Symmetries of Spin-Orbit Coupled Particles in 3D



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How Dirac's singleton organizes the spectrum of a 3D particle moving in a non-Abelian gauge potential

Towards a geometrical understanding of 3D Landau Levels and Topological Insulators

Radially symmetric problems in 3D

QM 101:

$V(\vec{r}) = V(r)$

Radially symmetric potential V(r) leads to a manifest SO(3) symmetry in the 1-particle spectrum



Enhanced symmetry (i) – Harmonic Osc.

QM 102:

$$V(\vec{r}) = r^2 / 2$$

Spin-less particle in harmonic potential displays degeneracies organized by an SU(3) symmetry



Enhanced symmetry (ii) – Runge Lenz

$$V(\vec{r}) = -q^2 / r$$

Spin-less particle in 1/r potential displays degeneracies organized by an SO(4) symmetry

QM 103:



Enhanced symmetry (ii) – Runge Lenz

QM SO(4) degeneracies can be traced back to an higher integral of motion (Laplace-Runge-Lenz vector) of the classical Kepler orbits



$$H_{\rm HO,SO} = \frac{p^2}{2} + \frac{r^2}{2} - 2\vec{L}\cdot\vec{S}$$

today:

Spin-1/2 particles in harmonic potential and with SO coupling of specific strength displays degeneracies organized by a `stretched SO(3,2)' non-compact symmetry.

Physical states grouped into (truncations of) singleton representation of SO(3,2).

$$H_{\rm HO,SO} = \frac{p^2}{2} + \frac{r^2}{2} - 2\vec{L}\cdot\vec{S}$$

quick determination spectrum:

SO term shifts HO energies according to

$$2\vec{L}\cdot\vec{S} = +l \quad \text{for} \quad j = l + \frac{1}{2}$$
$$= -l - 1 \quad \text{for} \quad j = l - \frac{1}{2}$$

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+ branch: j=l+1/2
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spin-1/2 particle in non-Abelian gauge connection

[Yi Li and Congjun Wu, arXiv:1103.5422]

$$H = \frac{1}{2m} (\vec{p} - \frac{q}{c}\vec{A})^2 + V(r)$$

$$A^{a}_{\alpha\beta} = \frac{1}{2}g\varepsilon_{abc}\sigma^{b}_{\alpha\beta}r^{c}$$

$$V(r) = -\frac{1}{2}m\omega_0^2 r^2$$

$$v_0 = \frac{qg}{2mc}$$

H reduces to $H_{\rm HO,SO}$ by direct expansion

spin-1/2 particle in non-Abelian gauge connection

[Yi Li and Congjun Wu, arXiv:1103.5422]

$$H = \frac{1}{2m} (\vec{p} - \frac{q}{c}\vec{A})^2 + V(r)$$

- Aharanov-Casher potential, TR symmetry unbroken
- 3D generalization of quantum spin Hall hamiltonian
- possible physical realization in strained semiconductors or with ultracold atoms with synthetic spin-orbit coupling

Symmetries of SO coupled HO

$$H_{\rm HO,SO} = \frac{p^2}{2} + \frac{r^2}{2} - 2\vec{L}\cdot\vec{S}$$

Conserved charges: J², L², J_z, A₃, with $A_3 = \vec{L} \cdot \vec{\sigma} + 1$

$$L^{2}\psi_{klm} = l(l+1)\psi_{klm} \qquad J^{2}\psi_{klm} = j(j+1)\psi_{klm}$$
$$A_{3}\psi_{klm} = l'\psi_{klm} \qquad J_{z}\psi_{klm} = m\psi_{klm}$$

Energies of +/- branches

$$E^{+} = 2k^{+} + \frac{3}{2}, \quad k^{+} = 0, 1, \dots \qquad E^{-} = 2k^{-} + \frac{5}{2}, \quad k^{-} = 1, 2, \dots$$



