

keV scale sterile neutrino Dark Matter – theories and implications for experiment

F. Bezrukov

University of Connecticut
&
RIKEN-BNL Research Center
USA

From Majorana to LHC:
Workshop on the Origin of Neutrino Mass
October 02–05, 2013
Trieste, Italy



University
of Connecticut



Outline

- 1 SM, Cosmology and sterile neutrinos
 - SM problems in particle physics and cosmology
 - Minimal extensions
 - Summary of important constraints on DM
- 2 Scenario I – ν MSM – just three sterile neutrinos
 - Generic description
 - DM constraints
 - Leptogenesis for baryogenesis and DM generation
- 3 Scenario II – ν MSM + DM generation
 - Adding the inflaton
 - DM production in inflaton decays
 - Bounds on the model
- 4 Scenario III – Left-Right symmetric models
 - Not so minimal model
 - Low scale window



Standard Model – describes **nearly** everything

Three Generations of Matter (Fermions) spin 1/2

	I	II	III	
quarks	u up 2.4 MeV	c charm 1.27 GeV	t top 173.2 GeV	g gluon
quarks	d down 4.4 MeV	s strange 104 MeV	b bottom 4.2 GeV	γ photon
quarks	V_c charm 1.27 GeV	V_s strange 104 MeV	V_b bottom 4.2 GeV	Z Z boson 91.1876 GeV
leptons	e electron 0.511 MeV	μ muon 105.7 MeV	τ tau 1.777 GeV	W W boson 80.379 GeV
leptons	ν_e electron neutrino 0 MeV	ν_μ muon neutrino 0 MeV	ν_τ tau neutrino 0 MeV	H Higgs boson 125 GeV

spin 0

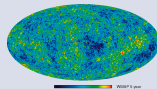
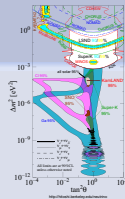
Einstein
gravity

Describes

- all laboratory experiments – electromagnetism, nuclear processes, etc.
- all processes in the evolution of the Universe after the Big Bang Nucleosynthesis ($T < 1$ MeV, $t > 1$ sec)

Experimental problems:

- Laboratory
 - ? Neutrino oscillations
- Cosmology
 - ? Baryon asymmetry of the Universe
 - ? Dark Matter
 - ? Inflation
 - ? Dark Energy



Can we describe everything with as small extension as possible?

- Minimal number of new particles
- No new scales before inflation/gravity

Great:

- Avoids (or reformulates) the hierarchy problem (in scale invariant formulations)
- Simple
- Predictive

One should agree to:

- Some (technical) fine-tuning

Some possible minimal models of everything

- 1 νMSM – 2 sterile neutrino for leptogenesis, 1 for DM

[Asaka, Shaposhnikov'05]

- 2 νMSM + external DM generation mechanism

[Shaposhnikov, Tkachev'06, FB, Gorbunov'10]

- 3 “sterile” neutrinos are charged under larger gauge group (Left-Right symmetric model)

[FB, Hettmansperger, Lindner'10,
Nemevsek, Senjanovic, Zhang'12]

Notes:

- something more is needed for inflation. Can be quite minimal eg. R^2 inflation, Higgs inflation, light inflaton
- Other minimal models are possible, say with scalar DM

Sterile neutrino role

Three sterile neutrinos present in all of the models

- N_1 – light unstable long-lived Dark Matter (\sim keV scale)
- $N_{2,3}$ – heavier
 - responsible for leptogenesis
 - responsible for active neutrino masses
 - responsible for (assist in) DM production

Summary of sterile neutrino Dark Matter constraints

Dark Matter

- Decay constraints – small enough radiative decay width (X-ray observations)

always there

- Structure formation constraints

- Heavy enough to form existing structures out of fermions

always there

- Cold enough to leave observed small scale structure intact

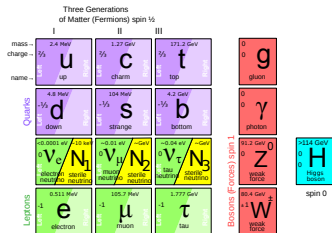
depends in generation mechanism (spectrum)

- Production of proper DM abundance

depends on generation mechanism

Scenario I – νMSM

Just three sterile neutrinos



Model action

$$\mathcal{L}_{\nu\text{MSM}} = \mathcal{L}_{\text{SM}} + i\bar{N}\not{\partial}N - \bar{L}_L F N \tilde{\Phi} - \bar{N} F^\dagger L_L \tilde{\Phi}^\dagger - \frac{1}{2}(\bar{N}^c M_M N + \bar{N} M_M^\dagger N^c).$$

[Asaka, Shaposhnikov'05, Asaka, Blanchet, Shaposhnikov'05]

νMSM description – neutrino masses

- $M_1 \sim 1\text{--}50 \text{ keV}$ – Dark Matter
- $M_{2,3} \sim \text{several GeV}$ – Leptogenesis

