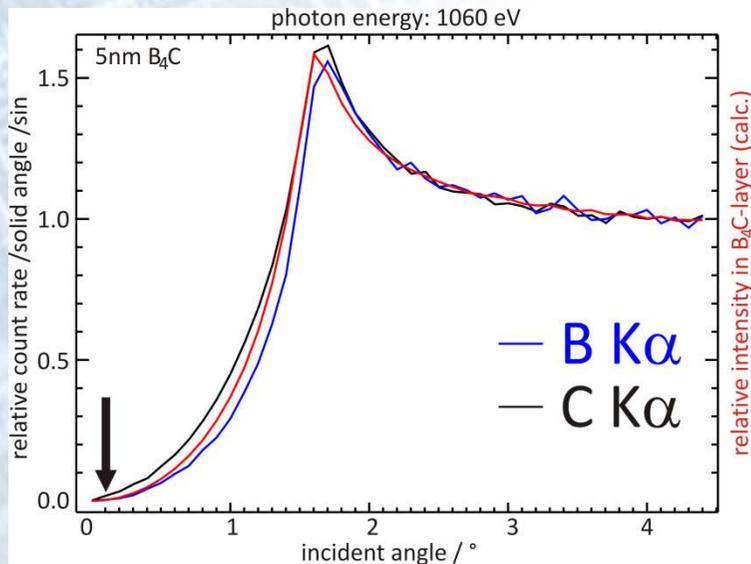
- with Ni metal layer, tailing & shelf of Ni-L lines can cause a high background
- measurement at reduced incident x-ray energies (< Ni L edges)



Results grazing incidence XRF

- sample without metal layer
- very shallow incident angle -> only signals of top layer and contaminations
- carbon could be identified as a surface contamination

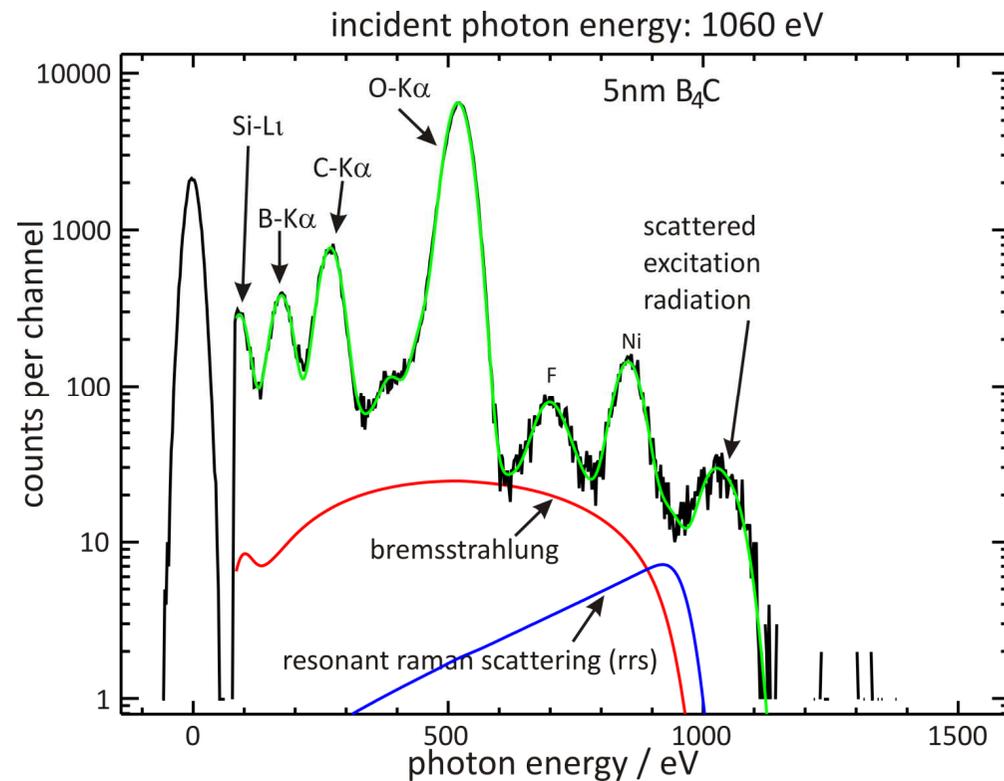
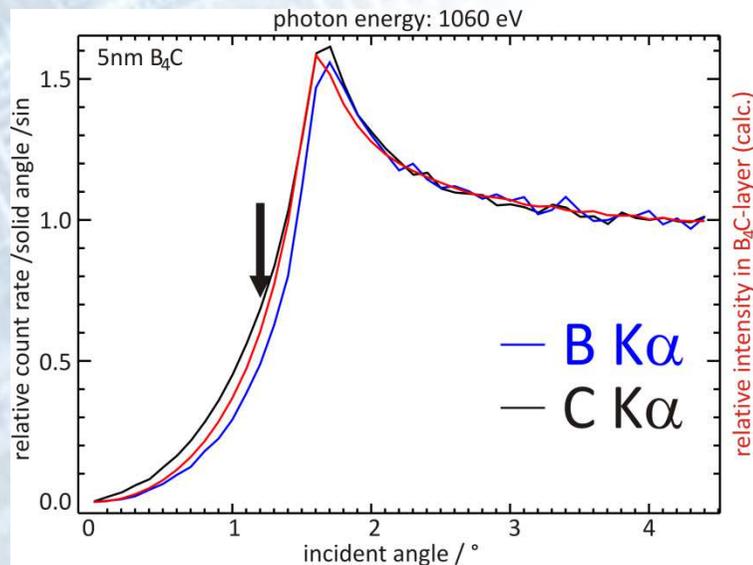
angular slope of C and B signal



Results grazing incidence XRF

- sample without metal layer
- TXRF angle (70 % of the critical angle of total reflection)
- optimal signal to noise ratio