Symmetry operators

angular momentum J⁺, J⁻, J_z

step-operators for A_3 :

$$egin{aligned} & ilde{A}_{+} = rac{1}{\sqrt{2}} (ec{L} \cdot ec{\sigma} a_{3}^{\dagger} - L_{+} a_{+}^{\dagger} - L_{-} a_{-}^{\dagger}) \ & ilde{A}_{-} = rac{1}{\sqrt{2}} (a_{3} ec{L} \cdot ec{\sigma} - a_{+} L_{-} - a_{-} L_{+}) \end{aligned}$$



Symmetry operators

$$\begin{split} \tilde{A}_{+} &= \frac{1}{\sqrt{2}} (\vec{L} \cdot \vec{\sigma} a_{3}^{\dagger} - L_{+} a_{+}^{\dagger} - L_{-} a_{-}^{\dagger}) \\ \tilde{A}_{-} &= \frac{1}{\sqrt{2}} (a_{3} \vec{L} \cdot \vec{\sigma} - a_{+} L_{-} - a_{-} L_{+}) \end{split}$$

Commuting with J⁺, J⁻ leads to

$$\begin{split} \tilde{B}_{+} &= \frac{1}{\sqrt{2}} (\vec{L} \cdot \vec{\sigma} a_{-}^{\dagger} + L_{3} a_{-}^{\dagger} - L_{+} a_{3}^{\dagger}) \\ \tilde{B}_{-} &= \frac{1}{\sqrt{2}} (a_{-} \vec{L} \cdot \vec{\sigma} + a_{-} L_{3} - a_{3} L_{-}) \\ \tilde{C}_{+} &= \frac{1}{\sqrt{2}} (\vec{L} \cdot \vec{\sigma} a_{+}^{\dagger} - L_{3} a_{+}^{\dagger} - L_{-} a_{3}^{\dagger}) \\ \tilde{C}_{-} &= \frac{1}{\sqrt{2}} (a_{+} \vec{L} \cdot \vec{\sigma} - a_{+} L_{3} - a_{3} L_{+}) \end{split}$$

Further commutators give more complicated expressions -> not a closed Lie algebra ... ?!

Symmetry operators - ctd

after rescaling (with $F=H_{HO}+A_3$)

$$egin{aligned} & ilde{A}_+ = \left(F - rac{3}{2}
ight)^{rac{1}{2}} A_+ \ & ilde{A}_- = A_- \left(F - rac{3}{2}
ight)^{rac{1}{2}} \end{aligned}$$

obtain commutators of an SO(2,1) Lie algebra

$$[A_3, A_{\pm}] = \pm A_{\pm} \qquad [A_+, A_-] = -A_3$$

Symmetry operators - ctd

Rescaling explains that physical symmetry operators \tilde{A}_{+} can give **finite** representations whereas those of SO(2,1)generators A_+ are necessarily infinite

 $H_{HO,SO} - \frac{1}{2}$



Holstein-Primakoff

non-linear transformation maps canonical boson (a^+, a) with semi-infinite representations, into Lie algebra SU(2) with finite (dimension 2s+1) representation



Algebra of rescaled symmetry operators





this is non-compact Lie algebra SO(3,2)

Algebraic structure – further results



spectrum generating operators sending $H_{\rm HO,SO} \rightarrow H_{\rm HO,SO} \pm 2;$

full dynamical algebra takes form SO(3,2) x SO(2,1)

Basic SO(3,2) irrep – the singleton

[PAM Dirac, J Math Phys 1963]



3D Landau levels and topological insulator

[Yi Li and Congjun Wu, arXiv:1103.5422]

- Lowest LL: quaternionic analyticity
- density profile peaks near $r = l_g \sqrt{(2l')}$

$$l_g = \frac{\hbar c}{qg}$$

- with open boundary conditions in radial direction states with $l' > l_{crit}$ are located near surface these take form of gapless helical Dirac fermions
- bulk states with odd number of LL filled represent nontrivial topological insulator (TI)

Perspective



- implications of `stretched SO(3,2)' for LL structure
- geometrical picture in relation to AdS4
- 3D LL in finite geometry (3-sphere or 3-torus) to allow for numerics on fractional TI's