$M_I \gg M^D = F\langle\Phi\rangle$ – “see-saw” formula is working:

Light neutrino masses

$$M^V = -(M^D)^T \frac{1}{M_I} M^D$$

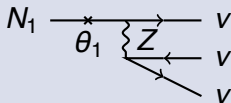
Active-sterile mixings

$$\theta_{al} = \frac{(M^D)_{al}^\dagger}{M_I} \ll 1$$

DM properties – Radiative decay

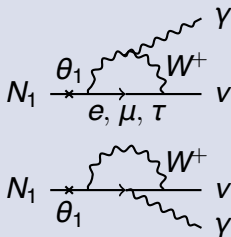
Leads to constraints from the X-ray observations

Main decay channel



- $\tau > \tau_{\text{Universe}}$ – easy!
- not visible, really...

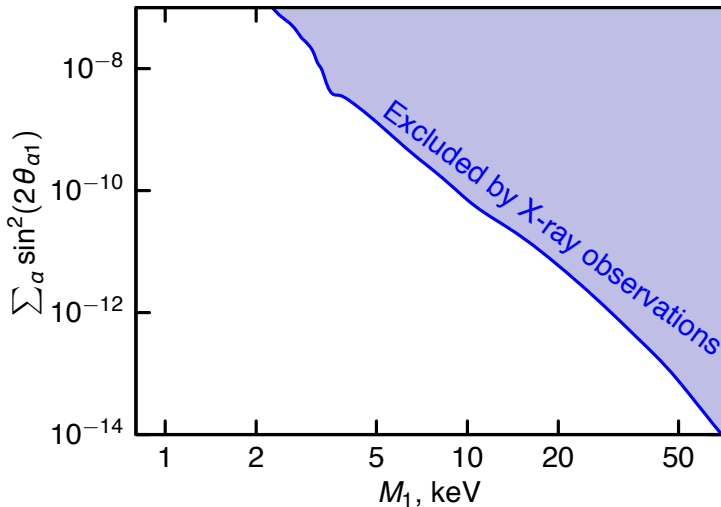
Second decay channel: $N_1 \rightarrow \nu \gamma$



$$\Gamma \simeq 5.5 \times 10^{-27} \left(\frac{\theta_1^2}{10^{-5}} \right) \left(\frac{M_1}{1\text{keV}} \right)^5 \text{ s}^{-1}$$

- Monochromatic: $E_\gamma = M_1/2$
- We should see an X-ray (\sim keV) line coming from everywhere in the sky

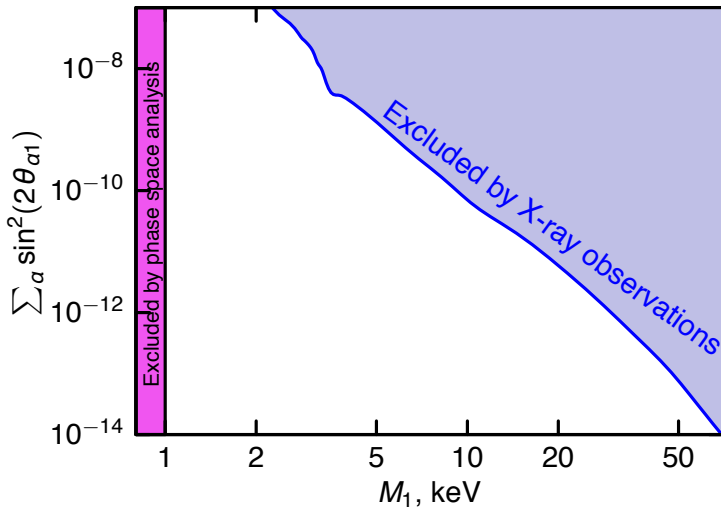
Bounds for the N_1 – DM sterile neutrino



Universal constraint for all models

[Boyarisky, Ruchayskiy, Shaposhnikov'09]

Bounds for the N_1 – DM sterile neutrino



Universal constraints for all models

DM generation in the early Universe

- Because of small mixing angle (X-ray constraints!) *never* enters thermal equilibrium
 - Good – does not overclose the Universe
 - Bad – abundance depends on initial conditions (or is it actually good?)

DM generation in the early Universe

Produced in $\bar{l}l \rightarrow \nu N_1$, $q\bar{q} \rightarrow \nu N_1$, etc.