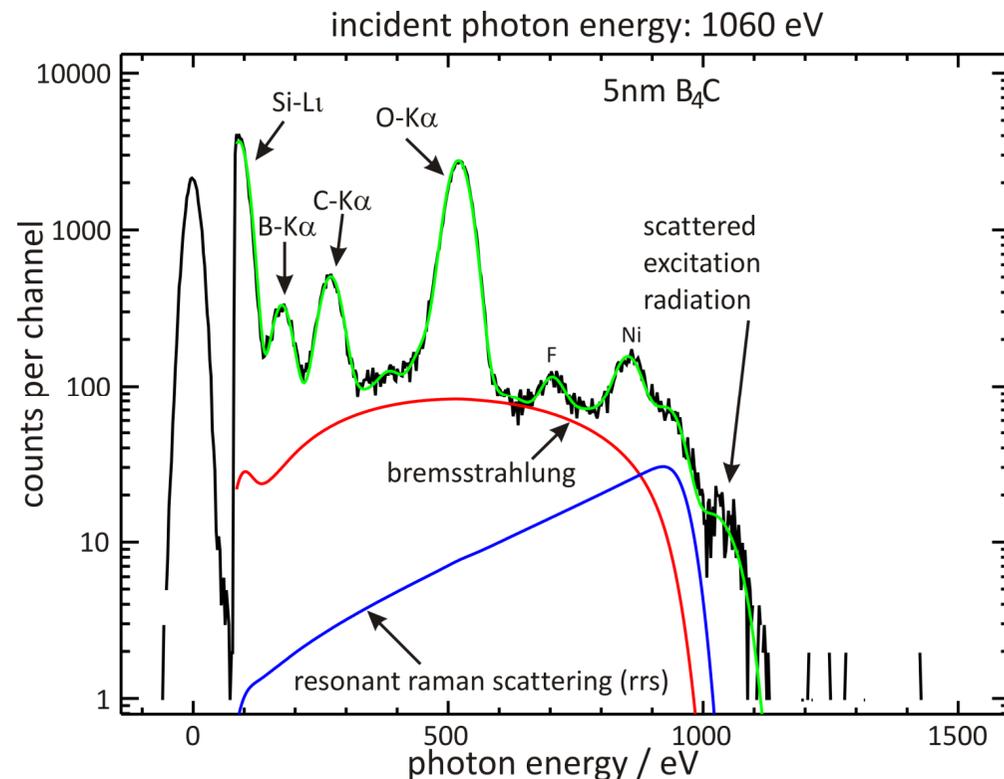
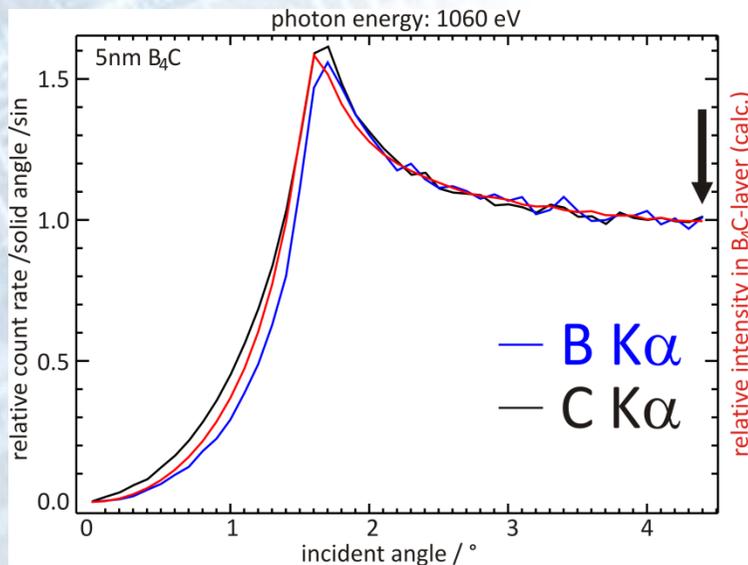
angular slope of C and B signal



Results grazing incidence XRF

- incidence angle far above the critical angle of total reflection
- increased background contribution due to RRS, bremsstrahlung and scattering
- advantage: low influence of the XSW

angular slope of C and B signal



XRF and GI-XRF results (at 510 eV)

- good agreement between XRF and GI-XRF quantification
- increased relative uncertainties for GI-XRF due to XSW calculation and solid angle of detection
- basically high relative uncertainties are caused by the fundamental parameters

reference-free Quantification		1 nm B ₄ C (0.8 nm B) <i>nominal</i>	3 nm B ₄ C (2.4 nm B) <i>nominal</i>	5 nm B ₄ C (4.0 nm B) <i>nominal</i>
without metal layer	XRF	0.9 ± 0.3	2.6 ± 0.7	4.2 ± 1.1
	GI-XRF	0.7 ± 0.3	2.0 ± 1.1	3.8 ± 1.3
with 10nm Ti layer	XRF	0.8 ± 0.3	2.5 ± 0.6	4.0 ± 1.0
	GI-XRF	0.7 ± 0.3	2.3 ± 0.8	3.7 ± 1.2
with 10nm Ni layer	XRF	1.0 ± 0.3	2.7 ± 0.7	4.3 ± 1.1
	GI-XRF	0.6 ± 0.3	1.9 ± 1.0	3.5 ± 1.2

Anal. Chem. 83, 8623-8 (2011)

High-k nanolayers and passivation

Buried passivation layer on the interface of Ge substrate and high-k nanolayer

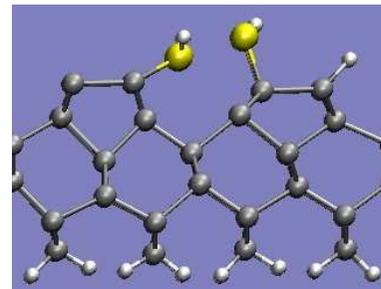
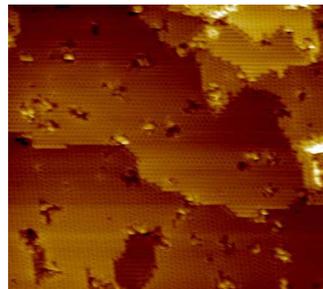
- GeO_x interface reduces the high-k quality
- preventing oxidation by passivation of Ge surface
- passivation treatment by (NH₄)₂S solution after removal of the native oxide layer (HF-dip)
- potential modification of the passivation by the deposition of 5 nm to 10 nm thick high-k cap layer

high-k (e.g. 5 nm HfO₂)

S passivated interface
(S monolayer ~0.3 nm)