Production is proportional to the effective active-sterile mixing angle

$$\theta_M^2(T) \simeq \frac{\theta_1^2}{\left(1 + \frac{2p}{M_1^2} (b(p, T) \pm c(T))\right)^2 + \theta_1^2}.$$

$$b(p, T) = \frac{16G_F^2}{\pi\alpha_W} p(2 + \cos^2 \theta_W) \frac{7\pi^2 T^4}{360}$$

$$c(T) = 3\sqrt{2}G_F(1 + \sin^2 \theta_W)(n_{\nu_e} - n_{\bar{\nu}_e})$$

(θ_1 – vacuum mixing angle of N_1 and active ν)

Production can be

Non-resonant (b dominates) or **Resonant** ($c \sim b$)

DM generation – NR production

- N_1 never enter thermal equilibrium
- Momentum distribution is not thermal

$$f_{N_1}(p) = \frac{\chi}{e^{p/T_\nu} + 1}$$

with $\chi \propto \theta_1^2$

- This is much hotter, than the “Thermal Relic” with

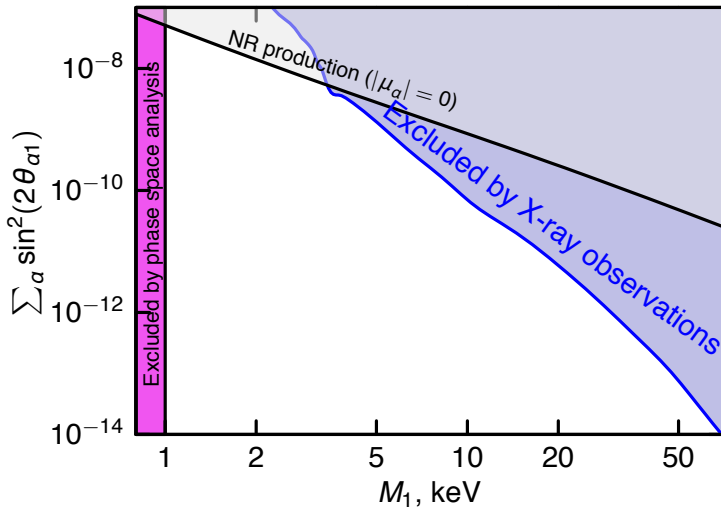
$$f_{TR}(p) = \frac{1}{e^{p/T_{TR}} + 1}$$

of low temperature $T_{TR} < T_\nu$ (c.f. M.Viel’s talk on Wednesday)

- The Lyman- α constraint is quite strong

$$m_{NRP,min} \propto (m_{TR,min})^{4/3}$$

Bounds for the N_1 – DM sterile neutrino



Nearly universal constraints

DM generation – NR production

- N_1 never enter thermal equilibrium
- Momentum distribution is not thermal

$$f_{N_1}(p) = \frac{\chi}{e^{p/T_\nu} + 1}$$

with $\chi \propto \theta_1^2$

- This is much hotter, than the “Thermal Relic” with

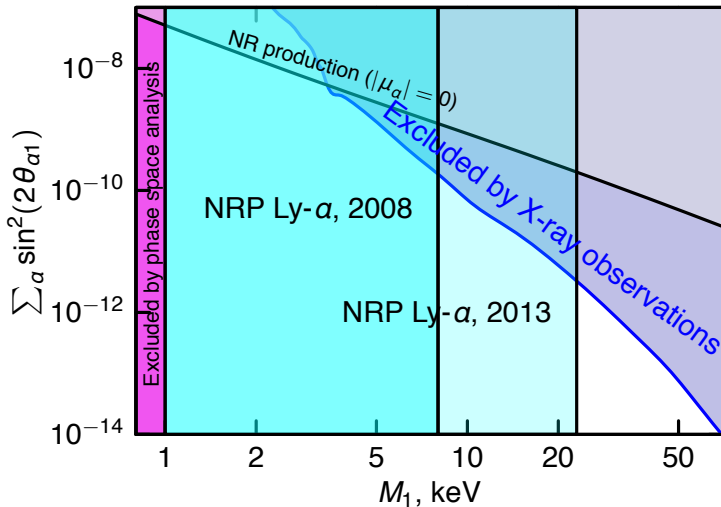
$$f_{TR}(p) = \frac{1}{e^{p/T_{TR}} + 1}$$

of low temperature $T_{TR} < T_\nu$ (c.f. M.Viel’s talk on Wednesday)

- The **Lyman- α** constraint is quite strong

$$m_{NRP,min} \propto (m_{TR,min})^{4/3}$$

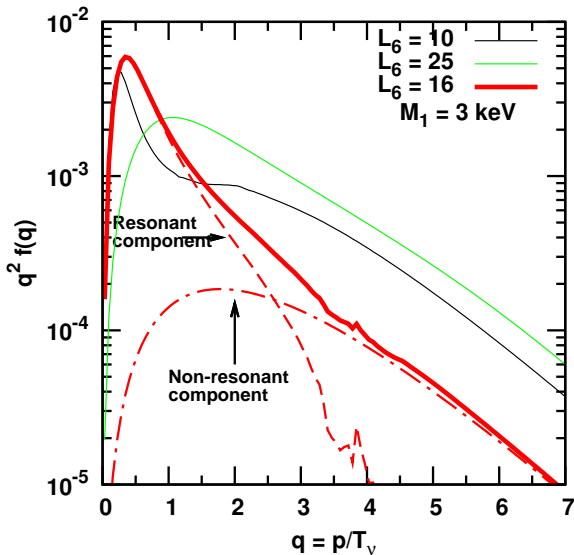
Bounds for the N_1 – DM sterile neutrino