Ge wafer

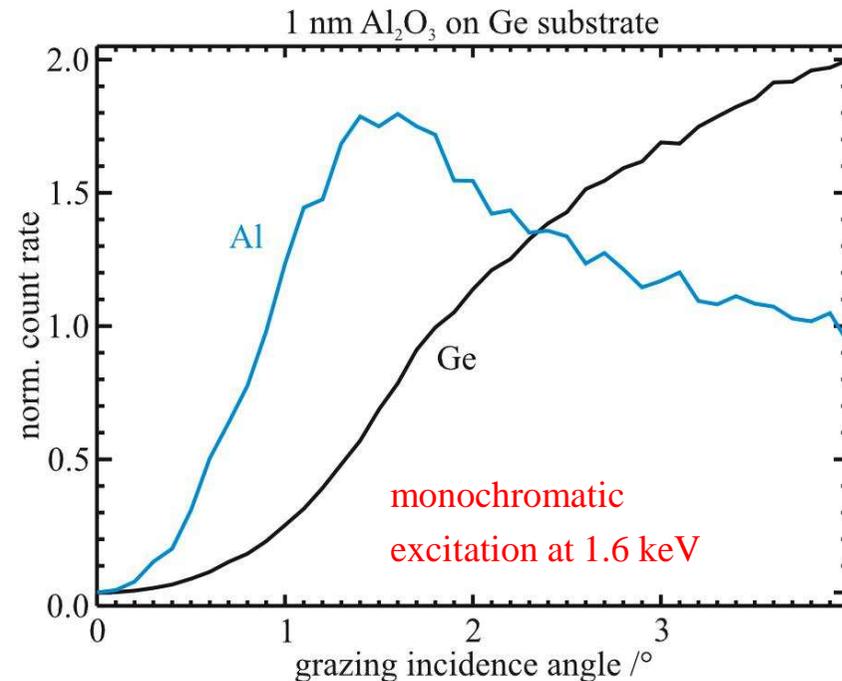
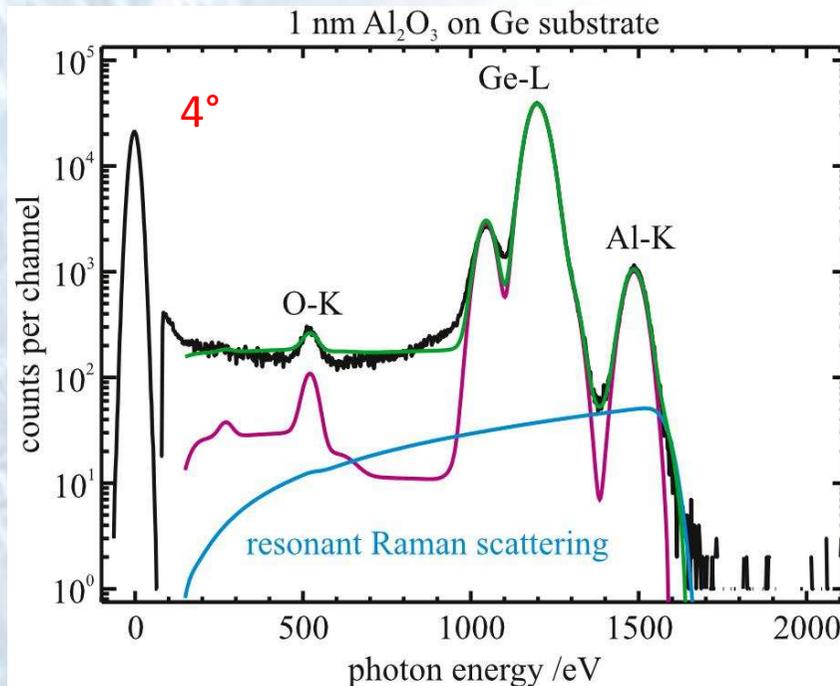
STM image of a passivated Ge surface (50 nm x 50 nm)



IMEC / K.U. Leuven

Quantification of ALD efficiency

Quantification of Al_2O_3 mass deposition using **reference-free XRF** in grazing incidence geometry



- varying Al_2O_3 layer thickness => changing XSW
- hence measurements performed at 4° incident angle, where no XSW occurs

J. Electrochem. Soc. 158, H1090-H1096 (2011)

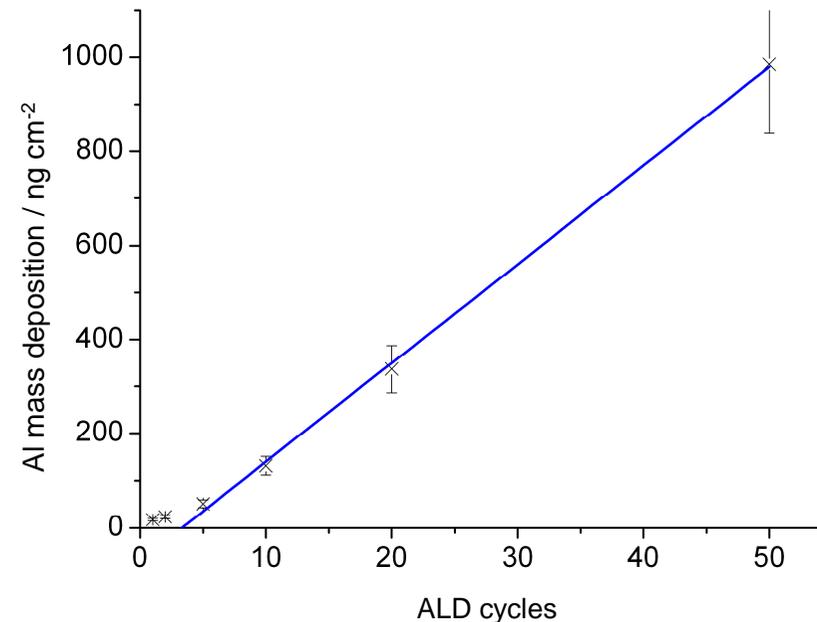
Al₂O₃ on Ge substrate

IMEC & KU Leuven

- How efficient is the ALD deposition of the high-k material?

=> Determination of the mass deposition by GI-XRF

S-passivation	high-k=TMA/H ₂ O	nm	ng/cm ²
yes	1 cycle	0,05	18
yes	2 cycles	0,06	24
yes	5 cycles	0,13	52
yes	10 cycles	0,33	132
yes	20 cycles	0,86	337
yes	50 cycles	2,5	985



- linear growth on passivated Ge substrate after 2 cycles

J. Vac. Sci. Technol. A 30, 01A127-1 (2012)

Quantification of ALD efficiency

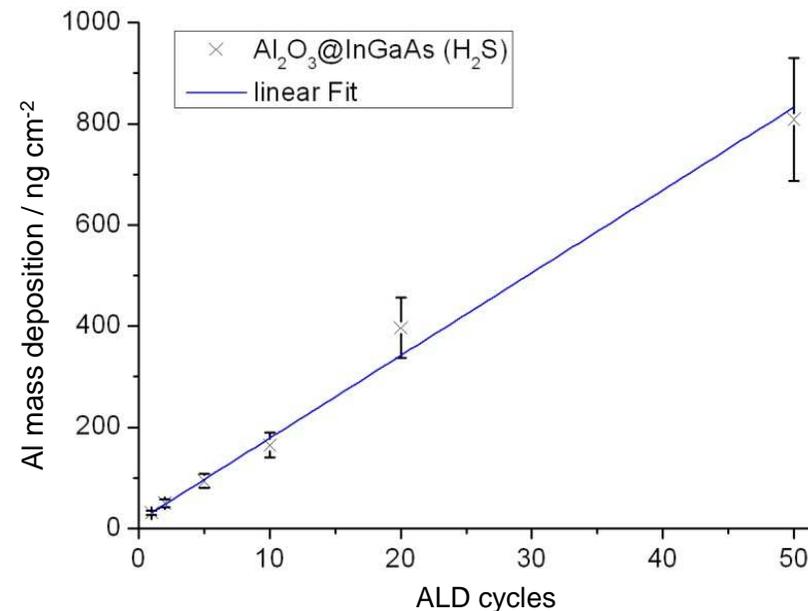
Al₂O₃ on InGaAs substrate

IMEC & KU Leuven

- How efficient is the ALD deposition of the high-k material?