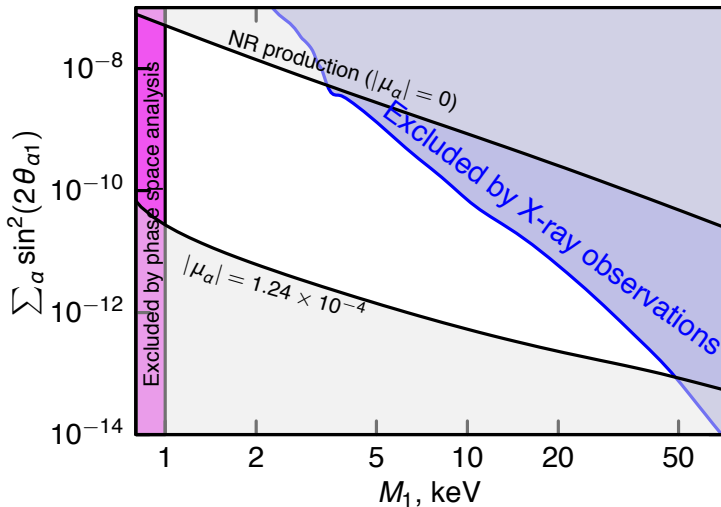
Nonresonant production is completely excluded

Resonant production – can provide much colder DM

And much more of it



Bounds for the N_1 – DM sterile neutrino



Only for “pure νMSM” – production with lepton asymmetries

[Canetti, Drewes, Shaposhnikov'13]

νMSM experimental consequences (DM)

Active neutrino masses

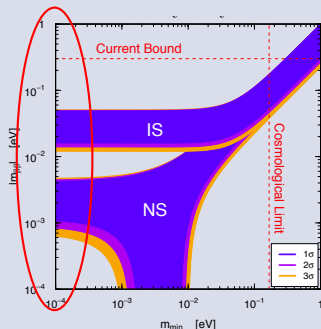
- X-rays require very small N_1 mixing angle θ_1 , so

$$m_1 < 10^{-5} \text{ eV}$$

Neutrinoless double beta decay

- Additional contributions are negligible
 - N_1 – X-ray constraints
 - $N_{2,3}$ – mass $> 100 \text{ MeV}$
- Mass spectrum strongly hierarchical – X-ray constraints

$$m_{0\nu\beta\beta} < 50 \times 10^{-3} \text{ eV}$$



Low T and low M_I leptogenesis

CP violation present in Yukawa matrices F

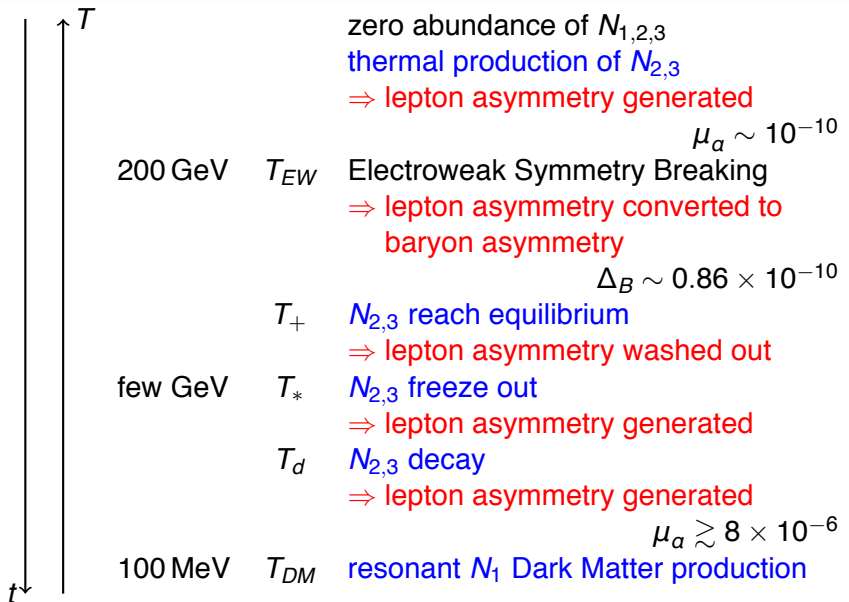
non-equilibrium process are for sterile neutrino N_I