=> Determination of the mass deposition by GI-XRF

S-passivation	high-k= TMA/ H ₂ O	nm	ng/cm ²
yes	1 cycle	0,078	30,5
yes	2cycles	0,127	49,8
yes	5cycles	0,238	93,5
yes	10 cycles	0,437	164,6
yes	20 cycles	1,011	396,2
yes	50 cycles	2,062	808,5



- linear growth on passivated InGaAs substrate after the 1st cycle

J. Vac. Sci. Technol. A 30, 01A127-1 (2012)

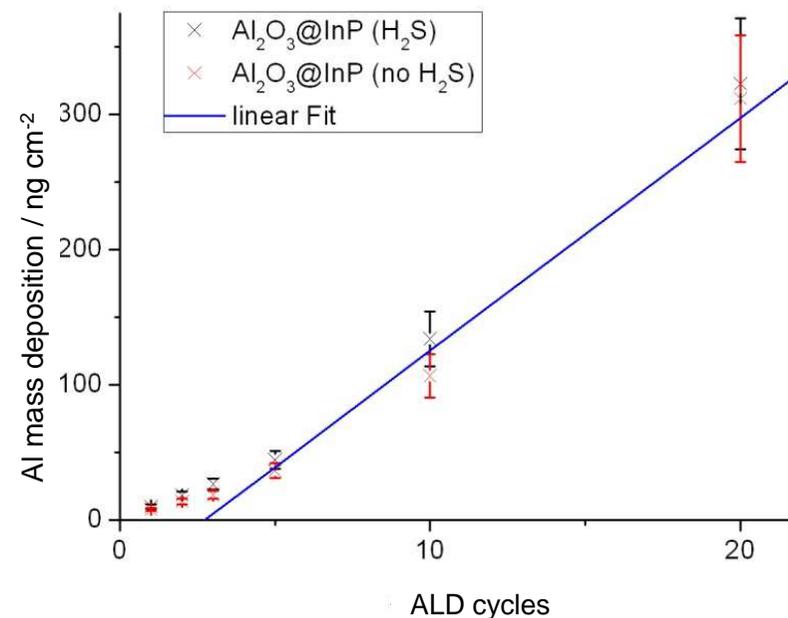
Quantification of ALD efficiency

Al₂O₃ on InP substrate

IMEC & KU Leuven

- How efficient is the ALD deposition of the high-k material?
- What is the impact of the passivation layer on the ALD process?

S-passivation	high-k=TMA/H ₂ O	ng/cm ²
yes	1 cycle	10,2
yes	2 cycles	18,4
yes	3 cycles	26,7
yes	5 cycles	44,5
yes	10 cycles	134,0
yes	20 cycles	322,6
no	1 cycles	8,0
no	2 cycles	13,7
no	3 cycles	18,8
no	5 cycles	36,6
no	10 cycles	106,6
no	20 cycles	311,6

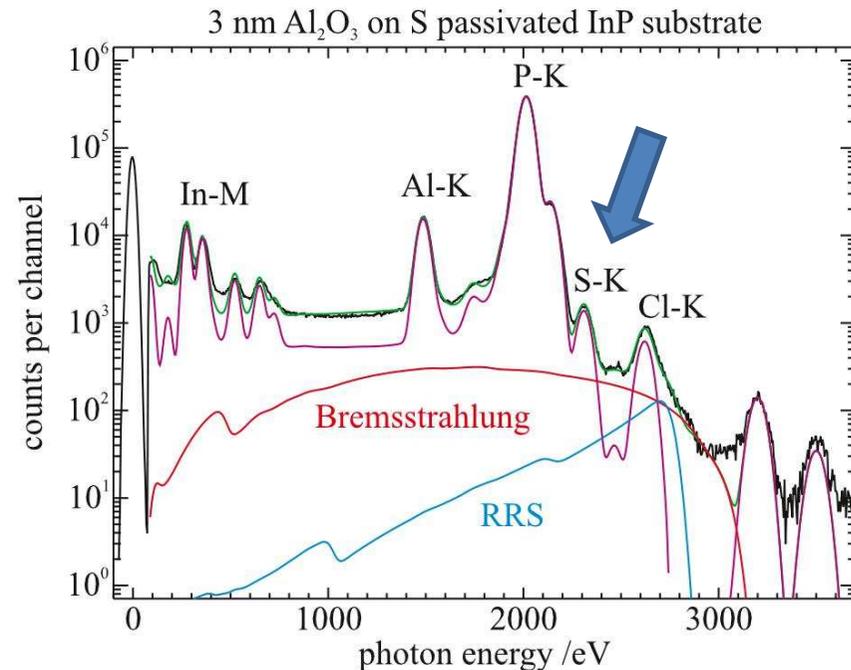
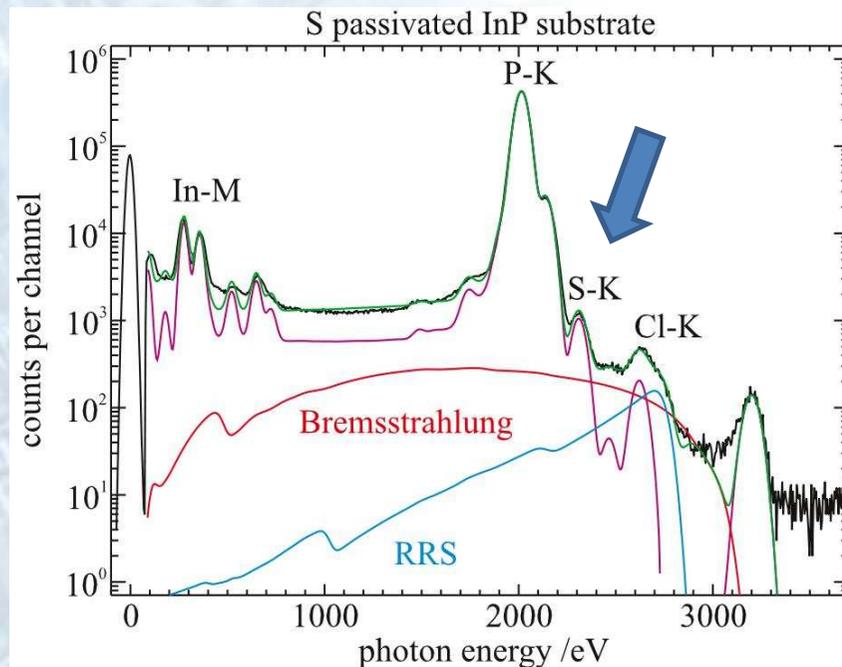


- linear growth on passivated InP after 3 cycles
- impact of passivation is low

Characterization of buried passivation layer **PTB**

Al_2O_3 on InP substrate

- What happens with the passivation layer during the high-k deposition?



- quantification of sulfur feasible for sub-monolayers

angle of incidence 1.3° ; monochromatic excitation at 3.2 keV

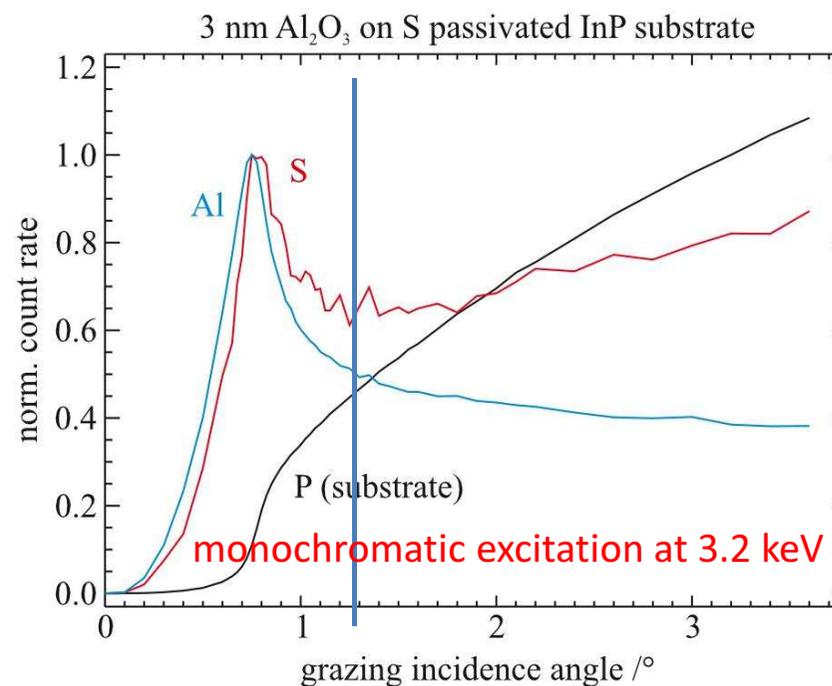
Characterization of buried passivation layer **PTB**

Al₂O₃ on InP Substrate

- What happens with the passivation layer during the high-k deposition?
=> XRF quantification of the S mass deposition

Al [at/cm ²]	Al ₂ O ₃ [nm]	S [at/cm ²]
5.7 10 ¹³	0.007	3.6 10 ¹⁴
1.8 10 ¹⁶	2.1	4.9 10 ¹⁴

- sulfur layer is stable at the interface during high-k deposition



Solid State Phenom. 195, p95 (2013)

Near Edge X-ray Absorption Fine Structure (NEXAFS)

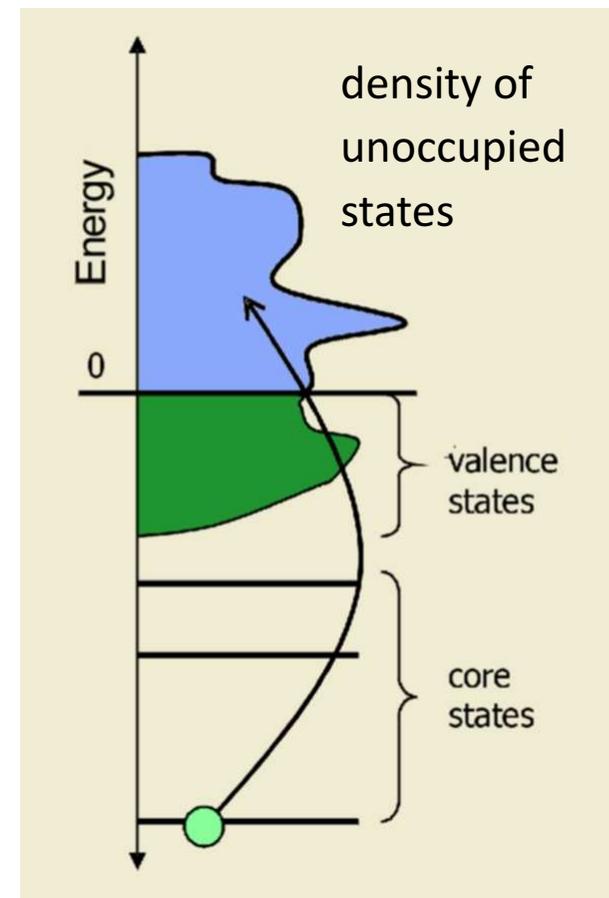
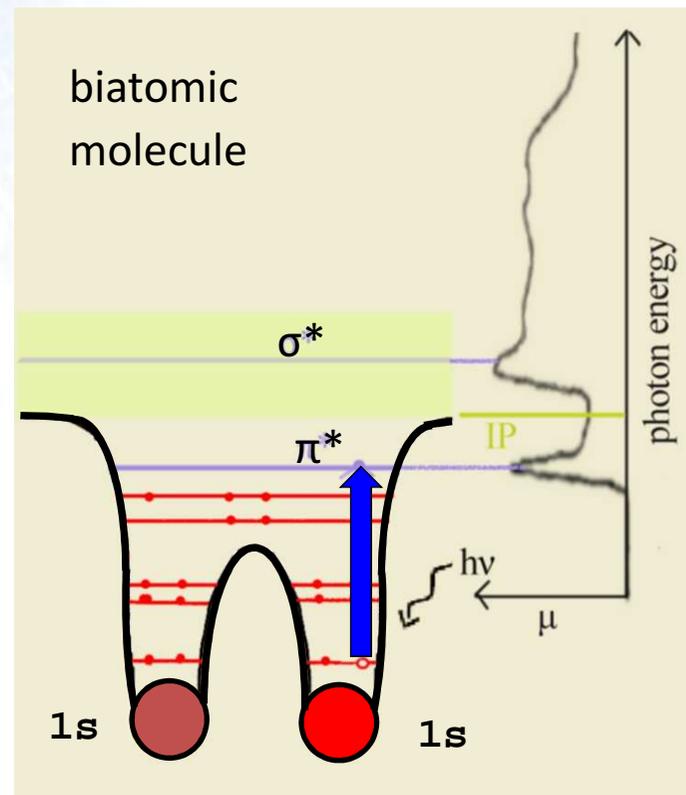
π^* resonances occur for unsaturated bonds ($=, \equiv$)