- production
- freeze-out
- decay

Note – for $M_I/T \ll 1$ the asymmetries can be generated in active and sterile sectors with opposite signs

$$\begin{aligned}
 i\frac{d\rho_N}{dT} &= [H, \rho_N] - \frac{i}{2}\{\Gamma_N, \rho_N - \rho^{eq}\} + \frac{i}{2}\mu_\alpha \tilde{\Gamma}_N^a, \\
 i\frac{d\rho_{\bar{N}}}{dT} &= [H^*, \rho_{\bar{N}}] - \frac{i}{2}\{\Gamma_N^*, \rho_{\bar{N}} - \rho^{eq}\} - \frac{i}{2}\mu_\alpha \tilde{\Gamma}_N^{a*}, \\
 i\frac{d\mu_\alpha}{dT} &= -i\tilde{\Gamma}_L^a \mu_\alpha + \text{tr} \left[\tilde{\Gamma}_L^a (\rho_N - \rho^{eq}) \right] \\
 &\quad - \text{tr} \left[\tilde{\Gamma}_L^{a*} (\rho_{\bar{N}} - \rho^{eq}) \right].
 \end{aligned}$$

Thermal history of the Universe

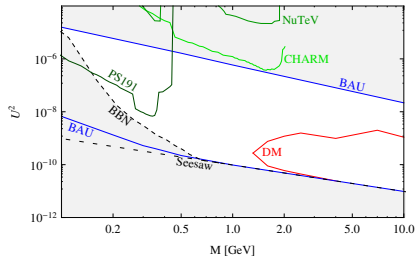
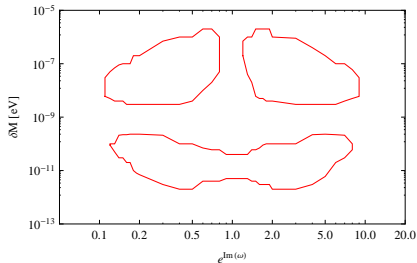


Bounds for the $N_{2,3}$ sterile neutrinos

$M_{1,2}$ very degenerate

$\Delta M \sim \delta m_{\text{active}}$

[Canetti, Drewes, Shaposhnikov'13]



Scenario II – νMSM + DM generation

- νMSM part
 - N_1 – Dark Matter
 - $N_{2,3}$ – leptogenesis (only)
- something else generates proper N_1 DM abundance

Example model

Light inflaton

$$\mathcal{L} = \mathcal{L}_{\nu\text{MSM}0} + aH^\dagger H X^2 + \frac{\beta}{4} X^4 + f_1 X \bar{N}^c N$$

- Reheating after inflation via $XX \rightarrow HH$ (Standard Model) and $X \rightarrow NN$ (Dark Matter)

DM production now happens from inflaton decays

- At reheating inflaton decays both into SM and DM, providing *initial* abundance for N_1
- M_1 is determined from its decay width (assuming inflaton is the messenger of the scale invariance breaking, so f_1 determines both M_1 and inflaton decays to N_1)

$$M_1 \sim 13 \cdot \left(\frac{m_\chi}{300 \text{ MeV}} \right) \left(\frac{S}{4} \right)^{1/3} \cdot \left(\frac{0.9}{f(m_{\text{inflaton}})} \right)^{1/3} \text{ keV}$$

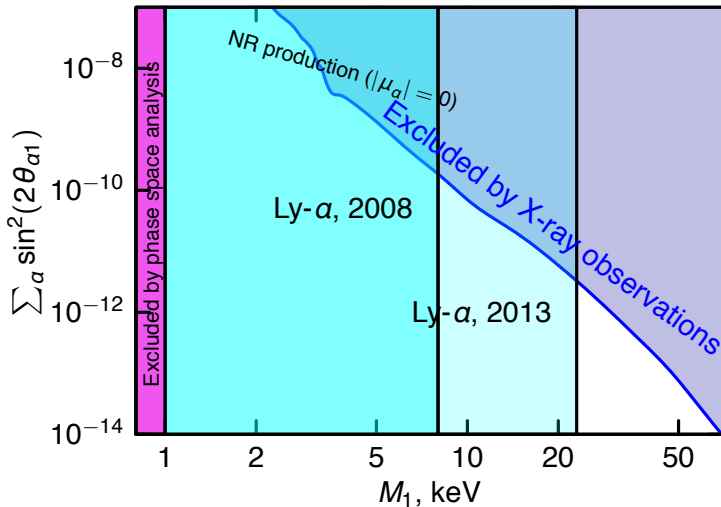
where $m_{\text{inflaton}} \simeq \text{GeV}$

- Distribution is similar to that of the non-resonant production (just a bit cooler)

DM neutrino mass bound from Lyman- α

$$M_1 > 8 \text{ keV}$$

Bounds for the N_1 – DM sterile neutrino



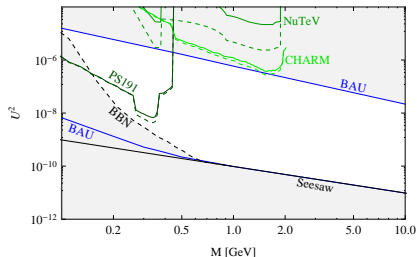
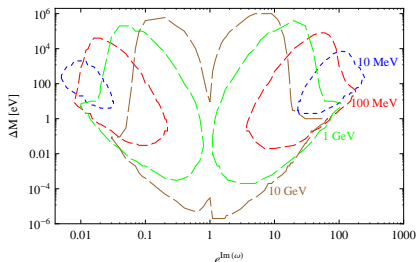
Production in inflaton decays

Astrophysical constraints

νMSM with inflaton decay into DM

- Dark Matter N_1
 - θ_1 can be very small
 - stronger mass bounds from structure formation
- Leptogenesis by $N_{2,3}$

$$\Delta M/M \sim 10^{-3}$$
- Experimental searches
 - $N_{2,3}$ production in hadron decays:
 - Missing energy in K decays
 - Peaks in Dalitz plot
 - $N_{2,3}$ decays into SM
 - Beam target experiments



Situation 3 – a lot of new physics

Assumptions

- There are three right-handed neutrinos N_1, N_2, N_3
- At low energies they have Dirac and Majorana mass terms
- They are charged under some (non-SM) gauge group, with the (right) gauge boson mass M

Example – $SU(3) \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$ model

Thermal history

- DM Sterile neutrinos N_1 **enter thermal equilibrium**
- Their abundance later diluted S times by out of equilibrium decay of $N_{2,3}$
- Leptogenesis – usual (resonant) in $N_{2,3}$ decays.

Constraints summary

X/γ-ray

$$\theta_1^2 \lesssim 1.8 \times 10^{-5} \left(\frac{1 \text{keV}}{M_1} \right)^5$$

$$\zeta^2 \lesssim 10^{-18} \dots (\text{keV}/M_1)^3$$

Ly-α bound

$$M_1 > 1.5 - 3.3 \text{keV}$$

$$\Omega_{N_1} = \Omega_{DM} \text{ if}$$

$$\Gamma_2 \simeq 0.50 \times 10^{-6}$$

$$\bar{g}_*^{-1/2} \frac{M_2^2}{M_{Pl}} \left(\frac{1 \text{keV}}{M_1} \right)^2$$

BBN $\tau_2 > 0.1 \div 2 \text{ sec}$

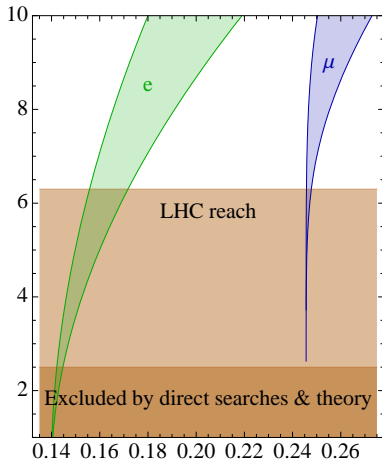
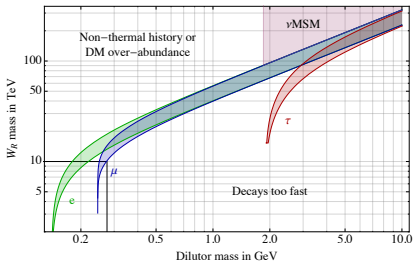
$$M_2 > \left(\frac{M_1}{1 \text{keV}} \right) (1.7 \div 10) \text{ GeV}$$

The entropy is effectively generated if the right-handed gauge scale is

$$M > g_{*f}^{-1/8} \left(\frac{M_2}{1 \text{ GeV}} \right)^{3/4} (10 \div 16) \text{ TeV}$$

LR-symmetric low scale window

- Tuning of flavour structure can separate N_1 and $N_{2,3}$ decoupling over QCD phase transition
- Allows for $M_{W_R} \gtrsim 4 - 5 \text{ TeV}$
- $M_2 \approx m_\pi + m_\mu$,
 $M_3 \approx m_\pi + m_e$



DM mass bounds (from observed DM structure)

Phase space distribution is now different, and corresponds to the *thermal relic case*

$$f(p) = \frac{1}{\exp\left(\frac{p}{T_v/S}\right) + 1}$$

So, N_1 are now *cooled*

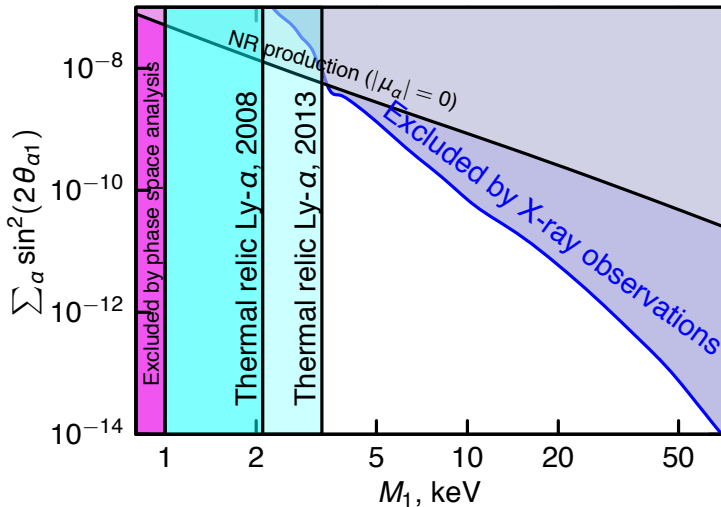
Ly- α bound – structure formation

[Boyarsky, Lesgourgues, Ruchayskiy, Viel'09, Viel, Becker, Bolton, Haehnelt'13]