π^* resonances have lower energies and smaller energetic width than the σ^* resonances

resonance energies increase with the bond strength:

$$E_{\sigma^*}(\equiv) > E_{\sigma^*}(=) > E_{\sigma^*}(-)$$

$$E_{\pi^*}(\equiv) > E_{\pi^*}(=)$$

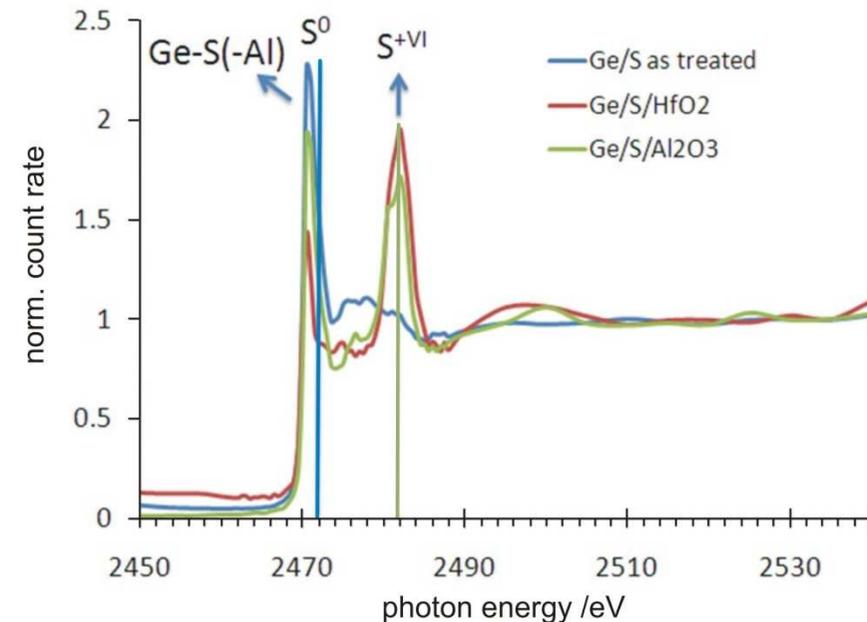
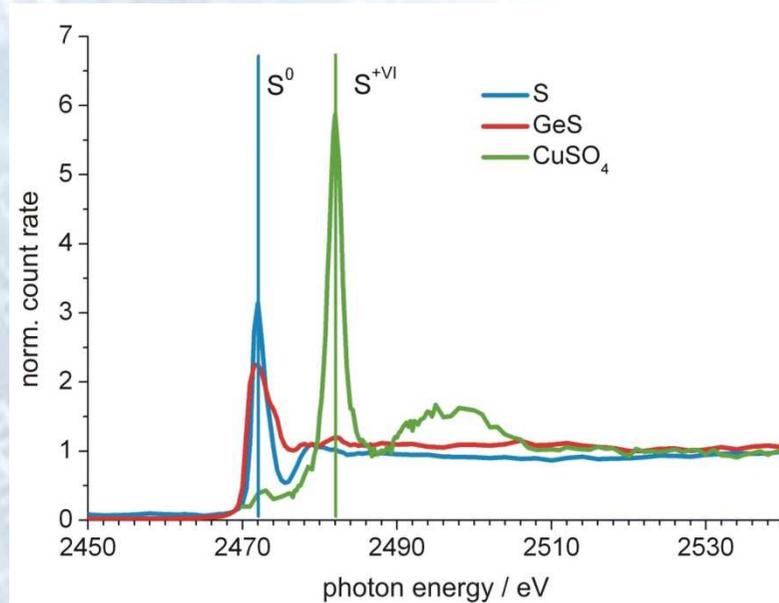


M. Katsikini & E. C. Paloura, Aristotle University of Thessaloniki

HfO₂ and Al₂O₃ on Ge substrate

IMEC & KU Leuven

- What happens with the passivation layer during the high-k deposition?
- => Probing the binding state of sulfur at the buried interface



- oxidized sulfur species were observed after high-k deposition
- theory predicts sulfur bonds to the oxygen of the high-k metal oxides

J. Appl. Phys. 110, 084907 (2011)

Novel cathode material for LiS-Batteries

- High theoretical specific energy density (4-5x Li-ion)
- High capacity of charging and discharging
- Low cost and abundant resources of sulfur
- Limitation: Loss of active material due to polysulfide dissolution



Approach:

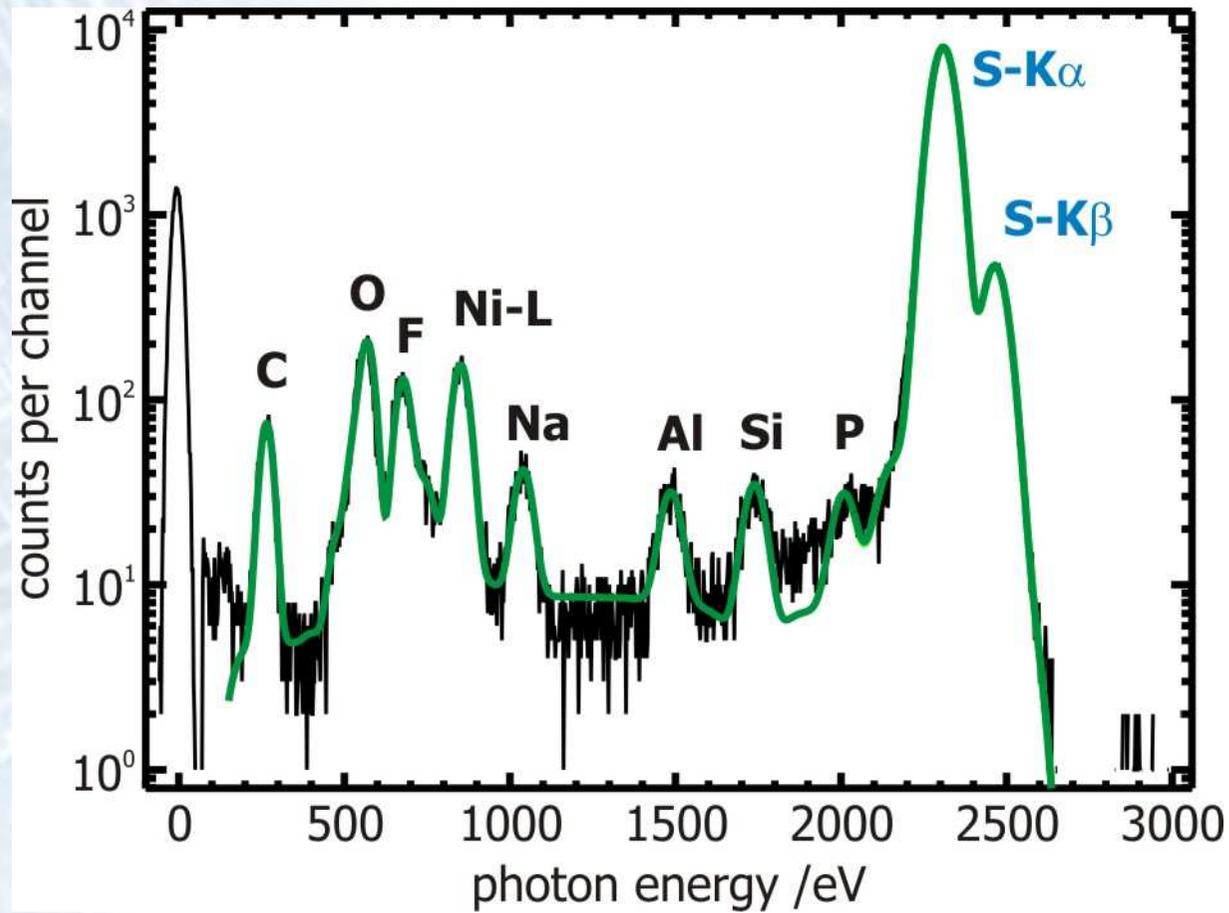
- **nano- and micro- porous carbon** to **trap sulfur** inside the small pores and access of the active ions through its **very high surface area**

Objectives material characterization:

- control of intercalation of sulfur into the porous carbon matrix
- which side reactions take place, can we suppress the polysulfide shuttle

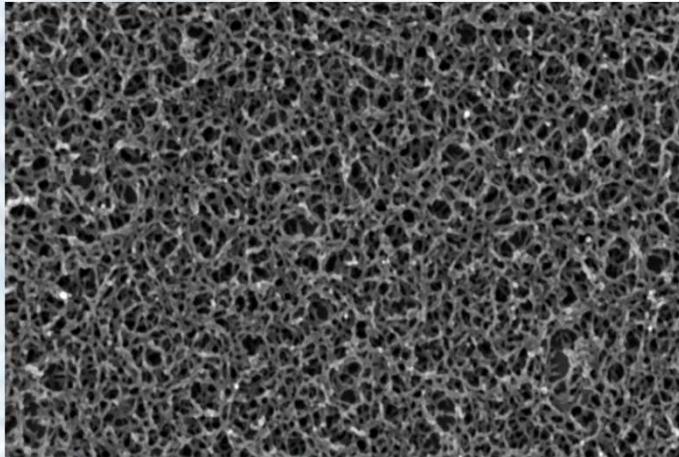
X-ray fluorescence spectrum

Typical spectrum of LiS cathode material

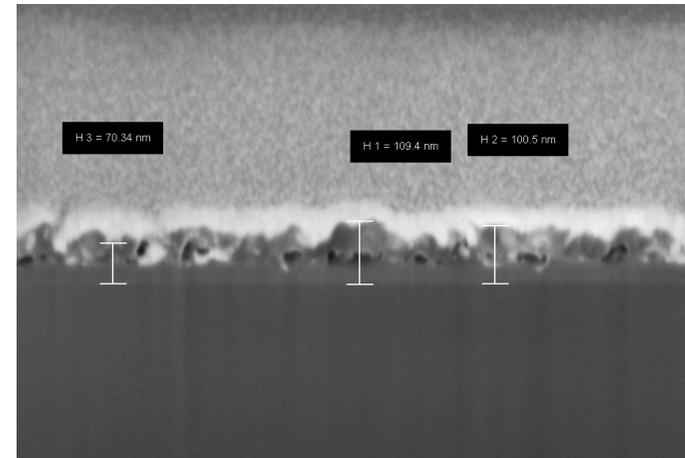


Energy of the incident radiation 2520 eV

Initial LiS cathode material



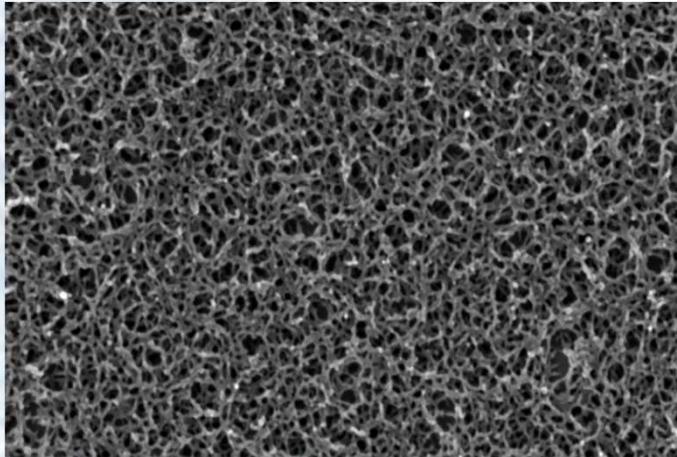
BCP nanotemplate after carbonization



FIB cross section of porous carbon

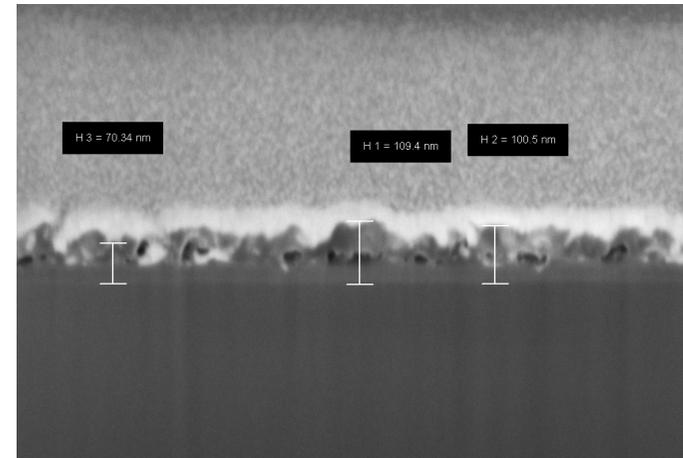
- Thickness of about 100 nm
- Analytical Questions:
 - Degree of filling with sulfur
 - Depth distribution of sulfur
 - Chemical state of sulfur after intercalation

Initial LiS cathode material

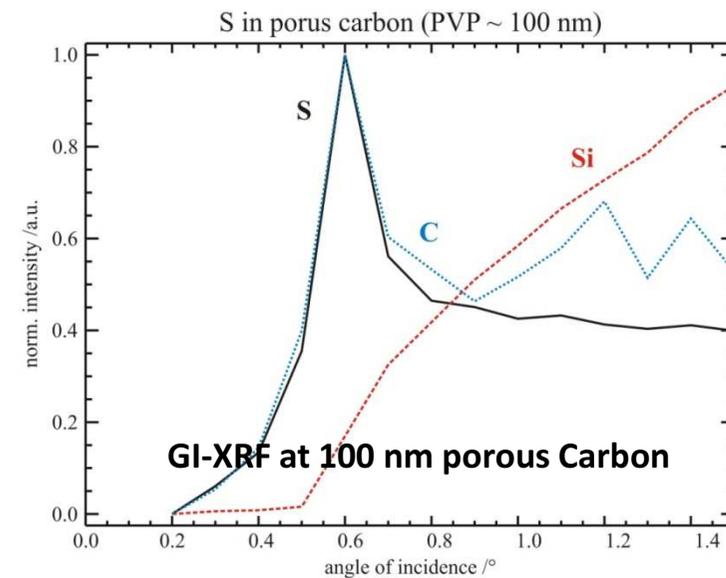


BCP nanotemplate after carbonization

- GI-XRF profile => indicates that sulfur is homogeneous distributed in depth
- elemental sulfur after intercalation has been confirmed by NEXAFS (melting at 150°)