$$M_1 > 1.5 - 3.3 \text{ keV}$$

Astrophysical constraints

Sterile neutrino in beyond SM gauge multiplets



For entropy diluted sterile neutrinos

Constraints for particle physics

- Yukawa couplings for *all three* N_i are very small
 - type I see-saw like mechanism is impossible
- Active neutrino masses are generated by some type II mechanism
- In the generic case:
 - $N_{2,3}$ masses are high
 - W_R is heavy
 - $0\nu\beta\beta$ – standard
 - X-ray decay of N_1 DM can be arbitrary small
- Low scale case with special flavour structure
 - $N_{2,3}$ masses are low (particle physics experiments?)
 - W_R is within collider reach
 - $0\nu\beta\beta$ – has new physics contributions
 - May have lower bound on X-ray N_1 decay
 - Baryogenesis?

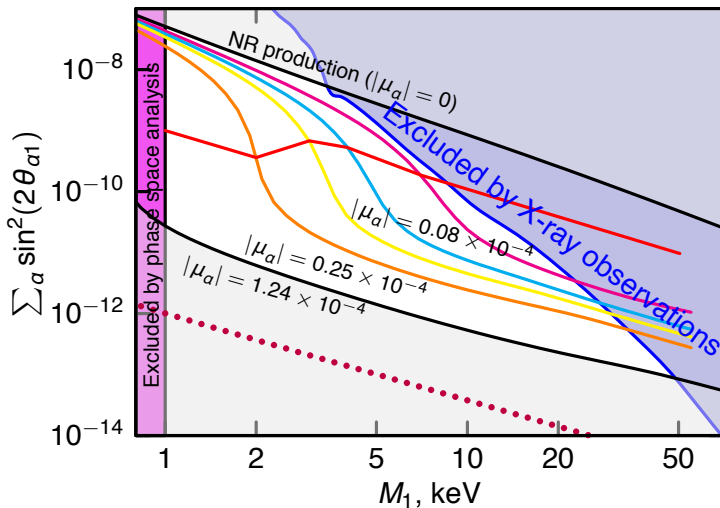
Conclusions

- keV scale sterile neutrino dark matter has
 - universal constraints: X-rays, phase space density
 - model dependent ones: lower bounds on mixing angle, structure formation constraints on the mass

Important to analyze all the properties – decay, production, structure formation!

- Minimal extensions of the SM by right handed sterile neutrinos can be very promising, leading to experimental signatures
 - X-rays
 - rare processes, beam target experiments
 - neutrinoless double beta decay
- A bit more of new physics may be welcome (reduce some fine tunings)
- A lot more of new physics is more complicated
 - may provide some experimental consequences

Bounds for the N_1 – DM sterile neutrino



Only for “pure ν MSM” – production with lepton asymmetries

[Canetti, Drewes, Shaposhnikov'13]

$0\nu\beta\beta$ in ν MSM in general

See saw and $0\nu\beta\beta$

- See-saw constraint

$$\sum_{\text{active}} m_i U_{ei}^2 + \sum_{\text{light}} M_l U_{el}^2 + \sum_{\text{heavy}} M_l U_{el}^2 = 0.$$

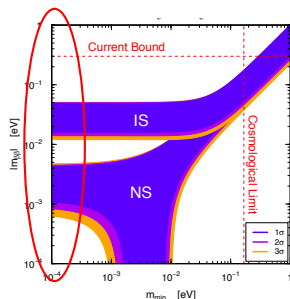
- Contributes to $0\nu\beta\beta$ (light means $M_l < Q_{\text{nuclear}} \sim 100 \text{ MeV}$)

$$\sum_{\text{active}} m_i U_{ei}^2 + \sum_{\text{light}} M_l U_{el}^2$$

Neutrinoless double beta decay

- Both $M_{2,3} > 100 \text{ MeV}$
 $m_{ee} < 50 \times 10^{-3} \text{ eV}$
- Both $M_{2,3} < 100 \text{ MeV}$
 $m_{ee} \sim 0$
- $M_2 < 100 \text{ MeV}, M_3 > 100 \text{ MeV}$
 $m_{ee} \sim ?$

But – definitely no leptogenesis



SM + Light Inflaton coupled in the Higgs sector only

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + aH^\dagger H\phi^2 + \frac{\beta}{4}\phi^4 + \frac{\xi\phi^2}{2}R$$