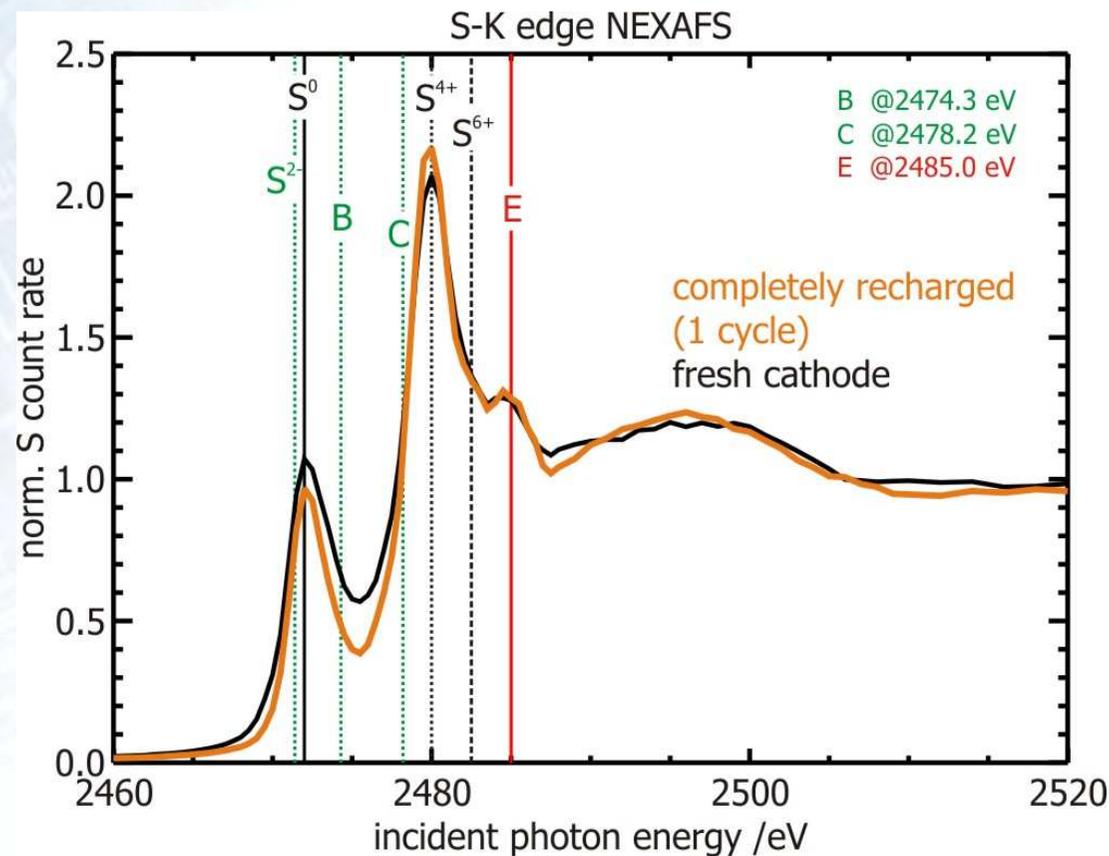


FIB cross section of porous carbon

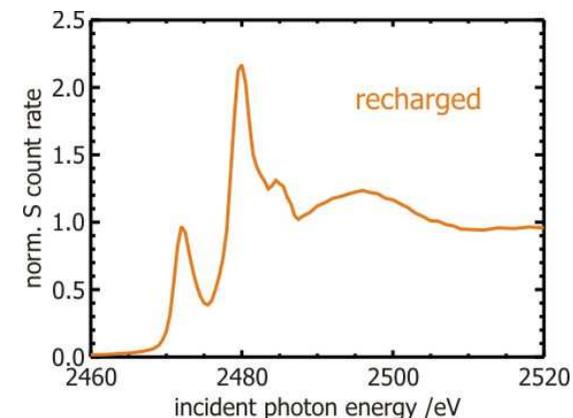
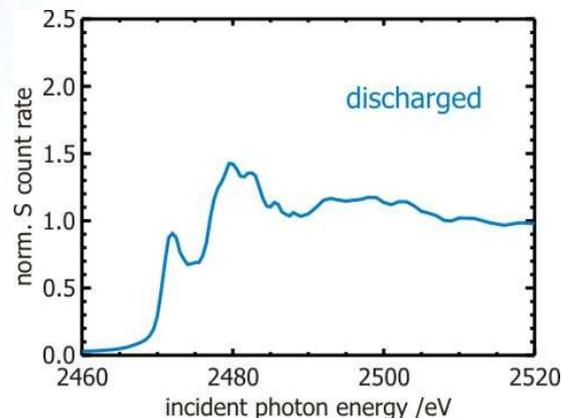
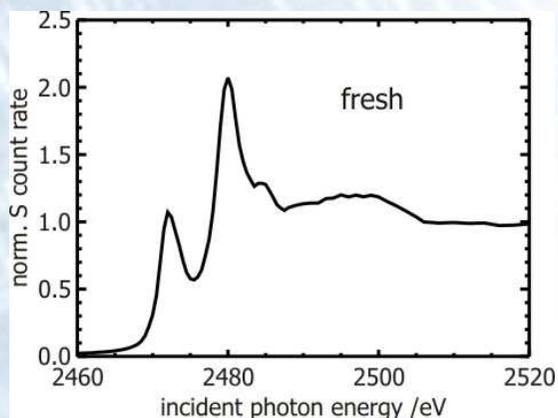


NEXAFS of a porous carbon cathode completely recharged (1 cycle)

- nearly the same structure as for the fresh cathode
- changed ratio of elemental/reduced sulfur to oxidized sulfur



Chemical speciation of thick cathode films



- Discharged cathode shows different NEXAFS structure, similarities with polysulfide
- Recharged cathode shows nearly the same NEXAFS structure as the fresh cathode, but different ratio between S(0) and S(4+) resonance
- Potential problem: oxidation due to ambient air exposure between electrochemical and x-ray spectrometric characterization
- In-situ measurements needed for more reliable correlation

- fundamental parameter based quantification can reduce the dependency to appropriate reference materials
- radiometrically calibrated instrumentation allows for reference-free quantification
- grazing incidence x-ray fluorescence analysis has prove as a powerful tool for non-destructive characterization of nanoscaled materials
- tuning the angle of incidence and the x-ray energy allows to investigate buried interfaces, nanolayers and elemental depth profiles
- combined with x-ray absorption spectroscopy the chemical state can investigated in nanolayered materials
- challenge: in-situ measurements for better correlation of physical and chemical properties with the functionality of the material

Thank you for your attention

and for the provision of results:

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