Standard Model
Interaction
Inflationary sector

Inflaton mass depends on interaction strength: $m_\chi = m_h \sqrt{\beta/2a}$

Specifically: the Higgs-inflaton scalar potential is

$$V(H, \phi) = \lambda \left(H^\dagger H - \frac{a}{\lambda} \phi^2 \right)^2 + \frac{\beta}{4} \phi^4 - \frac{1}{2} \mu^2 \phi^2 + V_0$$

We assumed here, that the scale invariance is broken *in the inflaton sector only*

[Shaposhnikov, Tkachev'06, Anisimov, Bartocci, FB'09, FB, Gorbunov'11, FB, Gorbunov'13]

All constants of the model are bound from cosmology

CMB normalization sets $\beta(\xi)$

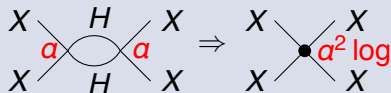
$$\beta = \frac{3\pi^2 \Delta_{\mathcal{R}}^2}{2} \frac{(1+6\xi)(1+6\xi+8(N+1)\xi)}{(1+8(N+1)\xi)(N+1)^3}$$

CMB tensor modes bound ξ

$$r = \frac{16(1+6\xi)}{(N+1)(1+8(N+1)\xi)} \lesssim 0.15$$

$\alpha \lesssim \beta^2$ (mass lower bound)

Inflation is not spoiled by the radiative corrections

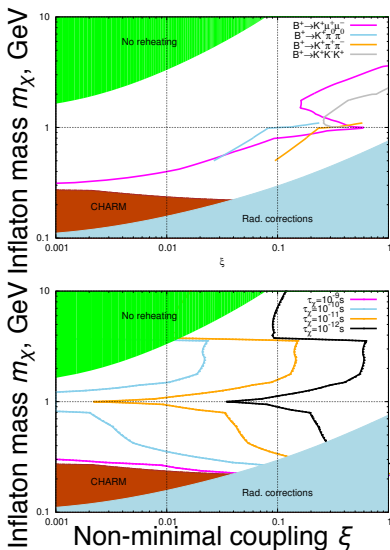


$\alpha > 10^{-7}$ (mass upper bound)

Sufficient reheating

- After inflation: empty & cold
- Needed: hot,
 $T_r \gtrsim 150$ GeV (to get baryogenesis)

Experimental searches are possible



Behaves as light “Higgs” boson, suppressed by $\theta = \sqrt{2\beta}v/m_\chi$

- Created in meson decays
- Decays: KK , $\pi\pi$, $\mu\mu$, ee , ...
- Interacts with media: extremely weakly

Search (LHCb, Belle)

- Events with offset vertices in B decays
- Peaks in Daltiz plot of three body B decays



T. Asaka and M. Shaposhnikov *Phys. Lett.* **B620** (2005) 17–26, [hep-ph/0505013](#).



M. Shaposhnikov and I. Tkachev *Phys. Lett.* **B639** (2006) 414–417, [hep-ph/0604236](#).



F. Bezrukov and D. Gorbunov *JHEP* **1005** (2010) 010, [arXiv:0912.0390](#).



F. Bezrukov, H. Hettmansperger and M. Lindner *Phys.Rev.* **D81** (2010) 085032, [arXiv:0912.4415](#).



M. Nemevsek, G. Senjanovic and Y. Zhang *JCAP* **1207** (2012) 006, [arXiv:1205.0844](#).



T. Asaka, S. Blanchet and M. Shaposhnikov *Phys. Lett.* **B631** (2005) 151–156, [hep-ph/0503065](#).



A. Boyarsky, O. Ruchayskiy and M. Shaposhnikov *Ann.Rev.Nucl.Part.Sci.* **59** (2009) 191–214, [arXiv:0901.0011](#).



L. Canetti, M. Drewes and M. Shaposhnikov *Phys.Rev.Lett.* **110** (2013) 061801, [arXiv:1204.3902](#).



F. Bezrukov *Phys. Rev.* **D72** (2005) 071303, [hep-ph/0505247](#).



D. Gorbunov and M. Shaposhnikov *JHEP* **10** (2007) 015, [arXiv:0705.1729](#).



A. Boyarsky, J. Lesgourgues, O. Ruchayskiy, and M. Viel *JCAP* **0905** (2009) 012, [arXiv:0812.0010](#).



M. Viel, G. D. Becker, J. S. Bolton, and M. G. Haehnelt *Physical Review* **D88** (2013), no. 4, 043502, [arXiv:1306.2314](#).



A. Anisimov, Y. Bartocci and F. L. Bezrukov *Phys. Lett.* **B671** (2009) 211–215, [arXiv:0809.1097](#).



F. Bezrukov and D. Gorbunov *Phys. Lett.* **B713** (2011) 365, [arXiv:1111.4397](#).



F. Bezrukov and D. Gorbunov [arXiv:1303.4395](#).